Affine 0-Schur algebras and affine double flag varieties.

Tom Crawley

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# Chapter 1

# Introduction

The work of this thesis follows the geometric realisation of 0-Schur and 0-Hecke algebras given by Jensen and Su in [24] and is based on Lusztig's geometric approach to affine q-Schur algebras in [25].

To include in introduction: quantum groups

The first part of the introduction should discuss the context of the topic and mention references.

## 1.1 The geometric realisation of 0-Schur algebras

Fix integers  $n, r \ge 1$  and let **k** be a finite or algebraically closed field. Let V be an r dimensional vector space over **k** and let  $\mathcal{F}$  denote the space of partial n-step flags

$$f: 0 = f_0 \subset f_1 \subset \cdots \subset f_n = V$$

in V. The general linear group  $\mathrm{GL}(V)$  acts on  $\mathcal{F}$  through the natural action on V and the  $\mathrm{GL}(V)$ -orbits in  $\mathcal{F}$  are in bijection with the compositions of r into n parts. Given  $\lambda \in \mathbb{N}^n$  with  $\lambda_1 + \cdots + \lambda_n = r$ , the corresponding  $\mathrm{GL}(V)$ -orbit in  $\mathcal{F}$  is

$$\mathcal{F}_{\lambda} = \{ f \in \mathcal{F} : \lambda_i = \dim(f_i) - \dim(f_{i-1}) \text{ for all } i \in \{1, \dots, n\} \}.$$

The diagonal action of GL(V) on  $\mathcal{F} \times \mathcal{F}$  has orbits indexed by the set  $\Theta(n, r)$  of  $n \times n$  matrices with natural number entries which sum to r. In particular, the orbit [L, L'] of a pair of flags (f, f') is characterised by the matrix  $A \in M_n(\mathbb{N})$  with entries

$$a_{i,j} = \dim(f_{i-1} + f_i \cap f'_j) - \dim(f_{i-1} + f_i \cap f'_{j-1})$$

for each  $i, j \in \{1, ..., n\}$ . Let  $\mathcal{O}_A \subset \mathcal{F} \times \mathcal{F}$  denote the orbit corresponding to  $A \in \Theta(n, r)$ .

The q-Schur algebra  $S_q(n,r)$  is an associative  $\mathbb{Z}[q]$ -algebra with a basis  $\{e_A : A \in \Theta(n,r)\}$  and multiplication given by

$$e_A e_B = \sum_{C \in \Theta(n,r)} g_{A,B,C} e_C$$

for each  $A, B \in \Theta(n, r)$ , where the structure constants  $g_{A,B,C} \in \mathbb{Z}[q]$  satisfy

$$g_{A,B,C}(\#\mathbf{k}) = \#\left\{f' \in \mathcal{F} : (f,f') \in \mathcal{O}_A, (f',f'') \in \mathcal{O}_B\right\}$$

for any finite field **k** and  $(f, f'') \in \mathcal{O}_C$ .

The affine 0-Schur algebra  $S_0(n,r)$  is the associative  $\mathbb{Z}$ -algebra obtained by specialising  $S_q(n,r)$  at q=0. These algebras have been studied by Donkin, Deng and Yang [8] and Jensen and Su [32, 24, 23, 22] and others. Presentations of  $S_0(n,r)$  have been given independently by Deng and Yang [8] and by Jensen and Su [24] and in the latter work  $S_0(n,r)$  is presented by a quiver with relations and gives a new realisation of 0-Schur algebras in terms of the generic multiplication of orbits in  $\mathcal{F} \times \mathcal{F}$ , which gives a multiplicative basis in  $S_0(n,r)$ . Using this realisation Jensen and Su [23] studied the structure of 0-Schur algebras, including classifying the indecomposable projective modules and giving bases for the spaces of homomorphisms between them and later considered deformations of 0-Schur algebras depending on a tuple of parameters which leads to a construction of 0-Hecke and nil-Hecke algebras as well as a geometric realisation of nil-Temperley-Lieb algebras using double flag varieties.

The work of this thesis can be seen as a generalisation of the geometric realisation of 0-Schur algebras [24] to the affine case using Lusztig's double affine flag variety realisation of affine q-Schur algebras, so we now look at some of the main results from the finite case.

Assume **k** is an algebraically closed field so that  $\mathcal{F}$  is a projective algebraic variety equipped with the algebraic group action of GL(V). Define morphisms

$$\pi \colon \mathcal{F}^3 \to \mathcal{F} \times \mathcal{F}$$

$$\delta \colon \mathcal{F}^3 \to \mathcal{F}^4$$

by  $\pi(f, f', f'') = (f, f'')$  and  $\delta(f, f', f'') = (f, f', f', f'')$  for each  $(f, f', f'') \in \mathcal{F}^3$ . The GL(V)orbits in  $\mathcal{F} \times \mathcal{F}$  are locally closed and given orbits  $\mathcal{O}_A$  and  $\mathcal{O}_B$  such that  $e_A e_B$  is nonzero, the space

$$\pi(\delta^{-1}(\mathcal{O}_A \times \mathcal{O}_B)) = \{(f, f'') \in \mathcal{F} \times \mathcal{F} : \exists f' \in \mathcal{F} \text{ with } (f, f') \in \mathcal{O}_A, (f', f'') \in \mathcal{O}_B\}$$

is irreducible, so there is a unique open orbit denoted by  $\mathcal{O}_{A*B}$ , as in Corollary 6.2 [24]. The generic algebra G(n,r) is then defined to be the free  $\mathbb{Z}$ -module on  $\mathcal{F}\times\mathcal{F}/\mathrm{GL}(V)$  equipped with  $\mathbb{Z}$ -bilinear product defined by

$$e_A * e_B = \begin{cases} 0 & : \text{ if } e_A e_B = 0 \\ e_{A*B} & : \text{ if } e_A e_B \neq 0, \end{cases}$$

where  $\mathcal{O}_{A*B}$  is the unique open orbit in  $\pi(\delta^{-1}(\mathcal{O}_A \times \mathcal{O}_B))$  and Proposition 6.3 [24] proves that G(n,r) is an associative  $\mathbb{Z}$ -algebra.

Let  $\Lambda_0$  be the set of compositions of r into n parts and let  $\varepsilon_i \in \mathbb{Z}^n$  be the i-th coordinate vector. Let  $\Sigma = \Sigma(n,r)$  be the quiver with set of vertices  $\Lambda_0$  and with arrows

$$e_{i,\lambda} \colon \lambda \to \lambda + \alpha_i$$
  
 $f_{i,\lambda} \colon \lambda \to \lambda - \alpha_i$ 

for  $i \in \{1, ..., n-1\}$  and  $\lambda \in \Lambda_0$ . The vertices give a set of pairwise orthogonal idempotents  $k_{\lambda}$  in the path  $\mathbb{Z}[q]$ -algebra  $\mathbb{Z}[q]\Sigma(n,r)$ . Write  $e_i = \sum_{\lambda} e_{i,\lambda}$  and  $f_i = \sum_{\lambda} f_{i,\lambda}$  and let I = I(n,r) be the ideal of relations in  $\mathbb{Z}[q]\Sigma(n,r)$  generated by

$$e_i^2 e_{i+1} - (1+q)e_i e_{i+1} e_i + q e_{i+1} e_i^2,$$

$$e_i e_{i+1}^2 - (1+q)e_{i+1} e_i e_{i+1} + q e_{i+1}^2 e_i,$$

$$f_{i+1} f_i^2 - (1+q)f_i f_{i+1} f_i + q f_i^2 f_{i+1},$$

$$f_{i+1}^2 f_i - (1+q)f_{i+1} f_i f_{i+1} + q f_i f_{i+1}^2,$$

for  $i \in \{1, ..., n-1\}$ ;

$$e_i e_j - e_j e_i,$$
  
$$f_i f_j - f_j f_i,$$

when |j - i| > 1;

$$e_i f_j - f_j e_i - \delta_{i,j} \sum_{\lambda \in \Lambda_0} \frac{q^{\lambda_i} - q^{\lambda_{i+1}}}{q - 1} k_{\lambda}$$

for  $i, j \in \{1, \dots, n-1\}$ .

There is a homomorphism of  $\mathbb{Z}[q]$ -algebras  $\phi \colon \mathbb{Z}[q]\Sigma(n,r)/I(n,r) \to S_q(n,r)$  given by

$$\phi(k_{\lambda} + I) = 1_{\lambda},$$
  

$$\phi(e_{i,\lambda} + I) = E_{i,\lambda},$$
  

$$\phi(f_{i,\lambda} + I) = F_{i,\lambda},$$

where  $E_{i,\lambda}$  is the basis element corresponding to the matrix with (i,i+1)-entry equal to 1, diagonal entries  $(\lambda_1,\ldots,\lambda_{i+1}-1,\ldots,\lambda_n)$  and all other entries equal to zero and  $F_{i,\lambda}$  is the basis element corresponding to the matrix with (i+1,i)-entry equal to 1, with diagonal entries  $(\lambda_1,\ldots,\lambda_i-1,\ldots,\lambda_n)$  and all other entries equal to zero. Let  $I_0=I_0(n,r)$  be the ideal in  $\mathbb{Z}\Gamma$  given by evaluating the relations in I at q=0.

**Theorem.** [24][Theorem 7.2] The  $\mathbb{Z}$ -algebra homomorphism  $\phi \colon \mathbb{Z}\Sigma/I_0 toG(n,r)$  given by

$$\phi(k_{\lambda} + I_0) = 1_{\lambda}$$
  
$$\phi(e_{i,\lambda} + I_0) = E_{i,\lambda}$$
  
$$\phi(f_{i,\lambda} + I_0) = F_{i,\lambda}$$

for  $i \in \{1, \ldots, n-1\}$  and  $\lambda \in \Lambda_0$ .

**Theorem.** [24][Theorem 7.3] The  $\mathbb{Z}$ -algebra homomorphism  $\psi \colon G(n,r) \to S_0(n,r)$  given by

$$\psi(1_{\lambda}) = 1_{\lambda}$$
$$\psi(E_{i,\lambda}) = E_{i,\lambda}$$
$$\psi(F_{i,\lambda}) = F_{i,\lambda}$$

is an isomorphism.

Thus  $S_0(n,r)$  is presented by the bound quiver algebra  $\mathbb{Z}\Sigma/I_0$  and the image of the standard basis under  $\Psi$  is a multiplicative basis in  $S_0(n,r)$ . This result also leads to a presentation of q-Schur algebras over the ring  $\mathcal{Q} \subset \mathbb{Q}(q)$  which is the localisation of  $\mathbb{Z}[q]$  at  $1 + q\mathbb{Z}[q]$ .

**Theorem.** [24][Theorem 5.6] The Q-algebra homomorphism

$$Q \otimes \phi \colon Q \otimes_{\mathbb{Z}[q]} \mathbb{Z}[q] \Sigma / I \to Q \otimes_{\mathbb{Z}[q]} S_q(n,r)$$

is an isomorphism.

## 1.2 The cyclic flags approach to affine q-Schur algebras

Affine q-Schur algebras arose from an affine analogue of quantum Schur-Weyl duality and as such are defined as the endomorphism algebra of a certain module over the affine Hecke algebra. The approach used in this thesis is based on Lusztig's construction using double affine flag varieties, which is itself an extension of a similar construction of finite type q-Schur algebras given by Beilinson, Lusztig and MacPherson in [1]. A good account of these two different realisations of affine q-Schur algebras can be found in the book [4] by Deng, Du and Fu.

Let  $\mathbf{k}$  be a finite or algebraically closed field and let  $n, r \geq 1$  be integers. Fix a free  $\mathbf{k}[\varepsilon, \varepsilon^{-1}]$ -module V of rank r and let G be its automorphism group. A lattice in V is a  $\mathbf{k}[\varepsilon, \varepsilon^{-1}]$ -submodule of V which is a free module of rank r over  $\mathbf{k}[\varepsilon]$ . The space of n-periodic flags in V is denoted by  $\mathcal{F}$  and consists of chains of lattices  $L = (L_i)_{i \in \mathbb{Z}}$  in V such that  $L_i \subset L_{i+1}$  and  $\varepsilon L_i = L_{i-n}$  for each  $i \in \mathbb{Z}$ . The group G acts naturally on  $\mathcal{F}$  and the orbits are indexed by the set of compositions of r into n parts. Given a composition  $\lambda = (\lambda_1, \ldots, \lambda_n)$  of r into n parts, the corresponding G-orbit in  $\mathcal{F}$  is

$$\mathcal{F}_{\lambda} = \{ L \in \mathcal{F} : \dim (L_i/L_{i-1}) = \lambda_i \text{ for all } i \in \mathbb{Z} \}.$$

The diagonal action of G on  $\mathcal{F} \times \mathcal{F}$  has orbits indexed by the set  $\Lambda_1$  of  $\mathbb{Z} \times \mathbb{Z}$  matrices with non-negative integer entries  $a_{i,j}$  such that  $a_{i,j} = a_{i-n,j-n}$  for all  $i,j \in \mathbb{Z}$  and the sum of the entries in any n consecutive rows or columns is r. The row vector of A is the composition  $\operatorname{ro}(A)$  given by adding up the entries in each row and the column vector  $\operatorname{co}(A)$  is the composition given by adding up the entries in each column. The orbit corresponding to  $A \in \Lambda_1$  is denoted by  $\mathcal{O}_A$  and is the set of pairs of flags (L, L') such that

$$\dim\left(\frac{L_i \cap L'_j}{L_{i-1} \cap L'_j + L_i \cap L'_{j-1}}\right) = a_{i,j}$$

for all  $i, j \in \mathbb{Z}$ . There are polynomials  $\gamma_{A,B,C} \in \mathbb{Z}[q]$  for  $A,B,C \in \Lambda_1$  such that

$$\gamma_{A,B,C}(\#\mathbf{k}) = \#\{L' \in \mathcal{F} : (L,L') \in \mathcal{O}_A, (L',L'') \in \mathcal{O}_B\}$$

for any finite field **k** and  $(L, L'') \in \mathcal{O}_C$ . The affine q-Schur algebra  $\hat{S}_q(n, r)$  is an associative algebra over  $\mathbb{Z}[q]$ , with a  $\mathbb{Z}[q]$ -basis

$$\{e_A:A\in\Lambda_1\}$$

and multiplication given by

$$e_A e_B = \sum_{C \in \Lambda_1} \gamma_{A,B,C} e_C$$

for  $A, B \in \Lambda_1$ .

There is a set of orthogonal idempotents  $\{1_{\lambda} : \lambda \in \Lambda_0\}$  in  $\hat{S}_q(n,r)$  with  $1 = \sum_{\lambda \in \Lambda_0} 1_{\lambda}$ , where  $1_{\lambda}$  is the basis element corresponding to the diagonal matrix with column vector equal to  $\lambda$ . There are distinguished elements  $E_i$  and  $F_i$  for  $i \in \{1, \ldots, n\}$ , where  $E_i$  is the sum of those basis elements  $e_A$  such that  $a_{j,j+1} = 1$  when j = i modulo n with all other off-diagonal entries are zero, and  $F_i$  is the sum of those  $e_A$  such that  $a_{j+1,j} = 1$  when j = i modulo n and all other off-diagonal entries are zero.

There are the following multiplication rules for  $E_i$  and  $F_i$  which allow computations to be done in a clear combinatorial way and are later used to derive a set of relations. For an integer  $m \ge 1$ , the q-integer [[m]] is the polynomial

$$\frac{1-q^m}{1-q} = 1 + q + \dots + q^{m-1} \in \mathbb{Z}[q]$$

and [[0]] = 0. For  $i, j \in \mathbb{Z}$ , let  $\mathcal{E}_{i,j}$  be the elementary periodic matrix with entries equal to 1 in positions (i + cn, j + cn) for  $c \in \mathbb{Z}$  and all other entries equal to zero. Given  $A \in \Lambda_1$ ,

$$E_{i}e_{A} = \sum_{p \in \mathbb{Z}: a_{i+1,p} > 0} q^{\sum_{j > p} a_{i,j}} [[a_{i,p} + 1]] e_{A+\mathcal{E}_{i,p} - \mathcal{E}_{i+1,p}}$$

$$F_{i}e_{A} = \sum_{p \in \mathbb{Z}: a_{i,p} > 0} q^{\sum_{j < p} a_{i+1,j}} [[a_{i+1,p} + 1]] e_{A+\mathcal{E}_{i+1,p} - \mathcal{E}_{i,p}}$$

for each  $i \in \{1, \ldots, n\}$ .

## 1.3 Main results

Specialising the affine q-Schur algebra  $\hat{S}_q(n,r)$  at q=0 gives an associative  $\mathbb{Z}$ -algebra

$$\hat{S}_0(n,r) = \mathbb{Z}[q]/(q) \otimes_{\mathbb{Z}[q]} \hat{S}_q(n,r)$$

called the affine 0-Schur algebra. The ultimate goal of the project is to study the structure of the affine q-Schur algebra and to define a new associative algebra with a modified version of the product in  $\hat{S}_q(n,r)$ , the generic affine algebra, where the standard basis elements  $e_A$  form a multiplicative basis and to finally investigate the link between the generic affine algebra and the affine 0-Schur algebra. Interestingly, this product may be understood geometrically in terms of degenerations of orbits, by a purely combinatorial approach and from a representation theoretic viewpoint by considering the Hall algebra for a cyclic quiver and the corresponding product given by generic extensions of representations.

[Replace lemma with some sentences.]

**Lemma.** There is an invertible element R in  $\hat{S}_q(n,r)$  such that acting on a basis element  $e_A$  on the left corresponds to shifting all entries up by one row and acting on the right by R corresponds to shifting all entries of A to the right by one column. Moreover, the map

$$e_A \mapsto Re_A R^{-1}$$

is a unipotent automorphism of  $\hat{S}_q(n,r)$  of degree n, corresponding to a shift along the diagonal of A.

We define a quiver  $\Gamma$  for  $\hat{S}_q(n,r)$  and give a set of  $\mathbb{Z}[q]$ -linear relations with the aim of giving a presentation of  $\hat{S}_q(n,r)$  over an extended ground ring and later use the same quiver with the q=0 form of the relations to study both the affine zero Schur algebra  $\hat{S}_0(n,r)$  and the generic affine algebra  $\hat{G}(n,r)$ . Let  $\Gamma$  be the quiver with set of vertices  $\Lambda_0$  and arrows

$$e_{i,\lambda} : \lambda \to \lambda + \alpha_i : \lambda_{i+1} > 0$$
  
 $f_{i,\lambda} : \lambda \to \lambda - \alpha_i : \lambda_i > 0,$ 

for  $i \in \{1, ..., n\}$  and  $\lambda \in \Lambda_0$ , where  $\alpha_i = \varepsilon_i - \varepsilon_{i+1} \in \mathbb{Z}^n$  is the simple root. Let I = I(n, r) be the ideal of relations in  $\mathbb{Z}[q]\Gamma$  generated by

$$e_i e_j - e_j e_i$$
$$f_i f_j - f_j f_i$$

for  $i, j \in \{1, ..., n\}$  such that  $j \neq i \pm 1$ ,

$$e_{i}e_{i+1}^{2} - [[2]]e_{i+1}e_{i}e_{i+1} + qe_{i+1}^{2}e_{i}$$

$$e_{i}^{2}e_{i+1} - [[2]]e_{i}e_{i+1}e_{i} + qe_{i+1}e_{i}^{2}$$

$$f_{i+1}^{2}f_{i} - [[2]]f_{i+1}f_{i}f_{i+1} + qf_{i}f_{i+1}^{2}$$

$$f_{i+1}f_{i}^{2} - [[2]]f_{i}f_{i+1}f_{i} + qf_{i}^{2}f_{i+1}$$

for  $i \in \{1, ..., n\}$ ,

$$e_i f_i - f_i e_i$$

for  $i, j \in \{1, ..., n\}$  such that  $i \neq j$ ,

$$e_i f_i - f_i e_i - \sum_{\lambda \in \Lambda_0} ([[\lambda_i]] - [[\lambda_{i+1}]]) k_{\lambda}$$

for  $i \in \{1, \ldots, n\}$ ; where

$$e_i = \sum_{\lambda \in \Lambda_0: \lambda_{i+1} > 0} e_{i,\lambda}$$

$$f_i = \sum_{\lambda \in \Lambda_0: \lambda_i > 0} f_{i,\lambda}.$$

There is a unique homomorphism of  $\mathbb{Z}[q]$ -algebras

$$\phi \colon \mathbb{Z}[q]\Gamma/I(n,r) \to \hat{S}_q(n,r)$$

given by

$$\phi(k_{\lambda} + I(n, r)) = 1_{\lambda}$$
  

$$\phi(e_{i,\lambda} + I(n, r)) = E_{i}1_{\lambda}$$
  

$$\phi(f_{i,\lambda} + I(n, r)) = F_{i}1_{\lambda}$$

and it is conjectured that if  $\mathcal{Q}$  is a subring of  $\mathbb{Q}(q)$  such that the q-integers are invertible and q is not invertible, then the induced  $\mathcal{Q}$ -algebra homomorphism  $\mathcal{Q} \otimes \phi$  is an isomorphism. Surjectivity is proven in Proposition 3.2.17 and I believe injectivity will follow once the presentation of  $\hat{G}(n,r)$  is proven to be an isomorphism, which depends on the technical lemma on transforming paths in  $\Gamma$  to standard paths.

In order to gain geometric insight on the product of G-orbits in  $\mathcal{F} \times \mathcal{F}$  we consider finite dimensional slices of the orbits and orbit products together with the action of a finite dimensional quotient of a stabiliser in G. For  $A, B \in \Lambda_1$  and  $L \in \mathcal{F}_{ro(A)}$  we consider the spaces

$$X_A^L = \{L' \in \mathcal{F} : (L, L') \in \mathcal{O}_A\},$$

$$X_{A,B}^L = \{L'' \in \mathcal{F} : \exists L' \in \mathcal{F} \text{ with } (L, L') \in \mathcal{O}_A, (L', L'') \in \mathcal{O}_B\}$$

and

$$Y_{A,B}^{L} = \{ (L', L'') \in \mathcal{F} \times \mathcal{F} : (L, L') \in \mathcal{O}_A, (L', L'') \in \mathcal{O}_B \}$$

together with the action of the stabiliser  $G_L$ . It is proven that the  $G_L$ -orbit  $X_A^L$  is an irreducible quasiprojective algebraic variety in Lemmas 4.4.3 and 4.4.2. It is shown that  $Y_{A,B}^L$  is an irreducible quasiprojective variety in Lemma 4.5.2 and then  $X_{A,B}^L$  is irreducible and constructible, thus establishing existence and uniqueness generic orbits.

**Proposition.** Given  $A, B \in \Lambda_1$  with co(A) = ro(B), there is a unique element  $A * B \in \Lambda_1$  such that  $X_{A*B}^L$  is open in  $X_{A,B}^L$  for any  $L \in \mathcal{F}_{ro(A)}$ .

This proposition leads to the definition of the generic affine algebra  $\hat{G}(n,r)$ , which is a free  $\mathbb{Z}$ -module with basis  $\{e_A : A \in \Lambda_1\}$  together with the generic product \* given by

$$e_A * e_B = \begin{cases} e_{A*B} & : \text{ if } \operatorname{co}(A) = \operatorname{ro}(B), \\ 0 & : \text{ if } \operatorname{co}(A) \neq \operatorname{ro}(B). \end{cases}$$

**Theorem.** The generic affine algebra  $\hat{G}(n,r)$  is an associative  $\mathbb{Z}$ -algebra with 1.

Let  $\mathcal{J}$  be the ideal of relations in  $\mathbb{Z}\Gamma$  given by specialising the relations in I(n,r) at q=0, so  $\mathcal{J}$  is generated by

$$e_{i}e_{j} - e_{j}e_{i} : |j - i| \neq 1$$

$$f_{i}f_{j} - f_{j}f_{i} : |j - i| \neq 1$$

$$e_{i}^{2}e_{i+1} - e_{i}e_{i+1}e_{i}$$

$$e_{i}e_{i+1}^{2} - e_{i+1}e_{i}e_{i+1}$$

$$f_{i+1}^{2}f_{i} - f_{i+1}f_{i}f_{i+1}$$

$$f_{i+1}f_{i}^{2} - f_{i}f_{i+1}f_{i}$$

and

$$e_{i,\lambda-\alpha_i}f_{i,\lambda} - f_{i,\lambda+\alpha_i}e_{i,\lambda} : \lambda_i > 0, \lambda_{i+1} > 0$$

$$e_{i,\lambda-\alpha_i}f_{i,\lambda} - k_\lambda : \lambda_i > 0, \lambda_{i+1} = 0$$

$$f_{i,\lambda+\alpha_i}e_{i,\lambda} - k_\lambda : \lambda_i = 0, \lambda_{i+1} > 0$$

for  $i, j \in \{1, ..., n\}$  and  $\lambda \in \Lambda_0$ .

An element  $A \in \Lambda_1$  is said to be aperiodic if for every  $s \neq 0$  there is  $i \in \{1, ..., n\}$  such that  $a_{i,i+s} = 0$ .

**Proposition.** There is a  $\mathbb{Z}$ -algebra homomorphism

$$\rho \colon \mathbb{Z}\Gamma/\mathcal{J} \to \hat{G}(n,r)$$

defined by

$$\rho(k_{\lambda} + \mathcal{J}) = 1_{\lambda}$$

$$\rho(e_{i,\lambda} + \mathcal{J}) = E_{i}1_{\lambda}$$

$$\rho(f_{i,\lambda} + \mathcal{J}) = F_{i}1_{\lambda}.$$

The image of  $\rho$  is spanned by the aperiodic basis elements. If r < n then  $\rho$  is surjective.

It is strongly believed that  $\rho$  is an isomorphism of  $\mathbb{Z}$ -algebras when r < n and the proof depends on a single technical lemma for which we seem to be close to a proof. Once this result is proven we have the following conjecture.

Conjecture. Suppose r < n. There is an isomorphism of  $\mathbb{Z}$ -algebras

$$\Psi \colon \hat{G}(n,r) \to \hat{S}_0(n,r)$$

such that

$$\Psi(1_{\lambda}) = 1_{\lambda}$$

$$\Psi(E_i) = E_i$$

$$\Psi(F_i) = F_i.$$

The first chapter introduces the construction of affine q-Schur algebras via a convolution product of orbits in double affine flag varieties, which is due to Lusztig. The second chapter begins to study the structure of affine q-Schur algebras, including fundamental multiplication rules, some symmetries and a notion of periodic shifting as well as giving a quiver and a set of relations for the q-Schur algebra. In the third chapter, the geometry of affine flag varieties is studied further and is used to establish existence of a  $generic\ product$  of orbits, as has been shown in the finite case by Jensen and Su in [24]. Remarkably, the generic product of orbits is shown to be associative, which leads to the construction of an associative  $\mathbb{Z}$ -algebra called the  $generic\ affine\ algebra$ . The fourth chapter is dedicated to studying the relationship of the generic affine algebra to the affine 0-Schur algebra.

# Chapter 2

# Geometric approach to affine q-Schur algebras

Add a couple of sentences to say we're giving the Lusztig realisation in more detail.

## 2.1 The cyclic flags realisation of affine q-Schur algebras

Fix integers  $n, r \geq 1$ .

**Definition 2.1.1.** A composition of r into n parts is an n-tuple  $\lambda = (\lambda_1, \ldots, \lambda_n) \in \mathbb{Z}^n$  of nonnegative integers whose sum equals r. Denote the set of compositions of r into n parts by  $\Lambda_0$ .

A composition  $\lambda \in \Lambda_0$  is said to be sincere if  $\lambda_i > 0$  for each  $i \in \{1, ..., n\}$  and otherwise  $\lambda$  is said to be insincere.

For each  $i \in \{1, \ldots, n\}$ , let

$$\alpha_i = \varepsilon_i - \varepsilon_{i+1},$$

where  $\varepsilon_{n+1} = \varepsilon_1$ .

**Definition 2.1.2.** Let  $\Lambda_1$  be the set of matrices  $A = (a_{i,j})_{i,j \in \mathbb{Z}}$  with integer entries  $a_{i,j}$  satisfying the following conditions:

- i.  $a_{i,j} \geq 0$  for each  $i, j \in \mathbb{Z}$ ;
- ii. each row or column has only finitely many non-zero entries;
- iii. the sum of the entries in any n consecutive rows or columns equals r;
- iv.  $a_{i-n,j-n} = a_{i,j}$  for each  $i, j \in \mathbb{Z}$ .

These matrices are referred to as *infinite periodic matrices*.

**Definition 2.1.3.** Given  $A \in \Lambda_1$ , let ro(A) and co(A) be the compositions of r into n parts given by

$$\operatorname{ro}(A) = \left(\sum_{j \in \mathbb{Z}} a_{1,j}, \dots, \sum_{j \in \mathbb{Z}} a_{n,j}\right)$$

and

$$co(A) = \left(\sum_{i \in \mathbb{Z}} a_{i,1}, \dots, \sum_{i \in \mathbb{Z}} a_{i,n}\right).$$

The source of A is co(A) and the target of A is ro(A).

The row and column sums are finite since each row and column of A contains only finitely many nonzero entries, according to the definition of  $\Lambda_1$ .

For each  $i, j \in \mathbb{Z}$ , let  $\mathcal{E}_{i,j}$  be the  $\mathbb{Z} \times \mathbb{Z}$  'elementary periodic matrix' with entries given by

$$(\mathcal{E}_{i,j})_{s,t}=1$$

if (s,t) = (i+cn, j+cn) for some  $c \in \mathbb{Z}$  and  $(\mathcal{E}_{i,j})_{s,t} = 0$  otherwise. Clearly  $\mathcal{E}_{i,j} = \mathcal{E}_{i+n,j+n}$  for each  $i,j \in \mathbb{Z}$ .

Given  $\lambda \in \Lambda_0$ , let  $D_{\lambda} \in \Lambda_1$  be the diagonal matrix with source and target  $\lambda$ , which is given by

$$D_{\lambda} = \lambda_1 \mathcal{E}_{1,1} + \dots + \lambda_n \mathcal{E}_{n,n}. \tag{2.1.1}$$

## 2.1.1 Cyclic flags

Fix  $n, r \in \mathbb{N}$  and let  $\mathbf{k}$  be a field. Let  $\mathcal{S}$  be the  $\mathbf{k}$ -algebra  $\mathbf{k}[\varepsilon, \varepsilon^{-1}]$  and let  $\mathcal{R}$  be the subalgebra generated by  $\varepsilon$ , so  $\mathcal{R} = \mathbf{k}[\varepsilon]$ . Let V be a free  $\mathcal{S}$ -module of rank r. Let G be the automorphism group of the  $\mathcal{S}$ -module V, so G is isomorphic to  $GL_r(\mathcal{S})$ .

**Definition 2.1.4.** A lattice in V is a  $\mathcal{R}$ -submodule L of V with

$$S \otimes_{\mathcal{R}} L = V$$
.

In particular, a lattice is an  $\mathcal{R}$ -submodule of V which is a free  $\mathcal{R}$ -module of rank r.

**Lemma 2.1.5.** Let L be a lattice in V.  $L/\varepsilon L$  is a torsion  $\mathcal{R}$ -module, where  $\varepsilon$  acts as zero and  $L/\varepsilon L$  is an r-dimensional  $\mathbf{k}$ -vector space.

*Proof.* L is a free  $\mathcal{R}$ -module of rank r, with  $L \subset V$ . Given an  $\mathcal{R}$ -basis  $\{x_1, \ldots, x_r\}$  of L,  $\{\varepsilon x_1, \ldots, \varepsilon x_r\}$  is an  $\mathcal{R}$ -basis of  $\varepsilon L$ . Finally, the cosets  $\{x_1 + \varepsilon L, \ldots, x_r + \varepsilon L\}$  give a basis for  $L/\varepsilon L$  over  $\mathcal{R}/\langle \varepsilon \rangle \cong \mathbf{k}$ .

Observe that if L and L' are lattices in V, then both the sum L + L' and the instersection  $L \cap L'$  are lattices in V. Moreover,

$$g(L + L') = g(L) + g(L')$$
  
$$g(L \cap L') = g(L) \cap g(L')$$

for each  $g \in G$ .

**Definition 2.1.6.** A cyclic flag in V is a collection  $(L_i)_{i\in\mathbb{Z}}$  of lattices in V with  $L_i\subset L_{i+1}$  and  $\varepsilon L_i=L_{i-n}$  for each  $i\in\mathbb{Z}$ . The space of cyclic flags in V is denoted by  $\mathcal{F}=\mathcal{F}(n,r)$ .

The group  $G = \operatorname{Aut}(V)$  acts on  $\mathcal{F}$  by  $(g \cdot L)_i = g(L_i)$  for each  $i \in \mathbb{Z}$ ,  $g \in G$  and  $L \in \mathcal{F}$ . The G-orbits in  $\mathcal{F}$  are indexed by the set  $\Lambda_0$  of compositions of r into n parts. In particular, the G-orbit in  $\mathcal{F}$  corresponding to  $\lambda \in \Lambda_0$  is

$$\mathcal{F}_{\lambda} = \left\{ L \in \mathcal{F} : \dim \left( \frac{L_i}{L_{i-1}} \right) = \lambda_i \text{ for each } i \in \mathbb{Z} \right\}.$$

Consider the space  $\mathcal{F} \times \mathcal{F}$  of pairs of flags with the diagonal action of G, given by

$$g \cdot (L, L') = (gL, gL')$$

for  $g \in G$  and  $(L, L') \in \mathcal{F} \times \mathcal{F}$ . Denote the G-orbit of (L, L') by [L, L']. The set of G-orbits in  $\mathcal{F} \times \mathcal{F}$  admits a combinatorial description as described below.

**Definition 2.1.7.** The periodic characteristic matrix of a pair of cyclic flags  $(L, L') \in \mathcal{F} \times \mathcal{F}$  is the matrix  $A(L, L') = (a_{i,j})_{i,j \in \mathbb{Z}}$  with entries

$$a_{i,j} = \dim \left( \frac{L_i \cap L'_j}{L_i \cap L'_{j-1} + L_{i-1} \cap L'_j} \right)$$

for each  $i, j \in \mathbb{Z}$ .

The next lemma shows that the characteristic matrix of a pair of flags depends only on the G-orbit of the pair.

**Lemma 2.1.8.** Given  $(L, L') \in \mathcal{F} \times \mathcal{F}$  and  $g \in G$ ,

$$A(gL, gL') = A(L, L').$$

*Proof.* Write A = A(L, L') and B = A(gL, gL'). For each  $i, j \in \mathbb{Z}$ , g induces a linear isomorphism

$$\frac{L_i \cap L'_j}{L_{i-1} \cap L'_j + L_i \cap L'_{i-1}} \to \frac{g(L_i \cap L'_j)}{g(L_{i-1} \cap L'_j + L_i \cap L'_{i-1})},$$

so

$$b_{i,j} = \dim \left( \frac{gL_i \cap gL'_j}{gL_{i-1} \cap gL'_j + gL_i \cap gL'_{j-1}} \right)$$

$$= \dim \left( \frac{g(L_i \cap L'_j)}{g(L_{i-1} \cap L'_j + L_i \cap L'_{j-1})} \right)$$

$$= \dim \left( \frac{L_i \cap L'_j}{L_{i-1} \cap L'_j + L_i \cap L'_{j-1}} \right)$$

$$= a_{i,i}.$$

since the action of g commutes with sums and intersections of lattices. Therefore A=B as claimed.

The following result gives another useful set of expressions for the characteristic matrix.

**Lemma 2.1.9.** For each  $i, j \in \mathbb{Z}$ ,

$$a_{i,j} = \dim \left( \frac{L_{i-1} + L_i \cap L'_j}{L_{i-1} + L_i \cap L'_{j-1}} \right).$$

*Proof.* Set  $U = L_i \cap L'_j$  and  $U' = L_{i-1} + L_i \cap L'_{j-1}$ . Then  $U + U' = L_{i-1} + L_i \cap L'_j$  and  $U \cap U' = L_i \cap L'_j \cap L_{i-1} + L_i \cap L'_{j-1}$ . Applying the isomorphism theorems, U + U'/U' is naturally isomorphic to  $U/U \cap U'$  as a vector space. In particular,

$$\frac{L_{i-1} + L_i \cap L'_j}{L_{i-1} + L_i \cap L'_{i-1}} = \frac{L_i \cap L'_j}{L_{i-1} \cap L'_i + L_i \cap L'_{i-1}}$$

and thus the dimensions of these spaces are both equal to  $a_{i,j}$ .

**Lemma 2.1.10.** For each  $(L, L') \in \mathcal{F} \times \mathcal{F}$ ,  $A(L, L') \in \Lambda_1$ .

*Proof.* Let  $(L, L') \in \mathcal{F} \times \mathcal{F}$ . The periodic characteristic matrix A(L, L') is (n, n)-periodic since

$$A(L, L')_{i-n, j-n} = A(\varepsilon L, \varepsilon L')_{i,j} = A(L, L')_{i,j}$$

for each  $i, j \in \mathbb{Z}$ .

For each  $i \in \mathbb{Z}$  there is a chain of lattices

$$M_{i,j} = L_{i-1} + L_i \cap L_i'$$

for  $j \in \mathbb{Z}$  such that  $M_{i,j} = L_{i-1}$  for sufficiently small j and  $M_{i,j} = L_i$  for sufficiently large j. The chain of lattices gives a filtration  $M_{i,j}/L_{i-1,j}$  of  $L_i/L_{i-1}$  where the dimensions of the factors in the filtration are

$$\dim (M_{i,j}/M_{i,j-1}) = \dim \left(\frac{L_{i-1} + L_i \cap L'_j}{L_{i-1} + L_i \cap L_{j-1}}\right)$$
$$= a_{i,j},$$

using Lemma 2.1.9.

Let  $\mu = |L|$ . Then

$$\mu_i = \dim (L_i/L_{i-1})$$
$$= \sum_{i \in \mathbb{Z}} a_{i,j},$$

so the sum of the entries in rows 1 to n is

$$\mu_1 + \dots + \mu_n = r$$

and therefore  $A(L, L') \in \Lambda_1$ .

**Lemma 2.1.11.** Given a pair of flags  $(L, L') \in \mathcal{F}^2$ , the matrices A(L, L') and A(L', L) are related by the transpose. In particular,  $A(L, L')_{i,j} = A(L', L)_{j,i}$  for each  $i, j \in \mathbb{Z}$ .

*Proof.* By swapping the roles of i and j and swapping L and L' it is clear that  $A(L, L')_{i,j}$  and  $A(L', L)_{j,i}$  are both equal to the dimension of the **k**-vector space

$$\frac{L_i \cap L_j'}{L_{i-1} \cap L_j' + L_i \cap L_{j-1}'},$$

for each  $i, j \in \mathbb{Z}$ .

**Lemma 2.1.12.** Given  $A \in \Lambda_1$  and  $(L, L') \in \mathcal{F} \times \mathcal{F}$  with A(L, L') = A,

$$\dim\left(\frac{L_i}{L_i \cap L'_j}\right) = \sum_{s \le i, t > j} a_{s,t}$$

and

$$\dim\left(\frac{L'_j}{L_i\cap L'_j}\right) = \sum_{s>i,t\leq j} a_{s,t},$$

for each  $i, j \in \mathbb{Z}$ .

*Proof.* For each  $s, t \in \mathbb{Z}$  define a lattice

$$M_{s,t} = L_i \cap L'_i + L_{s-1} + L_s \cap L'_t.$$

Observe that  $L_i \cap L'_j$  is a sublattice of each  $M_{s,t}$  and when  $s \leq i$ ,  $L_s$  is contained in  $L_i$ , so  $M_{s,t}$  is a sublattice of  $L_i$ . The collection of lattices  $(M_{s,t})_{s \leq i,t>j}$  are totally ordered by subset inclusion, as will be shown below, so give a chain of lattices each containing  $L_i \cap L'_j$  and contained in  $L_i$ . This chain of lattices induces a filtration of  $L_i/L_i \cap L'_j$  and it will be shown that the dimensions of the quotients are precisely  $a_{s,t}$  for  $s \leq i$  and t > j.

Let  $s \leq i$  and  $t \geq j$ .

$$M_{s,t} \subset M_{s,t+1}$$

and

$$M_{s,j} = L_i \cap L'_j + L_{s-1} + L_s \cap L'_j$$
  
=  $L_i \cap L'_j + L_{s-1}$ .

If t is sufficiently large,  $L_s \subset L'_t$  and then

$$M_{s,t} = L_i \cap L'_j + L_s$$
$$= M_{s+1,j}.$$

It follows that the collection of lattices is totally ordered, with  $M_{s,t} \leq M_{u,v}$  if and only if s < u or s = u and  $t \leq v$ . Thus  $L_i/L_i \cap L'_j$  has a filtration given by the spaces  $M_{s,t}/L_i \cap L'_j$  for all  $s \leq i$  and t > j.

$$M_{i,t} = L_i \cap L'_j + L_{i-1} + L_i \cap L'_t$$
  
=  $L_{i-1} + L_i \cap L'_t$ 

and if t is sufficiently large that  $L_i \subset L'_t$ , then  $M_{i,t} = L_i$ .

If s is sufficiently small that  $L_s \subset L_i \cap L'_i$  then

$$M_{s,t} = L_i \cap L'_j + L_{s-1} + L_s \cap L'_t$$
  
=  $L_i \cap L'_j$ .

$$\frac{M_{s,t}}{L_i \cap L'_j} = \frac{L_i \cap L'_j + L_{s-1} + L_s \cap L'_t}{L_i \cap L'_j}$$

$$= \frac{L_{s-1} + L_s \cap L'_t}{L_i \cap L'_j \cap (L_{s-1} + L_s \cap L'_t)}$$

$$= \frac{L_{s-1} + L_s \cap L'_t}{L_s \cap L'_j}$$

Then for each  $s \leq i$  and t > j

$$\dim\left(\frac{M_{s,t}}{M_{s,t-1}}\right) = \dim\left(\frac{L_{s-1} + L_s \cap L'_t}{L_{s-1} + L_s \cap L'_{t-1}}\right)$$
$$= a_{s,t},$$

$$\dim\left(\frac{L_i}{L_i \cap L'_j}\right) = \sum_{s \le i, t > j} a_{s,t}.$$

To deduce the second formula observe that  $(L', L) \in \mathcal{O}_{A^{\top}}$ , by Lemma 2.1.11, so

$$\dim \left(\frac{L'_j}{L_i \cap L'_j}\right) = \sum_{t \le j, s > i} a_{t,s}^{\top}$$
$$= \sum_{s > i, t \le j} a_{s,t}.$$

The following is a construction of a pair of flags corresponding to a matrix  $A \in \Lambda_1$ . Recall that V is the free S-module  $S^r$  and let  $V_{\mathbf{k}}$  be the underlying vector space together with the linear operator  $\varepsilon \colon V_{\mathbf{k}} \to V_{\mathbf{k}}$ .

Fix an r-dimensional subspace U of  $V_{\mathbf{k}}$  such that

$$S \otimes_{\mathbf{k}} U = V_{\mathbf{k}}$$

and write

$$U = \bigoplus_{i \in \{1, \dots, n\}, j \in \mathbb{Z}} M_{i,j},$$

given subspaces  $M_{i,j}$  of dimension  $a_{i,j}$ . Then as vector spaces

$$V_{\mathbf{k}} = \bigoplus_{i \in \{1, \dots, n\}, j \in \mathbb{Z}} \bigoplus_{h \in \mathbb{Z}} \varepsilon^h M_{i,j}.$$

Define  $M_{i,j}$  for  $i, j \in \mathbb{Z}$  by setting

$$M_{i-cn,i-cn} = \varepsilon^c M_{i,i}$$

and define

$$L_i = \bigoplus_{s \le i, t \in \mathbb{Z}} M_{s,t}$$

and

$$L_j' = \bigoplus_{s \in \mathbb{Z}, t \le j} M_{s,t}$$

for each  $i, j \in \mathbb{Z}$ .

Each such  $L_i$  and  $L'_j$  is a direct sum of free  $\mathbf{k}[\varepsilon]$ -modules  $\mathbf{k}[\varepsilon]M_{s,t}$  for  $i-n < s \le i$  and  $t \in \mathbb{Z}$ , or  $s \in \mathbb{Z}$  and  $j-n < t \le j$  respectively, so each is a free  $\mathbf{k}[\varepsilon]$ -module of rank r and therefore is a lattice in V.

Observe that the vector space  $L_{i-1} + L_i \cap L'_j$  is the direct sum of those  $M_{s,t}$  such that s < i or s = i and  $t \le j$ , so

$$\dim \left( \frac{L_{i-1} + L_i \cap L'_j}{L_{i-1} + L_i \cap L'_{j-1}} \right) = \dim (M_{i,j}) = a_{i,j}$$

for each  $i, j \in \mathbb{Z}$  and therefore A(L, L') = A.

**Lemma 2.1.13.** Mapping a pair of flags (L, L') to the characteristic matrix A(L, L') gives a bijection between the set of G-orbits in  $\mathcal{F} \times \mathcal{F}$  and the set  $\Lambda_1$ .

*Proof.* The construction of a pair of flags corresponding to a matrix preceding this lemma shows that this map is surjective.

Suppose (L, L') and (N, N') are pairs of flags with A(L, L') = A(N, N', =)A. There are decompositions of V which are adapted to (L, L') and (N, N') as below: There are subspaces  $U_{i,j}$  of V for  $i, j \in \mathbb{Z}$  such that the dimension of  $U_{i,j}$  is  $a_{i,j}$ ,  $\varepsilon U_{i,j} = U_{i-n,j-n}$  and

$$V = \bigoplus_{i,j \in \mathbb{Z}} U_{i,j};$$

$$L_i = \bigoplus_{s \le i, j \in \mathbb{Z}} U_{s,j}$$

for each  $i \in \mathbb{Z}$ ;

$$L'_j = \bigoplus_{i \in \mathbb{Z}, t \le j} U_{i,t}$$

for each  $j \in \mathbb{Z}$ .

There are subspaces  $V_{i,j}$  of V for each  $i, j \in \mathbb{Z}$  such that the dimension of  $V_{i,j}$  is  $a_{i,j}$ ,  $\varepsilon V_{i,j} = V_{i-n,j-n}$  and

$$V = \bigoplus_{i,j \in \mathbb{Z}} V_{i,j};$$

$$N_i = \bigoplus_{s < i, j \in \mathbb{Z}} V_{s,j}$$

for each  $i \in \mathbb{Z}$ ;

$$N_j' = \bigoplus_{i \in \mathbb{Z}, t < j} V_{i,t}$$

for each  $j \in \mathbb{Z}$ .

There exist **k**-linear isomorphisms  $g_{i,j}: U_{i,j} \to V_{i,j}$  for  $i, j \in \mathbb{Z}$  such that  $g_{i-n,j-n} = \varepsilon g_{i,j} \varepsilon^{-1}$ . Then  $g = (g_{i,j})_{i,j\in\mathbb{Z}}$  is a  $\mathcal{S}$ -linear automorphism of V with  $g(L_i) = N_i$  and  $g(L'_i) = N'_i$  for each  $i \in \mathbb{Z}$ , so g(L, L') = (N, N'). Therefore the map sending a G-orbit to its characteristic matrix is injective.

**Lemma 2.1.14.** Given  $(L, L') \in \mathcal{F}^2$ ,  $L' \subset L$  if and only if  $A(L, L')_{i,j} = 0$  for  $i, j \in \mathbb{Z}$  with i > j.

*Proof.* Suppose  $L, L' \in \mathcal{F}$  with  $L' \subset L$ , meaning  $L'_j \subset L_j$  for each  $j \in \mathbb{Z}$ . Then for i > j,  $L_i \cap L'_j = L'_j$ ,  $L_{i-1} \cap L'_j = L'_j$  and  $L_i \cap L'_{j-1}$ , which shows

$$A(L, L')_{i,j} = \dim \left(\frac{L'_j}{L'_{j-1} + L'_j}\right) = 0$$

as required. Conversely, suppose A(L, L') is upper triangular, meaning  $A(L, L')_{i,j} = 0$  when i > j. Using Lemma 2.1.12,

$$\dim\left(\frac{L_i'}{L_i'\cap L_i}\right) = \sum_{s>i,t\leq i} a_{s,t} = 0,$$

so  $L_i \cap L'_i = L'_i$  and thus  $L'_i \subset L_i$  for each  $i \in \mathbb{Z}$ , as required.

Corollary 2.1.15. Given  $L, L' \in \mathcal{F}$ , L = L' if and only if  $A(L, L')_{i,j} = 0$  whenever  $i \neq j$ . In particular,

$$\mathcal{O}_{D_{\lambda}} = \{ (L, L) \in \mathcal{F}^2 : L \in \mathcal{F}_{\lambda} \},$$

for each  $\lambda \in \Lambda_0$ .

## 2.1.2 A product of orbits

Given  $A, B \in \Lambda_1$  with co(A) = ro(B), define

$$Y_{A,B} = \{(L, L', L'') \in \mathcal{F}^3 : (L, L') \in \mathcal{O}_A \text{ and } (L', L'') \in \mathcal{O}_B\},$$

$$X_{A,B} = \{(L,L'') \in \mathcal{F}^2 : \exists L' \in \mathcal{F} \text{ with } (L,L') \in \mathcal{O}_A \text{ and } (L',L'') \in \mathcal{O}_B\}.$$

If also  $L \in \mathcal{F}_{ro(A)}$ , define the L-slices of  $Y_{A,B}$  and  $X_{A,B}$  respectively as

$$Y_{A,B}^{L} = \{ (L', L'') \in \mathcal{F}^2 : (L, L', L'') \in Y_{A,B} \},$$
$$X_{AB}^{L} = \{ L'' \in \mathcal{F} : (L, L'') \in X_{AB} \}.$$

**Remark 2.1.16.** There are only finitely many G-orbits in  $X_{AB}$ .

**Lemma 2.1.17.** Given 
$$A \in \Lambda_1$$
,  $X_{D_{\lambda},A} = \mathcal{O}_A$  if  $\lambda = \operatorname{ro}(A)$  and  $X_{A,D_{\lambda}} = \mathcal{O}_A$  if  $\lambda = \operatorname{co}(A)$ .

Proof. Let  $A \in \Lambda_1$  and set  $\lambda = \operatorname{ro}(A)$ .  $Y_{D_{\lambda},A}$  is the set of triples  $(L,L',L'') \in \mathcal{F}^3$  with  $(L,L') \in \mathcal{O}_{D_{\lambda}}$ , thus L = L' by Corollary 2.1.15, and  $(L',L'') \in \mathcal{O}_A$ .  $X_{D_{\lambda},A}$  is the projection of  $Y_{D_{\lambda},A}$ , which equals  $\mathcal{O}_A$ .

Similarly, if  $\lambda = \operatorname{co}(A)$ ,  $Y_{A,D_{\lambda}}$  is the set of triples  $(L,L',L'') \in \mathcal{F}^3$  with  $(L,L') \in \mathcal{O}_A$  and L'' = L', so  $X_{A,D_{\lambda}}$  is exactly the orbit  $\mathcal{O}_B$ .

#### 2.1.3 Triple products

Given  $A, B, C \in \Lambda_1$  with co(A) = ro(B) and co(B) = ro(C) and  $L \in \mathcal{F}_{ro(A)}$ , there are spaces  $X_{A,B,C}$ ,  $Y_{A,B,C}$  and their respective L-slices, defined as follows:

$$Y_{A,B,C} = \{ (L, L', L'', L''') \in \mathcal{F}^4 : (L, L') \in \mathcal{O}_A, (L', L'') \in \mathcal{O}_B \text{ and } (L'', L''') \in \mathcal{O}_C \},$$

$$X_{A,B,C} = \{ (L, L''') \in \mathcal{F}^2 : \exists (L', L'') \in \mathcal{O}_B \text{ with } (L, L') \in \mathcal{O}_A \text{ and } (L'', L''') \in \mathcal{O}_C \},$$

$$Y_{A,B,C}^L = \{ (L', L'', L''') \in \mathcal{F}^3 : (L, L', L'', L''') \in Y_{A,B,C} \},$$

$$X_{A,B,C}^L = \{ L''' \in \mathcal{F} : (L, L''') \in X_{A,B,C} \}.$$

#### 2.1.4 Convolution algebras

Suppose **k** is a finite field and let q denote the number of elements of **k**. Consider the set S of G-invariant functions  $\mathcal{F} \times \mathcal{F} \to \mathbb{Z}$  with constructible support. S is a free  $\mathbb{Z}$ -module with a basis consisting of the indicator functions of the G-orbits in  $\mathcal{F} \times \mathcal{F}$ . Define an operation  $\star$  on S as follows: for each  $f, g \in S$ ,  $f \star g \in S$  is given by

$$(f \star g)(L, L'') = \sum_{L' \in \mathcal{F}} f(L, L')g(L', L''),$$

for  $(L, L'') \in \mathcal{F} \times \mathcal{F}$ .

 $f \star g$  is well defined since the supports of f and g consist of finitely many G-orbits, so there are only finitely many  $L' \in \mathcal{F}$  such that  $f(L, L')g(L', L'') \neq 0$ , given  $(L, L'') \in \mathcal{F} \times \mathcal{F}$ .  $f \star g$  is constant on G-orbits and is supported on finitely many G-orbits, so  $f \star g \in S$ .

**Lemma 2.1.18.** The set S together with the operation  $\star$  is an associative  $\mathbb{Z}$ -algebra with identity element  $\iota$  given by  $\iota(L,L)=1$  and  $\iota(L,L')=0$  for  $L'\neq L$ .

*Proof.* Given  $f, g, h \in S$  and  $(L, L''') \in \mathcal{F} \times \mathcal{F}$ ,

$$\begin{split} ((f\star g)\star h)(L,L''') &= \sum_{L''} (f\star g)(L,L'')h(L'',L''') \\ &= \sum_{L''} \sum_{L'} f(L,L')g(L',L'')h(L'',L''') \\ &= (f\star (g\star h))(L,L'''), \end{split}$$

thus  $\star$  is associative.  $\iota$  is the multiplicative identity since

$$(\iota \star f)(L,L'') = \sum_{L'} \iota(L,L') f(L',L'') = f(L,L'')$$

and

$$(f \star \iota)(L, L'') = \sum_{L'} f(L, L')\iota(L', L'') = f(L, L''),$$

for each  $f \in S$  and  $(L, L'') \in \mathcal{F} \times \mathcal{F}$ .

Given  $A \in \Lambda_1$ , let  $e_A \in S$  denote the indicator function of the orbit  $\mathcal{O}_A$ . S is a free  $\mathbb{Z}$ -module with basis  $\{e_A : A \in \Lambda_1\}$ . There exist  $\gamma_{A,B,C;q} \in \mathbb{Z}$  for  $A,B,C \in \Lambda_1$  such that

$$e_A \star e_B = \sum_{C \in \Lambda_1} \gamma_{A,B,C;q} e_C$$

for each  $A, B \in \Lambda_1$ . Then

$$\begin{split} \gamma_{A,B,C;\mathbf{q}} &= (e_A \star e_B)(L,L'') \\ &= \sum_{L'} e_A(L,L') e_B(L',L'') \\ &= \# \{ L' : (L,L') \in \mathcal{O}_A \text{ and } (L',L'') \in \mathcal{O}_B \}, \end{split}$$

for any  $(L, L'') \in \mathcal{O}_C$ .

### 2.1.5 Affine q-Schur algebras

There exist polynomials  $\gamma_{A,B,C} \in \mathbb{Z}[q]$  for  $A,B,C \in \Lambda_1$  such that  $\gamma_{A,B,C}(\mathbf{q}) = \gamma_{A,B,C;\mathbf{q}}$  for any prime power q, following Lusztig [25, section 4]. The affine q-Schur algebra  $\hat{S}_q(n,r)$  is a  $\mathbb{Z}[q]$ -algebra which is a free  $\mathbb{Z}[q]$ -module with basis  $\{e_A : A \in \Lambda_1\}$  and with multiplication given by

$$e_A e_B = \sum_C \gamma_{A,B,C} e_C.$$

Given the existence of these 'universal polynomials'  $\gamma_{A,B,C} \in \mathbb{Z}[q]$ , it follows from Lemma 2.1.18 that  $\hat{S}_q(n,r)$  is an associative  $\mathbb{Z}[q]$ -algebra with multiplicative identity given by

$$1 = \sum_{\lambda \in \Lambda_0} e_{D_\lambda}.$$

## 2.2 Affine zero-Schur algebras

Fix integers  $n, r \geq 1$ .

**Definition 2.2.1.** The affine zero Schur algebra  $\hat{S}_0(n,r)$  is the  $\mathbb{Z}$ -algebra

$$\hat{S}_0(n,r) = \mathbb{Z}[q]/(q) \otimes_{\mathbb{Z}[q]} \hat{S}_q(n,r).$$

Observe that  $\hat{S}_0(n,r)$  is a free  $\mathbb{Z}$ -module, since  $\hat{S}_q(n,r)$  is a free  $\mathbb{Z}[q]$ -module. Moreover,  $\hat{S}_0(n,r)$  has a  $\mathbb{Z}$ -basis  $\{e_A:A\in\Lambda_1\}$  with multiplication given by

$$e_A \cdot e_B = \sum_{C \in \Lambda_1} \gamma_{A,B,C}(0) e_C,$$

for each  $A, B \in \Lambda_1$ .

# Chapter 3

# Presenting affine q-Schur algebras

A couple of sentences to begin the chapter.

## 3.1 The distinguished basis

## 3.1.1 Elementary basis elements

Recall that  $\mathcal{E}_{i,j}$ , for  $i,j \in \mathbb{Z}$  is the  $\mathbb{Z} \times \mathbb{Z}$  elementary periodic matrix, given by

$$(\mathcal{E}_{i,j})_{s,t} = 1$$

if (s,t)=(i+cn,j+cn) for some  $c\in\mathbb{Z}$  and  $(\mathcal{E}_{i,j})_{s,t}=0$  otherwise.

Recall that the diagonal matrix with source and target  $\lambda$  is

$$D_{\lambda} = \lambda_1 \mathcal{E}_{1,1} + \cdots + \lambda_n \mathcal{E}_{n,n},$$

as in Equation 2.1.1.

The corresponding basis elements  $e_{D_{\lambda}}$ , for  $\lambda \in \Lambda_0$ , are pairwise orthogonal idempotents in  $\hat{S}_q(n,r)$  with

$$\sum_{\lambda \in \Lambda_0} e_{D_\lambda} = 1,$$

as a result of Lemma 2.1.17.

For each  $i \in \{1, ..., n\}$  and  $\lambda \in \Lambda_0$  with  $\lambda_{i+1} > 0$ , define

$$E_{i,\lambda} = e_{D_{\lambda} + \mathcal{E}_{i,i+1} - \mathcal{E}_{i+1,i+1}}$$

and define

$$E_i = \sum_{\lambda \in \Lambda_0: \lambda_{i+1} > 0} E_{i,\lambda}.$$

Also define, for each  $i \in \{1, ..., n\}$  and  $\lambda \in \Lambda_0$  with  $\lambda_i > 0$ ,

$$F_{i,\lambda} = e_{D_{\lambda} + \mathcal{E}_{i+1,i} - \mathcal{E}_{i,i}}$$

and define

$$F_i = \sum_{\lambda \in \Lambda_0: \lambda_i > 0} F_{i,\lambda}.$$

For each  $i \in \{1, ..., n\}$ , let  $\alpha_i = \varepsilon_i - \varepsilon_{i+1}$ . Then

$$co(E_{i,\lambda}) = co(F_{i,\lambda}) = \lambda,$$
  
 $co(E_{i,\lambda}) = \lambda + \alpha_i$ 

and

$$ro(F_{i,\lambda}) = \lambda - \alpha_i$$
.

## 3.1.2 Transpose involution

Let S be the  $\mathbb{Z}[q]$ -module automorphism of  $\hat{S}_q(n,r)$  given by

$$S(e_A) = e_{A^{\top}},$$

for each  $A \in \Lambda_1$ .

**Lemma 3.1.1.** The map S is a  $\mathbb{Z}[q]$ -algebra antihomomorphism of order 2. In particular,

$$S(e_A e_B) = S(e_B)S(e_A)$$

for each  $A, B \in \Lambda_1$ .

*Proof.* Let  $A, B, C \in \Lambda_1$  and let  $\mathbf{k}$  be a finite field with  $\mathbf{q} = \# \mathbf{k}$  elements. If  $(L, L'') \in \mathcal{O}_C$  then  $(L'', L) \in \mathcal{O}_{C^{\top}}$  and

$$\begin{split} \gamma_{A,B,C;\mathbf{q}} &= \#\{L': (L,L') \in \mathcal{O}_A \text{ and } (L',L'') \in \mathcal{O}_B\} \\ &= \#\{L': (L'',L') \in \mathcal{O}_{B^\top} \text{ and } (L',L) \in \mathcal{O}_{A^\top}\} \\ &= \gamma_{B^\top,A^\top,C^\top;\mathbf{q}} \end{split}$$

It follows that

$$S(e_A e_B) = S(e_B)S(e_A),$$

for each  $A, B \in \Lambda_1$  and therefore S is a  $\mathbb{Z}[q]$ -algebra antihomomorphism. Moreover,  $S \circ S$  is the identity map on  $\hat{S}_q(n,r)$  since  $(A^\top)^\top = A$ .

The action of S on  $E_i$ ,  $F_i$  and  $1_{\lambda}$  is as follows:

$$S(1_{\lambda}) = 1_{\lambda}$$

for each  $\lambda \in \Lambda_0$ ,

$$S(E_{i,\lambda}) = F_{i,\lambda+\alpha_i}$$

for each  $i \in \{1, ..., n\}$  and  $\lambda \in \Lambda_0$  with  $\lambda_{i+1} > 0$ , and

$$S(F_{i,\lambda}) = E_{i,\lambda-\alpha_i}$$

for each  $i \in \{1, ..., n\}$  and  $\lambda \in \Lambda_0$  with  $\lambda_i > 0$ . In particular,

$$S(E_i) = F_i,$$
  
$$S(F_i) = E_i,$$

$$S(1_{\lambda}) = 1_{\lambda}$$

for  $i \in \{1, ..., n\}$  and  $\lambda \in \Lambda_0$ .

#### 3.1.3 Fundamental multiplication rules

For each  $m \in \mathbb{N}$ , define the q-integer  $[[m]] \in \mathbb{Z}[q]$  by

$$[[m]] = \frac{1 - q^m}{1 - q},$$

so that

$$[[0]] = 0$$

$$[[1]] = 1$$

$$[[2]] = 1 + q$$

$$[[3]] = 1 + q + q^{2}$$

and

$$[[m]] = 1 + q + \dots + q^{m-1}$$

for  $m \geq 1$ .

These fundamental multiplication rules for products with  $E_i$  and  $F_i$  in  $\hat{S}_q(n,r)$  are derived from the v-form of these expressions, where  $q = v^2$ , given by Lusztig in Proposition 3.5 [25].

**Lemma 3.1.2.** Given  $A \in \Lambda_1$  and  $i \in \{1, ..., n\}$  with  $ro(A)_{i+1} > 0$ ,

$$E_i e_A = \sum_{p \in \mathbb{Z}: a_{i+1,p} > 0} q^{\sum_{j > p} a_{i,j}} [[a_{i,p} + 1]] e_{A + \mathcal{E}_{i,p} - \mathcal{E}_{i+1,p}}.$$

Given  $A \in \Lambda_1$  and  $i \in \{1, ..., n\}$  with  $ro(A)_i > 0$ ,

$$F_i e_A = \sum_{p \in \mathbb{Z}: a_{i,p} > 0} q^{\sum_{j < p} a_{i+1,j}} [[a_{i+1,p} + 1]] e_{A + \mathcal{E}_{i+1,p} - \mathcal{E}_{i,p}}.$$

These formulas are still valid in the cases  $E_i e_A = 0$  and  $F_i e_A = 0$ . If the convention that  $e_B = 0$  whenever B is not in  $\Lambda_1$  is used, then the conditions on p in the above sums may be ignored.

Corollary 3.1.3. Given  $A \in \Lambda_1$  and  $j \in \{1, ..., n\}$  with  $co(A)_{j+1} > 0$ ,

$$e_A F_j = \sum_{p \in \mathbb{Z}: a_{p,j+1} > 0} q^{\sum_{i > p} a_{i,j}} [[a_{p,j} + 1]] e_{A + \mathcal{E}_{p,j} - \mathcal{E}_{p,j+1}}.$$

Given  $A \in \Lambda_1$  and  $j \in \{1, ..., n\}$  with  $co(A)_j > 0$ ,

$$e_A E_j = \sum_{p \in \mathbb{Z}: a_{p,j} > 0} q^{\sum_{i < p} a_{i,j+1}} [[a_{p,j+1} + 1]] e_{A + \mathcal{E}_{p,j+1} - \mathcal{E}_{p,j}}.$$

Proof.

$$\begin{split} e_A F_j &= S(E_j e_{A^{\top}}) \\ &= S\left(\sum_{p \in \mathbb{Z}: a_{p,j+1} > 0} q^{\sum_{i > p} a_{i,j}} [[a_{p,j} + 1]] e_{A^{\top} + \mathcal{E}_{j,p} - \mathcal{E}_{j+1,p}}\right) \\ &= \sum_{p \in \mathbb{Z}: a_{p,j+1} > 0} q^{\sum_{i > p} a_{i,j}} [[a_{p,j} + 1]] e_{A + \mathcal{E}_{p,j} - \mathcal{E}_{p,j+1}}, \end{split}$$

where the second equality comes from Lemma 3.1.2. Similarly,

$$\begin{split} e_A E_j &= S(F_j e_{A^\top}) \\ &= S\left(\sum_{p \in \mathbb{Z}: a_{p,j} > 0} q^{\sum_{i < p} a_{i,j+1}} [[a_{p,j+1} + 1]] e_{A^\top + \mathcal{E}_{j+1,p} - \mathcal{E}_{j,p}}\right) \\ &= \sum_{p \in \mathbb{Z}: a_{p,j} > 0} q^{\sum_{i < p} a_{i,j+1}} [[a_{p,j+1} + 1]] e_{A + \mathcal{E}_{p,j+1} - \mathcal{E}_{p,j}}. \end{split}$$

#### 3.1.4 The hook order

For each  $i, j \in \mathbb{Z}$ , let  $d_{i,j}$  and  $\bar{d}_{i,j}$  be the maps from  $\Lambda_1$  to  $\mathbb{Z}$  given by

$$d_{i,j}(A) = \sum_{s \le i, t > j} a_{s,t}$$

and

$$\bar{d}_{i,j}(A) = \sum_{s>i,t\leq j} a_{s,t}$$

for each  $A \in \Lambda_1$ .

**Lemma 3.1.4.** For each  $A \in \Lambda_1$  and  $i, j \in \mathbb{Z}$ , the following equations hold:

$$d_{i,j}(A) - d_{i-1,j}(A) = \sum_{t>j} a_{i,t}$$
$$d_{i,j}(A) - d_{i,j-1}(A) = -\sum_{s \le i} a_{s,j}$$

and

$$\bar{d}_{i,j}(A) - \bar{d}_{i,j-1}(A) = -\sum_{t \le j} a_{i,t}$$
$$\bar{d}_{i,j}(A) - \bar{d}_{i,j-1}(A) = \sum_{s > i} a_{s,j}$$

*Proof.* Let  $i, j \in \mathbb{Z}$  and  $A \in \Lambda_1$ . Then

$$d_{i,j}(A) - d_{i-1,j}(A) = \sum_{s \le i, t > j} a_{s,t} - \sum_{s \le i-1, t > j} a_{s,t} = \sum_{t > j} a_{i,t}$$

and

$$d_{i,j}(A) - d_{i,j-1}(A) = \sum_{s \le i, t > j} a_{s,t} - \sum_{s \le i, t > j-1} a_{s,t} = -\sum_{s \le i} a_{s,j}.$$

Similarly,

$$\bar{d}_{i,j}(A) - \bar{d}_{i-1,j}(A) = \sum_{s>i,t \le j} a_{s,t} - \sum_{s>i-1,t \le j} a_{s,t} = -\sum_{t \le j} a_{i,t}$$

and

$$\bar{d}_{i,j}(A) - \bar{d}_{i,j-1}(A) = \sum_{s>i,t\leq j} a_{s,t} - \sum_{s>i,t\leq j-1} a_{s,t} = \sum_{s>i} a_{s,j}.$$

**Lemma 3.1.5.** For each  $A \in \Lambda_1$  and  $i, j \in \mathbb{Z}$ ,

$$a_{i,j} = d_{i,j-1}(A) - d_{i-1,j-1}(A) - d_{i,j}(A) + d_{i-1,j}(A)$$

and

$$a_{i,j} = \bar{d}_{i,j-1}(A) - \bar{d}_{i-1,j-1}(A) - \bar{d}_{i,j}(A) + \bar{d}_{i-1,j}(A).$$

*Proof.* As a result of Lemma 3.1.4,

$$d_{i,j-1}(A) - d_{i-1,j-1}(A) - d_{i,j}(A) + d_{i-1,j}(A) = \sum_{t>j-1} a_{i,t} - \sum_{t>j} a_{i,t}$$
$$= a_{i,j}$$

and

$$\bar{d}_{i,j-1}(A) - \bar{d}_{i-1,j-1}(A) - \bar{d}_{i,j}(A) + \bar{d}_{i-1,j}(A) = -\sum_{t \le j-1} a_{i,t} + \sum_{t \le j} a_{i,t}$$
$$= a_{i,j}.$$

Define a relation  $\leq$  on  $\Lambda_1$  by  $A \leq B$  if and only if the following conditions are satisfied:

- i. ro(A) = ro(B) and co(A) = co(B).
- ii. For each  $i, j \in \mathbb{Z}$ ,  $d_{i,j}(A) \leq d_{i,j}(B)$ .
- iii. For each  $i, j \in \mathbb{Z}$ ,  $\bar{d}_{i,j}(A) \leq \bar{d}_{i,j}(B)$ .

**Lemma 3.1.6.** The relation  $\leq$  defines a partial order on  $\Lambda_1$ .

*Proof.* It is clear that < is reflexive and transitive.

Suppose  $A, B \in \Lambda_1$  with  $A \leq B$  and  $B \leq A$ . Then  $d_{i,j}(A) = d_{i,j}(B)$  for each  $i, j \in \mathbb{Z}$  with  $i \leq j$ , which shows  $a_{s,t} = b_{s,t}$  whenever s < t, as a result of Lemma 3.1.5. Similarly,  $\bar{d}_{i,j}(A) = \bar{d}_{i,j}(B)$  for each  $i, j \in \mathbb{Z}$  with  $i \geq j$ , so  $a_{s,t} = b_{s,t}$  whenever s > t. Moreover,  $a_{i,i} = b_{i,i}$  for each  $i \in \mathbb{Z}$ , since co(A) = co(B). Thus A = B, which shows  $\leq$  is antisymmetric and therefore  $\leq$  is a partial order on  $\Lambda_1$ .

This partial order is sometimes called the hook order. The following lemma will be invoked later in induction arguments.

**Lemma 3.1.7.** For any  $A \in \Lambda_1$ , the set  $\{B \in \Lambda_1 : B \leq A\}$  is finite.

*Proof.* Let  $B \in \Lambda_1$ . Only finitely many of the  $d_{i,j}(B)$  and  $\bar{d}_{i,j}(B)$  are sufficient to determine B and  $B \leq A$  if and only if

$$0 \le d_{i,j}(B) \le d_{i,j}(A)$$

and

$$0 \le \bar{d}_{i,j}(B) \le \bar{d}_{i,j}(A)$$

for each  $i, j \in \mathbb{Z}$ , thus there are only finitely many possible values of  $d_{i,j}(B)$  and  $\bar{d}_{i,j}(B)$  provided  $B \leq A$ . Therefore there are only finitely many  $B \in \Lambda_1$  such that  $B \leq A$ .

**Lemma 3.1.8.** The transpose operation on  $\Lambda_1$  is order preserving. In particular,  $B \leq A$  if and only if  $B^{\top} \leq A^{\top}$ .

*Proof.* Suppose  $A, B \in \Lambda_1$  with  $B \leq A$ . The condition co(A) = co(B) and ro(A) = ro(B) is preserved by the transpose operation.

For each  $i, j \in \mathbb{Z}$ ,

$$d_{i,j}(A^{\top}) = \sum_{s \le i, t > j} a_{t,s} = \bar{d}_{j,i}(A)$$

and

$$\bar{d}_{i,j}(A^{\top}) = \sum_{s>i,t\leq j} a_{t,s} = d_{j,i}(A).$$

It follows that  $B^{\top} \leq A^{\top}$  and therefore the transpose is order preserving.

**Lemma 3.1.9.** Suppose  $A, B \in \Lambda_1$  with

$$B = A + \mathcal{E}_{i,j} - \mathcal{E}_{s,j} + \mathcal{E}_{s,t} - \mathcal{E}_{i,t}$$

for some  $i, j, s, t \in \mathbb{Z}$  with i < s and j < t. Then B < A.

*Proof.* Let  $p, q \in \mathbb{Z}$ . Then

$$d_{p,q}(B) = \begin{cases} d_{p,q}(A) - 1 & : i \le p < s \text{ and } j \le q < t, \\ d_{p,q}(A) & : \text{ otherwise,} \end{cases}$$

and

$$\bar{d}_{p,q}(B) = \begin{cases} d_{p,q}(A) - 1 & : i \le p < s \text{ and } j \le q < t, \\ d_{p,q}(A) & : \text{ otherwise,} \end{cases}$$

which proves that B < A.

Let  $A \in \Lambda_1$  and  $i \in \{1, ..., n\}$  with  $ro(A)_{i+1} > 0$ . Using the fundamental multiplication rules 3.1.2 and Lemma 3.1.9,

$$E_{i}e_{A} = \sum_{s=1}^{m} q^{\sum_{t>j_{s}} a_{i,t}} [[a_{i,j_{s}} + 1]] e_{A+\mathcal{E}_{i,j_{s}} - \mathcal{E}_{i+1,j_{s}}}$$

where  $j_1, \ldots, j_m \in \mathbb{Z}$  with  $j_1 < j_2 < \ldots < j_m$  and

$${j_1,\ldots,j_m} = {j \in \mathbb{Z} : a_{i+1,j} > 0}.$$

The basis elements appearing in the above expression are totally ordered, with

$$A + \mathcal{E}_{i,j_s} - \mathcal{E}_{i+1,j_s} < A + \mathcal{E}_{i,j_{s+1}} - \mathcal{E}_{i+1,j_{s+1}}$$

for s = 1, ..., m - 1. Thus the term with s = m is the maximum.

The partial order on  $\Lambda_1$  induces a partial order on the set of G-orbits in  $\mathcal{F} \times \mathcal{F}$ , such that  $\mathcal{O}_A \leq \mathcal{O}_B$  if and only if  $A \leq B$ . The following is a restatement of Lemma 2.1.12 and gives some geometric significance to the hook order on  $\Lambda_1$ .

**Lemma 3.1.10.** Let  $A \in \Lambda_1$  and  $(L, L') \in \mathcal{O}_A$ . Then

$$\dim\left(\frac{L_i}{L_i \cap L'_j}\right) = d_{i,j}(A)$$

and

$$\dim\left(\frac{L'_j}{L_i\cap L'_j}\right) = \bar{d}_{i,j}(A),$$

for each  $i, j \in \mathbb{Z}$ .

## 3.1.5 Shifting

In this subsection it is shown that the operations on  $\Lambda_1$  given by shifting up by one row or to the right by one column may be described by the action, on the left or right respectively, of an invertible element R of  $\hat{S}_q(n,r)$ .

For each  $A \in \Lambda_1$  and  $m \in \mathbb{Z}$ , the row shift of A by m is the element [m]A of  $\Lambda_1$  given by

$$([m]A)_{i,j} = a_{i+m,j},$$

for each  $i, j \in \mathbb{Z}$ .

The column shift of A by m is the element A[m] given by

$$(A[m])_{i,j} = a_{i,j+m},$$

for each  $i, j \in \mathbb{Z}$ .

For  $\lambda \in \Lambda_0$  and  $m \in \mathbb{Z}$ , the translation of  $\lambda$  by m is the element  $\lambda[m]$  of  $\Lambda_0$  given by

$$(\lambda[m])_i = \lambda_{i+m},$$

for each  $i \in \mathbb{Z}$ , where the indices of  $\lambda$  are taken modulo n.

**Example 3.1.11.** Let  $\lambda = (2, 1, 3)$ . Then  $\lambda[1] = (1, 3, 2), \lambda[2] = (3, 2, 1)$  and  $\lambda[3] = \lambda$ .

For each  $\lambda \in \Lambda_0$ , define

$$R_{\lambda} = e_{[1]D_{\lambda}}$$

$$= e_{\lambda_1} \mathcal{E}_{0,1} + \dots + \lambda_n} \mathcal{E}_{n-1,n}$$

and let

$$R = \sum_{\lambda \in \Lambda_0} R_{\lambda}.$$

Recall that

$$\mathcal{O}_{D_{\lambda}} = \{(L, L) : L \in \mathcal{F}_{\lambda}\},\$$

SO

$$\mathcal{O}_{[m]D_{\lambda}} = \{([m]L, L) : L \in \mathcal{F}_{\lambda}\}$$

and

$$\mathcal{O}_{D_{\lambda}[m]} = \{(L, [m]L) : L \in \mathcal{F}_{\lambda}\}.$$

This leads to a simple rule for multiplication by R in terms of these shifts on matrices.

## **Lemma 3.1.12.** *If* $A \in \Lambda_1$ *then*

$$Re_A = e_{[1]A}$$

and

$$e_A R = e_{A[-1]}.$$

*Proof.* Let  $\mu = ro(A)$  and  $\lambda = co(A)[-1]$ . Observe that

$$\{(L, L', L'') \in \mathcal{F}^3 : (L, L') \in \mathcal{O}_{[1]D_u}, (L', L'') \in \mathcal{O}_A\} = \{(L'[1], L', L'') : (L', L'') \in \mathcal{O}_A\},\$$

and the image under the projection onto the first and last components is

$$\{(L'[1], L'') : (L, L'') \in \mathcal{O}_A\} = \mathcal{O}_{[1]A}.$$

The coefficient of  $\mathcal{O}_{[1]A}$  in the product  $Re_A$  is 1 since, for any  $(N, N'') \in \mathcal{O}_{[1]A}$ ,

$$\{N' \in \mathcal{F} : (N, N') \in \mathcal{O}_{[1]D_{u}}, (N', N'') \in \mathcal{O}_A\} = \{N[-1]\},$$

so it follows that  $Re_A = e_{[1]A}$ .

To compute the product  $e_A R$ , consider

$$\{(L, L', L'') \in \mathcal{F}^3 : (L, L') \in \mathcal{O}_A, (L', L'') \in \mathcal{O}_{[1]D_\lambda}\} = \{(L, L', L'[-1]) : (L, L') \in \mathcal{O}_A\}.$$

The image under the projection onto the first and last components is

$$\{(L, L'[-1]) : (L, L') \in \mathcal{O}_A\} = \mathcal{O}_{A[-1]}$$

and, for any  $(N, N'') \in \mathcal{O}_{A[-1]}$ ,

$$\{N' \in \mathcal{F} : (N, N') \in \mathcal{O}_A, (N', N'') \in \mathcal{O}_{[1]D_\lambda}\} = \{N''[1]\}.$$

Therefore  $e_A R = e_{A[-1]}$ .

**Lemma 3.1.13.** The element R is invertible and

$$RS(R) = S(R)R = 1.$$

In particular,

$$R^{-1} = \sum_{\lambda \in \Lambda_0} e_{[-1]D_{\lambda}}.$$

Proof. Recall that

$$S(R) = \sum_{\lambda \in \Lambda_0} e_{[-1]D_{\lambda}}.$$

Then it follows from Lemma 3.1.12 that

$$RS(R) = \sum_{\lambda \in \Lambda_0} e_{[1][-1]D_{\lambda}} = 1$$

and

$$\begin{split} S(R)R &= \sum_{\lambda \in \Lambda_0} e_{[-1]D_{\lambda}[-1]} \\ &= \sum_{\lambda \in \Lambda_0} e_{D_{(\lambda[-1])}} \\ &= 1. \end{split}$$

Visually, acting on a basis element  $e_A$  on the left by R corresponds to moving the matrix A up by one row, while acting on the right by R corresponds to moving the matrix to the right by one column. Then conjugating by R corresponds to the composition of a shift to the left by one and a shift up by one, which is a shift by one along the diagonal, so conjugating by  $R^n$  leaves  $e_A$  invariant. Thus conjugation by R gives a  $\mathbb{Z}[q]$ -algebra automorphism of  $\hat{S}_q(n,r)$  which has order n.

**Lemma 3.1.14.** For each  $\lambda \in \Lambda_0$ ,

$$R1_{\lambda}S(R) = 1_{[1]\lambda}$$

and, for each  $i \in \{1, \ldots, n\}$ ,

$$RE_iS(R) = E_{i-1}$$

and

$$RF_iS(R) = F_{i-1}.$$

Proof. It follows from Lemma 3.1.12 and Lemma 3.1.13 that

$$Re_A S(R) = e_{[1]A[1]},$$

for each  $A \in \Lambda_1$ . In particular,

$$R1_{\lambda}S(R) = 1_{\lambda[1]}$$

for each  $\lambda \in \Lambda_0$ ,

$$RE_{i,\lambda}S(R) = E_{i-1,\lambda[1]}$$

for each  $(\lambda, i) \in \Lambda_0 \times \mathbb{Z}$  with  $\lambda_{i+1} > 0$ , and

$$RF_{i,\lambda}S(R) = F_{i-1,\lambda[1]}$$

for each  $(\lambda, i) \in \Lambda_0 \times \mathbb{Z}$  with  $\lambda_i > 0$ .

It now follows that

$$RE_iS(R) = E_{i-1}$$

and

$$RF_iS(R) = F_{i-1}$$

as claimed.

## 3.2 Quivers and relations

Assume n and r are integers with  $n \geq 3$  and  $r \geq 1$ .

## 3.2.1 Relations in affine q-Schur algebras

**Lemma 3.2.1.** *If*  $i, j \in \{1, ..., n\}$  *and*  $i \neq j$ , *then* 

$$E_i F_j - F_j E_i = 0.$$

For each  $i \in \{1, \ldots, n\}$ ,

$$E_i F_i - F_i E_i = \sum_{\lambda \in \Lambda_0} ([[\lambda_i]] - [[\lambda_{i+1}]]) 1_{\lambda}.$$

*Proof.* Denote  $e_A$  by [A]. Fix  $i, j \in \{1, ..., n\}$  with  $i \neq j$ . Then

$$\begin{split} E_i F_j &= \sum_{\lambda \in \Lambda_0} E_i \left[ D_\lambda + \mathcal{E}_{j+1,j} - \mathcal{E}_{j,j} \right] \\ &= \sum_{\lambda \in \Lambda_0} \left[ D_\lambda + \mathcal{E}_{j+1,j} - \mathcal{E}_{j,j} + \mathcal{E}_{i,i+1} - \mathcal{E}_{i+1,i+1} \right]. \end{split}$$

Observe that the nonzero terms in the above sum are those for which  $\lambda_j > 0$  and  $\lambda_{i+1} > 0$ . Similarly,

$$\begin{split} F_j E_i &= \sum_{\lambda \in \Lambda_0} F_j \left[ D_\lambda + \mathcal{E}_{i,i+1} - \mathcal{E}_{i+1,i+1} \right] \\ &= \sum_{\lambda \in \Lambda_0} \left[ D_\lambda + \mathcal{E}_{i,i+1} - \mathcal{E}_{i+1,i+1} + \mathcal{E}_{j+1,j} - \mathcal{E}_{j,j} \right], \end{split}$$

where the sum is taken over those  $\lambda$  such that  $\lambda_{i+1} > 0$  and  $\lambda_j > 0$ . Therefore

$$E_i F_i - F_i E_i = 0.$$

Again using Lemma 3.1.2,

$$E_{i}F_{i} = \sum_{\lambda \in \Lambda_{0}} E_{i} \left[ D_{\lambda} + \mathcal{E}_{i+1,i} - \mathcal{E}_{i,i} \right]$$

$$= \sum_{\lambda \in \Lambda_{0}} \left[ D_{\lambda} + \mathcal{E}_{i+1,i} - \mathcal{E}_{i,i} + \mathcal{E}_{i,i+1} - \mathcal{E}_{i+1,i+1} \right] + \left[ \left[ \lambda_{i} \right] \right] \left[ D_{\lambda} \right]$$

and

$$\begin{split} F_i E_i &= \sum_{\lambda \in \Lambda_0} F_i \left[ D_\lambda + \mathcal{E}_{i,i+1} - \mathcal{E}_{i+1,i+1} \right] \\ &= \sum_{\lambda \in \Lambda_0} \left[ D_\lambda + \mathcal{E}_{i,i+1} - \mathcal{E}_{i+1,i+1} + \mathcal{E}_{i+1,i} - \mathcal{E}_{i,i} \right] + \left[ \left[ \lambda_{i+1} \right] \right] \left[ D_\lambda \right]. \end{split}$$

Therefore

$$E_i F_i - F_i E_i = \sum_{\lambda \in \Lambda_0} ([[\lambda_i]] - [[\lambda_{i+1}]]) 1_{\lambda},$$

as required.

An explicit version of these relations will be given after defining some terminology. Given  $\lambda \in \Lambda_0$  and  $i \in \{1, ..., n\}$ , say that  $\lambda$  is internal with respect to i if  $\lambda - \alpha_i, \lambda + \alpha + i \in \Lambda_0$ . Say that  $\lambda$  is initial with respect to i if  $\lambda - \alpha_i \notin \Lambda_0$  and that  $\lambda$  is final with respect to i if  $\lambda + \alpha_i \notin \Lambda_0$ . The expression for the commutator  $[E_i, F_i]$  in Lemma 3.2.1 gives the following relations in  $\hat{S}_q(n, r)$ : if  $\lambda$  is internal with respect to i then

$$E_{i,\lambda-\alpha_i}F_{i,\lambda}-F_{i,\lambda+\alpha_i}E_{i,\lambda}=0;$$

if  $\lambda$  is initial with respect to i then

$$F_{i,\lambda+\alpha_i}E_{i,\lambda}-1_{\lambda}=0;$$

if  $\lambda$  is final with respect to i then

$$E_{i,\lambda-\alpha_i}F_{i,\lambda}-1_{\lambda}=0.$$

**Lemma 3.2.2.** The following relations hold in  $\hat{S}_q(n,r)$ , when  $n \geq 3$ :

$$E_i E_j - E_j E_i = 0$$

and

$$F_i F_i - F_i F_i = 0$$

for  $i, j \in \{1, ..., n\}$  such that  $j \ge i + 2$ ,

$$E_i E_{i+1}^2 - (1+q)E_{i+1}E_i E_{i+1} + q E_{i+1}^2 E_i = 0$$
  
$$E_i^2 E_{i+1} - (1+q)E_i E_{i+1} E_i + q E_{i+1} E_i^2 = 0$$

and

$$F_{i+1}F_i^2 - (1+q)F_iF_{i+1}F_i + qF_i^2F_{i+1} = 0$$
  
$$F_{i+1}^2F_i - (1+q)F_{i+1}F_iF_{i+1} + qF_iF_{i+1}^2 = 0.$$

for  $i \in \{1, ..., n\}$ .

*Proof.* Denote  $e_A$  by [A].

$$E_{i}E_{i+1}^{2} = \sum_{\lambda \in \Lambda_{0}} [[2]] [D_{\lambda} + \mathcal{E}_{i,i+2} + \mathcal{E}_{i+1,i+2} - 2\mathcal{E}_{i+2,i+2}]$$

$$+ [[2]] [D_{\lambda} + \mathcal{E}_{i,i+1} - \mathcal{E}_{i+1,i+1} + 2\mathcal{E}_{i+1,i+2} - 2\mathcal{E}_{i+2,i+2}]$$

$$E_{i+1}E_{i}E_{i+1} = \sum_{\lambda \in \Lambda_{0}} [D_{\lambda} + \mathcal{E}_{i,i+2} + \mathcal{E}_{i+1,i+2} - 2\mathcal{E}_{i+2,i+2}] + [[2]] [D_{\lambda} + \mathcal{E}_{i,i+1} - \mathcal{E}_{i+1,i+1} + 2\mathcal{E}_{i+1,i+2} - 2\mathcal{E}_{i+2,i+2}]$$

$$E_{i+1}^{2}E_{i} = \sum_{\lambda \in \Lambda_{0}} [[2]] [D_{\lambda} + \mathcal{E}_{i,i+1} - \mathcal{E}_{i+1,i+1} + 2\mathcal{E}_{i+1,i+2} - 2\mathcal{E}_{i+2,i+2}]$$

$$E_{i}E_{i+1}^{2} - (1+q)E_{i+1}E_{i}E_{i+1} + qE_{i+1}^{2}E_{i} = \sum_{\lambda \in \Lambda_{0}} ([[2]] - (1+q))[X_{\lambda}] + ([[2]] - (1+q)[[2]] + q[[2]])[Y_{\lambda}]$$

where

$$X_{\lambda} = D_{\lambda} + \mathcal{E}_{i,i+2} + \mathcal{E}_{i+1,i+2} - 2\mathcal{E}_{i+2,i+2}$$

and

$$Y_{\lambda} = D_{\lambda} + \mathcal{E}_{i,i+1} - \mathcal{E}_{i+1,i+1} + 2\mathcal{E}_{i+1,i+2} - 2\mathcal{E}_{i+2,i+2}.$$

It follows

$$E_i E_{i+1}^2 - (1+q)E_{i+1}E_i E_{i+1} + q E_{i+1}^2 E_i = 0$$

and so

$$F_{i+1}^2 F_i - (1+q)F_{i+1}F_i F_{i+1} + qF_i F_{i+1}^2 = 0,$$

by applying the transpose involution to the first relation.

$$E_i^2 E_{i+1} = \sum_{\lambda \in \Lambda_0} [[2]] [D_{\lambda} + \mathcal{E}_{i,i+1} - \mathcal{E}_{i+1,i+1} + \mathcal{E}_{i,i+2} - \mathcal{E}_{i+2,i+2}]$$

$$+ [[2]] [D_{\lambda} + 2\mathcal{E}_{i,i+1} - 2\mathcal{E}_{i+1,i+1} + \mathcal{E}_{i+1,i+2} - \mathcal{E}_{i+2,i+2}]$$

$$E_{i}E_{i+1}E_{i} = \sum_{\lambda \in \Lambda_{0}} [D_{\lambda} + \mathcal{E}_{i,i+1} - \mathcal{E}_{i+1,i+1} + \mathcal{E}_{i,i+2} - \mathcal{E}_{i+2,i+2}] + [[2]] [D_{\lambda} + 2\mathcal{E}_{i,i+1} - 2\mathcal{E}_{i+1,i+1} + \mathcal{E}_{i+1,i+2} - \mathcal{E}_{i+2,i+2}]$$

$$E_{i+1}E_i^2 = \sum_{\lambda \in \Lambda_0} [[2]] [D_{\lambda} + 2\mathcal{E}_{i,i+1} - 2\mathcal{E}_{i+1,i+1} + \mathcal{E}_{i+1,i+2} - \mathcal{E}_{i+2,i+2}]$$

So

$$E_i^2 E_{i+1} - (1+q) E_i E_{i+1} E_i + q E_{i+1} E_i = \sum_{\lambda \in \Lambda_0} ([[2]] - (1+q)) A_{\lambda} + ([[2]] - (1+q)[[2]] + q [[2]]) B_{\lambda},$$

where

$$A_{\lambda} = D_{\lambda} + \mathcal{E}_{i,i+1} - \mathcal{E}_{i+1,i+1} + \mathcal{E}_{i,i+2} - \mathcal{E}_{i+2,i+2}$$

and

$$B_{\lambda} = D_{\lambda} + 2\mathcal{E}_{i,i+1} - 2\mathcal{E}_{i+1,i+1} + \mathcal{E}_{i+1,i+2} - \mathcal{E}_{i+2,i+2}.$$

Therefore

$$E_i^2 E_{i+1} - (1+q)E_i E_{i+1} E_i + q E_{i+1} E_i = 0$$

and

$$F_{i+1}F_i^2 - (1+q)F_iF_{i+1}F_i + qF_i^2F_{i+1} = 0,$$

where the second relation follows from the first by applying the transpose involution.

Recall the result of Lemma 3.1.14, which gives relations involving R:

$$R1_{\lambda}R^{-1} = 1_{\lambda[1]}$$
  
 $RE_{i,\lambda}R^{-1} = E_{i-1,\lambda[1]}$   
 $RF_{i,\lambda}R^{-1} = F_{i-1,\lambda[1]}$ .

## 3.2.2 A quiver algebra

Let  $\Gamma = \Gamma(n,r)$  be the quiver with set of vertices  $\Lambda_0$  and arrows

$$e_{i,\lambda} : \lambda \to \lambda + \alpha_i$$
 if  $\lambda_{i+1} > 0$   
 $f_{i,\lambda} : \lambda \to \lambda - \alpha_i$  if  $\lambda_i > 0$ ,

for  $i \in \{1, ..., n\}$  and  $\lambda \in \Lambda_0$ .

The path  $\mathbb{Z}[q]$ -algebra of  $\Gamma$  is an associative  $\mathbb{Z}[q]$ -algebra with a unit, which has a  $\mathbb{Z}[q]$ -basis consisting of the paths in  $\Gamma$ , where the multiplication is defined by concatenation of paths. That is, if p and q are paths in  $\Gamma$ , then the product pq is the path 'q followed by p' if the target of q equals the source of p, or equals zero otherwise.

For each  $\lambda \in \Lambda_0$ , denote the constant path at  $\lambda$  by  $k_{\lambda}$ . These elements form a set of pairwise orthogonal idempotents and the multiplicative identity in  $\mathbb{Z}[q]\Gamma$  is

$$\sum_{\lambda \in \Lambda_0} k_{\lambda}.$$

For each  $i \in \{1, \dots, n\}$ , define

$$e_i = \sum_{\lambda \in \Lambda_0: \lambda_{i+1} > 0} e_{i,\lambda}$$

and

$$f_i = \sum_{\lambda \in \Lambda_0: \lambda_i > 0} f_{i,\lambda}.$$

To avoid long subscripts, define  $e_{i,\lambda} = 0$  if  $\lambda_{i+1} = 0$  and define  $f_{i,\lambda} = 0$  if  $\lambda_i = 0$ . Let I = I(n,r) be the ideal in  $\mathbb{Z}[q]\Gamma$  generated by the following expressions:

$$e_i e_j - e_j e_i$$
$$f_i f_j - f_j f_i$$

for  $i, j \in \{1, ..., n\}$  such that  $j \neq i \pm 1$ ,

$$e_{i}e_{i+1}^{2} - (1+q)e_{i+1}e_{i}e_{i+1} + qe_{i+1}^{2}e_{i}$$

$$e_{i}^{2}e_{i+1} - (1+q)e_{i}e_{i+1}e_{i} + qe_{i+1}e_{i}^{2}$$

$$f_{i+1}^{2}f_{i} - (1+q)f_{i+1}f_{i}f_{i+1} + qf_{i}f_{i+1}^{2}$$

$$f_{i+1}f_{i}^{2} - (1+q)f_{i}f_{i+1}f_{i} + qf_{i}^{2}f_{i+1}$$

for  $i \in \{1, ..., n\}$ ,

$$e_i f_i - f_i e_i$$

for  $i, j \in \{1, ..., n\}$  such that  $i \neq j$ ,

$$e_i f_i - f_i e_i - \sum_{\lambda \in \Lambda_0} ([[\lambda_i]] - [[\lambda_{i+1}]]) k_\lambda$$

for  $i \in \{1, ..., n\}$ .

#### 3.2.3 Mapping to the q-Schur algebra

**Lemma 3.2.3.** There is a  $\mathbb{Z}[q]$ -algebra homomorphism

$$\phi \colon \mathbb{Z}[q]\Gamma/I \to \hat{S}_q(n,r)$$

defined by

$$\phi(k_{\lambda} + I) = 1_{\lambda},$$
  

$$\phi(e_{i,\lambda} + I) = E_{i,\lambda},$$
  

$$\phi(f_{i,\lambda} + I) = F_{i,\lambda},$$

for  $i \in \{1, ..., n\}$  and  $\lambda \in \Lambda_0$ .

*Proof.* Lemma 3.2.1 and Lemma 3.2.2 shows that each equation defining the ideal I corresponds to a zero relation in  $\hat{S}_q(n,r)$ , so there is a unique homomorphism of  $\mathbb{Z}[q]$ -algebras given by

$$\phi(k_{\lambda} + I) = 1_{\lambda},$$
  

$$\phi(e_{i,\lambda} + I) = E_{i,\lambda},$$
  

$$\phi(f_{i,\lambda} + I) = F_{i,\lambda},$$

for each  $i \in \{1, ..., n\}$  and  $\lambda \in \Lambda_0$ .

In fact,  $\phi$  is determined by its values on  $e_1, \ldots, e_n, f_1, \ldots, f_n$  and  $k_{\lambda}$  for  $\lambda \in \Lambda_0$ . In order to describe the image of this map we introduce the notion of standard paths in  $\Gamma$ .

**Definition 3.2.4.** A path  $p = k_{\lambda} p_1^+ \cdots p_h^+$  with

$$p_s^+ = e_{i+s-1}^{\alpha_{i,s}} e_{i+s-2}^{\alpha_{i-1,s}} \cdots e_{i+s-n}^{\alpha_{i-n+1,s}},$$

for  $s \in \{1, ..., h\}$ , is a standard positive path if

$$\alpha_{j,s} \ge \alpha_{j,s+1} \text{ for } s \in \{1, \dots, h-1\};$$

$$0 \le \alpha_{j,1} \le \lambda_j \text{ for } j \in \{1, \dots, n\}.$$

$$i = \max\{t : 1 \le t \le n, \alpha_{t,1} = 0\} - 1;$$

**Definition 3.2.5.** A path  $p = k_{\lambda} p_1^- \cdots p_h^-$  with

$$p_s^- = f_{i-s+1}^{\beta_{i,s}} f_{i-s+2}^{\beta_{i+1,s}} \cdots f_{i-s+n}^{\beta_{i+n-1,s}},$$

for  $s \in \{1, ..., h\}$ , is a standard negative path if

$$\beta_{j,s} \ge \beta_{j,s+1} \text{ for } s \in \{1, \dots, h-1\};$$
  
 $0 \le \beta_{j,1} \le \lambda_{j+1} \text{ for } j \in \{1, \dots, n\}.$   
 $i = \min\{t : 1 \le t \le n, \beta_{t-1,1} = 0\};$ 

**Remark 3.2.6.** The subindex j in  $e_j$  and  $f_j$  and the subindex j in  $\alpha_{j,s}$  is regarded as an element of  $\mathbb{Z}/n\mathbb{Z}$ .

**Definition 3.2.7.** A path  $p = k_{\lambda}p^{+}k_{\mu}p^{-}$  is a standard path if  $k_{\lambda}p^{+}$  is a standard positive path,  $k_{\mu}p^{-}$  is a standard negative path and the exponents satisfy the conditions

$$\alpha_{j,s} + \beta_{j-1,s} \le \lambda_j$$

for  $j \in \{1, ..., n\}$ . Call  $p^+$  the positive part of p and  $p^-$  the negative part of p.

**Remark 3.2.8.** If p is a standard path with p = p'p'' for some paths p' and p'', then p' is a standard path.

Observe that the definition of standard paths includes the constant paths  $k_{\lambda}$  for  $\lambda \in \Lambda_0$ .

**Definition 3.2.9.** Let  $A \in \Lambda_1$ . The standard path for A is the standard path  $p_A = k_{\lambda} p^+ p^-$ , where  $\lambda = \text{ro}(A)$ ,  $p^+$  is the standard positive path given by

$$\alpha_{i,s} = \sum_{t \ge i+s} a_{i,t}$$

and  $p^-$  is the standard negative path given by

$$\beta_{i,s} = \sum_{t \le i-s+1} a_{i+1,t}$$

for  $i \in \{1, ..., n\}$  and  $s \ge 1$ , respectively.

**Lemma 3.2.10.** If p is a standard path then there is a unique element  $A \in \Lambda_1$  such that  $p = p_A$ . Thus there is a bijection between the set of standard paths in  $\Gamma$  and  $\Lambda_1$ .

Proof. The map

$$\Lambda_1 \to \{ \text{ standard paths in } \Gamma \} : A \mapsto p_A$$

is injective since distinct elements of  $\Lambda_1$  define distinct standard paths. Finally, if p is a standard path then  $p = p_A$  where

$$a_{i,i+s} = \alpha_{i,s} - \alpha_{i,s+1}$$

$$a_{i,i-s} = \beta_{i-1,s} - \beta_{i-1,s+1}$$

$$a_{i,i} = \mu_i - \alpha_{i,1} - \beta_{i-1,1}$$

for all  $i \in \{1, ..., n\}$  and  $s \ge 1$ .

**Definition 3.2.11.** Let  $A \in \Lambda_1$ . The *positive part* of A is the element  $A^+ \in \Lambda_1$  with  $ro(A^+) = ro(A)$  and off diagonal entries

$$a_{i,j}^+ = a_{i,j} \text{ if } i < j;$$
  
 $a_{i,j}^+ = 0 \text{ if } i > j,$ 

for  $i, j \in \mathbb{Z}$ .

The negative part of A is the element  $A^- \in \Lambda_1$  with  $co(A^-) = co(A)$  and off-diagonal entries

$$a_{i,j}^- = 0 \text{ if } i < j;$$
  
 $a_{i,j}^- = a_{i,j} \text{ if } i > j,$ 

for  $i, j \in \mathbb{Z}$ .

Recall that the G-orbit of a pair of flags (L, L') is denoted by [L, L'].

**Lemma 3.2.12.** Let  $A \in \Lambda_1$ . If  $(L, L') \in \mathcal{O}_A$ , then  $[L, L \cap L'] = \mathcal{O}_{A^+}$  and  $[L \cap L', L'] = \mathcal{O}_{A^-}$ .

*Proof.* Let  $B \in \Lambda_1$  with  $\mathcal{O}_B = [L, L \cap L']$ . The row vector of B is  $|L| = \operatorname{ro}(A)$  and B is upper triangular since  $L \cap L' \subset L$ . For i < j,

$$b_{i,j} = \dim \left( \frac{L_i \cap L_j \cap L'_j}{L_{i-1} \cap L_j \cap L'_j + L_i \cap L_{j-1} \cap L'_{j-1}} \right)$$
$$= \dim \left( \frac{L_i \cap L'_j}{L_{i-1} \cap L'_j + L_i \cap L'_{j-1}} \right)$$
$$= a_{i,j},$$

so B is the positive part of A as in Definition 3.2.11. The transpose of the negative part of A is the positive part of the transpose of A, so it follows that  $\mathcal{O}_{A^-} = [L \cap L', L']$ .

**Lemma 3.2.13.** Let  $A \in \Lambda_1$  and let p be the standard path for A. The positive part of p is the standard path for  $A^+$  and the negative part of p is the standard path for  $A^-$ .

Proof. Write  $p = k_{\lambda}p^{+}p^{-}k_{\mu}$ , where  $p^{+}$  and  $p^{-}$  are the positive and negative parts of p respectively. The exponents  $\alpha_{i,s}$  in  $p^{+}$  are determined by the entries of A strictly above the diagonal, so the  $\alpha_{i,s}$  are also the exponents in the standard path for  $A^{+}$ . It follows that  $k_{\lambda}p^{+}$  is the standard path for  $A^{+}$  since  $\lambda = \text{ro}(A) = \text{ro}(A^{+})$ .

Similarly, the exponents in the standard path for A are given by the entries in A strictly below the diagonal and  $\mu = co(A) = co(A^-)$ , so  $p^-k_\mu$  is the standard path for  $A^-$ .

**Proposition 3.2.14.** Let  $A \in \Lambda_1$  and let p be the standard path corresponding to A. Then

$$\phi(p+I) = \left(\prod_{i \in \{1,\dots,n\}, s \ge 1} [[\alpha_{i,s}]]! [[\beta_{i,s}]]! \right) e_A + \sum_{B \in \Lambda_1: B < A} g_B e_B$$
(3.2.1)

*Proof.* Proceed by induction on the length of p. If the length of p is zero then  $p = k_{\lambda}$  and  $A = D_{\lambda}$  for some  $\lambda \in \Lambda_0$ , then 3.2.1 holds since  $\phi(k_{\lambda} + I) = 1_{\lambda}$ . Assume p has positive length and the formula holds for standard paths of smaller length.

First suppose  $p = p_1^+ \cdots p_h^+$  is a standard positive path, where  $h = \max\{j - i : a_{i,j} > 0\}$ . Factoring out the first arrow  $p = p'e_j$  and p' is a standard positive path with length less than that of p. The exponent of  $e_j$  in  $p_h^+$  is  $\alpha_{j-h+1,h} = a_{j-h+1,j+1}$  and so  $a_{j-h,j} = 0$  since  $e_j$  is the first arrow in p and the exponent of  $e_{j-1}$  in  $p_h^+$  is zero. Then p' is the standard path corresponding to  $B = A + \mathcal{E}_{j+1-h,j} - \mathcal{E}_{j+1-h,j+1}$  so

$$\phi(p'+I) = \frac{1}{[[\alpha_{j-h+1,h}]]} \left( \prod_{i \in \{1,\dots,n\},s \ge 1} [[\alpha_{i,s}]]! \right) e_B + \sum_{C \in \Lambda_1:C < B} g'_C e_C,$$

using the inductive hypothesis. Using the fundamental multiplication rules

$$e_B E_j = [[\alpha_{j-h+1,h}]] e_A + \sum_{s>j+1-h:b_{s,j}>0} q^{\sum_{t< s} b_{t,j+1}} [[b_{s,j+1}+1]] e_{B+\mathcal{E}_{s,j+1}-\mathcal{E}_{s,j}}.$$

For each C < B,  $c_{s,t} = 0$  if t - s > h and  $c_{j-h,j} = 0$ , so the product  $e_C E_j$  is a  $\mathbb{Z}[q]$ -linear combination of the terms  $e_{C+\mathcal{E}_{s,j+1}-\mathcal{E}_{s,j}}$  for  $s \geq j+1-h$ , which are totally ordered with respect to the hook order and the maximum term  $C+\mathcal{E}_{j+1-h,j+1}-\mathcal{E}_{j-h,j}$  is strictly less than  $B+\mathcal{E}_{j+1-h,j+1}-\mathcal{E}_{j-h,h}=A$ . Therefore

$$\phi(p+I) = \phi(p'+I)E_j$$

$$= \left(\prod_{i \in \{1,...,n\}, s \ge 1} [[\alpha_{i,s}]]! \right) e_A + \sum_{B \in \Lambda_1: B < A} g_B e_B,$$

which completes the case where p is a standard positive path.

Now suppose the negative part of p is nontrivial, so  $p = p'f_j$  for some j and a standard path  $p' = p_1^- \cdots p_h^-$ , where  $h = \max\{i - j : a_{i,j} > 0\}$ . Since  $f_j$  is the first arrow in p the exponent of  $f_{j+1}$  in  $p_h^-$  is zero, so  $0 = \beta_{j+h,h} = a_{j+1+h,j+1}$ . The matrix corresponding to the standard path p' is  $B = A + \mathcal{E}_{j+h,j+1} - \mathcal{E}_{j+h,j}$  so

$$\phi(p'+I) = \frac{1}{[[\beta_{j+h-1,h}]]} \left( \prod_{i \in \{1,\dots,n\}, s \ge 1} [[\alpha_{i,s}]]! [[\beta_{i,s}]]! \right) e_B + \sum_{C \in \Lambda_1: C < B} g'_C e_C$$

by the inductive hypothesis. Using the fundamental multiplication rules

$$e_B F_j = [[\beta_{j+h-1,h}]]e_A + \sum_{C < A} g_C'' e_C.$$

For each C < B,  $c_{s,t} = 0$  is s - t > h and  $c_{j+h+1,j+1} = 0$ , so the product  $e_C F_j$  is a  $\mathbb{Z}[q]$ linear combination of the terms  $e_{C+\mathcal{E}_{s,j}-\mathcal{E}_{s,j+1}}$  for  $s \leq j+h$ , which are all strictly smaller than  $B + \mathcal{E}_{j+h,j} - \mathcal{E}_{j+h,j+1} = A$ . Therefore

$$\phi(p+\mathcal{J}) = \phi(p'+\mathcal{J})F_j$$

$$= \left(\prod_{i \in \{1,\dots,n\},s \ge 1} [[\alpha_{i,s}]]![[\beta_{i,s}]]!\right) e_A + \sum_{B < A} g_B e_B$$

for some  $g_B \in \mathbb{Z}[q]$ .

#### 3.2.4 Change of rings

The following is based on the change of rings for quiver presentations result, Lemma 5.2 in [24]. Let R and S be commutative rings and suppose  $f: R \to S$  is a ring homomorphism with f(1) = 1. Let  $\Sigma$  be a quiver and let  $I \subset R\Sigma$  be an ideal of relations in  $\Sigma$ . The homomorphism f defines an R-algebra structure on S with  $r \cdot s = f(r)s$  for all  $r \in R$  and  $s \in S$ . Let  $\bar{f}: R\Sigma \to S\Sigma$  be the R-algebra homomorphism induced by f, which is given by

$$\bar{f}(rp) = f(r)p$$

for each path p in  $\Sigma$  and  $r \in R$ .

Applying the right exact functor  $S \otimes_R -$  to the short exact sequence

$$0 \to I \stackrel{i}{\to} R\Sigma \to R\Sigma/I \to 0$$

of R-modules gives the exact sequence

$$S \otimes_R I \stackrel{1 \otimes i}{\to} S \otimes_R R\Sigma \to S \otimes_R R\Sigma/I \to 0$$

of S-modules.

Let  $m: S \otimes_R R\Sigma \to S\Sigma$  be the S-algebra homomorphism given by

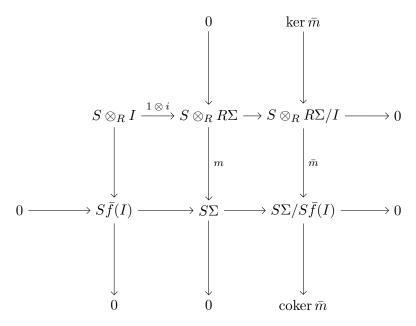
$$m(s \otimes (rp+I)) = sf(r)p + S\bar{f}(I),$$

for all  $r \in R$ ,  $s \in S$  and paths p in  $\Gamma$ . The S-algebra homomorphism  $S\Sigma \to S \otimes_R R\Sigma$  given by sending sp to  $s \otimes p$  is inverse to m, so m is an isomorphism of S-algebras. Observe that m is also R-linear, so is an isomorphism of R-algebras. The image of  $m \circ (1 \otimes i) \colon S \otimes_R I \to S\Sigma$  is  $S\bar{f}(I)$  since the image is spanned by elements of the form

$$m((1 \otimes i)(s \otimes x)) = m(s \otimes x)$$
  
=  $s\bar{f}(x)$ ,

for  $s \in S$  and  $x \in I$ .

Thus we have a commuting diagram of S-modules with exact rows and columns:



The morphism  $\bar{m}$  is given by the universal property of cokernels and can be computed explicitly using the commuting diagram, with

$$\bar{m}(s \otimes (rp+I)) = m(s \otimes rp) + S\bar{f}(I)$$
  
=  $sf(r)p + S\bar{f}(I)$ ,

for all  $r \in R$ ,  $s \in S$  and paths p in  $\Sigma$ .

**Lemma 3.2.15.** [24] The morphism

$$\bar{m} \colon S \otimes_R R\Sigma/I \to S\Sigma/S\bar{f}(I)$$

is both an isomorphism of R-algebras and an isomorphism of S-algebras.

*Proof.* Using the snake lemma on the above commuting diagram gives an exact sequence of S-modules

$$0 \to \ker \bar{m} \to 0 \to 0 \to \operatorname{coker} \bar{m} \to 0$$
,

so  $\ker \bar{m}$  and  $\operatorname{coker} \bar{m}$  are both zero and therefore  $\bar{m}$  is an isomorphism of S-algebras. Moreover,  $\bar{m}$  is R-linear, so is also an isomorphism of R-algebras.

Recall that the q-integers are given by

$$[[0]] = 0$$

and

$$[[m]] = 1 + q + \dots + q^{m-1} = 1 + q[[m-1]]$$

for  $m \in \mathbb{Z}$  with  $m \geq 1$ . For  $m \in \mathbb{N}$ , define the q-factorial

$$[[m]]_! = \prod_{a=1}^m [[a]].$$

Given integers a and b with 0 < a < b,

$$[[b]] - [[a]] = q^a[[b-a]]$$

and the product [[a]][[b]] can be computed recursively as follows:

$$\begin{aligned} [[a]][[b]] &= (1 + q[[a-1]])(1 + q[[b-1]]) \\ &= 1 + q([[a-1]] + [[b-1]] + q[[a-1]][[b-1]]). \end{aligned}$$

The set of q-integers is not multiplicatively closed since, for example  $[[2]]^2 = 1 + 2q + q^2$ , but the q-integers are contained in the multiplicatively closed set  $1 + q\mathbb{Z}[q]$ . Let  $\mathcal{Q}$  be the localisation of  $\mathbb{Z}[q]$  at the set of elements of the form 1 + qf for  $f \in \mathbb{Z}[q]$ , so  $\mathcal{Q}$  is the subring of  $\mathbb{Q}(q)$  given by

$$Q = \left\{ \frac{f}{1 + qg} : f, g \in \mathbb{Z}[q] \right\}.$$

Observe that  $\mathbb{Z}[q]$  is a subring of  $\mathcal{Q}$ , so  $\mathcal{Q}$  is a  $\mathbb{Z}[q]$ -algebra. The  $\mathcal{Q}$ -form of the affine q-Schur algebra  $\hat{S}_q(n,r)$  is defined to be the  $\mathcal{Q}$ -algebra

$$\hat{S}_{\mathcal{Q}}(n,r) = \mathcal{Q} \otimes_{\mathbb{Z}[q]} \hat{S}_q(n,r).$$

**Lemma 3.2.16.** The Q-algebra homomorphism

$$\bar{m} \colon \mathcal{Q} \otimes_{\mathbb{Z}[q]} \mathbb{Z}[q]\Gamma/I \to \mathcal{Q}\Gamma/\mathcal{Q}I$$

given by

$$\bar{m}(s \otimes (hp+I)) = shp + QI,$$

for all  $s \in \mathcal{Q}$ ,  $h \in \mathbb{Z}[q]$  and paths p in  $\Gamma$ , is an isomorphism.

*Proof.* Applying Lemma 3.2.15 for the inclusion  $\mathbb{Z}[q] \hookrightarrow \mathcal{Q}$  proves that  $\bar{m}$  is an isomorphism of  $\mathcal{Q}$ -algebras and an isomorphism of  $\mathbb{Z}[q]$ -algebras.

Let  $\phi_{\mathcal{Q}}$  be the  $\mathcal{Q}$ -algebra homomorphism

$$\phi_{\mathcal{Q}} = (1 \otimes \phi) \circ \bar{m}^{-1} \colon \mathcal{Q}\Gamma/\mathcal{Q}I \to \hat{S}_{\mathcal{Q}}(n,r),$$

which is given by

$$\begin{split} \phi_{\mathcal{Q}}(e_{i,\lambda} + \mathcal{Q}I) &= E_{i,\lambda} \\ \phi_{\mathcal{Q}}(f_{i,\lambda} + \mathcal{Q}I) &= F_{i,\lambda} \\ \phi_{\mathcal{Q}}(k_{\lambda} + \mathcal{Q}I) &= 1_{\lambda}, \end{split}$$

for  $i \in \{1, ..., n\}$  and  $\lambda \in \Lambda_0$ .

**Proposition 3.2.17.** *If* r < n *then*  $\phi_{\mathcal{Q}}$  *is surjective.* 

*Proof.* Fix  $A \in \Lambda_1$  and let p be the standard path in  $\Gamma$  corresponding to A. Then

$$\phi_{\mathcal{Q}}(p+\mathcal{Q}I) = \sum_{B:B \le A} g_B e_B$$

for some  $g_B \in \mathbb{Z}[q]$ , where

$$g_A = \prod_{i \in \{1, \dots, n\}, s \ge 1} [[\alpha_{i,s}]]! [[\beta_{i,s}]]!,$$

by Proposition 3.2.14. The coefficient of the leading term  $g_A$  is a unit in  $\mathcal{Q}$ , so

$$e_A = \phi_{\mathcal{Q}}(g_A^{-1}p + \mathcal{Q}I) - \sum_{B:B < A} g_B g_A^{-1} e_B.$$

There are only finitely many  $B \in \Lambda_1$  with B < A and for each such B,  $e_B$  admits a similar expression, which shows that  $e_A$  can be expressed as the image of a  $\mathcal{Q}$ -linear combination of the standard paths corresponding to the matrices B with  $B \leq A$  and therefore  $\phi_{\mathcal{Q}}$  is surjective.  $\square$ 

Conjecture 3.2.18. If r < n, the quiver with relations  $(\Gamma, I)$  gives a presentation of  $\hat{S}_{\mathcal{Q}}(n, r)$  over  $\mathcal{Q}$ .

*Ideas for proof.* The only thing that remains to be shown is that the map from the quiver algebra is injective, since Proposition 3.2.17 shows that this map is surjective.

I hope to deduce this from the presentation of the affine generic algebra by tensoring the surjective map between  $\mathcal{Q}$ -forms of the path algebra and q-Schur algebra with the  $\mathcal{Q}$ -algebra  $\mathcal{Q}/(q)$  and observing this map is an isomorphism of  $\mathbb{Z}$ -algebras.

#### 3.3 Relations for the n=2 case

In this section we give relations in  $\hat{S}_q(2,r)$  which are modified from the relations in the presentation of the affine quantum loop algebra  $U(\hat{\mathfrak{gl}}_n)$ , as in Definition 1.3.3 [4] for example.

**Lemma 3.3.1.** The following equations hold in  $\hat{S}_q(2,r)$ :

$$qE_1E_2^3 - [[3]]E_2E_1E_2^2 + [[3]]E_2^2E_1E_2 - qE_2^3E_1 = 0$$

$$qE_1^3E_2 - [[3]]E_1^2E_2E_1 + [[3]]E_1E_2E_1^2 - qE_2E_1^3 = 0$$

$$qF_2F_1^3 - [[3]]F_1F_2F_1^2 + [[3]]F_1^2F_2F_1 - qF_1^3F_2 = 0$$

$$qF_2^3F_1 - [[3]]F_2^2F_1F_2 + [[3]]F_2F_1F_2^2 - qF_1F_2^3 = 0.$$

*Proof.* It suffices to prove the first of these relations holds, since the second relation is obtained by applying the shifting automorphism of  $\hat{S}_q(n,r)$  given by conjugation by R, which sends  $E_1$  to  $E_2$  and  $E_2$  to  $E_1$ , and then the last two relations are obtained by applying the transpose operator S on  $\hat{S}_q(n,r)$ , which sends  $E_i$  to  $F_i$  (for i=1,2) and reverses the order of multiplication.

Next, the first relation will be established by an explicit computation using the fundamental multiplication rules 3.1.3.

Write

$$W = D_{\lambda} + \mathcal{E}_{1,2} - \mathcal{E}_{1,1} + 3\mathcal{E}_{2,3} - 3\mathcal{E}_{2,2}$$

$$X = D_{\lambda} + 2\mathcal{E}_{2,3} + \mathcal{E}_{2,4} - 3\mathcal{E}_{2,2}$$

$$Y = D_{\lambda} + \mathcal{E}_{1,3} - \mathcal{E}_{1,1} + 2\mathcal{E}_{2,3} - 2\mathcal{E}_{2,2}$$

$$Z = D_{\lambda} + \mathcal{E}_{2,3} + \mathcal{E}_{2,5} - 2\mathcal{E}_{2,2}.$$

$$E_1E_2^3 = \sum_{\lambda \in \Lambda_0} [[2]][[3]]e_W + [[2]][[3]]e_Y$$

$$\begin{split} E_2 E_1 E_2^2 &= \sum_{\lambda \in \Lambda_0} [[2]] [[3]] e_W + [[2]] e_X + [[2]]^2 e_Y + [[2]] e_Z \\ E_2^2 E_1 E_2 &= \sum_{\lambda \in \Lambda_0} [[2]] [[3]] e_W + [[2]]^2 e_X + [[2]] e_Y + [[2]] e_Z \\ E_2^3 E_1 &= \sum_{\lambda \in \Lambda_0} [[2]] 3 e_W + [[2]] [[3]] e_X \end{split}$$

Thus

$$qE_{1}E_{2}^{3} - [[3]]E_{2}E_{1}E_{2}^{2} + [[3]]E_{2}^{2}E_{1}E_{2} - qE_{2}^{3}E_{1} = [[2]][[3]](q - [[3]] + [[3]] - q)e_{W}$$

$$+ [[2]][[3]](-1 + [[2]] - q)e_{X}$$

$$+ [[2]][[3]](q - [[2]] + 1)e_{Y}$$

$$+ ([[2]][[3]] - [[2]][[3]])e_{Z},$$

which proves that the first relation holds and hence all the relations hold.

# Chapter 4

# A generic affine algebra

#### 4.1 Introduction

Assume  $\mathbf{k} = \mathbb{C}$  and fix  $n, r \geq 1$ . Let  $\mathcal{S}$  be the  $\mathbf{k}$ -algebra  $\mathbf{k}[\varepsilon, \varepsilon^{-1}]$  and let  $\mathcal{R}$  be the subalgebra generated by  $\varepsilon$ , namely  $\mathcal{R} = \mathbf{k}[\varepsilon]$ . Let V be a free  $\mathcal{S}$ -module of rank r and let  $\mathcal{F} = \mathcal{F}_{\mathbf{k}}(n, r)$  be the set of n-periodic cyclic flags in V; so  $\mathcal{F}$  consists of collections  $L = (L_i)_{i \in \mathbb{Z}}$  of  $\mathcal{R}$ -lattices in V with  $L_i \subset L_{i+1}$  for  $i \in \mathbb{Z}$  and  $\varepsilon L_i = L_{i-n}$  for  $i \in \mathbb{Z}$ .

Let G be the group of S-module automorphisms of V. Thus G is isomorphic to  $GL_r(S)$ . G acts on F with orbits  $\{\mathcal{F}_{\lambda} : \lambda \in \Lambda_0\}$ , where  $\Lambda_0$  is the set of compositions of r into n parts, as in Definition 2.1.1.

The diagonal action of G on  $\mathcal{F} \times \mathcal{F}$  has orbits  $\{\mathcal{O}_A : A \in \Lambda_1\}$ , where  $\mathcal{O}_A$  consists of those pairs of flags with periodic characteristic matrix equal to A. Definitions of the periodic characteristic matrix and the set  $\Lambda_1$  are given in Definition 2.1.7 and Definition 2.1.2 respectively.

Recall that the periodic characteristic matrix of a pair  $(L, L') \in \mathcal{F} \times \mathcal{F}$  is the  $\mathbb{Z} \times \mathbb{Z}$  matrix  $A = (a_{i,j})_{i,j \in \mathbb{Z}}$ , with

$$a_{i,j} = \dim \left( \frac{L_i \cap L'_j}{L_{i-1} \cap L'_j + L_i \cap L'_{j-1}} \right)$$

for each  $i, j \in \mathbb{Z}$ .

Recall that ro and co are the maps  $\Lambda_1 \to \Lambda_0$  given by

$$\operatorname{ro}(A) = \left(\sum_{j \in \mathbb{Z}} a_{1,j}, \dots, \sum_{j \in \mathbb{Z}} a_{n,j}\right)$$

and

$$co(A) = \left(\sum_{i \in \mathbb{Z}} a_{i,1}, \dots, \sum_{i \in \mathbb{Z}} a_{i,n}\right)$$

for each  $A \in \Lambda_1$ . Given  $A \in \Lambda_1$ , write  $A: co(A) \to ro(A)$ .

The purpose of this chapter is to define an associative  $\mathbb{Z}$ -algebra with a multiplicative basis by defining a modified form of the product in the affine q-Schur algebra. In particular, given  $A, B \in \Lambda_1$ , the orbit product

$$X_{A,B} = \{(L, L'') \in \mathcal{F} \times \mathcal{F} : \exists L' \in \mathcal{F} \text{ with } (L, L') \in \mathcal{O}_A, (L', L'') \in \mathcal{O}_B\}$$

consists of finitely many G-orbits and it will be shown that there is a unique 'generic' orbit in  $X_{A,B}$ , denoted by  $\mathcal{O}_{A*B}$ , with the property that

$$\dim\left(\frac{L_i}{L_i\cap L_j''}\right) \le \dim\left(\frac{N_i}{N_i\cap N_j''}\right)$$

and

$$\dim\left(\frac{L_j''}{L_i\cap L_j''}\right) \le \dim\left(\frac{N_j''}{N_i\cap N_j''}\right)$$

for all  $i, j \in \mathbb{Z}$ ,  $(N, N'') \in \mathcal{O}_{A*B}$  and  $(L, L'') \in X_{A,B}$ . It will be shown that the above 'generic product' of orbits is associative, so the free  $\mathbb{Z}$ -module on the set of G-orbits in  $\mathcal{F} \times \mathcal{F}$  with  $\mathbb{Z}$ -bilinear multiplication given by

$$\mathcal{O}_A * \mathcal{O}_B = \mathcal{O}_{A*B}$$

for each  $A, B \in \Lambda_1$  with co(A) = ro(B), and

$$\mathcal{O}_A * \mathcal{O}_B = 0$$

for  $A, B \in \Lambda_1$  with  $co(A) \neq ro(B)$ , is an associative  $\mathbb{Z}$ -algebra with multiplicative identity given by

$$\sum_{\lambda \in \Lambda_0} \mathcal{O}_{D_\lambda},$$

where  $D_{\lambda}$  is the diagonal matrix with  $co(D_{\lambda}) = \lambda$ . The resulting  $\mathbb{Z}$ -algebra is called the *generic affine algebra* (of rank r and period n), denoted by  $\hat{G}(n,r)$ .

#### 4.2 Grassmannians and related varieties

Here we collect a few elementary results on Grassmannians and some related varieties. In this section, let V be an n-dimensional  $\mathbf{k}$ -vector space and let  $0 \le d \le n$  be an integer. There is a linear map

$$\phi^{(d)} \colon \Lambda^d(V) \to \operatorname{Hom}(V, \Lambda^{d+1}(V))$$

given by

$$\phi^{(d)}(\alpha)(v) = \alpha \wedge v$$

for  $\alpha \in \Lambda^d(V)$  and  $v \in V$ . The kernel of  $\phi^{(d)}(\alpha)$  is the space of divisors of  $\alpha$ ,

$$D_{\alpha} = \{ v \in V : \alpha \wedge v = 0 \}.$$

An element  $\alpha \in \Lambda^d(V)$  is said to be totally decomposable if  $\alpha = \alpha_1 \wedge \cdots \wedge \alpha_d$ , where  $\alpha_1, \ldots, \alpha_d \in V$  are linearly independent. The dimension of  $D_\alpha$  is at most d and  $\dim(D_\alpha) = d$  precisely when  $\alpha$  is totally decomposable. Consequently, the rank of  $\phi^{(d)}(\alpha)$  is at least n-d and  $\alpha$  is totally decomposable if and only if rank  $\phi^{(d)}(\alpha) \leq n-d$ , which holds if and only if the  $(n-d+1)\times(n-d+1)$ -minors of a matrix of  $\phi^{(d)}(\alpha)$  are all zero.

**Lemma 4.2.1.**  $\{(U_1, U_2) \in \operatorname{Gr}_{d_1}(V) \times \operatorname{Gr}_{d_2}(V) : \dim(U_1 \cap U_2) \geq a\}$  is a projective variety, for each  $d_1, d_2, a \in \mathbb{N}$  with  $d_1, d_2, a \leq n$ .

*Proof.* As above, there is a linear map  $\Psi \colon \Lambda^{d_1}V \oplus \Lambda^{d_2}V \to \operatorname{Hom}(V, \Lambda^{d_1+1}(V) \oplus \Lambda^{d_2+1}(V))$  given by  $\Psi(\alpha, \beta)(v) = (\alpha \wedge v, \beta \wedge v)$ . Given  $\alpha \in \Lambda^{d_1}(V)$  and  $\beta \in \Lambda^{d_2}(V)$ , the kernel of  $\Psi(\alpha, \beta)$  is  $D_{\alpha} \cap D_{\beta}$  and so the rank of  $\Psi(\alpha, \beta)$  is  $n - \dim(D_{\alpha} \cap D_{\beta})$ .

Let  $U_i \in \operatorname{Gr}_{d_i}(V)$  and suppose  $p_i(U_i) = [\alpha_i]$ , where  $p_i$  is the Plücker embedding of  $\operatorname{Gr}_{d_i}(V)$  in  $\mathbb{P}(\Lambda^{d_i}(V))$ , so  $U_i = D_{\alpha_i} = \ker \phi^{(d_i)}(\alpha)$ . Therefore the kernel of  $\Psi(\alpha_1, \alpha_2)$  is  $U_1 \cap U_2$ , so the condition that  $\dim(U_1 \cap U_2) \geq a$  is equivalent to the condition that  $\Psi(\alpha_1, \alpha_2)$  has rank at most n-a. After fixing a basis of V, this condition is given by the vanishing of the  $(n-a+1) \times (n-a+1)$  minors of the matrix of  $\Psi(\alpha_1, \alpha_2)$  with respect to this basis. Therefore  $\{(U_1, U_2) \in \operatorname{Gr}_{d_1}(V) \times \operatorname{Gr}_{d_2}(V) : \dim(U_1 \cap U_2) \geq a\}$  is a closed subset of the product of Grassmannians  $\operatorname{Gr}_{d_1}(V) \times \operatorname{Gr}_{d_2}(V)$ , so is a projective variety.

More precisely, the entries of a matrix of  $\Psi(\alpha_1, \alpha_2)$  are homogeneous polynomials of degree 1 in the Plücker coordinates on  $\operatorname{Gr}_{d_1}(V) \times \operatorname{Gr}_{d_2}(V)$  since  $\Psi$  is linear and so the minors of  $\Psi(\alpha_1, \alpha_2)$  are also homogeneous polynomials in the Plücker coordinates.

**Lemma 4.2.2.** Let V be an n-dimensional vector space over  $\mathbf{k}$  and let  $d_1, d_2, a \in \mathbb{N}$  with  $d_1, d_2, a \leq n$ . The following hold:

```
i. \{(U_1, U_2) \in \operatorname{Gr}_{d_1}(V) \times \operatorname{Gr}_{d_2}(V) : \dim(U_1 \cap U_2) = a\} is a quasiprojective variety;
```

ii. 
$$\{(U_1, U_2) \in \operatorname{Gr}_{d_1}(V) \times \operatorname{Gr}_{d_2}(V) : U_1 \subset U_2\}$$
 is a projective variety;

iii. Given 
$$U_2 \in Gr_{d_2}(V)$$
,  $\{U_1 \in Gr_{d_1}(V) : \dim(U_1 \cap U_2) \geq a\}$  is a projective variety;

iv. Given 
$$U_2 \in Gr_{d_2}(V)$$
,  $\{U_1 \in Gr_{d_1}(V) : \dim(U_1 \cap U_2) = a\}$  is a quasiprojective variety;

v. Given 
$$U_2 \in Gr_{d_2}(V)$$
,  $\{U_1 \in Gr_{d_1}(V) : U_1 \subset U_2\}$  is a projective variety;

vi. Given 
$$U_2 \in Gr_{d_2}(V)$$
,  $\{U_1 \in Gr_{d_1}(V) : U_2 \subset U_1\}$  is a projective variety.

*Proof.* Let  $X_i$  denote the space in *i*-th statement of the lemma and write  $X_{i,a}$  to emphasise the dependence of a.

 $X_1$  is a quasiprojective variety since it is equal to the intersection of the projective variety  $\{(U_1,U_2)\in\operatorname{Gr}_{d_1}(V)\times\operatorname{Gr}_{d_2}(V):\dim(U_1\cap U_2)\geq a\}$  with the open set  $\{(U_1,U_2)\in\operatorname{Gr}_{d_1}(V)\times\operatorname{Gr}_{d_2}(V):\dim(U_1\cap U_2)\leq a\}$ .

Given  $(U_1, U_2) \in \operatorname{Gr}_{d_1}(V) \times \operatorname{Gr}_{d_2}(V)$ ,  $U_1 \subset U_2$  if and only if  $\dim(U_1 \cap U_2) \geq d_1$ , so Lemma 4.2.1 shows  $X_2$  is a projective variety.

Let  $\pi_i$ :  $\operatorname{Gr}_{d_1}(V) \times \operatorname{Gr}_{d_2}(V) \to \operatorname{Gr}_{d_i}(V)$  be the projection map onto the *i*-th factor, for i = 1, 2. The completeness property of projective varieties ensures that  $\pi_i$  is a closed morphism. Observe that

$$X_3 = \{ U_1 \in \operatorname{Gr}_{d_1}(V) : \dim(U_1 \cap U_2) \ge a \}$$
  
=  $\pi_1(\{(U_1, W) \in \operatorname{Gr}_{d_1}(V) \times \operatorname{Gr}_{d_2}(V) : \dim(U_1 \cap W) \ge a \} \cap \pi_2^{-1}\{U_2\}).$ 

The fibre of  $\pi_2$  over  $U_2$  is closed, so the intersection of the fibre with the variety from Lemma 4.2.1 is closed and then the image of this intersection under  $\pi_1$  is closed. This shows  $X_3$  is a projective variety.

 $X_4$  is a quasiprojective variety since it is the complement of the subvariety  $X_{3,a+1}$  in  $X_{3,a}$ . Finally, 5-6 follow as special cases of 3 since  $X_5 = X_{3,d_1}$  and  $X_6 = X_{3,d_2}$ .

## 4.3 Geometry of affine flag varieties

Given  $L \in \mathcal{F}$ ,  $N, a \in \mathbb{N}$  and  $\lambda \in \Lambda_0$  define

$$\Pi_{N,\lambda}(L) = \{ L' \in \mathcal{F}_{\lambda} : \varepsilon^{N} L_{0} \subset L'_{0} \subset \varepsilon^{-N} L_{0} \}.$$

and

$$\Pi_{N,\lambda}^a(L) = \left\{ L' \in \mathcal{F}_{\lambda} : \varepsilon^N L_0 \subset L'_0 \subset \varepsilon^{-N} L_0, \dim \left( \frac{\varepsilon^{-N} L_0}{L'_0} \right) = a \right\}.$$

**Lemma 4.3.1.** Given  $L \in \mathcal{F}$ ,  $N \in \mathbb{N}$  and  $\lambda \in \Lambda_0$ ,

$$\Pi_{N,\lambda}(L) = \bigcup_{a:0 \le a \le 2Nr} \Pi_{N,\lambda}^a(L).$$

*Proof.* If  $L' \in \Pi_{N,\lambda}(L)$  then  $\varepsilon^N L_0 \subset L'_0 \subset \varepsilon^{-N} L_0$  and the  $\mathbf{k}[\varepsilon]$ -module  $\varepsilon^{-N} L_0/L'_0$  is naturally isomorphic to  $(\varepsilon^{-N} L_0/\varepsilon^N L_0)/(L'_0/\varepsilon^N L_0)$ , so

$$\dim\left(\frac{\varepsilon^{-N}L_0}{L_0'}\right) \leq \dim\left(\frac{\varepsilon^{-N}L_0}{\varepsilon^NL_0}\right) = 2Nr.$$

**Lemma 4.3.2.** Given  $L \in \mathcal{F}$ ,  $N \in \mathbb{N}$ ,  $\lambda \in \Lambda_0$  and  $a \in \mathbb{N}$  with  $0 \le a \le 2Nr$ ,  $\Pi_{N,\lambda}^a(L)$  is a projective algebraic variety.

*Proof.* Let W be the  $\mathbf{k}[\varepsilon]$ -module  $\varepsilon^{-1-N}L_0/\varepsilon^N L_0$ , which has dimension (2N+1)r over  $\mathbf{k}$ . Let  $d_i = 2Nr - a + \lambda_1 + \cdots + \lambda_i$  for each  $i = 1, \ldots, n$ . The correspondence between submodules of  $\varepsilon^{-1-N}L_0$  which contain  $\varepsilon^N L_0$  and submodules of  $\varepsilon^{-1-N}L_0/\varepsilon^N L_0$  determines a map

$$\rho \colon \Pi_{N,\lambda}^a(L) \to \operatorname{Gr}_{d_1}(W) \times \cdots \times \operatorname{Gr}_{d_n}(W),$$

with  $\rho(L') = (L'_1/\varepsilon^N L_0, \dots, L'_n/\varepsilon^N L_0).$ 

Let  $\mathcal{X}$  be the space of  $(U_1, \ldots, U_n) \in \operatorname{Gr}_{d_1}(W) \times \cdots \times \operatorname{Gr}_{d_n}(W)$  with  $U_i \subset U_{i+1}$  for  $i = 1, \ldots, n-1$  and  $\varepsilon U_n \subset U_1$ . Lemma 4.2.2 shows that each of these conditions is closed, so  $\mathcal{X}$  is a closed subset of  $\operatorname{Gr}_{d_1}(W) \times \cdots \times \operatorname{Gr}_{d_n}(W)$ , therefore  $\mathcal{X}$  is a projective algebraic variety.

The image of  $\rho$  is contained in  $\mathcal{X}$  since

$$\varepsilon L'_n/\varepsilon^N L_0 = L'_0/\varepsilon^N L_0 \subset L'_1/\varepsilon^N L_0 \subset \cdots \subset L'_n/\varepsilon^N L_0.$$

Suppose  $(U_1, \ldots, U_n) \in \mathcal{X}$ . Then  $U_i$  is a  $\mathbf{k}[\varepsilon]$ -module, since  $\varepsilon U_i \subset \varepsilon U_n \subset U_1 \subset U_i$ , for each  $i = 1, \ldots, n$ , so  $U_i$  lifts uniquely to a  $\mathbf{k}[\varepsilon]$ -module  $L'_i$  with  $\varepsilon^N L_0 \subset L'_i \subset \varepsilon^{-1-N} L_0$ . Therefore  $L'_1, \ldots, L'_n$  are  $\mathbf{k}[\varepsilon]$ -lattices with  $L_i \subset L_{i+1}$  for  $i = 1, \ldots, n-1$  and  $\varepsilon L'_n \subset L'_1$ , with

$$\dim \left( \varepsilon^{-1-N} L_0 / L'_n \right) = \dim \left( W / W_n \right) = (2N+1)r - d_n = a$$

and

$$\dim (L'_i/L'_{i-1}) = \dim (W_i/W_{i-1}) = d_i - d_{i-1} = \lambda_i,$$

for each  $i=2,\ldots,n$ . Therefore there is a unique  $L'\in\Pi^a_{N,\lambda}(L)$  such that  $\rho(L')=(W_1,\ldots,W_n)$ , where L' is given by  $L'_{i+cn}=\varepsilon^{-c}L'_i$  for  $i=1,\ldots,n$  and  $c\in\mathbb{Z}$ . It follows  $\rho$  is injective and  $\mathrm{im}\,\rho=\mathcal{X}$ , which is a projective variety, so  $\Pi^a_{N,\lambda}(L)$  is a projective variety.

**Lemma 4.3.3.** Given  $L \in \mathcal{F}$ ,  $N \in \mathbb{N}$ ,  $\lambda \in \Lambda_0$  and  $a \in \mathbb{N}$  with  $0 \le a \le 2Nr$ ,  $\Pi_{N,\lambda}^a(L)$  is closed in  $\Pi_{N+1,\lambda}^{a+r}(L)$ .

*Proof.* If  $L' \in \Pi_{N,\lambda}^a(L)$ , then  $\varepsilon^{N+1}L_0 \subset \varepsilon^N L_0 \subset L'_0 \subset \varepsilon^{-N}L_0 \subset \varepsilon^{-(N+1)}L_0$  and

$$\dim\left(\frac{\varepsilon^{-(1+n)}L_0}{L_0'}\right) = \dim\left(\frac{L_0}{\varepsilon L_0}\right) + \dim\left(\frac{\varepsilon^{-N}L_0}{L_0'}\right) = r + a,$$

which shows that  $\Pi_{N,\lambda}^a(L) \subset \Pi_{N+1,\lambda}^{a+r}(L)$ . For  $L' \in \Pi_{N+1,\lambda}^{a+r}(L)$ , if additionally  $\varepsilon^N L_0 \subset L'_0 \subset \varepsilon^{-N} L_0$ , then

$$\dim\left(\frac{\varepsilon^{-(N+1)}L_0}{L_0'}\right) = r + \dim\left(\frac{\varepsilon^{-N}L_0}{L_0'}\right),\,$$

which shows  $L' \in \Pi^a_{N,\lambda}(L)$ . Therefore  $\Pi^a_{N,\lambda}(L)$  is the subspace of  $\Pi^{a+r}_{N+1,\lambda}(L)$  defined by the two closed conditions  $\varepsilon^N L_0 \subset L'_0$  and  $L'_0 \subset \varepsilon^{-N} L_0$ , using Lemma 4.2.2.

**Lemma 4.3.4.** Let  $\lambda \in \Lambda_0$ ,  $M, N \in \mathbb{N}$ ,  $L, \tilde{L} \in \mathcal{F}$ ,  $0 \le a \le 2Nr$ ,  $0 \le b \le 2Mr$ .  $\Pi_{N,\lambda}^a(L) \cap \Pi_{M,\lambda}^b(\tilde{L})$  is a closed set in  $\Pi_{N,\lambda}^a(L)$ . In particular, if the intersection is nonempty it is a projective algebraic variety.

*Proof.* Observe that  $\Pi^a_{N,\lambda}(L) \cap \Pi^b_{M,\lambda}(\tilde{L})$  is the subset of  $\Pi^a_{N,\lambda}(L)$  defined by the additional conditions that  $\varepsilon^M \tilde{L}_0 \subset L'_0$  and  $L'_0 \subset \varepsilon^{-M} \tilde{L}_0$ , so is a closed subset of  $\Pi^a_{N,\lambda}(L)$ , using 4.2.2.

**Lemma 4.3.5.** Suppose  $L \in \mathcal{F}$ ,  $N, a \in \mathbb{N}$  and  $\lambda \in \Lambda_0$  with  $a \leq 2Nr$ . For each  $g \in G$ , the natural map (restriction of the action map)  $\Pi_{N,\lambda}^a(L) \to \Pi_{N,\lambda}^a(gL)$  is an isomorphism of projective varieties.

Proof. If  $L' \in \Pi_{N,\lambda}^a(L)$ , then  $\varepsilon^N L_0 \subset L'_0 \subset \varepsilon^{-N} L_0$  and so  $\varepsilon^N g(L_0) \subset g(L'_0) \subset \varepsilon^{-N} g(L_0)$ , so  $gL' \in \Pi_{N,\lambda}^a(L)$ . Thus g and  $g^{-1}$  induce mutually inverse morphisms of varieties  $g: \Pi_{N,\lambda}^a(L) \to \Pi_{N,\lambda}^a(gL)$  and  $g^{-1}: \Pi_{N,\lambda}^a(gL) \to \Pi_{N,\lambda}^a(L)$ .

### 4.3.1 Action through an algebraic group

Let W be the  $\mathbb{C}[\varepsilon]$ -module  $\varepsilon^{-(1+N)}L_0/\varepsilon^N L_0$ .  $\varepsilon^{2N+1}$  acts as zero on W and  $\mathbb{C}[\varepsilon]/\langle \varepsilon^{2N+1}\rangle \otimes_{\mathbb{C}[\varepsilon]} W$  is a free  $\mathbb{C}[\varepsilon]/\langle \varepsilon^{2N+1}\rangle$ -module of rank r. In particular, W is a complex vector space of dimension (2N+1)r.

Each element  $g \in G_L$  determines an endomorphism  $\overline{g}$  of W, given by

$$\overline{g}(x + \varepsilon^N L_0) = g(x) + \varepsilon^N L_0,$$

for each  $x \in \varepsilon^{-1-N}L_0$ . Given  $g, h \in G_L$ ,  $\overline{gh} = \overline{gh}$  and so  $\overline{g}$  is an automorphism of W with  $\overline{g}^{-1} = \overline{g}^{-1}$ . Therefore the map  $\overline{g}: G_L \mapsto \operatorname{GL}(W)$  given by  $g \mapsto \overline{g}$  is a group homomorphism with kernel

$$H_{N,L} := \{ g \in G_L : \overline{g} = 1 \},$$

which consists of those  $g \in G_L$  such that

$$g(x) - x \in \varepsilon^N L_0$$

for each  $x \in \varepsilon^{-1-N}L_0$ . Thus  $G_L/H_{N,L}$  may be identified with a subgroup of GL(W).

**Lemma 4.3.6.**  $G_L/H_{N,L}$  is a connected algebraic group.

*Proof.* As a result of the first isomorphism theorem,  $G_L/H_{N,L}$  is isomorphic to the image of  $G_L$  in GL(W), which will be described explicitly by equations in the coordinate functions on GL(W), with respect to a fixed basis of W.

Let  $\{\tilde{x}_1,\ldots,\tilde{x}_r\}$  be a basis of  $L_n/L_0$  over  $\mathbb{C}$  which is adapted to the flag

$$L_1/L_0 \subset \cdots \subset L_{n-1}/L_0 \subset L_n/L_0$$

so that

$$L_i/L_0 = \langle \tilde{x}_1, \dots, \tilde{x}_{\lambda_1 + \dots \lambda_i} \rangle$$

for each  $i \in \{1, ..., n\}$ . Fix  $x_1, ..., x_r \in L_n$  such that  $\tilde{x}_i = x_i + L_0$  for each i = 1, ..., r, then

$$L_i = L_0 + \langle x_1, \dots, x_{\lambda_1 + \dots + \lambda_i} \rangle$$

for i = 1, ..., r.

Then W has a C-basis  $\{y_j : 1 \leq j \leq (2N+1)r\}$  given by

$$y_{i+cr} = \varepsilon^{-c+N} x_i$$

for each  $i \in \{1, ..., r\}$  and  $c \in \{0, ..., 2N\}$ . Observe that  $\varepsilon y_i = 0$  for  $i \in \{1, ..., r\}$  and  $\varepsilon y_i = y_{i-r}$  for  $r < i \le (2N+1)r$ .

The coordinate functions on GL(W) with respect to this choice of basis are the maps

$$\gamma_{i,j} \colon \operatorname{GL}(W) \to \mathbb{C}$$

for  $i, j \in \mathbb{Z}$  with  $1 \le i, j \le (2N+1)r$ , given by

$$g(y_j) = \sum_{i} \gamma_{ij}(g) y_i,$$

for each j = 1, ..., (2N + 1)r.

The image of  $G_L$  in  $\mathrm{GL}(W)$  is the subgroup defined by the conditions

$$\gamma_{i,j} = \gamma_{i-r,j-r}$$

for each  $i, j \in \{r + 1, \dots, (2N + 1)r\}$  and

$$\gamma_{i,j} = 0$$

for each  $i, j \in \{1, \ldots, (2N+1)r\}$  with  $i > \lambda_1 + \cdots + \lambda_s$  and  $j \leq \lambda_1 + \cdots + \lambda_s$  for some  $s \in \{1, \ldots, r\}$ . This shows that the image of  $G_L$  in GL(W) is a connected algebraic group and therefore  $G_L/H_{N,L}$  is a connected algebraic group.

With respect to the basis  $\{y_i : i \in \{1, \dots, (2N+1)r\}\}$ , the image of  $G_L$  in GL(W) consists of matrices of the form

$$\begin{pmatrix} A_0 & A_1 & A_2 & \cdots & A_{2N} \\ 0 & A_0 & A_1 & \cdots & A_{2N-1} \\ 0 & 0 & A_0 & \cdots & A_{2N-2} \\ 0 & 0 & 0 & \cdots & A_0 \end{pmatrix}$$

where  $A_0 \in \mathcal{P}_{\lambda}$  and  $A_1, \ldots, A_{2N} \in M_r(\mathbb{C})$ , where  $\mathcal{P}_{\lambda}$  is the parabolic subgroup of  $GL_r(\mathbb{C})$  which is the stabiliser of the flag

$$L_1/L_0 \subset \cdots \subset L_{n-1}/L_0 \subset L_n/L_0$$
.

Given  $g \in G$ , the map  $G_L \to G_{gL}$  sending h to  $ghg^{-1}$  is a group isomorphism which descends to an isomorphism of algebraic groups  $G_L/H_{N,L} \to G_{gL}/H_{N,gL}$ . Thus we have a commuting diagram of morphisms of varieties, where the vertical arrows are isomorphisms:

$$G_L/H_{N,L} \times \Pi_{N,\lambda}^a(L) \xrightarrow{\qquad} \Pi_{N,\lambda}^a(L)$$

$$\downarrow \qquad \qquad \downarrow$$

$$G_{gL}/H_{N,gL} \times \Pi_{N,\lambda}^a(gL) \xrightarrow{\qquad} \Pi_{N,\lambda}^a(gL)$$

#### 4.3.2 Incidence in affine flag varieties

**Lemma 4.3.7.** Given  $N, a, b, c \in \mathbb{N}$ ,  $\lambda, \mu \in \Lambda_0$ ,  $L \in \mathcal{F}$  and  $i, j \in \mathbb{Z}$ ,

$$\left\{ (L',L'') \in \Pi_{N,\lambda}^a(L) \times \Pi_{N,\mu}^b(L) : \dim \left( \frac{L_i'}{L_i' \cap L_j''} \right) \le c \right\}$$

is a closed set in the projective variety  $\Pi_{N,\lambda}^a(L) \times \Pi_{N,\mu}^b(L)$ .

Proof. There is  $M \geq N$  so that  $\varepsilon^M L_0 \subset L_i' \subset \varepsilon^{-M} L_0$  and  $\varepsilon^M L_0 \subset L_j'' \subset \varepsilon^{-M} L_0$ . Let a' = a + (M - N)r and b' = b + (M - N)r. Lemma 4.3.3 shows that  $\Pi_{N,\lambda}^a(L)$  is a subvariety of  $\Pi_{M,\lambda}^{a'}(L)$ , so  $\Pi_{N,\lambda}^a(L) \times \Pi_{N,\mu}^b(L)$  is a subvariety of  $\Pi_{M,\lambda}^{a'}(L) \times \Pi_{M,\mu}^{b'}(L)$ .

The fact that

$$\dim\left(\frac{L_i'}{L_i'\cap L_j''}\right) = \dim\left(\frac{L_i'/\varepsilon^M L_0}{L_i'/\varepsilon^M L_0\cap L_j''/\varepsilon^M L_0}\right),\,$$

together with Lemma 4.3.2 and Lemma 4.2.1, shows that

$$\left\{ (L', L'') \in \Pi_{M, \lambda}^{a'}(L) \times \Pi_{M, \mu}^{b'}(L) : \dim \left( \frac{L'_i}{L'_i \cap L''_j} \right) \le c \right\}$$

is closed, so the intersection with  $\Pi^a_{N,\lambda}(L) \times \Pi^b_{N,\mu}(L)$  is closed.

**Lemma 4.3.8.** Given  $N, a, c \in \mathbb{N}$ ,  $\lambda \in \Lambda_0$ ,  $L \in \mathcal{F}$  and  $i, j \in \mathbb{Z}$ ,

$$\left\{ L' \in \Pi_{N,\lambda}^a(L) : \dim \left( \frac{L_i}{L_i \cap L'_j} \right) \le c \right\}$$

and

$$\left\{ L' \in \Pi_{N,\lambda}^a(L) : \dim \left( \frac{L'_j}{L_i \cap L'_j} \right) \le c \right\}$$

are closed sets in  $\Pi_{N,\lambda}^a(L)$ .

*Proof.* This is a result of Lemma 4.2.2, since

$$\dim\left(\frac{L_i}{L_i \cap L'_j}\right) = \dim\left(\frac{L_i/\varepsilon^M L_0}{L_i/\varepsilon^M L_0 \cap L'_j/\varepsilon^M L_0}\right),\,$$

where  $M \geq N$  is chosen so that  $\varepsilon^M L_0 \subset L_i \subset \varepsilon^{-M} L_0$  and  $\varepsilon^M L_0 \subset L'_j \subset \varepsilon^{-M} L_0$  for each  $L' \in \Pi^a_{N,\lambda}(L)$ .

# 4.4 Geometry of orbits

Let  $A \in \Lambda_1$  and  $L \in \mathcal{F}_{ro(A)}$  and write  $\lambda = co(A)$ . Recall that

$$X_A^L = \{ L' \in \mathcal{F}_\lambda : (L, L') \in \mathcal{O}_A \}.$$

**Lemma 4.4.1.** There is  $N \in \mathbb{N}$  such that  $X_A^L \subset \Pi_{N,\lambda}^a(L)$ , where  $a = d_{nN,0}A$ .

*Proof.* There is  $N \in \mathbb{N}$  so that  $a_{i,j} = 0$  whenever |j - i| > nN. If  $(L, L') \in \mathcal{O}_A$  then

$$\dim\left(\frac{L_0'}{L_0'\cap\varepsilon^{-N}L_0}\right) = \dim\left(\frac{L_0'}{L_0'\cap L_{nN}}\right) = \sum_{s>nN,t\leq 0} a_{s,t} = 0,$$

so it follows  $L'_0 \subset \varepsilon^{-N} L_0$ . Similarly,

$$\dim\left(\frac{\varepsilon^N L_0}{\varepsilon^N L_0 \cap L_0'}\right) = \dim\left(\frac{L_{-nN}}{L_{-nN} \cap L_0'}\right) = \sum_{s < -nN, t > 0} a_{s,t} = 0,$$

which shows  $\varepsilon^N L_0 \subset L_0'$ . Moreover,

$$\dim\left(\frac{\varepsilon^{-N}L_0}{L_0'}\right) = \dim\left(\frac{\varepsilon^{-N}L_0}{\varepsilon^{-N}L_0 \cap L_0'}\right) = \sum_{s \le nN, t > 0} a_{s,t} = d_{nN,0}(A),$$

as a result of Lemma 2.1.12.

Assume  $N \in \mathbb{N}$  is chosen so that  $X_A^L \subset \Pi_{N,\lambda}^a(L)$ , where  $a = d_{nN,0}A$ , as in Lemma 4.4.1.

**Lemma 4.4.2.**  $X_A^L$  is a locally closed subset of  $\Pi_{N,\lambda}^a(L)$ . In particular,  $X_A^L$  is a quasiprojective variety.

*Proof.* If  $L' \in \Pi_{N,\lambda}^a(L)$  then

$$L_{-Nn} = \varepsilon^N L_0 \subset L_0' \subset L_1' \subset L_n' \subset \varepsilon^{-1-N} L_0 = L_{(N+1)n}.$$

Therefore  $X_A^L$  is the subset of  $\Pi_{N,\lambda}^a(L)$  defined by the conditions  $\dim(L_i/L_i \cap L_j') = d_{i,j}A$  for  $i: -Nn \le i < j$  and  $\dim(L_j'/L_i \cap L_j') = \bar{d}_{i,j}A$  for  $i: j < i \le (N+1)n$ , for  $j=1,\ldots,n$ .

The set of  $L' \in \Pi_{N,\lambda}^a(L)$  with  $\dim(L_i/\bar{L}_i \cap L'_j) \leq d_{i,j}A$  for  $j = 1, \ldots, n$  and  $i : -Nn \leq i < j$  and  $\dim(L'_j/L_i \cap L'_j) \leq \bar{d}_{i,j}A$  for  $j = 1, \ldots, n$  and  $i : j < i \leq (N+1)n$  is a closed subset of  $\Pi_{N,\lambda}^a(L)$ , as a result of Lemma 4.3.8.

On the other hand, the set of  $L' \in \Pi^a_{N,\lambda}(L)$  satisfying the conditions  $\dim(L_i/L_i \cap L'_j) \geq d_{i,j}A$  (for i < j) and  $\dim(L'_j/L_i \cap L'_j) \geq \bar{d}_{i,j}A$  (for i > j) is open in  $\Pi^a_{N,\lambda}(L)$  since the complement is closed, as a result of Lemma 4.3.8.

Therefore  $X_A^L$  is the intersection of an open set and a closed set in  $\Pi_{N,\lambda}^a(L)$ , so  $X_A^L$  is locally closed. It follows that  $X_A^L$  is an open subset of the projective variety  $\overline{X_A^L}$ , so is a quasiprojective variety as claimed.

Lemma 4.4.3.  $X_A^L$  is irreducible.

Proof. For any  $L' \in X_A^L$ ,  $X_A^L = G_L/H_{N,L} \cdot L'$ . Lemma 4.3.6 shows that  $G_L/H_{N,L}$  is a connected algebraic group which acts algebraically on  $\Pi_{N,\lambda}^a(L)$ . The image of  $G_L/H_{N,L}$  under the morphism  $g \mapsto gL'$  equals  $X_A^L$ , which shows  $X_A^L$  is irreducible since  $G_L/H_{N,L}$  is irreducible.

Consequently,  $\overline{X_A^L}$  is an irreducible projective variety and the action of  $G_L/H_{N,L}$  on  $\Pi_{N,\lambda}^a(L)$  restricts to an algebraic group action on  $\overline{X_A^L}$  for which there are finitely many orbits. In particular,  $\overline{X_A^L} \setminus X_A^L$  is a union of finitely many orbits which are so-called degenerations of the orbit  $X_A^L$ .

## 4.5 Geometry of orbit products

Let  $A, B \in \Lambda_1$  with co(A) = ro(B) and write  $\lambda = co(A)$  and  $\mu = co(B)$ . Fix  $L \in \mathcal{F}_{ro(A)}$ . Recall

$$Y_{A,B}^L = \{(L',L'') \in \mathcal{F}_{\lambda} \times \mathcal{F}_{\mu} : L' \in X_A^L, L'' \in X_B^{L'}\}$$

and

$$X_{A,B}^L = \{L'' \in \mathcal{F}_{\mu} : \exists L' \in X_A^L \text{ with } L'' \in X_B^{L'}\}$$

**Lemma 4.5.1.** There is  $N \in \mathbb{N}$  such that

$$Y_{A,B}^L \subset \Pi_{N,\lambda}^a(L) \times \Pi_{2N,\mu}^{a+b}(L),$$

where  $a = d_{nN,0}(A)$  and  $b = d_{nN,0}(B)$ .

*Proof.* There is  $N \in \mathbb{N}$  such that  $\varepsilon^N L_0 \subset L_0' \subset \varepsilon^{-N} L_0$  and  $\varepsilon^N L_0' \subset L_0'' \subset \varepsilon^{-N} L_0'$  for each  $(L', L'') \in Y_{A,B}^L$ , using Lemma 4.4.1. Set  $a = d_{nN,0}(A)$  and  $b = d_{nN,0}(B)$ .

Then for any  $(L', L'') \in Y_{AB}^L$ ,

$$\varepsilon^{2N}L_0 \subset \varepsilon^N L_0' \subset L_0'' \subset \varepsilon^{-N}L_0' \subset \varepsilon^{-2N}L_0$$

and

$$\dim\left(\frac{\varepsilon^{-2N}L_0}{L_0''}\right) = \dim\left(\frac{\varepsilon^{-N}L_0'}{L_0''}\right) + \dim\left(\frac{\varepsilon^{-2N}L_0}{\varepsilon^{-N}L_0'}\right)$$
$$= \dim\left(\frac{\varepsilon^{-N}L_0'}{L_0''}\right) + \dim\left(\frac{\varepsilon^{-N}L_0}{L_0'}\right)$$
$$= a + b,$$

as a result of Lemma 2.1.12, so  $(L', L'') \in \Pi_{N,\lambda}^a(L) \times \Pi_{2N,\mu}^{a+b}(L)$  as required.

Now assume  $N \in \mathbb{N}$  is chosen so that  $Y_{A,B}^L \subset \Pi_{N,\lambda}^a(L) \times \Pi_{2N,\mu}^{a+b}(L)$ , where  $a = d_{nN,0}(A)$  and  $b = d_{nN,0}(B)$ , using Lemma 4.5.1.

**Lemma 4.5.2.**  $Y_{A,B}^L$  is a locally closed subset of  $\Pi_{N,\lambda}^a(L) \times \Pi_{2N,\mu}^{a+b}(L)$ . In particular,  $Y_{A,B}^L$  is a quasiprojective variety.

Proof.  $Y_{A,B}^L$  is the subset of  $\Pi_{N,\lambda}^a(L) \times \Pi_{2N,\mu}^{a+b}(L)$  consisting of those (L',L'') satisfying the following conditions:  $\dim(L_i/L_i\cap L_j')=d_{i,j}(A)$  for i< j,  $\dim(L_j'/L_i\cap L_j')=\bar{d}_{i,j}(A)$  for i> j,  $\dim(L_i'/L_i'\cap L_j'')=d_{i,j}(B)$  for i< j and  $\dim(L_j''/L_i'\cap L_j'')=\bar{d}_{i,j}(B)$ . Only finitely many conditions are required to define  $Y_{A,B}^L$  since there are only finitely many nonzero entries in A and B modulo the (n,n)-periodicity.

The conditions  $\dim(L_i/L_i \cap L'_j) \leq d_{i,j}(A)$ ,  $\dim(L'_i/L'_i \cap L''_j) \leq d_{i,j}(B)$ ,  $\dim(L'_j/L_i \cap L'_j) \leq \bar{d}_{i,j}(A)$  and  $\dim(L''_j/L'_i \cap L''_j) \leq \bar{d}_{i,j}(B)$  define closed subsets of  $\Pi^a_{N,\lambda}(L) \times \Pi^{a+b}_{2N,\mu}(L)$  for each  $i,j \in \mathbb{Z}$ , as a result of Lemma 4.3.7 and Lemma 4.3.8.

On the other hand, the conditions  $\dim(L_i/L_i \cap L'_j) \geq d_{i,j}(A)$ ,  $\dim(L'_i/L'_i \cap L''_j) \geq d_{i,j}(B)$ ,  $\dim(L'_j/L_i \cap L'_j) \geq \bar{d}_{i,j}(A)$  and  $\dim(L''_j/L'_i \cap L''_j) \geq \bar{d}_{i,j}(B)$  define open subsets of  $\Pi^{a+b}_{N,\lambda}(L) \times \Pi^{a+b}_{2N,\mu}(L)$  for each  $i, j \in \mathbb{Z}$ , using Lemma 4.3.7 and Lemma 4.3.8.

Therefore  $Y_{A,B}^L$  is the intersection of finitely many open sets and finitely many closed sets in  $\Pi_{N,\lambda}^a(L) \times \Pi_{2N,\mu}^{a+b}(L)$ , so  $Y_{A,B}^L$  is locally closed. In particular,  $Y_{A,B}^L$  is a quasiprojective variety.  $\square$ 

**Lemma 4.5.3.** For any  $L' \in X_A^L$ ,  $Y_{A,B}^L = G_L \cdot (\{L'\} \times X_B^{L'})$ .

Proof. Let  $L' \in X_A^L$ , then  $\{L'\} \times X_B^{L'}$  is contained in  $Y_{A,B}^L$  and  $G_L$  acts on  $Y_{A,B}^L$ , so  $G_L \cdot (\{L'\} \times X_B^{L'})$  is contained in  $Y_{A,B}^L$ . If  $(N', N'') \in Y_{A,B}^L$ , then  $N' = \sigma L'$  for some  $\sigma \in G_L$ , since  $N' \in X_A^L$ . Then  $(N', N'') = \sigma(L', \sigma^{-1}N'')$  and  $\sigma^{-1}N'' \in X_B^{\sigma^{-1}N'} = X_B^{L'}$ , so  $(N', N'') \in \sigma \cdot (\{L'\} \times X_B^{L'})$ . Therefore  $Y_{A,B}^L = G_L \cdot (\{L'\} \times X_B^{L'})$  as claimed.

**Proposition 4.5.4.**  $Y_{AB}^{L}$  is irreducible.

Proof. Let  $L' \in X_A^L$ .  $G_L/H_{2N,L}$  is a connected algebraic group acting algebraically on  $\Pi_{N,\lambda}^a(L) \times \Pi_{2N,\mu}^{a+b}(L)$  by Lemma 4.3.6.  $X_B^{L'}$  is an irreducible locally closed subset of  $\Pi_{2N,\mu}^{a+b}(L)$ , so  $\{L'\} \times X_B^{L'}$  is an irreducible locally closed set in  $\Pi_{N,\lambda}^a(L) \times \Pi_{2N,\mu}^{a+b}(L)$ .  $Y_{A,B}^L = G_L \cdot (\{L'\} \times X_B^{L'}) = G_L/H_{2N,L} \cdot (\{L'\} \times X_B^{L'})$ , by Lemma 4.5.3, so it follows that  $Y_{A,B}^L$  is irreducible.

Let  $p_2$  be the projection onto the second factor  $\Pi_{N,\lambda}^a(L) \times \Pi_{2N,\mu}^{a+b}(L) \to \Pi_{2N,\mu}^{a+b}(L)$ .  $p_2$  is a closed morphism since  $\Pi_{N,\lambda}^a(L)$  is a projective variety and therefore complete, by Lemma 4.3.2. Therefore  $p_2(\overline{Y_{A,B}^L}) = \overline{X_{A,B}^L}$ , since  $p_2(Y_{A,B}^L) = X_{A,B}^L$ .

**Lemma 4.5.5.**  $X_{A,B}^{L}$  is irreducible and constructible.

*Proof.* Proposition 4.5.4 shows that  $Y_{A,B}^L$  is irreducible and locally closed, so it follows  $X_{A,B}^L$  is irreducible and constructible, since  $X_{A,B}^L = p_2(Y_{A,B}^L)$ .

**Proposition 4.5.6.** There is a unique open  $G_L$ -orbit in  $X_{A,B}^L$ .

Proof.  $X_{A,B}^L$  consists of finitely many  $G_L$ -orbits and is an irreducible topological space, by Lemma 4.5.5. Consequently,  $X_C^L$  is dense in  $X_{A,B}^L$  for some  $C \in \Lambda_1^{A,B}$ . Lemma 4.4.2 shows that  $X_C^L$  is locally closed in  $X_{A,B}^L$ , so  $X_C^L$  is open in  $X_C^L = X_{A,B}^L$ . Irreducibility of  $X_{A,B}^L$  shows that there is a unique open  $G_L$ -orbit, since two nonempty open sets in  $X_{A,B}^L$  intersect nontrivially, thus any two open  $G_L$  orbits in  $X_{A,B}^L$  coincide.

Let  $A * B \in \Lambda_1$  denote the matrix corresponding to the dense open  $G_L$ -orbit in  $X_{A,B}^L$ , so  $\overline{X_{A*B}^L} = \overline{X_{A,B}^L}$ .

# 4.6 Degenerations of orbits and the combinatorial partial order

**Proposition 4.6.1.** Let  $A, B \in \Lambda_1$  with ro(A) = ro(B) and co(A) = co(B). If  $X_B^L \subset \overline{X_A^L}$  for some  $L \in \mathcal{F}_{ro(A)}$  then  $B \leq A$  with respect to the hook order.

Proof. Let  $\lambda = \operatorname{co}(A)$ ,  $\mu = \operatorname{ro}(A)$  and fix  $L \in \mathcal{F}_{\mu}$ . Assume  $N \in \mathbb{N}$  is chosen so that  $X_A^L \subset \Pi_{N,\lambda}^a(L)$  and  $X_B^L \subset \Pi_{N,\lambda}^b(L)$ , where  $a = d_{nN,0}(A)$  and  $b = d_{nN,0}(B)$ . Then  $X_A^L$  is an open subset of the projective variety consisting of those  $L' \in \Pi_{N,\lambda}^a(L)$  such that

$$\dim\left(\frac{L_i}{L_i \cap L'_j}\right) \le d_{i,j}(A)$$

and

$$\dim\left(\frac{L_j'}{L_i\cap L_j'}\right) \le \bar{d}_{i,j}(A),$$

for all  $i, j \in \mathbb{Z}$ .

Assume  $X_B^L \subset \overline{X_A^L}$ , then

$$d_{i,j}(B) = \dim\left(\frac{L_i}{L_i \cap L'_j}\right) \le d_{i,j}(A)$$

and

$$\bar{d}_{i,j}(B) = \dim\left(\frac{L'_j}{L_i \cap L'_j}\right) \le \bar{d}_{i,j}(A),$$

for each  $i, j \in \mathbb{Z}$ , for any  $L' \in X_B^L$ . So  $B \leq A$  if  $X_B^L \leq \overline{X_A^L}$ .

**Remark 4.6.2.** In practice it seems that the converse of Proposition 4.6.1 is true, so that the closure order and the hook order are the same, although I have not been able to find a proof.

Corollary 4.6.3. The maximum in  $\Lambda_1^{A,B}$  is A \* B.

### 4.7 Associativity of the generic product

Let  $A, B, C \in \Lambda_1$  with co(A) = ro(B) and co(B) = ro(C) and fix  $L \in \mathcal{F}_{ro(A)}$ . Write  $\lambda = co(A)$ ,  $\mu = co(B)$  and  $\nu = co(C)$ . Define

$$Y_{A,B,C}^{L} = \left\{ (L',L'',L''') \in \mathcal{F}^3 : L' \in X_A^L, L'' \in X_B^{L'}, L''' \in X_C^{L''} \right\}$$

and

$$X_{A,B,C}^L = \left\{L^{\prime\prime\prime} \in \mathcal{F}: \exists (L^\prime,L^{\prime\prime}) \in \mathcal{F}^2 \text{ with } (L^\prime,L^{\prime\prime},L^{\prime\prime\prime}) \in Y_{A,B,C}^L \right\}.$$

**Lemma 4.7.1.** There is  $N \in \mathbb{N}$  such that  $Y_{A,B,C}^L$  is contained in  $\Pi_{N,\lambda}^a(L) \times \Pi_{2N,\mu}^{a+b}(L) \times \Pi_{3N,\nu}^{a+b+c}(L)$ , where  $a = d_{nN,0}(A)$ ,  $b = d_{nN,0}(B)$  and  $c = d_{nN,0}(C)$ .

Proof. Lemma 4.4.1 shows that there is  $N \in \mathbb{N}$  such that  $\varepsilon^N L_0 \subset L_0' \subset \varepsilon^{-N} L_0$ ,  $\varepsilon^N L_0' \subset L_0'' \subset \varepsilon^{-N} L_0'$  and  $\varepsilon^N L_0'' \subset L_0''' \subset \varepsilon^{-N} L_0''$  for each  $(L', L'', L'''') \in Y_{A,B,C}^L$ . Using the proof of Lemma 4.5.1, it follows  $L'' \in \Pi_{2N,\mu}^{a+b}(L)$  and  $L''' \in \Pi_{2N,\nu}^{b+c}(L') \subset \Pi_{3N,\nu}^{a+b+c}(L)$ .

Assume  $N \in \mathbb{N}$  is chosen so that  $Y_{A,B,C}^L \subset \Pi_{N,\lambda}^a(L) \times \Pi_{2N,\mu}^{a+b}(L) \times \Pi_{3N,\nu}^{a+b+c}(L)$ , where  $a = d_{nN,0}(A), \ b = d_{nN,0}(B)$  and  $c = d_{nN,0}(C)$ , as in Lemma 4.7.1.

**Lemma 4.7.2.**  $Y_{A,B,C}^L$  is a locally closed subset of  $\Pi_{N,\lambda}^a(L) \times \Pi_{2N,\mu}^{a+b}(L) \times \Pi_{3N,\nu}^{a+b+c}(L)$ . In particular,  $Y_{A,B,C}^L$  is a quasiprojective variety.

*Proof.* Write  $\Pi = \Pi^a_{N,\lambda}(L) \times \Pi^{a+b}_{2N,\mu}(L) \times \Pi_{3N,\nu}(L)$ . Then  $Y^L_{A,B,C}$  consists of those  $(L',L'',L''') \in \Pi$  satisfying the following conditions:

$$\dim\left(\frac{L_i}{L_i \cap L'_j}\right) = d_{i,j}(A),\tag{4.7.1}$$

$$\dim\left(\frac{L_i'}{L_i'\cap L_j''}\right) = d_{i,j}(B),\tag{4.7.2}$$

$$\dim\left(\frac{L_i''}{L_i''\cap L_j'''}\right) = d_{i,j}(C),\tag{4.7.3}$$

for  $(i, j) \in \{1, ..., n\} \times \mathbb{Z}$  with i < j < (N + 1)n, and

$$\dim\left(\frac{L'_j}{L_i \cap L'_j}\right) = \bar{d}_{i,j}(A),\tag{4.7.4}$$

$$\dim\left(\frac{L_j''}{L_i'\cap L_j''}\right) = \bar{d}_{i,j}(B),\tag{4.7.5}$$

$$\dim\left(\frac{L_j'''}{L_i''\cap L_j'''}\right) = \bar{d}_{i,j}(C),\tag{4.7.6}$$

for  $(i, j) \in \{1, \dots, n\} \times \mathbb{Z}$  with -Nn < j < i.

For i < j, the conditions

$$\dim (L_i/L_i \cap L'_j) \le d_{i,j}(A),$$
  
$$\dim (L'_i/L'_i \cap L''_j) \le d_{i,j}(B)$$

and

$$\dim \left( L_i''/L_i'' \cap L_j''' \right) \le d_{i,j}(C)$$

define closed subsets of  $\Pi$ , by Lemma 4.3.7. For i > j, the conditions

$$\dim (L'_j/L_i \cap L'_j) \le \bar{d}_{i,j}(A),$$
  
$$\dim (L''_i/L'_i \cap L''_i) \le \bar{d}_{i,j}(B)$$

and

$$\dim \left( L_j'''/L_i'' \cap L_j''' \right) \le \bar{d}_{i,j}(C)$$

also define closed subsets of  $\Pi$ .

On the other hand, the conditions dim  $\left(L_i/L_i \cap L_j'\right) \geq d_{i,j}(A)$ , dim  $\left(L_i'/L_i' \cap L_j''\right) \geq d_{i,j}(B)$  and dim  $\left(L_i''/L_i'' \cap L_j'''\right) \geq d_{i,j}(C)$  for i < j define open subsets of  $\Pi$ . Similarly, the conditions dim  $\left(L_j''/L_i \cap L_j''\right) \geq \bar{d}_{i,j}(A)$ , dim  $\left(L_j''/L_i' \cap L_j''\right) \geq \bar{d}_{i,j}(B)$  and dim  $\left(L_j'''/L_i'' \cap L_j'''\right) \geq \bar{d}_{i,j}(C)$  for i > j define open subsets of  $\Pi$ .

Therefore  $Y_{A,B,C}^L$  is the intersection of finitely many closed sets in  $\Pi$  with finitely many open subsets of  $\Pi$ , so  $Y_{A,B,C}^L$  is locally closed. In particular,  $Y_{A,B,C}^L$  is a quasiprojective variety.

**Lemma 4.7.3.** For any  $(L', L'', L''') \in Y_{A,B,C}^L$ ,

$$Y_{A.B.C}^{L} = \left\{ \alpha \cdot (L', \beta L'', \beta \gamma L''') : \alpha \in G_L, \beta \in G_{L'}, \gamma \in G_{L''} \right\}.$$

In particular,

$$Y_{A,B,C}^{L} = G_L \cdot \left( \{ L' \} \times Y_{B,C}^{L'} \right)$$

for each  $L' \in X_A^L$ .

Proof. Let  $(L', L'', L''') \in Y_{A,B,C}^L$ . Given  $\alpha \in G_L$ ,  $\beta \in G_{L'}$  and  $\gamma \in G_{L''}$ ,  $(\alpha L', \alpha \beta L'', \alpha \beta \gamma L''')$  is in  $Y_{A,B,C}^L$  since

$$(L, \alpha L') = \alpha(L, L') \in \mathcal{O}_A$$
$$(\alpha L', \alpha \beta L'') = \alpha \beta(L', L'') \in \mathcal{O}_B$$
$$(\alpha \beta L'', \alpha \beta \gamma L''') = \alpha \beta \gamma(L'', L''') \in \mathcal{O}_C$$

For each  $(N', N'', N''')Y_{A,B,C}^L$  there exist  $\sigma_1, \sigma_2, \sigma_3 \in G$  with

$$(L, N') = \sigma_1(L, L')$$
  

$$(N', N'') = \sigma_2(L', L'')$$
  

$$(N'', N''') = \sigma_3(L'', L''').$$

Let  $\alpha = \sigma_1$ ,  $\beta = \sigma_1^{-1}\sigma_2$  and  $\gamma = \sigma_2^{-1}\sigma_3$ , so  $\sigma_2 = \alpha\beta$  and  $\sigma_3 = \alpha\beta\gamma$ . It follows that

$$(N', N'', N''') = (\alpha L', \alpha \beta L'', \alpha \beta \gamma L'''),$$

which proves the first claim. The second claim follows from the first since  $(L'', L''') \in Y_{B,C}^{L'}$  and therefore

$$Y_{B,C}^{L'} = \{ (\beta L'', \beta \gamma L''') : \beta \in G_{L'}, \gamma \in G_{L''} \},$$

as required.

**Proposition 4.7.4.**  $Y_{A,B,C}^L$  is irreducible.

Proof. Write

$$\Pi = \Pi^a_{N,\lambda}(L) \times \Pi^{a+b}_{2N,\mu}(L) \times \Pi^{a+b+c}_{3N,\nu}(L).$$

Lemma 4.3.2 shows that  $\Pi$  is a projective algebraic variety and Lemma 4.3.6 shows that  $G_L/H_{3N,L}$  is a connected algebraic group acting algebraically on  $\Pi$  by the diagonal action.

Let  $L' \in X_A^L$ . As a result of Lemma 4.7.3

$$Y_{A,B,C}^{L} = G_{L} \cdot (\{L'\} \times Y_{B,C}^{L'})$$
  
=  $G_{L}/H_{3N,L} \cdot (\{L'\} \times Y_{B,C}^{L'}).$ 

Proposition 4.5.4 shows that  $Y_{B,C}^{L'}$  is irreducible, so  $\{L'\} \times Y_{B,C}^{L'}$  is irreducible. The image of  $\{L'\} \times Y_{B,C}^{L'}$  under the action of  $G_L/H_{3N,L}$  is irreducible, since  $G_L/H_{3N,L}$  is connected and therefore irreducible. Therefore  $Y_{A,B,C}^{L}$  is irreducible.

Let  $p_3$  be the projection of  $\Pi^a_{N,\lambda}(L) \times \Pi^{a+b}_{2N,\mu}(L) \times \Pi^{a+b+c}_{3N,\nu}(L)$  onto the third factor. By the completeness property of projective varieties,  $p_3$  is a closed morphism. The image of  $Y^L_{A,B,C}$  under  $p_3$  is  $X^L_{A,B,C}$ , so  $p_3(\overline{Y^L_{A,B,C}}) = \overline{X^L_{A,B,C}}$ .

**Lemma 4.7.5.**  $X_{A,B,C}^{L}$  is irreducible and constructible.

*Proof.* Lemma 4.7.2 and Proposition 4.7.4 show that  $Y_{A,B,C}^L$  is locally closed and irreducible. It follows  $X_{A,B}^L$  is irreducible and constructible, since  $X_{A,B,C}^L$  is the image of  $Y_{A,B,C}^L$  under the morphism  $p_3$ .

**Lemma 4.7.6.** There is a unique open and dense  $G_L$ -orbit in  $X_{A,B,C}^L$ .

*Proof.* There are only finitely many  $G_L$ -orbits in  $X_{A,B,C}^L$ . In particular,

$$X_{A,B,C}^L = \bigcup_{D \in \Lambda_1{}^{A,B}} X_{D,C}^L = \bigcup_{D \in \Lambda_1{}^{A,B}} \bigcup_{D' \in \Lambda_1{}^{D,C}} X_{D'}^L$$

and

$$\overline{X^L_{A,B,C}} = \bigcup_{D \in \Lambda_1{}^{A,B}} \bigcup_{D' \in \Lambda_1{}^{D,C}} \overline{X^L_{D'}}.$$

There is  $D \in \Lambda_1$  such that  $\overline{X_D^L} = \overline{X_{A,B,C}^L}$ , since  $X_{A,B,C}^L$  is irreducible, by Lemma 4.7.5. By Lemma 4.4.2,  $X_D^L$  is open in  $\overline{X_D^L} = \overline{X_{A,B,C}^L}$ , so  $X_D^L$  is open in  $X_{A,B,C}^L$ .

If  $X_D^L$  and  $X_{D'}^L$  are open in  $X_{A,B,C}^L$ , then  $X_D^L$  and  $X_{D'}^L$  have nonempty intersection since  $X_{A,B,C}^L$  is irreducible, then  $X_D^L = X_{D'}^L$ .

**Lemma 4.7.7.**  $p_3^{-1}(X_{A*B,C}^L)$  is open in  $\overline{Y_{A,B,C}^L}$ .

Proof. Projection onto the second component is a closed morphism of varieties  $p_2 \colon \overline{Y_{A,B,C}^L} \to \overline{X_{A,B}^L}$  with  $p_2(Y_{A,B,C}^L) = X_{A,B}^L$ . It follows that  $p_3^{-1}(X_{A*B,C}^L)$  is open in  $\overline{Y_{A,B,C}^L}$  since  $p_3^{-1}(X_{A*B,C}^L) = p_2^{-1}(X_{A*B}^L)$  and  $X_{A*B}^L$  is open in  $\overline{X_{A,B}^L}$ .

**Lemma 4.7.8.**  $p_3^{-1}(X_{A,B*C}^L)$  is open in  $\overline{Y_{A,B,C}^L}$ .

Proof.  $p_3^{-1}(X_{A,B*C}^L)$  consists of those  $(L',L'',L''') \in \overline{Y_{A,B,C}^L}$  such that  $\dim\left(L'_i/L'_i\cap L'''_j\right) \geq d_{i,j}(B*C)$  for i < j and  $\dim\left(L'''_j/L'_i\cap L'''_j\right) \geq \bar{d}_{i,j}(B*C)$  for i > j. Each of these conditions defines an open subset of  $\overline{Y_{A,B,C}^L}$  as a result of Lemma 4.3.7 and only finitely many conditions are required to determine  $p_3^{-1}(X_{A,B*C}^L)$ , as before. Therefore  $p_3^{-1}(X_{A,B*C}^L)$  is the intersection of finitely many open sets in  $\overline{Y_{A,B,C}^L}$ , so is open as claimed.

Proposition 4.7.9.  $X_{A*(B*C)}^{L} = X_{(A*B)*C}^{L}$ 

Proof. The unique open  $G_L$ -orbit in  $X_{A*B,C}^L$  is  $X_{(A*B)*C}^L$ , so  $p_3^{-1}(X_{(A*B)*C}^L)$  is open in  $p_3^{-1}(X_{A*B,C}^L)$ . Lemma 4.7.7 shows that  $p_3^{-1}(X_{A*B,C}^L)$  is open in  $\overline{Y_{A,B,C}^L}$ , so  $p_3^{-1}(X_{(A*B)*C}^L)$  is open in  $\overline{Y_{A,B,C}^L}$ .

Similarly,  $X_{A*(B*C)}^{L}$  is open in  $X_{A,B*C}^{L}$ , so  $p_{3}^{-1}(X_{A*(B*C)}^{L})$  is open in  $p_{3}^{-1}(X_{A,B*C}^{L})$ . Lemma 4.7.8 shows that  $p_{3}^{-1}(X_{A,B*C}^{L})$  is open in  $\overline{Y_{A,B,C}^{L}}$ , so it follows  $p_{3}^{-1}(X_{A*(B*C)}^{L})$  is open in  $\overline{Y_{A,B,C}^{L}}$ .

Therefore  $f^{-1}(X_{A*(B*C)}^L)$  has nonempty intersection with  $f^{-1}(X_{(A*B)*C}^L)$ , since  $Y_{A,B,C}^L$  is irreducible by Proposition 4.7.4. It follows that the  $G_L$ -orbits  $X_{A*(B*C)}^L$  and  $X_{(A*B)*C}^L$  have nonempty intersection and therefore  $X_{A*(B*C)}^L$  equals  $X_{(A*B)*C}^L$ .

## 4.8 The generic affine algebra

The generic affine algebra of rank r and period n, denoted by  $\hat{G}(n,r)$ , is a free  $\mathbb{Z}$ -module with basis  $\{e_A : A \in \Lambda_1\}$  and  $\mathbb{Z}$ -bilinear multiplication given by

$$e_A * e_B = e_{A*B}$$

for  $A, B \in \Lambda_1$  with co(A) = ro(B), and

$$e_A * e_B = 0$$

for  $A, B \in \Lambda_1$  with  $co(A) \neq ro(B)$ .

**Theorem 4.8.1.** The generic algebra  $\hat{G}(n,r)$  is an associative  $\mathbb{Z}$ -algebra with 1, with

$$1 = \sum_{\lambda \in \Lambda_0} 1_{\lambda}$$

where

$$1_{\lambda} = e_{D_{\lambda}},$$

for each  $\lambda \in \Lambda_0$ .

*Proof.* Let  $A, B, C \in \Lambda_1$ . If  $co(A) \neq ro(B)$  or  $co(B) \neq ro(C)$ , then

$$(e_A * e_B) * e_C = 0 = e_A * (e_B * e_C),$$

so we may now suppose co(A) = ro(B) and co(B) = ro(C).

As a result of Proposition 4.7.9,

$$(e_A * e_B) * e_C = e_{(A*B)*C}$$
  
=  $e_{A*(B*C)}$   
=  $e_A * (e_B * e_C)$ ,

so it follows  $\hat{G}(n,r)$  is an associative  $\mathbb{Z}$ -algebra.

The expression for the multiplicative identity follows from Lemma 2.1.17, since

$$e_A * \left(\sum_{\lambda \in \Lambda_0} 1_{\lambda}\right) = e_A * 1_{\operatorname{co}(A)} = e_A$$

and

$$\left(\sum_{\lambda \in \Lambda_0} 1_{\lambda}\right) * e_A = 1_{\text{ro}(A)} * e_A = e_A,$$

for each  $A \in \Lambda_1$ .

### 4.8.1 A categorical perspective

**Proposition 4.8.2.** The following constitutes a small category: the set of objects is  $\Lambda_0$  and the set of morphisms is  $\Lambda_1$ . Given compositions  $\lambda, \mu \in \Lambda_0$ , the morphisms with source  $\mu$  and target  $\lambda$  are those matrices  $A \in \Lambda_1$  with  $co(A) = \mu$  and  $ro(A) = \lambda$ . Given  $\lambda, \mu, \nu \in \Lambda_0$  and  $A, B \in \Lambda_1$  with  $co(B) = \nu$ ,  $ro(B) = \mu = co(A)$  and  $ro(A) = \lambda$ , their composition is A \* B, with source  $co(A * B) = co(B) = \nu$  and target  $ro(A * B) = ro(A) = \lambda$ .

*Proof.* Proposition 4.7.9 shows that the generic product \* is associative. For each object  $\lambda \in \Lambda_0$ , the identity morphism  $\lambda \to \lambda$  is the diagonal matrix  $D_{\lambda}$ .

Then the generic affine algebra  $\hat{G}(n,r)$  may be realised as the  $\mathbb{Z}$ -algebra of this category. Observe that there are only finitely many objects in this category and distinct objects are non-isomorphic, so the isomorphism classes in this category are in one to one correspondence with  $\Lambda_0$ . The  $\mathbb{Z}$ -algebra of this category is the free  $\mathbb{Z}$ -module on  $\Lambda_1$  with  $\mathbb{Z}$ -bilinear multiplication given by the generic product \*.

# Chapter 5

# Towards a realisation of affine zero Schur algebras

The purpose of this chapter is to study the link between the generic affine algebra  $\hat{G}(n,r)$  and the affine 0-Schur algebra  $\hat{S}_0(n,r)$ .

The main result is the construction of an isomorphism of  $\mathbb{Z}$ -algebras from  $\hat{G}(n,r)$  to  $\hat{S}_0(n,r)$  such that  $E_i \mapsto E_i$ ,  $F_i \mapsto F_i$  and  $1_{\lambda} \mapsto 1_{\lambda}$ , in the case that  $n, r \geq 1$  with r < n.

## 5.1 Preliminary results on the generic affine algebra

Recall that the generic affine algebra  $\hat{G}(n,r)$  is an associative  $\mathbb{Z}$ -algebra with a multiplicative basis  $\{e_A : A \in \Lambda_1\}$  over  $\mathbb{Z}$ , where

$$e_A * e_B = e_{A*B}$$

for  $A, B \in \Lambda_1$  with co(A) = ro(B), and

$$e_A * e_B = 0$$

for  $A, B \in \Lambda_1$  with  $co(A) \neq ro(B)$ .

#### 5.1.1 Elementary basis elements

For  $i \in \{1, ..., n\}$  and  $\lambda \in \Lambda_0$  such that  $\lambda_{i+1} > 0$ , define

$$E_{i,\lambda} = e_{D_{\lambda} + \mathcal{E}_{i,i+1} - \mathcal{E}_{i+1,i+1}}$$

and let

$$E_i = \sum_{\lambda \in \Lambda_0: \lambda_{i+1} > 0} E_{i,\lambda}$$

for each  $i \in \{1, \ldots, n\}$ 

For  $i \in \{1, ..., n\}$  and  $\lambda \in \Lambda_0$  such that  $\lambda_i > 0$ , define

$$F_{i,\lambda} = e_{D_{\lambda} + \mathcal{E}_{i+1,i} - \mathcal{E}_{i,i}}$$

and let

$$F_i = \sum_{\lambda \in \Lambda_0: \lambda_i > 0} F_{i,\lambda}$$

for each  $i \in \{1, \ldots, n\}$ .

**Lemma 5.1.1.** Let  $i \in \{1, ..., n\}$  and  $A \in \Lambda_1$  and write  $\mu = ro(A)$ . If  $\mu_{i+1} = 0$  then  $E_i * e_A = 0$ . If  $\mu_{i+1} > 0$ , then

$$E_i * e_A = e_{A + \mathcal{E}_{i,p} - \mathcal{E}_{i+1,p}},$$

where

$$p = \max\{j \in \mathbb{Z} : a_{i+1,j} > 0\}.$$

If  $\mu_i = 0$  then  $F_i * e_A = 0$ . If  $\mu_i > 0$  then

$$F_i * e_A = e_{A + \mathcal{E}_{i+1,q} - \mathcal{E}_{i,q}},$$

where

$$q = \min\{j \in \mathbb{Z} : a_{i,j} > 0\}.$$

*Proof.* Suppose  $\mu_{i+1} > 0$ . Recall that the corresponding product in the affine q-Schur algebra  $\hat{S}_q(n,r)$  is

$$E_i \cdot e_A = \sum_{j \in \mathbb{Z}: a_{i+1,j} > 0} q^{\sum_{t>j} a_{i,t}} [[a_{i,j} + 1]] e_{A+\mathcal{E}_{i,j} - \mathcal{E}_{i+1,j}},$$

by Lemma 3.1.2.

Suppose  $B \in \Lambda_1$  with  $B = A + \mathcal{E}_{i,j} - \mathcal{E}_{i+1,j}$  for some  $j \in \mathbb{Z}$ . For  $s \in \{1, ..., n\}$  and  $t \in \mathbb{Z}$ ,

$$d_{s,t}(B) = \begin{cases} d_{s,t}(A) + 1 & : s = i \text{ and } t < j, \\ d_{s,t}(A) & : \text{ otherwise,} \end{cases}$$

and

$$\bar{d}_{s,t}(B) = \begin{cases} \bar{d}_{s,t}(A) - 1 & : s = i \text{ and } t \ge j, \\ \bar{d}_{s,t}(A) & : \text{ otherwise.} \end{cases}$$

It follows that if j' < j, then

$$A + \mathcal{E}_{i,j'} - \mathcal{E}_{i+1,j'} < A + \mathcal{E}_{i,j} - \mathcal{E}_{i+1,j}.$$

Therefore, the product in  $\hat{G}(n,r)$  is given by

$$E_i * e_A = e_{A + \mathcal{E}_{i,p} - \mathcal{E}_{i+1,p}},$$

where

$$p = \max\{j \in \mathbb{Z} : a_{i+1,j} > 0\}.$$

The argument for the action of  $F_i$  is similar, but there is a pleasing symmetry in the two proofs.

Now suppose  $\mu_i > 0$ . Using Lemma 3.1.2,

$$F_i \cdot e_A = \sum_{j \in \mathbb{Z}: a_{i,j} > 0} q^{\sum_{t < j} a_{i+1,t}} [[a_{i+1,j} + 1]] e_{A+\mathcal{E}_{i+1,j} - \mathcal{E}_{i,j}},$$

in  $\hat{S}_q(n,r)$ .

Suppose  $B \in \Lambda_1$  with  $B = A + \mathcal{E}_{i+1,j} - \mathcal{E}_{i,j}$ , for some  $j \in \mathbb{Z}$ . Then for  $i \in \{1, ..., n\}$  and  $j \in \mathbb{Z}$ ,

$$d_{s,t}(B) = \begin{cases} d_{s,t}(A) - 1 & : s = i \text{ and } t < j, \\ d_{s,t}(A) & : \text{ otherwise,} \end{cases}$$

and

$$\bar{d}_{s,t}(B) = \begin{cases} \bar{d}_{s,t}(A) + 1 & : s = i \text{ and } t \ge j, \\ \bar{d}_{s,t}(A) & : \text{ otherwise.} \end{cases}$$

Then if j' < j it follows

$$A + \mathcal{E}_{i+1,j'} - \mathcal{E}_{i,j'} > A + \mathcal{E}_{i+1,j} - \mathcal{E}_{i,j},$$

so the terms with nonzero coefficients in the product  $F_i \cdot e_A$  are totally ordered and the maximum is

$$F_i * e_A = e_{A + \mathcal{E}_{i+1,q} - \mathcal{E}_{i,q}},$$

where  $q = \min\{j \in \mathbb{Z} : a_{i,j} > 0\}.$ 

#### 5.1.2 Transpose involution

Let S be the  $\mathbb{Z}$ -module automorphism of  $\hat{G}(n,r)$  given by

$$S(e_A) = e_{A^{\top}}$$

for each  $A \in \Lambda_1$ .

**Lemma 5.1.2.** The map S is a  $\mathbb{Z}$ -algebra antihomomorphism. In particular,

$$e_{A^{\top}} * e_{B^{\top}} = e_B * e_A,$$

for each  $A, B \in \Lambda_1$ .

*Proof.* Lemma 3.1.8 show that the transpose preserves the partial order on  $\Lambda_1$  and so

$$(B*A)^{\top} = A^{\top}*B^{\top},$$

using Lemma 3.1.1.

For any  $A \in \Lambda_1$ ,

$$S(S(e_A)) = e_{(A^\top)^\top} = e_A,$$

so  $S \circ S$  is the identity map on  $\hat{S}_q(n,r)$ .

For each  $i \in \{1, ..., n\}$  and  $\lambda \in \Lambda_0$  with  $\lambda_{i+1} > 0$ ,

$$S(E_{i,\lambda}) = F_{i,\lambda+\alpha_i},$$

for each  $i \in \{1, ..., n\}$  and  $\lambda \in \Lambda_0$  with  $\lambda_i > 0$ ,

$$S(F_{i,\lambda}) = E_{i,\lambda-\alpha_i}$$
, and

and

$$S(1_{\lambda}) = 1_{\lambda},$$

for each  $\lambda \in \Lambda_0$ .

**Lemma 5.1.3.** Let  $i \in \{1, ..., n\}$  and  $A \in \Lambda_1$  and write  $\lambda = co(A)$ . If  $\lambda_j = 0$  then  $e_A * E_j = 0$ . If  $\lambda_j > 0$  then

$$e_A * E_j = e_{A + \mathcal{E}_{p,j+1} - \mathcal{E}_{p,j}},$$

where

$$p = \min\{i \in \mathbb{Z} : a_{i,i} > 0\}.$$

If 
$$\lambda_{j+1} = 0$$
 then  $e_A * F_j = 0$ . If  $\lambda_{j+1} > 0$  then

$$e_A * F_j = e_{A + \mathcal{E}_{p',j} - \mathcal{E}_{p',j+1}},$$

where

$$p' = \max\{i \in \mathbb{Z} : a_{i,i+1} > 0\}.$$

*Proof.* This follows immediately on applying the transpose involution to the formulas for the action of  $E_i$  and  $F_i$  on the left given in Lemma 5.1.1.

Equally, this result can be proven directly using the formulas for the action of  $E_i$  and  $F_i$  on the right in Lemma 3.1.3, as in the proof of Lemma 5.1.1.

#### 5.1.3 Shifting and periodicity

For each  $\lambda \in \Lambda_0$ , define

$$R_{\lambda} = e_{[1]D_{\lambda}} = e_{\lambda_1 \mathcal{E}_{0,1} + \dots + \lambda_n \mathcal{E}_{n-1,n}}$$

and set

$$R = \sum_{\lambda \in \Lambda_0} R_{\lambda}.$$

**Lemma 5.1.4.** For each  $A \in \Lambda_1$ ,

$$R * e_A = e_{[1]A}$$

and

$$e_A * R = e_{A[-1]}.$$

*Proof.* Lemma 3.1.12 shows that the same formulas hold in  $\hat{S}_q(n,r)$ , then the result follows for the generic multiplication \*, since each product  $R*e_A$  and  $e_A*R$  is supported on one orbit, so the generic multiplication and the product on  $\hat{S}_q(n,r)$  are the same in this instance.

**Lemma 5.1.5.** The element R of  $\hat{G}(n,r)$  is invertible, with

$$R * S(R) = 1 = S(R) * R.$$

*Proof.* Observe that

$$S(R) = \sum_{\lambda \in \Lambda_0} e_{[-1]D_\lambda},$$

 $\mathbf{SO}$ 

$$R * S(R) = \sum_{\lambda \in \Lambda_0} Re_{[-1]D_{\lambda}}$$
$$= \sum_{\lambda \in \Lambda_0} e_{D_{\lambda}}$$
$$= 1,$$

using Lemma 5.1.4. Similarly,

$$\begin{split} S(R)*R &= \sum_{\lambda \in \Lambda_0} e_{D_{\lambda}[1]} * R \\ &= \sum_{\lambda \in \Lambda_0} e_{D_{\lambda}} \\ &= 1. \end{split}$$

Let  $\tau$  be the  $\mathbb{Z}$ -algebra automorphism of  $\hat{G}(n,r)$  defined by

$$\tau(e_A) = R^{-1} e_A R$$

for each  $A \in \Lambda_1$ . Observe that  $\tau$  is unipotent of order n, by the (n, n)-periodicity condition on  $\Lambda_1$ .

It follows from Lemma 5.1.4 that

$$\tau(E_{i,\lambda}) = E_{i-1,\lceil 1\rceil\lambda}$$

for  $i \in \{1, ..., r\}$  and  $\lambda \in \Lambda_0$  with  $\lambda_{i+1} > 0$ ,

$$\tau(F_{i,\lambda}) = F_{i-1,\lceil 1\rceil\lambda}$$

for  $i \in \{1, ..., n\}$  and  $\lambda \in \Lambda_0$  with  $\lambda_i > 0$ , and

$$\tau(1_{\lambda}) = 1_{[1]\lambda}$$

for each  $\lambda \in \Lambda_0$ , so

$$\tau(E_i) = E_{i-1}$$
$$\tau(F_i) = F_{i-1}$$

for  $i \in \{1, ..., r\}$ .

# 5.2 Multiplicative bases in affine zero Schur algebras: example

Recall that the affine 0-Schur algebra  $\hat{S}_0(n,r)$  is defined to be the associative  $\mathbb{Z}$ -algebra

$$\hat{S}_0(n,r) = \mathbb{Z}[q]/(q) \otimes_{\mathbb{Z}[q]} \hat{S}_q(n,r).$$

In particular,  $\hat{S}_0(n,r)$  has a  $\mathbb{Z}$ -basis

$$\{e_A: A \in \Lambda_1\}$$

with  $\mathbb{Z}$ -bilinear product given by

$$e_A e_B = \sum_{C \in \Lambda_1} \gamma_{A,B,C}(0) e_C$$

for  $A, B, C \in \Lambda_1$ ; where  $\gamma_{A,B,C} \in \mathbb{Z}[q]$  are the structure polynomials of the affine q-Schur algebra  $\hat{S}_q(n,r)$  with respect to this distinguished basis.

The multiplicative identity in  $\hat{S}_0(n,r)$  is

$$\sum_{\lambda \in \Lambda_0} 1_{\lambda}.$$

The result of the shifting lemma, Lemma 3.1.12, also holds in  $\hat{S}_0(n,r)$ . In particular,

$$Re_A = e_{[1]A}$$

and

$$e_A R = e_{A[-1]},$$

for each  $A \in \Lambda_1$ .

Now assume r = 1, so

$$\Lambda_1(n,1) = \{ \mathcal{E}_{i,j} : (i,j) \in \mathbb{Z} \times \{1,\ldots,n\} \}$$

and

$$\Lambda_0(n,1) = \{\varepsilon_n, \dots, \varepsilon_1\}.$$

**Lemma 5.2.1.** The distinguished basis  $\{e_A : A \in \Lambda_1(n,1)\}$  is a multiplicative basis of  $\hat{S}_0(n,1)$ . More precisely,

$$e_{\mathcal{E}_{i,j}}e_{\mathcal{E}_{j,k}}=e_{\mathcal{E}_{i,k}}$$

for  $i, j, k \in \mathbb{Z}$ , and

$$e_{\mathcal{E}_{i,i}}e_{\mathcal{E}_{k,l}}=0$$

for  $i, j, k, l \in \mathbb{Z}$  with  $j \neq k$  modulo n.

*Proof.* Let  $i, j \in \mathbb{Z}$ . Lemma 3.1.12 shows that

$$e_{\mathcal{E}_{i,j}} = R^{j-i} 1_{\varepsilon_j},$$

where the subscript of  $\varepsilon_j$  is taken modulo n.

If  $i, j, k, l \in \mathbb{Z}$  with  $j \neq k$  modulo n, then

$$co(\mathcal{E}_{i,j}) = \varepsilon_i \neq \varepsilon_k = ro(\mathcal{E}_{k,l}),$$

SO

$$e_{\mathcal{E}_{i,i}}e_{\mathcal{E}_{k,l}}=0.$$

Finally, let  $i, j, k \in \mathbb{Z}$ . Then

$$\begin{aligned} e_{\mathcal{E}_{i,j}} e_{\mathcal{E}_{j,k}} &= R^{j-i} 1_{\varepsilon_j} R^{k-j} 1_{\varepsilon_k} \\ &= R^{j-i} R^{k-j} 1_{\varepsilon_k} \\ &= R^{k-i} 1_{\varepsilon_k} \\ &= e_{\mathcal{E}_{i,k}}. \end{aligned}$$

This proves that the basis  $\{e_A : A \in \Lambda_1(n,1)\}$  of  $\hat{S}_0(n,1)$  is a multiplicative basis.

This result also shows that the product in  $\hat{S}_0(n,1)$  is the same as the generic product, since

$$e_A e_B = e_{A*B}$$

if co(A) = ro(B), and

$$e_A e_B = 0$$

if  $co(A) \neq ro(B)$ , for  $A, B \in \Lambda_1(n, 1)$ .

Corollary 5.2.2. For each integer  $n \ge 1$ ,

$$\hat{S}_0(n,1) = \hat{G}(n,1).$$

*Proof.* This is a consequence of Lemma 5.2.1 and the comment which follows the proof.

### 5.3 Aperiodicity in the generic affine algebra

**Definition 5.3.1.** An element  $A \in \Lambda_1$  is aperiodic if for each  $l \in \mathbb{Z} \setminus \{0\}$  there exists  $i \in \mathbb{Z}$  such that  $a_{i,i+l} = 0$ .

An element of  $\hat{G}(n,r)$  is said to be aperiodic if it is a  $\mathbb{Z}$ -linear combination of basis elements  $e_A$  corresponding to the aperiodic elements in  $\Lambda_1$ . For example, the elements  $1_{\lambda}$ ,  $E_{i,\lambda}$  and  $F_{i,\lambda}$  are all aperiodic. When r < n, any element  $A \in \Lambda_1$  is aperiodic since there must be a zero row and a zero column in A.

**Lemma 5.3.2.** Suppose  $A \in \Lambda_1$  is aperiodic and write  $\mu = \text{ro}(A)$ . If  $\mu_{i+1} > 0$ , then  $E_i * e_A$  is aperiodic. If  $\mu_i > 0$ , then  $F_i * e_A$  is aperiodic.

*Proof.* Let  $A \in \Lambda_1$  be aperiodic and let  $\mu = ro(A)$ .

Suppose  $\mu_{i+1} > 0$ . There is  $p \in \mathbb{Z}$  such that  $a_{i+1,p} > 0$  and  $a_{i+1,p'} = 0$  whenever p' > p. Lemma 3.1.2 shows that  $E_i * e_A = e_B$ , where  $B = A + \mathcal{E}_{i,p} - \mathcal{E}_{i+1,p}$ . Let  $l \in \mathbb{Z} \setminus \{0\}$ . If  $l \notin \{p-i, p-i-1\}$ , then  $b_{s,s+l} = a_{s,s+l}$  for each  $s \in \mathbb{Z}$ , so there is  $s \in \mathbb{Z}$  such that  $b_{s,s+l} = a_{s,s+l} = 0$ , since A is aperiodic. If l = p - i, then  $b_{i+1,i+1+l} = b_{i+1,p+1} = a_{i+1,p+1} = 0$ , by maximality of p. If l = p - i - 1, there is  $s \neq i+1$  such that  $a_{s,s+l} = 0$ , since A is aperiodic and  $a_{i+1,i+1+l} = a_{i+1,p} > 0$ , so  $b_{s,s+l} = a_{s,s+l} = 0$ . Therefore,  $B = A + \mathcal{E}_{i,p} - \mathcal{E}_{i+1,p}$  is aperiodic.

Suppose  $\mu_i > 0$ . Lemma 3.1.2 shows that  $F_i * e_A = e_C$  where  $C = A + \mathcal{E}_{i+1,p} - \mathcal{E}_{i,p}$  and  $p = \min\{p' \in \mathbb{Z} : a_{i,p'} > 0\}$ . Let  $l \in \mathbb{Z} \setminus \{0\}$ . If  $l \notin \{p-i, p-i-1\}$  then  $c_{s,s+l} = a_{s,s+l}$  for each  $s \in \mathbb{Z}$ , so there is  $s \in \mathbb{Z}$  such that  $c_{s,s+p} = a_{s,s+p} = 0$ , by aperiodicity of A. If l = p-i, then  $a_{i,i+l} = a_{i,p} > 0$ , so there is  $s \neq i$  such that  $a_{s,s+l} = 0$ . Then  $c_{s,s+l} = a_{s,s+l} = 0$ . Finally, if l = p-i-1, then  $c_{i,i+l} = a_{i,p-1} = 0$  by minimality of p. Thus C is aperiodic as required.  $\square$ 

Suppose  $\lambda \in \Lambda_0$  and

$$\omega = \omega_1 \cdots \omega_m,$$

where

$$\omega_1,\ldots,\omega_m\in\{E_1,\ldots,E_n\}\cup\{F_1,\ldots,F_n\}.$$

Either  $\omega * 1_{\lambda} = 0$  or  $\omega * 1_{\lambda} = e_A$  for some  $A \in \Lambda_1$ , where A is aperiodic, as a result of Lemma 5.3.2.

The next step is to prove a converse of this result. It will be shown that each of the aperiodic basis elements  $e_A$  in  $\hat{G}(n,r)$  can be expressed in the form  $\omega 1_{\lambda}$ , where  $\omega$  is a word in  $E_1, \ldots E_n$  and  $F_1, \ldots, F_n$  and  $\lambda = \operatorname{co}(A)$ . This will be proven by induction on the weight of a matrix by showing how any aperiodic basis element can be written as the product of some  $E_i$  or  $F_i$  with an aperiodic basis element of strictly smaller weight.

**Definition 5.3.3.** For each  $A \in \Lambda_1$ , define the weight of A to be the non negative integer

$$\operatorname{wt}(A) = \sum_{i \in \{1, \dots, n\}, j \in \mathbb{Z}} |j - i| a_{i,j}.$$

Observe that

$$wt(A) = \sum_{[i,j]:i < j} (j-i)a_{i,j} + \sum_{[i,j]:i > j} (i-j)a_{i,j}.$$

Also write  $\operatorname{wt}(e_A) = \operatorname{wt}(A)$ . Then  $1_{\lambda}$  has weight 0, and  $E_{i,\lambda}$  and  $F_{i,\lambda}$  have weight 1. In fact, the converse also holds: If  $\operatorname{wt}(A) = 0$  then  $e_A = 1_{\lambda}$  where  $\lambda = \operatorname{co}(A)$ , and if  $\operatorname{wt}(A) = 1$  then  $e_A$  is  $E_{i,\lambda}$  for some i, where  $\lambda = \operatorname{co}(A)$ .

**Lemma 5.3.4.** Let  $A \in \Lambda_1$  and write  $\mu = ro(A)$ . Suppose  $\mu_{i+1} > 0$  and set

$$p = \max\{p' \in \mathbb{Z} : a_{i+1,p'} > 0\}.$$

If p > i then

$$\operatorname{wt}(E_i * e_A) = 1 + \operatorname{wt}(e_A)$$

and if  $p \leq i$  then

$$\operatorname{wt}(E_i * e_A) = -1 + \operatorname{wt}(e_A).$$

*Proof.* Lemma 5.1.1 shows that

$$E_i * e_A = e_{A + \mathcal{E}_{i,p} - \mathcal{E}_{i+1,p}}$$

SO

$$\operatorname{wt}(E_i * e_A) - \operatorname{wt}(e_A) = |p - i| - |p - i - 1|,$$

which equals 1 if p > i and equals -1 if  $p \le i$ .

**Lemma 5.3.5.** Let  $A \in \Lambda_1$  and  $\mu = \text{ro}(A)$ . Suppose  $i \in \{1, ..., n\}$  is such that  $\mu_i > 0$  and let

$$q = \min\{q' \in \mathbb{Z} : a_{i,q'} > 0\}.$$

If  $q \leq i$  then

$$\operatorname{wt}(F_i * e_A) = \operatorname{wt}(e_A) + 1$$

and if q > i then

$$\operatorname{wt}(F_i * e_A) = \operatorname{wt}(e_A) - 1.$$

*Proof.* Again using Lemma 5.1.1,

$$F_i * e_A = e_{A + \mathcal{E}_{i+1,q} - \mathcal{E}_{i,q}},$$

SO

$$\operatorname{wt}(F_i * e_A) - \operatorname{wt}(e_A) = |q - i - 1| - |q - i|,$$

which equals -1 if q > i and equals 1 if  $q \le i$ .

**Lemma 5.3.6.** If  $A \in \Lambda_1$  is aperiodic, then

$$e_A = \omega_1 \cdots \omega_m 1_{\lambda}$$

for some

$$\omega_1,\ldots,\omega_m\in\{E_1,\ldots,E_n\}\cup\{F_1,\ldots,F_n\},$$

where  $\lambda = co(A)$  and m = wt(A).

*Proof.* The proof uses induction on the weight of A. If  $\operatorname{wt}(A) = 0$  then  $A = D_{\lambda}$ , where  $\lambda = \operatorname{co}(A)$ , so

$$e_A = 1_{\lambda}$$
.

Assume wt(A) > 0. Then A has at least one nonzero entry which is not on the diagonal. Suppose the upper part of A is nonzero and set

$$h^+ = \max\{j - i : a_{i,j} \neq 0\}.$$

There is  $i \in \{1, ..., n\}$  such that  $a_{i,i+h^+} > 0$  and  $a_{i+1,i+1+h^+} = 0$ , using the aperiodicity property of A. Let p be the smallest integer such that p > i,  $a_{i,p} > 0$  and  $a_{i+1,j} = 0$  for j > p. Then

$$e_A = E_i * e_B$$

where  $B = A + \mathcal{E}_{i+1,p} - \mathcal{E}_{i,p}$ . Moreover, B is aperiodic and

$$\operatorname{wt}(B) = \operatorname{wt}(A) - 1,$$

using Lemma 5.3.4.

Next suppose the lower part of A is nonzero and set

$$h^{-} = \max\{i - j : a_{i,j} > 0\}.$$

There is  $i \in \{1, ..., n\}$  such that  $a_{i,i-h^-} = 0$  and  $a_{i+1,i+1-h^-} > 0$ , by the aperiodicity property of A. Let q be the largest integer such that q < i + 1,  $a_{i+1,q} > 0$  and  $a_{i,j} = 0$  for j < q. Then  $q \ge i - h^-$  and

$$e_A = F_i e_B$$

where

$$B = A + \mathcal{E}_{i,q} - \mathcal{E}_{i+1,q}.$$

Observe B is aperiodic and

$$\operatorname{wt}(B) = \operatorname{wt}(A) - 1,$$

by Lemma 5.3.5.

Therefore, if wt(A) > 0 there exists an aperiodic element  $B \in \Lambda_1$  with

$$\operatorname{wt}(B) = \operatorname{wt}(A) - 1$$

and such that

$$e_A = \omega e_B$$

for some  $\omega \in \{E_1, \ldots, E_n\} \cup \{F_1, \ldots, F_n\}$ .

It follows that any aperiodic basis element  $e_A$  is the product of a word of length  $\operatorname{wt}(A)$  in  $E_1, \ldots, E_n$  and  $F_1, \ldots, F_n$  with the idempotent  $1_{\lambda}$ , where  $\lambda = \operatorname{co}(A)$ .

**Proposition 5.3.7.** The subalgebra of  $\hat{G}(n,r)$  generated by  $E_i$  and  $F_i$  for  $i \in \{1,\ldots,n\}$  and  $1_{\lambda}$  for  $\lambda \in \Lambda_0$  has  $\mathbb{Z}$ -basis

$$\{e_A : A \in \Lambda_1 \text{ is aperiodic.}\}.$$

*Proof.* By definition, this subalgebra is spanned by the nonzero products in  $E_i$  and  $F_i$  for  $i \in \{1, \ldots, n\}$  and  $1_{\lambda}$  for  $\lambda \in \Lambda_0$ , which are exactly the aperiodic basis elements, by Lemma 5.3.2 and Lemma 5.3.6.

**Lemma 5.3.8.** In the case r < n,  $\hat{G}(n,r)$  is generated by  $E_i$  and  $F_i$  for  $i \in \{1,\ldots,n\}$  and  $1_{\lambda}$  for  $\lambda \in \Lambda_0$ .

*Proof.* When r < n, any  $A \in \Lambda_1$  is aperiodic since co(A) has a zero entry, so A has a column of zero entries. Therefore each of the basis elements  $e_A$  in  $\hat{G}(n,r)$  may be written as a product of the  $E_i$ ,  $F_i$  and  $1_{\lambda}$ , using Proposition 5.3.7.

### 5.4 Quiver presentation of the generic affine algebra.

Let n and r be integers with  $n \geq 3$  and  $r \geq 1$ . Let  $\Gamma = \Gamma(n,r)$  be the quiver associated to the affine q-Schur algebra  $\hat{S}_q(n,r)$ , as defined in Section 3.2.2.

Recall that  $\Gamma$  is the quiver with set of vertices  $\Gamma_0 = \Lambda_0$  and set of arrows  $\Gamma_1 = \Gamma_1^+ \cup \Gamma_1^-$ , where  $\Gamma_1^+$  consists of the arrows

$$e_{i,\lambda}: \lambda \to \lambda + \alpha_i \text{ for } (i,\lambda) \in \{1,\ldots,n\} \times \Lambda_0 \text{ with } \lambda_{i+1} > 0,$$

and  $\Gamma_1^-$  consists of the arrows

$$f_{i,\lambda} : \lambda \to \lambda - \alpha_i \text{ for } (i,\lambda) \in \{1,\ldots,n\} \times \Lambda_0 \text{ with } \lambda_i > 0.$$

Recall that the path  $\mathbb{Z}$ -algebra of  $\Gamma$  is an associative  $\mathbb{Z}$ -algebra with a  $\mathbb{Z}$ -basis consisting of the paths in  $\Gamma$  and with multiplication defined by concatenation of paths. If p and q are paths in  $\Gamma$  then the product pq is the path q followed by p if the target of q equals the source of p, otherwise pq equals zero.

For each  $i \in \{1, ..., n\}$ , define

$$e_i = \sum_{\lambda \in \Lambda_0: \lambda_{i+1} > 0} e_{i,\lambda}$$

and

$$f_i = \sum_{\lambda \in \Lambda_0: \lambda_i > 0} f_{i,\lambda}.$$

Let  $\mathcal{J}$  be the ideal in  $\mathbb{Z}\Gamma$  generated by the following expressions, which are obtained from the relations in the q-Schur algebra by setting q equal to 0:

$$e_i e_j - e_j e_i,$$
  
$$f_i f_i - f_i f_i$$

for  $i, j \in \{1, ..., n\}$  with j > i + 1;

$$e_i e_{i+1}^2 - e_{i+1} e_i e_{i+1},$$
  

$$e_i^2 e_{i+1} - e_i e_{i+1} e_i,$$
  

$$f_{i+1}^2 f_i - f_{i+1} f_i f_{i+1},$$
  

$$f_{i+1} f_i^2 - f_i f_{i+1} f_i$$

for  $i \in \{1, ..., n\}$ ;

$$e_i f_i - f_i e_i$$

for  $i, j \in \{1, ..., n\}$  with i < j;

$$e_i f_i - f_i e_i - \sum_{\lambda \in \Lambda_0} c_{i,\lambda} k_{\lambda}$$

for  $i \in \{1, \ldots, n\}$ , where

$$c_{i,\lambda} = \begin{cases} 1 & : \text{ if } \lambda_{i+1} = 0, \lambda_i > 0 \\ 0 & : \text{ if } \lambda_i > 0, \lambda_{i+1} > 0 \\ -1 & : \text{ if } \lambda_i = 0, \lambda_{i+1} > 0. \end{cases}$$

Multiplying each expression above with the idempotents  $k_{\lambda}$  for  $\lambda \in \Lambda_0$  gives a relation involving paths with common source and target vertices, thus  $\mathcal{J}$  is an ideal of  $\mathbb{Z}$ -linear relations in  $\Gamma$ .

The ideal  $\mathcal{J}$  in  $\mathbb{Z}\Gamma$  is generated by the following set of relations:

$$e_{i,\lambda+\alpha_j}e_{j,\lambda} - e_{j,\lambda+\alpha_i}e_{i,\lambda},$$
  
 $f_{i,\lambda-\alpha_i}f_{j,\lambda} - f_{j,\lambda-\alpha_i}f_{i,\lambda},$ 

for  $i, j \in \{1, ..., n\}$  with j > i + 1;

$$\begin{split} e_{i,\lambda+2\alpha_{i+1}}e_{i+1,\lambda+\alpha_{i+1}}e_{i+1,\lambda} &= e_{i+1,\lambda+\alpha_i+\alpha_{i+1}}e_{i,\lambda+\alpha_{i+1}}e_{i+1,\lambda}, \\ e_{i,\lambda+\alpha_i+\alpha_{i+1}}e_{i,\lambda+\alpha_{i+1}}e_{i+1,\lambda} &= e_{i,\lambda+\alpha_i+\alpha_{i+1}}e_{i+1,\lambda+\alpha_i}e_{i,\lambda}, \\ f_{i+1,\lambda-\alpha_i-\alpha_{i+1}}f_{i+1,\lambda-\alpha_i}f_{i,\lambda} &= f_{i+1,\lambda-\alpha_i-\alpha_{i+1}}f_{i,\lambda-\alpha_{i+1}}f_{i+1,\lambda}, \\ f_{i+1,\lambda-2\alpha_i}f_{i,\lambda-\alpha_i}f_{i,\lambda} &= f_{i,\lambda-\alpha_i-\alpha_{i+1}}f_{i+1,\lambda-\alpha_i}f_{i,\lambda}, \end{split}$$

for  $i \in \{1, ..., n\}$ ;

$$e_{i,\lambda-\alpha_j}f_{j,\lambda}-f_{j,\lambda+\alpha_i}e_{i,\lambda}$$

for  $i, j \in \{1, ..., n\}$  with  $i \neq j$ ;

$$e_{i,\lambda-\alpha_i}f_{i,\lambda}-f_{i,\lambda+\alpha_i}e_{i,\lambda}$$

for  $i \in \{1, ..., n\}$  and  $\lambda \in \Lambda_0$  with  $\lambda_i > 0$  and  $\lambda_{i+1} > 0$ ;

$$e_{i,\lambda-\alpha_i}f_{i,\lambda}-k_\lambda$$

for  $i \in \{1, ..., n\}$  and  $\lambda \in \Lambda_0$  such that  $\lambda_i > 0$  and  $\lambda_{i+1} = 0$ ;

$$f_{i,\lambda+\alpha_i}e_{i,\lambda}-k_{\lambda}$$

for  $i \in \{1, ..., n\}$  and  $\lambda \in \Lambda_0$  with  $\lambda_i = 0$  and  $\lambda_{i+1} > 0$ .

**Lemma 5.4.1.** The following equations hold in the generic affine algebra  $\hat{G}(n,r)$ :

$$E_i E_j = E_j E_i$$
$$F_i F_j = F_j F_i$$

for  $i, j \in \{1, ..., n\}$  with  $|j - i| \neq 1$ ;

$$E_{i}E_{i+1}^{2} = E_{i+1}E_{i}E_{i+1}$$

$$E_{i}^{2}E_{i+1} = E_{i}E_{i+1}E_{i}$$

$$F_{i+1}^{2}F_{i} = F_{i+1}F_{i}F_{i+1}$$

$$F_{i+1}F_{i}^{2} = F_{i}F_{i+1}F_{i}$$

for  $i \in \{1, ..., n\}$ ;

$$E_i F_i = F_i E_i$$

for  $i, j \in \{1, \ldots, n\}$  with  $i \neq j$ ;

$$E_i F_i - F_i E_i = \sum_{\lambda \in \Lambda_0} c_{i,\lambda} 1_{\lambda}$$

for 
$$i \in \{1, ..., n\}$$
.

*Proof.* Suppose  $i, j \in \{1, ..., n\}$  with j > i + 1, so  $\{i, i + 1\}$  and  $\{j, j + 1\}$  are disjoint, then

$$E_{i}E_{j} = \sum_{\lambda \in \Lambda_{0}} E_{i} \left[ D_{\lambda} + \mathcal{E}_{j,j+1} - \mathcal{E}_{j+1,j+1} \right]$$

$$= \sum_{\lambda \in \Lambda_{0}} \left[ D_{\lambda} + \mathcal{E}_{i,i+1} - \mathcal{E}_{i+1,i+1} + \mathcal{E}_{j,j+1} - \mathcal{E}_{j+1,j+1} \right]$$

$$= E_{i}E_{i}$$

Then applying the transpose involution yields the second equation:

$$F_i F_j - F_j F_i = -S([E_i, E_j]) = 0.$$

Using the fundamental multiplication rules 5.1.1 and 5.1.3, for each  $i\{1,\ldots,n\}$ ,

$$E_{i}E_{i+1}^{2} = \sum_{\lambda \in \Lambda_{0}} E_{i} \left[ D_{\lambda} + 2\mathcal{E}_{i+1,i+2} - 2\mathcal{E}_{i+2,i+2} \right]$$

$$= \sum_{\lambda \in \Lambda_{0}} \left[ D_{\lambda} + 2\mathcal{E}_{i+1,i+2} - 2\mathcal{E}_{i+2,i+2} + \mathcal{E}_{i,i+2} - \mathcal{E}_{i+1,i+2} \right]$$

$$= \sum_{\lambda \in \Lambda_{0}} \left[ D_{\lambda} + \mathcal{E}_{i,i+2} + \mathcal{E}_{i+1,i+2} - 2\mathcal{E}_{i+2,i+2} \right]$$

and

$$\begin{split} E_{i+1} E_i E_{i+1} &= \sum_{\lambda \in \Lambda_0} E_{i+1} \left[ D_{\lambda} + \mathcal{E}_{i,i+2} - \mathcal{E}_{i+2,i+2} \right] \\ &= \sum_{\lambda \in \Lambda_0} \left[ D_{\lambda} + \mathcal{E}_{i,i+2} + \mathcal{E}_{i+1,i+2} - 2\mathcal{E}_{i+2,i+2} \right], \end{split}$$

so  $E_i E_{i+1}^2 = E_{i+1} E_i E_{i+1}$ .

$$E_i^2 E_{i+1} = \sum_{\mu \in \Lambda_0} [D_{\mu} + 2\mathcal{E}_{i,i+1} - 2\mathcal{E}_{i,i}] E_{i+1}$$
$$= \sum_{\mu \in \Lambda_0} [D_{\mu} + \mathcal{E}_{i,i+2} + \mathcal{E}_{i,i+1} - 2\mathcal{E}_{i,i}]$$

and

$$E_{i}E_{i+1}E_{i} = \sum_{\mu \in \Lambda_{0}} [D_{\mu} + \mathcal{E}_{i,i+2} - \mathcal{E}_{i,i}] E_{i}$$
$$= \sum_{\mu \in \Lambda_{0}} [D_{\mu} + \mathcal{E}_{i,i+2} + \mathcal{E}_{i,i+1} - 2\mathcal{E}_{i,i}],$$

so  $E_i^2 E_{i+1} = E_i E_{i+1} E_i$ .

The relations between  $F_i$  and  $F_{i+1}$  may be deduced using the transpose involution as follows:

$$F_{i+1}^2 F_i = S(E_i E_{i+1}^2) = S(E_{i+1} E_i E_{i+1}) = F_{i+1} F_i F_{i+1}$$

and

$$F_{i+1}F_i^2 = S(E_i^2E_{i+1}) = S(E_iE_{i+1}E_i) = F_iF_{i+1}F_i.$$

Suppose  $i, j \in \{1, ..., n\}$  with  $i \neq j$ . Then

$$E_{i}F_{j} = \sum_{\lambda \in \Lambda_{0}} E_{i} \left[ D_{\lambda} + \mathcal{E}_{j+1,j} - \mathcal{E}_{j,j} \right]$$
$$= \sum_{\lambda \in \Lambda_{0}} \left[ D_{\lambda} + \mathcal{E}_{j+1,j} - \mathcal{E}_{j,j} + \mathcal{E}_{i,i+1} - \mathcal{E}_{i+1,i+1} \right]$$

and

$$F_{j}E_{i} = \sum_{\lambda \in \Lambda_{0}} F_{j} \left[ D_{\lambda} + \mathcal{E}_{i,i+1} - \mathcal{E}_{i+1,i+1} \right]$$
$$= \sum_{\lambda \in \Lambda_{0}} \left[ D_{\lambda} + \mathcal{E}_{i,i+1} - \mathcal{E}_{i+1,i+1} + \mathcal{E}_{j+1,j} - \mathcal{E}_{j,j} \right],$$

so  $E_i F_j = F_j E_i$ .

Finally, for  $i \in \{1, ..., n\}$ ,

$$E_iF_i = \sum_{\lambda:\lambda_i>0,\lambda_{i+1}=0} 1_\lambda + \sum_{\lambda:\lambda_i>0,\lambda_{i+1}>0} \left[D_\lambda + \mathcal{E}_{i,i+1} - \mathcal{E}_{i+1,i+1} + \mathcal{E}_{i+1,i} - \mathcal{E}_{i,i}\right]$$

and

$$F_i E_i = \sum_{\lambda: \lambda_i = 0, \lambda_{i+1} > 0} 1_{\lambda} + \sum_{\lambda: \lambda_i > 0, \lambda_{i+1} > 0} \left[ D_{\lambda} + \mathcal{E}_{i,i+1} - \mathcal{E}_{i+1,i+1} + \mathcal{E}_{i+1,i} - \mathcal{E}_{i,i} \right],$$

so

$$E_i F_i - F_i E_i = \sum_{\lambda: \lambda_i > 0, \lambda_{i+1} = 0} 1_{\lambda} - \sum_{\lambda: \lambda_i = 0, \lambda_{i+1} > 0} 1_{\lambda}$$
$$= \sum_{\lambda \in \Lambda_0} c_{i,\lambda} 1_{\lambda}.$$

Lemma 5.4.1 shows that there is a homomorphism of  $\mathbb{Z}$ -algebras

$$\rho \colon \mathbb{Z}\Gamma/\mathcal{J} \to \hat{G}(n,r)$$

defined by

$$\rho(k_{\lambda} + \mathcal{J}) = 1_{\lambda}$$

$$\rho(e_{i,\lambda} + \mathcal{J}) = E_{i,\lambda}$$

$$\rho(f_{i,\lambda} + \mathcal{J}) = F_{i,\lambda}$$

for all  $i \in \{1, ..., n\}$  and  $\lambda \in \Lambda_0$ . Thus  $\hat{G}(n, r)$  may also be regarded as an algebra over  $\mathbb{Z}\Gamma$  where the action of a path p is given by

$$e_A \cdot p = e_A \rho(p + \mathcal{J})$$

for all  $A \in \Lambda_1$ .

**Proposition 5.4.2.** The image of  $\rho$  is spanned by the aperiodic basis elements. If r < n then  $\rho$  is surjective.

*Proof.* The image of  $\rho$  is the subalgebra of  $\hat{G}(n,r)$  generated by  $E_i$  and  $F_i$  for  $i \in \{1,\ldots,n\}$  and  $1_{\lambda}$  for  $\lambda \in \Lambda_0$ , which has  $\mathbb{Z}$ -basis

$$\{e_A: A \in \Lambda_1, A \text{ is aperiodic.}\},\$$

using Proposition 5.3.7. If r < n then every  $A \in \Lambda_1$  is aperiodic, since A must contain a zero row or column. Therefore  $\rho$  is surjective when r < n.

#### 5.4.1 Standard paths

Recall the definition of standard paths in  $\Gamma$ , from Definition 3.2.7. There is a bijection between the set of standard paths in  $\Gamma$  and the standard monomial basis in  $\hat{G}(n,r)$  indexed by  $\Lambda_1$ , using Lemma 3.2.10.

The expression for the standard path of A is derived by contracting the rows of A so that each step produces zero entries on the highest or lowest diagonal, yielding the element  $D_{\lambda}$  where  $\lambda = \text{ro}(A)$  after finitely many steps. Computing the image of a standard path in  $\hat{G}(n,r)$  by computing the segments from left to right constructs  $e_A$  slice by slice. In particular, the segment  $p_s^+$  produces the diagonal at level s while the segment  $p_s^-$  produces the diagonal at level s. In order to describe this process precisely we now give some notation for the row contractions of s.

Given  $A \in \Lambda_1$  and  $s \ge 1$  define elements (s)A and A(s) in  $\Lambda_1$  by

$$((s)A)_{i,j} = \begin{cases} a_{i,j} & \text{if } i - j < s, \\ 0 & \text{if } i - j > s, \\ \sum_{t \le j} a_{i,t} & \text{if } i - j = s \end{cases}$$

and

$$(A(s))_{i,j} = \begin{cases} a_{i,j} & \text{if } j - i < s, \\ 0 & \text{if } j - i > s, \\ \sum_{t > j} a_{i,t} & \text{if } j - i = s \end{cases}$$

for  $i, j \in \mathbb{Z}$ . Observe that  $(0)A(0) = D_{\lambda}$  where  $\lambda = \text{ro}(A)$ ; (0)A is upper triangular and coincides with A above the diagonal; A(0) is lower triangular and coincides with A below the diagonal; ro(s)A = ro(A) and ro(A(s)) = ro(A). Also define the *height* of A as

$$ht(A) = max\{|j - i| : i, j \in \mathbb{Z}, a_{i,j} > 0\}$$

so that (h)A = A and A(h) = A for  $h \ge ht(A)$ .

**Lemma 5.4.3.** Let  $A \in \Lambda_1$  and let  $p = k_{\lambda} p_1^+ \cdots p_h^+ p_1^- \cdots p_h^-$  be the standard path for A. Then

$$e_{A(s-1)} \cdot p_s^+ = e_{A(s)}$$

and

$$e_{(s-1)A} \cdot p_s^- = e_{(s)A}$$

for each  $s \in \{1, \ldots, h\}$ .

*Proof.* Let  $B = A(s-1) \cdot p_s^+$ . Using the fundamental multiplication rules in  $\hat{G}(n,r)$ , Lemma 5.1.3, it follows that

$$B = A(s-1) + \sum_{i \in \{1,\dots,n\}} \alpha_{i,s} (\mathcal{E}_{i,i+s} - \mathcal{E}_{i,i+s-1}).$$

So  $b_{i,j} = a_{i,j}$  if j - i < s - 1,

$$b_{i,i+s-1} = \alpha_{i,s-1} - \alpha_{i,s}$$
$$= a_{i,i+s-1}$$

and

$$b_{i,i+s} = \alpha_{i,s},$$

which proves that B = A(s).

Similarly, let  $B = (s-1)A \cdot p_s^-$ . Using Lemma 5.1.3 it follows that

$$B = (s-1)A + \sum_{i \in \{1,\dots,n\}} \beta_{i-1,s} (\mathcal{E}_{i,i-s} - \mathcal{E}_{i,i-s+1}).$$

So  $b_{i,j} = a_{i,j}$  if i - j < s - 1,

$$b_{i,i-s+1} = \beta_{i-1,s-1} - \beta_{i-1,s}$$
$$= a_{i,i-s+1}$$

and

$$b_{i,i-s} = \beta_{i-1,s},$$

which proves B = (s)A.

**Lemma 5.4.4.** Let  $A \in \Lambda_1$  and let p be the standard path for A. Then

$$\rho(p+\mathcal{J})=e_A.$$

*Proof.* Let  $A \in \Lambda_1$ ,  $\lambda = \operatorname{ro}(A)$ ,  $\mu = \operatorname{co}(A)$ ,  $h = \operatorname{ht}(A)$  and let  $p = k_{\lambda}p_1^+ \cdots p_h^+ p_1^- \cdots p_h^- k_{\mu}$  be the standard path for A.

The standard path for (0)A is  $k_{\lambda}p_{1}^{+}\cdots p_{h}^{+}$ , by Lemma 3.2.13, so

$$e_{(0)A} = e_{(0)A(h)}$$
  
=  $e_{(0)A(0)} \cdot p_1^+ \cdots p_h^+$ 

by repeatedly applying Lemma 5.4.3. Similarly,

$$e_A = e_{(h)A}$$
  
=  $e_{(0)A} \cdot p_1^- \cdots p_h^-,$ 

since p is the standard path for A. Therefore

$$e_A = e_{(0)A(0)} \cdot p_1^+ \cdots p_h^+ p_1^- \cdots p_h^-$$
$$= e_{D_\lambda} \cdot p$$
$$= \rho(p + \mathcal{J}).$$

**Remark 5.4.5.** The result of Lemma 5.4.4 gives another way to see that the homomorphism  $\rho$  from the quiver algebra to  $\hat{G}(n,r)$  is surjective provided r < n. When  $r \ge n$ , the image of the quiver algebra in  $\hat{G}(n,r)$  is spanned by the aperiodic basis elements, by Proposition 5.4.2.

Recall the definition of the positive and negative parts  $A^+$  and  $A^-$  of a matrix  $A \in \Lambda_1$ , as in Definition 3.2.11.

**Lemma 5.4.6.** Let  $A \in \Lambda_1$ . Then

$$e_A = e_{A^+}e_{A^-}$$

and in terms of G-orbits,

$$[L,L']=[L,L\cap L'][L\cap L',L'].$$

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*Proof.* Let p be the standard path for A. Then  $p = p^+p^-$  where  $p^+$  is the standard path for  $A^+$  and  $p^-$  is the standard path for  $A^-$ , by Lemma 3.2.13. Then Lemma 5.4.4 proves that

$$e_A = \rho(p + \mathcal{J})$$
  
=  $\rho(p^+ + \mathcal{J})\rho(p^- + \mathcal{J})$   
=  $e_{A^+}e_{A^-}$ .

The second part then follows from Lemma 3.2.12 which states that  $\mathcal{O}_{A^+} = [L, L \cap L']$  and  $\mathcal{O}_{A^-} = [L \cap L', L']$  for any  $(L, L') \in \mathcal{O}_A$ .

**Definition 5.4.7.** A path is said to be *reduced* if it is not equivalent to a shorter path.

#### Lemma 5.4.8. A standard path is reduced.

*Proof.* If p is a standard positive or negative path then p is reduced, since the relations only involving the edges  $e_i : i \in \{1, ..., n\}$  or  $f_i : i \in \{1, ..., n\}$  are homogeneous polynomials, so any equivalent path is of the same length.

Now suppose  $p = k_{\lambda}p^{+}p^{-}k_{\mu}$  is a standard path for a standard positive path  $k_{\lambda}p^{+}$  and a standard negative path  $p^{-}k_{\mu}$ . The number of arrows in p is

$$l = \sum_{i \in \{1, \dots, n\}, s \ge 1} \alpha_{i,s} + \beta_{i,s}.$$

Let A be the matrix corresponding to the standard path p, so that  $p = p_A$  as in Lemma 3.2.10. The minimum number of  $E_i$  and  $F_i$  in an expression for  $e_A$  in  $\hat{G}(n,r)$  is

$$\operatorname{wt}(A) = \sum_{i \in \{1, \dots, n\}, j \in \mathbb{Z}} |j - i| a_{i,j},$$

using Lemma 5.3.6, so  $l \ge wt(A)$ .

Recall that

$$\alpha_{i,s} = \sum_{t > s} a_{i,i+t}$$

and

$$\beta_{i-1,s} = \sum_{t>s} a_{i,i-s},$$

so

$$\sum_{s>1} \alpha_{i,s} = \sum_{s>1} s a_{i,i+s}$$

and

$$\sum_{s>1} \beta_{i-1,s} = \sum_{s>1} s a_{i,i-s}.$$

Therefore

$$l = \sum_{i \in \{1, \dots, n\}, s \ge 1} \alpha_{i,s} + \beta_{i,s}$$
  
= 
$$\sum_{i \in \{1, \dots, n\}, s \ge 1} s(a_{i,i+s} + a_{i,i-s})$$
  
= 
$$\text{wt}(A),$$

which proves that p is reduced.

The next result gives a more general form of the 0-Serre relations in the quiver algebra for  $\hat{G}(n,r)$ , which will be useful in transforming a path into a standard path.

**Lemma 5.4.9.** Let  $t > s \ge 0$  be integers. Then

$$e_i^s e_{i+1}^s e_i - e_i^{s+1} e_{i+1}^s = 0 (5.4.1)$$

$$e_i^s e_{i+1}^t - e_{i+1}^{t-s} e_i^s e_{i+1}^s = 0 (5.4.2)$$

in  $\mathbb{Z}\Gamma/\mathcal{J}$ , for each  $i \in \{1, \ldots, n\}$ .

*Proof.* First we prove 5.4.1. If s=0 the result is a tautology and if s=1 this is the usual 0-Serre relation. Suppose s>1 and equation 5.4.1 holds for smaller values. By repeatedly using the 0-Serre relations

$$e_i^2 e_{i+1} - e_i e_{i+1} e_i = 0$$

it follows that

$$e_i^s e_{i+1} = e_i e_{i+1} e_i^{s-1} (5.4.3)$$

and so

$$\begin{aligned} e_i^s e_{i+1}^s e_i &= e_i e_{i+1} e_i^{s-1} e_{i+1}^{s-1} e_i & \text{(by 5.4.3.)} \\ &= e_i e_{i+1} e_i^s e_{i+1}^{s-1} & \text{(by induction.)} \\ &= e_i^{s+1} e_{i+1} e_{i+1}^{s-1} & \text{(by 5.4.3.)} \\ &= e_i^{s+1} e_{i+1}^s. \end{aligned}$$

Now we prove 5.4.2. If s=0 the result is clear. Suppose s>0 and the result of 5.4.2 holds for smaller values. Using the 0-Serre relations repeatedly gives

$$e_i e_{i+1}^t = e_{i+1}^{t-1} e_i e_{i+1} (5.4.4)$$

and so

$$\begin{aligned} e_i^s e_{i+1}^t &= e_i^{s-1} e_{i+1}^{t-1} e_i e_{i+1} & \text{(by 5.4.4.)} \\ &= e_{i+1}^{t-s} e_i^{s-1} e_{i+1}^{s-1} e_i e_{i+1} & \text{(by induction.)} \\ &= e_{i+1}^{t-s} e_i^s e_{i+1}^{s-1} e_{i+1} & \text{(by 5.4.1.)} \\ &= e_{i+1}^{t-s} e_i^s e_{i+1}^s. \end{aligned}$$

Corollary 5.4.10. Let  $t > s \ge 0$  be integers. Then

$$f_{i+1}^s f_i^{s+1} - f_i f_{i+1}^s f_i^s = 0$$

and

$$f_{i+1}^s f_i^s f_{i+1}^{t-s} - f_{i+1}^t f_i^s = 0$$

in  $\mathbb{Z}\Gamma/\mathcal{J}$ , for each  $i \in \{1, \ldots, n\}$ .

*Proof.* Applying the transpose involution to the relations in Lemma 5.4.9 yields these relations, since  $e_i$  is mapped to  $f_i$  and the order of multiplication is reversed.

**Lemma 5.4.11.** Assume that r < n and let p be a nonzero path. Then p does not contain a cyclic section

$$c = e_i^{a_i} e_{i-1}^{a_{i-1}} \cdots e_{i+1}^{e_{i+1}}$$

with the sum of the exponents  $\sum_{j\neq i} a_j > r$ .

*Proof.* Suppose p contains such a cyclic section c and write  $p = p'k_{\lambda}ck_{\mu}p''$ . As p is nonzero it follows that

$$\mu = \lambda + a_i(\varepsilon_{i+1} - \varepsilon_i) + a_{i-1}(\varepsilon_i - \varepsilon_{i-1}) + \dots + a_{i+1}(\varepsilon_{i+2} - \varepsilon_{i+1})$$

so  $a_j \leq \lambda_j$  for  $j \neq i+1$  and  $a_{i+1} \leq \lambda_{i+1} + a_i$ . Then

$$\sum_{j \neq i} a_j \le \sum_j \lambda_j = r,$$

contradicting the hypothesis that such a cyclic section exists.

**Lemma 5.4.12.** Let p be a standard path in  $\Gamma$ . If q is a path in  $\Gamma$  with  $q = pe_i$  or  $q = pf_i$  for some  $i \in \{1, ..., n\}$ , then q is congruent to a standard path modulo  $\mathcal{J}$ .

*Proof.* IMPORTANT: need to prove this beyond doubt.

First suppose p is a positive standard path

$$p = k_{\mu} p_1 \cdots p_{h^+} k_{\lambda},$$

where

$$p_s = e_{i_0+s-1}^{\alpha_{i_0,s}} \cdots e_{i_0+s-n+1}^{\alpha_{i_0-n+2,s}}$$

for  $s=1,\ldots,h^+$ , such that  $\alpha_{i,s}\geq\alpha_{i,s+1}$  for each i,s and  $\alpha_{i_0+1,s}=0$  for all s.

The index i in  $e_i$  and  $\alpha_{i,s}$  is taken modulo n. Observe that  $\alpha_{i,s}$  is the exponent of  $e_{i+s-1}$  in  $p_s$  and so the exponent of  $e_i$  in  $p_s$  is  $\alpha_{i-s+1,s}$ . Even when p is a positive path there are many cases to consider.

For the first case, if the exponent of  $e_{j-1}$  in  $p_{h^+}$ , which is  $\alpha_{j-h^+,h^+}$ , is nonzero then  $q=pe_j$  is a standard path.

For the second case, suppose the exponent of  $e_{j-1}$  in  $p_{h^+}$  is zero and the exponent of  $e_j$  in  $p_{h^+}$  is strictly less than the exponent of  $e_{j-1}$  in  $p_{h^+-1}$ . [continue...]

For the third case, suppose the exponent of  $e_{j-1}$  in  $p_{h+1}$  is zero and the exponent of  $e_j$  in  $p_{h+1}$  equals the exponent of  $e_{j-1}$  in  $p_{h+1}$ . [continue...][I'm stuck on this case.]

**Proposition 5.4.13.** When r < n, any path in  $\Gamma$  is congruent to a standard path modulo  $\mathcal{J}$ .

*Proof.* Let p be a path in  $\Gamma$  and proceed by induction on the length of p. If p has length zero then  $p = k_{\mu}$  for some  $\mu \in \Lambda_0$ , so p is a standard path. If p has length one then  $p = k_{\mu}e_i$  or  $p = k_{\mu}f_i$  for some  $\mu \in \Lambda_0$  and  $i \in \{1, \ldots, n\}$ .

Suppose p has length at least two and that any strictly shorter path is congruent to a standard path. Pulling out the first arrow, write  $p = p'e_i$  or  $p = p'f_i$  for some  $i \in \{1, ..., n\}$ . Using the inductive hypothesis we may assume p' is a standard path, so it follows from Lemma 5.4.12 that p is congruent to a standard path.

Note: The lemma on extending standard paths still needs to be proven for this proof to be complete.  $\hfill\Box$ 

**Theorem 5.4.14.** If r < n then  $\rho$  is a  $\mathbb{Z}$ -algebra isomorphism. Thus  $\hat{G}(n,r)$  admits a presentation by the quiver  $\Gamma$  and the ideal of relations  $\mathcal{J}$  in  $\mathbb{Z}\Gamma$ .

*Proof.* Under the assumption r < n,  $\rho$  is a surjective homomorphism of  $\mathbb{Z}$ -algebras, by Proposition 5.4.2.

Suppose p and p' are paths in  $\Gamma$  and  $A \in \Lambda_1$  with

$$\rho(p+\mathcal{J}) = \rho(p'+\mathcal{J}) = e_A.$$

Proposition 5.4.13 shows that p and p' are both congruent modulo  $\mathcal{J}$  to the standard path corresponding to A, so p and p' are congruent modulo  $\mathcal{J}$ . Therefore  $\rho$  is injective, so is an isomorphism of  $\mathbb{Z}$ -algebras as claimed.

### 5.5 The isomorphism result

This section gives a realisation of the affine 0-Schur algebra by the generic affine algebra in the case that r < n. Recall that the affine 0-Schur algebra  $\hat{S}_0(n,r)$  is defined to be the  $\mathbb{Z}$ -algebra

$$\hat{S}_0(n,r) = \mathbb{Z}[q]/(q) \otimes_{\mathbb{Z}} [q]\hat{S}_q(n,r).$$

The inclusion of  $\mathbb{Z}[q]$  into  $\mathcal{Q}$  sending f to f/1 gives an isomorphism of  $\mathbb{Z}$  algebras

$$\mathbb{Z}[q]/q\mathbb{Z}[q] \to \mathcal{Q}/q\mathcal{Q} : a + q\mathbb{Z}[q] \mapsto a + q\mathcal{Q},$$

and both are isomorphic to  $\mathbb Z$  itself. Therefore

$$\hat{S}_0(n,r) = \mathcal{Q}/q\mathcal{Q} \otimes_{\mathcal{Q}} \hat{S}_{\mathcal{Q}}(n,r)$$

Let  $n, r \ge 1$  with r < n. Recall

$$\phi \colon \mathbb{Z}[q]\Gamma/I \to \hat{S}_q(n,r)$$

is the homomorphism of  $\mathbb{Z}[q]$ -algebras defined by

$$\phi(e_{i,\lambda} + I) = E_{i,\lambda}$$
  
$$\phi(f_{i,\lambda} + I) = F_{i,\lambda}$$
  
$$\phi(k_{\lambda} + I) = 1_{\lambda},$$

for  $i \in \{1, ..., n\}$  and  $\lambda \in \Lambda_0$ . Let  $\phi_0$  be the  $\mathbb{Z}$ -algebra homomorphism

$$\phi_0 = \mathcal{Q}/(q) \otimes_{\mathcal{Q}} \phi_{\mathcal{Q}} \colon \mathbb{Z}\Gamma/\mathcal{J} \to \hat{S}_0(n,r).$$

Let  $\rho$  be the  $\mathbb{Z}$ -algebra isomorphism

$$\rho \colon \mathbb{Z}\Gamma/\mathcal{J} \to \hat{G}(n,r)$$

from Theorem 5.4.14.

Let  $\Psi$  be the  $\mathbb{Z}$ -algebra homomorphism

$$\Psi = \phi_0 \circ \rho^{-1} \colon \hat{G}(n,r) \to \hat{S}_0(n,r) \tag{5.5.1}$$

with

$$\Psi(E_{i,\lambda}) = E_{i,\lambda}$$

$$\Psi(F_{i,\lambda}) = F_{i,\lambda}$$

$$\Psi(1_{\lambda}) = 1_{\lambda},$$

for  $i \in \{1, ..., n\}$  and  $\lambda \in \Lambda_0$ .

**Proposition 5.5.1.** The map  $\Psi$  is surjective.

*Proof.* Proposition 3.2.17 shows that

$$\phi_{\mathcal{Q}} \colon \mathcal{Q}\Gamma/\mathcal{Q}I \to \hat{S}_{\mathcal{Q}}(n,r)$$

is a surjective Q-algebra homomorphism, so

$$\phi_0 = \mathcal{Q}/(q) \otimes_{\mathcal{Q}} \phi_{\mathcal{Q}} \colon \mathbb{Z}\Gamma/\mathcal{J} \to \hat{S}_0(n,r)$$

is a surjective  $\mathbb{Z}$ -algebra homomorphism, using right exactness of tensor products. It follows that  $\Psi$  is surjective since  $\Psi \circ \rho = \phi_0$  and  $\rho$  is an isomorphism of  $\mathbb{Z}$ -algebras.

**Lemma 5.5.2.** For each  $A \in \Lambda_1$ ,

$$\Psi(e_A) = e_A + \sum_{B:B < A} c_B e_B$$

for some  $c_B \in \mathbb{N}$ .

*Proof.* Fix  $A \in \Lambda_1$  and let p be the standard path for A as in Definition 3.2.9, so that

$$\rho(p+\mathcal{J})=e_A,$$

using Lemma 5.4.4.

Then

$$\Psi(e_A) = \phi_0(p + \mathcal{J})$$

$$= \sum_{B \in \Lambda_1: B \le A} g_B(0)e_B,$$

for some  $g_B \in \mathbb{Z}[q]$ , where

$$g_A(0) = \left(\prod_{i \in \{1,\dots,n\}, s \ge 1} [[\alpha_{i,s}]]! [[\beta_{i,s}]]!\right)_{q=0}$$
  
= 1,

by Proposition 3.2.14.

**Theorem 5.5.3.** When r < n, the map

$$\Psi \colon \hat{G}(n,r) \to \hat{S}_0(n,r)$$

is an isomorphism of  $\mathbb{Z}$ -algebras.

*Proof.* Proposition 5.5.1 shows that  $\Psi$  is a surjective  $\mathbb{Z}$ -algebra homomorphism. To prove that  $\Psi$  is injective, suppose x is a nonzero element of  $\hat{G}(n,r)$  and write

$$x = \sum_{A \in \lambda_1} c_A e_A$$

and let  $\Xi = \{A \in \Lambda_1 : c_A \neq 0\}$ . Fix a maximal element D in  $\Xi$ , which exists since  $\Xi$  is nonempty and finite. Then

$$\begin{split} \Psi(x) &= \sum_{A \in \Xi} c_A \Psi(e_A) \\ &= \sum_{A \in \Xi} \left( c_A e_A + \sum_{B \in \Xi: B < A} c_{A,B} e_B \right) \\ &= c_D e_D + \sum_{A \in \Xi: A \neq D} c_A' e_A \end{split}$$

by Lemma 5.5.2, so  $\Psi(x) \neq 0$ , which proves that  $\Psi$  is injective and therefore  $\Psi$  is an isomorphism of  $\mathbb{Z}$ -algebras.

### 5.6 The period 2 case

In the case n=2 the quiver  $\Gamma=\Gamma(2,r)$  associated to  $\hat{G}(2,r)$  is consists of r+1 vertices (totally ordered) with two pairs of edges between adjacent vertices,  $(e_1,f_1)$  and  $(e_2,f_2)$ .

The following equations are a q = 0 form of the q-Serre relations in Lemma 3.3.1:

**Lemma 5.6.1.** The following equations hold in  $\hat{G}(2,r)$ , for  $i \in \mathbb{Z}/2\mathbb{Z}$ :

$$E_i E_{i+1} E_i^2 = E_i^2 E_{i+1} E_i$$
$$F_i F_{i+1} F_i^2 = F_i^2 F_{i+1} F_i.$$

Proof.

$$\begin{split} E_1 E_2 E_1^2 &= \sum_{\mu \in \Lambda_0} \left[ D_\mu + \mathcal{E}_{1,3} - \mathcal{E}_{1,1} \right] E_1^2 \\ &= \sum_{\mu \in \Lambda_0} \left[ D_\mu + \mathcal{E}_{1,4} - \mathcal{E}_{1,1} \right] E_1 \\ &= \sum_{\mu \in \Lambda_0} \left[ D_\mu + \mathcal{E}_{1,4} + \mathcal{E}_{1,2} - 2\mathcal{E}_{1,1} \right] \end{split}$$

and

$$\begin{split} E_1^2 E_2 E_1 &= \sum_{\mu \in \Lambda_0} \left[ D_\mu + 2\mathcal{E}_{1,2} - 2\mathcal{E}_{1,1} \right] E_2 E_1 \\ &= \sum_{\mu \in \Lambda_0} \left[ D_\mu + \mathcal{E}_{1,3} + \mathcal{E}_{1,2} - 2\mathcal{E}_{1,1} \right] E_1 \\ &= \sum_{\mu \in \Lambda_0} \left[ D_\mu + \mathcal{E}_{1,4} + \mathcal{E}_{1,2} - 2\mathcal{E}_{1,1} \right], \end{split}$$

so  $E_1 E_2 E_1^2 = E_1^2 E_2 E_1$ .

Recall that conjugation by R defines an automorphism  $\tau$  of  $\hat{G}(n,r)$  of degree 2, with  $\tau(E_1) = E_2$  and  $\tau(E_2) = E_1$ , so

$$E_2E_1E_2^2 - E_2^2E_1E_2 = \tau(E_1E_2E_1^2 - E_1^2E_2E_1) = 0.$$

Finally, the equations involving  $F_i$  and  $F_{i+1}$  follow by applying the transpose involution:

$$F_i F_{i+1} F_i^2 - F_i^2 F_{i+1} F_i = S(E_i^2 E_{i+1} E_i - E_i E_{i+1} E_i^2) = 0,$$
 for  $i \in \{1, 2\}$ .

# Chapter 6

# Conclusion

# 6.1 The case of large r

When  $r \ge n$  extra relations are needed in order to transform any path to a standard path using the relations, thus proving injectivity of the quiver presentation. These are thought to be of the form

$$e_i^2 e_{i-1} \cdots e_{i+1} e_i = e_i e_{i-1} \cdots e_{i+1} e_i^2$$

and

$$f_i^2 f_{i+1} \cdots f_{i-1} f_i = f_i f_{i+1} \cdots f_{i-1} f_i^2$$

for  $i \in \{1, ..., n\}$  and there are likely to be more general relations with arbitrary exponents for  $e_{i-1}, ..., e_{i+1}$  and  $f_{i+1}, ..., f_{i-1}$  respectively.

Further research could focus on the relation between the generic affine algebra  $\hat{G}(n,r)$  and the affine zero Schur algebra  $\hat{S}_0(n,r)$  when  $r \geq n$ . The case where  $n \leq r < 2n$  appears to be tractable by including the shifting element R in the set of generators for each algebra and in this case I still expect the two algebras to be isomorphic, though the case of general r seems to be very difficult.

# 6.2 Further results on affine zero Schur algebras

Investigate link between this generic product and the generic extension of representations. Shifting to the non-negative subalgebra to do computations purely in terms of generic extensions of quiver representations.

This map  $\tau$  is related to the Auslander-Reiten translation on the isomorphism classes of nilpotent representations of the cyclic quiver on n vertices. The result that  $\tau(E_i) = E_{i+1}$  is consistent with the fact the A.R translation sends the simple representation at vertex i to the simple representation at vertex i-1. More generally in terms of nilpotent representations...

# 6.3 Combinatorial characterisation of degenerations

The degeneration order on orbits in  $\mathcal{F} \times \mathcal{F}$  implies the hook order on  $\Lambda_1$ . Through examples it seems that these two orders are in fact equivalent, but a proof has so far been elusive.

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