A geometric realisation of affine 0-Schur algebras.

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# Introduction

Background: The double flag variety approach to q-Schur algebras

# The cyclic flags approach to affine q-Schur algebras

Fix natural numbers n and r.

**Definition 3.0.1** (compositions). A composition of r into n parts is an n-tuple  $\lambda = (\lambda_1, \ldots, \lambda_n) \in \mathbb{Z}^n$  of non-negative integers whose sum equals r. Denote the set of compositions of r into n parts by  $\Lambda_0$ .

A composition  $\lambda \in \Lambda_0$  is said to be sincere if  $\lambda_i > 0$  for each  $i \in \{1, ..., n\}$  and otherwise  $\lambda$  is said to be insincere.

**Definition 3.0.2** (infinite periodic matrices). Let  $\Lambda_1$  be the set of matrices  $A = (a_{i,j})_{i,j \in \mathbb{Z}}$  with integer entries  $a_{i,j}$  satisfying the following conditions:

- $a_{i,j} \geq 0$  for each  $i, j \in \mathbb{Z}$ ;
- each row or column has only finitely many non-zero entries;
- the sum of the entries in any n consecutive rows or columns equals r;
- $a_{i-n,j-n} = a_{i,j}$  for each  $i, j \in \mathbb{Z}$ .

These matrices are referred to as infinite periodic matrices.

**Definition 3.0.3** (source and target). Given  $A \in \Lambda_1$ , let ro(A) and ro(A) be the compositions of r into n parts given by

$$\operatorname{ro}(A) = \left(\sum_{j \in \mathbb{Z}} a_{1,j}, \dots, \sum_{j \in \mathbb{Z}} a_{n,j}\right)$$

and

$$co(A) = \left(\sum_{i \in \mathbb{Z}} a_{i,1}, \dots, \sum_{i \in \mathbb{Z}} a_{i,n}\right).$$

The source is co(A) and the target is ro(A).

These sums are finite since each row and column of A contains only finitely many nonzero entries, by definition of the set  $\Lambda_1$ .

**Definition 3.0.4** (diagonal matrices). Given  $\lambda \in \Lambda_0$ , let  $D_{\lambda} \in \Lambda_1$  be the matrix given by  $(D_{\lambda})_{i,j} = 0$  for  $i, j \in \mathbb{Z}$  with  $i \neq j$  and  $(D_{\lambda})_{i,i} = \lambda_i$  for  $i \in \mathbb{Z}$ ; where the indices are taken modulo n.

#### 3.1 Cyclic flags

Fix  $n, r \in \mathbb{N}$  and let  $\mathbf{k}$  be a field. Let  $\mathcal{S}$  be the  $\mathbf{k}$ -algebra  $\mathbf{k}[\varepsilon, \varepsilon^{-1}]$  and let  $\mathcal{R}$  be the subalgebra generated by  $\varepsilon$ , so  $\mathcal{R} = \mathbf{k}[\varepsilon]$ . Let V be a free  $\mathcal{S}$ -module of rank r. Let G be the automorphism group of the  $\mathcal{S}$ -module V, so G is isomorphic to  $\mathrm{GL}_r(\mathcal{S})$ . A lattice in V is a  $\mathcal{R}$ -submodule L of V with  $\mathcal{S} \otimes_{\mathcal{R}} L = V$ . In particular, a lattice is an  $\mathcal{R}$ -submodule of V which is a free  $\mathcal{R}$ -module of rank r.

**Lemma 3.1.1.** Let L be a lattice in V.  $L/\varepsilon L$  is a torsion  $\mathcal{R}$ -module, where  $\varepsilon$  acts as zero.  $L/\varepsilon L$  is a free  $\mathcal{R}/\langle \varepsilon \rangle$ -module of rank r; that is,  $L/\varepsilon L$  is an r-dimensional  $\mathbf{k}$ -vector space.

*Proof.* L is a free  $\mathcal{R}$ -module of rank r, with  $L \subset V$ . Given an  $\mathcal{R}$ -basis  $\{x_1, \ldots, x_r\}$  of L,  $\{\varepsilon x_1, \ldots, \varepsilon x_r\}$  is an  $\mathcal{R}$ -basis of  $\varepsilon L$ . Finally, the cosets  $\{x_1 + \varepsilon L, \ldots, x_r + \varepsilon L\}$  give a basis for  $L/\varepsilon L$  over  $\mathcal{R}/\langle \varepsilon \rangle \cong \mathbf{k}$ .

Let  $\mathcal{F} = \mathcal{F}_{\mathbf{k}}(n,r)$  be the set of collections  $(L_i)_{i\in\mathbb{Z}}$  of lattices in V with  $L_i \subset L_{i+1}$  and  $\varepsilon L_i = L_{i-n}$  for each  $i \in \mathbb{Z}$ . These collections of lattices in V are referred to as cyclic flags in V.

G acts on  $\mathcal{F}$  by  $(g \cdot L)_i = g(L_i)$  for each  $i \in \mathbb{Z}$ ,  $g \in G$  and  $L \in \mathcal{F}$ . The G-orbits in  $\mathcal{F}$  are indexed by the set  $\Lambda_0$  of compositions of r into n parts. In particular, the G-orbit in  $\mathcal{F}$  corresponding to  $\lambda \in \Lambda_0$  is

$$\mathcal{F}_{\lambda} = \left\{ L \in \mathcal{F} : \dim \left( \frac{L_i}{L_{i-1}} \right) = \lambda_i \text{ for each } i \in \mathbb{Z} \right\}$$

**Definition 3.1.1.** The periodic characteristic matrix of a pair of cyclic flags  $(L, L') \in \mathcal{F} \times \mathcal{F}$  is the matrix  $A(L, L') = (a_{i,j})_{i,j \in \mathbb{Z}}$  with entries

$$a_{i,j} = \dim_{\mathbf{k}} \left( \frac{L_i \cap L'_j}{L_i \cap L'_{j-1} + L_{i-1} \cap L'_j} \right)$$

for each  $i, j \in \mathbb{Z}$ .

The diagonal action of G on  $\mathcal{F} \times \mathcal{F}$  has orbits indexed by the set  $\Lambda_1$  of infinite periodic matrices (see definition 3.0.2). The G-orbit corresponding to  $A \in \Lambda_1$  is denoted  $\mathcal{O}_A$  and consists of those pairs  $(L, L') \in \mathcal{F} \times \mathcal{F}$  with periodic characteristic matrix A(L, L') equal to A.

**Lemma 3.1.2** (alternative expression for characteristic matrix). Alternatively,

$$a_{i,j} = \dim_{\mathbf{k}} \left( \frac{L_{i-1} + L_i \cap L'_j}{L_{i-1} + L_i \cap L'_{j-1}} \right)$$

for each  $i, j \in \mathbb{Z}$ .

*Proof.* Set  $U = L_i \cap L'_j$  and  $U' = L_{i-1} + L_i \cap L'_{j-1}$ . Then  $U + U' = L_{i-1} + L_i \cap L'_j$  and  $U \cap U' = L_i \cap L'_j \cap L_{i-1} + L_i \cap L'_{j-1}$ . Applying the isomorphism theorems, U + U'/U' is naturally isomorphic to  $U/U \cap U'$  as a vector space. In particular,

$$\frac{L_{i-1} + L_i \cap L'_j}{L_{i-1} + L_i \cap L'_{j-1}} = \frac{L_i \cap L'_j}{L_{i-1} \cap L'_j + L_i \cap L'_{j-1}}$$

and thus the dimensions of these spaces are both equal to  $a_{i,j}$ .

**Lemma 3.1.3** (transposing characteristic matrix). Given a pair of flags  $(L, L') \in \mathcal{F}^2$ , the matrices A(L, L') and A(L', L) are related by the transpose. In particular,  $A(L, L')_{i,j} = A(L', L)_{j,i}$  for each  $i, j \in \mathbb{Z}$ .

*Proof.* By swapping the roles of i and j and swapping L and L' it is clear that  $A(L, L')_{i,j}$  and  $A(L', L)_{j,i}$  are both given by the dimension of the **k**-vector space

$$\frac{L_i \cap L_j'}{L_{i-1} \cap L_j' + L_i \cap L_{j-1}'},$$

for each  $i, j \in \mathbb{Z}$ .

**Lemma 3.1.4** (a codimension formula). Given  $(L, L') \in \mathcal{F}^2$  and  $i, j \in \mathbb{Z}$ ,

$$\dim_{\mathbf{k}} \left( \frac{L_i}{L_i \cap L'_j} \right) = \sum_{s \le i, t > j} a_{s,t},$$

where  $A(L, L') = (a_{i,j})_{i,j \in \mathbb{Z}}$ .

Proof. COMPLETE THIS PROOF

**Lemma 3.1.5** (nested flags). Given  $(L, L') \in \mathcal{F}^2$ ,  $L' \subset L$  if and only if  $A(L, L')_{i,j} = 0$  for  $i, j \in \mathbb{Z}$  with i > j.

*Proof.* Suppose  $L, L' \in \mathcal{F}$  with  $L' \subset L$ , meaning  $L'_j \subset L_j$  for each  $j \in \mathbb{Z}$ . Then for i > j,  $L_i \cap L'_j = L'_j$ ,  $L_{i-1} \cap L'_j = L'_j$  and  $L_i \cap L'_{j-1}$ , which shows

$$A(L, L')_{i,j} = \dim_{\mathbf{k}} \left( \frac{L'_j}{L'_{j-1} + L'_j} \right) = 0$$

as required. Conversely, suppose A(L, L') is upper triangular, meaning  $A(L, L')_{i,j} = 0$  when i > j. Using Lemma 3.1.4,

$$\dim_{\mathbf{k}} \left( \frac{L_i'}{L_i' \cap L_i} \right) = \sum_{s>i,t \le i} a_{s,t} = 0,$$

so  $L_i \cap L_i' = L_i'$  and thus  $L_i' \subset L_i$  for each  $i \in \mathbb{Z}$ , as required.

Corollary 3.1.6 (diagonal orbits). Given  $L, L' \in \mathcal{F}$ , L = L' if and only if  $A(L, L')_{i,j} = 0$  whenever  $i \neq j$ . In particular,

$$\mathcal{O}_{D_{\lambda}} = \{(L, L) \in \mathcal{F}^2 : L \in \mathcal{F}_{\lambda}\},\$$

for each  $\lambda \in \Lambda_0$ .

#### 3.1.1 A product of orbits

Given  $A, B \in \Lambda_1$  with co(A) = ro(B), define

$$Y_{A,B} = \{(L, L', L'') \in \mathcal{F}^3 : (L, L') \in \mathcal{O}_A \text{ and } (L', L'') \in \mathcal{O}_B\},$$

$$X_{A,B} = \{(L,L'') \in \mathcal{F}^2 : \exists L' \in \mathcal{F} \text{ with } (L,L') \in \mathcal{O}_A \text{ and } (L',L'') \in \mathcal{O}_B\}.$$

If also  $L \in \mathcal{F}_{ro(A)}$ , define the L-slices of  $Y_{A,B}$  and  $X_{A,B}$  respectively as

$$Y_{A,B}^{L} = \{ (L', L'') \in \mathcal{F}^2 : (L, L', L'') \in Y_{A,B} \},$$
$$X_{A,B}^{L} = \{ L'' \in \mathcal{F} : (L, L'') \in X_{A,B} \}.$$

**Observation 1.** There are only finitely many G-orbits in  $X_{A,B}$ .

**Lemma 3.1.7.** Given 
$$A \in \Lambda_1$$
,  $X_{D_{\lambda},A} = \mathcal{O}_A$  if  $\lambda = \operatorname{ro}(A)$  and  $X_{A,D_{\lambda}} = \mathcal{O}_A$  if  $\lambda = \operatorname{co}(A)$ .

Proof. Let  $A \in \Lambda_1$  and set  $\lambda = \operatorname{ro}(A)$ .  $Y_{D_{\lambda},A}$  is the set of triples  $(L,L',L'') \in \mathcal{F}^3$  with  $(L,L') \in \mathcal{O}_{D_{\lambda}}$ , thus L = L' by Corollary 3.1.6, and  $(L',L'') \in \mathcal{O}_A$ .  $X_{D_{\lambda},A}$  is the projection of  $Y_{D_{\lambda},A}$ , which equals  $\mathcal{O}_A$ .

Similarly, if  $\lambda = \operatorname{co}(A)$ ,  $Y_{A,D_{\lambda}}$  is the set of triples  $(L,L',L'') \in \mathcal{F}^3$  with  $(L,L') \in \mathcal{O}_A$  and L'' = L', so  $X_{A,D_{\lambda}}$  is exactly the orbit  $\mathcal{O}_B$ .

#### 3.1.2 Triple products

Given  $A, B, C \in \Lambda_1$  with co(A) = ro(B) and co(B) = ro(C) and  $L \in \mathcal{F}_{ro(A)}$ , there are spaces  $X_{A,B,C}, Y_{A,B,C}$  and their respective L-slices, defined as follows:

$$Y_{A,B,C} = \{(L,L',L'',L''') \in \mathcal{F}^4 : (L,L') \in \mathcal{O}_A, (L',L'') \in \mathcal{O}_B \text{ and } (L'',L''') \in \mathcal{O}_C\},$$

$$X_{A,B,C} = \{(L,L''') \in \mathcal{F}^2 : \exists (L',L'') \in \mathcal{O}_B \text{ with } (L,L') \in \mathcal{O}_A \text{ and } (L'',L''') \in \mathcal{O}_C\},$$

$$Y_{A,B,C}^L = \{(L',L'',L''') \in \mathcal{F}^3 : (L,L',L'',L''') \in Y_{A,B,C}\},$$

$$X_{A,B,C}^L = \{L''' \in \mathcal{F} : (L,L''') \in X_{A,B,C}\}.$$

#### 3.2 Convolution algebras

Suppose **k** is a finite field and let q denote the number of elements of **k**. Consider the set S of G-invariant functions  $\mathcal{F} \times \mathcal{F} \to \mathbb{Z}$  with constructible support. S is a free  $\mathbb{Z}$ -module with a basis consisting of the indicator functions of the G-orbits in  $\mathcal{F} \times \mathcal{F}$ . Define an operation  $\star$  on S as follows: for each  $f, g \in S$ ,  $f \star g \in S$  is given by

$$(f \star g)(L, L'') = \sum_{L' \in \mathcal{F}} f(L, L')g(L', L''),$$

for  $(L, L'') \in \mathcal{F} \times \mathcal{F}$ .

 $f \star g$  is well defined since the supports of f and g consist of finitely many G-orbits, so there are only finitely many  $L' \in \mathcal{F}$  such that  $f(L, L')g(L', L'') \neq 0$ , given  $(L, L'') \in \mathcal{F} \times \mathcal{F}$ .  $f \star g$  is constant on G-orbits and is supported on finitely many G-orbits, so  $f \star g \in S$ .

**Lemma 3.2.1.** The set S together with the operation  $\star$  is an associative  $\mathbb{Z}$ -algebra with identity element  $\iota$  given by  $\iota(L,L)=1$  and  $\iota(L,L')=0$  for  $L'\neq L$ .

*Proof.* Given  $f, g, h \in S$  and  $(L, L''') \in \mathcal{F} \times \mathcal{F}$ ,

$$\begin{split} ((f\star g)\star h)(L,L''') &= \sum_{L''} (f\star g)(L,L'') h(L'',L''') \\ &= \sum_{L''} \sum_{L'} f(L,L') g(L',L'') h(L'',L''') \\ &= (f\star (g\star h))(L,L'''), \end{split}$$

thus  $\star$  is associative.  $\iota$  is the multiplicative identity since

$$(\iota\star f)(L,L'')=\sum_{L'}\iota(L,L')f(L',L'')=f(L,L'')$$

and

$$(f \star \iota)(L, L'') = \sum_{L'} f(L, L') \iota(L', L'') = f(L, L''),$$

for each  $f \in S$  and  $(L, L'') \in \mathcal{F} \times \mathcal{F}$ .

Given  $A \in \Lambda_1$ , let  $e_A \in S$  denote the indicator function of the orbit  $\mathcal{O}_A$ . S is a free  $\mathbb{Z}$ -module with basis  $\{e_A : A \in \Lambda_1\}$ . There exist  $\gamma_{A,B,C;q} \in \mathbb{Z}$  for  $A,B,C \in \Lambda_1$  such that

$$e_A \star e_B = \sum_{C \in \Lambda_1} \gamma_{A,B,C;q} e_C$$

for each  $A, B \in \Lambda_1$ . Then

$$\begin{split} \gamma_{A,B,C;\mathbf{q}} &= (e_A \star e_B)(L,L'') \\ &= \sum_{L'} e_A(L,L') e_B(L',L'') \\ &= \# \{ L' : (L,L') \in \mathcal{O}_A \text{ and } (L',L'') \in \mathcal{O}_B \}, \end{split}$$

for any  $(L, L'') \in \mathcal{O}_C$ .

#### 3.3 Affine q-Schur algebras

There exist polynomials  $\gamma_{A,B,C} \in \mathbb{Z}[q]$  for  $A,B,C \in \Lambda_1$  such that  $\gamma_{A,B,C}(q) = \gamma_{A,B,C;q}$  for any prime power q, following [32, section 4]. The affine q-Schur algebra  $\hat{S}_q(n,r)$  is a  $\mathbb{Z}[q]$ -algebra which is a free  $\mathbb{Z}[q]$ -module with basis  $\{e_A : A \in \Lambda_1\}$  and with multiplication given by

$$e_A e_B = \sum_C \gamma_{A,B,C} e_C.$$

Given the existence of these 'universal polynomials'  $\gamma_{A,B,C} \in \mathbb{Z}[q]$ , it follows from Lemma 3.2.1 that  $\hat{S}_q(n,r)$  is an associative  $\mathbb{Z}[q]$ -algebra with multiplicative identity given by

$$1 = \sum_{\lambda \in \Lambda_0} e_{D_{\lambda}}.$$

## Presenting affine q-Schur algebras

#### 4.1 Basic results and notation

#### 4.1.1 Elementary basis elements

For each  $i, j \in \mathbb{Z}$ , let  $\mathcal{E}_{i,j}$  be the  $\mathbb{Z} \times \mathbb{Z}$  'elementary periodic matrix' with entries given by

$$(\mathcal{E}_{i,j})_{s,t}=1$$

if (s,t) = (i+cn, j+cn) for some  $c \in \mathbb{Z}$  and  $(\mathcal{E}_{i,j})_{s,t} = 0$  otherwise. Clearly  $\mathcal{E}_{i,j} = \mathcal{E}_{i+n,j+n}$  for each  $i,j \in \mathbb{Z}$ .

Recall from Definition 3.0.4 that the diagonal matrix associated to a composition  $\lambda \in \Lambda_0$  is

$$D_{\lambda} = \lambda_1 \mathcal{E}_{1,1} + \dots + \lambda_n \mathcal{E}_{n,n}.$$

The corresponding basis elements  $e_{D_{\lambda}}$ , for  $\lambda \in \Lambda_0$ , are pairwise orthogonal idempotents in  $\hat{S}_q(n,r)$  with

$$\sum_{\lambda \in \Lambda_0} e_{D_\lambda} = 1,$$

as a result of Lemma 3.1.7.

For each  $i \in \{1, ..., n\}$  and  $\lambda \in \Lambda_0$  with  $\lambda_{i+1} > 0$ , define

$$E_{i,\lambda} = e_{D_{\lambda} + \mathcal{E}_{i,i+1} - \mathcal{E}_{i+1,i+1}}$$

and define

$$E_i = \sum_{\lambda \in \Lambda_0: \lambda_{i+1} > 0} E_{i,\lambda}.$$

Also define, for each  $i \in \{1, ..., n\}$  and  $\lambda \in \Lambda_0$  with  $\lambda_i > 0$ ,

$$F_{i,\lambda} = e_{D_{\lambda} + \mathcal{E}_{i+1,i} - \mathcal{E}_{i,i}}$$

and define

$$F_i = \sum_{\lambda \in \Lambda_0: \lambda_i > 0} F_{i,\lambda}.$$

For each  $i \in \{1, ..., n\}$ , let  $\alpha_i = \varepsilon_i - \varepsilon_{i+1}$ . Then

$$co(E_{i,\lambda}) = co(F_{i,\lambda}) = \lambda,$$

$$ro(E_{i,\lambda}) = \lambda + \alpha_i$$

and

$$ro(F_{i,\lambda}) = \lambda - \alpha_i$$
.

#### 4.1.2 Transpose involution

Let S be the  $\mathbb{Z}[q]$ -module automorphism of  $\hat{S}_q(n,r)$  given by

$$S(e_A) = e_{A^{\top}},$$

for each  $A \in \Lambda_1$ .

**Lemma 4.1.1.** The map S is a  $\mathbb{Z}[q]$ -algebra antihomomorphism of order 2. In particular,

$$S(e_A e_B) = S(e_B)S(e_A)$$

for each  $A, B \in \Lambda_1$ .

*Proof.* Let  $A, B, C \in \Lambda_1$  and let  $\mathbf{k}$  be a finite field with  $\mathbf{q} = \# \mathbf{k}$  elements. If  $(L, L'') \in \mathcal{O}_C$  then  $(L'', L) \in \mathcal{O}_{C^{\top}}$  and

$$\begin{split} \gamma_{A,B,C;\mathbf{q}} &= \#\{L': (L,L') \in \mathcal{O}_A \text{ and } (L',L'') \in \mathcal{O}_B\} \\ &= \#\{L': (L'',L') \in \mathcal{O}_{B^\top} \text{ and } (L',L) \in \mathcal{O}_{A^\top}\} \\ &= \gamma_{B^\top,A^\top,C^\top;\mathbf{q}} \end{split}$$

It follows that

$$S(e_A e_B) = S(e_B)S(e_A),$$

for each  $A, B \in \Lambda_1$  and therefore S is a  $\mathbb{Z}[q]$ -algebra antihomomorphism. Moreover,  $S \circ S$  is the identity map on  $\hat{S}_q(n,r)$  since  $(A^\top)^\top = A$ .

The action of S on  $E_i$ ,  $F_i$  and  $1_{\lambda}$  is as follows:

$$S(1_{\lambda}) = 1_{\lambda}$$

for each  $\lambda \in \Lambda_0$ ,

$$S(E_{i,\lambda}) = F_{i,\lambda+\alpha_i}$$

for each  $i \in \{1, ..., n\}$  and  $\lambda \in \Lambda_0$  with  $\lambda_{i+1} > 0$ , and

$$S(F_{i,\lambda}) = E_{i,\lambda-\alpha_i}$$

for each  $i \in \{1, ..., n\}$  and  $\lambda \in \Lambda_0$  with  $\lambda_i > 0$ . In particular,

$$S(E_i) = F_i$$

$$S(F_i) = E_i$$

$$S(1_{\lambda}) = 1_{\lambda}$$

for  $i \in \{1, ..., n\}$  and  $\lambda \in \Lambda_0$ .

#### 4.1.3 Fundamental multiplication rules

**Lemma 4.1.2.** Given  $A \in \Lambda_1$  and  $i \in \{1, ..., n\}$  with  $ro(A)_{i+1} > 0$ ,

$$E_i e_A = \sum_{p \in \mathbb{Z}: a_{i+1,p} > 0} q^{\sum_{j > p} a_{i,j}} [[a_{i,p} + 1]] e_{A + \mathcal{E}_{i,p} - \mathcal{E}_{i+1,p}}.$$

Given  $A \in \Lambda_1$  and  $i \in \{1, ..., n\}$  with  $ro(A)_i > 0$ ,

$$F_i e_A = \sum_{p \in \mathbb{Z}: a_{i,p} > 0} q^{\sum_{j < p} a_{i+1,j}} [[a_{i+1,p} + 1]] e_{A + \mathcal{E}_{i+1,p} - \mathcal{E}_{i,p}}.$$

Note that these formulas are still valid in the cases  $E_i e_A = 0$  and  $F_i e_A = 0$ . If the convention that  $e_B = 0$  whenever B is not in  $\Lambda_1$  is used, then the conditions on p in the above sums may be ignored.

Corollary 4.1.3. Given  $A \in \Lambda_1$  and  $j \in \{1, ..., n\}$  with  $co(A)_{j+1} > 0$ ,

$$e_A F_j = \sum_{p \in \mathbb{Z}: a_{p,j+1} > 0} q^{\sum_{i > p} a_{i,j}} [[a_{p,j} + 1]] e_{A + \mathcal{E}_{p,j} - \mathcal{E}_{p,j+1}}.$$

Given  $A \in \Lambda_1$  and  $j \in \{1, ..., n\}$  with  $co(A)_j > 0$ ,

$$e_A E_j = \sum_{p \in \mathbb{Z}: a_{p,j} > 0} q^{\sum_{i < p} a_{i,j+1}} [[a_{p,j+1} + 1]] e_{A+\mathcal{E}_{p,j+1} - \mathcal{E}_{p,j}}.$$

Proof.

$$\begin{split} e_{A}F_{j} &= S(E_{j}e_{A^{\top}}) \\ &= S\left(\sum_{p \in \mathbb{Z}: a_{p,j+1} > 0} q^{\sum_{i > p} a_{i,j}} [[a_{p,j} + 1]] e_{A^{\top} + \mathcal{E}_{j,p} - \mathcal{E}_{j+1,p}}\right) \\ &= \sum_{p \in \mathbb{Z}: a_{p,j+1} > 0} q^{\sum_{i > p} a_{i,j}} [[a_{p,j} + 1]] e_{A + \mathcal{E}_{p,j} - \mathcal{E}_{p,j+1}}, \end{split}$$

where the second equality comes from Lemma 4.1.2. Similarly,

$$\begin{split} e_A E_j &= S(F_j e_{A^\top}) \\ &= S\left(\sum_{p \in \mathbb{Z}: a_{p,j} > 0} q^{\sum_{i < p} a_{i,j+1}} [[a_{p,j+1} + 1]] e_{A^\top + \mathcal{E}_{j+1,p} - \mathcal{E}_{j,p}}\right) \\ &= \sum_{p \in \mathbb{Z}: a_{p,j} > 0} q^{\sum_{i < p} a_{i,j+1}} [[a_{p,j+1} + 1]] e_{A + \mathcal{E}_{p,j+1} - \mathcal{E}_{p,j}}. \end{split}$$

#### 4.1.4 Shifting

In this subsection it is shown that the operations on  $\Lambda_1$  given by shifting up by one row or to the right by one column may be described by the action, on the left or right respectively, of an invertible element R of  $\hat{S}_q(n,r)$ .

For each  $A \in \Lambda_1$  and  $m \in \mathbb{Z}$ , the row shift of A by m is the element [m]A of  $\Lambda_1$  given by

$$([m]A)_{i,j} = a_{i+m,j},$$

for each  $i, j \in \mathbb{Z}$ .

The column shift of A by m is the element A[m] given by

$$(A[m])_{i,j} = a_{i,j+m},$$

for each  $i, j \in \mathbb{Z}$ .

For each  $\lambda \in \Lambda_0$ , define

$$R_{\lambda} = e_{[1]D_{\lambda}}$$

$$= e_{\lambda_1} \mathcal{E}_{0,1} + \dots + \lambda_n} \mathcal{E}_{n-1,n}$$

and let

$$R = \sum_{\lambda \in \Lambda_0} R_{\lambda}.$$

Recall that

$$\mathcal{O}_{D_{\lambda}} = \{ (L, L) : L \in \mathcal{F}_{\lambda} \},$$

so

$$\mathcal{O}_{[m]D_{\lambda}} = \{([m]L, L) : L \in \mathcal{F}_{\lambda}\}$$

and

$$\mathcal{O}_{D_{\lambda}[m]} = \{(L, [m]L) : L \in \mathcal{F}_{\lambda}\}.$$

This leads to a simple rule for multiplication by R in terms of these shifts on matrices.

#### **Lemma 4.1.4.** *If* $A \in \Lambda_1$ *then*

$$Re_A = e_{[1]A}$$

and

$$e_A R = e_{A[-1]}.$$

#### *Proof.* TYPE THIS PROOF

As a visual cue, acting on a basis element  $e_A$  on the left by R corresponds to moving the matrix A up by the row, while acting on the right by R corresponds to moving the matrix to the right by one column. Then conjugating by R corresponds to the composition of a shift to the left by one and a shift up by one, which is a shift by one along the diagonal, so conjugating by  $R^n$  leaves  $e_A$  invariant. Thus conjugation by R gives a  $\mathbb{Z}[q]$ -algebra automorphism of  $\hat{S}_q(n,r)$  which has order n.

#### **Lemma 4.1.5.** The element R is invertible and

$$RS(R) = S(R)R = 1.$$

In particular,

$$R^{-1} = \sum_{\lambda \in \Lambda_0} e_{[-1]D_{\lambda}}.$$

*Proof.* TYPE THIS PROOF.

For  $\lambda \in \Lambda_0$  and  $m \in \mathbb{Z}$ , let  $[m]\lambda$  be the element in  $\Lambda_0$  given by

$$([m]\lambda)_i = \lambda_{i+m},$$

for each  $i \in \mathbb{Z}$ , where the indices of  $\lambda$  are taken modulo n. For example, if  $\lambda = (2, 1, 3)$ , then  $[1]\lambda = (1, 3, 2)$ .

**Lemma 4.1.6.** For each  $\lambda \in \Lambda_0$ ,

$$R1_{\lambda}S(R) = 1_{[1]\lambda}$$

and, for each  $i \in \{1, \ldots, n\}$ ,

$$RE_iS(R) = E_{i-1}$$

and

$$RF_iS(R) = F_{i-1}.$$

*Proof.* TYPE THIS PROOF.

Although I can't be sure, I suspect that conjugation by R gives a realisation of the Auslander-Reiten translation on the nilpotent representations of a cyclic quiver determined by the upper triangular matrices in  $\Lambda_1$ . This is at least plausible since the A.R translation  $\tau$  sends the simple representation at vertex i to the simple representation at vertex i-1, which is consistent with the conjugation by R, which sends  $E_i$  to  $E_{i-1}$ .

#### 4.2 Relations

Note that  $E_i^{r+1} = F_i^{r+1} = 0$  while

$$E_i^r = [r]_! e_{r\mathcal{E}_{i,i+1}}$$

and

$$F_i^r = [r]_! e_{r\mathcal{E}_{i+1,i}}.$$

**Lemma 4.2.1** (quantum Serre relations:  $n \geq 3$ ). Suppose  $n \geq 3$ . The following relations hold in  $\hat{S}_q(n,r)$ :

$$E_i E_i - E_i E_i = 0$$

$$F_i F_i - F_i F_i = 0$$

unless  $j = i \pm 1$ ;

$$E_i E_{i+1}^2 - (1+q)E_{i+1}E_i E_{i+1} + q E_{i+1}^2 E_i = 0$$
  
$$E_i^2 E_{i+1} - (1+q)E_i E_{i+1} E_i + q E_{i+1} E_i^2 = 0$$

and

$$F_{i+1}F_i^2 - (1+q)F_iF_{i+1}F_i + qF_i^2F_{i+1} = 0$$
  
$$F_{i+1}^2F_i - (1+q)F_{i+1}F_iF_{i+1} + qF_iF_{i+1}^2 = 0.$$

*Proof.* Here we introduce temporary notation for the basis elements: Write  $[A] = e_A$ . Take  $\lambda \in \Lambda_0$ .

$$E_i E_{i+1}^2 1_{\lambda} = [2][D_{\lambda} + 2X_{i+1,i+2} + X_{i,i+2}] + [2][D_{\lambda} + 2X_{i+1,i+2} + X_{i,i+1}]$$

$$E_{i+1}E_iE_{i+1}1_{\lambda} = [D_{\lambda} + 2X_{i+1,i+2} + X_{i,i+1}] + [2][D_{\lambda} + 2X_{i+1,i+1} + X_{i,i+1}]$$

$$E_{i+1}^2 E_i 1_{\lambda} = [2][D_{\lambda} + 2X_{i+1,i+2} + X_{i,i+1}]$$

Then

$$(E_i E_{i+1}^2 - (1+q)E_{i+1}E_i E_{i+1} + q E_{i+1}^2 E_i)1_{\lambda} = 0,$$

for each  $\lambda \in \Lambda_0$ . The relation  $E_i E_{i+1}^2 - (1+q) E_{i+1} E_i E_{i+1} + q E_{i+1}^2 E_i = 0$  then follows.

The relations between  $F_i$  and  $F_{i+1}$  may be obtained directly, as above, or by applying the transpose operator to the relations already derived: note that the two sets of relations are related by swapping  $E_i$  and  $F_i$  and reversing the order of multiplication.

**Lemma 4.2.2** (quantum Serre relations: n = 2). In the case n = 2, the quantum Serre relations will be of total degree 4. Look at the presentation of quantum groups for candidate relations. If that fails, brute force won't be too hard.

**Lemma 4.2.3.**  $[E_i, F_j] = 0$  unless j = i.

$$E_i F_i - F_i E_i = \sum_{\lambda \in \Lambda_0} ([\lambda_i] - [\lambda_{i+1}]) 1_{\lambda}.$$

#### 4.3 quivers with relations

Denote by  $\Lambda_0$  the set of compositions of r into n parts. That is,  $\Lambda_0$  is the set of  $\alpha \in \mathbb{Z}^n$  with non-negative entries which sum to r. Let  $\varepsilon_i \in \mathbb{Z}^n$  be the ith elementary vector and write  $\alpha_i = \varepsilon_i - \varepsilon_{i+1}$  for each  $i \in \{1, \ldots, n\}$ . Then  $\lambda + \alpha_i \in \Lambda_0$  if  $\lambda_{i+1} > 0$  and  $\lambda - \alpha_i \in \Lambda_0$  if  $\lambda_i > 0$ .

Let  $\Gamma = \Gamma(n,r)$  be the quiver with set of vertices  $\Lambda_0$ , with the following arrows:

For  $\lambda \in \Lambda_0$  and  $i \in \{1, ..., n\}$ , there is an arrow  $e_{i,\lambda} : \lambda \to \lambda + \alpha_i$  if  $\lambda_{i+1} > 0$  and there is an arrow  $f_{i,\lambda} : \lambda \to \lambda - \alpha_i$  if  $\lambda_i > 0$ .

Denote by  $\mathbb{Z}[q]\Gamma$  the path  $\mathbb{Z}[q]$ -algebra of  $\Gamma$ . Thus  $\mathbb{Z}[q]\Gamma$  is a free  $\mathbb{Z}[q]$ -module with a basis given by the set of paths in  $\Gamma$ , with multiplication given by the concatenation of paths. If p starts where q ends, the product pq is the path q followed by p. Write  $e_{i,\lambda} = 0$  unless  $\lambda, \lambda + \alpha_i \in \Lambda_0$  and write  $f_{i,\lambda} = 0$  unless  $\lambda, \lambda - \alpha_i \in \Lambda_0$ .

By construction, there is a homomorphism of  $\mathbb{Z}[q]$ -algebras

$$\phi \colon \mathbb{Z}[q]\Gamma \to \hat{S}_q(n,r)$$

given by

$$\phi(e_{i,\lambda}) = E_{i,\lambda}$$
  

$$\phi(f_{i,\lambda}) = F_{i,\lambda}$$
  

$$\phi(k_{\lambda}) = 1_{\lambda},$$

for  $i \in \{1, \ldots, n\}$  and  $\lambda \in \Lambda_0$ .

The image of  $\phi$  is the subalgebra of  $\hat{S}_q(n,r)$  generated by  $E_i$ ,  $F_i$  for  $i \in \{1,\ldots,n\}$  and  $1_{\lambda}$  for  $\lambda \in \Lambda_0$ , since  $E_{i,\lambda} = E_i 1_{\lambda}$  and  $F_{i,\lambda} = F_i 1_{\lambda}$ , while  $E_i = \sum_{\lambda} E_{i,\lambda}$  and  $F_i = \sum_{\lambda} F_{i,\lambda}$ . In general  $\phi$  is not surjective, so this does not always lead to a presentation of  $\hat{S}_q(n,r)$ .

#### 4.3.1 Exceptional case n=2.

Describe the quiver.

Define an ideal of relations in the path algebra.

Write down the homomorphism from the bound quiver algebra to the q-Schur algebra.

#### 4.3.2 Typical case.

Suppose  $n \geq 3$ . Then  $\Gamma = \Gamma(n, r)$  has vertex set  $\Lambda_0$ .

Define  $e_i, f_i \in \mathbb{Z}[q]\Gamma(n,r)$  by

$$e_i = \sum_{\lambda \in \Lambda_0} e_{i,\lambda}$$

and

$$f_i = \sum_{\lambda \in \Lambda_0} f_{i,\lambda},$$

with the convention  $e_{i,\lambda} = 0$  unless  $\lambda_{i+1} > 0$  and  $f_{i,\lambda} = 0$  unless  $\lambda_i > 0$ . Let  $k_{\lambda}$  denote the constant path at vertex  $\lambda$ .  $\{k_{\lambda} : \lambda \in \Lambda_0\}$  is a set of pairwise orthogonal idempotents in  $\mathbb{Z}[q]\Gamma(n,r)$ .

Let  $I(n,r) \subset \mathbb{Z}[q]\Gamma(n,r)$  be the ideal generated by the expressions

$$e_{i}e_{i+1}^{2} - (1+q)e_{i+1}e_{i}e_{i+1} + qe_{i+1}^{2}e_{i}$$

$$e_{i}^{2}e_{i+1} - (1+q)e_{i}e_{i+1}e_{i} + qe_{i+1}e_{i}^{2}$$

$$f_{i+1}f_{i}^{2} - (1+q)f_{i}f_{i+1}f_{i} + qf_{i}^{2}f_{i+1}$$

$$f_{i+1}^{2}f_{i} - (1+q)f_{i+1}f_{i}f_{i+1} + qf_{i}f_{i+1}^{2}$$

$$e_{i}f_{j} - f_{j}e_{i} - \delta_{i,j} \sum_{\lambda \in \Lambda_{0}} ([\lambda_{i}] - [\lambda_{i+1}])k_{\lambda}$$

Recall that a relation is a  $\mathbb{Z}[q]$ -linear combination of paths with common start and end vertices. The relations involving paths  $\lambda \to \mu$  are given by  $1_{\mu} \exp 1_{\lambda}$ , for each of the above expressions.

**Lemma 4.3.1.** There is a homomorphism of  $\mathbb{Z}[q]$ -algebras

$$\phi \colon \mathbb{Z}[q]\Gamma(n,r)/I(n,r) \to \hat{S}_q(n,r)$$

given by

$$\phi(e_{i,\lambda}) = E_{i,\lambda}$$

$$\phi(f_{i,\lambda}) = F_{i,\lambda}$$

$$\phi(k_{\lambda}) = 1_{\lambda}.$$

## A generic affine algebra

#### 5.1 Introduction

Assume  $\mathbf{k} = \mathbb{C}$  and fix  $n, r \geq 1$ . Let  $\mathcal{S}$  be the  $\mathbf{k}$ -algebra  $\mathbf{k}[\varepsilon, \varepsilon^{-1}]$  and let  $\mathcal{R}$  be the subalgebra generated by  $\varepsilon$ , namely  $\mathcal{R} = \mathbf{k}[\varepsilon]$ . Let V be a free  $\mathcal{S}$ -module of rank r and let  $\mathcal{F} = \mathcal{F}_{\mathbf{k}}(n, r)$  be the set of n-periodic cyclic flags in V; so  $\mathcal{F}$  consists of collections  $L = (L_i)_{i \in \mathbb{Z}}$  of  $\mathcal{R}$ -lattices in V with  $L_i \subset L_{i+1}$  for  $i \in \mathbb{Z}$  and  $\varepsilon L_i = L_{i-n}$  for  $i \in \mathbb{Z}$ .

Let G be the group of S-module automorphisms of V. Thus G is isomorphic to  $GL_r(S)$ . G acts on F with orbits  $\{\mathcal{F}_{\lambda} : \lambda \in \Lambda_0\}$ , where  $\Lambda_0$  is the set of compositions of r into n parts, as in Definition 3.0.1.

The diagonal action of G on  $\mathcal{F} \times \mathcal{F}$  has orbits  $\{\mathcal{O}_A : A \in \Lambda_1\}$ , where  $\mathcal{O}_A$  consists of those pairs of flags with periodic characteristic matrix equal to A. Definitions of the periodic characteristic matrix and the set  $\Lambda_1$  are given in Definition 3.1.1 and Definition 3.0.2 respectively.

Recall that the periodic characteristic matrix of a pair  $(L, L') \in \mathcal{F} \times \mathcal{F}$  is the  $\mathbb{Z} \times \mathbb{Z}$  matrix  $A = (a_{i,j})_{i,j \in \mathbb{Z}}$ , with

$$a_{i,j} = \dim \left( \frac{L_i \cap L'_j}{L_{i-1} \cap L'_j + L_i \cap L'_{j-1}} \right)$$

for each  $i, j \in \mathbb{Z}$ .

Recall that ro and co are the maps  $\Lambda_1 \to \Lambda_0$  given by

$$\operatorname{ro}(A) = \left(\sum_{j \in \mathbb{Z}} a_{1,j}, \dots, \sum_{j \in \mathbb{Z}} a_{n,j}\right)$$

and

$$co(A) = \left(\sum_{i \in \mathbb{Z}} a_{i,1}, \dots, \sum_{i \in \mathbb{Z}} a_{i,n}\right)$$

for each  $A \in \Lambda_1$ . Given  $A \in \Lambda_1$ , write  $A: co(A) \to ro(A)$ .

The purpose of this chapter is to define an associative  $\mathbb{Z}$ -algebra with a multiplicative basis by defining a modified form of the product in the affine q-Schur algebra. In particular, given  $A, B \in \Lambda_1$ , the orbit product

$$X_{A,B} = \{(L, L'') \in \mathcal{F} \times \mathcal{F} : \exists L' \in \mathcal{F} \text{ with } (L, L') \in \mathcal{O}_A, (L', L'') \in \mathcal{O}_B\}$$

consists of finitely many G-orbits and it will be shown that there is a unique 'generic' orbit in  $X_{A,B}$ , denoted  $\mathcal{O}_{A*B}$ , with the property that

$$\dim\left(\frac{L_i}{L_i \cap L_j''}\right) \le \dim\left(\frac{N_i}{N_i \cap N_j''}\right)$$

and

$$\dim\left(\frac{L_j''}{L_i\cap L_j''}\right) \le \dim\left(\frac{N_j''}{N_i\cap N_j''}\right)$$

for all  $i, j \in \mathbb{Z}$ ,  $(N, N'') \in \mathcal{O}_{A*B}$  and  $(L, L'') \in X_{A,B}$ . It will be shown that the above 'generic product' of orbits is associative, so the free  $\mathbb{Z}$ -module on the set of G-orbits in  $\mathcal{F} \times \mathcal{F}$  with  $\mathbb{Z}$ -bilinear multiplication given by

$$\mathcal{O}_A * \mathcal{O}_B = \mathcal{O}_{A*B},$$

for each  $A, B \in \Lambda_1$  with co(A) = ro(B), and

$$\mathcal{O}_A * \mathcal{O}_B = 0$$

for  $A, B \in \Lambda_1$  with  $co(A) \neq ro(B)$ , is an associative  $\mathbb{Z}$ -algebra with multiplicative identity given by

$$\sum_{\lambda \in \Lambda_0} \mathcal{O}_{D_{\lambda}},$$

where  $D_{\lambda}$  is the diagonal matrix with  $co(D_{\lambda}) = \lambda$ . The resulting  $\mathbb{Z}$ -algebra is called the *generic affine algebra* (of rank r and period n), denoted  $\hat{G}(n,r)$ .

#### 5.2 A combinatorial partial order

For each  $i, j \in \mathbb{Z}$ , let  $d_{i,j}$  and  $\bar{d}_{i,j}$  be the maps from  $\Lambda_1$  to  $\Lambda_0$  given by

$$d_{i,j}(A) = \sum_{s \le i, t > j} a_{s,t}$$

and

$$\bar{d}_{i,j}(A) = \sum_{s>i,t\leq j} a_{s,t}$$

for each  $A \in \Lambda_1$ .

**Lemma 5.2.1.** For each  $A \in \Lambda_1$  and  $i, j \in \mathbb{Z}$ , the following equations hold:

$$d_{i,j}(A) - d_{i-1,j}(A) = \sum_{t>j} a_{i,t}$$
$$d_{i,j}(A) - d_{i,j-1}(A) = -\sum_{s \le i} a_{s,j}$$

and

$$\bar{d}_{i,j}(A) - \bar{d}_{i,j-1}(A) = -\sum_{t \le j} a_{i,t}$$
$$\bar{d}_{i,j}(A) - \bar{d}_{i,j-1}(A) = \sum_{s > i} a_{s,j}$$

*Proof.* Let  $i, j \in \mathbb{Z}$  and  $A \in \Lambda_1$ . Then

$$d_{i,j}(A) - d_{i-1,j}(A) = \sum_{s \le i, t > j} a_{s,t} - \sum_{s \le i-1, t > j} a_{s,t} = \sum_{t > j} a_{i,t}$$

and

$$d_{i,j}(A) - d_{i,j-1}(A) = \sum_{s \le i, t > j} a_{s,t} - \sum_{s \le i, t > j-1} a_{s,t} = -\sum_{s \le i} a_{s,j}.$$

Similarly,

$$\bar{d}_{i,j}(A) - \bar{d}_{i-1,j}(A) = \sum_{s>i,t \le j} a_{s,t} - \sum_{s>i-1,t \le j} a_{s,t} = -\sum_{t \le j} a_{i,t}$$

and

$$\bar{d}_{i,j}(A) - \bar{d}_{i,j-1}(A) = \sum_{s>i,t \le j} a_{s,t} - \sum_{s>i,t \le j-1} a_{s,t} = \sum_{s>i} a_{s,j}.$$

**Lemma 5.2.2.** For each  $A \in \Lambda_1$  and  $i, j \in \mathbb{Z}$ ,

$$a_{i,j} = d_{i,j-1}(A) - d_{i-1,j-1}(A) - d_{i,j}(A) + d_{i-1,j}(A)$$

and

$$a_{i,j} = \bar{d}_{i,j-1}(A) - \bar{d}_{i-1,j-1}(A) - \bar{d}_{i,j}(A) + \bar{d}_{i-1,j}(A).$$

*Proof.* As a result of Lemma 5.2.1,

$$d_{i,j-1}(A) - d_{i-1,j-1}(A) - d_{i,j}(A) + d_{i-1,j}(A) = \sum_{t>j-1} a_{i,t} - \sum_{t>j} a_{i,t}$$
$$= a_{i,j}$$

and

$$\bar{d}_{i,j-1}(A) - \bar{d}_{i-1,j-1}(A) - \bar{d}_{i,j}(A) + \bar{d}_{i-1,j}(A) = -\sum_{t \le j-1} a_{i,t} + \sum_{t \le j} a_{i,t}$$
$$= a_{i,j}.$$

Define a relation  $\leq$  on  $\Lambda_1$  by  $A \leq B$  if and only if the following conditions are satisfied:

- $\operatorname{ro}(A) = \operatorname{ro}(B)$  and  $\operatorname{co}(A) = \operatorname{co}(B)$ .
- For each  $i, j \in \mathbb{Z}$ ,  $d_{i,j}(A) \leq d_{i,j}(B)$ .
- For each  $i, j \in \mathbb{Z}$ ,  $\bar{d}_{i,j}(A) \leq \bar{d}_{i,j}(B)$ .

**Lemma 5.2.3.** The relation  $\leq$  defines a partial order on  $\Lambda_1$ .

*Proof.* It is clear that  $\leq$  is reflexive and transitive.

Suppose  $A, B \in \Lambda_1$  with  $A \leq B$  and  $B \leq A$ . Then  $d_{i,j}(A) = d_{i,j}(B)$  for each  $i, j \in \mathbb{Z}$  with  $i \leq j$ , which shows  $a_{s,t} = b_{s,t}$  whenever s < t, as a result of Lemma 5.2.2. Similarly,  $\bar{d}_{i,j}(A) = \bar{d}_{i,j}(B)$  for each  $i, j \in \mathbb{Z}$  with  $i \geq j$ , so  $a_{s,t} = b_{s,t}$  whenever s > t. Moreover,  $a_{i,i} = b_{i,i}$  for each  $i \in \mathbb{Z}$ , since co(A) = co(B). Thus A = B, which shows  $\leq$  is antisymmetric and therefore  $\leq$  is a partial order on  $\Lambda_1$ .

**Lemma 5.2.4.** The transpose operation on  $\Lambda_1$  is order preserving. In particular,  $B \leq A$  if and only if  $B^{\top} \leq A^{\top}$ .

*Proof.* Suppose  $A, B \in \Lambda_1$  with  $B \leq A$ . The condition co(A) = co(B) and ro(A) = ro(B) is preserved by the transpose operation.

For each  $i, j \in \mathbb{Z}$ ,

$$d_{i,j}(A^{\top}) = \sum_{s \le i, t > j} a_{t,s} = \bar{d}_{j,i}(A)$$

and

$$\bar{d}_{i,j}(A^{\top}) = \sum_{s>i,t \le j} a_{t,s} = d_{j,i}(A).$$

It follows that  $B^{\top} \leq A^{\top}$  and therefore the transpose is order preserving.

The partial order on  $\Lambda_1$  induces a partial order on the set of G-orbits in  $\mathcal{F} \times \mathcal{F}$ , such that  $\mathcal{O}_A \leq \mathcal{O}_B$  if and only if  $A \leq B$ . The following lemma is rephrased from Lemma 3.1.4 and gives some geometric significance to the partial order on  $\Lambda_1$ .

**Lemma 5.2.5.** Let  $A \in \Lambda_1$  and  $(L, L') \in \mathcal{O}_A$ . Then

$$\dim\left(\frac{L_i}{L_i\cap L_j'}\right) = d_{i,j}(A)$$

and

$$\dim\left(\frac{L'_j}{L_i\cap L'_j}\right) = \bar{d}_{i,j}(A),$$

for each  $i, j \in \mathbb{Z}$ .

*Proof.* This is a rephrasing of Lemma 3.1.4.

#### 5.3 Grassmannians and related varieties

Here we collect a few elementary results on Grassmannians and some related varieties. In this section, let V be an n-dimensional  $\mathbf{k}$ -vector space and let  $0 \le d \le n$  be an integer. There is a linear map

$$\phi^{(d)} \colon \Lambda^d(V) \to \operatorname{Hom}(V, \Lambda^{d+1}(V))$$

given by

$$\phi^{(d)}(\alpha)(v) = \alpha \wedge v$$

for  $\alpha \in \Lambda^d(V)$  and  $v \in V$ . The kernel of  $\phi^{(d)}(\alpha)$  is the space of divisors of  $\alpha$ ,

$$D_{\alpha} = \{ v \in V : \alpha \wedge v = 0 \}.$$

An element  $\alpha \in \Lambda^d(V)$  is said to be totally decomposable if  $\alpha = \alpha_1 \wedge \cdots \wedge \alpha_d$ , where  $\alpha_1, \ldots, \alpha_d \in V$  are linearly independent. The dimension of  $D_\alpha$  is at most d and  $\dim(D_\alpha) = d$  precisely when  $\alpha$  is totally decomposable. Consequently, the rank of  $\phi^{(d)}(\alpha)$  is at least n-d and  $\alpha$  is totally decomposable if and only if rank  $\phi^{(d)}(\alpha) \leq n-d$ , which holds if and only if the  $(n-d+1)\times(n-d+1)$ -minors of a matrix of  $\phi^{(d)}(\alpha)$  are all zero.

**Lemma 5.3.1.**  $\{(U_1, U_2) \in \operatorname{Gr}_{d_1}(V) \times \operatorname{Gr}_{d_2}(V) : \dim(U_1 \cap U_2) \geq a\}$  is a projective variety, for each  $d_1, d_2, a \in \mathbb{N}$  with  $d_1, d_2, a \leq n$ .

*Proof.* As above, there is a linear map  $\Psi \colon \Lambda^{d_1}V \oplus \Lambda^{d_2}V \to \operatorname{Hom}(V, \Lambda^{d_1+1}(V) \oplus \Lambda^{d_2+1}(V))$  given by  $\Psi(\alpha, \beta)(v) = (\alpha \wedge v, \beta \wedge v)$ . Given  $\alpha \in \Lambda^{d_1}(V)$  and  $\beta \in \Lambda^{d_2}(V)$ , the kernel of  $\Psi(\alpha, \beta)$  is  $D_{\alpha} \cap D_{\beta}$  and so the rank of  $\Psi(\alpha, \beta)$  is  $n - \dim(D_{\alpha} \cap D_{\beta})$ .

Let  $U_i \in \operatorname{Gr}_{d_i}(V)$  and suppose  $p_i(U_i) = [\alpha_i]$ , where  $p_i$  is the Plücker embedding of  $\operatorname{Gr}_{d_i}(V)$  in  $\mathbb{P}(\Lambda^{d_i}(V))$ , so  $U_i = D_{\alpha_i} = \ker \phi^{(d_i)}(\alpha)$ . Therefore the kernel of  $\Psi(\alpha_1, \alpha_2)$  is  $U_1 \cap U_2$ , so the condition that  $\dim(U_1 \cap U_2) \geq a$  is equivalent to the condition that  $\Psi(\alpha_1, \alpha_2)$  has rank at most n-a. After fixing a basis of V, this condition is given by the vanishing of the  $(n-a+1) \times (n-a+1)$  minors of the matrix of  $\Psi(\alpha_1, \alpha_2)$  with respect to this basis. Therefore  $\{(U_1, U_2) \in \operatorname{Gr}_{d_1}(V) \times \operatorname{Gr}_{d_2}(V) : \dim(U_1 \cap U_2) \geq a\}$  is a closed subset of the product of Grassmannians  $\operatorname{Gr}_{d_1}(V) \times \operatorname{Gr}_{d_2}(V)$ , so is a projective variety.

More precisely, the entries of a matrix of  $\Psi(\alpha_1, \alpha_2)$  are homogeneous polynomials of degree 1 in the Plücker coordinates on  $\operatorname{Gr}_{d_1}(V) \times \operatorname{Gr}_{d_2}(V)$  since  $\Psi$  is linear and so the minors of  $\Psi(\alpha_1, \alpha_2)$  are also homogeneous polynomials in the Plücker coordinates.

**Lemma 5.3.2.** Let V be an n-dimensional vector space over  $\mathbf{k}$  and let  $d_1, d_2, a \in \mathbb{N}$  with  $d_1, d_2, a \leq n$ . The following hold:

- 1.  $\{(U_1, U_2) \in \operatorname{Gr}_{d_1}(V) \times \operatorname{Gr}_{d_2}(V) : \dim(U_1 \cap U_2) = a\}$  is a quasiprojective variety;
- 2.  $\{(U_1, U_2) \in \operatorname{Gr}_{d_1}(V) \times \operatorname{Gr}_{d_2}(V) : U_1 \subset U_2\}$  is a projective variety;
- 3. Given  $U_2 \in Gr_{d_2}(V)$ ,  $\{U_1 \in Gr_{d_1}(V) : \dim(U_1 \cap U_2) \geq a\}$  is a projective variety;
- 4. Given  $U_2 \in Gr_{d_2}(V)$ ,  $\{U_1 \in Gr_{d_1}(V) : \dim(U_1 \cap U_2) = a\}$  is a quasiprojective variety;
- 5. Given  $U_2 \in Gr_{d_2}(V)$ ,  $\{U_1 \in Gr_{d_1}(V) : U_1 \subset U_2\}$  is a projective variety;
- 6. Given  $U_2 \in Gr_{d_2}(V)$ ,  $\{U_1 \in Gr_{d_1}(V) : U_2 \subset U_1\}$  is a projective variety.

*Proof.* Let  $X_i$  denote the space in statement i of the lemma. To emphasise the dependence of  $X_i$  on a, write  $X_{i,a}$ .

 $X_1$  is a quasiprojective variety since it is equal to the intersection of the projective variety  $\{(U_1, U_2) \in \operatorname{Gr}_{d_1}(V) \times \operatorname{Gr}_{d_2}(V) : \dim(U_1 \cap U_2) \geq a\}$  with the open set  $\{(U_1, U_2) \in \operatorname{Gr}_{d_1}(V) \times \operatorname{Gr}_{d_2}(V) : \dim(U_1 \cap U_2) \leq a\}$ .

Given  $(U_1, U_2) \in \operatorname{Gr}_{d_1}(V) \times \operatorname{Gr}_{d_2}(V)$ ,  $U_1 \subset U_2$  if and only if  $\dim(U_1 \cap U_2) \geq d_1$ , so Lemma 5.3.1 shows  $X_2$  is a projective variety.

Let  $\pi_i$ :  $\operatorname{Gr}_{d_1}(V) \times \operatorname{Gr}_{d_2}(V) \to \operatorname{Gr}_{d_i}(V)$  be the projection map onto the *i*-th factor, for i = 1, 2. The completeness property of projective varieties ensures that  $\pi_i$  is a closed morphism. Observe that

$$X_3 = \{ U_1 \in \operatorname{Gr}_{d_1}(V) : \dim(U_1 \cap U_2) \ge a \}$$
  
=  $\pi_1(\{(U_1, W) \in \operatorname{Gr}_{d_1}(V) \times \operatorname{Gr}_{d_2}(V) : \dim(U_1 \cap W) \ge a \} \cap \pi_2^{-1}\{U_2\}).$ 

The fibre of  $\pi_2$  over  $U_2$  is closed, so the intersection of the fibre with the variety from Lemma 5.3.1 is closed and then the image of this intersection under  $\pi_1$  is closed. This shows  $X_3$  is a projective variety.

 $X_4$  is a quasiprojective variety since it is the complement of the subvariety  $X_{3,a+1}$  in  $X_{3,a}$ . Finally, 5-6 follow as special cases of 3 since  $X_5 = X_{3,d_1}$  and  $X_6 = X_{3,d_2}$ .

#### 5.4 Geometry of affine flag varieties

Given  $L \in \mathcal{F}$ ,  $N, a \in \mathbb{N}$  and  $\lambda \in \Lambda_0$  define

$$\Pi_{N,\lambda}(L) = \{ L' \in \mathcal{F}_{\lambda} : \varepsilon^{N} L_{0} \subset L'_{0} \subset \varepsilon^{-N} L_{0} \}.$$

and

$$\Pi_{N,\lambda}^a(L) = \left\{ L' \in \mathcal{F}_{\lambda} : \varepsilon^N L_0 \subset L'_0 \subset \varepsilon^{-N} L_0, \dim \left( \frac{\varepsilon^{-N} L_0}{L'_0} \right) = a \right\}.$$

**Lemma 5.4.1.** Given  $L \in \mathcal{F}$ ,  $N \in \mathbb{N}$  and  $\lambda \in \Lambda_0$ ,

$$\Pi_{N,\lambda}(L) = \bigcup_{a:0 \le a \le 2Nr} \Pi_{N,\lambda}^a(L).$$

*Proof.* If  $L' \in \Pi_{N,\lambda}(L)$  then  $\varepsilon^N L_0 \subset L'_0 \subset \varepsilon^{-N} L_0$  and the  $\mathbf{k}[\varepsilon]$ -module  $\varepsilon^{-N} L_0/L'_0$  is naturally isomorphic to  $(\varepsilon^{-N} L_0/\varepsilon^N L_0)/(L'_0/\varepsilon^N L_0)$ , so

$$\dim_{\mathbf{k}}\left(\frac{\varepsilon^{-N}L_0}{L_0'}\right) \leq \dim_{\mathbf{k}}\left(\frac{\varepsilon^{-N}L_0}{\varepsilon^{N}L_0}\right) = 2Nr.$$

**Lemma 5.4.2.** Given  $L \in \mathcal{F}$ ,  $N \in \mathbb{N}$ ,  $\lambda \in \Lambda_0$  and  $a \in \mathbb{N}$  with  $0 \le a \le 2Nr$ ,  $\Pi_{N,\lambda}^a(L)$  is a projective algebraic variety.

*Proof.* Let W be the  $\mathbf{k}[\varepsilon]$ -module  $\varepsilon^{-1-N}L_0/\varepsilon^N L_0$ , which has dimension (2N+1)r over  $\mathbf{k}$ . Let  $d_i = 2Nr - a + \lambda_1 + \cdots + \lambda_i$  for each  $i = 1, \ldots, n$ . The correspondence between submodules of  $\varepsilon^{-1-N}L_0$  which contain  $\varepsilon^N L_0$  and submodules of  $\varepsilon^{-1-N}L_0/\varepsilon^N L_0$  determines a map

$$\rho \colon \Pi_{N,\lambda}^a(L) \to \operatorname{Gr}_{d_1}(W) \times \cdots \times \operatorname{Gr}_{d_n}(W),$$

with  $\rho(L') = (L'_1/\varepsilon^N L_0, \dots, L'_n/\varepsilon^N L_0).$ 

Let  $\mathcal{X}$  be the space of  $(U_1, \ldots, U_n) \in \operatorname{Gr}_{d_1}(W) \times \cdots \times \operatorname{Gr}_{d_n}(W)$  with  $U_i \subset U_{i+1}$  for  $i = 1, \ldots, n-1$  and  $\varepsilon U_n \subset U_1$ . Lemma 5.3.2 shows that each of these conditions is closed, so  $\mathcal{X}$  is a closed subset of  $\operatorname{Gr}_{d_1}(W) \times \cdots \times \operatorname{Gr}_{d_n}(W)$ , therefore  $\mathcal{X}$  is a projective algebraic variety.

The image of  $\rho$  is contained in  $\mathcal{X}$  since

$$\varepsilon L'_n/\varepsilon^N L_0 = L'_0/\varepsilon^N L_0 \subset L'_1/\varepsilon^N L_0 \subset \cdots \subset L'_n/\varepsilon^N L_0.$$

Suppose  $(U_1, \ldots, U_n) \in \mathcal{X}$ . Then  $U_i$  is a  $\mathbf{k}[\varepsilon]$ -module, since  $\varepsilon U_i \subset \varepsilon U_n \subset U_1 \subset U_i$ , for each  $i = 1, \ldots, n$ , so  $U_i$  lifts uniquely to a  $\mathbf{k}[\varepsilon]$ -module  $L'_i$  with  $\varepsilon^N L_0 \subset L'_i \subset \varepsilon^{-1-N} L_0$ . Therefore  $L'_1, \ldots, L'_n$  are  $\mathbf{k}[\varepsilon]$ -lattices with  $L_i \subset L_{i+1}$  for  $i = 1, \ldots, n-1$  and  $\varepsilon L'_n \subset L'_1$ , with

$$\dim \left( \varepsilon^{-1-N} L_0 / L'_n \right) = \dim \left( W / W_n \right) = (2N+1)r - d_n = a$$

and

$$\dim (L'_i/L'_{i-1}) = \dim (W_i/W_{i-1}) = d_i - d_{i-1} = \lambda_i,$$

for each  $i=2,\ldots,n$ . Therefore there is a unique  $L'\in\Pi^a_{N,\lambda}(L)$  such that  $\rho(L')=(W_1,\ldots,W_n)$ , where L' is given by  $L'_{i+cn}=\varepsilon^{-c}L'_i$  for  $i=1,\ldots,n$  and  $c\in\mathbb{Z}$ . It follows  $\rho$  is injective and  $\mathrm{im}\,\rho=\mathcal{X}$ , which is a projective variety, so  $\Pi^a_{N,\lambda}(L)$  is a projective variety.

**Lemma 5.4.3.** Given  $L \in \mathcal{F}$ ,  $N \in \mathbb{N}$ ,  $\lambda \in \Lambda_0$  and  $a \in \mathbb{N}$  with  $0 \le a \le 2Nr$ ,  $\Pi_{N,\lambda}^a(L)$  is closed in  $\Pi_{N+1,\lambda}^{a+r}(L)$ .

*Proof.* If  $L' \in \Pi_{N,\lambda}^a(L)$ , then  $\varepsilon^{N+1}L_0 \subset \varepsilon^N L_0 \subset L'_0 \subset \varepsilon^{-N}L_0 \subset \varepsilon^{-(N+1)}L_0$  and

$$\dim\left(\frac{\varepsilon^{-(1+n)}L_0}{L_0'}\right) = \dim\left(\frac{L_0}{\varepsilon L_0}\right) + \dim\left(\frac{\varepsilon^{-N}L_0}{L_0'}\right) = r + a,$$

which shows that  $\Pi_{N,\lambda}^a(L) \subset \Pi_{N+1,\lambda}^{a+r}(L)$ . For  $L' \in \Pi_{N+1,\lambda}^{a+r}(L)$ , if additionally  $\varepsilon^N L_0 \subset L'_0 \subset \varepsilon^{-N} L_0$ , then

 $\dim\left(\frac{\varepsilon^{-(N+1)}L_0}{L_0'}\right) = r + \dim\left(\frac{\varepsilon^{-N}L_0}{L_0'}\right),\,$ 

which shows  $L' \in \Pi^a_{N,\lambda}(L)$ . Therefore  $\Pi^a_{N,\lambda}(L)$  is the subspace of  $\Pi^{a+r}_{N+1,\lambda}(L)$  defined by the two closed conditions  $\varepsilon^N L_0 \subset L'_0$  and  $L'_0 \subset \varepsilon^{-N} L_0$ , using Lemma 5.3.2.

**Lemma 5.4.4.** Let  $\lambda \in \Lambda_0$ ,  $M, N \in \mathbb{N}$ ,  $L, \tilde{L} \in \mathcal{F}$ ,  $0 \le a \le 2Nr$ ,  $0 \le b \le 2Mr$ .  $\Pi_{N,\lambda}^a(L) \cap \Pi_{M,\lambda}^b(\tilde{L})$  is a closed set in  $\Pi_{N,\lambda}^a(L)$ . In particular, if the intersection is nonempty it is a projective algebraic variety.

*Proof.* Observe that  $\Pi^a_{N,\lambda}(L) \cap \Pi^b_{M,\lambda}(\tilde{L})$  is the subset of  $\Pi^a_{N,\lambda}(L)$  defined by the additional conditions that  $\varepsilon^M \tilde{L}_0 \subset L'_0$  and  $L'_0 \subset \varepsilon^{-M} \tilde{L}_0$ , so is a closed subset of  $\Pi^a_{N,\lambda}(L)$ , using 5.3.2.

**Lemma 5.4.5.** Suppose  $L \in \mathcal{F}$ ,  $N, a \in \mathbb{N}$  and  $\lambda \in \Lambda_0$  with  $a \leq 2Nr$ . For each  $g \in G$ , the natural map (restriction of the action map)  $\Pi_{N,\lambda}^a(L) \to \Pi_{N,\lambda}^a(gL)$  is an isomorphism of projective varieties.

Proof. If  $L' \in \Pi_{N,\lambda}^a(L)$ , then  $\varepsilon^N L_0 \subset L'_0 \subset \varepsilon^{-N} L_0$  and so  $\varepsilon^N g(L_0) \subset g(L'_0) \subset \varepsilon^{-N} g(L_0)$ , so  $gL' \in \Pi_{N,\lambda}^a(L)$ . Thus g and  $g^{-1}$  induce mutually inverse morphisms of varieties  $g: \Pi_{N,\lambda}^a(L) \to \Pi_{N,\lambda}^a(gL)$  and  $g^{-1}: \Pi_{N,\lambda}^a(gL) \to \Pi_{N,\lambda}^a(L)$ .

#### 5.4.1 Action through an algebraic group

Let W be the  $\mathbb{C}[\varepsilon]$ -module  $\varepsilon^{-(1+N)}L_0/\varepsilon^N L_0$ .  $\varepsilon^{2N+1}$  acts as zero on W and  $\mathbb{C}[\varepsilon]/\langle \varepsilon^{2N+1}\rangle \otimes_{\mathbb{C}[\varepsilon]} W$  is a free  $\mathbb{C}[\varepsilon]/\langle \varepsilon^{2N+1}\rangle$ -module of rank r. In particular, W is a complex vector space of dimension (2N+1)r.

Each element  $g \in G_L$  determines an endomorphism  $\overline{g}$  of W, given by

$$\overline{g}(x + \varepsilon^N L_0) = g(x) + \varepsilon^N L_0,$$

for each  $x \in \varepsilon^{-1-N}L_0$ . Given  $g, h \in G_L$ ,  $\overline{gh} = \overline{gh}$  and so  $\overline{g}$  is an automorphism of W with  $\overline{g}^{-1} = \overline{g}^{-1}$ . Therefore the map  $\overline{g}: G_L \mapsto \operatorname{GL}(W)$  given by  $g \mapsto \overline{g}$  is a group homomorphism with kernel

$$H_{N,L} := \{ g \in G_L : \overline{g} = 1 \},$$

which consists of those  $g \in G_L$  such that

$$g(x) - x \in \varepsilon^N L_0$$

for each  $x \in \varepsilon^{-1-N}L_0$ . Thus  $G_L/H_{N,L}$  may be identified with a subgroup of GL(W).

**Lemma 5.4.6.**  $G_L/H_{N,L}$  is a connected algebraic group.

*Proof.* As a result of the first isomorphism theorem,  $G_L/H_{N,L}$  is isomorphic to the image of  $G_L$  in GL(W), which will be described explicitly by equations in the coordinate functions on GL(W), with respect to a fixed basis of W.

Let  $\{\tilde{x}_1,\ldots,\tilde{x}_r\}$  be a basis of  $L_n/L_0$  over  $\mathbb{C}$  which is adapted to the flag

$$L_1/L_0 \subset \cdots \subset L_{n-1}/L_0 \subset L_n/L_0$$

so that

$$L_i/L_0 = \langle \tilde{x}_1, \dots, \tilde{x}_{\lambda_1 + \dots \lambda_i} \rangle$$

for each  $i \in \{1, ..., n\}$ . Fix  $x_1, ..., x_r \in L_n$  such that  $\tilde{x}_i = x_i + L_0$  for each i = 1, ..., r, then

$$L_i = L_0 + \langle x_1, \dots, x_{\lambda_1 + \dots + \lambda_i} \rangle$$

for i = 1, ..., r.

Then W has a C-basis  $\{y_j : 1 \le j \le (2N+1)r\}$  given by

$$y_{i+cr} = \varepsilon^{-c+N} x_i$$

for each  $i \in \{1, ..., r\}$  and  $c \in \{0, ..., 2N\}$ . Observe that  $\varepsilon y_i = 0$  for  $i \in \{1, ..., r\}$  and  $\varepsilon y_i = y_{i-r}$  for  $r < i \le (2N+1)r$ .

The coordinate functions on GL(W) with respect to this choice of basis are the maps

$$\gamma_{i,j} \colon \operatorname{GL}(W) \to \mathbb{C}$$

for  $i, j \in \mathbb{Z}$  with  $1 \le i, j \le (2N+1)r$ , given by

$$g(y_j) = \sum_{i} \gamma_{ij}(g) y_i,$$

for each j = 1, ..., (2N + 1)r.

The image of  $G_L$  in GL(W) is the subgroup defined by the conditions

$$\gamma_{i,j} = \gamma_{i-r,j-r}$$

for each  $i, j \in \{r + 1, \dots, (2N + 1)r\}$  and

$$\gamma_{i,j} = 0$$

for each  $i, j \in \{1, \ldots, (2N+1)r\}$  with  $i > \lambda_1 + \cdots + \lambda_s$  and  $j \leq \lambda_1 + \cdots + \lambda_s$  for some  $s \in \{1, \ldots, r\}$ . This shows that the image of  $G_L$  in GL(W) is a connected algebraic group and therefore  $G_L/H_{N,L}$  is a connected algebraic group.

With respect to the basis  $\{y_i : i \in \{1, \dots, (2N+1)r\}\}$ , the image of  $G_L$  in GL(W) consists of matrices of the form

$$\begin{pmatrix} A_0 & A_1 & A_2 & \cdots & A_{2N} \\ 0 & A_0 & A_1 & \cdots & A_{2N-1} \\ 0 & 0 & A_0 & \cdots & A_{2N-2} \\ 0 & 0 & 0 & \cdots & A_0 \end{pmatrix}$$

where  $A_0 \in \mathcal{P}_{\lambda}$  and  $A_1, \ldots, A_{2N} \in M_r(\mathbb{C})$ , where  $\mathcal{P}_{\lambda}$  is the parabolic subgroup of  $GL_r(\mathbb{C})$  which is the stabiliser of the flag

$$L_1/L_0 \subset \cdots \subset L_{n-1}/L_0 \subset L_n/L_0$$
.

Given  $g \in G$ , the map  $G_L \to G_{gL}$  sending h to  $ghg^{-1}$  is a group isomorphism which descends to an isomorphism of algebraic groups  $G_L/H_{N,L} \to G_{gL}/H_{N,gL}$ . Thus we have a commuting diagram of morphisms of varieties, where the vertical arrows are isomorphisms:

$$G_L/H_{N,L} \times \Pi_{N,\lambda}^a(L) \longrightarrow \Pi_{N,\lambda}^a(L)$$

$$\downarrow \qquad \qquad \downarrow$$

$$G_{gL}/H_{N,gL} \times \Pi_{N,\lambda}^a(gL) \longrightarrow \Pi_{N,\lambda}^a(gL)$$

#### 5.4.2 Incidence in affine flag varieties

**Lemma 5.4.7.** Given  $N, a, b, c \in \mathbb{N}$ ,  $\lambda, \mu \in \Lambda_0$ ,  $L \in \mathcal{F}$  and  $i, j \in \mathbb{Z}$ ,

$$\left\{ (L',L'') \in \Pi_{N,\lambda}^a(L) \times \Pi_{N,\mu}^b(L) : \dim \left( \frac{L_i'}{L_i' \cap L_j''} \right) \le c \right\}$$

is a closed set in the projective variety  $\Pi_{N,\lambda}^a(L) \times \Pi_{N,\mu}^b(L)$ .

Proof. There is  $M \geq N$  so that  $\varepsilon^M L_0 \subset L_i' \subset \varepsilon^{-M} L_0$  and  $\varepsilon^M L_0 \subset L_j'' \subset \varepsilon^{-M} L_0$ . Let a' = a + (M - N)r and b' = b + (M - N)r. Lemma 5.4.3 shows that  $\Pi_{N,\lambda}^a(L)$  is a subvariety of  $\Pi_{M,\lambda}^{a'}(L)$ , so  $\Pi_{N,\lambda}^a(L) \times \Pi_{N,\mu}^b(L)$  is a subvariety of  $\Pi_{M,\lambda}^{a'}(L) \times \Pi_{M,\mu}^{b'}(L)$ .

The fact that

$$\dim\left(\frac{L_i'}{L_i'\cap L_j''}\right) = \dim\left(\frac{L_i'/\varepsilon^M L_0}{L_i'/\varepsilon^M L_0\cap L_j''/\varepsilon^M L_0}\right),\,$$

together with Lemma 5.4.2 and Lemma 5.3.1, shows that

$$\left\{ (L', L'') \in \Pi_{M, \lambda}^{a'}(L) \times \Pi_{M, \mu}^{b'}(L) : \dim \left( \frac{L'_i}{L'_i \cap L''_j} \right) \le c \right\}$$

is closed, so the intersection with  $\Pi^a_{N,\lambda}(L) \times \Pi^b_{N,\mu}(L)$  is closed.

**Lemma 5.4.8.** Given  $N, a, c \in \mathbb{N}$ ,  $\lambda \in \Lambda_0$ ,  $L \in \mathcal{F}$  and  $i, j \in \mathbb{Z}$ ,

$$\left\{ L' \in \Pi_{N,\lambda}^a(L) : \dim \left( \frac{L_i}{L_i \cap L'_j} \right) \le c \right\}$$

and

$$\left\{ L' \in \Pi_{N,\lambda}^a(L) : \dim \left( \frac{L'_j}{L_i \cap L'_j} \right) \le c \right\}$$

are closed sets in  $\Pi_{N,\lambda}^a(L)$ .

*Proof.* This is a result of Lemma 5.3.2, since

$$\dim\left(\frac{L_i}{L_i\cap L_j'}\right) = \dim\left(\frac{L_i/\varepsilon^M L_0}{L_i/\varepsilon^M L_0\cap L_j'/\varepsilon^M L_0}\right),\,$$

where  $M \geq N$  is chosen so that  $\varepsilon^M L_0 \subset L_i \subset \varepsilon^{-M} L_0$  and  $\varepsilon^M L_0 \subset L'_j \subset \varepsilon^{-M} L_0$  for each  $L' \in \Pi^a_{N,\lambda}(L)$ .

#### 5.5 Geometry of orbits

Let  $A \in \Lambda_1$  and  $L \in \mathcal{F}_{ro(A)}$  and write  $\lambda = co(A)$ . Recall that

$$X_A^L = \{ L' \in \mathcal{F}_\lambda : (L, L') \in \mathcal{O}_A \}.$$

**Lemma 5.5.1.** There is  $N \in \mathbb{N}$  such that  $X_A^L \subset \Pi_{N,\lambda}^a(L)$ , where  $a = d_{nN,0}A$ .

*Proof.* There is  $N \in \mathbb{N}$  so that  $a_{i,j} = 0$  whenever |j - i| > nN. If  $(L, L') \in \mathcal{O}_A$  then

$$\dim\left(\frac{L_0'}{L_0'\cap\varepsilon^{-N}L_0}\right) = \dim\left(\frac{L_0'}{L_0'\cap L_{nN}}\right) = \sum_{s>nN,t\leq 0} a_{s,t} = 0,$$

so it follows  $L_0' \subset \varepsilon^{-N} L_0$ . Similarly,

$$\dim\left(\frac{\varepsilon^N L_0}{\varepsilon^N L_0 \cap L_0'}\right) = \dim\left(\frac{L_{-nN}}{L_{-nN} \cap L_0'}\right) = \sum_{s < -nN, t > 0} a_{s,t} = 0,$$

which shows  $\varepsilon^N L_0 \subset L_0'$ . Moreover,

$$\dim\left(\frac{\varepsilon^{-N}L_0}{L_0'}\right) = \dim\left(\frac{\varepsilon^{-N}L_0}{\varepsilon^{-N}L_0 \cap L_0'}\right) = \sum_{s \le nN, t > 0} a_{s,t} = d_{nN,0}(A),$$

as a result of Lemma 5.2.5.

Assume  $N \in \mathbb{N}$  is chosen so that  $X_A^L \subset \Pi_{N,\lambda}^a(L)$ , where  $a = d_{nN,0}A$ , as in Lemma 5.5.1.

**Lemma 5.5.2.**  $X_A^L$  is a locally closed subset of  $\Pi_{N,\lambda}^a(L)$ . In particular,  $X_A^L$  is a quasiprojective variety.

*Proof.* If  $L' \in \Pi_{N,\lambda}^a(L)$  then

$$L_{-Nn} = \varepsilon^N L_0 \subset L_0' \subset L_1' \subset L_n' \subset \varepsilon^{-1-N} L_0 = L_{(N+1)n}.$$

Therefore  $X_A^L$  is the subset of  $\Pi_{N,\lambda}^a(L)$  defined by the conditions  $\dim(L_i/L_i \cap L_j') = d_{i,j}A$  for  $i: -Nn \le i < j$  and  $\dim(L_j'/L_i \cap L_j') = \bar{d}_{i,j}A$  for  $i: j < i \le (N+1)n$ , for  $j=1,\ldots,n$ .

The set of  $L' \in \Pi_{N,\lambda}^a(L)$  with  $\dim(L_i/\bar{L}_i \cap L'_j) \leq d_{i,j}A$  for  $j = 1, \ldots, n$  and  $i : -Nn \leq i < j$  and  $\dim(L'_j/L_i \cap L'_j) \leq \bar{d}_{i,j}A$  for  $j = 1, \ldots, n$  and  $i : j < i \leq (N+1)n$  is a closed subset of  $\Pi_{N,\lambda}^a(L)$ , as a result of Lemma 5.4.8.

On the other hand, the set of  $L' \in \Pi^a_{N,\lambda}(L)$  satisfying the conditions  $\dim(L_i/L_i \cap L'_j) \geq d_{i,j}A$  (for i < j) and  $\dim(L'_j/L_i \cap L'_j) \geq \bar{d}_{i,j}A$  (for i > j) is open in  $\Pi^a_{N,\lambda}(L)$  since the complement is closed, as a result of Lemma 5.4.8.

Therefore  $X_A^L$  is the intersection of an open set and a closed set in  $\Pi_{N,\lambda}^a(L)$ , so  $X_A^L$  is locally closed. It follows that  $X_A^L$  is an open subset of the projective variety  $\overline{X_A^L}$ , so is a quasiprojective variety as claimed.

Lemma 5.5.3.  $X_A^L$  is irreducible.

Proof. For any  $L' \in X_A^L$ ,  $X_A^L = G_L/H_{N,L} \cdot L'$ . Lemma 5.4.6 shows that  $G_L/H_{N,L}$  is a connected algebraic group which acts algebraically on  $\Pi_{N,\lambda}^a(L)$ . The image of  $G_L/H_{N,L}$  under the morphism  $g \mapsto gL'$  equals  $X_A^L$ , which shows  $X_A^L$  is irreducible since  $G_L/H_{N,L}$  is irreducible.

Consequently,  $\overline{X_A^L}$  is an irreducible projective variety and the action of  $G_L/H_{N,L}$  on  $\Pi_{N,\lambda}^a(L)$  restricts to an algebraic group action on  $\overline{X_A^L}$  for which there are finitely many orbits. In particular,  $\overline{X_A^L} \setminus X_A^L$  is a union of finitely many orbits which are so-called degenerations of the orbit  $X_A^L$ .

#### 5.6 Geometry of orbit products

Let  $A, B \in \Lambda_1$  with co(A) = ro(B) and write  $\lambda = co(A)$  and  $\mu = co(B)$ . Fix  $L \in \mathcal{F}_{ro(A)}$ . Recall

$$Y_{A,B}^L = \{(L',L'') \in \mathcal{F}_{\lambda} \times \mathcal{F}_{\mu} : L' \in X_A^L, L'' \in X_B^{L'}\}$$

and

$$X_{A,B}^L = \{L'' \in \mathcal{F}_{\mu} : \exists L' \in X_A^L \text{ with } L'' \in X_B^{L'}\}$$

**Lemma 5.6.1.** There is  $N \in \mathbb{N}$  such that

$$Y_{A,B}^L \subset \Pi_{N,\lambda}^a(L) \times \Pi_{2N,\mu}^{a+b}(L),$$

where  $a = d_{nN,0}(A)$  and  $b = d_{nN,0}(B)$ .

*Proof.* There is  $N \in \mathbb{N}$  such that  $\varepsilon^N L_0 \subset L_0' \subset \varepsilon^{-N} L_0$  and  $\varepsilon^N L_0' \subset L_0'' \subset \varepsilon^{-N} L_0'$  for each  $(L', L'') \in Y_{A,B}^L$ , using Lemma 5.5.1. Set  $a = d_{nN,0}(A)$  and  $b = d_{nN,0}(B)$ .

Then for any  $(L', L'') \in Y_{A,B}^L$ ,

$$\varepsilon^{2N}L_0 \subset \varepsilon^N L_0' \subset L_0'' \subset \varepsilon^{-N}L_0' \subset \varepsilon^{-2N}L_0$$

and

$$\dim\left(\frac{\varepsilon^{-2N}L_0}{L_0''}\right) = \dim\left(\frac{\varepsilon^{-N}L_0'}{L_0''}\right) + \dim\left(\frac{\varepsilon^{-2N}L_0}{\varepsilon^{-N}L_0'}\right)$$
$$= \dim\left(\frac{\varepsilon^{-N}L_0'}{L_0''}\right) + \dim\left(\frac{\varepsilon^{-N}L_0}{L_0'}\right)$$
$$= a + b,$$

as a result of Lemma 5.2.5, so  $(L', L'') \in \Pi_{N,\lambda}^a(L) \times \Pi_{2N,\mu}^{a+b}(L)$  as required.

Now assume  $N \in \mathbb{N}$  is chosen so that  $Y_{A,B}^L \subset \Pi_{N,\lambda}^a(L) \times \Pi_{2N,\mu}^{a+b}(L)$ , where  $a = d_{nN,0}(A)$  and  $b = d_{nN,0}(B)$ , using Lemma 5.6.1.

**Lemma 5.6.2.**  $Y_{A,B}^L$  is a locally closed subset of  $\Pi_{N,\lambda}^a(L) \times \Pi_{2N,\mu}^{a+b}(L)$ . In particular,  $Y_{A,B}^L$  is a quasiprojective variety.

Proof.  $Y_{A,B}^L$  is the subset of  $\Pi_{N,\lambda}^a(L) \times \Pi_{2N,\mu}^{a+b}(L)$  consisting of those (L',L'') satisfying the following conditions:  $\dim(L_i/L_i\cap L_j')=d_{i,j}(A)$  for i< j,  $\dim(L_j'/L_i\cap L_j')=\bar{d}_{i,j}(A)$  for i> j,  $\dim(L_i'/L_i'\cap L_j'')=d_{i,j}(B)$  for i< j and  $\dim(L_j''/L_i'\cap L_j'')=\bar{d}_{i,j}(B)$ . Only finitely many conditions are required to define  $Y_{A,B}^L$  since there are only finitely many nonzero entries in A and B modulo the (n,n)-periodicity.

The conditions  $\dim(L_i/L_i \cap L'_j) \leq d_{i,j}(A)$ ,  $\dim(L'_i/L'_i \cap L''_j) \leq d_{i,j}(B)$ ,  $\dim(L'_j/L_i \cap L'_j) \leq \bar{d}_{i,j}(A)$  and  $\dim(L''_j/L'_i \cap L''_j) \leq \bar{d}_{i,j}(B)$  define closed subsets of  $\Pi^a_{N,\lambda}(L) \times \Pi^{a+b}_{2N,\mu}(L)$  for each  $i,j \in \mathbb{Z}$ , as a result of Lemma 5.4.7 and Lemma 5.4.8.

On the other hand, the conditions  $\dim(L_i/L_i \cap L'_j) \geq d_{i,j}(A)$ ,  $\dim(L'_i/L'_i \cap L''_j) \geq d_{i,j}(B)$ ,  $\dim(L'_j/L_i \cap L'_j) \geq \bar{d}_{i,j}(A)$  and  $\dim(L''_j/L'_i \cap L''_j) \geq \bar{d}_{i,j}(B)$  define open subsets of  $\Pi^a_{N,\lambda}(L) \times \Pi^{a+b}_{2N,\mu}(L)$  for each  $i, j \in \mathbb{Z}$ , using Lemma 5.4.7 and Lemma 5.4.8.

Therefore  $Y_{A,B}^L$  is the intersection of finitely many open sets and finitely many closed sets in  $\Pi_{N,\lambda}^a(L) \times \Pi_{2N,\mu}^{a+b}(L)$ , so  $Y_{A,B}^L$  is locally closed. In particular,  $Y_{A,B}^L$  is a quasiprojective variety.  $\square$ 

**Lemma 5.6.3.** For any  $L' \in X_A^L$ ,  $Y_{A,B}^L = G_L \cdot (\{L'\} \times X_B^{L'})$ .

Proof. Let  $L' \in X_A^L$ , then  $\{L'\} \times X_B^{L'}$  is contained in  $Y_{A,B}^L$  and  $G_L$  acts on  $Y_{A,B}^L$ , so  $G_L \cdot (\{L'\} \times X_B^{L'})$  is contained in  $Y_{A,B}^L$ . If  $(N', N'') \in Y_{A,B}^L$ , then  $N' = \sigma L'$  for some  $\sigma \in G_L$ , since  $N' \in X_A^L$ . Then  $(N', N'') = \sigma(L', \sigma^{-1}N'')$  and  $\sigma^{-1}N'' \in X_B^{\sigma^{-1}N'} = X_B^{L'}$ , so  $(N', N'') \in \sigma \cdot (\{L'\} \times X_B^{L'})$ . Therefore  $Y_{A,B}^L = G_L \cdot (\{L'\} \times X_B^{L'})$  as claimed.

**Proposition 5.6.4.**  $Y_{AB}^{L}$  is irreducible.

Proof. Let  $L' \in X_A^L$ .  $G_L/H_{2N,L}$  is a connected algebraic group acting algebraically on  $\Pi_{N,\lambda}^a(L) \times \Pi_{2N,\mu}^{a+b}(L)$  by Lemma 5.4.6.  $X_B^{L'}$  is an irreducible locally closed subset of  $\Pi_{2N,\mu}^{a+b}(L)$ , so  $\{L'\} \times X_B^{L'}$  is an irreducible locally closed set in  $\Pi_{N,\lambda}^a(L) \times \Pi_{2N,\mu}^{a+b}(L)$ .  $Y_{A,B}^L = G_L \cdot (\{L'\} \times X_B^{L'}) = G_L/H_{2N,L} \cdot (\{L'\} \times X_B^{L'})$ , by Lemma 5.6.3, so it follows that  $Y_{A,B}^L$  is irreducible.

Let  $p_2$  be the projection onto the second factor  $\Pi^a_{N,\lambda}(L) \times \Pi^{a+b}_{2N,\mu}(L) \to \Pi^{a+b}_{2N,\mu}(L)$ .  $p_2$  is a closed morphism since  $\Pi^a_{N,\lambda}(L)$  is a projective variety and therefore complete, by Lemma 5.4.2. Therefore  $p_2(\overline{Y^L_{A,B}}) = \overline{X^L_{A,B}}$ , since  $p_2(Y^L_{A,B}) = X^L_{A,B}$ .

**Lemma 5.6.5.**  $X_{A.B}^{L}$  is irreducible and constructible.

*Proof.* Proposition 5.6.4 shows that  $Y_{A,B}^L$  is irreducible and locally closed, so it follows  $X_{A,B}^L$  is irreducible and constructible, since  $X_{A,B}^L = p_2(Y_{A,B}^L)$ .

**Proposition 5.6.6.** There is a unique open  $G_L$ -orbit in  $X_{A,B}^L$ .

Proof.  $X_{A,B}^L$  consists of finitely many  $G_L$ -orbits and is an irreducible topological space, by Lemma 5.6.5. Consequently,  $X_C^L$  is dense in  $X_{A,B}^L$  for some  $C \in \Lambda_1^{A,B}$ . Lemma 5.5.2 shows that  $X_C^L$  is locally closed in  $X_{A,B}^L$ , so  $X_C^L$  is open in  $X_C^L = X_{A,B}^L$ . Irreducibility of  $X_{A,B}^L$  shows that there is a unique open  $G_L$ -orbit, since two nonempty open sets in  $X_{A,B}^L$  intersect nontrivially, thus any two open  $G_L$  orbits in  $X_{A,B}^L$  coincide.

Let  $A*B \in \Lambda_1$  be the matrix corresponding to the dense open  $G_L$ -orbit in  $X_{A,B}^L$ , so  $\overline{X_{A*B}^L} = \overline{X_{A,B}^L}$ .

#### 5.7 Degenerations of orbits and the combinatorial partial order

**Theorem 5.7.1.** Let  $A, B \in \Lambda_1$  with ro(A) = ro(B) and co(A) = co(B), then  $B \leq A$  if and only if  $X_B^L \subset \overline{X_A^L}$  for any  $L \in \mathcal{F}_{ro(A)}$ .

Proof. Let  $\lambda = \operatorname{co}(A)$ ,  $\mu = \operatorname{ro}(A)$  and fix  $L \in \mathcal{F}_{\mu}$ . Assume  $N \in \mathbb{N}$  is chosen so that  $X_A^L \subset \Pi_{N,\lambda}^a(L)$  and  $X_B^L \subset \Pi_{N,\lambda}^b(L)$ , where  $a = d_{nN,0}(A)$  and  $b = d_{nN,0}(B)$ . Then  $X_A^L$  is an open subset of the projective variety consisting of those  $L' \in \Pi_{N,\lambda}^a(L)$  such that

$$\dim\left(\frac{L_i}{L_i\cap L'_j}\right) \le d_{i,j}(A)$$

and

$$\dim\left(\frac{L_j'}{L_i\cap L_j'}\right) \le \bar{d}_{i,j}(A),$$

for all  $i, j \in \mathbb{Z}$ .

Assume  $X_B^L \subset \overline{X_A^L}$ , then

$$d_{i,j}(B) = \dim\left(\frac{L_i}{L_i \cap L'_j}\right) \le d_{i,j}(A)$$

and

$$\bar{d}_{i,j}(B) = \dim\left(\frac{L'_j}{L_i \cap L'_j}\right) \le \bar{d}_{i,j}(A),$$

for each  $i,j\in\mathbb{Z},$  for any  $L'\in X_B^L.$  So  $B\leq A$  if  $X_B^L\leq \overline{X_A^L}.$ 

Conversely, suppose  $A \leq B$ .

Corollary 5.7.2. The maximum in  $\Lambda_1^{A,B}$  is A \* B.

#### 5.8 Associativity of the generic product

Let  $A, B, C \in \Lambda_1$  with co(A) = ro(B) and co(B) = ro(C) and fix  $L \in \mathcal{F}_{ro(A)}$ . Write  $\lambda = co(A)$ ,  $\mu = co(B)$  and  $\nu = co(C)$ . Define

$$Y_{A,B,C}^{L} = \left\{ (L', L'', L''') \in \mathcal{F}^3 : L' \in X_A^L, L'' \in X_B^{L'}, L''' \in X_C^{L''} \right\}$$

and

$$X_{A,B,C}^{L} = \{L''' \in \mathcal{F} : \exists (L',L'') \in \mathcal{F}^2 \text{ with } (L',L'',L''') \in Y_{A,B,C}^{L} \}.$$

**Lemma 5.8.1.** There is  $N \in \mathbb{N}$  such that  $Y_{A,B,C}^L$  is contained in  $\Pi_{N,\lambda}^a(L) \times \Pi_{2N,\mu}^{a+b}(L) \times \Pi_{3N,\nu}^{a+b+c}(L)$ , where  $a = d_{nN,0}(A)$ ,  $b = d_{nN,0}(B)$  and  $c = d_{nN,0}(C)$ .

Proof. Lemma 5.5.1 shows that there is  $N \in \mathbb{N}$  such that  $\varepsilon^N L_0 \subset L_0' \subset \varepsilon^{-N} L_0$ ,  $\varepsilon^N L_0' \subset L_0'' \subset \varepsilon^{-N} L_0'$  and  $\varepsilon^N L_0'' \subset L_0''' \subset \varepsilon^{-N} L_0''$  for each  $(L', L'', L''') \in Y_{A,B,C}^L$ . Using the proof of Lemma 5.6.1, it follows  $L'' \in \Pi_{2N,\mu}^{a+b}(L)$  and  $L''' \in \Pi_{2N,\nu}^{b+c}(L') \subset \Pi_{3N,\nu}^{a+b+c}(L)$ .

Assume  $N \in \mathbb{N}$  is chosen so that  $Y_{A,B,C}^L \subset \Pi_{N,\lambda}^a(L) \times \Pi_{2N,\mu}^{a+b}(L) \times \Pi_{3N,\nu}^{a+b+c}(L)$ , where  $a = d_{nN,0}(A)$ ,  $b = d_{nN,0}(B)$  and  $c = d_{nN,0}(C)$ , as in Lemma 5.8.1.

**Lemma 5.8.2.**  $Y_{A,B,C}^L$  is a locally closed subset of  $\Pi_{N,\lambda}^a(L) \times \Pi_{2N,\mu}^{a+b}(L) \times \Pi_{3N,\nu}^{a+b+c}(L)$ . In particular,  $Y_{A,B,C}^L$  is a quasiprojective variety.

*Proof.* Write  $\Pi = \Pi^a_{N,\lambda}(L) \times \Pi^{a+b}_{2N,\mu}(L) \times \Pi_{3N,\nu}(L)$ . Then  $Y^L_{A,B,C}$  consists of those  $(L',L'',L''') \in \Pi$  satisfying the following conditions:

$$\dim\left(\frac{L_i}{L_i \cap L'_j}\right) = d_{i,j}(A),\tag{5.1}$$

$$\dim\left(\frac{L_i'}{L_i'\cap L_j''}\right) = d_{i,j}(B),\tag{5.2}$$

$$\dim\left(\frac{L_i''}{L_i''\cap L_j'''}\right) = d_{i,j}(C),\tag{5.3}$$

for  $(i, j) \in \{1, ..., n\} \times \mathbb{Z}$  with i < j < (N+1)n, and

$$\dim\left(\frac{L'_j}{L_i \cap L'_j}\right) = \bar{d}_{i,j}(A),\tag{5.4}$$

$$\dim\left(\frac{L_j''}{L_i'\cap L_j''}\right) = \bar{d}_{i,j}(B),\tag{5.5}$$

$$\dim\left(\frac{L_j'''}{L_i''\cap L_j'''}\right) = \bar{d}_{i,j}(C),\tag{5.6}$$

for  $(i, j) \in \{1, ..., n\} \times \mathbb{Z}$  with -Nn < j < i. For i < j, the conditions

$$\dim (L_i/L_i \cap L'_j) \le d_{i,j}(A),$$
  
$$\dim (L'_i/L'_i \cap L''_i) \le d_{i,j}(B)$$

and

$$\dim \left( L_i''/L_i'' \cap L_j''' \right) \le d_{i,j}(C)$$

define closed subsets of  $\Pi$ , by Lemma 5.4.7. For i > j, the conditions

$$\dim (L'_j/L_i \cap L'_j) \le \bar{d}_{i,j}(A),$$
  
$$\dim (L''_i/L'_i \cap L''_i) \le \bar{d}_{i,j}(B)$$

and

$$\dim \left( L_j'''/L_i'' \cap L_j''' \right) \le \bar{d}_{i,j}(C)$$

also define closed subsets of  $\Pi$ .

On the other hand, the conditions dim  $\left(L_i/L_i \cap L_j'\right) \geq d_{i,j}(A)$ , dim  $\left(L_i'/L_i' \cap L_j''\right) \geq d_{i,j}(B)$  and dim  $\left(L_i''/L_i'' \cap L_j'''\right) \geq d_{i,j}(C)$  for i < j define open subsets of  $\Pi$ . Similarly, the conditions dim  $\left(L_j''/L_i \cap L_j''\right) \geq \bar{d}_{i,j}(A)$ , dim  $\left(L_j''/L_i' \cap L_j''\right) \geq \bar{d}_{i,j}(B)$  and dim  $\left(L_j'''/L_i'' \cap L_j'''\right) \geq \bar{d}_{i,j}(C)$  for i > j define open subsets of  $\Pi$ .

Therefore  $Y_{A,B,C}^L$  is the intersection of finitely many closed sets in  $\Pi$  with finitely many open subsets of  $\Pi$ , so  $Y_{A,B,C}^L$  is locally closed. In particular,  $Y_{A,B,C}^L$  is a quasiprojective variety.

**Lemma 5.8.3.** For any  $(L', L'', L''') \in Y_{A.B.C}^{L}$ ,

$$Y_{A,B,C}^{L} = \left\{ \alpha \cdot (L', \beta L'', \beta \gamma L''') : \alpha \in G_L, \beta \in G_{L'}, \gamma \in G_{L''} \right\}.$$

In particular,

$$Y_{A,B,C}^{L} = G_L \cdot \left( \{ L' \} \times Y_{B,C}^{L'} \right)$$

for each  $L' \in X_A^L$ .

Proof. Let  $(L', L'', L''') \in Y_{A,B,C}^L$ . Given  $\alpha \in G_L$ ,  $\beta \in G_{L'}$  and  $\gamma \in G_{L''}$ ,  $(\alpha L', \alpha \beta L'', \alpha \beta \gamma L''')$  is in  $Y_{A,B,C}^L$  since

$$(L, \alpha L') = \alpha(L, L') \in \mathcal{O}_A$$
$$(\alpha L', \alpha \beta L'') = \alpha \beta(L', L'') \in \mathcal{O}_B$$
$$(\alpha \beta L'', \alpha \beta \gamma L''') = \alpha \beta \gamma(L'', L''') \in \mathcal{O}_C$$

For each  $(N', N'', N''')Y_{A,B,C}^L$  there exist  $\sigma_1, \sigma_2, \sigma_3 \in G$  with

$$(L, N') = \sigma_1(L, L')$$
  

$$(N', N'') = \sigma_2(L', L'')$$
  

$$(N'', N''') = \sigma_3(L'', L''').$$

Let  $\alpha = \sigma_1$ ,  $\beta = \sigma_1^{-1}\sigma_2$  and  $\gamma = \sigma_2^{-1}\sigma_3$ , so  $\sigma_2 = \alpha\beta$  and  $\sigma_3 = \alpha\beta\gamma$ . It follows that

$$(N', N'', N''') = (\alpha L', \alpha \beta L'', \alpha \beta \gamma L'''),$$

which proves the first claim. The second claim follows from the first since  $(L'', L''') \in Y_{B,C}^{L'}$  and therefore

$$Y_{B,C}^{L'} = \{ (\beta L'', \beta \gamma L''') : \beta \in G_{L'}, \gamma \in G_{L''} \},$$

as required.

Proposition 5.8.4.  $Y_{A,B,C}^{L}$  is irreducible.

Proof. Write

$$\Pi = \Pi^a_{N,\lambda}(L) \times \Pi^{a+b}_{2N,\mu}(L) \times \Pi^{a+b+c}_{3N,\nu}(L).$$

Lemma 5.4.2 shows that  $\Pi$  is a projective algebraic variety and Lemma 5.4.6 shows that  $G_L/H_{3N,L}$  is a connected algebraic group acting algebraically on  $\Pi$  by the diagonal action.

Let  $L' \in X_A^L$ . As a result of Lemma 5.8.3

$$Y_{A,B,C}^{L} = G_{L} \cdot (\{L'\} \times Y_{B,C}^{L'})$$
  
=  $G_{L}/H_{3N,L} \cdot (\{L'\} \times Y_{B,C}^{L'}).$ 

Proposition 5.6.4 shows that  $Y_{B,C}^{L'}$  is irreducible, so  $\{L'\} \times Y_{B,C}^{L'}$  is irreducible. The image of  $\{L'\} \times Y_{B,C}^{L'}$  under the action of  $G_L/H_{3N,L}$  is irreducible, since  $G_L/H_{3N,L}$  is connected and therefore irreducible. Therefore  $Y_{A,B,C}^{L}$  is irreducible.

Let  $p_3$  be the projection of  $\Pi^a_{N,\lambda}(L) \times \Pi^{a+b}_{2N,\mu}(L) \times \Pi^{a+b+c}_{3N,\nu}(L)$  onto the third factor. By the completeness property of projective varieties,  $p_3$  is a closed morphism. The image of  $Y^L_{A,B,C}$  under  $p_3$  is  $X^L_{A,B,C}$ , so  $p_3(\overline{Y^L_{A,B,C}}) = \overline{X^L_{A,B,C}}$ .

**Lemma 5.8.5.**  $X_{A,B,C}^{L}$  is irreducible and constructible.

*Proof.* Lemma 5.8.2 and Proposition 5.8.4 show that  $Y_{A,B,C}^L$  is locally closed and irreducible. It follows  $X_{A,B}^L$  is irreducible and constructible, since  $X_{A,B,C}^L$  is the image of  $Y_{A,B,C}^L$  under the morphism  $p_3$ .

**Lemma 5.8.6.** There is a unique open and dense  $G_L$ -orbit in  $X_{A,B,C}^L$ .

*Proof.* There are only finitely many  $G_L$ -orbits in  $X_{A,B,C}^L$ . In particular,

$$X_{A,B,C}^L = \bigcup_{D \in \Lambda_1{}^{A,B}} X_{D,C}^L = \bigcup_{D \in \Lambda_1{}^{A,B}} \bigcup_{D' \in \Lambda_1{}^{D,C}} X_{D'}^L$$

and

$$\overline{X^L_{A,B,C}} = \bigcup_{D \in \Lambda_1{}^{A,B}} \bigcup_{D' \in \Lambda_1{}^{D,C}} \overline{X^L_{D'}}.$$

There is  $D \in \Lambda_1$  such that  $\overline{X_D^L} = \overline{X_{A,B,C}^L}$ , since  $X_{A,B,C}^L$  is irreducible, by Lemma 5.8.5. By Lemma 5.5.2,  $X_D^L$  is open in  $\overline{X_D^L} = \overline{X_{A,B,C}^L}$ , so  $X_D^L$  is open in  $X_{A,B,C}^L$ .

If  $X_D^L$  and  $X_{D'}^L$  are open in  $X_{A,B,C}^L$ , then  $X_D^L$  and  $X_{D'}^L$  have nonempty intersection since  $X_{A,B,C}^L$  is irreducible, then  $X_D^L = X_{D'}^L$ .

**Lemma 5.8.7.**  $p_3^{-1}(X_{A*B,C}^L)$  is open in  $\overline{Y_{A,B,C}^L}$ .

Proof. Projection onto the second component is a closed morphism of varieties  $p_2 \colon \overline{Y_{A,B,C}^L} \to \overline{X_{A,B}^L}$  with  $p_2(Y_{A,B,C}^L) = X_{A,B}^L$ . It follows that  $p_3^{-1}(X_{A*B,C}^L)$  is open in  $\overline{Y_{A,B,C}^L}$  since  $p_3^{-1}(X_{A*B,C}^L) = p_2^{-1}(X_{A*B}^L)$  and  $X_{A*B}^L$  is open in  $\overline{X_{A,B}^L}$ .

**Lemma 5.8.8.**  $p_3^{-1}(X_{A,B*C}^L)$  is open in  $\overline{Y_{A,B,C}^L}$ .

Proof.  $p_3^{-1}(X_{A,B*C}^L)$  consists of those  $(L',L'',L''') \in \overline{Y_{A,B,C}^L}$  such that  $\dim\left(L'_i/L'_i\cap L'''_j\right) \geq d_{i,j}(B*C)$  for i < j and  $\dim\left(L'''_j/L'_i\cap L'''_j\right) \geq \bar{d}_{i,j}(B*C)$  for i > j. Each of these conditions defines an open subset of  $\overline{Y_{A,B,C}^L}$  as a result of Lemma 5.4.7 and only finitely many conditions are required to determine  $p_3^{-1}(X_{A,B*C}^L)$ , as before. Therefore  $p_3^{-1}(X_{A,B*C}^L)$  is the intersection of finitely many open sets in  $\overline{Y_{A,B,C}^L}$ , so is open as claimed.

Proposition 5.8.9.  $X_{A*(B*C)}^{L} = X_{(A*B)*C}^{L}$ 

Proof. The unique open  $G_L$ -orbit in  $X_{A*B,C}^L$  is  $X_{(A*B)*C}^L$ , so  $p_3^{-1}(X_{(A*B)*C}^L)$  is open in  $p_3^{-1}(X_{A*B,C}^L)$ . Lemma 5.8.7 shows that  $p_3^{-1}(X_{A*B,C}^L)$  is open in  $\overline{Y_{A,B,C}^L}$ , so  $p_3^{-1}(X_{(A*B)*C}^L)$  is open in  $\overline{Y_{A,B,C}^L}$ .

Similarly,  $X_{A*(B*C)}^{L}$  is open in  $X_{A,B*C}^{L}$ , so  $p_{3}^{-1}(X_{A*(B*C)}^{L})$  is open in  $p_{3}^{-1}(X_{A,B*C}^{L})$ . Lemma 5.8.8 shows that  $p_{3}^{-1}(X_{A,B*C}^{L})$  is open in  $\overline{Y_{A,B,C}^{L}}$ , so it follows  $p_{3}^{-1}(X_{A*(B*C)}^{L})$  is open in  $\overline{Y_{A,B,C}^{L}}$ .

Therefore  $f^{-1}(X_{A*(B*C)}^L)$  has nonempty intersection with  $f^{-1}(X_{(A*B)*C}^L)$ , since  $Y_{A,B,C}^L$  is irreducible by Proposition 5.8.4. It follows that the  $G_L$ -orbits  $X_{A*(B*C)}^L$  and  $X_{(A*B)*C}^L$  have nonempty intersection and therefore  $X_{A*(B*C)}^L$  equals  $X_{(A*B)*C}^L$ .

#### 5.9 The generic affine algebra

The generic affine algebra of rank r and period n, denoted by  $\hat{G}(n,r)$ , is a free  $\mathbb{Z}$ -module with basis  $\{e_A : A \in \Lambda_1\}$  and  $\mathbb{Z}$ -bilinear multiplication given by

$$e_A * e_B = e_{A*B}$$

for  $A, B \in \Lambda_1$  with co(A) = ro(B), and

$$e_A * e_B = 0$$

for  $A, B \in \Lambda_1$  with  $co(A) \neq ro(B)$ .

**Proposition 5.9.1.** The generic algebra  $\hat{G}(n,r)$  is an associative  $\mathbb{Z}$ -algebra with 1, with

$$1 = \sum_{\lambda \in \Lambda_0} 1_{\lambda}$$

where

$$1_{\lambda} = e_{D_{\lambda}},$$

for each  $\lambda \in \Lambda_0$ .

*Proof.* Let  $A, B, C \in \Lambda_1$ . If  $co(A) \neq ro(B)$  or  $co(B) \neq ro(C)$ , then

$$(e_A * e_B) * e_C = 0 = e_A * (e_B * e_C),$$

so we may now suppose co(A) = ro(B) and co(B) = ro(C).

As a result of Proposition 5.8.9,

$$(e_A * e_B) * e_C = e_{(A*B)*C}$$
  
=  $e_{A*(B*C)}$   
=  $e_A * (e_B * e_C)$ ,

so it follows  $\hat{G}(n,r)$  is an associative  $\mathbb{Z}$ -algebra.

The expression for the multiplicative identity follows from Lemma 3.1.7, since

$$e_A * \left(\sum_{\lambda \in \Lambda_0} 1_{\lambda}\right) = e_A * 1_{\operatorname{co}(A)} = e_A$$

and

$$\left(\sum_{\lambda \in \Lambda_0} 1_{\lambda}\right) * e_A = 1_{\text{ro}(A)} * e_A = e_A,$$

for each  $A \in \Lambda_1$ .

#### 5.9.1 A categorical perspective

**Proposition 5.9.2.** The following constitutes a small category: the set of objects is  $\Lambda_0$  and the set of morphisms is  $\Lambda_1$ . Given compositions  $\lambda, \mu \in \Lambda_0$ , the morphisms with source  $\mu$  and target  $\lambda$  are those matrices  $A \in \Lambda_1$  with  $co(A) = \mu$  and  $ro(A) = \lambda$ . Given  $\lambda, \mu, \nu \in \Lambda_0$  and  $A, B \in \Lambda_1$  with  $co(B) = \nu$ ,  $ro(B) = \mu = co(A)$  and  $ro(A) = \lambda$ , their composition is A \* B, with source  $co(A * B) = co(B) = \nu$  and target  $ro(A * B) = ro(A) = \lambda$ .

*Proof.* Proposition 5.8.9 shows that the generic product \* is associative. For each object  $\lambda \in \Lambda_0$ , the identity morphism  $\lambda \to \lambda$  is the diagonal matrix  $D_{\lambda}$ .

Then the generic affine algebra  $\hat{G}(n,r)$  may be realised as the  $\mathbb{Z}$ -algebra of this category. Observe that there are only finitely many objects in this category and distinct objects are non-isomorphic, so the isomorphism classes in this category are in one to one correspondence with  $\Lambda_0$ . The  $\mathbb{Z}$ -algebra of this category is the free  $\mathbb{Z}$ -module on  $\Lambda_1$  with  $\mathbb{Z}$ -bilinear multiplication given by the generic product \*.

# A realisation of affine zero Schur algebras

The purpose of this chapter is to study the link between the generic affine algebra  $\hat{G}(n,r)$  to the affine 0-Schur algebra  $\hat{S}_0(n,r)$ .

The main result is the construction of an isomorphism of  $\mathbb{Z}$ -algebras from  $\hat{G}(n,r)$  to  $\hat{S}_0(n,r)$  such that  $E_i \mapsto E_i$ ,  $F_j \mapsto F_j$  and  $1_{\lambda} \mapsto 1_{\lambda}$ , in the case that  $n,r \geq 1$  with r < n.

#### 6.1 Preliminary results on the generic affine algebra

Recall that the generic affine algebra  $\hat{G}(n,r)$  is an associative  $\mathbb{Z}$ -algebra with a multiplicative basis  $\{e_A : A \in \Lambda_1\}$  over  $\mathbb{Z}$ , where

$$e_A * e_B = e_{A*B}$$

for  $A, B \in \Lambda_1$  with co(A) = ro(B), and

$$e_A * e_B = 0$$

for  $A, B \in \Lambda_1$  with  $co(A) \neq ro(B)$ .

#### 6.1.1 Elementary basis elements

For  $i \in \{1, ..., n\}$  and  $\lambda \in \Lambda_0$  such that  $\lambda_{i+1} > 0$ , define

$$E_{i,\lambda} = e_{D_{\lambda} + \mathcal{E}_{i,i+1} - \mathcal{E}_{i+1,i+1}}$$

and let

$$E_i = \sum_{\lambda \in \Lambda_0: \lambda_{i+1} > 0} E_{i,\lambda}$$

for each  $i \in \{1, \ldots, n\}$ 

For  $i \in \{1, ..., n\}$  and  $\lambda \in \Lambda_0$  such that  $\lambda_i > 0$ , define

$$F_{i,\lambda} = e_{D_{\lambda} + \mathcal{E}_{i+1,i} - \mathcal{E}_{i,i}}$$

and let

$$F_i = \sum_{\lambda \in \Lambda_0: \lambda_i > 0} F_{i,\lambda}$$

for each  $i \in \{1, \ldots, n\}$ .

**Lemma 6.1.1.** Let  $i \in \{1, ..., n\}$  and  $A \in \Lambda_1$  and write  $\mu = ro(A)$ . If  $\mu_{i+1} = 0$  then  $E_i * e_A = 0$ . If  $\mu_{i+1} > 0$ , then

$$E_i * e_A = e_{A + \mathcal{E}_{i,p} - \mathcal{E}_{i+1,p}},$$

where

$$p = \max\{j \in \mathbb{Z} : a_{i+1,j} > 0\}.$$

If  $\mu_i = 0$  then  $F_i e_A = 0$ . If  $\mu_i > 0$  then

$$F_i * e_A = e_{A + \mathcal{E}_{i+1,q} - \mathcal{E}_{i,q}},$$

where

$$q = \min\{j \in \mathbb{Z} : a_{i,j} > 0\}.$$

Proof. TYPE PROOF.

**Lemma 6.1.2.** Let  $i \in \{1, ..., n\}$  and  $A \in \Lambda_1$  and write  $\lambda = co(A)$ . If  $\lambda_j = 0$  then  $e_A * E_j = 0$ . If  $\lambda_j > 0$  then

$$e_A * E_j = e_{A+\mathcal{E}_{p,j+1}-\mathcal{E}_{p,j}},$$

where

$$p = \min\{i \in \mathbb{Z} : a_{i,j} > 0\}.$$

If  $\lambda_{j+1} = 0$  then  $e_A * F_j = 0$ . If  $\lambda_{j+1} > 0$  then

$$e_A * F_j = e_{A + \mathcal{E}_{q,j} - \mathcal{E}_{q,j+1}},$$

where

$$q = \max\{i \in \mathbb{Z} : a_{i,j+1} > 0\}.$$

Proof. TYPE PROOF.

#### 6.1.2 Transpose involution

Let S be the  $\mathbb{Z}$ -module automorphism of  $\hat{G}(n,r)$  given by

$$S(e_A) = e_{A^{\top}}$$

for each  $A \in \Lambda_1$ .

**Lemma 6.1.3.** The map S is a  $\mathbb{Z}$ -algebra antihomomorphism. In particular,

$$e_{A^{\top}} * e_{B^{\top}} = e_B * e_A,$$

for each  $A, B \in \Lambda_1$ .

*Proof.* Lemma 5.2.4 show that the transpose preserves the partial order on  $\Lambda_1$  and so

$$(B*A)^{\top} = A^{\top}*B^{\top},$$

using Lemma 4.1.1.

For any  $A \in \Lambda_1$ ,

$$S(S(e_A)) = e_{(A^\top)^\top} = e_A,$$

so  $S \circ S$  is the identity map on  $\hat{S}_q(n,r)$ .

For each  $i \in \{1, ..., n\}$  and  $\lambda \in \Lambda_0$  with  $\lambda_{i+1} > 0$ ,

$$S(E_{i,\lambda}) = F_{i,\lambda+\alpha_i},$$

for each  $i \in \{1, \dots, n\}$  and  $\lambda \in \Lambda_0$  with  $\lambda_i > 0$ ,

$$S(F_{i,\lambda}) = E_{i,\lambda-\alpha_i}$$
, and

and

$$S(1_{\lambda}) = 1_{\lambda},$$

for each  $\lambda \in \Lambda_0$ .

### 6.1.3 Shifting and periodicity

For each  $\lambda \in \Lambda_0$ , define

$$R_{\lambda} = e_{[1]D_{\lambda}} = e_{\lambda_1 \mathcal{E}_{0,1} + \dots + \lambda_n \mathcal{E}_{n-1,n}}$$

and set

$$R = \sum_{\lambda \in \Lambda_0} R_{\lambda}.$$

**Lemma 6.1.4.** For each  $A \in \Lambda_1$ ,

$$R * e_A = e_{[1]A}$$

and

$$e_A * R = e_{A[-1]}.$$

*Proof.* Lemma 4.1.4 shows that the same formulas hold in  $\hat{S}_q(n,r)$ , then the result follows for the generic multiplication \*, since each product  $R*e_A$  and  $e_A*R$  is supported on one orbit, so the generic multiplication and the product on  $\hat{S}_q(n,r)$  are the same in this instance.

Observe that

$$S(R_{\lambda}) = e_{\lambda_1 \mathcal{E}_{1,0} + \dots + \lambda_n \mathcal{E}_{n,n-1}}$$
$$= e_{[-1]D_{[1]\lambda}}$$

so

$$S(R) = \sum_{\lambda \in \Lambda_0} e_{[-1]D_{\lambda}}.$$

**Lemma 6.1.5.** The element R of  $\hat{G}(n,r)$  is invertible, with

$$R * S(R) = 1 = S(R) * R.$$

Proof. Lemma 6.1.4 shows that

$$R * S(R)1_{\lambda} = Re_{[-1]D_{[1]\lambda}}$$
$$= e_{D_{[1]\lambda}}$$
$$= 1_{[1]\lambda}$$

for each  $\lambda \in \Lambda_0$ , so

$$R * S(R) = 1.$$

Similarly,

$$\begin{split} S(R)*R &= \sum_{\lambda \in \Lambda_0} e_{D_{\lambda}[1]} * R \\ &= \sum_{\lambda \in \Lambda_0} e_{D_{\lambda}} \\ &= 1. \end{split}$$

Let  $\tau$  be the  $\mathbb{Z}$ -algebra automorphism of  $\hat{G}(n,r)$  given by conjugation by R, so

$$\tau(e_A) = R * e_A * S(R)$$
  
=  $R * e_A * R^{-1}$ .

for each  $A \in \Lambda_1$ .

Then  $\tau$  has order n, since

$$\tau^n(e_A) = e_{[n]A[n]} = e_A,$$

by the *n*-periodicity condition on  $\Lambda_1$ .

As in Lemma 4.1.6, it follows from Lemma 6.1.4 that

$$\tau(E_{i,\lambda}) = E_{i-1,[1]\lambda}$$

for  $i \in \{1, ..., r\}$  and  $\lambda \in \Lambda_0$  with  $\lambda_{i+1} > 0$ ,

$$\tau(F_{i,\lambda}) = F_{i-1,\lceil 1\rceil\lambda}$$

for  $i \in \{1, ..., n\}$  and  $\lambda \in \Lambda_0$  with  $\lambda_i > 0$ , and

$$\tau(1_{\lambda}) = 1_{[1]\lambda}$$

for  $\lambda \in \Lambda_0$ .

In particular,

$$\tau(E_i) = E_{i-1}$$
$$\tau(F_i) = F_{i-1}$$

for  $i \in \{1, ..., r\}$ .

As earlier, I can not be sure but I think this map  $\tau$  is related to the Auslander-Reiten translation on the isomorphism classes of nilpotent representations of the cyclic quiver on n vertices. The result that  $\tau(E_i) = E_{i-1}$  is consistent with the fact the A.R translation sends the simple representation at vertex i to the simple representation at vertex i-1.

# 6.2 Multiplicative bases in affine zero Schur algebras: motivating example

Recall that the affine 0-Schur algebra  $\hat{S}_0(n,r)$  is an associative  $\mathbb{Z}$ -algebra with a  $\mathbb{Z}$ -basis

$$\{e_A:A\in\Lambda_1\}$$

and with  $\mathbb{Z}$ -bilinear product given by

$$e_A e_B = \sum_{C \in \Lambda_1} \gamma_{A,B,C}(0) e_C,$$

with multiplicative identity

$$\sum_{\lambda \in \Lambda_0} 1_{\lambda}.$$

The result of the shifting lemma, Lemma 4.1.4, also holds in  $\hat{S}_0(n,r)$ . In particular,

$$Re_A = e_{[1]A}$$

and

$$e_A R = e_{A[-1]},$$

for each  $A \in \Lambda_1$ .

Now assume r = 1, so

$$\Lambda_1(n,1) = \{ \mathcal{E}_{i,j} : (i,j) \in \mathbb{Z} \times \{1,\ldots,n\} \}$$

and

$$\Lambda_0(n,1) = \{\varepsilon_n, \dots, \varepsilon_1\}.$$

**Lemma 6.2.1.** The distinguished basis  $\{e_A : A \in \Lambda_1(n,1)\}$  is a multiplicative basis of  $\hat{S}_0(n,1)$ . More precisely,

$$e_{\mathcal{E}_{i,j}}e_{\mathcal{E}_{j,k}} = e_{\mathcal{E}_{i,k}}$$

for  $i, j, k \in \mathbb{Z}$ , and

$$e_{\mathcal{E}_{i,i}}e_{\mathcal{E}_{k,l}}=0$$

for  $i, j, k, l \in \mathbb{Z}$  with  $j \neq k$  modulo n.

*Proof.* Let  $i, j \in \mathbb{Z}$ . Lemma 4.1.4 shows that

$$e_{\mathcal{E}_{i,j}} = R^{j-i} 1_{\varepsilon_j},$$

where the subscript of  $\varepsilon_j$  is taken modulo n.

If  $i, j, k, l \in \mathbb{Z}$  with  $j \neq k$  modulo n, then

$$co(\mathcal{E}_{i,j}) = \varepsilon_j \neq \varepsilon_k = ro(\mathcal{E}_{k,l}),$$

so

$$e_{\mathcal{E}_{i,j}}e_{\mathcal{E}_{k,l}}=0.$$

Finally, let  $i, j, k \in \mathbb{Z}$ . Then

$$\begin{aligned} e_{\mathcal{E}_{i,j}} e_{\mathcal{E}_{j,k}} &= R^{j-i} 1_{\varepsilon_j} R^{k-j} 1_{\varepsilon_k} \\ &= R^{j-i} R^{k-j} 1_{\varepsilon_k} \\ &= R^{k-i} 1_{\varepsilon_k} \\ &= e_{\mathcal{E}_{i,k}}. \end{aligned}$$

This proves that the basis  $\{e_A : A \in \Lambda_1(n,1)\}$  of  $\hat{S}_0(n,1)$  is a multiplicative basis.

This result also shows that the product in  $\hat{S}_0(n,1)$  is the same as the generic product, since

$$e_A e_B = e_{A*B}$$

if co(A) = ro(B), and

$$e_A e_B = 0$$

if  $co(A) \neq ro(B)$ , for  $A, B \in \Lambda_1(n, 1)$ .

Corollary 6.2.2. For each integer  $n \geq 1$ ,

$$\hat{S}_0(n,1) = \hat{G}(n,1).$$

*Proof.* This is a consequence of Lemma 6.2.1 and the comment which follows the proof.  $\Box$ 

### 6.3 Aperiodicity in the generic affine algebra

**Definition 6.3.1.** An element  $A \in \Lambda_1$  is aperiodic if for each  $l \in \mathbb{Z} \setminus \{0\}$  there exists  $i \in \mathbb{Z}$  such that  $a_{i,i+l} = 0$ .

An element of  $\hat{G}(n,r)$  is said to be aperiodic if it is a  $\mathbb{Z}$ -linear combination of basis elements  $e_A$  corresponding to the aperiodic elements in  $\Lambda_1$ .

For example, the diagonal matrix  $D_{\lambda}$  is aperiodic so  $1_{\lambda}$  is aperiodic, for any  $\lambda \in \Lambda_0$ . The elementary basis elements  $E_{i,\lambda}$  and  $F_{i,\lambda}$  introduced earlier are also aperiodic.

When r < n, any element  $A \in \Lambda_1$  is aperiodic since co(A) is insincere and therefore A has a zero column.

**Lemma 6.3.1.** Suppose  $A \in \Lambda_1$  is aperiodic and write  $\mu = \text{ro}(A)$ . If  $\mu_{i+1} > 0$ , then  $E_i * e_A$  is aperiodic. If  $\mu_i > 0$ , then  $F_i * e_A$  is aperiodic.

*Proof.* Let  $A \in \Lambda_1$  be aperiodic and let  $\mu = ro(A)$ .

Suppose  $\mu_{i+1} > 0$ . There is  $p \in \mathbb{Z}$  such that  $a_{i+1,p} > 0$  and  $a_{i+1,p'} = 0$  whenever p' > p. Lemma 4.1.2 shows that  $E_i * e_A = e_B$ , where  $B = A + \mathcal{E}_{i,p} - \mathcal{E}_{i+1,p}$ . Let  $l \in \mathbb{Z} \setminus \{0\}$ . If  $l \notin \{p-i, p-i-1\}$ , then  $b_{s,s+l} = a_{s,s+l}$  for each  $s \in \mathbb{Z}$ , so there is  $s \in \mathbb{Z}$  such that  $b_{s,s+l} = a_{s,s+l} = 0$ , since A is aperiodic. If l = p - i, then  $b_{i+1,i+1+l} = b_{i+1,p+1} = a_{i+1,p+1} = 0$ , by maximality of p. If l = p - i - 1, there is  $s \neq i+1$  such that  $a_{s,s+l} = 0$ , since A is aperiodic and  $a_{i+1,i+1+l} = a_{i+1,p} > 0$ , so  $b_{s,s+l} = a_{s,s+l} = 0$ . Therefore,  $B = A + \mathcal{E}_{i,p} - \mathcal{E}_{i+1,p}$  is aperiodic.

Suppose  $\mu_i > 0$ . Lemma 4.1.2 shows that  $F_i * e_A = e_C$  where  $C = A + \mathcal{E}_{i+1,p} - \mathcal{E}_{i,p}$  and  $p = \min\{p' \in \mathbb{Z} : a_{i,p'} > 0\}$ . Let  $l \in \mathbb{Z} \setminus \{0\}$ . If  $l \notin \{p-i, p-i-1\}$  then  $c_{s,s+l} = a_{s,s+l}$  for each  $s \in \mathbb{Z}$ , so there is  $s \in \mathbb{Z}$  such that  $c_{s,s+p} = a_{s,s+p} = 0$ , by aperiodicity of A. If l = p - i, then  $a_{i,i+l} = a_{i,p} > 0$ , so there is  $s \neq i$  such that  $a_{s,s+l} = 0$ . Then  $c_{s,s+l} = a_{s,s+l} = 0$ . Finally, if l = p - i - 1, then  $c_{i,i+l} = a_{i,p-1} = 0$  by minimality of p. Thus C is aperiodic as required.  $\square$ 

Suppose  $\lambda \in \Lambda_0$  and

$$\omega = \omega_1 \cdots \omega_m$$

where

$$\omega_1,\ldots,\omega_m\in\{E_1,\ldots,E_n\}\cup\{F_1,\ldots,F_n\}.$$

Either  $\omega * 1_{\lambda} = 0$  or  $\omega * 1_{\lambda} = e_A$  for some  $A \in \Lambda_1$ , where A is aperiodic, as a result of Lemma 6.3.1.

The next step is to prove a converse of this result. It will be shown that each of the aperiodic basis elements  $e_A$  in  $\hat{G}(n,r)$  can be expressed in the form  $\omega 1_{\lambda}$ , where  $\omega$  is a word in  $E_1, \ldots E_n$  and  $F_1, \ldots, F_n$  and  $\lambda = \operatorname{co}(A)$ . This will be proven by induction on the 'weight' of a matrix by showing how any aperiodic basis element can be written as the product of some  $E_i$  or  $F_i$  with an aperiodic basis element of strictly smaller weight.

**Definition 6.3.2.** For each  $A \in \Lambda_1$ , define the weight of A to be the non negative integer  $\operatorname{wt}(A)$  given by

$$\operatorname{wt}(A) = \sum_{i \in \{1, \dots, n\}, j \in \mathbb{Z}} |j - i| a_{i,j}.$$

Observe that

$$wt(A) = \sum_{[i,j]:i < j} (j-i)a_{i,j} + \sum_{[i,j]:i > j} (i-j)a_{i,j}.$$

Also write  $\operatorname{wt}(e_A) = \operatorname{wt}(A)$ . Then  $1_{\lambda}$  has weight 0, and  $E_{i,\lambda}$  and  $F_{i,\lambda}$  have weight 1. In fact, the converses also hold in that  $\operatorname{wt}(e_A) = 0$  implies  $e_A = 1_{\lambda}$  for some  $\lambda$ , and  $\operatorname{wt}(e_A) = 1$  implies  $e_A$  is  $E_{i,\lambda}$  or  $F_{i,\lambda}$  for some i and  $\lambda$ .

**Lemma 6.3.2.** Let  $A \in \Lambda_1$  and write  $\mu = ro(A)$ . Suppose  $\mu_{i+1} > 0$  and set

$$p = \max\{p' \in \mathbb{Z} : a_{i+1,p'} > 0\}.$$

If p > i then

$$\operatorname{wt}(E_i * e_A) = 1 + \operatorname{wt}(e_A)$$

and if  $p \leq i$  then

$$\operatorname{wt}(E_i * e_A) = -1 + \operatorname{wt}(e_A).$$

Proof. Lemma 6.1.1 shows that

$$E_i * e_A = e_{A + \mathcal{E}_{i,p} - \mathcal{E}_{i+1,p}}$$

SO

$$\operatorname{wt}(E_i * e_A) - \operatorname{wt}(e_A) = |p - i| - |p - i - 1|,$$

which equals 1 if p > i and equals -1 if  $p \le i$ .

**Lemma 6.3.3.** Let  $A \in \Lambda_1$  and  $\mu = \text{ro}(A)$ . Suppose  $i \in \{1, ..., n\}$  is such that  $\mu_i > 0$  and let

$$q = \min\{q' \in \mathbb{Z} : a_{i,q'} > 0\}.$$

If  $q \leq i$  then

$$\operatorname{wt}(F_i * e_A) = \operatorname{wt}(e_A) + 1$$

and if q > i then

$$\operatorname{wt}(F_i * e_A) = \operatorname{wt}(e_A) - 1.$$

Proof. Again using Lemma 6.1.1,

$$F_i * e_A = e_{A + \mathcal{E}_{i+1,q} - \mathcal{E}_{i,q}},$$

so

$$\operatorname{wt}(F_i * e_A) - \operatorname{wt}(e_A) = |q - i - 1| - |q - i|,$$

which equals -1 if q > i and equals 1 if  $q \le i$ .

**Lemma 6.3.4.** If  $A \in \Lambda_1$  is aperiodic, then

$$e_A = \omega_1 \cdots \omega_m 1_{\lambda}$$

for some

$$\omega_1 \ldots, \omega_m \in \{E_1, \ldots, E_n\} \cup \{F_1, \ldots, F_n\},$$

where  $\lambda = co(A)$ .

*Proof.* The proof uses induction on the weight of A.

If wt(A) = 0 then  $A = D_{\lambda}$ , where  $\lambda = co(A)$ , so

$$e_A = 1_{\lambda}$$
.

Assume wt(A) > 0. Then A has at least one nonzero entry which is not on the diagonal. Suppose the upper part of A is nonzero and set

$$h^+ = \max\{j - i : a_{i,j} \neq 0\}.$$

There is  $i \in \{1, ..., n\}$  such that  $a_{i,i+h^+} > 0$  and  $a_{i+1,i+1+h^+} = 0$ , using the aperiodicity property of A. Let p be the smallest integer such that p > i,  $a_{i,p} > 0$  and  $a_{i+1,j} = 0$  for j > p. Then

$$e_A = E_i * e_B$$

where  $B = A + \mathcal{E}_{i+1,p} - \mathcal{E}_{i,p}$ . Moreover, B is aperiodic and

$$\operatorname{wt}(B) = \operatorname{wt}(A) - 1,$$

using Lemma 6.3.2.

Next suppose the lower part of A is nonzero and set

$$h^- = \max\{i - j : a_{i,j} > 0\}.$$

There is  $i \in \{1, ..., n\}$  such that  $a_{i,i-h^{-1}} = 0$  and  $a_{i+1,i+1-h^{-}} > 0$ , by the aperiodicity property of A. Let q be the largest integer such that q < i + 1,  $a_{i+1,q} > 0$  and  $a_{i,j} = 0$  for j < q. Then  $q \ge i - h^{-}$  and

$$e_A = F_i e_B$$

where

$$B = A + \mathcal{E}_{i,a} - \mathcal{E}_{i+1,a}.$$

Observe B is aperiodic and

$$\operatorname{wt}(B) = \operatorname{wt}(A) - 1,$$

by Lemma 6.3.3.

Therefore, if  $\operatorname{wt}(A) > 0$  there exists an aperiodic element  $B \in \Lambda_1$  with

$$\operatorname{wt}(B) = \operatorname{wt}(A) - 1$$

and such that

$$e_A = \omega e_B$$

for some  $\omega \in \{E_1, \ldots, E_n\} \cup \{F_1, \ldots, F_n\}$ .

It follows that any aperiodic basis element  $e_A$  is the product of a word of length  $\operatorname{wt}(A)$  in  $E_1, \ldots, E_n$  and  $F_1, \ldots, F_n$  with the idempotent  $1_{\lambda}$ , where  $\lambda = \operatorname{co}(A)$ .

**Proposition 6.3.5.** The subalgebra of  $\hat{G}(n,r)$  generated by  $E_i$  and  $F_i$  for  $i \in \{1,\ldots,n\}$  and  $1_{\lambda}$  for  $\lambda \in \Lambda_0$  has  $\mathbb{Z}$ -basis

$$\{e_A: A \in \Lambda_1 \text{ is aperiodic.}\}.$$

*Proof.* By definition, this subalgebra is spanned by the nonzero products in  $E_i$  and  $F_i$  for  $i \in \{1, ..., n\}$  and  $\lambda \in \Lambda_0$ , which are exactly the aperiodic basis elements, by Lemma 6.3.1 and Lemma 6.3.4.

**Lemma 6.3.6.** In the case r < n,  $\hat{G}(n,r)$  is generated by  $E_i$  and  $F_i$  for  $i \in \{1,\ldots,n\}$  and  $1_{\lambda}$  for  $\lambda \in \Lambda_0$ .

*Proof.* When r < n, any  $A \in \Lambda_1$  is aperiodic since co(A) has a zero entry, so A has a column of zero entries. Therefore each of the basis elements  $e_A$  in  $\hat{G}(n,r)$  may be written as a product of the  $E_i$ ,  $F_i$  and  $1_{\lambda}$ , using Proposition 6.3.5.

### 6.4 Presentation of the generic affine algebra.

generators should be a subset of a basis.

define the quiver here

write down the algebra homomorphism from the path algebra to the generic algebra.

using results of the previous section, when r < n, this map is surjective.

for general r and n, the image is spanned by the aperiodic basis elements.

an ideal of relations for the case  $n \geq 3$ . Prove the relations hold and give notation for the ideal they generate in the path algebra.

prove this ideal is the kernel of the presentation.

conclude the main theorem: isomorphism between  $\hat{G}(n,r)$  and  $\hat{S}_0(n,r)$  when r < n. consequence: multiplicative basis in  $\hat{S}_0(n,r)$ .

Recall that  $\Lambda_0$  denotes the set of compositions of r into n parts. That is,  $\Lambda_0$  is the set of tuples  $\lambda = (\lambda_1, \ldots, \lambda_n) \in \mathbb{Z}^n$  with each  $\lambda_i$  non-negative and  $\lambda_1 + \cdots + \lambda_n = r$ . Given  $i \in \{1, \ldots, n\}$ , let  $\varepsilon_i = (0, \ldots, 1, \ldots, 0) \in \mathbb{Z}^n$  be the i-th elementary vector and let  $\alpha_i = \varepsilon_i - \varepsilon_{i+1}$ . Then given  $\lambda \in \Lambda_0$ , we have  $\lambda + \alpha_i \in \Lambda_0$  provided  $\lambda_{i+1} > 0$  and  $\lambda - \alpha_i \in \Lambda_0$  provided  $\lambda_i > 0$ .

Let  $\Gamma = \Gamma(n, r)$  be the quiver with set of vertices  $\Lambda_0$  with arrows  $e_{i,\lambda} : \lambda \to \lambda + \alpha_i$  (if  $\lambda_{i+1} > 0$ ) and  $f_{i,\lambda} : \lambda \to \lambda - \alpha_i$  (if  $\lambda_i > 0$ ). Thus there are no arrows between  $\lambda$  and  $\mu$  unless  $\lambda = \mu \pm \alpha_i$  for some  $i \in \{1, \ldots, n\}$ .

If  $n \geq 3$  then neighbouring vertices are connected by two arrows, one of each direction. In the case n = 2, neighbouring vertices are joined by four arrows, two of each direction. The  $\mathbb{Z}\Gamma$  denote the path  $\mathbb{Z}$  algebra of  $\Gamma$ . By construction of  $\Gamma$ , there is a  $\mathbb{Z}$ -algebra homomorphism  $\mathbb{Z}\Gamma \to \hat{G}(n,r)$  with  $e_{i,\lambda} \mapsto E_{i,\lambda}$ ,  $f_{i,\lambda} \mapsto F_{i,\lambda}$  and  $k_{\lambda} = 1_{\lambda}$ . We aim to describe the image and kernel of the morphism to give a presentation of the generic algebra by a quiver with relations, when possible.

In general, we should obtain a presentation of a subalgebra of the generic algebra consisting of the so-called aperiodic elements (c.f. [32]).

#### 6.4.1 Relations

Suppose  $n \geq 3$ .

**Lemma 6.4.1.** The following relations hold in  $\hat{G}(n,r)$ :

$$E_i E_i - E_i E_i = 0$$

$$F_i F_i - F_i F_i = 0$$

unless |j - i| = 1.

$$E_i E_{i+1}^2 - E_{i+1} E_i E_{i+1} = 0$$

$$E_i^2 E_{i+1} - E_i E_{i+1} E_i = 0$$

$$F_{i+1} F_i^2 - F_i F_{i+1} F_i = 0$$

$$F_{i+1}^2 F_i - F_{i+1} F_i F_{i+1} = 0$$

$$E_i F_j - F_j E_i = 0$$

unless j = i.

$$E_i Fi - F_i E_i + \sum_{\lambda: \lambda_i = 0, \lambda_{i+1} > 0} 1_{\lambda} - \sum_{\lambda: \lambda_i > 0, \lambda_{i+1} = 0} 1_{\lambda} = 0.$$

#### 6.4.2 The period 2 case

In this case, the quiver  $\Gamma(2,r)$  has vertices  $\Lambda_0(2,r) = \{(0,r), (1,r-1), \ldots, (r,0)\}$ ; adjacent vertices are connected by two pairs of arrows with opposite orientation:  $(e_1, f_1)$  and  $(e_2, f_2)$ . The relations arising from  $\hat{G}(2,r)$  are of a more complicated form - in particular, the serre relations of total degree 3 will not hold in this case - so this case will be treated separately and at a later date.

However, the presentation of the subalgebra of  $\hat{G}(2,r)$  spanned by the aperiodic distinguished basis elements by a quiver with relations is not strictly needed for our study here, since we are considering the case where r < n, and we have already seen concretely why  $\hat{G}(2,1)$  and  $\hat{S}_0(2,1)$  are the same.

# Chapter 7

# Further directions

## 7.1 Further results on affine zero Schur algebras

[1] Investigate link between this generic product and the generic extension of representations. Shifting to the non-negative subalgebra to do computations purely in terms of generic extensions of quiver representations.

### 7.2 Deformed group algebras of symmetric groups

[2] Degenerate group algebras of symmetric groups: write down a presentation of the degenerate group algebras, with generators given by the transpositions, or 2-cycles. Type up the computations done for degenerate group algebras for  $S_3$  and  $S_4$ . Formulate propositions for the general case: the transpositions generate the degenerate group algebra; lemma: 'these' relations hold; these generators and relations give a presentation of the degenerate group algebras.

Terminology: deformed group algebra.

### 7.3 back matter

[1]  $Y_{A,B}^L$  is the image of  $G_L \times G_{L'}$  under the action map  $(\alpha, \beta) \mapsto \alpha\beta \cdot (L', L'')$ , for any  $(L', L'') \in Y_{A,B}^L$ . Lemma 5.4.6 shows that  $G_L/H_{N,L}$  is a connected algebraic group. Moreover,  $G_{L'}/H_{2N,L}$  is an irreducible affine variety, so  $G_L/H_{N,L} \times G_{L'}/H_{2N,L}$  is an irreducible affine variety. It follows that  $Y_{A,B}^L$  is irreducible and constructible.

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