



## 14-763/18-763: Systems and Toolchains for AI Engineers

**Meeting Dates/Times:**

Section A: Mon/Wed 3:30PM – 4:50PM ET

Section B: Tue/Thu 11:00AM – 12:20PM ET

**Location:** CIC 1201**Course Webpage:** <https://canvas.cmu.edu/courses/36080>**Semester:** Fall, **Year:** 2023**Units:** 12, **Sections:** A & B

### Co-Instructor

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<b>Office Hours Location</b>	CMU Remote
<b>Office Hours</b>	Wednesday 2 - 3PM ET and Thursday 9:30-10:30AM ET Conducted remotely via Zoom (URL is available on course home page)

### Co-Instructor

<b>Name</b>	Guannan Qu (he/him)
<b>Contact Info</b>	<a href="mailto:gqu@andrew.cmu.edu">gqu@andrew.cmu.edu</a>
<b>Office Hours Location</b>	CMU Remote
<b>Office Hours</b>	Wednesday 2 - 3PM ET and Thursday 9:30-10:30AM ET Conducted remotely via Zoom (URL is available on course home page)

### Course Description

- Adopting Artificial Intelligence in modern era has much more to it than learning the theoretical foundation of AI algorithms. The implementation of Machine Learning and Artificial Intelligence at large scale requires solid technical infrastructure to support its complex, heavy processes. In this course, students will learn to be effective users of AI systems. Students will gain hands-on experience with modern ML frameworks and infrastructure tools, in the context of large real-world datasets and under conditions requiring engineering design choices. This experience will be gained in conjunction with practical application of class topics on real cloud environment.
- The premise of this course is to build a broad and solid foundation in Artificial Intelligence Infrastructure that will pay significant dividends throughout a student's research and work career across data science and Artificial Intelligence related fields. In this class, we will focus on the following topics:

- Data Collection and Storage.
  - Data Streaming.
  - Data Engineering.
  - Modern ML Frameworks.
  - Model Validation and Monitoring.
  - Neural Network Design and Implementation.
  - Embedded Machine Learning.
  - Deployment of ML Models to the Cloud.
- The course material will focus on recent and landmark research papers and existing tools and software systems. Students will have substantial programming project work in which they design, implement, and analyze aspects of AI-model infrastructure. This course will use an IoT-relevant dataset throughout the semester. The format of this course will be a mix of lectures and hands-on labs. Students will be responsible for readings, and completing a hands-on project focused on developing applications on Apache Spark, TensorFlow, PyTorch Apache Kafka, PostgreSQL and NoSQL. Readings will be selected from recent conference proceedings and journals.
  - **Prerequisites:** Practical experience in Python is highly preferable and exposure to machine learning experience is preferred. There will be a Python lecture offered in the second week of the class to help the students who needs a Python refresher. If you have questions about your programming experience, please let me know at the beginning of the semester, so we can decide how to proceed.
  - **Class Structure:** Lectures will be synchronous, and attendance is required. Lecture slides are delivered via [TopHat](#), an online course delivery system. TopHat can be accessed on a smartphone or laptop. For this purpose, I will ask you in advance to bring your laptop or mobile device, and we will review how to use the tool together in class. If you do not have the necessary equipment, please contact your [HUB liaison](#) who is available to help you tap into appropriate resources. Join code will be posted on Canvas. In addition, lecture materials will be offered via Jupyter Notebooks.

## Learning Objectives

In taking and successfully completing this course, students will learn how to, e.g.,

- apply best practices in collecting, storing, and modeling data of various size;
- gain hands-on experience in building and analyzing large-scale AI infrastructure components and developing and testing services that operate within them;
- practice the implementation of large-scale AI algorithms in modern machine-learning frameworks;
- implement data engineering pipelines to streamline the development of complex AI-models;
- understand the benefits of “Productionizing” large complex AI models and deploying them to the Cloud to promote scalability and high availability;
- apply best practices in designing and building neural networks; and
- understand the aspects of building machine learning models for embedded devices

The work that you will carry out in this course maps to some of the learning outcomes that INI has defined as vital to the full CMU graduate-level experience. Specifically:

- self-directed learning;
- critical thinking; and
- complex problem solving.

## Learning Resources

There is no primary textbook, as most reading material will come from research papers and other technical documentation. Additional background reading material can be suggested upon request.

## Important Dates

- September 4<sup>th</sup>, 2022: Labor Day (no class/office hours)
- October 16<sup>th</sup> – October 20<sup>th</sup>, 2022: Fall break (no classes/office hours).
- November 7<sup>th</sup>: Democracy Day (no classes/office hours).
- November 22<sup>nd</sup>– November 24<sup>th</sup>, 2022: Thanksgiving Break (no class/office hours)
- December 6<sup>th</sup> and 7<sup>th</sup> (depending on your section schedule): Final exam (during lecture time)

## Assessments

Students are encouraged to attend class regularly, read the assigned reading material and participate in class discussions. The final grade will be based upon 1 exam, 1 project, 8 homework assignments, and in-class quizzes. In-class participation will grant student extra-credit that will help boosting their quiz score.

Final Exam	Project	Assignments	Quizzes
15%	20%	50%	15%

- **Final Exam:** is an open-note test.
  - Students will have access to all the **PDFs for lectures, readings and HW solutions**. Students can **bring any hard-copied materials with them**.
  - Students are required to follow the schedule of their registered section. **On the scheduled final lecture of each section, final exam will be released only to the registered students of the corresponding section.** Each section will have its final exam version(s).
  - **Exam will be offered via [Lockdown Browser](#) and no knowledge exchange is allowed among students during the exam.**
  - Students are expected to install and test Lockdown browser on their machines ahead of the exam. If students face an issue with Lockdown browser installation, students must reach out to the instructors **no later than 2 weeks** before the final exam date.
  - **Sharing hard-copied notes is prohibited during the exam.**
- **Quizzes:** are offered during each lecture via Canvas. Each quiz will be accessible via a unique access code that will be provided to students of the corresponding section. Students will have 5 minutes to answer 1-2 multiple choice questions.
- **Assignments:** will provide the opportunity to practice the concepts that are taught during the class. Students are expected to spend a good amount of time on their own to learn implementation details that are not provided during the lectures. Students will receive 8 assignments throughout the semester. Assignment schedule is shown on the last section of this syllabus.
- **Project:** details are released in week 3. Each student will have the option to team up with another student for the project and you will choose one of two project options to submit. Students will be expected to record a video including a code-walkthrough of their work and functionality demo showing the running version of their application. Project submission deadline is **November 16<sup>th</sup>, 2023 11:59PM ET**. Course project is solely student work. There will be a lot of self-learning that is needed from the students to complete the project. The course instructor will provide project-related hints, high-level directions, and clarifications during the lectures. However, students shouldn't expect any additional project support during office hours or via emails. Students are highly encouraged to give themselves enough time to learn the skills they need to complete the project. There will be one checkpoint to ensure that students are making good continuous progress (refer to

the proposed course schedule in the last section of this syllabus). Project grading rubric and evaluation will be released along with project details.

Students will be assigned the following final letter grades, based on rubric provided in the above table. +/- will be assigned to provide further granularity.

Letter Grade	Percentage Interval
A / A-	[85-100%] – A to start from 92
B	[70-85%)
C	[55-70%)
D	[40-55%)
R (F)	Below 40%

### Homework & Grading Policies

- Students are expected to check the course webpage on canvas regularly for announcements, class schedules, lecture notes, homework assignments, reading assignments, and other related course material.
- Assignments will be submitted online via **GitHub URLs**. For this purpose, sign up for a (free) account at GitHub.
- Homework and Project assignments must be turned in prior to their specified deadline. Typically, homework is **due one week** after it is assigned unless otherwise mentioned.
- Unless a valid reason is provided and permission is granted by the instructor, prior to the due date, **late submissions are acceptable for 3 days after the deadline with 20% penalty. Submissions made after 3 days will not be accepted.**
- For grading questions, email the TA or ask your question during TA office hours. If you continue to have an issue with the grading, you may ask your question during instructor's office hours.
- Students are encouraged to read their privacy rights in [Family Educational Rights and Privacy Act \(FERPA\)](#).

### Guidelines for Office Hours and Out-of-class Questions

- Students are encouraged to leverage office hours to get the support they need. Some office hours will be held in-person while others will be held via Zoom. Refer to the course page for details.
- If you have materials-related questions, you can post them on **Piazza** or ask them during instructor's office hours. You should plan to receive the question responses on **Piazza** during office hours' allocated time.
- You may email personal inquiries and severe emergencies to the instructor(s). The email subject line should begin with **"14-763" or "18-763"**. Emails sent to the instructor should be 2-3 lines maximum and the instructor's response will not be more than few words. Generally, the course instructor is available to respond to personal inquiries during M-F 9am-8pm ET. Please don't expect responses over the weekend or late at night.

### Expectations for Class Attendance

- Classes will be offered **synchronously and in-person only**.

- Students are highly encouraged to follow the schedule of their registered section. During every lecture, a quiz is released only to the registered students of the corresponding section.
- Class attendance and participation are important parts of the learning in this course. To account for this, a portion of the final grade is based on quizzes that are offered during the lectures (see assessment section). That said, I also recognize that students may need to miss class for a variety of reasons (religious observance, job interview, university-sanctioned event, or illness). For that reason, all students are permitted two class absences without any impact on the final grade. When you must miss class, please notify me (at least 24 hours in advance except for illness/emergency), so that we can discuss alternative arrangements for catching up on class and associated work. If you encounter extenuating circumstances and must miss more than two classes, please discuss the issue with me; I would like to find a way to support you.
- When attending the class in-person, I expect that you will abide by all behaviors indicated in [A Tartan's Responsibility](#), including any timely updates based on the current conditions.

## Recording of Class Sessions

- Class recordings will be offered after Tuesday/Thursday sessions for each lecture. Check the course homepage for additional details.

## Academic Integrity

- Discussing assignments with your classmates is allowed and encouraged, but it is important that every student gets practice working on these problems. This means that all the work you turn in must be your own. You must devise and write your own solutions and carry out your own tests. The general policy on homework collaboration is:
  - You must first make a serious effort to solve the problem.
  - If you are stuck after doing so, you may ask for help from another student. You may discuss strategies to solve the problem, but you may not look at their code, nor may they spell out the solution to you step-by-step.
  - Once you have gotten help, you must write your own solution individually. You must disclose, in your GitHub pull request, the names of anyone you got help from.
  - This also applies in reverse: if someone approaches you for help, you must not provide it unless they have already attempted to solve the problem, and you may not share your code or spell out the solution step-by-step.
  - These rules also apply to getting help from other people: friends not in the course, homework help websites, Stack Overflow, and so on.
  - You can always, of course, ask for help from the course instructors.
- Students may use generative-AI platforms (e.g., ChatGPT) to assist them with a portion of the homework solution. However, students are expected to cite the text (or code) that was generated from ChatGPT carefully.
- You may also use external sources (books, websites, papers, ...) to:
  - Look up programming language documentation, find useful packages, find explanations for error messages, or remind yourself about the syntax for some feature,
  - Read about general approaches to solving specific problems (e.g., a guide to dynamic programming or a tutorial on unit testing in your programming language), or
  - Clarify material from the course notes or assignments.
  - But external sources must be used to support your solution, not to obtain your solution. You may not use them to:
    - Find solutions to the specific problems assigned as homework (in words or in code)—you must independently solve the problem assigned, not translate a solution presented online or

elsewhere.

- Find course materials or solutions from this or similar courses from previous years, or
  - Copy text or code to use in your submissions without attribution.
- If you use code from online or other sources, you must include code comments identifying the source. It must be clear what code you wrote and what code is from other sources. This rule also applies to text, images, and any other material you submit.
- The INI academic integrity policy can be found in the INI Student Handbook [http://www.ini.cmu.edu/current\\_students/handbook/](http://www.ini.cmu.edu/current_students/handbook/), section IV-C.
- The INI and ECE adhere to Carnegie Mellon University's Policy on Academic Integrity. The policy includes the University expectations around academic integrity and provides definitions of cheating, plagiarism, and unauthorized assistance. A review of the University's Academic Disciplinary Actions procedures is also recommended. These procedures outline the process for investigating, reporting, and adjudicating violations of the University Policy on Academic Integrity, in addition to the appeal process. Students are responsible for reviewing and understanding the University policies listed in the university's handbook:
  - <https://www.cmu.edu/student-affairs/theword/>
- In addition to the university and college-level policies, it is the INI's policy that an INI student is not permitted to drop the course in which the academic integrity violation occurred. The INI may recommend additional sanctions beyond course-level action. This policy applies, in all respects, to this course.
- Student submissions are validated using Plagiarism-checker.

## Student Wellness

- Course work at this level can be intense, and we encourage you to take care of yourself. Do your best to maintain a healthy lifestyle this semester by eating well, exercising, avoiding drugs and alcohol, getting enough sleep and taking some time to relax. This will help you achieve your goals and cope with stress.
- All of us benefit from support during times of struggle. If you are having any problems or concerns, do not hesitate to come speak with us. There are also many resources available on campus that can provide help and support. Asking for support sooner rather than later is almost always a good idea.
- If you or anyone you know experiences any academic stress, difficult life events, or feelings like anxiety or depression, we strongly encourage you to seek support. Counseling and Psychological Services (CaPS) is here to help: call 412-268-2922 or visit [their website](#). Consider also reaching out to a friend, faculty member, or family member you trust to help get you the support you need.
- If you are worried about affording food or feeling insecure about food, there are resources on campus who can help. Email the CMU Food Pantry Coordinator to schedule an appointment:  
Pantry Coordinator  
[cmu-pantry@andrew.cmu.edu](mailto:cmu-pantry@andrew.cmu.edu)  
412-268-8704 (SLICE office)

## Diversity Statement

- **We must treat every individual with respect.** We are diverse in many ways, and this diversity is fundamental to building and maintaining an equitable and inclusive campus community. Diversity can refer to multiple ways that we identify ourselves, including but not limited to race, color, national origin, language, sex, disability, age, sexual orientation, gender identity, religion, creed, ancestry, belief, veteran status, or genetic information. Each of these diverse identities, along with many others not mentioned here, shape the perspectives our students, faculty, and staff bring to our campus. We, at CMU, will work to promote diversity, equity and inclusion not only because diversity fuels excellence and innovation, but because we want to pursue justice. We acknowledge our imperfections while we also fully commit to the work, inside and outside



of our classrooms, of building and sustaining a campus community that increasingly embraces these core values.

- Each of us is responsible for creating a safer, more inclusive environment.
- Unfortunately, incidents of bias or discrimination do occur, whether intentional or unintentional. They contribute to creating an unwelcoming environment for individuals and groups at the university. Therefore, the university encourages anyone who experiences or observes unfair or hostile treatment on the basis of identity to speak out for justice and support, within the moment of the incident or after the incident has passed. Anyone can share these experiences using the following resources:
  - **Center for Student Diversity and Inclusion:** [csdi@andrew.cmu.edu](mailto:csdi@andrew.cmu.edu), (412) 268-2150
  - **Report-It online anonymous reporting platform:** [reportit.net](https://reportit.net) username: tartans password: plaid
- All reports will be documented and deliberated to determine if there should be any following actions. Regardless of incident type, the university will use all shared experiences to transform our campus climate to be more equitable and just.

### Disability Resources

- If you have a disability and have an accommodations letter from the [Disability Resources office](#), we encourage you to discuss your accommodations and needs with us as early in the semester as possible. We will work with you to ensure that accommodations are provided as appropriate. If you suspect that you may have a disability and would benefit from accommodations but are not yet registered with the Office of Disability Resources, we encourage you to contact them at [access@andrew.cmu.edu](mailto:access@andrew.cmu.edu).

### Religious Observances

- In order to accommodate the observance of religious holidays, students should inform the instructor by email, within the first two weeks of the term, of any such days which conflict with scheduled class activities.

### Student Academic Success Center

SASC programs to support student learning include the following (program titles link to webpages):

- [Academic Coaching](#): This program provides holistic, one-on-one peer support and group workshops to help undergraduate and graduate students implement habits for success. Academic Coaching assists students with time management, productive learning and study habits, organization, stress management, and other skills. Request an initial consultation [here](#).
- [Peer Tutoring](#): Peer Tutoring is offered in two formats for students seeking support related to their coursework. Drop-In tutoring targets our highest demand courses through regularly scheduled open tutoring sessions during the fall and spring semesters. Tutoring by appointment consists of ongoing individualized and small group sessions. You can utilize tutoring to discuss course related content, clarify and ask questions, and work through practice problems.
- [Communication Support](#): Communication Support offers free one-on-one communication consulting as well as group workshops to support strong written, oral, and visual communication in texts including IMRaD and thesis-driven essays, data-driven reports, oral presentations, posters and visual design, advanced research, application materials, grant proposals, business and public policy documents, data visualisation, and team projects. Appointments are available to undergraduate and graduate students from any discipline at CMU. Schedule an appointment (in-person or video), attend a workshop, or consult handouts or videos to strengthen communication skills.
- [Language and Cross-Cultural Support](#): This program supports students seeking help with language and cross-cultural skills for academic and professional success through individual and group sessions. Students can get assistance with writing academic emails, learning expectations and strategies for clear academic writing, pronunciation, grammar, fluency, and more. Make an appointment with a Language Development Specialist to get individualized coaching.

- **Supplemental Instruction (SI):** This program offers a non-remedial approach to learning in historically difficult courses at CMU. It utilizes a peer-led group study approach to help students succeed and is facilitated by an SI leader, a CMU student who has successfully completed the course. SI offers a way to connect with other students studying the same course, a guaranteed weekly study time that reinforces learning and retention of information, as well as a place to learn and integrate study tools and exam techniques specific to a course.

## Mental Health Resources

- <https://www.cmu.edu/wellbeing/resources/timely-care.html>
- CaPS has partnered with TimelyCare for provision of virtual well-being services, including immediate emotional support 24/7 as frequently as needed, scheduled appointments with therapists that can be chosen by identity group and other features, health coaching (e.g., sleep issues, weight management, etc.), and group sessions for things like yoga, meditation, etc.

## Preliminary Course Schedule (Subject to Change)

Date	Topic	Notes	Instructor
<b>Week-0</b> (Aug. 14 <sup>th</sup> )	Refresh your Knowledge on Python and Numpy - Watch provided supplemental recordings	Survey to Test Your Knowledge on Python and Numpy	
<b>Week-1</b> (Aug. 28 <sup>th</sup> )	- Introduction & Syllabus - System Setup - Dataset Introduction and Business Context - Introduction to the Cloud and Apache Spark	- System Setup HW released	Mohamed
<b>Week-2</b> (Sep. 4 <sup>th</sup> )	- Python Review - Data Collection and Storage <ul style="list-style-type: none"> <li>• SQL Review</li> </ul>	- System Setup HW deadline. - SQL on PostgreSQL HW released.	Mohamed
<b>Week-3</b> (Sep. 11 <sup>th</sup> )	- Spark SQL and Data Frames - NoSQL Database	- SQL on PostgreSQL HW deadline. - Course Project Information Released	Mohamed
<b>Week-4</b> (Sep. 18 <sup>th</sup> )	- Lab: AWS DynamoDB - Data Streaming & Lab on Confluent-Kafka	- Refresh your Statistical Background - NoSQL & Confluent-Kafka homework released	Mohamed
<b>Week-5</b> (Sep. 25 <sup>th</sup> )	- Data Engineering	- NoSQL & Confluent-Kafka homework deadline. - Data engineering in SparkML homework released	Mohamed



<b>Week-6</b> (Oct. 2 <sup>nd</sup> )	- Introduction to SparkML - SparkML Training and Evaluation	- Data engineering in SparkML HW deadline	Guannan
<b>Week-7</b> (Oct. 9 <sup>th</sup> )	- Model Hyper-parameter Optimization - ML Model Selection	- SparkML HW released - Course Project Checkpoint	Guannan
Fall Break (Oct. 16 <sup>th</sup> - Oct. 20 <sup>th</sup> )			
<b>Week-8</b> (Oct. 23 <sup>rd</sup> )	- Introduction to Pytorch - SGD & Neural Networks	- SparkML HW deadline	Guannan
<b>Week-9</b> (Oct. 30 <sup>th</sup> )	- Data Management and Training/Testing - Hyper-Parameter Tuning	- PyTorch HW released	Guannan
<b>Week-10</b> (Nov. 6 <sup>th</sup> )	- GPU Acceleration - Distributed Training	- PyTorch HW deadline	Guannan
<b>Week-11</b> (Nov. 13 <sup>th</sup> )	TensorFlow	- Course Project Deadline - TensorFlow HW release	Guannan
<b>Week-12</b> (Nov. 20 <sup>th</sup> )	TinyML	- TensorFlow HW deadline - TinyML HW released	Mohamed
<b>Week-13</b> (Nov. 27 <sup>th</sup> )	TinyML	- TinyML HW deadline	Mohamed
<b>Week-14</b> (Dec. 4 <sup>th</sup> )	- ML Model Deployment to the Cloud & MLOps - Final Exam		Mohamed