1 The Language of Quantum Information Theory

1.1 Density Operators

1.1.1 Postulates of Quantum Mechanics

We begin by stating the a common axiomatization of Quantum Mechanics (QM) based on Hilbert Spaces following [2], we choose it for mathematical simplicity; for alternatives see e.g. [8].

1. To each physical system $\mathcal{S}$ there corresponds a separable Hilbert Space $\mathcal{H}$ such that states of the system are described by positive and unit trace operators on it. The Hilbert Space of a composite system made up of $\mathcal{S}$ and $\mathcal{S}'$ is given by the tensor product of the Hilbert spaces $\mathcal{H} \otimes \mathcal{H}'$.

2. To each dynamical variable there corresponds a self-adjoint operator on $\mathcal{H}$, called an observable, whose possible values are given by its eigenvalues.

3. Given a system in state ρ and some observable A of it, the probability of measuring A and obtaining the result λ is given by $\mathrm{Tr}[\rho P_\lambda]$ where P_λ is the eigen-projector into the subspace associated with λ. Furthermore the expectation value is $\mathrm{Tr}[\rho A]$.

4. After a measurement with result λ the state of the system becomes $\frac{P_\lambda \rho P_\lambda}{\mathrm{Tr}[P_\lambda \rho P_\lambda]}$.

5. The time evolution of the system in a time interval $(0, t)$ in which no measurement is done is given by some unitary operator U_t according to $\rho_t = U_t \rho U_t^\dagger$ where ρ is the state of the system at time $t = 0$.

Operators satisfying the properties required for a state are called ***Density Operators*** and in contrast to frameworks whose treatment of quantum states is merely as rays in $\mathcal{H}$, they describe statistical mixtures so imperfect state preparation can be handled. To see this consider the spectral resolution of some density operator:

$$\rho = \sum_n p_n \left|\psi_n\right\rangle\!\left\langle\psi_n\right| \tag{1.1}$$

by definition we have $p_n \geq 0, \sum_n p_n = 1$ any density operator can be seen as a convex sum of rays in $\mathcal{H}$ (provided we identify each one with its associated projector $|\psi\rangle\langle\psi|$) and from it an interpretation of ρ as an statistical mixture of rays is suggested: given a preparation process, there is a probability p_n for the system to be in the state $|\psi_n\rangle\langle\psi_n|$ after it, for this reason states of the form $|\psi\rangle\langle\psi|$ are called ***Pure*** while those who are not we refer to as ***Mixed***. This ***Ensemble Interpretation*** has serious conceptual challenges when one tries to use it outside a fixed preparation procedure due to the non-uniqueness of the decomposition into pure states [7], but is good enough for the porposes of the present work, for a comprehensive discussion of this topic the reader is refered to [9].

1.1.2 Time Evolution

Assuming the evolution to be differentiable in time, we have that there exists a self-adjoint operator H such that $U_t = \exp(-itH)$ [1], called the ***Hamiltonian*** of the systems and which acts as the generator of the dynamics. It is straightforward now to construct a differential equation for ρ_t by taking the derivative of it:

$$\rho_t = e^{-itH}\rho_t e^{itH} \tag{1.2}$$

$$\partial_t\rho_t = -iH\rho_t + \rho_t iH \tag{1.3}$$

$$\partial_t\rho_t = -i[H, \rho_t]. \tag{1.4}$$

Equation (1.4) is called the Liouville-Von Neumann equation, it generalizes the Schrödinger equation to mixed states and can be interpreted as the quantum analog of the Liouville equation in classical mechanics (with the Poisson braket) through the quantization rule $\{\bullet, \bullet\} \to -i[\bullet, \bullet]$. As will be seen in later chapters, this type of evolution is characteristic of closed quantum systems.

1.1.3 Purity

Say we got a particular state production processes whose product ρ we characterize via say tomography [7], it becomes immedeatley important to quantify to which extent we can regard the product as being composed of only one pure states (hopefully the one we wanted to prepare) i.e. we want to define the purity of the state, with this motivation one look for a map $\mathcal{E}$ from the space of density operators to the reals such that:

- $\mathcal{E}(\rho)$ is maximal if and only if ρ is pure.
- it is conserved under unitary evolution.

[1] Unless otherwise stated, from here on we assume $\hbar = 1$

The first one makes this map a figure of merit one can try to maximize and the second one is imposed to assure that it doesn't changes in a closed system unless a measurement is made, as allowing the free evolution of the system should not improve the knowledge of the experimenter about the system. The standard choiche (altough not the only one) is the ***Purity***, defined as [2, 7]:

Definition 1 *The purity γ of a state ρ is:*

$$\gamma = \mathrm{Tr}\left[\rho^2\right]. \tag{1.5}$$

The requirements are quickly checked:

$$\mathrm{Tr}\left[\rho_t^2\right] = \mathrm{Tr}\left[(U_t\rho_0U_t^\dagger)(U_t\rho_0U_t^\dagger)\right] = \mathrm{Tr}\left[\rho_0^2\right] \tag{1.6}$$

$$\mathrm{Tr}\left[\rho^2\right] = \sum_n p_n^2 \leq 1 \tag{1.7}$$

in the second line the inequality is saturated if and only if $\rho = |\psi\rangle\langle\psi|$.

1.2 Entanglement

One of the key differences between the structure of the state space of classical and quantum systems is the existance of non-separable states when considering multipartite systems [4, 7, 8] which allows the latter to have new a new type of correlations. Here we define entanglement for mixed states following [4]:

Definition 2 *Given a state ρ in a system composed of two subsystems A and B with total Hilbert space $\mathcal{H}_A \otimes \mathcal{H}_B\}$, we say it is an **entangled** or **non-separable** if there doesn't exists a set states $\{\rho_j \otimes \sigma_j\}_j$ and coefficients $\{p_j\}_j, \sum_j p_j = 1,\ p_j \geq 0$ such that:*

$$\rho = \sum_j p_j \rho_j \otimes \sigma_j \tag{1.8}$$

*if it does exists, the state is called **separable**.*

For the case of pure state this definition coincides with the usually given one [7]: say $\rho = |\psi\rangle\langle\psi|$ is pure and separable, then:

$$\mathrm{Tr}\left[\rho^2\right] = \sum_{jk} p_j p_k \mathrm{Tr}\left[\rho_j\rho_k\right] \mathrm{Tr}\left[\sigma_j\sigma_k\right] \tag{1.9}$$

and by the Cauchy-Schwartz inequality with the Frobenious inner product

$$\mathrm{Tr}\left[\rho^2\right] \leq \sum_{jk} p_j p_k \mathrm{Tr}\left[\rho_j^2\right] \mathrm{Tr}\left[\rho_k^2\right] \mathrm{Tr}\left[\sigma_j^2\right] \mathrm{Tr}\left[\sigma_k^2\right] \leq 1 \tag{1.10}$$

the first inequality from right to left saturates if and only if all the ρ_j and σ_k are pure, and the first one if and only if all the ρ_j and σ_k are equal between themselves i.e. $p_j = \delta_{0j}$, hence there are pure states in $\mathcal{H}_A$ and $\mathcal{H}_B$ such that:

$$|\psi\rangle\langle\psi| = |\alpha\rangle\langle\alpha| \otimes |\beta\rangle\langle\beta| \,. \tag{1.11}$$

For a classical system all states are separable thanks to the representation via δ functions of probability densities [4] and in this sense entanglement is a purely non-classical phenomena, in fact for pure states this completely exhaust all the possible non-classical correlations. For mixed states the characterization is considerably richer and allows for bipartite states that despite being separable show non-classical correlations [1].

1.2.1 Marginalization

Consider a bipartite system in state ρ with subsystems A and B, and assume only the former can be accessed experimentally; e.g. B is on the other side of the galaxy, has too many degrees of freedom or is simply not of interest and is desirable to prescend from it. Any observable Γ that we decide to measure must be of the form $\Gamma = \Lambda \otimes I$ so that it describes only actions on $\mathcal{H}_A$; in this sense we say it is ***local***. We want to obtain the probability distribution describing the statistics of Λ, by definition:

$$\wp(\lambda) = \mathrm{Tr}\left[\rho(|\lambda^A\rangle\langle\lambda^A| \otimes I)\right] \tag{1.12}$$

$$\wp(\lambda) = \sum_{\lambda' k} \langle\psi_k^B| \langle\lambda'^A| \rho(|\lambda\rangle\langle\lambda| \otimes I) |\lambda'^A\rangle |\psi_k^B\rangle \tag{1.13}$$

$$\wp(\lambda) = \sum_{\lambda' k} \langle\psi_k^B| \langle\lambda'^A| \rho |\lambda'^A\rangle |\psi_k^B\rangle \delta_{\lambda\lambda'} \tag{1.14}$$

$$\wp(\lambda) = \sum_{k} \langle\psi_k^B| \langle\lambda^A| \rho |\lambda^A\rangle |\psi_k^B\rangle \tag{1.15}$$

$$\wp(\lambda) = \langle\lambda^A| \left(\sum_k \langle\psi_k^B| \rho |\psi_k^B\rangle\right) |\lambda^A\rangle \tag{1.16}$$

$$\wp(\lambda) = \mathrm{Tr}\left[|\lambda^A\rangle\langle\lambda^A| \sum_k \langle\psi_k^B| \rho |\psi_k^B\rangle\right] . \tag{1.17}$$

Equation (1.17) suggest that there exists a state in $\mathcal{H}_A$ whose statistics coincide with those of ρ and that it should be given by the sum in (1.17), in this sense we have a marginalization i.e. an assignement of states of in $\mathcal{H}_A \otimes \mathcal{H}_B$ to states in $\mathcal{H}_A$ such that it has the correct statistics i.e. $\mathrm{Tr}\left[\rho^{AB}(\Lambda \otimes I)\right] = \mathrm{Tr}\left[\rho^A \Lambda\right]$ for any observable Λ of A. It is desirable for this map to be unique for the following: assume an experimentalist has an infinite ensemble of copies of the system in state ρ but can only measure local observables in A, although any and as many time as wanted, i.e. it is possible to fully characterize the statistics of any local observable, which state should the experimentalist asign? If the marginalization is

not unique there is an ambiguity, an marginalizations sure must exists as local experiments are always possible, hence uniqueness is important to account properly for this experiment; turns out to assure it suffices to demand linearity. This map is called the ***Partial Trace***:

Definition 3 *Given two vector spaces V and W, for simplicity assumed of finite dimension[2], the partial trace taken over W is the map[7]:*

$$\mathrm{Tr}_W : \ A \otimes B \in \mathcal{L}(V \otimes W) \ \mapsto \ A\mathrm{Tr}\left[B\right] \in \mathcal{L}(V)$$

where the $\mathcal{L}$ denotes the space of operator, and the map is linearly extended to all of $\mathcal{L}(V \otimes W)$.

The linear extension makes the partial trace coincide with the sum in (1.17) and the non-manifestly base invariant definition usually given in sources like [6]. Next we prove this is in fact the only linear map with the correct statistics:

Theorem 1 *The partial trace is the only linear map such that $\mathcal{E} :\rightarrow \mathcal{L}(\mathcal{H}_A \otimes \mathcal{H}_B) \rightarrow \mathcal{L}(\mathcal{H}_A)$ such that $\mathrm{Tr}\left[\rho(\Lambda \otimes I)\right] = \mathrm{Tr}\left[\mathcal{E}(\rho)\Lambda\right]$ for all $\rho \in \mathcal{H}_A \otimes \mathcal{H}_B$ and $\Lambda \in \mathcal{L}(\mathcal{H}_A)$.*

Proof 1 *Assume there exists a map $\mathcal{E} :\rightarrow \mathcal{L}(\mathcal{H}_A \otimes \mathcal{H}_B) \rightarrow \mathcal{L}(\mathcal{H}_A)$ with the correct statistics and introduce an orthogonal product basis in $\mathcal{H}_A \otimes \mathcal{H}_B$, $\{|\psi_j^A\rangle |\psi_k^B\rangle\}_{jk}$. By construction we have:*

$$\mathrm{Tr}\left[\mathcal{E}(\rho)\Lambda\right] = \sum_{jk} \langle\psi_j^B| \langle\psi_k^A| \rho(\Lambda \otimes I) |\psi_k^A\rangle |\psi_j^B\rangle \tag{1.18}$$

$$\mathrm{Tr}\left[\mathcal{E}(\rho)\Lambda\right] = \sum_{k} \langle\psi_k^A| \sum_{j} \langle\psi_j^B| \rho |\psi_j^B\rangle (\Lambda |\psi_k^A\rangle) \tag{1.19}$$

$$\mathrm{Tr}\left[\mathcal{E}(\rho)\Lambda\right] = \sum_{k} \langle\psi_k^A| \mathrm{Tr}_B\left[\rho\right] (\Lambda |\psi_k^A\rangle) \tag{1.20}$$

$$\mathrm{Tr}\left[\mathcal{E}(\rho)\Lambda\right] = \mathrm{Tr}\left[\mathrm{Tr}_B\left[\rho\right]\Lambda\right] \tag{1.21}$$

$$\tag{1.22}$$

As this holds for any Λ and ρ, we have that $\mathcal{E} = \mathrm{Tr}_B$.

Note that in the above proof we have not used any properties of ρ or Λ unlike in (1.17). A few remarks are in order:

1. The partial traces of an state $\rho \in \mathcal{L}(\mathcal{H}_A \otimes \mathcal{H}_B)$ are always mixed, unless ρ is both pure and separable. In this sense we say the subsystems of a system in an entangled state can not be perfectly known, not even if the state of the complete system is perfectly known [1, 4, 7].

[2] although we will use it too for infinite dimensions without inquiring wheter the operators are even traceclass

2. When ρ is entangled and pure $\mathrm{Tr}_B[\rho]$ is an improper mixture [9], in the sense that for none of the possible ensembles that represent this density operator is possible to say that A is in an unknown state $|\psi\rangle \in \mathcal{H}_A$ with some probability; if this were the case we could perform experiments in both A and B to discover this unknown states and then the total state would have not been entangled in the first place.

3. For identical particles this construction is not valid as the space of physical states is not $\mathcal{H}_A \otimes \mathcal{H}_B$ but its symmetrization, otherwise the symmetrization postulate is violated. For proposals of suitable generalizations see [8].

Despite this conceptual difficulties the partial trace remains a key tool in Quantum Information Theory for studying open systems and local operations.

1.3 Quantum Operations

Now that we have means of describing unitary evolutions and marginalizations we are in a position to describe the most general class of transformation a quantum system can undergo, the so called ***Quantum Operations***. In a lot of cases it makes sense to take the following view: there exists a system whose evolution if of interest (S), called from here on simply ***the system***, the rest of the universe is called ***the environment*** (E) and its degrees of freedom are undesirable in the description of the S; this scheme is called ***S+E*** and the total hamiltonian is generically of the form $H = H_S \otimes I + I \otimes H_E + V$ where the coupling between the two is given by the last term [10] and for simplicity it is assumed the environment and the system are distinguishable [3]. The whole point is to construct a map describing the evolution of S solely with its degrees of freedom, this is achieved simply by evolving unitarily the whole and tracing over the environment:

$$\mathcal{E}_t(\rho_0) \equiv \mathrm{Tr}_E\left[U_t\left(\rho_0 \otimes \sigma\right) U_t^\dagger\right] = \rho_t \tag{1.23}$$

In figure (1.1) two diagrams representing the scheme are presented. On an intuitive level is true that any non-unitary evolution should be of this form, nevertheless we provide a more axiomatic point of view to allow for a slight generalization and because in practice it is not rarely possible to make progress with analytical methods using (1.23). We demand from any physically aceptable transformation the following:

- Valid states are mapped into valid states.
- It is convex linear.
- It should preserve the trace

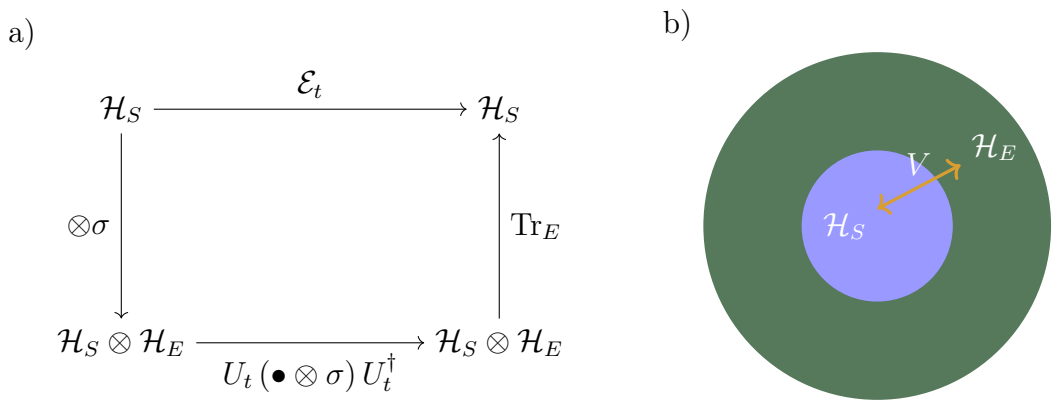

Figure 1.1: Diagrams of the $S + E$ scheme. In a) the maps that define $\mathcal{E}_t$ are shown, and in b) a Venn-like diagram illustrating the structure of the total system $S + E$, inspired in [5]

The last two can be well motivated by considering a proper mixture of two ensembles of pure states i.e. with N total copies, $\rho = p_1\rho_1 + p_2\rho_2$, applaying the given transformation $\mathcal{E}$ to the copies of $|\psi_1\rangle\langle\psi_1|$ and $|\psi_2\rangle\langle\psi_2|$ must produce a new total ensemble with p_1N copies of $\mathcal{E}(|\psi_1\rangle\langle\psi_1|)$ and p_2N copies of $\mathcal{E}(|\psi_2\rangle\langle\psi_2|)$ and the trace cannot change because then the state would be invalid. From this we introduce ***Completely Positive and Trace Preserving (CPTP)*** maps [7]:

Definition 4 *Given two Hilbert Spaces $\mathcal{H}$ and $\mathcal{H}'$, a map $\mathcal{E} : \mathcal{L}(\mathcal{H}) \to \mathcal{L}(\mathcal{H}')$ such that:*

1. $\mathrm{Tr}\left[\mathcal{E}(\rho)\right] = \mathrm{Tr}\left[\rho\right]$ *for all trace-class operators $\rho \in \mathcal{L}(\mathcal{H})$ (trace preserving)*
2. $\mathcal{E}(p_1\rho_1 + p_2\rho_2) = p_1\mathcal{E}(\rho_1) + p_2\mathcal{E}(\rho_2)$ *for all $\rho_1\rho_2 \in \mathcal{L}(\mathcal{H})$ and $p_1, p_2 \geq 0$ s.t. $p_1 + p_2 = 1$ (Convex linearity)*
3. *if $\rho \in \mathcal{L}(\mathcal{H})$ is positive, then so is $\mathcal{L}(\rho)$ is also positive (positivity) and furthermore, for any linear extension to $\mathcal{L}(\mathcal{H} \otimes \mathcal{H}'')$ of the form $\mathcal{E} \otimes I''$ we have that $\mathcal{E}(\rho \otimes I)$ is also positive (complete positivity), where $\mathcal{H}''$ is any separable Hilbert space and I'' the identity on it.*

is called a ***Quantum Channel****.*

The last condition deserves a discussion as it did not appear directly in our motivation: mathematically it is a well known fact that there exists maps $\mathcal{E}$ that send positive operator into positive operator yet their extensions fail to do so e.g. the transpose, so to be consistent with the idea of *sending states to states* one needs complete positivity; to this one might argue that changing Hilbert Spaces is meaningless as it implies changing the underlying system of study, but there are of situations in which this is desirable e.g. initially a two level from which we will measure the energy of the photons produced in the energy transitions,

so it do is meaningful. A few key results for the manipulation of quantum channels are the following [7, 10]:

1. There exists a Hilbert space $\mathcal{H}_E$, a state σ in it and a unitary operator $U \in \mathcal{L}(\mathcal{H}_S \otimes \mathcal{H}_E)$ such that $\mathcal{E}(\rho) = \mathrm{Tr}_E \left[U \left(\rho \otimes \sigma \right) U^\dagger \right]$.
2. There exists a set of operators $\{K_j\}_j$ such that $\mathcal{E}(\rho) = \sum_j \rho K_j^\dagger K_j$ and $\sum_j K_j K_j^\dagger = I$. These are called ***Kraus Operators***.
3. Any map of the above forms is a quantum channel.
4. The Kraus Operators representation of a quantum channel is not unique; any unitary combination is also a valid representation.

The first one is what we already anticipated with the caveat that given an operation generically there is no unique way to implement it as a $S + E$ scheme, the Kraus operators are in a lot of situations the main tool to manipulate these maps and suggest that we can always implement a channel via the application of ***Completely Positive Non-Trace Increasing (CP)*** maps defined as $\mathcal{E}_j(\rho) = K_j \rho K_j^\dagger$ such that $\mathcal{E} = \sum_j \mathcal{E}_j$. This can be interpreted as an ***stochastic map*** [7] by the following rewriting of the image of ρ:

$$\mathcal{E}(\rho) = \sum_j \mathcal{E}_j(\rho) = \sum_j \mathrm{Tr}\left[\mathcal{E}_j(\rho)\right] \frac{\mathcal{E}_j(\rho)}{\mathrm{Tr}\left[\mathcal{E}_j(\rho)\right]}, \tag{1.24}$$

each $\frac{\mathcal{E}_j(\rho)}{\mathrm{Tr}[\mathcal{E}_j(\rho)]}$ is a valid density operator and $\mathrm{Tr}\left[\mathcal{E}_j(\rho)\right] \geq 0, \sum_j \mathrm{Tr}\left[\mathcal{E}_j(\rho)\right] = 1$ so we can say that when we apply the channel $\mathcal{E}$ there is a probability $\mathrm{Tr}\left[\mathcal{E}_j(\rho)\right]$ of the output state being $\frac{\mathcal{E}_j(\rho)}{\mathrm{Tr}[\mathcal{E}_j(\rho)]}$. The main inconveniente with this interpretation is the non-uniqueness of the possible output states unless one is using a particular physical platform that defines them, nevertheless this is no surprise as in general we can not select such set of states from only a density operator. In figure 1.2 a drawing representing the idea is presented.

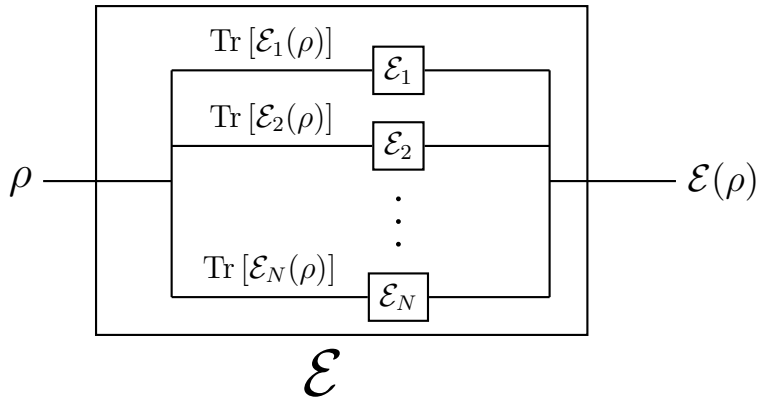

Figure 1.2: Illustration of the stochastic map interpretation.

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