

Testing the limits of quantum mechanical superpositions

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Quantum physics has intrigued scientists and philosophers alike, because it challenges our notions of reality and locality — concepts that we have grown to rely on in our macroscopic world. It is an intriguing open question whether the linearity of quantum mechanics extends into the macroscopic domain. Scientific progress over the past decades inspires hope that this debate may be settled by table-top experiments.

The past three decades have witnessed what has been termed¹ the second quantum revolution: a renaissance of research on the quantum foundations, hand in hand with growing experimental capabilities², revived the idea of exploiting quantum superpositions for technological applications, from information science^{3–5} to precision metrology^{6–8}. Quantum mechanics has passed all precision tests with flying colours, but it still seems to be in conflict with our common sense. As quantum theory knows no boundaries, everything should fall under the sway of the superposition principle, including macroscopic objects. This is at the bottom of Schrödinger's thought experiment of transforming a cat into a state that strikes us as classically impossible. And yet, 'Schrödinger kittens' of entangled photons⁹ and ions¹⁰ have been realized in the lab.

So why are the objects around us never found in superpositions of states that would be impossible in a classical description? One may emphasize the smallness of Planck's constant, or point to decoherence theory, which describes how a system will effectively lose its quantum features when coupled to a quantum environment of sufficient size^{11,12}. The formalism of decoherence, however, is based on the framework of unitary quantum mechanics, implying that some interpretational exercise is required not to become entangled in a multitude of parallel worlds¹³. More radically, one may ask whether quantum mechanics breaks down beyond a certain mass or complexity scale. As will be discussed below, such ideas can be motivated by the apparent incompatibility of quantum theory and general relativity. It is safe to state, in any case, that quantum superpositions of truly massive, complex objects are *terra incognita*. This makes them an attractive challenge for a growing number of sophisticated experiments.

We start by reviewing several prototypical tests of the superposition principle, focusing on the quantum states of motion exhibited by material objects. Particle position and momentum variables have a well-defined classical analogue, and they are therefore particularly suited to probe the macroscopic domain. We note that aspects of macroscopicity can also be addressed in experiments with photons^{14–16}, with the phonons of ion chains¹⁷, and by squeezing pseudospins^{8,18}.

State of the art

Superconducting quantum interference devices (SQUIDs) have recently attracted a lot of interest, because they are promising

elements of quantum information processing¹⁹. A SQUID is a superconducting loop segmented by Josephson junctions. Its electronic and transport properties are determined by a macroscopic wavefunction ordering the Cooper pairs. To exploit this macroscopicity it is appealing to consider a flux qubit²⁰ (Fig. 1a): the single-valuedness of the wavefunction means that the magnetic flux encircled by a closed-loop supercurrent must be quantized. In particular, one can define a symmetric and an antisymmetric linear combination of two supercurrents, which circulate simultaneously in opposing directions. Billions of electrons may contribute coherently to the wavefunction over mesoscopic dimensions. The difference between the clockwise and anti-clockwise currents²¹ can reach about $2 \mu\text{A}$, amounting to a local magnetic moment of about 10^{10} Bohr magnetons. This is an impressive number, which has led to the suggestion that SQUIDs may exhibit the most macroscopic quantum superposition to date. However, 'only' a few thousand of the Cooper pairs carrying the different currents are distinguishable²², which points to the need for an objective measure of macroscopicity (Box 1).

Historically, perfect-crystal neutron quantum optics²³ made many interference experiments with atoms and photons possible. As the de Broglie wavelength of thermal neutrons is comparable to the lattice constant of silicon, quantum diffraction off the nuclei may split the neutron wavefunction at large angles. As of today, neutron interferometry still realizes the widest delocalization of any massive object²⁴. With an arm separation up to 7 cm, enclosing an area of 80 cm^2 , it allows one to stick a hand between the two branches of a quantum state that describes a single microscopic particle (Fig. 1b). Even though neutrons are very light neutral particles, they are prime candidates for emergent tests of post-Newtonian gravity at short distances^{25,26}. With an electrical polarizability twenty orders of magnitude smaller than for atoms, neutrons are much less sensitive to electrostatic perturbations, such as charges, patch effects or van der Waals forces.

Much better control and signal to noise can be achieved by using atoms. Atom interferometry (Fig. 1c) started about 30 years ago^{27–29}. The development of Raman³⁰ beam-splitters then transformed the tools of basic science into high-precision quantum sensors that split, invert and recombine the atomic wavefunction in three short laser pulses (Fig. 1c). In particular, inertial forces such as gravity and Coriolis forces^{31,32} have been measured with stunning precision in experiments that also promise new tests of general relativity³³.

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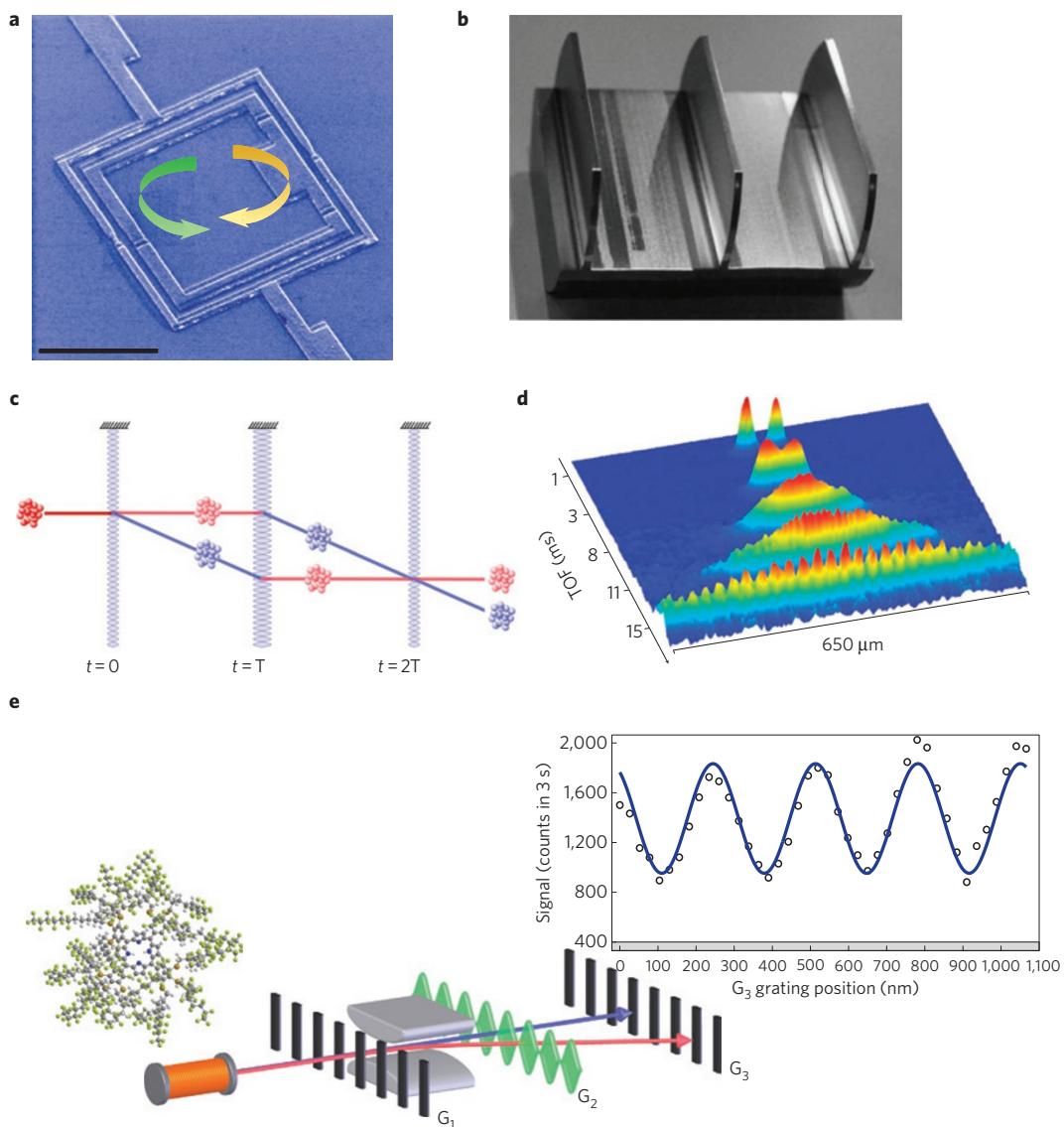


Figure 1 | Superposition experiments. **a**, A flux qubit realizes a quantum superposition of left- and right-circulating supercurrents²¹ with billions of electrons contributing to the quantum state. **b**, Neutron interferometry with perfect crystal beam-splitters holds the current record in matter-wave delocalization²⁴, separating the quantum wave packet by up to 7 cm. **c**, Modern atom interferometry achieves coherence times beyond two seconds with wave-packet separations up to 1.5 cm (refs 36–38). **d**, Interference of two clouds of Bose–Einstein condensed diatomic lithium molecules¹⁰¹. **e**, Kapitza-Dirac-Talbot-Lau interferometer for macromolecules^{44,54,57}. Figures reproduced with permission from: **a**, ref. 20, 2008 NPG; **b**, ref. 24, © 2002 Elsevier; **d**, ref. 101, © C. Kohstall and R. Grimm, University of Innsbruck, Austria; **e**, ref. 57, © 2010 RSC.

The mass in these experiments is always limited to that of a single atom, in practice to the caesium mass of 133 AMU. A degree of macroscopicity can still be reached in the spatial extension of the wavefunction and in coherence time. The achievable delocalization depends on the momentum transfer in the beam-splitting element, whereas the coherence time is essentially determined by the duration of free fall in the apparatus. Both impressively wide-angle beam splitters^{34,35} and very long coherence times³⁶ have been demonstrated separately, and been recently combined in an experiment with rubidium atoms, whose wave packets get separated for 2.3 s with a maximal distance of 1.4 cm (ref. 37). Future quantum sensors are expected to increase the sensitivity of quantum metrology by several orders of magnitude. The coherence time grows only with the square root of the device length, so that it will be practically limited to several seconds in Earth-bound devices, even in high-drop towers. Progress in matter-wave beam splitting will depend on improved wavefront control of the beam splitting

lasers and other technological breakthroughs. If it were possible to build interferometers of 100 m length with beam-splitters capable of transferring a hundred grating momenta³⁸, atomic matter would be delocalized over distances of metres. Even though designed for testing the effects of general relativity^{33,39}, such experiments would also test the linearity of quantum mechanics⁴⁰ as well as the homogeneity of spacetime⁴¹.

It is frequently suggested that ultra-cold atomic ensembles may serve to test the linearity of quantum physics even better, as all atoms can be described by a joint many-body wavefunction once they are cooled below the phase transition to Bose–Einstein condensation (Fig. 1d). Billions of non-interacting atoms may be united in a quantum degenerate state, which is, however, a product of single-particle states $\psi \propto (|0\rangle + |1\rangle)^{\otimes N}$, so that interference of Bose-condensed atoms depends only on the de Broglie wavelength of single atoms. A genuinely entangled many-particle state $\psi \propto |0\rangle^{\otimes N} + |1\rangle^{\otimes N}$ akin to a Schrödinger cat state

Box 1 | Measuring macroscopicity.

How can one compare different experimental approaches towards establishing large mechanical superposition states? Various measures are on offer for attributing a size to a given state^{79,95–100}. They presuppose a distinguished partitioning of the many-particle Hilbert space into single degrees of freedom, and most of them rely on distinguished measurement or decoherence bases. Such approaches work well if the examined systems and states are of the same kind, but they do not allow us to compare disparate mechanical superposition states in an unbiased way; for example, superconducting ring currents with an interfering buckyball.

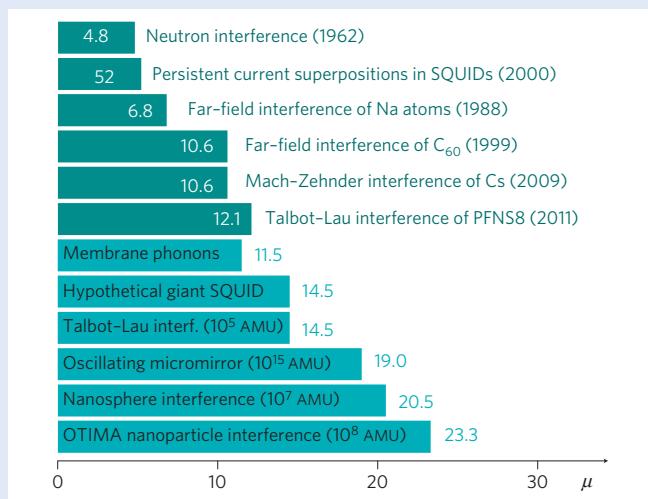


Figure B1 | Macroscopicities of different superposition experiments.

Macroscopicities μ reached in past experiments (top) and proposed tests (bottom) of the superposition principle as evaluated in ref. 40.

would be required to reduce the fringe spacing. Such macroscopic cat states with regard to the particle motion have remained an open challenge, even though entanglement in other degrees of freedom has been demonstrated between dozens of atoms^{7,8,42}. In contrast to that, macromolecules and clusters open a new field involving strongly bound particles with internal temperatures up to 1,000 K. When N atoms are covalently linked into a single molecule they act as a single object in quantum interference experiments. The entire N -atom system is then delocalized over two or more interferometer arms.

Macromolecule interferometry started originally with the far-field diffraction of fullerenes⁴³ and works with high-mass objects in currently two different settings: the Kapitza-Dirac-Talbot-Lau interferometer (KDTLI) and an all-optical interferometer in the time domain with pulsed ionization gratings (OTIMA). Both concepts were developed and implemented at the University of Vienna^{44,45} and are based on similar ideas. In high-mass matter-wave interference we face de Broglie wavelengths between 10 fm and 10 pm for objects between 10¹⁰ and 10³ AMU. This is more than six orders of magnitude smaller than in all experiments with ultra-cold atoms. Macromolecules are not susceptible to established laser cooling techniques, although first steps towards the cavity cooling of 10¹⁰ AMU objects have been taken^{46,47}. The particles therefore start out in rather mixed states, requiring near-field interference schemes⁴⁸.

The KDTLI interferometer is sketched in Fig. 1e. It accepts a large variety of nanoparticles, because it uses only non-resonant gratings to split (G_1), diffract (G_2) and probe (G_3) matter-waves. The first grating (G_1) implements a spatially periodic transmission

To circumvent this problem, a recent macroscopicity measure⁴⁰ quantifies the empirical relevance of the concrete experiment at hand, rather than an abstract state in Hilbert space. Ultimately, any such experiment tests the hypothesis that the superposition principle is no longer valid at a certain scale. Thus, the more macroscopic a superposition state is, the better its demonstration rules out even minimal modifications of quantum mechanics that lead to classical behaviour on the macroscale.

To turn this into a definite measure one needs to parametrize the class of minimal classicalizing modifications. This can be done without looking at specific realizations, such as the continuous spontaneous localization model, by focusing on their observational consequences on the level of the density operator. Demanding the modification to obey basic symmetry and consistency requirements (Galilean and scale invariance, consistent treatment of identical and of uncorrelated particles), the scope of falsified theories can be characterized in the end by a single bound, a coherence time parameter τ_e . Given two experiments, the one implying a larger value of τ_e is thus more macroscopic, and one may define its degree of macroscopicity as $\mu = \log_{10}(\tau_e/1\text{s})$. The electron is taken as reference, such that the experiment confirms quantum mechanics as strongly as an electron behaving like a wave for longer than 10^μ s (ref. 40).

Figure B1 shows the macroscopicities for a selection of past and proposed experiments. The superconducting loop currents of ref. 21 feature as relatively low owing to the small electron mass and coherence time. It would be much higher in a hypothetical large SQUID with a length of 20 mm and 1 ms coherence time. For the oscillating micromembrane we assume that the device from ref. 84 can be kept in a superposition of the zero- and one-phonon states for 1,000 oscillation periods.

function. The size of the slits and the separation between G_1 and G_2 are chosen such that the position-momentum uncertainty in each slit is sufficient to expand each particle's wavefunction to cover more than two slits in G_2 downstream. To achieve this, G_1 must be an absorptive mask, here realized as a silicon nitride nanostructure. Grating G_2 , a non-resonant standing light wave, imprints a spatially periodic phase onto the matter-wave. A near-field resonance effect rephases the wavefunctions to a molecular density pattern at the position of G_3 . Although one might capture the emerging quantum fringe pattern on a substrate for subsequent high-resolution microscopy^{49,50}, it is often convenient to scan the absorptive mask G_3 across the nanopattern: a plot of the number of transmitted particles as a function of the mask's position reveals the molecular interferogram (Fig. 1e).

In contrast to the KDTLI, an OTIMA interferometer relies on three pulsed gratings that ionize and thus remove the molecules at the anti-nodes of an ultraviolet standing-wave laser beam⁵¹. Such all-optical gratings can handle of highly polarizable or polar particles, and their pulsed nature allows us to profit from working in the time domain. All particles exposed to the spatially extended nanosecond laser pulses then see the same grating for the same time, regardless of their velocity. This eliminates numerous dispersive dephasing phenomena, which is particularly beneficial for quantum tests at high masses^{52,53}. KDTLI and OTIMA are ‘universal’ in the sense that they can accept a wide class of different objects and both avoid the detrimental effect of van der Waals forces in G_2 by using non-resonant optical beam-splitters.

Experiments in the KDTLI currently hold the mass record in matter-wave interference, with a functionalized

tetrphenylporphyrin molecule that combines 810 atoms into one particle with a molecular weight exceeding 10,000 AMU (ref. 54). Even at an internal temperature of 500 K this object can be delocalized over a hundred times its own diameter and for more than 1 ms. Very recently, the OTIMA concept has been demonstrated⁴⁵ with clusters of molecules. It will soon be used to explore quantum coherence at unprecedented masses⁵². Both interferometers also share a high potential for quantum-assisted metrology targeting internal properties, which reveal themselves in de Broglie experiments owing to the phase shift induced by external fields^{55–57}.

Physics beyond the Schrödinger equation?

The experimental tests discussed so far confirm quantum mechanics impressively, as do high-precision spectroscopic measurements^{58,59} and tests of nonlocality^{60–62}. Many physicists take for granted that quantum theory is valid on macroscopic scales, the more so because environmental decoherence explains why macroscopic objects seem to assume the classically distinguished states we observe in our everyday life^{11,12} (Fig. 2).

Yet, there are good reasons to take seriously the possibility that quantum theory may fail beyond some scale. A compelling one is the difficulty of reconciling quantum theory with the nonlinear laws of general relativity, which treats spacetime as a dynamical entity. Most theories of quantum gravity suggest that there is a minimal observable length scale, often associated with the Planck length. One way to account for this phenomenologically is to postulate modified commutator relations for the canonical observables, which might be testable by monitoring the motion of massive pendulums at the quantum level^{63–67}. The granularity of spacetime might manifest itself also in a fundamentally non-unitary time evolution of the quantum system, which would be observable as an intrinsic decoherence process^{41,68–70}.

The alternative that gravity is not to be quantized, but fundamentally described by a classical field, suggests one should extend the Schrödinger equation nonlinearly to account for the gravitational self-interaction^{71,72}. This idea is formalized in the Schrödinger–Newton equation, which can be obtained as the non-relativistic limit of self-gravitating Klein–Gordon fields⁷³. It has been hypothesized that this equation defines the timescale and the basis states of a fundamental collapse mechanism. Indeed, an additional collapse-like stochastic process is required for any such nonlinear extension of the Schrödinger equation to ensure that the time evolution maps any initial state linearly to an ensemble described by a proper density operator. Otherwise an entangled particle pair would admit superluminal signalling — that is, violate causality — because the nonlinearity would imprint the basis of a distant measurement onto the reduced local state⁷⁴. A gravitationally-inspired nonlinear modification of quantum mechanics⁷⁵ can be made consistent with causality and observations at the price of a fictitiously large blurring of the involved mass density⁷¹.

The best studied nonlinear modification of quantum mechanics is the continuous spontaneous localization (CSL) model^{6,77}. It augments the Schrödinger equation for elementary particles with a Gaussian noise term that gives rise to a continuous stochastic collapse of wavefunctions delocalized beyond about 100 nm. The origin of the stochastic process remains unspecified; one may view it either as a fundamental trait of nature, or as the repercussion of an inaccessible underlying dynamics⁷⁸. The CSL effect would be very weak and practically unobservable on the atomic level, but it would get strongly amplified for bound atoms forming a solid, such as the pointer of a measurement device. Any superposition of macroscopically distinct positions would rapidly collapse, in agreement with Born's rule, to a 'classical' state characterized by a localized, objective wavefunction. This way the model serves its purpose of

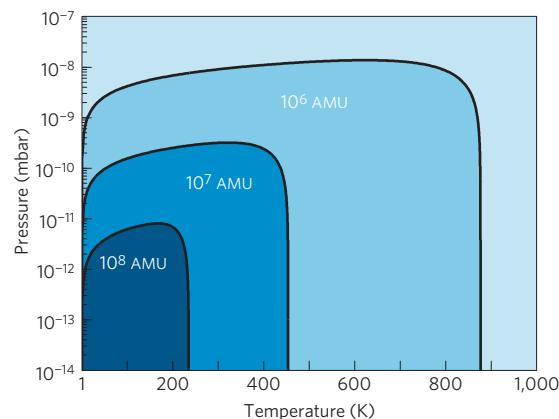


Figure 2 | Accounting for environmental decoherence. The theory of decoherence accounts for the impact of a quantum system on practically unobservable environmental degrees of freedom^{11,12}. It can thus explain the effective super-selection of distinguished system states and the emergence of classical dynamics. From a practical point of view, decoherence theory tells us how strongly a quantum system must be isolated from its surroundings to be still expected to show quantum interference. The figure gives the ambient temperature and pressure requirements for observing OTIMA interference with gold clusters of 10^6 , 10^7 and 10^8 AMU. Similarly demanding conditions for shielding environmental decoherence apply to the other described superposition tests. Figure adapted with permission from ref. 52, © 2011 APS.

restoring objective classical reality on the scale of everyday objects, allowing one to dispense with the measurement postulate.

It is a contentious issue whether such macrorealism⁷⁹ is required in a plausible description of physical reality. Independent of that, the CSL model serves as a cautionary tale. It proves that there are competing descriptions of nature, which predict strongly different effects at macroscopic scales, even though they are compatible with all experiments and cosmological observations carried out so far^{71,80}. One may invoke metaphysical arguments in favour of one or another theory, but empirically their status is equal, and only future experiments will be able to tell them apart.

Venturing towards macroscopic quantum superpositions

Various different systems have been suggested for probing the quantum superposition principle at mesoscopic or even macroscopic scales. This raises the question how to objectively assess the degree of macroscopicity reached in different experiments⁴⁰ (Box 1).

The gravitational collapse hypothesis⁸¹ inspired a proposal to create a quantum superposition in the centre-of-mass motion of a micromirror⁸² (Fig. 3a). A lightweight (picogram) mirror suspended from a cantilever can close a cavity acting as one arm of a Michelson interferometer. A single photon entering the interferometer excites a superposition of the two cavity modes. The radiation pressure of the single photon induces a deflective oscillation of the small mirror by approximately the width of the zero-point motion. Which-path information is thus left behind once the photon escapes from the cavities, unless this occurs at a multiple of the cantilever oscillation period, when the original state of the mirror reappears. Observing the recurrence of optical interference after one such oscillation period would therefore prove that the mirror was in a superposition state^{82,83}.

This is a difficult experiment because a relatively massive oscillator with an eigenfrequency in the low kilohertz regime is required for probing gravitational collapse. This implies that the oscillator ground state is reached only at microkelvin temperatures. Ground-state cooling is easier with lighter and more rigid megahertz or gigahertz oscillators, and by addressing normal modes with

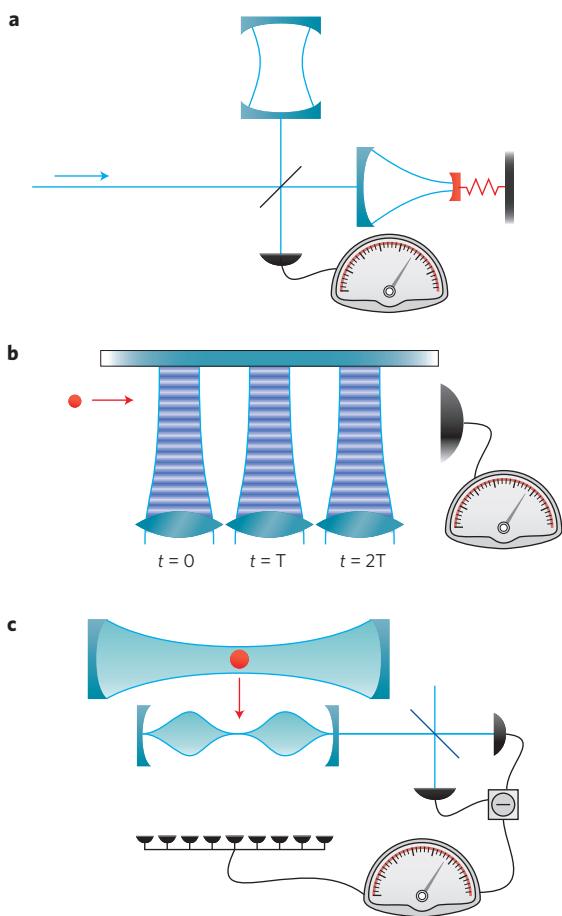


Figure 3 | Interference schemes for large masses. **a**, The superposition of a micromechanical oscillator can be triggered by scattering a single photon in a Michelson interferometer. **b**, Time-domain matter-wave interferometry of nanoparticles with pulsed laser gratings is expected to be scalable to high masses. **c**, Far-field interference of nanospheres at a measurement-induced double slit may be observed by correlating the detected positions with a phase measurement.

stronger opto-mechanical coupling. This feat has been achieved recently with the flexural mode of a circular aluminium micro-membrane using optical side-band cooling^{84,85}. Many groups worldwide have embarked on studying such nanomechanical oscillators⁸⁶, which can serve as an interface between quantum systems. However, it has been difficult to observe genuine quantum effects in optomechanical systems because they still lack the strong nonlinear coupling required to generate quantum states of motion that differ qualitatively from classical ones. As a first step in this direction a piezoelectric resonator was coupled coherently to a superconducting loop⁸⁷.

The distinctive feature of micromechanical devices compared with other quantum systems is their very high mass. However, the quantum delocalization of the oscillatory ground state, which is a collective degree of freedom involving all the atoms, will reach at most about one picometre in conceivable set-ups—a tiny fraction of the size of an atom. This indicates why some matter-wave experiments will reach beyond the macroscopicity of a possible superposition of the micro-membrane (Box 1).

As any clamped nanostructure will be prone to damping, recent proposals^{88–90} consider levitating dielectric nanoparticles in the focus of an intense laser beam. Cooling the centre-of-mass motion to the ground state should be feasible, owing to their lower mass and the high trap frequencies. Moreover, the nanosphere position can be

coupled nonlinearly to a resonator light field by placing the optical trap at the node of a Fabry-Pérot cavity. This opens the possibility to create distinctively non-classical states, and to probe the wave nature of the nano-spheres, for example, by implementing an effective double-slit⁹¹. In this scheme one would drop the nanosphere once it has been cooled to the ground state of a dipole trap. After the wave packet is sufficiently dispersed, a laser pulse passing through a Fabry-Pérot cavity reveals the square of the position by a homodyne measurement of the cavity light field. One thus learns the distance of the sphere from the cavity centre, but not whether it is on the left or right, thus effectively projecting its wavefunction to a spatial superposition state. An interference pattern should then be observable after a further free evolution of the sphere, and after many repetitions, if one correlates the detected positions with the results of the homodyne measurements (Fig. 3b). The nanosphere position would be delocalized by approximately the diameter of the sphere, which should be sufficiently large to test the effects of the CSL collapse model.

A straightforward strategy for probing the wave nature of nanometre-sized objects is to push established matter-wave interference schemes to the limits of large masses. The OTIMA interferometer (Fig. 3c) should allow us to probe the quantum nature of 10^5 AMU particles if the source ejects them with a velocity of about 10 m s^{-1} (ref. 53). Objects with a diameter up to 10 nm would get delocalized over 80 nm. In the future, even nanoparticles in the mass range of 10^8 AMU might be diffracted with an OTIMA scheme, for example gold clusters with a diameter of 22 nm. Successful interference at these masses would falsify all current CSL predictions⁵². However, it would require us to counteract the gravitational acceleration, by noise-free levitation techniques or by going to a microgravity environment, to allow the wavefunction to expand over a coherence time of many seconds. Moreover, environmental decoherence would need to be suppressed by setting the ambient pressure to below 10^{-11} mbar and by cooling the apparatus to cryogenic temperatures⁹²; (Fig. 2). The biggest challenge, both for OTIMA interferometry and the realization of a projective double slit, is the preparation of size-selected neutral particles in ultra-high vacuum at low internal and motional temperatures. Some promising first steps have been achieved by recent demonstrations of optical feedback cooling^{93,94} and cavity cooling^{46,47}.

Perspectives

Will the quantum superposition principle stand the test of time? We have emphasized that this question is neither crazy nor heretical. Objective modifications of quantum mechanics can be set up that agree with all observations and experiments so far, while describing a tangible breakdown of quantum theory at the macroscale. Whether quantum mechanics is universally valid is thus not an issue of conviction or metaphysical reasoning, but an empirical question, to be answered only by future experiments.

A great variety of quantum systems may be used to demonstrate mechanical superposition states, whose mass, geometric size and delocalization scales may vary by orders of magnitude. Any such quantum test, if carried out successfully, will rule out a generic class of objective modifications of quantum mechanics. Using the scope of this falsified class as a yardstick, it is remarkable that totally different experimental approaches lead to comparable degrees of macroscopicity (Fig. B1). This suggests that there is no single golden strategy to be pursued, and much will depend on experimental advances and ideas. It is thus a long and exciting journey into the realm of large quantum superpositions, and one worth taking.

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Additional information

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Competing financial interests

The authors declare no competing financial interests.