

1 Basics of Vectors and Matrices

Exercise 1.1

要求 $|v_1\rangle$ 和 $|v_2\rangle$ 线性无关，就是要求方程组

$$\begin{pmatrix} x & 2 \\ y & x-y \\ 3 & 1 \end{pmatrix} \begin{pmatrix} c_1 \\ c_2 \end{pmatrix} = 0$$

仅存在零解。因此矩阵

$$\begin{pmatrix} x & 2 \\ y & x-y \\ 3 & 1 \end{pmatrix}$$

必须是满秩的。将其做初等行变换

$$\begin{pmatrix} 3 & 1 \\ 0 & x-6 \\ 0 & 3x-4y \end{pmatrix}$$

所以得到 $|v_1\rangle$ 和 $|v_2\rangle$ 线性无关的条件

$$x \neq 6, \text{ 且 } 3x \neq 4y$$

Exercise 1.2

证明三个向量 $\{|v_i\rangle\}, i = 1, 2, 3$ 构成 \mathbb{C}^3 的基，只需要证明它们是线性无关的即可。对矩阵

$$\begin{pmatrix} 1 & 1 & 1 \\ 1 & 0 & -1 \\ 1 & 1 & -1 \end{pmatrix}$$

做初等行变换得到

$$\begin{pmatrix} 1 & 1 & 1 \\ 0 & 1 & 2 \\ 0 & 0 & 2 \end{pmatrix}$$

很明显矩阵是满秩的，说明这组向量是线性无关的。因此向量 $\{|v_i\rangle\}, i = 1, 2, 3$ 构成 \mathbb{C}^3 的基。

Exercise 1.3

$$\| |x\rangle \| = [1 + 1 + (2^2 + 1)]^{1/2} = \sqrt{7}$$

$$\langle x | y \rangle = (2 - i) - i + (2 - i)(2 + i) = 7 - 2i$$

$$\langle y | x \rangle = (2 + i) + i + (2 - i)(2 + i) = 7 + 2i$$

Exercise1.4

$$\begin{aligned} \langle x | y \rangle &= \sum_{i=1}^n x_i^* y_i \\ &= \sum_{i=1}^n (x_i y_i^*)^* \\ &= \left(\sum_{i=1}^n y_i^* x_i \right)^* \\ &= \langle y | x \rangle^* \end{aligned}$$

Exercise1.5

$$c_1 = \langle e_1 | v \rangle = \frac{5}{\sqrt{2}}$$

$$c_2 = \langle e_2 | v \rangle = \frac{1}{\sqrt{2}}$$

Exercise1.6

(1)

$$|e_1\rangle = \frac{1}{3}(-1, 2, 2)^t$$

$$|f_2\rangle = (2, -1, 2)^t$$

$$|e_2\rangle = \frac{1}{3}(2, -1, 2)^t$$

$$|f_3\rangle = (2, 2, -1)^t$$

$$|e_3\rangle = \frac{1}{3}(2, 2, -1)^t$$

(2)

$$c_1 = \langle e_1 | u \rangle = 3$$

$$c_2 = \langle e_2 | u \rangle = 6$$

$$c_3 = \langle e_3 | u \rangle = -3$$

Exercise1.7

$$|e_1\rangle = \frac{|v_1\rangle}{\| |v_1\rangle \|} = \frac{\sqrt{3}}{3}(1, i, 1)^t$$

$$|f_2\rangle = (2, 1 - i, i - 1)^t$$

$$|e_2\rangle = 2\sqrt{2}(2, 1-i, i-1)^t$$

Exercise1.8

$$[(cA)^\dagger]_{jk} = (cA)_{kj}^* = c^* A_{kj}^* = c^* (A^\dagger)_{jk} \rightarrow (cA^\dagger) = c^* A^\dagger$$

$$[(A+B)^\dagger]_{jk} = (A+B)_{kj}^* = A_{kj}^* + B_{kj}^* = (A^\dagger)_{jk} + (B^\dagger)_{jk} \rightarrow (A+B)^\dagger = A^\dagger + B^\dagger$$

$$\begin{aligned} [(AB)^\dagger]_{jk} &= (AB)_{kj}^* = \left(\sum_i A_{ki} B_{ij} \right)^* \\ &= \sum_i A_{ki}^* B_{ij}^* = \sum_i (A^\dagger)_{ik} (B^\dagger)_{ji} \rightarrow (AB)^\dagger = B^\dagger A^\dagger \\ &= (B^\dagger A^\dagger)_{jk} \end{aligned}$$

Exercise1.9

$$\det \begin{vmatrix} -\lambda & \frac{1+i}{\sqrt{2}} \\ \frac{1-i}{\sqrt{2}} & -\lambda \end{vmatrix} = 0 \rightarrow \lambda^2 = 1$$

A 的本征值为 ± 1 . 对于 $\lambda_1 = 1$

$$\frac{1}{\sqrt{2}} \begin{pmatrix} 0 & 1+i \\ 1-i & 0 \end{pmatrix} \begin{pmatrix} v_1 \\ v_2 \end{pmatrix} = \begin{pmatrix} v_1 \\ v_2 \end{pmatrix}$$

再结合 $|v_1|^2 + |v_2|^2 = 1$, 得到本征矢

$$|\lambda_1\rangle = \frac{1}{2}(\sqrt{2}, 1-i)^t$$

对于 $\lambda_2 = -1$, 得到本征矢

$$|\lambda_2\rangle = \frac{1}{2}(\sqrt{2}, i-1)^t$$

$$\langle \lambda_1 | \lambda_2 \rangle = \frac{1}{4}(2-2) = 0$$

$$|\lambda_1\rangle \langle \lambda_1| + |\lambda_2\rangle \langle \lambda_2| = \frac{1}{4} \begin{pmatrix} 2 & \sqrt{2}(1+i) \\ \sqrt{2}(1-i) & 2 \end{pmatrix} + \frac{1}{4} \begin{pmatrix} 2 & -\sqrt{2}(1+i) \\ \sqrt{2}(i-1) & 2 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

使得 A 对角化的酉矩阵为

$$\frac{1}{2} \begin{pmatrix} \sqrt{2} & \sqrt{2} \\ 1-i & i-1 \end{pmatrix}$$

Exercise1.10

问题 (1): 首先从 A 的本征值方程出发

$$A|\lambda_i\rangle = \lambda_i|\lambda_i\rangle \rightarrow \langle\lambda_i|A^\dagger = \lambda_i^*\langle\lambda_i| \rightarrow -\langle\lambda_i|A = \lambda_i^*\langle\lambda_i|$$

两边同时与 $|\lambda_i\rangle$ 内积

$$-\langle\lambda_i|A|\lambda_i\rangle = \lambda_i^*\langle\lambda_i|\lambda_i\rangle \rightarrow -\lambda_i = \lambda_i^*$$

显然 λ_i 是纯虚数.

问题 (2): 同样是算符 U 的本征方程

$$U|\lambda_i\rangle = \lambda_i|\lambda_i\rangle \rightarrow \langle\lambda_i|U^\dagger = \lambda_i^*\langle\lambda_i|$$

将两个式子结合在一起得到

$$\langle\lambda_i|U^\dagger U|\lambda_i\rangle = \lambda_i^*\lambda_i\langle\lambda_i|\lambda_i\rangle \rightarrow \langle\lambda_i|\lambda_i\rangle = \lambda_i^*\lambda_i\langle\lambda_i|\lambda_i\rangle \rightarrow |\lambda|^2 = 1$$

问题 (3): 若 A 是 Hermitian 矩阵, 则 A 显然是正规矩阵, 本征值为实数. 反之, 若 A 的本征值为实数, 则

$$(A - \lambda_i)|\lambda_i\rangle = 0 \rightarrow \langle\lambda_i|(A^\dagger - \lambda_i) = 0$$

再结合对易关系 $[A^\dagger, A] = 0$

$$\langle\lambda_i|(A^\dagger - \lambda_i)(A - \lambda_i)|\lambda_i\rangle = \langle\lambda_i|(A - \lambda_i)(A^\dagger - \lambda_i)|\lambda_i\rangle = 0$$

可得到

$$A^\dagger|\lambda_i\rangle = \lambda_i|\lambda_i\rangle$$

所以 $A^\dagger = A$, A 是 Hermitian 矩阵.

Exercise 1.11

将矩阵的基 $\{1, 2, 3\}$ 轮换为 $\{2, 3, 1\}$, 得到矩阵

$$U' = \begin{pmatrix} i & 0 & 0 \\ 0 & 0 & i \\ 0 & i & 0 \end{pmatrix}$$

显然这个矩阵具有块对角的形式, 其中 2×2 部分是 $i\sigma_x$. 因此本征值分别为 $i, i, -i$, (未归一化的) 本征矢分别为

$$(1, 0, 0)^t, \quad (0, 1, 1)^t, \quad (0, 1, -1)^t$$

而 U 的本征矢需要将基重新轮换回去，并且归一化

$$(0, 1, 0)^t, \quad \frac{1}{\sqrt{2}}(1, 0, 1)^t, \quad \frac{1}{\sqrt{2}}(-1, 0, 1)^t$$

Exercise 1.12

已知 H 是 Hermitian 矩阵

$$H |\lambda_j\rangle = \lambda_j |\lambda_j\rangle$$

容易证明

$$(I + iH) |\lambda_j\rangle = (1 + i\lambda_j) |\lambda_j\rangle$$

$$(I - iH) |\lambda_j\rangle = (1 - i\lambda_j) |\lambda_j\rangle$$

$$(I + iH)^{-1} |\lambda_j\rangle = (1 + i\lambda_j)^{-1} |\lambda_j\rangle$$

$$(I - iH)^{-1} |\lambda_j\rangle = (1 - i\lambda_j)^{-1} |\lambda_j\rangle$$

我们考虑 U^{-1} 和 U^\dagger 作用在任意向量上

$$\begin{aligned} U^{-1} |v\rangle &= (I - iH)(I + iH)^{-1} |v\rangle = \sum_j (I - iH)(I + iH)^{-1} |\lambda_j\rangle \langle \lambda_j | v\rangle \\ &= \sum_j (1 - i\lambda_j)(1 + i\lambda_j)^{-1} |\lambda_j\rangle \langle \lambda_j | v\rangle \end{aligned}$$

$$\begin{aligned} U^\dagger |v\rangle &= (I + iH)^{-1}(I - iH) |v\rangle = \sum_j (I + iH)^{-1}(I - iH) |\lambda_j\rangle \langle \lambda_j | v\rangle \\ &= \sum_j (1 + i\lambda_j)^{-1}(1 - i\lambda_j) |\lambda_j\rangle \langle \lambda_j | v\rangle \end{aligned}$$

显然 $U^{-1} |v\rangle = U^\dagger |v\rangle$ ，所以 U 是酉矩阵。