A geometric realisation of affine 0-Schur algebras.

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# Introduction

The double flag variety approach to q-Schur algebras

# The cyclic flags approach to affine q-Schur algebras

Fix natural numbers n and r.

**Definition 3.0.1** (compositions). A composition of r into n parts is an n-tuple  $\lambda = (\lambda_1, \ldots, \lambda_n) \in \mathbb{Z}^n$  of non-negative integers whose sum equals r. Denote the set of compositions of r into n parts by  $\Lambda_0(n,r)$ .

**Definition 3.0.2** (infinite periodic matrices). Let  $\Lambda_1(n,r)$  be the set of matrices  $A = (a_{i,j})_{i,j \in \mathbb{Z}}$  with integer entries  $a_{i,j}$  satisfying the following conditions:

- $a_{i,j} \geq 0$  for each  $i, j \in \mathbb{Z}$ ;
- each row or column has only finitely many non-zero entries;
- the sum of the entries in any n consecutive rows or columns equals r;
- $a_{i-n,j-n} = a_{i,j}$  for each  $i, j \in \mathbb{Z}$ .

These matrices are referred to as infinite periodic matrices.

**Definition 3.0.3** (source and target). Given  $A \in \Lambda_1(n,r)$ , let ro A and co A be the compositions of r into n parts given by

ro 
$$A = \left(\sum_{j \in \mathbb{Z}} a_{1,j}, \dots, \sum_{j \in \mathbb{Z}} a_{n,j}\right)$$

and

$$\operatorname{co} A = \left(\sum_{i \in \mathbb{Z}} a_{i,1}, \dots, \sum_{i \in \mathbb{Z}} a_{i,n}\right).$$

 $A \in \Lambda_1(n,r)$  is said to go from  $\operatorname{co} A$  to  $\operatorname{ro} A$ .

**Definition 3.0.4** (diagonal matrices). Given  $\lambda \in \Lambda_0(n,r)$ , let  $D_{\lambda} \in \Lambda_1(n,r)$  be the matrix given by  $(D_{\lambda})_{i,j} = 0$  for  $i, j \in \mathbb{Z}$  with  $i \neq j$  and  $(D_{\lambda})_{i,i} = \lambda_i$  for  $i \in \mathbb{Z}$ ; where the indices are taken modulo n.

## 3.1 Cyclic flags

Fix  $n, r \in \mathbb{N}$  and let  $\mathbf{k}$  be a field. Let  $\mathcal{S}$  be the  $\mathbf{k}$ -algebra  $\mathbf{k}[\varepsilon, \varepsilon^{-1}]$  and let  $\mathcal{R}$  be the subalgebra generated by  $\varepsilon$ , so  $\mathcal{R} = \mathbf{k}[\varepsilon]$ . Let V be a free  $\mathcal{S}$ -module of rank r. Let G be the automorphism group of the  $\mathcal{S}$ -module V, so G is isomorphic to  $\mathrm{GL}_r(\mathcal{S})$ . A lattice in V is a  $\mathcal{R}$ -submodule L of V with  $\mathcal{S} \otimes_{\mathcal{R}} L = V$ . In particular, a lattice is an  $\mathcal{R}$ -submodule of V which is a free  $\mathcal{R}$ -module of rank r.

**Lemma 3.1.1.** Let L be a lattice in V.  $L/\varepsilon L$  is a torsion  $\mathcal{R}$ -module, where  $\varepsilon$  acts as zero.  $L/\varepsilon L$  is a free  $\mathcal{R}/\langle \varepsilon \rangle$ -module of rank r; that is,  $L/\varepsilon L$  is an r-dimensional  $\mathbf{k}$ -vector space.

*Proof.* L is a free  $\mathcal{R}$ -module of rank r, with  $L \subset V$ . Given an  $\mathcal{R}$ -basis  $\{x_1, \ldots, x_r\}$  of L,  $\{\varepsilon x_1, \ldots, \varepsilon x_r\}$  is an  $\mathcal{R}$ -basis of  $\varepsilon L$ . Finally, the cosets  $\{x_1 + \varepsilon L, \ldots, x_r + \varepsilon L\}$  give a basis for  $L/\varepsilon L$  over  $\mathcal{R}/\langle \varepsilon \rangle \cong \mathbf{k}$ .

Let  $\mathcal{F} = \mathcal{F}_{\mathbf{k}}(n,r)$  be the set of collections  $(L_i)_{i\in\mathbb{Z}}$  of lattices in V with  $L_i \subset L_{i+1}$  and  $\varepsilon L_i = L_{i-n}$  for each  $i \in \mathbb{Z}$ . These collections of lattices in V are referred to as cyclic flags in V.

G acts on  $\mathcal{F}$  by  $(g \cdot L)_i = g(L_i)$  for each  $i \in \mathbb{Z}$ , given  $g \in G$  and  $L \in \mathcal{F}$ . The G-orbits in  $\mathcal{F}$  are indexed by the set  $\Lambda_0(n,r)$  of compositions of r into n parts: the G-orbit in  $\mathcal{F}$  corresponding to  $\lambda \in \Lambda_0(n,r)$  is

$$\mathcal{F}_{\lambda} = \left\{ L \in \mathcal{F} : \dim \left( \frac{L_i}{L_{i-1}} \right) = \lambda_i \text{ for each } i \in \mathbb{Z} \right\}$$

**Definition 3.1.1.** The periodic characteristic matrix of a pair of cyclic flags  $(L, L') \in \mathcal{F} \times \mathcal{F}$  is the matrix  $A(L, L') = (a_{i,j})_{i,j \in \mathbb{Z}}$  with entries

$$a_{i,j} = \dim_{\mathbf{k}} \left( \frac{L_i \cap L'_j}{L_i \cap L'_{j-1} + L_{i-1} \cap L'_j} \right)$$

for each  $i, j \in \mathbb{Z}$ .

The diagonal action of G on  $\mathcal{F} \times \mathcal{F}$  has orbits indexed by the set  $\Lambda_1(n,r)$  of infinite periodic matrices (see definition 3.0.2). The G-orbit corresponding to  $A \in \Lambda_1(n,r)$  is denoted  $\mathcal{O}_A$  and consists of those pairs  $(L,L') \in \mathcal{F} \times \mathcal{F}$  with periodic characteristic matrix A(L,L') equal to A.

**Lemma 3.1.2.** (alternative expression for characteristic matrix) Alternatively,

$$a_{i,j} = \dim_{\mathbf{k}} \left( \frac{L_{i-1} + L_i \cap L'_j}{L_{i-1} + L_i \cap L'_{j-1}} \right)$$

for each  $i, j \in \mathbb{Z}$ .

Proof. Set  $U = L_i \cap L'_j$  and  $U' = L_{i-1} + L_i \cap L'_{j-1}$ . Then  $U + U' = L_{i-1} + L_i \cap L'_j$  and  $U \cap U' = L_i \cap L'_j \cap L_{i-1} + L_i \cap L'_{j-1}$ . Applying the isomorphism theorems, U + U'/U' is naturally isomorphic to  $U/U \cap U'$  as a vector space. In particular,

$$\frac{L_{i-1} + L_i \cap L_j'}{L_{i-1} + L_i \cap L_{j-1}'} = \frac{L_i \cap L_j'}{L_{i-1} \cap L_j' + L_i \cap L_{j-1}'}$$

and thus the dimensions of these spaces are both equal to  $a_{i,j}$ .

**Lemma 3.1.3** (transposing characteristic matrix). Given a pair of flags  $(L, L') \in \mathcal{F}^2$ , the matrices A(L, L') and A(L', L) are related by the transpose. In particular,  $A(L, L')_{i,j} = A(L', L)_{j,i}$  for each  $i, j \in \mathbb{Z}$ .

*Proof.* By swapping the roles of i and j and swapping L and L' it is clear that  $A(L, L')_{i,j}$  and  $A(L', L)_{j,i}$  are both given by the dimension of the **k**-vector space

$$\frac{L_i \cap L_j'}{L_{i-1} \cap L_j' + L_i \cap L_{j-1}'},$$

for each  $i, j \in \mathbb{Z}$ .

**Lemma 3.1.4** (a codimension formula). Given  $(L, L') \in \mathcal{F}^2$  and  $i, j \in \mathbb{Z}$ ,

$$\dim_{\mathbf{k}} \left( \frac{L_i}{L_i \cap L'_j} \right) = \sum_{s \le i, t > j} a_{s,t},$$

where  $A(L, L') = (a_{i,j})_{i,j \in \mathbb{Z}}$ .

Proof. COMPLETE THIS PROOF

**Lemma 3.1.5** (nested flags). Given  $(L, L') \in \mathcal{F}^2$ ,  $L' \subset L$  if and only if  $A(L, L')_{i,j} = 0$  for  $i, j \in \mathbb{Z}$  with i > j.

*Proof.* Suppose  $L, L' \in \mathcal{F}$  with  $L' \subset L$ , meaning  $L'_j \subset L_j$  for each  $j \in \mathbb{Z}$ . Then for i > j,  $L_i \cap L'_j = L'_j$ ,  $L_{i-1} \cap L'_j = L'_j$  and  $L_i \cap L'_{j-1}$ , which shows

$$A(L, L')_{i,j} = \dim_{\mathbf{k}} \left( \frac{L'_j}{L'_{j-1} + L'_j} \right) = 0$$

as required. Conversely, suppose A(L, L') is upper triangular, meaning  $A(L, L')_{i,j} = 0$  when i > j. Using Lemma 3.1.4,

$$\dim_{\mathbf{k}} \left( \frac{L_i'}{L_i' \cap L_i} \right) = \sum_{s>i,t \le i} a_{s,t} = 0,$$

so  $L_i \cap L_i' = L_i'$  and thus  $L_i' \subset L_i$  for each  $i \in \mathbb{Z}$ , as required.

**Corollary 3.1.6** (diagonal orbits). Given  $L, L' \in \mathcal{F}$ , L = L' if and only if  $A(L, L')_{i,j} = 0$  whenever  $i \neq j$ . In particular,

$$\mathcal{O}_{D_{\lambda}} = \{(L, L) \in \mathcal{F}^2 : L \in \mathcal{F}_{\lambda}\},\$$

for each  $\lambda \in \Lambda_0(n,r)$ .

#### 3.1.1 A product on orbits

Given  $A, B \in \Lambda_1(n, r)$  with  $\operatorname{co} A = \operatorname{ro} B$ , define

$$Y_{A,B} = \{(L, L', L'') \in \mathcal{F}^3 : (L, L') \in \mathcal{O}_A \text{ and } (L', L'') \in \mathcal{O}_B\},$$

$$X_{A,B} = \{(L,L'') \in \mathcal{F}^2 : \exists L' \in \mathcal{F} \text{ with } (L,L') \in \mathcal{O}_A \text{ and } (L',L'') \in \mathcal{O}_B\}.$$

If also  $L \in \mathcal{F}_{\text{ro }A}$ , define the L-slices of  $Y_{A,B}$  and  $X_{A,B}$  respectively as

$$Y_{A,B}^{L} = \{ (L', L'') \in \mathcal{F}^2 : (L, L', L'') \in Y_{A,B} \},$$
$$X_{A,B}^{L} = \{ L'' \in \mathcal{F} : (L, L'') \in X_{A,B} \}.$$

**Observation 1.** There are only finitely many G-orbits in  $X_{A,B}$ .

**Lemma 3.1.7.** Given 
$$A \in \Lambda_1(n,r)$$
,  $X_{D_\lambda,A} = \mathcal{O}_A$  if  $\lambda = \operatorname{ro} A$  and  $X_{A,D_\lambda} = \mathcal{O}_A$  if  $\lambda = \operatorname{co} A$ .

*Proof.* Let  $A \in \Lambda_1(n,r)$  and set  $\lambda = \text{ro } A$ .  $Y_{D_{\lambda},A}$  is the set of triples  $(L,L',L'') \in \mathcal{F}^3$  with  $(L,L') \in \mathcal{O}_{D_{\lambda}}$ , thus L=L' by Corollary 3.1.6, and  $(L',L'') \in \mathcal{O}_A$ .  $X_{D_{\lambda},A}$  is the projection of  $Y_{D_{\lambda},A}$ , which equals  $\mathcal{O}_A$ .

Similarly, if  $\lambda = \operatorname{co} A$ ,  $Y_{A,D_{\lambda}}$  is the set of triples  $(L,L',L'') \in \mathcal{F}^3$  with  $(L,L') \in \mathcal{O}_A$  and L'' = L', so  $X_{A,D_{\lambda}}$  is exactly the orbit  $\mathcal{O}_B$ .

#### 3.1.2 Triple products

Given  $A, B, C \in \Lambda_1(n, r)$  with  $\operatorname{co} A = \operatorname{ro} B$  and  $\operatorname{co} B = \operatorname{ro} C$  and  $L \in \mathcal{F}_{\operatorname{ro} A}$ , there are spaces  $X_{A,B,C}, Y_{A,B,C}$  and their respective L-slices, defined as follows:

$$\begin{split} Y_{A,B,C} &= \{ (L,L',L'',L''') \in \mathcal{F}^4 : (L,L') \in \mathcal{O}_A, (L',L'') \in \mathcal{O}_B \text{ and } (L'',L''') \in \mathcal{O}_C \}, \\ X_{A,B,C} &= \{ (L,L''') \in \mathcal{F}^2 : \exists (L',L'') \in \mathcal{O}_B \text{ with } (L,L') \in \mathcal{O}_A \text{ and } (L'',L''') \in \mathcal{O}_C \}, \\ Y_{A,B,C}^L &= \{ (L',L'',L''') \in \mathcal{F}^3 : (L,L',L'',L''') \in Y_{A,B,C} \}, \\ X_{A,B,C}^L &= \{ L''' \in \mathcal{F} : (L,L''') \in X_{A,B,C} \}. \end{split}$$

### 3.2 Convolution algebras

Suppose **k** is a finite field and let q denote the number of elements of **k**. Consider the set S of G-invariant functions  $\mathcal{F} \times \mathcal{F} \to \mathbb{Z}$  with constructible support. S is a free  $\mathbb{Z}$ -module with a basis consisting of the indicator functions of the G-orbits in  $\mathcal{F} \times \mathcal{F}$ . Define an operation  $\star$  on S as follows: for each  $f, g \in S$ ,  $f \star g \in S$  is given by

$$(f \star g)(L, L'') = \sum_{L' \in \mathcal{F}} f(L, L')g(L', L''),$$

for  $(L, L'') \in \mathcal{F} \times \mathcal{F}$ .

 $f \star g$  is well defined since the supports of f and g consist of finitely many G-orbits, so there are only finitely many  $L' \in \mathcal{F}$  such that  $f(L, L')g(L', L'') \neq 0$ , given  $(L, L'') \in \mathcal{F} \times \mathcal{F}$ .  $f \star g$  is constant on G-orbits and is supported on finitely many G-orbits, so  $f \star g \in S$ .

**Lemma 3.2.1.** The set S together with the operation  $\star$  is an associative  $\mathbb{Z}$ -algebra with identity element  $\iota$  given by  $\iota(L,L)=1$  and  $\iota(L,L')=0$  for  $L'\neq L$ .

*Proof.* Given  $f, g, h \in S$  and  $(L, L''') \in \mathcal{F} \times \mathcal{F}$ ,

$$\begin{split} ((f*g)\star h)(L,L''') &= \sum_{L''} (f\star g)(L,L'')h(L'',L''') \\ &= \sum_{L''} \sum_{L'} f(L,L')g(L',L'')h(L'',L''') \\ &= (f\star (g\star h))(L,L'''), \end{split}$$

thus  $\star$  is associative.  $\iota$  is the multiplicative identity since

$$(\iota \star f)(L, L'') = \sum_{L'} \iota(L, L') f(L', L'') = f(L, L'')$$

and

$$(f \star \iota)(L, L'') = \sum_{L'} f(L, L')\iota(L', L'') = f(L, L''),$$

for each  $f \in S$  and  $(L, L'') \in \mathcal{F} \times \mathcal{F}$ .

Given  $A \in \Lambda_1(n,r)$ , let  $e_A \in S$  denote the indicator function of the orbit  $\mathcal{O}_A$ . S is a free  $\mathbb{Z}$ -module with basis  $\{e_A : A \in \Lambda_1(n,r)\}$ . There exist  $\gamma_{A,B,C;q} \in \mathbb{Z}$  for  $A,B,C \in \Lambda_1(n,r)$  such that

$$e_A \star e_B = \sum_{C \in \Lambda_1(n,r)} \gamma_{A,B,C;q} e_C$$

for each  $A, B \in \Lambda_1(n, r)$ . Then

$$\begin{split} \gamma_{A,B,C;\mathbf{q}} &= (e_A \star e_B)(L,L'') \\ &= \sum_{L'} e_A(L,L') e_B(L',L'') \\ &= \# \{ L' : (L,L') \in \mathcal{O}_A \text{ and } (L',L'') \in \mathcal{O}_B \}, \end{split}$$

for any  $(L, L'') \in \mathcal{O}_C$ .

# 3.3 Affine q-Schur algebras

There exist polynomials  $\gamma_{A,B,C} \in \mathbb{Z}[q]$  for  $A,B,C \in \Lambda_1(n,r)$  such that  $\gamma_{A,B,C}(q) = \gamma_{A,B,C;q}$  for any prime power q, following [2, section 4]. The affine q-Schur algebra  $\hat{S}_q(n,r)$  (defined in [ADD A REFERENCE]) is a  $\mathbb{Z}[q]$ -algebra which is a free  $\mathbb{Z}[q]$ -module with basis  $\{e_A : A \in \Lambda_1(n,r)\}$  and with multiplication given by

$$e_A e_B = \sum_C \gamma_{A,B,C} e_C.$$

Given the existence of these 'universal polynomials'  $\gamma_{A,B,C} \in \mathbb{Z}[q]$ , it follows from Lemma 3.2.1 that  $\hat{S}_q(n,r)$  is an associative  $\mathbb{Z}[q]$ -algebra with multiplicative identity given by

$$1 = \sum_{\lambda \in \Lambda_0(n,r)} e_{D_\lambda}.$$

# Quivers with relations for affine q-Schur algebras

## 4.1 Basic results and notation

#### 4.1.1 Elementary matrices

If  $i, j \in \mathbb{Z}$ , let  $\mathcal{E}_{i,j}$  denote the 'elementary matrix' with entries given by  $(\mathcal{E}_{i,j})_{s,t} = 1$ , for  $s, t \in \mathbb{Z}$ , whenever  $(i, j) \sim (s, t)$  modulo (n, n) and all other entries are zero.

Given  $\lambda \in \Lambda_0(n,r)$ , let  $D_{\lambda} \in \Lambda_1(n,r)$  denote the diagonal matrix with  $r(D_{\lambda}) = c(D_{\lambda}) = \lambda$ , as in Definition 3.0.4. That is,

$$D_{\lambda} = \lambda_1 \mathcal{E}_{1,1} + \dots + \lambda_n \mathcal{E}_{n,n}$$

For  $\lambda \in \Lambda_0(n,r)$ , write  $1_{\lambda} = e_{D_{\lambda}}$ . The  $1_{\lambda}$  are pairwise orthogonal idempotents in  $\hat{S}_q(n,r)$  with  $1 = \sum_{\lambda \in \Lambda_0(n,r)} 1_{\lambda}$ , as a result of Lemma 3.1.7.

Given  $i, j \in \mathbb{Z}$ , write  $X_{i,j} = \mathcal{E}_{i,j} - \mathcal{E}_{i+1,j}$ . By convention,  $e_A = 0$  unless  $A \in \Lambda_1(n,r)$ . For  $i \in [1,n]$  and  $\lambda \in \Lambda_0(n,r)$ , write

$$E_{i,\lambda} = e_{D_{\lambda} + X_{i,i+1}},$$

$$F_{i,\lambda} = e_{D_{\lambda} - X_{i,i}}.$$

Define

$$E_i = \sum_{\lambda \in \Lambda_0(n,r): \lambda_{i+1} > 0} E_{i,\lambda}$$

$$F_i = \sum_{\lambda \in \Lambda_0(n,r): \lambda_i > 0} F_{i,\lambda}.$$

Observe that  $E_{i,\lambda} = 0$  unless  $\lambda_{i+1} > 0$  and  $F_{i,\lambda} = 0$  unless  $\lambda_i > 0$ . Also,  $E_{i,\lambda} = E_i 1_{\lambda}$  and  $F_{i,\lambda} = F_i 1_{\lambda}$ .

#### 4.1.2 Transpose involution

**Lemma 4.1.1.** Transposition gives a homomorphism of  $\mathbb{Z}[q]$ -modules  $\top : \hat{S}_q(n,r) \to \hat{S}_q(n,r)$  with  $\top (e_A) = e_{A^\top}, \ \top \circ \top = 1$  and  $\top (e_A e_B) = \top (e_B) \top (e_A)$ .

*Proof.* Let  $A, B, C \in \Lambda_1(n, r)$  and let  $\mathbf{k}$  be a finite field with  $q = \# \mathbf{k}$  elements. If  $(L, L'') \in \mathcal{O}_C$  then  $(L'', L) \in \mathcal{O}_{C^{\top}}$  and

$$\begin{split} \gamma_{A,B,C;\mathbf{q}} &= \# \{ L' : (L,L') \in \mathcal{O}_A \text{ and } (L',L'') \in \mathcal{O}_B \} \\ &= \# \{ L' : (L'',L') \in \mathcal{O}_{B^\top} \text{ and } (L',L) \in \mathcal{O}_{A^\top} \} \\ &= \gamma_{B^\top,A^\top,C^\top;\mathbf{q}} \end{split}$$

It then follows that  $\top(e_A e_B) = \top(e_B) \top(e_A)$ .

#### 4.1.3 A multiplication rule

**Lemma 4.1.2.** *Let*  $i \in [1, n]$  *and*  $A \in \Lambda_1(n, r)$ .

$$E_i e_A = \sum_{p \in \mathbb{Z}} q^{\sum_{j > p} a_{i,j}} [a_{i,p} + 1] e_{A + X_{i,p}}$$

and

$$F_i e_A = \sum_{p \in \mathbb{Z}} q^{\sum_{j < p} a_{i+1,j}} [a_{i+1,p} + 1] e_{A-X_{i,p}}.$$

Note that these formulas are still valid in the cases  $E_i e_A = 0$  and  $F_i e_A = 0$ . There are similar formulas for right multiplication by  $E_i$  and  $F_i$ , which can be obtained by applying the transpose involution to the above formulas. The transpose relates the  $E_i$ ,  $F_i$  and  $1_{\lambda}$  in the following way:  $T(E_{i,\lambda}) = F_{i,\lambda}$ ,  $T(F_{i,\lambda}) = E_{i,\lambda-\varepsilon_i+\varepsilon_{i+1}}$  and  $T(1_{\lambda}) = 1_{\lambda}$ . In particular,  $T(E_i) = F_i$  and  $T(F_i) = E_i$ .

Corollary 4.1.3. Let  $j \in [1, n]$  and  $A \in \Lambda_1(n, r)$ . Then

$$e_A F_j = \sum_{p \in \mathbb{Z}} q^{\sum_{i>p} a_{i,j}} [a_{p,j} + 1] e_{A+X_{j,p}^{\top}}$$

and

$$e_A E_j = \sum_{p \in \mathbb{Z}} q^{\sum_{i < p} a_{i,j+1}} [a_{p,j+1} + 1] e_{A-X_{j,p}^{\top}}$$

Proof.

$$\begin{split} e_A F_j &= \top (E_j e_{A^\top}) \\ &= \top (\sum_p q^{\sum_{i>p} a_{i,j}} [a_{p,j} + 1] e_{A^\top + X_{j,p}}) \\ &= \sum_p q^{\sum_{i>p} a_{i,j}} [a_{p,j} + 1] e_{A + X_{j,p}^\top} \end{split}$$

$$e_{A}E_{j} = \top (F_{j}e_{A^{\top}})$$

$$= \top (\sum_{p} q^{\sum_{i < p} a_{i,j+1}} [a_{p,j+1} + 1] e_{A^{\top} - X_{j,p}})$$

$$= \sum_{p} q^{\sum_{i < p} a_{i,j+1}} [a_{p,j+1} + 1] e_{A - X_{j,p}^{\top}}$$

## 4.2 Relations

Note that  $E_i^{r+1} = F_i^{r+1} = 0$  while

$$E_i^r = [r]_! e_{r\mathcal{E}_{i,i+1}}$$

and

$$F_i^r = [r]_! e_{r\mathcal{E}_{i+1}}.$$

**Lemma 4.2.1** (quantum Serre relations:  $n \geq 3$ ). Suppose  $n \geq 3$ . The following relations hold in  $\hat{S}_q(n,r)$ :

$$E_i E_j - E_j E_i = 0$$

$$F_i F_j - F_j F_i = 0$$

unless  $j = i \pm 1$ ;

$$E_i E_{i+1}^2 - (1+q)E_{i+1}E_i E_{i+1} + q E_{i+1}^2 E_i = 0$$
  
$$E_i^2 E_{i+1} - (1+q)E_i E_{i+1}E_i + q E_{i+1}E_i^2 = 0$$

and

$$F_{i+1}F_i^2 - (1+q)F_iF_{i+1}F_i + qF_i^2F_{i+1} = 0$$
  
$$F_{i+1}^2F_i - (1+q)F_{i+1}F_iF_{i+1} + qF_iF_{i+1}^2 = 0.$$

*Proof.* Here we introduce temporary notation for the basis elements: Write  $[A] = e_A$ . Take  $\lambda \in \Lambda_0(n,r)$ .

$$E_i E_{i+1}^2 1_{\lambda} = [2][D_{\lambda} + 2X_{i+1,i+2} + X_{i,i+2}] + [2][D_{\lambda} + 2X_{i+1,i+2} + X_{i,i+1}]$$

$$E_{i+1}E_{i}E_{i+1}1_{\lambda} = [D_{\lambda} + 2X_{i+1,i+2} + X_{i,i+1}] + [2][D_{\lambda} + 2X_{i+1,i+1} + X_{i,i+1}]$$

$$E_{i+1}^2 E_i 1_{\lambda} = [2][D_{\lambda} + 2X_{i+1,i+2} + X_{i,i+1}]$$

Then

$$(E_i E_{i+1}^2 - (1+q)E_{i+1}E_i E_{i+1} + q E_{i+1}^2 E_i)1_{\lambda} = 0,$$

for each  $\lambda \in \Lambda_0(n,r)$ . The relation  $E_i E_{i+1}^2 - (1+q) E_{i+1} E_i E_{i+1} + q E_{i+1}^2 E_i = 0$  then follows.

The relations between  $F_i$  and  $F_{i+1}$  may be obtained directly, as above, or by applying the transpose operator to the relations already derived: note that the two sets of relations are related by swapping  $E_i$  and  $F_i$  and reversing the order of multiplication.

**Lemma 4.2.2** (quantum Serre relations: n = 2). In the case n = 2, the quantum Serre relations will be of total degree 4. Look at the presentation of quantum groups for candidate relations. If that fails, brute force won't be too hard.

**Lemma 4.2.3.**  $[E_i, F_j] = 0$  unless j = i.

$$E_i F_i - F_i E_i = \sum_{\lambda \in \Lambda_0(n,r)} ([\lambda_i] - [\lambda_{i+1}]) 1_{\lambda}.$$

For  $\lambda \in \Lambda_0(n,r)$ , let  $R_{\lambda} = e_{\lambda_1} \varepsilon_{0,1} + \cdots + \lambda_n \varepsilon_{n-1,n}$ . Write  $R = \sum_{\lambda \in \Lambda_0(n,r)} R_{\lambda}$ . Note  $R_{\lambda} = R1_{\lambda}$ . Given  $A \in \Lambda_1(n,r)$  and  $m \in \mathbb{Z}$ , let  $A[m] \in \Lambda_1(n,r)$  be given by  $A[m]_{i,j} = a_{i,j+m}$  and let  $A^{[m]}$  be given by  $A^{[m]}_{i,j} = a_{i+m,j}$  for each  $i \in \mathbb{Z}$ .

**Lemma 4.2.4** (Shifting). If  $A \in \Lambda_1(n,r)$  then

$$Re_A = e_{A^{[\pm 1]}}$$

and

$$e_A R = e_{A_{[+1]}}$$
.

Conjugation by R gives an automorphism  $\rho$  of  $\hat{S}_q(n,r)$  satisfying  $\rho^n=1$ .

# 4.3 quivers with relations

Denote by  $\Lambda_0(n,r)$  the set of compositions of r into n parts. That is,  $\Lambda_0(n,r)$  is the set of  $\alpha \in \mathbb{Z}^n$  with non-negative entries which sum to r. Let  $\varepsilon_i \in \mathbb{Z}^n$  be the ith elementary vector and write  $\alpha_i = \varepsilon_i - \varepsilon_{i+1}$  for each  $i \in [1,n]$ . Then  $\lambda + \alpha_i \in \Lambda_0(n,r)$  if  $\lambda_{i+1} > 0$  and  $\lambda - \alpha_i \in \Lambda_0(n,r)$  if  $\lambda_i > 0$ .

Let  $\Gamma = \Gamma(n,r)$  be the quiver with set of vertices  $\Lambda_0(n,r)$ , with the following arrows:

For  $\lambda \in \Lambda_0(n,r)$  and  $i \in [1,n]$ , there is an arrow  $e_{i,\lambda} : \lambda \to \lambda + \alpha_i$  if  $\lambda_{i+1} > 0$  and there is an arrow  $f_{i,\lambda} : \lambda \to \lambda - \alpha_i$  if  $\lambda_i > 0$ .

Denote by  $\mathbb{Z}[q]\Gamma$  the path  $\mathbb{Z}[q]$ -algebra of  $\Gamma$ . Thus  $\mathbb{Z}[q]\Gamma$  is a free  $\mathbb{Z}[q]$ -module with a basis given by the set of paths in  $\Gamma$ , with multiplication given by the concatenation of paths. If p starts where q ends, the product pq is the path q followed by p. Write  $e_{i,\lambda} = 0$  unless  $\lambda, \lambda + \alpha_i \in \Lambda_0(n,r)$  and write  $f_{i,\lambda} = 0$  unless  $\lambda, \lambda - \alpha_i \in \Lambda_0(n,r)$ .

By construction, there is a homomorphism of  $\mathbb{Z}[q]$ -algebras

$$\phi \colon \mathbb{Z}[q]\Gamma \to \hat{S}_q(n,r)$$

given by

$$\phi(e_{i,\lambda}) = E_{i,\lambda}$$
$$\phi(f_{i,\lambda}) = F_{i,\lambda}$$
$$\phi(k_{\lambda}) = 1_{\lambda},$$

for  $i \in [1, n]$  and  $\lambda \in \Lambda_0(n, r)$ .

The image of  $\phi$  is the subalgebra of  $\hat{S}_q(n,r)$  generated by  $E_i$ ,  $F_i$  for  $i \in [1,n]$  and  $1_{\lambda}$  for  $\lambda \in \Lambda_0(n,r)$ , since  $E_{i,\lambda} = E_i 1_{\lambda}$  and  $F_{i,\lambda} = F_i 1_{\lambda}$ , while  $E_i = \sum_{\lambda} E_{i,\lambda}$  and  $F_i = \sum_{\lambda} F_{i,\lambda}$ . In general  $\phi$  is not surjective, so this does not always lead to a presentation of  $\hat{S}_q(n,r)$ .

#### 4.3.1 Exceptional case n=2.

Describe the quiver.

Define an ideal of relations in the path algebra.

Write down the homomorphism from the bound quiver algebra to the q-Schur algebra.

## **4.3.2** Typical case n > 2.

Suppose  $n \geq 3$ . Then  $\Gamma = \Gamma(n,r)$  has vertex set  $\Lambda_0(n,r)$ . RESUME HERE...

Define  $e_i, f_i \in \mathbb{Z}[q]\Gamma(n,r)$  by

$$e_i = \sum_{\lambda \in \Lambda_0(n,r)} e_{i,\lambda}$$

and

$$f_i = \sum_{\lambda \in \Lambda_0(n,r)} f_{i,\lambda},$$

with the convention  $e_{i,\lambda} = 0$  unless  $\lambda_{i+1} > 0$  and  $f_{i,\lambda} = 0$  unless  $\lambda_i > 0$ . Let  $k_{\lambda}$  denote the constant path at vertex  $\lambda$ .  $\{k_{\lambda} : \lambda \in \Lambda_0(n,r)\}$  is a set of pairwise orthogonal idempotents in  $\mathbb{Z}[q]\Gamma(n,r)$ .

Let  $I(n,r) \subset \mathbb{Z}[q]\Gamma(n,r)$  be the ideal generated by the expressions

$$e_{i}e_{i+1}^{2} - (1+q)e_{i+1}e_{i}e_{i+1} + qe_{i+1}^{2}e_{i}$$

$$e_{i}^{2}e_{i+1} - (1+q)e_{i}e_{i+1}e_{i} + qe_{i+1}e_{i}^{2}$$

$$f_{i+1}f_{i}^{2} - (1+q)f_{i}f_{i+1}f_{i} + qf_{i}^{2}f_{i+1}$$

$$f_{i+1}^{2}f_{i} - (1+q)f_{i+1}f_{i}f_{i+1} + qf_{i}f_{i+1}^{2}$$

$$e_{i}f_{j} - f_{j}e_{i} - \delta_{i,j} \sum_{\lambda \in \Lambda_{0}(n,r)} ([\lambda_{i}] - [\lambda_{i+1}])k_{\lambda}$$

Recall that a relation is a  $\mathbb{Z}[q]$ -linear combination of paths with common start and end vertices. The relations involving paths  $\lambda \to \mu$  are given by  $1_{\mu} \exp 1_{\lambda}$ , for each of the above expressions.

**Lemma 4.3.1.** There is a homomorphism of  $\mathbb{Z}[q]$ -algebras

$$\phi \colon \mathbb{Z}[q]\Gamma(n,r)/I(n,r) \to \hat{S}_q(n,r)$$

given by

$$\phi(e_{i,\lambda}) = E_{i,\lambda}$$
$$\phi(f_{i,\lambda}) = F_{i,\lambda}$$
$$\phi(k_{\lambda}) = 1_{\lambda}.$$

# A generic affine algebra

# 5.1 Introducing the generic affine algebra

Assume  $\mathbf{k} = \mathbb{C}$  and fix  $n, r \geq 1$ . Let  $\mathcal{S}$  be the  $\mathbf{k}$ -algebra  $\mathbf{k}[\varepsilon, \varepsilon^{-1}]$  and let  $\mathcal{R}$  be the subalgebra generated by  $\varepsilon$ , namely  $\mathcal{R} = \mathbf{k}[\varepsilon]$ . Let V be a free  $\mathcal{S}$ -module of rank r and let  $\mathcal{F} = \mathcal{F}_{\mathbf{k}}(n, r)$  be the set of n-periodic cyclic flags in V; so  $\mathcal{F}$  consists of collections  $L = (L_i)_{i \in \mathbb{Z}}$  of  $\mathcal{R}$ -lattices in V with  $L_i \subset L_{i+1}$  for  $i \in \mathbb{Z}$  and  $\varepsilon L_i = L_{i-n}$  for  $i \in \mathbb{Z}$ .

Let G be the group of S-module automorphisms of V. Thus G is isomorphic to  $GL_r(S)$ . G acts on F with orbits  $\{\mathcal{F}_{\lambda} : \lambda \in \Lambda_0(n,r)\}$ , where  $\Lambda_0(n,r)$  is the set of compositions of r into n parts, as in Definition 3.0.1.

The diagonal action of G on  $\mathcal{F} \times \mathcal{F}$  has orbits  $\{\mathcal{O}_A : A \in \Lambda_1(n,r)\}$ , where  $\mathcal{O}_A$  consists of those pairs of flags with periodic characteristic matrix equal to A. Definitions of the periodic characteristic matrix and the set  $\Lambda_1(n,r)$  are given in Definition 3.1.1 and Definition 3.0.2 respectively. In particular, the periodic characteristic matrix of a pair  $(L,L') \in \mathcal{F} \times \mathcal{F}$  is the  $\mathbb{Z} \times \mathbb{Z}$  matrix  $A = (a_{i,j})_{i,j \in \mathbb{Z}}$ , with

$$a_{i,j} = \dim \left( \frac{L_i \cap L'_j}{L_{i-1} \cap L'_j + L_i \cap L'_{j-1}} \right)$$

for each  $i, j \in \mathbb{Z}$ .

#### 5.1.1 Not quite a category

There are maps ro, co:  $\Lambda_1(n,r) \to \Lambda_0(n,r)$  given by

ro 
$$A = \left(\sum_{j \in \mathbb{Z}} a_{1,j}, \dots, \sum_{j \in \mathbb{Z}} a_{n,j}\right)$$

and

$$\operatorname{co} A = \left(\sum_{i \in \mathbb{Z}} a_{i,1}, \dots, \sum_{i \in \mathbb{Z}} a_{i,n}\right).$$

Given  $A \in \Lambda_1(n,r)$ , write co  $A \xrightarrow{A}$  ro A. The purpose of this chapter is to define a category with objects  $\Lambda_0(n,r)$  and morphisms  $\Lambda_1(n,r)$ ; where  $\operatorname{Hom}(\lambda,\mu) = \{A \in \Lambda_1(n,r) : \operatorname{ro} A = \mu, \operatorname{co} A = \lambda\}$ . Given  $A, B \in \Lambda_1(n,r)$  let  $\Lambda_1(n,r)_{A,B}$  be the set of  $C \in \Lambda_1(n,r)$  such that there exist  $L, L', L'' \in \mathcal{F}$  with  $(L,L') \in \mathcal{O}_A$ ,  $(L',L'') \in \mathcal{O}_B$  and  $(L'',L''') \in \mathcal{O}_C$ . It will be shown that  $\Lambda_1(n,r)$  admits a partial order  $\leq$  such that  $\Lambda_1(n,r)_{A,B}$  has a maximum element A \* B, whenever co  $A = \operatorname{ro} B$ . It

will be shown that \* is associative, so defining the composition of morphisms in the category formed by  $\Lambda_0(n,r)$  and  $\Lambda_1(n,r)$ .

The generic affine Schur algebra  $\hat{G}(n,r)$  will then be a  $\mathbb{Z}$ -algebra defined as a linearisation of this category. It will be shown that  $\hat{G}(n,r)$  gives a realisation of the affine 0-Schur algebra  $\hat{S}_0(n,r)$  when r < n. It is expected that a more refined presentation of the generic algebra and the 0-Schur algebra will allow the conditions on the parameters to be relaxed slightly: the r = n case is approachable, which may extend to the case r < 2n.

## 5.2 A partial order

Given  $i, j \in \mathbb{Z}$ , define a map  $d_{i,j}$  on  $\Lambda_1(n,r)$  by setting

$$d_{i,j}A = \sum_{s \le i, t > i} a_{s,t}$$

for each  $A \in \Lambda_1(n,r)$ .

**Lemma 5.2.1.** Let  $A \in \Lambda_1(n,r)$ , with  $A = (a_{i,j})_{i,j \in \mathbb{Z}}$  and write  $d_{i,j} = d_{i,j}A$  for  $i,j \in \mathbb{Z}$ . Then

$$d_{i,j} - d_{i-1,j} = \sum_{t>j} a_{i,t}$$

and

$$d_{i,j} - d_{i,j-1} = -\sum_{s < i} a_{s,j}.$$

*Proof.* Let  $i, j \in \mathbb{Z}$ . Then

$$d_{i,j} - d_{i-1,j} = \sum_{s \le i,t > j} a_{s,t} - \sum_{s \le i-1,t > j} a_{s,t} = \sum_{t > j} a_{i,t}.$$

Similarly,

$$d_{i,j} - d_{i,j-1} = \sum_{s \le i, t > j} a_{s,t} - \sum_{s \le i, t > j-1} a_{s,t} = -\sum_{s \le i} a_{s,j}.$$

**Lemma 5.2.2.** Let  $A \in \Lambda_1(n,r)$ , with  $A = (a_{i,j})_{i,j \in \mathbb{Z}}$  and write  $d_{i,j} = d_{i,j}A$  for each  $i, j \in \mathbb{Z}$ . Then

$$a_{i,j} = d_{i,j-1} - d_{i-1,j-1} + d_{i-1,j} - d_{i,j}$$

for each  $i, j \in \mathbb{Z}$ .

Proof. Using Lemma 5.2.1,

$$a_{i,j} = \sum_{t>j-1} a_{i,t} - \sum_{t>j} a_{i,t}$$
$$= (d_{i,j-1} - d_{i-1,j-1}) - (d_{i,j} - d_{i-1,j}).$$

Alternatively,

$$\begin{aligned} a_{i,j} &= \sum_{s \le i} a_{s,j} - \sum_{s \le i-1} a_{s,j} \\ &= -(d_{i,j} - d_{i,j-1}) + (d_{i-1,j} - d_{i-1,j-1}). \end{aligned}$$

**Lemma 5.2.3.** The relation  $\leq$  on  $\Lambda_1(n,r)$ , defined by  $A \leq B$  if and only if  $d_{i,j}A \leq d_{i,j}B$  for all  $i, j \in \mathbb{Z}$ , is a partial order.

*Proof.* It is clear that  $\leq$  is reflexive and transitive, so it remains to see  $\leq$  is antisymmetric. Suppose  $A, B \in \Lambda_1(n,r)$  with  $A \leq B$  and  $B \leq A$ . Then  $d_{i,j}A = d_{i,j}B$  for each  $i, j \in \mathbb{Z}$ , which shows A = B as a result of Lemma 5.2.2.

The partial order on  $\Lambda_1(n,r)$  induces a partial order on the set of G-orbits in  $\mathcal{F} \times \mathcal{F}$ , such that  $\mathcal{O}_A \leq \mathcal{O}_B$  if and only if  $A \leq B$ . The following lemma is rephrased from Lemma 3.1.4 and gives some geometric significance to the partial order on  $\Lambda_1(n,r)$ .

**Lemma 5.2.4.** Let  $A \in \Lambda_1(n,r)$  and take  $(L,L') \in \mathcal{O}_A$ . Then

$$d_{i,j}A = \dim\left(\frac{L_i}{L_i \cap L_j'}\right)$$

for each  $i, j \in \mathbb{Z}$ .

*Proof.* This is a rephrasing of Lemma 3.1.4.

**Remark 1.** It is thought\* that the partial order on  $\Lambda_1(n,r)$  is compatible with the degeneration order (or closure order) on G-orbits in  $\mathcal{F} \times \mathcal{F}$  when  $\mathbf{k} = \mathbb{C}$ . In particular, it is hoped that  $A \leq B$  if and only if  $\mathcal{O}_A \subset \overline{\mathcal{O}_B}$ .

## 5.3 Preliminary results

Suppose  $A, B \in \Lambda_1(n,r)$  with  $\operatorname{co} A = \operatorname{ro} B$ . Recall the notation

$$Y_{A,B} = \{(L, L', L'') \in \mathcal{F}^3 : (L, L') \in \mathcal{O}_A, (L', L'') \in \mathcal{O}_B\}$$

and

$$X_{A,B} = \{(L,L'') \in \mathcal{F}^2 : \exists L' \in \mathcal{F} \text{ with } (L,L',L'') \in Y_{A,B}\}.$$

 $X_{A,B}$  is the image of  $Y_{A,B}$  under the projection onto the first and last components.

**Lemma 5.3.1.** There is  $N \in \mathbb{N}$  such that

$$\varepsilon^N L_0 \subset L_0'' \subset \varepsilon^{-N} L_0$$

whenever  $(L, L'') \in X_{A,B}$ .

*Proof.* There exist  $N_1, N_2 \in \mathbb{N}$  such that

$$\varepsilon^{N_1}L_0\subset L_0'\subset \varepsilon^{-N_1}L_0$$

and

$$\varepsilon^{N_2}L_0' \subset L_0'' \subset \varepsilon^{-N_2}L_0'$$

whenever  $(L, L', L'') \in Y_{A,B}$ . Then, for  $(L, L', L'') \in Y_{A,B}$ ,

$$L_0'' \subset \varepsilon^{-N_2} L_0' \subset \varepsilon^{-(N_1+N_2)} L_0$$

and

$$\varepsilon^{N_1+N_2}L_0\subset \varepsilon^{N_2}L_0'\subset L_0''.$$

In particular, taking  $N = N_1 + N_2$ , we have

$$\varepsilon^N L_0 \subset L_0'' \subset \varepsilon^{-N} L_0$$

whenever  $(L, L'') \in X_{A.B}$ .

**Lemma 5.3.2.** Suppose  $N_1, N_2 \in \mathbb{N}$  with  $\varepsilon^{N_1} L_0 \subset L_0 \subset \varepsilon^{-N_1} L_0$  and  $\varepsilon^{N_2} L_0' \subset L_0'' \subset \varepsilon^{-N_2} L_0'$  whenever  $(L, L', L'') \in Y_{A,B}$  and let  $N = N_1 + N_2$ . Then

$$\dim\left(\frac{\varepsilon^{-N}L_0}{L_0''}\right) = d_{nN_1,0}(A) + d_{nN_2,0}(B)$$

and

$$\dim\left(\frac{L_0''}{\varepsilon^N L_0}\right) = 2Nr - d_{nN_1,0}(A) + d_{nN_2,0}(B),$$

whenever  $(L, L'') \in X_{A,B}$ .

*Proof.* Suppose  $(L, L'') \in X_{A,B}$  and  $L' \in \mathcal{F}$  so that  $(L, L', L'') \in Y_{A,B}$ . As in lemma 5.3.1,  $\varepsilon^N L_0 \subset L_0'' \subset \varepsilon^{-N} L_0$ , so

$$\dim\left(\frac{\varepsilon^{-N}L_0}{L_0''}\right)+\dim\left(\frac{L_0''}{\varepsilon^NL_0}\right)=\dim\left(\frac{\varepsilon^{-N}L_0}{\varepsilon^NL_0}\right).$$

As a **k**-vector space,  $\varepsilon^{-N}L_0/\varepsilon^N L_0$  is isomorphic to  $(L_0/\varepsilon L_0)^{2N}$ , which has dimension 2Nr, so

$$\dim\left(\frac{L_0''}{\varepsilon^N L_0}\right) = 2Nr - \dim\left(\frac{\varepsilon^{-N} L_0}{L_0''}\right).$$

It remains to compute the codimension of  $L_0''$  in  $\varepsilon^{-N}L_0$ . Note  $L_0'' \subset \varepsilon^{-N_2}L_0' \subset \varepsilon^{-N}L_0$ , so

$$\dim\left(\frac{\varepsilon - NL_0}{L_0''}\right) = \dim\left(\frac{\varepsilon^{-N}L_0}{\varepsilon^{-N_2}L_0'}\right) + \dim\left(\frac{\varepsilon^{-N_2}L_0'}{L_0''}\right).$$

$$\dim\left(\frac{\varepsilon^{-N}L_0}{\varepsilon^{-N_2}L'_0}\right) = \dim\left(\frac{\varepsilon^{-N_1}L_0}{L'_0}\right)$$

$$= \dim\left(\frac{L_{nN_1}}{L_{nN_1} \cap L'_0}\right)$$

$$= \sum_{s \le nN_1, t > 0} A_{s,t}$$

$$= d_{nN_1,0}(A).$$

$$\dim \left(\frac{\varepsilon^{-N_2} L_0'}{L_0''}\right) = \dim \left(\frac{L_{nN_2}'}{L_{nN_2}' \cap L_0''}\right)$$
$$= \sum_{s \le nN_2, t > 0} B_{s,t}$$
$$= d_{nN_2,0}(B).$$

#### 5.3.1 A quasi-projective variety

Fix  $L \in \mathcal{F}$ . Given  $N \in \mathbb{N}$  and  $\lambda \in \Lambda_0(n,r)$ , define

$$\Pi_{N,\lambda} = \{ L'' \in \mathcal{F}_{\lambda} : \varepsilon^{N} L_{0} \subset L''_{0} \subset \varepsilon^{-N} L_{0} \}.$$

and

$$\Pi_{N,\lambda}^a = \left\{ L'' \in \mathcal{F}_{\lambda} : \varepsilon^N L_0 \subset L_0'' \subset \varepsilon^N L_0, \dim \left( \frac{\varepsilon^{-N} L_0}{L_0''} \right) = a \right\}.$$

 $\Pi_{N,\lambda}$  is the (disjoint) union of the  $\Pi_{N,\lambda}^a$  for  $a \in \mathbb{N}$ . In fact, we will see  $\Pi_{N,\lambda}^a$  is empty whenever a > 2Nr.

**Lemma 5.3.3.** Let  $N, a \in \mathbb{N}$ ,  $\lambda \in \Lambda_0(n,r)$ . Then  $\Pi^a_{N,\lambda}$  is nonempty exactly when  $0 \le a \le 2Nr$ .

*Proof.* Suppose  $L'' \in \Pi_{N,\lambda}$ . By definition,  $\varepsilon^{-N}L_0 \subset L_0'' \subset \varepsilon^{-N}L_0$ , which shows

$$\dim\left(\frac{\varepsilon^{-N}L_0}{L_0''}\right) \leq \dim\left(\frac{\varepsilon^{-N}L_0}{\varepsilon^NL_0}\right) = 2Nr.$$

Therefore,  $\Pi_{N,\lambda}^a$  is empty unless  $a \leq 2Nr$ .

Now assume  $0 \le a \le 2Nr$ . We may choose an  $\varepsilon$ -invariant subspace W' of  $W = \varepsilon^{-N} L_0/\varepsilon^N L_0$  of codimension a. W' lifts to give a  $\mathcal{R}$ -module, say  $L_0''$ , with  $\varepsilon^N L_0 \subset L_0'' \subset \varepsilon^{-N} L_0$  and with  $\dim(\varepsilon^{-N} L_0/L_0'') = \dim(W/W') = a$ . Similarly, a flag of type  $\lambda$  in  $L_0''/\varepsilon L_0''$  lifts to give  $\mathcal{R}$ -modules  $(L_{-n+1}'', \ldots, L_0'')$  with

$$\varepsilon L_0'' \subset L_{-n+1}'' \subset \cdots \subset L_{-1}'' \subset L_0'' \subset \varepsilon^{-N} L_0$$

and such that the dimensions of successive quotients are given by  $\lambda_1, \ldots, \lambda_n, a$ , from left to right. Thus,  $(L''_{-n+1}, \ldots, L''_0)$  extends by periodicity to give an element of  $\Pi^a_{N,\lambda}$ , as desired.

**Lemma 5.3.4.** Given  $\lambda \in \Lambda_0(n,r)$ ,  $N \in \mathbb{N}$  and  $a \in \mathbb{Z}$  with  $0 \le a \le 2Nr$ ,  $\Pi_{N,\lambda}^a$  is a quasi-projective variety.

*Proof.* Let  $W = \varepsilon^{-(1+N)} L_0 / \varepsilon^N L_0$  and let

$$X = \left\{ W_1 \le \dots \le W_n \le W : \dim\left(\frac{W}{W_n}\right) = a, \dim\left(\frac{W_i}{W_{i-1}}\right) = \lambda_i \text{ for } i = 2, \dots, n \right\}.$$

X is known to be a projective variety [CITATION NEEDED]

Let X' be the subset of X consisting of those  $(W_1, \ldots, W_n)$ , where each  $W_i$  is  $\varepsilon$ -invariant and  $\varepsilon W_n \leq W_1$ . X' is a closed subset of X, though is not necessarily irreducible.

The correspondence between the set of  $\mathcal{R}$ -submodules of  $\varepsilon^{-(1+N)}L_0$  which contain  $\varepsilon^N L_0$  and the set of  $\mathcal{R}$ -submodules of  $\varepsilon^{-(1+N)}L_0/\varepsilon^N L_0$  gives a pair of mutually inverse maps  $\Pi^a_{N,\lambda} \leftrightarrow X'$ .

– the idea that is relevant to the proof is that inclusion relations  $L_i \subset L_{i+1}$  describe a closed set in a product of grassmanians. Unsure here – Is it true that irreducible components of X' are projective varieties. In this case, should the statement be that  $\Pi_{N,\lambda}^a$  is a projective algebraic set, rather that a quasi projective variety?

**Lemma 5.3.5.** Suppose  $(L', L'') \in \mathcal{O}_B$  with  $(L, L') \in \mathcal{O}_A$ . Then  $X_{A,B}^L$  is the image of the map

$$G_L \times G_{L'} \to \mathcal{F} : (\alpha, \beta) \mapsto \alpha \beta L''$$
.

Proof. Suppose  $\alpha \in G_L$  and  $\beta \in G_{L'}$ .  $(L, \alpha L', \alpha \beta L'') \in Y_{A,B}$  since  $(L, \alpha L') \sim (L, L') \in \mathcal{O}_A$  and  $(\alpha L', \alpha \beta L'') \sim (L', L'') \in \mathcal{O}_B$ . This shows  $(L, \alpha \beta L'') \in X_{A,B}$  and thus  $\alpha \beta L'' \in X_{A,B}^L$ .

Conversely, suppose  $N'' \in X_{A,B}^L$ .  $(L, N'') \in X_{A,B}$ , so there is N' such that  $(L, N') \in \mathcal{O}_A$  and  $(N', N'') \in \mathcal{O}_B$ . There exist  $\gamma, \delta \in G$  such that  $\gamma(L, L') = (N, N')$  and  $\delta(L', L'') = (N', N'')$ . Then  $(L, N', N'') = (L, \gamma L', \delta L'') = (L, \gamma L', \gamma(\gamma^{-1}\delta)L'')$ , where  $\gamma \in G_L$  and  $\gamma^{-1}\delta \in G_{L'}$ . This shows  $N'' \in G_L G_{L'} L''$  as required.

Given  $N \in \mathbb{N}$ , define

$$H_N = \left\{ h \in G_L : h = 1 \text{ on } \frac{\varepsilon^{-(1+N)} L_0}{\varepsilon^N L_0} \right\}.$$

Explicitly, the condition h=1 on  $\varepsilon^{-(1+N)}L_0/\varepsilon^N L_0$  means:  $h(x)+\varepsilon^N L_0=x+\varepsilon^N L_0$  for  $x\in \varepsilon^{-(1+N)}L_0$ . Observe that  $H_{N+1}\subset H_N$  for  $N\in\mathbb{N}$  since  $h(x)+\varepsilon^N L_0=x+\varepsilon^N L_0$  whenever  $x\in \varepsilon^{-(1+N)}L_0$ .

**Lemma 5.3.6.**  $H_N$  is a normal subgroup in  $G_L$ , for any  $N \in \mathbb{N}$ .

Proof.  $H_N \subset G_L$  by definition. Suppose  $h, h' \in H_N$  and let  $x \in \varepsilon^{-(1+N)}L_0$ .  $h'(x) \in \varepsilon^{-(1+N)}L_0$  as  $h' \in G_L$ , so  $hh'(x) + \varepsilon^N L_0 = h'(x) + \varepsilon^N L_0 = x + \varepsilon^N L_0$ , which shows  $hh' \in H_N$ .  $h(x) - x \in \varepsilon^N L_0$ , so  $h^{-1}(x) - x = -h^{-1}(h(x) - x) \in \varepsilon^N L_0$ .  $h^{-1} \in H_N$ , so  $H_N$  is a subgroup of  $G_L$ .

Let  $g \in G_L$ .  $hg^{-1}(x) + \varepsilon^N L_0 = g^{-1}(x)$  as  $g^{-1}(x) \in \varepsilon^{-(1+N)}L_0$ , so  $ghg^{-1}(x) + \varepsilon^N L_0 = gg^{-1}(x) + \varepsilon^N L_0 = x + \varepsilon^N L_0$ . Thus  $ghg^{-1} \in H_N$ , which proves  $H_N$  is a normal subgroup in  $G_L$ .

The  $H_N$  form a descending chain of normal subgroups in  $G_L$ :  $\cdots \subset H_1 \subset H_0 \subset G_L \subset G$ .

**Lemma 5.3.7.**  $G_L/H_N$  is an irreducible algebraic group for any  $N \in \mathbb{N}$ .

*Proof.* See the discussion in [2][section 4]. Should be able to give an explicit presentation of  $G_L/H_N$  in terms of the block structure.

 $\sigma \in G_L$  induces an automorphism  $\bar{\sigma}$  of  $\varepsilon^{-N}L_0/\varepsilon^N L_0$ , with inverse induced by  $\sigma^{-1}$ . Moreover, the natural map

$$G_L/H \to GL(\varepsilon^{-N}L_0/\varepsilon^NL_0)$$

is a group homomorphism. In fact, this homomorphism is injective: if  $\sigma = \tau$  on  $\varepsilon^{-N} L_0/\varepsilon^N L_0$ , then  $\sigma \tau^{-1} = 1$  on  $\varepsilon^{-N} L_0/\varepsilon^N L_0$  and so  $\sigma H = \tau H$ . Thus  $G_L/H$  is isomorphic to its image in  $\mathrm{GL}_{\ell}(\varepsilon)^{-N} L_0/\varepsilon^N L_0$ .

**Lemma 5.3.8.** There is  $N \in \mathbb{N}$  such that  $H_N \subset G_{L'}$ . Consequently,  $H_{N'} \subset G_{L'}$  whenever  $N' \geq N$ .

*Proof.* Choose  $N \in \mathbb{N}$  such that  $\varepsilon^N L_0 \subset L_0' \subset \varepsilon^{-N} L_0$ . Then

$$\varepsilon^N L_0 \subset L_0' \subset L_1' \subset \cdots \subset L_n' \subset \varepsilon^{-(1+N)} L_0.$$

Let  $h \in H_N$ .  $h(x) + \varepsilon^N L_0 = x + \varepsilon^N L_0$  for  $x \in \varepsilon^{-(1+N)} L_0$ , so  $h(L_i') \subset L_i'$  for i = 0, 1, ..., n. Moreover,  $h^{-1}$  stabilises  $L_i'$ , so  $h(L_i') = L_i'$  for i = 0, 1, ..., n and therefore for  $i \in \mathbb{Z}$ . This shows  $h \in G_{L_i'}$  as required, so  $H_N \subset G_{L_i'}$ .

Note that  $H_N$  is generally not a normal subgroup of  $G_{L'}$ , though the space of (right) cosets of  $H_N$  in  $G_{L'}$  will still be irreducible. ADD AN EXAMPLE

**Lemma 5.3.9.**  $G_{L'}/H_N$  is irreducible, provided  $H_N \subset G_{L'}$ .

Proof. Needs a proof.

**Lemma 5.3.10.** Given  $L \in \mathcal{F}$ , the  $G_L$ -orbits in  $\mathcal{F}$  are locally closed.

*Proof.* Look at proposition 8.3 "Closed Orbits" in [1], which shows that the orbits under an algebraic group action are locally closed.  $\Box$ 

**Lemma 5.3.11.** Given  $A, B \in \Lambda_1(n,r)$  with  $\operatorname{co} A = \operatorname{ro} B$  and  $L \in \mathcal{F}_{\operatorname{ro} A}$ ,  $X_{A,B}^L$  is an irreducible topological space.

*Proof.* Write up this proof properly - this is only a sketch. There is  $N \in \mathbb{N}$  sufficiently large that  $X_{A,B}^L$  is contained in  $\Pi_{N,\text{co }B}$ , using Lemma 5.3.1. Suppose  $(L,L') \in \mathcal{O}_A$ , then  $X_{A,B}^L = G_L X_B^{L'}$ .  $G_L$  acts on  $\Pi_{N,\lambda}$  through a quotient  $G_L/H$  which is an irreducible algebraic group, as a result of Lemma 5.3.7.  $X_B^{L'}$  is an irreducible subspace of  $\Pi_{N,\lambda}$ .  $X_{A,B}^L$  is the image of an irreducible subspace of  $\Pi_{N,\lambda}$  under the action of a connected algebraic group, so  $X_{A,B}^L$  is irreducible.  $\square$ 

**Proposition 5.3.12.** Given  $A, B \in \Lambda_1(n,r)$  with  $\operatorname{co} A = \operatorname{ro} B$  and  $L \in \mathcal{F}_{\operatorname{ro} A}$ , there is a unique open  $G_L$ -orbit in  $X_{A,B}^L$ .

Proof.  $X_{A,B}^L$  consists of finitely many  $G_L$ -orbits and is an irreducible topological space, by Lemma 5.3.11. Consequently,  $X_C^L$  is dense in  $X_{A,B}^L$  for some  $C \in \Lambda_1(n,r)_{A,B}$ . Lemma 5.3.10 shows that  $X_C^L$  is locally closed in  $X_{A,B}^L$ , so  $X_C^L$  is open in  $\overline{X_C^L} = X_{A,B}^L$ . Irreducibility of  $X_{A,B}^L$  shows that there is a unique open  $G_L$ -orbit, since two non-empty open sets in  $X_{A,B}^L$  intersect non-trivially, thus any two open  $G_L$  orbits in  $X_{A,B}^L$  coincide.

### 5.4 Existence of a maximum

**Lemma 5.4.1.** Given  $A, A' \in \Lambda_1(n, r)$  with ro  $A = \operatorname{ro} A'$  and  $\operatorname{co} A = \operatorname{co} A'$ ,  $A' \leq A$  if and only if  $X_{A'}^L \subset \overline{X_A^L}$  for any  $L \in \mathcal{F}_{\operatorname{ro} A}$ .

Proof. ADD PROOF

**Proposition 5.4.2.** Given  $A, B \in \Lambda_1(n,r)$  with  $\operatorname{co} A = \operatorname{ro} B$ ,  $\Lambda_1(n,r)_{A,B}$  has a maximum element.

The Real One. Let  $L \in \mathcal{F}_{ro\,A}$ .  $X_{A,B}^L$  is irreducible by Lemma 5.3.11 and is the union of finitely many  $G_L$ -orbits, namely

$$X_{A,B}^{L} = \bigcup_{C \in \Lambda_1(n,r)_{A,B}} X_C^L.$$

This shows that  $X_C^L$  is dense in  $X_{A,B}^L$  for some  $C \in \Lambda_1(n,r)_{A,B}$ . Lemma 5.3.10 shows that the  $G_L$ -orbits in  $X_{A,B}^L$  are locally closed, so a dense  $G_L$ -orbit is open in  $X_{A,B}^L$ . Lemma 5.4.1 shows that the characteristic matrix of the dense  $G_L$ -orbit is a maximum in  $\Lambda_1(n,r)_{A,B}$ .

Draft 1.  $\Lambda_1(n,r)_{A,B}$  is non-empty since co  $A = \operatorname{ro} B$ . The partial order on  $\Lambda_1(n,r)_{A,B}$  is given by the partial order on  $\Lambda_1(n,r)$ ; where  $C' \leq C$  if and only if  $d_{i,j}C' \leq d_{i,j}C$  for all  $i,j \in \mathbb{Z}$ .

To prove existence of a maximum element in  $\Lambda_1(n,r)_{A,B}$  we will consider the poset of Gorbits in  $\mathcal{F} \times \mathcal{F}$  and prove existence of a maximum orbit in  $X_{A,B}$  using an open orbits argument.

Recall  $X_{A,B}$  consists of  $(L,L'') \in \mathcal{F} \times \mathcal{F}$  such that there exists  $L' \in \mathcal{F}$  with  $(L,L') \in \mathcal{O}_A$  and  $(L',L'') \in \mathcal{O}_B$ .

There is  $N \in \mathbb{N}$  such that  $\varepsilon^N L_0 \subset L_0'' \subset \varepsilon^{-N} L_0$  whenever  $(L, L'') \in X_{A,B}$ . Fix  $L \in \mathcal{F}_{\text{ro }A}$  and write

$$X_{A,B}^{L} = \{ L'' \in \mathcal{F} : (L, L'') \in X_{A,B} \}.$$

With the above choice of N, write

$$\Pi = \{ L'' \in \mathcal{F}_{\operatorname{co} B} : \varepsilon^N L_0 \subset L_0'' \subset \varepsilon^{-N} L_0 \}.$$

 $\Pi$  is a complex projective variety (not generally irreducible), closed under the action of  $G_L$ . [ADD A REFERENCE] The closure  $\overline{X_{A,B}^L}$  of  $X_{A,B}^L$  in  $\Pi$  is an irreducible complex projective variety.

Proposition [ADD A REFERENCE]shows there is a unique  $G_L$ -orbit in  $X_{A,B}^L$  which is open in  $\overline{X_{A,B}^L}$ , say  $\mathcal{O}_C^L$  for some  $C \in \Lambda_1(n,r)_{A,B}$ . It will be shown that C is the maximum element of  $\Lambda_1(n,r)_{A,B}$ . Given  $i,j \in \mathbb{Z}$ , let  $m_{i,j}$  denote the maximum of  $\{d_{i,j}C : C \in \Lambda_1(n,r)_{A,B}\}$  and define

$$\mathcal{M}_{i,j} = \{ L'' \in \overline{X_{A,B}^L} : d_{i,j}(L, L'') = m_{i,j} \}.$$

 $\underline{\mathcal{M}_{i,j}}$  is non-empty by definition of the  $m_{i,j}$  and is closed under the action of  $G_L$ .  $\mathcal{M}_{i,j}$  is open in  $\overline{X_{A,B}^L}$  since the function

$$d_{i,j}^L \colon \Pi \to \mathbb{Z} : L'' \mapsto \dim \left( \frac{L_i}{L_i \cap L_j''} \right)$$

is lower semi-continuous [ADD A REFERENCE] and

$$\mathcal{M}_{i,j} = \overline{X_{AB}^L} \setminus \{L'' \in \overline{X_{AB}^L} : d_{i,j}^L(L'') \le m_{i,j} - 1\}.$$

It follows that  $\mathcal{O}_C^L$  and  $\mathcal{M}_{i,j}$  intersect non-trivially, since  $\overline{X_{A,B}^L}$  is irreducible and therefore  $\mathcal{O}_C^L \subset \mathcal{M}_{i,j}$  as both are closed under the action of  $G_L$ . This proves C is a maximum element of  $\Lambda_1(n,r)_{A,B}$ , since

$$d_{i,j}C = d_{i,j}(L, L'') = m_{i,j}$$

for any  $L'' \in \mathcal{O}_C^L$ .

Draft 2.  $\Lambda_1(n,r)_{A,B}$  is non-empty since co  $A=\mathrm{ro}\,B$ . For each  $i,j\in\mathbb{Z},$  define

$$m_{i,j} = \max_{C \in \Lambda_1(n,r)_{A,B}} d_{i,j}C.$$

It will be shown that there is a unique element  $A*B \in \Lambda_1(n,r)_{A,B}$  with  $d_{i,j}(A*B) = m_{i,j}$ : such an element is necessarily a maximum in  $\Lambda_1(n,r)_{A,B}$ . Fix  $L \in \mathcal{F}_{\text{ro }A}$  and assume  $N \in \mathbb{N}$  is sufficiently large that  $X_{A,B}^L \subset \Pi_N$ ; where

$$\Pi_N = \{ L'' \in \mathcal{F}_{\operatorname{co} B} : \varepsilon^N L_0 \subset L_0'' \subset \varepsilon^{-N} L_0 \}.$$

Lusztig notes [2] that  $\Pi_N$  is a projective algebraic variety, closed under the action of  $G_L$ . Lemma [ADD A REFERENCE]shows that the closure of  $X_{A,B}^L$  in  $\Pi_N$ , denoted  $\overline{X_{A,B}^L}$ , is an irreducible complex projective variety. For each  $i, j \in \mathbb{Z}$ , write

$$\mathcal{M}_{i,j} = \{ L'' \in \overline{X_{A,B}^L} : d_{i,j}(L, L'') = m_{i,j} \}.$$

 $\mathcal{M}_{i,j}$  is non-empty since  $d_{i,j}(L,-)$  attains a maximum on  $X_{A,B}^L$ .  $\mathcal{M}_{i,j}$  is open in  $\overline{[L]A,B}$  since

$$\overline{X_{A,B}^L} \setminus \mathcal{M}_{i,j} = \{L'' \in \overline{X_{A,B}^L} : d_{i,j}(L,L'') \le m_{i,j} - 1\}$$

and the function

$$d_{i,j}(L,-):\Pi_N\to\mathbb{Z}:L''\mapsto\dim\left(\frac{L_i}{L_i\cap L''_j}\right)$$

is lower semi-continuous, by lemma [[ADD A REFERENCE]: lower semi-continuity].

Lemma [[ADD A REFERENCE]: open orbit] shows that there is a unique  $G_L$ -orbit in  $X_{A,B}^L$  which is open in  $\overline{X_{A,B}^L}$ , say  $\mathcal{O}_{A*B}^L$  for some  $A*B \in \Lambda_1(n,r)_{A,B}$ .  $\mathcal{M}_{i,j}$  intersects the open orbit  $\underline{\mathcal{O}_{A*B}^L}$  non-trivially, since  $\mathcal{M}_{i,j}$  and  $\mathcal{O}_{A*B}^L$  are both non-empty and open in the irreducible space  $\overline{X_{A,B}^L}$ . Moreover,  $\mathcal{O}_{A*B}^L \subset \mathcal{M}_{i,j}$ , since  $\mathcal{M}_{i,j}$  is closed under the action of  $G_L$ . In particular, we have  $A*B \in \Lambda_1(n,r)_{A,B}$  with  $d_{i,j}(A*B) = m_{i,j}$  for each  $i,j \in \mathbb{Z}$ , which shows A\*B is a maximum in  $\Lambda_1(n,r)_{A,B}$ .

More specifically, we may compute:

$$a_{i,j}(A*B) = m_{i,j-1} - m_{i-1,j-1} + m_{i-1,j} - m_{i,j}$$

for each  $i, j \in \mathbb{Z}$ .

## 5.5 Associativity

**Lemma 5.5.1.** Given  $A, B, C \in \Lambda_1(n,r)$  with ro  $C = \operatorname{co} B$ , ro  $B = \operatorname{co} A$  and a tuple of flags  $(L, L', L'', L''') \in \mathcal{F}^4$  with  $(L, L') \in \mathcal{O}_A$ ,  $(L', L'') \in \mathcal{O}_B$  and  $(L'', L''') \in \mathcal{O}_C$ ,

$$X_{A,B,C}^{L} = G_{L}G_{L'}G_{L''}L'''.$$

Proof.  $X_{A,B,C}^L$  is the image of  $Y_{A,B,C}^L$  under the forgetful map  $(N',N'',N''') \mapsto N'''$ . Given  $(N',N'',N''') \in Y_{A,B,C}^L$ , there exist  $\sigma_1,\sigma_2,\sigma_3 \in G$  such that  $(L,N') = \sigma_1(L,L')$ ,  $(N',N'') = \sigma_2(L',L'')$  and  $(N'',N''') = \sigma_3(L'',L''')$ ; then  $N' = \sigma_1L' = \sigma_2L'$ ,  $N'' = \sigma_2L'' = \sigma_3L''$  and  $N''' = \sigma_3L'''$ . Thus

$$(L,N',N'',N''')=(L,\sigma_1L',\sigma_1(\sigma_1^{-1}\sigma_2)L'',\sigma_1(\sigma_1^{-1}\sigma_2)(\sigma_2^{-1}\sigma_3)L''')$$

where  $\sigma_1 \in G_L$ ,  $\sigma_1^{-1}\sigma_2 \in G_{L'}$  and  $\sigma_2^{-1}\sigma_3 \in G_{L''}$ .

**Lemma 5.5.2.** Given  $A, B, C \in \Lambda_1(n, r)$  with  $\operatorname{co} A = \operatorname{ro} B$  and  $\operatorname{co} B = \operatorname{ro} C$  and  $L \in \mathcal{F}_{\operatorname{ro} A}$ ,  $X_{A,B,C}^L$  is an irreducible topological space

**Lemma 5.5.3.** Given  $A, B, C \in \Lambda_1(n, r)$  with  $\operatorname{co} A = \operatorname{ro} B$  and  $\operatorname{co} B = \operatorname{ro} C$  and  $L \in \mathcal{F}$ ,  $X_{A*B,C}^L$  and  $X_{AB*C}^L$  are open and dense in  $X_{AB*C}^L$ .

**Proposition 5.5.4.** Given  $A, B, C \in \Lambda_1(n, r)$  with  $\operatorname{co} A = \operatorname{ro} B$  and  $\operatorname{co} B = \operatorname{ro} C$ , (A \* B) \* C = A \* (B \* C).

*Proof.* Take  $A, B, C \in \Lambda_1(n, r)$  with  $\operatorname{co} A = \operatorname{ro} B$  and  $\operatorname{co} B = \operatorname{ro} C$  and fix  $L \in \mathcal{F}_{\operatorname{ro} A}$ .  $X_{A,B,C}^L$  is irreducible, by Lemma 5.5.2, and is the union of finitely many disjoint locally closed subsets, namely

$$X_{A,B,C}^{L} = \bigcup_{D \in \Lambda_{1}(n,r)_{A,B,C}} X_{D}^{L}.$$

Therefore, exactly one of the  $X_D^L$  is open and dense in  $X_{A,B,C}^L$ .  $X_{A*B,C}^L$  is open and dense in  $X_{A,B,C}^L$ , by Lemma 5.5.3. It then follows that the maximum  $G_L$ -orbit  $X_{(A*B)*C}^L$  in  $X_{A*B,C}^L$  is open and dense in  $X_{A,B,C}^L$ . Similarly,  $X_{A*(B*C)}^L$  is open and dense in  $X_{A,B*C}^L$  which is in turn open and dense in  $X_{A,B,C}^L$ .  $X_{(A*B)*C}^L$  and  $X_{A*(B*C)}^L$  are both a single orbit for the action of  $G_L$  and intersect non-trivially since  $X_{A,B,C}^L$  is irreducible, therefore  $X_{(A*B)*C}^L = X_{A*(B*C)}^L$ , which means (A\*B)\*C = A\*(B\*C).

## 5.6 The generic algebra

**Lemma 5.6.1.** Given  $\lambda \in \Lambda_0(n,r)$  and  $A \in \Lambda_1(n,r)$ ,  $D_{\lambda} * A = A$  if ro  $A = \lambda$  and  $A * D_{\lambda} = A$  if ro  $A = \lambda$ .

*Proof.* Lemma 3.1.7 shows that  $\Lambda_1(n,r)_{D_{\lambda},A}=\{A\}$  if  $\lambda=\operatorname{ro} A$  and  $\Lambda_1(n,r)_{A,D_{\lambda}}=\{A\}$  if  $\lambda=\operatorname{co} A$ , which proves the result.

**Definition 5.6.1.** For each  $n, r \geq 1$ , the generic category  $\mathcal{G}(n, r)$  is the category with set of objects  $\Lambda_0(n, r)$  and set of morphisms  $\Lambda_1(n, r)$  where; the morphisms from  $\lambda$  to  $\mu$  are those matrices  $A \in \Lambda_1(n, r)$  with co  $A = \lambda$  and ro  $A = \mu$ ; the composition of morphisms  $A: \lambda \to \mu$  and  $B: \mu \to \nu$  is  $B * A: \lambda \to \nu$ , where B \* A is the maximum element in  $\Lambda_1(n, r)_{A,B}$ . For each  $\lambda \in \Lambda_0(n, r)$ , the identity morphism  $D_{\lambda}: \lambda \to \lambda$  is given by  $(D_{\lambda})_{i,i} = \lambda_i$  and  $(D_{\lambda})_{i,j} = 0$  whenever  $i \neq j$ .

**Example 1.** The objects in  $\mathcal{G}(2,2)$  are compositions of 2 into 2 parts, namely (0,2), (1,1) and (2,0). The set of morphisms from  $\lambda$  to  $\mu$  is the set of infinite periodic matrices  $A \in \Lambda_1(2,2)$  with  $\operatorname{co} A = \lambda$  and  $\operatorname{ro} A = \mu$ , which is a countably infinite set for any pair of compositions  $\lambda, \mu \in \Lambda_0(2,2)$ .

**Definition 5.6.2** (Generic algebra). The affine generic algebra  $\hat{G}(n,r)$  is the category  $\mathbb{Z}$ -algebra of  $\mathcal{G}(n,r)$ . In particular,  $\hat{G}(n,r)$  is a free  $\mathbb{Z}$ -module with basis  $\{e_A : A \in \Lambda_1(n,r)\}$  and with associative multiplication given by

$$e_A * e_B = \begin{cases} e_{A*B} & \text{if } \operatorname{co} A = \operatorname{ro} B \\ 0 & \text{if } \operatorname{co} A \neq \operatorname{ro} B. \end{cases}$$

The multiplicative identity in  $\hat{G}(n,r)$  is

$$1 = \sum_{\lambda \in \Lambda_0(n,r)} 1_{\lambda}$$

where  $1_{\lambda} = e_{D_{\lambda}}$ .

# A realisation of affine zero Schur algebras

We aim to prove the isomorphism theorem in the cases r < n and  $n \le r < 2n$  separately. Below are crude versions of the statements we want to prove.

**Theorem 6.0.1.** Assume r < n. The map  $\psi : \hat{G}(n,r) \to \hat{S}_0(n,r)$ , given by  $\psi(E_i) = E_i$ ,  $\psi(F_i) = F_i$  and  $\psi(1_{\lambda}) = 1_{\lambda}$ , is an isomorphism of  $\mathbb{Z}$ -algebras.

**Theorem 6.0.2.** Assume  $n \leq r < 2n$ . There is a unique homomorphism of  $\mathbb{Z}$ -algebras  $\hat{\psi} \colon \hat{G}(n,r) \to \hat{S}_0(n,r)$  such that  $\hat{\psi}(R) = R$  and  $\hat{\psi} = \psi$  on the subalgebra of  $\hat{G}(n,r)$  generated by the  $E_i$ ,  $F_i$  and  $1_{\lambda}$ .  $\hat{\psi}$  is an isomorphism of  $\mathbb{Z}$ -algebras.

# 6.1 Preliminary results

#### 6.1.1 Elementary basis elements

Give notation for the elementary basis elements  $E_{i,\lambda}$ ,  $F_{i,\lambda}$  and  $1_{\lambda}$ .

#### 6.1.2 Transpose involution

**Lemma 6.1.1.** The  $\mathbb{Z}$ -module automorphism  $\top$  of  $\hat{G}(n,r)$  given by  $e_A \mapsto e_{A^{\top}}$  is a  $\mathbb{Z}$ -algebra antihomomorphism: that is,

$$e_{A^\top} * e_{B^\top} = e_B * e_A$$

for each  $A, B \in \Lambda_1(n, r)$ . Moreover,  $\top(E_{i,\lambda}) = F_{i,\lambda+\alpha_i}$ ,  $\top(F_{i,\lambda}) = E_{i,\lambda-\alpha_i}$  and  $\top(1_{\lambda}) = 1_{\lambda}$ , for permissible  $(i,\lambda) \in \mathbb{Z} \times \Lambda_0(n,r)$ .

*Proof.* This is a consequence of Lemma 4.1.1. It must also be shown that the transpose operation on  $\Lambda_1(n,r)$  is order preserving.

#### 6.1.3 Multiplication rules

Write

$$E_i = \sum_{\lambda \in \Lambda_0(n,r): \lambda_{i+1} > 0} E_{i,\lambda}$$
$$F_i = \sum_{\lambda \in \Lambda_0(n,r): \lambda_i > 0} F_{i,\lambda}.$$

Then  $E_{i,\lambda} = E_i 1_{\lambda}$  and  $F_{i,\lambda} = F_i 1_{\lambda}$ .

**Lemma 6.1.2.** Let  $A \in \Lambda_1(n,r)$ ,  $i \in [1,n]$  and let  $\lambda = \text{ro } A$ . The following multiplication rules hold:

$$E_i e_A = \begin{cases} e_{A+X_{i,p}} & \text{if } \lambda_{i+1} > 0\\ 0 & \text{if } \lambda_{i+1} = 0; \end{cases}$$

where p is such that  $A_{i+1,p} > 0$  and  $A_{i+1,j} = 0$  for j > p. Also

$$F_i e_A = \begin{cases} e_{A-X_{i,p}} & \text{if } \lambda_i > 0\\ 0 & \text{if } \lambda_i = 0; \end{cases}$$

where p is such that  $A_{i,p} > 0$  and  $A_{i,j} = 0$  for j < p.

Similar formulas for right multiplication by  $E_i$  and  $F_i$  are obtained by applying the transpose. Add formulas for right multiplication by  $E_i$  and  $F_i$ .

## 6.2 Presentation of the generic algebra.

Recall that  $\Lambda_0(n,r)$  denotes the set of compositions of r into n parts. That is,  $\Lambda_0(n,r)$  is the set of tuples  $\lambda = (\lambda_1, \dots, \lambda_n) \in \mathbb{Z}^n$  with each  $\lambda_i$  non-negative and  $\lambda_1 + \dots + \lambda_n = r$ . Given  $i \in [1,n]$ , let  $\varepsilon_i = (0, \dots, 1, \dots, 0) \in \mathbb{Z}^n$  be the i-th elementary vector and let  $\alpha_i = \varepsilon_i - \varepsilon_{i+1}$ . Then given  $\lambda \in \Lambda_0(n,r)$ , we have  $\lambda + \alpha_i \in \Lambda_0(n,r)$  provided  $\lambda_{i+1} > 0$  and  $\lambda - \alpha_i \in \Lambda_0(n,r)$  provided  $\lambda_i > 0$ . Let  $\Gamma = \Gamma(n,r)$  be the quiver with set of vertices  $\Lambda_0(n,r)$  with arrows  $e_{i,\lambda} \colon \lambda \to \lambda + \alpha_i$  (if  $\lambda_{i+1} > 0$ ) and  $f_{i,\lambda} \colon \lambda \to \lambda - \alpha_i$  (if  $\lambda_i > 0$ ). Thus there are no arrows between  $\lambda$  and  $\mu$  unless  $\lambda = \mu \pm \alpha_i$  for some  $i \in [1,n]$ .

If  $n \geq 3$  then neighbouring vertices are connected by two arrows, one of each direction. In the case n = 2, neighbouring vertices are joined by four arrows, two of each direction. The  $\mathbb{Z}\Gamma$  denote the path  $\mathbb{Z}$  algebra of  $\Gamma$ . By construction of  $\Gamma$ , there is a  $\mathbb{Z}$ -algebra homomorphism  $\mathbb{Z}\Gamma \to \hat{G}(n,r)$  with  $e_{i,\lambda} \mapsto E_{i,\lambda}$ ,  $f_{i,\lambda} \mapsto F_{i,\lambda}$  and  $k_{\lambda} = 1_{\lambda}$ . We aim to describe the image and kernel of the morphism to give a presentation of the generic algebra by a quiver with relations, when possible. In general, we should obtain a presentation of a subalgebra of the generic algebra consisting of the so-called aperiodic elements (c.f. [2]).

**Definition 6.2.1.** (aperiodicity)  $A \in \Lambda_1(n,r)$  is aperiodic if for each  $l \in \mathbb{Z} \setminus \{0\}$  there exists  $i \in \mathbb{Z}$  such that  $a_{i,i+l} = 0$ . Denote the set of aperiodic elements in  $\Lambda_1(n,r)$  by  $\Lambda_1(n,r)^{ap}$ . Note that  $\Lambda_1(n,r)^{ap} = \Lambda_1(n,r)$  if r < n. Linear combinations of the basis elements corresponding to aperiodic matrices are also said to be aperiodic - if A is aperiodic, we say  $e_A$  is aperiodic.

**Lemma 6.2.1.** If  $A \in \Lambda_1(n,r)$  is aperiodic, then  $E_i * e_A$  and  $F_i * e_A$  are aperiodic.

*Proof.* Suppose  $A \in \Lambda_1(n,r)$  is aperiodic and  $E_i * A \neq 0$ . There is  $l \in \mathbb{Z}$  such that  $a_{i+1,l} > 0$  and  $a_{i+1,l'} = 0$  for l' > l. Then  $E_i * e_A = e_{A+\mathcal{E}_{i,l}-\mathcal{E}_{i+1,l}}$ , from Lemma 6.1.2. FINISH THIS PROOF

**Lemma 6.2.2.** If  $A \in \Lambda_1(n,r)$  is aperiodic, then  $e_A$  may be obtained from  $1_{co A}$  by finitely many applications of  $E_i$  and  $F_i$  for  $i \in [1, n]$ .

**Proposition 6.2.3.** The subalgebra of  $\hat{G}(n,r)$  generated by  $E_{i,\lambda}$ ,  $F_{i,\lambda}$  and  $1_{\lambda}$  has  $\mathbb{Z}$ -basis  $\{e_A : A \in \Lambda_1(n,r)^{ap}\}$ , where  $\Lambda_1(n,r)^{ap} \subset \Lambda_1(n,r)$  is the set of aperiodic elements, as in Definition 6.2.1.

*Proof.* Combining Lemma 6.2.1 and Lemma 6.2.2 proves the result.

## **6.2.1** The case $n \ge 3$ .

**Lemma 6.2.4.** The following relations hold in  $\hat{G}(n,r)$   $(n \geq 3)$ :

$$E_i E_j - E_j E_i = 0$$

$$F_i F_i - F_i F_i = 0$$

unless |j - i| = 1.

$$E_{i}E_{i+1}^{2} - E_{i+1}E_{i}E_{i+1} = 0$$

$$E_{i}^{2}E_{i+1} - E_{i}E_{i+1}E_{i} = 0$$

$$F_{i+1}F_{i}^{2} - F_{i}F_{i+1}F_{i} = 0$$

$$F_{i+1}^{2}F_{i} - F_{i+1}F_{i}F_{i+1} = 0$$

$$E_i F_i - F_i E_i = 0$$

unless j = i.

$$E_i Fi - F_i E_i + \sum_{\lambda: \lambda_i = 0, \lambda_{i+1} > 0} 1_{\lambda} - \sum_{\lambda: \lambda_i > 0, \lambda_{i+1} = 0} 1_{\lambda} = 0.$$

#### **6.2.2** The case n = 2.

In this case, the quiver  $\Gamma(2,r)$  has vertices  $\Lambda_0(2,r) = \{(0,r), (1,r-1), \dots, (r,0)\}$ ; adjacent vertices are connected by two pairs of arrows with opposite orientation:  $(e_1, f_1)$  and  $(e_2, f_2)$ . The relations arising from  $\hat{G}(2,r)$  are of a more complicated form - in particular, the serre relations of total degree 3 will not hold in this case - so this case will be treated separately and at a later date.

# Further directions

## 7.1 Further results on affine zero Schur algebras

[1] Investigate link between this generic product and the generic extension of representations. Shifting to the non-negative subalgebra to do computations purely in terms of generic extensions of quiver representations.

## 7.2 Deformed group algebras of symmetric groups

[2] Degenerate group algebras of symmetric groups: write down a presentation of the degenerate group algebras, with generators given by the transpositions, or 2-cycles. Type up the computations done for degenerate group algebras for  $S_3$  and  $S_4$ . Formulate propositions for the general case: the transpositions generate the degenerate group algebra; lemma: 'these' relations hold; these generators and relations give a presentation of the degenerate group algebras.

Terminology: deformed group algebra.

# Bibliography

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