



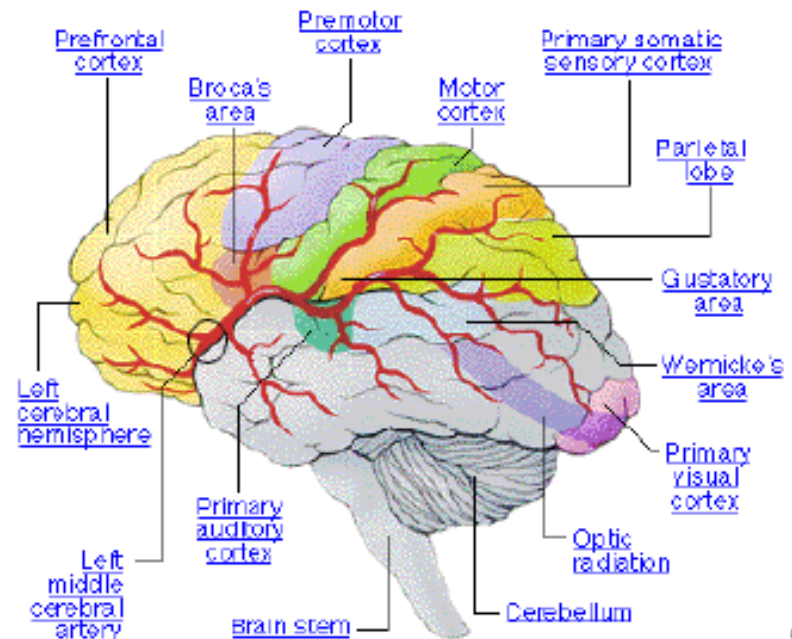
## SELF-ORGANIZING FEATURE MAP

INT301 Bio-computation, Week 13, 2021



# Self-Organizing Map – Biological Motivation

- Brain is a **self-organizing system** that can learn by itself by changing (adding, removing, strengthening) the interconnections between neurons.
- Neurons with similar functions are grouped together.





# Self-Organizing Map – Biological Motivation

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- Neurons with similar functions are grouped together.
- The brain processes multidimensional signals from the external world in a “2”-dimensional internal map.

THE JOURNAL OF COMPARATIVE NEUROLOGY 191:255-281 (1980)

## Two-Dimensional Maps of the Cerebral Cortex

D. C. VAN ESSEN AND J. H. R. MAUNSELL  
*Division of Biology, California Institute of Technology, Pasadena, California  
91125*





# Feature Maps

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- Result of the **brain's self-organization**
  - formation of **feature maps** in the brain that have a linear or planar topology (that is, they extend in one or two dimensions)
- Examples:
  - **tonotopic map** - sound frequencies are spatially mapped into regions of the cortex in an orderly progression from low to high frequencies.
  - **retinotopic map** - visual field is mapped in the visual cortex with higher resolution for the centre of the visual field
  - **somatosensory map** - mapping of touch



# Feature Maps

- Sensory experience is multidimensional
  - E.g. sound is characterised by pitch, intensity, noise...
- The brain maps the external multidimensional representation of the world into a similar 1 or 2 dimensional **internal representation**.
- That is, the brain processes the external signals in a **topology-preserving way**.
- So, if we are to have a hope of mimicking the way the brain learns, our system should be able to do the same thing.



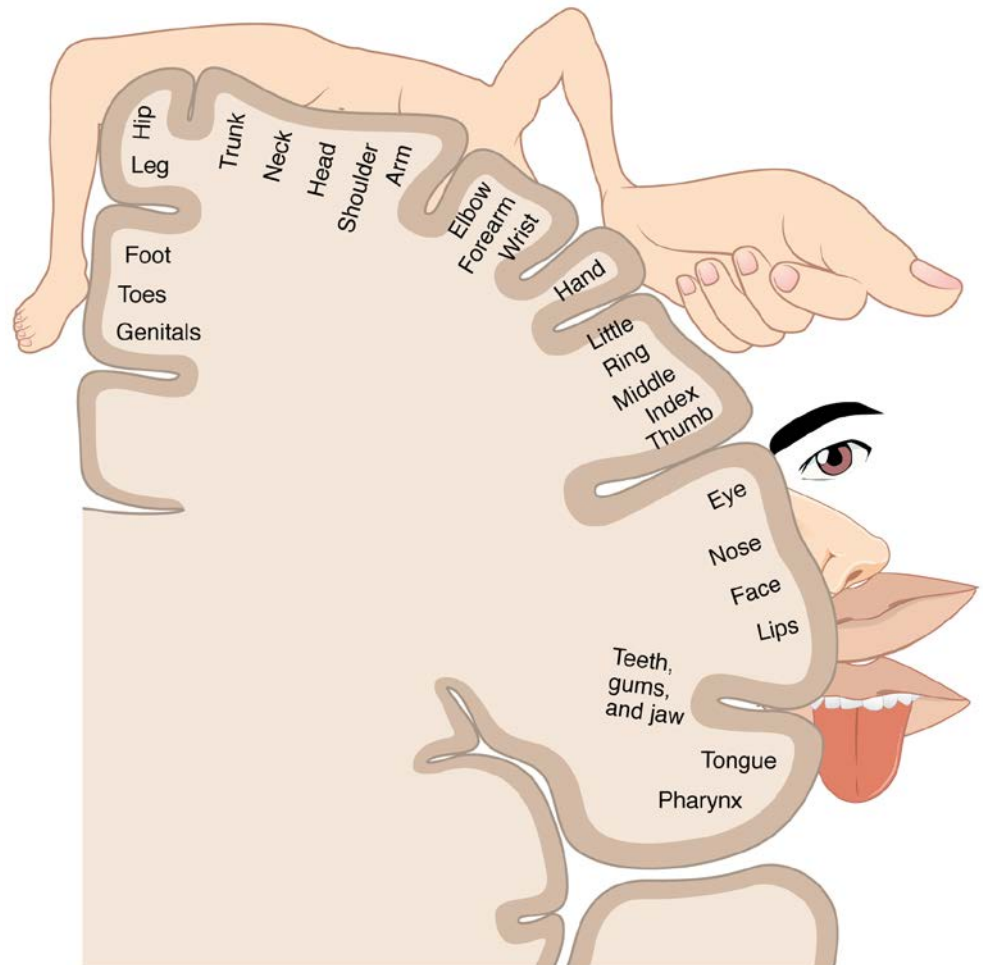
# Topographic Maps

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- Extend the ideas of competitive learning to incorporate the neighborhood around inputs and neurons
- We want a nonlinear transformation of input pattern space onto output feature space which preserves neighbourhood relationship between the inputs
  - A feature map where nearby neurons respond to similar inputs
  - Neurons selectively tune to particular input patterns in such a way that the neurons become ordered with respect to each other so that a meaningful coordinate system for different input features is created

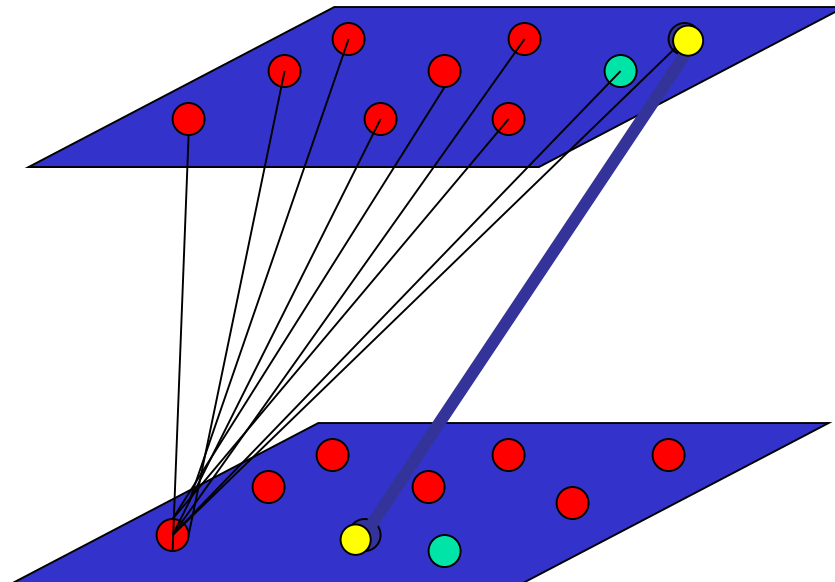
# Topographic Maps

- E.g. the cortical homunculus, a map of somatosensory areas of the brain



# Topographic Maps

- Spatial locations are indicative of the intrinsic statistical features of the input patterns: i.e., close in the input → close in the output







# Topographic Maps

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- Activity-based self-organization (von der Malsburg)
- Incorporation of competitive and cooperative mechanisms to generate feature maps using unsupervised learning networks

*Proc. R. Soc. Lond. B.* **194**, 431–445 (1976)

*Printed in Great Britain*

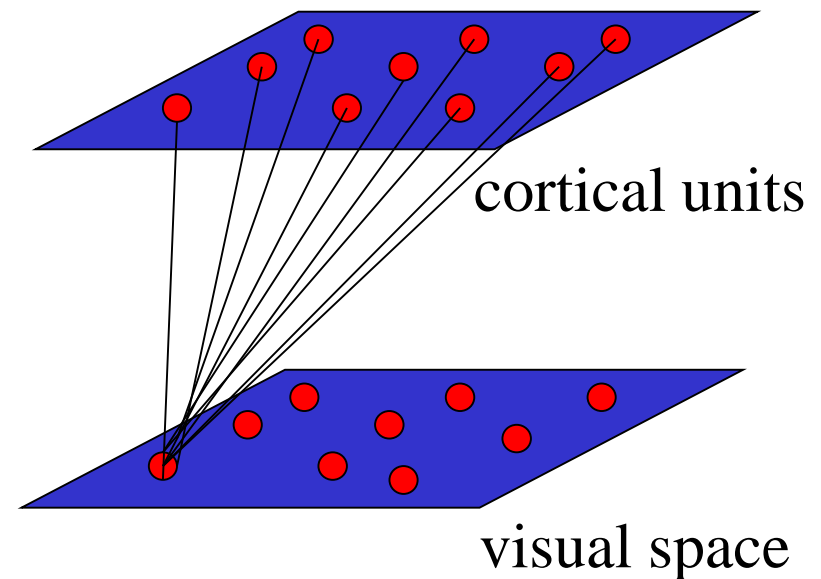
How patterned neural connections can be set up  
by self-organization

BY D. J. WILLSHAW AND C. VON DER MALSBURG

*Max-Planck-Institut für Biophysikalische Chemie,  
Abteilung Neurobiologie, 3400 Göttingen, B.R.D.*

# Topographic Maps

- **Biologically motivated:** how can activity-based learning using highly interconnected circuits lead to orderly mapping of visual stimulus space onto cortical surface?
- 2 layer network each cortical unit fully connect to visual space via Hebbian units
- Interconnections of cortical units described by 'Mexican-hat' function: short-range excitation and long-range inhibition





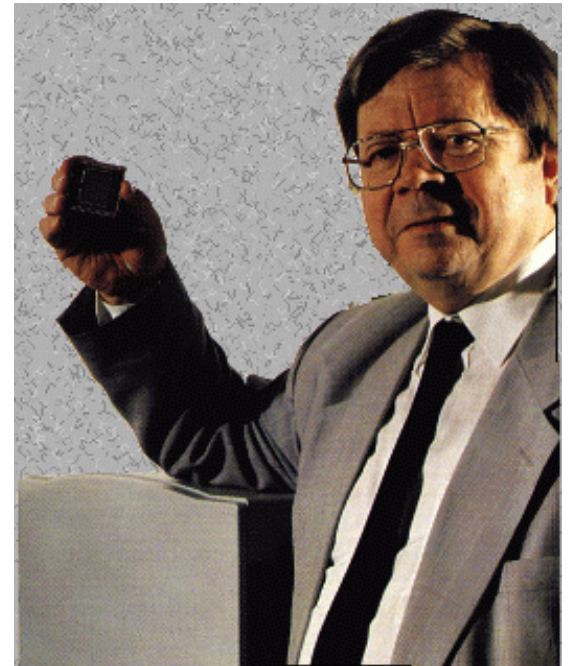
# Topographic Maps

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- Activity-based self-organization (von der Malsburg): after learning, a topographic map appears. However, input dimension is the same as output dimension
- Kohonen simplified this model and called it **Kohonen's self-organizing map (SOM) algorithm**
  - more general as it can perform **dimensionality reduction**
  - SOM can be viewed as a **vector quantization** type algorithm

# Self-Organizing Map (SOM)

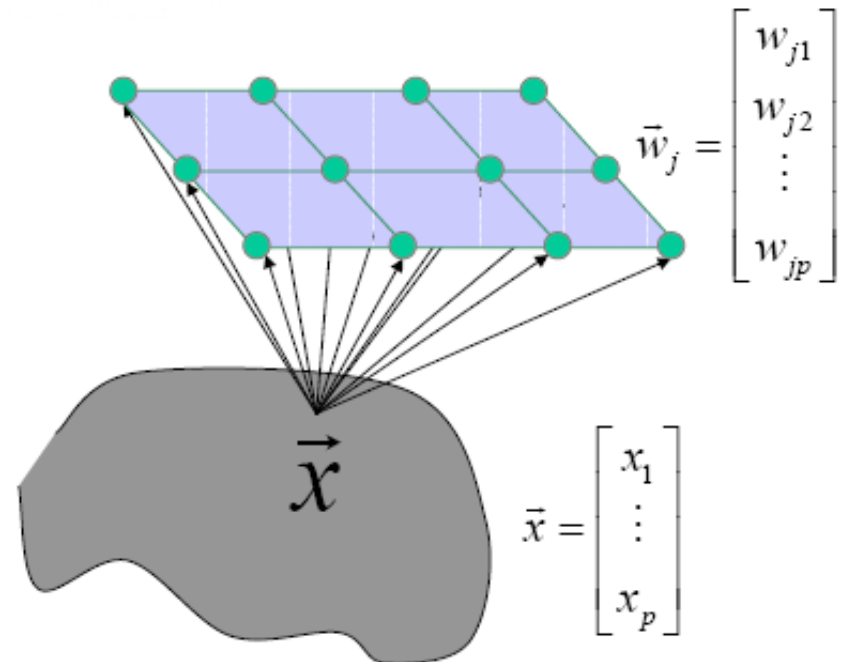
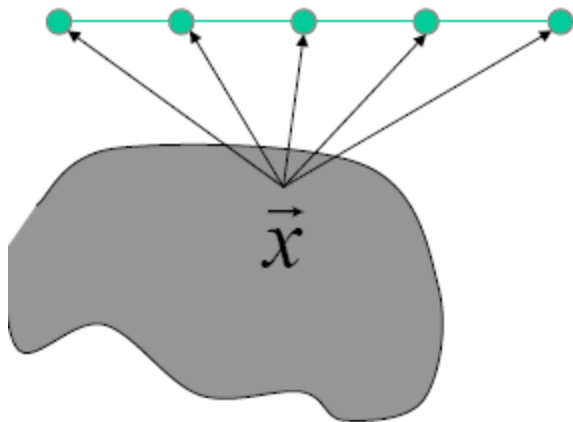
- a.k.a. as Kohonen networks
  - represents the embodiment of the ideas we have discussed so far
  - named after Dr. Eng. Teuvo Kohonen, Helsinki Uni of Technology



# SOM

- The idea in an SOM is to transform an input of arbitrary dimension into a 1 or 2 dimensional discrete map

## Two possible architectures







# SOM

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- The idea in an SOM is to transform an input of arbitrary dimension into a 1 or 2 dimensional discrete map
- Again, 2 layers of neurons with all inputs connecting to each output. Output neurons are held in a one or (usually) 2D lattice, where position in the lattice defines the distance between the neurons



# SOM

- Once weights of net initialized, algorithm comprises 3 processes:
- **1. Competition**
  - Given an input pattern, outputs compete to see who is winner based on a discriminant function (e.g. similarity of input vector and weight vector)
- **2. Cooperation**
  - Winning neuron determines spatial location of a topological neighborhood within which output neurons excited
- **3. Synaptic Adaptation**
  - Excite neurons adapt weights so that value of discriminant function increases (a similar input would result in enhanced response from winner)



# SOM Training Algorithm

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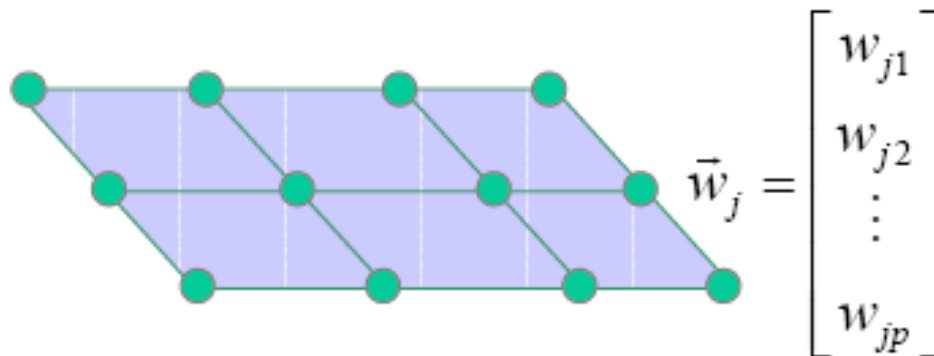
- Competition
- Cooperation
- Synaptic Adaptation

## Learning Principle

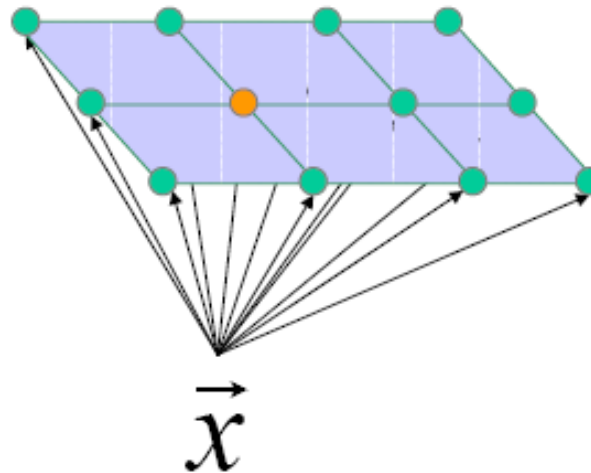
Competitive learning where winning "spills over" to neighbors

# Initialization

Grid: size and structure fixed a priori (most of the times, 2-dimensional grid are used)



# Competitive Process



**Winner neuron**

$$= \arg \max_j (w_j^T \mathbf{x})$$

$$= \arg \min_j (\| \mathbf{x} - \mathbf{w}_j \|)$$

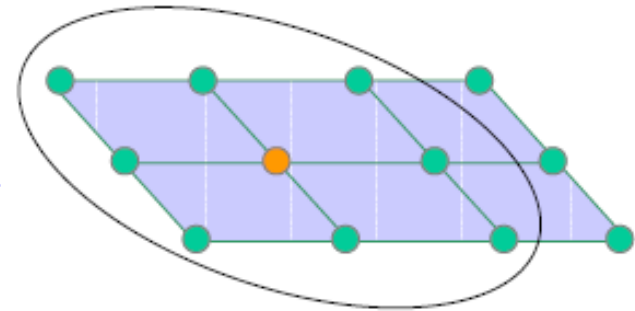
A continuous input space of activation patterns is mapped onto a discrete output space of neurons by a process of competition among the neurons in the network.



# Cooperative Process

“ The winning neuron locates the center of a topological neighborhood of cooperating neurons”

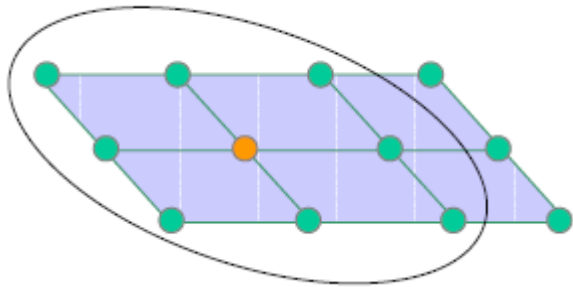
“ ... a neuron that is firing tends to excite the neurons in its immediate neighborhood more than those further away from it ...”



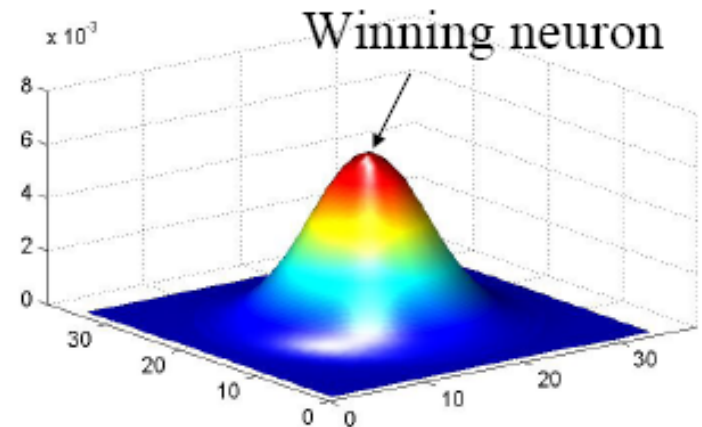
# Cooperative Process

## The topological neighborhood $h_{j,i}$

- symmetric around the winning neuron and achieve its maximum value at the winning neuron
- the amplitude decreases monotonically with the increasing lateral distance



$$h_{j,i} = \exp\left(-\frac{d_{j,i}^2}{2\sigma^2}\right)$$





# Cooperative Process

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- The topological **neighborhood**  $h_{j,i}$  *shrinks with time*

$$\sigma(t) = \sigma_0 \exp\left(-\frac{t}{\tau_1}\right) \quad h_{j,i}(t) = \exp\left(-\frac{d_{j,i}^2}{2\sigma^2(t)}\right)$$

- **Neighbors** of the winning node are also allowed to update, even if they are not close to winning!

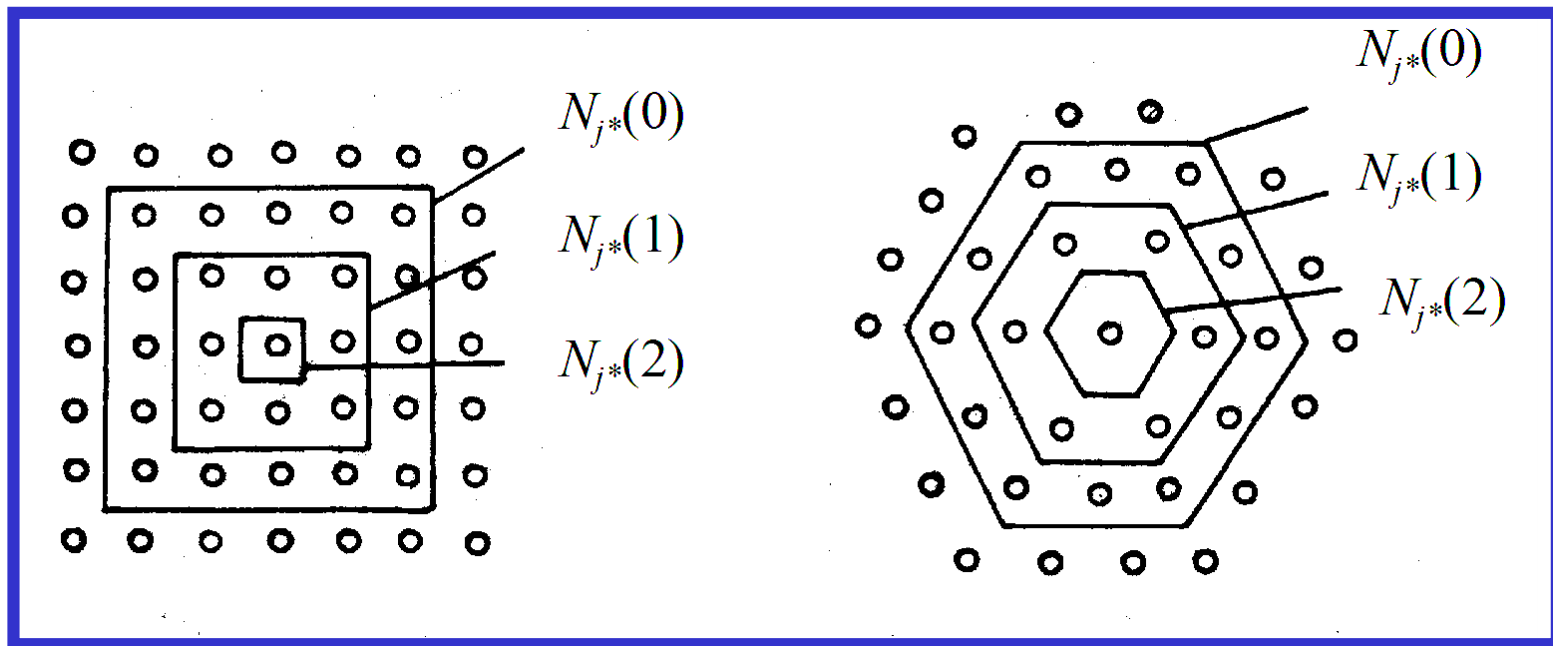


# Neighborhood

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- Large neighborhood
  - Good global ordering
  - Bad local fit
- Small neighborhood
  - Bad global ordering
  - Good local fit
- By gradually shrinking the neighborhood we can get the best of both!
  - Ordering phase (large neighborhood)
  - Convergence phase (small neighborhood)

# Neighborhood



Using a planar array of neurons with **rectangular** or **hexagonal** neighborhoods, an input vector  $\mathbf{x}$  is applied simultaneously to all nodes.





# Adaptive Process

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Update the weights in relation to the inputs

$$w_j(t+1) = w_j(t) + \boxed{\eta(t)} \boxed{h_{j,i}(t)} (x - w_j(t))$$

Learning rate

$$\eta(t) = \eta_0 \exp\left(-\frac{t}{\tau_1}\right)$$

Neighborhood function

$$h_{j,i}(t) = \exp\left(-\frac{d_{j,i}^2}{2\sigma^2(t)}\right)$$

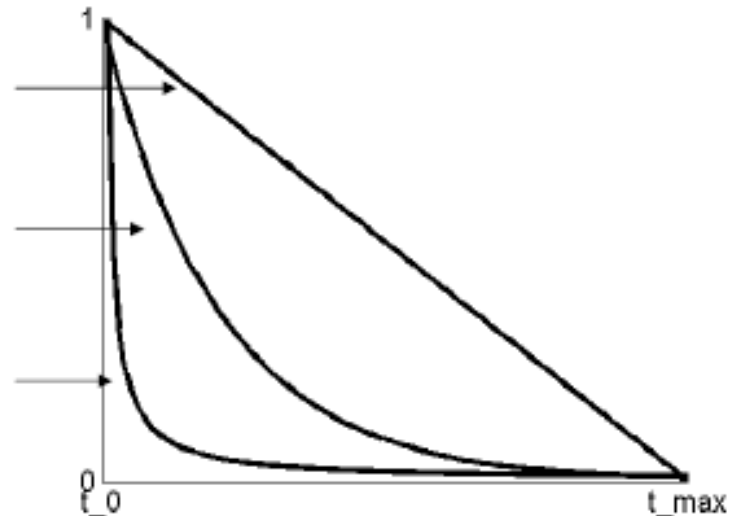
# Learning Rate

Possible options:

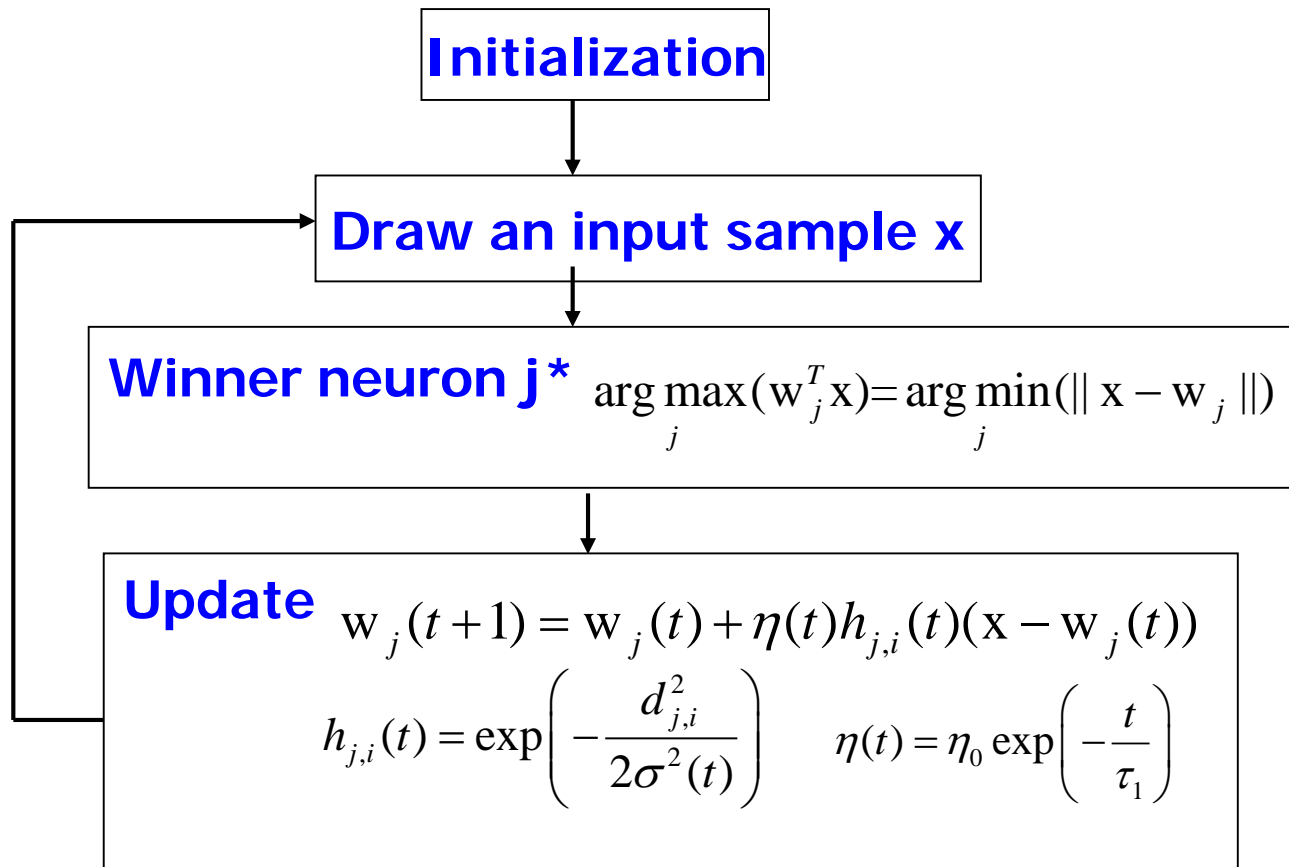
$$\eta(t) = -at + b$$

$$\eta(t) = \exp(-at) + b$$

$$\eta(t) = \frac{1}{at} + b$$



# Summary





# Property of SOM

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- Approximate the input space
- Topological ordering
- Density matching
- Feature selection (features of the underlying distribution)



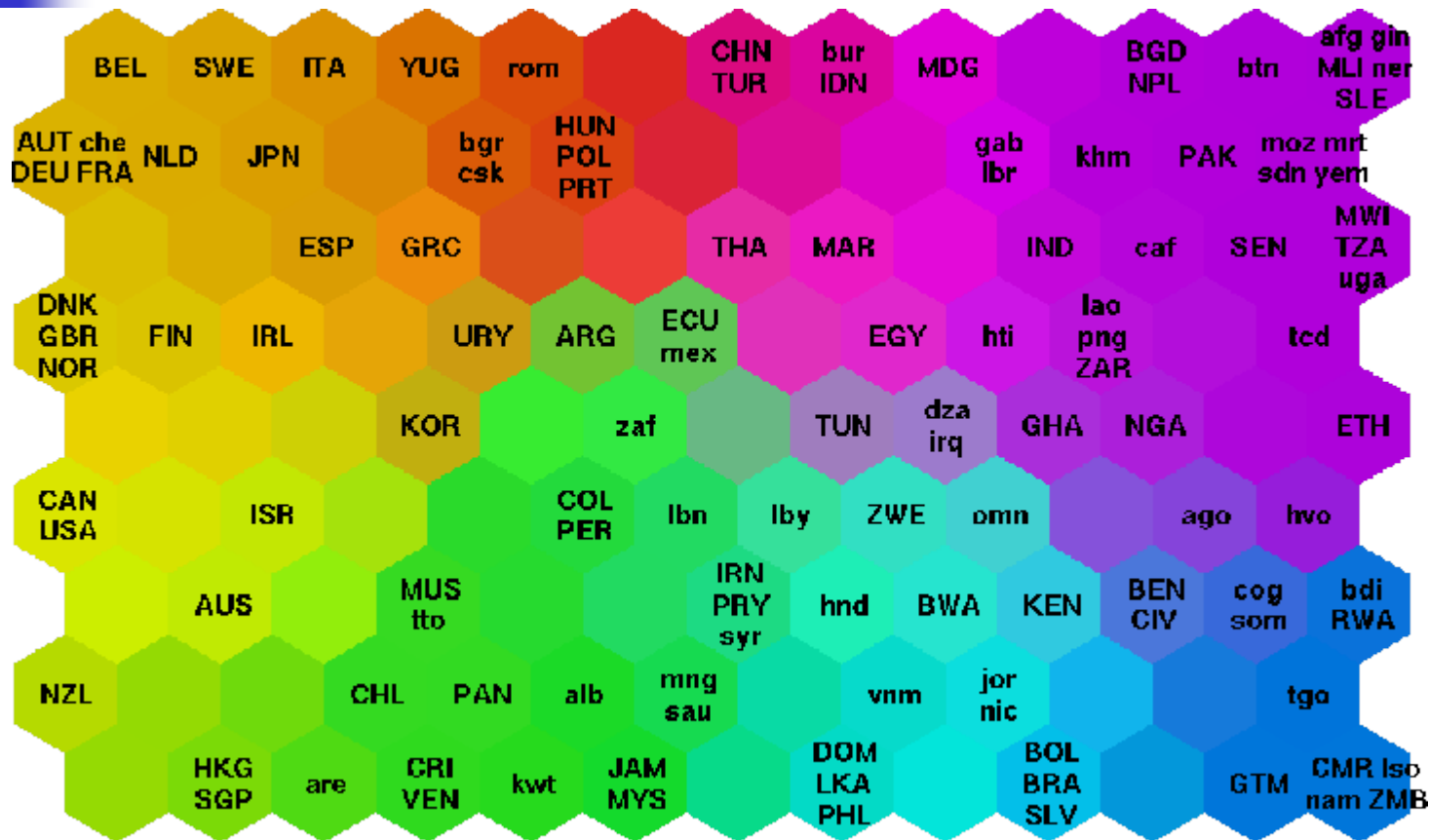
# Example: World Poverty Map

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- World Bank statistics of countries in 1992
  - 39 features describing various quality-of-life factors, such as state of health, nutrition, educational services
  - countries that had similar values of the indicators found a place near each other on the map
  - different clusters on the map were automatically encoded with different bright colors, nevertheless so that colors change smoothly on the map display
  - as a result of this process, each country was in fact automatically assigned a color describing its poverty type in relation to other countries
  - the poverty structures of the world can then be visualized in a straightforward manner: each country on the geographic map has been colored according to its poverty type.



# Example: World Poverty Map

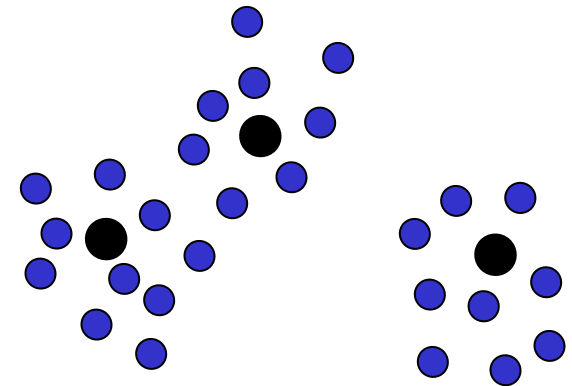


Data set (World Bank 1992) has 39 indicators describing various quality-of-life factors, such as state of health, nutrition, educational services, etc.

Recall:

# Unsupervised Competitive Learning

- . initialize K prototype vectors
- . present a single example
- . identify the closest prototype, i.e., the so-called *winner*
- . move the winner even closer towards the example

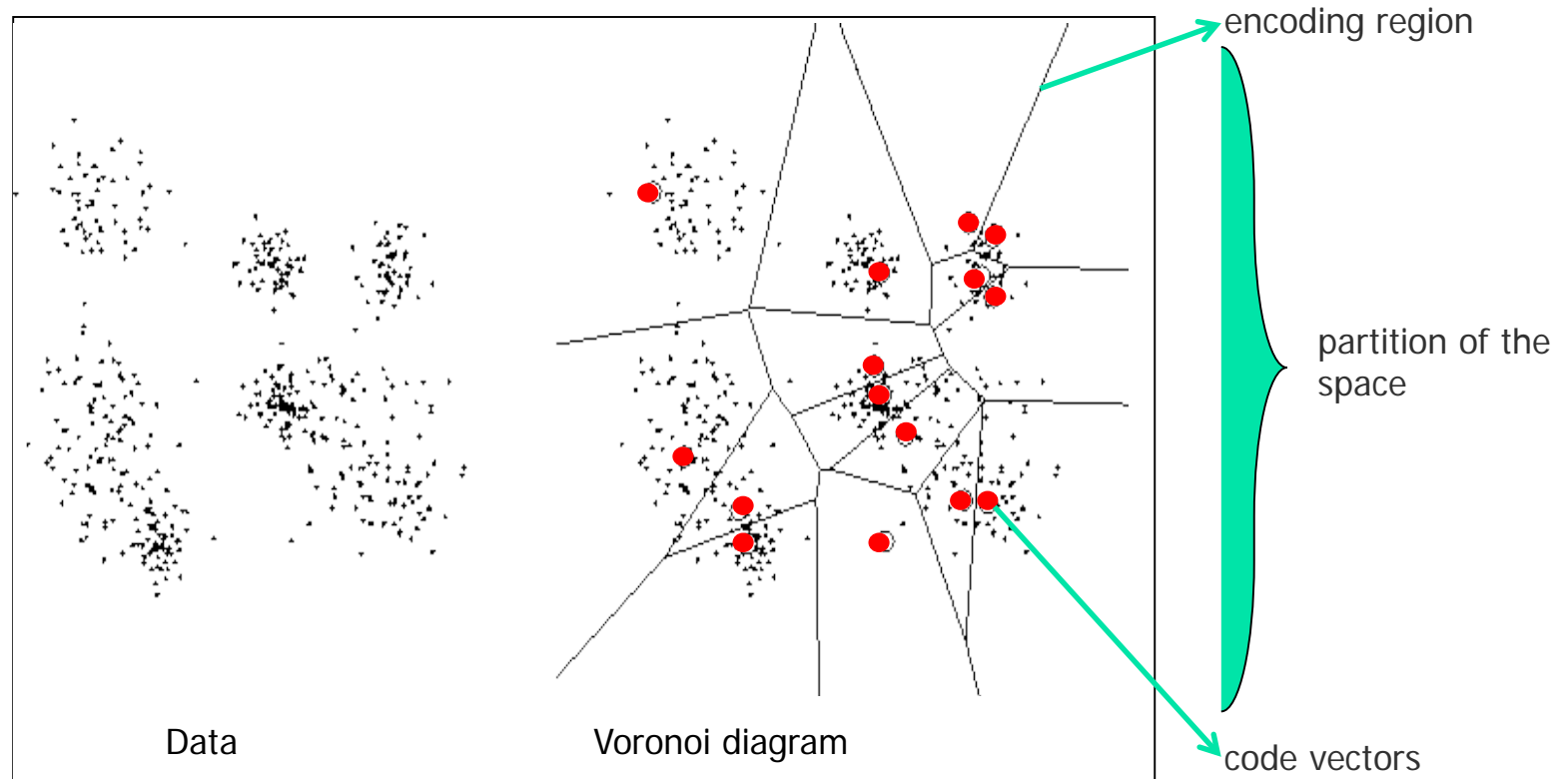


Intuitively clear, plausible procedure

- places prototypes in areas with high density of data
- identifies the most relevant combinations of features

Recall:

# Vector Quantization



A Voronoi diagram is a partitioning of a plane into regions based on distance to points in a specific subset of the plane. For each seed there is a corresponding region consisting of all points closer to that seed than to any other. These regions are called Voronoi cells.



# More on Vector Quantization

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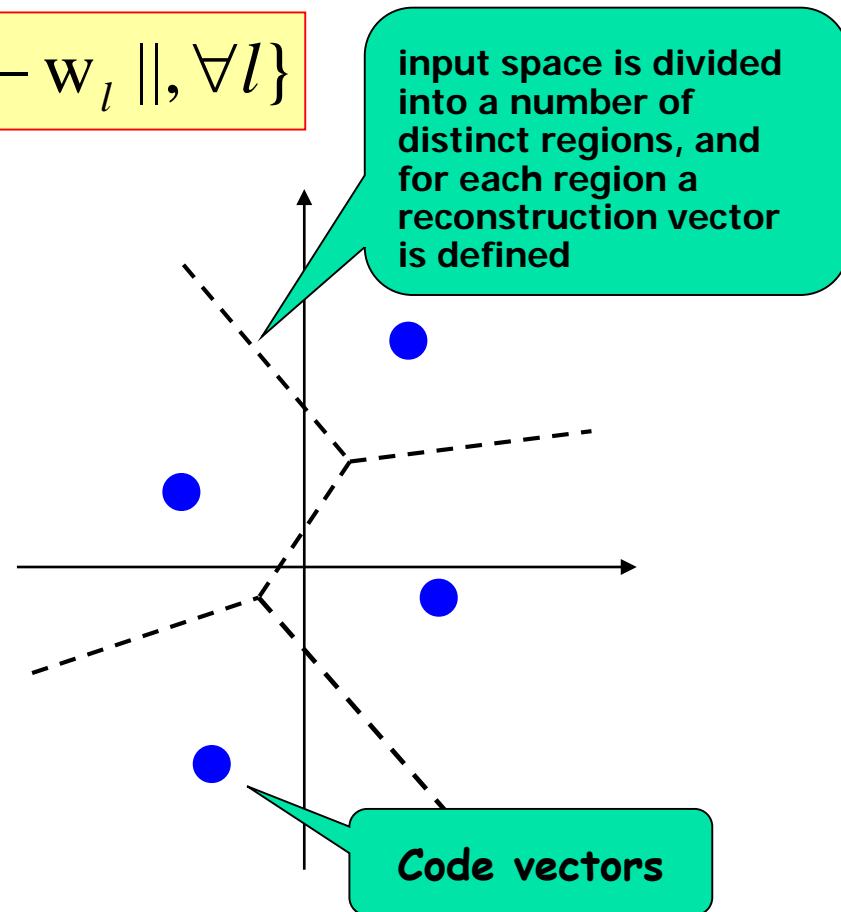
- In VQ techniques, a number of local Voronoi centers are formed to **represent input vectors**.
- For a set of  $M$  reference vectors,  $\{\mathbf{w}_1, \dots, \mathbf{w}_M\}$ , an input vector  $\mathbf{x}$  is considered being *best matched* by  $\mathbf{w}_k$  in the sense that an appropriately defined distortion measure such as the squared Euclidean distance  $\|\mathbf{x} - \mathbf{w}_k\|^2$  is minimal.
- The reference vectors partition the input space  $R^L$  into the Voronoi cells/polygons defined as

$$V_k = \{\mathbf{x} \in R^L \mid \|\mathbf{x} - \mathbf{w}_k\| \leq \|\mathbf{x} - \mathbf{w}_l\|, \forall l\}$$

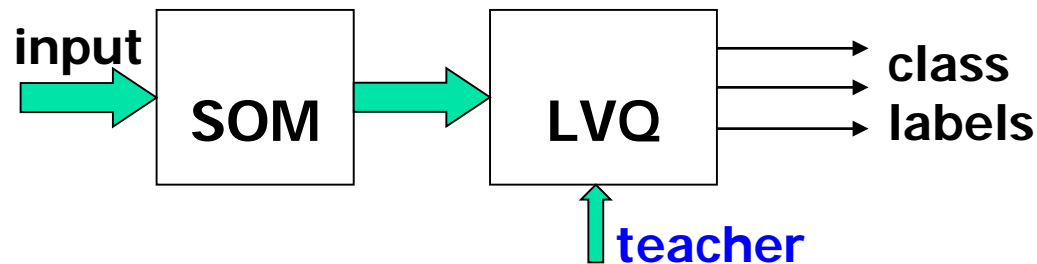
# Vector Quantizer

$$V_k = \{x \in R^L \mid \|x - w_k\| \leq \|x - w_l\|, \forall l\}$$

- The collection of possible reference vectors is called the *codebook* of the quantizer, and its members are called *code vectors*.
- The SOM algorithm provides *an approximation method* for computing the Voronoi vectors in unsupervised manner.



# Learning Vector Quantizer (LVQ)



- LVQ is a **supervised learning** technique that uses **class information** to move the Voronoi vectors slightly, so as to improve the quality of the classifier decision regions.



# LVQ1

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- An input vector **x** is picked at random from the input space.
- If the class labels of the input vector **x** and a Voronoi vector **w** agree, the Voronoi vector w is moved **in the direction** of the input vector x.
- If the class labels of the input vector **x** and the Voronoi vector **w** disagree, the Voronoi vector w is moved **away from** the input vector x.

**if the winner belongs to the right class**

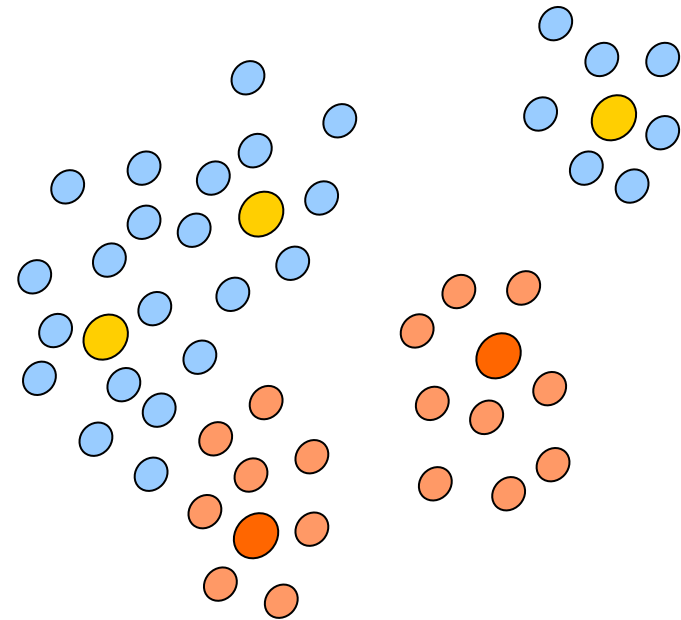
$$w^{new} = w^{old} + \eta(x - w)$$

**if the winner belongs to the wrong class**

$$w^{new} = w^{old} - \eta(x - w)$$

# LVQ2

- Initialize prototype vectors (for different classes)
- Present a single example
- Identify closest **correct** and closest **wrong** prototypes
- Move the corresponding **winner** towards / away from the example







# LVO Discussion

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- Stopping criteria
  - Codebook vectors have stabilized
  - Or maximum number of epochs has been reached
- Advantages
  - Appear plausible, intuitive, flexible
  - Fast and easy to implement
  - Frequently applied in a variety of problems involving the classification of structured data
- Disadvantages
  - Not stable for overlapping classes
  - Very sensitive to initialization



# THANK YOU



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