

- 1. Carothers 2.21** Show that any ternary decimal of the form  $0.a_1a_2 \dots a_n11$ (base 3) i.e., any finite-length decimal ending in two (or more) 1s, is not an element of  $\Delta$ .

*Proof.* Let  $x$  be a ternary decimal of the form  $0.a_1a_2 \dots a_n11$ (base 3). Recall that  $\Delta$  was defined by the following recurrence relation,

$$\begin{aligned} A_0 &= [0, 1] \\ A_{k+1} &= \frac{1}{3}A_k \cup \left(\frac{2}{3} + \frac{1}{3}A_k\right) \\ \Delta &:= \bigcap A_k \end{aligned}$$

We also can describes  $x$  in base 10 via,

$$x = \sum_{i=1}^{n+2} \frac{a_i}{3^i} = \sum_{i=1}^n \frac{a_i}{3^i} + \frac{1}{3^{n+1}} + \frac{1}{3^{n+2}}.$$

Written in this form it is clear that  $x$  lies in middle third of  $A_{n+1}$ , more specifically  $x$  is  $\frac{1}{3^{n+1}}$  greater than the right most endpoint of  $\frac{1}{3}A_n$ . Hence  $x \notin \Delta$ .  $\square$

- 2. Carothers 2.22** Show that  $\Delta$  contains no (nonempty) open interval. In particular, show that if  $x, y \in \Delta$  with  $x < y$ , then there is some  $z \in [0, 1] \setminus \Delta$  with  $x < z < y$ .

*Proof.* Suppose that  $x, y \in \Delta$  with  $x < y$ . Let  $x_n$  and  $y_n$  be a sequence of 0 and 2 (the ternary decimal form) such that the following equations satisfy  $x < y$ ,

$$x = \sum_{i=1}^{\infty} \frac{x_i}{3^i}, \quad y = \sum_{i=1}^{\infty} \frac{y_i}{3^i}.$$

Since  $x < y$  there exists some  $i$  in the both series where  $x_i \neq y_i$  and  $x_i = 2$  and  $y_i = 0$ . This entry describes how both  $x, y \in A_i$  but  $x \in \left(\frac{2}{3} + \frac{1}{3}A_{i-1}\right)$  and  $y \in \frac{1}{3}A_{i-1}$ . Simply choose  $z \in \left(\frac{1}{3} + \frac{1}{3}A_{i-1}\right)$  and note that by definition  $z \in [0, 1] \setminus \Delta$  and  $x < z < y$ .  $\square$

- 3. Carothers 2.25** Define  $g : \mathbb{R} \rightarrow \mathbb{R}$  by  $g(x) = 1$  if  $x \in \Delta$ , and  $g(x) = 0$  otherwise. At which points of  $\mathbb{R}$  is  $g$  continuous?

*Proof.* I assert that the function is not continuous on  $\Delta$ . Suppose the contrary and let  $a \in \mathbb{R}, \Delta$  and with  $x_n \in \Delta$  such that  $x_n \rightarrow a$  and by continuity  $g(x_n) \rightarrow g(a)$ . We apply the previous result to produce a new sequence of  $z_n \in \Delta^c$  such that  $x_n < z_n < x_{n+1}$  and clearly by sandwich theorem it follows that  $z_n \rightarrow a$  however by construction we know that  $f(z_n) \not\rightarrow a$ , a contradiction.

I assert that the function is continuous on  $\Delta^c$ . Let  $a \in \mathbb{R}, \Delta^c$  and consider some  $x_n \rightarrow a$ . By definition we can describe  $\Delta^c$  as an arbitrary union of open sets and therefore it is open.

Thus for some  $\epsilon > 0$  we can construct a  $B_\epsilon(a) \subseteq \Delta^c$ . Now note that since  $x_n \rightarrow a$  there exists some  $N$  such that for all  $n \geq N$  we know that  $(x_n) \subseteq B_\epsilon(a)$ . Therefore by definition of  $G$  it must follow that for all  $n \geq N$ ,  $g(x_n) = 0$ .

□

**4. Carothers 2.16** The algebraic numbers are those real or complex number that are the roots of polynomials having integer coefficients. Prove that the set of algebraic numbers is countable. [Hint: First show that the set of polynomials having integer coefficients is countable.]

*Proof.* Let  $P_n$  be the set of all polynomials of degree  $n$ . Note that there  $|P_n| = \mathbb{Z}^{n+1}$  polynomials of such degree, a countable set. Recall that a countable union of countable sets is countable, hence the set of all polynomials having integer coefficients,  $P = \bigcup_{n=1}^{\infty} P_n$  is countable.

Let  $p \in P_i$  and note that by the fundamental theorem of algebra  $P$  has exactly  $i$  roots, a countable number. We define the set of algebraic numbers,  $A$  with,

$$A = \bigcup_{P_i \subset P} \bigcup_{p \in P_i} \{x \in \mathbb{R}, \mathbb{C} : p(x) = 0\}$$

Since the number of roots in  $p$  is countable, the number of polynomials in  $P_i$  is countable and the number of  $P_i$  in  $P$  is countable,  $A$  is also countable. □

**5. Carothers 3.7** Here is a generalization of Exercises 5 and 6. Let  $f : [0, \infty) \rightarrow [0, \infty)$  be increasing and satisfy  $f(0) = 0$  and  $f(x) > 0$  for all  $x > 0$ . If  $f$  also satisfies  $f(x+y) \leq f(x) + f(y)$  for all  $x, y \geq 0$ , then  $f \circ d$  is a metric whenever  $d$  is a metric. Show that each of the following conditions is sufficient to ensure that  $f(x+y) \leq f(x) + f(y)$  for all  $x, y \geq 0$ :

(a)  $f$  has a second derivative satisfying  $f'' \leq 0$ ;

(b)  $f$  has a decreasing first derivative;

(c)  $f(x)/x$  is decreasing for  $x > 0$ .

*Proof.* Let  $f : [0, \infty) \rightarrow [0, \infty)$  be increasing and satisfy  $f(0) = 0$  and  $f(x) > 0$  for all  $x > 0$ . Suppose  $f$  has a second derivative satisfying  $f'' \leq 0$ . Let  $[a, b] \subseteq [0, \infty)$ . By the mean value theorem it follows that for some  $x \in (a, b)$ ,

$$f''(x) = \frac{f'(b) - f'(a)}{b - a}$$

$$(b - a)f''(x) = f'(b) - f'(a).$$

Since  $f''(x) \leq 0$  and  $b > a$  it follows that  $f'(b) - f'(a) \leq 0$  and therefore  $f'$  is decreasing. □

*Proof.* Let  $f : [0, \infty) \rightarrow [0, \infty)$  be increasing and satisfy  $f(0) = 0$  and  $f(x) > 0$  for all  $x > 0$ . Suppose  $f$  has a decreasing first derivative.

Let  $x, y \in (0, \infty)$  such that  $x \leq y$ . Note that for some  $a \in (0, x)$  the mean value theorem applies,

$$f'(a) = \frac{f(x) - f(0)}{x - 0}.$$

Similarly for some  $b \in (x, y)$  the mean value theorem applies,

$$f'(b) = \frac{f(y) - f(x)}{y - x}.$$

Since  $f'$  is decreasing we know that  $f'(a) \geq f'(b)$ . By substitution it follows that for all  $0 < x \leq y$ ,

$$\begin{aligned} \frac{f(x) - f(0)}{x - 0} &\geq \frac{f(y) - f(x)}{y - x}, \\ \frac{f(x)}{x} &\geq \frac{f(y) - f(x)}{y - x}, \\ (y - x) \frac{f(x)}{x} &\geq f(y) - f(x), \\ y \frac{f(x)}{x} - f(x) &\geq f(y) - f(x), \\ y \frac{f(x)}{x} &\geq f(y), \\ \frac{f(x)}{x} &\geq \frac{f(y)}{y}. \end{aligned}$$

□

*Proof.* Let  $f : [0, \infty) \rightarrow [0, \infty)$  be increasing and satisfy  $f(0) = 0$  and  $f(x) > 0$  for all  $x > 0$ . Suppose  $f(x)/x$  is decreasing for  $x > 0$ . Let  $x, y \geq 0$  and note that,

$$\begin{aligned} \frac{f(x)}{x} &\geq \frac{f(x+y)}{x+y}, \\ f(x) &\geq f(x+y) \frac{x}{x+y}. \end{aligned}$$

Similarly we find that,

$$\begin{aligned} \frac{f(y)}{y} &\geq \frac{f(x+y)}{x+y}, \\ f(y) &\geq f(x+y) \frac{y}{x+y}. \end{aligned}$$

Summing both inequalities we get the following,

$$\begin{aligned} f(x) + f(y) &\geq f(x+y) \left( \frac{x}{x+y} + \frac{y}{x+y} \right), \\ f(x) + f(y) &\geq f(x+y). \end{aligned}$$

□

**6. Carothers 3.15** We define the *diameter* of a nonempty subset  $A$  of  $M$  by  $\text{diam}(A) = \sup\{d(a, b); a, b \in A\}$ . Show that  $A$  is bounded if and only if  $\text{diam}(A)$  is finite.

*Proof.* Suppose that  $A$  is a bounded nonempty subset of  $M$ . Then there exists an  $x_0 \in M$  and some  $C < \infty$  such that  $d(a, x_0) \leq C$  for all  $a \in A$ . let  $a, b \in A$  and note that by the triangle inequality,

$$d(a, b) \leq d(a, x_0) + d(x_0, b) \leq 2C.$$

Therefore it follows that

$$\text{diam}(A) \leq 2C < \infty.$$

Now let  $\text{diam}(A)$  is finite, and for the sake of contradiction suppose  $A$  is not bounded. Therefore by definition there exists an  $a \in A$  such that for all  $x_0 \in M$  we know  $d(a, x_0) = \infty$ .

Clearly this is a contradiction, the supremum on the set of all distances between points can't be both finite and infinite.  $\square$