

# Affine 0-Schur algebras and affine double flag varieties.

Tom Crawley

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# Contents

<b>1</b>	<b>Introduction</b>	<b>3</b>
<b>2</b>	<b>Background: The double flag variety approach to <math>q</math>-Schur algebras</b>	<b>4</b>
<b>3</b>	<b>Geometric approach to affine <math>q</math>-Schur algebras</b>	<b>5</b>
3.1	Affine $q$ -Schur algebras via Hecke algebras . . . . .	5
3.2	The cyclic flags realisation of affine $q$ -Schur algebras . . . . .	5
3.2.1	Cyclic flags . . . . .	6
3.2.2	A product of orbits . . . . .	10
3.2.3	Triple products . . . . .	10
3.2.4	Convolution algebras . . . . .	11
3.2.5	Affine $q$ -Schur algebras . . . . .	12
3.3	Affine zero-Schur algebras . . . . .	12
<b>4</b>	<b>Presenting affine <math>q</math>-Schur algebras</b>	<b>13</b>
4.1	The distinguished basis . . . . .	13
4.1.1	Elementary basis elements . . . . .	13
4.1.2	Transpose involution . . . . .	14
4.1.3	Fundamental multiplication rules . . . . .	15
4.1.4	The hook order . . . . .	16
4.1.5	Shifting . . . . .	19
4.2	Quivers and relations . . . . .	21
4.2.1	Relations in affine $q$ -Schur algebras . . . . .	22
4.2.2	A quiver algebra . . . . .	25
4.2.3	Mapping to the $q$ -Schur algebra . . . . .	26
4.2.4	Change of rings . . . . .	27
4.3	Relations for the $n=2$ case . . . . .	29
<b>5</b>	<b>A generic affine algebra</b>	<b>31</b>
5.1	Introduction . . . . .	31
5.2	Grassmannians and related varieties . . . . .	32
5.3	Geometry of affine flag varieties . . . . .	34
5.3.1	Action through an algebraic group . . . . .	35
5.3.2	Incidence in affine flag varieties . . . . .	37
5.4	Geometry of orbits . . . . .	38
5.5	Geometry of orbit products . . . . .	39
5.6	Degenerations of orbits and the combinatorial partial order . . . . .	40
5.7	Associativity of the generic product . . . . .	41

5.8	The generic affine algebra . . . . .	45
5.8.1	A categorical perspective . . . . .	46
<b>6</b>	<b>A realisation of affine zero Schur algebras</b>	<b>47</b>
6.1	Preliminary results on the generic affine algebra . . . . .	47
6.1.1	Elementary basis elements . . . . .	47
6.1.2	Transpose involution . . . . .	49
6.1.3	Shifting and periodicity . . . . .	50
6.2	Multiplicative bases in affine zero Schur algebras: motivating example . . . . .	52
6.3	Aperiodicity in the generic affine algebra . . . . .	53
6.4	Quiver presentation of the generic affine algebra. . . . .	56
6.4.1	Standard paths . . . . .	61
6.5	The isomorphism result . . . . .	62
6.6	The period 2 case . . . . .	64
<b>7</b>	<b>Further directions</b>	<b>66</b>
7.1	Further results on affine zero Schur algebras . . . . .	66
7.2	Deformed group algebras of symmetric groups . . . . .	66
7.3	back matter . . . . .	66

## Chapter 1

# Introduction

## Chapter 2

**Background: The double flag variety  
approach to  $q$ -Schur algebras**

## Chapter 3

# Geometric approach to affine q-Schur algebras

### 3.1 Affine q-Schur algebras via Hecke algebras

Describe the original construction/ definition of affine q-Schur algebras via Hecke endomorphism algebras.

### 3.2 The cyclic flags realisation of affine q-Schur algebras

Fix integers  $n, r \geq 1$ .

**Definition 3.2.1.** A *composition of  $r$  into  $n$  parts* is an  $n$ -tuple  $\lambda = (\lambda_1, \dots, \lambda_n) \in \mathbb{Z}^n$  of non-negative integers whose sum equals  $r$ . Denote the set of compositions of  $r$  into  $n$  parts by  $\Lambda_0$ .

A composition  $\lambda \in \Lambda_0$  is said to be *sincere* if  $\lambda_i > 0$  for each  $i \in \{1, \dots, n\}$  and otherwise  $\lambda$  is said to be *insincere*.

For each  $i \in \{1, \dots, n\}$ , let

$$\alpha_i = \varepsilon_i - \varepsilon_{i+1},$$

where  $\varepsilon_{n+1} = \varepsilon_1$ .

**Definition 3.2.2.** Let  $\Lambda_1$  be the set of matrices  $A = (a_{i,j})_{i,j \in \mathbb{Z}}$  with integer entries  $a_{i,j}$  satisfying the following conditions:

- i.  $a_{i,j} \geq 0$  for each  $i, j \in \mathbb{Z}$ ;
- ii. each row or column has only finitely many non-zero entries;
- iii. the sum of the entries in any  $n$  consecutive rows or columns equals  $r$ ;
- iv.  $a_{i-n,j-n} = a_{i,j}$  for each  $i, j \in \mathbb{Z}$ .

These matrices are referred to as *infinite periodic matrices*.

**Definition 3.2.3.** Given  $A \in \Lambda_1$ , let  $\text{ro}(A)$  and  $\text{co}(A)$  be the compositions of  $r$  into  $n$  parts given by

$$\text{ro}(A) = \left( \sum_{j \in \mathbb{Z}} a_{1,j}, \dots, \sum_{j \in \mathbb{Z}} a_{n,j} \right)$$

and

$$\text{co}(A) = \left( \sum_{i \in \mathbb{Z}} a_{i,1}, \dots, \sum_{i \in \mathbb{Z}} a_{i,n} \right).$$

The *source* of  $A$  is  $\text{co}(A)$  and the *target* of  $A$  is  $\text{ro}(A)$ .

The row and column sums are finite since each row and column of  $A$  contains only finitely many nonzero entries, according to the definition of  $\Lambda_1$ .

For each  $i, j \in \mathbb{Z}$ , let  $\mathcal{E}_{i,j}$  be the  $\mathbb{Z} \times \mathbb{Z}$  ‘elementary periodic matrix’ with entries given by

$$(\mathcal{E}_{i,j})_{s,t} = 1$$

if  $(s, t) = (i + cn, j + cn)$  for some  $c \in \mathbb{Z}$  and  $(\mathcal{E}_{i,j})_{s,t} = 0$  otherwise. Clearly  $\mathcal{E}_{i,j} = \mathcal{E}_{i+n,j+n}$  for each  $i, j \in \mathbb{Z}$ .

Given  $\lambda \in \Lambda_0$ , let  $D_\lambda \in \Lambda_1$  be the diagonal matrix with source and target  $\lambda$ , which is given by

$$D_\lambda = \lambda_1 \mathcal{E}_{1,1} + \dots + \lambda_n \mathcal{E}_{n,n}. \quad (3.2.1)$$

### 3.2.1 Cyclic flags

Fix  $n, r \in \mathbb{N}$  and let  $\mathbf{k}$  be a field. Let  $\mathcal{S}$  be the  $\mathbf{k}$ -algebra  $\mathbf{k}[\varepsilon, \varepsilon^{-1}]$  and let  $\mathcal{R}$  be the subalgebra generated by  $\varepsilon$ , so  $\mathcal{R} = \mathbf{k}[\varepsilon]$ . Let  $V$  be a free  $\mathcal{S}$ -module of rank  $r$ . Let  $G$  be the automorphism group of the  $\mathcal{S}$ -module  $V$ , so  $G$  is isomorphic to  $\text{GL}_r(\mathcal{S})$ .

**Definition 3.2.4.** A *lattice* in  $V$  is a  $\mathcal{R}$ -submodule  $L$  of  $V$  with

$$\mathcal{S} \otimes_{\mathcal{R}} L = V.$$

In particular, a lattice is an  $\mathcal{R}$ -submodule of  $V$  which is a free  $\mathcal{R}$ -module of rank  $r$ .

**Lemma 3.2.5.** Let  $L$  be a lattice in  $V$ .  $L/\varepsilon L$  is a torsion  $\mathcal{R}$ -module, where  $\varepsilon$  acts as zero and  $L/\varepsilon L$  is an  $r$ -dimensional  $\mathbf{k}$ -vector space.

*Proof.*  $L$  is a free  $\mathcal{R}$ -module of rank  $r$ , with  $L \subset V$ . Given an  $\mathcal{R}$ -basis  $\{x_1, \dots, x_r\}$  of  $L$ ,  $\{\varepsilon x_1, \dots, \varepsilon x_r\}$  is an  $\mathcal{R}$ -basis of  $\varepsilon L$ . Finally, the cosets  $\{x_1 + \varepsilon L, \dots, x_r + \varepsilon L\}$  give a basis for  $L/\varepsilon L$  over  $\mathcal{R}/\langle \varepsilon \rangle \cong \mathbf{k}$ .  $\square$

Observe that if  $L$  and  $L'$  are lattices in  $V$ , then both the sum  $L + L'$  and the intersection  $L \cap L'$  are lattices in  $V$ . Moreover,

$$\begin{aligned} g(L + L') &= g(L) + g(L') \\ g(L \cap L') &= g(L) \cap g(L') \end{aligned}$$

for each  $g \in G$ .

**Definition 3.2.6.** A *cyclic flag* in  $V$  is a collection  $(L_i)_{i \in \mathbb{Z}}$  of lattices in  $V$  with  $L_i \subset L_{i+1}$  and  $\varepsilon L_i = L_{i-n}$  for each  $i \in \mathbb{Z}$ . The space of cyclic flags in  $V$  is denoted by  $\mathcal{F} = \mathcal{F}(n, r)$ .

The group  $G = \text{Aut}(V)$  acts on  $\mathcal{F}$  by  $(g \cdot L)_i = g(L_i)$  for each  $i \in \mathbb{Z}$ ,  $g \in G$  and  $L \in \mathcal{F}$ . The  $G$ -orbits in  $\mathcal{F}$  are indexed by the set  $\Lambda_0$  of compositions of  $r$  into  $n$  parts. In particular, the  $G$ -orbit in  $\mathcal{F}$  corresponding to  $\lambda \in \Lambda_0$  is

$$\mathcal{F}_\lambda = \left\{ L \in \mathcal{F} : \dim \left( \frac{L_i}{L_{i-1}} \right) = \lambda_i \text{ for each } i \in \mathbb{Z} \right\}.$$

Consider the space  $\mathcal{F} \times \mathcal{F}$  of pairs of flags with the diagonal action of  $G$ , given by

$$g \cdot (L, L') = (gL, gL')$$

for  $g \in G$  and  $(L, L') \in \mathcal{F} \times \mathcal{F}$ . Denote the  $G$ -orbit of  $(L, L')$  by  $[L, L']$ . The set of  $G$ -orbits in  $\mathcal{F} \times \mathcal{F}$  admits a combinatorial description as will be described below.

**Definition 3.2.7.** The periodic characteristic matrix of a pair of cyclic flags  $(L, L') \in \mathcal{F} \times \mathcal{F}$  is the matrix  $A(L, L') = (a_{i,j})_{i,j \in \mathbb{Z}}$  with entries

$$a_{i,j} = \dim \left( \frac{L_i \cap L'_j}{L_i \cap L'_{j-1} + L_{i-1} \cap L'_j} \right)$$

for each  $i, j \in \mathbb{Z}$ .

The next lemma shows that the characteristic matrix of a pair of flags depends only on the  $G$ -orbit of the pair.

**Lemma 3.2.8.** *Given  $(L, L') \in \mathcal{F} \times \mathcal{F}$  and  $g \in G$ ,*

$$A(gL, gL') = A(L, L').$$

*Proof.* Write  $A = A(L, L')$  and  $B = A(gL, gL')$ . For each  $i, j \in \mathbb{Z}$ ,  $g$  induces a linear isomorphism

$$\frac{L_i \cap L'_j}{L_{i-1} \cap L'_j + L_i \cap L'_{j-1}} \rightarrow \frac{g(L_i \cap L'_j)}{g(L_{i-1} \cap L'_j + L_i \cap L'_{j-1})},$$

so

$$\begin{aligned} b_{i,j} &= \dim \left( \frac{gL_i \cap gL'_j}{gL_{i-1} \cap gL'_j + gL_i \cap gL'_{j-1}} \right) \\ &= \dim \left( \frac{g(L_i \cap L'_j)}{g(L_{i-1} \cap L'_j + L_i \cap L'_{j-1})} \right) \\ &= \dim \left( \frac{L_i \cap L'_j}{L_{i-1} \cap L'_j + L_i \cap L'_{j-1}} \right) \\ &= a_{i,j}, \end{aligned}$$

since the action of  $g$  commutes with sums and intersections of lattices. Therefore  $A = B$  as claimed.  $\square$

The following result gives another useful set of expressions for the characteristic matrix.

**Lemma 3.2.9.** *For each  $i, j \in \mathbb{Z}$ ,*

$$a_{i,j} = \dim \left( \frac{L_{i-1} + L_i \cap L'_j}{L_{i-1} + L_i \cap L'_{j-1}} \right).$$

*Proof.* Set  $U = L_i \cap L'_j$  and  $U' = L_{i-1} + L_i \cap L'_{j-1}$ . Then  $U + U' = L_{i-1} + L_i \cap L'_j$  and  $U \cap U' = L_i \cap L'_j \cap L_{i-1} + L_i \cap L'_{j-1}$ . Applying the isomorphism theorems,  $U + U'/U'$  is naturally isomorphic to  $U/U \cap U'$  as a vector space. In particular,

$$\frac{L_{i-1} + L_i \cap L'_j}{L_{i-1} + L_i \cap L'_{j-1}} = \frac{L_i \cap L'_j}{L_{i-1} \cap L'_j + L_i \cap L'_{j-1}}$$

and thus the dimensions of these spaces are both equal to  $a_{i,j}$ .  $\square$



**Lemma 3.2.10.** For each  $(L, L') \in \mathcal{F} \times \mathcal{F}$ ,  $A(L, L') \in \Lambda_1$ .

*Proof.* Let  $A = A(L, L')$ , fix  $\lambda \in \Lambda_0$  such that  $L \in \mathcal{F}_\lambda$  and let  $i \in \{1, \dots, n\}$ . **COMPLETE THIS PROOF** □

Finish giving a complete and rigorous description of the orbit-matrix correspondence. It is fairly elementary but used extensively in the rest of the thesis, so it is worth giving a full account.

**Lemma 3.2.11.** Given a pair of flags  $(L, L') \in \mathcal{F}^2$ , the matrices  $A(L, L')$  and  $A(L', L)$  are related by the transpose. In particular,  $A(L, L')_{i,j} = A(L', L)_{j,i}$  for each  $i, j \in \mathbb{Z}$ .

*Proof.* By swapping the roles of  $i$  and  $j$  and swapping  $L$  and  $L'$  it is clear that  $A(L, L')_{i,j}$  and  $A(L', L)_{j,i}$  are both equal to the dimension of the  $\mathbf{k}$ -vector space

$$\frac{L_i \cap L'_j}{L_{i-1} \cap L'_j + L_i \cap L'_{j-1}},$$

for each  $i, j \in \mathbb{Z}$ . □

**Lemma 3.2.12.** Given  $A \in \Lambda_1$  and  $(L, L') \in \mathcal{O}_A$ ,

$$\dim_{\mathbf{k}} \left( \frac{L_i}{L_i \cap L'_j} \right) = \sum_{s \leq i, t > j} a_{s,t}$$

and

$$\dim_{\mathbf{k}} \left( \frac{L'_j}{L_i \cap L'_j} \right) = \sum_{s > i, t \leq j} a_{s,t},$$

for each  $i, j \in \mathbb{Z}$ .

*Proof.* For each  $s, t \in \mathbb{Z}$  define a lattice

$$M_{s,t} = L_i \cap L'_j + L_{s-1} + L_s \cap L'_t.$$

Observe that  $L_i \cap L'_j$  is a sublattice of each  $M_{s,t}$  and when  $s \leq i$ ,  $L_s$  is contained in  $L_i$ , so  $M_{s,t}$  is a sublattice of  $L_i$ . The collection of lattices  $(M_{s,t})_{s \leq i, t > j}$  are totally ordered by subset inclusion, as will be shown below, so give a chain of lattices each containing  $L_i \cap L'_j$  and contained in  $L_i$ . This chain of lattices induces a filtration of  $L_i/L_i \cap L'_j$  and it will be shown that the dimensions of the quotients are precisely  $a_{s,t}$  for  $s \leq i$  and  $t > j$ .

Let  $s \leq i$  and  $t \geq j$ .

$$M_{s,t} \subset M_{s,t+1}$$

and

$$\begin{aligned} M_{s,j} &= L_i \cap L'_j + L_{s-1} + L_s \cap L'_j \\ &= L_i \cap L'_j + L_{s-1}. \end{aligned}$$

If  $t$  is sufficiently large,  $L_s \subset L'_t$  and then

$$\begin{aligned} M_{s,t} &= L_i \cap L'_j + L_s \\ &= M_{s+1,j}. \end{aligned}$$

It follows that the collection of lattices is totally ordered, with  $M_{s,t} \leq M_{u,v}$  if and only if  $s < u$  or  $s = u$  and  $t \leq v$ . Thus  $L_i/L_i \cap L'_j$  has a filtration given by the spaces  $M_{s,t}/L_i \cap L'_j$  for all  $s \leq i$  and  $t > j$ .

$$\begin{aligned} M_{i,t} &= L_i \cap L'_j + L_{i-1} + L_i \cap L'_t \\ &= L_{i-1} + L_i \cap L'_t \end{aligned}$$

and if  $t$  is sufficiently large that  $L_i \subset L'_t$ , then  $M_{i,t} = L_i$ .

If  $s$  is sufficiently small that  $L_s \subset L_i \cap L'_j$  then

$$\begin{aligned} M_{s,t} &= L_i \cap L'_j + L_{s-1} + L_s \cap L'_t \\ &= L_i \cap L'_j. \end{aligned}$$

$$\begin{aligned} \frac{M_{s,t}}{L_i \cap L'_j} &= \frac{L_i \cap L'_j + L_{s-1} + L_s \cap L'_t}{L_i \cap L'_j} \\ &= \frac{L_{s-1} + L_s \cap L'_t}{L_i \cap L'_j \cap (L_{s-1} + L_s \cap L'_t)} \\ &= \frac{L_{s-1} + L_s \cap L'_t}{L_s \cap L'_j} \end{aligned}$$

Then for each  $s \leq i$  and  $t > j$

$$\begin{aligned} \dim \left( \frac{M_{s,t}}{M_{s,t-1}} \right) &= \dim \left( \frac{L_{s-1} + L_s \cap L'_t}{L_{s-1} + L_s \cap L'_{t-1}} \right) \\ &= a_{s,t}, \end{aligned}$$

so

$$\dim \left( \frac{L_i}{L_i \cap L'_j} \right) = \sum_{s \leq i, t > j} a_{s,t}.$$

To deduce the second formula observe that  $(L', L) \in \mathcal{O}_{A^\top}$ , by Lemma 3.2.11, so

$$\begin{aligned} \dim \left( \frac{L'_j}{L_i \cap L'_j} \right) &= \sum_{t \leq j, s > i} a_{t,s}^\top \\ &= \sum_{s > i, t \leq j} a_{s,t}. \end{aligned}$$

□

**Lemma 3.2.13.** *Given  $(L, L') \in \mathcal{F}^2$ ,  $L' \subset L$  if and only if  $A(L, L')_{i,j} = 0$  for  $i, j \in \mathbb{Z}$  with  $i > j$ .*

*Proof.* Suppose  $L, L' \in \mathcal{F}$  with  $L' \subset L$ , meaning  $L'_j \subset L_j$  for each  $j \in \mathbb{Z}$ . Then for  $i > j$ ,  $L_i \cap L'_j = L'_j$ ,  $L_{i-1} \cap L'_j = L'_j$  and  $L_i \cap L'_{j-1}$ , which shows

$$A(L, L')_{i,j} = \dim \left( \frac{L'_j}{L'_{j-1} + L'_j} \right) = 0$$

as required. Conversely, suppose  $A(L, L')$  is upper triangular, meaning  $A(L, L')_{i,j} = 0$  when  $i > j$ . Using Lemma 3.2.12,

$$\dim \left( \frac{L'_i}{L'_i \cap L_i} \right) = \sum_{s>i, t \leq i} a_{s,t} = 0,$$

so  $L_i \cap L'_i = L'_i$  and thus  $L'_i \subset L_i$  for each  $i \in \mathbb{Z}$ , as required.  $\square$

**Corollary 3.2.14.** *Given  $L, L' \in \mathcal{F}$ ,  $L = L'$  if and only if  $A(L, L')_{i,j} = 0$  whenever  $i \neq j$ . In particular,*

$$\mathcal{O}_{D_\lambda} = \{(L, L) \in \mathcal{F}^2 : L \in \mathcal{F}_\lambda\},$$

for each  $\lambda \in \Lambda_0$ .

### 3.2.2 A product of orbits

Given  $A, B \in \Lambda_1$  with  $\text{co}(A) = \text{ro}(B)$ , define

$$Y_{A,B} = \{(L, L', L'') \in \mathcal{F}^3 : (L, L') \in \mathcal{O}_A \text{ and } (L', L'') \in \mathcal{O}_B\},$$

$$X_{A,B} = \{(L, L'') \in \mathcal{F}^2 : \exists L' \in \mathcal{F} \text{ with } (L, L') \in \mathcal{O}_A \text{ and } (L', L'') \in \mathcal{O}_B\}.$$

If also  $L \in \mathcal{F}_{\text{ro}(A)}$ , define the  $L$ -slices of  $Y_{A,B}$  and  $X_{A,B}$  respectively as

$$Y_{A,B}^L = \{(L', L'') \in \mathcal{F}^2 : (L, L', L'') \in Y_{A,B}\},$$

$$X_{A,B}^L = \{L'' \in \mathcal{F} : (L, L'') \in X_{A,B}\}.$$

**Remark 3.2.15.** There are only finitely many  $G$ -orbits in  $X_{A,B}$ .

**Lemma 3.2.16.** *Given  $A \in \Lambda_1$ ,  $X_{D_\lambda, A} = \mathcal{O}_A$  if  $\lambda = \text{ro}(A)$  and  $X_{A, D_\lambda} = \mathcal{O}_A$  if  $\lambda = \text{co}(A)$ .*

*Proof.* Let  $A \in \Lambda_1$  and set  $\lambda = \text{ro}(A)$ .  $Y_{D_\lambda, A}$  is the set of triples  $(L, L', L'') \in \mathcal{F}^3$  with  $(L, L') \in \mathcal{O}_{D_\lambda}$ , thus  $L = L'$  by Corollary 3.2.14, and  $(L', L'') \in \mathcal{O}_A$ .  $X_{D_\lambda, A}$  is the projection of  $Y_{D_\lambda, A}$ , which equals  $\mathcal{O}_A$ .

Similarly, if  $\lambda = \text{co}(A)$ ,  $Y_{A, D_\lambda}$  is the set of triples  $(L, L', L'') \in \mathcal{F}^3$  with  $(L, L') \in \mathcal{O}_A$  and  $L'' = L'$ , so  $X_{A, D_\lambda}$  is exactly the orbit  $\mathcal{O}_B$ .  $\square$

### 3.2.3 Triple products

Given  $A, B, C \in \Lambda_1$  with  $\text{co}(A) = \text{ro}(B)$  and  $\text{co}(B) = \text{ro}(C)$  and  $L \in \mathcal{F}_{\text{ro}(A)}$ , there are spaces  $X_{A,B,C}$ ,  $Y_{A,B,C}$  and their respective  $L$ -slices, defined as follows:

$$Y_{A,B,C} = \{(L, L', L'', L''') \in \mathcal{F}^4 : (L, L') \in \mathcal{O}_A, (L', L'') \in \mathcal{O}_B \text{ and } (L'', L''') \in \mathcal{O}_C\},$$

$$X_{A,B,C} = \{(L, L''') \in \mathcal{F}^2 : \exists (L', L'') \in \mathcal{O}_B \text{ with } (L, L') \in \mathcal{O}_A \text{ and } (L'', L''') \in \mathcal{O}_C\},$$

$$Y_{A,B,C}^L = \{(L', L'', L''') \in \mathcal{F}^3 : (L, L', L'', L''') \in Y_{A,B,C}\},$$

$$X_{A,B,C}^L = \{L''' \in \mathcal{F} : (L, L''') \in X_{A,B,C}\}.$$

### 3.2.4 Convolution algebras

Suppose  $\mathbf{k}$  is a finite field and let  $q$  denote the number of elements of  $\mathbf{k}$ . Consider the set  $S$  of  $G$ -invariant functions  $\mathcal{F} \times \mathcal{F} \rightarrow \mathbb{Z}$  with constructible support.  $S$  is a free  $\mathbb{Z}$ -module with a basis consisting of the indicator functions of the  $G$ -orbits in  $\mathcal{F} \times \mathcal{F}$ . Define an operation  $\star$  on  $S$  as follows: for each  $f, g \in S$ ,  $f \star g \in S$  is given by

$$(f \star g)(L, L'') = \sum_{L' \in \mathcal{F}} f(L, L')g(L', L''),$$

for  $(L, L'') \in \mathcal{F} \times \mathcal{F}$ .

$f \star g$  is well defined since the supports of  $f$  and  $g$  consist of finitely many  $G$ -orbits, so there are only finitely many  $L' \in \mathcal{F}$  such that  $f(L, L')g(L', L'') \neq 0$ , given  $(L, L'') \in \mathcal{F} \times \mathcal{F}$ .  $f \star g$  is constant on  $G$ -orbits and is supported on finitely many  $G$ -orbits, so  $f \star g \in S$ .

**Lemma 3.2.17.** *The set  $S$  together with the operation  $\star$  is an associative  $\mathbb{Z}$ -algebra with identity element  $\iota$  given by  $\iota(L, L) = 1$  and  $\iota(L, L') = 0$  for  $L' \neq L$ .*

*Proof.* Given  $f, g, h \in S$  and  $(L, L''') \in \mathcal{F} \times \mathcal{F}$ ,

$$\begin{aligned} ((f \star g) \star h)(L, L''') &= \sum_{L''} (f \star g)(L, L'')h(L'', L''') \\ &= \sum_{L''} \sum_{L'} f(L, L')g(L', L'')h(L'', L''') \\ &= (f \star (g \star h))(L, L'''), \end{aligned}$$

thus  $\star$  is associative.  $\iota$  is the multiplicative identity since

$$(\iota \star f)(L, L'') = \sum_{L'} \iota(L, L')f(L', L'') = f(L, L'')$$

and

$$(f \star \iota)(L, L'') = \sum_{L'} f(L, L')\iota(L', L'') = f(L, L''),$$

for each  $f \in S$  and  $(L, L'') \in \mathcal{F} \times \mathcal{F}$ . □

Given  $A \in \Lambda_1$ , let  $e_A \in S$  denote the indicator function of the orbit  $\mathcal{O}_A$ .  $S$  is a free  $\mathbb{Z}$ -module with basis  $\{e_A : A \in \Lambda_1\}$ . There exist  $\gamma_{A,B,C;q} \in \mathbb{Z}$  for  $A, B, C \in \Lambda_1$  such that

$$e_A \star e_B = \sum_{C \in \Lambda_1} \gamma_{A,B,C;q} e_C$$

for each  $A, B \in \Lambda_1$ . Then

$$\begin{aligned} \gamma_{A,B,C;q} &= (e_A \star e_B)(L, L'') \\ &= \sum_{L'} e_A(L, L')e_B(L', L'') \\ &= \#\{L' : (L, L') \in \mathcal{O}_A \text{ and } (L', L'') \in \mathcal{O}_B\}, \end{aligned}$$

for any  $(L, L'') \in \mathcal{O}_C$ .

### 3.2.5 Affine q-Schur algebras

There exist polynomials  $\gamma_{A,B,C} \in \mathbb{Z}[q]$  for  $A, B, C \in \Lambda_1$  such that  $\gamma_{A,B,C}(q) = \gamma_{A,B,C;q}$  for any prime power  $q$ , following [28, section 4]. The affine  $q$ -Schur algebra  $\hat{S}_q(n, r)$  is a  $\mathbb{Z}[q]$ -algebra which is a free  $\mathbb{Z}[q]$ -module with basis  $\{e_A : A \in \Lambda_1\}$  and with multiplication given by

$$e_A e_B = \sum_C \gamma_{A,B,C} e_C.$$

Given the existence of these ‘universal polynomials’  $\gamma_{A,B,C} \in \mathbb{Z}[q]$ , it follows from Lemma 3.2.17 that  $\hat{S}_q(n, r)$  is an associative  $\mathbb{Z}[q]$ -algebra with multiplicative identity given by

$$1 = \sum_{\lambda \in \Lambda_0} e_{D_\lambda}.$$

## 3.3 Affine zero-Schur algebras

Fix integers  $n, r \geq 1$ .

**Definition 3.3.1.** The affine zero Schur algebra  $\hat{S}_0(n, r)$  is the  $\mathbb{Z}$ -algebra

$$\hat{S}_0(n, r) = \mathbb{Z}[q]/(q) \otimes_{\mathbb{Z}[q]} \hat{S}_q(n, r).$$

Observe that  $\hat{S}_0(n, r)$  is a free  $\mathbb{Z}$ -module, since  $\hat{S}_q(n, r)$  is a free  $\mathbb{Z}[q]$ -module. Moreover,  $\hat{S}_0(n, r)$  has a  $\mathbb{Z}$ -basis  $\{e_A : A \in \Lambda_1\}$  with multiplication given by

$$e_A \cdot e_B = \sum_{C \in \Lambda_1} \gamma_{A,B,C}(0) e_C,$$

for each  $A, B \in \Lambda_1$ .

## Chapter 4

# Presenting affine q-Schur algebras

### 4.1 The distinguished basis

#### 4.1.1 Elementary basis elements

Recall that  $\mathcal{E}_{i,j}$ , for  $i, j \in \mathbb{Z}$  is the  $\mathbb{Z} \times \mathbb{Z}$  elementary periodic matrix, given by

$$(\mathcal{E}_{i,j})_{s,t} = 1$$

if  $(s, t) = (i + cn, j + cn)$  for some  $c \in \mathbb{Z}$  and  $(\mathcal{E}_{i,j})_{s,t} = 0$  otherwise.

Recall that the diagonal matrix with source and target  $\lambda$  is

$$D_\lambda = \lambda_1 \mathcal{E}_{1,1} + \cdots + \lambda_n \mathcal{E}_{n,n},$$

as in Equation 3.2.1.

The corresponding basis elements  $e_{D_\lambda}$ , for  $\lambda \in \Lambda_0$ , are pairwise orthogonal idempotents in  $\hat{S}_q(n, r)$  with

$$\sum_{\lambda \in \Lambda_0} e_{D_\lambda} = 1,$$

as a result of Lemma 3.2.16.

For each  $i \in \{1, \dots, n\}$  and  $\lambda \in \Lambda_0$  with  $\lambda_{i+1} > 0$ , define

$$E_{i,\lambda} = e_{D_\lambda + \mathcal{E}_{i,i+1} - \mathcal{E}_{i+1,i+1}}$$

and define

$$E_i = \sum_{\lambda \in \Lambda_0: \lambda_{i+1} > 0} E_{i,\lambda}.$$

Also define, for each  $i \in \{1, \dots, n\}$  and  $\lambda \in \Lambda_0$  with  $\lambda_i > 0$ ,

$$F_{i,\lambda} = e_{D_\lambda + \mathcal{E}_{i+1,i} - \mathcal{E}_{i,i}}$$

and define

$$F_i = \sum_{\lambda \in \Lambda_0: \lambda_i > 0} F_{i,\lambda}.$$

For each  $i \in \{1, \dots, n\}$ , let  $\alpha_i = \varepsilon_i - \varepsilon_{i+1}$ . Then

$$\text{co}(E_{i,\lambda}) = \text{co}(F_{i,\lambda}) = \lambda,$$

$$\text{ro}(E_{i,\lambda}) = \lambda + \alpha_i$$

and

$$\text{ro}(F_{i,\lambda}) = \lambda - \alpha_i.$$

### 4.1.2 Transpose involution

Let  $S$  be the  $\mathbb{Z}[q]$ -module automorphism of  $\hat{S}_q(n, r)$  given by

$$S(e_A) = e_{A^\top},$$

for each  $A \in \Lambda_1$ .

**Lemma 4.1.1.** *The map  $S$  is a  $\mathbb{Z}[q]$ -algebra antihomomorphism of order 2. In particular,*

$$S(e_A e_B) = S(e_B) S(e_A)$$

for each  $A, B \in \Lambda_1$ .

*Proof.* Let  $A, B, C \in \Lambda_1$  and let  $\mathbf{k}$  be a finite field with  $q = \#\mathbf{k}$  elements. If  $(L, L'') \in \mathcal{O}_C$  then  $(L'', L) \in \mathcal{O}_{C^\top}$  and

$$\begin{aligned} \gamma_{A, B, C; q} &= \#\{L' : (L, L') \in \mathcal{O}_A \text{ and } (L', L'') \in \mathcal{O}_B\} \\ &= \#\{L' : (L'', L') \in \mathcal{O}_{B^\top} \text{ and } (L', L) \in \mathcal{O}_{A^\top}\} \\ &= \gamma_{B^\top, A^\top, C^\top; q} \end{aligned}$$

It follows that

$$S(e_A e_B) = S(e_B) S(e_A),$$

for each  $A, B \in \Lambda_1$  and therefore  $S$  is a  $\mathbb{Z}[q]$ -algebra antihomomorphism. Moreover,  $S \circ S$  is the identity map on  $\hat{S}_q(n, r)$  since  $(A^\top)^\top = A$ .  $\square$

The action of  $S$  on  $E_i$ ,  $F_i$  and  $1_\lambda$  is as follows:

$$S(1_\lambda) = 1_\lambda$$

for each  $\lambda \in \Lambda_0$ ,

$$S(E_{i, \lambda}) = F_{i, \lambda + \alpha_i}$$

for each  $i \in \{1, \dots, n\}$  and  $\lambda \in \Lambda_0$  with  $\lambda_{i+1} > 0$ , and

$$S(F_{i, \lambda}) = E_{i, \lambda - \alpha_i}$$

for each  $i \in \{1, \dots, n\}$  and  $\lambda \in \Lambda_0$  with  $\lambda_i > 0$ .

In particular,

$$\begin{aligned} S(E_i) &= F_i, \\ S(F_i) &= E_i, \\ S(1_\lambda) &= 1_\lambda \end{aligned}$$

for  $i \in \{1, \dots, n\}$  and  $\lambda \in \Lambda_0$ .

### 4.1.3 Fundamental multiplication rules

For each  $m \in \mathbb{N}$ , define the  $q$ -integer  $[[m]] \in \mathbb{Z}[q]$  by

$$[[m]] = \frac{1 - q^m}{1 - q},$$

so that

$$\begin{aligned} [[0]] &= 0 \\ [[1]] &= 1 \\ [[2]] &= 1 + q \\ [[3]] &= 1 + q + q^2 \end{aligned}$$

and

$$[[m]] = 1 + q + \cdots + q^{m-1}$$

for  $m \geq 1$ .

**Lemma 4.1.2.** *Given  $A \in \Lambda_1$  and  $i \in \{1, \dots, n\}$  with  $\text{ro}(A)_{i+1} > 0$ ,*

$$E_i e_A = \sum_{p \in \mathbb{Z}: a_{i+1,p} > 0} q^{\sum_{j>p} a_{i,j}} [[a_{i,p} + 1]] e_{A+\mathcal{E}_{i,p}-\mathcal{E}_{i+1,p}}.$$

*Given  $A \in \Lambda_1$  and  $i \in \{1, \dots, n\}$  with  $\text{ro}(A)_i > 0$ ,*

$$F_i e_A = \sum_{p \in \mathbb{Z}: a_{i,p} > 0} q^{\sum_{j<p} a_{i+1,j}} [[a_{i+1,p} + 1]] e_{A+\mathcal{E}_{i+1,p}-\mathcal{E}_{i,p}}.$$

Note that these formulas are still valid in the cases  $E_i e_A = 0$  and  $F_i e_A = 0$ . If the convention that  $e_B = 0$  whenever  $B$  is not in  $\Lambda_1$  is used, then the conditions on  $p$  in the above sums may be ignored.

**Corollary 4.1.3.** *Given  $A \in \Lambda_1$  and  $j \in \{1, \dots, n\}$  with  $\text{co}(A)_{j+1} > 0$ ,*

$$e_A F_j = \sum_{p \in \mathbb{Z}: a_{p,j+1} > 0} q^{\sum_{i>p} a_{i,j}} [[a_{p,j} + 1]] e_{A+\mathcal{E}_{p,j}-\mathcal{E}_{p,j+1}}.$$

*Given  $A \in \Lambda_1$  and  $j \in \{1, \dots, n\}$  with  $\text{co}(A)_j > 0$ ,*

$$e_A E_j = \sum_{p \in \mathbb{Z}: a_{p,j} > 0} q^{\sum_{i<p} a_{i,j+1}} [[a_{p,j+1} + 1]] e_{A+\mathcal{E}_{p,j+1}-\mathcal{E}_{p,j}}.$$

*Proof.*

$$\begin{aligned} e_A F_j &= S(E_j e_{A^\top}) \\ &= S \left( \sum_{p \in \mathbb{Z}: a_{p,j+1} > 0} q^{\sum_{i>p} a_{i,j}} [[a_{p,j} + 1]] e_{A^\top + \mathcal{E}_{j,p} - \mathcal{E}_{j+1,p}} \right) \\ &= \sum_{p \in \mathbb{Z}: a_{p,j+1} > 0} q^{\sum_{i>p} a_{i,j}} [[a_{p,j} + 1]] e_{A+\mathcal{E}_{p,j}-\mathcal{E}_{p,j+1}}, \end{aligned}$$



where the second equality comes from Lemma 4.1.2. Similarly,

$$\begin{aligned}
e_A E_j &= S(F_j e_{A^\top}) \\
&= S\left(\sum_{p \in \mathbb{Z}: a_{p,j} > 0} q^{\sum_{i < p} a_{i,j+1}} [[a_{p,j+1} + 1]] e_{A^\top + \mathcal{E}_{j+1,p} - \mathcal{E}_{j,p}}\right) \\
&= \sum_{p \in \mathbb{Z}: a_{p,j} > 0} q^{\sum_{i < p} a_{i,j+1}} [[a_{p,j+1} + 1]] e_{A + \mathcal{E}_{p,j+1} - \mathcal{E}_{p,j}}.
\end{aligned}$$

□

#### 4.1.4 The hook order

For each  $i, j \in \mathbb{Z}$ , let  $d_{i,j}$  and  $\bar{d}_{i,j}$  be the maps from  $\Lambda_1$  to  $\mathbb{Z}$  given by

$$d_{i,j}(A) = \sum_{s \leq i, t > j} a_{s,t}$$

and

$$\bar{d}_{i,j}(A) = \sum_{s > i, t \leq j} a_{s,t}$$

for each  $A \in \Lambda_1$ .

**Lemma 4.1.4.** *For each  $A \in \Lambda_1$  and  $i, j \in \mathbb{Z}$ , the following equations hold:*

$$\begin{aligned}
d_{i,j}(A) - d_{i-1,j}(A) &= \sum_{t > j} a_{i,t} \\
d_{i,j}(A) - d_{i,j-1}(A) &= -\sum_{s \leq i} a_{s,j}
\end{aligned}$$

and

$$\begin{aligned}
\bar{d}_{i,j}(A) - \bar{d}_{i,j-1}(A) &= -\sum_{t \leq j} a_{i,t} \\
\bar{d}_{i,j}(A) - \bar{d}_{i-1,j}(A) &= \sum_{s > i} a_{s,j}
\end{aligned}$$

*Proof.* Let  $i, j \in \mathbb{Z}$  and  $A \in \Lambda_1$ . Then

$$d_{i,j}(A) - d_{i-1,j}(A) = \sum_{s \leq i, t > j} a_{s,t} - \sum_{s \leq i-1, t > j} a_{s,t} = \sum_{t > j} a_{i,t}$$

and

$$d_{i,j}(A) - d_{i,j-1}(A) = \sum_{s \leq i, t > j} a_{s,t} - \sum_{s \leq i, t > j-1} a_{s,t} = -\sum_{s \leq i} a_{s,j}.$$

Similarly,

$$\bar{d}_{i,j}(A) - \bar{d}_{i-1,j}(A) = \sum_{s > i, t \leq j} a_{s,t} - \sum_{s > i-1, t \leq j} a_{s,t} = -\sum_{t \leq j} a_{i,t}$$

and

$$\bar{d}_{i,j}(A) - \bar{d}_{i,j-1}(A) = \sum_{s > i, t \leq j} a_{s,t} - \sum_{s > i, t \leq j-1} a_{s,t} = \sum_{s > i} a_{s,j}.$$

□

**Lemma 4.1.5.** For each  $A \in \Lambda_1$  and  $i, j \in \mathbb{Z}$ ,

$$a_{i,j} = d_{i,j-1}(A) - d_{i-1,j-1}(A) - d_{i,j}(A) + d_{i-1,j}(A)$$

and

$$a_{i,j} = \bar{d}_{i,j-1}(A) - \bar{d}_{i-1,j-1}(A) - \bar{d}_{i,j}(A) + \bar{d}_{i-1,j}(A).$$

*Proof.* As a result of Lemma 4.1.4,

$$\begin{aligned} d_{i,j-1}(A) - d_{i-1,j-1}(A) - d_{i,j}(A) + d_{i-1,j}(A) &= \sum_{t>j-1} a_{i,t} - \sum_{t>j} a_{i,t} \\ &= a_{i,j} \end{aligned}$$

and

$$\begin{aligned} \bar{d}_{i,j-1}(A) - \bar{d}_{i-1,j-1}(A) - \bar{d}_{i,j}(A) + \bar{d}_{i-1,j}(A) &= - \sum_{t \leq j-1} a_{i,t} + \sum_{t \leq j} a_{i,t} \\ &= a_{i,j}. \end{aligned}$$

□

Define a relation  $\leq$  on  $\Lambda_1$  by  $A \leq B$  if and only if the following conditions are satisfied:

- $\text{ro}(A) = \text{ro}(B)$  and  $\text{co}(A) = \text{co}(B)$ .
- For each  $i, j \in \mathbb{Z}$ ,  $d_{i,j}(A) \leq d_{i,j}(B)$ .
- For each  $i, j \in \mathbb{Z}$ ,  $\bar{d}_{i,j}(A) \leq \bar{d}_{i,j}(B)$ .

**Lemma 4.1.6.** The relation  $\leq$  defines a partial order on  $\Lambda_1$ .

*Proof.* It is clear that  $\leq$  is reflexive and transitive.

Suppose  $A, B \in \Lambda_1$  with  $A \leq B$  and  $B \leq A$ . Then  $d_{i,j}(A) = d_{i,j}(B)$  for each  $i, j \in \mathbb{Z}$  with  $i \leq j$ , which shows  $a_{s,t} = b_{s,t}$  whenever  $s < t$ , as a result of Lemma 4.1.5. Similarly,  $\bar{d}_{i,j}(A) = \bar{d}_{i,j}(B)$  for each  $i, j \in \mathbb{Z}$  with  $i \geq j$ , so  $a_{s,t} = b_{s,t}$  whenever  $s > t$ . Moreover,  $a_{i,i} = b_{i,i}$  for each  $i \in \mathbb{Z}$ , since  $\text{co}(A) = \text{co}(B)$ . Thus  $A = B$ , which shows  $\leq$  is antisymmetric and therefore  $\leq$  is a partial order on  $\Lambda_1$ . □

This partial order is sometimes called the hook order. The following lemma will be invoked later in induction arguments.

**Lemma 4.1.7.** For any  $A \in \Lambda_1$ , the set  $\{B \in \Lambda_1 : B \leq A\}$  is finite.

*Proof.* Let  $B \in \Lambda_1$ . Only finitely many of the  $d_{i,j}(B)$  and  $\bar{d}_{i,j}(B)$  are sufficient to determine  $B$  and  $B \leq A$  if and only if

$$0 \leq d_{i,j}(B) \leq d_{i,j}(A)$$

and

$$0 \leq \bar{d}_{i,j}(B) \leq \bar{d}_{i,j}(A)$$

for each  $i, j \in \mathbb{Z}$ , thus there are only finitely many possible values of  $d_{i,j}(B)$  and  $\bar{d}_{i,j}(B)$  provided  $B \leq A$ . Therefore there are only finitely many  $B \in \Lambda_1$  such that  $B \leq A$ . □

**Lemma 4.1.8.** *The transpose operation on  $\Lambda_1$  is order preserving. In particular,  $B \leq A$  if and only if  $B^\top \leq A^\top$ .*

*Proof.* Suppose  $A, B \in \Lambda_1$  with  $B \leq A$ . The condition  $\text{co}(A) = \text{co}(B)$  and  $\text{ro}(A) = \text{ro}(B)$  is preserved by the transpose operation.

For each  $i, j \in \mathbb{Z}$ ,

$$d_{i,j}(A^\top) = \sum_{s \leq i, t > j} a_{t,s} = \bar{d}_{j,i}(A)$$

and

$$\bar{d}_{i,j}(A^\top) = \sum_{s > i, t \leq j} a_{t,s} = d_{j,i}(A).$$

It follows that  $B^\top \leq A^\top$  and therefore the transpose is order preserving.  $\square$

**Lemma 4.1.9.** *Suppose  $A, B \in \Lambda_1$  with*

$$B = A + \mathcal{E}_{i,j} - \mathcal{E}_{s,j} + \mathcal{E}_{s,t} - \mathcal{E}_{i,t}$$

*for some  $i, j, s, t \in \mathbb{Z}$  with  $i < s$  and  $j < t$ . Then  $B < A$ .*

*Proof.* Let  $p, q \in \mathbb{Z}$ . Then

$$d_{p,q}(B) = \begin{cases} d_{p,q}(A) - 1 & : i \leq p < s \text{ and } j \leq q < t, \\ d_{p,q}(A) & : \text{otherwise,} \end{cases}$$

and

$$\bar{d}_{p,q}(B) = \begin{cases} \bar{d}_{p,q}(A) - 1 & : i \leq p < s \text{ and } j \leq q < t, \\ \bar{d}_{p,q}(A) & : \text{otherwise,} \end{cases}$$

which proves that  $B < A$ .  $\square$

Let  $A \in \Lambda_1$  and  $i \in \{1, \dots, n\}$  with  $\text{ro}(A)_{i+1} > 0$ . Using the fundamental multiplication rules 4.1.2 and Lemma 4.1.9,

$$E_i e_A = \sum_{s=1}^m q^{\sum_{t>j_s} a_{i,t}} [[a_{i,j_s} + 1]] e_{A + \mathcal{E}_{i,j_s} - \mathcal{E}_{i+1,j_s}}$$

where  $j_1, \dots, j_m \in \mathbb{Z}$  with  $j_1 < j_2 < \dots < j_m$  and

$$\{j_1, \dots, j_m\} = \{j \in \mathbb{Z} : a_{i+1,j} > 0\}.$$

The basis elements appearing in the above expression are totally ordered, with

$$A + \mathcal{E}_{i,j_s} - \mathcal{E}_{i+1,j_s} < A + \mathcal{E}_{i,j_{s+1}} - \mathcal{E}_{i+1,j_{s+1}}$$

for  $s = 1, \dots, m-1$ . Thus the term with  $s = m$  is the maximum.

The partial order on  $\Lambda_1$  induces a partial order on the set of  $G$ -orbits in  $\mathcal{F} \times \mathcal{F}$ , such that  $\mathcal{O}_A \leq \mathcal{O}_B$  if and only if  $A \leq B$ . The following is a restatement of Lemma 3.2.12 and gives some geometric significance to the hook order on  $\Lambda_1$ .

**Lemma 4.1.10.** *Let  $A \in \Lambda_1$  and  $(L, L') \in \mathcal{O}_A$ . Then*

$$\dim \left( \frac{L_i}{L_i \cap L'_j} \right) = d_{i,j}(A)$$

and

$$\dim \left( \frac{L'_j}{L_i \cap L'_j} \right) = \bar{d}_{i,j}(A),$$

for each  $i, j \in \mathbb{Z}$ .

### 4.1.5 Shifting

In this subsection it is shown that the operations on  $\Lambda_1$  given by shifting up by one row or to the right by one column may be described by the action, on the left or right respectively, of an invertible element  $R$  of  $\hat{S}_q(n, r)$ .

For each  $A \in \Lambda_1$  and  $m \in \mathbb{Z}$ , the row shift of  $A$  by  $m$  is the element  $[m]A$  of  $\Lambda_1$  given by

$$([m]A)_{i,j} = a_{i+m,j},$$

for each  $i, j \in \mathbb{Z}$ .

The column shift of  $A$  by  $m$  is the element  $A[m]$  given by

$$(A[m])_{i,j} = a_{i,j+m},$$

for each  $i, j \in \mathbb{Z}$ .

For  $\lambda \in \Lambda_0$  and  $m \in \mathbb{Z}$ , the translation of  $\lambda$  by  $m$  is the element  $\lambda[m]$  of  $\Lambda_0$  given by

$$(\lambda[m])_i = \lambda_{i+m},$$

for each  $i \in \mathbb{Z}$ , where the indices of  $\lambda$  are taken modulo  $n$ .

**Example 4.1.11.** Let  $\lambda = (2, 1, 3)$ . Then  $\lambda[1] = (1, 3, 2)$ ,  $\lambda[2] = (3, 2, 1)$  and  $\lambda[3] = \lambda$ .

For each  $\lambda \in \Lambda_0$ , define

$$\begin{aligned} R_\lambda &= e_{[1]D_\lambda} \\ &= e_{\lambda_1 \mathcal{E}_{0,1} + \dots + \lambda_n \mathcal{E}_{n-1,n}} \end{aligned}$$

and let

$$R = \sum_{\lambda \in \Lambda_0} R_\lambda.$$

Recall that

$$\mathcal{O}_{D_\lambda} = \{(L, L) : L \in \mathcal{F}_\lambda\},$$

so

$$\mathcal{O}_{[m]D_\lambda} = \{([m]L, L) : L \in \mathcal{F}_\lambda\}$$

and

$$\mathcal{O}_{D_\lambda[m]} = \{(L, [m]L) : L \in \mathcal{F}_\lambda\}.$$

This leads to a simple rule for multiplication by  $R$  in terms of these shifts on matrices.

**Lemma 4.1.12.** *If  $A \in \Lambda_1$  then*

$$Re_A = e_{[1]A}$$

and

$$e_AR = e_{A[-1]}.$$

*Proof.* Let  $\mu = \text{ro}(A)$  and  $\lambda = \text{co}(A)[-1]$ . Observe that

$$\{(L, L', L'') \in \mathcal{F}^3 : (L, L') \in \mathcal{O}_{[1]D_\mu}, (L', L'') \in \mathcal{O}_A\} = \{(L'[1], L', L'') : (L', L'') \in \mathcal{O}_A\},$$

and the image under the projection onto the first and last components is

$$\{(L'[1], L'') : (L', L'') \in \mathcal{O}_A\} = \mathcal{O}_{[1]A}.$$

The coefficient of  $\mathcal{O}_{[1]A}$  in the product  $Re_A$  is 1 since, for any  $(N, N'') \in \mathcal{O}_{[1]A}$ ,

$$\{N' \in \mathcal{F} : (N, N') \in \mathcal{O}_{[1]D_\mu}, (N', N'') \in \mathcal{O}_A\} = \{N[-1]\},$$

so it follows that  $Re_A = e_{[1]A}$ .

To compute the product  $e_AR$ , consider

$$\{(L, L', L'') \in \mathcal{F}^3 : (L, L') \in \mathcal{O}_A, (L', L'') \in \mathcal{O}_{[1]D_\lambda}\} = \{(L, L', L'[-1]) : (L, L') \in \mathcal{O}_A\}.$$

The image under the projection onto the first and last components is

$$\{(L, L'[-1]) : (L, L') \in \mathcal{O}_A\} = \mathcal{O}_{A[-1]}$$

and, for any  $(N, N'') \in \mathcal{O}_{A[-1]}$ ,

$$\{N' \in \mathcal{F} : (N, N') \in \mathcal{O}_A, (N', N'') \in \mathcal{O}_{[1]D_\lambda}\} = \{N''[1]\}.$$

Therefore  $e_AR = e_{A[-1]}$ . □

**Lemma 4.1.13.** *The element  $R$  is invertible and*

$$RS(R) = S(R)R = 1.$$

*In particular,*

$$R^{-1} = \sum_{\lambda \in \Lambda_0} e_{[-1]D_\lambda}.$$

*Proof.* Recall that

$$S(R) = \sum_{\lambda \in \Lambda_0} e_{[-1]D_\lambda}.$$

Then it follows from Lemma 4.1.12 that

$$RS(R) = \sum_{\lambda \in \Lambda_0} e_{[1][-1]D_\lambda} = 1$$

and

$$\begin{aligned} S(R)R &= \sum_{\lambda \in \Lambda_0} e_{[-1]D_\lambda[-1]} \\ &= \sum_{\lambda \in \Lambda_0} e_{D(\lambda[-1])} \\ &= 1. \end{aligned}$$

□

As a visual cue, acting on a basis element  $e_A$  on the left by  $R$  corresponds to moving the matrix  $A$  up by one row, while acting on the right by  $R$  corresponds to moving the matrix to the right by one column. Then conjugating by  $R$  corresponds to the composition of a shift to the left by one and a shift up by one, which is a shift by one along the diagonal, so conjugating by  $R^n$  leaves  $e_A$  invariant. Thus conjugation by  $R$  gives a  $\mathbb{Z}[q]$ -algebra automorphism of  $\hat{S}_q(n, r)$  which has order  $n$ .

Multiplication on the left by  $S(R)$  sends  $e_A$  to  $e_{[-1]A}$ , while multiplication on the right by  $S(R)$  sends  $e_A$  to  $e_{A[1]}$ .

**Lemma 4.1.14.** *For each  $\lambda \in \Lambda_0$ ,*

$$R1_\lambda S(R) = 1_{[1]\lambda}$$

*and, for each  $i \in \{1, \dots, n\}$ ,*

$$RE_i S(R) = E_{i-1}$$

*and*

$$RF_i S(R) = F_{i-1}.$$

*Proof.* It follows from Lemma 4.1.12 and Lemma 4.1.13 that

$$Re_A S(R) = e_{[1]A[1]},$$

for each  $A \in \Lambda_1$ . In particular,

$$R1_\lambda S(R) = 1_{\lambda[1]}$$

for each  $\lambda \in \Lambda_0$ ,

$$RE_{i,\lambda} S(R) = E_{i-1,\lambda[1]}$$

for each  $(\lambda, i) \in \Lambda_0 \times \mathbb{Z}$  with  $\lambda_{i+1} > 0$ , and

$$RF_{i,\lambda} S(R) = F_{i-1,\lambda[1]}$$

for each  $(\lambda, i) \in \Lambda_0 \times \mathbb{Z}$  with  $\lambda_i > 0$ .

It now follows that

$$RE_i S(R) = E_{i-1}$$

and

$$RF_i S(R) = F_{i-1}$$

as claimed. □

[THIS IS DEFINITELY TRUE – PROVE IT.]

Although I can't be sure, I suspect that conjugation by  $R$  gives a realisation of the Auslander-Reiten translation on the nilpotent representations of a cyclic quiver determined by the upper triangular matrices in  $\Lambda_1$ . This is at least plausible since the A.R translation  $\tau$  sends the simple representation at vertex  $i$  to the simple representation at vertex  $i - 1$ , which is consistent with the conjugation by  $R$ , which sends  $E_i$  to  $E_{i-1}$ .

## 4.2 Quivers and relations

Assume  $n$  and  $r$  are integers with  $n \geq 3$  and  $r \geq 1$ .

### 4.2.1 Relations in affine $q$ -Schur algebras

**Lemma 4.2.1.** *If  $i, j \in \{1, \dots, n\}$  and  $i \neq j$ , then*

$$E_i F_j - F_j E_i = 0.$$

For each  $i \in \{1, \dots, n\}$ ,

$$E_i F_i - F_i E_i = \sum_{\lambda \in \Lambda_0} ([[\lambda_i]] - [[\lambda_{i+1}]]) 1_\lambda.$$

*Proof.* Denote  $e_A$  by  $[A]$ . Fix  $i, j \in \{1, \dots, n\}$  with  $i \neq j$ . Then

$$\begin{aligned} E_i F_j &= \sum_{\lambda \in \Lambda_0} E_i [D_\lambda + \mathcal{E}_{j+1,j} - \mathcal{E}_{j,j}] \\ &= \sum_{\lambda \in \Lambda_0} [D_\lambda + \mathcal{E}_{j+1,j} - \mathcal{E}_{j,j} + \mathcal{E}_{i,i+1} - \mathcal{E}_{i+1,i+1}]. \end{aligned}$$

Observe that the nonzero terms in the above sum are those for which  $\lambda_j > 0$  and  $\lambda_{i+1} > 0$ . Similarly,

$$\begin{aligned} F_j E_i &= \sum_{\lambda \in \Lambda_0} F_j [D_\lambda + \mathcal{E}_{i,i+1} - \mathcal{E}_{i+1,i+1}] \\ &= \sum_{\lambda \in \Lambda_0} [D_\lambda + \mathcal{E}_{i,i+1} - \mathcal{E}_{i+1,i+1} + \mathcal{E}_{j+1,j} - \mathcal{E}_{j,j}], \end{aligned}$$

where the sum is taken over those  $\lambda$  such that  $\lambda_{i+1} > 0$  and  $\lambda_j > 0$ . Therefore

$$E_i F_j - F_j E_i = 0.$$

Again using Lemma 4.1.2,

$$\begin{aligned} E_i F_i &= \sum_{\lambda \in \Lambda_0} E_i [D_\lambda + \mathcal{E}_{i+1,i} - \mathcal{E}_{i,i}] \\ &= \sum_{\lambda \in \Lambda_0} [D_\lambda + \mathcal{E}_{i+1,i} - \mathcal{E}_{i,i} + \mathcal{E}_{i,i+1} - \mathcal{E}_{i+1,i+1}] + [[\lambda_i]] [D_\lambda] \end{aligned}$$

and

$$\begin{aligned} F_i E_i &= \sum_{\lambda \in \Lambda_0} F_i [D_\lambda + \mathcal{E}_{i,i+1} - \mathcal{E}_{i+1,i+1}] \\ &= \sum_{\lambda \in \Lambda_0} [D_\lambda + \mathcal{E}_{i,i+1} - \mathcal{E}_{i+1,i+1} + \mathcal{E}_{i+1,i} - \mathcal{E}_{i,i}] + [[\lambda_{i+1}]] [D_\lambda]. \end{aligned}$$

Therefore

$$E_i F_i - F_i E_i = \sum_{\lambda \in \Lambda_0} ([[\lambda_i]] - [[\lambda_{i+1}]]) 1_\lambda,$$

as required.  $\square$

An explicit version of these relations will be given after defining some terminology. Given  $\lambda \in \Lambda_0$  and  $i \in \{1, \dots, n\}$ , say that  $\lambda$  is internal with respect to  $i$  if  $\lambda - \alpha_i, \lambda + \alpha_i \in \Lambda_0$ . Say that  $\lambda$  is initial with respect to  $i$  if  $\lambda - \alpha_i \notin \Lambda_0$  and that  $\lambda$  is final with respect to  $i$  if  $\lambda + \alpha_i \notin \Lambda_0$ .

Then the expression for the commutator  $[E_i, F_i]$  in Lemma 4.2.1 gives the following relations in  $\hat{S}_q(n, r)$ :

- If  $\lambda$  is internal with respect to  $i$  then

$$E_{i,\lambda-\alpha_i}F_{i,\lambda} - F_{i,\lambda+\alpha_i}E_{i,\lambda} = 0.$$

- If  $\lambda$  is initial with respect to  $i$  then

$$F_{i,\lambda+\alpha_i}E_{i,\lambda} - 1_\lambda = 0.$$

- If  $\lambda$  is final with respect to  $i$  then

$$E_{i,\lambda-\alpha_i}F_{i,\lambda} - 1_\lambda = 0.$$

**Lemma 4.2.2.** *The following relations hold in  $\hat{S}_q(n, r)$ , when  $n \geq 3$ :*

$$E_i E_j - E_j E_i = 0$$

and

$$F_i F_j - F_j F_i = 0$$

for  $i, j \in \{1, \dots, n\}$  such that  $j \geq i + 2$ ,

$$\begin{aligned} E_i E_{i+1}^2 - (1+q)E_{i+1}E_i E_{i+1} + qE_{i+1}^2 E_i &= 0 \\ E_i^2 E_{i+1} - (1+q)E_i E_{i+1} E_i + qE_{i+1} E_i^2 &= 0 \end{aligned}$$

and

$$\begin{aligned} F_{i+1} F_i^2 - (1+q)F_i F_{i+1} F_i + qF_i^2 F_{i+1} &= 0 \\ F_{i+1}^2 F_i - (1+q)F_{i+1} F_i F_{i+1} + qF_i F_{i+1}^2 &= 0. \end{aligned}$$

for  $i \in \{1, \dots, n\}$ .

*Proof.* Denote  $e_A$  by  $[A]$ .

$$\begin{aligned} E_i E_{i+1}^2 &= \sum_{\lambda \in \Lambda_0} [[2]] [D_\lambda + \mathcal{E}_{i,i+2} + \mathcal{E}_{i+1,i+2} - 2\mathcal{E}_{i+2,i+2}] \\ &\quad + [[2]] [D_\lambda + \mathcal{E}_{i,i+1} - \mathcal{E}_{i+1,i+1} + 2\mathcal{E}_{i+1,i+2} - 2\mathcal{E}_{i+2,i+2}] \end{aligned}$$

$$\begin{aligned} E_{i+1} E_i E_{i+1} &= \sum_{\lambda \in \Lambda_0} [D_\lambda + \mathcal{E}_{i,i+2} + \mathcal{E}_{i+1,i+2} - 2\mathcal{E}_{i+2,i+2}] \\ &\quad + [[2]] [D_\lambda + \mathcal{E}_{i,i+1} - \mathcal{E}_{i+1,i+1} + 2\mathcal{E}_{i+1,i+2} - 2\mathcal{E}_{i+2,i+2}] \end{aligned}$$

$$E_{i+1}^2 E_i = \sum_{\lambda \in \Lambda_0} [[2]] [D_\lambda + \mathcal{E}_{i,i+1} - \mathcal{E}_{i+1,i+1} + 2\mathcal{E}_{i+1,i+2} - 2\mathcal{E}_{i+2,i+2}]$$

$$E_i E_{i+1}^2 - (1+q)E_{i+1}E_i E_{i+1} + qE_{i+1}^2 E_i = \sum_{\lambda \in \Lambda_0} ([2] - (1+q)) [X_\lambda] + ([2] - (1+q)[2] + q[[2]]) [Y_\lambda]$$



where

$$X_\lambda = D_\lambda + \mathcal{E}_{i,i+2} + \mathcal{E}_{i+1,i+2} - 2\mathcal{E}_{i+2,i+2}$$

and

$$Y_\lambda = D_\lambda + \mathcal{E}_{i,i+1} - \mathcal{E}_{i+1,i+1} + 2\mathcal{E}_{i+1,i+2} - 2\mathcal{E}_{i+2,i+2}.$$

It follows

$$E_i E_{i+1}^2 - (1+q)E_{i+1}E_iE_{i+1} + qE_{i+1}^2E_i = 0$$

and so

$$F_{i+1}^2 F_i - (1+q)F_{i+1}F_iF_{i+1} + qF_iF_{i+1}^2 = 0,$$

by applying the transpose involution to the first relation.

$$\begin{aligned} E_i^2 E_{i+1} &= \sum_{\lambda \in \Lambda_0} [[2]] [D_\lambda + \mathcal{E}_{i,i+1} - \mathcal{E}_{i+1,i+1} + \mathcal{E}_{i,i+2} - \mathcal{E}_{i+2,i+2}] \\ &\quad + [[2]] [D_\lambda + 2\mathcal{E}_{i,i+1} - 2\mathcal{E}_{i+1,i+1} + \mathcal{E}_{i+1,i+2} - \mathcal{E}_{i+2,i+2}] \end{aligned}$$

$$\begin{aligned} E_i E_{i+1} E_i &= \sum_{\lambda \in \Lambda_0} [D_\lambda + \mathcal{E}_{i,i+1} - \mathcal{E}_{i+1,i+1} + \mathcal{E}_{i,i+2} - \mathcal{E}_{i+2,i+2}] \\ &\quad + [[2]] [D_\lambda + 2\mathcal{E}_{i,i+1} - 2\mathcal{E}_{i+1,i+1} + \mathcal{E}_{i+1,i+2} - \mathcal{E}_{i+2,i+2}] \end{aligned}$$

$$E_{i+1} E_i^2 = \sum_{\lambda \in \Lambda_0} [[2]] [D_\lambda + 2\mathcal{E}_{i,i+1} - 2\mathcal{E}_{i+1,i+1} + \mathcal{E}_{i+1,i+2} - \mathcal{E}_{i+2,i+2}]$$

So

$$E_i^2 E_{i+1} - (1+q)E_i E_{i+1} E_i + qE_{i+1} E_i = \sum_{\lambda \in \Lambda_0} ([2] - (1+q))A_\lambda + ([2] - (1+q)[2] + q[[2]])B_\lambda,$$

where

$$A_\lambda = D_\lambda + \mathcal{E}_{i,i+1} - \mathcal{E}_{i+1,i+1} + \mathcal{E}_{i,i+2} - \mathcal{E}_{i+2,i+2}$$

and

$$B_\lambda = D_\lambda + 2\mathcal{E}_{i,i+1} - 2\mathcal{E}_{i+1,i+1} + \mathcal{E}_{i+1,i+2} - \mathcal{E}_{i+2,i+2}.$$

Therefore

$$E_i^2 E_{i+1} - (1+q)E_i E_{i+1} E_i + qE_{i+1} E_i = 0$$

and

$$F_{i+1} F_i^2 - (1+q)F_i F_{i+1} F_i + qF_i^2 F_{i+1} = 0,$$

where the second relation follows from the first by applying the transpose involution.  $\square$

Recall the result of Lemma 4.1.14, which gives relations involving  $R$ :

$$\begin{aligned} R1_\lambda R^{-1} &= 1_{\lambda[1]} \\ RE_{i,\lambda} R^{-1} &= E_{i-1,\lambda[1]} \\ RF_{i,\lambda} R^{-1} &= F_{i-1,\lambda[1]}. \end{aligned}$$

### 4.2.2 A quiver algebra

Define a quiver  $\Gamma = \Gamma(n, r)$  associated with the affine  $q$ -Schur algebra  $\hat{S}_q(n, r)$  as follows:

- The set of vertices is  $\Gamma_0 = \Lambda_0$ .
- The set of edges is  $\Gamma_1$ , consisting of edges

$$e_{i,\lambda}: \lambda \rightarrow \lambda + \alpha_i$$

for  $i \in \{1, \dots, n\}$  and  $\lambda \in \Lambda_0$  such that  $\lambda_{i+1} > 0$  and

$$f_{i,\lambda}: \lambda \rightarrow \lambda - \alpha_i$$

for  $i \in \{1, \dots, n\}$  and  $\lambda \in \Lambda_0$  such that  $\lambda_i > 0$ .

The path  $\mathbb{Z}[q]$ -algebra of  $\Gamma$  is an associative  $\mathbb{Z}[q]$ -algebra with a unit, which has a  $\mathbb{Z}[q]$ -basis consisting of the paths in  $\Gamma$ , where the multiplication is defined by concatenation of paths. That is, if  $p$  and  $q$  are paths in  $\Gamma$ , then the product  $pq$  is the path ‘ $q$  followed by  $p$ ’ if the target of  $q$  equals the source of  $p$ , or equals zero otherwise.

For each  $\lambda \in \Lambda_0$ , denote the constant path at  $\lambda$  by  $k_\lambda$ . These elements form a set of pairwise orthogonal idempotents and the multiplicative identity in  $\mathbb{Z}[q]\Gamma$  is

$$\sum_{\lambda \in \Lambda_0} k_\lambda.$$

For each  $i \in \{1, \dots, n\}$ , define

$$e_i = \sum_{\lambda \in \Lambda_0: \lambda_{i+1} > 0} e_{i,\lambda}$$

and

$$f_i = \sum_{\lambda \in \Lambda_0: \lambda_i > 0} f_{i,\lambda}.$$

To avoid long subscripts, define  $e_{i,\lambda} = 0$  if  $\lambda_{i+1} = 0$  and define  $f_{i,\lambda} = 0$  if  $\lambda_i = 0$ . Let  $I = I(n, r)$  be the ideal in  $\mathbb{Z}[q]\Gamma$  generated by the following expressions:

$$\begin{aligned} e_i e_j - e_j e_i \\ f_i f_j - f_j f_i \end{aligned}$$

for  $i, j \in \{1, \dots, n\}$  such that  $j \neq i \pm 1$ ,

$$\begin{aligned} e_i e_{i+1}^2 - [[2]] e_{i+1} e_i e_{i+1} + q e_{i+1}^2 e_i \\ e_i^2 e_{i+1} - [[2]] e_i e_{i+1} e_i + q e_{i+1} e_i^2 \\ f_{i+1}^2 f_i - [[2]] f_{i+1} f_i f_{i+1} + q f_i f_{i+1}^2 \\ f_{i+1} f_i^2 - [[2]] f_i f_{i+1} f_i + q f_i^2 f_{i+1} \end{aligned}$$

for  $i \in \{1, \dots, n\}$ ,

$$e_i f_j - f_j e_i$$

for  $i, j \in \{1, \dots, n\}$  such that  $i \neq j$ ,

$$e_i f_i - f_i e_i - \sum_{\lambda \in \Lambda_0} ([[\lambda_i]] - [[\lambda_{i+1}]]) k_\lambda$$

for  $i \in \{1, \dots, n\}$ .

### 4.2.3 Mapping to the q-Schur algebra

**Lemma 4.2.3.** *There is a  $\mathbb{Z}[q]$ -algebra homomorphism*

$$\phi: \mathbb{Z}[q]\Gamma/I \rightarrow \hat{S}_q(n, r)$$

defined by

$$\begin{aligned}\phi(e_{i,\lambda} + I) &= E_{i,\lambda}, \\ \phi(f_{i,\lambda} + I) &= F_{i,\lambda}, \\ \phi(k_\lambda + I) &= 1_\lambda,\end{aligned}$$

for  $i \in \{1, \dots, n\}$  and  $\lambda \in \Lambda_0$ .

*Proof.* Lemma 4.2.1 and Lemma 4.2.2 shows that each equation defining the ideal  $I$  corresponds to a zero relation in  $\hat{S}_q(n, r)$ , so there is a unique homomorphism of  $\mathbb{Z}[q]$ -algebras given by

$$\begin{aligned}\phi(e_{i,\lambda} + I) &= E_{i,\lambda}, \\ \phi(f_{i,\lambda} + I) &= F_{i,\lambda}, \\ \phi(k_\lambda + I) &= 1_\lambda,\end{aligned}$$

for each  $i \in \{1, \dots, n\}$  and  $\lambda \in \Lambda_0$ . □

In fact,  $\phi$  is determined by its values on  $e_1, \dots, e_n$ ,  $f_1, \dots, f_n$  and  $k_\lambda$  for  $\lambda \in \Lambda_0$ . In order to describe the image of this map we introduce the notion of standard paths in  $\Gamma$ .

**Definition 4.2.4.** A standard path in  $\Gamma$  is a path of the following form:

$$p = k_\mu p_1 \cdots p_l \cdot p'_1 \cdots p'_l$$

for some  $l \in \mathbb{N}$ , where

$$p_s = e_{i_0+s-1}^{\alpha_{i_0,s}} e_{i_0+s-2}^{\alpha_{i_0-1,s}} \cdots e_{i_0+s-n}^{\alpha_{i_0-n+1,s}}$$

and

$$p'_s = f_{i_1-s+1}^{\beta_{i_1,s}} f_{i_1-s+2}^{\beta_{i_1+1,s}} \cdots f_{i_1-s+n}^{\beta_{i_1+n-1,s}}$$

for  $s \in \{1, \dots, l\}$ , determined by two collections of non-negative integers  $(\alpha_{i,s})$  and  $(\beta_{i,s})$ , for  $i \in \{1, \dots, n\}$  and  $s \in \{1, \dots, l\}$ , satisfying the following conditions:

$$\alpha_{i,1} + \beta_{i-1,1} \leq \mu_i,$$

$$\alpha_{i,1} \geq \alpha_{i,2} \geq \cdots \geq \alpha_{i,l} \geq 0$$

and

$$\beta_{i,1} \geq \beta_{i,2} \geq \cdots \geq \beta_{i,l} \geq 0,$$

for  $i \in \{1, \dots, n\}$ ; where

$$i_0 = \max\{i : i \in \{1, \dots, n\} \text{ with } \alpha_{i+1,s} = 0 \text{ for } s \in \{1, \dots, l\}\}$$

and

$$i_1 = \min\{i : i \in \{1, \dots, n\} \text{ with } \beta_{i,s} = 0 \text{ for } s \in \{1, \dots, l\}\}.$$

Observe that the definition of standard paths includes the constant paths  $k_\lambda$  for  $\lambda \in \Lambda_0$ . Suppose  $p$  is a standard path and  $A \in \Lambda_1$  with  $\rho(p + \mathcal{J}) = e_A$ , then there are the following linear relations between the exponents in the standard expression for  $p$  and the entries of  $A$ :

$$\begin{aligned}\alpha_{i,s} &= \sum_{t \geq i+s} a_{i,t} \\ \beta_{i,s} &= \sum_{t \leq i-s+1} a_{i+1,t},\end{aligned}$$

for  $i \in \{1, \dots, n\}$  and  $s \in \mathbb{N}$ ; and

$$\begin{aligned}a_{i,i+s} &= \alpha_{i,s} - \alpha_{i,s+1} \\ a_{i,i-s} &= \beta_{i-1,s} - \beta_{i-1,s+1} \\ a_{i,i} &= \mu_i - \alpha_{i,1} - \beta_{i-1,1}.\end{aligned}$$

Thus there is a bijection between the set of standard paths in  $\Gamma$  and the standard basis of the affine  $q$ -Schur algebra indexed by  $\Lambda_1$ .

**Lemma 4.2.5.** *Let  $A \in \Lambda_1$  and let  $p$  be the standard path associated to  $A$ . Then*

$$\phi(p + I) = \left( \prod_{i \in \{1, \dots, n\}, s \geq 1} [[\alpha_{i,s}]]! [[\beta_{i,s}]]! \right) e_A + \sum_{B \in \Lambda_1: B < A} g_B e_B$$

*Proof.* **THIS IS A REALLY FUNDAMENTAL LEMMA – PROVE IT!** □

#### 4.2.4 Change of rings

Recall that the  $q$ -integers are given by

$$[[0]] = 0$$

and

$$[[m]] = 1 + q + \dots + q^{m-1} = 1 + q[[m-1]]$$

for  $m \in \mathbb{Z}$  with  $m \geq 1$ . For  $m \in \mathbb{N}$ , define the  $q$ -factorial

$$[[m]]! = \prod_{a=1}^m [[a]].$$

Given integers  $a$  and  $b$  with  $0 < a < b$ ,

$$[[b]] - [[a]] = q^a [[b-a]]$$

and the product  $[[a]][[b]]$  can be computed recursively as follows:

$$\begin{aligned}[[a]][[b]] &= (1 + q[[a-1]])(1 + q[[b-1]]) \\ &= 1 + q([a-1] + [b-1] + q[a-1][b-1]).\end{aligned}$$

The set of  $q$ -integers is not multiplicatively closed. For instance,

$$\begin{aligned}[[2]]^2 &= q + [[3]], \\ [[2]][[3]] &= [[4]] + q[[2]].\end{aligned}$$

On the other hand, the set  $1 + q\mathbb{Z}[q]$  is multiplicatively closed and contains the set of  $q$ -integers. Let  $\mathcal{Q}$  be the localisation of  $\mathbb{Z}[q]$  at the set of elements of the form  $1 + qf$  for  $f \in \mathbb{Z}[q]$ , so  $\mathcal{Q}$  is the subring of  $\mathbb{Q}(q)$  given by

$$\mathcal{Q} = \left\{ \frac{f}{1 + qg} : f, g \in \mathbb{Z}[q] \right\}.$$

Observe that  $\mathbb{Z}[q]$  is a subring of  $\mathcal{Q}$ , which makes  $\mathcal{Q}$  into a  $\mathbb{Z}[q]$ -algebra. The  $\mathcal{Q}$ -form of the affine  $q$ -Schur algebra  $\hat{S}_q(n, r)$  is defined to be the  $\mathcal{Q}$ -algebra

$$\hat{S}_{\mathcal{Q}}(n, r) = \mathcal{Q} \otimes_{\mathbb{Z}[q]} \hat{S}_q(n, r).$$

**Lemma 4.2.6.**

$$\mathcal{Q}\Gamma/\mathcal{Q}I = \mathcal{Q} \otimes_{\mathbb{Z}[q]} (\mathbb{Z}[q]\Gamma/I).$$

*Proof.* **COMPLETE THIS PROOF** □

**Proposition 4.2.7.** *If  $r < n$ , the map*

$$\phi_{\mathcal{Q}} = \mathcal{Q} \otimes_{\mathbb{Z}[q]} \phi : \mathcal{Q}\Gamma/\mathcal{Q}I \rightarrow \hat{S}_{\mathcal{Q}}(n, r)$$

*is a surjective  $\mathcal{Q}$ -algebra homomorphism.*

*Proof.* Let  $A \in \Lambda_1$  and define

$$\begin{aligned} \alpha_{i,s} &= \sum_{t \geq i+s} a_{i,t} \\ \beta_{i,s} &= \sum_{t \leq i-s+1} a_{i+1,t}, \end{aligned}$$

for  $i \in \{1, \dots, n\}$  and  $s \geq 1$ .

Since  $r < n$ , there is  $i_0 \in \{1, \dots, n\}$  such that  $a_{i_0+1,j} = 0$  for all  $j \in \mathbb{Z}$ . By the finiteness condition on rows and columns of  $A$ , there is  $l \geq 1$  such that  $\alpha_{i,l+1} = \beta_{i,l+1} = 0$  for all  $i \in \{1, \dots, n\}$ .

Consider the path

$$p = p_1 \cdots p_l \cdot p'_1 \cdots p'_l$$

given by

$$p_s = e_{i_0+s-1}^{\alpha_{i_0,s}} e_{i_0+s-2}^{\alpha_{i_0-1,s}} \cdots e_{i_0+s-n}^{\alpha_{i_0-n+1,s}}$$

and

$$p'_s = f_{i_0+2-s}^{\beta_{i_0+1,s}} f_{i_0+3-s}^{\beta_{i_0+2,s}} \cdots f_{i_0+n+1-s}^{\beta_{i_0+n,s}}$$

for  $s = 1, \dots, l$ .

Then

$$\phi(p) = \left( \prod_{i \in \{1, \dots, n\}, s \geq 1} [[\alpha_{i,s}]]! [[\beta_{i,s}]]! \right) e_A + \sum_{B \in \Lambda_1 : B < A} g_B e_B$$

for some polynomials  $g_B \in \mathbb{Z}[q]$ , by Lemma 4.2.5.

The coefficient of  $e_A$  in the above expression is a product of  $q$ -integers, so is invertible in  $\mathcal{Q}$ .

**COMPLETE THIS PROOF:** The final step of the proof to be completed is an induction argument, that those  $B : B < A$  are also the leading term in the expression for an image of a path. □

**Conjecture 4.2.8.** If  $r < n$ , the quiver with relations  $(\Gamma, I)$  gives a presentation of  $\hat{S}_{\mathcal{Q}}(n, r)$  over  $\mathcal{Q}$ .

*Ideas for proof.* The only thing that remains to be shown is that the map from the quiver algebra is injective, since Proposition 4.2.7 shows that this map is surjective.

I hope to deduce this from the presentation of the affine generic algebra by tensoring the surjective map between  $\mathcal{Q}$ -forms of the path algebra and  $q$ -Schur algebra with the  $\mathcal{Q}$ -algebra  $\mathcal{Q}/(q)$  and observing this map is an isomorphism of  $\mathbb{Z}$ -algebras.  $\square$

### 4.3 Relations for the $n=2$ case

In this section we give relations in  $\hat{S}_q(2, r)$ . Compare with the relations given in [\[REFERENCE - a double hall algebra approach to affine quantum schur weyl theory p.13\]](#) in the presentation of quantum affine  $\mathfrak{sl}_n$ .

**Lemma 4.3.1.** *The following equations hold in  $\hat{S}_q(2, r)$ :*

$$\begin{aligned} qE_1E_2^3 - [[3]]E_2E_1E_2^2 + [[3]]E_2^2E_1E_2 - qE_2^3E_1 &= 0 \\ qE_1^3E_2 - [[3]]E_1^2E_2E_1 + [[3]]E_1E_2E_1^2 - qE_2E_1^3 &= 0 \\ qF_2F_1^3 - [[3]]F_1F_2F_1^2 + [[3]]F_1^2F_2F_1 - qF_1^3F_2 &= 0 \\ qF_2^3F_1 - [[3]]F_2^2F_1F_2 + [[3]]F_2F_1F_2^2 - qF_1F_2^3 &= 0. \end{aligned}$$

*Proof.* It suffices to prove the first of these relations holds, since the second relation is obtained by applying the shifting automorphism of  $\hat{S}_q(n, r)$  given by conjugation by  $R$ , which sends  $E_1$  to  $E_2$  and  $E_2$  to  $E_1$ , and then the last two relations are obtained by applying the transpose operator  $S$  on  $\hat{S}_q(n, r)$ , which sends  $E_i$  to  $F_i$  (for  $i = 1, 2$ ) and reverses the order of multiplication.

Next, the first relation will be established by an explicit computation using the fundamental multiplication rules 4.1.3.

Write

$$\begin{aligned} W &= D_\lambda + \mathcal{E}_{1,2} - \mathcal{E}_{1,1} + 3\mathcal{E}_{2,3} - 3\mathcal{E}_{2,2} \\ X &= D_\lambda + 2\mathcal{E}_{2,3} + \mathcal{E}_{2,4} - 3\mathcal{E}_{2,2} \\ Y &= D_\lambda + \mathcal{E}_{1,3} - \mathcal{E}_{1,1} + 2\mathcal{E}_{2,3} - 2\mathcal{E}_{2,2} \\ Z &= D_\lambda + \mathcal{E}_{2,3} + \mathcal{E}_{2,5} - 2\mathcal{E}_{2,2}. \end{aligned}$$

$$\begin{aligned} E_1E_2^3 &= \sum_{\lambda \in \Lambda_0} [[2]][[3]]e_W + [[2]][[3]]e_Y \\ E_2E_1E_2^2 &= \sum_{\lambda \in \Lambda_0} [[2]][[3]]e_W + [[2]]e_X + [[2]]^2e_Y + [[2]]e_Z \\ E_2^2E_1E_2 &= \sum_{\lambda \in \Lambda_0} [[2]][[3]]e_W + [[2]]^2e_X + [[2]]e_Y + [[2]]e_Z \\ E_2^3E_1 &= \sum_{\lambda \in \Lambda_0} [[2]]3e_W + [[2]][[3]]e_X \end{aligned}$$

Thus

$$\begin{aligned}
qE_1E_2^3 - [[3]]E_2E_1E_2^2 + [[3]]E_2^2E_1E_2 - qE_2^3E_1 &= [[2]][[3]](q - [[3]] + [[3]] - q)e_W \\
&+ [[2]][[3]](-1 + [[2]] - q)e_X \\
&+ [[2]][[3]](q - [[2]] + 1)e_Y \\
&+ ([[2]][[3]] - [[2]][[3]])e_Z,
\end{aligned}$$

which proves that the first relation holds and hence all the relations hold. □

## Chapter 5

# A generic affine algebra

### 5.1 Introduction

Assume  $\mathbf{k} = \mathbb{C}$  and fix  $n, r \geq 1$ . Let  $\mathcal{S}$  be the  $\mathbf{k}$ -algebra  $\mathbf{k}[\varepsilon, \varepsilon^{-1}]$  and let  $\mathcal{R}$  be the subalgebra generated by  $\varepsilon$ , namely  $\mathcal{R} = \mathbf{k}[\varepsilon]$ . Let  $V$  be a free  $\mathcal{S}$ -module of rank  $r$  and let  $\mathcal{F} = \mathcal{F}_{\mathbf{k}}(n, r)$  be the set of  $n$ -periodic cyclic flags in  $V$ ; so  $\mathcal{F}$  consists of collections  $L = (L_i)_{i \in \mathbb{Z}}$  of  $\mathcal{R}$ -lattices in  $V$  with  $L_i \subset L_{i+1}$  for  $i \in \mathbb{Z}$  and  $\varepsilon L_i = L_{i-n}$  for  $i \in \mathbb{Z}$ .

Let  $G$  be the group of  $\mathcal{S}$ -module automorphisms of  $V$ . Thus  $G$  is isomorphic to  $\mathrm{GL}_r(\mathcal{S})$ .  $G$  acts on  $\mathcal{F}$  with orbits  $\{\mathcal{F}_\lambda : \lambda \in \Lambda_0\}$ , where  $\Lambda_0$  is the set of compositions of  $r$  into  $n$  parts, as in Definition 3.2.1.

The diagonal action of  $G$  on  $\mathcal{F} \times \mathcal{F}$  has orbits  $\{\mathcal{O}_A : A \in \Lambda_1\}$ , where  $\mathcal{O}_A$  consists of those pairs of flags with periodic characteristic matrix equal to  $A$ . Definitions of the periodic characteristic matrix and the set  $\Lambda_1$  are given in Definition 3.2.7 and Definition 3.2.2 respectively.

Recall that the periodic characteristic matrix of a pair  $(L, L') \in \mathcal{F} \times \mathcal{F}$  is the  $\mathbb{Z} \times \mathbb{Z}$  matrix  $A = (a_{i,j})_{i,j \in \mathbb{Z}}$ , with

$$a_{i,j} = \dim \left( \frac{L_i \cap L'_j}{L_{i-1} \cap L'_j + L_i \cap L'_{j-1}} \right)$$

for each  $i, j \in \mathbb{Z}$ .

Recall that  $\mathrm{ro}$  and  $\mathrm{co}$  are the maps  $\Lambda_1 \rightarrow \Lambda_0$  given by

$$\mathrm{ro}(A) = \left( \sum_{j \in \mathbb{Z}} a_{1,j}, \dots, \sum_{j \in \mathbb{Z}} a_{n,j} \right)$$

and

$$\mathrm{co}(A) = \left( \sum_{i \in \mathbb{Z}} a_{i,1}, \dots, \sum_{i \in \mathbb{Z}} a_{i,n} \right)$$

for each  $A \in \Lambda_1$ . Given  $A \in \Lambda_1$ , write  $A: \mathrm{co}(A) \rightarrow \mathrm{ro}(A)$ .

The purpose of this chapter is to define an associative  $\mathbb{Z}$ -algebra with a multiplicative basis by defining a modified form of the product in the affine  $q$ -Schur algebra. In particular, given  $A, B \in \Lambda_1$ , the orbit product

$$X_{A,B} = \{(L, L'') \in \mathcal{F} \times \mathcal{F} : \exists L' \in \mathcal{F} \text{ with } (L, L') \in \mathcal{O}_A, (L', L'') \in \mathcal{O}_B\}$$



consists of finitely many  $G$ -orbits and it will be shown that there is a unique ‘generic’ orbit in  $X_{A,B}$ , denoted  $\mathcal{O}_{A*B}$ , with the property that

$$\dim \left( \frac{L_i}{L_i \cap L_j''} \right) \leq \dim \left( \frac{N_i}{N_i \cap N_j''} \right)$$

and

$$\dim \left( \frac{L_j''}{L_i \cap L_j''} \right) \leq \dim \left( \frac{N_j''}{N_i \cap N_j''} \right)$$

for all  $i, j \in \mathbb{Z}$ ,  $(N, N'') \in \mathcal{O}_{A*B}$  and  $(L, L'') \in X_{A,B}$ . It will be shown that the above ‘generic product’ of orbits is associative, so the free  $\mathbb{Z}$ -module on the set of  $G$ -orbits in  $\mathcal{F} \times \mathcal{F}$  with  $\mathbb{Z}$ -bilinear multiplication given by

$$\mathcal{O}_A * \mathcal{O}_B = \mathcal{O}_{A*B},$$

for each  $A, B \in \Lambda_1$  with  $\text{co}(A) = \text{ro}(B)$ , and

$$\mathcal{O}_A * \mathcal{O}_B = 0$$

for  $A, B \in \Lambda_1$  with  $\text{co}(A) \neq \text{ro}(B)$ , is an associative  $\mathbb{Z}$ -algebra with multiplicative identity given by

$$\sum_{\lambda \in \Lambda_0} \mathcal{O}_{D_\lambda},$$

where  $D_\lambda$  is the diagonal matrix with  $\text{co}(D_\lambda) = \lambda$ . The resulting  $\mathbb{Z}$ -algebra is called the *generic affine algebra* (of rank  $r$  and period  $n$ ), denoted  $\hat{G}(n, r)$ .

## 5.2 Grassmannians and related varieties

Here we collect a few elementary results on Grassmannians and some related varieties. In this section, let  $V$  be an  $n$ -dimensional  $\mathbf{k}$ -vector space and let  $0 \leq d \leq n$  be an integer. There is a linear map

$$\phi^{(d)} : \Lambda^d(V) \rightarrow \text{Hom}(V, \Lambda^{d+1}(V))$$

given by

$$\phi^{(d)}(\alpha)(v) = \alpha \wedge v$$

for  $\alpha \in \Lambda^d(V)$  and  $v \in V$ . The kernel of  $\phi^{(d)}(\alpha)$  is the space of divisors of  $\alpha$ ,

$$D_\alpha = \{v \in V : \alpha \wedge v = 0\}.$$

An element  $\alpha \in \Lambda^d(V)$  is said to be totally decomposable if  $\alpha = \alpha_1 \wedge \cdots \wedge \alpha_d$ , where  $\alpha_1, \dots, \alpha_d \in V$  are linearly independent. The dimension of  $D_\alpha$  is at most  $d$  and  $\dim(D_\alpha) = d$  precisely when  $\alpha$  is totally decomposable. Consequently, the rank of  $\phi^{(d)}(\alpha)$  is at least  $n - d$  and  $\alpha$  is totally decomposable if and only if  $\text{rank } \phi^{(d)}(\alpha) \leq n - d$ , which holds if and only if the  $(n - d + 1) \times (n - d + 1)$ -minors of a matrix of  $\phi^{(d)}(\alpha)$  are all zero.

**Lemma 5.2.1.**  $\{(U_1, U_2) \in \text{Gr}_{d_1}(V) \times \text{Gr}_{d_2}(V) : \dim(U_1 \cap U_2) \geq a\}$  is a projective variety, for each  $d_1, d_2, a \in \mathbb{N}$  with  $d_1, d_2, a \leq n$ .

*Proof.* As above, there is a linear map  $\Psi: \Lambda^{d_1}V \oplus \Lambda^{d_2}V \rightarrow \text{Hom}(V, \Lambda^{d_1+1}(V) \oplus \Lambda^{d_2+1}(V))$  given by  $\Psi(\alpha, \beta)(v) = (\alpha \wedge v, \beta \wedge v)$ . Given  $\alpha \in \Lambda^{d_1}(V)$  and  $\beta \in \Lambda^{d_2}(V)$ , the kernel of  $\Psi(\alpha, \beta)$  is  $D_\alpha \cap D_\beta$  and so the rank of  $\Psi(\alpha, \beta)$  is  $n - \dim(D_\alpha \cap D_\beta)$ .

Let  $U_i \in \text{Gr}_{d_i}(V)$  and suppose  $p_i(U_i) = [\alpha_i]$ , where  $p_i$  is the Plücker embedding of  $\text{Gr}_{d_i}(V)$  in  $\mathbb{P}(\Lambda^{d_i}(V))$ , so  $U_i = D_{\alpha_i} = \ker \phi^{(d_i)}(\alpha)$ . Therefore the kernel of  $\Psi(\alpha_1, \alpha_2)$  is  $U_1 \cap U_2$ , so the condition that  $\dim(U_1 \cap U_2) \geq a$  is equivalent to the condition that  $\Psi(\alpha_1, \alpha_2)$  has rank at most  $n - a$ . After fixing a basis of  $V$ , this condition is given by the vanishing of the  $(n - a + 1) \times (n - a + 1)$  minors of the matrix of  $\Psi(\alpha_1, \alpha_2)$  with respect to this basis. Therefore  $\{(U_1, U_2) \in \text{Gr}_{d_1}(V) \times \text{Gr}_{d_2}(V) : \dim(U_1 \cap U_2) \geq a\}$  is a closed subset of the product of Grassmannians  $\text{Gr}_{d_1}(V) \times \text{Gr}_{d_2}(V)$ , so is a projective variety.

More precisely, the entries of a matrix of  $\Psi(\alpha_1, \alpha_2)$  are homogeneous polynomials of degree 1 in the Plücker coordinates on  $\text{Gr}_{d_1}(V) \times \text{Gr}_{d_2}(V)$  since  $\Psi$  is linear and so the minors of  $\Psi(\alpha_1, \alpha_2)$  are also homogeneous polynomials in the Plücker coordinates.  $\square$

**Lemma 5.2.2.** *Let  $V$  be an  $n$ -dimensional vector space over  $\mathbf{k}$  and let  $d_1, d_2, a \in \mathbb{N}$  with  $d_1, d_2, a \leq n$ . The following hold:*

1.  $\{(U_1, U_2) \in \text{Gr}_{d_1}(V) \times \text{Gr}_{d_2}(V) : \dim(U_1 \cap U_2) = a\}$  is a quasiprojective variety;
2.  $\{(U_1, U_2) \in \text{Gr}_{d_1}(V) \times \text{Gr}_{d_2}(V) : U_1 \subset U_2\}$  is a projective variety;
3. Given  $U_2 \in \text{Gr}_{d_2}(V)$ ,  $\{U_1 \in \text{Gr}_{d_1}(V) : \dim(U_1 \cap U_2) \geq a\}$  is a projective variety;
4. Given  $U_2 \in \text{Gr}_{d_2}(V)$ ,  $\{U_1 \in \text{Gr}_{d_1}(V) : \dim(U_1 \cap U_2) = a\}$  is a quasiprojective variety;
5. Given  $U_2 \in \text{Gr}_{d_2}(V)$ ,  $\{U_1 \in \text{Gr}_{d_1}(V) : U_1 \subset U_2\}$  is a projective variety;
6. Given  $U_2 \in \text{Gr}_{d_2}(V)$ ,  $\{U_1 \in \text{Gr}_{d_1}(V) : U_2 \subset U_1\}$  is a projective variety.

*Proof.* Let  $X_i$  denote the space in statement  $i$  of the lemma. To emphasise the dependence of  $X_i$  on  $a$ , write  $X_{i,a}$ .

$X_1$  is a quasiprojective variety since it is equal to the intersection of the projective variety  $\{(U_1, U_2) \in \text{Gr}_{d_1}(V) \times \text{Gr}_{d_2}(V) : \dim(U_1 \cap U_2) \geq a\}$  with the open set  $\{(U_1, U_2) \in \text{Gr}_{d_1}(V) \times \text{Gr}_{d_2}(V) : \dim(U_1 \cap U_2) \leq a\}$ .

Given  $(U_1, U_2) \in \text{Gr}_{d_1}(V) \times \text{Gr}_{d_2}(V)$ ,  $U_1 \subset U_2$  if and only if  $\dim(U_1 \cap U_2) \geq d_1$ , so Lemma 5.2.1 shows  $X_2$  is a projective variety.

Let  $\pi_i: \text{Gr}_{d_1}(V) \times \text{Gr}_{d_2}(V) \rightarrow \text{Gr}_{d_i}(V)$  be the projection map onto the  $i$ -th factor, for  $i = 1, 2$ . The completeness property of projective varieties ensures that  $\pi_i$  is a closed morphism. Observe that

$$\begin{aligned} X_3 &= \{U_1 \in \text{Gr}_{d_1}(V) : \dim(U_1 \cap U_2) \geq a\} \\ &= \pi_1(\{(U_1, W) \in \text{Gr}_{d_1}(V) \times \text{Gr}_{d_2}(V) : \dim(U_1 \cap W) \geq a\} \cap \pi_2^{-1}\{U_2\}). \end{aligned}$$

The fibre of  $\pi_2$  over  $U_2$  is closed, so the intersection of the fibre with the variety from Lemma 5.2.1 is closed and then the image of this intersection under  $\pi_1$  is closed. This shows  $X_3$  is a projective variety.

$X_4$  is a quasiprojective variety since it is the complement of the subvariety  $X_{3,a+1}$  in  $X_{3,a}$ . Finally, 5-6 follow as special cases of 3 since  $X_5 = X_{3,d_1}$  and  $X_6 = X_{3,d_2}$ .  $\square$

### 5.3 Geometry of affine flag varieties

Given  $L \in \mathcal{F}$ ,  $N, a \in \mathbb{N}$  and  $\lambda \in \Lambda_0$  define

$$\Pi_{N,\lambda}(L) = \{L' \in \mathcal{F}_\lambda : \varepsilon^N L_0 \subset L'_0 \subset \varepsilon^{-N} L_0\}.$$

and

$$\Pi_{N,\lambda}^a(L) = \left\{ L' \in \mathcal{F}_\lambda : \varepsilon^N L_0 \subset L'_0 \subset \varepsilon^{-N} L_0, \dim \left( \frac{\varepsilon^{-N} L_0}{L'_0} \right) = a \right\}.$$

**Lemma 5.3.1.** *Given  $L \in \mathcal{F}$ ,  $N \in \mathbb{N}$  and  $\lambda \in \Lambda_0$ ,*

$$\Pi_{N,\lambda}(L) = \bigcup_{a: 0 \leq a \leq 2Nr} \Pi_{N,\lambda}^a(L).$$

*Proof.* If  $L' \in \Pi_{N,\lambda}(L)$  then  $\varepsilon^N L_0 \subset L'_0 \subset \varepsilon^{-N} L_0$  and the  $\mathbf{k}[\varepsilon]$ -module  $\varepsilon^{-N} L_0 / L'_0$  is naturally isomorphic to  $(\varepsilon^{-N} L_0 / \varepsilon^N L_0) / (L'_0 / \varepsilon^N L_0)$ , so

$$\dim \left( \frac{\varepsilon^{-N} L_0}{L'_0} \right) \leq \dim \left( \frac{\varepsilon^{-N} L_0}{\varepsilon^N L_0} \right) = 2Nr.$$

□

**Lemma 5.3.2.** *Given  $L \in \mathcal{F}$ ,  $N \in \mathbb{N}$ ,  $\lambda \in \Lambda_0$  and  $a \in \mathbb{N}$  with  $0 \leq a \leq 2Nr$ ,  $\Pi_{N,\lambda}^a(L)$  is a projective algebraic variety.*

*Proof.* Let  $W$  be the  $\mathbf{k}[\varepsilon]$ -module  $\varepsilon^{-1-N} L_0 / \varepsilon^N L_0$ , which has dimension  $(2N+1)r$  over  $\mathbf{k}$ . Let  $d_i = 2Nr - a + \lambda_1 + \dots + \lambda_i$  for each  $i = 1, \dots, n$ . The correspondence between submodules of  $\varepsilon^{-1-N} L_0$  which contain  $\varepsilon^N L_0$  and submodules of  $\varepsilon^{-1-N} L_0 / \varepsilon^N L_0$  determines a map

$$\rho: \Pi_{N,\lambda}^a(L) \rightarrow \mathrm{Gr}_{d_1}(W) \times \dots \times \mathrm{Gr}_{d_n}(W),$$

with  $\rho(L') = (L'_1 / \varepsilon^N L_0, \dots, L'_n / \varepsilon^N L_0)$ .

Let  $\mathcal{X}$  be the space of  $(U_1, \dots, U_n) \in \mathrm{Gr}_{d_1}(W) \times \dots \times \mathrm{Gr}_{d_n}(W)$  with  $U_i \subset U_{i+1}$  for  $i = 1, \dots, n-1$  and  $\varepsilon U_n \subset U_1$ . Lemma 5.2.2 shows that each of these conditions is closed, so  $\mathcal{X}$  is a closed subset of  $\mathrm{Gr}_{d_1}(W) \times \dots \times \mathrm{Gr}_{d_n}(W)$ , therefore  $\mathcal{X}$  is a projective algebraic variety.

The image of  $\rho$  is contained in  $\mathcal{X}$  since

$$\varepsilon L'_n / \varepsilon^N L_0 = L'_0 / \varepsilon^N L_0 \subset L'_1 / \varepsilon^N L_0 \subset \dots \subset L'_n / \varepsilon^N L_0.$$

Suppose  $(U_1, \dots, U_n) \in \mathcal{X}$ . Then  $U_i$  is a  $\mathbf{k}[\varepsilon]$ -module, since  $\varepsilon U_i \subset \varepsilon U_n \subset U_1 \subset U_i$ , for each  $i = 1, \dots, n$ , so  $U_i$  lifts uniquely to a  $\mathbf{k}[\varepsilon]$ -module  $L'_i$  with  $\varepsilon^N L_0 \subset L'_i \subset \varepsilon^{-1-N} L_0$ . Therefore  $L'_1, \dots, L'_n$  are  $\mathbf{k}[\varepsilon]$ -lattices with  $L_i \subset L_{i+1}$  for  $i = 1, \dots, n-1$  and  $\varepsilon L'_n \subset L'_1$ , with

$$\dim(\varepsilon^{-1-N} L_0 / L'_n) = \dim(W / W_n) = (2N+1)r - d_n = a$$

and

$$\dim(L'_i / L'_{i-1}) = \dim(W_i / W_{i-1}) = d_i - d_{i-1} = \lambda_i,$$

for each  $i = 2, \dots, n$ . Therefore there is a unique  $L' \in \Pi_{N,\lambda}^a(L)$  such that  $\rho(L') = (W_1, \dots, W_n)$ , where  $L'$  is given by  $L'_{i+cn} = \varepsilon^{-c} L'_i$  for  $i = 1, \dots, n$  and  $c \in \mathbb{Z}$ . It follows  $\rho$  is injective and  $\mathrm{im} \rho = \mathcal{X}$ , which is a projective variety, so  $\Pi_{N,\lambda}^a(L)$  is a projective variety. □

**Lemma 5.3.3.** *Given  $L \in \mathcal{F}$ ,  $N \in \mathbb{N}$ ,  $\lambda \in \Lambda_0$  and  $a \in \mathbb{N}$  with  $0 \leq a \leq 2Nr$ ,  $\Pi_{N,\lambda}^a(L)$  is closed in  $\Pi_{N+1,\lambda}^{a+r}(L)$ .*

*Proof.* If  $L' \in \Pi_{N,\lambda}^a(L)$ , then  $\varepsilon^{N+1}L_0 \subset \varepsilon^N L_0 \subset L'_0 \subset \varepsilon^{-N}L_0 \subset \varepsilon^{-(N+1)}L_0$  and

$$\dim \left( \frac{\varepsilon^{-(1+n)}L_0}{L'_0} \right) = \dim \left( \frac{L_0}{\varepsilon L_0} \right) + \dim \left( \frac{\varepsilon^{-N}L_0}{L'_0} \right) = r + a,$$

which shows that  $\Pi_{N,\lambda}^a(L) \subset \Pi_{N+1,\lambda}^{a+r}(L)$ . For  $L' \in \Pi_{N+1,\lambda}^{a+r}(L)$ , if additionally  $\varepsilon^N L_0 \subset L'_0 \subset \varepsilon^{-N}L_0$ , then

$$\dim \left( \frac{\varepsilon^{-(N+1)}L_0}{L'_0} \right) = r + \dim \left( \frac{\varepsilon^{-N}L_0}{L'_0} \right),$$

which shows  $L' \in \Pi_{N,\lambda}^a(L)$ . Therefore  $\Pi_{N,\lambda}^a(L)$  is the subspace of  $\Pi_{N+1,\lambda}^{a+r}(L)$  defined by the two closed conditions  $\varepsilon^N L_0 \subset L'_0$  and  $L'_0 \subset \varepsilon^{-N}L_0$ , using Lemma 5.2.2.  $\square$

**Lemma 5.3.4.** *Let  $\lambda \in \Lambda_0$ ,  $M, N \in \mathbb{N}$ ,  $L, \tilde{L} \in \mathcal{F}$ ,  $0 \leq a \leq 2Nr$ ,  $0 \leq b \leq 2Mr$ .  $\Pi_{N,\lambda}^a(L) \cap \Pi_{M,\lambda}^b(\tilde{L})$  is a closed set in  $\Pi_{N,\lambda}^a(L)$ . In particular, if the intersection is nonempty it is a projective algebraic variety.*

*Proof.* Observe that  $\Pi_{N,\lambda}^a(L) \cap \Pi_{M,\lambda}^b(\tilde{L})$  is the subset of  $\Pi_{N,\lambda}^a(L)$  defined by the additional conditions that  $\varepsilon^M \tilde{L}_0 \subset L'_0$  and  $L'_0 \subset \varepsilon^{-M} \tilde{L}_0$ , so is a closed subset of  $\Pi_{N,\lambda}^a(L)$ , using 5.2.2.  $\square$

**Lemma 5.3.5.** *Suppose  $L \in \mathcal{F}$ ,  $N, a \in \mathbb{N}$  and  $\lambda \in \Lambda_0$  with  $a \leq 2Nr$ . For each  $g \in G$ , the natural map (restriction of the action map)  $\Pi_{N,\lambda}^a(L) \rightarrow \Pi_{N,\lambda}^a(gL)$  is an isomorphism of projective varieties.*

*Proof.* If  $L' \in \Pi_{N,\lambda}^a(L)$ , then  $\varepsilon^N L_0 \subset L'_0 \subset \varepsilon^{-N}L_0$  and so  $\varepsilon^N g(L_0) \subset g(L'_0) \subset \varepsilon^{-N}g(L_0)$ , so  $gL' \in \Pi_{N,\lambda}^a(gL)$ . Thus  $g$  and  $g^{-1}$  induce mutually inverse morphisms of varieties  $g: \Pi_{N,\lambda}^a(L) \rightarrow \Pi_{N,\lambda}^a(gL)$  and  $g^{-1}: \Pi_{N,\lambda}^a(gL) \rightarrow \Pi_{N,\lambda}^a(L)$ .  $\square$

### 5.3.1 Action through an algebraic group

Let  $W$  be the  $\mathbb{C}[\varepsilon]$ -module  $\varepsilon^{-(1+N)}L_0/\varepsilon^N L_0$ .  $\varepsilon^{2N+1}$  acts as zero on  $W$  and  $\mathbb{C}[\varepsilon]/\langle \varepsilon^{2N+1} \rangle \otimes_{\mathbb{C}[\varepsilon]} W$  is a free  $\mathbb{C}[\varepsilon]/\langle \varepsilon^{2N+1} \rangle$ -module of rank  $r$ . In particular,  $W$  is a complex vector space of dimension  $(2N+1)r$ .

Each element  $g \in G_L$  determines an endomorphism  $\bar{g}$  of  $W$ , given by

$$\bar{g}(x + \varepsilon^N L_0) = g(x) + \varepsilon^N L_0,$$

for each  $x \in \varepsilon^{-(1+N)}L_0$ . Given  $g, h \in G_L$ ,  $\overline{gh} = \bar{g}\bar{h}$  and so  $\bar{g}$  is an automorphism of  $W$  with  $\bar{g}^{-1} = \overline{g^{-1}}$ . Therefore the map  $\bar{\cdot}: G_L \mapsto \text{GL}(W)$  given by  $g \mapsto \bar{g}$  is a group homomorphism with kernel

$$H_{N,L} := \{g \in G_L : \bar{g} = 1\},$$

which consists of those  $g \in G_L$  such that

$$g(x) - x \in \varepsilon^N L_0$$

for each  $x \in \varepsilon^{-(1+N)}L_0$ . Thus  $G_L/H_{N,L}$  may be identified with a subgroup of  $\text{GL}(W)$ .

**Lemma 5.3.6.**  $G_L/H_{N,L}$  is a connected algebraic group.

*Proof.* As a result of the first isomorphism theorem,  $G_L/H_{N,L}$  is isomorphic to the image of  $G_L$  in  $\mathrm{GL}(W)$ , which will be described explicitly by equations in the coordinate functions on  $\mathrm{GL}(W)$ , with respect to a fixed basis of  $W$ .

Let  $\{\tilde{x}_1, \dots, \tilde{x}_r\}$  be a basis of  $L_n/L_0$  over  $\mathbb{C}$  which is adapted to the flag

$$L_1/L_0 \subset \dots \subset L_{n-1}/L_0 \subset L_n/L_0,$$

so that

$$L_i/L_0 = \langle \tilde{x}_1, \dots, \tilde{x}_{\lambda_1 + \dots + \lambda_i} \rangle$$

for each  $i \in \{1, \dots, n\}$ . Fix  $x_1, \dots, x_r \in L_n$  such that  $\tilde{x}_i = x_i + L_0$  for each  $i = 1, \dots, r$ , then

$$L_i = L_0 + \langle x_1, \dots, x_{\lambda_1 + \dots + \lambda_i} \rangle$$

for  $i = 1, \dots, r$ .

Then  $W$  has a  $\mathbb{C}$ -basis  $\{y_j : 1 \leq j \leq (2N+1)r\}$  given by

$$y_{i+cr} = \varepsilon^{-c+N} x_i$$

for each  $i \in \{1, \dots, r\}$  and  $c \in \{0, \dots, 2N\}$ . Observe that  $\varepsilon y_i = 0$  for  $i \in \{1, \dots, r\}$  and  $\varepsilon y_i = y_{i-r}$  for  $r < i \leq (2N+1)r$ .

The coordinate functions on  $\mathrm{GL}(W)$  with respect to this choice of basis are the maps

$$\gamma_{i,j} : \mathrm{GL}(W) \rightarrow \mathbb{C}$$

for  $i, j \in \mathbb{Z}$  with  $1 \leq i, j \leq (2N+1)r$ , given by

$$g(y_j) = \sum_i \gamma_{ij}(g) y_i,$$

for each  $j = 1, \dots, (2N+1)r$ .

The image of  $G_L$  in  $\mathrm{GL}(W)$  is the subgroup defined by the conditions

$$\gamma_{i,j} = \gamma_{i-r,j-r}$$

for each  $i, j \in \{r+1, \dots, (2N+1)r\}$  and

$$\gamma_{i,j} = 0$$

for each  $i, j \in \{1, \dots, (2N+1)r\}$  with  $i > \lambda_1 + \dots + \lambda_s$  and  $j \leq \lambda_1 + \dots + \lambda_s$  for some  $s \in \{1, \dots, r\}$ . This shows that the image of  $G_L$  in  $\mathrm{GL}(W)$  is a connected algebraic group and therefore  $G_L/H_{N,L}$  is a connected algebraic group.

With respect to the basis  $\{y_i : i \in \{1, \dots, (2N+1)r\}\}$ , the image of  $G_L$  in  $\mathrm{GL}(W)$  consists of matrices of the form

$$\begin{pmatrix} A_0 & A_1 & A_2 & \cdots & A_{2N} \\ 0 & A_0 & A_1 & \cdots & A_{2N-1} \\ 0 & 0 & A_0 & \cdots & A_{2N-2} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \cdots & A_0 \end{pmatrix}$$

where  $A_0 \in \mathcal{P}_\lambda$  and  $A_1, \dots, A_{2N} \in M_r(\mathbb{C})$ , where  $\mathcal{P}_\lambda$  is the parabolic subgroup of  $\mathrm{GL}_r(\mathbb{C})$  which is the stabiliser of the flag

$$L_1/L_0 \subset \dots \subset L_{n-1}/L_0 \subset L_n/L_0.$$

□

Given  $g \in G$ , the map  $G_L \rightarrow G_{gL}$  sending  $h$  to  $ghg^{-1}$  is a group isomorphism which descends to an isomorphism of algebraic groups  $G_L/H_{N,L} \rightarrow G_{gL}/H_{N,gL}$ . Thus we have a commuting diagram of morphisms of varieties, where the vertical arrows are isomorphisms:

$$\begin{array}{ccc} G_L/H_{N,L} \times \Pi_{N,\lambda}^a(L) & \longrightarrow & \Pi_{N,\lambda}^a(L) \\ \downarrow & & \downarrow \\ G_{gL}/H_{N,gL} \times \Pi_{N,\lambda}^a(gL) & \longrightarrow & \Pi_{N,\lambda}^a(gL) \end{array}$$

### 5.3.2 Incidence in affine flag varieties

**Lemma 5.3.7.** *Given  $N, a, b, c \in \mathbb{N}$ ,  $\lambda, \mu \in \Lambda_0$ ,  $L \in \mathcal{F}$  and  $i, j \in \mathbb{Z}$ ,*

$$\left\{ (L', L'') \in \Pi_{N,\lambda}^a(L) \times \Pi_{N,\mu}^b(L) : \dim \left( \frac{L'_i}{L'_i \cap L''_j} \right) \leq c \right\}$$

*is a closed set in the projective variety  $\Pi_{N,\lambda}^a(L) \times \Pi_{N,\mu}^b(L)$ .*

*Proof.* There is  $M \geq N$  so that  $\varepsilon^M L_0 \subset L'_i \subset \varepsilon^{-M} L_0$  and  $\varepsilon^M L_0 \subset L''_j \subset \varepsilon^{-M} L_0$ . Let  $a' = a + (M - N)r$  and  $b' = b + (M - N)r$ . Lemma 5.3.3 shows that  $\Pi_{N,\lambda}^a(L)$  is a subvariety of  $\Pi_{M,\lambda}^{a'}(L)$ , so  $\Pi_{N,\lambda}^a(L) \times \Pi_{N,\mu}^b(L)$  is a subvariety of  $\Pi_{M,\lambda}^{a'}(L) \times \Pi_{M,\mu}^{b'}(L)$ .

The fact that

$$\dim \left( \frac{L'_i}{L'_i \cap L''_j} \right) = \dim \left( \frac{L'_i/\varepsilon^M L_0}{L'_i/\varepsilon^M L_0 \cap L''_j/\varepsilon^M L_0} \right),$$

together with Lemma 5.3.2 and Lemma 5.2.1, shows that

$$\left\{ (L', L'') \in \Pi_{M,\lambda}^{a'}(L) \times \Pi_{M,\mu}^{b'}(L) : \dim \left( \frac{L'_i}{L'_i \cap L''_j} \right) \leq c \right\}$$

is closed, so the intersection with  $\Pi_{N,\lambda}^a(L) \times \Pi_{N,\mu}^b(L)$  is closed. □

**Lemma 5.3.8.** *Given  $N, a, c \in \mathbb{N}$ ,  $\lambda \in \Lambda_0$ ,  $L \in \mathcal{F}$  and  $i, j \in \mathbb{Z}$ ,*

$$\left\{ L' \in \Pi_{N,\lambda}^a(L) : \dim \left( \frac{L_i}{L_i \cap L'_j} \right) \leq c \right\}$$

*and*

$$\left\{ L' \in \Pi_{N,\lambda}^a(L) : \dim \left( \frac{L'_j}{L_i \cap L'_j} \right) \leq c \right\}$$

*are closed sets in  $\Pi_{N,\lambda}^a(L)$ .*

*Proof.* This is a result of Lemma 5.2.2, since

$$\dim \left( \frac{L_i}{L_i \cap L'_j} \right) = \dim \left( \frac{L_i/\varepsilon^M L_0}{L_i/\varepsilon^M L_0 \cap L'_j/\varepsilon^M L_0} \right),$$

where  $M \geq N$  is chosen so that  $\varepsilon^M L_0 \subset L_i \subset \varepsilon^{-M} L_0$  and  $\varepsilon^M L_0 \subset L'_j \subset \varepsilon^{-M} L_0$  for each  $L' \in \Pi_{N,\lambda}^a(L)$ . □

## 5.4 Geometry of orbits

Let  $A \in \Lambda_1$  and  $L \in \mathcal{F}_{\text{ro}(A)}$  and write  $\lambda = \text{co}(A)$ . Recall that

$$X_A^L = \{L' \in \mathcal{F}_\lambda : (L, L') \in \mathcal{O}_A\}.$$

**Lemma 5.4.1.** *There is  $N \in \mathbb{N}$  such that  $X_A^L \subset \Pi_{N,\lambda}^a(L)$ , where  $a = d_{nN,0}A$ .*

*Proof.* There is  $N \in \mathbb{N}$  so that  $a_{i,j} = 0$  whenever  $|j - i| > nN$ . If  $(L, L') \in \mathcal{O}_A$  then

$$\dim \left( \frac{L'_0}{L'_0 \cap \varepsilon^{-N} L_0} \right) = \dim \left( \frac{L'_0}{L'_0 \cap L_{nN}} \right) = \sum_{s > nN, t \leq 0} a_{s,t} = 0,$$

so it follows  $L'_0 \subset \varepsilon^{-N} L_0$ . Similarly,

$$\dim \left( \frac{\varepsilon^N L_0}{\varepsilon^N L_0 \cap L'_0} \right) = \dim \left( \frac{L_{-nN}}{L_{-nN} \cap L'_0} \right) = \sum_{s \leq -nN, t > 0} a_{s,t} = 0,$$

which shows  $\varepsilon^N L_0 \subset L'_0$ . Moreover,

$$\dim \left( \frac{\varepsilon^{-N} L_0}{L'_0} \right) = \dim \left( \frac{\varepsilon^{-N} L_0}{\varepsilon^{-N} L_0 \cap L'_0} \right) = \sum_{s \leq nN, t > 0} a_{s,t} = d_{nN,0}(A),$$

as a result of Lemma 3.2.12.  $\square$

Assume  $N \in \mathbb{N}$  is chosen so that  $X_A^L \subset \Pi_{N,\lambda}^a(L)$ , where  $a = d_{nN,0}A$ , as in Lemma 5.4.1.

**Lemma 5.4.2.**  *$X_A^L$  is a locally closed subset of  $\Pi_{N,\lambda}^a(L)$ . In particular,  $X_A^L$  is a quasiprojective variety.*

*Proof.* If  $L' \in \Pi_{N,\lambda}^a(L)$  then

$$L_{-Nn} = \varepsilon^N L_0 \subset L'_0 \subset L'_1 \subset L'_n \subset \varepsilon^{-1-N} L_0 = L_{(N+1)n}.$$

Therefore  $X_A^L$  is the subset of  $\Pi_{N,\lambda}^a(L)$  defined by the conditions  $\dim(L_i/L_i \cap L'_j) = d_{i,j}A$  for  $i : -Nn \leq i < j$  and  $\dim(L'_j/L_i \cap L'_j) = \bar{d}_{i,j}A$  for  $i : j < i \leq (N+1)n$ , for  $j = 1, \dots, n$ .

The set of  $L' \in \Pi_{N,\lambda}^a(L)$  with  $\dim(L_i/L_i \cap L'_j) \leq d_{i,j}A$  for  $j = 1, \dots, n$  and  $i : -Nn \leq i < j$  and  $\dim(L'_j/L_i \cap L'_j) \leq \bar{d}_{i,j}A$  for  $j = 1, \dots, n$  and  $i : j < i \leq (N+1)n$  is a closed subset of  $\Pi_{N,\lambda}^a(L)$ , as a result of Lemma 5.3.8.

On the other hand, the set of  $L' \in \Pi_{N,\lambda}^a(L)$  satisfying the conditions  $\dim(L_i/L_i \cap L'_j) \geq d_{i,j}A$  (for  $i < j$ ) and  $\dim(L'_j/L_i \cap L'_j) \geq \bar{d}_{i,j}A$  (for  $i > j$ ) is open in  $\Pi_{N,\lambda}^a(L)$  since the complement is closed, as a result of Lemma 5.3.8.

Therefore  $X_A^L$  is the intersection of an open set and a closed set in  $\Pi_{N,\lambda}^a(L)$ , so  $X_A^L$  is locally closed. It follows that  $X_A^L$  is an open subset of the projective variety  $\overline{X_A^L}$ , so is a quasiprojective variety as claimed.  $\square$

**Lemma 5.4.3.**  *$X_A^L$  is irreducible.*

*Proof.* For any  $L' \in X_A^L$ ,  $X_A^L = G_L/H_{N,L} \cdot L'$ . Lemma 5.3.6 shows that  $G_L/H_{N,L}$  is a connected algebraic group which acts algebraically on  $\Pi_{N,\lambda}^a(L)$ . The image of  $G_L/H_{N,L}$  under the morphism  $g \mapsto gL'$  equals  $X_A^L$ , which shows  $X_A^L$  is irreducible since  $G_L/H_{N,L}$  is irreducible.  $\square$

Consequently,  $\overline{X_A^L}$  is an irreducible projective variety and the action of  $G_L/H_{N,L}$  on  $\Pi_{N,\lambda}^a(L)$  restricts to an algebraic group action on  $\overline{X_A^L}$  for which there are finitely many orbits. In particular,  $\overline{X_A^L} \setminus X_A^L$  is a union of finitely many orbits which are so-called degenerations of the orbit  $X_A^L$ .

## 5.5 Geometry of orbit products

Let  $A, B \in \Lambda_1$  with  $\text{co}(A) = \text{ro}(B)$  and write  $\lambda = \text{co}(A)$  and  $\mu = \text{co}(B)$ . Fix  $L \in \mathcal{F}_{\text{ro}(A)}$ . Recall

$$Y_{A,B}^L = \{(L', L'') \in \mathcal{F}_\lambda \times \mathcal{F}_\mu : L' \in X_A^L, L'' \in X_B^{L'}\}$$

and

$$X_{A,B}^L = \{L'' \in \mathcal{F}_\mu : \exists L' \in X_A^L \text{ with } L'' \in X_B^{L'}\}$$

**Lemma 5.5.1.** *There is  $N \in \mathbb{N}$  such that*

$$Y_{A,B}^L \subset \Pi_{N,\lambda}^a(L) \times \Pi_{2N,\mu}^{a+b}(L),$$

where  $a = d_{nN,0}(A)$  and  $b = d_{nN,0}(B)$ .

*Proof.* There is  $N \in \mathbb{N}$  such that  $\varepsilon^N L_0 \subset L'_0 \subset \varepsilon^{-N} L_0$  and  $\varepsilon^N L'_0 \subset L''_0 \subset \varepsilon^{-N} L'_0$  for each  $(L', L'') \in Y_{A,B}^L$ , using Lemma 5.4.1. Set  $a = d_{nN,0}(A)$  and  $b = d_{nN,0}(B)$ .

Then for any  $(L', L'') \in Y_{A,B}^L$ ,

$$\varepsilon^{2N} L_0 \subset \varepsilon^N L'_0 \subset L''_0 \subset \varepsilon^{-N} L'_0 \subset \varepsilon^{-2N} L_0$$

and

$$\begin{aligned} \dim \left( \frac{\varepsilon^{-2N} L_0}{L''_0} \right) &= \dim \left( \frac{\varepsilon^{-N} L'_0}{L''_0} \right) + \dim \left( \frac{\varepsilon^{-2N} L_0}{\varepsilon^{-N} L'_0} \right) \\ &= \dim \left( \frac{\varepsilon^{-N} L'_0}{L''_0} \right) + \dim \left( \frac{\varepsilon^{-N} L_0}{L'_0} \right) \\ &= a + b, \end{aligned}$$

as a result of Lemma 3.2.12, so  $(L', L'') \in \Pi_{N,\lambda}^a(L) \times \Pi_{2N,\mu}^{a+b}(L)$  as required.  $\square$

Now assume  $N \in \mathbb{N}$  is chosen so that  $Y_{A,B}^L \subset \Pi_{N,\lambda}^a(L) \times \Pi_{2N,\mu}^{a+b}(L)$ , where  $a = d_{nN,0}(A)$  and  $b = d_{nN,0}(B)$ , using Lemma 5.5.1.

**Lemma 5.5.2.**  *$Y_{A,B}^L$  is a locally closed subset of  $\Pi_{N,\lambda}^a(L) \times \Pi_{2N,\mu}^{a+b}(L)$ . In particular,  $Y_{A,B}^L$  is a quasiprojective variety.*

*Proof.*  $Y_{A,B}^L$  is the subset of  $\Pi_{N,\lambda}^a(L) \times \Pi_{2N,\mu}^{a+b}(L)$  consisting of those  $(L', L'')$  satisfying the following conditions:  $\dim(L_i/L_i \cap L'_j) = d_{i,j}(A)$  for  $i < j$ ,  $\dim(L'_j/L_i \cap L'_j) = \bar{d}_{i,j}(A)$  for  $i > j$ ,  $\dim(L'_i/L'_i \cap L''_j) = d_{i,j}(B)$  for  $i < j$  and  $\dim(L''_j/L'_i \cap L''_j) = \bar{d}_{i,j}(B)$ . Only finitely many conditions are required to define  $Y_{A,B}^L$  since there are only finitely many nonzero entries in  $A$  and  $B$  modulo the  $(n, n)$ -periodicity.

The conditions  $\dim(L_i/L_i \cap L'_j) \leq d_{i,j}(A)$ ,  $\dim(L'_j/L'_i \cap L''_j) \leq d_{i,j}(B)$ ,  $\dim(L'_j/L_i \cap L'_j) \leq \bar{d}_{i,j}(A)$  and  $\dim(L''_j/L'_i \cap L''_j) \leq \bar{d}_{i,j}(B)$  define closed subsets of  $\Pi_{N,\lambda}^a(L) \times \Pi_{2N,\mu}^{a+b}(L)$  for each  $i, j \in \mathbb{Z}$ , as a result of Lemma 5.3.7 and Lemma 5.3.8.

On the other hand, the conditions  $\dim(L_i/L_i \cap L'_j) \geq d_{i,j}(A)$ ,  $\dim(L'_j/L'_i \cap L''_j) \geq d_{i,j}(B)$ ,  $\dim(L'_j/L_i \cap L'_j) \geq \bar{d}_{i,j}(A)$  and  $\dim(L''_j/L'_i \cap L''_j) \geq \bar{d}_{i,j}(B)$  define open subsets of  $\Pi_{N,\lambda}^a(L) \times \Pi_{2N,\mu}^{a+b}(L)$  for each  $i, j \in \mathbb{Z}$ , using Lemma 5.3.7 and Lemma 5.3.8.

Therefore  $Y_{A,B}^L$  is the intersection of finitely many open sets and finitely many closed sets in  $\Pi_{N,\lambda}^a(L) \times \Pi_{2N,\mu}^{a+b}(L)$ , so  $Y_{A,B}^L$  is locally closed. In particular,  $Y_{A,B}^L$  is a quasiprojective variety.  $\square$



**Lemma 5.5.3.** *For any  $L' \in X_A^L$ ,  $Y_{A,B}^L = G_L \cdot (\{L'\} \times X_B^{L'})$ .*

*Proof.* Let  $L' \in X_A^L$ , then  $\{L'\} \times X_B^{L'}$  is contained in  $Y_{A,B}^L$  and  $G_L$  acts on  $Y_{A,B}^L$ , so  $G_L \cdot (\{L'\} \times X_B^{L'})$  is contained in  $Y_{A,B}^L$ . If  $(N', N'') \in Y_{A,B}^L$ , then  $N' = \sigma L'$  for some  $\sigma \in G_L$ , since  $N' \in X_A^L$ . Then  $(N', N'') = \sigma(L', \sigma^{-1}N'')$  and  $\sigma^{-1}N'' \in X_B^{\sigma^{-1}N'} = X_B^{L'}$ , so  $(N', N'') \in \sigma \cdot (\{L'\} \times X_B^{L'})$ . Therefore  $Y_{A,B}^L = G_L \cdot (\{L'\} \times X_B^{L'})$  as claimed.  $\square$

**Proposition 5.5.4.**  *$Y_{A,B}^L$  is irreducible.*

*Proof.* Let  $L' \in X_A^L$ .  $G_L/H_{2N,L}$  is a connected algebraic group acting algebraically on  $\Pi_{N,\lambda}^a(L) \times \Pi_{2N,\mu}^{a+b}(L)$  by Lemma 5.3.6.  $X_B^{L'}$  is an irreducible locally closed subset of  $\Pi_{2N,\mu}^{a+b}(L)$ , so  $\{L'\} \times X_B^{L'}$  is an irreducible locally closed set in  $\Pi_{N,\lambda}^a(L) \times \Pi_{2N,\mu}^{a+b}(L)$ .  $Y_{A,B}^L = G_L \cdot (\{L'\} \times X_B^{L'}) = G_L/H_{2N,L} \cdot (\{L'\} \times X_B^{L'})$ , by Lemma 5.5.3, so it follows that  $Y_{A,B}^L$  is irreducible.  $\square$

Let  $p_2$  be the projection onto the second factor  $\Pi_{N,\lambda}^a(L) \times \Pi_{2N,\mu}^{a+b}(L) \rightarrow \Pi_{2N,\mu}^{a+b}(L)$ .  $p_2$  is a closed morphism since  $\Pi_{N,\lambda}^a(L)$  is a projective variety and therefore complete, by Lemma 5.3.2. Therefore  $p_2(\overline{Y_{A,B}^L}) = \overline{X_{A,B}^L}$ , since  $p_2(Y_{A,B}^L) = X_{A,B}^L$ .

**Lemma 5.5.5.**  *$X_{A,B}^L$  is irreducible and constructible.*

*Proof.* Proposition 5.5.4 shows that  $Y_{A,B}^L$  is irreducible and locally closed, so it follows  $X_{A,B}^L$  is irreducible and constructible, since  $X_{A,B}^L = p_2(Y_{A,B}^L)$ .  $\square$

**Proposition 5.5.6.** *There is a unique open  $G_L$ -orbit in  $X_{A,B}^L$ .*

*Proof.*  $X_{A,B}^L$  consists of finitely many  $G_L$ -orbits and is an irreducible topological space, by Lemma 5.5.5. Consequently,  $X_C^L$  is dense in  $X_{A,B}^L$  for some  $C \in \Lambda_1^{A,B}$ . Lemma 5.4.2 shows that  $X_C^L$  is locally closed in  $X_{A,B}^L$ , so  $X_C^L$  is open in  $\overline{X_C^L} = X_{A,B}^L$ . Irreducibility of  $X_{A,B}^L$  shows that there is a unique open  $G_L$ -orbit, since two nonempty open sets in  $X_{A,B}^L$  intersect nontrivially, thus any two open  $G_L$  orbits in  $X_{A,B}^L$  coincide.  $\square$

Let  $A * B \in \Lambda_1$  be the matrix corresponding to the dense open  $G_L$ -orbit in  $X_{A,B}^L$ , so  $\overline{X_{A*B}^L} = \overline{X_{A,B}^L}$ .

## 5.6 Degenerations of orbits and the combinatorial partial order

**Theorem 5.6.1.** *Let  $A, B \in \Lambda_1$  with  $\text{ro}(A) = \text{ro}(B)$  and  $\text{co}(A) = \text{co}(B)$ , then  $B \leq A$  if and only if  $X_B^L \subset \overline{X_A^L}$  for any  $L \in \mathcal{F}_{\text{ro}(A)}$ .*

*Proof.* Let  $\lambda = \text{co}(A)$ ,  $\mu = \text{ro}(A)$  and fix  $L \in \mathcal{F}_\mu$ . Assume  $N \in \mathbb{N}$  is chosen so that  $X_A^L \subset \Pi_{N,\lambda}^a(L)$  and  $X_B^L \subset \Pi_{N,\lambda}^b(L)$ , where  $a = d_{nN,0}(A)$  and  $b = d_{nN,0}(B)$ . Then  $X_A^L$  is an open subset of the projective variety consisting of those  $L' \in \Pi_{N,\lambda}^a(L)$  such that

$$\dim \left( \frac{L_i}{L_i \cap L'_j} \right) \leq d_{i,j}(A)$$

and

$$\dim \left( \frac{L'_j}{L_i \cap L'_j} \right) \leq \bar{d}_{i,j}(A),$$

for all  $i, j \in \mathbb{Z}$ .

Assume  $X_B^L \subset \overline{X_A^L}$ , then

$$d_{i,j}(B) = \dim \left( \frac{L_i}{L_i \cap L'_j} \right) \leq d_{i,j}(A)$$

and

$$\bar{d}_{i,j}(B) = \dim \left( \frac{L'_j}{L_i \cap L'_j} \right) \leq \bar{d}_{i,j}(A),$$

for each  $i, j \in \mathbb{Z}$ , for any  $L' \in X_B^L$ . So  $B \leq A$  if  $X_B^L \leq \overline{X_A^L}$ .

**Conversely, suppose  $A \leq B$ .**

□

**Corollary 5.6.2.** *The maximum in  $\Lambda_1^{A,B}$  is  $A * B$ .*

## 5.7 Associativity of the generic product

Let  $A, B, C \in \Lambda_1$  with  $\text{co}(A) = \text{ro}(B)$  and  $\text{co}(B) = \text{ro}(C)$  and fix  $L \in \mathcal{F}_{\text{ro}(A)}$ . Write  $\lambda = \text{co}(A)$ ,  $\mu = \text{co}(B)$  and  $\nu = \text{co}(C)$ . Define

$$Y_{A,B,C}^L = \left\{ (L', L'', L''') \in \mathcal{F}^3 : L' \in X_A^L, L'' \in X_B^L, L''' \in X_C^L \right\}$$

and

$$X_{A,B,C}^L = \left\{ L''' \in \mathcal{F} : \exists (L', L'') \in \mathcal{F}^2 \text{ with } (L', L'', L''') \in Y_{A,B,C}^L \right\}.$$

**Lemma 5.7.1.** *There is  $N \in \mathbb{N}$  such that  $Y_{A,B,C}^L$  is contained in  $\Pi_{N,\lambda}^a(L) \times \Pi_{2N,\mu}^{a+b}(L) \times \Pi_{3N,\nu}^{a+b+c}(L)$ , where  $a = d_{nN,0}(A)$ ,  $b = d_{nN,0}(B)$  and  $c = d_{nN,0}(C)$ .*

*Proof.* Lemma 5.4.1 shows that there is  $N \in \mathbb{N}$  such that  $\varepsilon^N L_0 \subset L'_0 \subset \varepsilon^{-N} L_0$ ,  $\varepsilon^N L'_0 \subset L''_0 \subset \varepsilon^{-N} L'_0$  and  $\varepsilon^N L''_0 \subset L'''_0 \subset \varepsilon^{-N} L''_0$  for each  $(L', L'', L''') \in Y_{A,B,C}^L$ . Using the proof of Lemma 5.5.1, it follows  $L'' \in \Pi_{2N,\mu}^{a+b}(L)$  and  $L''' \in \Pi_{2N,\nu}^{b+c}(L') \subset \Pi_{3N,\nu}^{a+b+c}(L)$ . □

Assume  $N \in \mathbb{N}$  is chosen so that  $Y_{A,B,C}^L \subset \Pi_{N,\lambda}^a(L) \times \Pi_{2N,\mu}^{a+b}(L) \times \Pi_{3N,\nu}^{a+b+c}(L)$ , where  $a = d_{nN,0}(A)$ ,  $b = d_{nN,0}(B)$  and  $c = d_{nN,0}(C)$ , as in Lemma 5.7.1.

**Lemma 5.7.2.**  *$Y_{A,B,C}^L$  is a locally closed subset of  $\Pi_{N,\lambda}^a(L) \times \Pi_{2N,\mu}^{a+b}(L) \times \Pi_{3N,\nu}^{a+b+c}(L)$ . In particular,  $Y_{A,B,C}^L$  is a quasiprojective variety.*

*Proof.* Write  $\Pi = \Pi_{N,\lambda}^a(L) \times \Pi_{2N,\mu}^{a+b}(L) \times \Pi_{3N,\nu}(L)$ . Then  $Y_{A,B,C}^L$  consists of those  $(L', L'', L''') \in \Pi$  satisfying the following conditions:

$$\dim \left( \frac{L_i}{L_i \cap L'_j} \right) = d_{i,j}(A), \quad (5.7.1)$$

$$\dim \left( \frac{L'_i}{L'_i \cap L''_j} \right) = d_{i,j}(B), \quad (5.7.2)$$

$$\dim \left( \frac{L''_i}{L''_i \cap L'''_j} \right) = d_{i,j}(C), \quad (5.7.3)$$

for  $(i, j) \in \{1, \dots, n\} \times \mathbb{Z}$  with  $i < j < (N+1)n$ , and

$$\dim \left( \frac{L'_j}{L_i \cap L'_j} \right) = \bar{d}_{i,j}(A), \quad (5.7.4)$$

$$\dim \left( \frac{L''_j}{L'_i \cap L''_j} \right) = \bar{d}_{i,j}(B), \quad (5.7.5)$$

$$\dim \left( \frac{L'''_j}{L''_i \cap L'''_j} \right) = \bar{d}_{i,j}(C), \quad (5.7.6)$$

for  $(i, j) \in \{1, \dots, n\} \times \mathbb{Z}$  with  $-Nn < j < i$ .

For  $i < j$ , the conditions

$$\begin{aligned} \dim(L_i/L_i \cap L'_j) &\leq d_{i,j}(A), \\ \dim(L'_i/L'_i \cap L''_j) &\leq d_{i,j}(B) \end{aligned}$$

and

$$\dim(L''_i/L''_i \cap L'''_j) \leq d_{i,j}(C)$$

define closed subsets of  $\Pi$ , by Lemma 5.3.7. For  $i > j$ , the conditions

$$\begin{aligned} \dim(L'_j/L_i \cap L'_j) &\leq \bar{d}_{i,j}(A), \\ \dim(L''_j/L'_i \cap L''_j) &\leq \bar{d}_{i,j}(B) \end{aligned}$$

and

$$\dim(L'''_j/L''_i \cap L'''_j) \leq \bar{d}_{i,j}(C)$$

also define closed subsets of  $\Pi$ .

On the other hand, the conditions  $\dim(L_i/L_i \cap L'_j) \geq d_{i,j}(A)$ ,  $\dim(L'_i/L'_i \cap L''_j) \geq d_{i,j}(B)$  and  $\dim(L''_i/L''_i \cap L'''_j) \geq d_{i,j}(C)$  for  $i < j$  define open subsets of  $\Pi$ . Similarly, the conditions  $\dim(L'_j/L_i \cap L'_j) \geq \bar{d}_{i,j}(A)$ ,  $\dim(L''_j/L'_i \cap L''_j) \geq \bar{d}_{i,j}(B)$  and  $\dim(L'''_j/L''_i \cap L'''_j) \geq \bar{d}_{i,j}(C)$  for  $i > j$  define open subsets of  $\Pi$ .

Therefore  $Y_{A,B,C}^L$  is the intersection of finitely many closed sets in  $\Pi$  with finitely many open subsets of  $\Pi$ , so  $Y_{A,B,C}^L$  is locally closed. In particular,  $Y_{A,B,C}^L$  is a quasiprojective variety.  $\square$

**Lemma 5.7.3.** For any  $(L', L'', L''') \in Y_{A,B,C}^L$ ,

$$Y_{A,B,C}^L = \{ \alpha \cdot (L', \beta L'', \beta \gamma L''') : \alpha \in G_L, \beta \in G_{L'}, \gamma \in G_{L''} \}.$$

In particular,

$$Y_{A,B,C}^L = G_L \cdot (\{L'\} \times Y_{B,C}^{L'})$$

for each  $L' \in X_A^L$ .

*Proof.* Let  $(L', L'', L''') \in Y_{A,B,C}^L$ . Given  $\alpha \in G_L$ ,  $\beta \in G_{L'}$  and  $\gamma \in G_{L''}$ ,  $(\alpha L', \alpha \beta L'', \alpha \beta \gamma L''')$  is in  $Y_{A,B,C}^L$  since

$$\begin{aligned} (L, \alpha L') &= \alpha(L, L') \in \mathcal{O}_A \\ (\alpha L', \alpha \beta L'') &= \alpha \beta(L', L'') \in \mathcal{O}_B \\ (\alpha \beta L'', \alpha \beta \gamma L''') &= \alpha \beta \gamma(L'', L''') \in \mathcal{O}_C \end{aligned}$$

For each  $(N', N'', N''') \in Y_{A,B,C}^L$  there exist  $\sigma_1, \sigma_2, \sigma_3 \in G$  with

$$\begin{aligned} (L, N') &= \sigma_1(L, L') \\ (N', N'') &= \sigma_2(L', L'') \\ (N'', N''') &= \sigma_3(L'', L'''). \end{aligned}$$

Let  $\alpha = \sigma_1$ ,  $\beta = \sigma_1^{-1} \sigma_2$  and  $\gamma = \sigma_2^{-1} \sigma_3$ , so  $\sigma_2 = \alpha \beta$  and  $\sigma_3 = \alpha \beta \gamma$ . It follows that

$$(N', N'', N''') = (\alpha L', \alpha \beta L'', \alpha \beta \gamma L'''),$$

which proves the first claim. The second claim follows from the first since  $(L'', L''') \in Y_{B,C}^{L'}$  and therefore

$$Y_{B,C}^{L'} = \{(\beta L'', \beta \gamma L''') : \beta \in G_{L'}, \gamma \in G_{L''}\},$$

as required.  $\square$

**Proposition 5.7.4.**  $Y_{A,B,C}^L$  is irreducible.

*Proof.* Write

$$\Pi = \Pi_{N,\lambda}^a(L) \times \Pi_{2N,\mu}^{a+b}(L) \times \Pi_{3N,\nu}^{a+b+c}(L).$$

Lemma 5.3.2 shows that  $\Pi$  is a projective algebraic variety and Lemma 5.3.6 shows that  $G_L/H_{3N,L}$  is a connected algebraic group acting algebraically on  $\Pi$  by the diagonal action.

Let  $L' \in X_A^L$ . As a result of Lemma 5.7.3

$$\begin{aligned} Y_{A,B,C}^L &= G_L \cdot (\{L'\} \times Y_{B,C}^{L'}) \\ &= G_L/H_{3N,L} \cdot (\{L'\} \times Y_{B,C}^{L'}). \end{aligned}$$

Proposition 5.5.4 shows that  $Y_{B,C}^{L'}$  is irreducible, so  $\{L'\} \times Y_{B,C}^{L'}$  is irreducible. The image of  $\{L'\} \times Y_{B,C}^{L'}$  under the action of  $G_L/H_{3N,L}$  is irreducible, since  $G_L/H_{3N,L}$  is connected and therefore irreducible. Therefore  $Y_{A,B,C}^L$  is irreducible.  $\square$

Let  $p_3$  be the projection of  $\Pi_{N,\lambda}^a(L) \times \Pi_{2N,\mu}^{a+b}(L) \times \Pi_{3N,\nu}^{a+b+c}(L)$  onto the third factor. By the completeness property of projective varieties,  $p_3$  is a closed morphism. The image of  $Y_{A,B,C}^L$  under  $p_3$  is  $X_{A,B,C}^L$ , so  $p_3(\overline{Y_{A,B,C}^L}) = \overline{X_{A,B,C}^L}$ .

**Lemma 5.7.5.**  $X_{A,B,C}^L$  is irreducible and constructible.

*Proof.* Lemma 5.7.2 and Proposition 5.7.4 show that  $Y_{A,B,C}^L$  is locally closed and irreducible. It follows  $X_{A,B}^L$  is irreducible and constructible, since  $X_{A,B,C}^L$  is the image of  $Y_{A,B,C}^L$  under the morphism  $p_3$ .  $\square$

**Lemma 5.7.6.** There is a unique open and dense  $G_L$ -orbit in  $X_{A,B,C}^L$ .

*Proof.* There are only finitely many  $G_L$ -orbits in  $X_{A,B,C}^L$ . In particular,

$$X_{A,B,C}^L = \bigcup_{D \in \Lambda_1^{A,B}} X_{D,C}^L = \bigcup_{D \in \Lambda_1^{A,B}} \bigcup_{D' \in \Lambda_1^{D,C}} X_{D'}^L$$

and

$$\overline{X_{A,B,C}^L} = \bigcup_{D \in \Lambda_1^{A,B}} \bigcup_{D' \in \Lambda_1^{D,C}} \overline{X_{D'}^L}.$$

There is  $D \in \Lambda_1$  such that  $\overline{X_D^L} = \overline{X_{A,B,C}^L}$ , since  $X_{A,B,C}^L$  is irreducible, by Lemma 5.7.5. By Lemma 5.4.2,  $X_D^L$  is open in  $\overline{X_D^L} = \overline{X_{A,B,C}^L}$ , so  $X_D^L$  is open in  $X_{A,B,C}^L$ .

If  $X_D^L$  and  $X_{D'}^L$  are open in  $X_{A,B,C}^L$ , then  $X_D^L$  and  $X_{D'}^L$  have nonempty intersection since  $X_{A,B,C}^L$  is irreducible, then  $X_D^L = X_{D'}^L$ .  $\square$

**Lemma 5.7.7.**  $p_3^{-1}(X_{A*B,C}^L)$  is open in  $\overline{Y_{A,B,C}^L}$ .

*Proof.* Projection onto the second component is a closed morphism of varieties  $p_2: \overline{Y_{A,B,C}^L} \rightarrow \overline{X_{A,B}^L}$  with  $p_2(Y_{A,B,C}^L) = X_{A,B}^L$ . It follows that  $p_3^{-1}(X_{A*B,C}^L)$  is open in  $\overline{Y_{A,B,C}^L}$  since  $p_3^{-1}(X_{A*B,C}^L) = p_2^{-1}(X_{A*B}^L)$  and  $X_{A*B}^L$  is open in  $\overline{X_{A,B}^L}$ .  $\square$

**Lemma 5.7.8.**  $p_3^{-1}(X_{A,B*C}^L)$  is open in  $\overline{Y_{A,B,C}^L}$ .

*Proof.*  $p_3^{-1}(X_{A,B*C}^L)$  consists of those  $(L', L'', L''') \in \overline{Y_{A,B,C}^L}$  such that  $\dim(L'_i/L'_i \cap L''_j) \geq d_{i,j}(B * C)$  for  $i < j$  and  $\dim(L'''_j/L'_i \cap L''_j) \geq \bar{d}_{i,j}(B * C)$  for  $i > j$ . Each of these conditions defines an open subset of  $\overline{Y_{A,B,C}^L}$  as a result of Lemma 5.3.7 and only finitely many conditions are required to determine  $p_3^{-1}(X_{A,B*C}^L)$ , as before. Therefore  $p_3^{-1}(X_{A,B*C}^L)$  is the intersection of finitely many open sets in  $\overline{Y_{A,B,C}^L}$ , so is open as claimed.  $\square$

**Proposition 5.7.9.**  $X_{A*(B*C)}^L = X_{(A*B)*C}^L$ .

*Proof.* The unique open  $G_L$ -orbit in  $X_{A*B,C}^L$  is  $X_{(A*B)*C}^L$ , so  $p_3^{-1}(X_{(A*B)*C}^L)$  is open in  $p_3^{-1}(X_{A*B,C}^L)$ . Lemma 5.7.7 shows that  $p_3^{-1}(X_{A*B,C}^L)$  is open in  $\overline{Y_{A,B,C}^L}$ , so  $p_3^{-1}(X_{(A*B)*C}^L)$  is open in  $\overline{Y_{A,B,C}^L}$ .

Similarly,  $X_{A*(B*C)}^L$  is open in  $X_{A,B*C}^L$ , so  $p_3^{-1}(X_{A*(B*C)}^L)$  is open in  $p_3^{-1}(X_{A,B*C}^L)$ . Lemma 5.7.8 shows that  $p_3^{-1}(X_{A,B*C}^L)$  is open in  $\overline{Y_{A,B,C}^L}$ , so it follows  $p_3^{-1}(X_{A*(B*C)}^L)$  is open in  $\overline{Y_{A,B,C}^L}$ .

Therefore  $f^{-1}(X_{A*(B*C)}^L)$  has nonempty intersection with  $f^{-1}(X_{(A*B)*C}^L)$ , since  $Y_{A,B,C}^L$  is irreducible by Proposition 5.7.4. It follows that the  $G_L$ -orbits  $X_{A*(B*C)}^L$  and  $X_{(A*B)*C}^L$  have nonempty intersection and therefore  $X_{A*(B*C)}^L$  equals  $X_{(A*B)*C}^L$ .  $\square$

## 5.8 The generic affine algebra

The generic affine algebra of rank  $r$  and period  $n$ , denoted by  $\hat{G}(n, r)$ , is a free  $\mathbb{Z}$ -module with basis  $\{e_A : A \in \Lambda_1\}$  and  $\mathbb{Z}$ -bilinear multiplication given by

$$e_A * e_B = e_{A*B}$$

for  $A, B \in \Lambda_1$  with  $\text{co}(A) = \text{ro}(B)$ , and

$$e_A * e_B = 0$$

for  $A, B \in \Lambda_1$  with  $\text{co}(A) \neq \text{ro}(B)$ .

**Proposition 5.8.1.** *The generic algebra  $\hat{G}(n, r)$  is an associative  $\mathbb{Z}$ -algebra with 1, with*

$$1 = \sum_{\lambda \in \Lambda_0} 1_\lambda$$

where

$$1_\lambda = e_{D_\lambda},$$

for each  $\lambda \in \Lambda_0$ .

*Proof.* Let  $A, B, C \in \Lambda_1$ . If  $\text{co}(A) \neq \text{ro}(B)$  or  $\text{co}(B) \neq \text{ro}(C)$ , then

$$(e_A * e_B) * e_C = 0 = e_A * (e_B * e_C),$$

so we may now suppose  $\text{co}(A) = \text{ro}(B)$  and  $\text{co}(B) = \text{ro}(C)$ .

As a result of Proposition 5.7.9,

$$\begin{aligned} (e_A * e_B) * e_C &= e_{(A*B)*C} \\ &= e_{A*(B*C)} \\ &= e_A * (e_B * e_C), \end{aligned}$$

so it follows  $\hat{G}(n, r)$  is an associative  $\mathbb{Z}$ -algebra.

The expression for the multiplicative identity follows from Lemma 3.2.16, since

$$e_A * \left( \sum_{\lambda \in \Lambda_0} 1_\lambda \right) = e_A * 1_{\text{co}(A)} = e_A$$

and

$$\left( \sum_{\lambda \in \Lambda_0} 1_\lambda \right) * e_A = 1_{\text{ro}(A)} * e_A = e_A,$$

for each  $A \in \Lambda_1$ . □

### 5.8.1 A categorical perspective

**Proposition 5.8.2.** *The following constitutes a small category: the set of objects is  $\Lambda_0$  and the set of morphisms is  $\Lambda_1$ . Given compositions  $\lambda, \mu \in \Lambda_0$ , the morphisms with source  $\mu$  and target  $\lambda$  are those matrices  $A \in \Lambda_1$  with  $\text{co}(A) = \mu$  and  $\text{ro}(A) = \lambda$ . Given  $\lambda, \mu, \nu \in \Lambda_0$  and  $A, B \in \Lambda_1$  with  $\text{co}(B) = \nu$ ,  $\text{ro}(B) = \mu = \text{co}(A)$  and  $\text{ro}(A) = \lambda$ , their composition is  $A * B$ , with source  $\text{co}(A * B) = \text{co}(B) = \nu$  and target  $\text{ro}(A * B) = \text{ro}(A) = \lambda$ .*

*Proof.* Proposition 5.7.9 shows that the generic product  $*$  is associative. For each object  $\lambda \in \Lambda_0$ , the identity morphism  $\lambda \rightarrow \lambda$  is the diagonal matrix  $D_\lambda$ .  $\square$

Then the generic affine algebra  $\hat{G}(n, r)$  may be realised as the  $\mathbb{Z}$ -algebra of this category. Observe that there are only finitely many objects in this category and distinct objects are non-isomorphic, so the isomorphism classes in this category are in one to one correspondence with  $\Lambda_0$ . The  $\mathbb{Z}$ -algebra of this category is the free  $\mathbb{Z}$ -module on  $\Lambda_1$  with  $\mathbb{Z}$ -bilinear multiplication given by the generic product  $*$ .

## Chapter 6

# A realisation of affine zero Schur algebras

The purpose of this chapter is to study the link between the generic affine algebra  $\hat{G}(n, r)$  and the affine 0-Schur algebra  $\hat{S}_0(n, r)$ .

The main result is the construction of an isomorphism of  $\mathbb{Z}$ -algebras from  $\hat{G}(n, r)$  to  $\hat{S}_0(n, r)$  such that  $E_i \mapsto E_i$ ,  $F_i \mapsto F_i$  and  $1_\lambda \mapsto 1_\lambda$ , in the case that  $n, r \geq 1$  with  $r < n$ .

### 6.1 Preliminary results on the generic affine algebra

Recall that the generic affine algebra  $\hat{G}(n, r)$  is an associative  $\mathbb{Z}$ -algebra with a multiplicative basis  $\{e_A : A \in \Lambda_1\}$  over  $\mathbb{Z}$ , where

$$e_A * e_B = e_{A*B}$$

for  $A, B \in \Lambda_1$  with  $\text{co}(A) = \text{ro}(B)$ , and

$$e_A * e_B = 0$$

for  $A, B \in \Lambda_1$  with  $\text{co}(A) \neq \text{ro}(B)$ .

#### 6.1.1 Elementary basis elements

For  $i \in \{1, \dots, n\}$  and  $\lambda \in \Lambda_0$  such that  $\lambda_{i+1} > 0$ , define

$$E_{i,\lambda} = e_{D_\lambda + \mathcal{E}_{i,i+1} - \mathcal{E}_{i+1,i+1}}$$

and let

$$E_i = \sum_{\lambda \in \Lambda_0 : \lambda_{i+1} > 0} E_{i,\lambda}$$

for each  $i \in \{1, \dots, n\}$

For  $i \in \{1, \dots, n\}$  and  $\lambda \in \Lambda_0$  such that  $\lambda_i > 0$ , define

$$F_{i,\lambda} = e_{D_\lambda + \mathcal{E}_{i+1,i} - \mathcal{E}_{i,i}}$$

and let

$$F_i = \sum_{\lambda \in \Lambda_0 : \lambda_i > 0} F_{i,\lambda}$$

for each  $i \in \{1, \dots, n\}$ .



**Lemma 6.1.1.** *Let  $i \in \{1, \dots, n\}$  and  $A \in \Lambda_1$  and write  $\mu = \text{ro}(A)$ .*

*If  $\mu_{i+1} = 0$  then  $E_i * e_A = 0$ . If  $\mu_{i+1} > 0$ , then*

$$E_i * e_A = e_{A+\mathcal{E}_{i,p}-\mathcal{E}_{i+1,p}},$$

where

$$p = \max\{j \in \mathbb{Z} : a_{i+1,j} > 0\}.$$

*If  $\mu_i = 0$  then  $F_i * e_A = 0$ . If  $\mu_i > 0$  then*

$$F_i * e_A = e_{A+\mathcal{E}_{i+1,q}-\mathcal{E}_{i,q}},$$

where

$$q = \min\{j \in \mathbb{Z} : a_{i,j} > 0\}.$$

*Proof.* Suppose  $\mu_{i+1} > 0$ . Recall that the corresponding product in the affine  $q$ -Schur algebra  $\hat{S}_q(n, r)$  is

$$E_i \cdot e_A = \sum_{j \in \mathbb{Z} : a_{i+1,j} > 0} q^{\sum_{t > j} a_{i,t}} [[a_{i,j} + 1]] e_{A+\mathcal{E}_{i,j}-\mathcal{E}_{i+1,j}},$$

by Lemma 4.1.2.

Suppose  $B \in \Lambda_1$  with  $B = A + \mathcal{E}_{i,j} - \mathcal{E}_{i+1,j}$  for some  $j \in \mathbb{Z}$ . For  $s \in \{1, \dots, n\}$  and  $t \in \mathbb{Z}$ ,

$$d_{s,t}(B) = \begin{cases} d_{s,t}(A) + 1 & : s = i \text{ and } t < j, \\ d_{s,t}(A) & : \text{otherwise,} \end{cases}$$

and

$$\bar{d}_{s,t}(B) = \begin{cases} \bar{d}_{s,t}(A) - 1 & : s = i \text{ and } t \geq j, \\ \bar{d}_{s,t}(A) & : \text{otherwise.} \end{cases}$$

It follows that if  $j' < j$ , then

$$A + \mathcal{E}_{i,j'} - \mathcal{E}_{i+1,j'} < A + \mathcal{E}_{i,j} - \mathcal{E}_{i+1,j}.$$

Therefore, the product in  $\hat{G}(n, r)$  is given by

$$E_i * e_A = e_{A+\mathcal{E}_{i,p}-\mathcal{E}_{i+1,p}},$$

where

$$p = \max\{j \in \mathbb{Z} : a_{i+1,j} > 0\}.$$

The argument for the action of  $F_i$  is similar, but there is a pleasing symmetry in the two proofs.

Now suppose  $\mu_i > 0$ . Using Lemma 4.1.2,

$$F_i \cdot e_A = \sum_{j \in \mathbb{Z} : a_{i,j} > 0} q^{\sum_{t < j} a_{i+1,t}} [[a_{i+1,j} + 1]] e_{A+\mathcal{E}_{i+1,j}-\mathcal{E}_{i,j}},$$

in  $\hat{S}_q(n, r)$ .

Suppose  $B \in \Lambda_1$  with  $B = A + \mathcal{E}_{i+1,j} - \mathcal{E}_{i,j}$ , for some  $j \in \mathbb{Z}$ . Then for  $i \in \{1, \dots, n\}$  and  $j \in \mathbb{Z}$ ,

$$d_{s,t}(B) = \begin{cases} d_{s,t}(A) - 1 & : s = i \text{ and } t < j, \\ d_{s,t}(A) & : \text{otherwise,} \end{cases}$$

and

$$\bar{d}_{s,t}(B) = \begin{cases} \bar{d}_{s,t}(A) + 1 & : s = i \text{ and } t \geq j, \\ \bar{d}_{s,t}(A) & : \text{otherwise.} \end{cases}$$

Then if  $j' < j$  it follows

$$A + \mathcal{E}_{i+1,j'} - \mathcal{E}_{i,j'} > A + \mathcal{E}_{i+1,j} - \mathcal{E}_{i,j},$$

so the terms with nonzero coefficients in the product  $F_i \cdot e_A$  are totally ordered and the maximum is

$$F_i * e_A = e_{A + \mathcal{E}_{i+1,q} - \mathcal{E}_{i,q}},$$

where  $q = \min\{j \in \mathbb{Z} : a_{i,j} > 0\}$ . □

### 6.1.2 Transpose involution

Let  $S$  be the  $\mathbb{Z}$ -module automorphism of  $\hat{G}(n, r)$  given by

$$S(e_A) = e_{A^\top}$$

for each  $A \in \Lambda_1$ .

**Lemma 6.1.2.** *The map  $S$  is a  $\mathbb{Z}$ -algebra antihomomorphism. In particular,*

$$e_{A^\top} * e_{B^\top} = e_B * e_A,$$

for each  $A, B \in \Lambda_1$ .

*Proof.* Lemma 4.1.8 show that the transpose preserves the partial order on  $\Lambda_1$  and so

$$(B * A)^\top = A^\top * B^\top,$$

using Lemma 4.1.1. □

For any  $A \in \Lambda_1$ ,

$$S(S(e_A)) = e_{(A^\top)^\top} = e_A,$$

so  $S \circ S$  is the identity map on  $\hat{S}_q(n, r)$ .

For each  $i \in \{1, \dots, n\}$  and  $\lambda \in \Lambda_0$  with  $\lambda_{i+1} > 0$ ,

$$S(E_{i,\lambda}) = F_{i,\lambda + \alpha_i},$$

for each  $i \in \{1, \dots, n\}$  and  $\lambda \in \Lambda_0$  with  $\lambda_i > 0$ ,

$$S(F_{i,\lambda}) = E_{i,\lambda - \alpha_i}, \text{ and}$$

and

$$S(1_\lambda) = 1_\lambda,$$

for each  $\lambda \in \Lambda_0$ .

**Lemma 6.1.3.** *Let  $i \in \{1, \dots, n\}$  and  $A \in \Lambda_1$  and write  $\lambda = \text{co}(A)$ .*

*If  $\lambda_j = 0$  then  $e_A * E_j = 0$ . If  $\lambda_j > 0$  then*

$$e_A * E_j = e_{A+\varepsilon_{p,j+1}-\varepsilon_{p,j}},$$

where

$$p = \min\{i \in \mathbb{Z} : a_{i,j} > 0\}.$$

*If  $\lambda_{j+1} = 0$  then  $e_A * F_j = 0$ . If  $\lambda_{j+1} > 0$  then*

$$e_A * F_j = e_{A+\varepsilon_{q,j}-\varepsilon_{q,j+1}},$$

where

$$q = \max\{i \in \mathbb{Z} : a_{i,j+1} > 0\}.$$

*Proof.* This follows immediately on applying the transpose involution to the formulas for the action of  $E_i$  and  $F_i$  on the left given in Lemma 6.1.1.

Equally, this result can be proven directly using the formulas for the action of  $E_i$  and  $F_i$  on the right in Lemma 4.1.3, as in the proof of Lemma 6.1.1.  $\square$

### 6.1.3 Shifting and periodicity

For each  $\lambda \in \Lambda_0$ , define

$$R_\lambda = e_{[1]D_\lambda} = e_{\lambda_1\varepsilon_{0,1}+\dots+\lambda_n\varepsilon_{n-1,n}}$$

and set

$$R = \sum_{\lambda \in \Lambda_0} R_\lambda.$$

**Lemma 6.1.4.** *For each  $A \in \Lambda_1$ ,*

$$R * e_A = e_{[1]A}$$

and

$$e_A * R = e_{A[-1]}.$$

*Proof.* Lemma 4.1.12 shows that the same formulas hold in  $\hat{S}_q(n, r)$ , then the result follows for the generic multiplication  $*$ , since each product  $R * e_A$  and  $e_A * R$  is supported on one orbit, so the generic multiplication and the product on  $\hat{S}_q(n, r)$  are the same in this instance.  $\square$

Observe that

$$\begin{aligned} S(R_\lambda) &= e_{\lambda_1\varepsilon_{1,0}+\dots+\lambda_n\varepsilon_{n,n-1}} \\ &= e_{[-1]D_{[1]\lambda}} \end{aligned}$$

so

$$S(R) = \sum_{\lambda \in \Lambda_0} e_{[-1]D_\lambda}.$$

**Lemma 6.1.5.** *The element  $R$  of  $\hat{G}(n, r)$  is invertible, with*

$$R * S(R) = 1 = S(R) * R.$$

*Proof.* Lemma 6.1.4 shows that

$$\begin{aligned} R * S(R)1_\lambda &= Re_{[-1]D_{[1]}\lambda} \\ &= e_{D_{[1]}\lambda} \\ &= 1_{[1]\lambda} \end{aligned}$$

for each  $\lambda \in \Lambda_0$ , so

$$R * S(R) = 1.$$

Similarly,

$$\begin{aligned} S(R) * R &= \sum_{\lambda \in \Lambda_0} e_{D_\lambda[1]} * R \\ &= \sum_{\lambda \in \Lambda_0} e_{D_\lambda} \\ &= 1. \end{aligned}$$

□

Let  $\tau$  be the  $\mathbb{Z}$ -algebra automorphism of  $\hat{G}(n, r)$  given by conjugation by  $R$ , so

$$\begin{aligned} \tau(e_A) &= R * e_A * S(R) \\ &= R * e_A * R^{-1}, \end{aligned}$$

for each  $A \in \Lambda_1$ .

Observe that  $\tau$  has order  $n$ , by the  $(n, n)$ -periodicity condition on  $\Lambda_1$ .

As in Lemma 4.1.14, it follows from Lemma 6.1.4 that

$$\tau(E_{i,\lambda}) = E_{i-1,[1]\lambda}$$

for  $i \in \{1, \dots, r\}$  and  $\lambda \in \Lambda_0$  with  $\lambda_{i+1} > 0$ ,

$$\tau(F_{i,\lambda}) = F_{i-1,[1]\lambda}$$

for  $i \in \{1, \dots, n\}$  and  $\lambda \in \Lambda_0$  with  $\lambda_i > 0$ , and

$$\tau(1_\lambda) = 1_{[1]\lambda}$$

for  $\lambda \in \Lambda_0$ .

In particular,

$$\begin{aligned} \tau(E_i) &= E_{i-1} \\ \tau(F_i) &= F_{i-1} \end{aligned}$$

for  $i \in \{1, \dots, r\}$ .

As earlier, I can not be sure but I think this map  $\tau$  is related to the Auslander-Reiten translation on the isomorphism classes of nilpotent representations of the cyclic quiver on  $n$  vertices. The result that  $\tau(E_i) = E_{i-1}$  is consistent with the fact the A.R translation sends the simple representation at vertex  $i$  to the simple representation at vertex  $i - 1$ .

## 6.2 Multiplicative bases in affine zero Schur algebras: motivating example

Recall that the affine 0-Schur algebra  $\hat{S}_0(n, r)$  is defined to be the associative  $\mathbb{Z}$ -algebra

$$\hat{S}_0(n, r) = \mathbb{Z}[q]/(q) \otimes_{\mathbb{Z}[q]} \hat{S}_q(n, r).$$

In particular,  $\hat{S}_0(n, r)$  has a  $\mathbb{Z}$ -basis

$$\{e_A : A \in \Lambda_1\}$$

with  $\mathbb{Z}$ -bilinear product given by

$$e_A e_B = \sum_{C \in \Lambda_1} \gamma_{A,B,C}(0) e_C$$

for  $A, B, C \in \Lambda_1$ ; where  $\gamma_{A,B,C} \in \mathbb{Z}[q]$  are the structure polynomials of the affine  $q$ -Schur algebra  $\hat{S}_q(n, r)$  with respect to this distinguished basis.

The multiplicative identity in  $\hat{S}_0(n, r)$  is

$$\sum_{\lambda \in \Lambda_0} 1_\lambda.$$

The result of the shifting lemma, Lemma 4.1.12, also holds in  $\hat{S}_0(n, r)$ . In particular,

$$Re_A = e_{[1]A}$$

and

$$e_A R = e_{A[-1]},$$

for each  $A \in \Lambda_1$ .

Now assume  $r = 1$ , so

$$\Lambda_1(n, 1) = \{\mathcal{E}_{i,j} : (i, j) \in \mathbb{Z} \times \{1, \dots, n\}\}$$

and

$$\Lambda_0(n, 1) = \{\varepsilon_n, \dots, \varepsilon_1\}.$$

**Lemma 6.2.1.** *The distinguished basis  $\{e_A : A \in \Lambda_1(n, 1)\}$  is a multiplicative basis of  $\hat{S}_0(n, 1)$ . More precisely,*

$$e_{\mathcal{E}_{i,j}} e_{\mathcal{E}_{j,k}} = e_{\mathcal{E}_{i,k}}$$

for  $i, j, k \in \mathbb{Z}$ , and

$$e_{\mathcal{E}_{i,j}} e_{\mathcal{E}_{k,l}} = 0$$

for  $i, j, k, l \in \mathbb{Z}$  with  $j \neq k$  modulo  $n$ .

*Proof.* Let  $i, j \in \mathbb{Z}$ . Lemma 4.1.12 shows that

$$e_{\mathcal{E}_{i,j}} = R^{j-i} 1_{\varepsilon_j},$$

where the subscript of  $\varepsilon_j$  is taken modulo  $n$ .

If  $i, j, k, l \in \mathbb{Z}$  with  $j \neq k$  modulo  $n$ , then

$$\text{co}(\mathcal{E}_{i,j}) = \varepsilon_j \neq \varepsilon_k = \text{ro}(\mathcal{E}_{k,l}),$$

so

$$e_{\mathcal{E}_{i,j}} e_{\mathcal{E}_{k,l}} = 0.$$

Finally, let  $i, j, k \in \mathbb{Z}$ . Then

$$\begin{aligned} e_{\mathcal{E}_{i,j}} e_{\mathcal{E}_{j,k}} &= R^{j-i} 1_{\varepsilon_j} R^{k-j} 1_{\varepsilon_k} \\ &= R^{j-i} R^{k-j} 1_{\varepsilon_k} \\ &= R^{k-i} 1_{\varepsilon_k} \\ &= e_{\mathcal{E}_{i,k}}. \end{aligned}$$

This proves that the basis  $\{e_A : A \in \Lambda_1(n, 1)\}$  of  $\hat{S}_0(n, 1)$  is a multiplicative basis.  $\square$

This result also shows that the product in  $\hat{S}_0(n, 1)$  is the same as the generic product, since

$$e_A e_B = e_{A*B}$$

if  $\text{co}(A) = \text{ro}(B)$ , and

$$e_A e_B = 0$$

if  $\text{co}(A) \neq \text{ro}(B)$ , for  $A, B \in \Lambda_1(n, 1)$ .

**Corollary 6.2.2.** *For each integer  $n \geq 1$ ,*

$$\hat{S}_0(n, 1) = \hat{G}(n, 1).$$

*Proof.* This is a consequence of Lemma 6.2.1 and the comment which follows the proof.  $\square$

### 6.3 Aperiodicity in the generic affine algebra

**Definition 6.3.1.** An element  $A \in \Lambda_1$  is aperiodic if for each  $l \in \mathbb{Z} \setminus \{0\}$  there exists  $i \in \mathbb{Z}$  such that  $a_{i,i+l} = 0$ .

An element of  $\hat{G}(n, r)$  is said to be aperiodic if it is a  $\mathbb{Z}$ -linear combination of basis elements  $e_A$  corresponding to the aperiodic elements in  $\Lambda_1$ .

For example, the diagonal matrix  $D_\lambda$  is aperiodic so  $1_\lambda$  is aperiodic, for any  $\lambda \in \Lambda_0$ . The elementary basis elements  $E_{i,\lambda}$  and  $F_{i,\lambda}$  introduced earlier are also aperiodic.

When  $r < n$ , any element  $A \in \Lambda_1$  is aperiodic since  $\text{co}(A)$  is insincere and therefore  $A$  has a zero column.

**Lemma 6.3.2.** *Suppose  $A \in \Lambda_1$  is aperiodic and write  $\mu = \text{ro}(A)$ . If  $\mu_{i+1} > 0$ , then  $E_i * e_A$  is aperiodic. If  $\mu_i > 0$ , then  $F_i * e_A$  is aperiodic.*

*Proof.* Let  $A \in \Lambda_1$  be aperiodic and let  $\mu = \text{ro}(A)$ .

Suppose  $\mu_{i+1} > 0$ . There is  $p \in \mathbb{Z}$  such that  $a_{i+1,p} > 0$  and  $a_{i+1,p'} = 0$  whenever  $p' > p$ . Lemma 4.1.2 shows that  $E_i * e_A = e_B$ , where  $B = A + \mathcal{E}_{i,p} - \mathcal{E}_{i+1,p}$ . Let  $l \in \mathbb{Z} \setminus \{0\}$ . If  $l \notin \{p-i, p-i-1\}$ , then  $b_{s,s+l} = a_{s,s+l}$  for each  $s \in \mathbb{Z}$ , so there is  $s \in \mathbb{Z}$  such that  $b_{s,s+l} = a_{s,s+l} = 0$ , since  $A$  is aperiodic. If  $l = p-i$ , then  $b_{i+1,i+1+l} = b_{i+1,p+1} = a_{i+1,p+1} = 0$ , by maximality of  $p$ . If

$l = p - i - 1$ , there is  $s \neq i + 1$  such that  $a_{s,s+l} = 0$ , since  $A$  is aperiodic and  $a_{i+1,i+1+l} = a_{i+1,p} > 0$ , so  $b_{s,s+l} = a_{s,s+l} = 0$ . Therefore,  $B = A + \mathcal{E}_{i,p} - \mathcal{E}_{i+1,p}$  is aperiodic.

Suppose  $\mu_i > 0$ . Lemma 4.1.2 shows that  $F_i * e_A = e_C$  where  $C = A + \mathcal{E}_{i+1,p} - \mathcal{E}_{i,p}$  and  $p = \min\{p' \in \mathbb{Z} : a_{i,p'} > 0\}$ . Let  $l \in \mathbb{Z} \setminus \{0\}$ . If  $l \notin \{p - i, p - i - 1\}$  then  $c_{s,s+l} = a_{s,s+l}$  for each  $s \in \mathbb{Z}$ , so there is  $s \in \mathbb{Z}$  such that  $c_{s,s+p} = a_{s,s+p} = 0$ , by aperiodicity of  $A$ . If  $l = p - i$ , then  $a_{i,i+l} = a_{i,p} > 0$ , so there is  $s \neq i$  such that  $a_{s,s+l} = 0$ . Then  $c_{s,s+l} = a_{s,s+l} = 0$ . Finally, if  $l = p - i - 1$ , then  $c_{i,i+l} = a_{i,p-1} = 0$  by minimality of  $p$ . Thus  $C$  is aperiodic as required.  $\square$

Suppose  $\lambda \in \Lambda_0$  and

$$\omega = \omega_1 \cdots \omega_m,$$

where

$$\omega_1, \dots, \omega_m \in \{E_1, \dots, E_n\} \cup \{F_1, \dots, F_n\}.$$

Either  $\omega * 1_\lambda = 0$  or  $\omega * 1_\lambda = e_A$  for some  $A \in \Lambda_1$ , where  $A$  is aperiodic, as a result of Lemma 6.3.2.

The next step is to prove a converse of this result. It will be shown that each of the aperiodic basis elements  $e_A$  in  $\hat{G}(n, r)$  can be expressed in the form  $\omega 1_\lambda$ , where  $\omega$  is a word in  $E_1, \dots, E_n$  and  $F_1, \dots, F_n$  and  $\lambda = \text{co}(A)$ . This will be proven by induction on the ‘weight’ of a matrix by showing how any aperiodic basis element can be written as the product of some  $E_i$  or  $F_i$  with an aperiodic basis element of strictly smaller weight.

**Definition 6.3.3.** For each  $A \in \Lambda_1$ , define the weight of  $A$  to be the non negative integer  $\text{wt}(A)$  given by

$$\text{wt}(A) = \sum_{i \in \{1, \dots, n\}, j \in \mathbb{Z}} |j - i| a_{i,j}.$$

Observe that

$$\text{wt}(A) = \sum_{[i,j]: i < j} (j - i) a_{i,j} + \sum_{[i,j]: i > j} (i - j) a_{i,j}.$$

Also write  $\text{wt}(e_A) = \text{wt}(A)$ . Then  $1_\lambda$  has weight 0, and  $E_{i,\lambda}$  and  $F_{i,\lambda}$  have weight 1. In fact, the converses also hold in that  $\text{wt}(e_A) = 0$  implies  $e_A = 1_\lambda$  for some  $\lambda$ , and  $\text{wt}(e_A) = 1$  implies  $e_A$  is  $E_{i,\lambda}$  or  $F_{i,\lambda}$  for some  $i$  and  $\lambda$ .

**Lemma 6.3.4.** Let  $A \in \Lambda_1$  and write  $\mu = \text{ro}(A)$ . Suppose  $\mu_{i+1} > 0$  and set

$$p = \max\{p' \in \mathbb{Z} : a_{i+1,p'} > 0\}.$$

If  $p > i$  then

$$\text{wt}(E_i * e_A) = 1 + \text{wt}(e_A)$$

and if  $p \leq i$  then

$$\text{wt}(E_i * e_A) = -1 + \text{wt}(e_A).$$

*Proof.* Lemma 6.1.1 shows that

$$E_i * e_A = e_{A + \mathcal{E}_{i,p} - \mathcal{E}_{i+1,p}}$$

so

$$\text{wt}(E_i * e_A) - \text{wt}(e_A) = |p - i| - |p - i - 1|,$$

which equals 1 if  $p > i$  and equals  $-1$  if  $p \leq i$ .  $\square$

**Lemma 6.3.5.** Let  $A \in \Lambda_1$  and  $\mu = \text{ro}(A)$ . Suppose  $i \in \{1, \dots, n\}$  is such that  $\mu_i > 0$  and let

$$q = \min\{q' \in \mathbb{Z} : a_{i,q'} > 0\}.$$

If  $q \leq i$  then

$$\text{wt}(F_i * e_A) = \text{wt}(e_A) + 1$$

and if  $q > i$  then

$$\text{wt}(F_i * e_A) = \text{wt}(e_A) - 1.$$

*Proof.* Again using Lemma 6.1.1,

$$F_i * e_A = e_{A + \mathcal{E}_{i+1,q} - \mathcal{E}_{i,q}},$$

so

$$\text{wt}(F_i * e_A) - \text{wt}(e_A) = |q - i - 1| - |q - i|,$$

which equals  $-1$  if  $q > i$  and equals  $1$  if  $q \leq i$ . □

**Lemma 6.3.6.** If  $A \in \Lambda_1$  is aperiodic, then

$$e_A = \omega_1 \cdots \omega_m 1_\lambda$$

for some

$$\omega_1, \dots, \omega_m \in \{E_1, \dots, E_n\} \cup \{F_1, \dots, F_n\},$$

where  $\lambda = \text{co}(A)$ .

*Proof.* The proof uses induction on the weight of  $A$ .

If  $\text{wt}(A) = 0$  then  $A = D_\lambda$ , where  $\lambda = \text{co}(A)$ , so

$$e_A = 1_\lambda.$$

Assume  $\text{wt}(A) > 0$ . Then  $A$  has at least one nonzero entry which is not on the diagonal.

Suppose the upper part of  $A$  is nonzero and set

$$h^+ = \max\{j - i : a_{i,j} \neq 0\}.$$

There is  $i \in \{1, \dots, n\}$  such that  $a_{i,i+h^+} > 0$  and  $a_{i+1,i+1+h^+} = 0$ , using the aperiodicity property of  $A$ . Let  $p$  be the smallest integer such that  $p > i$ ,  $a_{i,p} > 0$  and  $a_{i+1,j} = 0$  for  $j > p$ .

Then

$$e_A = E_i * e_B$$

where  $B = A + \mathcal{E}_{i+1,p} - \mathcal{E}_{i,p}$ . Moreover,  $B$  is aperiodic and

$$\text{wt}(B) = \text{wt}(A) - 1,$$

using Lemma 6.3.4.

Next suppose the lower part of  $A$  is nonzero and set

$$h^- = \max\{i - j : a_{i,j} > 0\}.$$

There is  $i \in \{1, \dots, n\}$  such that  $a_{i,i-h^-} = 0$  and  $a_{i+1,i+1-h^-} > 0$ , by the aperiodicity property of  $A$ . Let  $q$  be the largest integer such that  $q < i + 1$ ,  $a_{i+1,q} > 0$  and  $a_{i,j} = 0$  for  $j < q$ . Then  $q \geq i - h^-$  and

$$e_A = F_i e_B,$$



where

$$B = A + \mathcal{E}_{i,q} - \mathcal{E}_{i+1,q}.$$

Observe  $B$  is aperiodic and

$$\text{wt}(B) = \text{wt}(A) - 1,$$

by Lemma 6.3.5.

Therefore, if  $\text{wt}(A) > 0$  there exists an aperiodic element  $B \in \Lambda_1$  with

$$\text{wt}(B) = \text{wt}(A) - 1$$

and such that

$$e_A = \omega e_B$$

for some  $\omega \in \{E_1, \dots, E_n\} \cup \{F_1, \dots, F_n\}$ .

It follows that any aperiodic basis element  $e_A$  is the product of a word of length  $\text{wt}(A)$  in  $E_1, \dots, E_n$  and  $F_1, \dots, F_n$  with the idempotent  $1_\lambda$ , where  $\lambda = \text{co}(A)$ .  $\square$

**Proposition 6.3.7.** *The subalgebra of  $\hat{G}(n, r)$  generated by  $E_i$  and  $F_i$  for  $i \in \{1, \dots, n\}$  and  $1_\lambda$  for  $\lambda \in \Lambda_0$  has  $\mathbb{Z}$ -basis*

$$\{e_A : A \in \Lambda_1 \text{ is aperiodic}\}.$$

*Proof.* By definition, this subalgebra is spanned by the nonzero products in  $E_i$  and  $F_i$  for  $i \in \{1, \dots, n\}$  and  $1_\lambda$  for  $\lambda \in \Lambda_0$ , which are exactly the aperiodic basis elements, by Lemma 6.3.2 and Lemma 6.3.6.  $\square$

**Lemma 6.3.8.** *In the case  $r < n$ ,  $\hat{G}(n, r)$  is generated by  $E_i$  and  $F_i$  for  $i \in \{1, \dots, n\}$  and  $1_\lambda$  for  $\lambda \in \Lambda_0$ .*

*Proof.* When  $r < n$ , any  $A \in \Lambda_1$  is aperiodic since  $\text{co}(A)$  has a zero entry, so  $A$  has a column of zero entries. Therefore each of the basis elements  $e_A$  in  $\hat{G}(n, r)$  may be written as a product of the  $E_i$ ,  $F_i$  and  $1_\lambda$ , using Proposition 6.3.7.  $\square$

## 6.4 Quiver presentation of the generic affine algebra.

Let  $n$  and  $r$  be integers with  $n \geq 3$  and  $r \geq 1$ . Let  $\Gamma = \Gamma(n, r)$  be the quiver associated to the affine  $q$ -Schur algebra  $\hat{S}_q(n, r)$ , as defined in Section 4.2.2.

Recall that  $\Gamma$  is the quiver with set of vertices  $\Gamma_0 = \Lambda_0$  and set of arrows  $\Gamma_1 = \Gamma_1^+ \cup \Gamma_1^-$ , where  $\Gamma_1^+$  consists of the arrows

$$e_{i,\lambda}: \lambda \rightarrow \lambda + \alpha_i \text{ for } (i, \lambda) \in \{1, \dots, n\} \times \Lambda_0 \text{ with } \lambda_{i+1} > 0,$$

and  $\Gamma_1^-$  consists of the arrows

$$f_{i,\lambda}: \lambda \rightarrow \lambda - \alpha_i \text{ for } (i, \lambda) \in \{1, \dots, n\} \times \Lambda_0 \text{ with } \lambda_i > 0.$$

Recall that the path  $\mathbb{Z}$ -algebra of  $\Gamma$  is an associative  $\mathbb{Z}$ -algebra with a  $\mathbb{Z}$ -basis consisting of the paths in  $\Gamma$  and with multiplication defined by concatenation of paths. If  $p$  and  $q$  are paths in  $\Gamma$  then the product  $pq$  is the path  $q$  followed by  $p$  if the target of  $q$  equals the source of  $p$ , otherwise  $pq$  equals zero.

For each  $i \in \{1, \dots, n\}$ , define

$$e_i = \sum_{\lambda \in \Lambda_0: \lambda_{i+1} > 0} e_{i,\lambda}$$

and

$$f_i = \sum_{\lambda \in \Lambda_0: \lambda_i > 0} f_{i,\lambda}.$$

Let  $\mathcal{J}$  be the ideal in  $\mathbb{Z}\Gamma$  generated by the following expressions, which are obtained from the relations in the  $q$ -Schur algebra by setting  $q$  equal to 0:

$$\begin{aligned} e_i e_j - e_j e_i, \\ f_i f_j - f_j f_i \end{aligned}$$

for  $i, j \in \{1, \dots, n\}$  with  $j > i + 1$ ;

$$\begin{aligned} e_i e_{i+1}^2 - e_{i+1} e_i e_{i+1}, \\ e_i^2 e_{i+1} - e_i e_{i+1} e_i, \\ f_{i+1}^2 f_i - f_{i+1} f_i f_{i+1}, \\ f_{i+1} f_i^2 - f_i f_{i+1} f_i \end{aligned}$$

for  $i \in \{1, \dots, n\}$ ;

$$e_i f_j - f_j e_i$$

for  $i, j \in \{1, \dots, n\}$  with  $i < j$ ;

$$e_i f_i - f_i e_i - \sum_{\lambda \in \Lambda_0} c_{i,\lambda} k_\lambda$$

for  $i \in \{1, \dots, n\}$ , where

$$c_{i,\lambda} = \begin{cases} 1 & : \text{if } \lambda_{i+1} = 0, \lambda_i > 0 \\ 0 & : \text{if } \lambda_i > 0, \lambda_{i+1} > 0 \\ -1 & : \text{if } \lambda_i = 0, \lambda_{i+1} > 0. \end{cases}$$

Multiplying each expression above with the idempotents  $k_\lambda$  for  $\lambda \in \Lambda_0$  gives a relation involving paths with common source and target vertices, thus  $\mathcal{J}$  is an ideal of  $\mathbb{Z}$ -linear relations in  $\Gamma$ .

The ideal  $\mathcal{J}$  in  $\mathbb{Z}\Gamma$  is generated by the following set of relations:

$$\begin{aligned} e_{i,\lambda+\alpha_j} e_{j,\lambda} - e_{j,\lambda+\alpha_i} e_{i,\lambda}, \\ f_{i,\lambda-\alpha_j} f_{j,\lambda} - f_{j,\lambda-\alpha_i} f_{i,\lambda}, \end{aligned}$$

for  $i, j \in \{1, \dots, n\}$  with  $j > i + 1$ ;

$$\begin{aligned} e_{i,\lambda+2\alpha_{i+1}} e_{i+1,\lambda+\alpha_{i+1}} e_{i+1,\lambda} - e_{i+1,\lambda+\alpha_i+\alpha_{i+1}} e_{i,\lambda+\alpha_{i+1}} e_{i+1,\lambda}, \\ e_{i,\lambda+\alpha_i+\alpha_{i+1}} e_{i,\lambda+\alpha_{i+1}} e_{i+1,\lambda} - e_{i,\lambda+\alpha_i+\alpha_{i+1}} e_{i+1,\lambda+\alpha_i} e_{i,\lambda}, \\ f_{i+1,\lambda-\alpha_i-\alpha_{i+1}} f_{i+1,\lambda-\alpha_i} f_{i,\lambda} - f_{i+1,\lambda-\alpha_i-\alpha_{i+1}} f_{i,\lambda-\alpha_{i+1}} f_{i+1,\lambda}, \\ f_{i+1,\lambda-2\alpha_i} f_{i,\lambda-\alpha_i} f_{i,\lambda} - f_{i,\lambda-\alpha_i-\alpha_{i+1}} f_{i+1,\lambda-\alpha_i} f_{i,\lambda}, \end{aligned}$$

for  $i \in \{1, \dots, n\}$ ;

$$e_{i,\lambda-\alpha_j} f_{j,\lambda} - f_{j,\lambda+\alpha_i} e_{i,\lambda}$$

for  $i, j \in \{1, \dots, n\}$  with  $i \neq j$ ;

$$e_{i,\lambda-\alpha_i} f_{i,\lambda} - f_{i,\lambda+\alpha_i} e_{i,\lambda}$$

for  $i \in \{1, \dots, n\}$  and  $\lambda \in \Lambda_0$  with  $\lambda_i > 0$  and  $\lambda_{i+1} > 0$ ;

$$e_{i,\lambda-\alpha_i} f_{i,\lambda} - k_\lambda$$

for  $i \in \{1, \dots, n\}$  and  $\lambda \in \Lambda_0$  such that  $\lambda_i > 0$  and  $\lambda_{i+1} = 0$ ;

$$f_{i, \lambda + \alpha_i} e_{i, \lambda} - k_\lambda$$

for  $i \in \{1, \dots, n\}$  and  $\lambda \in \Lambda_0$  with  $\lambda_i = 0$  and  $\lambda_{i+1} > 0$ .

**Lemma 6.4.1.** *The following equations hold in the generic affine algebra  $\hat{G}(n, r)$ :*

$$E_i E_j = E_j E_i$$

$$F_i F_j = F_j F_i$$

for  $i, j \in \{1, \dots, n\}$  with  $|j - i| \neq 1$ ;

$$E_i E_{i+1}^2 = E_{i+1} E_i E_{i+1}$$

$$E_i^2 E_{i+1} = E_i E_{i+1} E_i$$

$$F_{i+1}^2 F_i = F_{i+1} F_i F_{i+1}$$

$$F_{i+1} F_i^2 = F_i F_{i+1} F_i$$

for  $i \in \{1, \dots, n\}$ ;

$$E_i F_j = F_j E_i$$

for  $i, j \in \{1, \dots, n\}$  with  $i \neq j$ ;

$$E_i F_i - F_i E_i = \sum_{\lambda \in \Lambda_0} c_{i, \lambda} 1_\lambda$$

for  $i \in \{1, \dots, n\}$ .

*Proof.* Suppose  $i, j \in \{1, \dots, n\}$  with  $j > i + 1$ , so  $\{i, i + 1\}$  and  $\{j, j + 1\}$  are disjoint, then

$$\begin{aligned} E_i E_j &= \sum_{\lambda \in \Lambda_0} E_i [D_\lambda + \mathcal{E}_{j, j+1} - \mathcal{E}_{j+1, j+1}] \\ &= \sum_{\lambda \in \Lambda_0} [D_\lambda + \mathcal{E}_{i, i+1} - \mathcal{E}_{i+1, i+1} + \mathcal{E}_{j, j+1} - \mathcal{E}_{j+1, j+1}] \\ &= E_j E_i \end{aligned}$$

Then applying the transpose involution yields the second equation:

$$F_i F_j - F_j F_i = -S([E_i, E_j]) = 0.$$

Using the fundamental multiplication rules 6.1.1 and 6.1.3, for each  $i \in \{1, \dots, n\}$ ,

$$\begin{aligned} E_i E_{i+1}^2 &= \sum_{\lambda \in \Lambda_0} E_i [D_\lambda + 2\mathcal{E}_{i+1, i+2} - 2\mathcal{E}_{i+2, i+2}] \\ &= \sum_{\lambda \in \Lambda_0} [D_\lambda + 2\mathcal{E}_{i+1, i+2} - 2\mathcal{E}_{i+2, i+2} + \mathcal{E}_{i, i+2} - \mathcal{E}_{i+1, i+2}] \\ &= \sum_{\lambda \in \Lambda_0} [D_\lambda + \mathcal{E}_{i, i+2} + \mathcal{E}_{i+1, i+2} - 2\mathcal{E}_{i+2, i+2}] \end{aligned}$$

and

$$\begin{aligned} E_{i+1}E_iE_{i+1} &= \sum_{\lambda \in \Lambda_0} E_{i+1} [D_\lambda + \mathcal{E}_{i,i+2} - \mathcal{E}_{i+2,i+2}] \\ &= \sum_{\lambda \in \Lambda_0} [D_\lambda + \mathcal{E}_{i,i+2} + \mathcal{E}_{i+1,i+2} - 2\mathcal{E}_{i+2,i+2}], \end{aligned}$$

so  $E_iE_{i+1}^2 = E_{i+1}E_iE_{i+1}$ .

$$\begin{aligned} E_i^2E_{i+1} &= \sum_{\mu \in \Lambda_0} [D_\mu + 2\mathcal{E}_{i,i+1} - 2\mathcal{E}_{i,i}] E_{i+1} \\ &= \sum_{\mu \in \Lambda_0} [D_\mu + \mathcal{E}_{i,i+2} + \mathcal{E}_{i,i+1} - 2\mathcal{E}_{i,i}] \end{aligned}$$

and

$$\begin{aligned} E_iE_{i+1}E_i &= \sum_{\mu \in \Lambda_0} [D_\mu + \mathcal{E}_{i,i+2} - \mathcal{E}_{i,i}] E_i \\ &= \sum_{\mu \in \Lambda_0} [D_\mu + \mathcal{E}_{i,i+2} + \mathcal{E}_{i,i+1} - 2\mathcal{E}_{i,i}], \end{aligned}$$

so  $E_i^2E_{i+1} = E_iE_{i+1}E_i$ .

The relations between  $F_i$  and  $F_{i+1}$  may be deduced using the transpose involution as follows:

$$F_{i+1}^2F_i = S(E_iE_{i+1}^2) = S(E_{i+1}E_iE_{i+1}) = F_{i+1}F_iF_{i+1}$$

and

$$F_{i+1}F_i^2 = S(E_i^2E_{i+1}) = S(E_iE_{i+1}E_i) = F_iF_{i+1}F_i.$$

Suppose  $i, j \in \{1, \dots, n\}$  with  $i \neq j$ . Then

$$\begin{aligned} E_iF_j &= \sum_{\lambda \in \Lambda_0} E_i [D_\lambda + \mathcal{E}_{j+1,j} - \mathcal{E}_{j,j}] \\ &= \sum_{\lambda \in \Lambda_0} [D_\lambda + \mathcal{E}_{j+1,j} - \mathcal{E}_{j,j} + \mathcal{E}_{i,i+1} - \mathcal{E}_{i+1,i+1}] \end{aligned}$$

and

$$\begin{aligned} F_jE_i &= \sum_{\lambda \in \Lambda_0} F_j [D_\lambda + \mathcal{E}_{i,i+1} - \mathcal{E}_{i+1,i+1}] \\ &= \sum_{\lambda \in \Lambda_0} [D_\lambda + \mathcal{E}_{i,i+1} - \mathcal{E}_{i+1,i+1} + \mathcal{E}_{j+1,j} - \mathcal{E}_{j,j}], \end{aligned}$$

so  $E_iF_j = F_jE_i$ .

Finally, for  $i \in \{1, \dots, n\}$ ,

$$E_iF_i = \sum_{\lambda: \lambda_i > 0, \lambda_{i+1} = 0} 1_\lambda + \sum_{\lambda: \lambda_i > 0, \lambda_{i+1} > 0} [D_\lambda + \mathcal{E}_{i,i+1} - \mathcal{E}_{i+1,i+1} + \mathcal{E}_{i+1,i} - \mathcal{E}_{i,i}]$$

and

$$F_i E_i = \sum_{\lambda: \lambda_i=0, \lambda_{i+1}>0} 1_\lambda + \sum_{\lambda: \lambda_i>0, \lambda_{i+1}>0} [D_\lambda + \mathcal{E}_{i,i+1} - \mathcal{E}_{i+1,i+1} + \mathcal{E}_{i+1,i} - \mathcal{E}_{i,i}],$$

so

$$\begin{aligned} E_i F_i - F_i E_i &= \sum_{\lambda: \lambda_i>0, \lambda_{i+1}=0} 1_\lambda - \sum_{\lambda: \lambda_i=0, \lambda_{i+1}>0} 1_\lambda \\ &= \sum_{\lambda \in \Lambda_0} c_{i,\lambda} 1_\lambda. \end{aligned}$$

□

Lemma 6.4.1 shows that there is a homomorphism of  $\mathbb{Z}$ -algebras

$$\rho: \mathbb{Z}\Gamma/\mathcal{J} \rightarrow \hat{G}(n, r)$$

defined by

$$\begin{aligned} \rho(k_\lambda + \mathcal{J}) &= 1_\lambda \\ \rho(e_{i,\lambda} + \mathcal{J}) &= E_{i,\lambda} \\ \rho(f_{i,\lambda} + \mathcal{J}) &= F_{i,\lambda}, \end{aligned}$$

for all  $i \in \{1, \dots, n\}$  and  $\lambda \in \Lambda_0$ .

**Proposition 6.4.2.** *The image of  $\rho$  is spanned by the aperiodic basis elements. If  $r < n$  then  $\rho$  is surjective.*

*Proof.* The image of  $\rho$  is the subalgebra of  $\hat{G}(n, r)$  generated by  $E_i$  and  $F_i$  for  $i \in \{1, \dots, n\}$  and  $1_\lambda$  for  $\lambda \in \Lambda_0$ , which has  $\mathbb{Z}$ -basis

$$\{e_A : A \in \Lambda_1, A \text{ is aperiodic.}\},$$

using Proposition 6.3.7. If  $r < n$  then every  $A \in \Lambda_1$  is aperiodic, since  $A$  must contain a zero row or column. Therefore  $\rho$  is surjective when  $r < n$ . □

The following lemma gives a few relations which may be derived from the relations given in Lemma 6.4.1. This lemma will be used later to show that certain paths are congruent modulo  $\mathcal{J}$ . Observe that the relations given below also correspond to relations in the bound quiver algebra, since they are derived only from the braid type relations in Lemma 6.4.1 and the corresponding relations in  $\mathcal{J}$ , respectively.

**Lemma 6.4.3.** *For each  $a \geq 1$  and  $i \in \{1, \dots, n\}$  the following relations hold:*

$$E_i^2 E_{i+1}^a - E_i E_{i+1}^a E_i = 0 \tag{6.4.1}$$

$$E_{i+1} E_i^a E_{i+1} - E_i^a E_{i+1}^2 = 0 \tag{6.4.2}$$

$$F_{i+1}^a F_i^2 - F_i F_{i+1}^a F_i = 0 \tag{6.4.3}$$

$$F_{i+1}^2 F_i^a - F_{i+1} F_i^a F_{i+1} = 0. \tag{6.4.4}$$

*Proof.* Proceed by induction on  $a$  to first establish the relations including  $E_i$  and  $E_{i+1}$ . If  $a = 1$  the relations follows from Lemma 6.4.1. Now suppose  $a \geq 2$  and the statement of the lemma holds for all smaller values. Then

$$\begin{aligned}
E_i E_{i+1}^a E_i &= E_{i+1} E_i E_{i+1}^{a-1} E_i \\
&= E_{i+1} E_i^2 E_{i+1}^{a-1} \text{ (inductive hypothesis.)} \\
&= E_{i+1} E_i E_{i+1} E_i E_{i+1}^{a-2} \\
&= E_i E_{i+1}^2 E_i E_{i+1}^{a-2} \\
&= E_i E_{i+1} E_i E_{i+1}^{a-1} \\
&= E_i^2 E_{i+1}^a.
\end{aligned}$$

Similarly,

$$\begin{aligned}
E_{i+1} E_i^a E_{i+1} &= E_{i+1} E_i^{a-1} E_{i+1} E_i \\
&= E_i^{a-1} E_{i+1}^2 E_i \text{ (inductive hypothesis.)} \\
&= E_i^{a-2} E_{i+1} E_i E_{i+1} E_i \\
&= E_i^{a-2} E_{i+1} E_i^2 E_{i+1} \\
&= E_i^{a-1} E_{i+1} E_i E_{i+1} \\
&= E_i^a E_{i+1}^2.
\end{aligned}$$

Thus the first two relations hold for each  $a \in \mathbb{N}$ . Finally, applying the transpose involution to these relations yields the relations involving  $F_i$  and  $F_{i+1}$ :

$$F_{i+1}^a F_i^2 - F_i F_{i+1}^a F_i = \top(E_i E_{i+1}^a E_i - E_i^2 E_{i+1}^a) = 0$$

and

$$F_{i+1}^2 F_i^a - F_{i+1} F_i^a F_{i+1} = \top(E_{i+1} E_i^a E_{i+1} - E_i^a E_{i+1}^2) = 0.$$

□

### 6.4.1 Standard paths

Recall the definition of standard paths in  $\Gamma$ , from Definition 4.2.4. As noted earlier, there is a bijection between the set of standard paths in  $\Gamma$  and the standard monomial basis in  $\hat{G}(n, r)$  indexed by  $\Lambda_1$ .

**Lemma 6.4.4.** *Let  $p$  be a standard path in  $\Gamma$ . If  $q$  is a path in  $\Gamma$  with  $q = pe_i$  or  $q = pf_i$  for some  $i \in \{1, \dots, n\}$ , then  $q$  is congruent to a standard path modulo  $\mathcal{J}$ .*

*Proof.* **IMPORTANT: need to prove this beyond doubt.**

□

**Proposition 6.4.5.** *When  $r < n$ , any path in  $\Gamma$  is congruent to a standard path modulo  $\mathcal{J}$ .*

*Proof.* Let  $p$  be a path in  $\Gamma$  and proceed by induction on the length of  $p$ . If  $p$  has length zero then  $p = k_\mu$  for some  $\mu \in \Lambda_0$ , so  $p$  is a standard path. If  $p$  has length one then  $p = k_\mu e_i$  or  $p = k_\mu f_i$  for some  $\mu \in \Lambda_0$  and  $i \in \{1, \dots, n\}$ .

Suppose  $p$  has length at least two and that any strictly shorter path is congruent to a standard path. Pulling out the first arrow, write  $p = p'e_i$  or  $p = p'f_i$  for some  $i \in \{1, \dots, n\}$ . Using the

inductive hypothesis we may assume  $p'$  is a standard path, so it follows from Lemma 6.4.4 that  $p$  is congruent to a standard path.

**Note:** The lemma on extending standard paths still needs to be proven for this proof to be complete.  $\square$

**Theorem 6.4.6.** *If  $r < n$  then  $\rho$  is a  $\mathbb{Z}$ -algebra isomorphism. Thus  $\hat{G}(n, r)$  admits a presentation by the quiver  $\Gamma$  and the ideal of relations  $\mathcal{J}$  in  $\mathbb{Z}\Gamma$ .*

*Proof.* Under the assumption  $r < n$ ,  $\rho$  is a surjective homomorphism of  $\mathbb{Z}$ -algebras, by Proposition 6.4.2.

Suppose  $p$  and  $p'$  are paths in  $\Gamma$  and  $A \in \Lambda_1$  with

$$\rho(p + \mathcal{J}) = \rho(p' + \mathcal{J}) = e_A.$$

Proposition 6.4.5 shows that  $p$  and  $p'$  are both congruent modulo  $\mathcal{J}$  to the standard path corresponding to  $A$ , so  $p$  and  $p'$  are congruent modulo  $\mathcal{J}$ . Therefore  $\rho$  is injective, so is an isomorphism of  $\mathbb{Z}$ -algebras as claimed.  $\square$

**Conjecture 6.4.7.** Even when  $r \geq n$ ,  $\rho$  gives a  $\mathbb{Z}$ -algebra isomorphism

$$\mathbb{Z}\Gamma/\mathcal{J} \rightarrow \hat{G}(n, r)^{ap},$$

where  $\hat{G}(n, r)^{ap}$  is the subalgebra of  $\hat{G}(n, r)$  spanned by the aperiodic basis elements.

*Rough.* There will have to be an extended or new notion of standard path for the case of aperiodic basis elements which do not have a row or column of zero entries.  $\square$

## 6.5 The isomorphism result

This section gives a realisation of the affine 0-Schur algebra by the generic affine algebra in the case that  $r < n$ . Recall that the affine 0-Schur algebra  $\hat{S}_0(n, r)$  is defined to be the  $\mathbb{Z}$ -algebra

$$\hat{S}_0(n, r) = \mathbb{Z}[q]/(q) \otimes_{\mathbb{Z}} [q]\hat{S}_q(n, r).$$

The inclusion of  $\mathbb{Z}[q]$  into  $\mathcal{Q}$  sending  $f$  to  $f/1$  gives an isomorphism of  $\mathbb{Z}$  algebras

$$\mathbb{Z}[q]/q\mathbb{Z}[q] \rightarrow \mathcal{Q}/q\mathcal{Q} : a + q\mathbb{Z}[q] \mapsto a + q\mathcal{Q},$$

and both are isomorphic to  $\mathbb{Z}$  itself. Therefore

$$\hat{S}_0(n, r) = \mathcal{Q}/q\mathcal{Q} \otimes_{\mathcal{Q}} \hat{S}_{\mathcal{Q}}(n, r)$$

Let  $n, r \geq 1$  with  $r < n$ . Recall

$$\phi: \mathbb{Z}[q]\Gamma/I \rightarrow \hat{S}_q(n, r)$$

is the homomorphism of  $\mathbb{Z}[q]$ -algebras defined by

$$\begin{aligned} \phi(e_{i,\lambda}) &= E_{i,\lambda} \\ \phi(f_{i,\lambda}) &= F_{i,\lambda} \\ \phi(k_\lambda) &= 1_\lambda, \end{aligned}$$

for  $i \in \{1, \dots, n\}$  and  $\lambda \in \Lambda_0$ . Let  $\phi_0$  be the  $\mathbb{Z}$ -algebra homomorphism

$$\phi_0 = \mathcal{Q}/(q) \otimes_{\mathcal{Q}} \phi_{\mathcal{Q}}: \mathbb{Z}\Gamma/\mathcal{J} \rightarrow \hat{S}_0(n, r).$$

Let  $\rho$  be the  $\mathbb{Z}$ -algebra isomorphism

$$\rho: \mathbb{Z}\Gamma/\mathcal{J} \rightarrow \hat{G}(n, r)$$

from Theorem 6.4.6.

Let  $\Psi$  be the  $\mathbb{Z}$ -algebra homomorphism

$$\Psi = \phi_0 \circ \rho^{-1}: \hat{G}(n, r) \rightarrow \hat{S}_0(n, r) \quad (6.5.1)$$

with

$$\begin{aligned} \Psi(E_{i,\lambda}) &= E_{i,\lambda} \\ \Psi(F_{i,\lambda}) &= F_{i,\lambda} \\ \Psi(1_\lambda) &= 1_\lambda, \end{aligned}$$

for  $i \in \{1, \dots, n\}$  and  $\lambda \in \Lambda_0$ .

**Proposition 6.5.1.** *The map  $\Psi$  is surjective.*

*Proof.* Proposition 4.2.7 shows that

$$\phi_{\mathcal{Q}}: \mathcal{Q}\Gamma/\mathcal{Q}I \rightarrow \hat{S}_{\mathcal{Q}}(n, r)$$

is a surjective  $\mathcal{Q}$ -algebra homomorphism, so

$$\phi_0 = \mathcal{Q}/(q) \otimes_{\mathcal{Q}} \phi_{\mathcal{Q}}: \mathbb{Z}\Gamma/\mathcal{J} \rightarrow \hat{S}_0(n, r)$$

is a surjective  $\mathbb{Z}$ -algebra homomorphism, using right exactness of tensor products. It follows that  $\Psi$  is surjective since  $\Psi \circ \rho = \phi_0$  and  $\rho$  is an isomorphism.  $\square$

**Lemma 6.5.2.** *For each  $A \in \Lambda_1$ ,*

$$\Psi(e_A) = e_A + \sum_{B: B < A} c_B e_B$$

for some  $c_B \in \mathbb{N}$ .

*Proof.* Given  $A \in \Lambda_1$ , let  $p$  be the standard path in  $\Gamma$  corresponding to  $A$ , so

$$\rho(p + \mathcal{J}) = e_A.$$

Then

$$\begin{aligned} \Psi(e_A) &= \phi_0(p + \mathcal{J}) \\ &= \sum_{B \in \Lambda_1: B \leq A} g_B(0) e_B, \end{aligned}$$

for some  $g_B \in \mathbb{Z}[q]$ , where

$$\begin{aligned} g_A(0) &= \left( \prod_{i \in \{1, \dots, n\}, s \geq 1} [[\alpha_{i,s}]]! [[\beta_{i,s}]]! \right)_{q=0} \\ &= 1, \end{aligned}$$

by Lemma 4.2.5.  $\square$



**Theorem 6.5.3.** *In the case  $r < n$ , the map*

$$\Psi: \hat{G}(n, r) \rightarrow \hat{S}_0(n, r)$$

*is an isomorphism of  $\mathbb{Z}$ -algebras.*

*Proof.* Proposition 6.5.1 shows that  $\Psi$  is a surjective  $\mathbb{Z}$ -algebra homomorphism.

To prove that  $\Psi$  is injective, suppose  $x$  is a nonzero element of  $\hat{G}(n, r)$  and write

$$x = \sum_{A \in \Lambda_1} c_A e_A$$

and let  $\Xi = \{A \in \Lambda_1 : c_A \neq 0\}$ . Fix a maximal element  $D$  in  $\Xi$ , which exists since  $\Xi$  is nonempty and finite. Then

$$\begin{aligned} \Psi(x) &= \sum_{A \in \Xi} c_A \Psi(e_A) \\ &= \sum_{A \in \Xi} \left( c_A e_A + \sum_{B \in \Xi: B < A} c_{A,B} e_B \right) \\ &= c_D e_D + \sum_{A \in \Xi: A \neq D} c'_A e_A \end{aligned}$$

by Lemma 6.5.2, so  $\Psi(x) \neq 0$ , which proves that  $\Psi$  is injective and therefore  $\Psi$  is an isomorphism of  $\mathbb{Z}$ -algebras.  $\square$

## 6.6 The period 2 case

In the case  $n = 2$  the quiver  $\Gamma = \Gamma(2, r)$  associated to  $\hat{G}(2, r)$  consists of  $r + 1$  vertices (totally ordered) with two pairs of edges between adjacent vertices,  $(e_1, f_1)$  and  $(e_2, f_2)$ .

The following equations are a  $q = 0$  form of the  $q$ -Serre relations in Lemma 4.3.1:

**Lemma 6.6.1.** *The following equations hold in  $\hat{G}(2, r)$ , for  $i \in \mathbb{Z}/2\mathbb{Z}$ :*

$$\begin{aligned} E_i E_{i+1} E_i^2 &= E_i^2 E_{i+1} E_i \\ F_i F_{i+1} F_i^2 &= F_i^2 F_{i+1} F_i. \end{aligned}$$

*Proof.*

$$\begin{aligned} E_1 E_2 E_1^2 &= \sum_{\mu \in \Lambda_0} [D_\mu + \mathcal{E}_{1,3} - \mathcal{E}_{1,1}] E_1^2 \\ &= \sum_{\mu \in \Lambda_0} [D_\mu + \mathcal{E}_{1,4} - \mathcal{E}_{1,1}] E_1 \\ &= \sum_{\mu \in \Lambda_0} [D_\mu + \mathcal{E}_{1,4} + \mathcal{E}_{1,2} - 2\mathcal{E}_{1,1}] \end{aligned}$$

and

$$\begin{aligned}
E_1^2 E_2 E_1 &= \sum_{\mu \in \Lambda_0} [D_\mu + 2\mathcal{E}_{1,2} - 2\mathcal{E}_{1,1}] E_2 E_1 \\
&= \sum_{\mu \in \Lambda_0} [D_\mu + \mathcal{E}_{1,3} + \mathcal{E}_{1,2} - 2\mathcal{E}_{1,1}] E_1 \\
&= \sum_{\mu \in \Lambda_0} [D_\mu + \mathcal{E}_{1,4} + \mathcal{E}_{1,2} - 2\mathcal{E}_{1,1}],
\end{aligned}$$

so  $E_1 E_2 E_1^2 = E_1^2 E_2 E_1$ .

Recall that conjugation by  $R$  defines an automorphism  $\tau$  of  $\hat{G}(n, r)$  of degree 2, with  $\tau(E_1) = E_2$  and  $\tau(E_2) = E_1$ , so

$$E_2 E_1 E_2^2 - E_2^2 E_1 E_2 = \tau(E_1 E_2 E_1^2 - E_1^2 E_2 E_1) = 0.$$

Finally, the equations involving  $F_i$  and  $F_{i+1}$  follow by applying the transpose involution:

$$F_i F_{i+1} F_i^2 - F_i^2 F_{i+1} F_i = S(E_i^2 E_{i+1} E_i - E_i E_{i+1} E_i^2) = 0,$$

for  $i \in \{1, 2\}$ . □

## Chapter 7

# Further directions

### 7.1 Further results on affine zero Schur algebras

[1] Investigate link between this generic product and the generic extension of representations. Shifting to the non-negative subalgebra to do computations purely in terms of generic extensions of quiver representations.

### 7.2 Deformed group algebras of symmetric groups

[2] Degenerate group algebras of symmetric groups: write down a presentation of the degenerate group algebras, with generators given by the transpositions, or 2-cycles. Type up the computations done for degenerate group algebras for  $S_3$  and  $S_4$ . Formulate propositions for the general case: the transpositions generate the degenerate group algebra; lemma: ‘these’ relations hold; these generators and relations give a presentation of the degenerate group algebras.

Terminology: deformed group algebra.

### 7.3 back matter

[1]  $Y_{A,B}^L$  is the image of  $G_L \times G_{L'}$  under the action map  $(\alpha, \beta) \mapsto \alpha\beta \cdot (L', L'')$ , for any  $(L', L'') \in Y_{A,B}^L$ . Lemma 5.3.6 shows that  $G_L/H_{N,L}$  is a connected algebraic group. Moreover,  $G_{L'}/H_{2N,L}$  is an irreducible affine variety, so  $G_L/H_{N,L} \times G_{L'}/H_{2N,L}$  is an irreducible affine variety. It follows that  $Y_{A,B}^L$  is irreducible and constructible.

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