#### **Databases**

# TDA357/DIT621- LP3 2023

#### Lecture 8

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(much of the material is based on material from both Thomas Hallgren and Jonas Duregård)

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#### Recall Last 2 Lectures

#### Functional dependencies:

- X → Y: if two rows agree on the values of X then they must agree on the values of Y;
- R(S) in BCNF if for all non-trivial  $X \to Y$  ( $Y \not\subseteq X$ ), X is a superkey;
- Normalisation algorithm: given R(S) and a BCNF-violation  $X \to Y$ , we split R(S) into  $R_1(X^+)$  and  $R_2(X \cup (S X^+))$  and recursively normalise.

#### Multivalued functional dependencies:

- X woheadrightarrow Y: if we have tuples  $\langle \overline{x}, \overline{y_1}, \overline{z_1} \rangle$  and  $\langle \overline{x}, \overline{y_2}, \overline{z_2} \rangle$ , then we also have tuples  $\langle \overline{x}, \overline{y_1}, \overline{z_2} \rangle$  and  $\langle \overline{x}, \overline{y_2}, \overline{z_1} \rangle$ ;
- R(S) in 4NF if in BCNF and for all non-trivial  $X \twoheadrightarrow Y$ ( $Y \not\subseteq X$  or  $S \not\subseteq X \cup Y$ ), X is a superkey;
- Normalisation algorithm: given R(S) and a 4NF-violation X woheadrightarrow Y, we split R(S) into  $R_1(X \cup Y)$  and  $R_2(S Y)$  and recursively normalise.

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## Overview of Today's Lecture

- Functions;
- Triggers:
  - On tables;
  - On views;
- Example.

## All or Nothing Semantics

All **SQL** statements are atomic, even if they affect several rows: if there is an error no rows will be changed!

Constraints can prevent an INSERT or UPDATE from completing.

Also, intermediate changes are never visible to other users of the database.

**Example:** An electrical power problem in the middle of a money transaction should leave both accounts unchanged.

This includes trigger functions: if a trigger function fails, nothing is changed in the database!

(SQL statements can be grouped into larger transactions – will discuss them in a later lecture.)

#### **Function Definitions**

Users can create functions to be used like other **SQL** functions (see documentation).

```
CREATE FUNCTION name (parameters) RETURNS type AS $$
< function code in here >
$$ LANGUAGE language;
```

```
CREATE OR REPLACE FUNCTION name (parameters) RETURNS type AS $$
< function code in here >
$$ LANGUAGE language;
```

#### Possible languages:

```
SQL: pure SQL statements;
```

plpgsql: PL/pgSQL - SQL Procedural Language

(programs are sequence of instructions).

## **Example: Function Definitions**

Tables:

```
CREATE TABLE CourseRegistrations (
student CHAR(10) REFERENCES ...,
course CHAR(6) REFERENCES ...,
position INT CHECK (position > 0),
PRIMARY KEY (student, course));
```

• Functions:

```
CREATE OR REPLACE FUNCTION nextPos (CHAR(6)) RETURNS INT AS $$ SELECT COUNT(*) + 1 FROM CourseRegistrations WHERE course = $1 $$ LANGUAGE SQL;
```

Using the functions:

```
INSERT INTO CourseRegistrations
VALUES ('1234567890', 'TDA357', nextPos ('TDA357'));

SELECT nextPos ('TDA357') AS NextPosition;

SELECT DISTINCT course, nextPos (course) FROM CourseRegistrations;
```

### **Example: Alternative Function Definition**

PL/pgSQL is a procedural language and it gives us other possibilities when writing functions (more about functions in PL/pgSQL is coming!).

The function needs to end with a RETURN statement.

```
CREATE OR REPLACE FUNCTION nextPos (CHAR(6)) RETURNS INT AS $$
DECLARE
pos INT;
BEGIN
pos := (SELECT COUNT(*) FROM CourseRegistrations WHERE course = $1);
RETURN (pos+1);
END
$$ LANGUAGE plpgsql;
```

The function is however used in the same way.

# Using Functions in SQL

Using functions in this way when inserting values helps having the right position in a course but we are still not safe.

- It will work as expected only if the table is consistent from the start ...
- ... and when both codes match in the insert clause;
- It is still possible to insert values without using the function;
- Deleting a row will create "holes" and next time we use the function it might create collisions;
- Recall assertions do not work in PostgeSQL!

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### **Triggers**

Triggers are procedures executed when certain actions take place (like updating, inserting or deleting from a table).

Triggers are useful when "something" is supposed to happen when "some other action" is performed, or when we need to ensure constraints/invariants across tables.

**Example:** If a student passed the missing pre-requisite for a certain course, then the student is not longer conditionally accepted to the course but gets a normal registration.

#### We then need to:

- Define the functions associated to the triggers;
- Specify which function the trigger calls and how.

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## Defining Functions to Be Used in Triggers

The return type should then be TRIGGER and the language plpgsql;

```
CREATE FUNCTION name (parameters) RETURNS TRIGGER AS $$
< function code in here >
$$ LANGUAGE plpgsql;
```

- The function needs to end with a RETURN statement (could be RETURN NULL);
- Raise an exception to prevent the trigger to do changes in the database if something is wrong (recall atomic actions!):

```
RAISE EXCEPTION 'simple error message';
RAISE EXCEPTION 'error message with more info %', error_text;
```

Output message if needed (useful for debugging):

```
RAISE NOTICE 'simple info message';
RAISE NOTICE 'info message with more info %', error_text;
```

See documentation on errors and messages in PostgeSQL manual.

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#### **NEW and OLD**

When executing a trigger function on a row/table entry:

- NEW.<attribute-name> refers to the new value of an attribute:
  - Can be used in functions triggered by INSERT or UPDATE;
  - It contains the value that has just been inserted/updated;
  - Use RETURN NEW in such functions.
- OLD.<attribute-name> refers to the old value of an attribute:
  - Can be used in functions triggered by DELETE or UPDATE;
  - It contains the value of the attribute in the row that has been (or is about to be) deleted/updated.

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## Variable Declaration and Assignment in PL/pgSQL

```
CREATE OR REPLACE FUNCTION my_function () RETURNS TRIGGER AS $$
DECLARE
  my_balance INT;
  total INT:
  my_text TEXT;
  . . .
BFGIN
  SELECT balance INTO my_balance FROM Accounts WHERE id = '123456';
  total := (SELECT SUM(balance) FROM Accounts);
  my_text := 'Here I write my text':
END:
$$ LANGUAGE plpgsql;
```

Check documentation to see what happens if the query returns none / multiple rows: raises an error/ gives NULL/returns first row/...

```
IF <condition> THEN
...;
ELSEIF <condition> THEN
...;
ELSE
...;
END IF;
```

- ELSEIF and ELSE parts are optional;
- When the condition returns UNKNOWN, the ELSE part will be run;
- Useful condition to see if the query returns at least one element:

```
IF (EXISTS (SELECT ...)) THEN
...;
...;
```

```
CASE <expression>
WHEN <value> THEN ...;
WHEN <value> THEN ...;
WHEN <value> THEN ...;
...;
ELSE ...;
END CASE;
```

```
CASE
WHEN <condition> THEN ...;
WHEN <condition> THEN ...;
WHEN <condition> THEN ...;
...;
ELSE ...;
END CASE;
```

- At least one WHEN ... THEN ... is needed;
- ELSE is optional.

## Triggers on Tables

See documentation for further information on the creation of triggers.

CREATE TRIGGER trigger\_name

AFTER | BEFORE INSERT | UPDATE | DELETE ON table\_name
FOR EACH ROW | STATEMENT

EXECUTE FUNCTION function\_name ();

Can also combine events and have a condition when to be executed:

CREATE TRIGGER trigger\_name

AFTER UPDATE OR DELETE ON table\_name
FOR EACH ...

WHEN condition
EXECUTE FUNCTION function\_name ();

## Per-row vs. Per-statement Triggers

See documentation for more information on the behavior of triggers.

Per-row triggers: The function is invoked once for each row that is affected by the statement that fired the trigger (not just once for the action!);

Per-statement trigger: The function is invoked only once when an appropriate statement is executed, regardless of the number of rows affected (in particular, a statement that affects zero rows will still result in one execution).

**Note:** Per-statement triggers DO NOT have access to OLD!!! So OLD.attribute will simple be NULL.

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# Example: A Trigger for Transferences between Accounts

```
CREATE OR REPLACE FUNCTION make_transfer () RETURNS TRIGGER AS $$
BEGIN

UPDATE Accounts SET balance = balance - NEW.amount
WHERE id=NEW.sender;
UPDATE Accounts SET balance = balance + NEW.amount
WHERE id=NEW.receiver;
RETURN NEW;
END;
$$ LANGUAGE plpgsql;
```

DROP TRIGGER IF EXISTS after\_insert\_on\_transfer ON Transfers;

CREATE TRIGGER after\_insert\_on\_transfer AFTER INSERT ON Transfers FOR EACH ROW EXECUTE FUNCTION make\_transfer ();

**Note:** If we set BEFORE in the trigger, it will still do the work.

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## Example: A Trigger to Guarantee a Minimum Balance

```
CREATE FUNCTION checkMinimumTotalBalance () RETURNS TRIGGER AS $$
DECLARE
 total INT:
BEGIN
 total := (SELECT SUM(balance) FROM Accounts);
 IF total < 30000 THEN
    RAISE EXCEPTION 'error: total balance would be %, which is too low', total;
 END IF:
 RETURN NEW:
END:
$$ LANGUAGE plpgsql;
CREATE TRIGGER minimumBankBalance
 AFTER UPDATE OR DELETE ON Accounts
 FOR EACH STATEMENT
 EXECUTE FUNCTION checkMinimumTotalBalance ();
```

**Note:** If we set BEFORE in the trigger, then the check will be done before the update/deletion and will not make sense!

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## Trigges on Views

Views are used for querying but not for modifying data.

However, with an INSTEAD OF trigger we can make changes to the underlying tables in response to INSERT, UPDATE or DELETE on the view.

CREATE TRIGGER trigger\_name
INSTEAD OF INSERT | UPDATE | DELETE ON view\_name
FOR EACH ROW | STATEMENT
EXECUTE FUNCTION function\_name ();

If for example the trigger is INSTEAD OF INSERT, we can then insert on/via the view:

INSERT INTO view\_name VALUES (...);

# Using Functions in Triggers

- If the function raises an error in any of the rows that are affected, the whole transaction is "rolled back" and the database is not modified at all!
- One needs to be careful not to have an infinite recursive function call!
   Example: An UPDATE trigger that performs UPDATEs!

One could avoid infinite recursive calls with the following condition:

- When a trigger reports "X rows deleted" it actually means that the trigger was executed for X rows, the database may not have been changed at all;
- Triggers on tables or on views? Depends on what you are doing but views are the interface of the database and it is usually safe to work on them!

## More PL/pgSQL Features

#### Loops:

```
LOOP EXIT WHEN <condition>;
<code of the loop>
END LOOP;
```

#### Exceptions:

```
BEGIN

<code that may cause an exception>
EXCEPTION

WHEN <error code>
<code that handles the exception>
END;
```

Check documention for error codes.

## Constraints, Views and Triggers

Database integrity can be improved by several techniques:

**SQL** Constraints: conditions on attribute values and tuples.

Views: virtual tables that show useful information that would create redundancy if stored in the actual tables.

Triggers (and assertions if available): automated checks and actions performed on entire/several tables.

As a general rule, these methods should be applied in the above order: if a constraint or a view can adequately do the job, do not use a trigger!

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# Example: Constraints, Views and Triggers (I)

You need to implement a database with this description, but you are allowed to divide it across as many tables and views as you need to.

Assignments (<u>course</u>, <u>name</u>, description, deadline) Submissions (<u>idnr</u>, student, course, assignment, stime) (course, assignment)  $\rightarrow$  Assignments.(course, name) SubmittedFiles (<u>submission</u>, <u>filename</u>, filesize, contents) submission  $\rightarrow$  Submissions.idnr Registered (student, course)

You should also enforce the following additional constraints:

- The newer a submission is, the higher its idnr;
- Students can only submit solutions to assignments in courses they are registered for;
- filesize always reflects the length of content (computable by length(contents) in PostgreSQL); a database user should not need to specify filesize when adding a new file to a submission;
- When a submission is deleted, its files should also be deleted automatically;
- When all files of a submission are deleted, delete the submission itself as well;
- A student cannot have two submissions for the same assignment with exactly the same time.

# Example: Constraints, Views and Triggers (I, Cont.)

For the **SQL** code with the tables and views see the end of the file lecture8.sql (available from Canvas).

- A trigger on INSERT OR UPDATE on Submissions that either automatically adjusts the idnr or rejects updates that violate the rule;
- See reference to Registrations in Submissions;
- See view SubmittedFiles;
- See ON DELETE CASCADE on the reference to Submissions in SubmittedFileTables;
- A trigger AFTER DELETE (and maybe even OR UPDATE to be safe) for SubmittedFiles that checks if the last file was deleted and if so, run DELETE FROM Submissions WHERE idnr = OLD.submission;
- See UNIQUE constraint in Submissions.

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# Example: Constraints, Views and Triggers (II)

Consider a database for storing messages with at least the following interface (there could be more tables/views):

```
Messages (<u>id</u>, sender, receiver, text, time)
RemovedMessages (<u>id</u>, sender, receiver, text, time)
```

No message should appear in both of these tables/views.

- Explain how the database could be implemented so that one can remove a message with a single SQL statement; avoid using triggers if possible;
- Explain also how to keep at most the latest 100 messages removed from each message receiver, permanently deleting any older messages from the database.

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# Example: Constraints, Views and Triggers (II, Cont.)

- Some possible solutions that have both Messages and RemovedMessages as views:
  - Have a single table, like Messages but with an extra attribute isDeleted of type BOOLEAN; then the views simply filter on that condition or its negation.
    - The removal operation just sets is Deleted to TRUE.
  - Have a table for all messages, and one for the ids of removed messages (with a reference to the other table). Messages view uses NOT IN and RemovedMessages is just a join on the two tables.
    - The removal operation is an insert into the table for removed messages.
  - Like above but with a table for the messages (ids) in the "inbox".
- Depending on which of the approaches above you use, a trigger after UPDATE, INSERT or DELETE could be used. If using a FOR EACH ROW trigger, it should be enough to use an IF-statement that checks if there are more than 100 messages for the same receiver (as OLD or NEW) and delete the oldest row for that receiver if there is.

#### Overview of Next Lecture

• Databases in software application (by Jonas).

### Reading:

Book: chapter 9

Notes: chapter 8