Supervised vs. Unsupervised Learning

- Supervised learning (classification)
 - Supervision: The training data instances and their attributes/features are accompanied by labels indicating the class of the instances.
 - Predict labels for testing data instances.
- Unsupervised learning (clustering)
 - The class labels of training data is unknown
 - Given a set of attributes, with the aim of establishing the existence of classes or clusters.

Machine learning types	Data mining tasks
Supervised learning	Classification Regression
Unsupervised learning	Clustering Pattern/Association mining

Classification: Definition

- Given a collection of records (training set)
 - Each record is by characterized by a tuple (x,y), where x is the attribute set and y is the class label
 - ◆ x: attribute, predictor, independent variable, input
 - y: class, response, dependent variable, output

Task:

Learn a model that maps each attribute set x into one of the predefined class labels y

Examples of Classification Task

Task	Attribute set, x	Class label, y
Categorizing email messages	Features extracted from email message header and content	spam or non-spam
Identifying tumor cells	Features extracted from MRI scans	malignant or benign cells
Cataloging galaxies	Features extracted from telescope images	Elliptical, spiral, or irregular-shaped galaxies

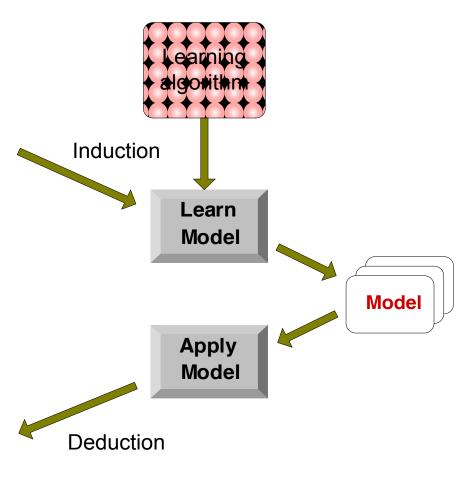
General Approach for Building Classification Model

Tid	Attrib1	Attrib2	Attrib3	Class
1	Yes	Large	125K	No
2	No	Medium	100K	No
3	No	Small	70K	No
4	Yes	Medium	120K	No
5	No	Large	95K	Yes
6	No	Medium	60K	No
7	Yes	Large	220K	No
8	No	Small	85K	Yes
9	No	Medium	75K	No
10	No	Small	90K	Yes

Training Set

Tid	Attrib1	Attrib2	Attrib3	Class
11	No	Small	55K	?
12	Yes	Medium	80K	?
13	Yes	Large	110K	?
14	No	Small	95K	?
15	No	Large	67K	?

Test Set



Classification Techniques

- Base Classifiers
 - Decision Tree based Methods
 - Rule-based Methods
 - Nearest-neighbor
 - Neural Networks
 - Naïve Bayes and Bayesian Belief Networks
 - Support Vector Machines
- Ensemble Classifiers
 - Boosting, Bagging, Random Forests

Rule-Based Classifier

- Classify records by using a collection of "if...then..." rules
- Rule: $(Condition) \rightarrow y$
 - where
 - Condition is a conjunctions of attributes
 - ♦ y is the class label
 - LHS: rule antecedent or condition
 - RHS: rule consequent
 - Examples of classification rules:
 - ◆ (Blood Type=Warm) ∧ (Lay Eggs=Yes) → Birds
 - (Taxable Income < 50K) ∧ (Refund=Yes) → Evade=No

Rule-based Classifier (Example)

Name	Body	Skin	Gives	Aquatic	Aerial	Has	Hiber-	Class Label
	Temperature	Cover	Birth	Creature	Creature	Legs	nates	
human	warm-blooded	hair	yes	no	no	yes	no	Mammals
python	cold-blooded	scales	no	no	no	no	yes	Reptiles
salmon	cold-blooded	scales	no	yes	no	no	no	Fishes
whale	warm-blooded	hair	yes	yes	no	no	no	Mammals
frog	cold-blooded	none	no	$_{ m semi}$	no	yes	yes	Amphibians
komodo	cold-blooded	scales	no	no	no	yes	no	Reptiles
dragon								
bat	warm-blooded	hair	yes	no	yes	yes	yes	Mammals
pigeon	warm-blooded	feathers	no	no	yes	yes	no	$_{ m Birds}$
cat	warm-blooded	fur	yes	no	no	yes	no	Mammals
guppy	cold-blooded	scales	yes	yes	no	no	no	Fishes
alligator	cold-blooded	scales	no	semi	no	yes	no	Reptiles
penguin	warm-blooded	feathers	no	$_{ m semi}$	no	yes	no	Birds
porcupine	warm-blooded	quills	yes	no	no	yes	yes	Mammals
eel	cold-blooded	scales	no	yes	no	no	no	Fishes
salamander	cold-blooded	none	no	$_{ m semi}$	no	yes	yes	Amphibians

```
r_1: (Gives Birth = no) \land (Aerial Creature = yes) \longrightarrow Birds r_2: (Gives Birth = no) \land (Aquatic Creature = yes) \longrightarrow Fishes r_3: (Gives Birth = yes) \land (Body Temperature = warm-blooded) \longrightarrow Mammals r_4: (Gives Birth = no) \land (Aerial Creature = no) \longrightarrow Reptiles r_5: (Aquatic Creature = semi) \longrightarrow Amphibians
```

Application of Rule-Based Classifier

 A rule r covers an instance x if the attributes of the instance satisfy the condition of the rule

```
r_1: (Gives Birth = no) \land (Aerial Creature = yes) \longrightarrow Birds r_2: (Gives Birth = no) \land (Aquatic Creature = yes) \longrightarrow Fishes r_3: (Gives Birth = yes) \land (Body Temperature = warm-blooded) \longrightarrow Mammals r_4: (Gives Birth = no) \land (Aerial Creature = no) \longrightarrow Reptiles r_5: (Aquatic Creature = semi) \longrightarrow Amphibians
```

Name	Body	Skin	Gives	Aquatic	Aerial	Has	Hiber-
	Temperature	Cover	Birth	Creature	Creature	Legs	nates
hawk	warm-blooded	feather	no	no	yes	yes	no
grizzly bear	warm-blooded	fur	yes	no	no	yes	yes

The rule r1 covers a hawk => Bird
The rule r3 covers the grizzly bear => Mammal

Rule Coverage and Accuracy

- Coverage of a rule:
 - Fraction of records that satisfy the antecedent of a rule
- Accuracy of a rule:
 - Fraction of records that satisfy both the antecedent and consequent of a rule

Tid	Refund	Marital Status	Taxable Income	Class
1	Yes	Single	125K	No
2	No	Married	100K	No
3	No	Single	70K	No
4	Yes	Married	120K	No
5	No	Divorced	95K	Yes
6	No	Married	60K	No
7	Yes	Divorced	220K	No
8	No	Single	85K	Yes
9	No	Married	75K	No
10	No	Single	90K	Yes

(Status=Single) → No
Coverage = 40%, Accuracy = 50%

How does Rule-based Classifier Work?

```
r_1: (Gives Birth = no) \land (Aerial Creature = yes) \longrightarrow Birds r_2: (Gives Birth = no) \land (Aquatic Creature = yes) \longrightarrow Fishes r_3: (Gives Birth = yes) \land (Body Temperature = warm-blooded) \longrightarrow Mammals r_4: (Gives Birth = no) \land (Aerial Creature = no) \longrightarrow Reptiles r_5: (Aquatic Creature = semi) \longrightarrow Amphibians
```

Name	Body	Skin	Gives	Aquatic	Aerial	Has	Hiber-
	Temperature	Cover	Birth	Creature	Creature	Legs	nates
lemur	warm-blooded	fur	yes	no	no	yes	yes
turtle	cold-blooded	scales	no	semi	no	yes	no
dogfish shark	cold-blooded	scales	yes	yes	no	no	no

A lemur triggers rule r3, so it is classified as a mammal

A turtle triggers both r4 and r5

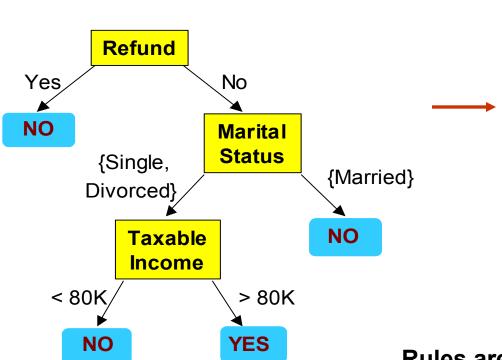
A dogfish shark triggers none of the rules

Characteristics of Rule-Based Classifier

- Mutually exclusive rules
 - Classifier contains mutually exclusive rules if the rules are independent of each other
 - Every record is covered by at most one rule

- Exhaustive rules
 - Classifier has exhaustive coverage if it accounts for every possible combination of attribute values
 - Each record is covered by at least one rule

From Decision Trees To Rules



Classification Rules

(Refund=Yes) ==> No

(Refund=No, Marital Status={Single,Divorced}, Taxable Income<80K) ==> No

(Refund=No, Marital Status={Single,Divorced}, Taxable Income>80K) ==> Yes

(Refund=No, Marital Status={Married}) ==> No

Rules are mutually exclusive and exhaustive
Rule set contains as much information as the
tree

Example of a Decision Tree

categorical continuous

ID	Home Owner	Marital Status	Annual Income	Defaulted Borrower
1	Yes	Single	125K	No
2	No	Married	100K	No
3	No	Single	70K	No
4	Yes	Married	120K	No
5	No	Divorced	95K	Yes
6	No	Married	60K	No
7	Yes	Divorced	220K	No
8	No	Single	85K	Yes
9	No	Married	75K	No
10	No	Single	90K	Yes

Splitting Attributes Home **Owner** Yes No NO **MarSt** Married Single, Divorced Income NO < 80K > 80K YES NO

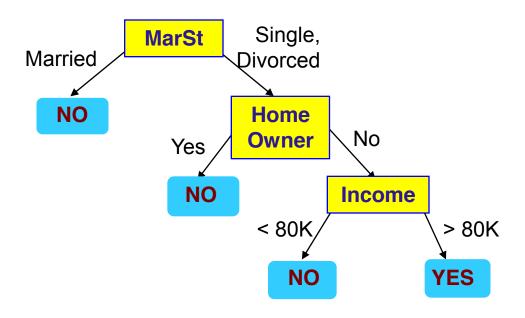
Training Data

Model: Decision Tree

Another Example of Decision Tree

categorical continuous

ID	Home Owner	Marital Status	Annual Income	Defaulted Borrower
1	Yes	Single	125K	No
2	No	Married	100K	No
3	No	Single	70K	No
4	Yes	Married	120K	No
5	No	Divorced	95K	Yes
6	No	Married	60K	No
7	Yes	Divorced	220K	No
8	No	Single	85K	Yes
9	No	Married	75K	No
10	No	Single	90K	Yes



There could be more than one tree that fits the same data!

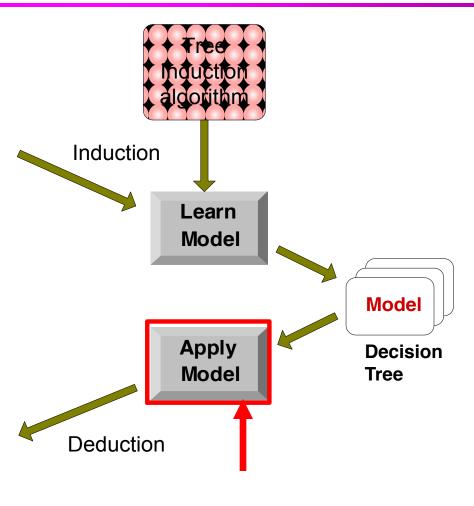
Decision Tree Classification Task



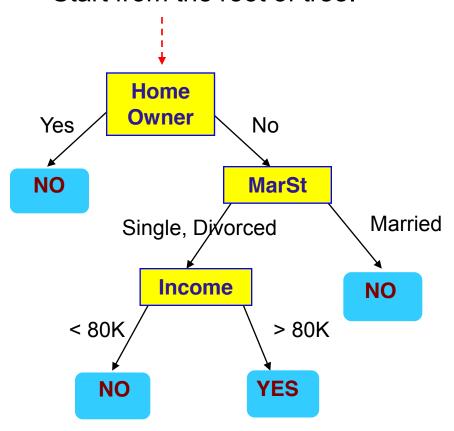
Training Set

Tid	Attrib1	Attrib2	Attrib3	Class
11	No	Small	55K	?
12	Yes	Medium	80K	?
13	Yes	Large	110K	?
14	No	Small	95K	?
15	No	Large	67K	?

Test Set



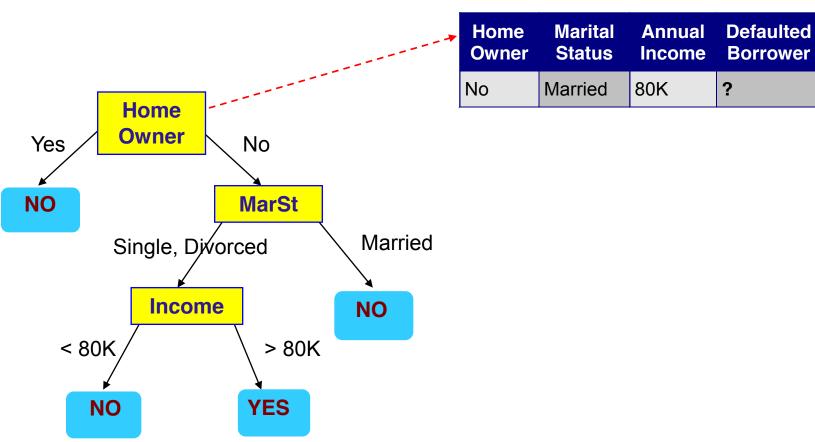
Start from the root of tree.



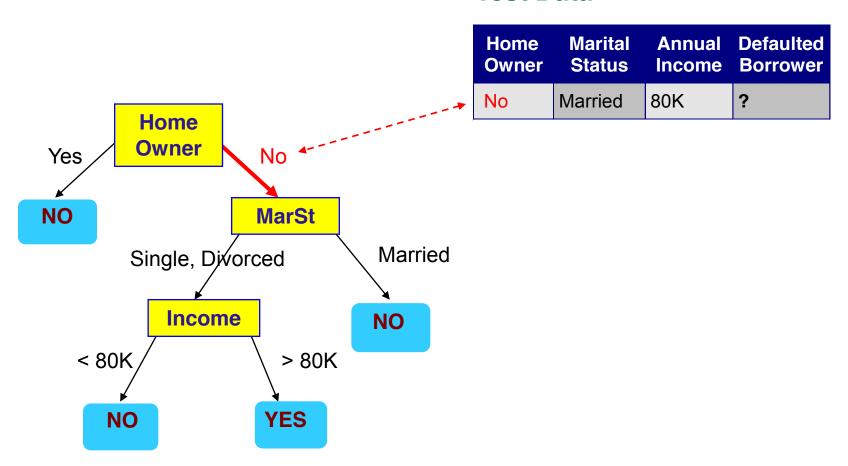
Test Data

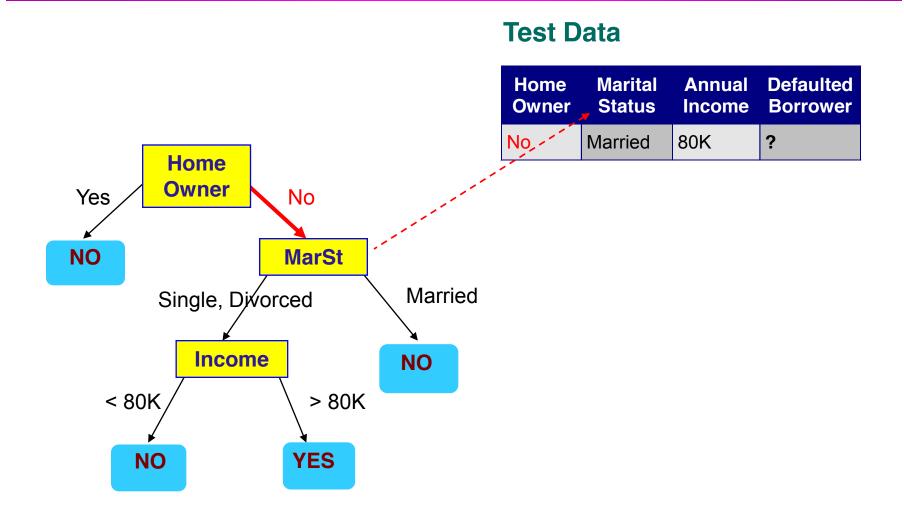
			Defaulted Borrower
No	Married	80K	?

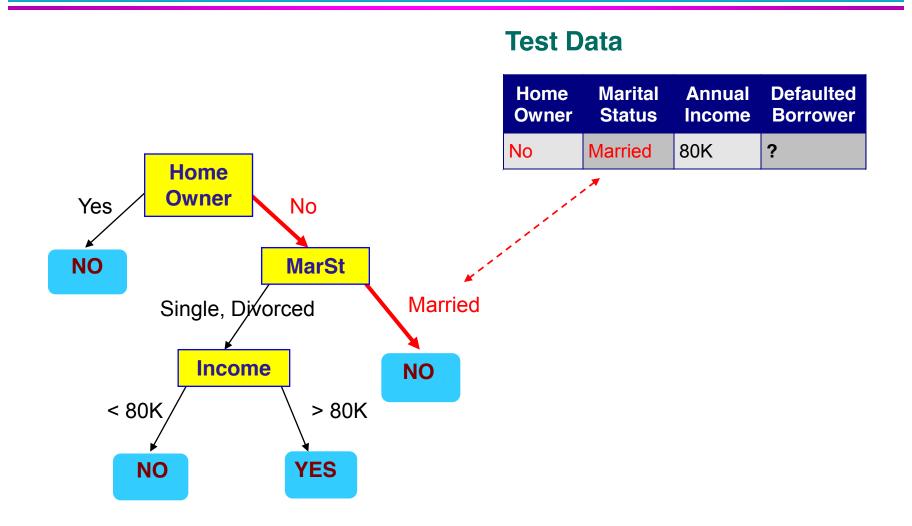


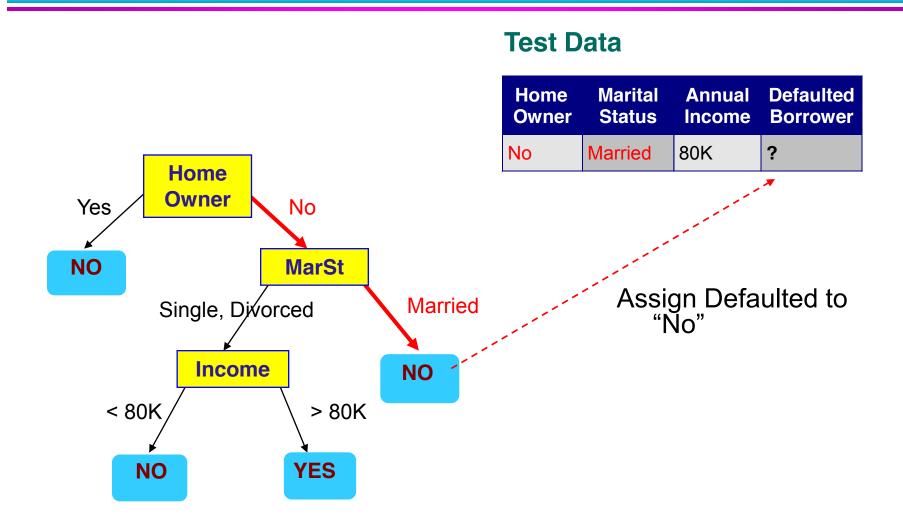


Test Data

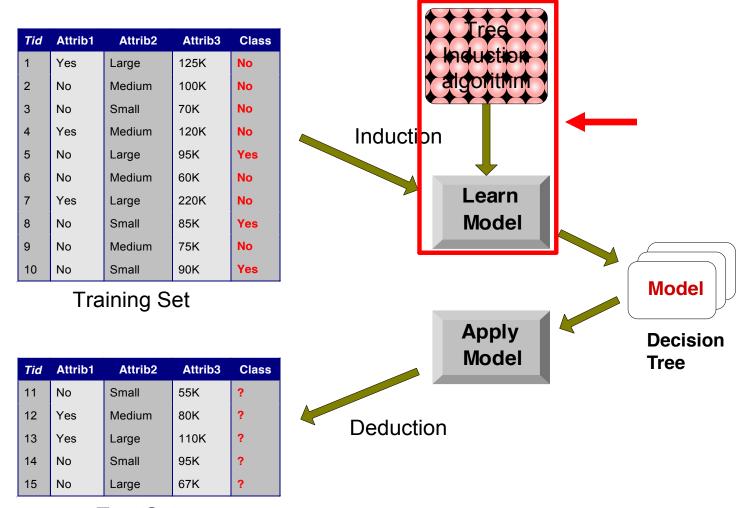








Decision Tree Classification Task



Test Set

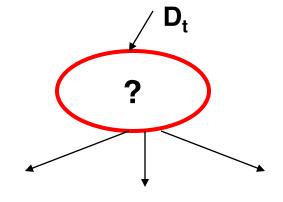
Decision Tree Induction

- Many Algorithms:
 - Hunt's Algorithm (one of the earliest)
 - CART
 - ID3, C4.5
 - SLIQ,SPRINT

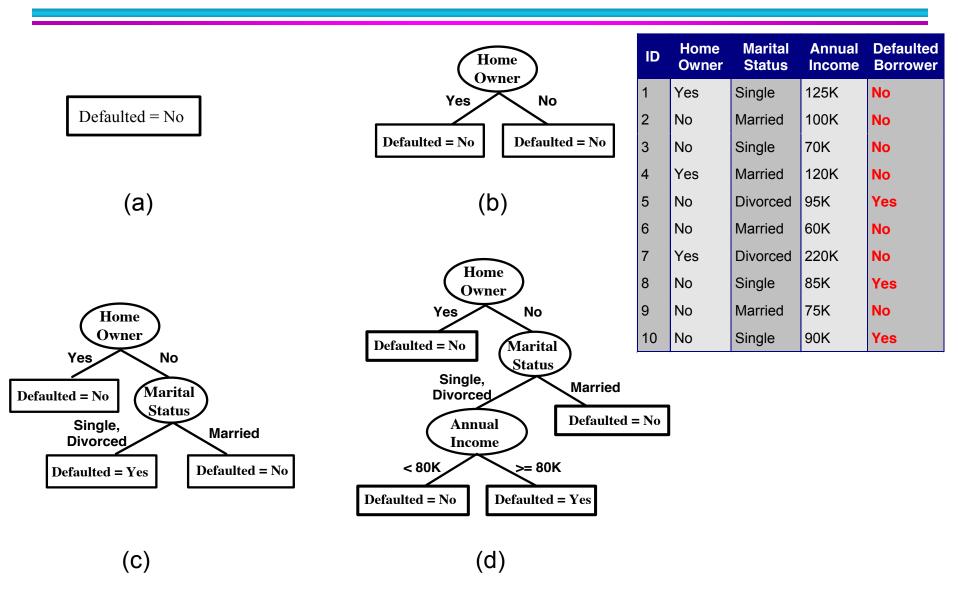
General Structure of Hunt's Algorithm

- Let D_t be the set of training records that reach a node t
- General Procedure:
 - If D_t contains records that belong the same class y_t, then t is a leaf node labeled as y_t
 - If D_t contains records that belong to more than one class, use an attribute test to split the data into smaller subsets. Recursively apply the procedure to each subset.

ID	Home Owner	Marital Status	Annual Income	Defaulted Borrower
1	Yes	Single	125K	No
2	No	Married	100K	No
3	No	Single	70K	No
4	Yes	Married	120K	No
5	No	Divorced	95K	Yes
6	No	Married	60K	No
7	Yes	Divorced	220K	No
8	No	Single	85K	Yes
9	No	Married	75K	No
10	No	Single	90K	Yes



Hunt's Algorithm



Design Issues of Decision Tree Induction

- How should training records be split?
 - Method for specifying test condition
 - depending on attribute types
 - Measure for evaluating the goodness of a test condition

- How should the splitting procedure stop?
 - Stop splitting if all the records belong to the same class or have identical attribute values
 - Early termination

Methods for Expressing Test Conditions

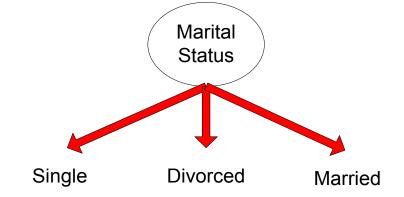
- Depends on attribute types
 - Binary
 - Nominal
 - Ordinal
 - Continuous

- Depends on number of ways to split
 - 2-way split
 - Multi-way split

Test Condition for Nominal Attributes

Multi-way split:

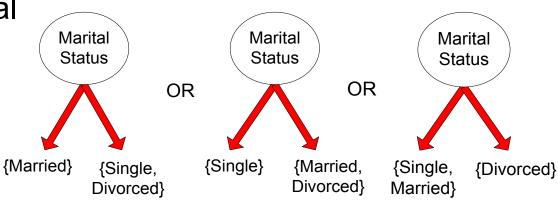
Use as many partitions as distinct values.



Binary split:

Divides values into two subsets

Need to find optimal partitioning.



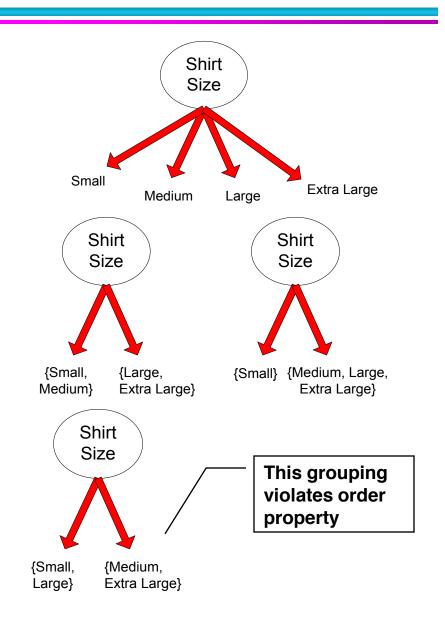
Test Condition for Ordinal Attributes

Multi-way split:

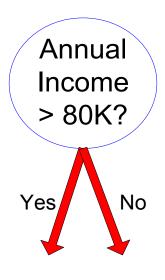
Use as many partitions as distinct values

Binary split:

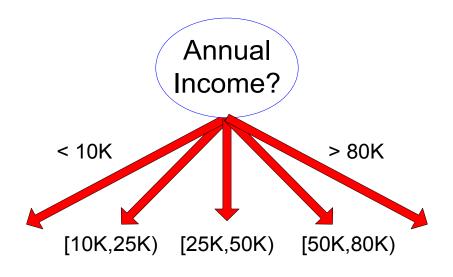
- Divides values into two subsets
- Need to find optimal partitioning
- Preserve the order property among attribute values



Test Condition for Continuous Attributes



(i) Binary split



(ii) Multi-way split

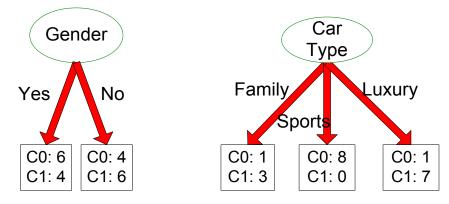
Splitting Based on Continuous Attributes

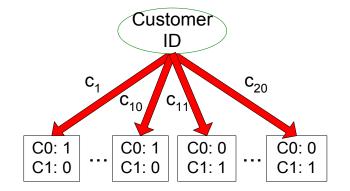
- Different ways of handling
 - Discretization to form an ordinal categorical attribute
 - Static discretize once at the beginning
 - Dynamic ranges can be found by equal interval bucketing, equal frequency bucketing (percentiles), or clustering.
 - Binary Decision: (A < v) or (A ≥ v)
 - consider all possible splits and finds the best cut
 - can be more compute-intensive

How to determine the Best Split

Before Splitting: 10 records of class 0, 10 records of class 1

Customer Id	Gender	Car Type	Shirt Size	Class
1	M	Family	Small	C0
2	M	Sports	Medium	C0
3	\mathbf{M}	Sports	Medium	C0
4	$_{ m M}$	Sports	Large	C0
5	$_{ m M}$	Sports	Extra Large	C0
6	M	Sports	Extra Large	C0
7	F	Sports	Small	C0
8	\mathbf{F}	Sports	Small	C0
9	F	Sports	Medium	C0
10	F	Luxury	Large	C0
11	M	Family	Large	C1
12	\mathbf{M}	Family	Extra Large	C1
13	\mathbf{M}	Family	Medium	C1
14	$_{\mathrm{M}}$	Luxury	Extra Large	C1
15	F	Luxury	Small	C1
16	F	Luxury	Small	C1
17	F	Luxury	Medium	C1
18	F	Luxury	Medium	C1
19	F	Luxury	Medium	C1
20	F	Luxury	Large	C1





Which test condition is the best?

How to determine the Best Split

- Greedy approach:
 - Nodes with purer class distribution are preferred
- Need a measure of node impurity:

C0: 5

C1: 5

C0: 9

C1: 1

High degree of impurity

Low degree of impurity

Measures of Node Impurity

Gini Index

$$GINI(t) = 1 - \sum_{j} [p(j|t)]^{2}$$

Entropy

$$Entropy(t) = -\sum_{j} p(j|t) \log p(j|t)$$

Misclassification error

$$Error(t) = 1 - \max_{i} P(i \mid t)$$

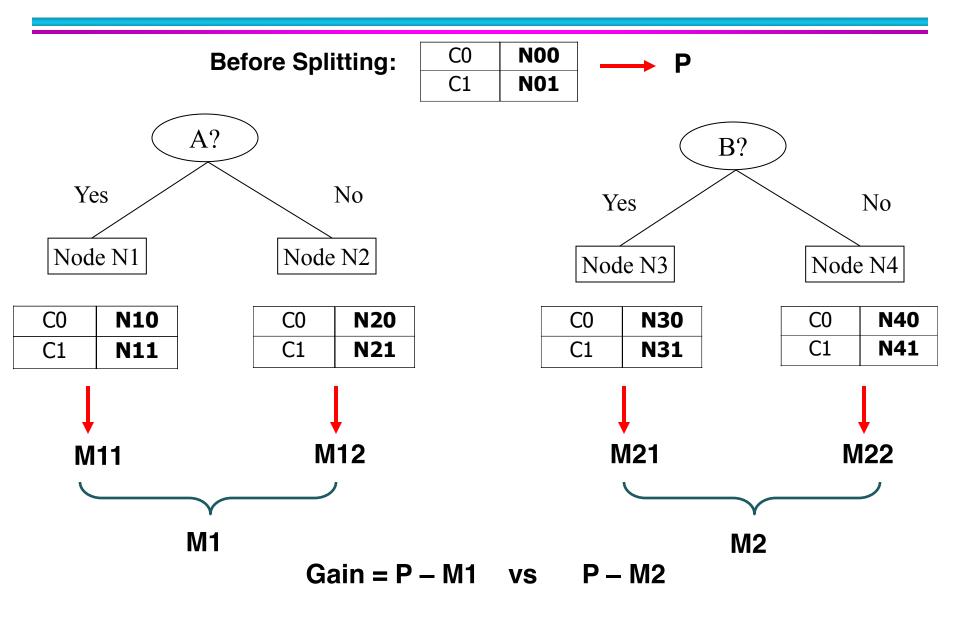
Finding the Best Split

- Compute impurity measure (P) before splitting
- 2. Compute impurity measure (M) after splitting
 - Compute impurity measure of each child node
 - Compute the average impurity of the children (M)
- 3. Choose the attribute test condition that produces the highest gain

$$Gain = P - M$$

or equivalently, lowest impurity measure after splitting (M)

Finding the Best Split



Measure of Impurity: GINI

Gini Index for a given node t :

$$GINI(t) = 1 - \sum_{j} [p(j|t)]^{2}$$

(NOTE: $p(j \mid t)$ is the relative frequency of class j at node t).

- Maximum (1 1/n_c) when records are equally distributed among all classes, implying least interesting information
- Minimum (0.0) when all records belong to one class, implying most interesting information

C1	0
C2	6
Gini=	0.000

C1	1
C2	5
Gini=	0.278

C1	2
C2	4
Gini=	0.444

C1	3
C2	3
Gini=	0.500

Computing Gini Index of a Single Node

$$GINI(t) = 1 - \sum_{j} [p(j|t)]^{2}$$

$$P(C1) = 0/6 = 0$$
 $P(C2) = 6/6 = 1$
 $Gini = 1 - P(C1)^2 - P(C2)^2 = 1 - 0 - 1 = 0$

C1	1
C2	5

P(C1) =
$$1/6$$
 P(C2) = $5/6$
Gini = $1 - (1/6)^2 - (5/6)^2 = 0.278$

$$P(C1) = 2/6$$
 $P(C2) = 4/6$
 $Gini = 1 - (2/6)^2 - (4/6)^2 = 0.444$

Computing Gini Index for a Collection of Nodes

When a node p is split into k partitions (children)

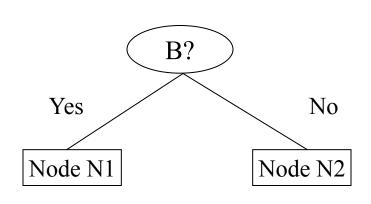
$$GINI_{split} = \sum_{i=1}^{k} \frac{n_i}{n} GINI(i)$$

where, n_i = number of records at child i, n_i = number of records at parent node p.

- Choose the attribute that minimizes weighted average Gini index of the children
- Gini index is used in decision tree algorithms such as CART, SLIQ, SPRINT

Binary Attributes: Computing GINI Index

- Splits into two partitions
- Effect of Weighing partitions:
 - Larger and Purer Partitions are sought for.



	Parent
C1	6
C2	6
Gini	= 0.500

Gini(N1)

$$= 1 - (5/6)^2 - (1/6)^2$$

= 0.278

Gini(N2)

$$= 1 - (2/6)^2 - (4/6)^2$$

= 0.444

	N1	N2								
C1	5	2								
C2	1	4								
Gini=0.361										

Gini(Children)

= 6/12 * 0.278 +

6/12 * 0.444

= 0.361

Categorical Attributes: Computing Gini Index

- For each distinct value, gather counts for each class in the dataset
- Use the count matrix to make decisions

Multi-way split

		CarType										
	Family	Family Sports Luxury										
C1	1	8	1									
C2	3	0	7									
Gini		0.163										

Two-way split (find best partition of values)

	CarType {Sports, Luxury} {Family								
C1	9	1							
C2	7	3							
Gini	0.468								

	CarType								
	{Sports}	{Family, Luxury}							
C1	8	2							
C2	0	10							
Gini	0.1	67							

Continuous Attributes: Computing Gini Index

- Use Binary Decisions based on one value
- Several Choices for the splitting value
 - Number of possible splitting valuesNumber of distinct values
- Each splitting value has a count matrix associated with it
 - Class counts in each of the partitions, A < v and A ≥ v
- Simple method to choose best v
 - For each v, scan the database to gather count matrix and compute its Gini index
 - Computationally Inefficient!
 Repetition of work.

ID	Home Owner	Marital Status	Annual Income	Defaulted
1	Yes	Single	125K	No
2	No	Married	100K	No
3	No	Single	70K	No
4	Yes	Married	120K	No
5	No	Divorced	95K	Yes
6	No	Married	60K	No
7	Yes	Divorced	220K	No
8	No	Single	85K	Yes
9	No	Married	75K	No
10	No	Single	90K	Yes



Continuous Attributes: Computing Gini Index...

- For efficient computation: for each attribute,
 - Sort the attribute on values
 - Linearly scan these values, each time updating the count matrix and computing gini index
 - Choose the split position that has the least gini index

	Cheat		No No				No No Yes					s	Υє	'es No			No I		N	No		No	
	Annual Income																						
Sorted Values	\longrightarrow		60		70		7	5	85	,	9()	9	5	10	00	12	20	12	25	220		
Split Positions		55		6	5	7	2	8	80		87		92		7	110		12	22	17	72	230	
		"	>	<=	>	\underset{\underset}{\underset}	>	<=	>	"	>	<=	>	\=	>	\	>	\underset{\underset}{\underset}	>	\underset{\underset}{}	>	<=	>
	Yes	0	3	0	3	0	3	0	3	1	2	2	1	3	0	3	0	3	0	3	0	3	0
	No	0	7	1	6	2	5	3	4	3	4	3	4	3	4	4	3	5	2	6	1	7	0
	Gini 0.420 0.400 0		0.3	0.375		343 0).417 0.		0.400		<u>0.300</u>		43	0.375		0.4	00 0.420		20			

Measure of Impurity: Entropy

• Entropy at a given node t:

$$Entropy(t) = -\sum_{j} p(j|t) \log p(j|t)$$

(NOTE: $p(j \mid t)$ is the relative frequency of class j at node t).

- Maximum (log n_c) when records are equally distributed among all classes implying least information
- Minimum (0.0) when all records belong to one class, implying most information
- Entropy based computations are quite similar to the GINI index computations

Computing Entropy of a Single Node

$$Entropy(t) = -\sum_{j} p(j|t) \log_{2} p(j|t)$$

C1	0
C2	6

$$P(C1) = 0/6 = 0$$
 $P(C2) = 6/6 = 1$
Entropy = -0 log 0 - 1 log 1 = -0 - 0 = 0

C1	1
C2	5

$$P(C1) = 1/6$$
 $P(C2) = 5/6$
 $Entropy = -(1/6) log_2 (1/6) - (5/6) log_2 (1/6) = 0.65$

$$P(C1) = 2/6$$
 $P(C2) = 4/6$

Entropy =
$$-(2/6) \log_2 (2/6) - (4/6) \log_2 (4/6) = 0.92$$

Computing Information Gain After Splitting

Information Gain:

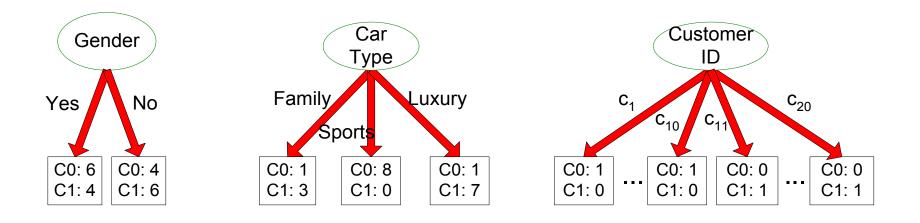
$$GAIN_{split} = Entropy(p) - \left(\sum_{i=1}^{k} \frac{n_i}{n} Entropy(i)\right)$$

Parent Node, p is split into k partitions; n_i is number of records in partition i

- Choose the split that achieves most reduction (maximizes GAIN)
- Used in the ID3 and C4.5 decision tree algorithms

Problems with Information Gain

 Info Gain tends to prefer splits that result in large number of partitions, each being small but pure



 Customer ID has highest information gain because entropy for all the children is zero

Gain Ratio

Gain Ratio:

$$GainRATIO_{split} = \frac{GAIN_{split}}{SplitINFO} SplitINFO = -\sum_{i=1}^{k} \frac{n_i}{n} \log \frac{n_i}{n}$$

Parent Node, p is split into k partitions n_i is the number of records in partition i

- Adjusts Information Gain by the entropy of the partitioning (SplitINFO).
 - Higher entropy partitioning (large number of small partitions) is penalized!
- Used in C4.5 algorithm
- Designed to overcome the disadvantage of Information Gain

Measure of Impurity: Classification Error

Classification error at a node t :

$$Error(t) = 1 - \max_{i} P(i \mid t)$$

- Maximum (1 1/n_c) when records are equally distributed among all classes, implying least interesting information
- Minimum (0) when all records belong to one class, implying most interesting information

Computing Error of a Single Node

$$Error(t) = 1 - \max_{i} P(i \mid t)$$

C1	0
C2	6

$$P(C1) = 0/6 = 0$$
 $P(C2) = 6/6 = 1$

Error =
$$1 - \max(0, 1) = 1 - 1 = 0$$

$$P(C1) = 1/6$$
 $P(C2) = 5/6$

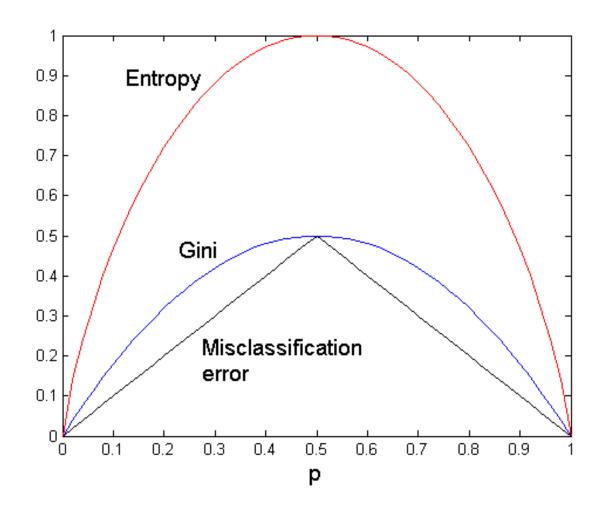
Error =
$$1 - \max(1/6, 5/6) = 1 - 5/6 = 1/6$$

$$P(C1) = 2/6$$
 $P(C2) = 4/6$

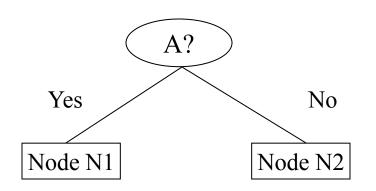
Error =
$$1 - \max(2/6, 4/6) = 1 - 4/6 = 1/3$$

Comparison among Impurity Measures

For a 2-class problem:



Misclassification Error vs Gini Index



	Parent
C1	7
C2	3
Gini = 0.42	

Gini(N1)
=
$$1 - (3/3)^2 - (0/3)^2$$

= 0

Gini(N2)
=
$$1 - (4/7)^2 - (3/7)^2$$

= 0.489

	N1	N2	
C1	3	4	
C2	0	3	
Gini=0.342			

Gini(Children)

= 3/10 * 0

+ 7/10 * 0.489

= 0.342

Gini improves but error remains the same!!

Decision Tree Based Classification

• Advantages:

- Inexpensive to construct
- Extremely fast at classifying unknown records
- Easy to interpret for small-sized trees
- Accuracy is comparable to other classification techniques for many simple data sets