# AlexNet Architecture

Following the tutorial

https://www.kaggle.com/code/vortexkol/alexnet-cnn-architecture-on-tensorflow-beginner

### Dataset

```
(train_images, train_labels), (test_images, test_labels) = keras.datasets.cifar10.load_data()

Downloading data from https://www.cs.toronto.edu/~kriz/cifar-10-python.tar.gz
170498071/170498071 [=========] - 4s Ous/step
```

In order to reference the class names of the images during the visualization stage, a python list containing the classes is initialized with the variable name CLASS\_NAMES.

```
CLASS_NAMES= ['airplane', 'automobile', 'bird', 'cat', 'deer', 'dog', 'frog', 'horse', 'ship', 'truck']
```

tf.data.Dataset.from\_tensor\_slices method takes the train, test, and validation dataset partitions and returns a corresponding TensorFlow Dataset representation.

```
train_ds=tf.data.Dataset.from_tensor_slices((train_images,train_labels))
test_ds=tf.data.Dataset.from_tensor_slices((test_images,test_labels))
```

# Preprocessing

Usually, preprocessing is conducted to ensure the data utilized is within an appropriate format.

First, let's visualize the images within the CIFAR-10 dataset.

The CIFAR-10 images have small dimensions, which makes visualization of the actual pictures a bit difficult.

```
plt.figure(figsize=(30,30))
for i,(image,label) in enumerate(train_ds.take(20)):
    #print(label)
    ax=plt.subplot(5,5,i+1)
    plt.imshow(image)
    plt.title(CLASS_NAMES[label.numpy()[0]])
    plt.axis('off')
```

```
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Preprocessing functions
import tensorflow as tf
import numpy as np
import pandas as pd
import random as python_random
from tensorflow.keras.utils import to_categorical
from sklearn.model_selection import train_test_split
from tensorflow.keras.datasets import cifar10
def zero_one_scaler(image):
   return image/255.0
def get_preprocessed_ohe(images, labels, pre_func=None):
   # preprocessing 함수가 입력되면 이를 이용하여 image array를 scaling 적용.
   if pre_func is not None:
       images = pre_func(images)
   # OHE 적용
   oh_labels = to_categorical(labels)
   return images, oh_labels
# 학습/검증/테스트 데이터 세트에 전처리 및 OHE 적용한 뒤 반환
def get_train_valid_test_set(train_images, train_labels, test_images, test_labels, valid_size=0.15, random_state=2021):
   # 학습 및 테스트 데이터 세트를 0 ~ 1사이값 float32로 변경 및 OHE 적용.
   train_images, train_oh_labels = get_preprocessed_ohe(train_images, train_labels)
   test_images, test_oh_labels = get_preprocessed_ohe(test_images, test_labels)
   # 학습 데이터를 검증 데이터 세트로 다시 분리
   tr_images, val_images, tr_oh_labels, val_oh_labels = train_test_split(train_images, train_oh_labels, test_size=valid_size, random_state=random_stat
   return (tr_images, tr_oh_labels), (val_images, val_oh_labels), (test_images, test_oh_labels)
# CIFAR10 데이터 재 로딩 및 Scaling/OHE 전처리 적용하여 학습/검증/데이터 세트 생성.
(train_images, train_labels), (test_images, test_labels) = cifar10.load_data()
print(train_images.shape, train_labels.shape, test_images.shape, test_labels.shape)
(tr_images, tr_oh_labels), (val_images, val_oh_labels), (test_images, test_oh_labels) = ₩
   get_train_valid_test_set(train_images, train_labels, test_images, test_labels, valid_size=0.2, random_state=2021)
print(tr_images.shape, tr_oh_labels.shape, val_images.shape, val_oh_labels.shape, test_images.shape, test_oh_labels.shape)
     (50000,\ 32,\ 32,\ 3)\ (50000,\ 1)\ (10000,\ 32,\ 32,\ 3)\ (10000,\ 1)
     (40000, 32, 32, 3) (40000, 10) (10000, 32, 32, 3) (10000, 10) (10000, 32, 32, 3) (10000, 10)
IMAGE SIZE = 227
BATCH_SIZE = 64
from tensorflow.keras.utils import Sequence
import cv2
import sklearn
# 입력 인자 images_array labels는 모두 numpy array로 들어옴.
# 인자로 입력되는 images_array는 전체 32x32 image array임.
class CIFAR_Dataset(Sequence):
   def __init__(self, images_array, labels, batch_size=BATCH_SIZE, augmentor=None, shuffle=False, pre_func=None):
       파라미터 설명
       images_array: 원본 32x32 만큼의 image 배열값.
       labels: 해당 image의 label들
       batch_size: __getitem__(self, index) 호출 시 마다 가져올 데이터 batch 건수
       augmentor: albumentations 객체
       shuffle: 학습 데이터의 경우 epoch 종료시마다 데이터를 섞을지 여부
```

```
# 객체 생성 인자로 들어온 값을 객체 내부 변수로 할당.
      # 인자로 입력되는 images_array는 전체 32x32 image array임.
      self.images_array = images_array
      self.labels = labels
      self.batch_size = batch_size
      self.augmentor = augmentor
      self.pre_func = pre_func
      # train data의 경우
      self shuffle = shuffle
      if self.shuffle:
          # 객체 생성시에 한번 데이터를 섞음.
          #self.on epoch end()
          pass
   # Sequence를 상속받은 Dataset은 batch_size 단위로 입력된 데이터를 처리함.
   # __len__()은 전체 데이터 건수가 주어졌을 때 batch_size단위로 몇번 데이터를 반환하는지 나타남
   def __len__(self):
      # batch_size단위로 데이터를 몇번 가져와야하는지 계산하기 위해 전체 데이터 건수를 batch_size로 나누되, 정수로 정확히 나눠지지 않을 경우 1회를 더
      return int(np.ceil(len(self.labels) / self.batch_size))
   # batch_size 단위로 image_array, label_array 데이터를 가져와서 변환한 뒤 다시 반환함
   # 인자로 몇번째 batch 인지를 나타내는 index를 입력하면 해당 순서에 해당하는 batch_size 만큼의 데이타를 가공하여 반환
   # batch_size 갯수만큼 변환된 image_array와 label_array 반환.
   def __getitem__(self, index):
      # index는 몇번째 batch인지를 나타냄.
      # batch_size만큼 순차적으로 데이터를 가져오려면 array에서 index*self.batch_size:(index+1)*self.batch_size 만큼의 연속 데이터를 가져오면 됨
      # 32x32 image array를 self.batch_size만큼 가져옴.
      images_fetch = self.images_array[index*self.batch_size:(index+1)*self.batch_size]
      if self.labels is not None:
          label_batch = self.labels[index*self.batch_size:(index+1)*self.batch_size]
      # 만일 객체 생성 인자로 albumentation으로 만든 augmentor가 주어진다면 아래와 같이 augmentor를 이용하여 image 변환
      # albumentations은 개별 image만 변환할 수 있으므로 batch_size만큼 할당된 image_name_batch를 한 건씩 iteration하면서 변환 수행.
      # 변환된 image 배열값을 담을 image_batch 선언. image_batch 배열은 float32 로 설정
      image_batch = np.zeros((images_fetch.shape[0], IMAGE_SIZE, IMAGE_SIZE, 3), dtype='float32')
      # batch_size에 담긴 건수만큼 iteration 하면서 opencv image load -> image augmentation 변환(augmentor가 not None일 경우)-> image_batch에 담음.
      for image_index in range(images_fetch.shape[0]):
          #image = cv2.cvtColor(cv2.imread(image_name_batch[image_index]), cv2.COLOR_BGR2RGB)
          # 원본 image를 IMAGE_SIZE x IMAGE_SIZE 크기로 변환
          image = cv2.resize(images_fetch[image_index], (IMAGE_SIZE, IMAGE_SIZE))
          # 만약 augmentor가 주어졌다면 이를 적용.
          if self.augmentor is not None:
             image = self.augmentor(image=image)['image']
          # 만약 scaling 함수가 입력되었다면 이를 적용하여 scaling 수행.
          if self.pre_func is not None:
              image = self.pre_func(image)
          # image_batch에 순차적으로 변환된 image를 담음.
          image_batch[image_index] = image
      return image_batch, label_batch
   # epoch가 한번 수행이 완료 될 때마다 모델의 fit()에서 호출됨.
   def on epoch end(self):
      if(self.shuffle):
          #print('epoch end')
          # 원본 image배열과 label를 쌍을 맞춰서 섞어준다. scikt learn의 utils.shuffle에서 해당 기능 제공
          self.images_array, self.labels = sklearn.utils.shuffle(self.images_array, self.labels)
      else:
          pass
def zero_one_scaler(image):
   return image/255.0
# CIFAR10 데이터 재 로딩 및 Scaling/OHE 전처리 적용하여 학습/검증/데이터 세트 생성.
(train_images, train_labels), (test_images, test_labels) = keras.datasets.cifar10.load_data()
print(train_images.shape, train_labels.shape, test_images.shape, test_labels.shape)
# Split data
(tr_images, tr_oh_labels), (val_images, val_oh_labels), (test_images, test_oh_labels) = \( \psi \)
   get_train_valid_test_set(train_images, train_labels, test_images, test_labels, valid_size=0.2, random_state=2021)
print(tr_images.shape, tr_oh_labels.shape, val_images.shape, val_oh_labels.shape, test_images.shape, test_oh_labels.shape)
# Resize sequence dataset
```

tr ds = CIFAR Dataset(tr images, tr oh labels, batch size=BATCH SIZE, augmentor=None, shuffle=True, pre func=zero one scaler)

```
val_ds = CIFAR_Dataset(val_images, val_oh_labels, batch_size=BATCH_SIZE, augmentor=None, shuffle=False, pre_func=zero_one_scaler)
print(next(iter(tr_ds))[0].shape, next(iter(val_ds))[0].shape)
print(next(iter(tr_ds))[1].shape, next(iter(val_ds))[1].shape)
print(next(iter(tr_ds))[0][0])
     (50000, 32, 32, 3) (50000, 1) (10000, 32, 32, 3) (10000, 1)
    (40000, 32, 32, 3) (40000, 10) (10000, 32, 32, 3) (10000, 10) (10000, 32, 32, 3) (10000, 10)
     (64, 227, 227, 3) (64, 227, 227, 3)
     (64, 10) (64, 10)
    [[[0.6431373     0.68235296     0.69411767]
      [0.27450982 0.28235295 0.26666668]
      [0.27450982 0.28235295 0.26666668]
      [0.27450982 0.28235295 0.26666668]]
      [0.27450982 0.28235295 0.26666668]
      [0.27450982 0.28235295 0.26666668]
      [0.27450982 0.28235295 0.26666668]]
      [0.6431373  0.68235296  0.69411767]
       [0.27450982 0.28235295 0.26666668]
       [0.27450982 0.28235295 0.26666668]
      [0.27450982 0.28235295 0.26666668]]
      [[0.91764706 0.8627451 0.88235295]
      [0.91764706 0.8627451 0.88235295]
      [0.91764706 0.8627451 0.88235295]
      [0.27058825 0.28235295 0.26666668]
       [0.27058825 0.28235295 0.26666668]
      [0.27058825 0.28235295 0.26666668]]
      [[0.91764706 0.8627451 0.88235295]
      [0.91764706 0.8627451 0.88235295]
      [0.91764706 0.8627451 0.88235295]
      [0.27058825 0.28235295 0.26666668]
[0.27058825 0.28235295 0.26666668]
      [0.27058825 0.28235295 0.26666668]]
      [[0.91764706 0.8627451 0.88235295]
      [0.91764706 0.8627451 0.88235295]
      [0.91764706 0.8627451 0.88235295]
       [0 27058825 0 28235295 0 26666668]
       [0.27058825 0.28235295 0.26666668]
      [0.27058825 0.28235295 0.26666668]]]
```

#### Data Pipeline

An input/data pipeline is described as a series of functions or methods that are called consecutively one after another.

Input pipelines are a chain of functions that either act upon the data or enforces an operation on the data flowing through the pipeline.

#### AlexNet Model Implementation

```
from tensorflow.keras.models import Model
from tensorflow.keras.layers import Input, Dense , Conv2D , Dropout , Flatten , Activation, MaxPooling2l
from tensorflow.keras.optimizers import Adam , RMSprop
from tensorflow.keras.layers import BatchNormalization
from tensorflow.keras.callbacks import ReduceLROnPlateau , EarlyStopping , ModelCheckpoint , LearningRa
from tensorflow.keras import regularizers

def create_alexnet(in_shape=(227, 227, 3), n_classes=10, kernel_regular=None):
    # 첫번째 CNN->ReLU->MaxPool, kernel_size를 매우 크게 가져감(11, 11). 지금은 (11, 11) 사용하지 않음.
input_tensor = Input(shape=in_shape)

x = Conv2D(filters= 96, kernel_size=(11,11), strides=(4,4), padding='valid')(input_tensor)
x = Activation('relu')(x)
```

```
x = tf.nn.local_response_normalization(x)
x = MaxPooling2D(pool\_size=(3,3), strides=(2,2))(x)
# 두번째 CNN->ReLU->MaxPool. kernel_size=(5, 5)
x = Conv2D(filters = 256, \ kernel\_size = (5,5), \ strides = (1,1), \ padding = \ same \ \ \ , kernel\_regularizer = kernel\_regular)(x)
x = Activation('relu')(x)
x = tf.nn.local_response_normalization(x)
x = MaxPooling2D(pool\_size=(3,3), strides=(2,2))(x)
# 3x3 Conv 2번 연속 적용. filters는 384개
x = Conv2D(filters=384, kernel\_size=(3,3), strides=(1,1), padding='same', kernel\_regularizer=kernel\_regular)(x)
x = Activation('relu')(x)
x = tf.nn.local_response_normalization(x)
x = Conv2D(filters=384, kernel_size=(3,3), strides=(1,1), padding='same', kernel_regularizer=kernel_regular)(x)
x = Activation('relu')(x)
x = tf.nn.local\_response\_normalization(x)
# 3x3 Conv를 적용하되 filters 수를 줄이고 maxpooling을 적용
x = Conv2D(filters = 256, kernel\_size = (3,3), strides = (1,1), padding = 'same', kernel\_regularizer = kernel\_regular)(x)
x = Activation('relu')(x)
x = BatchNormalization()(x)
x = MaxPooling2D(pool\_size=(3,3), strides=(2,2))(x)
# Dense 연결을 위한 Flatten
x = Flatten()(x)
# Dense + Dropout을 연속 적용.
x = Dense(units = 4096, activation = 'relu')(x)
x = Dropout(0.5)(x)
x = Dense(units = 4096, activation = 'relu')(x)
x = Dropout(0.5)(x)
# 마지막 softmax 층 적용.
output = Dense(units = n\_classes, activation = 'softmax')(x)
model = Model(inputs=input_tensor, outputs=output)
model.summary()
return model
```

# # Create model with input 128x128x3

model = create\_alexnet(in\_shape=(227, 227, 3), n\_classes=10, kernel\_regular=regularizers.12(12=1e-4))

Model: "model"

Layer (type)	Output Shape	Param #
input_1 (InputLayer)	[(None, 227, 227, 3)]	0
conv2d (Conv2D)	(None, 55, 55, 96)	34944
activation (Activation)	(None, 55, 55, 96)	0
tf.nn.local_response_norma lization (TF0pLambda)	(None, 55, 55, 96)	0
max_pooling2d (MaxPooling2 D)	(None, 27, 27, 96)	0
conv2d_1 (Conv2D)	(None, 27, 27, 256)	614656
activation_1 (Activation)	(None, 27, 27, 256)	0
tf.nn.local_response_norma lization_1 (TF0pLambda)	(None, 27, 27, 256)	0
max_pooling2d_1 (MaxPooling2D)	(None, 13, 13, 256)	0
conv2d_2 (Conv2D)	(None, 13, 13, 384)	885120
activation_2 (Activation)	(None, 13, 13, 384)	0
tf.nn.local_response_norma lization_2 (TF0pLambda)	(None, 13, 13, 384)	0
conv2d_3 (Conv2D)	(None, 13, 13, 384)	1327488
activation_3 (Activation)	(None, 13, 13, 384)	0
tf.nn.local_response_norma lization_3 (TFOpLambda)	(None, 13, 13, 384)	0

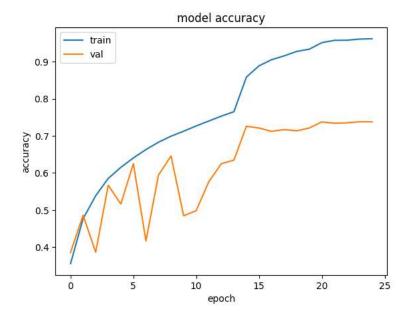
```
activation_4 (Activation)
                                  (None, 13, 13, 256)
                                                             0
      batch normalization (Batch (None, 13, 13, 256)
      Normalization)
      max pooling2d 2 (MaxPoolin (None, 6, 6, 256)
      flatten (Flatten)
                                  (None, 9216)
                                                             0
      dense (Dense)
                                   (None, 4096)
      dropout (Dropout)
                                   (None, 4096)
      dense_1 (Dense)
                                  (None, 4096)
                                                             16781312
model.compile(optimizer=Adam(|r=0.001), loss='categorical_crossentropy', metrics=['accuracy'])
# 5번 iteration내에 validation loss가 향상되지 않으면 learning rate을 기존 learning rate * 0.2로 줄임.
rlr_cb = ReduceLROnPlateau(monitor='val_loss', factor=0.2, patience=5, mode='min', verbose=1)
ely_cb = EarlyStopping(monitor='val_loss', patience=10, mode='min', verbose=1)
history = model.fit(tr_ds, epochs=30,
                    #steps_per_epoch=int(np.ceil(tr_images.shape[0]/BATCH_SIZE)),
                    validation_data=val_ds,
                    #validation_steps=int(np.ceil(val_images.shape[0]/BATCH_SIZE)),
                   callbacks=[rlr_cb, ely_cb]
     WARNING:absl:`Ir` is deprecated in Keras optimizer, please use `learning_rate` or use the legacy optimizer, e.g.,tf.keras.optimizers.legacy.A 📤
     Epoch 1/30
     625/625 [=
                                           ==] - 183s 270ms/step - Ioss: 1.8486 - accuracy: 0.3556 - val_loss: 1.7444 - val_accuracy: 0.3856 - Ir:
     Fpoch 2/30
     625/625 [=
                                            =] - 168s 269ms/step - Ioss: 1.4867 - accuracy: 0.4767 - val_loss: 1.4347 - val_accuracy: 0.4861 - Ir:
     Epoch 3/30
     625/625 [=
                                                 166s 266ms/step - loss: 1.3162 - accuracy: 0.5385 - val_loss: 2.1401 - val_accuracy: 0.3861 - Ir:
     Epoch 4/30
     625/625 [=
                                              - 170s 272ms/step - loss: 1.1886 - accuracy: 0.5853 - val_loss: 1.2302 - val_accuracy: 0.5669 - lr:
     Epoch 5/30
     625/625 [==
                                              - 166s 265ms/step - loss: 1.0976 - accuracy: 0.6151 - val_loss: 1.4439 - val_accuracy: 0.5163 - lr:
     Epoch 6/30
     625/625 [=
                                              - 166s 265ms/step - loss: 1.0354 - accuracy: 0.6405 - val loss: 1.0721 - val accuracy: 0.6252 - lr:
     Epoch 7/30
                                              - 166s 265ms/step - loss: 0.9723 - accuracy: 0.6631 - val_loss: 1.6600 - val_accuracy: 0.4167 - lr:
     Epoch 8/30
     625/625 [=
                                               - 165s 264ms/step - Ioss: 0.9124 - accuracy: 0.6829 - val_loss: 1.1722 - val_accuracy: 0.5945 - Ir:
     Epoch 9/30
     625/625 [==
                                              - 165s 264ms/step - loss: 0.8644 - accuracy: 0.6992 - val_loss: 1.0266 - val_accuracy: 0.6457 - lr:
     Epoch 10/30
     625/625 [=
                                              - 166s 265ms/step - Ioss: 0.8295 - accuracy: 0.7125 - val_loss: 1.5932 - val_accuracy: 0.4846 - Ir:
     Froch 11/30
     625/625 [==
                                              - 165s 264ms/step - loss: 0.7838 - accuracy: 0.7268 - val_loss: 1.9405 - val_accuracy: 0.4983 - Ir:
     Epoch 12/30
                                              - 165s 265ms/step - Ioss: 0.7484 - accuracy: 0.7399 - val_loss: 1.2085 - val_accuracy: 0.5760 - Ir:
     Epoch 13/30
     625/625 [==
                                           ==] - 166s 265ms/step - Ioss: 0.7098 - accuracy: 0.7532 - val_loss: 1.1009 - val_accuracy: 0.6251 - Ir:
     Epoch 14/30
     625/625 [==
                                              - ETA: Os - loss: 0.6782 - accuracy: 0.7651
     Epoch 14: ReduceLROnPlateau reducing learning rate to 0.00020000000949949026
     625/625 [=
                                              - 166s 265ms/step - loss: 0.6782 - accuracy: 0.7651 - val_loss: 1.0624 - val_accuracy: 0.6346 - Ir:
     Epoch 15/30
     625/625 [==
                                           ==] - 166s 265ms/step - Ioss: 0.4031 - accuracy: 0.8587 - val_loss: 0.8420 - val_accuracy: 0.7259 - Ir:
     Epoch 16/30
     625/625 [==
                                            ==] - 166s 265ms/step - Ioss: 0.3171 - accuracy: 0.8888 - val Ioss: 0.8779 - val accuracy: 0.7212 - Ir:
     Epoch 17/30
     625/625 [==
                                            ≔] - 164s 263ms/step - Ioss: 0.2703 - accuracy: 0.9054 - val_loss: 0.9732 - val_accuracy: 0.7121 - Ir:
     Epoch 18/30
     625/625 [==
                                               - 164s 263ms/step - loss: 0.2401 - accuracy: 0.9157 - val_loss: 0.9357 - val_accuracy: 0.7170 - Ir:
     Epoch 19/30
     625/625 [==
                                              - 165s 264ms/step - Ioss: 0.2108 - accuracy: 0.9277 - val_loss: 1.0204 - val_accuracy: 0.7137 - Ir:
     Epoch 20/30
     625/625 [==
                                           ==] - ETA: Os - Ioss: 0.1893 - accuracy: 0.9337
     Epoch 20: ReduceLROnPlateau reducing learning rate to 4.0000001899898055e-05.
                                            =] - 165s 263ms/step - loss: 0.1893 - accuracy: 0.9337 - val_loss: 0.9874 - val_accuracy: 0.7211 - Ir:
     625/625 [==
     Epoch 21/30
     625/625 [==
                                            ≔] - 164s 263ms/step - Ioss: 0.1440 - accuracy: 0.9513 - val_Ioss: 0.9368 - val_accuracy: 0.7377 - Ir:
     Epoch 22/30
     625/625 [==
                                              - 165s 264ms/step - loss: 0.1283 - accuracy: 0.9576 - val_loss: 0.9412 - val_accuracy: 0.7340 - Ir:
     Epoch 23/30
     625/625 [=
                                              - 165s 264ms/step - Ioss: 0.1242 - accuracy: 0.9578 - val_loss: 0.9698 - val_accuracy: 0.7351 - Ir:
     Epoch 24/30
     625/625 [==
                                           ==] - 165s 264ms/step - Ioss: 0.1170 - accuracy: 0.9610 - val_loss: 0.9873 - val_accuracy: 0.7381 - Ir:
     Epoch 25/30
                                           == 1 - ETA: Os - Loss: 0.1144 - accuracy: 0.9619
     625/625 [=
     Epoch 25: ReduceLROnPlateau reducing learning rate to 8.000000525498762e-06.
```

884992

(None, 13, 13, 256)

conv2d\_4 (Conv2D)

```
plt.plot(history.history['accuracy'])
plt.plot(history.history['val_accuracy'])
plt.title('model accuracy')
plt.ylabel('accuracy')
plt.xlabel('epoch')
plt.legend(['train', 'val'], loc='upper left')
plt.show()
```



```
plt.plot(history.history['loss'])
plt.plot(history.history['val_loss'])
plt.title('model loss')
plt.ylabel('loss')
plt.xlabel('epoch')
plt.legend(['train', 'val'], loc='upper left')
plt.show()
```

