Data Structures and Algorithms

Lecture 13: B+ Trees II

Department of Computer Science & Technology
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B+ Tree Review

- A B+ tree of order M
  - Each internal node has at most M children (M-1 keys)
  - Each internal node, except the root, has between $\lceil M/2 \rceil$-1 and M-1 keys
  - Each leaf has between $\lceil L/2 \rceil$ and L keys and corresponding data items

Deletion

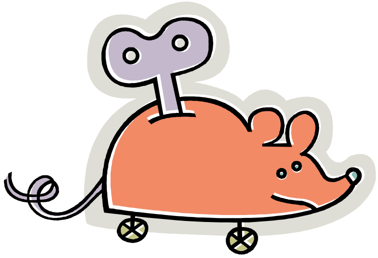
- To delete a key target, we find it at a leaf x, and remove it.
- Two situations to worry about:

  (1) target is a key in some internal node (needs to be replaced, according to our convention)

  (2) After deleting target from leaf x, x contains less than $\lceil L/2 \rceil$ keys (needs to merge nodes)

Situation 1: Removal of a Key

- target can appear in at most one ancestor y of x as a key **(WHY?)**
- Node y is seen when we searched down the tree.
- After deleting from node x, we can access y directly and replace target by the new smallest key in x

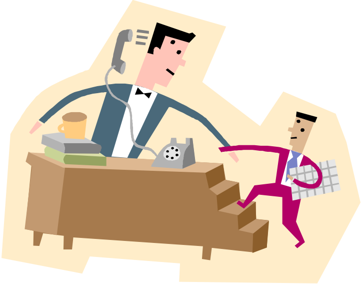


Situation 2:
Handling Leaves with Too Few Keys

- Suppose we delete the record with key target from a leaf.
- Let u be the leaf that has $\lceil L/2 \rceil - 1$ keys (too few)
- Let v be a sibling of u with at least $\lceil L/2 \rceil + 1$ keys
- Let k be the key in the parent of u and v that separates the pointers to u and v
- There are two cases

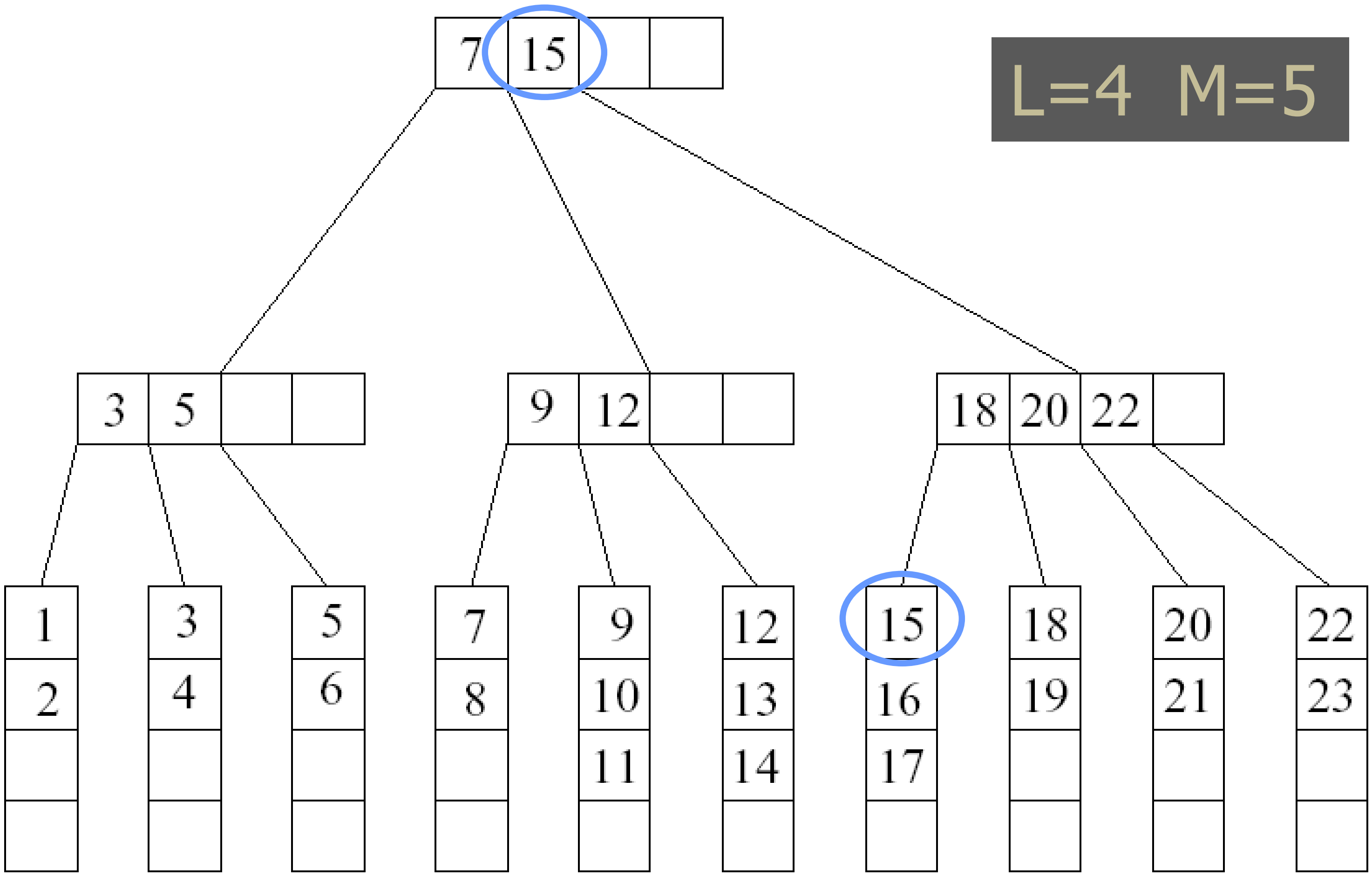
Handling Leaves with Too Few Keys

- Case 1: v contains $\lceil L/2 \rceil+1$ or more keys and v is the right sibling of u
  – Move the leftmost record from v to u
- Case 2: v contains $\lceil L/2 \rceil+1$ or more keys and v is the left sibling of u
  – Move the rightmost record from v to u
- Then set the key in parent of u that separates u and v to be the new smallest key in u



Deletion Example

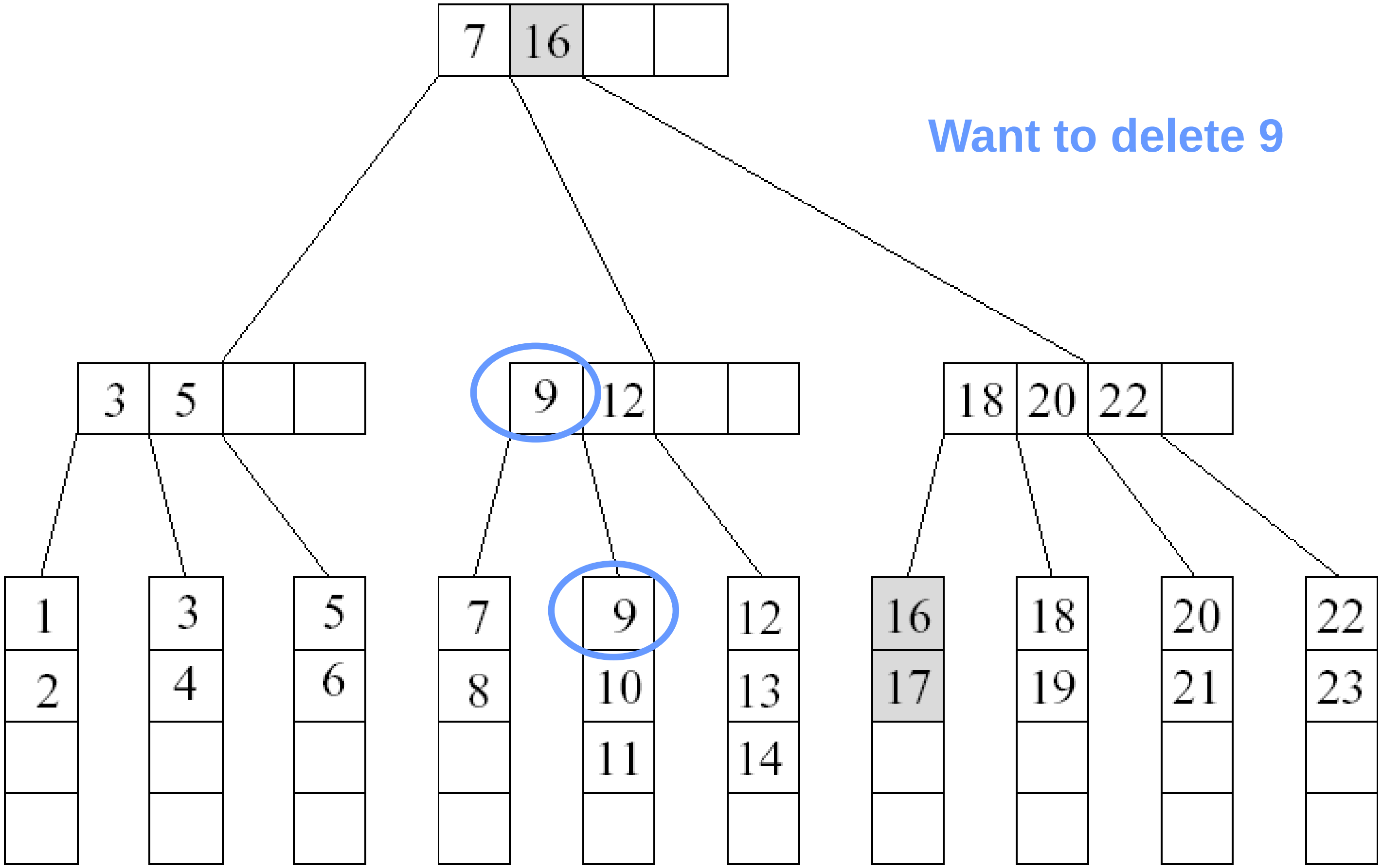
L=4 M=5



Initial tree, M = 5

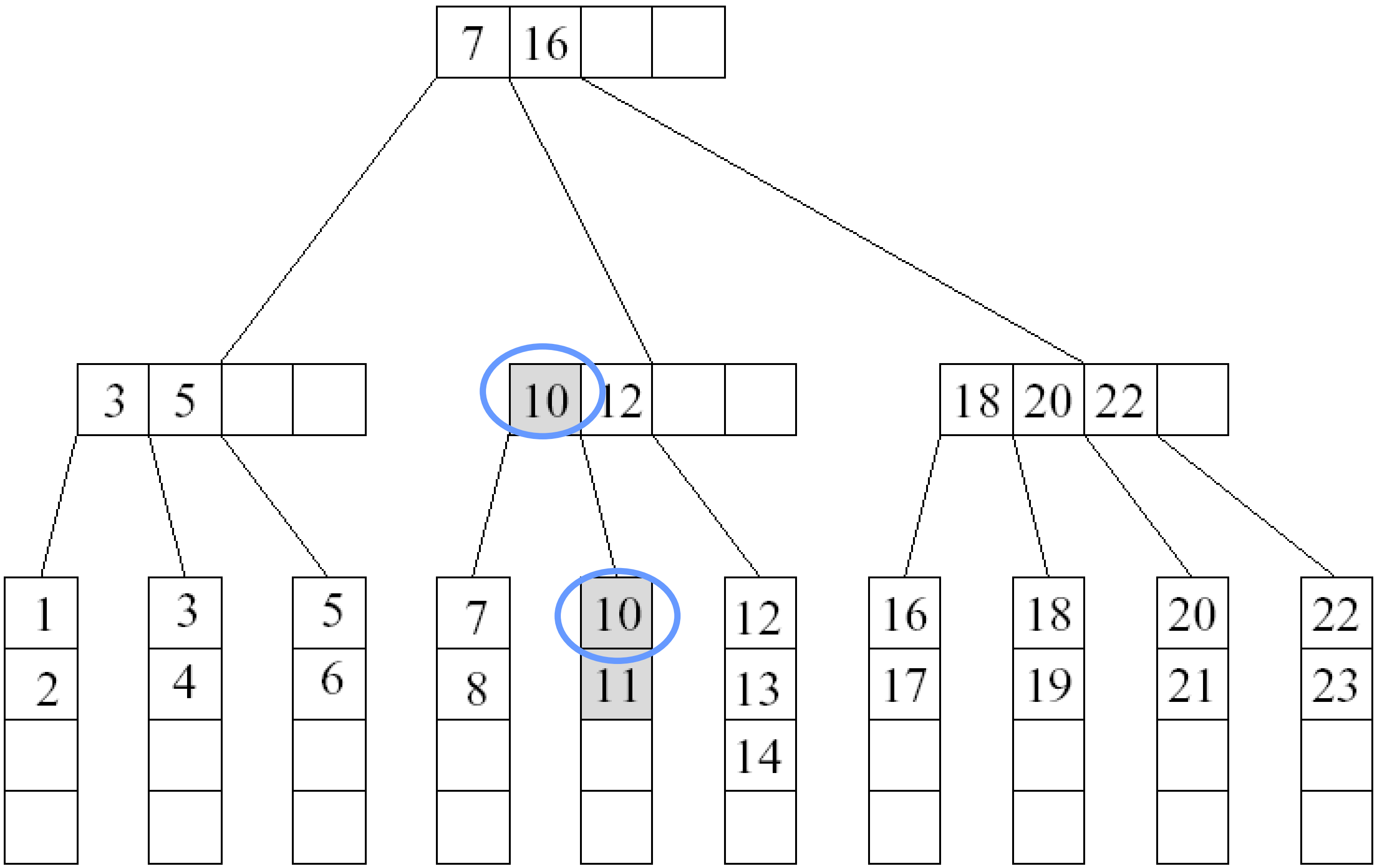
Want to delete 15

Deletion Example (Cont’d)



15 deleted, shaded entries have been changed

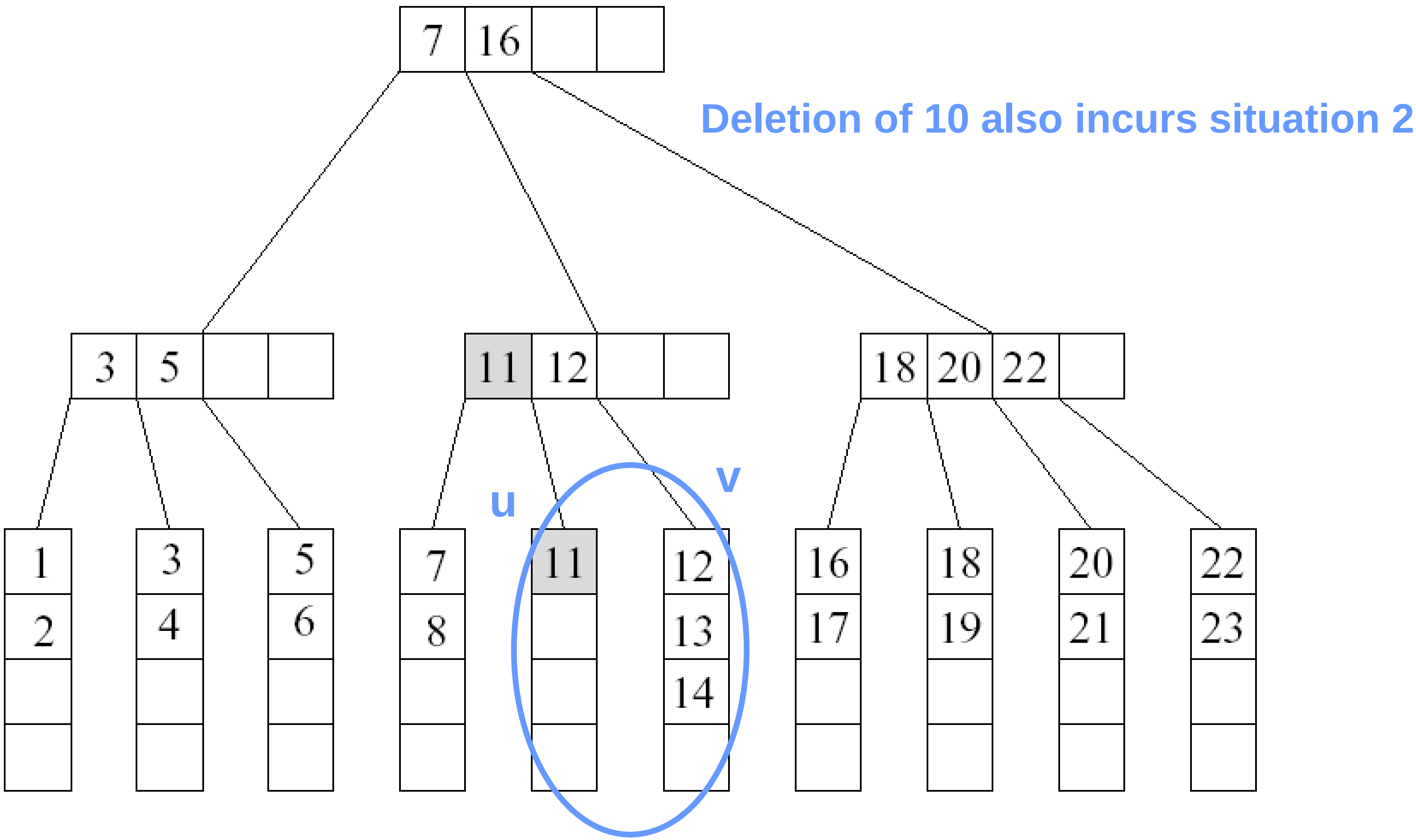
Deletion Example (Cont’d)



Want to delete 10, situation 1

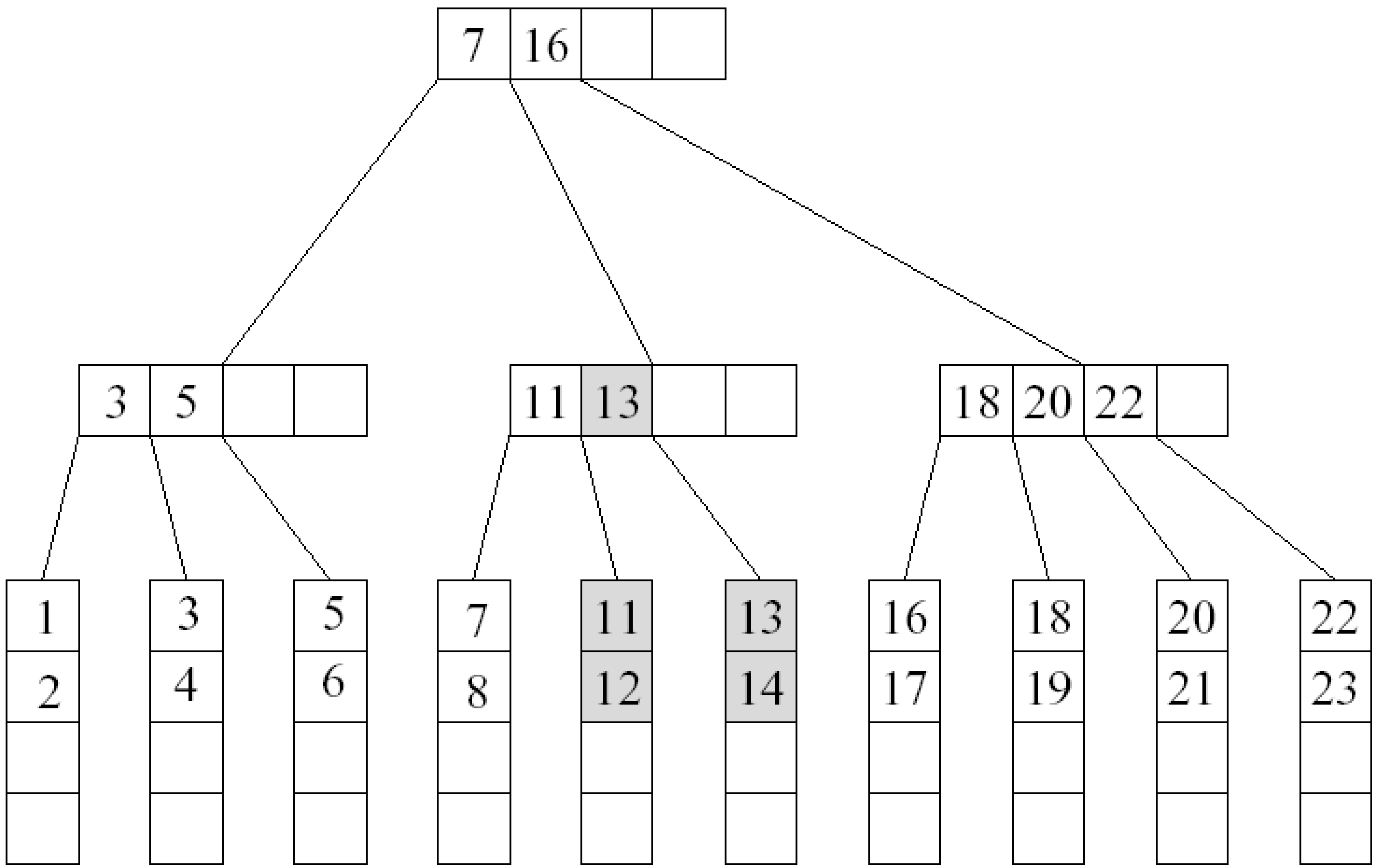
9 deleted

Deletion Example (Cont'd)



10 deleted, step 1

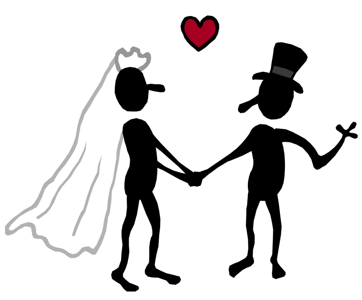
Deletion Example (Cont’d)



10 deleted, final step: borrow from right sibling

Merging Two Leaves

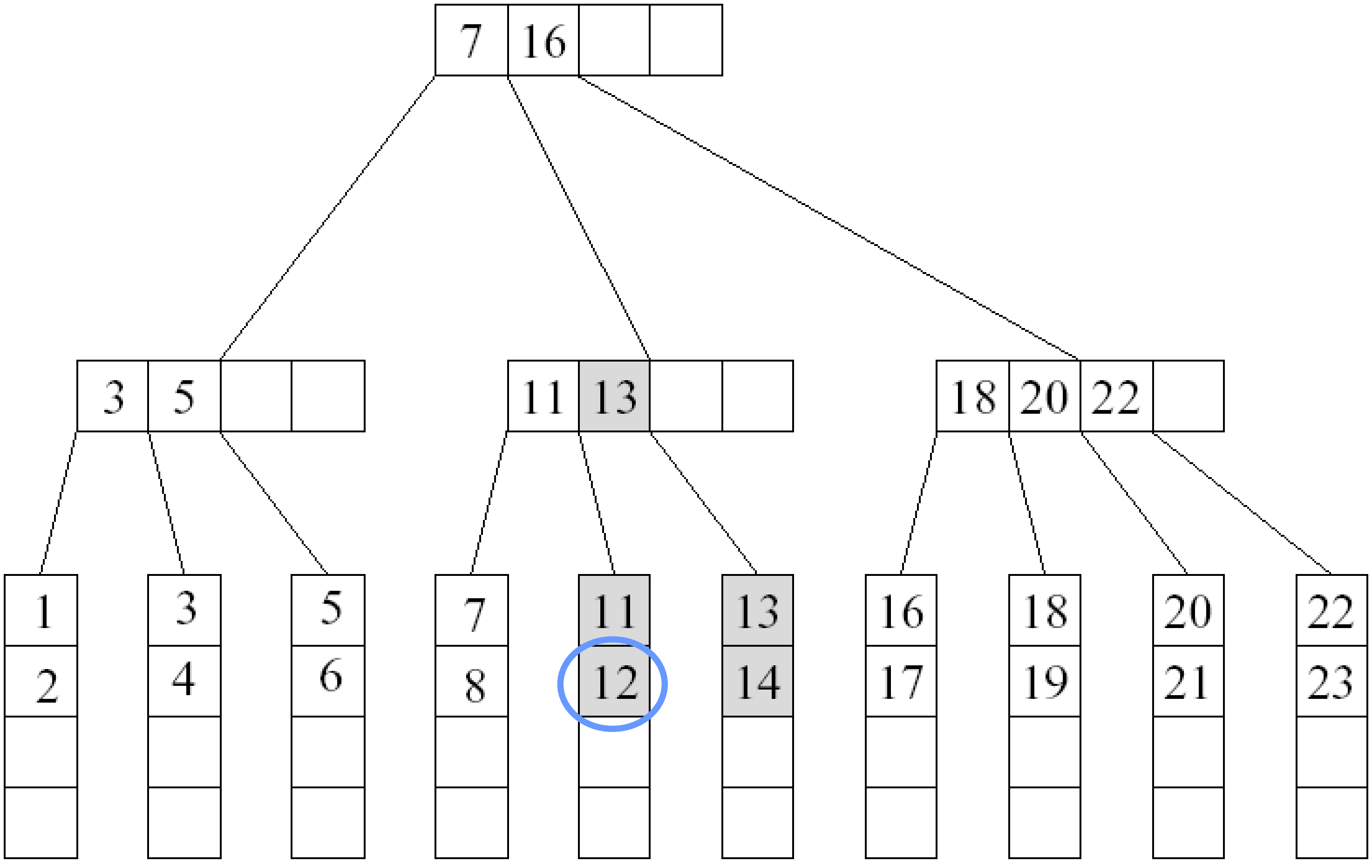
- If no sibling leaf with $\lceil L/2 \rceil + 1$ or more keys exists, then merge two leaves.
- Case 1: Suppose that the right sibling v of u contains exactly $\lceil L/2 \rceil$ keys. Merge u and v
  - Move the keys in u to v
  - Remove the pointer to u at parent
  - Delete the separating key between u and v from the parent of u



Merging Two Leaves (Cont'd)

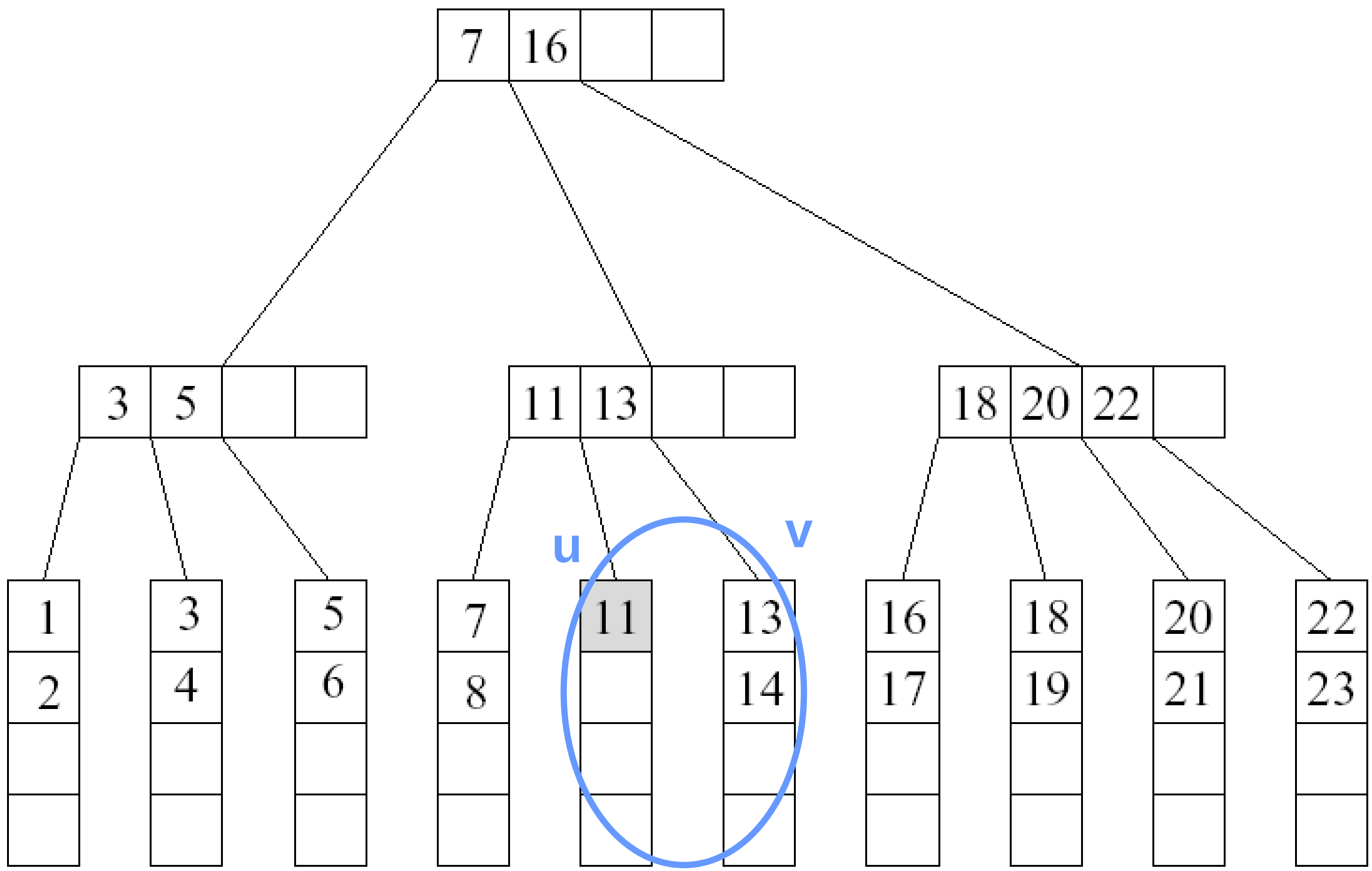
- Case 2: Suppose that the left sibling v of u contains exactly $\lceil L/2 \rceil$ keys. Merge u and v
  - Move the keys in u to v
  - Remove the pointer to u at parent
  - Delete the separating key between u and v from the parent of u

Example



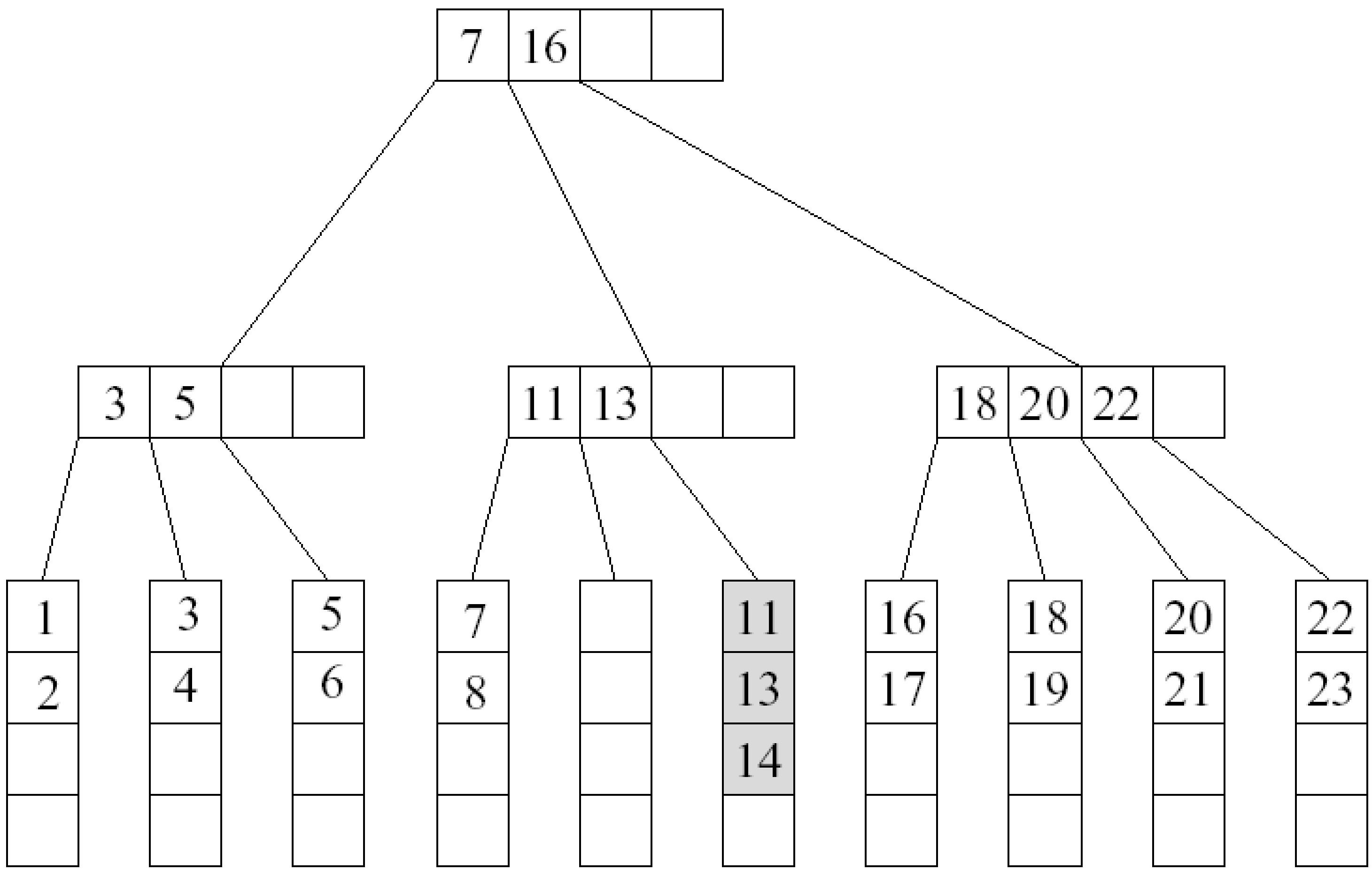
Want to delete 12

Example (Cont'd)



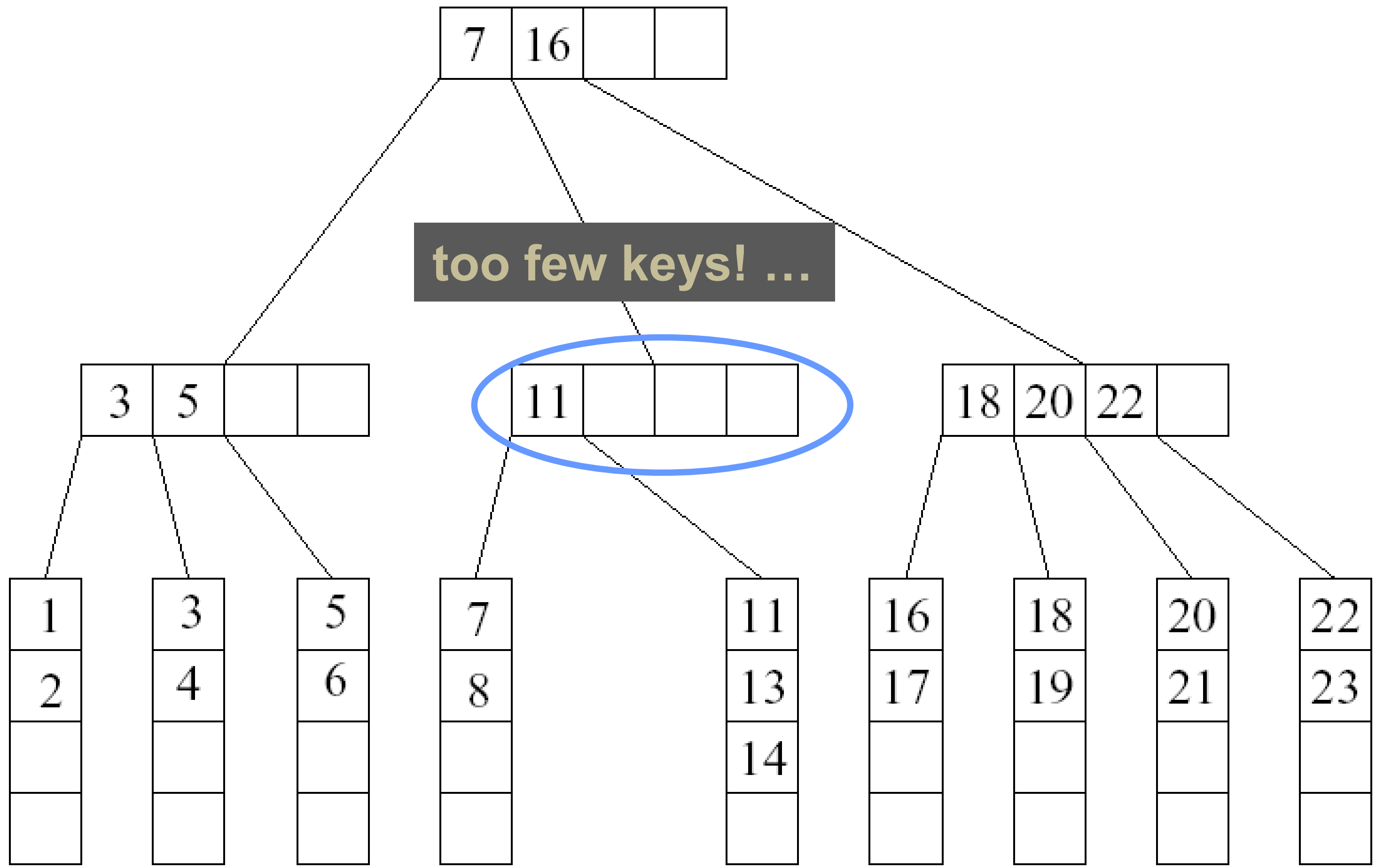
12 deleted, step 1

Example (Cont'd)



12 deleted, merge with right sibling

Example (Cont'd)



12 deleted, delete the empty leaf and the separating key 13 in parent

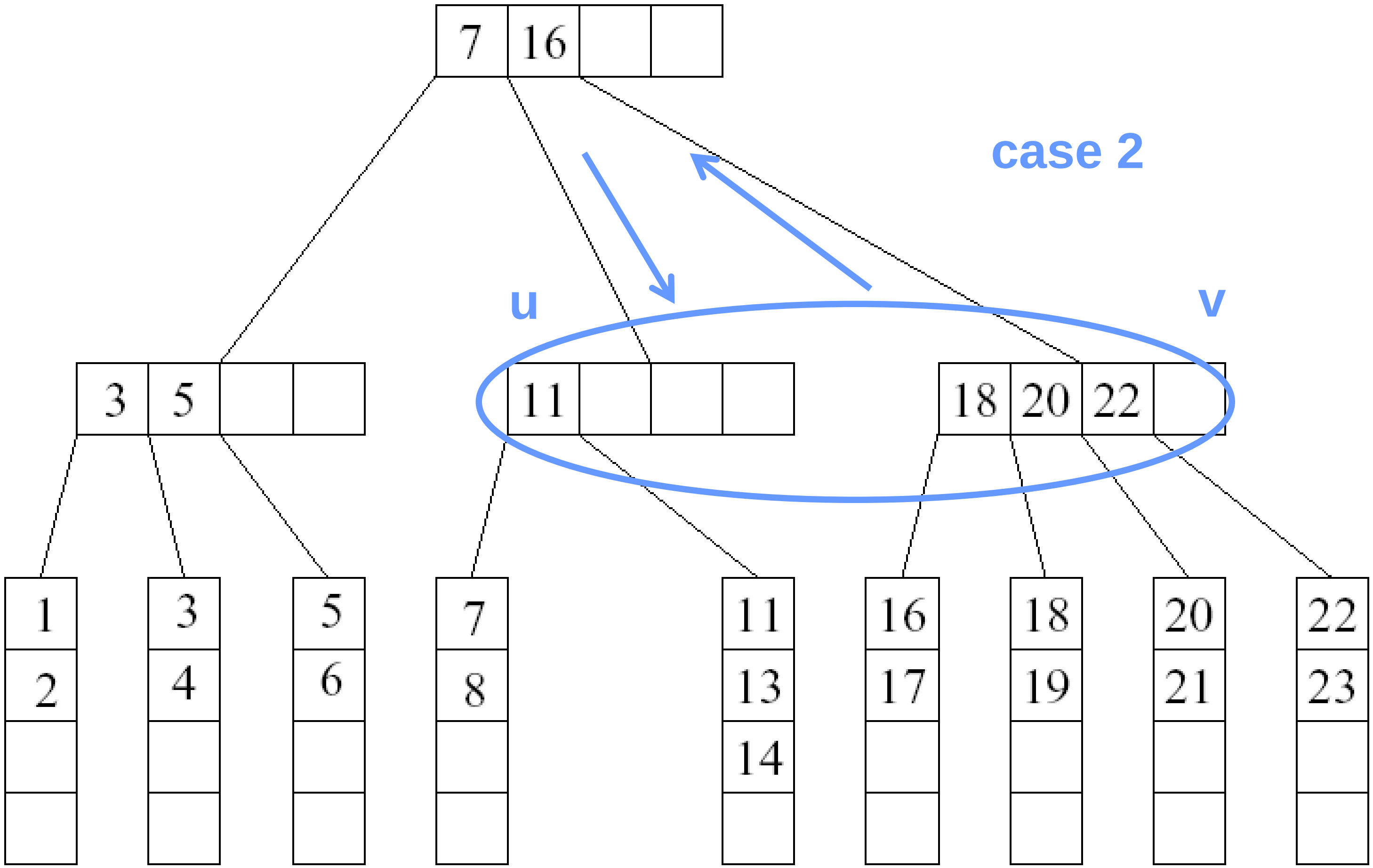
Deleting a Key in an Internal Node

- Suppose we remove a key from an internal node u, and u has less than $\lceil M/2 \rceil -1$ keys after that
- Case 1: u is a root
  - Thus u has only one child, then we remove u and make its child the new root

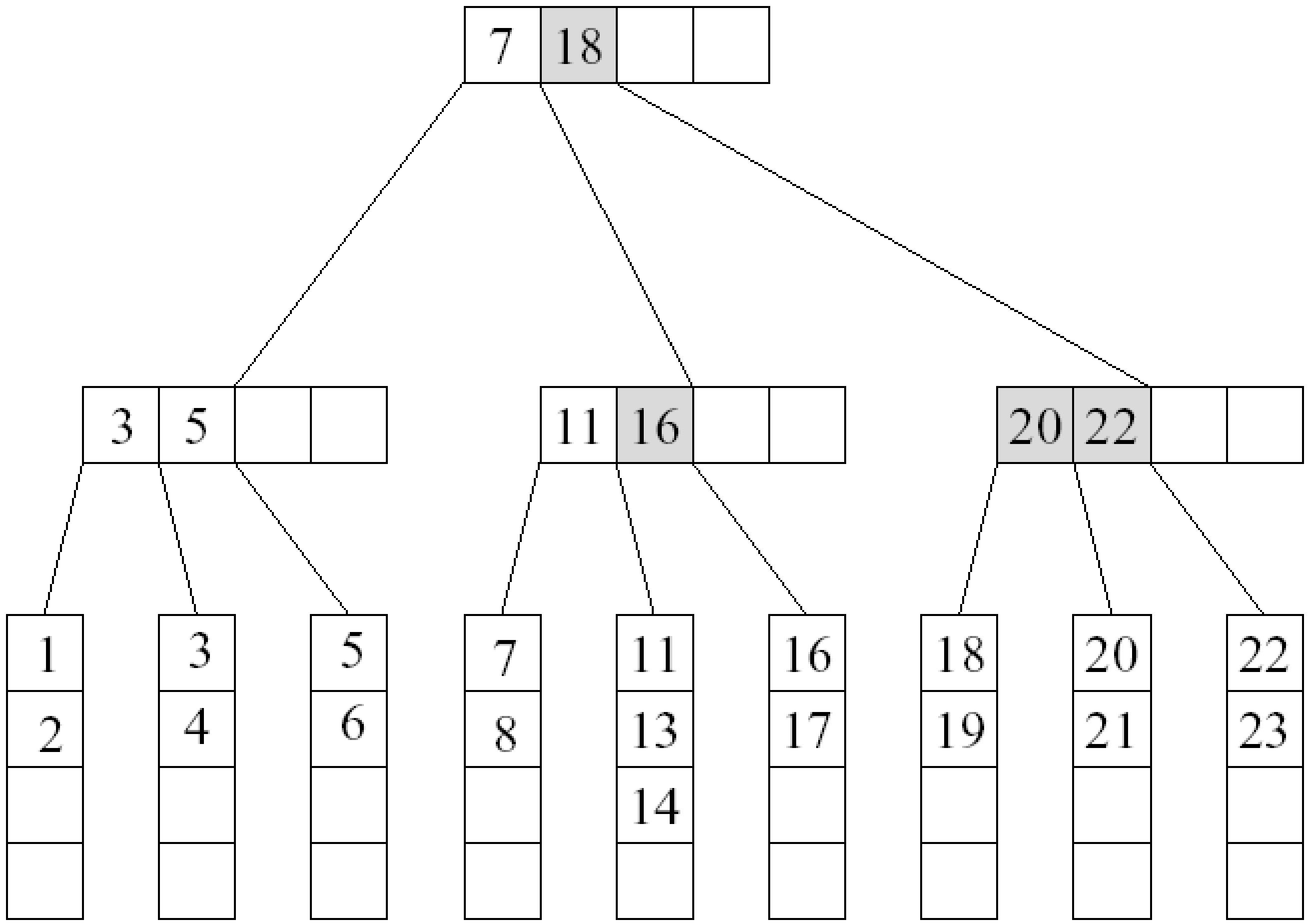
Deleting a key in an internal node

- Case 2A: the right sibling v of u has $\lceil M/2 \rceil$ keys or more
  - Move the separating key between u and v in the parent of u and v down to u
  - Move the leftmost key in v to become the separating key between u and v in the parent of u and v.
  - Make the leftmost child of v the rightmost child of u
- Case 2B: the left sibling v of u has $\lceil M/2 \rceil$ keys or more
  - Move the separating key between u and v in the parent of u and v down to u.
  - Move the rightmost key in v to become the separating key between u and v in the parent of u and v.
  - Make the rightmost child of v the leftmost child of u

...Continue From Previous Example



Cont'd

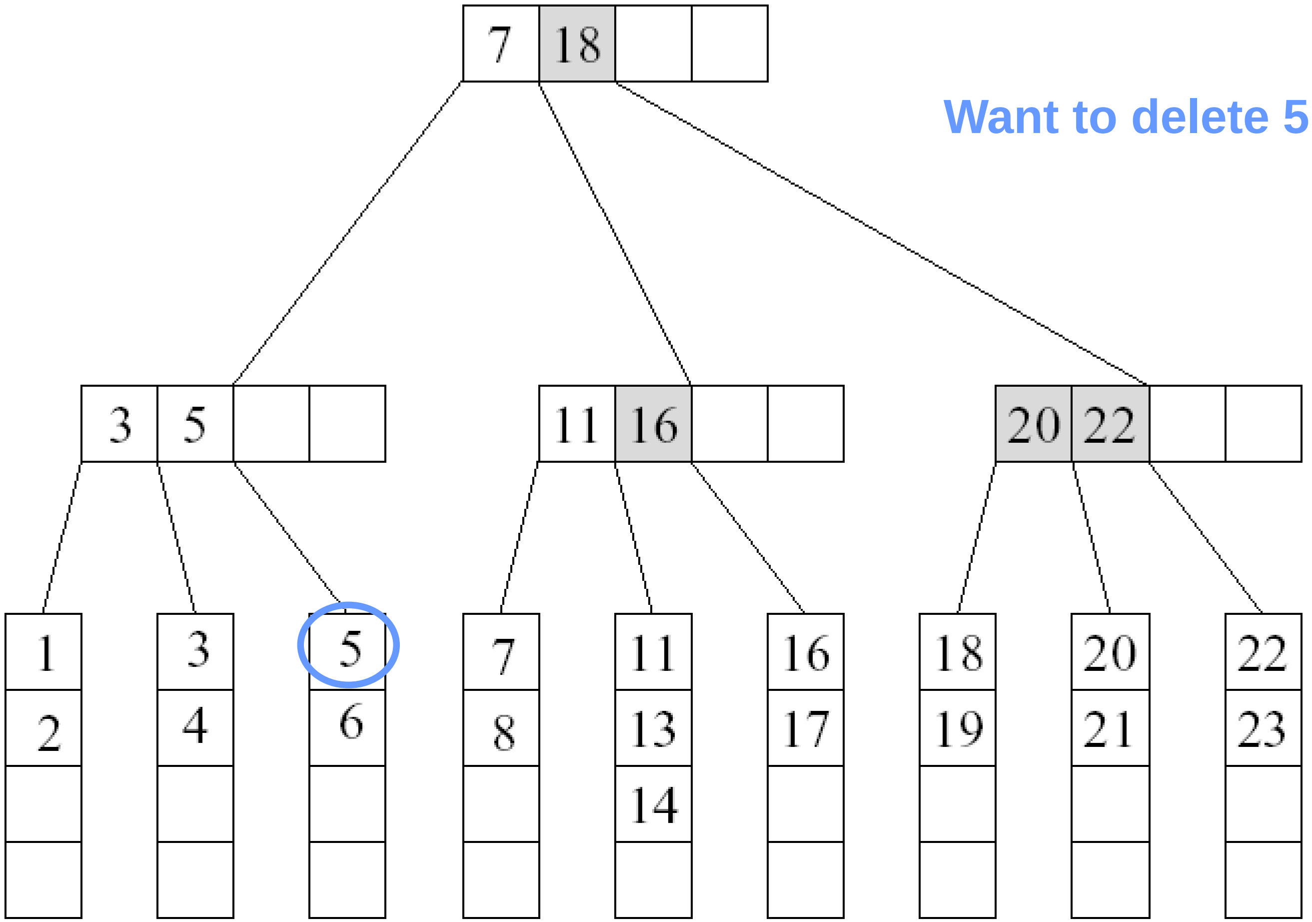


12 deleted, final step: borrow from parent and right sibling

Deleting a key in an internal node

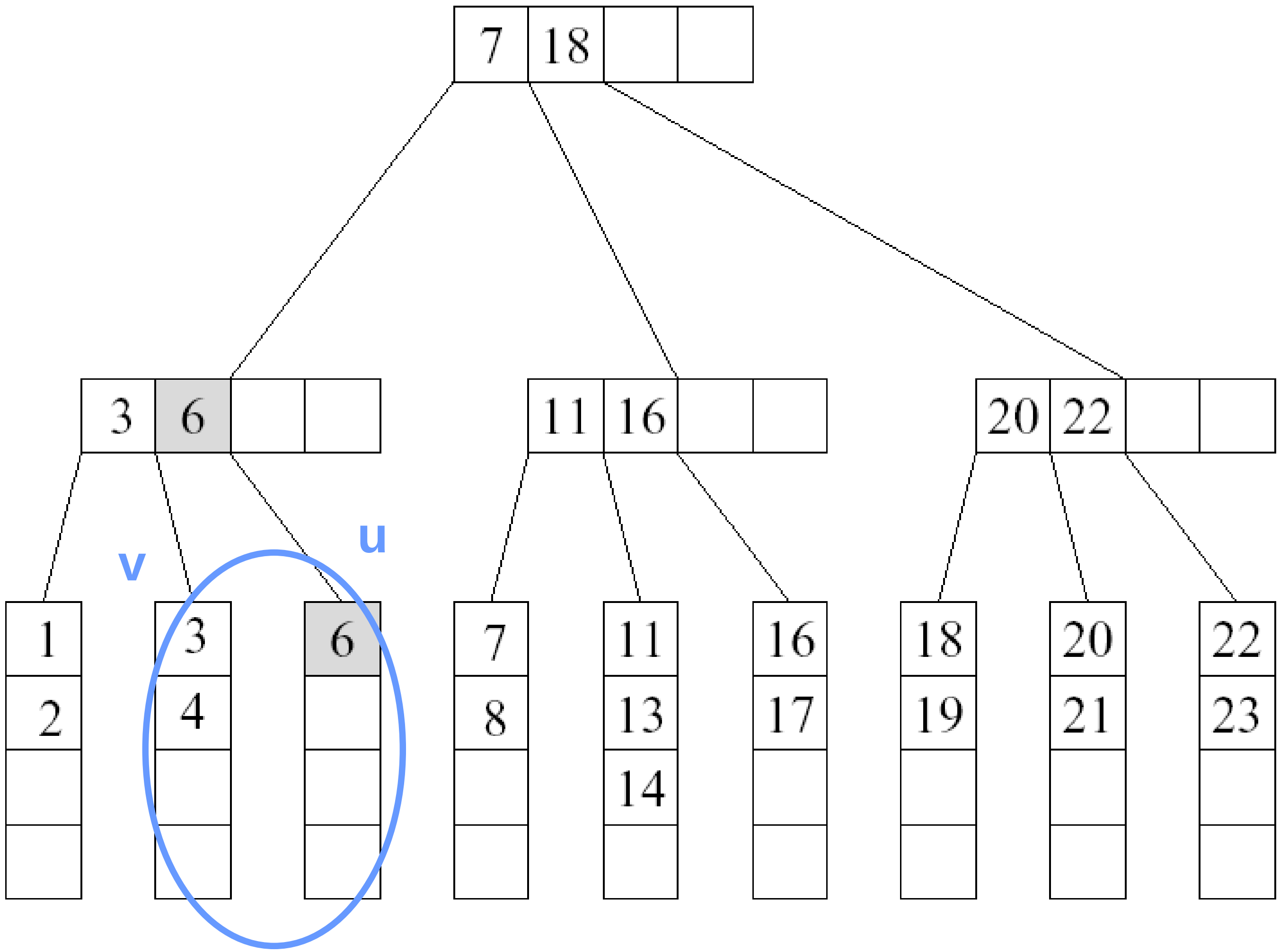
- Case 3: all sibling v of u contains exactly $\lceil M/2 \rceil - 1$ keys
  - Move the separating key between u and v in the parent of u and v down to v
  - Move the keys and child pointers in u to v
  - Remove the pointer to u at parent.

Example



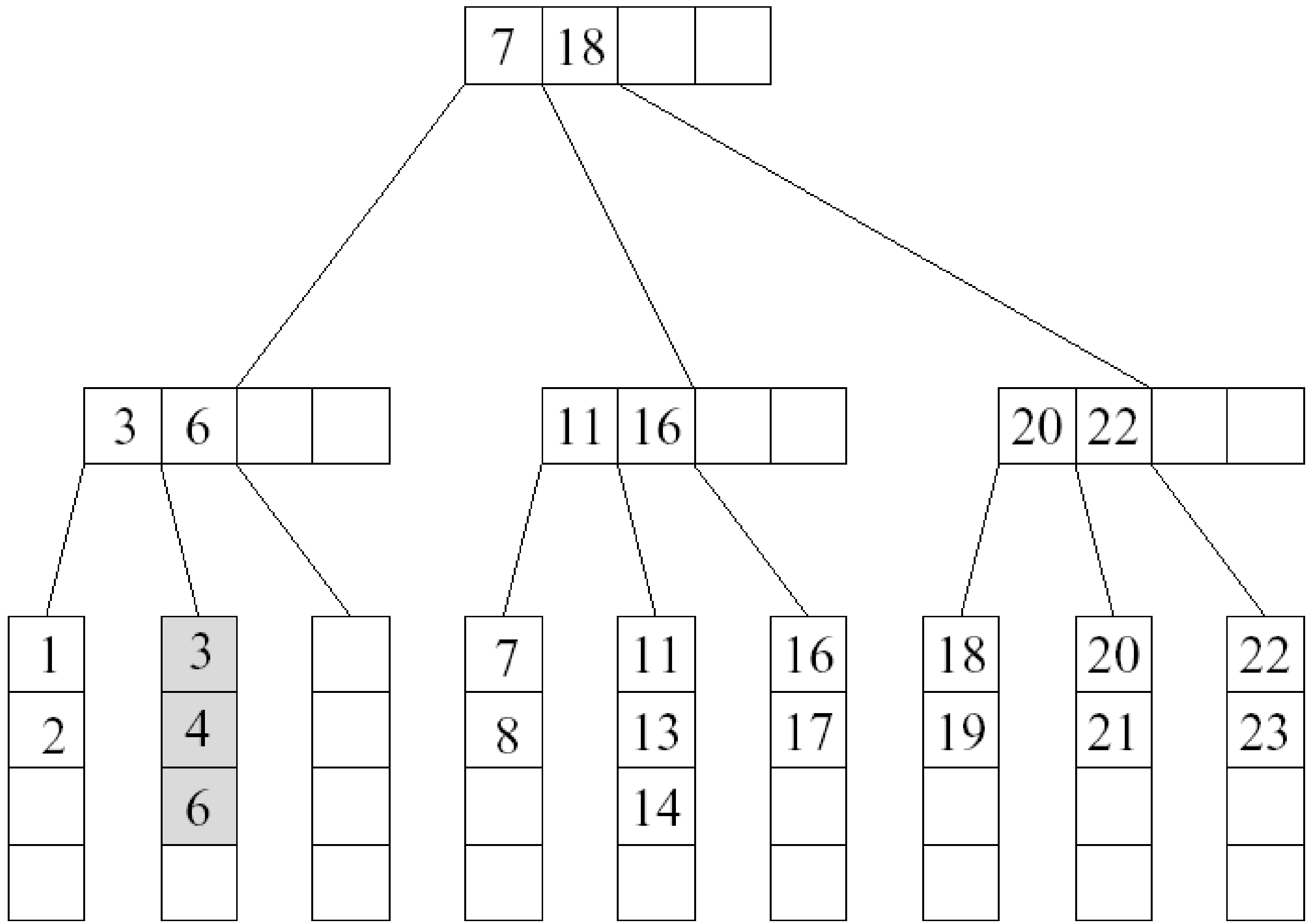
Want to delete 5

Example (Cont'd)



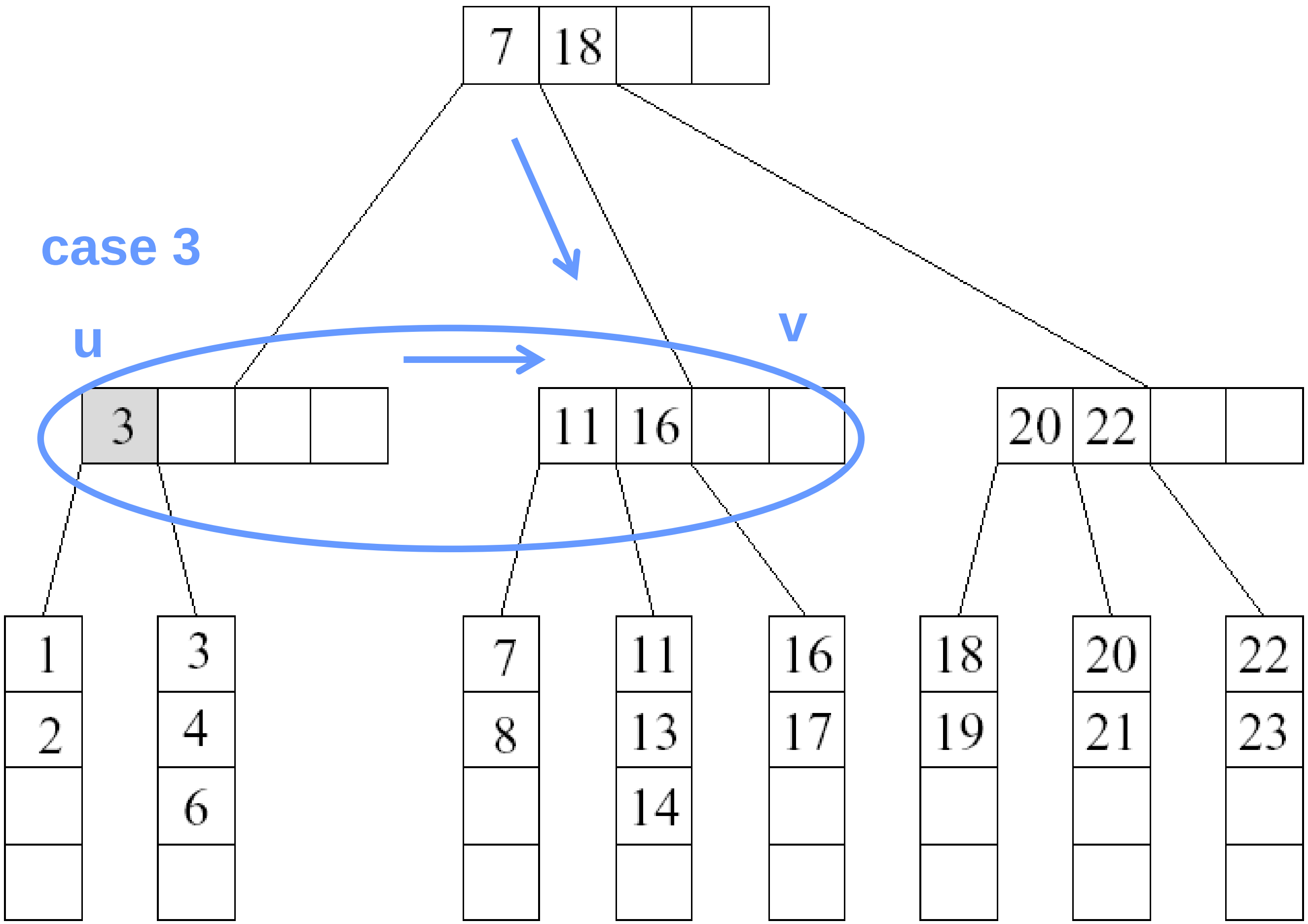
5 deleted, step 1

Example (Cont'd)



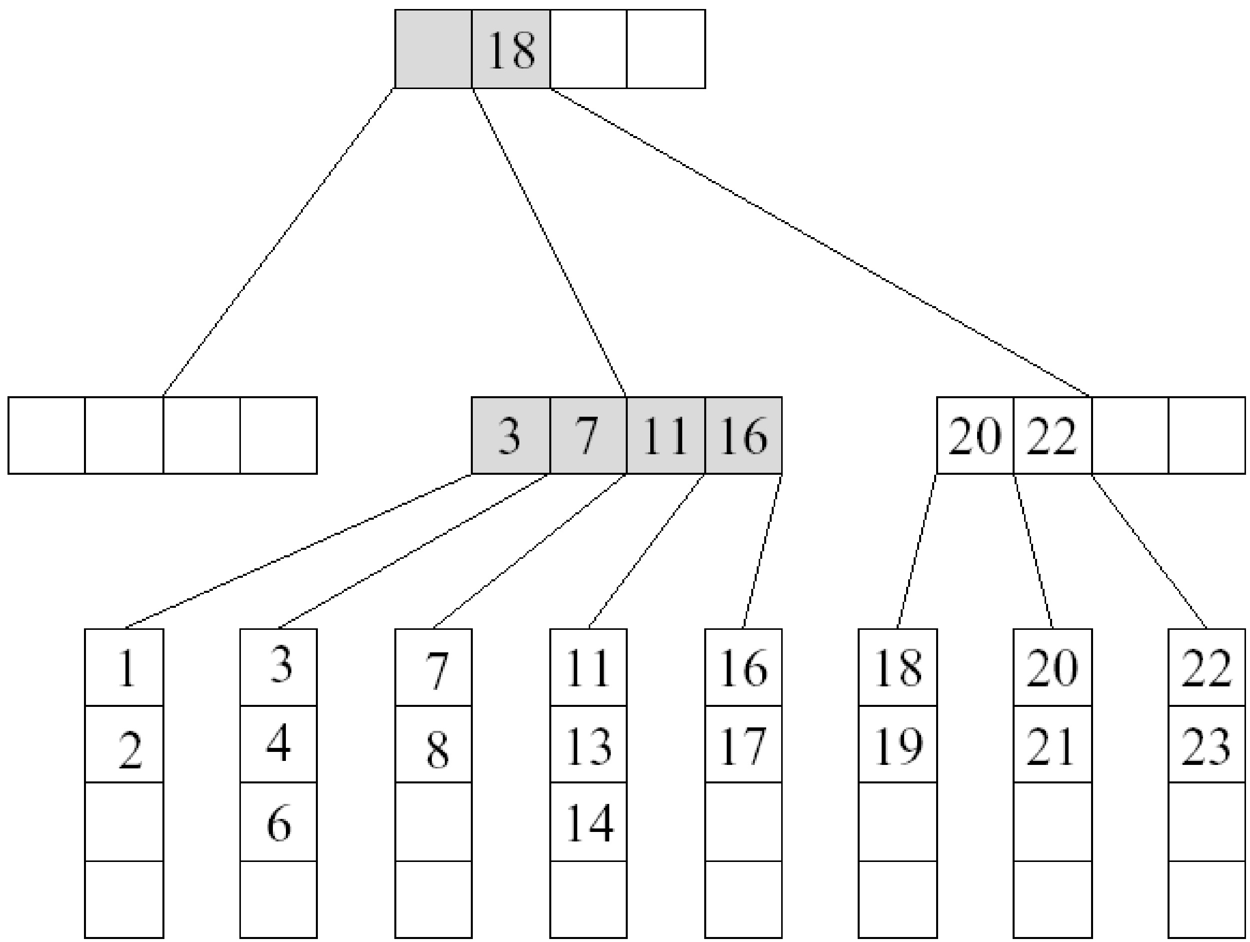
5 deleted, merge with left sibling

Example (Cont'd)



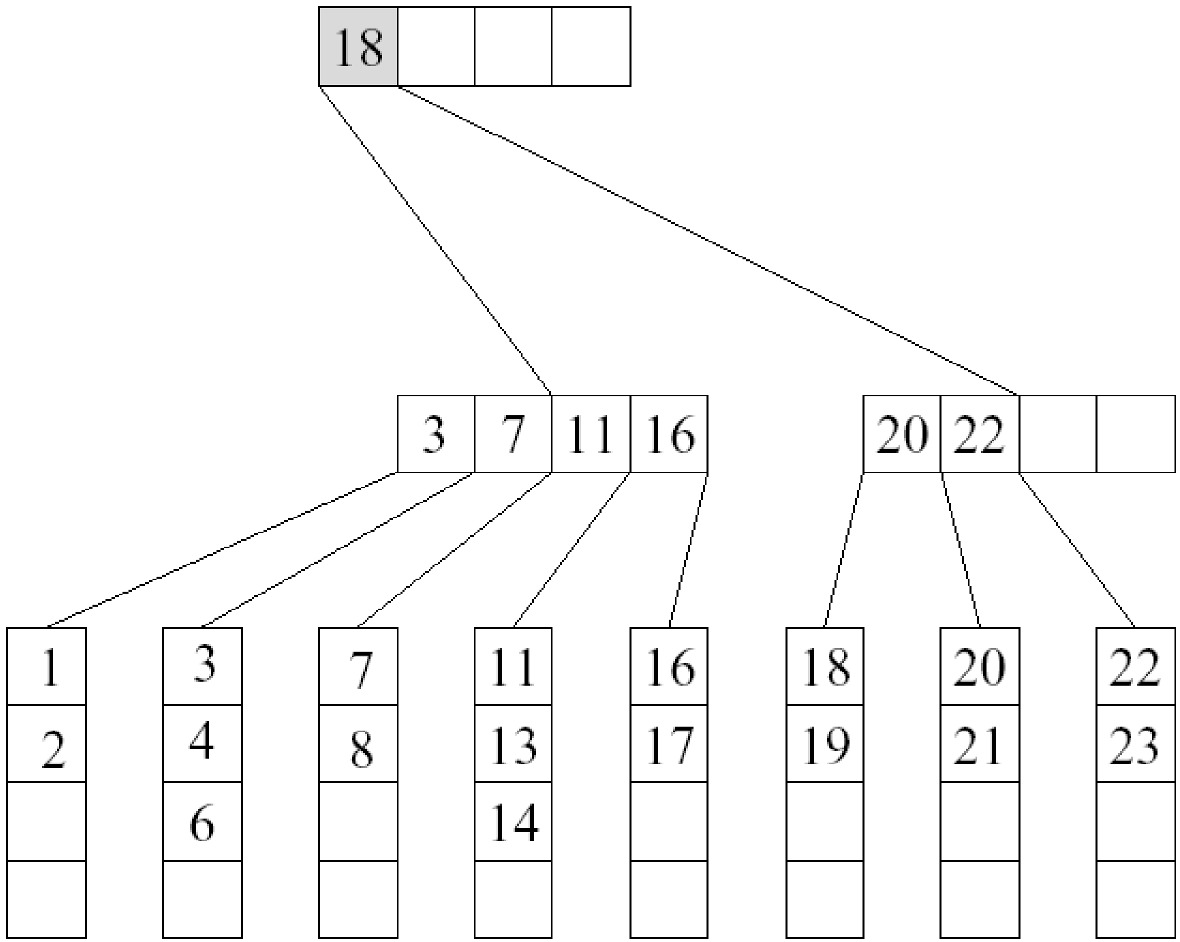
5 deleted, delete the empty leaf and the separating key 6

Example (Cont'd)



5 deleted, borrow from parent and merge with right sibling

Example (Cont'd)



5 deleted, delete empty internal node