

# Quantum Information

(ELEC-C9440)

Lectures 6 & 7

**Matti Raasakka**

University Lecturer

Micro and Quantum Systems group

Dept. of Electronics and Nanoengineering

School of Electrical Engineering



**Micro and Quantum Systems**



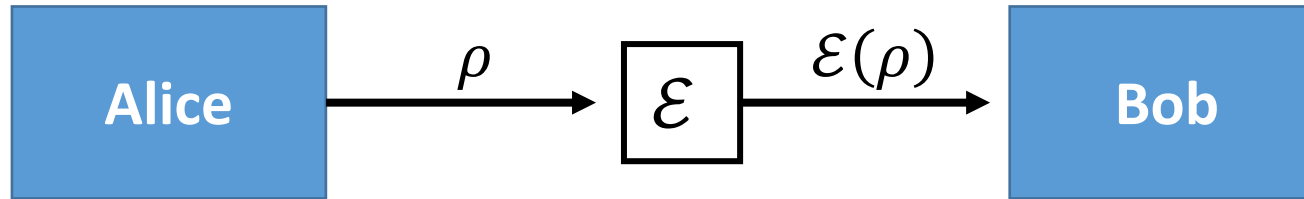
**Aalto University**  
School of Electrical  
Engineering

# Topics

- Quantum channels and noise
- Quantum error correction
- Fault-tolerant quantum computing

# Quantum channels and operations

# Quantum channels and operations



- **Quantum channel** is an abstract model used in the field of quantum information theory to represent possible changes to the state of a quantum system as it is being transmitted from one place to another, or just from one time to another.
- Basically, quantum channel is just some **transformation of the density matrix**, which represents the effect of the channel on the state. Such transformations can be most generally described by the so-called **quantum operations**.
- **Quantum operations**  $\rho \mapsto \mathcal{E}(\rho)$  are the most general transformations of density matrices compatible with the postulates of quantum mechanics: linearity, normalization and (semi-definite) positivity.
- An arbitrary quantum operation can be non-uniquely specified via the **operator-sum representation** (more on this on the next slide).

# Operator-sum representation

$$(AB)^T = B^T A^T$$

$$\text{tr}(ABC) = \text{tr}(CAB) = \text{tr}(BCA)$$

- **Operator-sum representation** of a quantum operation:

$$\mathcal{E}(\rho) = \sum_k E_k \rho E_k^\dagger$$

where the **operation elements**  $E_k$  satisfy  $\sum_k E_k^\dagger E_k \leq I$ .

$$\left( E_k \rho E_k^\dagger \right)^\dagger = (E_k^\dagger)^\dagger \rho^\dagger E_k^\dagger = E_k \rho E_k^\dagger$$

- If  $\sum_k E_k^\dagger E_k = I$ , the operation is **trace-preserving**:

$$\text{tr}(\mathcal{E}(\rho)) = \text{tr}\left(\sum_k E_k \rho E_k^\dagger\right) = \text{tr}\left(\rho \sum_k E_k^\dagger E_k\right) = \text{tr}(\rho) = 1$$

Preserves correct normalization of the density matrix.

- If  $\sum_k E_k^\dagger E_k < I$ , some of the information about the state is transferred outside the system in the process, e.g., via a measurement. The density matrix must be normalized afterwards:

$$\rho \mapsto \rho' = \frac{\mathcal{E}(\rho)}{\text{tr}(\mathcal{E}(\rho))} = \frac{\sum_k E_k \rho E_k^\dagger}{\text{tr}(\sum_k E_k \rho E_k^\dagger)}$$

$$A \leq I$$

$$\Leftrightarrow I - A \geq 0$$

eigenvalues  $\geq 0$

# Examples of quantum operations

- **Unitary time-evolution**

$U(t)^\dagger U(t) = I$  by definition.

$$\mathcal{E}(\rho) = U(t)\rho U(t)^\dagger$$

- **Measurement** described by a set of measurement operators  $M_m$ .

$$\mathcal{E}(\rho) = M_m \rho M_m^\dagger$$

where  $M_m$  is the operator corresponding to the recorded measurement result  $m$ .

**NOT** trace-preserving. State after measurement

$$\rho' = \frac{M_m \rho M_m^\dagger}{\text{tr}(M_m \rho M_m^\dagger)}$$

- **Interaction with the environment**, e.g., in initial state  $\rho_{\text{env}} = |e_0\rangle\langle e_0|$

$$\rho' = \text{tr}_{\text{env}}(U(\rho \otimes \rho_{\text{env}})U^\dagger) = \sum_k (I \otimes \langle e_k |) U(\rho \otimes |e_0\rangle\langle e_0|) U^\dagger (I \otimes |e_k\rangle) = \sum_k E_k \rho E_k^\dagger$$

where  $E_k = (I \otimes \langle e_k |) U (I \otimes |e_0\rangle)$ .

$$\begin{aligned} (I \otimes |e_0\rangle\langle e_0|) \rho (I \otimes \langle e_0|) \\ = \rho \otimes |e_0\rangle\langle e_0| \end{aligned}$$

$$\text{tr}_2(A \otimes B) = \sum_k (I \otimes \langle e_k |) (A \otimes B) (I \otimes |e_k\rangle)$$

$$\begin{aligned} &= \sum_k A \otimes \langle e_k | B | e_k \rangle \\ &= \sum_k \langle e_k | B | e_k \rangle A \\ &= \text{tr}(B) A \end{aligned}$$

$$\begin{aligned} (A \otimes B) |e_1\rangle |e_2\rangle \\ = A |e_1\rangle \otimes B |e_2\rangle \end{aligned}$$

# Examples of quantum operations

- Quantum operations can also **increase or decrease the Hilbert space dimension**.
- For example, **adding an ancilla qubit** in state  $|0\rangle$  corresponds to the operator element  $E = I \otimes |0\rangle$ , where the first tensor product factor  $I$  operates on the original Hilbert space, so that

$$\mathcal{E}(\rho) = E\rho E^\dagger = (I \otimes |0\rangle)\rho(I \otimes \langle 0|) = \rho \otimes |0\rangle\langle 0|$$

- **Measuring a qubit and discarding it** afterwards corresponds to the operator element  $E_k = I \otimes \langle k|$  depending on the measurement result  $k = 0, 1$ .

$$\mathcal{E}(\rho) = E\rho E^\dagger = (I \otimes \langle k|)\rho(I \otimes |k\rangle)$$

- If the **measurement result is not recorded**, both operators in the operator-sum representation of the quantum operation (= partial trace over the discarded qubit):

$$\mathcal{E}(\rho) = \sum_k E_k \rho E_k^\dagger = \sum_k (I \otimes \langle k|)\rho(I \otimes |k\rangle)$$

# Unitary freedom in operator–sum representation

- **Theorem** (Nielsen-Chuang 8.2):

Let  $\{E_1, \dots, E_m\}$  and  $\{F_1, \dots, F_n\}$  be the operator elements giving rise to quantum operations  $\mathcal{E}$  and  $\mathcal{F}$ . By appending zero operators to the shorter list we can ensure that  $m = n$ . Then  $\mathcal{E} = \mathcal{F}$  if and only if there exists an  $m$ -by- $m$  unitary matrix  $(u_{ij})$  such that

$$E_i = \sum_j u_{ij} F_j$$

$= \delta_{jk}$

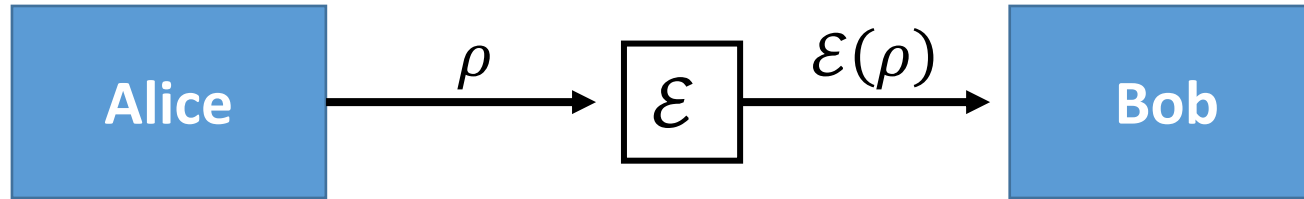
- The “if”-part is easy enough to verify:

$$\begin{aligned} \mathcal{E}(\rho) &= \sum_i E_i \rho E_i^\dagger = \sum_i \left( \sum_j u_{ij} F_j \right) \rho \left( \sum_k u_{ik}^* F_k^\dagger \right) = \sum_j \sum_k \left( \sum_i u_{ij} u_{ik}^* \right) F_j \rho F_k^\dagger \\ &= \sum_j F_j \rho F_j^\dagger = \mathcal{F}(\rho) \end{aligned}$$



# Quantum noise models

# Quantum noise



- There is often **noise** in a quantum channel, which tends to degrade the fidelity of the transmitted quantum state. This can be modelled as particular kind of **quantum operations** acting on the quantum state.
- The types of noise and their abundance in the channel depend on the particular type of application. E.g., optical fiber vs superconducting qubit.
- Typical noise models to consider:
  - Bit-flip errors, phase-flip errors
  - Depolarizing noise
  - Amplitude damping noise
  - ...

# Bit-flip noise on a qubit

- In a bit-flip noise channel, a **bit-flip error** flips the value of a qubit with probability  $p$ . This is the quantum analogue of the classical bit-flip noise often considered in classical information theory.
- In the **operator-sum representation** can be written as

$$\rho \mapsto \rho' = (1 - p)\rho + pX\rho X^\dagger$$

where  $X$  is the Pauli X matrix/the NOT gate.

- The **operator-sum elements** thus are  $E_1 = \sqrt{1 - p}I$ ,  $E_2 = \sqrt{p}X$ .
- For example, acting on the pure state  $\rho = |0\rangle\langle 0|$  we get

$$\rho' = (1 - p)|0\rangle\langle 0| + p|1\rangle\langle 1|$$

which is not a pure state anymore, but a **probabilistic mixture** of the two computational basis states.

$$|\psi\rangle \mapsto X|\psi\rangle$$

$$\rho_\psi = |\psi\rangle\langle\psi|$$

$$\mapsto \underbrace{X|\psi\rangle\langle\psi|X}$$

$$U \rho U^\dagger$$

# Effect of bit-flip noise on the Bloch sphere

- Parametrize the density matrix as

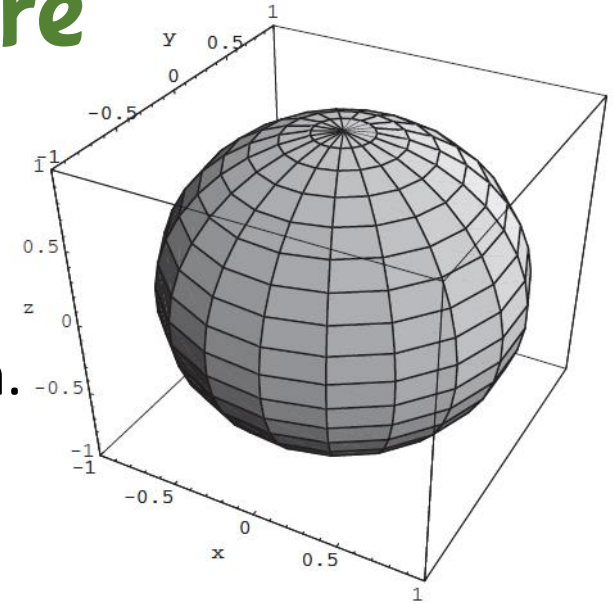
$$\rho = \frac{1}{2}(I + \vec{r} \cdot \vec{\sigma})$$

where  $\|\vec{r}\| \leq 1$ .  $\vec{r}$  is the vector in the Bloch sphere representation.

- Bit-flip noise changes this to

$$\begin{aligned}\rho' &= (1 - p) \frac{1}{2}(I + \vec{r} \cdot \vec{\sigma}) + pX \frac{1}{2}(I + \vec{r} \cdot \vec{\sigma})X \\ &= \frac{1}{2}(I + (1 - p)(\vec{r} \cdot \vec{\sigma}) + pX(\vec{r} \cdot \vec{\sigma})X) \\ &= \frac{1}{2}\left(I + r_x\sigma_x + (1 - 2p)(r_y\sigma_y + r_z\sigma_z)\right)\end{aligned}$$

- Thus, for the Bloch sphere vector  $(r_x, r_y, r_z) \mapsto (r_x, (1 - 2p)r_y, (1 - 2p)r_z)$ .



Note:

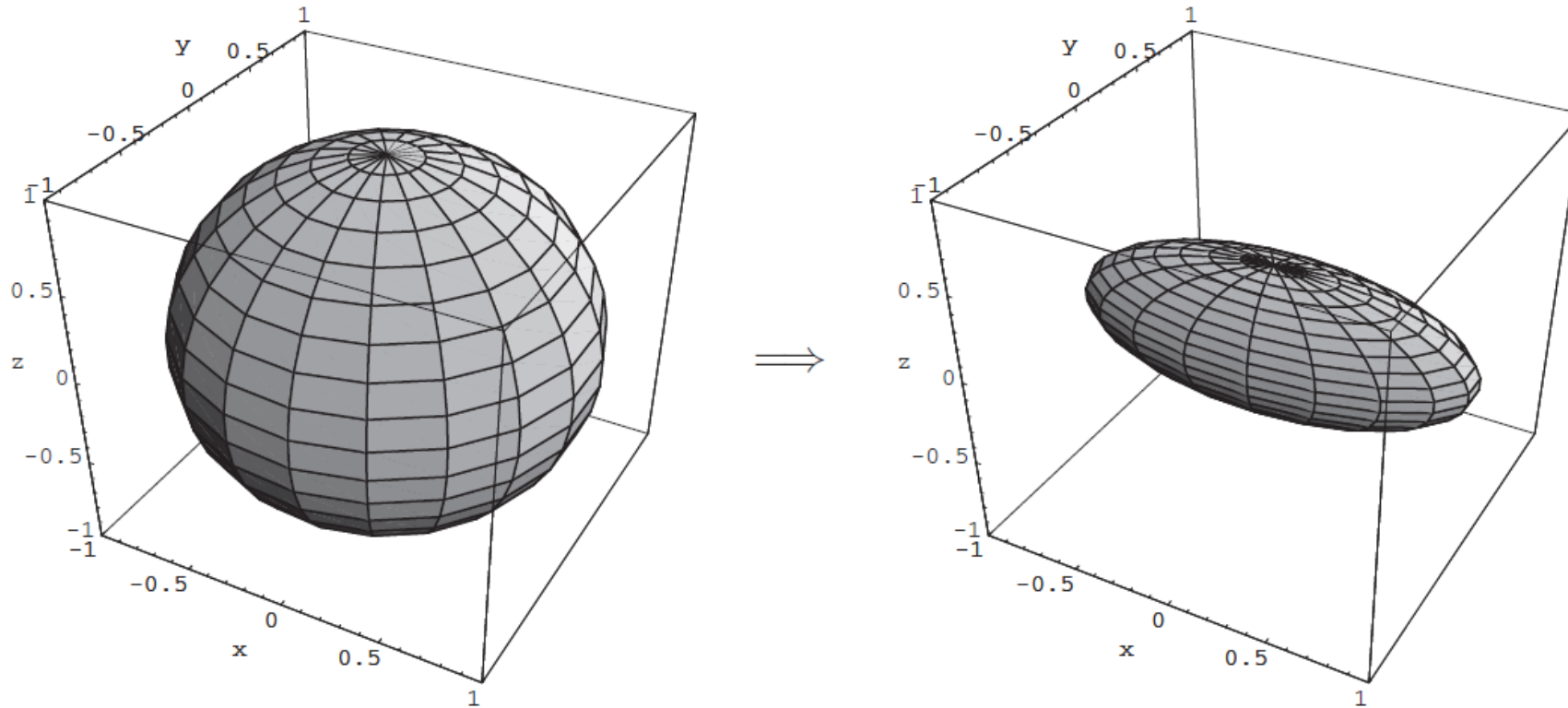
$$X\sigma_xX = \sigma_x$$

$$X\sigma_yX = -\sigma_y$$

$$X\sigma_zX = -\sigma_z$$

# Effect of bit-flip noise on the Bloch sphere

- Graphical illustration of bit-flip noise on the Bloch sphere:



- Notice that the X-basis states  $|\pm\rangle = \frac{1}{\sqrt{2}}(|0\rangle \pm |1\rangle)$  are invariant under bit-flip noise (up to overall sign).

# Phase–flip noise on a qubit

- In a phase-flip noise channel, a **phase-flip error** flips the relative sign of the basis states  $|0\rangle$  and  $|1\rangle$  of the qubit with probability  $p$ . **No classical analogue!**
- In the **operator-sum representation** can be written as

$$\rho \mapsto \rho' = (1 - p)\rho + pZ\rho Z$$

$$Z|0\rangle = |0\rangle$$

$$Z|1\rangle = -|1\rangle$$

where  $Z$  is the Pauli  $Z$  matrix/the phase gate.

- The **operator-sum elements** thus are  $E_1 = \sqrt{1 - p}I$ ,  $E_2 = \sqrt{p}Z$ .
- For example, acting on the pure state  $\rho = |\pm\rangle\langle\pm|$  we get

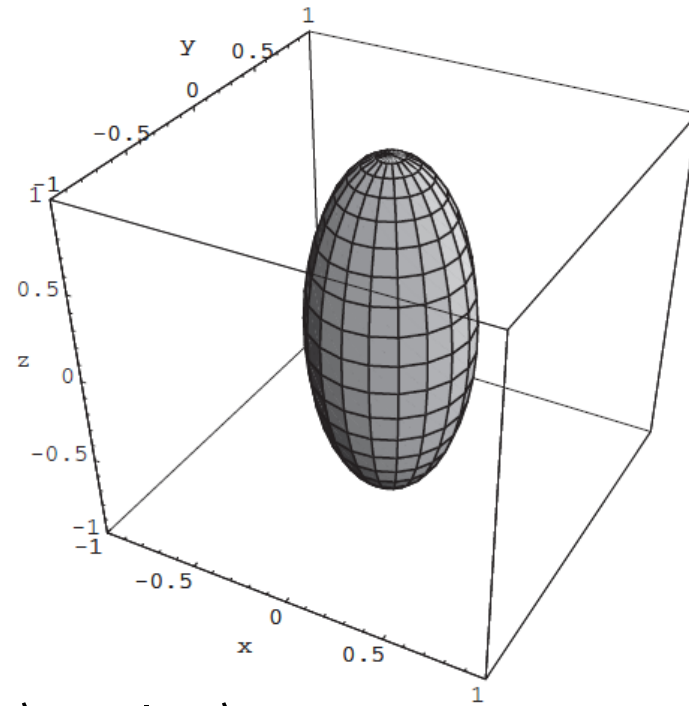
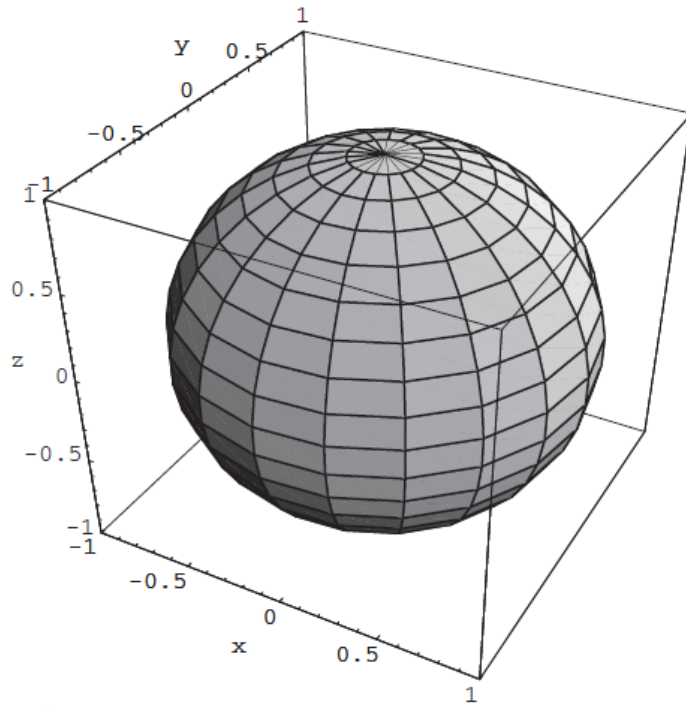
$$\rho' = (1 - p)|\pm\rangle\langle\pm| + p|\mp\rangle\langle\mp|$$

$$|+\rangle = \frac{1}{\sqrt{2}}(|0\rangle + |1\rangle)$$
$$\xrightarrow{Z} |-\rangle$$

which is not a pure state anymore, but a **probabilistic mixture** of the two X-basis states  $|\pm\rangle$ .

# Phase-flip noise on a qubit

- Graphical illustration of phase-flip noise on the Bloch sphere:



$$H X H = Z$$

$$H Z H = X$$

- A phase-flip error exchanges the states  $|+\rangle \leftrightarrow |-\rangle$ , while the states  $|0\rangle, |1\rangle$  are invariant (up to overall phase). Accordingly, it is analogous to the bit-flip error in the  $|\pm\rangle$  basis. The bit-flip and phase-flip errors are **related through the Hadamard operator**  $H$ , since this maps the two bases to each other:

$$H E_1 H = \sqrt{1-p} H^2 = \sqrt{1-p} I, \quad H E_2 H = \sqrt{p} H Z H = \sqrt{p} X$$

# Depolarizing noise

- In a **depolarizing channel**, the density matrix  $\rho$  is replaced by the completely mixed state (density matrix  $I/2$ ) with probability  $p$ :

$$\rho \mapsto \rho' = (1 - p)\rho + \frac{p}{2}I$$

$$\rho_{\max} = \frac{1}{2}I$$

- Depolarizing noise can be particularly relevant in a **quantum optical communication setup**, where the qubit is represented by the polarization state of a photon.
- Operator-sum representation** can be obtained by first noting that

$$I = \frac{1}{2}(\rho + X\rho X + Y\rho Y + Z\rho Z)$$

$$Y = iXZ$$

for any density matrix  $\rho$ . By substitution we get

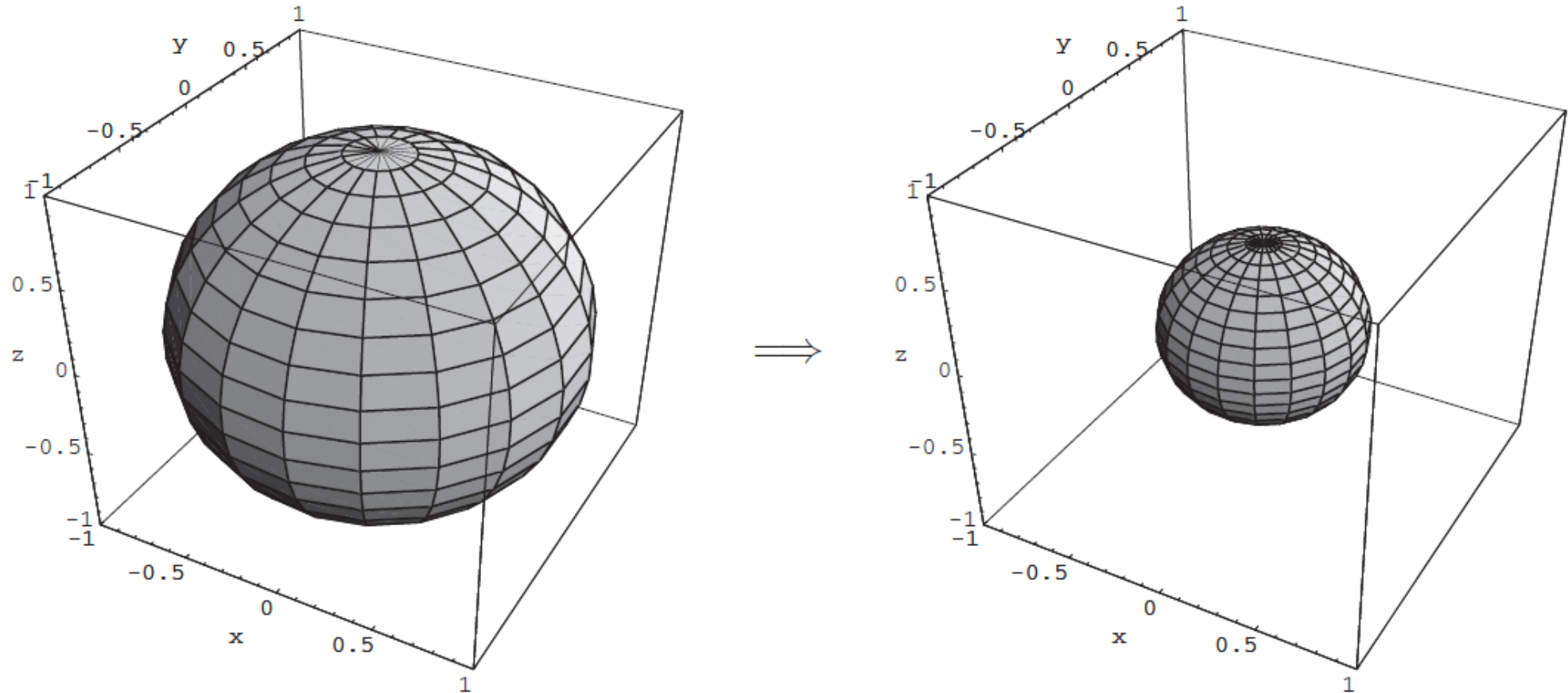
$$\rho' = \left(1 - \frac{3}{4}p\right)\rho + \frac{p}{4}(X\rho X + Y\rho Y + Z\rho Z)$$

- Accordingly, the depolarizing channel may also be interpreted as a combination of a bit-flip error ( $X\rho X$ ), a phase-flip error ( $Z\rho Z$ ) and a combined bit-phase-flip error ( $Y\rho Y = XZ\rho ZX$ ), each with probability  $p/4$ .



# Depolarizing noise

- Graphical illustration of depolarizing noise on the Bloch sphere:



# Amplitude damping noise

- In an **amplitude damping channel**, the probability of the state  $|0\rangle$  is increased over the state  $|1\rangle$ . Amplitude damping noise can be caused by, e.g., **energy dissipation to the environment** in a system (such as a superconducting qubit), where  $|0\rangle$  is the ground state and  $|1\rangle$  an excited state.
- **Operator-sum representation:**  $\rho \mapsto \rho' = E_0\rho E_0^\dagger + E_1\rho E_1^\dagger$ , where the operator-sum elements have the matrix forms (in the computational basis)

$$E_0 = \begin{pmatrix} 1 & 0 \\ 0 & \sqrt{1-\gamma} \end{pmatrix}, \quad E_1 = \begin{pmatrix} 0 & \sqrt{\gamma} \\ 0 & 0 \end{pmatrix} \quad (0 < \gamma < 1)$$

- For example, acting on the pure state  $\rho = |1\rangle\langle 1|$  we get

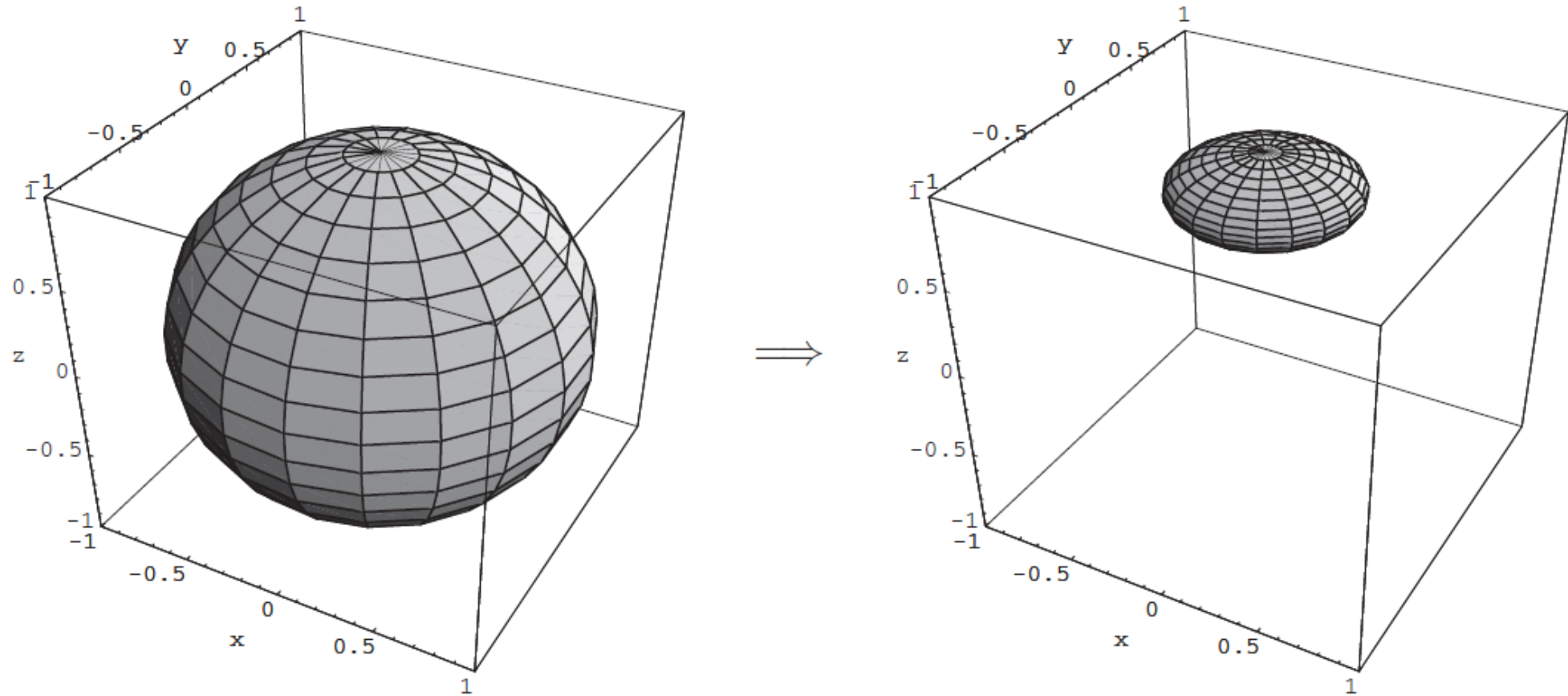
$$\rho' = \gamma|0\rangle\langle 0| + (1-\gamma)|1\rangle\langle 1|$$

i.e., the state has decayed to the “ground” state  $|0\rangle$  with probability  $\gamma$ .

- NOTE: The state  $|0\rangle$  is invariant under amplitude damping noise.

# Amplitude damping noise

- Graphical illustration of amplitude damping noise on the Bloch sphere:



# Generalized amplitude damping noise

- The simple amplitude damping noise corresponds to the **environment at absolute zero temperature**, since the system tends always to *lose* energy to the environment. However, in realistic situations, the environment is at some finite temperature.
- When the **environment is at a finite temperature**, the system can also gain energy from the environment. Thus, the system is not in the ground state in equilibrium with the environment, but in some mixture of the ground and the excited state. The exchange of energy with the environment in this situation can be most simply described by the **generalized amplitude damping noise**.
- The generalized amplitude damping noise has the **operator-sum representation**:

$$E_0 = \sqrt{p} \begin{pmatrix} 1 & 0 \\ 0 & \sqrt{1-\gamma} \end{pmatrix}, \quad E_1 = \sqrt{p} \begin{pmatrix} 0 & \sqrt{\gamma} \\ 0 & 0 \end{pmatrix} \quad \text{Increase probability of } |0\rangle$$

$$E_2 = \sqrt{1-p} \begin{pmatrix} \sqrt{1-\gamma} & 0 \\ 0 & 1 \end{pmatrix}, \quad E_3 = \sqrt{1-p} \begin{pmatrix} 0 & 0 \\ \sqrt{\gamma} & 0 \end{pmatrix} \quad \text{Increase probability of } |1\rangle$$

# Generalized amplitude damping noise

- The **stationary state** under generalized amplitude damping noise is

$$\rho_{\infty} = \begin{pmatrix} p & 0 \\ 0 & 1-p \end{pmatrix} \leftarrow$$

which satisfies  $\sum_k E_k \rho_{\infty} E_k^{\dagger} = \rho_{\infty}$ .

- $\rho_{\infty}$  can be related to the **Boltzmann distribution** for the two states  $|0\rangle, |1\rangle$  with energies  $\epsilon_0, \epsilon_1$  at temperature  $T$  in equilibrium:

$$p = \frac{e^{-\frac{\epsilon_0}{kT}}}{Z}, \quad 1-p = \frac{e^{-\frac{\epsilon_1}{kT}}}{Z}, \quad Z = e^{-\frac{\epsilon_0}{kT}} + e^{-\frac{\epsilon_1}{kT}}$$

$$1-p \sim e^{-\frac{\epsilon_1}{kT}}$$

- The qubit relaxes to the stationary state spontaneously due to the interaction with the environment. The **relaxation time** to the stationary state related to generalized amplitude damping noise and energy dissipation is usually denoted by  $T_1$ , and is an important metric to characterize e.g. the qubit performance in a quantum processor.

Your upcoming reservations 0



## Calibration data

Last calibrated: 37 minutes ago



Map view



Graph view



Table view

Qubit	<u>T1 (us)</u>	T2 (us)	Frequency (GHz)	Anharmonicity (GHz)	Readout assignment error
Q0	<u>162.34</u>	209.84	4.833	-0.34189	1.057e-1
Q1	147.21	85.97	4.624	-0.32823	2.780e-2
Q2	112.95	68.48	4.821	-0.34107	1.080e-2
Q3	157.49	95.29	4.742	-0.34013	3.050e-2
Q4	120.29	133.94	4.816	-0.34291	1.370e-2

# Phase damping noise

- **Phase damping noise** causes loss of information about the relative phases between energy eigenstates without the loss of energy. Can be used to model, e.g., the random scattering of photons in a waveguide/optical fiber.
- **Operator-sum representation:**  $\rho \mapsto \rho' = E_0 \rho E_0^\dagger + E_1 \rho E_1^\dagger$ , where the operator-sum elements have the matrix forms (in the computational basis)

$$E_0 = \begin{pmatrix} 1 & 0 \\ 0 & \sqrt{1-\lambda} \end{pmatrix}, \quad E_1 = \begin{pmatrix} 0 & 0 \\ 0 & \sqrt{\lambda} \end{pmatrix} \quad (0 < \lambda < 1)$$

- For example, acting on the pure state  $\rho = |+\rangle\langle+|$  we get

$$|+\rangle\langle+| = \frac{1}{2} (|0\rangle\langle 0| + |0\rangle\langle 1| + |1\rangle\langle 0| + |1\rangle\langle 1|)$$

$|+\rangle = \frac{1}{\sqrt{2}}(|0\rangle + |1\rangle)$

$$\mapsto \frac{1}{2} (|0\rangle\langle 0| + \sqrt{1-\lambda}(|0\rangle\langle 1| + |1\rangle\langle 0|) + |1\rangle\langle 1|)$$

$= \frac{1}{2} \begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix} \mapsto E_0 |+\rangle\langle+| E_0^\dagger + E_1 |+\rangle\langle+| E_1^\dagger$

$\sim \frac{1}{2} (|0\rangle\langle 0| + |1\rangle\langle 1|)$

Cross-terms are damped by factor  $\sqrt{1-\lambda}$ . Loss of quantum coherence.

- NOTE: Both states  $|0\rangle, |1\rangle$  are invariant by themselves under phase damping noise.

# Phase damping noise

- In fact, **phase damping channel = phase-flip channel**. Connected via the unitary

$$(u_{ij}) = \begin{pmatrix} \sqrt{\alpha} & \frac{1 - \sqrt{1 - \lambda}}{\sqrt{\lambda}} \sqrt{\alpha} \\ \sqrt{1 - \alpha} & -\frac{1 + \sqrt{1 - \lambda}}{\sqrt{\lambda}} \sqrt{1 - \alpha} \end{pmatrix}, \quad \alpha = \frac{1 + \sqrt{1 - \lambda}}{2}$$

$$E'_0 = \sum_j u_{0j} E_j = \sqrt{\alpha} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \quad E'_1 = \sum_j u_{1j} E_j = \sqrt{1 - \alpha} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

- The **relaxation time** related to the decay of coherence is often denoted by  $T_2$ , and related to the parameters via  $e^{-t/2T_2} = \sqrt{1 - \lambda}$ .



Your upcoming reservations 0



## Calibration data

Last calibrated: 37 minutes ago



Map view



Graph view



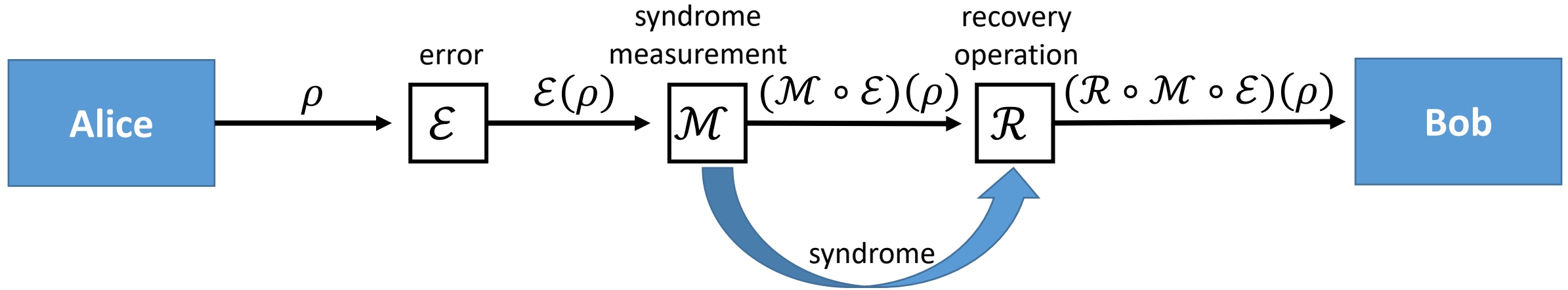
Table view

Qubit	T1 (us)	T2 (us)	Frequency (GHz)	Anharmonicity (GHz)	Readout assignment error
Q0	162.34	209.84	4.833	-0.34189	1.057e-1
Q1	147.21	85.97	4.624	-0.32823	2.780e-2
Q2	112.95	68.48	4.821	-0.34107	1.080e-2
Q3	157.49	95.29	4.742	-0.34013	3.050e-2
Q4	120.29	133.94	4.816	-0.34291	1.370e-2

# Quantum error correction

# Quantum error correction

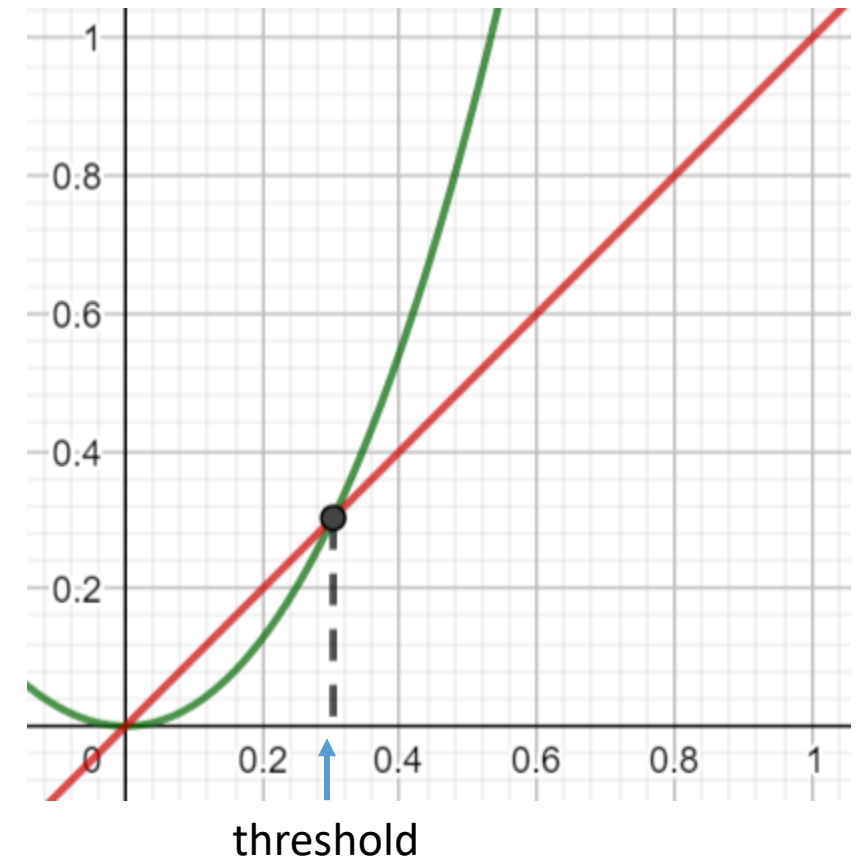
Quantum channel with quantum error correction:



- We can mitigate the effect of noise in a quantum channel by using **quantum error correction** methods.
- General idea in error correction: Protect the information via **redundancy**. Encode one logical qubit in several physical qubits.
- Increases overhead in communication/computing, but reduces error, thus allowing for longer computations.

# Classical repetition code

- Encode a “logical” bit into several physical bits, e.g. 3-bit classical repetition code  
 $0 \mapsto 000, 1 \mapsto 111$
- Decode by majority voting  
 $000, 001, 010, 100 \mapsto 0$   
 $111, 110, 101, 011 \mapsto 1$
- Probability of physical bit flip =  $p$ .  
 $\Rightarrow$  Prob of logical bit flip  $p_L = 3p^2 + p^3$ .
- Suppression of error by redundancy **below threshold** physical error rate  $p_{th}$ !
- Logical error rate can be further improved by using more physical bits.



# Error detection with syndrome

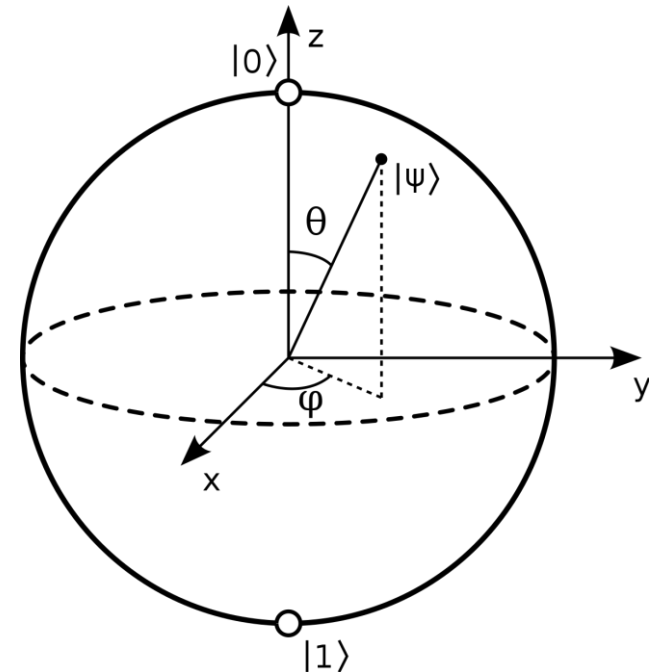
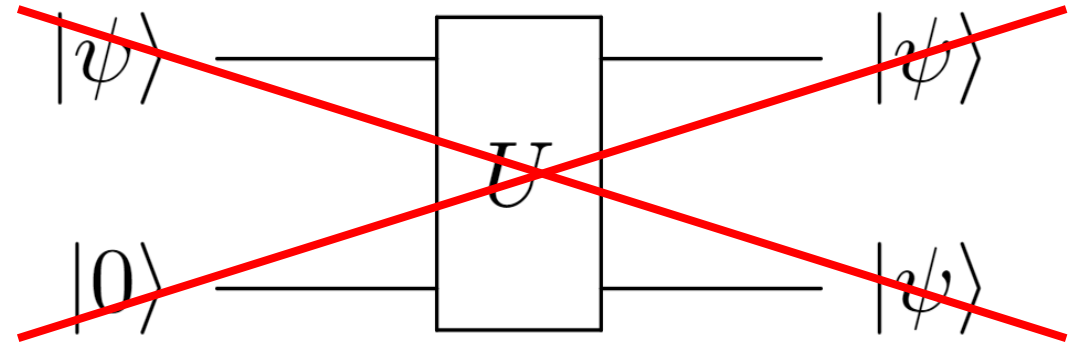
- Error **syndrome** is used to detect errors. Consists of quantities, whose values tell us which error happened.
- E.g. for 3-bit repetition code bit sequence  $b_1b_2b_3$  syndrome is
$$s_1 = b_1 + b_2 \pmod{2}, \quad s_2 = b_2 + b_3 \pmod{2}$$

	$s_1$	$s_2$	error type
000,111 →	0	0	no error
001,110 →	0	1	$b_3$ flipped
100,011 →	1	0	$b_1$ flipped
010,101 →	1	1	$b_2$ flipped

- Repetition code allows also for error correction. Some codes may only allow error detection. Requires less overhead but if error detected, must try again. In QC we usually want EC, because error rate is high.

# Challenges to quantum error correction

1. Cannot copy quantum states (no-cloning theorem)
2. Cannot measure quantum system without collapsing the state
3. Infinite number of different errors to correct

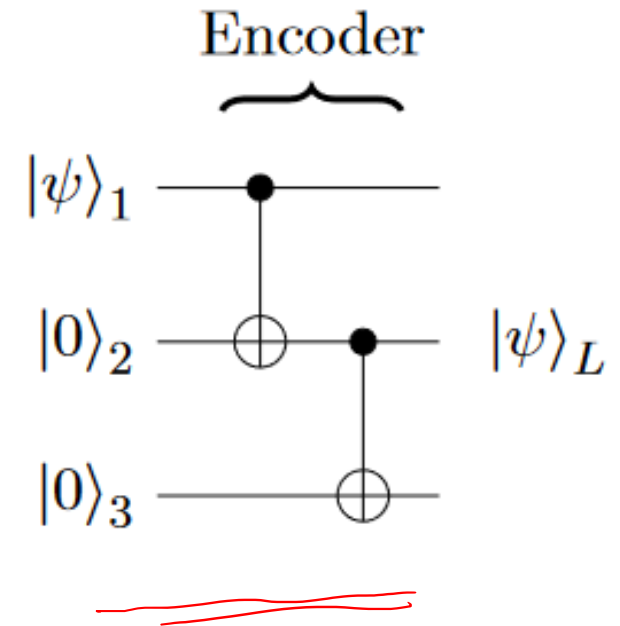


**Amazingly, all of these issues can be circumvented!**

# 3-qubit codes

# 3-qubit bit-flip code, encoding

- Implemented by the encoding
$$|0\rangle_L = |000\rangle, \quad |1\rangle_L = |111\rangle$$
- One logical qubit encoded into an **entangled state** of three qubits.
- Encoder maps superposition states as
$$\underline{|\psi\rangle = a|0\rangle + b|1\rangle} \mapsto \underline{a|000\rangle + b|111\rangle} = |\psi\rangle_L$$
- NOTE:**  $|\psi\rangle_L \neq |\psi\rangle|\psi\rangle|\psi\rangle$  !  
Not prohibited by the no-cloning theorem.





# 3-qubit bit-flip code, error detection

$$Z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

- **Syndrome** as in 3-bit rep. code:

$$S_1 = Z_1 Z_2, \quad S_2 = Z_2 Z_3$$

- Bit-flip error **changes the sign of some syndrome observable**, because they anti-commute. E.g.,  $S_1 X_1 = -X_1 S_1$ .

$$\underbrace{|00\rangle}_{=|0\rangle} \underbrace{|1\rangle}_{=|1\rangle}$$

	$S_1$	$S_2$	error
$ 000\rangle,  111\rangle \longrightarrow$	1	1	no error ( $I$ )
$ 001\rangle,  110\rangle \longrightarrow$	1	-1	$q_3$ flipped ( $X_3$ )
$ 100\rangle,  011\rangle \longrightarrow$	-1	1	$q_1$ flipped ( $X_2$ )
$ 010\rangle,  101\rangle \longrightarrow$	-1	-1	$q_2$ flipped ( $X_1$ )

- Can use two **ancilla qubits**  $A_1, A_2$  to measure the syndrome. "**Parity checks**"
- **Does not collapse the logical state!**

$$Z_1 Z_2 |010\rangle = (Z \otimes Z \otimes I) |010\rangle$$

$$= \underbrace{Z|0\rangle}_{=|0\rangle} \otimes \underbrace{Z|1\rangle}_{=-|1\rangle} \otimes \underbrace{I|0\rangle}_{=|0\rangle} = -|010\rangle$$

$$|\psi_L\rangle = a|000\rangle + b|111\rangle$$

$$\xrightarrow{X_3} a|001\rangle + b|110\rangle$$

# 3-qubit bit-flip code, error detection

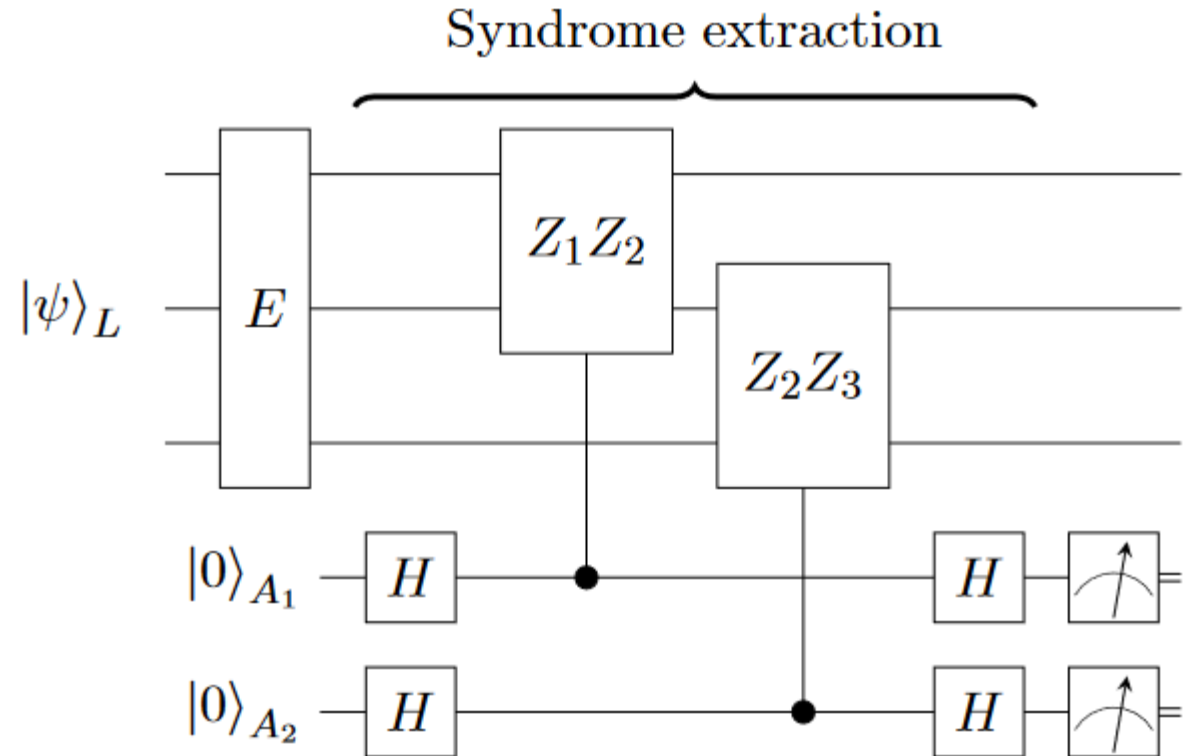
- **Syndrome** as in 3-bit rep. code:

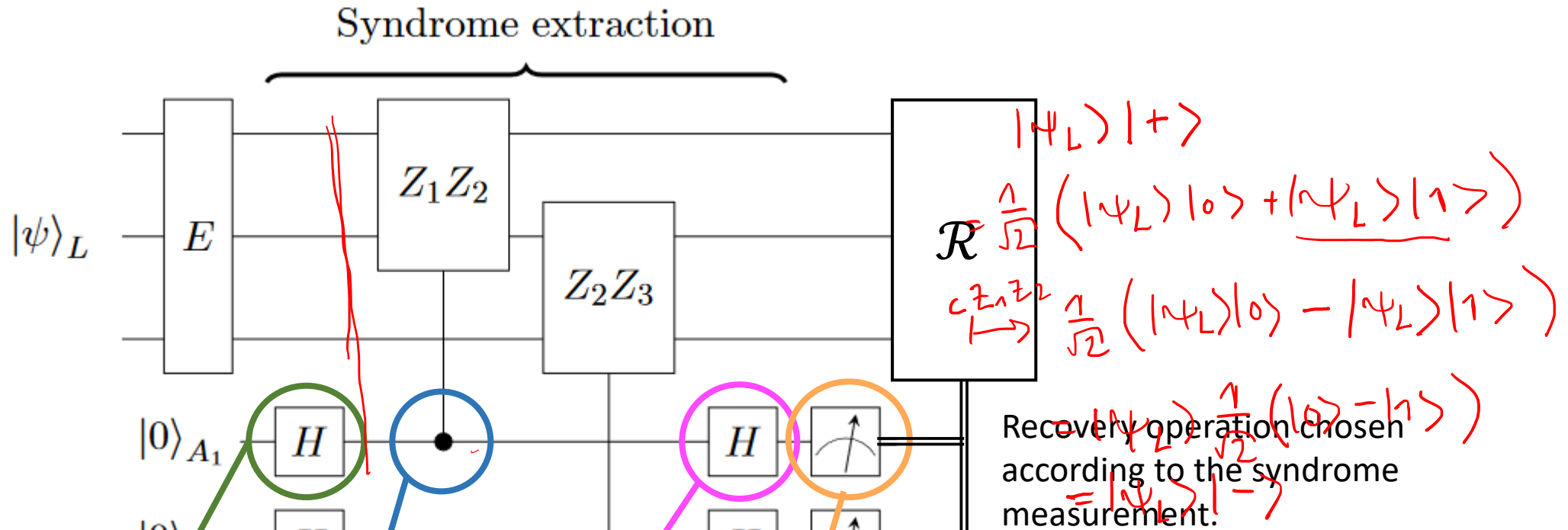
$$S_1 = Z_1 Z_2, \quad S_2 = Z_2 Z_3$$

- Bit-flip error **changes the sign of some syndrome observable**, because they anti-commute. E.g.,  $S_1 X_1 = -X_1 S_1$ .

	$S_1$	$S_2$	error
$ 000\rangle,  111\rangle \longrightarrow$	1	1	no error ( $I$ )
$ 001\rangle,  110\rangle \longrightarrow$	1	-1	$q_3$ flipped ( $X_3$ )
$ 100\rangle,  011\rangle \longrightarrow$	-1	1	$q_1$ flipped ( $X_2$ )
$ 010\rangle,  101\rangle \longrightarrow$	-1	-1	$q_2$ flipped ( $X_1$ )

- Can use two **ancilla qubits**  $A_1, A_2$  to measure the syndrome. "**Parity checks**"
- **Does not collapse the logical state!**





1. Creates superposition  $H|0\rangle = \frac{1}{\sqrt{2}} (|0\rangle + |1\rangle) = |+\rangle$

2. Flips the sign of  $|1\rangle$  if an error occurred, thus mapping  $|+\rangle \mapsto |-\rangle$ .

3. Maps the X-basis back to the computational basis:  $|+\rangle \mapsto |0\rangle$ ,  $|-\rangle \mapsto |1\rangle$ .

4. Returns value 1 if an error occurred, otherwise 0. Collapses the superposition error/no error.

# 3-qubit bit-flip code, minimum state fidelity

- Let's consider the effect of a bit-flip error on the **state fidelity** with and without error correction. We send a pure state  $\rho = |\psi\rangle\langle\psi|$  into the channel.

- Output from the channel **without error correction** is

$$\mathcal{E}(\rho) = (1 - p)|\psi\rangle\langle\psi| + pX|\psi\rangle\langle\psi|X$$

The fidelity between input and output states

$$F(\rho, \mathcal{E}(\rho)) = \sqrt{\langle\psi|\mathcal{E}(\rho)|\psi\rangle} = \sqrt{(1 - p) + p\langle\psi|X|\psi\rangle^2} \geq \sqrt{1 - p}$$

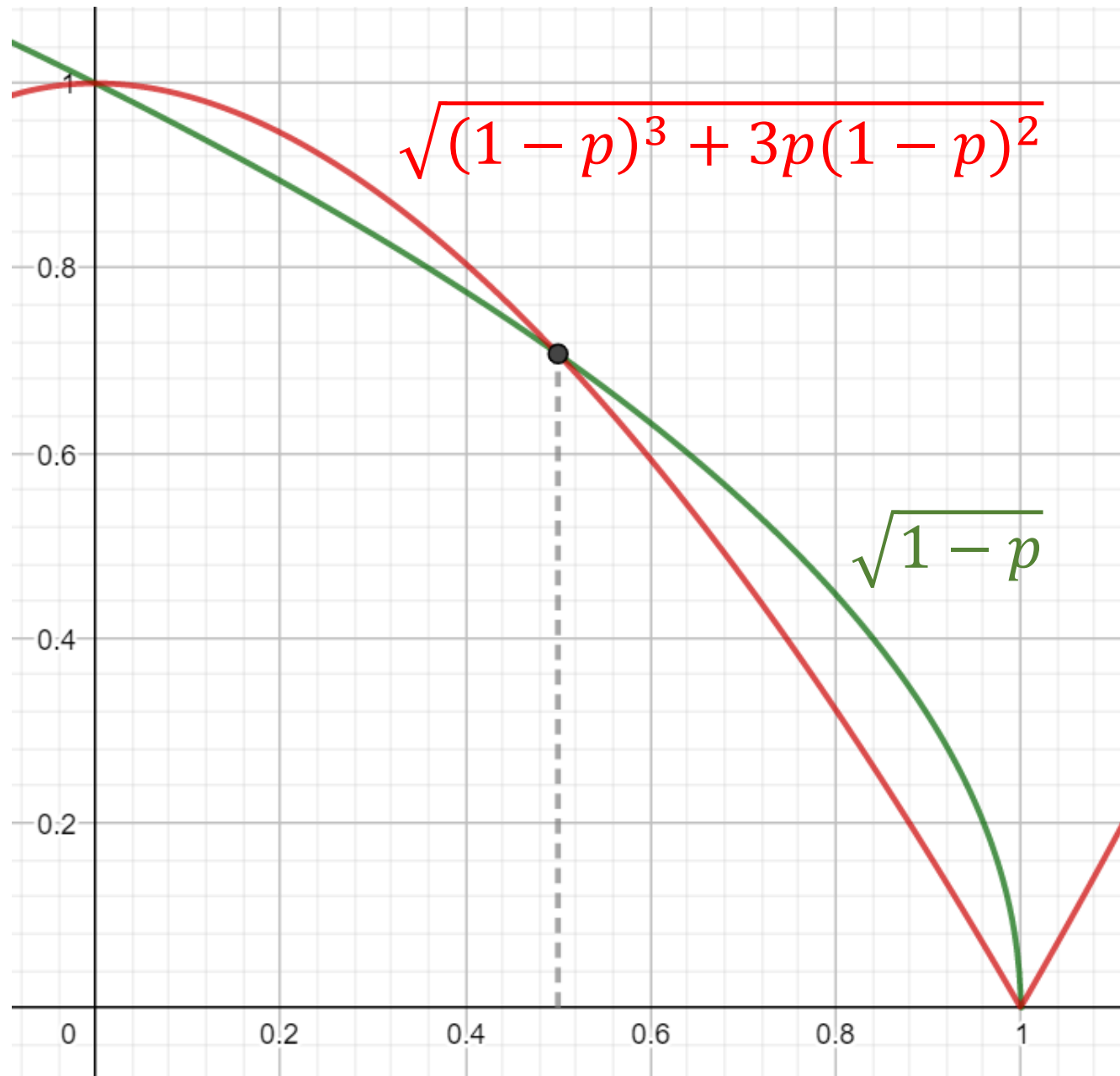
- Output from the channel **with error correction** is

$$\mathcal{E}(\rho) = [(1 - p)^3 + 3p(1 - p)^2]|\psi\rangle\langle\psi| + (\text{higher order terms in } p)$$

The fidelity between input and output states

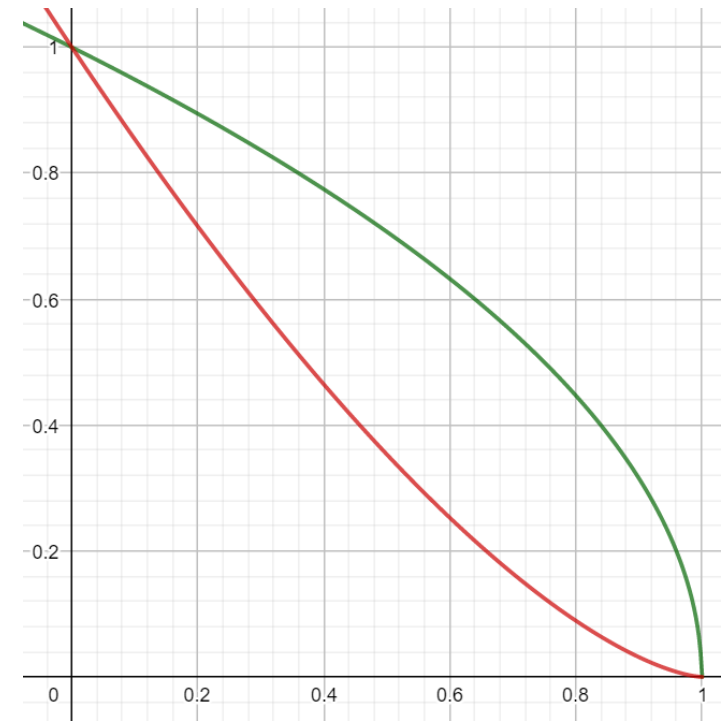
$$F(\rho, \mathcal{E}(\rho)) = \sqrt{\langle\psi|\mathcal{E}(\rho)|\psi\rangle} \geq \sqrt{(1 - p)^3 + 3p(1 - p)^2}$$

3-qubit QECC achieves higher minimum fidelity when the probability of bit-flip  $p < \frac{1}{2}$ .



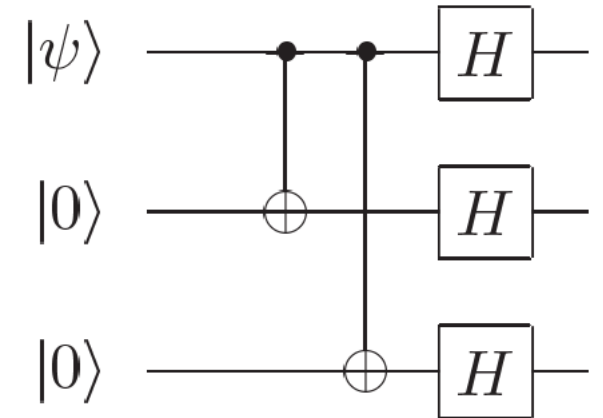
# 3-qubit bit-flip code, phase-flip error

- How about phase-flip errors? The 3-qubit bit-flip code **cannot** detect phase-flips. The error operators  $Z_i$  commute with the syndrome operators  $Z_1Z_2$  and  $Z_2Z_3$ !
- Let's consider the effect of a phase-flip error on the **state fidelity** with and without error correction.
- We send a pure state  $\rho = |\psi\rangle\langle\psi|$  in.
  - Fidelity **without error correction** is
$$F(\rho, \mathcal{E}(\rho)) = \sqrt{\langle\psi|\mathcal{E}(\rho)|\psi\rangle} = \sqrt{(1-p) + p\langle\psi|Z|\psi\rangle^2} \geq \sqrt{1-p}$$
  - Fidelity **with error correction** is
$$F(\rho, \mathcal{E}(\rho)) = \sqrt{\langle\psi|\mathcal{E}(\rho)|\psi\rangle} \geq \sqrt{(1-p)^3}$$
- $\sqrt{(1-p)^3} < \sqrt{1-p}$  for  $0 < p < 1$ . The 3-qubit bit-flip code **makes things worse** in this case, because there are now three qubits, each with probability  $p$ , to flip their phase!



# 3-qubit phase-flip code, encoding

- Phase-flip error acts on the  $|\pm\rangle$  basis the same way as bit-flip error on the computational basis. Related to the bit-flip error through Hadamard transform.  
=> **3-qubit phase-flip code.**
- Implemented by the encoding
$$|0\rangle_L = |+++\rangle, \quad |1\rangle_L = |--\rangle$$
- Syndrome operators  $X_1X_2$  and  $X_2X_3$ .
- The phase-flip code has exactly the same characteristics (minimum fidelity etc.) as the bit-flip code.
- Now the syndrome commutes with the bit-flip error, so the **phase-flip code cannot detect bit-flip errors!**
- Can we find a code which would correct both bit-flip and phase-flip errors? Enter the Shor code!



# 9-qubit Shor code



# 9-qubit Shor code, encoding

- **Shor code** is a combination of 3-qubit bit-flip and phase-flip codes, one inside the other. Can detect bit-flip and phase-flip errors on any of the nine qubits.

- Encoding:

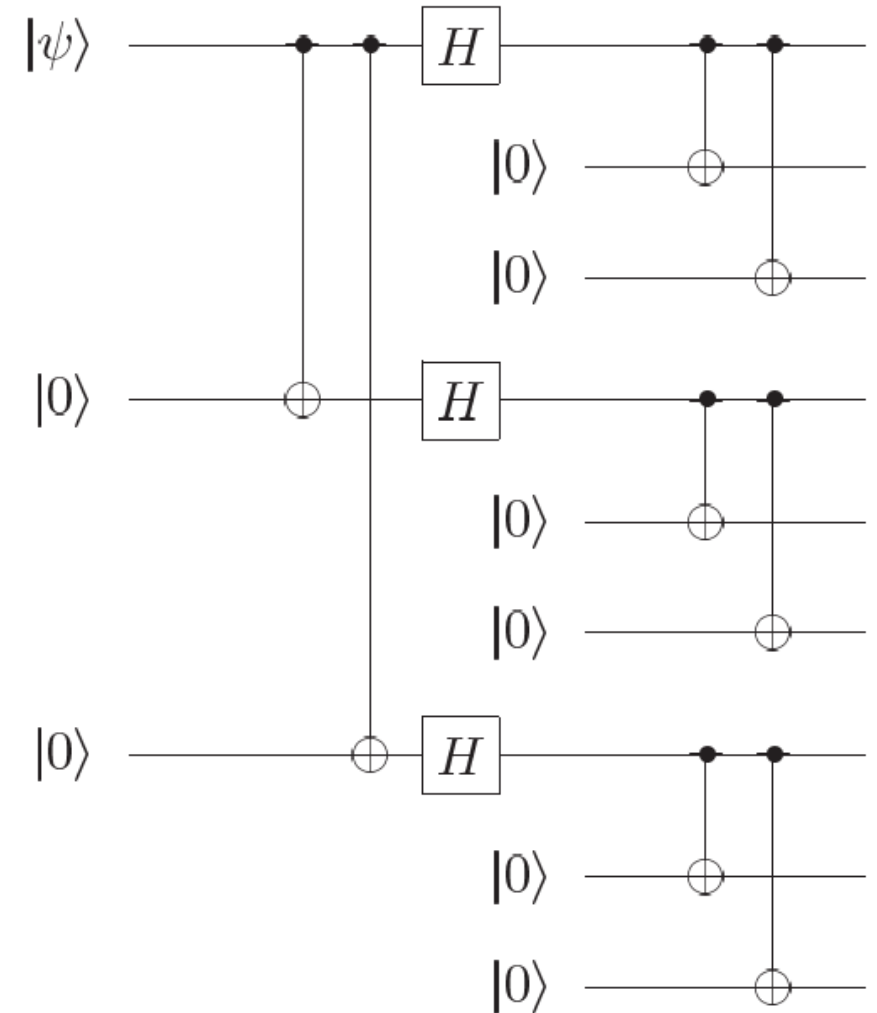
$$|0\rangle \rightarrow |0_L\rangle \equiv \frac{(\overbrace{(|000\rangle + |111\rangle)}^{\frac{1}{\sqrt{2}}(|0_{bit}\rangle + |1_{bit}\rangle)}) (\overbrace{(|000\rangle + |111\rangle)}^{\frac{1}{\sqrt{2}}(|0_{bit}\rangle + |1_{bit}\rangle)}) (\overbrace{(|000\rangle + |111\rangle)}^{\frac{1}{\sqrt{2}}(|0_{bit}\rangle + |1_{bit}\rangle)})}{2\sqrt{2}}$$

$$|1\rangle \rightarrow |1_L\rangle \equiv \frac{(\overbrace{(|000\rangle - |111\rangle)}^{\frac{1}{\sqrt{2}}(|0_{bit}\rangle - |1_{bit}\rangle)}) (\overbrace{(|000\rangle - |111\rangle)}^{\frac{1}{\sqrt{2}}(|0_{bit}\rangle - |1_{bit}\rangle)}) (\overbrace{(|000\rangle - |111\rangle)}^{\frac{1}{\sqrt{2}}(|0_{bit}\rangle - |1_{bit}\rangle)})}{2\sqrt{2}}$$

- Syndrome:

$$\mathcal{S}_{[[9,3,3]]} = \langle Z_1 Z_2, Z_2 Z_3, Z_4 Z_5, Z_5 Z_6, Z_7 Z_8, Z_8 Z_9, \\ X_1 X_2 X_3 X_4 X_5 X_6, X_4 X_5 X_6 X_7 X_8 X_9 \rangle.$$

Encoding circuit for the Shor code



# 9-qubit Shor code, syndrome

$$C_{[[9,1,3]]} = \text{span} \left\{ \begin{array}{l} |0\rangle_9 = \frac{1}{\sqrt{8}} (|000\rangle + |111\rangle)(|000\rangle + |111\rangle)(|000\rangle + |111\rangle) \\ |1\rangle_9 = \frac{1}{\sqrt{8}} (|000\rangle - |111\rangle)(|000\rangle - |111\rangle)(|000\rangle - |111\rangle) \end{array} \right\}$$

$X_1, X_2, X_3$

↔ ↗ ↘ ↖ ↙ ↘ ↗ ↖ ↙

$$\mathcal{S}_{[[9,3,3]]} = \langle Z_1 Z_2, Z_2 Z_3, Z_4 Z_5, Z_5 Z_6, Z_7 Z_8, Z_8 Z_9, \\ X_1 X_2 X_3 X_4 X_5 X_6, X_4 X_5 X_6 X_7 X_8 X_9 \rangle.$$

**NOTE:** Some errors have the same syndrome. However, can be corrected by the same recovery operation. Shor code is “degenerate”.

Error	Syndrome, $S$	Error	Syndrome, $S$
$X_1$	10000000	$Z_1$	00000010
$X_2$	11000000	$Z_2$	00000010
$X_3$	01000000	$Z_3$	00000010
$X_4$	00100000	$Z_4$	00000011
$X_5$	00110000	$Z_5$	00000011
$X_6$	00010000	$Z_6$	00000011
$X_7$	00001000	$Z_7$	00000001
$X_8$	00001100	$Z_8$	00000001
$X_9$	00000100	$Z_9$	00000001

# Shor code and arbitrary 1-qubit errors

**What about other errors beside bit-flip and phase-flip errors?**

- Shor code can also detect and correct **combined bit-flip and phase-flip errors**, since these change the value of both corresponding syndrome measurements.
- An **arbitrary 1-qubit error** in the operator-sum representation:

$$\mathcal{E}(\rho) = \sum_k E_k \rho E_k^\dagger$$

$$E_k |\psi\rangle_L = e_{k0} |\psi\rangle_L + e_{k1} X |\psi\rangle_L + \dots$$

- Since  $\{I, X, Y = iXZ, Z\}$  span the vector space of 2-by-2 matrices, the individual 1-qubit error terms can be expressed as complex linear combinations

$$E_k = e_{k0}I + e_{k1}X + e_{k2}XZ + e_{k3}Z$$

- Thus, the error  $E_k$  acting on an input state  $|\psi_L\rangle$  will lead to a superposition of bit-flip, phase-flip and combined phase-bit-flip errors. However, this superposition is collapsed in the syndrome measurement! => **Discretization of quantum errors**
- **Shor code can therefore correct arbitrary 1-qubit errors!**

***A couple of important theorems***

# Discretization of errors

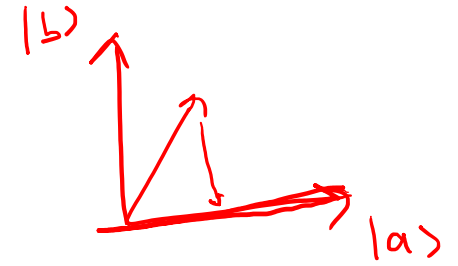
## Theorem (Nielsen-Chuang 10.2):

Let  $\mathcal{C}$  be a quantum error-correction code capable of correcting errors due to the quantum channel  $\mathcal{E}$  with operation elements  $\{E_i\}$ . Suppose  $\mathcal{F}$  is another quantum channel with operation elements  $\{F_i\}$ , which are linear combinations of  $E_i$ :

$$F_i = \sum_j c_{ij} E_j$$

for some complex matrix  $(c_{ij})$ . Then the code  $\mathcal{C}$  is also capable of correcting errors due to the channel  $\mathcal{F}$ .

# Quantum error-correction condition



## Theorem (Nielsen-Chuang 10.1): Quantum error-correction condition

Let  $\mathcal{C} \subset \mathcal{H}$  be the subspace of logical quantum states (without errors), and  $P$  the projection onto  $\mathcal{C}$ . Suppose  $\mathcal{E}$  is a quantum operator with operation elements  $\{E_i\}$ . A necessary and sufficient condition for the existence of an error-correction operation  $\mathcal{R}$  is that

$$PE_i^\dagger E_j P = \alpha_{ij} P$$

for some Hermitian matrix  $\alpha$ .

$$P = |0_L\rangle\langle 0_L| + |1_L\rangle\langle 1_L|$$

$$\begin{aligned} P(a|0_L\rangle + b|1_L\rangle) \\ = a|0_L\rangle + b|1_L\rangle \end{aligned}$$

# Quantum error–correction condition

*Sketch of a proof:*

1. First of all, we diagonalize  $\alpha$ , i.e., find matrix  $u$  s.t.  $d = u^\dagger \alpha u$  is diagonal. Then, for the errors  $F_k = \sum_i u_{ik} E_i$  we get the equivalent condition

$$P F_k^\dagger F_l P = d_{kl} P$$

The fact that  $d$  is diagonal means that the errors  $F_k$  map the original codespace to orthogonal subspaces. If the code can correct  $\{F_k\}$ , it can also correct  $\{E_i\}$  due to the previous theorem.

2. Secondly, we use the polar decompositions  $F_k = U_k \sqrt{F_k^\dagger F_k}$  to find that the error  $F_k$  rotates the codespace  $\mathcal{C}$  by  $U_k$  to the orthogonal subspace described by the projection  $U_k P U_k^\dagger$ .
3. Successful recovery operation  $\mathcal{R}$  can then be defined by the operator elements  $R_k = P U_k^\dagger$ , as we have

$$\mathcal{R}(\mathcal{E}(\rho)) = \sum_{kl} P U_k^\dagger F_l \rho F_l^\dagger U_k P = \left( \sum_k d_{kk} \right) \rho \propto \rho$$

# Quantum error–correction condition

For example, let's check the quantum error correction condition for Shor code and some bit-flip errors:

$$P = |0_L\rangle\langle 0_L| + |1_L\rangle\langle 1_L|, \quad PX_1X_2P = PX_1X_2(|0_L\rangle\langle 0_L| + |1_L\rangle\langle 1_L|)$$

Here,

$$X_1X_2|0_L\rangle = X_1X_2 \frac{1}{2\sqrt{2}} [(|000\rangle + |111\rangle)(|000\rangle + |111\rangle)(|000\rangle + |111\rangle)]$$

$$= \frac{1}{2\sqrt{2}} [(|110\rangle + |001\rangle)(|000\rangle + |111\rangle)(|000\rangle + |111\rangle)]$$

$$X_1X_2|1_L\rangle = X_1X_2 \frac{1}{2\sqrt{2}} [(|000\rangle - |111\rangle)(|000\rangle - |111\rangle)(|000\rangle - |111\rangle)]$$

$$= \frac{1}{2\sqrt{2}} [(|110\rangle - |001\rangle)(|000\rangle - |111\rangle)(|000\rangle - |111\rangle)]$$

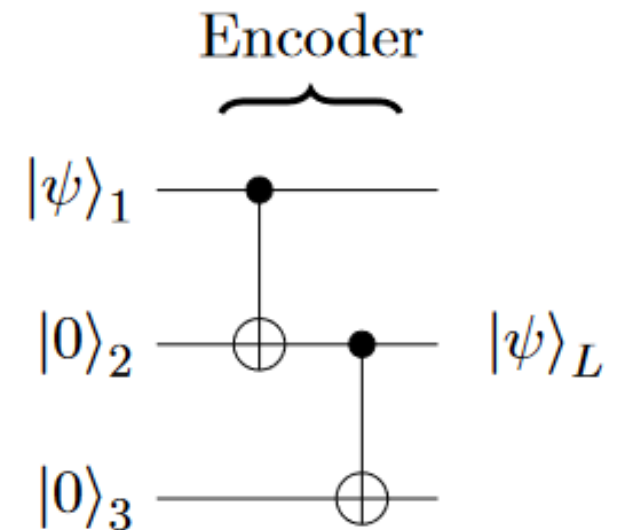
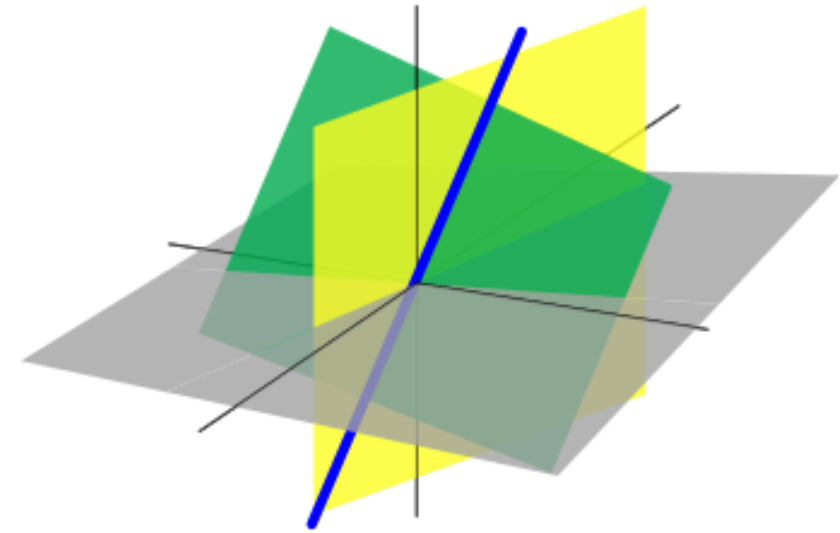
Since these are orthogonal to both  $|0_L\rangle$  and  $|1_L\rangle$ ,  $PX_1X_2P = 0$ , and the quantum error correction condition holds for errors  $X_1, X_2$ .



# Stabilizer codes

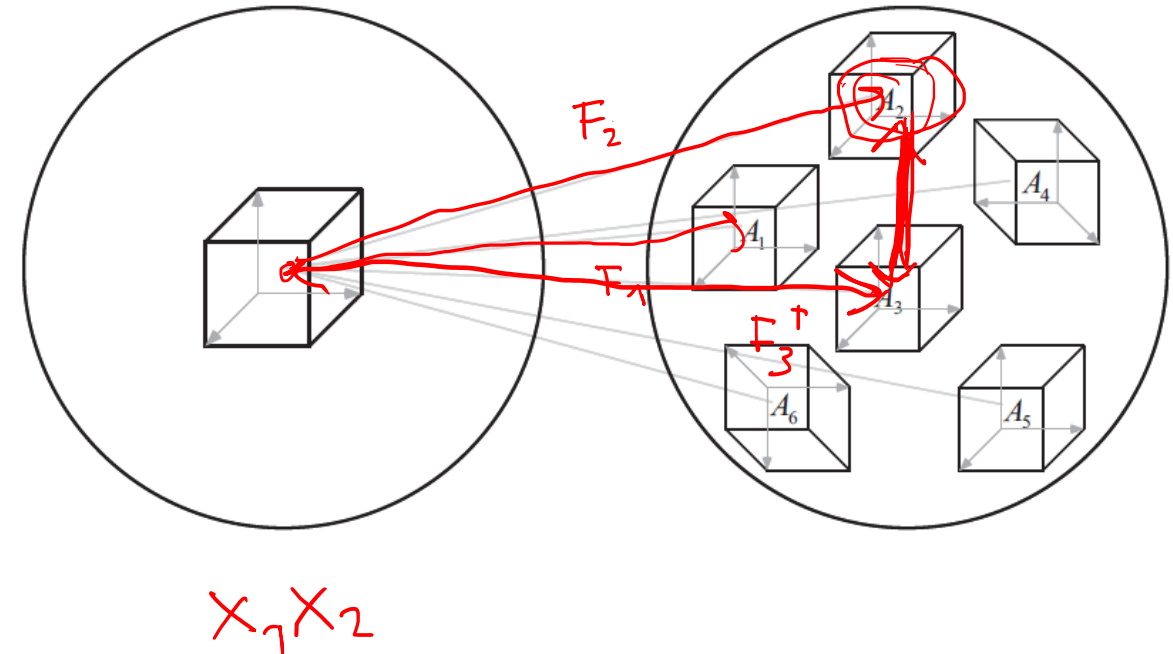
# Code subspace and stabilizers

- The Shor code is an example of a **stabilizer code**.
- Correct codestates live in the **code subspace**  $\mathcal{C} \subset \mathcal{H}$  of the full Hilbert space.
- E.g., for 3-qubit code subspace  $\mathcal{C}$  spanned by  $|000\rangle, |111\rangle$ .  $|\psi\rangle_L = a|000\rangle + b|111\rangle$
- Code subspace  $\mathcal{C}$  can be determined by **stabilizers** ("stabilizer codes")  $S_i$ : Tensor products of Pauli operators (including  $I$ ) such that
$$S_i |\psi\rangle_L = |\psi\rangle_L \quad \forall |\psi\rangle_L \in \mathcal{C}.$$
- In other words, correct codewords are eigenstates of all the stabilizers with eigenvalue 1. **Stabilizers are measured to have value 1 in any correct codestate.**
- E.g. for 3-qubit code  $S_1 = Z_1 Z_2$ ,  $S_2 = Z_2 Z_3$ .



# Error detection and code distance

- Detectable errors move the state outside the code subspace to an orthogonal subspace. This can be detected by a change in the values of the stabilizers ("parity checks").
- **Distance** of an ECC: Minimal number of single-qubit errors that transforms one correct codestate to another.
- QECC of distance  $d$  with  $n$  physical and  $k$  logical qubits is denoted by  $[[n, k, d]]$ .
- A distance  $d$  code can identify and correct up to  $t = \frac{d-1}{2}$  single-qubit errors.

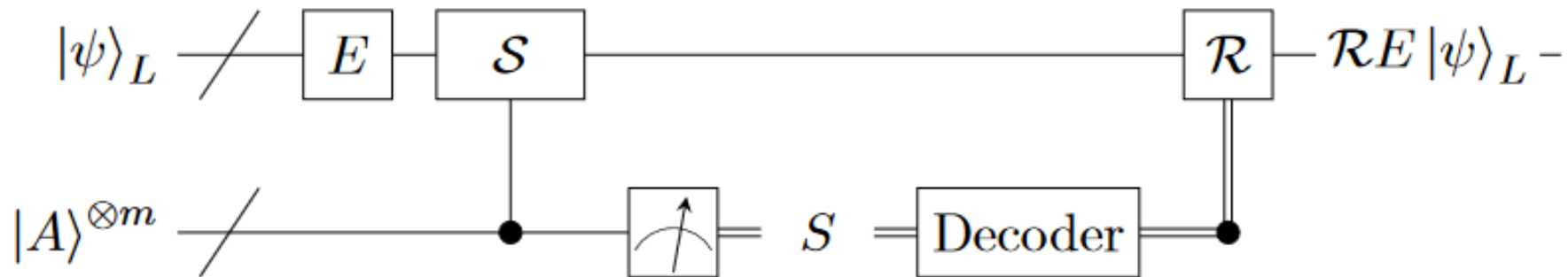


For example:

- 3-qubit code is a  $[[3, 1, 1]]$ -code.  
Cannot detect phase (Z) errors at all!
- Shor code is a  $[[9, 1, 3]]$ -code.

# Error correction for stabilizer codes

- After an error  $E$  is detected by the stabilizer measurements, we can try to correct it by applying a recovery operation  $R$ .
- The recovery operation is chosen according to the syndrome.
- If  $RE|\psi\rangle_L = |\psi\rangle_L$ , the error correction was succesful.



- Notice that we only need  $RE = S$ , where  $S$  is some stabilizer.  
**Not all errors need to have unique syndromes in order to be corrected!**  
**"Degenerate code"**

# 5-qubit code

# 5-qubit code

- **5-qubit code** is the smallest QECC capable of correcting arbitrary 1-qubit errors.
- Codestate basis:

$$|0_L\rangle = \frac{1}{4} \left[ |00000\rangle + |10010\rangle + |01001\rangle + |10100\rangle \right. \\ \left. + |01010\rangle - |11011\rangle - |00110\rangle - |11000\rangle \right. \\ \left. - |11101\rangle - |00011\rangle - |11110\rangle - |01111\rangle \right. \\ \left. - |10001\rangle - |01100\rangle - |10111\rangle + |00101\rangle \right]$$

$$|1_L\rangle = \frac{1}{4} \left[ |11111\rangle + |01101\rangle + |10110\rangle + |01011\rangle \right. \\ \left. + |10101\rangle - |00100\rangle - |11001\rangle - |00111\rangle \right. \\ \left. - |00010\rangle - |11100\rangle - |00001\rangle - |10000\rangle \right. \\ \left. - |01110\rangle - |10011\rangle - |01000\rangle + |11010\rangle \right]$$

		Name	Operator
syndrome /generators	{	$g_1$	$XZZXI$
		$g_2$	$IXZZX$
		$g_3$	$XIXZZ$
		$g_4$	$ZXIXZ$
logical operators	{	$\bar{Z}$	$ZZZZZ$
		$\bar{X}$	$XXXXX$

# Fault-tolerant quantum computing

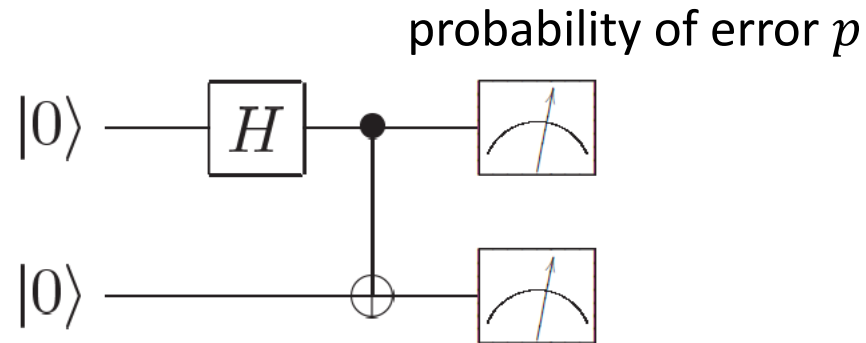
# Fault-tolerant logical operators

- Fundamental idea: Do computation directly with encoded states.  
=> We need to find **logical gates**.
- E.g. for 3-qubit code  $X_L = X_1X_2X_3$ ,  $Z_L = Z_1$ .  
$$X_L|0\rangle_L = X_L|000\rangle = |111\rangle = |1\rangle_L,$$
$$X_L|1\rangle_L = X_L|111\rangle = |000\rangle = |0\rangle_L$$

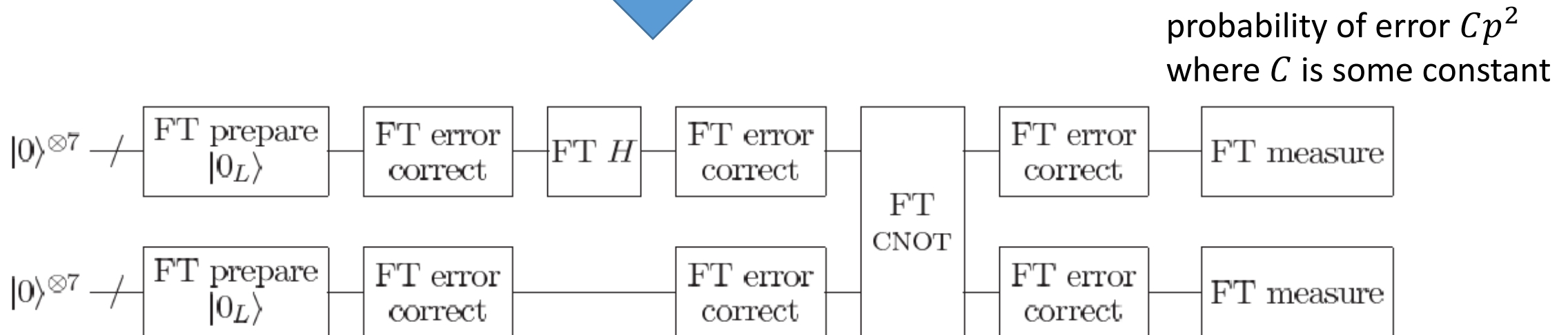
etc....
- Unique only up to multiplication by the stabilizers!
- For  $[[n, k, d]]$  stabilizer code there are  $2k$  logical X and Z gates.
- General requirements for logical operators of stabilizer codes:
  1. Must commute with all the stabilizers.
  2. Must satisfy Pauli relations (anti-commute) by themselves.
- However, single qubit X and Z gates are not enough for universal computing!



# Fault-tolerant quantum circuits



fault-tolerant QC  
on encoded states



# Concatenated codes and the threshold theorem

- Error correction codes can be applied recursively one inside the other, and thus reduce the probability of error even further. => “**concatenated codes**”
- Concatenating codes reduces the error rate *double-exponentially*!  
 $k$  levels of error correction reduces the error rate to  $(Cp)^{2^k}/C$ .
- However, the circuit size grows “only” exponentially as  $d^k$  times the original size, where  $d$  is some constant representing the maximum number of gates in the fault-tolerant implementation of any gate.
- Suppose we want to run some circuit with  $g_L$  logical gates to  $\varepsilon$  accuracy. Then, if  $p < \frac{1}{C} = p_{th}$ , we can concatenate the code  $k$  times such that

$$g_L \frac{(Cp)^{2^k}}{C} \leq \varepsilon$$

- We get for the number of physical gates

$$g_{ph} \sim d^k = \left( \frac{\log(g_L/C\varepsilon)}{\log(1/pC)} \right)^{\log d} = O \left( \text{poly} \left( \log \left( \frac{g_L}{\varepsilon} \right) \right) \right)$$

# Concatenated codes and the threshold theorem

## Threshold theorem for quantum computing

A quantum circuit containing  $g_L$  gates may be simulated with probability of error at most  $\varepsilon$  using

$$g_{ph} \sim O\left(\text{poly}\left(\log\left(\frac{g_L}{\varepsilon}\right)\right)\right)$$

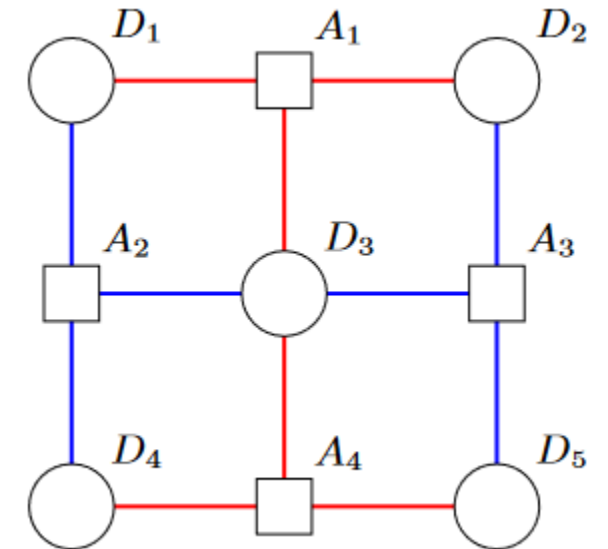
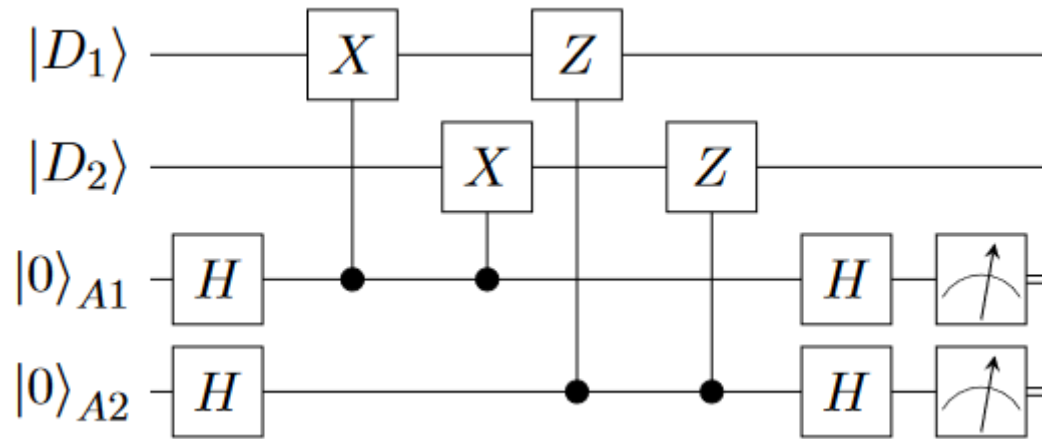
gates on hardware whose components fail with probability at most  $p$ , provided  $p$  is smaller than some threshold value  $p_{th}$  (and given some reasonable assumptions about the noise in the underlying hardware).

- The number of gates required scales only poly-logarithmically in  $\varepsilon^{-1}$  and  $g_L$ !
- The exact value of  $p_{th}$  depends on the details of the implementation. Currently best codes achieve  $p_{th} \sim 1\%$ . Already in the range of current hardware!
- Many different noise models can be considered; tend to give consistent results.

# Surface codes

# [[5,1,2]] surface code

- Each square on a 2d grid has two data and two ancilla qubits. Ancilla qubits are used to perform the stabilizer measurements on the data qubits (red = X measurements, blue = Z measurements).



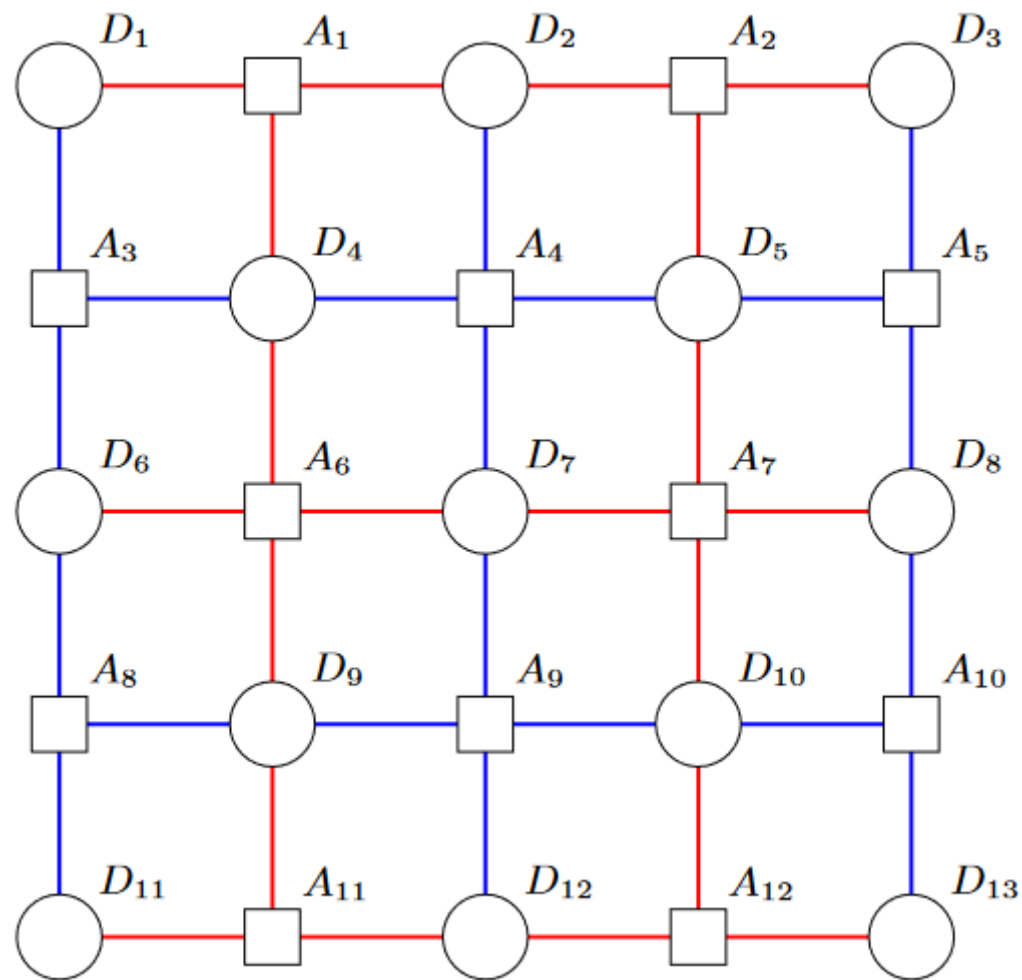
$$\mathcal{S}_{[[5,1,2]]} = \langle X_{D_1} X_{D_2} X_{D_3}, Z_{D_1} Z_{D_3} Z_{D_4}, Z_{D_2} Z_{D_3} Z_{D_5}, X_{D_3} X_{D_4} X_{D_5} \rangle.$$

$$X_L = X_1 X_4, \quad Z_L = Z_1 Z_2$$

# $[[13,1,3]]$ surface code

$$X_L = X_1 X_6 X_{11},$$

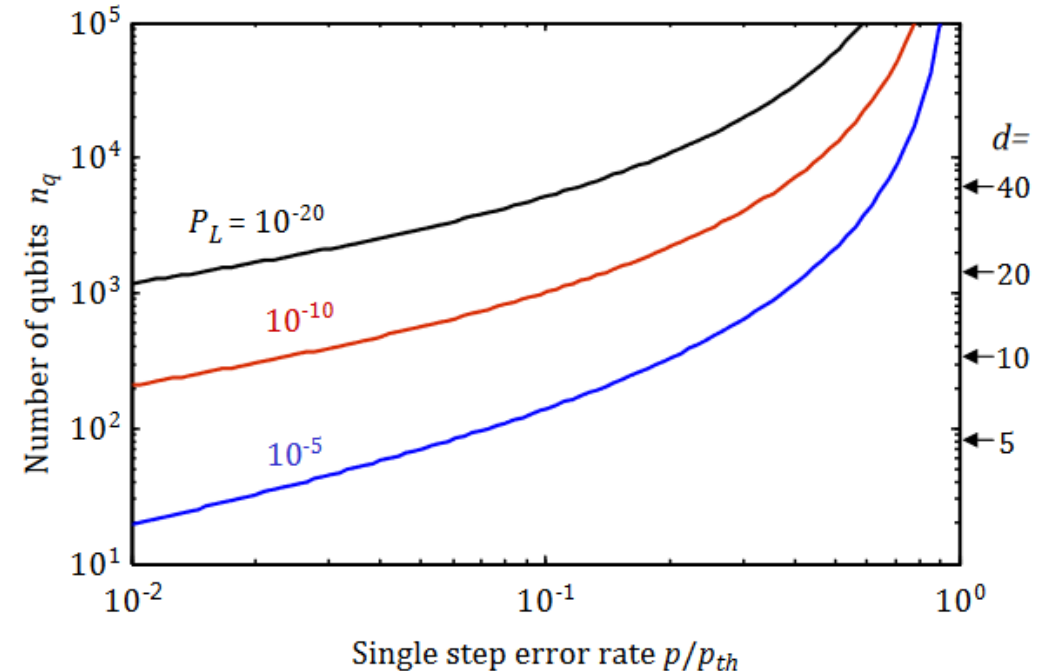
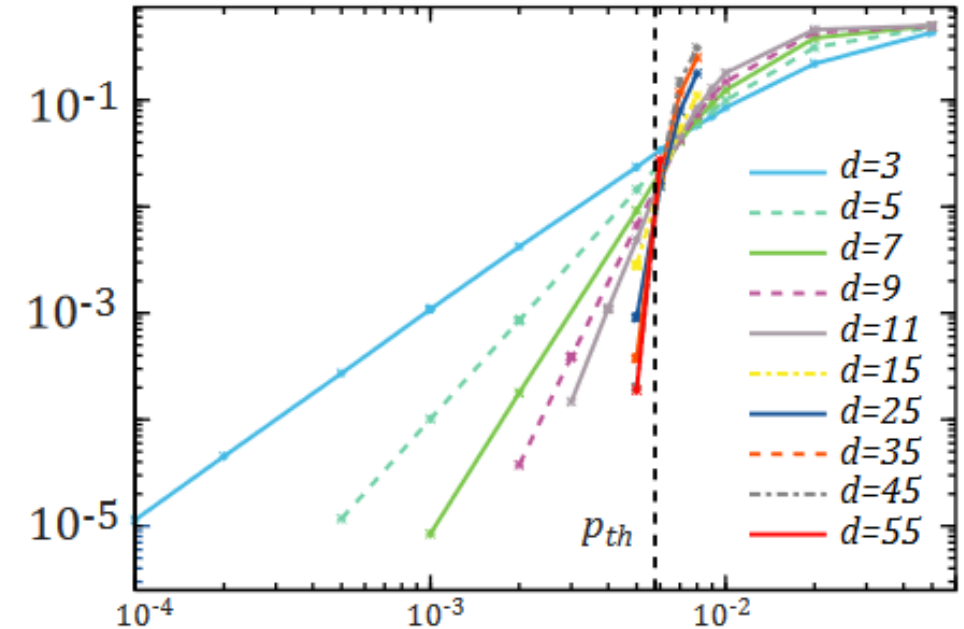
$$Z_L = Z_1 Z_2 Z_3$$



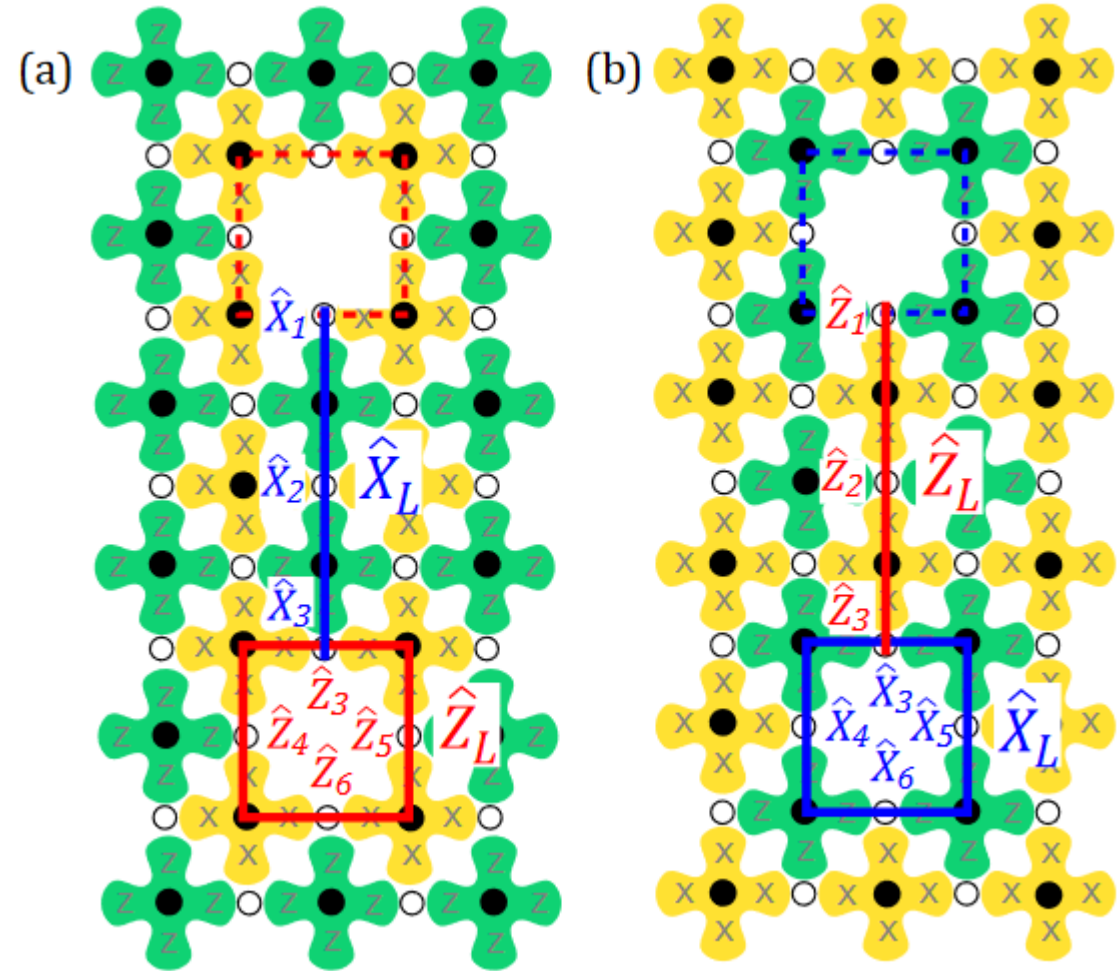
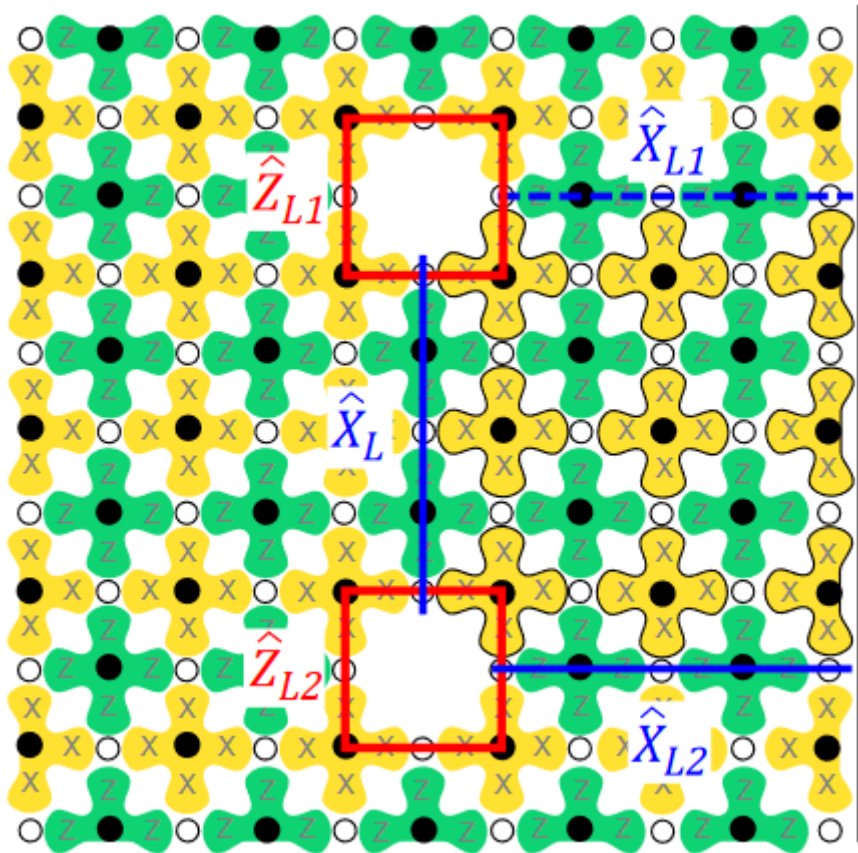
# 2d surface code qubits

- Surface code construction can be scaled up arbitrarily.
- Only nearest-neighbour qubit connectivity required!
- Code distance and qubit number related as  $n = d^2 + (d - 1)^2$ .
- **Threshold theorem** for stabilizer codes: Increasing code distance will reduce the logical error rate  $p_L$ , provided that the physical error rate is below some  $p_{th}$ .
- Scaling with distance  $p_L \sim (p/p_{th})^{d/2}$ .
- For the 2d surface code estimated threshold  $p_{th} \approx 1 - 10\%$ .

Logical X error rate  $P_L$



# Multiple logical qubits on 2d surface code

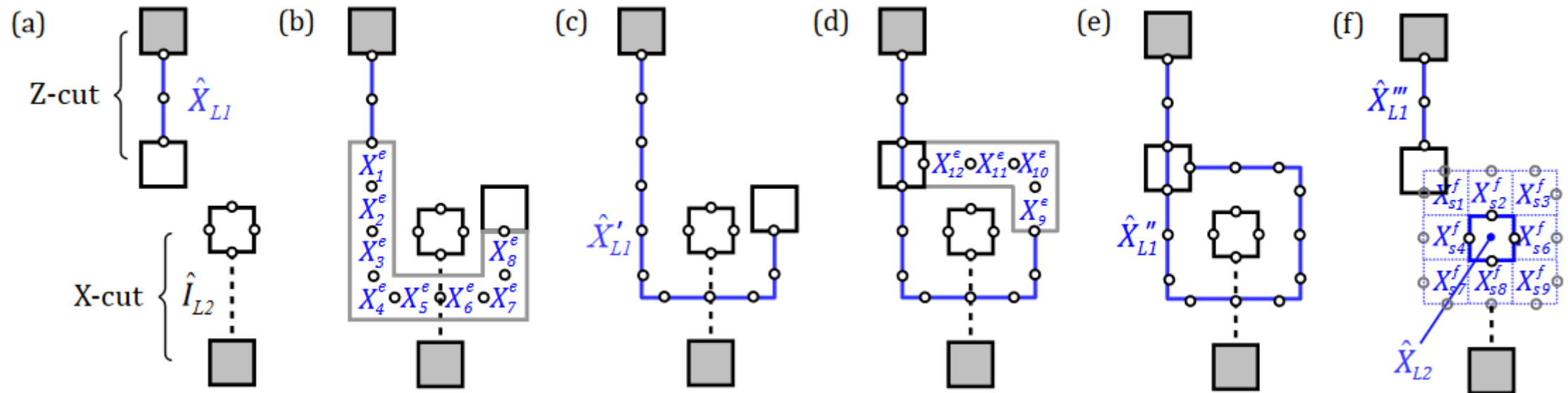




# CNOT gate in 2d surface code

- Logical CNOT gate can be implemented in 2d surface code by certain braiding transformations, which involve moving qubits around on the surface.

(For details, see [arXiv:1208.0928](https://arxiv.org/abs/1208.0928) [quant-ph].)



# Universal fault–tolerant QC in 2d surface code

- X, Z, Hadamard and CNOT gates not enough for universal QC.

**Can be simulated efficiently by a classical computer!**

(“Clifford gates”, **Gottesman-Knill theorem**)

- Universal computation requires also, e.g., the **T gate**:

$$T|0\rangle = |0\rangle, \quad T|1\rangle = e^{i\pi/4}|1\rangle.$$

- Implementation of T gates in 2d surface code requires “**magic state distillation**”. High fidelity copies of “magic states” of the form

$$|A\rangle_L = \frac{1}{\sqrt{2}} \left( |0\rangle_L + e^{\frac{i\pi}{4}} |1\rangle_L \right)$$

are prepared by a special distillation process from many less perfect few-qubit states, and then used to implement the logical T gate.

- It is, in fact, this distillation process, which may cause most overhead.  
(However, see [arXiv:1905.06903](https://arxiv.org/abs/1905.06903) [quant-ph].)

# Some details on the T gate implementation

- The implementation of T gate requires several steps:
  - Production of  $|Y\rangle_L = \frac{1}{\sqrt{2}}(|0\rangle_L + i|1\rangle_L)$  on single data qubits by native X-rotations.
  - State distillation of high-fidelity versions of  $|Y\rangle_L$  on surface code qubits. Each distillation step uses several lower fidelity copies of  $|Y\rangle_L$ . Error rate  $p \mapsto 7p^3$  at each step.
  - Implementation of logical S gate using high fidelity  $|Y\rangle_L$  states.
  - State distillation of  $|A\rangle_L$  using logical S gates. Error rate  $p \mapsto 35p^3$  at each step.
  - Implementation of logical T gate using the  $|A\rangle_L$  magic state and logical S gate.

