

# **Computer Vision**

## **3 – Basic Operations**

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Gunther Heidemann

Basic operators:

- Computes a matrix from an input image (of identical dimensions).
- Two types:
  - **Image restoration and enhancement:** Result is an image
    - Smoothing, removal of disruptions
    - Edge enhancing
    - Transformation of intensity / color, e.g. contrast enhancement
  - **Basic pattern recognition:** Result can be displayed as an image, but semantics of the gray value is no longer “intensity” but “pattern”.
    - Edge extraction
    - Binarization, e.g. *object* / background or color 1 / color 2

Operator  $O$  maps image  $g$  onto  $g'$ :  $O: g \rightarrow g'$

Classification of operators by degree of locality:

- Point operators:  $g'(x,y) = O(g(x,y))$   
(result pixel depends only on input pixel)
- Local operators:  $g'(x,y) = O(g(x,y), g(\text{surroundings of } (x,y)))$   
(result pixel depends input pixel and surrounding pixels)
- Global operators:  $g'(x,y) = O(g(\text{all pixels}))$   
(result pixel depends on all pixels of the input image)

Classification by number of input images:

- Monadic:  $g \rightarrow g'$
- Dyadic:  $g_1, g_2 \rightarrow g'$

By type of the transformation:

- Linearity:
  - Linear
  - Nonlinear
- Homogeneity:
  - Homogeneous:  $g'(x,y) = O(g(x,y))$   
(translation invariant operator)
  - Inhomogeneous:  $g'(x,y) = O(g(x,y), x, y)$   
(operator depends explicitly on location)

- Point operator  $\circ$  transforms the gray value of each pixel of the input image to a new value of the result image.
- Gray value of the result pixel depends only on *one* input pixel.
- Now: *homogeneous* point operators.
- Examples:
  - Luminance- and contrast enhancement:
    - Better coverage of the available range of gray values,
    - Correction of over- / underexposure
    - Correction of nonlinear camera characteristic curves
  - Simple object / background separation
  - Removal of inhomogeneous background



Two methods:

- As a function:
  - Easy representation,
  - Can be parameterized
  - But has to be computed for each pixel (repeated computations)
- As a lookup table:
  - Holds the output value for each possible input value
  - Arbitrary functions can be represented
  - Fast execution
  - But no parameterization
  - High memory consumption for color (may be an issue on specialized hardware)

Examples for point operators, represented as functions:

- Linear transform:

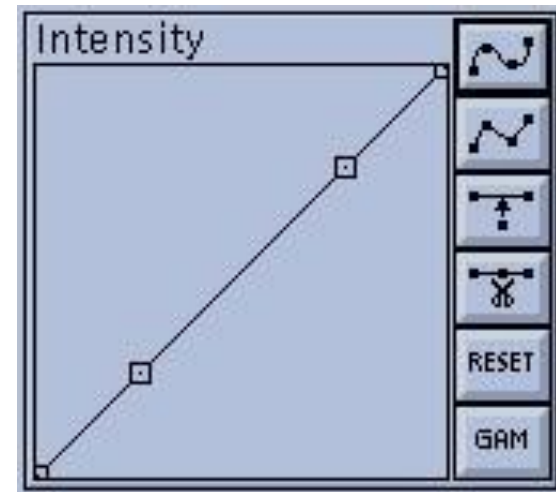
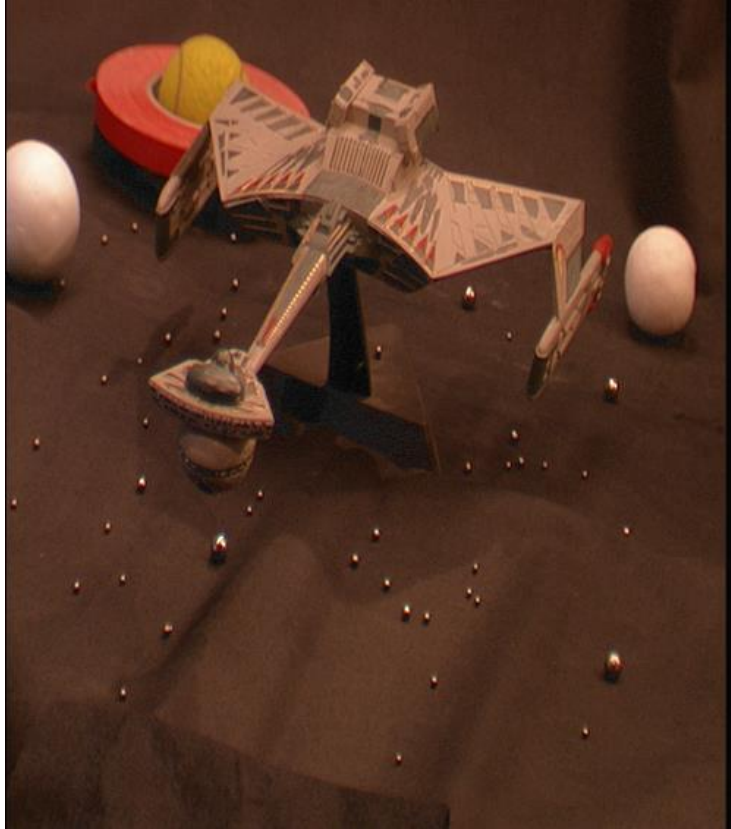
$$g'(x,y) = a g(x,y) + b$$

- Thresholding:

$$g'(x,y) = \Theta(g(x,y) - \mathcal{J})$$

with threshold  $\mathcal{J}$  and  $\Theta(x) = 0$  for  $x < 0$  and  $\Theta(x) = 1$  otherwise

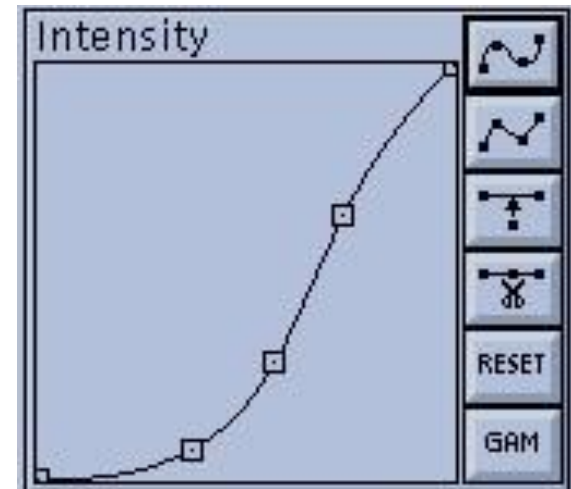
- Splines (try e.g. xv)



[H]

Untransformed image





[H]

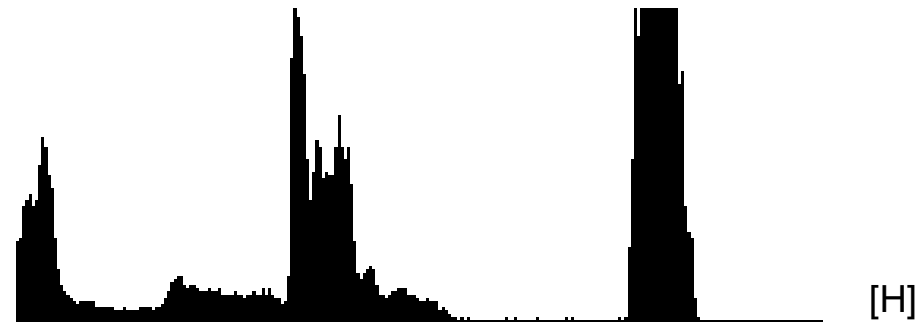
Nonlinear point transform of luminance

- Problem:

How can we define point operations and find suitable parameters?

→ Histogram  $H$  is a useful tool

- $H(i) = \# \text{ pixels of gray value } i, \quad i = [0 \dots 255]$   
with  $H(i) \in [0, \# \text{ pixels of the image}]$

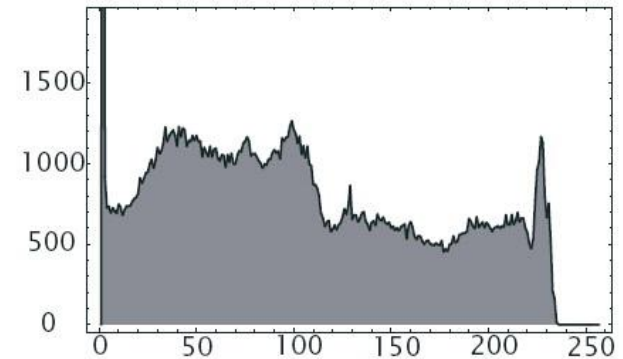


# Histograms: Examples

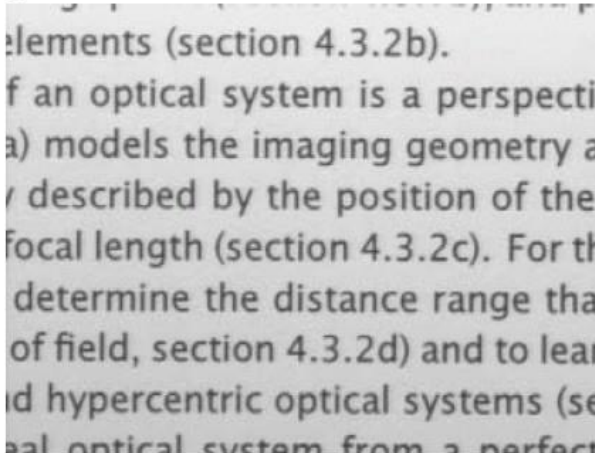
*a*



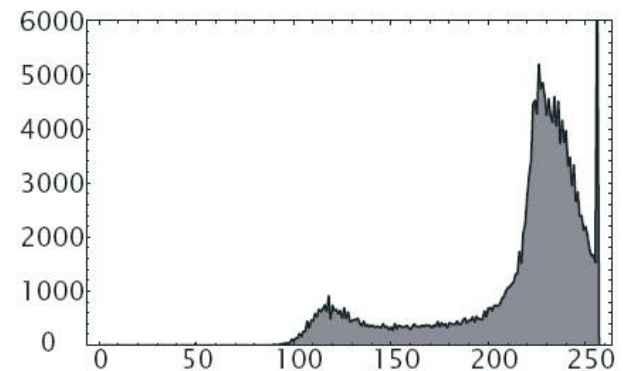
*b*



*c*



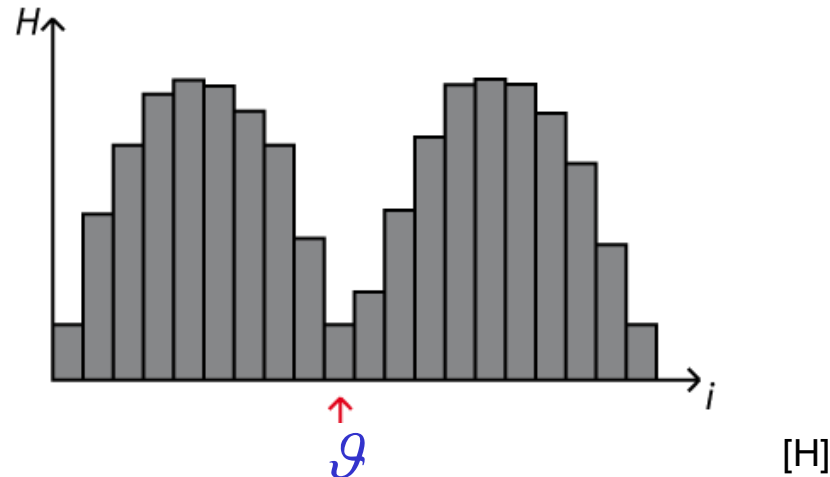
*d*



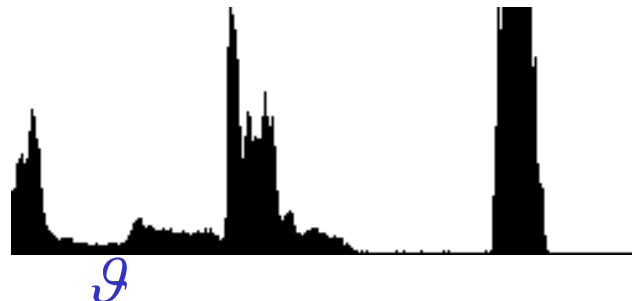
[J]

Histograms: Above: Gray value underflow, upper range is unused  
Below: Gray value overflow, lower range is unused (black → gray)

Simple application: Find a threshold  $\mathcal{J}$  for binarization of a bimodal distribution:



For real scenes, thresholds are far more difficult to find:



Simple object / background separation for bimodal distribution:

- $\neq$  Binarization, i.e., object or background retain their original gray values whereas the rest gets a “marker value” (e.g. 0 or 255).
- Works only for simple scenes, i.e., highly artificial setups, e.g., a white object on a black conveyor belt with fixed illumination, no shadows etc.
- Two real world examples:



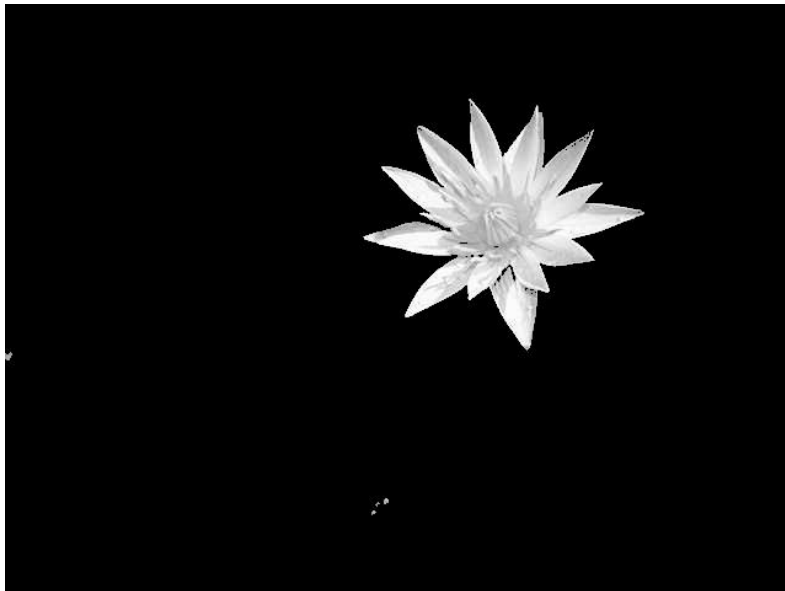
Above:  $g(x,y)$

Below:

Left:  $g'(x,y) = g(x,y) \cdot \Theta(g(x,y) - \mathcal{J})$

Right:  $g'(x,y) = 255 \cdot \Theta(g(x,y) - \mathcal{J}) + g(x,y) \cdot \Theta(\mathcal{J} - g(x,y))$

Original: [A], processed image: [H]





Above:  $g(x,y)$

Below:

Left:  $g'(x,y) = g(x,y) \cdot \Theta(g(x,y) - \mathcal{S})$

Right:  $g'(x,y) = 255 \cdot \Theta(g(x,y) - \mathcal{S}) + g(x,y) \cdot \Theta(\mathcal{S} - g(x,y))$

Original: [A], processed image: [H]

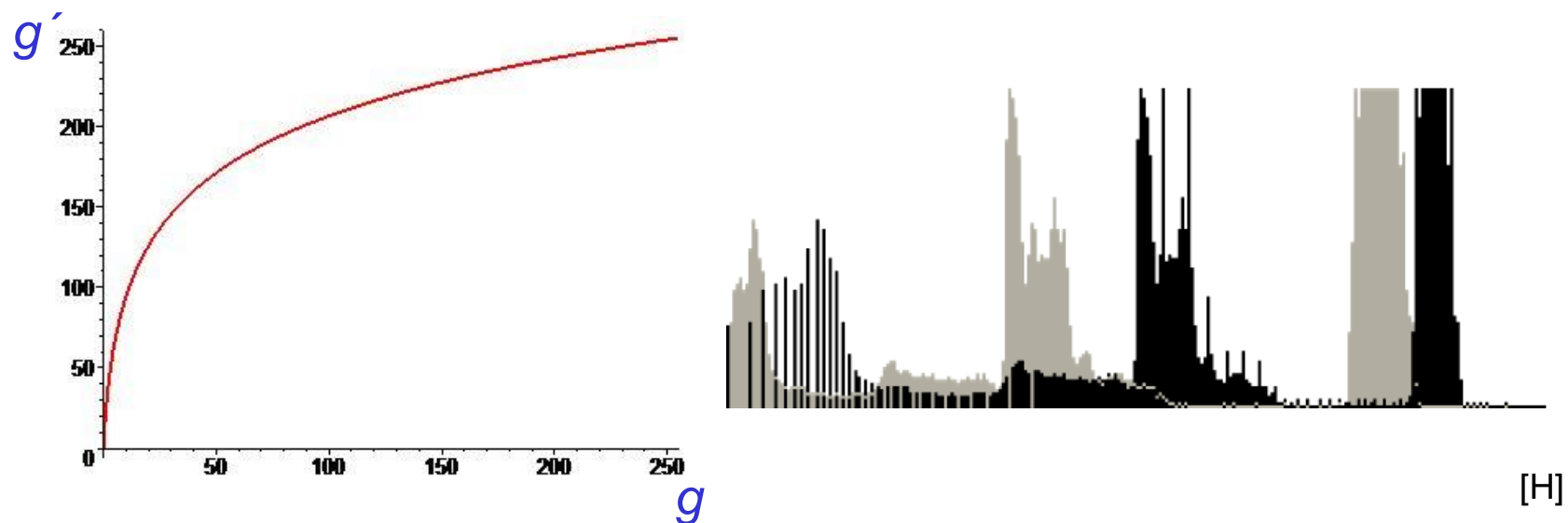




Logarithmic function for brightening:

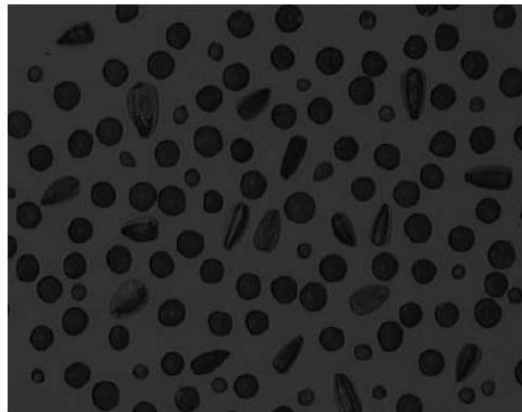
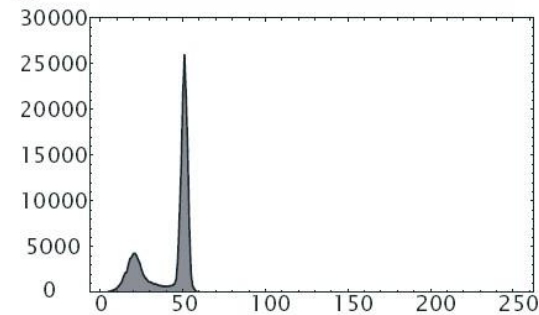
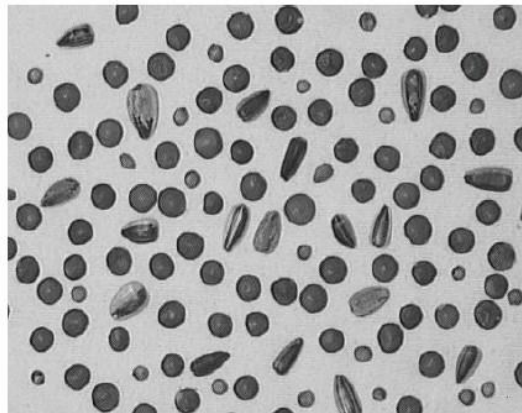
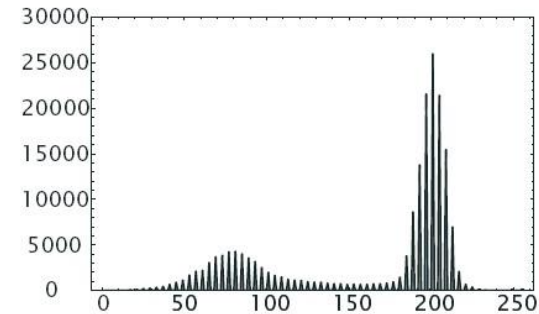
$$g'(x,y) = a \cdot \log(s \cdot g(x,y) + 1)$$

Typical parameter:  $s = \frac{1}{2}$ ,  $a = 255 / \log(s \cdot 255 + 1)$





- At best, a gray value transformation preserves information
- Information preservation  $\Rightarrow$  Inverse transformation exists.
- Usually: Loss of information.
- Note: Functions like logarithmic brightening have an inverse function for *real* values, but not for *discrete* gray values! So several input gray values are mapped to the same result gray value.

*a**b**c**d*

[J]

Contrast of image a is enhanced (b) by a linear point transform of the intensity.

However, only visibility is improved, information remains the same.

*a*



*b*



*c*



*d*



(b)-(d): Different gray value mappings [J]

- Compute image  $g^c$  from  $g^a$  and  $g^b$ :

$$g^c(x,y) = O(g^a(x,y), g^b(x,y), x, y)$$

- Important homogeneous transformations:

$$g^c(x,y) = g^a(x,y) \ +, -, *, / \ g^b(x,y)$$

- Example:

$$g^c(x,y) = |g^a(x,y) - g^b(x,y)|$$

with  $g^a = \text{car}$ ,  $g^b = \text{„white text on black“}$



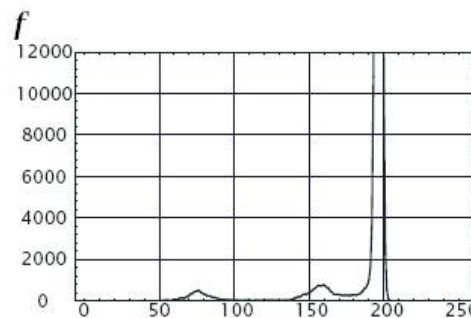
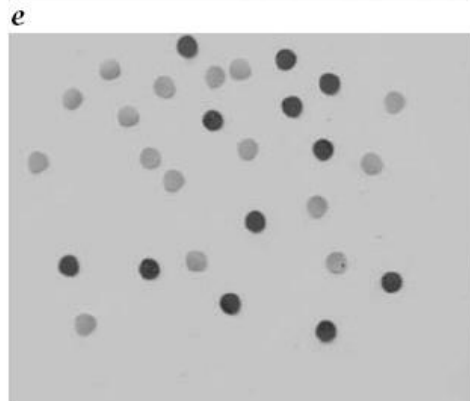
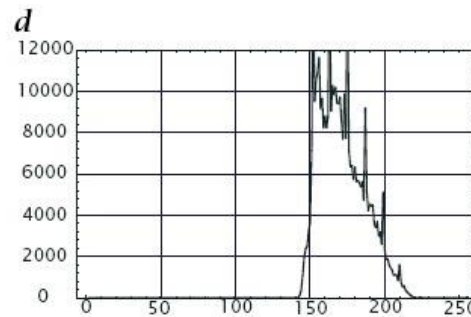
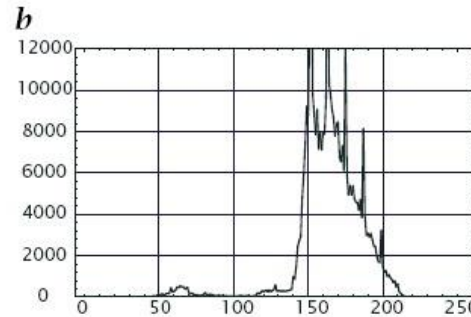
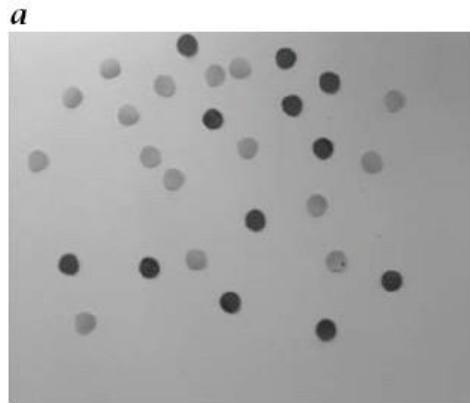
# Example

Original  $g^a$

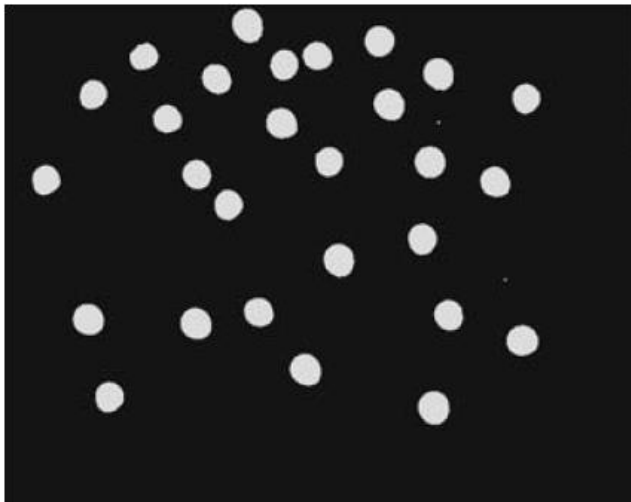
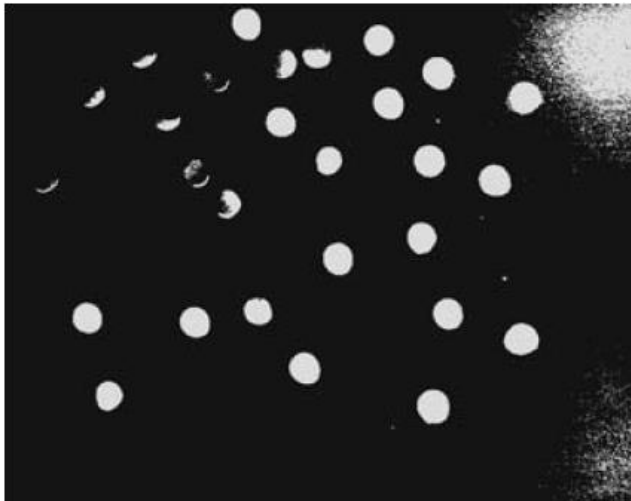
Inhomogeneous background image  $g^b$

Remove inhomogeneous background:

$$g^a / g^b$$



[J]



[J]

## Segmentation:

- Task: Separate objects of the previous slide from background
- Simple method: Homogeneous thresholding („global” threshold)
- Even for optimally selected global threshold we obtain no better than the upper result.
- Inhomogeneous thresholding would solve the problem, but local thresholds are hard to get.
- Solution: Prior to segmentation apply method of previous slide → lower result image !

- Problem: Noisy image sequence

$$g(x, y, t) = g(x, y) + \delta(x, y, t)$$

with  $g(x, y)$  constant,  $\delta(x, y, t) = (\text{dynamic}) \text{ noise}$ .

- Simple method: Temporal averaging

$$\bar{g}(x, y, t + \Delta t) = \frac{1}{\Delta t} \sum_{t'=t}^{t+\Delta t} g(x, y, t')$$

- Example: Impulse noise (salt + pepper) for 1% of the pixels.



# Temporal smoothing



Frame 1 + impulse noise



Averaging over frames 1+2



Averaging over frames 1-4



Averaging over frames 1-8



Uniformly distributed noise:

$$g(x,y,t) = g(x,y) + \delta(x, y, t)$$

with  $\delta(x, y, t) = \text{random number} \in [-10, 10]$



Task: Separate moving object from static background

- Simple approach:
  1. Difference image:  $g^{\text{diff}}(x,y,t) = |g(x,y,t) - g(x,y,t-1)|$
  2. Binarize:  $g^{\text{bin}}(x,y,t) = \Theta(g^{\text{diff}}(x,y,t) - \vartheta)$
  3. Search for large, connected regions in  $g^{\text{bin}}$ .
- Problem:  $g^{\text{diff}}$  shows both the patch where the object is now and the patch where the object was before.
- Solution: Use background image  $g^{\text{B}}$  *without* object for reference. Search for connected regions in binary image

$$g^{\text{bin}}(x,y,t) = \Theta(g^{\text{diff}}(x,y,t) - \vartheta_1) \cdot \Theta(|g(x,y,t) - g^{\text{B}}(x,y,t_0)| - \vartheta_2)$$

with suitable thresholds  $\vartheta_1, \vartheta_2$ .

# Difference image



Fixed camera,  
moving objects

[J]

# Difference image



Camera pan

[J]

## Difference image



Lamp to the left is  
switched off

[J]

## Difference image



Door is closed,  
causing change of  
illumination

[J]

- Local operator  $L$  transforms gray value of a pixel at  $(x,y)$  depending on its surroundings  $U(x,y)$  :

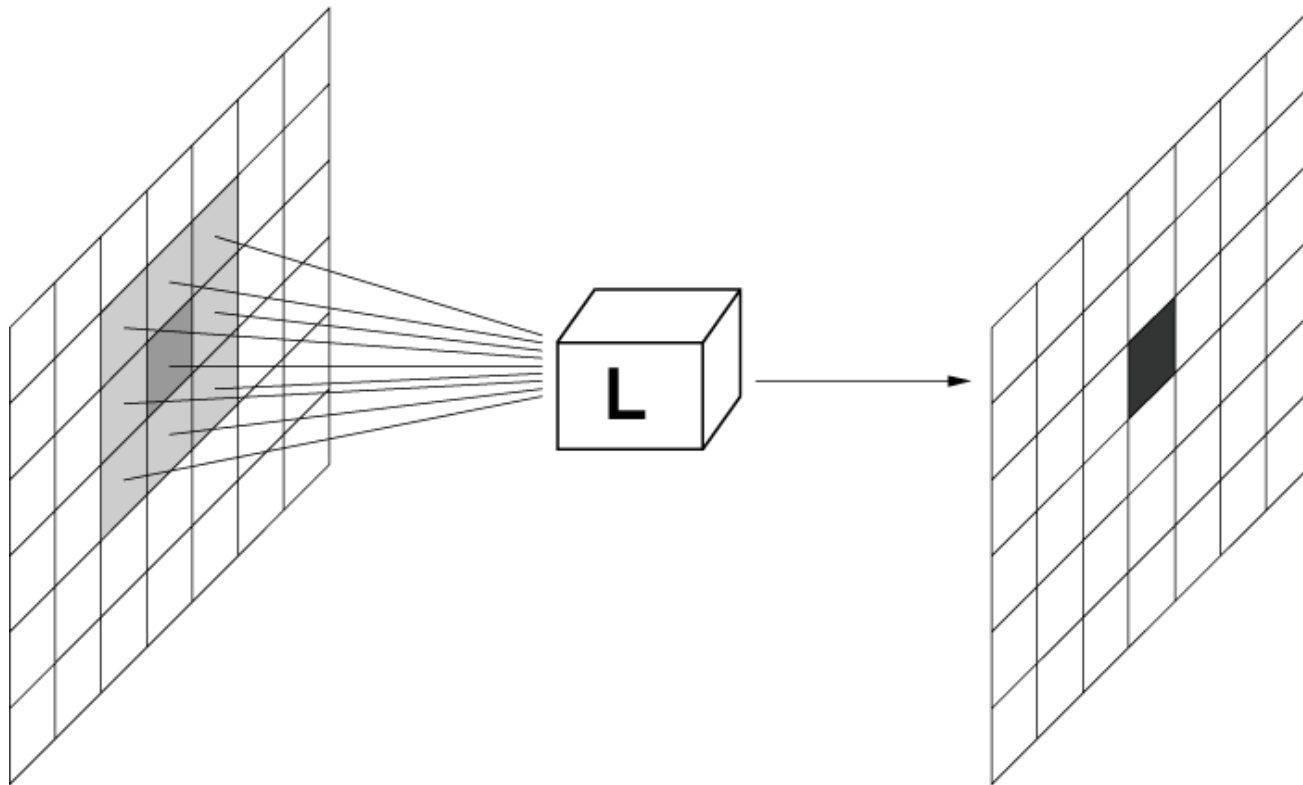
$$g'(x,y,t) = L(\{ g(i,j,t) \mid (i,j) \in U(x,y) \}, (x,y))$$

- With  $(x,y)$ : Inhomogeneous operator
  - Now: Only homogeneous operators
- 
- Special case:  $U$  is a rectangle  $R$  of size  $(2m+1) \times (2n+1)$  with center at  $(x,y)$ :  

$$R(x,y) = \{(x+i, y+j) \mid i \in [-m,m], j \in [-n,n], m,n > 0\}$$



- Move operator window  $R$  over image
- Compute  $L$  for each location
- Assign result to the pixel corresponding to the central pixel in the result image





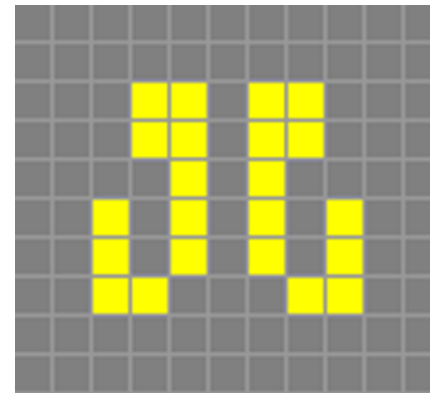
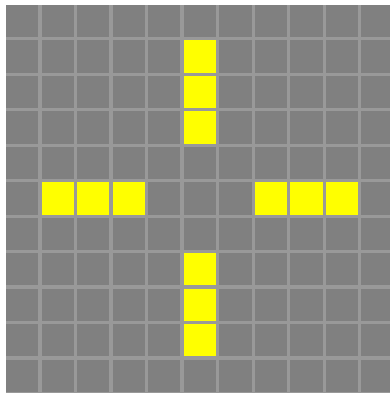
Problem: Close to the border, some values inside  $R$  are undefined.

Possible solutions:

- Leave out border: Simple, no artifacts, loss for large  $R$ .
- Enlarge image, fill up additional pixels with
  - fixed gray value, e.g., 0:  
Simple, but artifacts as an artificial edge is introduced (cf. Fourier transform).
  - For linear operators: Periodic continuation of the image.

- Representation of  $L$ :
  - Analytical representation
  - LKT only possible if  $U$  is small and less than 8 bit are used
- Applications:
  - Smoothing, suppression of noise
  - Gradient computation
  - Edge extraction
  - Recognition of local patterns

- Local operator is equal to the update rule of a cellular automaton
  - Pixel  $\leftrightarrow$  Cell
  - Gray value  $\leftrightarrow$  State
  - $L \leftrightarrow$  State transition
- Example: Conway's Game of Life



$L$  is linear if

- $L(a \cdot g) = a \cdot L(g),$

meaning: Multiply each pixel of  $g$  by  $a$ , or each pixel of  $L(g)$  by  $a$ , respectively.

- $L(g_1 + g_2) = L(g_1) + L(g_2)$

It does not matter whether you first add up the image and apply  $L$  subsequently or vice versa.

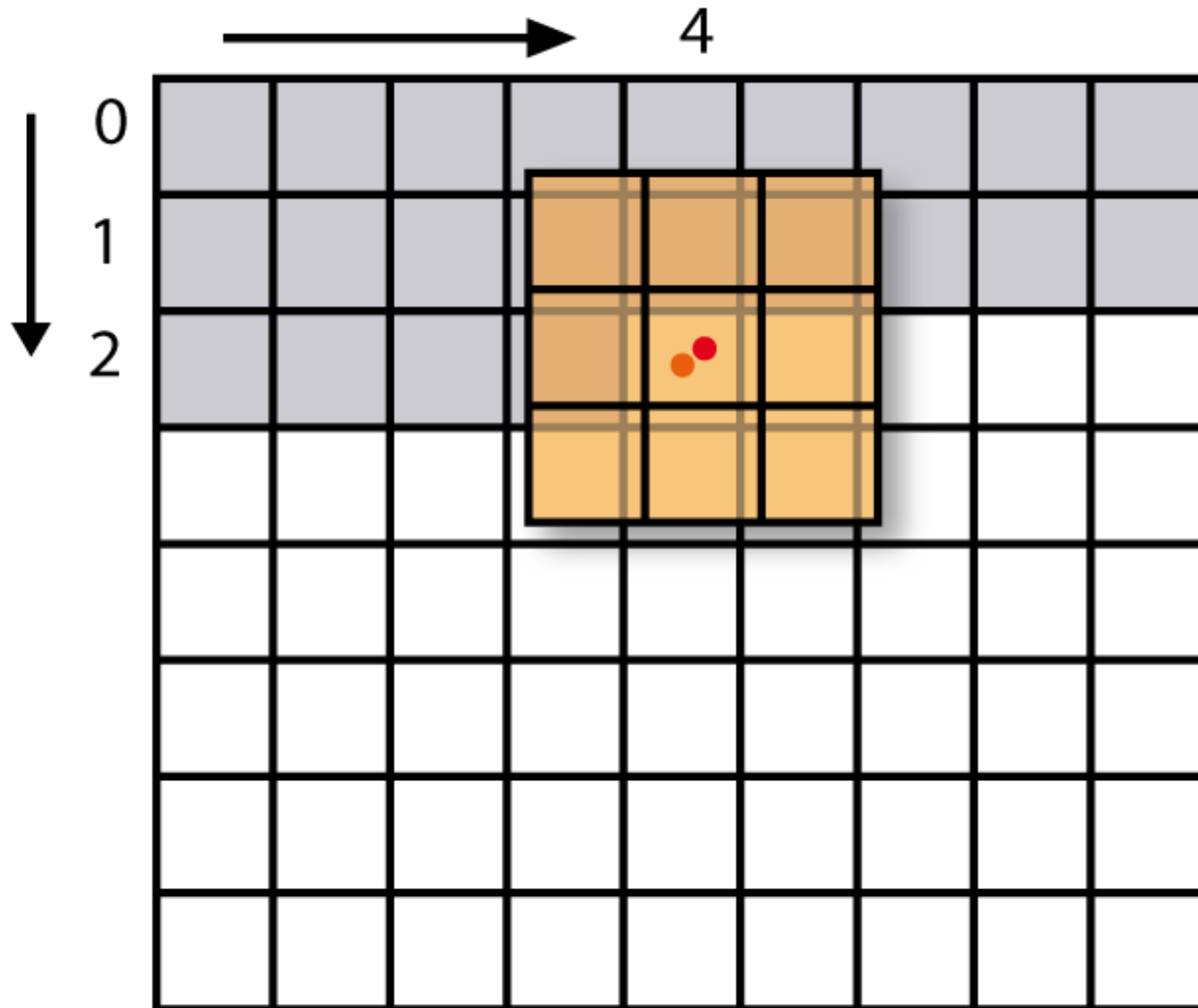
- Convolution: Local operator, linear, homogeneous
- Defined by **filter kernel** (also called “mask”)  $k$ , commonly of size  $3 \times 3$ ,  $5 \times 5$  ... :

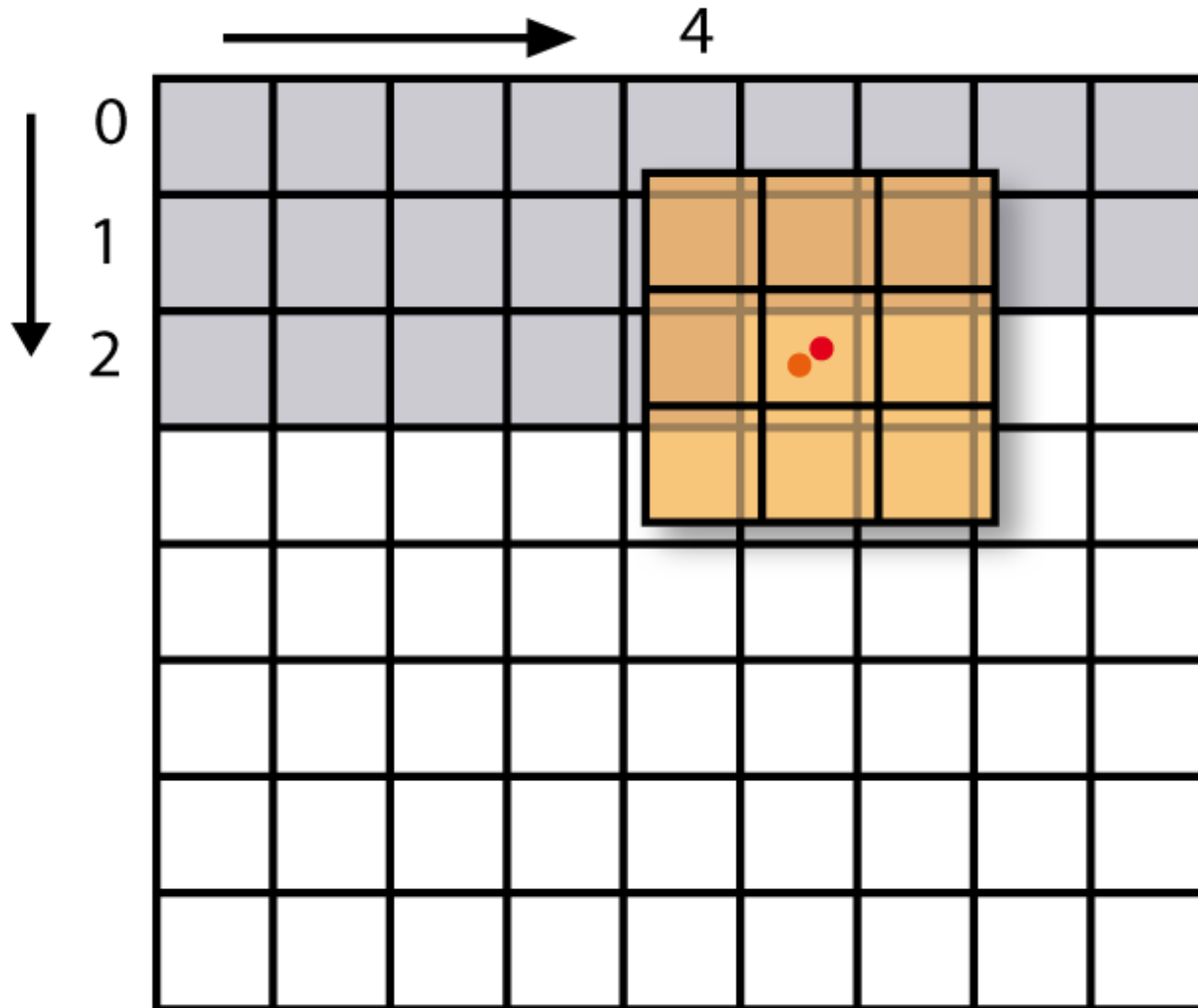
$$k(i,j) \in \mathbb{R}^{(2m+1) \times (2n+1)}, \quad m,n \in \mathbb{N}$$

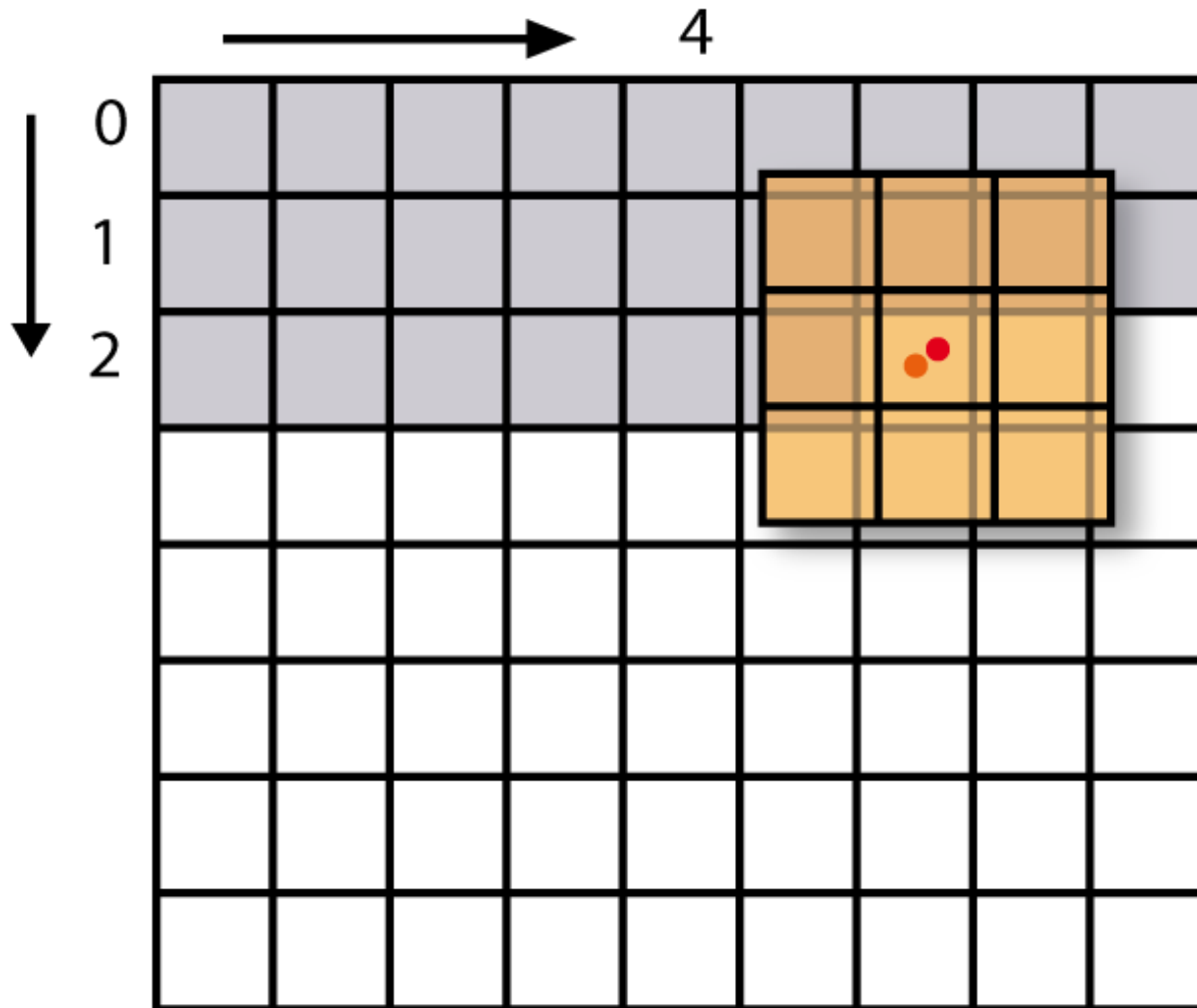
- Result is calculated as the scalar product of  $k$  and an image patch of corresponding size:

$$g'(x,y) = \sum_{i \in [-m,m]} \sum_{j \in [-n,n]} k(i+m,j+n) \cdot g(x+i,y+j)$$

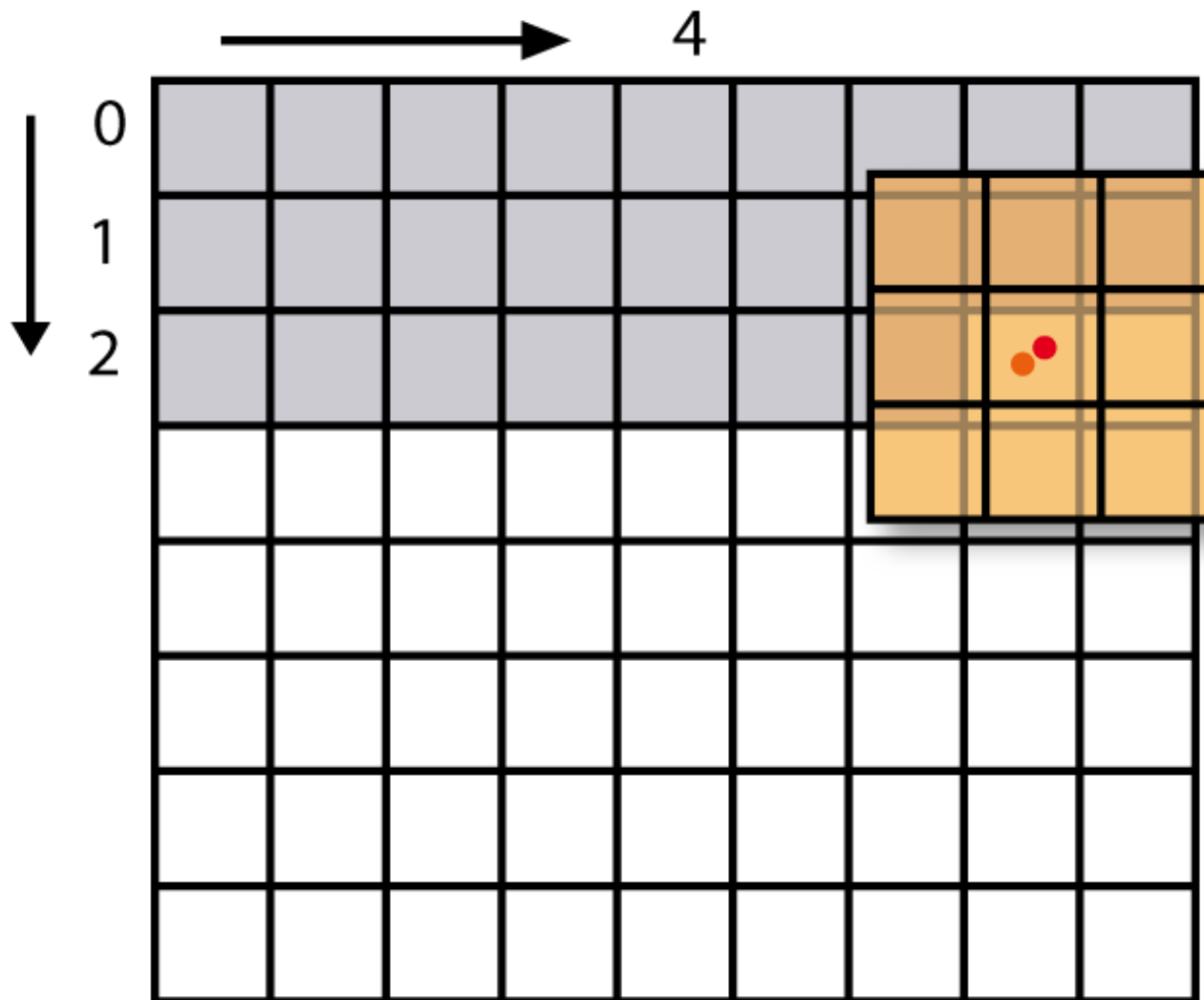
- Result image  $g'$  must be linearly transformed to range  $0 \dots 255$  (but appropriate scaling of  $k$  is preferable).
- Filter kernel can be viewed as a simple **receptive field** (neurobiology).
- Note: Math. definition  $(f * g)(t) = \int f(u) g(t-u) du$ .



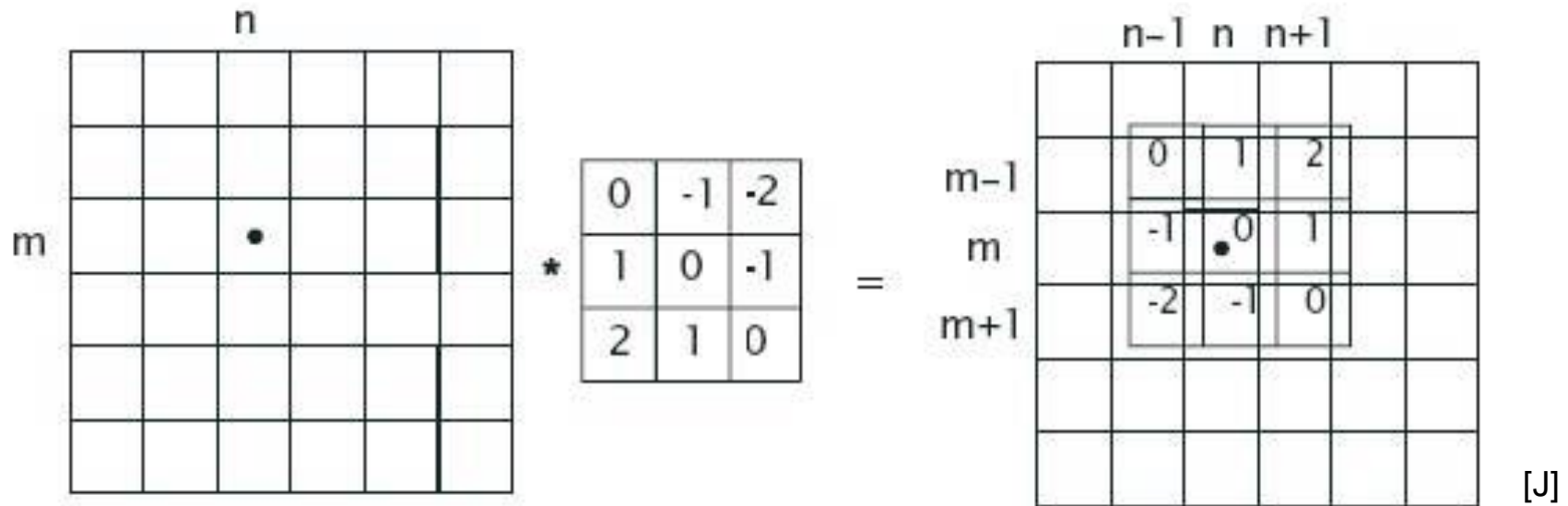








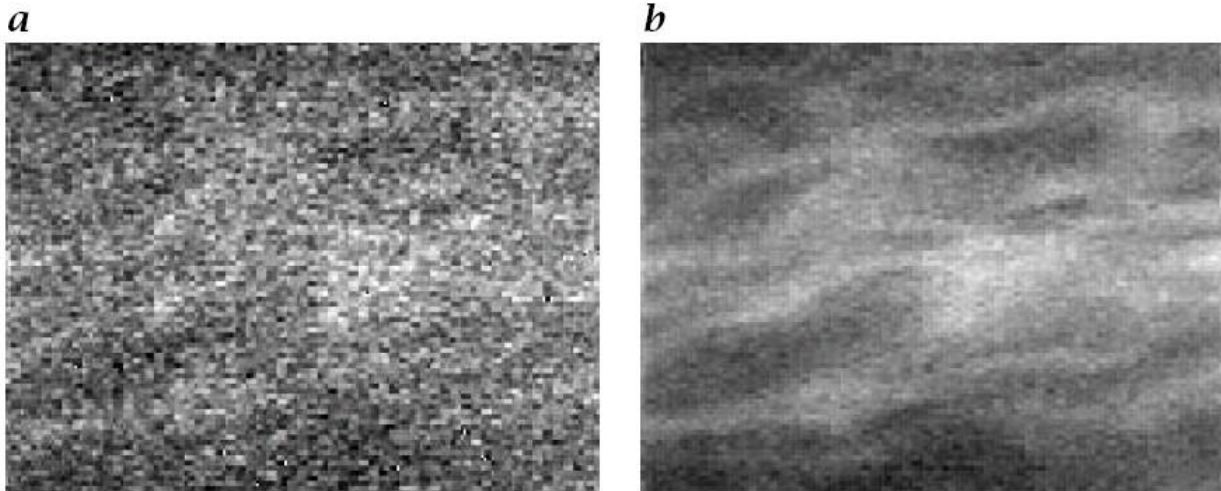
# Convolution: Scalar product of kernel and image patch



- Smoothing, e.g. for noise reduction
- Simplest solution: Averaging using box filter.

$$k_{Box} = \frac{1}{9} \begin{pmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{pmatrix}$$

- Problem: “Hard” border causes harmonics (cf. Fourier transform).



- Idea: Make hard border of box filter smooth by multiplying box filter by a Gaussian.

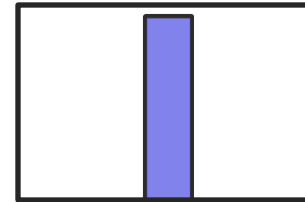
- 2d-Gaussian:

$$G(x, y) = \frac{1}{2\pi\sigma^2} e^{-\frac{x^2+y^2}{2\sigma^2}}$$

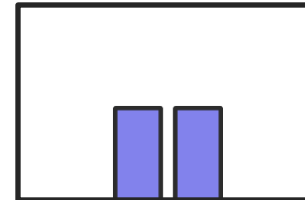
- For discrete approximation, use a binomial kernel of size  $(2m+1) \times (2n+1)$
- With increasing kernel size  $(m, n)$  high spatial frequencies (and thus details) are suppressed, image becomes blurred.
- 3x3-kernel is common choice:

$$k_{Gau\beta} = \frac{1}{16} \begin{pmatrix} 1 & 2 & 1 \\ 2 & 4 & 2 \\ 1 & 2 & 1 \end{pmatrix}$$

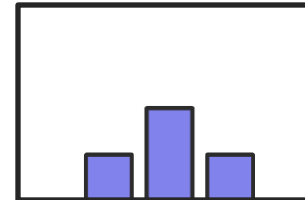
$$B^0 = 2^{-0} \cdot (1)$$



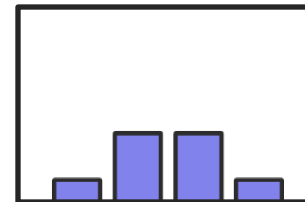
$$B^1 = 2^{-1} \cdot (1 \ 1)$$



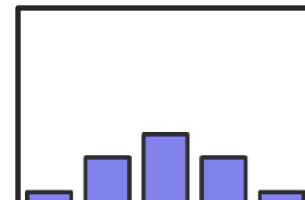
$$B^2 = 2^{-2} \cdot (1 \ 2 \ 1)$$



$$B^3 = 2^{-3} \cdot (1 \ 3 \ 3 \ 1)$$



$$B^4 = 2^{-4} \cdot (1 \ 4 \ 6 \ 4 \ 1)$$

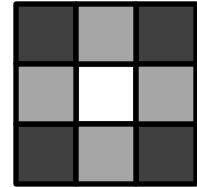


## 2d binomial filter

$$B^2 = 2^{-4} \cdot (1 \ 2 \ 1)^T \cdot (1 \ 2 \ 1)$$

1/16

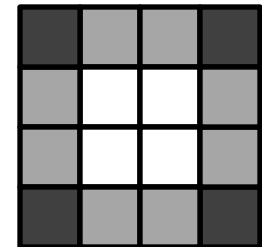
1	2	1
2	4	2
1	2	1



$$B^3 = 2^{-6} \cdot (1 \ 3 \ 3 \ 1)^T \cdot (1 \ 3 \ 3 \ 1)$$

1/64

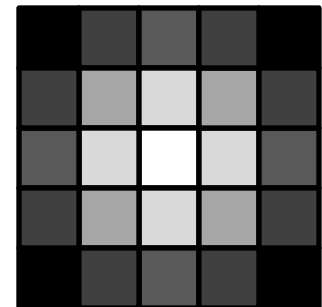
1	3	3	1
3	9	9	3
3	9	9	3
1	3	3	1

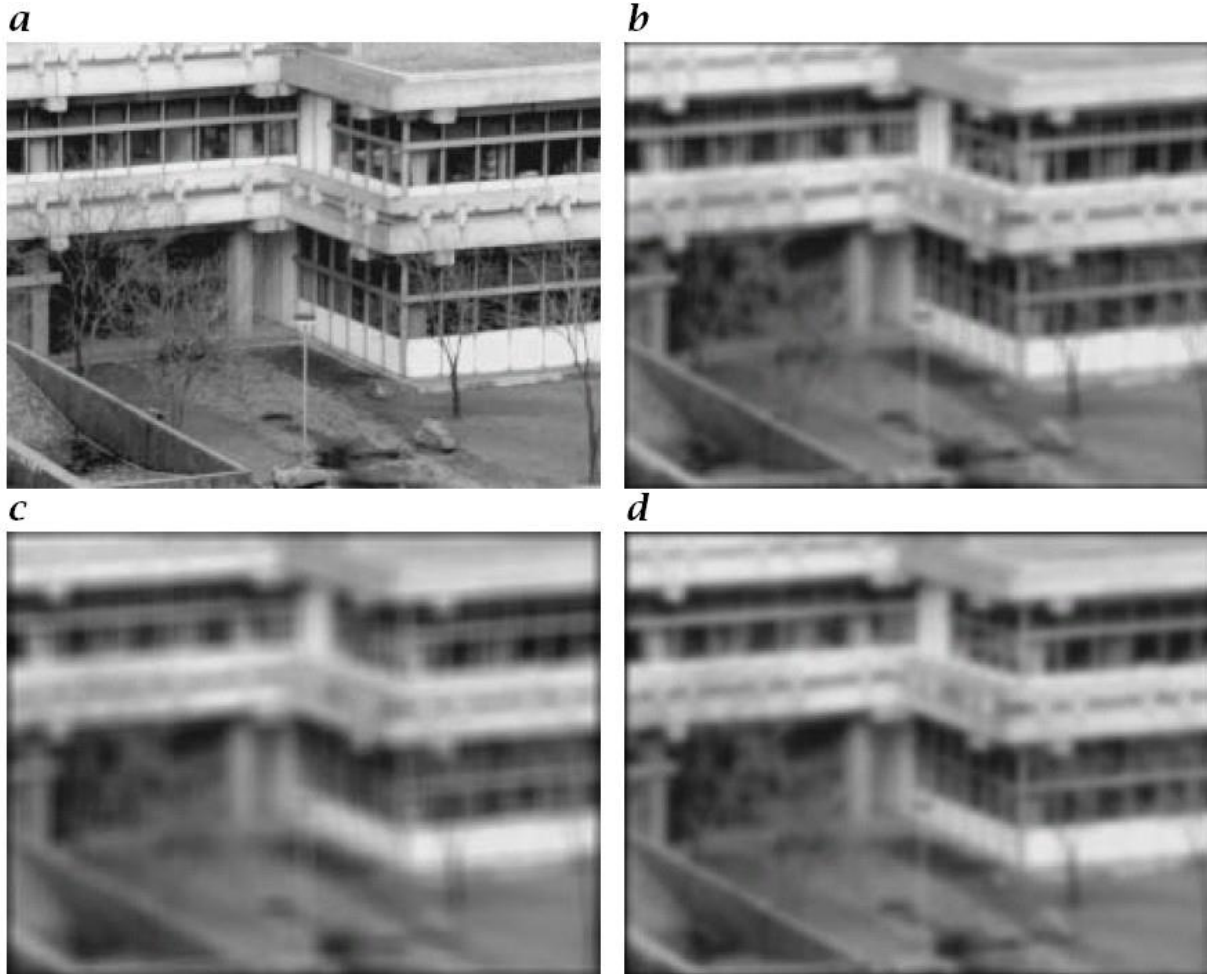


$$B^4 = 2^{-8} \cdot (1 \ 4 \ 6 \ 4 \ 1)^T \cdot (1 \ 4 \ 6 \ 4 \ 1)$$

1/256

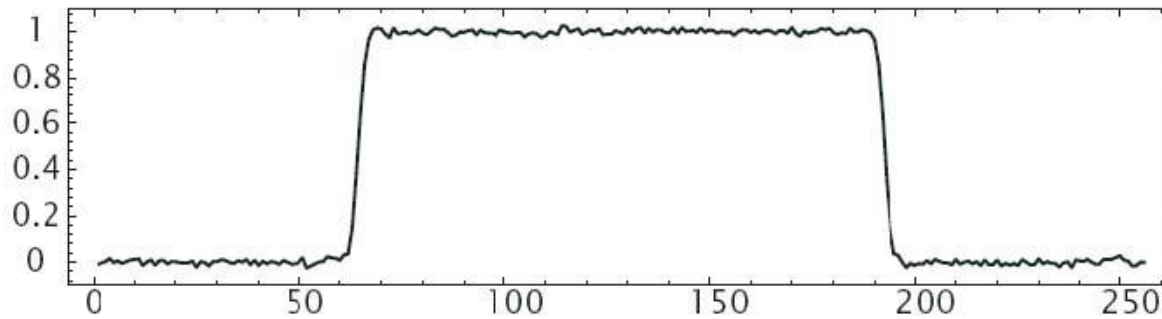
1	4	6	4	1
4	16	24	16	4
6	24	36	24	6
4	16	24	16	4
1	4	6	4	1



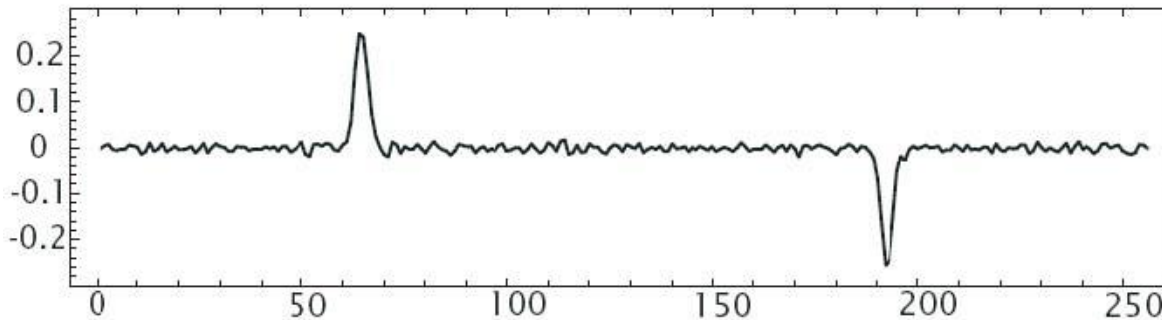


[J]

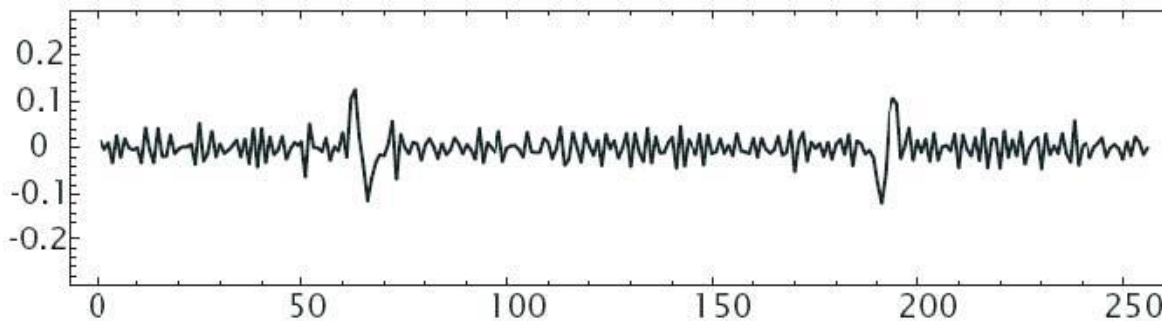
(a) Original; (b) 5x5 box filter; (c) 9x9 box filter;  
(d) 17x17 binomial filter.



1d gray value edge



1. derivative



2. derivative

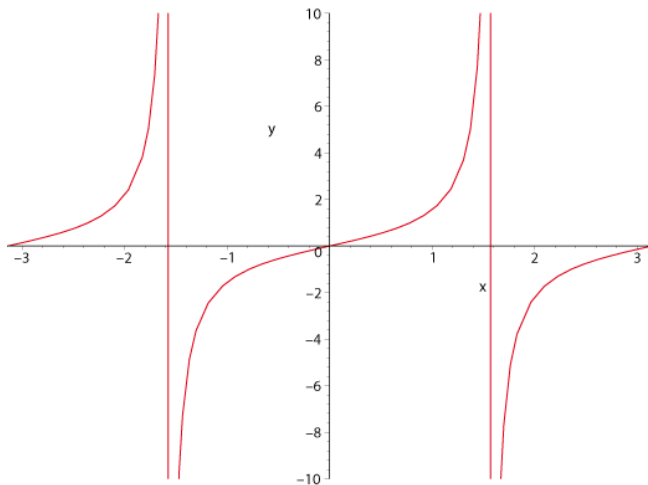
[J]



- Task: Detect jumps in gray value distribution, in particular, find strength and direction of edges
- Gradient magnitude (for real values):

$$\|\text{grad}(g(x, y))\| = \sqrt{G_x^2 + G_y^2} \quad \text{with} \quad G_x = \frac{\partial g}{\partial x}, G_y = \frac{\partial g}{\partial y}$$

- Gradient direction  $\theta$ :



$$\theta(x, y) = \begin{cases} \arctan \frac{G_y}{G_x} & \text{for } G_x > 0, G_y \geq 0 \\ \pi + \arctan \frac{G_y}{G_x} & \text{for } G_x < 0 \\ 2\pi + \arctan \frac{G_y}{G_x} & \text{for } G_x > 0, G_y \leq 0 \end{cases}$$

- Note for C-routines:  $\arctan() \neq \text{atan}() , \text{atan2}()$ .

Design of a x-gradient filter:

- Forward gradient:

$$\frac{\partial g(x, y)}{\partial x} \approx \frac{g(x, y) - g(x - \Delta x, y)}{\Delta x}$$

- Symmetric gradient:

$$\frac{\partial g(x, y)}{\partial x} \approx \frac{g(x + \Delta x, y) - g(x - \Delta x, y)}{2\Delta x}$$

- For images: Smallest  $\Delta x = 1$ .
- Considering the symmetric gradient, the structure of the 3x1-filter becomes clear:

$$k_{Grad}^x = \begin{pmatrix} -1 & 0 & 1 \end{pmatrix}$$

## Example: Symmetric gradient



$$k_{Grad}^x = \begin{pmatrix} 1 & 0 & -1 \end{pmatrix}$$

$$k_{Grad}^y = \begin{pmatrix} -1 & 0 & 1 \end{pmatrix}$$

- Vertical extension of the 3x1 filter yields the Prewitt operator:

$$k_{\text{Prewitt}}^x = \frac{1}{3} \begin{pmatrix} 1 & 0 & -1 \\ 1 & 0 & -1 \\ 1 & 0 & -1 \end{pmatrix}$$

- Maximal reaction to vertical edge
- But similar problems as box filter
- Solution: Overlaying binomial filter yields Sobel filter.

$$k_{\text{Sobel}}^x = \frac{1}{4} \begin{pmatrix} 1 & 0 & -1 \\ 2 & 0 & -2 \\ 1 & 0 & -1 \end{pmatrix}$$

$$k_{\text{Sobel}}^y = \frac{1}{4} \begin{pmatrix} 1 & 2 & 1 \\ 0 & 0 & 0 \\ -1 & -2 & -1 \end{pmatrix}$$

Diagonal Sobel filter:

$$k_{Sobel}^1 = \frac{1}{4} \begin{pmatrix} 0 & -1 & -2 \\ 1 & 0 & -1 \\ 2 & 1 & 0 \end{pmatrix}$$

$$k_{Sobel}^2 = \frac{1}{4} \begin{pmatrix} -2 & -1 & 0 \\ -1 & 0 & 1 \\ 0 & 1 & 2 \end{pmatrix}$$



- Task: Find edges independent of direction
- There is no isotropic *and* linear derivative filter of first order
- Second order derivative filter:

$$\begin{aligned}\frac{\partial^2 g}{\partial x^2} &\approx \frac{[g(x + \Delta x) - g(x)] - [g(x) - g(x - \Delta x)]}{(\Delta x)^2} \\ &= \frac{g(x + \Delta x) - 2g(x) + g(x - \Delta x)}{(\Delta x)^2}\end{aligned}$$

- Motivates 1d-kernel:  $(1 \ -2 \ 1)$



Extension to 2d yields Laplace operator:

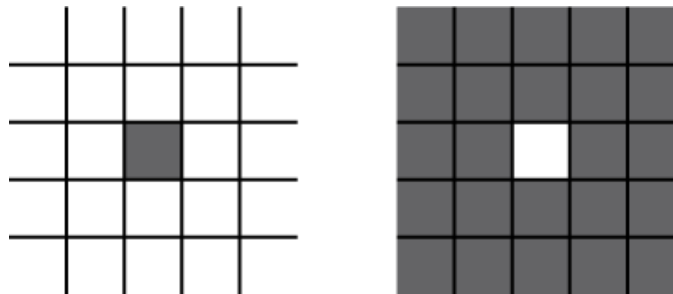
$$\Delta g = \frac{\partial^2 g}{\partial x^2} + \frac{\partial^2 g}{\partial y^2}$$

Discrete version:

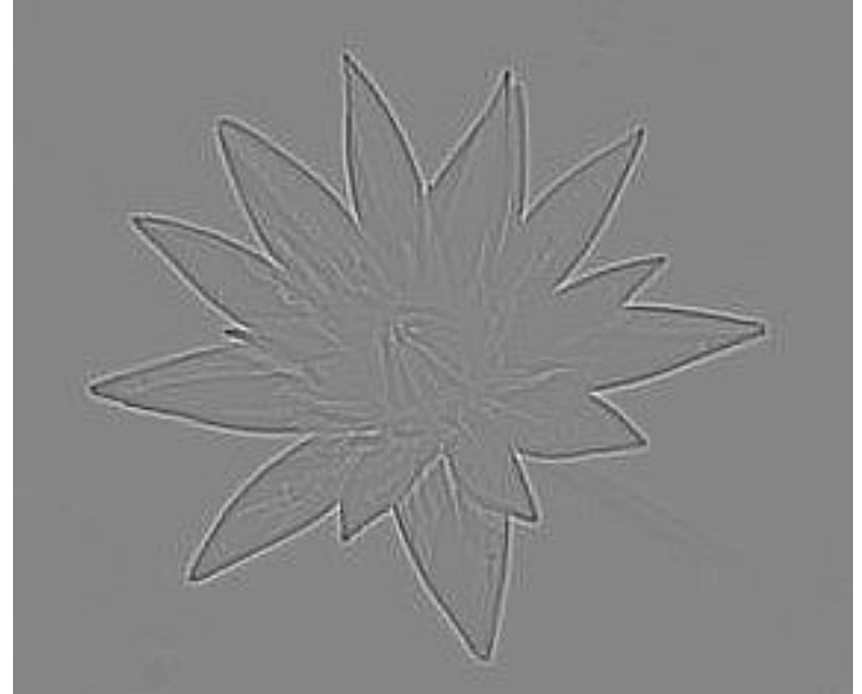
$$k_{Laplace} = \begin{pmatrix} 1 & -2 & 1 \end{pmatrix} \begin{pmatrix} 1 \\ -2 \\ 1 \end{pmatrix} = \begin{pmatrix} 0 & 1 & 0 \\ 1 & -4 & 1 \\ 0 & 1 & 0 \end{pmatrix}$$



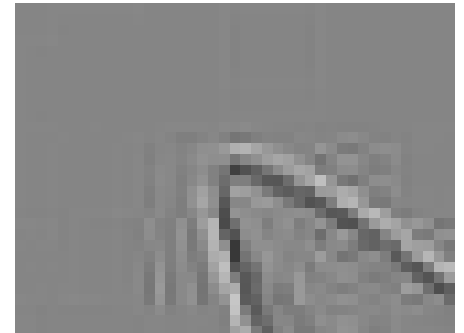
- $k_{\text{Laplace}}$  detects jumps of gray values, more precisely: „Impulses“, i.e., maximum output for a dark pixel on bright background:
- But this leads to sensitivity to isolated disrupted pixels.
- Note: Luminance edge is mapped onto double edge.





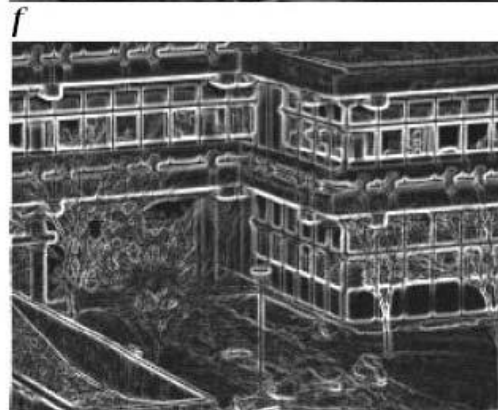
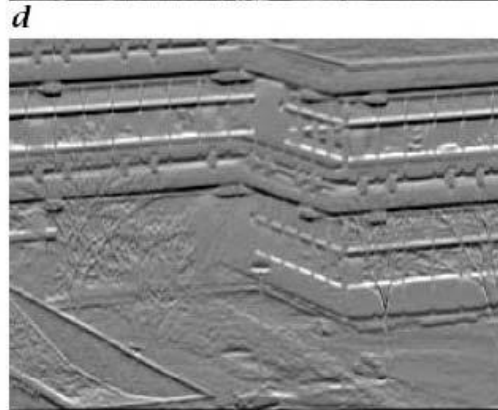
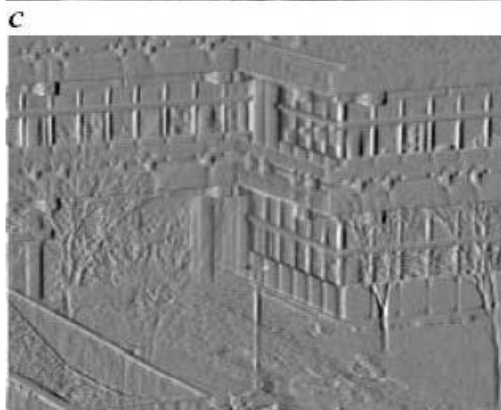
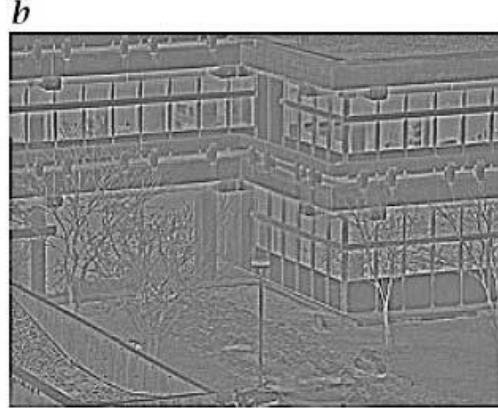


Filtering using 3x3 Laplace operator and subsequent linear transformation to range 0-255.



Original: [A], processed images: [H]

# Derivative filters: Examples



a) Original

b) Laplace filter applied

c) Horizontal derivative  $D_x$

d) Vertical derivative  $D_y$

e) Gradient magnitude of **c** and **d**:  $(D_x^2 + D_y^2)^{1/2}$

f) Sum up values of **c** and **d**:  $|D_x| + |D_y|$

[J]

- Problem: Computational effort for  $m \times n$ -kernel is  $O(mn)$ .
- But: Some kernels are separable, i.e.,  $k$  is a product of a row vector and a column vector

$$k(i,j) = k^C(i) \cdot k^R(j) \quad \text{with} \quad k^R \in \mathbb{R}^{1 \times n}, \quad k^C \in \mathbb{R}^{m \times 1}$$

- Thus we get a more efficient convolution:

$$\begin{aligned} g'(x,y) &= \sum_{i \in [-m,m]} \sum_{j \in [-n,n]} k(i+m,j+n) \cdot g(x+i,y+j) \\ &= \sum_{i \in [-m,m]} k^C(i+m) \left( \sum_{j \in [-n,n]} k^R(j+n) \cdot g(x+i,y+j) \right). \end{aligned}$$

- Performs convolution first using  $1 \times n$ -kernel, then using  $m \times 1$ -kernel  $\Rightarrow$  computational effort  $O(m+n)$ .
- Example: Gaussian kernel is separable.
- Useful e.g. for multiple smoothing to obtain representations on multiple scales (resolution pyramid).

- Problem: Linear smoothing operators such as Gaussian filter suppress noise but blur the image
- We need a smoothing filter that preserves edges

## 1. Min-max operators:

- **Min** sorts the  $(2m+1) \times (2n+1)$  gray values covered by the kernel:

$$g'(x,y) = \min_{i,j} \{ g(x+i, y+j) \mid i = -m \dots m, j = -n \dots n \}$$

- Smooths dark regions
- Preserves edges
- But destroys bright regions
- **Max** operator: Opposite effect

→ We need a *combination* of Min and Max.



Binary noise



Smoothed by Max filter



Smoothed by Min filter





Gaussian noise



Smoothed by Max filter



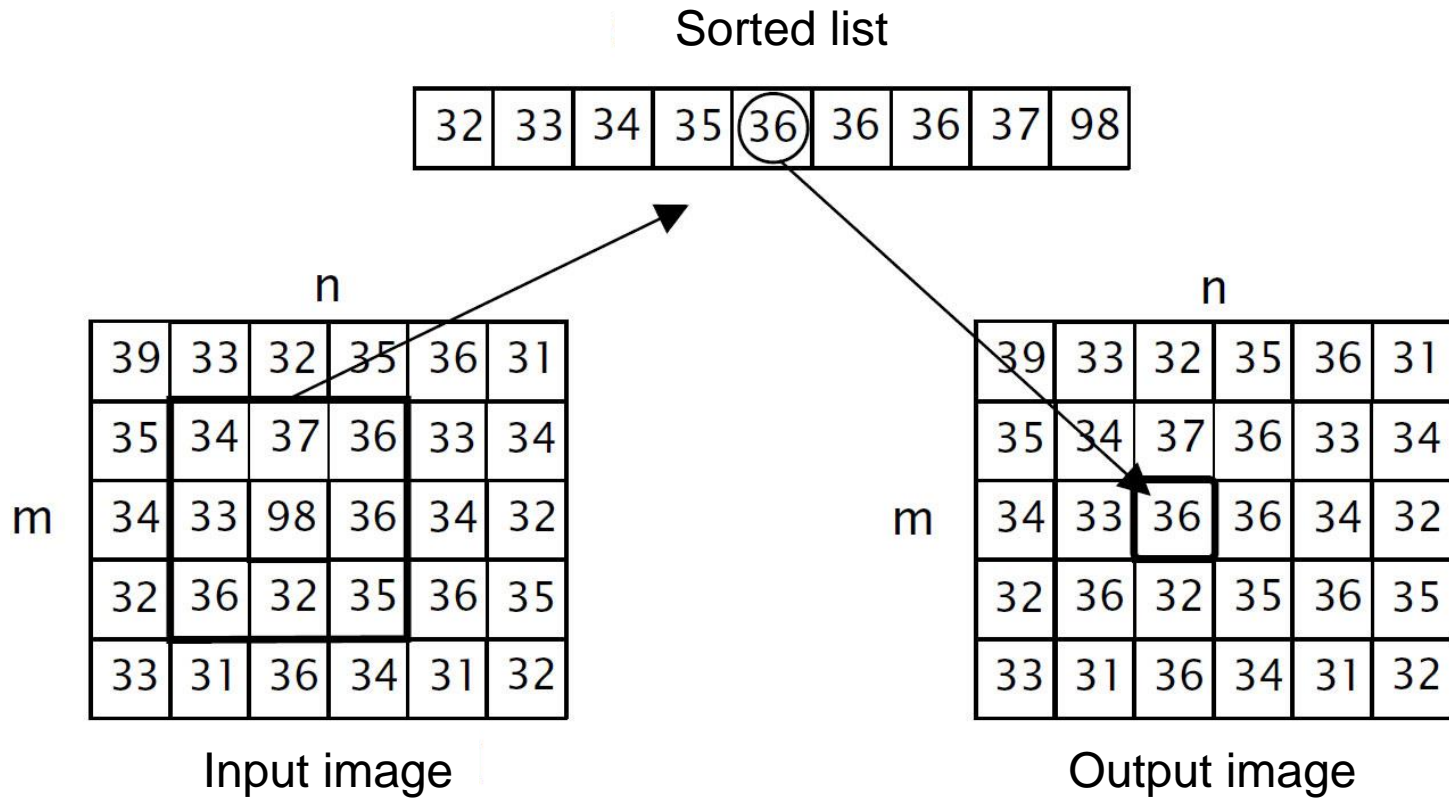
Smoothed by Min filter

→ Combination of **Min** and **Max** ?

## 2. Median filter (a ranking filter)

- Sort all gray values  $g(x,y)$  covered by kernel
- **Result:** Gray value  $g^*$  in the middle of the list.
- Note: Usually  $g^* \neq \text{average value}$ .
- Removes outliers
- Preserves edges
- No artificial values
- High computational effort for sorting
- Filter is nonlinear

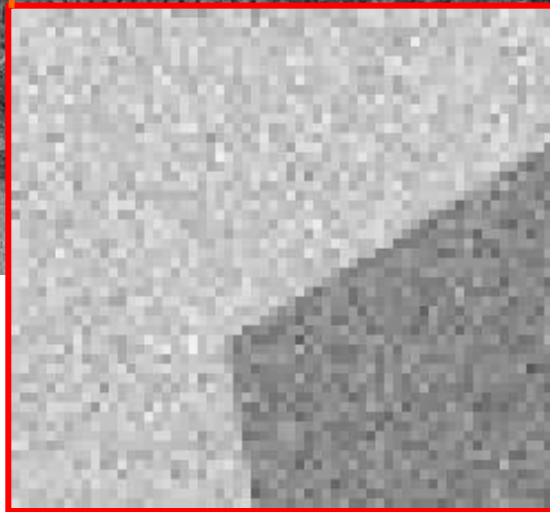
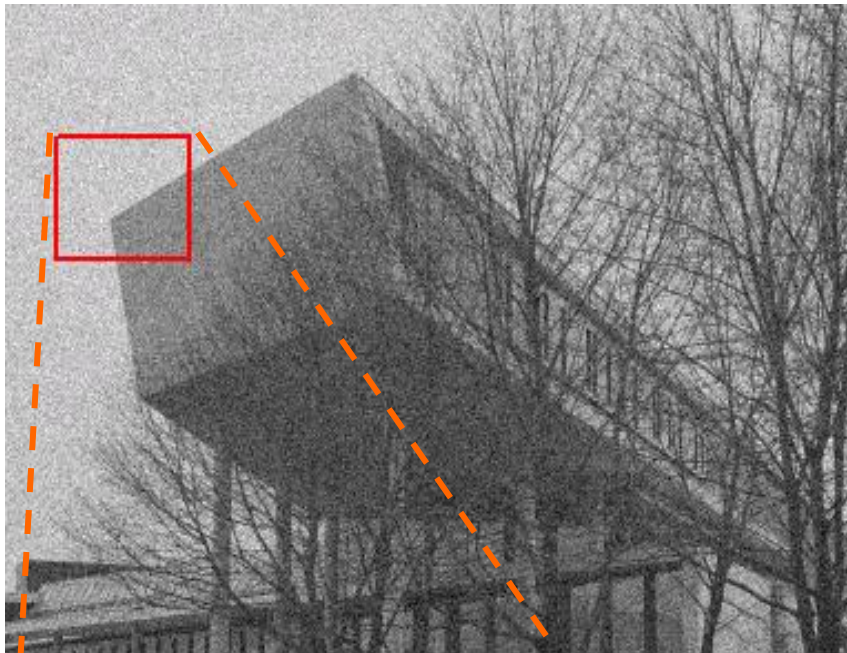




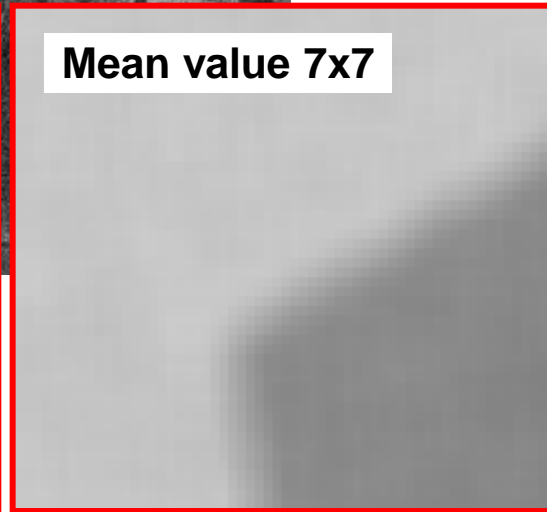
[J]



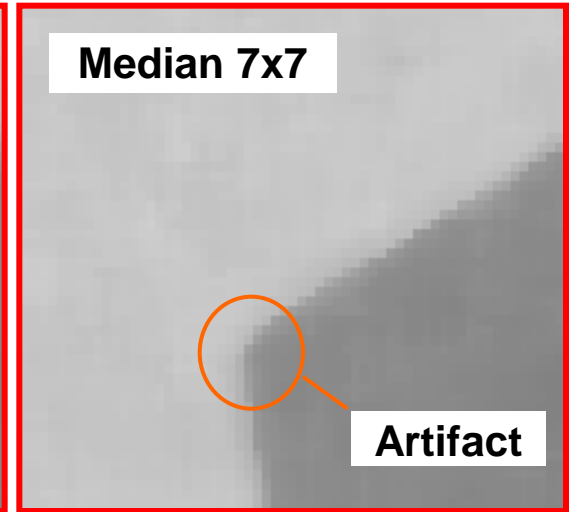
## Comparison mean value / median filter



**Mean value 7x7**



**Median 7x7**



[T]

Left:  
Gaussian  
noise



Right:  
Impulse  
noise



Median  
filtered



[J]

### 3. K-nearest-neighbor filter (KNN)

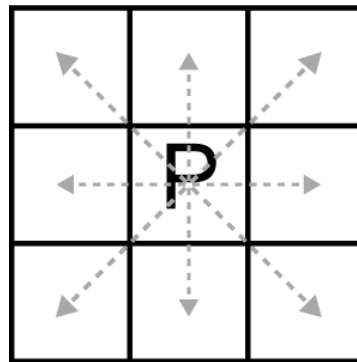
- Calculates average like box filter, but evaluates only the  $k$  pixels with gray values most similar to the central pixel
- Choice of  $k$ : Commonly  $k \geq (2m+1)(2n+1)/2$
- Similar to median filter
- Smoothing effect less than median for identical kernel dimensions
- Still high computational effort for sorting



## 4. Symmetric-nearest-neighbor filter (SNN)

- Harwood et al. 1986

- Kernel:



↔ Pairs of opposite pixels  
with  $P$  as the center

- Procedure:
  - Form pairs of pixels around  $P$ .
  - Compute best match pixel of each pair compared to  $P$ .
  - Result: Average of all best match pixels.

## Properties:

- Similar to KNN
- But less computational effort („sorting“ of only two pixels a time)
- Use of other similarity measures possible, e.g. color

- Operators can be classified according to locality, linearity and number of input images
- Point operators can do e.g. binarization or transformations of intensity or color. For simple setups basic recognition tasks such as object / background separation are possible
- Histogram can help in defining point transforms
- Motion can be detected from difference images in simple setups
- Local operators for recognition of basic local patterns
- Linear local operators are represented as convolution kernels, e.g., for smoothing, gradient computation or edge detection.
- Nonlinear local operators: E.g. smoothing by median filter.



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