

- (1) (Problem 3.4.2) Derive a combinatorial identity via the equation

$$\frac{1}{(1-x)^{m+n}} = \frac{1}{(1-x)^m} \cdot \frac{1}{(1-x)^n}.$$

(You should justify your answer.)

**Answer:** Consider the equation is an equality of two ordinary generating functions.

$$\llbracket \frac{1}{(1-x)^{m+n}} \rrbracket = \llbracket \frac{1}{(1-x)^m} \cdot \frac{1}{(1-x)^n} \rrbracket$$

From here along with the convolution formula for ordinary generating function we get,

$$\begin{aligned} \binom{n+m}{k} &= \sum_{j=0}^k \binom{m}{j} \binom{n}{k-j} \\ \binom{n+m+k-1}{k} &= \sum_{j=0}^k \binom{m+j-1}{j} \binom{n+k-j-1}{k-j} \end{aligned}$$

I suppose that it's some variation of Vandermonde's Identity but with multi-sets.

- (2) (Problem 3.4.5) Let  $a$ ,  $b$ , and  $c$  be nonzero real numbers. Find the coefficient of  $x^k$  in  $\frac{a}{b+cx}$ .

**Answer:** Through a little bit of algebra we can simplify this problem,

$$\begin{aligned} \frac{a}{b+cx} &= a \frac{1}{b+cx} \\ &= \frac{a}{b} \frac{1}{1+(\frac{c}{b})x} \end{aligned}$$

Because of the linearity of coefficient extraction we can see that the coefficient of  $x^k$  will be  $\frac{a}{b} * (-\frac{c}{b})^k$

- (3) (Problem 3.4.9) Suppose the EGF of  $\{c_n\}_{n \geq 0}$  is  $(e^x - 1)^2$ . Find a formula for  $c_n$ .

**Answer:** Again we can just do a little bit of algebra to simplify the EGF,

$$\begin{aligned} (e^x - 1)^2 &= (e^x - 1)(e^x - 1) \\ &= e^{2x} - 2e^x + 1 \end{aligned}$$

Then from here we split up the EGF and solve. We get  $c_n = 2^n - 2$ .

- (4) (Problem 3.4.11) Here is how Euler proved that the binary representation of any nonnegative integer is unique. For  $n \geq 0$ , let  $b_n$  denote the number of ways to write  $n$  as a sum of powers of 2. Let  $B(x)$  be the OGF of  $\{b_n\}_{n \geq 0}$ .

- (a) Explain why  $B(x) = (1+x)(1+x^2)(1+x^4)(1+x^8)(1+x^{16}) \dots$ .

**Answer:** Consider that  $n = z_0 + z_1 + z_2 + \dots$  and that for each  $z_i \in \{0, 2^i\}$ . If we wanted to find the number of solutions to  $n$  with the given constraint on  $z_i$  the corresponding generating function would be,  $B(x)$ .

- (b) Explain why  $B(x) = (1+x)B(x^2)$ .

**Answer:** We NTS that the LHS can simplify to

$$B(x) = \prod_{i=0}^{\infty} (1 + x^{2^i})$$

We can do this through some algebra,

$$\begin{aligned} (1+x)B(x^2) &= (1+x)(1+x^{2*1})(1+x^{2*4})(1+x^{2*8})\dots \\ &= (1+x)(1+x^2)(1+x^8)(1+x^{16})\dots \\ &= \prod_{i=0}^{\infty} (1 + x^{2^i}) \end{aligned}$$

- (c) Use part (b) to prove that  $b_n = 1$  for all  $n \geq 0$ .

**Answer:** So first of all let's expand the first two terms,

$$\begin{aligned} B(n) &= (1+x)(1+x^2) \prod_{i=2}^{\infty} (1+x^{2^i}) \\ &= (1+x+x^2+x^3) \prod_{i=2}^{\infty} (1+x^{2^i}) \\ &= (1+x+x^2+x^3)(1+x^4) \prod_{i=3}^{\infty} (1+x^{2^i}) \text{ Moving the third term out} \\ &= (1+x+x^2+x^3+x^4+x^5+x^6+x^7) \prod_{i=3}^{\infty} (1+x^{2^i}) \end{aligned}$$

As you can see if we continue the infinite product simplifies to a geometric series,

$$\sum_{n=0}^{\infty} x^n$$

and we know the coefficient on each term will always be 1. Thus  $b_n = 1$  for all  $n \geq 0$ .

(5) (Problem 3.5.1) Solve the following recurrence relations using the generating function technique.

(a)  $a_0 = 0$  and  $a_n = 2a_{n-1} + 1$  for  $n \geq 1$

**Answer:** First suppose that  $f(x) = \sum_{n=0}^{\infty} a_n x^n$  is the OGF that describes the sequence  $\{a_n\}_{n \geq 0}$ . Now through some algebra on the recurrence we can get a concise form for our OGF,

$$\begin{aligned}
 a_n &= 2a_{n-1} + 1 \\
 a_n x^n &= 2a_{n-1} x^n + x^n \text{ Multiply through by } x^n \\
 \sum_{n=1}^{\infty} a_n x^n &= \sum_{n=1}^{\infty} 2a_{n-1} x^n + \sum_{n=1}^{\infty} x^n \text{ Summing over values which the recurrence is defined} \\
 \sum_{n=0}^{\infty} a_n x^n - a_0 &= 2x \sum_{n=1}^{\infty} a_{n-1} x^{n-1} + \sum_{n=1}^{\infty} x^n \text{ Simplifying each sum} \\
 f(x) &= 2x f(x) + \frac{x}{1-x} \text{ Substituting concise OGF} \\
 f(x) &= \frac{x}{(1-x)(1-2x)} \text{ Solving for } f(x) \\
 f(x) &= \frac{-x}{(1-x)} + \frac{2x}{1-2x} \text{ Partial Fraction} \\
 a_n &= 2^n + 1
 \end{aligned}$$

Thus the closed form for the given recurrence relation is  $a_n = 2^n + 1$ .

(c)  $c_0 = 1$  and  $c_n = 3c_{n-1} + 3^n$  for  $n \geq 1$

**Answer:** First suppose that  $f(x) = \sum_{n=0}^{\infty} c_n x^n$  is the OGF that describes the sequence  $\{c_n\}_{n \geq 0}$ . Now through some algebra on the recurrence we can get a concise form for our OGF,

$$\begin{aligned}
 c_n &= 3c_{n-1} + 3^n \\
 c_n x^n &= 3c_{n-1} x^n + 3^n x^n \text{ Multiply through by } x^n \\
 \sum_{n=1}^{\infty} c_n x^n &= \sum_{n=1}^{\infty} 3c_{n-1} x^n + \sum_{n=1}^{\infty} 3^n x^n \text{ Summing over values which the recurrence is defined} \\
 \sum_{n=0}^{\infty} c_n x^n - c_0 &= 3x \sum_{n=1}^{\infty} c_{n-1} x^{n-1} + \sum_{n=1}^{\infty} 3^n x^n \text{ Simplifying each sum} \\
 f(x) - 1 &= 3x f(x) + \frac{1}{(1-3x)} - 1 \text{ Substituting concise OGF} \\
 f(x) &= \frac{3}{(1-3x)^2} \text{ Solving for } f(x) \\
 c_n &= 3^n \binom{n+1}{n} \\
 c_n &= (n+1)3^n \text{ Simplifying multiset}
 \end{aligned}$$

Thus the closed form for the given recurrence relation is  $c_n = (n+1)3^n$ .

(e)  $e_0 = e_1 = 1$ ,  $e_2 = 2$  and  $e_n = 3e_{n-1} - 3e_{n-2} + e_{n-3}$  for  $n \geq 3$

**Answer:** First suppose that  $f(x) = \sum_{n=0}^{\infty} e_n x^n$  is the OGF that describes the sequence  $\{e_n\}_{n \geq 0}$ . Now through some algebra on the recurrence we can get a concise form for our OGF,

$$\begin{aligned}
 e_n &= 3e_{n-1} - 3e_{n-2} + e_{n-3} \\
 e_n x^n &= 3e_{n-1} x^n - 3e_{n-2} x^n + e_{n-3} x^n \text{ Multiply through by } x^n \\
 \sum_{n=3}^{\infty} e_n x^n &= \sum_{n=3}^{\infty} 3e_{n-1} x^n - \sum_{n=3}^{\infty} 3e_{n-2} x^n + \sum_{n=3}^{\infty} e_{n-3} x^n \text{ Summing the recurrence} \\
 \sum_{n=0}^{\infty} e_n x^n - (e_0 + e_1 + e_2) &= 3x \sum_{n=0}^{\infty} e_{n+1} x^n - (e_0 + e_1) - 3x^2 \sum_{n=0}^{\infty} e_{n+2} x^n - (e_0) + x^3 \sum_{n=0}^{\infty} e_{n+3} x^n \\
 f(x) - (e_0 + e_1 + e_2) &= 3x(f(x) - (e_0 + e_1)) - 3x^2(f(x) - e_0) + x^3 f(x) \text{ Substituting concise OGF} \\
 f(x) &= \frac{-3x^2}{(x-1)^3} + \frac{6x}{(x-1)^3} - \frac{4}{(x-1)^3} \text{ Solving for } f(x) \\
 e_n &= -3 \binom{3}{n-2} + 6 \binom{3}{n-1} - 4 \binom{3}{n}
 \end{aligned}$$

Thus the closed form for the given recurrence relation is  $e_n = -3 \binom{3}{n-2} + 6 \binom{3}{n-1} - 4 \binom{3}{n}$ .

(6) (Problem 3.5.2) Use an EGF to solve the recurrence relation  $a_0 = 2$  and  $a_n = na_{n-1} - n!$  for  $n \geq 1$ .

**Answer:** First suppose that  $f(x) = \sum_{n=0}^{\infty} a_n \frac{x^n}{n!}$  is the EGF that describes the sequence  $\{a_n\}_{n \geq 0}$ . Now through some algebra on the recurrence we can get a concise form for our EGF,

$$\begin{aligned}
 a_n &= na_{n-1} - n! \\
 a_n \frac{x^n}{n!} &= na_{n-1} \frac{x^n}{n!} - n! \frac{x^n}{n!} \text{ Multiply through by } \frac{x^n}{n!} \\
 \sum_{n=1}^{\infty} a_n \frac{x^n}{n!} &= \sum_{n=1}^{\infty} na_{n-1} \frac{x^n}{n!} - \sum_{n=1}^{\infty} n! \frac{x^n}{n!} \text{ Summing over recurrence} \\
 \sum_{n=0}^{\infty} a_n \frac{x^n}{n!} - a_0 &= x \sum_{n=1}^{\infty} a_{n-1} \frac{x^{n-1}}{(n-1)!} - \sum_{n=1}^{\infty} n! \frac{x^n}{n!} \text{ Simplifying the sum} \\
 f(x) - 2 &= xf(x) - \left(\frac{1}{1-x} - 1\right) \text{ Substituting concise EGF} \\
 f(x) &= \frac{3}{1-x} - \frac{1}{(1-x)^2} \text{ Solving for } f(x) \\
 a_n &= 3n! - n! \binom{2}{n} \\
 a_n &= (2-n)n! \text{ Simplifying multiset}
 \end{aligned}$$

Thus the closed form for the given recurrence relation is  $a_n = (2-n)n!$

(7) (Problem 3.5.4) Find a formula for the  $n$ th term of the sequence defined by the recurrence relation  $E_n = nE_{n-1} + (-1)^n$  for  $n \geq 1$  and  $E_0 = 1$ . Also, what is the relationship between  $E_n$  and  $D_n$ , the

number of derangements of  $[n]$ ?

**Answer:** First suppose that  $f(x) = \sum_{n=0}^{\infty} E_n \frac{x^n}{n!}$  is the EGF that describes the sequence  $\{E_n\}_{n \geq 0}$ . Now through some algebra on the recurrence we can get a concise form for our EGF,

$$\begin{aligned}
 E_n &= nE_{n-1} + (-1)^n \\
 E_n \frac{x^n}{n!} &= nE_{n-1} \frac{x^n}{n!} + (-1)^n \frac{x^n}{n!} \text{ Multiply through by } \frac{x^n}{n!} \\
 \sum_{n=1}^{\infty} E_n \frac{x^n}{n!} &= \sum_{n=1}^{\infty} nE_{n-1} \frac{x^n}{n!} + \sum_{n=1}^{\infty} (-1)^n \frac{x^n}{n!} \text{ Summing over recurrence} \\
 \sum_{n=0}^{\infty} E_n \frac{x^n}{n!} - E_0 &= x \sum_{n=1}^{\infty} E_{n-1} \frac{x^{n-1}}{(n-1)!} + \sum_{n=1}^{\infty} (-1)^n \frac{x^n}{n!} \text{ Simplifying the sum} \\
 f(x) - 1 &= xf(x) + (e^{-x} - 1) \text{ Substituting concise EGF} \\
 f(x) &= \frac{1}{(1-x)} e^{-x} \\
 E_n &= \sum_{i=0}^n \binom{n}{i} (-1)^i (n-i)! \text{ By convolution of EGF} \\
 E_n &= n! \sum_{i=0}^n \frac{-1^i}{i!}
 \end{aligned}$$

Thus the closed form for the given recurrence relation is  $E_n = n! \sum_{i=0}^n \frac{-1^i}{i!}$ . In homework 6 we showed that the number of derangements of  $[n]$  is,

$$D_n = \sum_{k=0}^n (-1)^k (n-k)! \binom{n}{k}$$

It should be clear that when we used convolution on  $f(x)$  we got the same thing,

$$E_n = \sum_{i=0}^n \binom{n}{i} (-1)^i (n-i)!$$

Thus it must also be true that  $E_n = D_n$