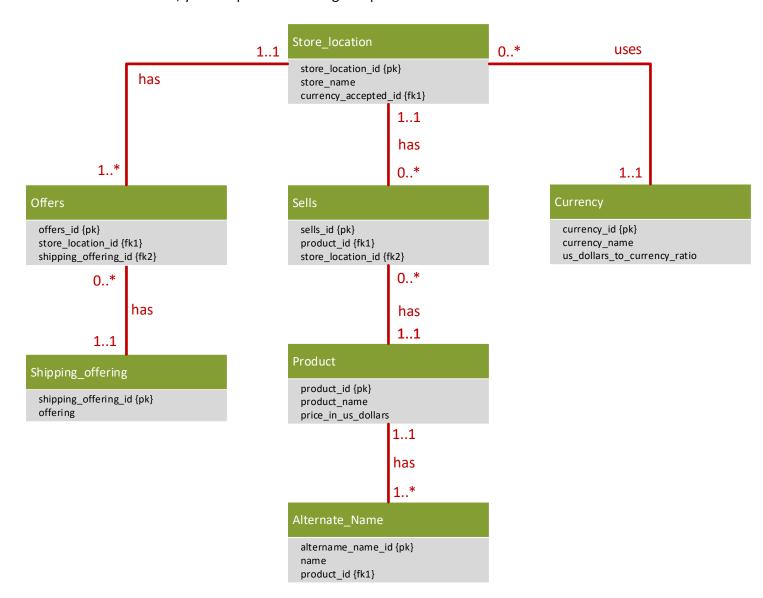
# **Section One – Subqueries**

## **Section Background**

In this section, you will practice crafting subqueries for the schema illustrated below.



This schema's structure supports basic medical product and currency information for an international medical supplier, including store locations, the products they sell, shipping offerings, the currency each location accepts, as well as conversion factors for converting from U.S. dollars into the accepted currency. Due to the specific and technical nature of the names of medical products, the supplier also keeps a list of alternative names for each product that may help customers identify them. This schema

models prices and exchange rates at a specific point in time. While a real-world schema would make provision for changes to prices and exchange rates over time, the tables needed to support this have been intentionally excluded from our schema, because their addition would add unneeded complexity on your journey of learning subqueries, expressions, and value manipulation. The schema has just the right amount of complexity for your learning.

The data for the tables is listed below.

#### Currencies

Name	Ratio
British Pound	0.67
Canadian Dollar	1.34
US Dollar	1.00
Euro	0.92
Mexican Peso	16.76

#### Store Locations

Name	Currency
Berlin Extension	Euro
Cancun Extension	Mexican Peso
London Extension	British Pound
New York Extension	US Dollar
Toronto Extension	Canadian Dollar

### Product

Name	US Dollar Price
Glucometer	\$50
Bag Valve Mask	\$25
Digital Thermometer	\$250
Electronic Stethoscope	\$350
Handheld Pulse Oximeter	\$450

## Sells

Store Location	Product
Berlin Extension	Glucometer
Berlin Extension	Bag Valve Mask
Berlin Extension	Digital Thermometer
Berlin Extension	Handheld Pulse Oximeter
Cancun Extension	Bag Valve Mask
Cancun Extension	Digital Thermometer
Cancun Extension	Handheld Pulse Oximeter

London Extension	Glucometer
London Extension	Bag Valve Mask
London Extension	Digital Thermometer
London Extension	Electronic Stethoscope
London Extension	Handheld Pulse Oximeter
New York Extension	Glucometer
New York Extension	Bag Valve Mask
New York Extension	Digital Thermometer
New York Extension	Electronic Stethoscope
New York Extension	Handheld Pulse Oximeter
Toronto Extension	Glucometer
Toronto Extension	Bag Valve Mask
Toronto Extension	Digital Thermometer
Toronto Extension	Electronic Stethoscope
Toronto Extension	Handheld Pulse Oximeter

# Shipping\_offering

Offering
Same Day
Overnight
Two Day

## Offers

Store Location	Shipping Offering
Berlin Extension	Two Day
Cancun Extension	Two Day
London Extension	Same Day
London Extension	Overnight
London Extension	Two Day
New York Extension	Overnight
New York Extension	Two Day
Toronto Extension	Two Day

## **Alternate Names**

Name	Product
Glucose Meter	Glucometer
Blood Glucose Meter	Glucometer
Glucose Monitoring System	Glucometer
Thermometer	Digital Thermometer

Ambu Bag	Bag Valve Mask
Oxygen Bag Valve Mask	Oxygen Bag Valve Mask
Cardiology Stethoscope	Electronic Stethoscope
Portable Pulse Oximeter	Handheld Pulse Oximeter
Handheld Pulse Oximeter System	Handheld Pulse Oximeter

The DDL and DML to create and populate the tables in the schema are listed below. You can copy and paste this into your SQL client to create and populate the tables.

```
DROP TABLE Sells:
DROP TABLE Offers:
DROP TABLE Store location;
DROP TABLE Alternate name;
DROP TABLE Product;
DROP TABLE Currency;
DROP TABLE Shipping offering;
CREATE TABLE Currency (
currency_id DECIMAL(12) NOT NULL PRIMARY KEY,
currency_name VARCHAR(255) NOT NULL,
us dollars to currency ratio DECIMAL(12,2) NOT NULL);
CREATE TABLE Store location (
store_location_id DECIMAL(12) NOT NULL PRIMARY KEY,
store name VARCHAR(255) NOT NULL,
currency_accepted_id DECIMAL(12) NOT NULL);
CREATE TABLE Product (
product id DECIMAL(12) NOT NULL PRIMARY KEY,
product name VARCHAR(255) NOT NULL,
price_in_us_dollars DECIMAL(12,2) NOT NULL);
CREATE TABLE Sells (
sells id DECIMAL(12) NOT NULL PRIMARY KEY,
product id DECIMAL(12) NOT NULL,
store_location_id DECIMAL(12) NOT NULL);
CREATE TABLE Shipping_offering (
shipping offering id DECIMAL(12) NOT NULL PRIMARY KEY,
offering VARCHAR(255) NOT NULL);
CREATE TABLE Offers (
offers_id DECIMAL(12) NOT NULL PRIMARY KEY,
store location id DECIMAL(12) NOT NULL,
shipping_offering_id DECIMAL(12) NOT NULL);
CREATE TABLE Alternate_name (
alternate name id DECIMAL(12) NOT NULL PRIMARY KEY,
name VARCHAR(255) NOT NULL,
product_id DECIMAL(12) NOT NULL);
ALTER TABLE Store_location
ADD CONSTRAINT fk_location_to_currency FOREIGN KEY(currency_accepted_id)
REFERENCES Currency(currency_id);
```

```
ALTER TABLE Sells
ADD CONSTRAINT fk_sells_to_product FOREIGN KEY(product_id) REFERENCES
Product(product_id);
ALTER TABLE Sells
ADD CONSTRAINT fk sells to location FOREIGN KEY(store location id) REFERENCES
Store_location(store_location_id);
ALTER TABLE Offers
ADD CONSTRAINT fk_offers_to_location FOREIGN KEY(store_location_id) REFERENCES
Store_location(store_location_id);
ALTER TABLE Offers
ADD CONSTRAINT fk offers to offering FOREIGN KEY(shipping offering id)
REFERENCES Shipping_offering(shipping_offering_id);
ALTER TABLE Alternate name
ADD CONSTRAINT fk_name_to_product FOREIGN KEY(product_id)
REFERENCES Product(product id);
INSERT INTO Currency (currency id, currency name, us dollars to currency ratio)
VALUES(1, 'Britsh Pound', 0.67);
INSERT INTO Currency(currency_id, currency_name, us_dollars_to_currency_ratio)
VALUES(2, 'Canadian Dollar', 1.34);
INSERT INTO Currency(currency_id, currency_name, us_dollars_to_currency_ratio)
VALUES(3, 'US Dollar', 1.00);
INSERT INTO Currency(currency_id, currency_name, us_dollars_to_currency_ratio)
VALUES(4, 'Euro', 0.92);
INSERT INTO Currency(currency_id, currency_name, us_dollars_to_currency_ratio)
VALUES(5, 'Mexican Peso', 16.76);
INSERT INTO Shipping offering(shipping offering id, offering)
VALUES (50, 'Same Day');
INSERT INTO Shipping offering(shipping offering id, offering)
VALUES (51, 'Overnight');
INSERT INTO Shipping_offering(shipping_offering_id, offering)
VALUES (52, 'Two Day');
--Glucometer
INSERT INTO Product(product_id, product_name, price_in_us_dollars)
VALUES(100, 'Glucometer', 50);
INSERT INTO Alternate name(alternate name id, name, product id)
VALUES(10000, 'Glucose Meter', 100);
INSERT INTO Alternate name(alternate name id, name, product id)
VALUES(10001, 'Blood Glucose Meter', 100);
INSERT INTO Alternate_name(alternate_name_id, name, product_id)
VALUES(10002, 'Glucose Monitoring System', 100);
--Bag Valve Mask
INSERT INTO Product(product_id, product_name, price_in_us_dollars)
VALUES(101, 'Bag Valve Mask', 25);
INSERT INTO Alternate_name(alternate_name_id, name, product_id)
VALUES(10003, 'Ambu Bag', 101);
INSERT INTO Alternate name(alternate name id, name, product id)
VALUES(10004, 'Oxygen Bag Valve Mask', 101);
--Digital Thermometer
INSERT INTO Product(product id, product name, price in us dollars)
```

```
VALUES(102, 'Digital Thermometer', 250);
INSERT INTO Alternate_name(alternate_name_id, name, product_id)
VALUES(10005, 'Thermometer', 102);
-- Electronic Stethoscope
INSERT INTO Product(product id, product name, price in us dollars)
VALUES(103, 'Electronic Stethoscope', 350);
INSERT INTO Alternate name(alternate name id, name, product id)
VALUES(10006, 'Cardiology Stethoscope', 103);
--Handheld Pulse Oximeter
INSERT INTO Product(product_id, product_name, price_in_us_dollars)
VALUES(104, 'Handheld Pulse Oximeter', 450);
INSERT INTO Alternate name(alternate name id, name, product id)
VALUES(10007, 'Portable Pulse Oximeter', 104);
INSERT INTO Alternate_name(alternate_name_id, name, product_id)
VALUES(10008, 'Handheld Pulse Oximeter System', 104);
--Berlin Extension
INSERT INTO Store location(store location id, store name, currency accepted id)
VALUES(10, 'Berlin Extension', 4);
INSERT INTO Sells(sells id, store location id, product id)
VALUES(1000, 10, 100);
INSERT INTO Sells(sells_id, store_location_id, product_id)
VALUES(1001, 10, 101);
INSERT INTO Sells(sells id, store location id, product id)
VALUES (1002, 10, 102);
INSERT INTO Sells(sells id, store location id, product id)
VALUES(1003, 10, 104);
INSERT INTO Offers(offers_id, store_location_id, shipping_offering_id)
VALUES(150, 10, 52);
--Cancun Extension
INSERT INTO Store location(store location id, store name, currency accepted id)
VALUES(11, 'Cancun Extension', 5);
INSERT INTO Sells(sells_id, store_location_id, product_id)
VALUES(1004, 11, 101);
INSERT INTO Sells(sells_id, store_location_id, product_id)
VALUES(1005, 11, 102);
INSERT INTO Sells(sells_id, store_location_id, product_id)
VALUES (1006, 11, 104);
INSERT INTO Offers(offers id, store location id, shipping offering id)
VALUES(151, 11, 52);
--London Extension
INSERT INTO Store location(store location id, store name, currency accepted id)
VALUES(12, 'London Extension', 1);
INSERT INTO Sells(sells id, store location id, product id)
VALUES(1007, 12, 100);
INSERT INTO Sells(sells id, store location id, product id)
VALUES(1008, 12, 101);
INSERT INTO Sells(sells_id, store_location_id, product_id)
VALUES(1009, 12, 102);
INSERT INTO Sells(sells id, store location id, product id)
VALUES (1010, 12, 103);
INSERT INTO Sells(sells id, store location id, product id)
VALUES (1011, 12, 104);
INSERT INTO Offers(offers id, store location id, shipping offering id)
```

```
VALUES(152, 12, 50);
INSERT INTO Offers(offers_id, store_location_id, shipping_offering_id)
VALUES(153, 12, 51);
INSERT INTO Offers(offers_id, store_location_id, shipping_offering_id)
VALUES(154, 12, 52);
--New York Extension
INSERT INTO Store_location(store_location_id, store_name, currency_accepted_id)
VALUES(13, 'New York Extension', 3);
INSERT INTO Sells(sells_id, store_location_id, product_id)
VALUES(1012, 13, 100);
INSERT INTO Sells(sells id, store location id, product id)
VALUES(1013, 13, 101);
INSERT INTO Sells(sells id, store location id, product id)
VALUES(1014, 13, 102);
INSERT INTO Sells(sells_id, store_location_id, product_id)
VALUES(1015, 13, 103);
INSERT INTO Sells(sells_id, store_location_id, product_id)
VALUES(1016, 13, 104);
INSERT INTO Offers (offers id, store location id, shipping offering id)
VALUES(155, 13, 51);
INSERT INTO Offers(offers id, store location id, shipping offering id)
VALUES(156, 13, 52);
--Toronto Extension
INSERT INTO Store location(store location id, store name, currency accepted id)
VALUES(14, 'Toronto Extension', 2);
INSERT INTO Sells(sells id, store location id, product id)
VALUES(1017, 14, 100);
INSERT INTO Sells(sells id, store location id, product id)
VALUES(1018, 14, 101);
INSERT INTO Sells(sells_id, store_location_id, product_id)
VALUES (1019, 14, 102);
INSERT INTO Sells(sells id, store location id, product id)
VALUES(1020, 14, 103);
INSERT INTO Sells(sells_id, store_location_id, product_id)
VALUES(1021, 14, 104);
INSERT INTO Offers(offers_id, store_location_id, shipping_offering_id)
VALUES(157, 14, 52);
```

As a reminder, for each step that requires SQL, make sure to capture a screenshot of the command and the results of its execution. Further, make sure to eliminate unneeded columns from the result set, to name your columns something user-friendly and human readable, and to format any prices as currencies.

### **Section Steps**

1. Create Table Structure – Create the tables in the schema, including all of their columns, datatypes, and constraints, and populate the tables with data. You can do so by executing the DDL and DML above in your SQL client. You only need to capture

one or two demonstrative screenshots for this step. No need to screenshot execution of every line of code (that could require dozens of screenshots).

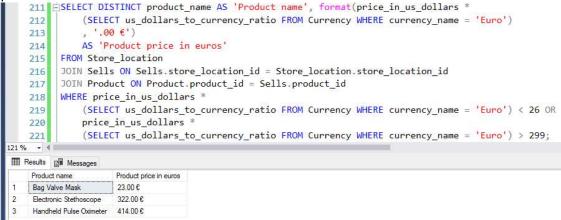
```
180 | VALUES(155, 13, 51);
    181 DINSERT INTO Offers(offers_id, store_location_id, shipping_offering_id)
   182
        VALUES(156, 13, 52);
   183
   184 -- Toronto Extension
   185 -INSERT INTO Store location(store location id, store name, currency accepted id)
   186 VALUES(14, 'Toronto Extension', 2);
   187 INSERT INTO Sells(sells_id, store_location_id, product_id)
   188 VALUES(1017, 14, 100);
   189 INSERT INTO Sells(sells_id, store_location_id, product_id)
   190 VALUES(1018, 14, 101);
   191 ☐INSERT INTO Sells(sells_id, store_location_id, product_id)
   192 VALUES(1019, 14, 102);
   193 INSERT INTO Sells(sells_id, store_location_id, product_id)
   194
        VALUES(1020, 14, 103);
   195 INSERT INTO Sells(sells id, store location id, product id)
         VALUES(1021, 14, 104);
    197 DINSERT INTO Offers(offers_id, store_location_id, shipping_offering_id)
    198
        VALUES(157, 14, 52);
   199
121 % - 4
Messages
   (1 row affected)
   (1 row affected)
```

All the queries to create all the tables with constraints and insert the values has been executed.

2. Subquery in Column List – Write a query that retrieves the price of a digital thermometer in London. A subquery will retrieve the currency ratio for the currency accepted in London. The outer query will use the results of the subquery (the currency ratio) in order to determine the price of the thermometer. The subquery should retrieve dynamic results by looking up the currency the store location accepts, not by hardcoding a specific value. Briefly explain how your solution makes use of the uncorrelated subquery to help retrieve the result.

The subquery returns the us\_dollars\_to\_currency\_ratio value of US dollars to British pounds (0.67). This value is used by the outer query, which retrieves the price in US dollars of a digital thermometer in London, to multiply this price (price\_in\_us\_dollars) by 0.67, with the whole query returning the converted price in pounds of £167.50.

- 3. Subquery in WHERE Clause Imagine a charity in London is hosting a fundraiser to purchase medical supplies for organizations that provide care to people in impoverished areas. The charity is targeting both people with average income as well a few wealthier people, and to this end asks for a selection of products both groups can contribute to purchase. Specifically, for the average income group, they would like to know what products cost less than 26 Euros, and for the wealthier group, they would like to know what products cost more than 299 Euros.
  - a. Develop a single query to provide them this result, which should contain uncorrelated subqueries and should list the names of the products as well as their prices in Euros.



Note, there are two attributes in the schema that serve as product name: product\_name in table Product and name in table Alternate\_Name. Since the task explicitly asked for 'names of the products', the attribute product name was used.

b. Explain how what each subquery does, its role in the overall query, and how the subqueries were integrated to give the correct results.

Exactly the same subquery was used three times. The subquery retrieves the

exchange rate dollars to euros. In lines 211 to 212, the product price in dollars determined from the outer query is multiplied by the exchange rate from the subquery to get the product prices in euros, so that the product prices are displayed in euros in the output table. And in the WHERE clause in lines 218 to 221, the product prices in dollars are converted into euros before a comparison is made as to whether the prices are less than 26 euros or greater than 299 euros.

The subqueries were inserted into the query in such a way that the result of the subquery can be used by the outer query. The subquery

It is only necessary to ensure that the correct subquery is placed in the correct place.

4. Using the IN Clause with a Subquery – Imagine that Esther is a traveling doctor who works for an agency that sends her to various locations throughout the world with very little notice. As a result, she needs to know about medical supplies that are available in all store locations (not just some locations). This way, regardless of where she is sent, she knows she can purchase those products. She is also interested in viewing the alternate names for these products, so she is absolutely certain what each product is.

Note: It is important to Esther that she can purchase the product in any location; only products sold in all stores should be listed, that is, if a product is sold in some stores, but not all stores, it should not be listed.

a. Develop a single query to list out these results, making sure to use uncorrelated subqueries where needed (one subquery will be put into the WHERE clause of the outer query).

```
224 SELECT DISTINCT product_name AS 'Product name', name AS 'Alternate name'
    225
          FROM Store_location
          JOIN Sells ON Sells.store_location_id = Store_location.store_location_id
    226
    227
          JOIN Product ON Product.product_id = Sells.product_id
         JOIN Alternate_name ON Alternate_name.product_id = Product.product_id
    228
    229 WHERE product_name IN
              -- Determines unique products (product names) sold in all locations
    230 □
               -- ('Bag Valve Mask', 'Digital Thermometer', 'Handheld Pulse Oximeter').
    231
              (SELECT product_name FROM Product JOIN Sells ON Product.product_id = Sells.product_id
    232
    233
               GROUP BY product_name
              HAVING COUNT(Sells.product_id) =
    234
                   -- Gets count of store locations (5).
    235
                   (SELECT COUNT(store_location_id) FROM Store_location));
    236
121 % - 4
Results Messages
     Product name
                      Alternate name
   Bag Valve Mask
                      Ambu Bag
     Bag Valve Mask
                      Oxygen Bag Valve Mask
     Digital Thermometer
     Handheld Pulse Oximeter
                      Handheld Pulse Oximeter System
     Handheld Pulse Oximeter Portable Pulse Oximeter
```

Note, the task requires that all products be listed that are available in each store location, but it was not stated that the store locations were unknown to the buyer or that the price in USD is of interest, so the store locations and prices were not listed.

- b. Explain how what each subquery does, its role in the overall query, and how the subqueries were integrated to give the correct results.
- The subquery was put in the outer query after the IN keyword (line 229), goes from lines 232 to 236, and contains a subquery itself and returns the product names (product\_name) of only products sold in all 5 store locations. For this, via GROUP BY in line 233, product names are grouped whose product\_id occurs 5 times, lines 234 to 236, in the Sells table; if a product needs to be sold in each of the 5 locations, the product\_id needs to be listed 5 times in the Sells table (Sells table contains which product (product\_id) is sold in which store location (store\_location\_id)). In order not to have to hard code 5, another subquery is used in line 236 within the subquery in lines 232 to 236. The subquery in line 236 determines the number of store locations.
- 5. Subquery in FROM Clause For this problem you will write a single query to address the same use case as in step 4, but change your query so that the main uncorrelated subquery is in the FROM clause rather than in the WHERE clause. The results should be the same as in step 4, except of course possibly row ordering which can vary. Explain how you integrated the subquery into the FROM clause to derive the same results as step 4.

```
SELECT product name AS 'Product name', name AS 'Alternate name'
         FROM (SELECT Product.product_id FROM Product JOIN Sells ON Product.product_id = Sells.product_id
    240
    241
               GROUP BY Product.product_id
               HAVING COUNT(Sells.product_id) = (SELECT COUNT(store_location_id) FROM Store_location)) results_table_subquery
    243
           -- results table subquery includes results table of subquery.
   244
          JOIN Product ON Product.product_id = results_table_subquery.product_id
   245
          JOIN Alternate_name ON Alternate_name.product_id = Product.product_id;
121 %
Results Messages
    Product name
                      Alternate name
   Bag Valve Mask
                   Ambu Bag
                      Oxygen Bag Valve Mask
     Bag Valve Mask
    Digital Thermometer
                      Themometer
    Handheld Pulse Oximeter Portable Pulse Oximeter
    Handheld Pulse Oximeter Handheld Pulse Oximeter System
```

The Store\_location table in 'FROM Store\_location' in the outer query has been replaced by the whole subquery, making the WHERE block unnecessary; so the WHERE block was removed. To use the results table of the subquery in the outer query, results\_table\_subquery was defined at the end of line 242. And this table results\_table\_subquery was then used to join the tables results\_table\_subquery, Product, and Alternate\_name in the outer query. However, in the subquery from task 4, product\_name had to be replaced with product\_id so that the results table of the subquery results\_table\_subquery could be joined with the tables in the outer query. But task 4 could have been implemented with product\_id instead of product\_name in the subquery, which would not have made this change necessary in task 5.

6. Correlated Subquery – For this problem you will write a single query to address the same use case as in step 4, but change your query to use a correlated query combined with an EXISTS clause. The results should be the same as in step 4, except of course possibly row ordering which can vary.

```
248 SELECT DISTINCT product_name AS 'Product name', name AS 'Alternate name'
    249
          FROM Store_location
    250
          JOIN Sells ON Sells.store_location_id = Store_location.store_location_id
    251
          JOIN Product ON Product_product_id = Sells.product_id
    252
          JOIN Alternate_name ON Alternate_name.product_id = Product.product_id
    253
          WHERE EXISTS (
              -- Gets product_id 101 (Bag Valve Mask), 102 (Digital Thermometer), and
    254
    255
              -- 104 (Handheld Pulse Oximeter).
              SELECT results_table_subquery.product_id FROM
    256
    257
                   -- Determines how many stores each product
                   -- (based on product_id) is sold in.
    258
    259
                   (SELECT product_id, COUNT(product_id) AS count_stores_sold FROM Sells
                   GROUP BY Sells.product_id) results_table_subquery
    260
                   WHERE count_stores_sold =
    261
                       -- Gets count of store locations (5).
    262
                       (SELECT COUNT(store_location_id) FROM Store_location)
    263
                       -- This correlates subquery with outer query.
    264
                       AND Product_product_id = results_table_subquery.product_id);
121 %
Results Messages
    Product name
                      Alternate name
    Bag Valve Mask
                      Ambu Bag
     Bag Valve Mask
                      Oxygen Bag Valve Mask
     Digital Themometer
                      Themometer
     Handheld Pulse Oximeter Handheld Pulse Oximeter System
     Handheld Pulse Oximeter Portable Pulse Oximeter
```

#### Explain:

a. how your solution makes use of the correlated subquery and EXISTS clause to help retrieve the result

The query in lines 248 to 253 is the outer query. The query in lines 256 to 265 is the correlated inner query, which itself contains an inner query in lines 259 and 263. However, when the inner query is mentioned below, the correlated inner query in lines 256 to 265 is meant. Since the inner query accesses the product\_id from the outer query in line 265, the inner and outer queries are correlated. The inner query performs the same logic as the WHERE part in task 4, except that it returns the product\_id instead of the product name of the products sold in all 5 store locations. If the results set of the inner query contains a row, then 'WHERE EXISTS ...' is true and the outer query contains the rows for the corresponding product\_id; here the rows for the product\_id values 101 (Bag Valve Mask), 102 (Digital Thermometer), and 104 (Handheld Pulse Oximeter) are included in the final results table.

b. how and when the correlated subquery is executed in the context of the outer query.

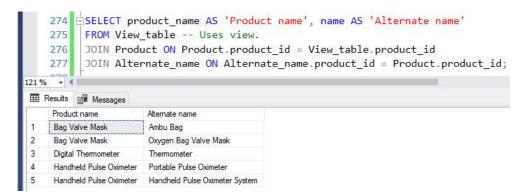
If no correlated inner query were used, then the outer query would be executed once. However, since the inner query accesses the product\_id from the outer query at line 265, the inner query is executed for each iteration of the outer query. The inner query must therefore be executed for every possible row in the database that would result if only lines 248 to 252 were executed (outer query).

7. Using View in Query – For this problem you will write a query to address the same use case as in step 4, except you will create and use a view in the FROM clause in place of the subquery. The results should be the same as in step 4, except of course possibly row ordering which can vary.

```
268 | -- Defines view.
269 | CREATE OR ALTER VIEW View table AS
270 | SELECT Product.product_id FROM Product JOIN Sells ON Product.product_id = Sells.product_id
271 | GROUP BY Product.product_id
272 | HAVING COUNT(Sells.product_id) = (SELECT COUNT(store_location_id) FROM Store_location);

121% | Messages

Commands completed successfully.
```



# **Section Two – Concurrency**

## **Section Background**

Modern information systems run transactions in parallel. Running hundreds or even thousands of transactions at the same time is commonplace for information systems today. Transactions running at the same run into many issues, including lost updates, uncommitted dependencies, inconsistent analysis, and others. To eliminate and manage these issues, modern relational databases use a scheduler which controls the schedule and timing of transaction execution, in addition to other mechanisms.

You have a chance to demonstrate understanding of concurrency control in this section.

In this section, the questions refer to the following data table, as well the following transactions and steps.

Data Table
1
2
3
4
5

Transaction 1
Read the value from row 4.
Multiply that value times 3.
Write the result to row 3.
Write the literal value "8" to row 2.
Write the literal value "20" to row 5.
Commit.

Transaction 2
Read the value from row 2.
Write that value to row 4.
Write the literal value "15" to row 3.
Commit.

## **Section Steps**

8. Issues with No Concurrency Control — Imagine the transactions for this section are presented to a modern relational database at the same time, and the database does not have concurrency control mechanisms in place. Show a step-by-step schedule that results in a lost update, inconsistent analysis, or uncommitted dependency. Also list out the contents of the table after the transactions complete using the schedule. You only need to show a schedule for one of the issues, not all three. You are not creating this table in SQL, so it is fine to show the table in Excel or Word.

There are many possible execution schedules, the <u>lost update</u> problem is shown below:

Time	Transaction	Explanation of step	Uncommitted data	Committed data
1	T1	Read the value from row 4 >> read value is 4		
2	T2	Read the value from row 2 >> read value is 2		
3	T1	Multiply that value times 3 >> 4 * 3 gives 12		
4	T2	Write that value to row 4 >> write 2 to row 4	1, 2, 3, 2, 5	
5	T1	Write the result to row 3 >> write 12 to row 3	1, 2, 12, 4, 5	
6	T2	Write the literal value "15" to row 3	1, 2, 15, 2, 5	
7	T1	Write the literal value "8" to row 2	1, 8, 12, 4, 5	
8	T2	Commit		1, 2, 15, 2, 5
9	T1	Write the literal value "20" to row 5	1, 8, 12, 4, 20	
10	T1	Commit		1, 8, 12, 4, 20

The two orange-colored cells show where the lost update happens – the lost update is on the value of row 3. Lost update means that these two transactions are executed concurrently and both change the same value. Transaction 1 changed the value of row 3 to 12

5 T1	Write the result to row 3 >> write 12 to row 3	1, 2, 12, 4, 5	
and transacti	on 2 changed the value to 15		
6 T2	Write the literal value "15" to row 3	1, 2, 15, 2, 5	

and because transaction 2 was committed and then transaction 1, the update of row 3 to 15 was lost.

Data Table (final)	
1	
8	
12	
4	
20	

- 9. *Issues with Locking and Multiversioning* Imagine the database has both locking and multiversioning in place for concurrency control.
  - a. Starting with the same schedule in the prior step, show step-by-step how the use of locking and multiversioning modifies the schedule, and also list out the contents of the table after the transactions complete using the new schedule.

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Time	Transaction	Explanation of step	Uncommitted data	Committed data	Locks
1	T1	Read the value from row 4 >> read value is 4			No (shared) lock
2	T2	Read the value from row 2 >> read value is 2			No (shared) lock
3	T1	Multiply that value times 3 >> 4 * 3 gives 12			No (shared) lock
4	T2	Write that value to row 4 >> write 2 to row 4	1, 2, 3, 2, 5		Exclusive lock held by T2 on row 4
5	T1	Write the result to row 3 >> write 12 to row 3	1, 2, 12, 4, 5		Exclusive lock held by T1 on row 3
6	T1	Write the literal value "8" to row 2	1, 8, 12, 4, 5		Exclusive lock held by T1 on row 2
7	T1	Write the literal value "20" to row 5	1, 8, 12, 4, 20		Exclusive lock held by T1 on row 5
8	T1	Commit		1, 8, 12, 4, 20	All locks held by T1 are released
9	T2	Write the literal value "15" to row 3	1, 2, 15, 2, 5		Exclusive lock held by T2 on row 3
10	T2	Commit		1, 2, 15, 2, 5	All locks held by T2 are released

Note: each transaction only releases held locks when the transaction is completed – due to a commit or abort of the transaction.

Data Table (final)
1
2
15
2
5

b. Could a schedule of these transactions result in a deadlock? If not, explain why. If so, show a step-by-step schedule that results in a deadlock. Since no shared locks are used in multiversioning (read operation), no deadlocks can occur as a result of the read operations. But exclusive locks (write or delete) are used, which could result in a deadlock. However, since in this task row 3 is the only row that both transactions update, no deadlock can occur, because if T2 holds the exclusive lock on row 3, then T1 has to wait, but T2 can still finish (and then T1 can finish), and vice versa. It would be different if T1 and T2 both updated two of the same rows, then a deadlock would be possible. However, that is not the case here.