

# BAG (Basic Availability Group) Setup for MS SQL in AWS instances

## Introduction, background and scope:

MS SQL natively provides BAG feature for DR(Disaster Recovery) and HA(High Availability). For a detailed workflow and insights we will be trying to install MS SQL in AWS instances and then perform the setup. The goal is to survive a server or data center failure with near-zero data loss, which requires low-latency, synchronous communication. Although for DR scenarios we should be doing for different regions, for a small scale HA setup we are trying the setup for the same region but different Availability Zones.

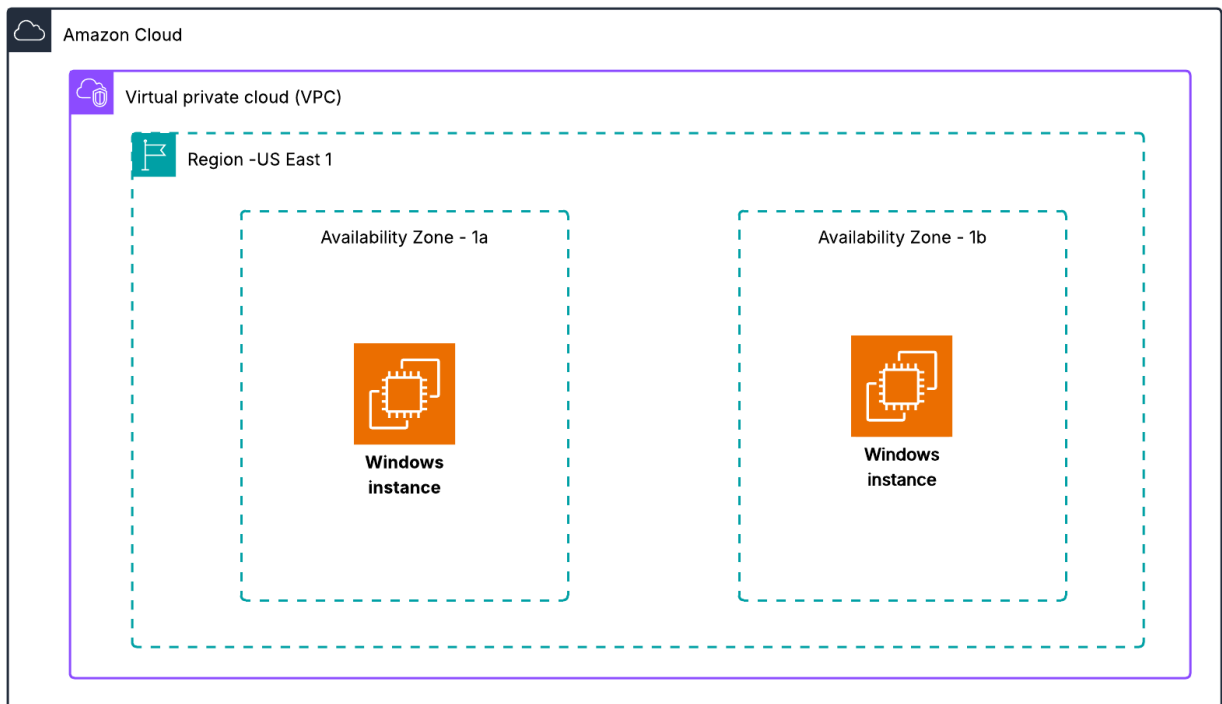


Figure: Simple Architecture Diagram for our setup

First, let's clarify the limitations of a Basic Availability Group (BAG) in SQL Server Standard Edition:

### One Database per Group:

We can only have one user database in a BAG. If we need to protect multiple databases, you must create multiple BAGs.

### Two Replicas Only:

A BAG is limited to a primary replica and one secondary replica.

### No Read Access on Secondary:

The secondary replica cannot be used for reading data or offloading backups. It is purely a hot standby.

### Asynchronous-Commit Recommended:

While synchronous-commit is possible, it's generally recommended to use asynchronous commit for performance.

### Manual Failover Only:

There is no support for automatic failover. If the primary goes down, you must manually fail over to the secondary.

## Phase 1: Network Infrastructure Setup

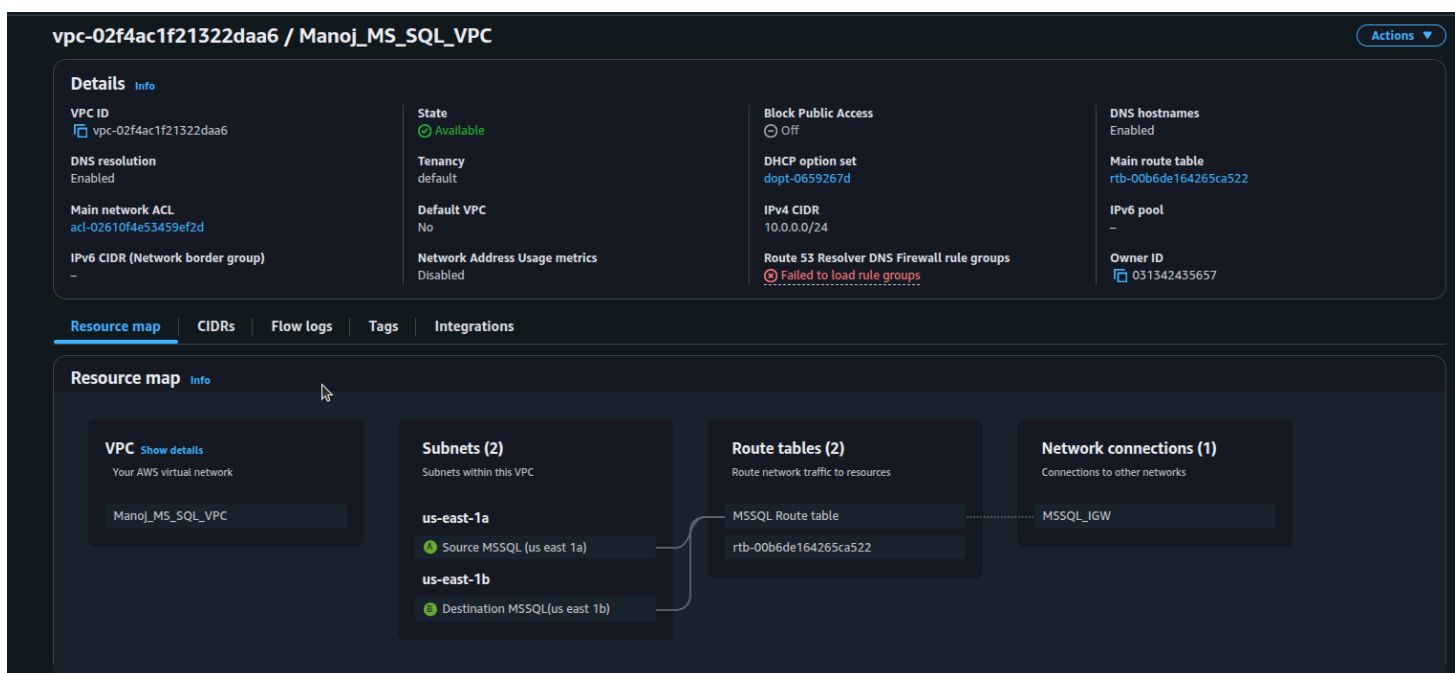


Figure: VPC Screenshot

**Summary:** The infrastructure is set up in US EAST - 1. With the subnets in us-east-1a and us-east-1b. Both have the same route table redirecting the traffic internally and to the internet as shown in the snip below.

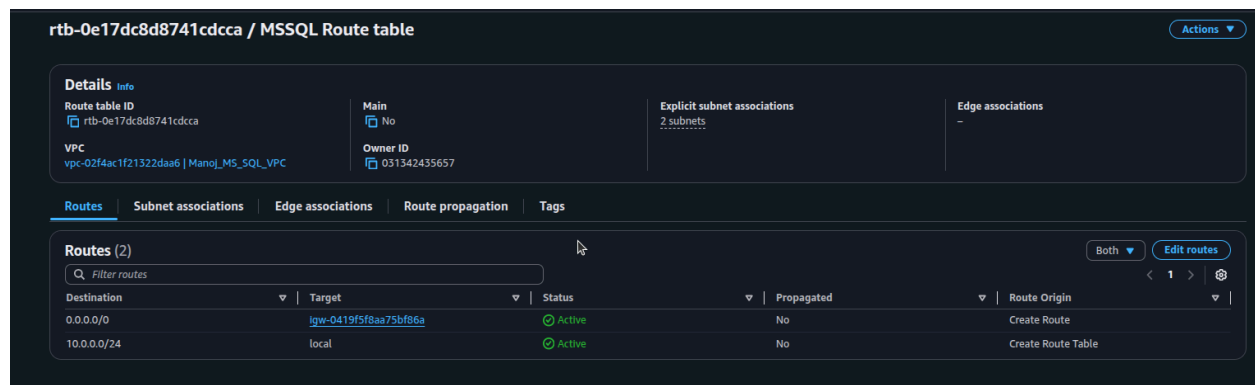


Figure: Route table for the VPC

## Phase 2: Instance Setup:

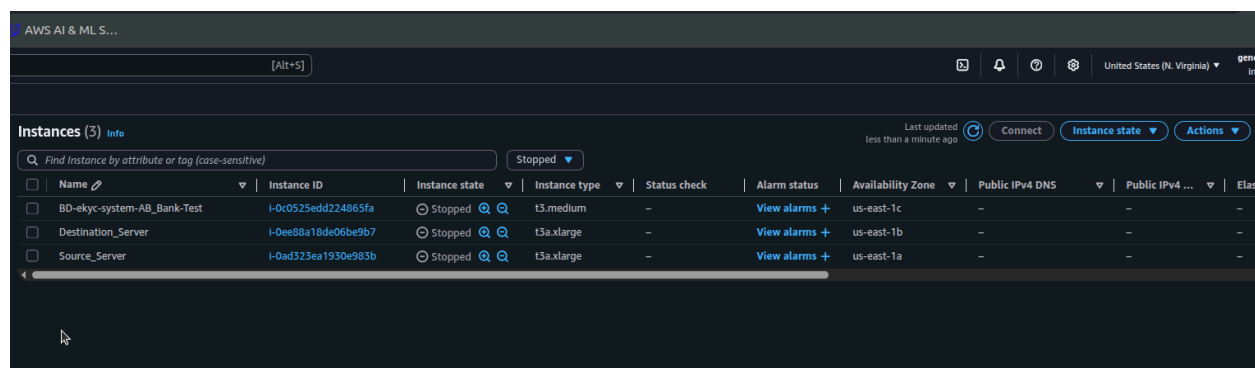
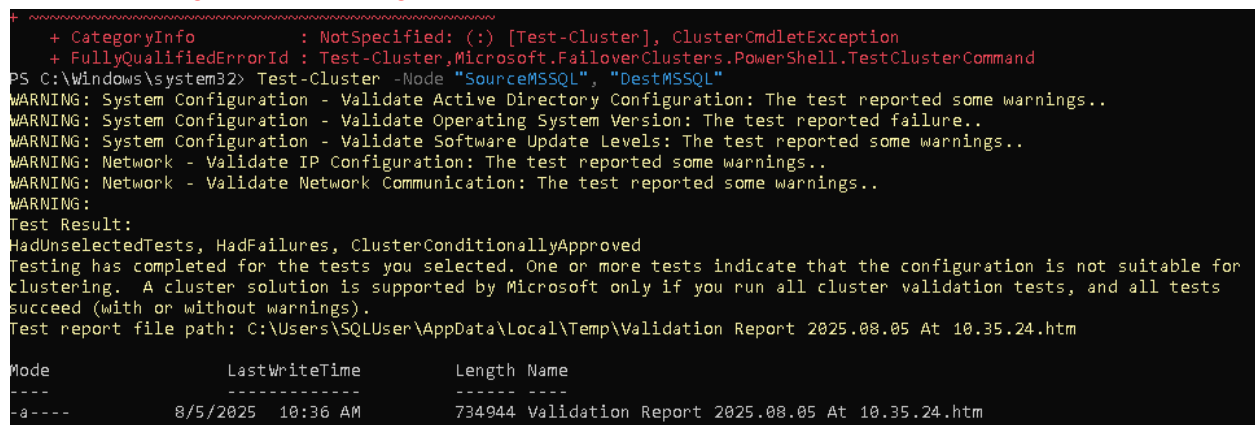


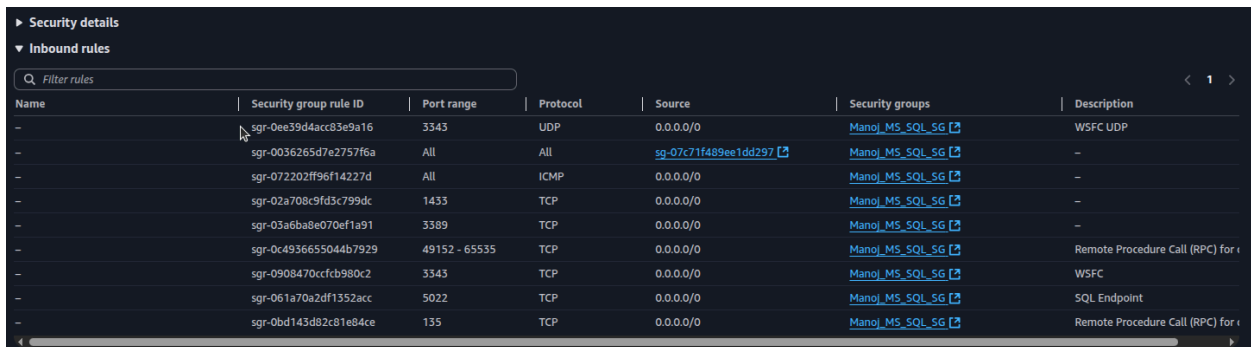
Figure: Source and destination MSSQL servers

**It's a critical note** that the two instances that are supposed to be in the Instances must be started **with the same AMI** as we have different checks running while making a cluster, 2 nodes are eligible to be inside a cluster only when they have the same version of operating system. It was a recurring problem during the R&D as shown in the snip below:



The Instances are to be in different subnets for now.

The security group is set up as below:



The screenshot shows the 'Security details' tab for a security group. Under 'Inbound rules', there is a search bar and a table of rules. The table has columns for Name, Security group rule ID, Port range, Protocol, Source, Security groups, and Description. There are 10 rules listed, including WSFC UDP, various ports (3343, 1433, 3389, 49152-65535, 3343, 5022, 135) for TCP and UDP, and Remote Procedure Call (RPC) for TCP.

Name	Security group rule ID	Port range	Protocol	Source	Security groups	Description
-	sgr-0ee39d4acc83e9a16	3343	UDP	0.0.0.0/0	Manoj_MS_SQL_SG	WSFC UDP
-	sgr-0036265d7e2757f6a	All	All	sg-07c71f489ee1dd297	Manoj_MS_SQL_SG	-
-	sgr-072202ff96f14227d	All	ICMP	0.0.0.0/0	Manoj_MS_SQL_SG	-
-	sgr-02a708c9fd3c799dc	1433	TCP	0.0.0.0/0	Manoj_MS_SQL_SG	-
-	sgr-03a6ba8e070ef1a9f1	3389	TCP	0.0.0.0/0	Manoj_MS_SQL_SG	-
-	sgr-0c4936655044b7929	49152 - 65535	TCP	0.0.0.0/0	Manoj_MS_SQL_SG	Remote Procedure Call (RPC) for
-	sgr-0908470ccfcb980c2	3343	TCP	0.0.0.0/0	Manoj_MS_SQL_SG	WSFC
-	sgr-061a70a2df1352acc	5022	TCP	0.0.0.0/0	Manoj_MS_SQL_SG	SQL Endpoint
-	sgr-0bd143d82c81e84ce	135	TCP	0.0.0.0/0	Manoj_MS_SQL_SG	Remote Procedure Call (RPC) for

These rules are nothing less than a mess. It was assumed that the vague ports like 3389, 5022 135 were taking traffic through the public internet but it is not all that necessary. Most likely, we'll only need RDS and rule no 2. **allows all types of traffic (TCP, UDP, ICMP, etc.) on all ports** from any **EC2 instance** that is part of the security group. That is our only requirement since MSSQL server (When used for failover clustering) is known for using weird ports that are not well documented.

That's all for the EC2 setup, other configurations are carried out normally.

## Phase 3: Clustering, Failover Tools, MSSQL Setup

According to [this](#) Document, also in the snippets:

- To create a new cluster or to add nodes to the cluster, a local account needs to be provisioned on all nodes of the cluster (as well as the node from which the operation is invoked) with the following requirements:
  1. Create a local 'User' account on each node in the cluster
  1. The username and password of the account must be the same on all nodes
  1. The account is a member of the local 'Administrators' group on each node
  1. When using a non-builtin local administrator account to create the cluster, set the LocalAccountTokenFilterPolicy registry policy to **1**, on all the nodes of the cluster. Builtin administrator accounts include the 'Administrator' account. You can set the LocalAccountTokenFilterPolicy registry policy as follows:

We have to make new **administrator** accounts with the same passwords in each of the instances that we are treating as nodes. Additionally, they should be assigned to the **Remote Desktop Users** group as sometimes just administrator privilege is not enough for RDP access. Another challenge is:

## SQL Server Management Studio (SSMS)

By default, **only the built-in local administrator account can access a SQL Server instance launched from an AWS Windows AMI**. You can use SQL Server Management Studio (SSMS) to add domain users so that they can access and manage SQL Server.

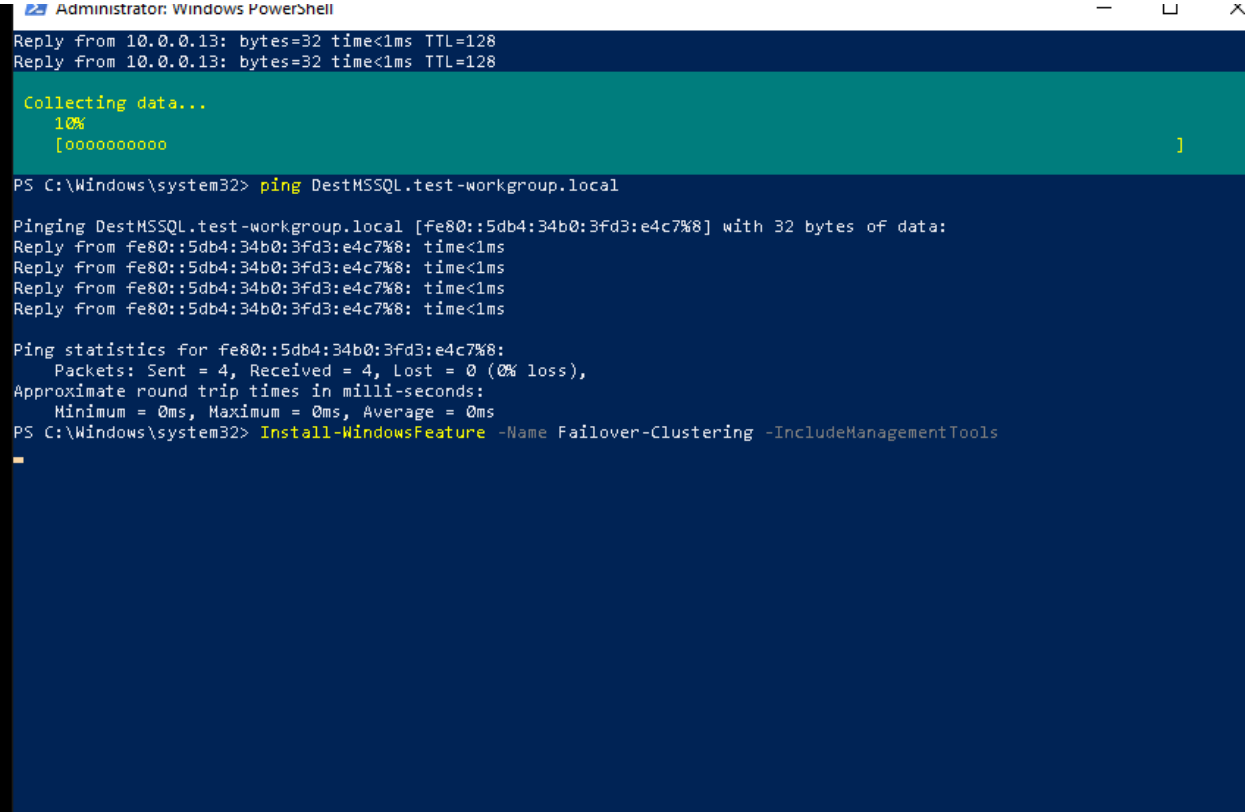
Perform the following steps to access a SQL Server instance on Amazon EC2 as a domain user.

So we should follow [this doc](#) for access to new administrator users.

## Now for the messy part:

- RDP through the Source Server and Destination server through the **new Administrative user** that we have just created and then install the failover clustering feature using this CLI command. (Note: Also can be done through GUI but CLI faster)

```
Install-WindowsFeature -Name Failover-Clustering -IncludeManagementTools
```



The screenshot shows a Windows PowerShell terminal window titled "Administrator: Windows PowerShell". It displays the results of a ping command to 10.0.0.13, which shows successful replies. Below this, there is a green progress bar indicating data collection at 10%. The terminal then shows the execution of the command `ping DestMSSQL.test-workgroup.local`, which also shows successful replies. Finally, the command `Install-WindowsFeature -Name Failover-Clustering -IncludeManagementTools` is entered at the prompt.

It recommends for a restart but hold for a bit

- Also do:

```
new-itemproperty -path  
HKLM:\SOFTWARE\Microsoft\Windows\CurrentVersion\Policies\System -Name  
LocalAccountTokenFilterPolicy -Value 1
```

Otherwise it will give error:

```
PS C:\Windows\system32> new-itemproperty -path HKLM:\SOFTWARE\Microsoft\Windows\CurrentVersion\Policies\System -Name LocalAccountTokenFilterPolicy -Value 1  
LocalAccountTokenFilterPolicy : 1  
PSPath : Microsoft.PowerShell.Core\Registry::HKEY_LOCAL_MACHINE\SOFTWARE\Microsoft\Windows\CurrentVersion\Policies\System  
PSParentPath : Microsoft.PowerShell.Core\Registry::HKEY_LOCAL_MACHINE\SOFTWARE\Microsoft\Windows\CurrentVersion\Policies  
PSChildName : System  
PSDrive : HKLM  
PSProvider : Microsoft.PowerShell.Core\Registry
```

- Additionally, You have the option to turn off the firewall as whole or run these commands:

```
Enable-NetFirewallRule -DisplayName "File and Printer Sharing (Echo  
Request - ICMPv4-In)"  
Enable-NetFirewallRule -DisplayGroup "Windows Management  
Instrumentation (WMI)"
```

- Now this is the right time to restart both instances.

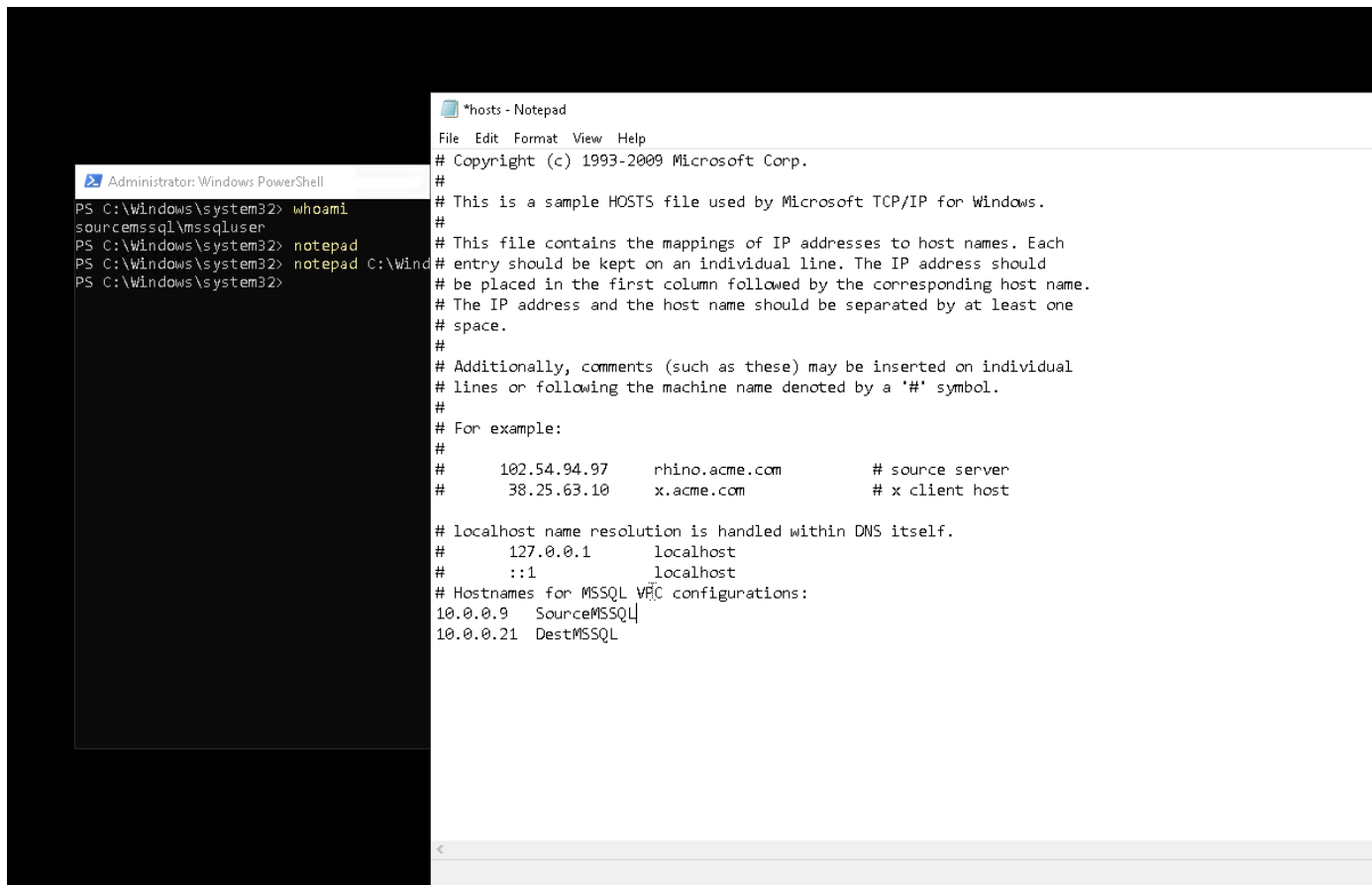
**A slight reminder that we are trying to do this setup without setting up an AD, An AD would have been more easier and seamlessly connected to the SQL Server Management Studio (SSMS).**

We are trying for resolving hostnames through the hostnames files in the windows path:

```
C:\Windows\System32\Drivers\etc\hosts
```

Simply, in both the instances go to the file and write the private ip address of the two instances, assigned according to the VPC and the subnet's CIDR.

For example:



The screenshot shows two windows. On the left is an 'Administrator: Windows PowerShell' window with the following commands and output:

```
PS C:\Windows\system32> whoami
sourcemssql\mssqluser
PS C:\Windows\system32> notepad
PS C:\Windows\system32> notepad C:\Wind
PS C:\Windows\system32>
```

On the right is a Notepad window titled '\*hosts - Notepad' showing the contents of the hosts file:

```
File Edit Format View Help
# Copyright (c) 1993-2009 Microsoft Corp.
#
# This is a sample HOSTS file used by Microsoft TCP/IP for Windows.
#
# This file contains the mappings of IP addresses to host names. Each
# entry should be kept on an individual line. The IP address should
# be placed in the first column followed by the corresponding host name.
# The IP address and the host name should be separated by at least one
# space.
#
# Additionally, comments (such as these) may be inserted on individual
# lines or following the machine name denoted by a '#' symbol.
#
# For example:
#
#       102.54.94.97       rhino.acme.com           # source server
#       38.25.63.10        x.acme.com              # x client host

# localhost name resolution is handled within DNS itself.
#       127.0.0.1         localhost
#       ::1               localhost
# Hostnames for MSSQL VPC configurations:
10.0.0.9   SourceMSSQL
10.0.0.21  DestMSSQL
```

- Try to ping the source server with the destination server and vice versa. This is our crucial step for making the cluster and assigning nodes.
- If everything is completed till this step, we are ready for making failover clusters.

# Clusters:

Our main goal for a successful implementation of BAG (Basic Availability Groups) is making clusters and assigning nodes.


- First, we check the compatibility of nodes to make a cluster. We do this by using the following command:

Test-Cluster -Node hostname1, hostname2

```
Select Administrator: Windows PowerShell

>> Enable-NetFirewallRule -DisplayGroup "Windows Defender Firewall Remote Management"
PS C:\Windows\system32> Test-Cluster -Node SourceMSSQL, DestMSSQL
>>
WARNING: System Configuration - Validate Active Directory Configuration: The test reported some warnings..
WARNING: Network - Validate Network Communication: The test reported some warnings..
WARNING:
Test Result:
HadUnselectedTests, ClusterConditionallyApproved
Testing has completed for the tests you selected. You should review the warnings in the Report. A cluster solution is supported by Microsoft only if you run all cluster validation tests, and all tests succeed (with or without warnings).
Test report file path: C:\Users\MSSQLUser\AppData\Local\Temp\Validation Report 2025.08.06 At 10.08.06.htm
```

Above command runs several specific checks and makes a detailed report:



### Failover Cluster Validation Report

Node: De\MSSQL.test-domain.local

Node: SourceMSSQL.test-domain.local

Started: 8/6/2025 10:08:06 AM

Completed: 8/6/2025 10:08:19 AM

Validated  
Validated

The Validate a Configuration Wizard must be run after any change is made to the configuration of the cluster or hardware. For more information, see <https://go.microsoft.com/fwlink/?linkid=290245>.

#### Results by Category

Name	Result Summary	Description
<a href="#">Inventory</a>		Success
<a href="#">Network</a>		Warning
<a href="#">Storage</a>		Not Applicable
<a href="#">System Configuration</a>		Warning

#### Inventory

Name	Result	Description
<a href="#">List BIOS Information</a>		Success
<a href="#">List Environment Variables</a>		Success
<a href="#">List Fibre Channel Host Bus Adapters</a>		Success
<a href="#">List Host Guardian Service client configuration</a>		Success
<a href="#">List SCSI Host Bus Adapters</a>		Success
<a href="#">List Memory Information</a>		Success
<a href="#">List Operating System Information</a>		Success
<a href="#">List Plug and Play Devices</a>		Success
<a href="#">List Running Processes</a>		Success



## Validate Network Communication

**Description:** Validate that servers can communicate, with acceptable latency, on all networks.

Start: 8/6/2025 10:06:27 AM

Analyzing connectivity results ...

Node SourceMSSQL.test-domain.local is reachable from Node DestMSSQL.test-domain.local by only one pair of network interfaces. It is possible that this network path is a single point of failure for communication within the cluster. Please verify that this single path is highly available, or consider adding additional network(s) to the cluster.

Following are the connectivity checks made using UDP on port 3343 from network interfaces on node DestMSSQL.test-domain.local to network interfaces on node SourceMSSQL.test-domain.local

Result	Source Interface Name	Source IP Address	Destination Interface Name	Destination IP Address	Same Cluster Network	Packet Loss (%)
Success	DestMSSQL.test-domain.local - Ethernet 3	10.0.0.21	SourceMSSQL.test-domain.local - Ethernet 3	10.0.0.9	False	0

Node DestMSSQL.test-domain.local is reachable from Node SourceMSSQL.test-domain.local by only one pair of network interfaces. It is possible that this network path is a single point of failure for communication within the cluster. Please verify that this single path is highly available, or consider adding additional network(s) to the cluster.

Following are the connectivity checks made using UDP on port 3343 from network interfaces on node SourceMSSQL.test-domain.local to network interfaces on node DestMSSQL.test-domain.local

Result	Source Interface Name	Source IP Address	Destination Interface Name	Destination IP Address	Same Cluster Network	Packet Loss (%)
Success	SourceMSSQL.test-domain.local - Ethernet 3	10.0.0.9	DestMSSQL.test-domain.local - Ethernet 3	10.0.0.21	False	0

Stop: 8/6/2025 10:09:05 AM

[Back to Summary](#)

[Back to Site](#)

It is recommended to check the warnings thoroughly and then only continue, otherwise it will result in a **broken cluster**.

- We now continue with the configuration and make a cluster using powershell.

Remember to:

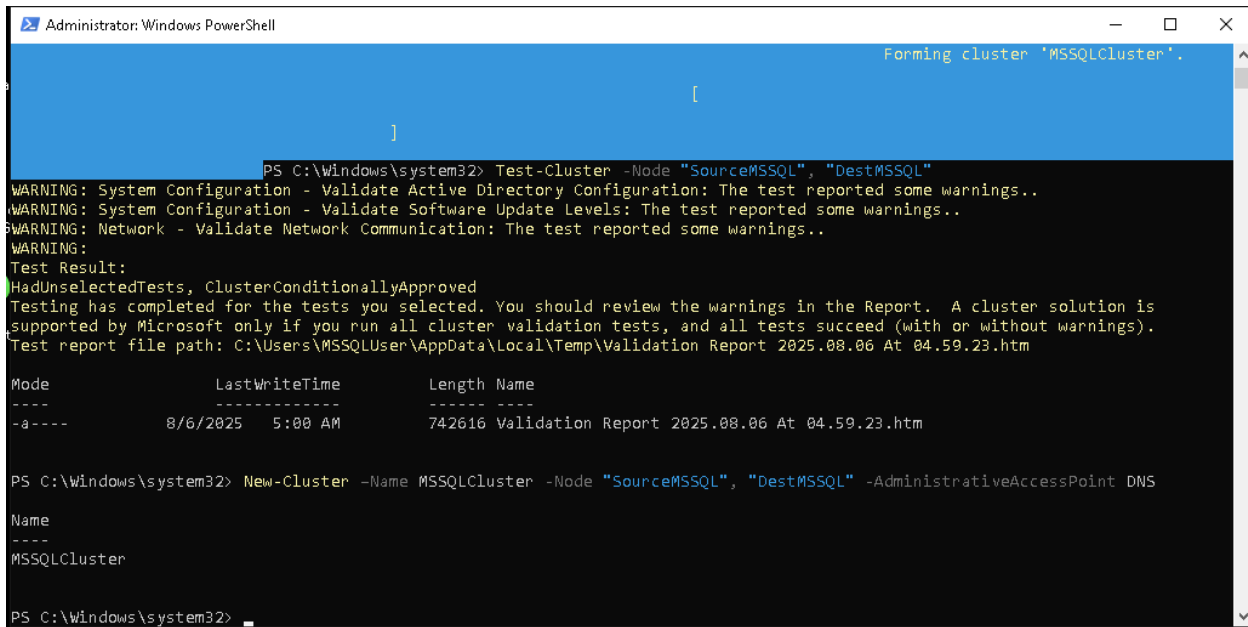
1. Run the powershell as **administrator**
2. Make sure that you are doing RDS with the new local administrator account, which we created.
3. All above steps are completely cleared without issues.

- For making cluster, we use the command (syntax):

```
New-Cluster -Name MSSQLCluster -Node SourceMSSQL, DestMSSQL  
-AdministrativeAccessPoint Dns -StaticAddress 10.0.1.100 -NoStorage
```

## Syntax Breakdown

Parameter	Description
New-Cluster	The command that creates the failover cluster.
-Name	Specifies the network name for the cluster.
-Node	A comma-separated list of servers to add to the cluster.
-AdministrativeAccessPoint	Dns creates the cluster for a workgroup (no Active Directory).
-StaticAddress	Assigns a static IP address to the cluster's network name.
-NoStorage	Creates the cluster without shared storage, ideal for SQL Always On groups.



```
Administrator: Windows PowerShell
Forming cluster 'MSSQLCluster'.

[

]

PS C:\Windows\system32> Test-Cluster -Node "SourceMSSQL", "DestMSSQL"
WARNING: System Configuration - Validate Active Directory Configuration: The test reported some warnings..
WARNING: System Configuration - Validate Software Update Levels: The test reported some warnings..
WARNING: Network - Validate Network Communication: The test reported some warnings..
WARNING:
Test Result:
HadUnselectedTests, ClusterConditionallyApproved
Testing has completed for the tests you selected. You should review the warnings in the Report. A cluster solution is
supported by Microsoft only if you run all cluster validation tests, and all tests succeed (with or without warnings).
Test report file path: C:\Users\MSSQLUser\AppData\Local\Temp\Validation Report 2025.08.06 At 04.59.23.htm

Mode                LastWriteTime         Length Name
----                -
-a-----          8/6/2025   5:00 AM           742616 Validation Report 2025.08.06 At 04.59.23.htm

PS C:\Windows\system32> New-Cluster -Name MSSQLCluster -Node "SourceMSSQL", "DestMSSQL" -AdministrativeAccessPoint DNS
Name
----
MSSQLCluster

PS C:\Windows\system32>
```

Figure: Running the New-Cluster command

Also to check if the nodes are assigned in the cluster we can run the command:

```
Get-Cluster
```

These are to be run in both nodes.

For more details on resources like ips and storage we use:

```
Get-ClusterResource
```

If both IPs are offline in the cluster, remote registry service fails sometimes, run these individually:

```
Get-Service -Name RemoteRegistry
Start-Service -Name RemoteRegistry
Set-Service -Name RemoteRegistry -StartupType Automatic
```

Now we add the cluster name that we just made to the hostname file:

```
C:\Windows\System32\Drivers\etc\hosts
```

The screenshot shows two windows. The background window is an Administrator Windows PowerShell terminal with the following commands and output:

```
PS C:\Windows\system32> whoami
source\sql\mssqluser
PS C:\Windows\system32> notepad
PS C:\Windows\system32> notepad C:\Windows\System32
PS C:\Windows\system32> notepad C:\Windows\System32
PS C:\Windows\system32>
```

The foreground window is a Notepad editor titled "hosts - Notepad" showing the contents of the hosts file:

```
File Edit Format View Help
# Copyright (c) 1993-2009 Microsoft Corp.
#
# This is a sample HOSTS file used by Microsoft TCP/IP for Windows.
#
# This file contains the mappings of IP addresses to host names. Each
# entry should be kept on an individual line. The IP address should
# be placed in the first column followed by the corresponding host name.
# The IP address and the host name should be separated by at least one
# space.
#
# Additionally, comments (such as these) may be inserted on individual
# lines or following the machine name denoted by a '#' symbol.
#
# For example:
#
#       102.54.94.97       rhino.acme.com       # source server
#       38.25.63.10       x.acme.com           # x client host
#
# localhost name resolution is handled within DNS itself.
#       127.0.0.1         localhost
#       ::1               localhost
#
# Hostnames for MSSQL VPC configurations:
10.0.0.9   SourceMSSQL
10.0.0.21  DestMSSQL
10.0.0.10  MSSQLCluster
```

Then we ping the cluster. In my case the command is, ping MSSQLCluster

The screenshot shows a Windows PowerShell terminal with the following commands and output:

```
PS C:\Windows\system32> Test-Cluster -Node "SourceMSSQL", "DestMSSQL"
WARNING: System Configuration - Validate Active Directory Configuration: The test reported some warnings..
WARNING: System Configuration - Validate Software Update Levels: The test reported some warnings..
WARNING: Network - Validate Network Communication: The test reported some warnings..
WARNING:
Test Result:
HadUnselectedTests, ClusterConditionallyApproved
Testing has completed for the tests you selected. You should review the warnings in the Report. A cluster solution is
supported by Microsoft only if you run all cluster validation tests, and all tests succeed (with or without warnings).
Test report file path: C:\Users\MSSQLUser\AppData\Local\Temp\Validation Report 2025.08.06 At 04.59.23.htm

Mode                LastWriteTime         Length Name
----                -
-a-----          8/6/2025   5:00 AM           742616 Validation Report 2025.08.06 At 04.59.23.htm

PS C:\Windows\system32> New-Cluster -Name MSSQLCluster -Node "SourceMSSQL", "DestMSSQL" -AdministrativeAccessPoint DNS

Name
----
MSSQLCluster
```

**Important Note:** In my above screenshot that is running the New-Cluster line, i do not have any static IP, but the IP can be seen using the Get-ClusterResource command in the powershell.

**Cluster is successfully configured.**

## Phase 4: Making Availability Groups

Have a look at this SSMS screenshots:

The screenshot shows the Microsoft SQL Server Management Studio interface. A message box is displayed in the foreground, stating: "The Always On feature must be enabled for the server instance 'SourceMSSQL' before you can create an availability group on this instance. To enable Always On, open the SQL Server Configuration Manager, select SQL Server Services, right-click the SQL Server instance name, select Properties, and use the Always On Availability Groups tab of the SQL Server Properties dialog. (ObjectExplorer)". The message box includes "Copy message" and "Show details" buttons, and an "OK" button at the bottom right.

In the background, the "Messages" tab is active, showing a table with the following data:

UserID	FirstName	LastName	Email	RegistrationDate
1	FirstName1	LastName1	user1@example.com	2025-08-05 05:34:00.247
2	FirstName2	LastName2	user2@example.com	2025-08-04 05:34:00.247
3	FirstName3	LastName3	user3@example.com	2025-08-03 05:34:00.247

So we go and enable availability groups

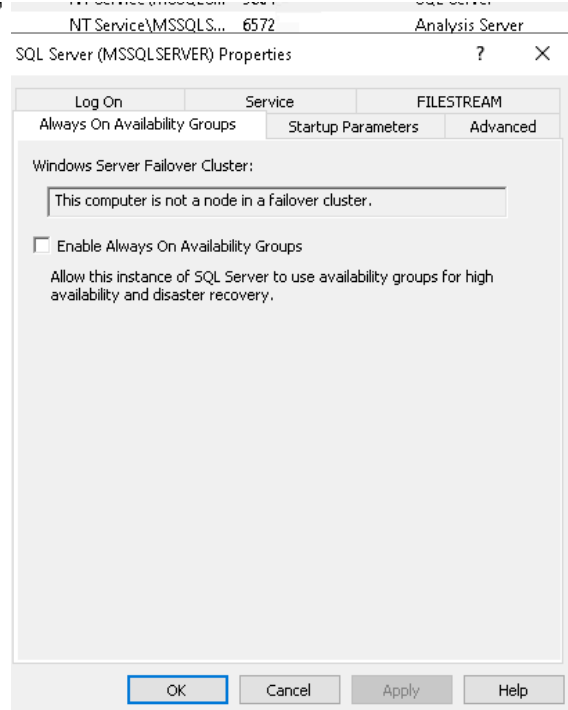
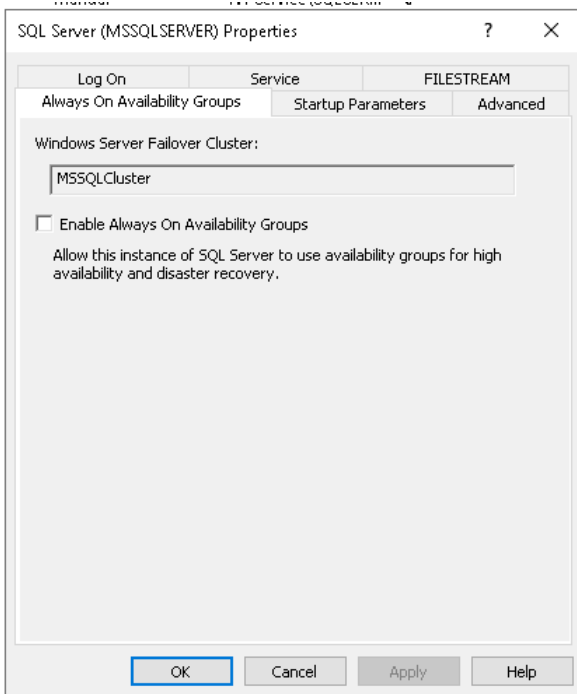
**We go to the path :** *Server Configuration Manager --> SQL Server Services --> SQL Server name and Right click to properties*

Then we just tick the option: Enable Always on Availability Groups

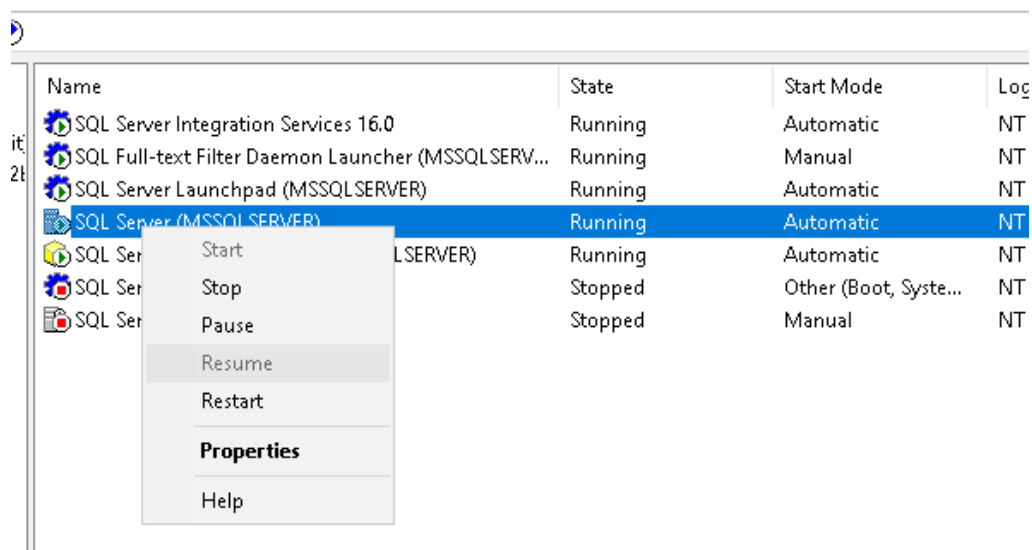
**If the node is not in the cluster, It will give the error like below:**

***"The computer is not in a failover cluster"***

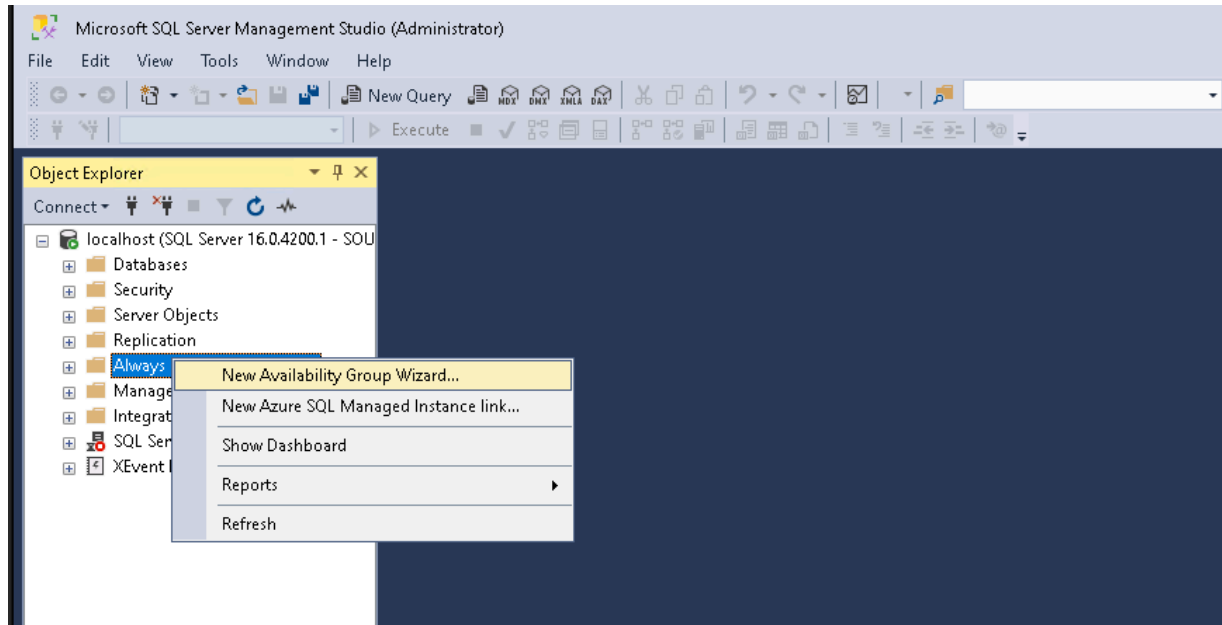
If the cluster is not broken, this will not happen



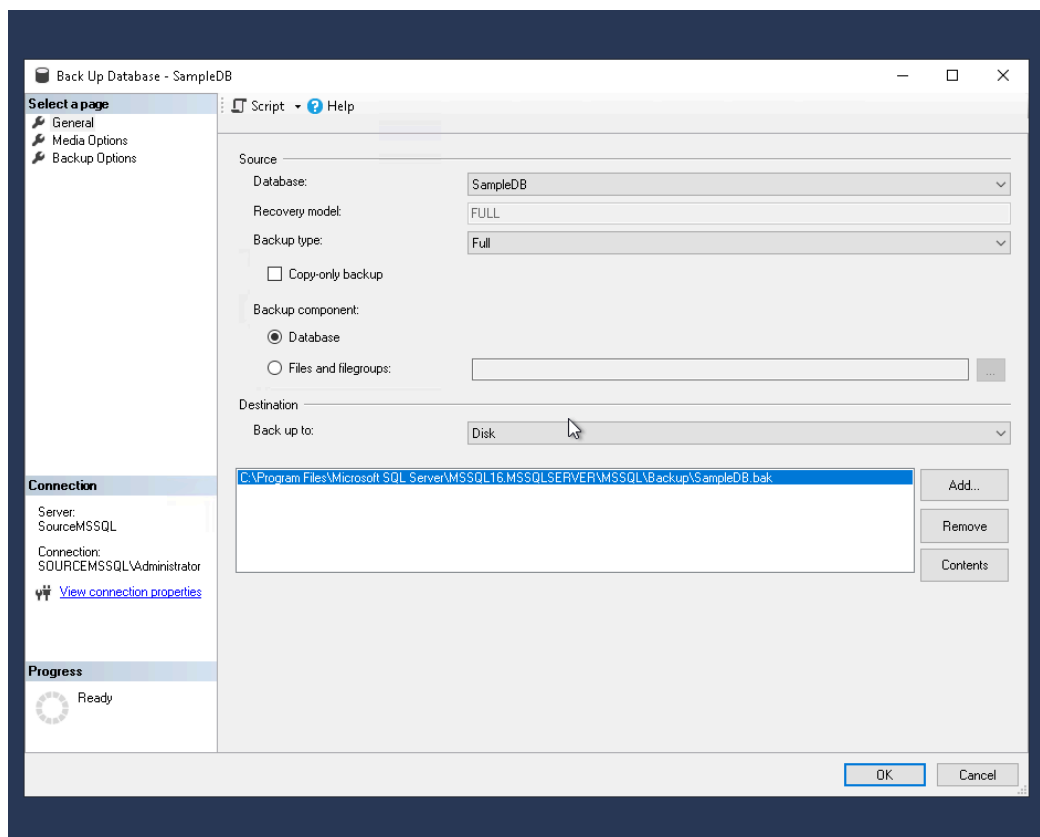
Then Restart the MSSQL server.

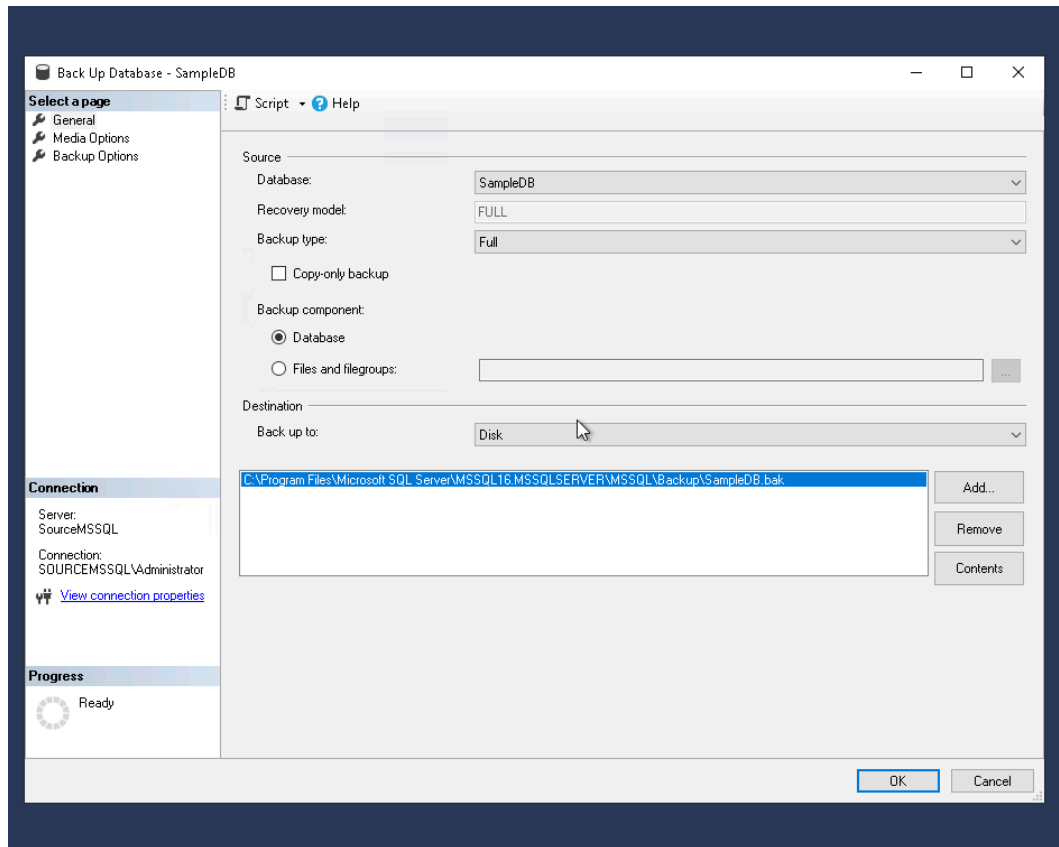


Now head over to the SQL Studio Management. Make a new Availability group using the GUI.

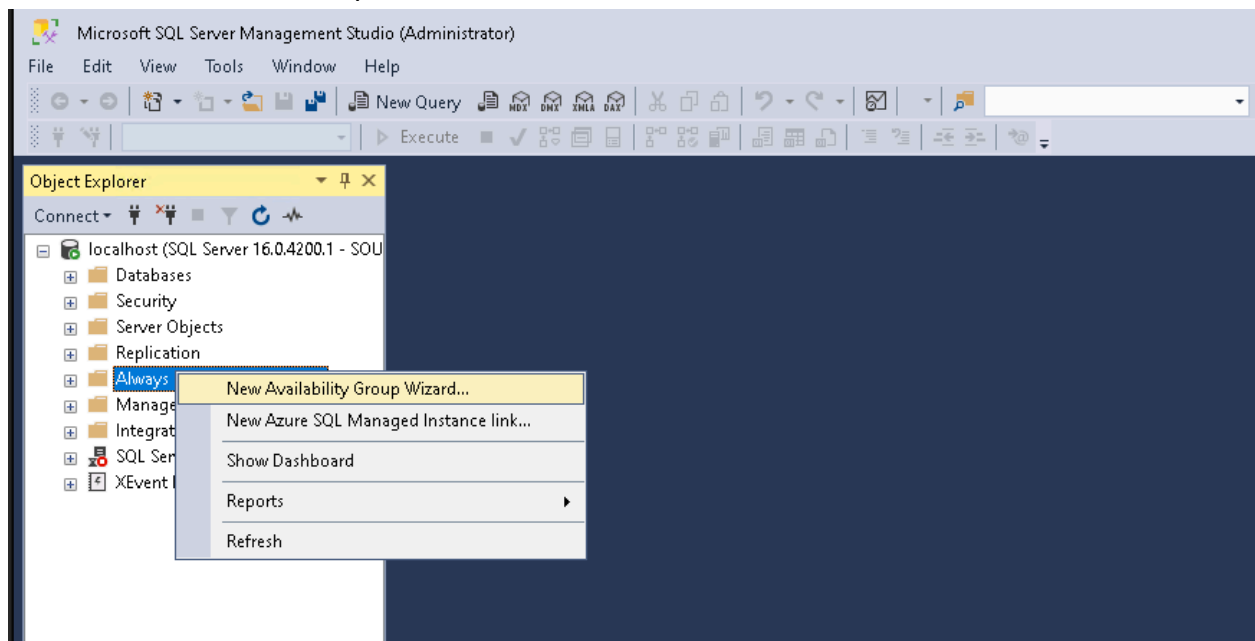


**Critical note here: By default the BAG supports only one database in the availability group. For the database to be used like that, It MUST be fully backed up. The process is shown below.**

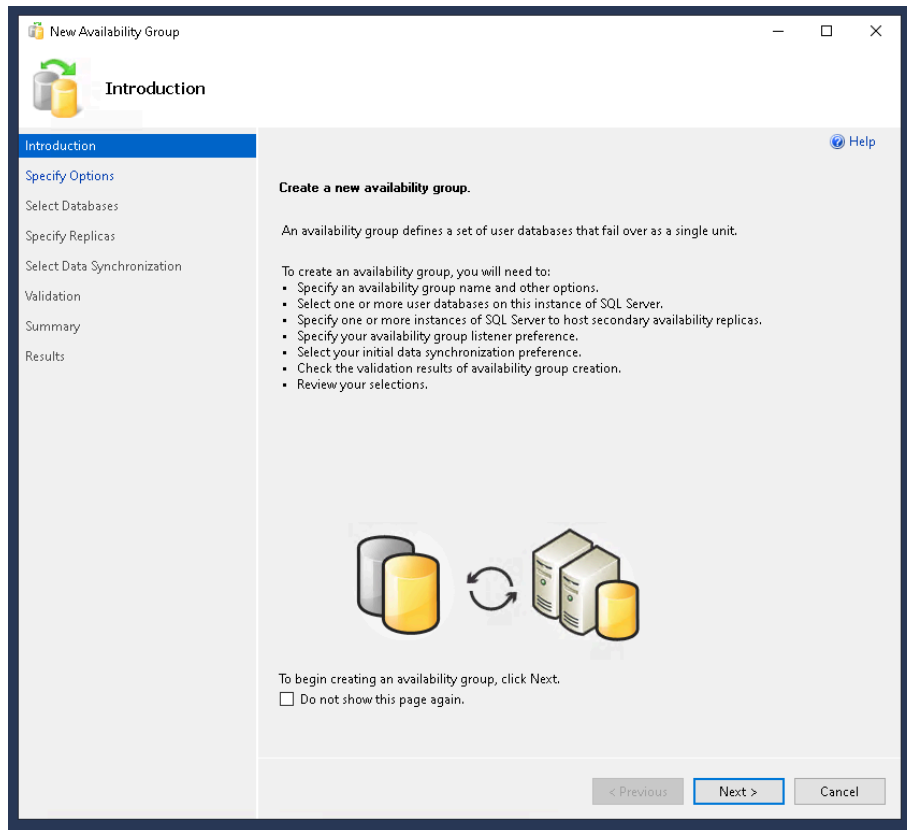




If the database is backed up, We'll continue here:



Select the new availability group wizard



Click Next



New Availability Group

### Specify Availability Group Options

Introduction  
Specify Options  
Select Databases  
Specify Replicas  
Select Data Synchronization  
Validation  
Summary  
Results

Help

**Specify availability group options**

Availability group name:

Cluster type:

☒ Database Level Health Detection  
☐ Per Database DTC Support  
☐ Contained  
☐ Reuse System Databases

< Previous   Next >   Cancel

Set Availability Group's name

New Availability Group

### Select Databases

Introduction  
Specify Options  
Select Databases  
Specify Replicas  
Select Data Synchronization  
Validation  
Summary  
Results

Help

**Select user databases for the availability group.**

User databases on this instance of SQL Server:

Name	Size	Status	Password
<input checked="" type="checkbox"/> SampleDB	784.0 MB	Full backup is required	

Refresh

< Previous   Next >   Cancel

Select the Database

This completes the BAG setup for our scenario !

Refer to the next doc (AWS Hybrid AD setup) for the next part. (◉ ʘ ◉)

### **Additional References:**

<https://www.youtube.com/watch?v=CbXtHGBVBjU>

<https://techcommunity.microsoft.com/blog/failoverclustering/workgroup-and-multi-domain-clusters-in-windows-server-2016/372059>

<https://docs.aws.amazon.com/sql-server-ec2/latest/userguide/connect-sql-server-on-ec2-instance.html>