

2 Markovian Open Systems

2.1 Dynamical Semigroups

The most accessible and studied type of open quantum systems are those which accept a description through a **markovian** and **time homogeneous** differential equation. Classical stochastic processes of this kind are characterized through the semigroup formed by the family of conditional probabilities ¹, which is parametrized by the elapsed time, with this motivation one introduces the quantum analog called **Dynamical Semigroups**. The analogy is nuanced, as the time evolution of any probability distribution associated with an observable will not satisfy the Kolmogorov consistency condition, and thus no description as classical stochastic processes is available [5], furthermore, the evolution of the state will still be deterministic.

Definition 2.1 *A differentiable parametric family of quantum operations $\{\mathcal{E}_\tau\}_{\tau=0}^\infty$ such that*

$$\mathcal{E}_\tau(\mathcal{E}_{\tau'}(\rho)) = \mathcal{E}_{\tau+\tau'}(\rho) \quad (2.1)$$

$$\mathcal{E}_0 = id \quad (2.2)$$

*i.e. that has the semigroup property, is called a **Dynamical Semigroup**. Strictly, one also demands some additional technical conditions on the continuity to treat the case of infinite dimensional Hilbert Spaces [4].*

In general these are irreversible; mathematically because the image is *contractive* and physically due to its positive entropy production [1], for this demanding a full group structure is too strong. The main result of this chapter is the classification in terms of generators due to Linblad, which is presented in the following theorem.

Theorem 2.1 *Given a dynamical semigroup $\{\mathcal{E}_\tau\}_{\tau=0}^\infty$ there exists a time independent super-operator \mathcal{L} , called **the generator**, such that:*

$$\partial_t \rho(t) = \mathcal{L}\rho(t) = -i[H, \rho] + \sum_k \gamma_k \left(L_k \rho(t) L_k^\dagger - \frac{1}{2} \{L_k^\dagger L_k, \rho(t)\} \right) \quad (2.3)$$

*with L_k operators and H a self-adjoint one, furthermore, all equations of this form define a dynamical semigroup. Equation (2.3) is called **the Linblad Master Equation**, the traceless L_k 's the **Linblad operators**, and the γ_k are positive constants with inverse time dimensions [1, 3, 4, 7].*

¹Called a propagator in [1].

2.2 Trajectory Interpretation of the Evolution

It is clear that at the very least (2.3) accepts a formal solution in terms of an exponential superoperator $\exp(t\mathcal{L})$, one can use this to form a generalized Dyson series expansion that will gives us a Kraus representation of it by defining a sort of interaction picture. Begin by defining an arbitrary decomposition of the generator in terms of two terms \mathcal{L}_0, S :

$$\mathcal{L} = \mathcal{L}_0 + S \quad (2.4)$$

and now introduce the auxiliary unnormalized state ρ'

$$\rho = e^{\mathcal{L}_0 t} \rho' \quad (2.5)$$

substituting it in the first equality of the Linblad equation one obtains:

$$e^{\mathcal{L}_0 t} \partial_t \rho' + \mathcal{L}_0 \rho = S \rho + \mathcal{L}_0 \rho \quad (2.6)$$

$$\partial_t \rho' = e^{-\mathcal{L}_0 t} S e^{\mathcal{L}_0 t} \rho_1 \quad (2.7)$$

and integrating from 0 to t :

$$\rho' = \rho(0) + \int_0^t dt_1 e^{-\mathcal{L}_0 t_1} S e^{\mathcal{L}_0 t_1} \rho_1(t_1). \quad (2.8)$$

Iterating this equation:

$$\rho' = \rho(0) + \int_0^t dt_1 e^{-\mathcal{L}_0 t_1} S e^{\mathcal{L}_0 t_1} \left(\rho(0) + \int_0^{t_1} dt_2 e^{-\mathcal{L}_0 t_2} S e^{\mathcal{L}_0 t_2} \rho'(t_2) \right) \quad (2.9)$$

$$\rho' = \rho(0) + \int_0^t dt_1 e^{-\mathcal{L}_0 t_1} S e^{\mathcal{L}_0 t_1} \rho(0) + \int_0^t dt_1 \int_0^{t_1} dt_2 e^{-\mathcal{L}_0 t_1} S e^{\mathcal{L}_0(t_1-t_2)} S e^{\mathcal{L}_0 t_2} \rho'(t_2) \quad (2.10)$$

$$\rho' = \rho(0) + \sum_{n=1}^{\infty} \int_0^t dt_n \int_0^{t_n} dt_{n-1} \dots \int_0^{t_2} dt_1 e^{-t_n \mathcal{L}_0} S e^{(t_n-t_{n-1})\mathcal{L}_0} S \dots e^{(t_2-t_1)\mathcal{L}_0} S e^{t_1 \mathcal{L}_0} \rho(0). \quad (2.11)$$

Note that in the last line we inverted the order of the indexation to make it coincide with [3], so finally we have for the original state:

$$\rho = e^{t\mathcal{L}_0} \rho(0) + \sum_{n=1}^{\infty} \int_0^t dt_n \int_0^{t_n} dt_{n-1} \dots \int_0^{t_2} dt_1 e^{(t-t_n)\mathcal{L}_0} S e^{(t_n-t_{n-1})\mathcal{L}_0} S \dots e^{(t_2-t_1)\mathcal{L}_0} S e^{t_1 \mathcal{L}_0} \rho(0). \quad (2.12)$$

This has a formally identical structure to that of the Dyson series in a perturbative expansion, suggesting that one can interpret the evolution of the system as being given by a perturbation S to the evolution $e^{t\mathcal{L}_0}$. The main problem with this is that in general the splitting superoperators do not necessarily define a dynamical semigroup e.g. they could fail to map

into a traceless operator, which is a consistency condition. To obtain a more suitable interpretation we choose a particular decomposition, coming from the second equality of (2.3) [3]:

$$\mathcal{L}_0 = -i(\tilde{H}\rho - \rho\tilde{H}^\dagger) \quad (2.13)$$

$$S = \sum_k \mathcal{L}_k \quad (2.14)$$

$$\tilde{H} = H - \frac{i}{2} \sum_k \gamma_k L_k^\dagger L_k \quad (2.15)$$

$$\mathcal{L}_k = J[\sqrt{\gamma_k} L_k] \rho \quad (2.16)$$

where we used the notation $J[A]\rho = A\rho A^\dagger$. For the evaluation we introduce the following result:

Lemma 2.1 *For any superoperator of the form $\mathcal{A}\rho = A\rho + \rho A^\dagger$,*

$$e^{\tau\mathcal{A}}\rho = \exp(\tau A)\rho \exp(\tau A^\dagger) \quad (2.17)$$

Proof 2.1 *Taking the derivative one forms the equation*

$$\partial_t e^{\tau\mathcal{A}}\rho = A\rho + \rho A^\dagger \quad (2.18)$$

which by inspection one sees has the solution $e^{\tau\mathcal{A}}\rho = \exp(\tau A)\rho \exp(\tau A^\dagger)$.

allowing us to write (2.12) as in [7]:

$$\rho = J[e^{t\frac{1}{i}\tilde{H}}]\rho(0) + \sum_{n=1}^{\infty} \mathcal{K}_t^{(n)}\rho(0) \quad (2.19)$$

$$\mathcal{K}_t^{(n)} = \int_0^t dt_n \int_0^{t_n} dt_{n-1} \dots \int_0^{t_2} dt_1 J[e^{(t-t_n)\frac{1}{i}\tilde{H}}] S J[e^{(t_n-t_{n-1})\frac{1}{i}\tilde{H}}] \dots J[e^{(t_2-t_1)\frac{1}{i}\tilde{H}}] S J[e^{t_1\frac{1}{i}\tilde{H}}] \quad (2.20)$$

$$\mathcal{K}_t^{(n)} = \sum_{k_1 \dots k_n} \int_0^t dt_n \int_0^{t_n} dt_{n-1} \dots \int_0^{t_2} dt_1 J \left[e^{(t-t_n)\frac{1}{i}\tilde{H}} \sqrt{\gamma_{k_n}} L_{k_n} e^{(t_n-t_{n-1})\frac{1}{i}\tilde{H}} \dots e^{(t_2-t_1)\frac{1}{i}\tilde{H}} \sqrt{\gamma_{k_1}} L_{k_1} e^{t_1\frac{1}{i}\tilde{H}} \right] \quad (2.21)$$

the integrands inside $\mathcal{K}_t^{(n)}$ are positive, and so they must be non-trace increasing for (2.19) to have the same trace in both sides. With this we conclude that (2.12) can be interpreted as a piecewise deterministic process, in which continuous evolutions $\exp(\tau\mathcal{L}_0)$ are interrupted by environment induced transformations $J[L_k]$ at a rate γ_k . More precisely, the probability of the systems evolving during a time t without **jumps** i.e. only continuously is:

$$P(R_0^t|\rho) = \text{Tr} [e^{\mathcal{L}_0 t}] \quad (2.22)$$

and the probability of having n jumps k_n, \dots, k_1 at respective times $t > t_n > \dots > t_1$ is

$$P(R_n^{t > t_n > \dots > t_1}|\rho) = \text{Tr} \left[J \left[e^{(t-t_n)\frac{1}{i}\tilde{H}} L_{k_n} e^{(t_n-t_{n-1})\frac{1}{i}\tilde{H}} \dots e^{(t_2-t_1)\frac{1}{i}\tilde{H}} L_{k_1} e^{t_1\frac{1}{i}\tilde{H}} \right] \rho \right]. \quad (2.23)$$

This is the interpretation of the **Quantum Trajectories** [3, 7]

2.3 Damped Harmonic Oscillator

To illustrate how Linblad equations appear in practice we look at a single mode of a QED cavity coupled to many others in the exterior of it, we proceed with a *microscopic derivation* in which we pose a total hamiltonian for system and bath and then introduce approximations to obtain a Markovian completely positive evolution. We consider the following hamiltonian [6, 7]:

$$H_{tot} = \underbrace{\omega_c a^\dagger a}_{H_0} + \underbrace{\sum_k \omega_k b_k^\dagger b_k}_{H_E} + \underbrace{\sum_k g_k (a^\dagger b_k + a b_k^\dagger)}_{H_I}. \quad (2.24)$$

Where the a 's and b_k 's satisfy the bosonic commutation relation.

2.3.1 Transformation to the Interaction Picture

The interesting part of the dynamic lies in the coupling term of (2.24) and so we pass to an interaction picture; we denote the Schrödinger picture operators with tildes.

$$\tilde{\rho}_{tot}(t) = e^{-iH_0 t} \rho_{tot}(t) e^{iH_0 t} \quad (2.25)$$

$$\partial_t \tilde{\rho}_{tot}(t) = -i[H_0, \tilde{\rho}_{tot}(t)] - i[H_I, \tilde{\rho}_{tot}(t)] \quad (2.26)$$

$$-iH_0 \tilde{\rho}_{tot} + i\tilde{\rho}_{tot} H_0 + e^{-iH_0 t} \partial_t \rho_{tot}(t) e^{iH_0 t} = -i[H_0, \tilde{\rho}_{tot}(t)] - i[H_I, \tilde{\rho}_{tot}(t)] \quad (2.27)$$

$$\partial_t \rho_{tot}(t) = -i[e^{iH_0 t} H_I e^{-iH_0 t}, \rho_{tot}(t)] \quad (2.28)$$

now obtaining the interaction hamiltonian:

$$e^{iH_0 t} H_I e^{-iH_0 t} = \sum_k g_k \left(e^{iH_c t} a^\dagger e^{-iH_c t} e^{iH_E t} b_k e^{-iH_E t} + e^{iH_c t} a e^{-iH_c t} e^{iH_E t} b_k^\dagger e^{-iH_E t} \right) \quad (2.29)$$

$$H \equiv \sum_k g_k \left(e^{i(\omega_c - \omega_k)t} a^\dagger b + e^{-i(\omega_c - \omega_k)t} a b^\dagger \right) \quad (2.30)$$

one is lead to the reduced Von-Neumann equation:

$$\partial_t \rho_{tot} = -i[H, \rho_{tot}] \quad (2.31)$$

$$\underbrace{\partial_t \rho}_{\text{Tr}_E[\partial_t \rho_{tot}]} = -i \text{Tr}_E \{ [H(t), \rho_{tot}(0)] \} - \int_0^t dt' \text{Tr}_E \{ [H(t), [H(t'), \rho_{tot}(t')]] \}. \quad (2.32)$$

So far the treatment has been exact and general but at this point the necessity for approximations come, these are typical for most derivations of this type [1, 3, 7].

Initial state of the total system

Our first assumption will be that at $t = 0$ system and bath are completely uncorrelated i.e. $\rho_{tot}(0) = \rho(0) \otimes \rho_E(0)$, physically this is reasonable if enough control over the system to prepare its initial state in isolation from the environment. Furthermore the first term of (2.32) is forced to vanish by choosing a particular initial state for the bath, in our case we use a thermal state $\rho_E(0) = Z^{-1} e^{-\beta H_E}$:

$$[H, \rho_{tot}(0)] = Z^{-1} \left[\sum_k g_k (e^{i(\omega_c - \omega_k)t} a^\dagger b + e^{-i(\omega_c - \omega_k)t} a b^\dagger), \rho(0) \otimes e^{-\beta H_E} \right] \quad (2.33)$$

$$[H, \rho_{tot}(0)] = Z^{-1} \sum_k g_k [e^{i(\omega_c - \omega_k)t} a^\dagger b + e^{-i(\omega_c - \omega_k)t} a b^\dagger, \rho(0) \otimes e^{-\beta H_E}] \quad (2.34)$$

$$\begin{aligned} [H, \rho_{tot}(0)] &= Z^{-1} \sum_k g_k e^{i(\omega_c - \omega_k)t} (a^\dagger \rho(0) \otimes b_k e^{-\beta H_E} - \rho(0) a^\dagger \otimes e^{-\beta H_E} b_k) \\ &\quad + Z^{-1} \sum_k g_k e^{-i(\omega_c - \omega_k)t} (a \rho(0) \otimes b_k^\dagger e^{-\beta H_E} - \rho(0) a \otimes e^{-\beta H_E} b_k^\dagger) \end{aligned} \quad (2.35)$$

now we marginalize and evaluate the corresponding traces,

$$\begin{aligned} \text{Tr}_E [[H, \rho_{tot}(0)]] &= Z^{-1} \sum_k g_k e^{i(\omega_c - \omega_k)t} (a^\dagger \rho(0) \text{Tr} [b_k e^{-\beta H_E}] - \rho(0) a^\dagger \text{Tr} [e^{-\beta H_E} b_k]) \\ &\quad + Z^{-1} \sum_k g_k e^{-i(\omega_c - \omega_k)t} (a \rho(0) \text{Tr} [b_k^\dagger e^{-\beta H_E}] - \rho(0) a \text{Tr} [e^{-\beta H_E} b_k^\dagger]) \end{aligned} \quad (2.36)$$

$$\text{Tr} [b_k e^{-\beta H_E}] = \text{Tr} \left[b_k e^{-\beta \omega_k b_k^\dagger b_k} \bigotimes_{k' \neq k} e^{-\beta \omega_{k'} b_{k'}^\dagger b_{k'}} \right] = \underbrace{\text{Tr} [b_k e^{-\beta \omega_k b_k^\dagger b_k}]}_{=0} \text{Tr} \left[\bigotimes_{k' \neq k} e^{-\beta \omega_{k'} b_{k'}^\dagger b_{k'}} \right] \quad (2.37)$$

$$\text{Tr} [b_k e^{-\beta H_E}] = \text{Tr} [b_k^\dagger e^{-\beta H_E}] = 0. \quad (2.38)$$

²Here Z is the canonical partition function and $\beta = 1/kT$.

Hence for any $\rho(0)$ we have:

$$\text{Tr}_E [[H, \rho_{tot}(0)]] = 0 \quad (2.39)$$

and as a matter of fact, in general there always exists a choice of $\rho_E(0)$ that makes this term vanish [7].

Born Approximation

Restricting the values of the coupling paramerets g_k to be small, we propose that in terms of second order like integral in the equation above it is valid to make the approximation $\rho_{tot}(t) \approx \rho(t) \otimes \rho_E(0)$. This is essentially a **weak coupling** assumption and is called the *Born approximation*, which from the calculational point of view allows us to evaluate the double commutator in (2.32).

$$[H(t'), \rho(t') \otimes \rho_E(0)] = \sum_k g_k e^{i(\omega_c - \omega_k)t'} [a^\dagger b_k, \rho(t') \otimes \rho_E(0)] + g_k e^{-i(\omega_c - \omega_k)t'} [ab_k^\dagger, \rho(t') \otimes \rho_E(0)] \quad (2.40)$$

$$\begin{aligned} [H(t'), \rho(t') \otimes \rho_E(0)] &= \sum_k g_k e^{i(\omega_c - \omega_k)t'} \{a^\dagger \rho(t') \otimes b_k \rho_E(0) - \rho(t') a^\dagger \otimes \rho_E(0) b_k\} \\ &+ \sum_k g_k e^{-i(\omega_c - \omega_k)t'} \{a \rho(t') \otimes b_k^\dagger \rho_E(0) - \rho(t') a \otimes \rho_E(0) b_k a^\dagger\} \end{aligned} \quad (2.41)$$

now to evaluate the double commutator we use (NOTE: THIS GOES IN AN APPENDIX, THE CALCULATIONS ARE QUITE TEDIOUS AND LONG):

$$\text{Tr}_E [[H(t), a^\dagger \rho(t') \otimes b_k \rho_E(0)]] = g_k e^{-i(\omega_c - \omega_k)t} \text{Tr} [b_k^\dagger b_k \rho_E(0)] (aa^\dagger \rho(t') - a^\dagger \rho(t') a) \quad (2.42)$$

$$\text{Tr}_E [[H(t), \rho(t') a^\dagger \otimes \rho_E(0) b_k]] = g_k e^{-i(\omega_c - \omega_k)t} \text{Tr} [b_k b_k^\dagger \rho_E(0)] (a \rho(t') a^\dagger - \rho(t') a^\dagger a) \quad (2.43)$$

$$\text{Tr}_E [[H(t), a \rho(t') \otimes b_k^\dagger \rho_E(0)]] = g_k e^{i(\omega_c - \omega_k)t} \underbrace{\text{Tr} [b_k b_k^\dagger \rho_E(0)]}_{\bar{n}+1} (a^\dagger a \rho(t') - a \rho(t') a^\dagger) \quad (2.44)$$

$$\text{Tr}_E [[H(t), \rho(t') a \otimes \rho_E(0) b_k^\dagger]] = g_k e^{i(\omega_c - \omega_k)t} \underbrace{\text{Tr} [b_k^\dagger b_k \rho_E(0)]}_{\bar{n}} (a^\dagger \rho(t') a - \rho(t') a a^\dagger) \quad (2.45)$$

where \bar{n} is the average number of photons in the bath at the corresponding temperature.

$$\begin{aligned} [H(t), [H(t'), \rho(t') \otimes \rho_E(0)]] &= \sum_k g_k^2 e^{i(\omega_c - \omega_k)(t' - t)} \{ \bar{n} (aa^\dagger \rho(t') - a^\dagger \rho(t') a) - (\bar{n} + 1) (a \rho(t') a^\dagger - \rho(t') a^\dagger a) \} \\ &+ \sum_k g_k^2 e^{-i(\omega_c - \omega_k)(t' - t)} \{ (\bar{n} + 1) (a^\dagger a \rho(t') - a \rho(t') a^\dagger) - (\bar{n}) (a^\dagger \rho(t') a - \rho(t') a a^\dagger) \} \end{aligned} \quad (2.46)$$

Now we define the *Bath correlation function*³ as:

$$\Gamma(\tau) = \sum_k g_k^2 e^{i(\omega_c - \omega_k)\tau} \quad (2.47)$$

one gets

$$\begin{aligned} [H(t), [H(t'), \rho(t') \otimes \rho_E(0)]] = & -2(\bar{n} + 1) \operatorname{Re} \{ \Gamma(t - t') \} a \rho(t') a^\dagger - 2\bar{n} \operatorname{Re} \{ \Gamma(t - t') \} a^\dagger \rho(t') a \\ & + \operatorname{Re} \{ \Gamma(t - t') \} ((\bar{n} + 1) \{ a^\dagger a, \rho(t') \} - i \operatorname{Im} \{ \Gamma(t - t') \} ((\bar{n} + 1) [a^\dagger a, \rho(t')]) \\ & + \operatorname{Re} \{ \Gamma(t - t') \} \bar{n} \{ aa^\dagger, \rho(t') \} - i \operatorname{Im} \{ \Gamma(t - t') \} \bar{n} [aa^\dagger, \rho(t')]) \end{aligned} \quad (2.48)$$

substituting this into (2.32) gives a non-markovian equation called a *Redfield equation*.

Markov Approximation

Finally, to make the equation markovian we demand the (2.47) be very sharp at $\tau = 0$ so that the integral in (2.32) can have its lower limit extended to $-\infty$ and $\rho(t')$ be replaced by $\rho(t)$. Physically this approximation corresponds to the bath having a correlation function that decays very rapidly [3], for which we define:

$$\int_0^\infty \Gamma(\tau) = \frac{\gamma}{2} - i\Delta\omega_c \quad (2.49)$$

and finally obtain a markovian master equation, which is already in Linblad form with the supeoperators $\mathcal{D}[A]\rho = A\rho A^\dagger - \frac{1}{2}\{A^\dagger A, \rho\}$ ⁴:

$$\partial_t \rho = \underbrace{-i\Delta\omega_c[(\bar{n} + 1)a^\dagger a + \bar{n}aa^\dagger, \rho]}_{\text{coherent evolution}} + \underbrace{(\bar{n} + 1)\gamma\mathcal{D}[a]\rho + \bar{n}\gamma\mathcal{D}[a^\dagger]\rho}_{\text{incoherent evolution}}. \quad (2.50)$$

By rewriting the first argument of the commutator in the first term, using the bosonic commutation relation, we see that the presence of the bath modifies natural frequency of the system $\Delta\omega_c$ in a fashion similar to how atoms suffer frequency shifts when placed into cavities even at zero temperature [2]

$$\partial_t \rho = \underbrace{-i\Delta\omega_c(\bar{n} + 2)[aa^\dagger, \rho]}_{\text{photon number preserving}} + \underbrace{(\bar{n} + 1)\gamma\mathcal{D}[a]\rho}_{\text{cavity emission}} + \underbrace{\bar{n}\gamma\mathcal{D}[a^\dagger]\rho}_{\text{incoherent excitation}}. \quad (2.51)$$

To understand the other two we form a equation for the mean photon number of the mode by multiplying the above equation by a^\dagger and taking the trace:

³called Reservoir correlation function in [7]

⁴these are called *Dissipators*

$$\text{Tr} [a^\dagger a \mathcal{D}[a] \rho] = \text{Tr} [a^\dagger a a \rho a^\dagger] - \frac{1}{2} \text{Tr} [a^\dagger a a^\dagger a \rho] - \frac{1}{2} \text{Tr} [a^\dagger a \rho a^\dagger a] \quad (2.52)$$

$$\text{Tr} [a^\dagger a \mathcal{D}[a] \rho] = \text{Tr} [(a a^\dagger - 1) a \rho a^\dagger] - \text{Tr} [a^\dagger a a^\dagger a \rho] \quad (2.53)$$

$$\text{Tr} [a^\dagger a \mathcal{D}[a] \rho] = -\langle a^\dagger a \rangle \quad (2.54)$$

$$\text{Tr} [a^\dagger a \mathcal{D}[a^\dagger] \rho] = \text{Tr} [a^\dagger a a^\dagger \rho a] - \frac{1}{2} \text{Tr} [a^\dagger a a a^\dagger \rho] - \frac{1}{2} \text{Tr} [a^\dagger a \rho a a^\dagger] \quad (2.55)$$

$$\text{Tr} [a^\dagger a \mathcal{D}[a^\dagger] \rho] = \text{Tr} [a^\dagger a a^\dagger \rho a] - \frac{1}{2} \text{Tr} [(a a^\dagger - 1) a a^\dagger \rho] - \frac{1}{2} \text{Tr} [(a a^\dagger - 1) \rho a a^\dagger] \quad (2.56)$$

$$\text{Tr} [a^\dagger a \mathcal{D}[a^\dagger] \rho] = 1 + \langle a^\dagger a \rangle \quad (2.57)$$

From here it is clear that the second term refers to the emission of the cavity, and the third one to the absorption from the bath. Substituting we find:

$$\frac{d}{dt} \langle a^\dagger a \rangle = -\gamma \langle a^\dagger a \rangle + \bar{n} \gamma \quad (2.58)$$

$$\langle a^\dagger a \rangle (t) = (\langle a^\dagger a \rangle (0) - \bar{n}) e^{-\gamma t} + \bar{n}, \quad (2.59)$$

and so the mode tends to equilibrate in photon number with the bath when $\gamma t \gg 1$.

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