

End Sem Paper

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Q11) Propose a strategy that can be used to improve the concurrency degree of a tree-based protocol. Create your own example of a schedule to illustrate the proposed strategy.

Ans) In the existant tree based protocols, the following rules are followed,

- (1) Partial order on database items determines a tree like structure
- (2) Only exclusive locks are allowed.
- (3) The first lock by T<sub>i</sub> may be on any data item. Subsequently, a data Q can be locked by T<sub>i</sub> only if the parent of Q is currently locked by T<sub>i</sub>
- (4) Data items can be unlocked at any time.

The purpose of an exclusive lock is to:

- (a) Prohibit access of other transactions from its

unlocked data items to the subtree where it will yet lock additional data items.

(b) To prohibit access to an individual data item that it intends to update

(c) To prevent deadlock.

In general, the restrictions for these protocols state that an item cannot be locked unless the transaction is holding locks on a set of items (fathers of ~~the~~ item), and that this set has some functional relational to other sets of the item in order to maintain serializability.

But the idea of using exclusive locks on data items to prohibit access the rest of the graph is ill-concieved. This can be overcome by using an

Edge Lock Model

## Edge Lock Model

Apart from other protocols, here, a transaction can issue locks on edges as well. There are two lock modes in this model, The first lock mode is denoted EX, and prevents transactions that come after  $T_i$  in the History to enter the subgraph that  $T_i$  is operating in. The second mode allows transactions which do not come after  $T_i$  in  $H$  to enter the subgraph and is denoted ES.

EX conflict with EX, ES while ES is conflicting with only EX.

(History  $H$  is chronological sequence of Transactions

$$\text{i.e.) } H = \{ T_0, T_1, \dots, T_{N-1} \}$$

If transaction  $T_i$  holds a lock on a data item or edge in mode  $M$  and  $T_j$  requests a lock in conflicting mode on the same entity then  $T_j$  must wait for  $T_i$ .



An Edge lock protocol can be used to improve the concurrency of a tree based protocol.

Transformation from a Graph protocol to Edge protocol

We initially consider a tree  $T$  using exclusive locks and the following protocol.

$T_i$  can request a lock on vertex  $A$  iff

- (i)  $A$  is the first vertex locked by  $T_i$  on the father of  $A$  is locked in X mode by  $T_i$
- (ii)  $A$  has not yet been locked by  $T_i$
- (iii) A vertex can be unlocked at any time.

The corresponding edge lock protocol derived from the previous protocol is as follows

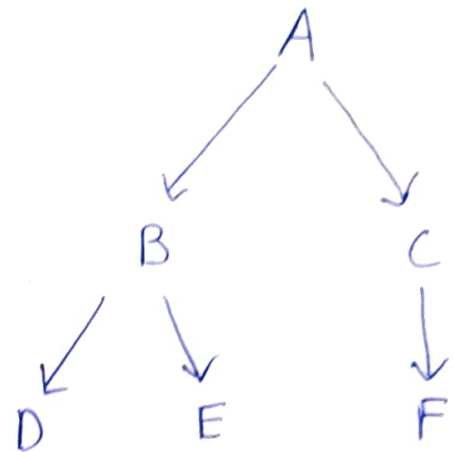
\*  $T_i$  can lock edge  $\langle A, B \rangle$  in EX mode iff:

- (i)  $\langle A, B \rangle$  is the first edge to be locked by  $T_i$  or  $T_i$  has a lock on father of  $\langle A, B \rangle$
- (ii)  $\langle A, B \rangle$  has not been previously locked by  $T_i$

$T_i$  can lock an item  $A$  in X mode if it holds a lock on the incoming edge  $\langle Q, A \rangle$  where  $Q$  is father of  $A$ .

Initial Tree protocol

<u>T<sub>1</sub></u>	<u>T<sub>2</sub></u>	<u>T<sub>3</sub></u>
X(A)	X(B) U(B)	X(C) U(C)
X(B) X(D) X(C) X(F) U(F)		X(F) U(F)
U(C) U(D) U(B)		



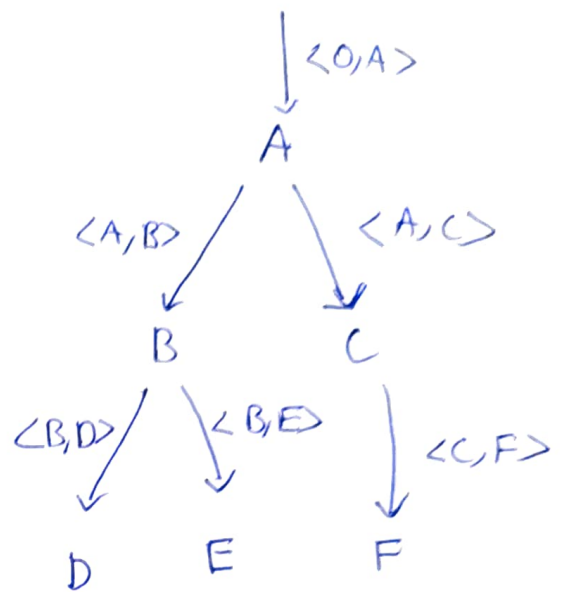
In this example, there are two redundancies (circled above).

Originally T<sub>1</sub> required only exclusive locking on D, F but due to the protocol, Even B, C are locked. But as

T<sub>2</sub>, T<sub>3</sub> ~~have~~ have locked B, C respectively T<sub>1</sub> has to wait for both even though it never needed B, C. This anomaly has to be fixed.

# Edge lock protocol

<u>T<sub>1</sub></u>	<u>T<sub>2</sub></u>	<u>T<sub>3</sub></u>
Ex(O,A)	Ex(A,B)	
X(A)	X(B) UE(A,B)	Ex(A,C) X(C) UE(A,C)
Ex(A,B) Ex(B,D) X(D) Ex(A,C) Ex(C,F) X(F)		X(C) UE(A,C) X(F) U(F)
U(A,B) U(D) U(A) U(D,A) U(B,D) U(C,F)	U(B)	U(C)



Here, for each ~~node~~ edge, we add a dummy item.

Eg. For edge connecting A, B it is  $\langle A, B \rangle$ . Now, if

we look at the instances which caused anomalies in first place, i.e)  $X(B)$ ,  $X(C)$  of  $T_1$  are not even

present now. Instead, they are replaced by  $EX(A, B)$  and

$EX(C, F)$  respectively. Now, irrespective of how long

$T_2$  holds  $B$  (Gap between  $X(B)$ ,  $U(B)$ ) and  $T_3$  holds

$C$  (Gap between  $X(C)$ ,  $U(C)$ ),  $T_1$  can occur independently

hence promoting concurrency or parallelism.

CS-354End-Sem Quiz (Numericals)

Q1)

Given,

Disk rotates at 10,000 rpm

50,000 tracks per surface,

120 sectors per track,

4 platters,

Avg. Seek time = 5 ms

Sector size = 512 Bytes

Block size = 2 sectors = 1 KB.

Required: Avg Seek Time + Avg. Rotational latency time  
+ Avg. Block transfer time.

Position on correct track →      Position on correct sector →

Seek Time = 5ms (Given)Rotational latency time  $\Rightarrow$  Average is for moving half of the sector

$$1 \text{ rotation} = \frac{1}{10,000} \text{ min} = \text{Full track}$$

$$\frac{1}{2} \text{ rotation} = \frac{1}{2} \times \frac{60}{10,000} \times 1000 \text{ ms} = \text{Half track}$$

$$\Rightarrow \underline{\underline{3 \text{ ms}}}$$



Data transfer time :

Generally, the transfer rate ranges from 25 MB/s to 40 MB/s.

And a block here is 1 KB.

$$\therefore 1 \text{ MB} = \frac{1}{25} \text{ sec}$$

$$1 \text{ KB} = \frac{1}{25} \times \frac{1000}{1024} \text{ ms} \approx 0.03 \text{ ms}$$

$$\text{Total time} = 5 + 3 + 0.03 = \boxed{8.03 \text{ ms}}$$

3)

In a B<sup>+</sup> tree, a node is usually fitted into a block. We also know, that a node should contain (N-1) values and N Keys.

$$\therefore 4N + 8(N-1) = 512$$

$$\Rightarrow 12N - 8 = 512 \Rightarrow 12N = 520$$

$$N = \frac{520}{12} \approx 43.33$$

$\therefore \boxed{43}$  is the ideal degree of node.

9)

<u><math>T_1</math></u>	<u><math>T_2</math></u>
$\downarrow$ 10 units $X(A)$	$X(B)$ $\downarrow$ 150 units $U(B)$
$\downarrow$ 10 units $X(B)$ $X(C)$	

Given,  $T_1$  requires  $X(A)$ ,  $X(C)$  while  $T_2$  requires  $X(B)$

Both of these are non-conflicting. And it is also given that data dependency is  $A \rightarrow B \rightarrow C$ . Now  $T_1$  will execute operations on A taking 10 units.

Next  $T_2$  takes 150 units for B.  $T_1$  takes 10 from then onwards for C.  $T_1$  waits for  $U(B)$  as protocol requires lock on B for  $X(C)$ .