

# Halting Problem

## Part 3

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# Back to the Halting Problem

$$HALT_{TM} = \{\langle M, w \rangle \mid M \text{ is a TM and } M \text{ halts on input } w\}$$

Recall:

$$A_{TM} = \{\langle M, w \rangle \mid M \text{ is a TM that accepts input string } w\}$$

Theorem:  $HALT_{TM}$  is undecidable

Proof strategy (called proof by **reduction**):

- We use the undecidability of  $A_{TM}$  to prove the undecidability of  $HALT_{TM}$

# Halting Problem (Cont'd)

- Assume (to obtain contradiction)  $R$  decides  $HALT_{TM}$
- $S$  = On input  $\langle M, w \rangle$  where  $M$  is a TM and  $w$  is a string:
  1. Run  $R$  on input  $\langle M, w \rangle$ .
  2. If  $R$  rejects, reject. i.e.  $\{\langle M, w \rangle \text{ does not halt}\}$
  3. If  $R$  accepts, i.e.  $\{\langle M, w \rangle \text{ halts}\}$ ,  
then simulate  $M$  on  $w$  until it halts.
  4. If  $M$  accepts  $w$ , accept;  
If  $M$  rejects  $w$ , reject.
- By assumption,  $R$  decides  $HALT_{TM}$  so  $S$  decides  $A_{TM}$ . But  $A_{TM}$  is undecidable so  $R$  doesn't decide  $HALT_{TM}$
- It follows that  $HALT_{TM}$  is undecidable!

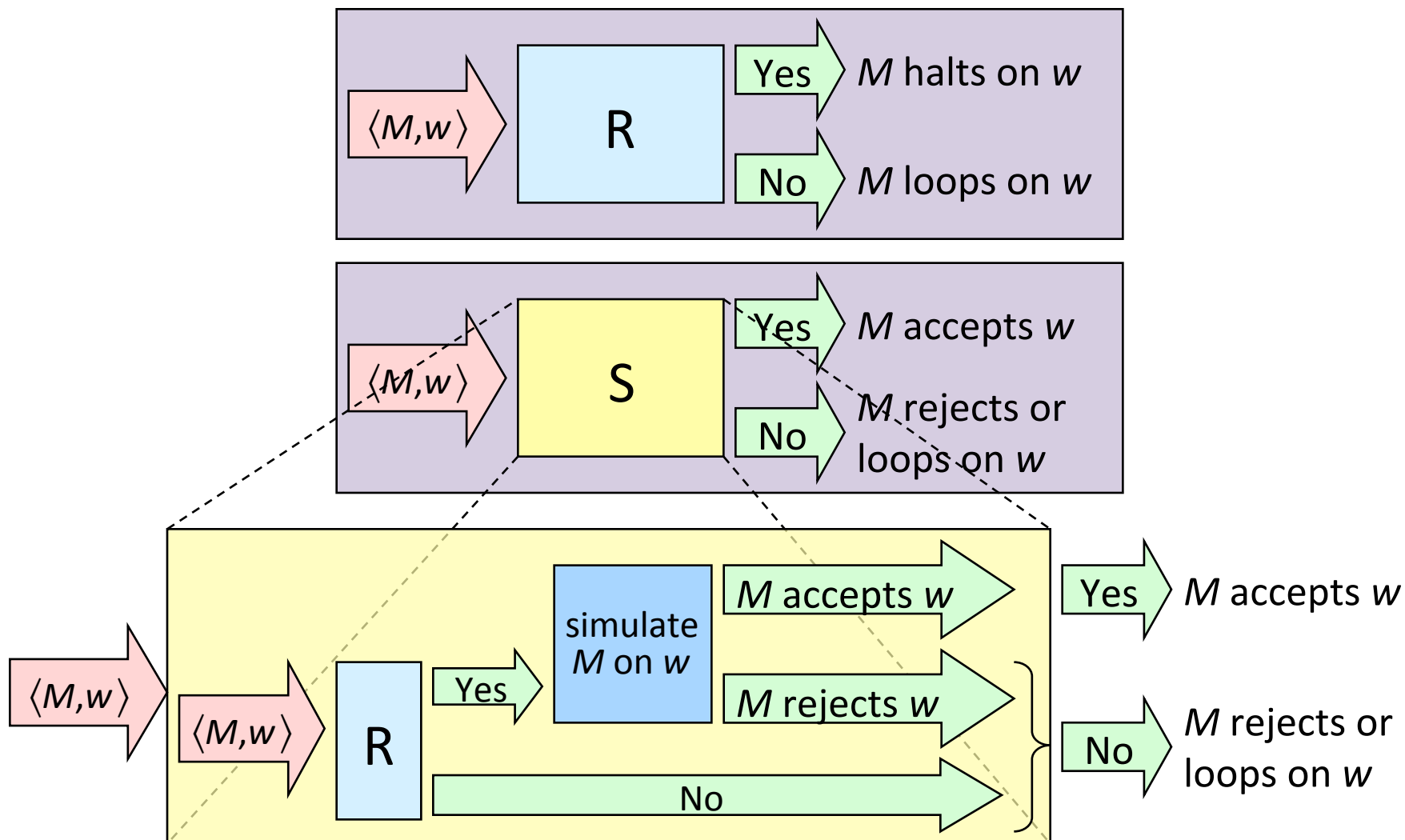
# Halting Problem (Cont'd)

$A_{TM}$  can be seen as consisting of two problems:

Given  $\langle M, w \rangle$ ,

1. Decide whether  $\langle M, w \rangle$  halts (yes/no)
2. a. If yes then decide whether  $M$  accepts  $w$ .  
b. If no then reject.

# Halting Problem (Cont'd)



# Halting Problem (summary)

- $A_{TM}$  “reduces” to  $HALT_{TM}$
- Since  $A_{TM}$  is undecidable,  $HALT_{TM}$  is also undecidable
- Reduction (restating an unknown problem in terms of a known problem and solution) is a key strategy in
  - the theory of computability
  - the theory of computational complexity

# A Turing-Unrecognisable Language

- Definition: A language is co-Turing-recognisable iff it is the complement of a Turing-recognisable language
- Theorem: A language is decidable if and only if it is Turing-recognisable and co-Turing-recognisable

# A Turing-Unrecognisable Language

Some things that follow:

- The complement of  $A_{TM}$  is not Turing-recognisable
  - We have seen:  $A_{TM}$  is Turing-recognisable
  - If the complement of  $A_{TM}$  were also Turing-recognisable,  $A_{TM}$  would be decidable (and it isn't)

- Review question:

Consider  $L = \{ \langle M, w \rangle \mid M \text{ loops on } w \}$ .

Does there exist a TM for  $L$  that is a recogniser?



# A Turing-Unrecognisable Language

- Review question:

Consider  $L = \{ \langle M, w \rangle \mid M \text{ loops on } w \}$

Does there exist a TM for  $L$  that is a recogniser?

- No!

Suppose  $X$  was a recogniser for  $L$ .

Consider any  $M$  and any  $w$ . If  $M$  loops on  $w$  then  $X$  accepts  $\langle M, w \rangle$  (in finitely many steps). If  $M$  does not loop on  $w$  then  $M$  either accepts or rejects  $w$  (in finitely many steps). Hence, a decider for  $A_{TM}$  can be constructed as follows:

# A Turing-Unrecognisable Language

Suppose  $X$  recognised  $L$ . Then  $K$  would decide  $A_{TM}$ :

$K =$  On input  $\langle M, w \rangle$  where  $M$  is a TM and  $w$  is a string:

- 1a. Run  $X$  on  $\langle M, w \rangle$ . (a recogniser!)
- 1b. Simultaneously, Run  $U$  on  $\langle M, w \rangle$ . (a recogniser!)

Either  $M$  loops on  $w$  or  $M$  does not loop on  $w$ .  
If  $M$  loops on  $w$  then  $X$  accepts  $\langle M, w \rangle$  (in finite time)  
so  $K$  rejects  $\langle M, w \rangle$   
If  $M$  doesn't loop on  $w$ ,  $U$  accepts or rejects  $\langle M, w \rangle$   
If  $U$  accepts  $\langle M, w \rangle$  then  $K$  accepts  $\langle M, w \rangle$   
If  $U$  rejects  $\langle M, w \rangle$  then  $K$  rejects  $\langle M, w \rangle$

# A Turing-Unrecognisable Language

K = On input  $\langle M, w \rangle$  where  $M$  is a TM and  $w$  is a string:

- 1a. Run  $X$  on  $\langle M, w \rangle$ . (a recogniser!)
- 1b. Simultaneously, Run  $U$  on  $\langle M, w \rangle$ . (a recogniser!)

Either  $M$  loops on  $w$  or  $M$  does not loop on  $w$ .

If  $M$  loops on  $w$  then  $X$  accepts  $\langle M, w \rangle$  (in finite time)  
so  $K$  rejects  $\langle M, w \rangle$

If  $M$  doesn't loop on  $w$ ,  $U$  accepts or rejects  $\langle M, w \rangle$

- If  $U$  accepts  $\langle M, w \rangle$  then  $K$  accepts  $\langle M, w \rangle$
- If  $U$  rejects  $\langle M, w \rangle$  then  $K$  rejects  $\langle M, w \rangle$

- But  $A_{TM}$  is undecidable. It follows that  $X$  cannot recognise  $L$ . So,  $L$  cannot be recognised

# Reduction

- Reduction is an important technique, not just in proving decidability (and other computability) results, but also in proving complexity results (e.g., “solving problem X takes exponential time”)
- Another example of using reduction to prove the undecidability of a problem:

# Problem No. 2

- Problem: determine if a Turing machine does not accept any input, that is, its language is empty (Compare the old  $E_{DFA}$ )
- Let  $E_{TM} = \{ \langle M \rangle \mid M \text{ is a TM and } L(M) = \emptyset \}$
- Theorem:  $E_{TM}$  is undecidable
- Proof strategy: proof by reduction again
  - Assume that  $E_{TM}$  is decidable and
  - Show that  $A_{TM}$  is decidable: a contradiction
- Let  $R$  be a TM that decides  $E_{TM}$
- We use  $R$  to build  $S$  that decides  $A_{TM}$

## Problem No. 2 (Cont'd)

- We run R on a modification of  $\langle M \rangle$ :
  - We modify  $\langle M \rangle$  to ensure M rejects all strings except w
  - On input w, M works as usual

$M_1$  = On input x:

1. If  $x \neq w$ , reject.
2. If  $x = w$ , run M on input w and accept if M does.

- The only string  $M_1$  may now accept is w :

$$M_1 = \{w\} \text{ or } M_1 = \Phi$$

$$L(M_1) \text{ is non-empty} \quad \Leftrightarrow \quad M_1 \text{ accepts } w$$

$$\Leftrightarrow \quad M \text{ accepts } w$$

## Problem No. 2 (Cont'd)

Proof that  $E_{TM}$  is undecidable. Assume TM  $R$  decides  $E_{TM}$   
Build TM  $S$  (using  $R$ ) that decides  $A_{TM}$ :

$S =$  On input  $\langle M, w \rangle$  where  $M$  is a TM and  $w$  is a string:

1. Use  $M$  and  $w$  to build  $M_1$  as explained
2. Run  $R$  on input  $\langle M_1 \rangle$ .
3. If  $R$  accepts (so  $L(M_1) = \Phi$ ), reject ( $M$  rejects  $w$ )  
If  $R$  rejects (so  $L(M_1) \neq \Phi$ ), accept ( $M$  accepts  $w$ ).

- $S$  would decide  $A_{TM}$  but that's not possible
- Hence,  $E_{TM}$  must be undecidable

## Problem No. 2 (Cont'd)

