

1. Suppose  $\mathcal{B}_1$  and  $\mathcal{B}_2$  are bases for topologies  $\tau_1$  and  $\tau_2$ . Show that  $\tau_1 \subseteq \tau_2$  if and only if for every  $B_1 \in \mathcal{B}_1$  and every  $x \in B_1$  there is a  $B_2 \in \mathcal{B}_2$  such that  $x \in B_2 \subseteq B_1$ .

*Proof.* ( $\Rightarrow$ ) Let  $\mathcal{B}_1$  and  $\mathcal{B}_2$  be bases for topologies  $\tau_1$  and  $\tau_2$  and suppose  $\tau_1 \subseteq \tau_2$ . Let  $B_1 \in \mathcal{B}_1$ , and note that since  $\mathcal{B}_1$  is a basis for  $\tau_1$  we know that  $B_1 \in \tau_1, \tau_2$ . Since  $B_1$  is in  $\tau_2$  and  $\mathcal{B}_2$  is a basis for  $\tau_2$  it follows that for every  $x \in B_1$  there is a  $B_2 \in \mathcal{B}_2$  such that  $x \in B_2 \subseteq B_1$ .

( $\Leftarrow$ ) Let  $\mathcal{B}_1$  and  $\mathcal{B}_2$  be bases for topologies  $\tau_1$  and  $\tau_2$  and suppose that for every  $B_1 \in \mathcal{B}_1$  and every  $x \in B_1$  there is a  $B_2 \in \mathcal{B}_2$  such that  $x \in B_2 \subseteq B_1$ . Let  $U \in \tau_1$ , and note that since  $\mathcal{B}_1$  is a basis for  $\tau_1$  we know that for some index set  $I_1$  of  $\mathcal{B}_1$ ,

$$U = \bigcup_{i \in I_1} B_1^i.$$

Note that by our supposition for every  $B_1^i \in I_1$  there exists an index set  $I_i$  of  $\mathcal{B}_2$  such that,

$$B_1^i = \bigcup_{j \in I_i} B_2^j.$$

Therefore we know that,

$$U = \bigcup_{i \in I_1} \bigcup_{j \in I_i} B_2^j.$$

Having expressed  $U$  as a union of sets in  $\mathcal{B}_2$  we know that  $U \in \tau_2$  and thus  $\tau_1 \subseteq \tau_2$ .  $\square$

2. Given a family  $\{\tau_\alpha\}_{\alpha \in I}$  of topologies in  $X$ , show that there is a unique smallest topology containing each  $\tau_\alpha$ . Show also that there is a unique largest topology contained in each  $\tau_\alpha$ . Take advantage of past work!

*Proof.* Let  $\{\tau_\alpha\}_{\alpha \in I}$  be a family of topologies in  $X$ . Let  $B = \bigcup_{\alpha \in I} \tau_\alpha$ . Now consider the pre-basis  $\mathcal{B}$ , a set consisting of all unions of finite intersections of elements of  $B$ . Let  $\tau'$  be the topology generated by  $\mathcal{B}$ . Suppose that  $\tau \subseteq \tau'$  such that  $\tau$  contains each  $\tau_\alpha$ . Therefore  $\tau$  must contain all elements of  $B$ , and since it's a topology it must be closed with respect to unions and finite intersections therefore it must also contain  $\mathcal{B}$ . Hence  $\tau = \tau'$ .

Let  $A = \bigcap_{\alpha \in I} \tau_\alpha$  and note that it is a topology contained in each  $\tau_\alpha$ . Showing  $A$  is a topology, simply note that any union or finite intersection of elements in  $A$  must have also been in every  $\tau_\alpha$  since they are also topologies and therefore  $A$  is closed with respect to unions and finite intersections. Suppose there exists some  $\tau$  contained in each  $\tau_\alpha$  such that  $A \subseteq \tau$ . Let  $x \in \tau$  and note that  $x \in \tau_\alpha$  for all  $\alpha$ , therefore by definition  $x \in A$ .

$\square$

3. Let  $\mathcal{B} = \{[a, b) : a, b \in \mathbb{Q}\}$ . Show that  $\mathcal{B}$  is a pre-basis and hence generates a topology  $\tau_{\mathcal{B}}$ . Compare this topology to the lower-limit topology  $\tau_\ell$ . In particular, determine if it is finer or coarser or neither or both.

*Proof.* Let  $\mathcal{B} = \{[a, b) : a, b \in \mathbb{Q}\}$ . Clearly we can see that  $\cup_{B \in \mathcal{B}} B = \mathbb{R}$ . Let  $[a, b), [c, d) \in \mathcal{B}$  and consider some  $x \in [a, b) \cap [c, d)$ . If the intersection is non-empty either the clopen intervals overlap or one is contained in the other. In either case the resulted intersection is another clopen interval  $[y, z) \in \mathcal{B}$ , so finally  $x \in [y, z) \subseteq [a, b) \cap [c, d)$  thus  $\mathcal{B}$  is a pre-basis.

Let  $\tau_{\mathcal{B}}$  be the topology generated by  $\mathcal{B}$ . Note that the interval  $[\pi, 1) \in \tau_{\ell}$  is not open with respect to  $\tau_{\mathcal{B}}$  since there is no  $[a, b) \in \mathcal{B}$  such that  $\pi \in [a, b) \subseteq [\pi, 1)$ . Thus  $\tau_{\mathcal{B}}$  is the coarser topology.

□

**4. Problem 2-12** Suppose  $X$  is a set, and  $\mathcal{A} \subseteq \mathcal{P}(X)$  is any collection of subsets of  $X$ . Let  $\tau \subseteq \mathcal{P}(X)$  be the collection of subsets consisting of  $X$ ,  $\emptyset$ , and all unions of finite intersection of elements of  $\mathcal{A}$ .

(a) Show that  $\tau$  is a topology.

*Proof.* Suppose a set  $X$ , and  $\mathcal{A} \subseteq \mathcal{P}(X)$  with  $\tau \subseteq \mathcal{P}(X)$  be the collection of subsets consisting of  $X$ ,  $\emptyset$ , and all unions of finite intersection of elements of  $\mathcal{A}$ . By definition  $X, \emptyset \in \tau$ . Let  $\{U_i\}_I \subseteq \tau$  and note that for each  $U_i$  there exists a collection  $\{A_{i,j}\}_J$  where each  $A_{i,j}$  is some finite intersection of the elements of  $\mathcal{A}$  such that  $U_i = \cup_{j \in J} A_{i,j}$ .

By substitution we get,

$$\bigcup_{i \in I} U_i = \bigcup_{i \in I} \bigcup_{j \in J} A_{i,j}$$

Note that this union is itself a union of finite intersections of elements of  $\mathcal{A}$ , thus  $\tau$  is closed with respect to unions. Let  $\{U_i\}_I \subseteq \tau$  be a finite subset. Note that by substitution and associativity of intersection we get,

$$\bigcap_{i \in I} U_i = \bigcap_{i \in I} \bigcup_{j \in J} A_{i,j} = \bigcup_{i \in I} \bigcap_{j \in J} A_{i,j}.$$

Again we've managed to write our finite intersection as a union of finite intersections of elements of  $\mathcal{A}$ , thus  $\tau$  is closed with respect to finite intersections. □

(b) Show that  $\tau$  is the coarsest topology for which all the sets in  $\mathcal{A}$  are open.

*Proof.* Suppose there exists some topology  $\tau'$  such that  $\tau' \subseteq \tau$  and  $\mathcal{A} \subseteq \tau'$ . Let  $U \in \tau$  and by definition we know that  $U$  equal to the union of some finite intersection of the elements in  $\mathcal{A}$ . Well since  $\tau'$  is a topology with  $\mathcal{A} \subseteq \tau'$  we must have that  $x \in \tau'$ . Thus  $\tau$  is the coarsest topology. □

(c) Let  $Y$  be any topological space. Show that a map  $f : Y \rightarrow X$  is continuous if and only if  $f^{-1}(U)$  is open in  $Y$  for every  $U \in \mathcal{A}$ .

*Proof.* ( $\Rightarrow$ ) Let  $Y$  be any topological space and suppose the map  $f : Y \rightarrow X$  is continuous. Note that by definition  $U \in \mathcal{A}$  is open in  $X$  and by continuity we know that  $f^{-1}(U)$  must be open in  $Y$ . □

*Proof.* ( $\Leftarrow$ ) Let  $Y$  be any topological space, consider the map  $f : Y \rightarrow X$  and suppose that for every  $A \in \mathcal{A}$ ,  $f^{-1}(A)$  is open in  $Y$ . Let  $U \subseteq X$ , and recall that by definition there exists a collection  $\{\hat{A}_j\}_J$  where each  $A_j$  is some finite intersection of the elements of  $\mathcal{A}$  such that,

$$U = \bigcup_{j \in J} \hat{A}_j = \bigcup_{j \in J} \bigcap_{i \in I} A_{j,i}.$$

Considering the pre-image we find that,

$$f^{-1}(U) = f^{-1}\left(\bigcup_{j \in J} \bigcap_{i \in I} A_{j,i}\right) = \bigcup_{j \in J} \bigcap_{i \in I} f^{-1}(A_{j,i})$$

Since  $Y$  is a topological space and  $f^{-1}(A)$  is open for every  $A \in \mathcal{A}$  we can conclude that  $f^{-1}(U)$  is open in  $Y$  and thus  $f$  is continuous.  $\square$

- (d) Conclude that the topology generated by a pre-basis  $\mathcal{B}$  is the smallest topology in which every set from  $\mathcal{B}$  is open.

*Proof.* This conclusion comes directly from parts (a) and (b) of this problem. Note that  $\tau$  from (a) is the topology generated by a pre-basis. In (b) we showed that  $\tau$  is the coarsest (or smallest) topology for which all of the sub-basis elements are included.  $\square$

**5. Problem 2-15** Let  $X$  and  $Y$  be topological spaces.

- (a) Suppose  $f : X \rightarrow Y$  is continuous and  $p_n \rightarrow p$  in  $X$ . Show that  $f(p_n) \rightarrow f(p)$  in  $Y$ . (This was proved in last weeks homework.)

*Proof.* Let  $U \in \mathcal{V}(f(p))$  and note that since  $f$  is continuous we know that  $f^{-1}(U)$  is open in  $X$ . Since  $p_n \rightarrow p$  in  $X$  and  $f^{-1}(U) \in \mathcal{V}(p)$  there exists some  $N \in \mathbb{N}$  such that  $p_n \in f^{-1}(U)$  for all  $n \geq N$ . It then follows that  $f(p_n) \in U$  for all  $n \geq N$  and thus by definition  $f(p_n) \rightarrow f(p)$ .  $\square$

- (b) Prove that if  $X$  is first countable then the converse is true: if  $f : X \rightarrow Y$  is a map such that  $p_n \rightarrow p$  in  $X$  implies  $f(p_n) \rightarrow f(p)$  in  $Y$ , then  $f$  is continuous.

*Proof.* We will proceed by proving the contrapositive. Suppose that  $f : X \rightarrow Y$  is not continuous. Then there exists some  $U \subseteq Y$  such that  $f^{-1}(U)$  is not open in  $X$ . Therefore there exists some  $x \in f^{-1}(U)$  such that for every  $U' \in \mathcal{V}(x)$ ,  $U' \not\subseteq f^{-1}(U)$ . Choose one such  $U'$  and since  $X$  is first countable there exists a nested neighborhood basis  $\{U'_k\}$  about  $x$  such that for all  $k$ ,  $x \in U'_k \subseteq U'$ . Choose  $p_k \in U'_k$  such that  $p_k \notin f^{-1}(U)$ . By construction we know that  $p_k \rightarrow x$ , yet clearly  $f(p_k) \notin f(x)$  since  $f(x) \in U$  but  $f(p_k) \notin U$  for all  $k$ .

Thus we have constructed a sequence which converges in  $X$ , whose image does not converge in  $Y$ .  $\square$

6. Let  $A$  be a subset of a topological space  $X$ , and let  $\mathcal{B}$  be a basis for the topology.

(a) Show that  $x \in \bar{A}$  if and only if for every  $B \in \mathcal{B}$  with  $x \in B$ ,  $B \cap A \neq \emptyset$ .

*Proof.* ( $\Rightarrow$ ) Suppose  $x \in \bar{A}$ . Observe that by definition  $x$  is a contact point of  $A$  and since all  $B \in \mathcal{B}$  are open,  $B \cap A \neq \emptyset$  is immediate.  $\square$

*Proof.* ( $\Leftarrow$ ) Suppose that for every  $B \in \mathcal{B}$  with  $x \in B$ ,  $B \cap A \neq \emptyset$ . Let  $U \subseteq X$  such that  $x \in U$ . Note that since  $\mathcal{B}$  is a basis there exists a collection of  $\{B_i\}_{i \in I} \subseteq \mathcal{B}$  such that  $U = \bigcup_{i \in I} B_i$ . By our supposition we see that,

$$U \cap A = \left( \bigcup_{i \in I} B_i \right) \cap A = \bigcup_{i \in I} (B_i \cap A) \neq \emptyset$$

$\square$

(b) Show that  $x \in \partial A$  if and only if for every  $B \in \mathcal{B}$  with  $x \in B$ ,  $B \cap A \neq \emptyset$  and  $B \cap A^c \neq \emptyset$

*Proof.* ( $\Rightarrow$ ) Suppose  $x \in \partial A$ . By our definition of  $\partial A = \bar{A} \cap \overline{A^c}$  we know that  $x \in \bar{A}, \overline{A^c}$ . Therefore by (a) we can conclude for every  $B \in \mathcal{B}$  with  $x \in B$ ,  $B \cap A \neq \emptyset$  and  $B \cap A^c \neq \emptyset$ .  $\square$

*Proof.* ( $\Leftarrow$ ) Suppose for every  $B \in \mathcal{B}$  with  $x \in B$ ,  $B \cap A \neq \emptyset$  and  $B \cap A^c \neq \emptyset$ . Again by (a) we can conclude that  $x \in \bar{A}, \overline{A^c}$  and therefore  $x \in \partial A$ .  $\square$

(c) Show that  $\text{Int}(A) \cap \partial A = \emptyset$  and  $\bar{A} = \text{Int}(A) \cup \partial A$ .

*Proof.* Suppose  $x \in \partial A$ . Let  $U \in \mathcal{V}(x)$  and note that since  $x \in \partial A$  we know that  $U \cap A^c \neq \emptyset$  and since  $\text{Int}(A)$  is open we conclude that  $x \notin \text{Int}(A)$ . Thus  $\text{Int}(A) \cap \partial A = \emptyset$ .  $\square$

*Proof.* Let  $x \in \bar{A}$ . By definition  $x$  is a contact point of  $A$  and therefore for all  $U \in \mathcal{V}(x)$  we know that  $U \cap A \neq \emptyset$ . If there exists a  $U$  such that  $U \subseteq A$  then  $x \in \text{Int}(A)$  otherwise for all  $U \in \mathcal{V}(x)$  we know that  $U \cap A^c \neq \emptyset$  which implies  $x \in \partial A$ . Showing containment in the other direction comes from the fact that all points in  $\text{Int}(A) \cup \partial A$  are contact points of  $A$ .  $\square$

7. **Problem 2-20** Show that second countability, separability, and the Lindelöf property are all equivalent for metric space.

*Proof.* ( $2^{\text{nd}}$  countable  $\Rightarrow$  Lindelöf) *This proof was done in class.*

Suppose  $X$  is a second countable metric space. Let  $\{U_\alpha\}_{\alpha \in I}$  be an open cover for  $X$ . Since  $X$  is second countable we know that there exists  $\{W_k\}$ , a countable basis for  $X$ . Since  $\{W_k\}$  is a basis we can consider the index set  $K$ , with the property that for all  $k \in K$ ,  $W_k \subseteq U_\alpha$  for some  $\alpha \in I$ . Therefore, for each  $k \in K$  there exists some  $\alpha_k$  such that  $W_k \subseteq U_{\alpha_k}$ . Clearly  $U_{\alpha_k, k \in K}$  is a countable refinement of  $\{U_\alpha\}_{\alpha \in I}$ . Note that since  $\{W_k\}$  is a basis, for every  $x \in X$  we know that  $x \in W_k \subseteq U_{\alpha_k}$  for some  $k$  and therefore  $\{U_\alpha\}_{\alpha \in I}$  is still an open cover of  $x$ .  $\square$

*Proof.* ( $2^{\text{nd}}$  countable  $\Rightarrow$  separable) *This proof was outlined in class.*

Suppose  $X$  is a second countable metric space. Since  $X$  is second countable we know that there exists  $\{W_k\}$ , a countable basis for  $X$ . Consider the set  $\{p_k\}$  such that  $p_k \in W_k$ . Clearly  $\{p_k\}$  is countable so we will proceed to show that  $\{p_k\}$  is dense. Let  $U \subseteq X$  be open and choose  $x \in U$ . Since  $\{W_k\}$  is a basis we know that for some  $k$ ,  $x \in W_k \subseteq U$ . Immediately it follows that  $p_k \in W_k \subseteq U$  and thus  $\{p_k\}$  is dense in  $X$ .  $\square$

*Proof.* (Lindelöf  $\Rightarrow$  separable) *Glen and I worked on this together with your help.*

Let  $X$  be a Lindelöf metric space. For all  $n \in \mathbb{N}$  consider the sets  $\mathcal{U}_n = \{B_{1/n}(x) : x \in X\}$ . Clearly each  $\mathcal{U}_n$  is an open cover of  $X$  and since  $X$  is Lindelöf there exists countable subcovers  $\mathcal{U}'_n$ . Let  $\{x_i^n\}_{i \in \mathbb{N}}$  be the set of centers of balls in  $\mathcal{U}'_n$ . Note that since  $\mathcal{U}'_n$  was a countable subcover,  $\{x_i^n\}_{i \in \mathbb{N}}$  is also countable. Now consider the following set,

$$A = \bigcup_{n \in \mathbb{N}} \bigcup_{i \in \mathbb{N}} x_i^n.$$

Note that  $A$  is a countable set. We will proceed by showing that  $A$  is dense in  $X$  by showing that for all  $x \in X$ , for every  $\epsilon > 0$ ,  $B_\epsilon(x) \cap A \neq \emptyset$ . Let  $x \in X$  and  $\epsilon > 0$ , consider the subset of  $A$  such that  $n \geq 2/\epsilon$  and note that since points in this subset are at most  $\epsilon/2$  distance apart there must exist some  $x_i^n \in B_\epsilon(x)$ . Hence  $A$  is a countable dense subset of  $X$ .  $\square$

*Proof.* (separable  $\Rightarrow 2^{\text{nd}}$  countable)

Suppose  $X$  is a separable metric space. Since  $X$  is separable there exists a set countable dense subset,  $A$ . Now consider the countable set of open balls,

$$\mathcal{A} = \left\{ B_{\frac{1}{n}}(x) \subseteq X : x \in A, n \in \mathbb{N} \right\}.$$

We will proceed by showing that this set is a basis for  $X$ . Let  $\epsilon > 0$  and consider  $B_\epsilon(x)$  for some  $x \in X$ . Since  $A$  is dense there exists some  $x' \in A$  such that  $x' \in B_{\epsilon/4}(x)$ . Note that by construction of  $d(x, x') < \epsilon/4$  it follows that for all  $B_n(x') \in \mathcal{A}$  with  $n \geq 2/\epsilon$  we know  $B_n(x') \subseteq B_\epsilon(x)$  since for all  $x'' \in B_n(x')$ ,

$$d(x, x'') \leq d(x, x') + d(x', x'') = \epsilon/4 + \epsilon/2 < \epsilon.$$

Since the open balls about  $x'$  have at most radius  $\epsilon/2$  there must exist some  $B_n(x')$  such that  $x \in B_n(x') \subseteq B_\epsilon(x)$ .  $\square$