# AexPy: Detecting API Breaking Changes in Python Packages

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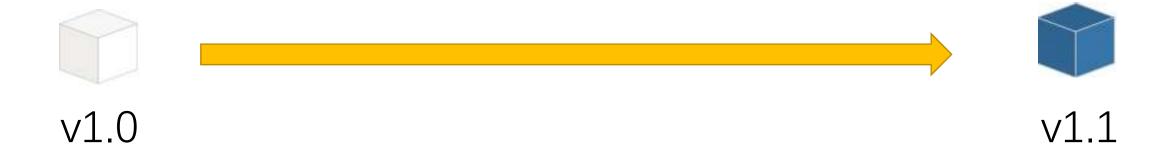




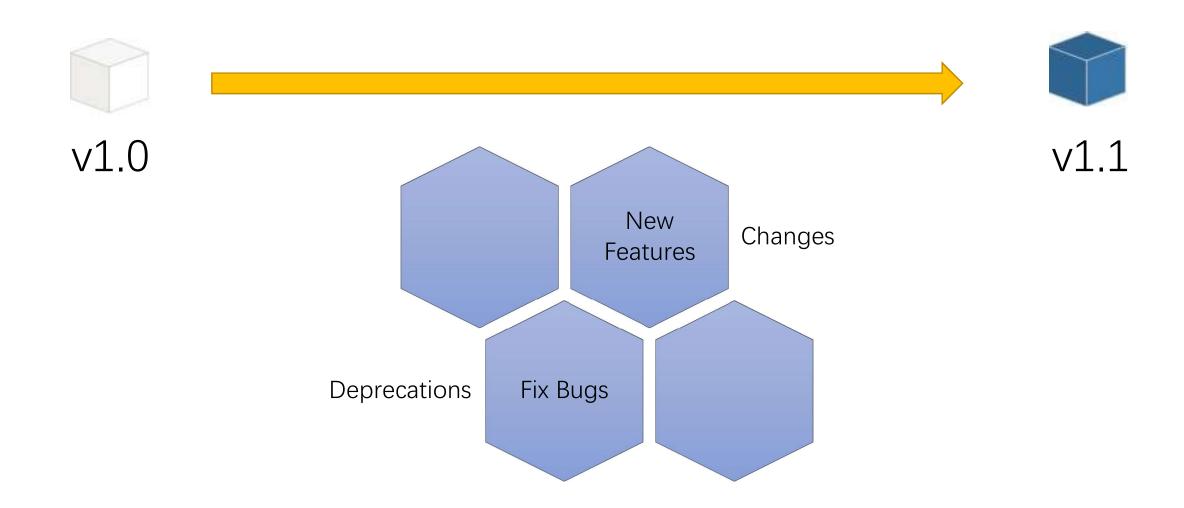
# The Popular Python



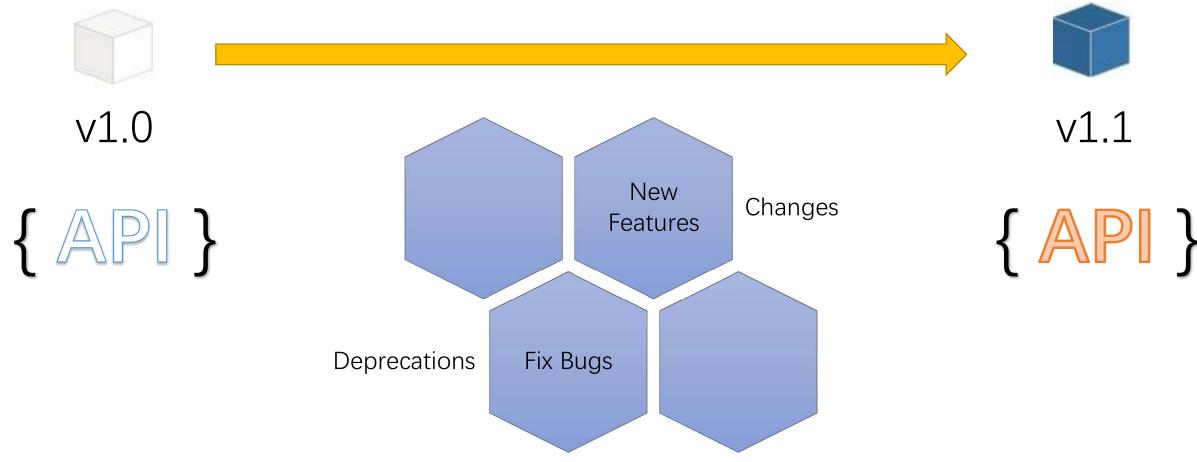
# Difficulty on Maintaining Packages



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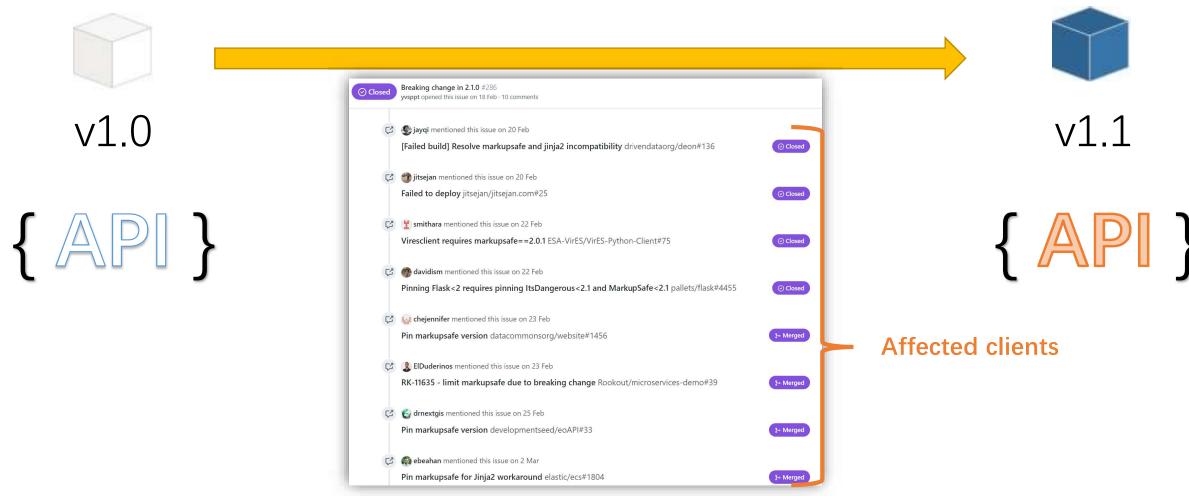


# Difficulty on Maintaining Packages



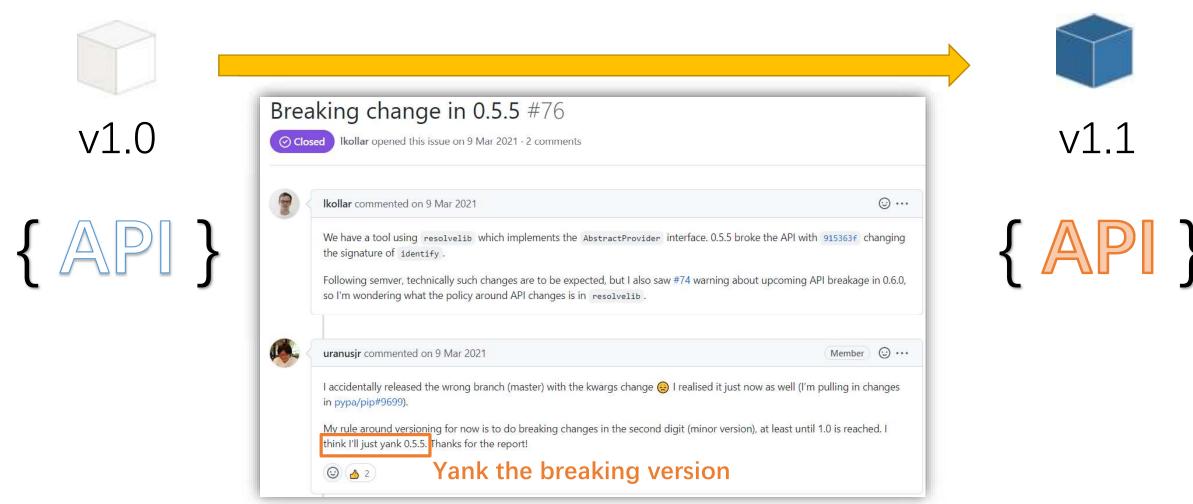


# Difficulty on Maintaining Packages

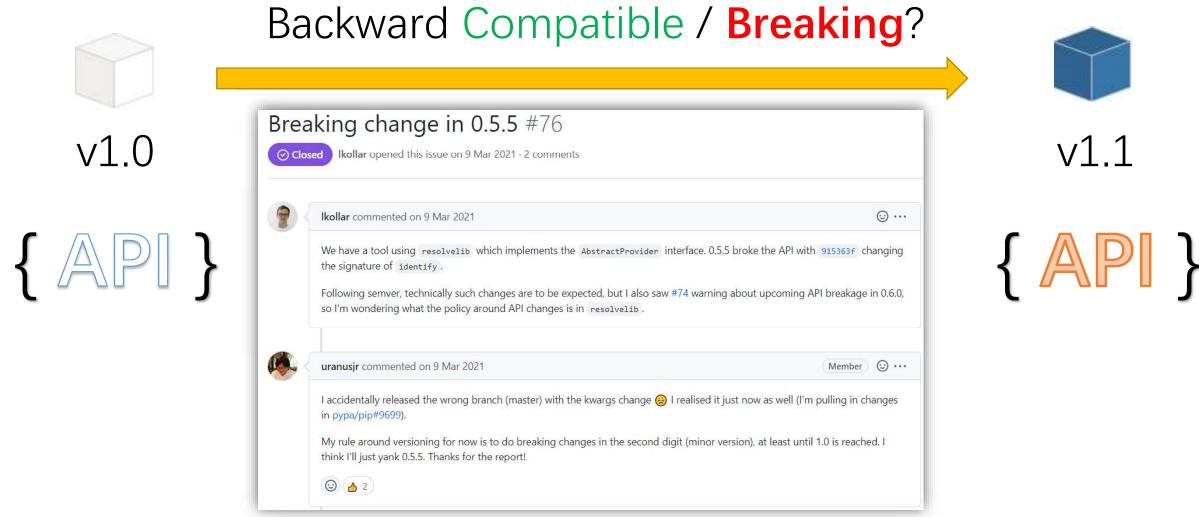


Breaking change in 2.1.0 · Issue #286 · pallets/markupsafe (github.com)

# Difficulty on Maintaining Packages



# Difficulty on Maintaining Packages



# Difficulty on Maintaining Packages

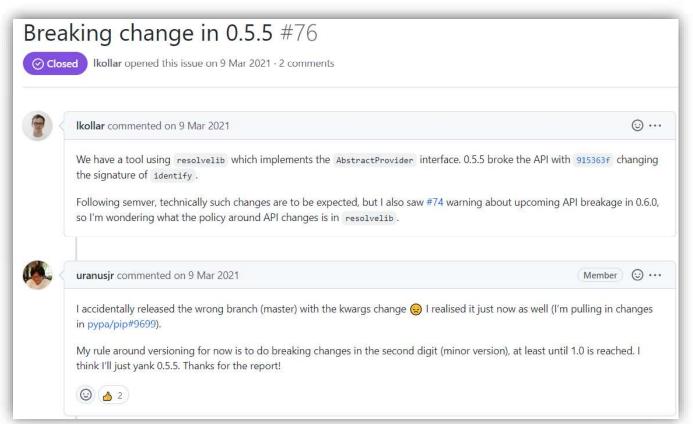
Manually check?



v1.0



# Backward Compatible / Breaking?





v1.1



# Difficulty on Maintaining Packages

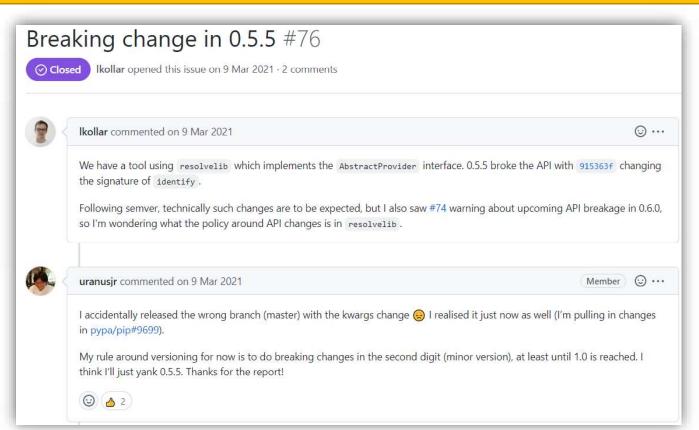
Automatically check by AexPy!



v1.0



# Backward Compatible / Breaking?





v1.1



# Challenges

### Dynamic Language Features

- Programming behaviors at runtime
- Dynamic type system with duck-typing

### Complex API References

- Importing and renaming
- Same API but different names

#### Fake Private Members

- Fuzzy API accessibility / visibility
- Custom naming-conventions in case of aliasing

- Required or optional parameters
- Positional, keyword, or variadic parameters

```
from os import path
from data import _store as write
class A:
   def __init__(self):
       self. x = 0
        self.bound = 10
   @property
   def x(self): return self._x
   @x.setter
    def x(self, val: "int"): self. x = val
    def __iter__(self) -> "Optional[A]": return self
   def next (self):
       if self.x < self.bound:
           self.x += 1
           return self.x
        else:
           raise StopIteration
def test(pos, /, posOrKw, *args, kw = None, **kwargs):
    print(pos, posOrKw, kw, args, kwargs)
```

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```
from os import path
from data import _store as write
class A:
   def init (self):
       self. x = 0
       self.bound = 10
   @property
   def x(self): return self._x
   @x.setter
    def x(self, val: "int"): self. x = val
    def iter (self) -> "Optional[A]": return self
   def next (self):
       if self.x < self.bound:
           self.x += 1
           return self.x
       else:
           raise StopIteration
def test(pos, /, posOrKw, *args, kw = None, **kwargs):
    print(pos, posOrKw, kw, args, kwargs)
```

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- Required or optional parameters
- Positional, keyword, or variadic parameters

```
from os import path
from data import _store as write
class A:
   def __init__(self):
                        Attributes in constructor
       self. x = 0
       self.bound = 10
   @property
   def x(self): return self._x
   @x.setter
   def x(self, val: "int"): self. x = val
   def iter (self) -> "Optional[A]": return self
   def next (self):
       if self.x < self.bound:
           self.x += 1
           return self.x
       else:
           raise StopIteration
def test(pos, /, posOrKw, *args, kw = None, **kwargs):
   print(pos, posOrKw, kw, args, kwargs)
```

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- Positional, keyword, or variadic parameters

```
from os import path
from data import _store as write
class A:
   def init (self):
       self. x = 0
       self.bound = 10
   @property Decorators to modify APIs at runtime
   def x(self): return self. x
   @x.setter
   def x(self, val: "int"): self. x = val
   def iter (self) -> "Optional[A]": return self
   def next (self):
       if self.x < self.bound:
           self.x += 1
           return self.x
       else:
           raise StopIteration
def test(pos, /, posOrKw, *args, kw = None, **kwargs):
   print(pos, posOrKw, kw, args, kwargs)
```

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# Flexible Argument Passing

- Required or optional parameters
- Positional, keyword, or variadic parameters

```
from os import path
from data import _store as write
class A:
   def init (self):
        self. x = 0
        self.bound = 10
   @property
   def x(self): return self._x
   @x.setter
    def x(self, val: "int"): self. x = val
   def __iter__(self) -> "Optional[A]": return self
   def next (self):
       if self.x < self.bound:
           self.x += 1
           return self.x
        else:
           raise StopIteration
```

Duck-typing: class A is a virtual subclass of Iterable
def test(pos, /, posOrKw, \*args, kw = None, \*\*kwargs):
 print(pos, posOrKw, kw, args, kwargs)

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```
from os import path
from data import _store as write
class A:
   def init (self):
       self. x = 0
       self.bound = 10
   @property
   def x(self): return self._x
   @x.setter
   def x(self, val: "int"): self. x = val
   def __iter__(self) -> "Optional[A]"
                                        return self
                   Optional type annotations
   def __next__(self):
       if self.x < self.bound:
           self.x += 1
           return self.x
       else:
           raise StopIteration
def test(pos, /, posOrKw, *args, kw = None, **kwargs):
   print(pos, posOrKw, kw, args, kwargs)
```

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## Flexible Argument Passing

- Required or optional parameters
- Positional, keyword, or variadic parameters

#### Same API but different aliases

```
from os import path
                                  data._store
from data import _store as write
                                     write
class A:
   def init (self):
        self. x = 0
        self.bound = 10
   @property
   def x(self): return self._x
   @x.setter
    def x(self, val: "int"): self. x = val
    def iter (self) -> "Optional[A]": return self
   def next (self):
       if self.x < self.bound:
           self.x += 1
           return self.x
        else:
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def test(pos, /, posOrKw, *args, kw = None, **kwargs):
    print(pos, posOrKw, kw, args, kwargs)
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```
from os import path
from data import _store as write
class A:
   def __init__(self):
        self_x = 0
        self.bound = 10
Developers' convention, but still accessible for clients
   @property
   def x(self): return self._x
   @x.setter
   def x(self, val: "int"): self. x = val
    def iter (self) -> "Optional[A]": return self
   def next (self):
       if self.x < self.bound:
           self.x += 1
           return self.x
        else:
           raise StopIteration
def test(pos, /, posOrKw, *args, kw = None, **kwargs):
    print(pos, posOrKw, kw, args, kwargs)
```

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#### Different visibilities of aliases

```
from os import path
                                  data._store (private)
from data import _store as write
                                     write (public)
class A:
   def init (self):
        self. x = 0
        self.bound = 10
   @property
   def x(self): return self._x
   @x.setter
    def x(self, val: "int"): self. x = val
    def iter (self) -> "Optional[A]": return self
   def next (self):
       if self.x < self.bound:
           self.x += 1
           return self.x
        else:
           raise StopIteration
def test(pos, /, posOrKw, *args, kw = None, **kwargs):
    print(pos, posOrKw, kw, args, kwargs)
```

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from os import path
from data import _store as write
class A:
   def init (self):
       self. x = 0
       self.bound = 10
   @property
   def x(self): return self._x
   @x.setter
   def x(self, val: "int"): self. x = val
   def iter (self) -> "Optional[A]": return self
   def next (self):
       if self.x < self.bound:
           self.x += 1
           return self.x
       else:
           raise StopIteration
```

```
def test(pos, /, posOrKw, *args, kw = None, **kwargs):
    print(pos, posOrKw, kw, args, kwargs)
```

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```
from os import path
from data import _store as write
class A:
   def init (self):
       self. x = 0
       self.bound = 10
   @property
   def x(self): return self._x
   @x.setter
   def x(self, val: "int"): self. x = val
   def iter (self) -> "Optional[A]": return self
   def next (self):
       if self.x < self.bound:
   test(1, 2) Positional
def test pos, /, posOrKw, *args, kw = None, **kwargs):
   print(pos, posOrKw, kw, args, kwargs)
```

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from os import path
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class A:
   def init (self):
       self. x = 0
       self.bound = 10
   @property
   def x(self): return self._x
   @x.setter
   def x(self, val: "int"): self. x = val
   def iter (self) -> "Optional[A]": return self
   def next (self):
       if self.x < self.bound:
   test(1, 2) Positional
   test(1, posOrKw=2, kw=3)
                                    Keyword
def test(pos, /, posOrKw, *args, kw = None,
                                         **kwargs):
   print(pos, posOrKw, kw, args, kwargs)
```

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```
from os import path
from data import _store as write
class A:
   def init (self):
       self. x = 0
       self.bound = 10
   @property
   def x(self): return self._x
   @x.setter
   def x(self, val: "int"): self. x = val
   def iter (self) -> "Optional[A]": return self
   def next (self):
       if self.x < self.bound:
   test(1, 2) Positional
   test(1, posOrKw=2, kw=3) Keyword
   test(1, 2, 3, other=4) Variadic
def test(pos, /, posOrKw, *args, kw = None, **kwargs):
   print(pos, posOrKw, kw, args, kwargs)
```

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API Description

Extraction Method

Comparing Algorithm

API Description Extraction Method

Comparing Algorithm

Detailed API Model

Extraction Method

Comparing Algorithm

Detailed API Model

Hybrid Analysis Comparing Algorithm

Detailed API Model

Hybrid Analysis Constraint Checking

Detailed API Model

Hybrid Analysis Constraint Checking

Breaking Levels



Detection



Grading

- API model
- Dynamic reflection
- Static analysis

- Change classification
- API and parameter pairing
- Constraint-based comparing

- Breaking levels
- API scope and change content
- Type compatibility

Detailed API Model

Hybrid Analysis

Constraint Checking

Breaking Levels

# Extraction – API Model

```
from typing import Optional as opt
def func(a, b, /, c = []): pass
def _share(self): print(type(self))
class A:
    typeme = share
class B:
    typeme = share
    def g(self, c: "opt[B]" = None) -> "B | None":
        return c
    @property
    def x(self): return self. x
    @x.setter
    def x(self, val: "int"): self. x = val
    @staticmethod
    def h(*ar, **kw) -> str: return str(kw["v"])
class C(list, B):
    pass
```

### Module

Membership Aliases

### Class

Inheritance
Abstract base classes (ABCs)



#### Attribute

Instance attributes
Type

#### **Function**

Parameters Return type

# Extraction – API Model

```
from typing import Optional as opt
def func a, b, /, c = []): pass
def _share(self): print(type(self))
class A:
    typeme = share
class B:
    typeme = share
    def g(self, c: "opt[B]" = None) -> "B | None":
        return c
    @property
    def x(self): return self. x
    @x.setter
    def x(self, val: "int"): self. x = val
    @staticmethod
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class C(list, B):
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```

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#### **Attribute**

nstance attributes

Туре

#### **Function**

Parameters

Return type

# Extraction – API Model

```
from typing import Optional as opt
def func a, b, /, c = []): pass
def _share(self): print(type(self))
                            members(M).keys
class A:
    typeme = share
                                 "opt",
class B:
                                 "func",
    typeme = share
                                 "_share",
    def g(self, c: "opt[B]"
        return c
                                 "A",
    @property
                                 "C"
    def x(self): return self
    @x.setter
    def x(self, val: "int"): self. x = val
    @staticmethod
    def h(*ar, **kw) -> str: return str(kw["v"])
class C(list, B):
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```

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#### **Attribute**

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Туре

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Return type

# Extraction – API Model

```
from typing import Optional as opt
def func a, b, /, c = []): pass
def _share self): print(type(self))
                            members(M).keys
class A:
    typeme = share
                                "opt",
class B:
                                 "func",
    typeme = share
    def g(self, c: "opt[B]
                                  _share",
       return c
    @property
    def x(self): return self
    @x.setter
    def x(self, val: "int"): selt._x = val
    @staticmethod
    def h(*ar, **kw) -> str: return str(kw["v"])
class C(fist, B):
    pass
```

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#### **Attribute**

Instance attributes

Туре

#### Function

Parameters

Return type

# Extraction – API Model

```
from typing import Optional as opt
def func(a, b, /, c = []): pass
def _share(self): print(type(self))
class A:
   typeme = share
class B:
   typeme = share
    def g(self, c: "opt[B]" = None) -> "B | None":
        return c
    @property
    def x(self): return self. x
    @x.setter
    def x(self, val: "int"): self. x = val
   @staticmethod
   def h(*ar, **kw) -> str: return str(kw["v"])
 bases(C)
                abcs(C)
class C list, B:
                     Sequence
    pass
                      Iterable
```

#### Module

Membership Aliases

### Class

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#### **Attribute**

nstance attributes Type

#### Function

Parameters
Return type

# Extraction – API Model

```
from typing import Optional as opt
def func(a, b, /, c = []): pass
def _share(self): print(type(self))
class A:
   typeme = _share Normal attributes
class B:
   typeme = _share
    def g(self, c: "opt[B]" = None) -> "B | None":
        return c
               Instance attributes
    @property
    def x(self): return self._x
    @x.setter
    def x(self, val: "int"): self. x = val
    @staticmethod
    def h(*ar, **kw) -> str: return str(kw["v"])
class C(list, B):
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```

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#### Attribute

Instance attributes
Type

#### Function

Parameters

Deturn type

Return type

# Extraction – API Model

```
from typing import Optional as opt
 def func(a, b, /, c = []): pass
 def _share(self): print(type(self))
 class A:
     typeme = _share
 class B:
                       Optional parameter
     typeme = _share
     def g(self, c: "opt[B]" = None) -> "B | None":
         return c
     @property
     def x(self): return self. x
     @x.setter
     def x(self, val: "int"): self. x = val
     @staticmethod
     def h(*ar, **kw) -> str: return str(kw["v"])
Variadic parameters
                         Return type
 class C(list, B):
     pass
```

#### Module

Membership Aliases

#### Class

Inheritance

Abstract base classes (ABCs



#### **Attribute**

nstance attributes

#### **Function**

Parameters Return type

# Extraction – API Model

```
from typing import Optional as opt
                            aliases(_share)
def func(a, b, /, c = []): pass
                                    A.typeme
def _share(self): print(type(self))
                                    B.typeme
class A:
    typeme = share
class B:
    typeme = share
    def g(self, c: "opt[B]" = None) -> "B | None":
        return c
    @property
    def x(self): return self. x
    @x.setter
    def x(self, val: "int"): self. x = val
    @staticmethod
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from typing import Optional as opt
def func(a, b, /, c = []): pass
def share(self): print(type(self))
class A:
    typeme = share
class B:
    typeme = share
    def g(self, c: "opt[B]" = None) -> "B | None":
        return c
     Sum: T_1 + T_2 + \cdots + T_n,
     Product: T_1 \times T_2 \times \cdots \times T_n,
     Callable: T_{args} \rightarrow T_{ret},
     Generic: T_{base}(T_1, T_2, \dots, T_n)
    def h(*ar, **kw) -> str: return str(kw["v"])
class C(list, B):
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# Extraction – API Model

```
from typing import Optional as opt
def func(a, b, /, c = []): pass
def share(self): print(type(self))
class A:
    typeme = share
class B:
                          type(c) = B + none
    typeme = share
    def g(self, c: "opt[B]" = None) -> "B | None":
        return c
     Sum: T_1 + T_2 + \cdots + T_n,
     Product: T_1 \times T_2 \times \cdots \times T_n,
     Callable: T_{args} \rightarrow T_{ret},
     Generic: T_{base}(T_1, T_2, \dots, T_n)
    def h(*ar, **kw) -> str: return str(kw["v"])
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class B:
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    def g(self, c: "opt[B]" = None) -> "B | None":
        return c
    @property
    def x(self): return self. x
    @x.setter
    def x(self, val: "int"): self. x = val
    @staticmethod
    def h(*ar, **kw) -> str: return str(kw["v"])
class C(list, B):
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```

## Dynamic Reflection

- Breadth-first search
- Inspect live objects

- Traverse ASTs
- Gain types from Mypy

# Extraction – Algorithm

```
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def func(a, b, /, c = []): pass
def _share(self): print(type(self))
class A:
    typeme = share
class B:
    typeme = share
    def g(self, c: "opt[B]" = None) -> "B | None":
        return c
    @property
    def x(self): return self. x
    @x.setter
    def x(self, val: "int"): self. x = val
    @staticmethod
    def h(*ar, **kw) -> str: return str(kw["v"])
class C(list, B):
    pass
```

## Dynamic Reflection

Static Analysis

Breadth-first search

modules	: 11		
classes	•		
attribu	tes:		

functions:

Extraction – Algorithm

```
from typing import Optional as opt
def func(a, b, /, c = []): pass
def share(self): print(type(self))
class A:
    typeme = share
class B:
    typeme = share
    def g(self, c: "opt[B]" = None) -> "B | None":
        return c
    @property
    def x(self): return self. x
    @x.setter
    def x(self, val: "int"): self. x = val
    @staticmethod
    def h(*ar, **kw) -> str: return str(kw["v"])
class C(list, B):
    pass
```

## Dynamic Reflection

Breadth-first search

```
modules:
 M:
    members:
     opt: <external>typing.Optional
     func: M.func
     share: M. share
     A: M.A
     B: M.B
     C: M.C
classes:
 M.A:
    members:
     typeme: M. share
 M.B:
    members:
     typeme: M._share
     g: M.B.g
     x: M.B.x
     h: M.B.h
 M.C:
attributes:
 M.B.X:
```

```
functions:
 M.func:
 M. share:
   aliases: [M.A.typeme, M.B.typeme]
 M.B.g:
```

Extraction – Algorithm

```
from typing import Optional as opt
def func(a, b, /, c = []): pass
def share(self): print(type(self))
class A:
    typeme = share
class B:
    typeme = share
    def g(self, c: "opt[B]" = None) -> "B | None":
        return c
    @property
    def x(self): return self. x
    @x.setter
    def x(self, val: "int"): self. x = val
    @staticmethod
    def h(*ar, **kw) -> str: return str(kw["v"])
class C(list, B):
    pass
```

## Dynamic Reflection

Breadth-first search

```
modules:
 M:
    members:
     opt: <external>typing.Optional
     func: M.func
      share: M. share
      A: M.A
      B: M.B
     C: M.C
classes:
 M.A:
    members:
     typeme: M. share
 M.B:
    members:
     typeme: M._share
     g: M.B.g
     x: M.B.x
     h: M.B.h
 M.C:
attributes:
 M.B.X:
```

```
functions:
 M. func:
 M. share:
   aliases: [M.A.typeme, M.B.typeme]
 M.B.g:
```

# Extraction – Algorithm

```
from typing import Optional as opt
def func(a, b, /, c = []): pass
def share(self): print(type(self))
class A:
    typeme = share
class B:
    typeme = share
    def g(self, c: "opt[B]" = None) -> "B | None":
        return c
    @property
    def x(self): return self. x
    @x.setter
    def x(self, val: "int"): self. x = val
    @staticmethod
    def h(*ar, **kw) -> str: return str(kw["v"])
class C(list, B):
    pass
```

## Dynamic Reflection

#### Breadth-first search

```
modules:
 M:
    members:
     opt: <external>typing.Optional
     func: M.func
     share: M. share
     A: M.A
     B: M.B
     C: M.C
classes:
 M.A:
    members:
     typeme: M. share
 M.B:
    members:
     typeme: M._share
     g: M.B.g
     x: M.B.x
     h: M.B.h
 M.C:
attributes:
 M.B.X:
```

```
functions:
 M. func:
 M. share:
   aliases: [M.A.typeme, M.B.typeme]
 M.B.g:
```

Extraction – Algorithm

```
from typing import Optional as opt
def func(a, b, /, c = []): pass
def share(self): print(type(self))
class A:
    typeme = share
class B:
    typeme = share
    def g(self, c: "opt[B]" = None) -> "B | None":
        return c
    @property
    def x(self): return self. x
    @x.setter
    def x(self, val: "int"): self. x = val
    @staticmethod
    def h(*ar, **kw) -> str: return str(kw["v"])
class C(list, B):
    pass
```

## Dynamic Reflection

Inspect live objects

```
modules:
 M:
    members:
     opt: <external>typing.Optional
     func: M.func
     share: M. share
     A: M.A
     B: M.B
     C: M.C
classes:
 M.A:
    members:
     typeme: M. share
 M.B:
    members:
     typeme: M._share
     g: M.B.g
     x: M.B.x
     h: M.B.h
 M.C:
attributes:
 M.B.X:
```

```
functions:
 M. func:
 M. share:
   aliases: [M.A.typeme, M.B.typeme]
 M.B.g:
```

# Extraction – Algorithm

```
from typing import Optional as opt
def func(a, b, /, c = []): pass
def share(self): print(type(self))
class A:
    typeme = share
class B:
    typeme = share
    def g(self, c: "opt[B]" = None) -> "B | None":
        return c
    @property
    def x(self): return self. x
    @x.setter
    def x(self, val: "int"): self. x = val
    @staticmethod
    def h(*ar, **kw) -> str: return str(kw["v"])
class C(list, B):
    pass
```

## Dynamic Reflection

#### Inspect live objects

```
modules:
 M:
    members:
     opt: <external>typing.Optional
     func: M.func
     share: M. share
     A: M.A
     B: M.B
     C: M.C
classes:
 M.A:
    members:
     typeme: M. share
 M.B:
    members:
     typeme: M. share
     g: M.B.g
     x: M.B.x
     h: M.B.h
 M.C:
   bases: [list, M.B]
   abcs: [Sequence, Iterable]
attributes:
 M.B.X:
   scope: instance
```

```
functions:
  M. func:
    parameters:
      - name: a
        kind: Positional
      - name: b
        kind: Positional
       name: c
        kind: PositionalOrKeyword
        optional: true
        default: <object>
  M. share:
    aliases: [M.A.typeme, M.B.typeme]
   parameters:
      - name: self
        kind: PositionalOrKeyword
  M.B.g:
    parameters:
      - name: self
        kind: PositionalOrKeyword
      - name: c
        kind: PositionalOrKeyword
        optional: true
```

# Extraction – Algorithm

```
from typing import Optional as opt
def func(a, b, /, c = []): pass
def share(self): print(type(self))
class A:
    typeme = share
class B:
    typeme = share
    def g(self, c: "opt[B]" = None) -> "B | None":
        return c
    @property
    def x(self): return self. x
    @x.setter
    def x(self, val: "int"): self._x = val
    @staticmethod
    def h(*ar, **kw) -> str: return str(kw["v"])
class C(list, B):
    pass
```

## Dynamic Reflection

#### Static Analysis

Traverse ASTs

```
modules:
 M:
    members:
     opt: <external>typing.Optional
     func: M.func
      share: M. share
     A: M.A
      B: M.B
     C: M.C
classes:
 M.A:
    members:
     typeme: M. share
 M.B:
    members:
     typeme: M._share
     g: M.B.g
     x: M.B.x
     h: M.B.h
 M.C:
   bases: [list, M.B]
   abcs: [Sequence, Iterable]
attributes:
 M.B. x:
   scope: instance
 M.B. x:
   scope: instance
```

```
functions:
  M. func:
    parameters:
      - name: a
        kind: Positional
      - name: b
        kind: Positional
      - name: c
        kind: PositionalOrKeyword
        optional: true
       default: <object>
 M. share:
    aliases: [M.A.typeme, M.B.typeme]
    parameters:
      - name: self
       kind: PositionalOrKeyword
  M.B.g:
    parameters:
      - name: self
        kind: PositionalOrKeyword
      - name: c
        kind: PositionalOrKeyword
       optional: true
```

Extraction – Algorithm

```
from typing import Optional as opt
def func(a, b, /, c = []): pass
def share(self): print(type(self))
class A:
    typeme = share
class B:
    typeme = share
    def g(self, c: "opt[B]" = None) -> "B | None"
        return c
    @property
    def x(self): return self. x
    @x.setter
    def x(self, val: "int"): self. x = val
    @staticmethod
    def h(*ar, **kw) -> str: return str(kw["v"])
class C(list, B):
    pass
```

## Dynamic Reflection

### Static Analysis

Gain types from Mypy

```
modules:
 M:
    members:
     opt: <external>typing.Optional
     func: M.func
      share: M. share
      A: M.A
      B: M.B
     C: M.C
classes:
 M.A:
    members:
     typeme: M. share
 M.B:
    members:
     typeme: M._share
     g: M.B.g
     x: M.B.x
     h: M.B.h
 M.C:
   bases: [list, M.B]
   abcs: [Sequence, Iterable]
attributes:
 M.B. x:
   scope: instance
 M.B. X:
   scope: instance
```

```
functions:
 M. func:
    parameters:
      - name: a
       kind: Positional
      - name: b
       kind: Positional
      - name: c
       kind: PositionalOrKeyword
       optional: true
       default: <object>
 M. share:
   aliases: [M.A.typeme, M.B.typeme]
    parameters:
     - name: self
       kind: PositionalOrKeyword
 M.B.g:
    parameters:
      - name: self
       kind: PositionalOrKeyword
      - name: c
       kind: PositionalOrKeyword
       optional: true
       type:
         category: union
         components: [B, none]
   return:
     category: union
      components: [B, none]
```

# AexPy's Approach Detection – Classification

	Module	Class	Function	Attribute	Parameter	Alias
Addition						
Removal						
Modification						

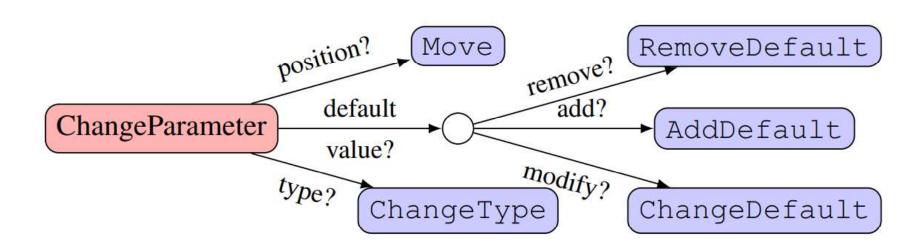
# AexPy's Approach Detection – Classification

	Module	Class	Function	Attribute	Parameter	Alias
Addition	AddModule	AddClass	AddFunction	AddAttribute	$AddParameter^*$	AddAlias
Removal	RemoveModule	RemoveClass	RemoveFunction	RemoveAttribute	RemoveParameter	RemoveAlias
Modification	_†	ChangeInheritance	ChangeReturnType	ChangeAttributeType	ChangeParameter	ChangeAlias

## Detection – Classification

## 42 change patterns

	Module	Class	Function	Attribute	Parameter	Alias
Addition	AddModule	AddClass	AddFunction	AddAttribute	AddParameter*	AddAlias
Removal	RemoveModule	RemoveClass	RemoveFunction	RemoveAttribute	RemoveParameter	RemoveAlias
Modification	_†	ChangeInheritance	ChangeReturnType	ChangeAttributeType	ChangeParameter	ChangeAlias



# Detection – Algorithm

```
modules:
from typing import Optional as opt
                                      M:
def func(a, b, /, c = []): pass
                                        members:
def share(self): print(type(self))
                                          opt: <external>typing.Optional
                                          func: M.func
class A:
                                          share: M. share
   typeme = share
                                          A: M.A
class B:
                                          B: M.B
   typeme = share
                                          C: M.C
   def g(self, c: "opt[B]" = None)
                                    classes:
       return c
                                      M.A:
                                        members:
   @property
                                          typeme: M. share
   def x(self): return self. x
                                      M.B:
   @x.setter
   def x(self, val: "int"): self. x
                                        members:
                                          typeme: M. share
   @staticmethod
                                          g: M.B.g
   def h(*ar, **kw) → str: return st
                                          x: M.B.X
                                          h: M.B.h
                                      M.C:
                                        bases: [list, M.B]
                                        abcs: [Sequence, Iterable]
                                    attributes:
                                      M.B.x:
                                        scope: instance
```

M.B. x:

scope: instance

## Paring

```
from typing import Optional as opt
                                        modules:
                                          M:
def func(b, a, /, c = []): pass
                                             members:
def _share(self, obj = None): print(type(
                                               opt: <external>typing.Optional
                                              func: M.func
class A:
                                              share: M. share
   typeme = share
                                              A: M.A
class B:
                                               B: M.B
   typeme = share
                                              C: M.C
    def g(self, c: "opt[B]" = None) -> "B
                                        classes:
       return c
                                          M.A:
                                            members:
    @property
                                              typeme: M. share
   def x(self): return self. x
   @x.setter
                                          M.B:
   def x(self, val: "int"): self. x = val
                                            members:
                                               typeme: M. share
    @staticmethod
                                              g: M.B.g
   def h(*ar, **kw) -> str: return str(kv
                                              X: M.B.X
                                              h: M.B.h
class C(B):
   def g(self, c: "opt[C]" = None) -> "C
                                          M.C:
                                            bases: [M.B]
                                            abcs: []
                                        attributes:
                                          M.B.x:
                                            scope: instance
                                          M.B. x:
                                            scope: instance
```

Detection – Algorithm

## Paring

## Comparing

```
from typing import Optional as opt
def func(a, b, /, c = []): pass
def _share(self): print(type(self))
class A:
   typeme = share
class B:
   typeme = share
   def g(self, c: "opt[B]" = None)
       return c
   @property
   def x(self): return self. x
   @x.setter
   def x(self, val: "int"): self. x
   @staticmethod
   def h(*ar, **kw) → str: return st
```

```
modules:
                                                                                modules:
                                          from typing import Optional as opt
                                                                                M:
                                          def func(b, a, /, c = []): pass
    members:
                                                                                    members:
                                          def share(self, obj = None): print(type(
      opt: <external>typing.Optional
                                                                                      opt: <external>typing.Optional
     func: M.func
                                                                                      func: M.func
                                          class A:
      share: M. share
                                                                                      share: M. share
                                           typeme = share
      A: M.A
                                                                                      A: M.A
      B: M.B
                                          class B:
                                                                                      B: M.B
      C: M.C
                                             typeme = share
                                                                                      C: M.C
                                             def g(self, c: "opt[B]" = None) -> "B
classes:
                                                                                 classes:
                                                 return c
  M.A: ←
                                                                                 M.A:
    members:
                                                                                    members:
                                              @property
      typeme: M. share
                                                                                      typeme: M. share
                                              def x(self): return self. x
 M.B: ←
                                                                                  M.B:
                                             def x(self, val: "int"): self. x = val
    members:
                                                                                    members:
     typeme: M. share
                                                                                      typeme: M. share
                                              @staticmethod
     g: M.B.g
                                                                                      g: M.B.g
                                             def h(*ar, **kw) -> str: return str(kw
      x: M.B.X
                                                                                      x: M.B.x
      h: M.B.h
                                                                                      h: M.B.h
                                          class C(B):
 M.C: ___
   bases: [list, M.B]
                                                                                    bases: [M.B]
   abcs: [Sequence, Iterable]
                                                                                    abcs: []
attributes:
                                                                                attributes:
  M.B.x: ←
                                                                                → M.B.X:
    scope: instance
                                                                                    scope: instance
 M.B. X:
                                                                                → M.B. x:
    scope: instance
                                                                                    scope: instance
```

# Detection – Algorithm

## Paring

### Comparing

```
def func(a, b, /, c = []): pass
def _share(self): print(type(self))
def func(b, a, /, c = []): pass
def _share(self, obj = None): print(type(obj or self))
```

```
M. func:
M. func:
                                         parameters:
  parameters:
                                             name: b
                                             kind: Positional
                                           - name: a
    - name: a
                                             kind: Positional
      kind: Positional
      name: b
      kind: Positional
                                           - name: c
    - name: c
                                             kind: PositionalOrKeyword
      kind: PositionalOrKeyword
                                             optional: true
      optional: true
                                             default: <object>
     default: <object>
                                       M. share:
M. share:
                                         aliases: [M.A.typeme, M.B.typeme]
  aliases: [M.A.typeme, M.B.typeme]
                                         parameters:
  parameters:
                                           - name: self
    - name: self
                                             kind: PositionalOrKeyword
     kind: PositionalOrKeyword
                                             name: obj
                                             optional: true
                                             default: None
```

```
class C(list, B):
    pass

class C(B):
    def g(self, c: "opt[C]" = None) -> "C | None": return c
```

```
M.B.g:
M.B.g:
                                         parameters:
  parameters:
                                          - name: self
    - name: self
                                             kind: PositionalOrKeyword
      kind: PositionalOrKeyword
                                           - name: c
   - name: c
                                             kind: PositionalOrKeyword
      kind: PositionalOrKeyword
                                             optional: true
      optional: true
                                             type:
      type:
                                               category: union
        category: union
                                               components: [B, none]
        components: [B, none]
                                        return:
 return:
                                           category: union
   category: union
                                           components: [B, none]
   components: [B, none]
                                      M.C.g:
                                         parameters:
                                            name: self
                                             kind: PositionalOrKeyword
                                             name: c
                                             kind: PositionalOrKeyword
                                             optional: true
                                             type:
                                              category: union
                                               components: [C, none]
                                         return:
                                           category: union
                                           components: [C, none]
```

# Detection – Algorithm

## Paring

#### Comparing

```
def func(a, b, /, c = []): pass
def _share(self): print(type(self))
def func(b, a, /, c = []): pass
def _share(self, obj = None): print(type(obj or self))
```

```
M.func:
M.func: -
                                         parameters:
  parameters:
                                            name: b
                                             kind: Positional
                                           - name: a
    - name: a
                                            kind: Positional
      kind: Positional
      name: b
      kind: Positional
                                           - name: c
    - name: c
                                            kind: PositionalOrKeyword
      kind: PositionalOrKeyword
                                            optional: true
      optional: true
                                            default: <object>
     default: <object>
                                       M. share:
M. share:
                                         aliases: [M.A.typeme, M.B.typeme]
  aliases: [M.A.typeme, M.B.typeme]
                                         parameters:
  parameters:
                                           - name: self
    - name: self
                                             kind: PositionalOrKeyword
     kind: PositionalOrKeyword
                                            name: obj
                                            optional: true
                                             default: None
```

```
class C(list, B):
    pass

class C(B):
    def g(self, c: "opt[C]" = None) -> "C | None": return c
```

```
M.B.g:
M.B.g: -
                                         parameters:
  parameters:
                                          - name: self
    - name: self
                                            kind: PositionalOrKeyword
      kind: PositionalOrKeyword
                                           - name: c
    - name: c
                                             kind: PositionalOrKeyword
      kind: Positional OrKeyword
                                             optional: true
      optional: true
                                             type:
      type:
                                              category: union
        category: union
                                              components: [B, none]
       components: [B, wone]
                                        return:
 return:
                                          category: union
   category: union
                                          components: [B, none]
   components: [B, none]
                                      M.C.g:
                                        parameters:
                                            name: self
                                             kind: PositionalOrKeyword
                                             name: c
                                             kind: PositionalOrKeyword
                                             optional: true
                                             type:
                                              category: union
                                              components: [C, none]
                                        return:
                                          category: union
                                          components: [C, none]
```

# Detection – Algorithm

## Paring

#### Comparing

```
def func(a, b, /, c = []): pass
def _share(self): print(type(self))
def func(b, a, /, c = []): pass
def _share(self, obj = None): print(type(obj or self))
```

```
M. func:
M. func:
  parameters:
                                          parameters:
                                              name: b
                                             kind: Positional
                                             name: a
    - name: a ____
                                             kind: Positional
      kind: Positional
      kind: Positional
                                            - name: c
    - name: c
                                             kind: PositionalOrKeyword
      kind: PositionalOrKeyword
                                             optional: true
      optional: true
                                             default: <object>
      default: <object>
                                       M. share:
M. share:
                                          aliases: [M.A.typeme, M.B.typeme]
  aliases: [M.A.typeme, M.B.typeme]
                                         parameters:
  parameters:
                                           - name: self
    - name: self
                                             kind: PositionalOrKeyword
      kind: PositionalOrKeyword
                                             name: obj
                                             optional: true
                                             default: None
```

```
class C(list, B):
    pass

class C(B):
    def g(self, c: "opt[C]" = None) -> "C | None": return c
```

```
M.B.g:
M.B.g:
                                         parameters:
  parameters:
                                          - name: self
    - name: self
                                             kind: PositionalOrKeyword
      kind: PositionalOrKeyword
                                           - name: c
    - name: c
                                             kind: PositionalOrKeyword
      kind: PositionalOrKeyword
                                             optional: true
      optional: true
                                             type:
      type:
                                               category: union
        category: union
                                               components: [B, none]
        components: [B, none]
                                        return:
 return:
                                           category: union
   category: union
                                           components: [B, none]
   components: [B, none]
                                      M.C.g:
                                         parameters:
                                             name: self
                                             kind: PositionalOrKeyword
                                             name: c
                                             kind: PositionalOrKeyword
                                             optional: true
                                             type:
                                              category: union
                                               components: [C, none]
                                         return:
                                           category: union
                                           components: [C, none]
```

# AexPy's Approach Detection – Algorithm

Paring

## Comparing

Define and judge constraints on API pairs

42 change patterns

42 constraints

```
Pattern
                                                                                                       Constraint
            AddModule
                                                                                                   e = \bot \land e' \in M
                                                                                     e \in F \land e' = \bot \land \mathbf{scope}(e) = \mathsf{Static}
        RemoveFunction
                                                                                      e, e' \in C \land \mathbf{bases}(e) \not\subseteq \mathbf{bases}(e')
       RemoveBaseClass
                                                                                    e, e' \in F \land \mathbf{return}(e) \neq \mathbf{return}(e')
       ChangeReturnType
    AddRequiredParameter
                                                                                      p = \bot \land p' \neq \bot \land \neg \mathbf{optional}(p')
                                                                            p \neq \bot \land p' \neq \bot \land \mathbf{position}(p) \neq \mathbf{position}(p')
         MoveParameter
                                                                          p \neq \bot \land p' = \bot \land \mathbf{kind}(p) = VarKeywordCandidate
RemoveVarKeywordCandidate
                                            e, e' \in M \cup C \land (\exists (n, t), t \in E \land n \in \mathbf{aliases}(t) \land (n, t) \in (\mathbf{members}(e) - \mathbf{members}(e')))
           RemoveAlias
                                                                  e, e' \in M \cup C \land \exists n, (n, \bot) \in (\mathbf{members}(e) - \mathbf{members}(e'))
     RemoveExternalAlias
```

Full list is at Specification of Changes – AexPy (https://aexpy.netlify.app/change-spec).

# AexPy's Approach Detection – Algorithm



## RemoveBaseClass

```
e, e' \in C \land \mathbf{bases}(e) \not\subseteq \mathbf{bases}(e')
```

```
M.C:
bases: [list, M.B]
abcs: [Sequence, Iterable]
```

```
M.C:
bases: [M.B]
abcs: []
```

# AexPy's Approach Detection – Algorithm

Paring Comparing

Define and judge constraints on API pairs

## MoveParameter

 $p \neq \bot \land p' \neq \bot \land \mathbf{position}(p) \neq \mathbf{position}(p')$ 

```
position(a) = 0
position(b) = 1
```

```
M.func:
    parameters:

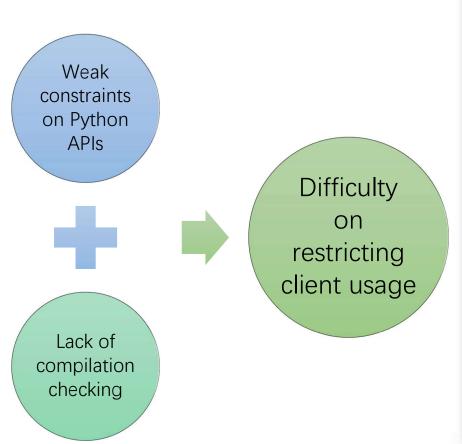
- name: a
    kind: Positional
- name: b
    kind: Positional

- name: c
    kind: PositionalOrKeyword
    optional: true
    default: <object>
```

```
M.func:
    parameters:
        - name: b
        kind: Positional
        - name: a
        kind: Positional

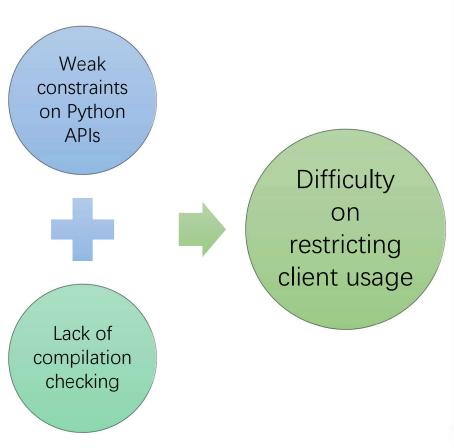
        - name: c
        kind: PositionalOrKeyword
        optional: true
        default: <object>
```

position(a) = 1
position(b) = 0



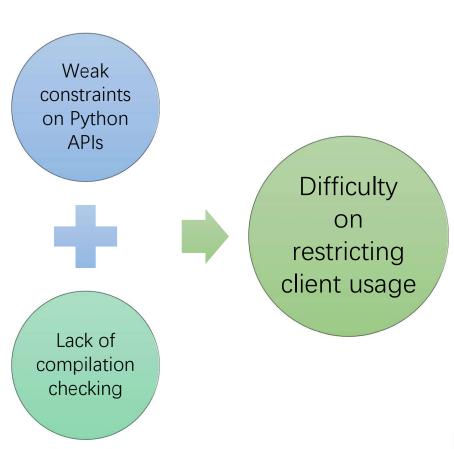


New matplotlib breaking change · Issue #1172 · polyaxon/polyaxon (github.com)





New matplotlib breaking change · Issue #1172 · polyaxon/polyaxon (github.com)



## Backward Compatible / Breaking?

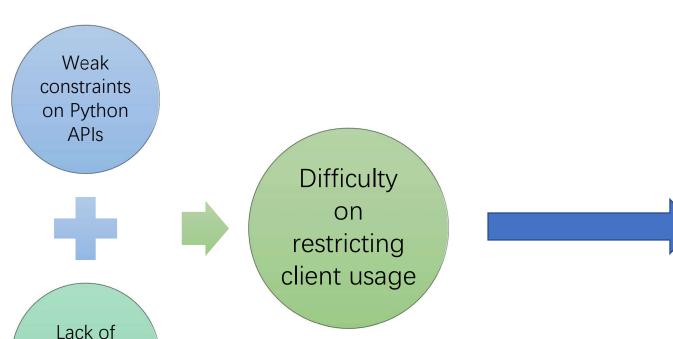


New matplotlib breaking change · Issue #1172 · polyaxon/polyaxon (github.com)

compilation

checking

## Backward Compatible / Breaking?



#### High

- Directly breaking usability
- API removals

#### Medium

- Limited impact
- Type incompatibility

- Fake private members
- References to external

## Backward Compatible / Breaking?

High Remove base class "list" of class "C".

High Reorder parameter "a" and "b" of "func".

#### High

- Directly breaking usability
- API removals

#### Medium

- Limited impact
- Type incompatibility

- Fake private members
- References to external

# Backward Compatible / Breaking?

High Remove base class "list" of class "C".

High Reorder parameter "a" and "b" of "func".

Change type of parameter "c" of function "C.g", which no longer accepts "B".

Change return type of "C.g", which returns "C", a subclass of old return type "B".

#### High

- Directly breaking usability
- API removals

#### Medium

- Limited impact
- Type incompatibility

- Fake private members
- References to external

# Backward Compatible / Breaking?

High Remove base class "list" of class "C".

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#### Medium

- Limited impact
- Type incompatibility

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- References to external

## Backward Compatible / Breaking?

High Remove base class "list" of class "C".

High Reorder parameter "a" and "b" of "func".

CALLABLE: 
$$\frac{T_{args} \subseteq S_{args}}{T_{args} \to T_{ret} \subseteq S_{args} \to S_{ret}}$$

Change type of parameter "c" of function "C.g", which no longer accepts "B".

Change return type of "C.g", which returns "C", a subclass of old return type "B".

#### High

- Directly breaking usability
- API removals

#### Medium

- Limited impact
- Type incompatibility

- Fake private members
- References to external

# Backward Compatible / Breaking?

High Remove base class "list" of class "C".

High Reorder parameter "a" and "b" of "func".

CALLABLE: 
$$\frac{T_{args} \subseteq S_{args}}{T_{args} \to T_{ret} \subseteq S_{args} \to S_{ret}}$$

Medium Change type of parameter "c" of function "C.g", which **no longer accepts** "B".

Compatible Change return type of "C.g", which returns "C", a subclass of old return type "B".

#### High

- Directly breaking usability
- API removals

#### Medium

- Limited impact
- Type incompatibility

- Fake private members
- References to external

# Backward Compatible / Breaking?

High Remove base class "list" of class "C".

High Reorder parameter "a" and "b" of "func".

CALLABLE: 
$$\frac{T_{args} \subseteq S_{args} \quad S_{ret} \subseteq T_{ret}}{T_{args} \rightarrow T_{ret} \subseteq S_{args} \rightarrow S_{ret}}$$

Medium Change type of parameter "c" of function "C.g", which no longer accepts "B".

Compatible Change return type of "C.g", which returns "C", a subclass of old return type "B".

Low Add optional parameter "obj" to "\_share".

#### High

- Directly breaking usability
- API removals

#### Medium

- Limited impact
- Type incompatibility

- Fake private members
- References to external

# AexPy's Approach Summary

#### Dynamic Language Features

- Dynamic reflection
- Static analysis

#### Complex API References

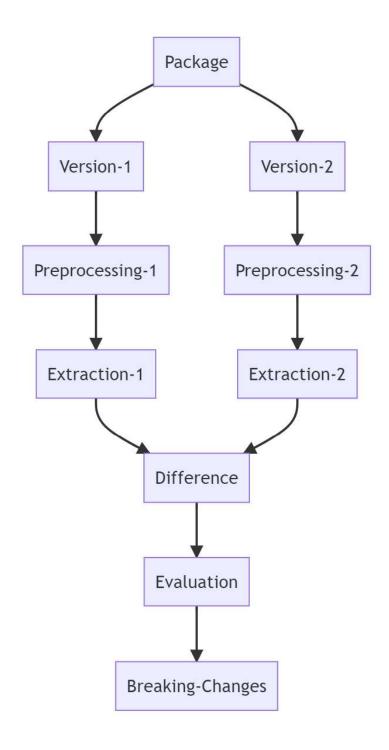
- Import and inspect
- Identify aliases by runtime objects

#### Fake Private Members

- Breadth-first search for accessible APIs
- Identify by API aliases and grade to low level

#### Flexible Argument Passing

- Model parameter kinds
- Match parameters in diff algorithm



#### Dynamic Language Features

- Dynamic reflection
- Static analysis

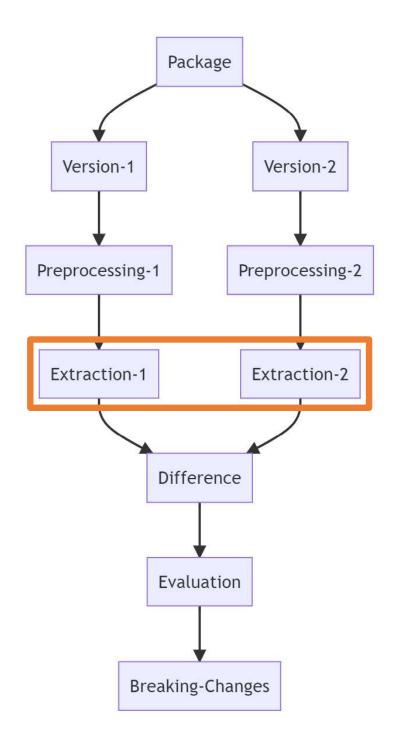
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- Import and inspect
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#### Dynamic Language Features

- Dynamic reflection
- Static analysis

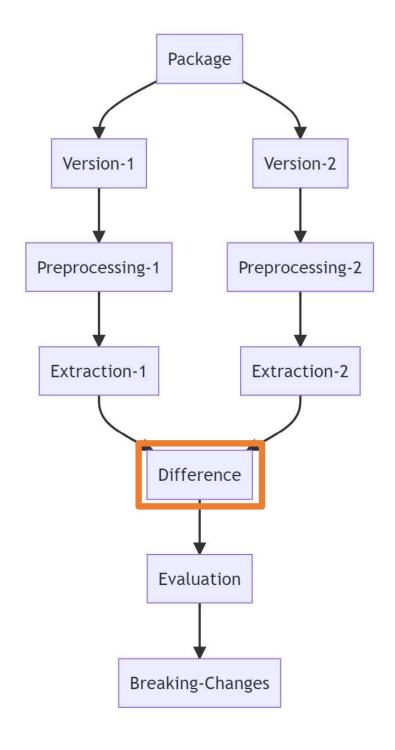
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#### Dynamic Language Features

- Dynamic reflection
- Static analysis

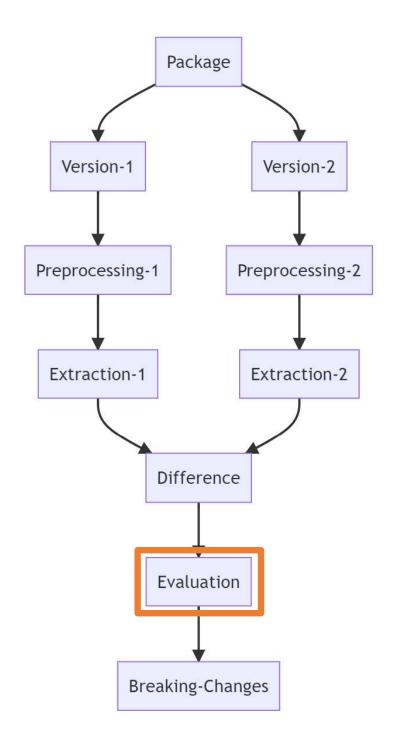
#### Complex API References

- Import and inspect
- Identify aliases by runtime objects

#### Fake Private Members

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- Identify by API aliases and grade to low level

- Model parameter kinds
- Match parameters in diff algorithm



#### Dynamic Language Features

- Dynamic reflection
- Static analysis

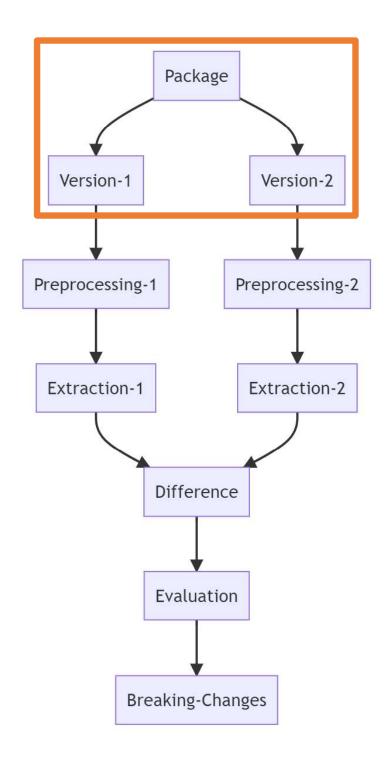
#### Complex API References

- Import and inspect
- Identify aliases by runtime objects

#### Fake Private Members

- Breadth-first search for accessible APIs
- Identify by API aliases and grade to low level

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## AexPy's Approach

# Summary

#### Dynamic Language Features

- Dynamic reflection
- Static analysis

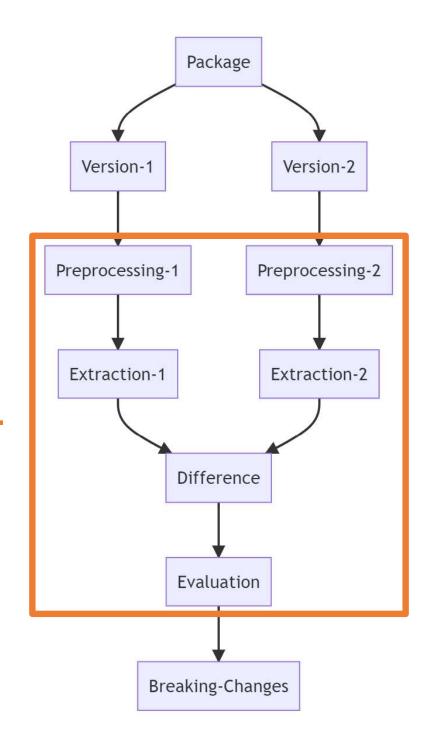
#### Complex API References

- Import and inspect
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#### Fake Private Members

- Breadth-first search for accessible APIs
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## AexPy's Approach

# Summary

#### Dynamic Language Features

- Dynamic reflection
- Static analysis

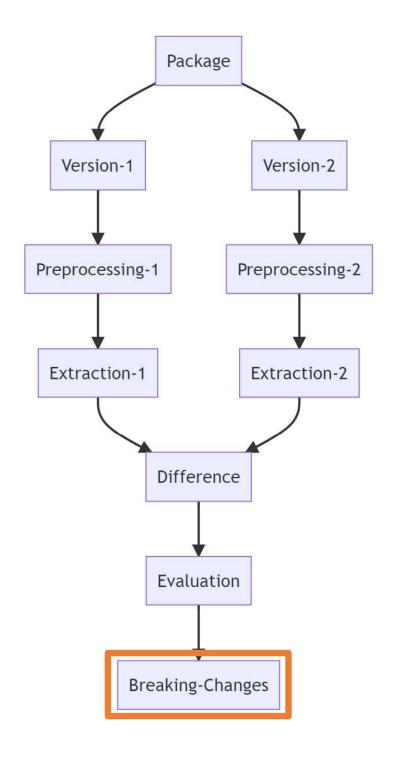
## Complex API References

- Import and inspect
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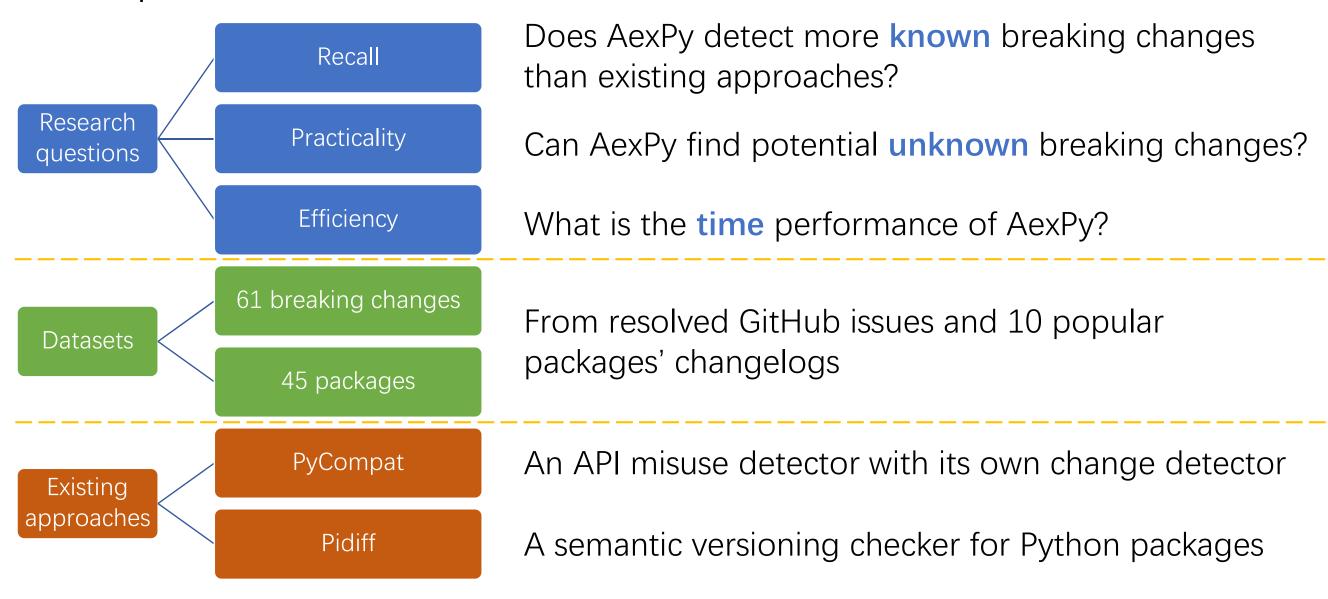
#### Fake Private Members

- Breadth-first search for accessible APIs
- Identify by API aliases and grade to low level

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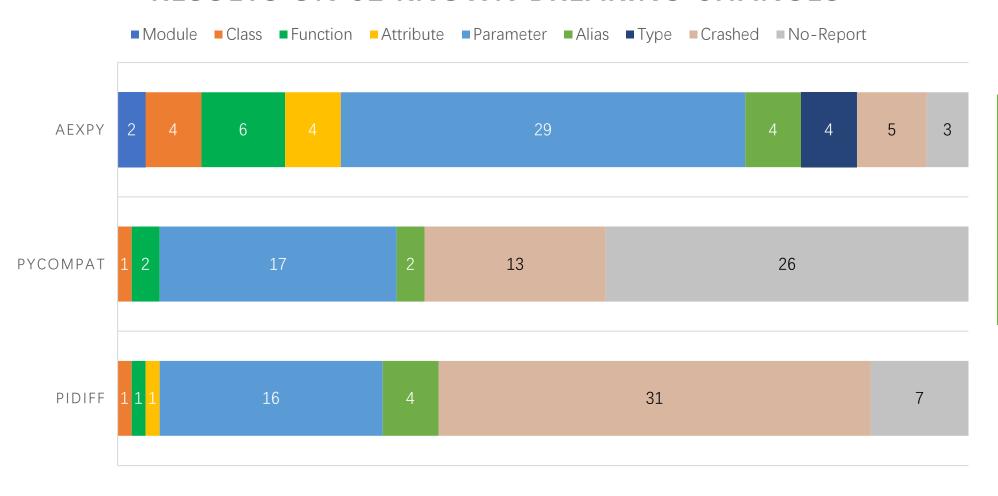


## Setup



# Does AexPy detect more known breaking changes than existing approaches?

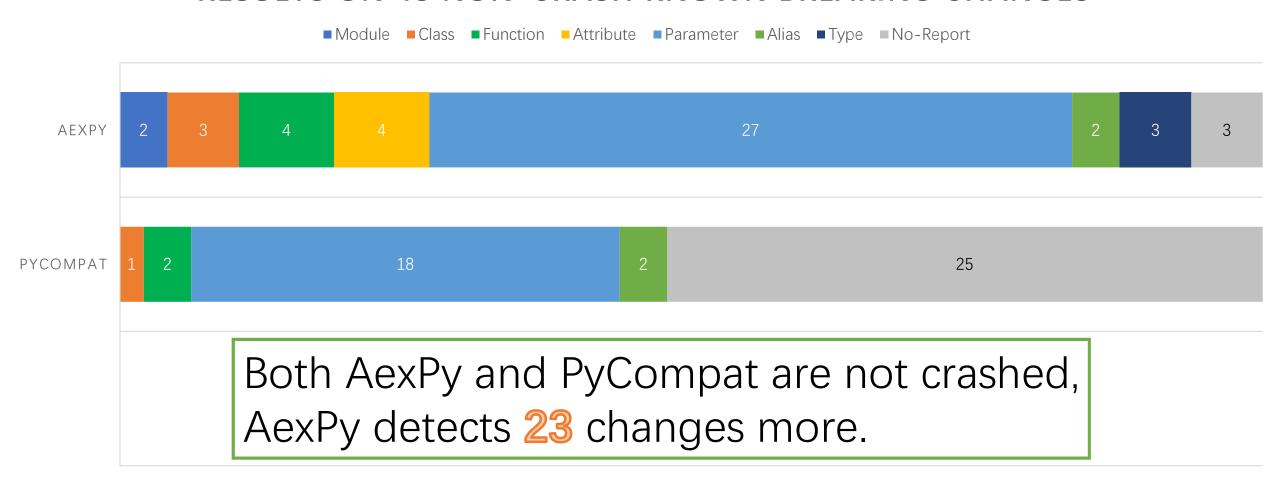
#### RESULTS ON 61 KNOWN BREAKING CHANGES



AexPy detects
53 changes,
increasing by
~50% recall.

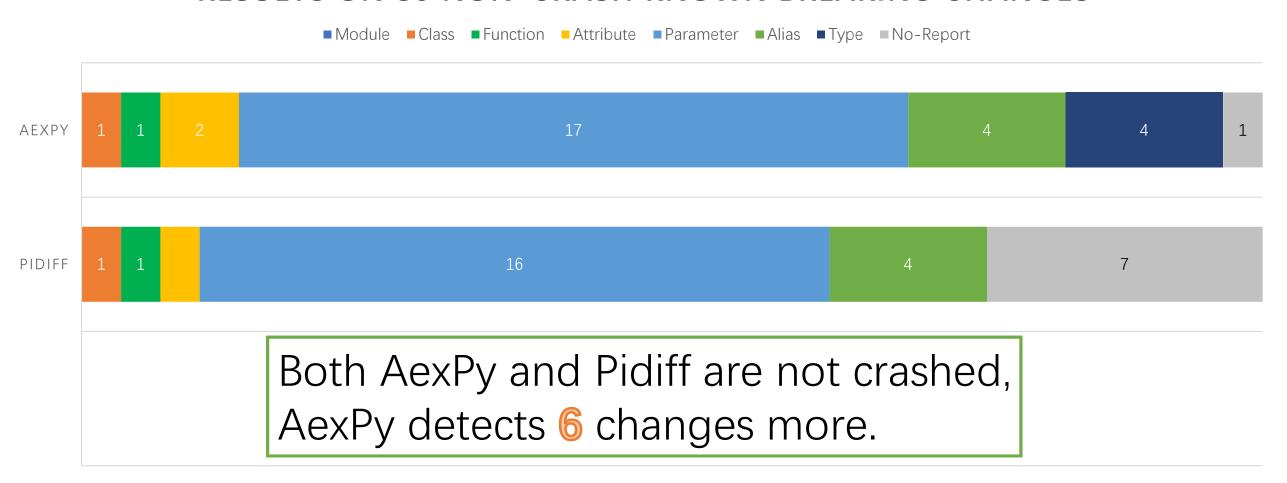
# Does AexPy detect more known breaking changes than existing approaches?

#### RESULTS ON 48 NON-CRASH KNOWN BREAKING CHANGES



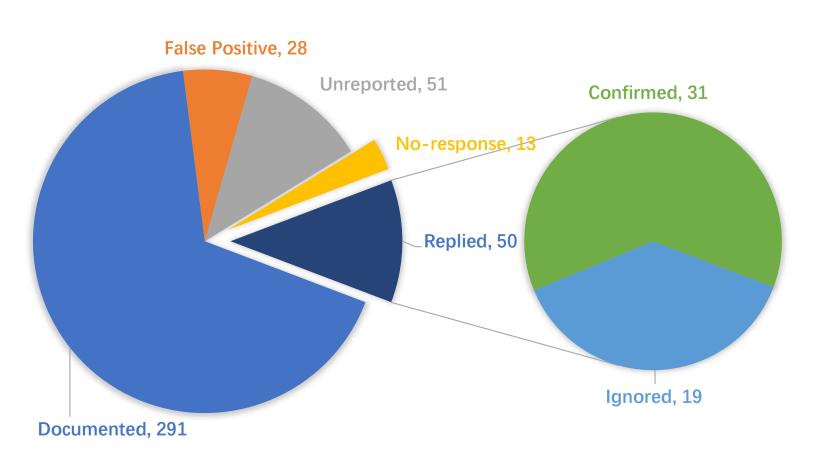
# Does AexPy detect more known breaking changes than existing approaches?

#### RESULTS ON 30 NON-CRASH KNOWN BREAKING CHANGES



# Can AexPy find potential unknown breaking changes?

# RESULTS FOR HIGH/MEDIUM CHANGES ON LATEST VERSIONS OF 45 PACKAGES



AexPy detects 433 high/medium changes, 405 are true.

Among 63 reported potential changes, 31 are confirmed by developers.

# Can AexPy find potential unknown breaking changes?

# RESULTS FOR HIGH/MEDIUM CHANGES ON LATEST VERSIONS OF 45 PACKAGES

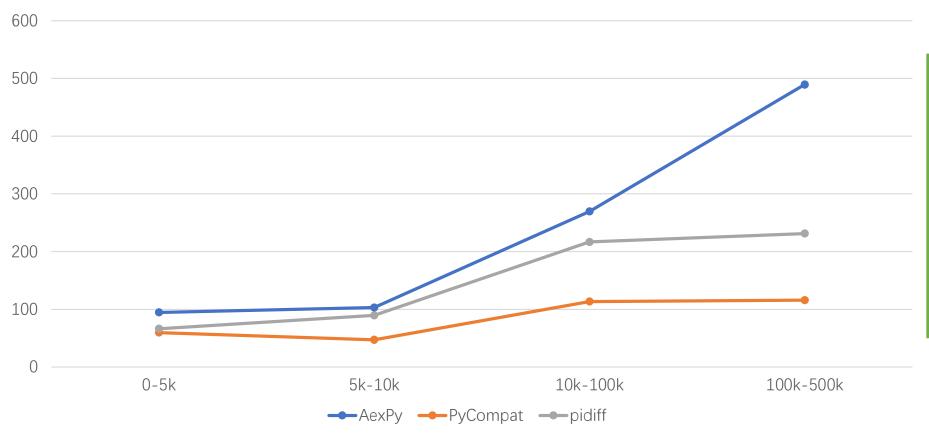


AexPy detects 433 high/medium changes, 405 are true.

Among 63 reported potential changes, 31 are confirmed by developers.

# What is the time performance of AexPy?





The time performances of the three tools are in the same order of magnitude.

#### **Experiment Environment**

Containers on a Ubuntu 18.04, 12 CPUs of 3.8GHz, 64 GBs RAM Limited in 50GBs RAM, 1 hour, for each version pair

## Contributions

## AexPy: Detecting API Breaking Changes in Python Packages

#### An API breaking change detection approach for Python packages

- High recall and strong robustness
- Detecting potential breaking changes
- Comparable time performance

#### **Key ideas**

- Detailed model for APIs, changes, and breaking levels
- Hybrid analysis to enhance API extraction
- Constraint-based method to detect and grade API changes

#### **Future works**

- Study more applications of the built API and change knowledge base
- Consider more aspects of breaking changes including API semantics

## Contributions

## AexPy: Detecting API Breaking Changes in Python Packages



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Jun Ma majun@nju.edu.cn



https://github.com/**StardustDL/aexpy** 



https://pypi.org/project/aexpy/



https://hub.docker.com/r/stardustdl/aexpy