

# Machine Learning and Material Science

## 3. Support Vector Machine

Steffen Brinckmann, Claas Hüter  
IEK-2, Forschungszentrum Jülich GmbH

# Support Vector Machine

For classification problems

**Find linearly separable hyperplane to separate classes**

If data cannot be separated linearly

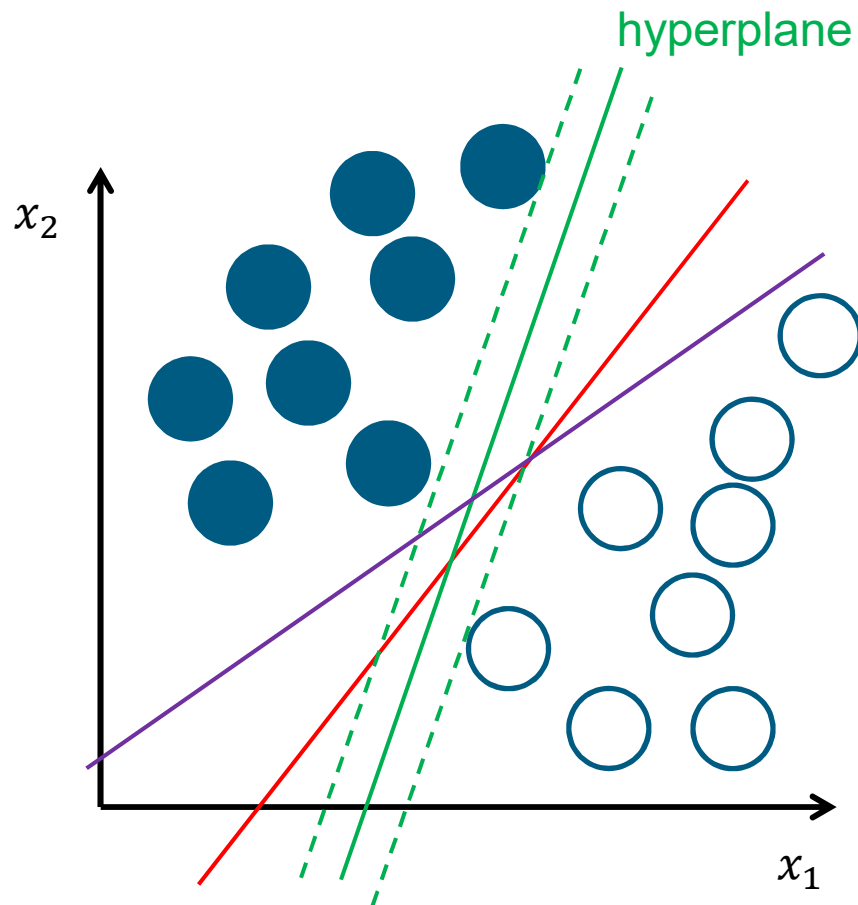
uses nonlinear mapping to transform data into higher dimension

search for separating hyperplane

Algorithm finds hyperplane using support vectors and margins

# Support Vector Machine

## Define hyperplane to discriminate / classify



Example:

Datapoints with  $X=(x_1, x_2)$  and class (-1 or 1)

Finding best way to separate data

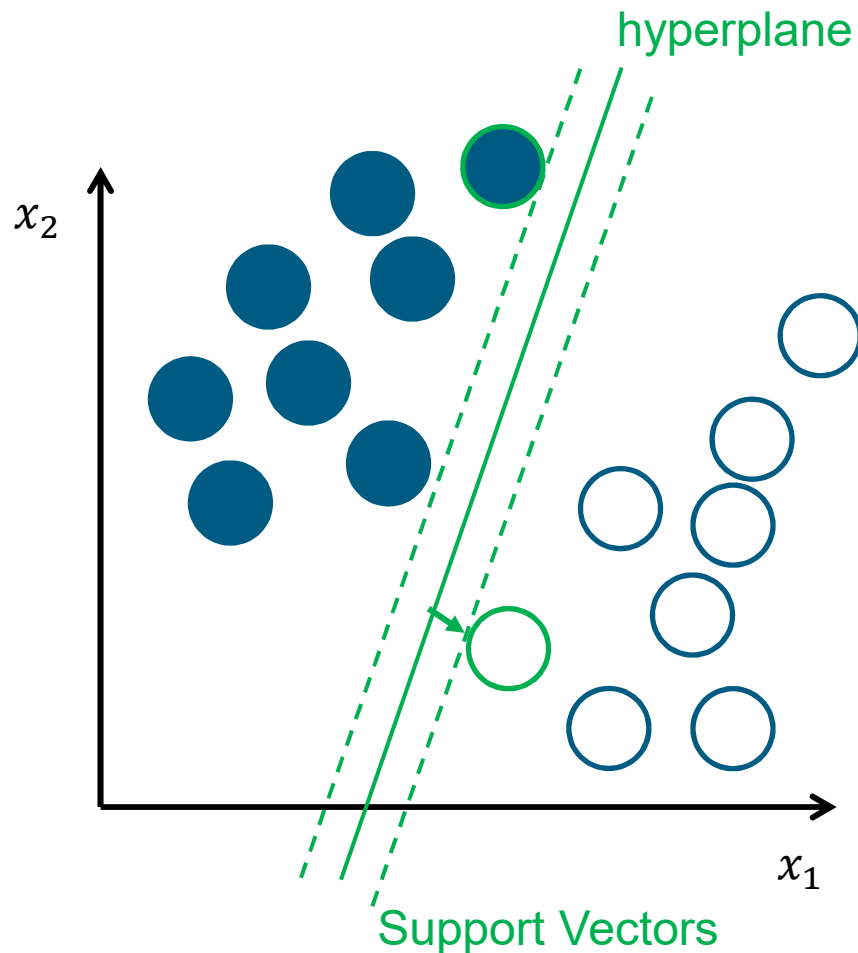
Best hyperplane is the one with the longest support vector or maximum margin

Support vector:

Shortest vectors between hyperplane and nearest data points of each class

# Support Vector Machine

## Define hyperplane to discriminate / classify



Example:

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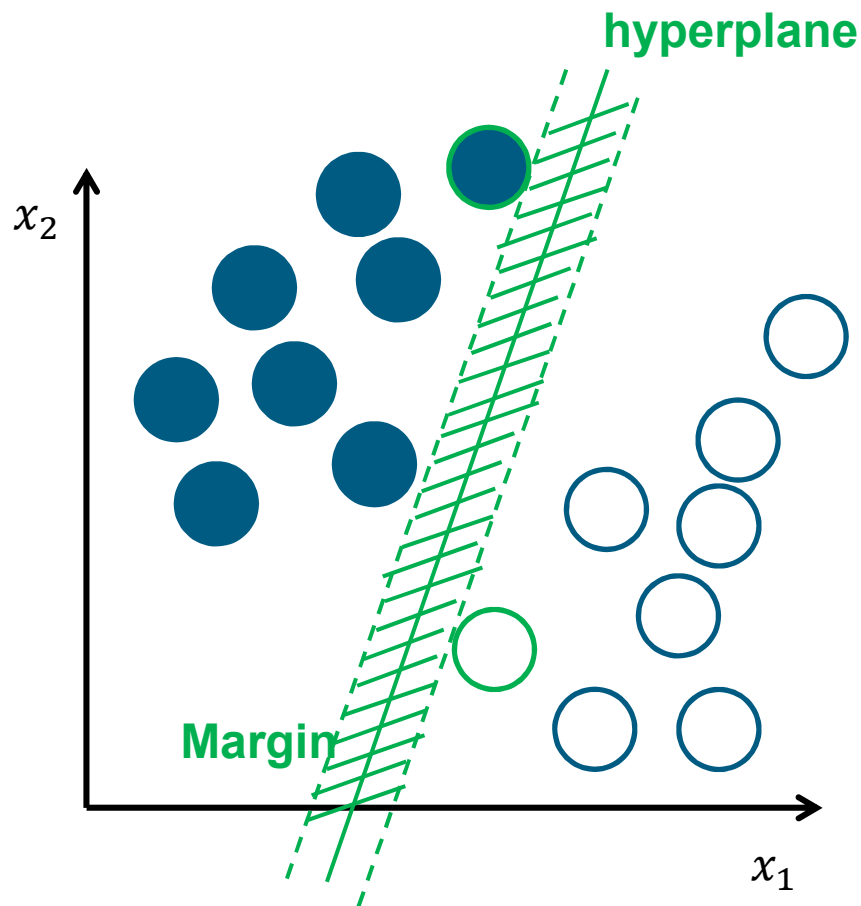
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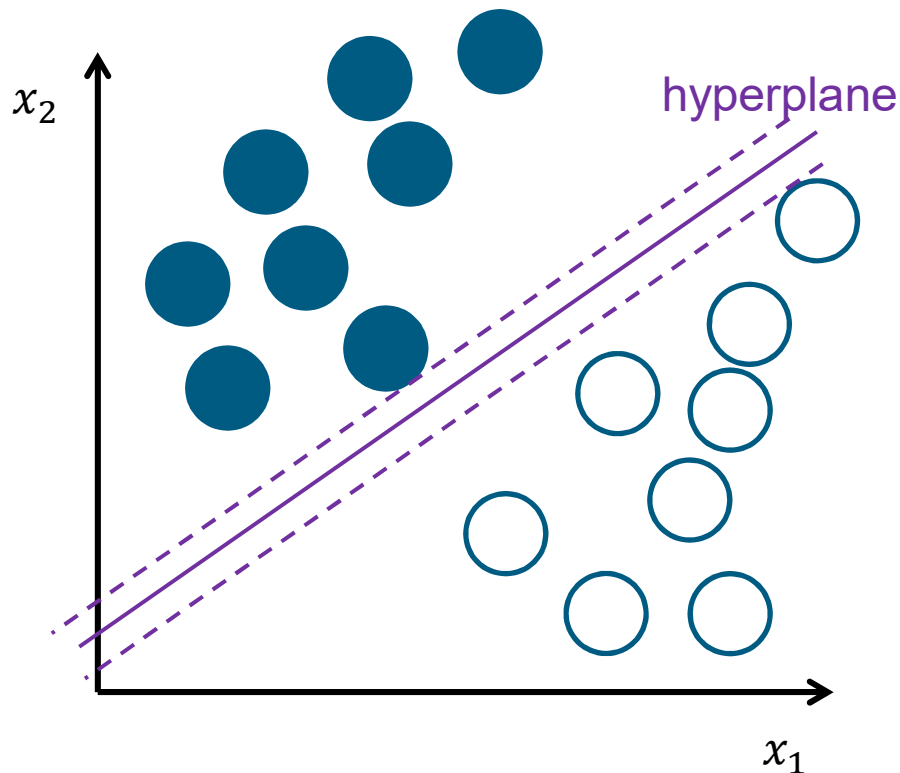
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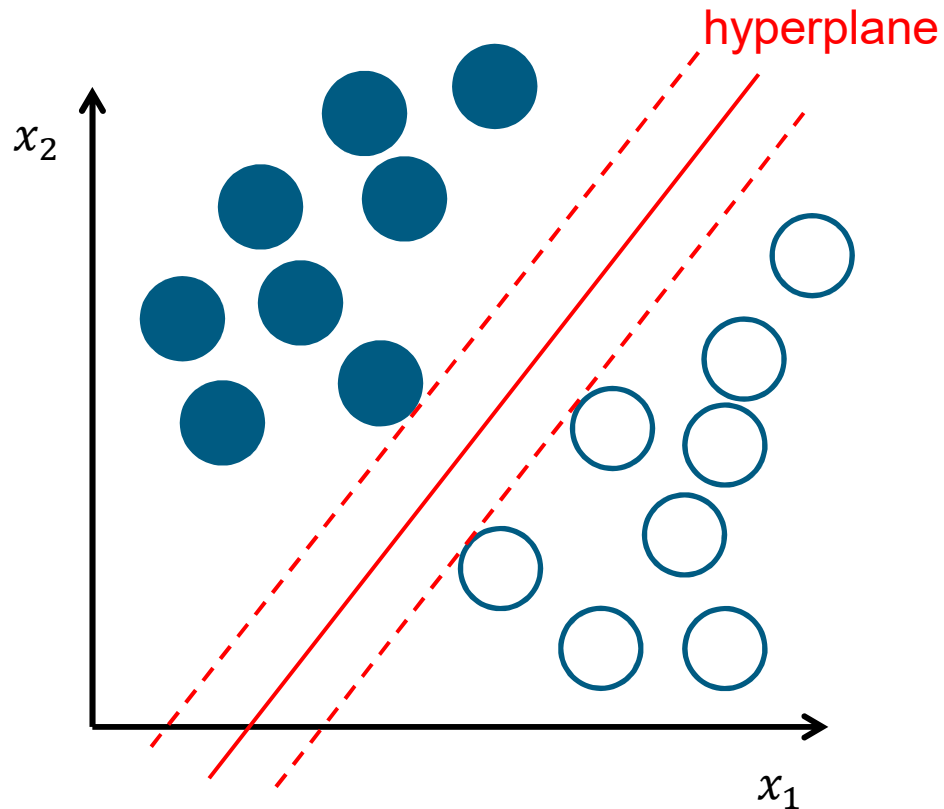
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# Support Vector Machine

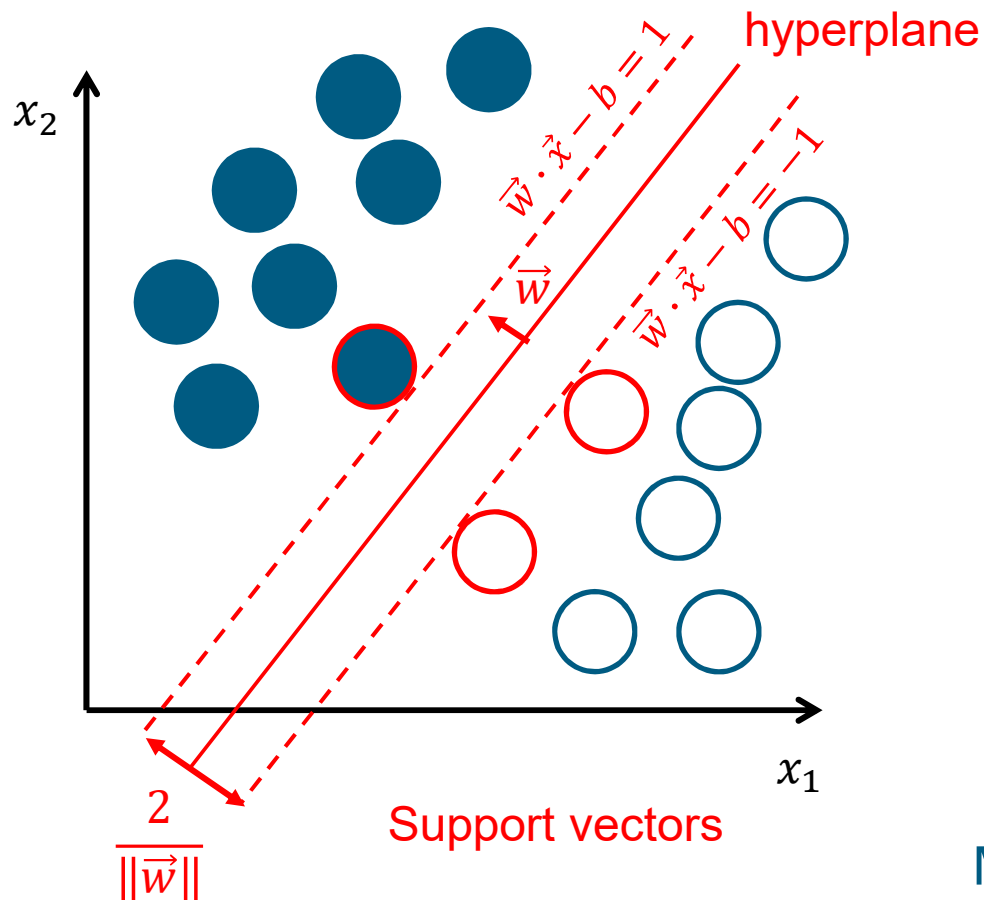
Hyperplane:  $\vec{w} \cdot \vec{x} - b = 0$   
 $\vec{w}$ : normal vector (not unit length)

maximizing margin  
 $\rightarrow$  minimizing  $\|\vec{w}\|$

Prevent data inside margin

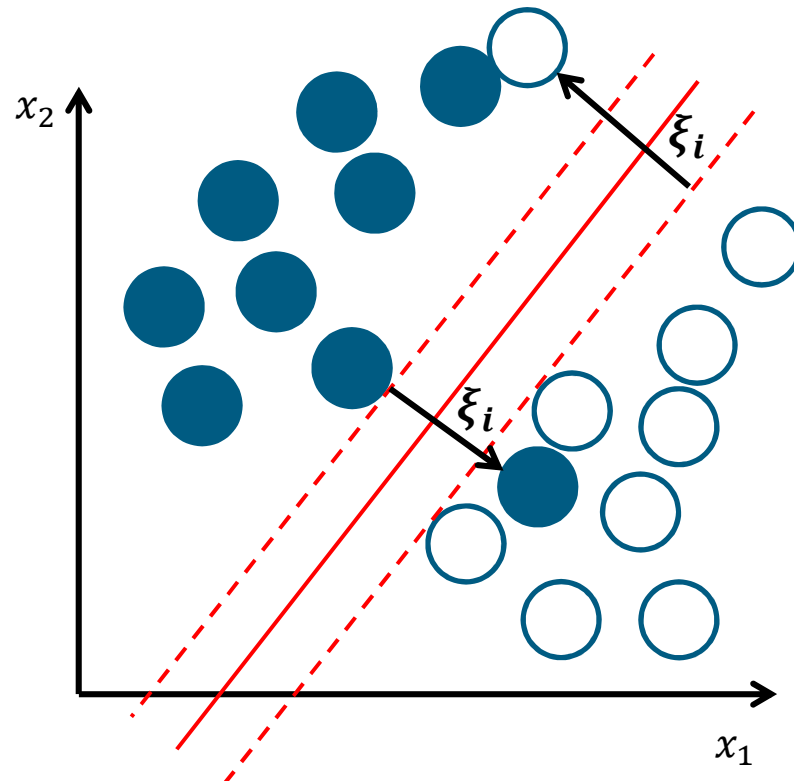
$$\begin{cases} \vec{w} \cdot \vec{x}_i - b \geq 1, & \text{if } y_i = 1 \\ \vec{w} \cdot \vec{x}_i - b \leq -1, & \text{if } y_i = -1 \end{cases}$$

Minimizing  $\|\vec{w}\|$  with  $y_i(\vec{w} \cdot \vec{x}_i - b) \geq 1$





# Allow for misclassification / errors in data



$\xi_i$ : slack variables allow for some misclassification

Data is noisy and maximal margin is bad solution

Hyperplane which **almost** separates  
=> **soft margin**.

Small number of samples cross margin  
=> violate margin

$$\text{Minimizing } [\|\vec{w}\| + C \sum_i \xi_i]$$

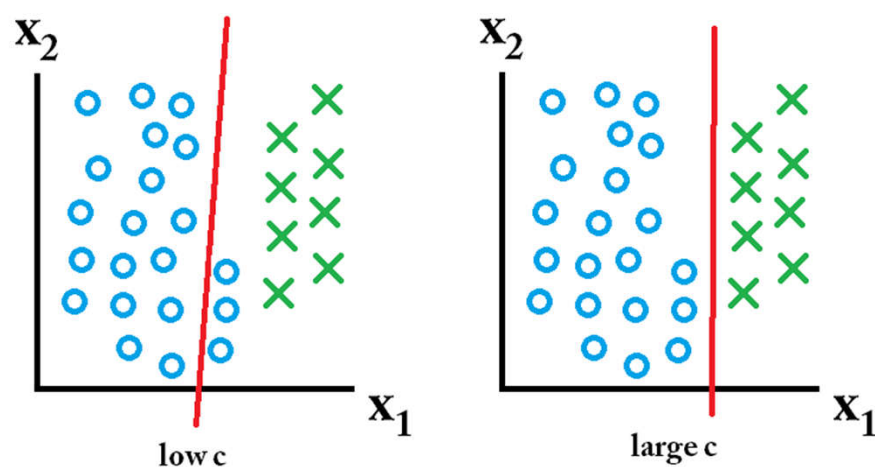
$$\text{subject to } y_i(\vec{w} \cdot \vec{x}_i - b) \geq 1 - \xi_i$$

$C$  is regularization parameter to avoid misclassification

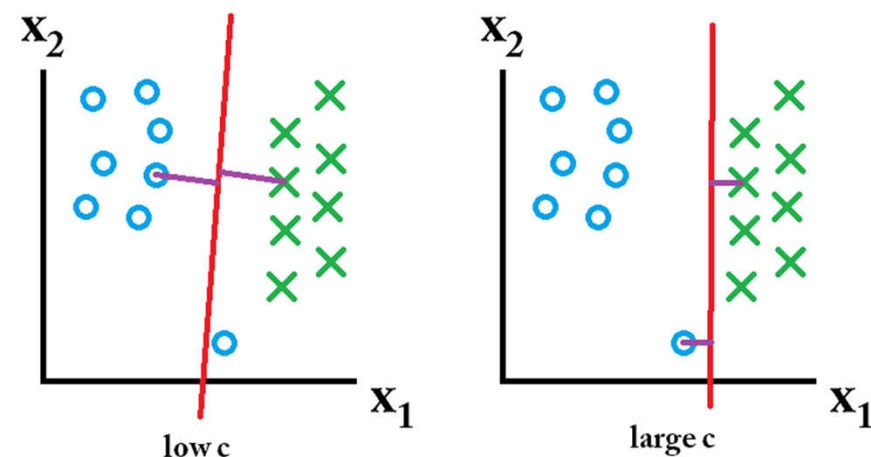
(smaller  $C$  = softer, less penalty for error)

User has to be smart

# Support Vector Machine



Large  $C$  is better!



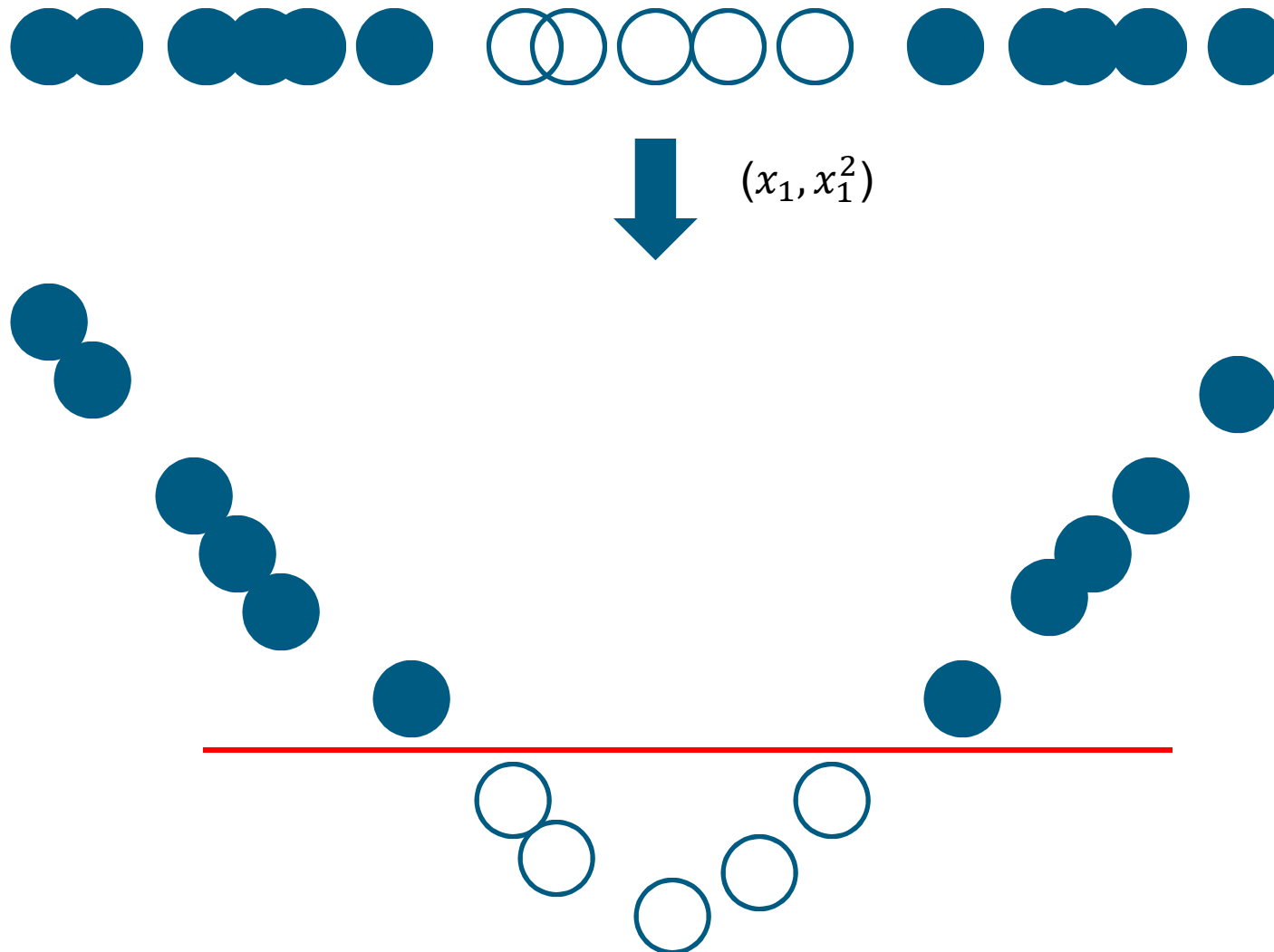
Low  $C$  is better!

# Support Vector Machine

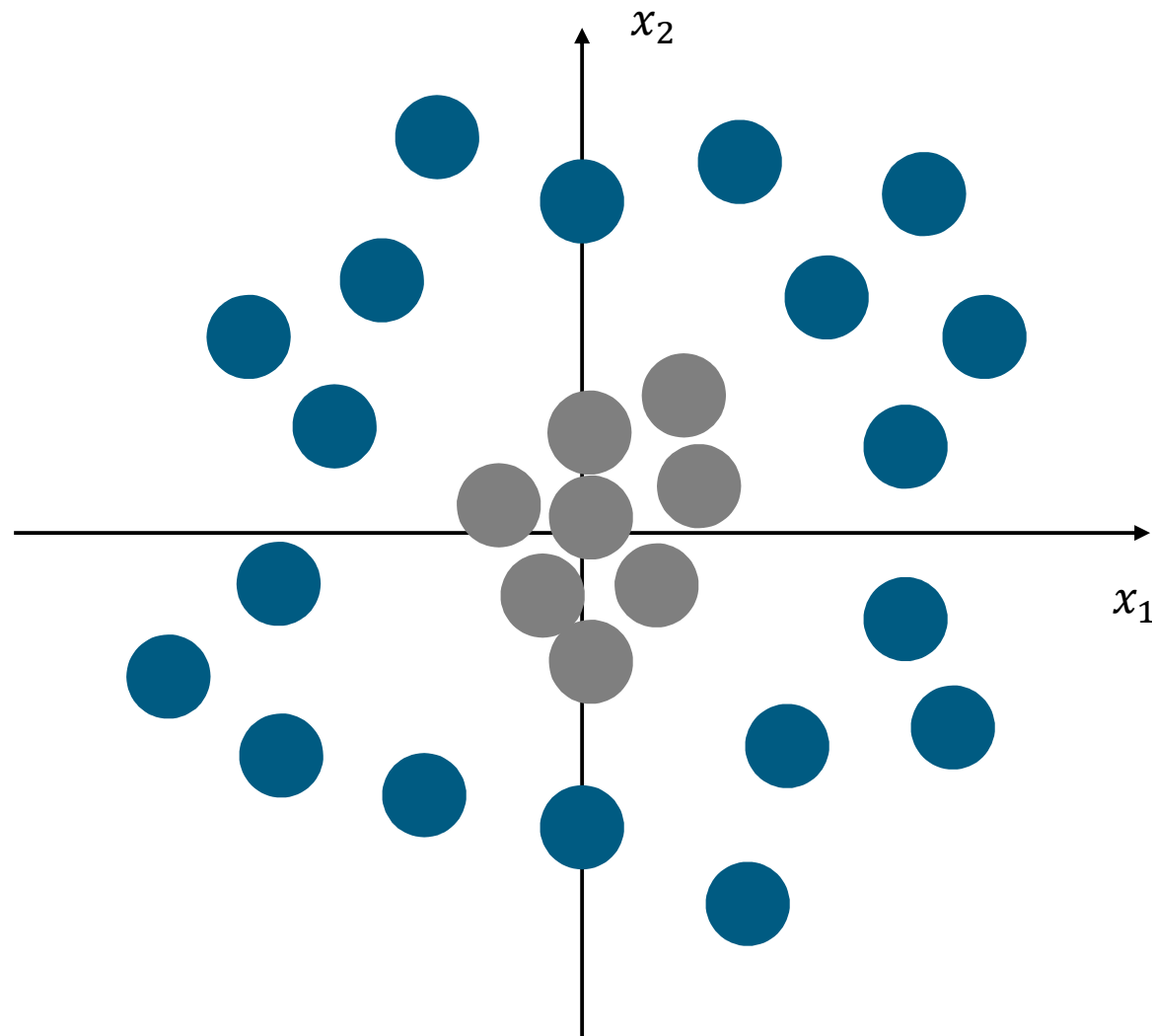
If data is linearly separable  
SVM finds best separator

How about not linearly  
separable data?

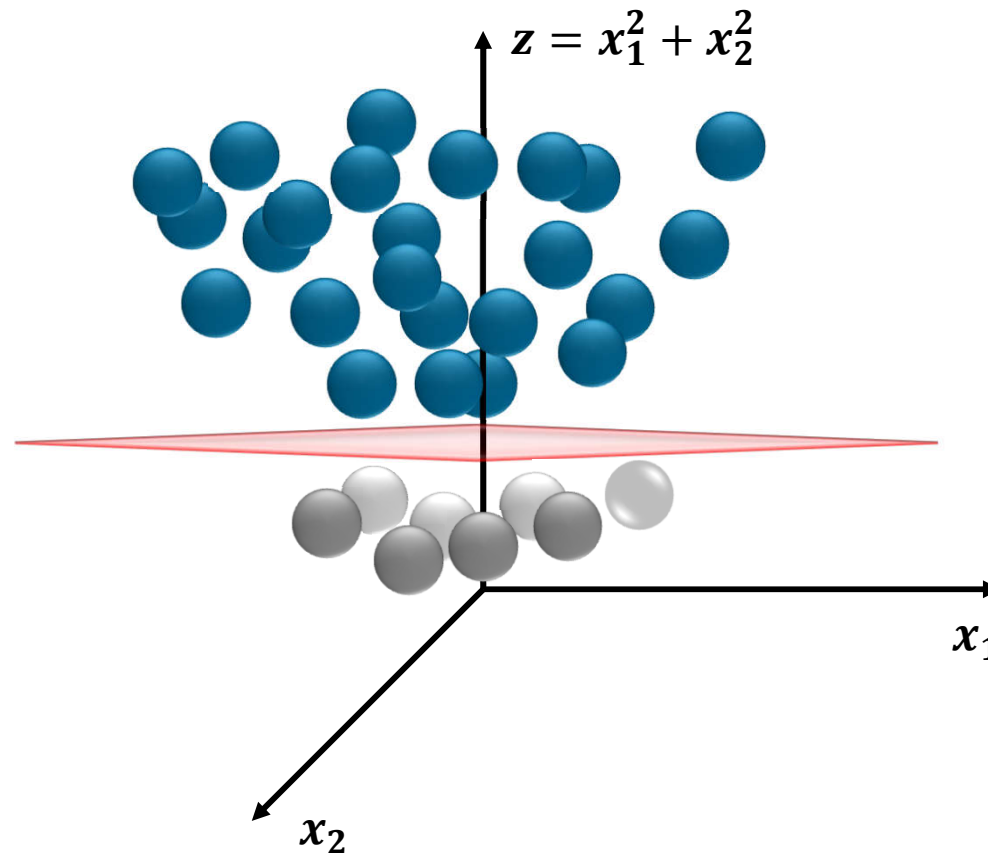
# Classification problem: not linearly separable



# Classification problem: not linearly separable



# Classification problem: not linearly separable



Low dimension  
Not linearly separable

Kernel trick  
→

High dimensional space  
Linearly separable

# Support Vector Machine

If there are **N** ( $N > 2$ ) classes:

**‘one-against-one’** approach:  $N(N-1)/2$  classifiers will be constructed and each one is trained on data from two classes. To predict, each classification gives one vote to the winning class and the point is labeled with the class having most votes.

**‘one-against-all’** approach:  $k$  SVM models will be constructed, where  $k$  is the number of classes. The  $m^{\text{th}}$  SVM is trained with all of the examples, in the  $m^{\text{th}}$  class with positive label, and all other examples with negative labels. To predict, choose the class which has the largest value of  $(\vec{w}^T \vec{x} + b)$ .

# Support Vector Machines

are based on

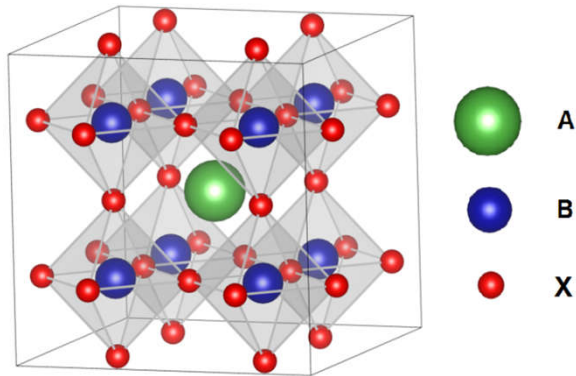
- Dot product of separating hyperplanes
- Hard margin and soft margin classification
- Kernel trick to higher dimension

Pros & Cons:

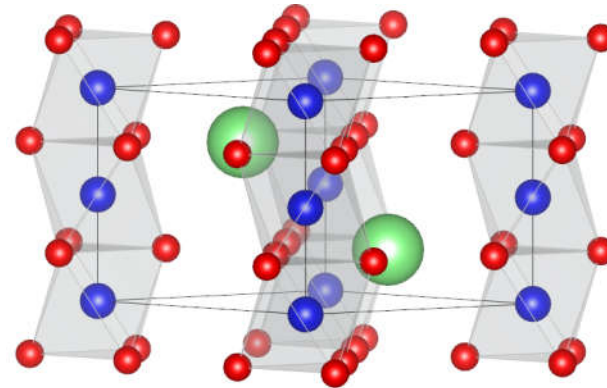
- Kernel Trick is efficient in separating classes if problem is not too complex
- Kernel Trick possibly increases error
- require larger data sets for complex kernels



## Example: Perovskite or BaNiO<sub>3</sub>-type structure?



ideal cubic perovskite with  
corner-shared BX<sub>6</sub> octahedral



BaNiO<sub>3</sub> structure with face-shared BX<sub>6</sub> octahedral

### Classification:

- ABX<sub>3</sub> with ideal cubic perovskite structure
- BaNiO<sub>3</sub> structure

## Example: Perovskite or BaNiO<sub>3</sub>-type structure?

**Feature  $\vec{x}$ :** ( $R_A, R_B, X_A, X_B$  and  $N_d$ )

$R_A$  and  $R_B$ : ionic radii of A and B in  $ABCl_3$

$X_A$  and  $X_B$ : electroegativity of A and B

$N_d$ : the number of d electrons in the unfilled shell of d electrons of B ions

**Two classes:** perovskite structure and BaNiO<sub>3</sub>-type structure

Data set: 23 samples

Training data: 22 samples

Testing data: 1 sample

The criterion for the formation of BaNiO<sub>3</sub>- type structure

$$4.52R_B - 1.83R_A + 2.23X_A - 0.142X_B - 4.10N_d + 0.589 < 0$$

# How to communicate classification?

Example: check ultrasonic reflection  
to determine 'damaged' or 'not damaged'

Predicted state	Actual state		
		damaged	not damaged
	damaged	True Positive TP	False Positive FP
	not damaged	False Negative FN	True Negative TN

4 individual values: which to optimize

# What is a good classifier value?

Sensitivity/Recall/Hit Rate/True Positive Rate

$$TPR = \frac{TP}{P} = \frac{TP}{TP + FN}$$

Specificity/Selectivity/True Negative Rate

$$TNR = \frac{TN}{N} = \frac{TN}{TN + FP}$$

Precision/Positive Predictive Value

$$PPV = \frac{TP}{TP + FP}$$

Fall-Out/False Positive Rate

$$FPR = \frac{FP}{N} = \frac{FP}{FP + TN}$$

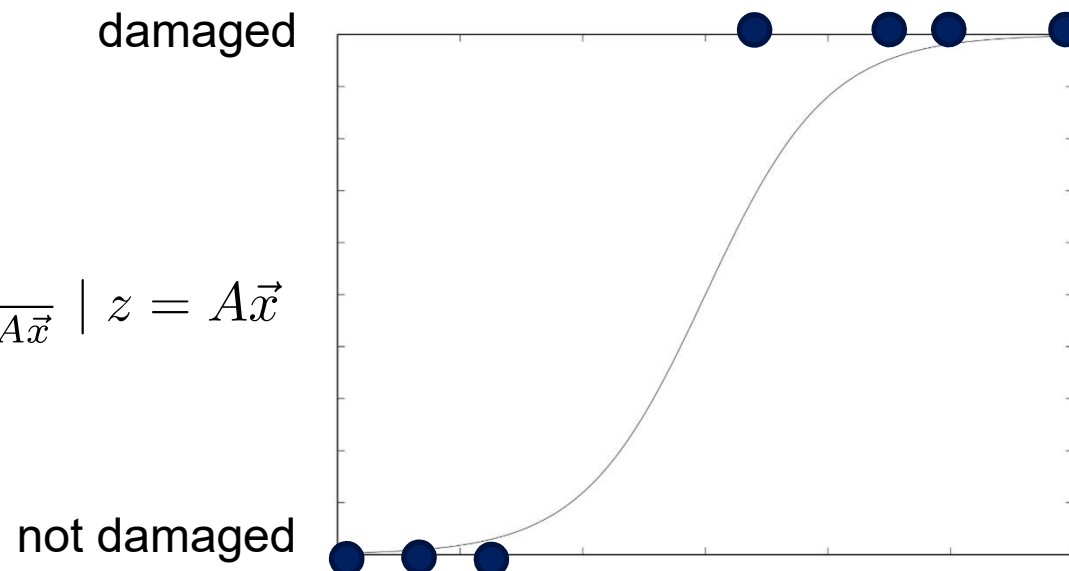
	damaged	not damaged
damaged	True Positive TP	False Positive FP
not damaged	False Negative FN	True Negative TN

# Logistic Regression classification

Given set of inputs  $X$ , want to assign them to either class 0 or class 1

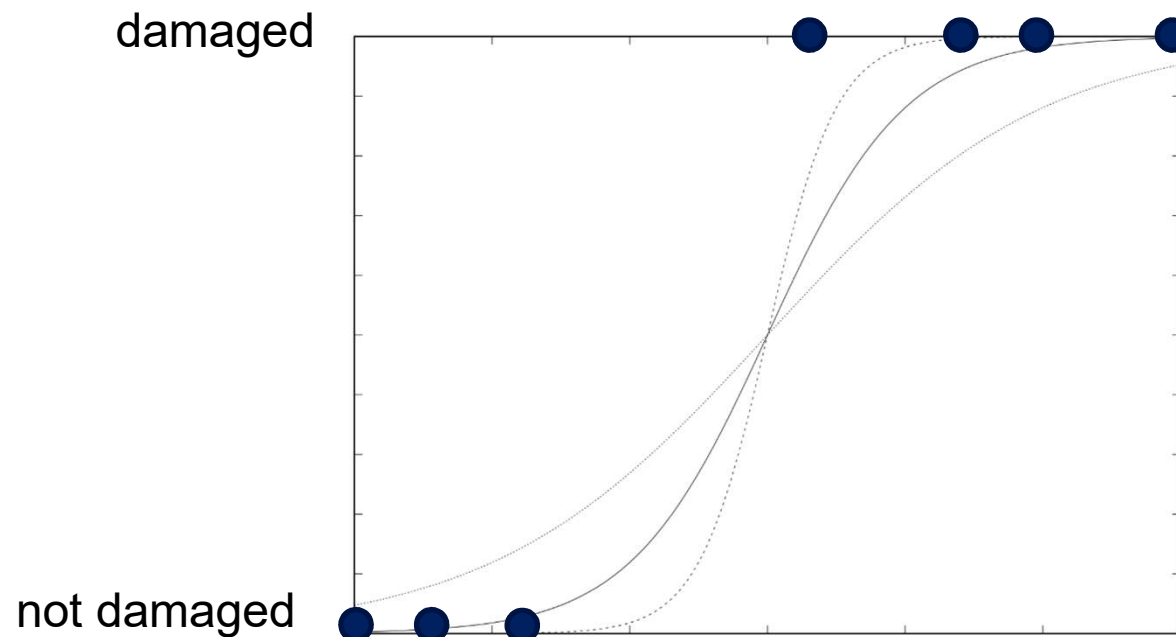
Logistic Regression simulates probability that each data point belongs either to class 0 or 1

$$\text{sig}(z) = \frac{1}{1 + e^{-A\vec{x}}} \mid z = A\vec{x}$$



# Logistic Regression: Maximum Likelihood

- Calculate likelihood for each datapoint to be described by the sigmoid, take product of likelihoods for all dps
- Optimise weights such that maximum value for the product of likelihoods of all dps is reached



# What makes a good (binary) classifier?

Visualisation depends on the symmetry of your dataset:

Symmetric distribution of positives and negatives:

Receiver Operating Characteristic (ROC)

- Visualizes Trade-Off between TPR and FPR

- Area under ROC (AUROC) is scalar measure for comparison of binary classifiers

Asymmetric distribution of positives and negatives:

Precision-Recall Curve (PR)

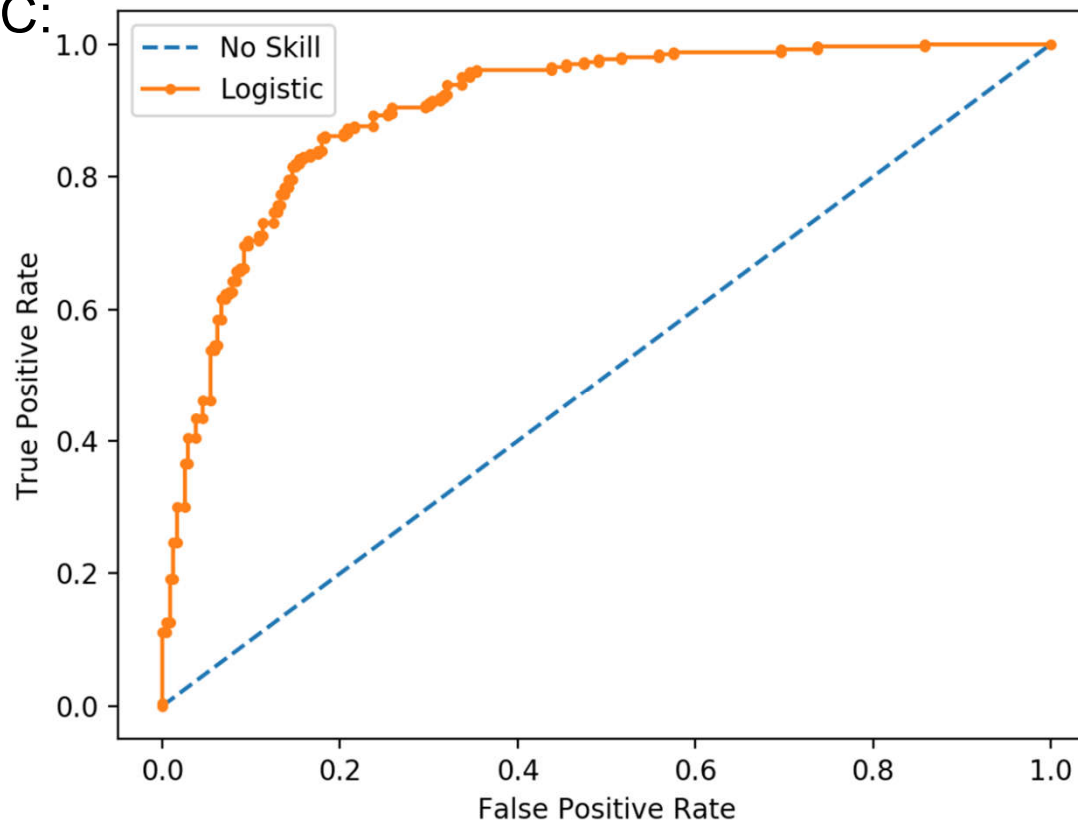
- Visualizes Trade-off between high Precision and high

Recall

- better suited than ROC for asymmetric data since true negatives (TN), the majority population, are ignored

# What makes a good (binary) classifier?

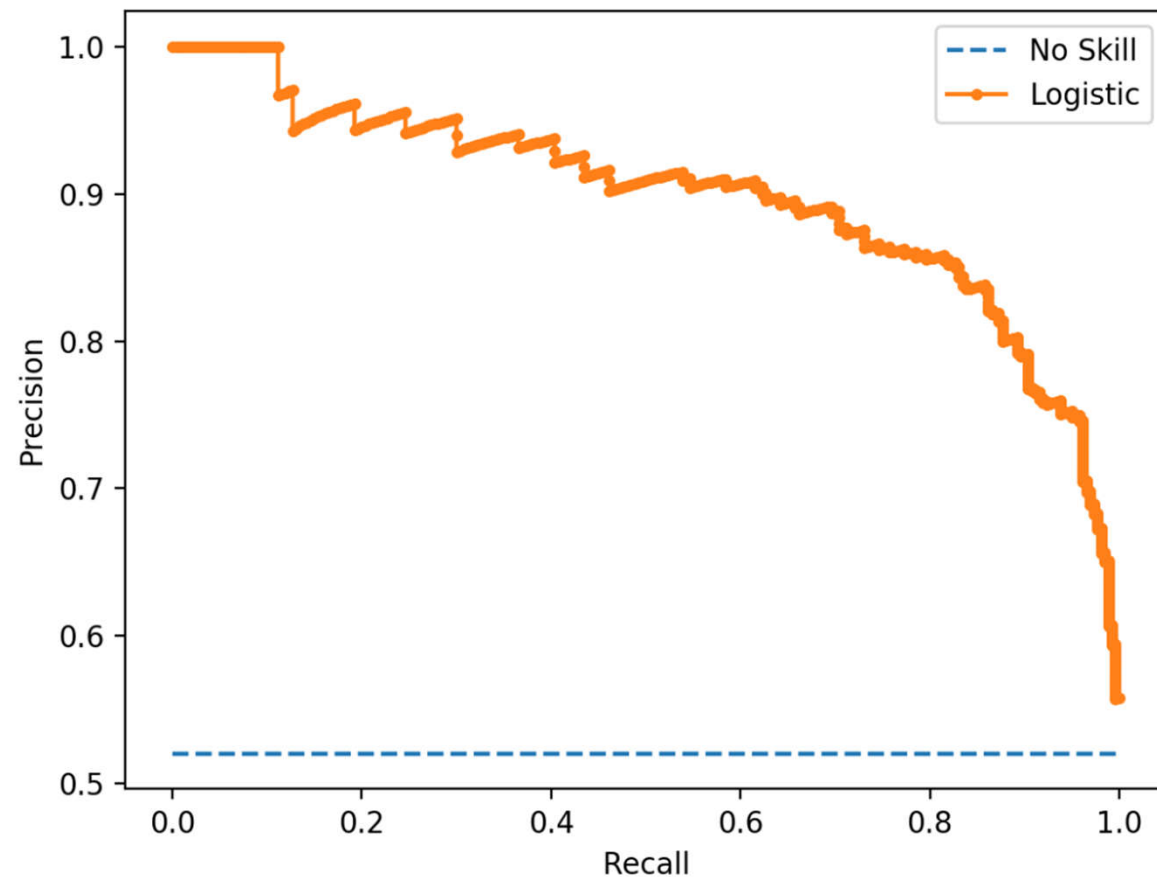
(AU)ROC:





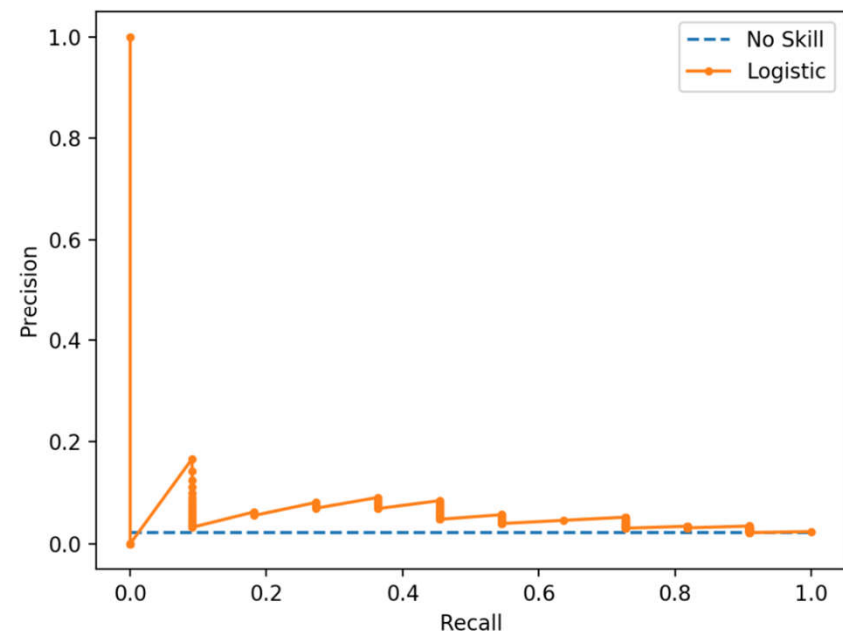
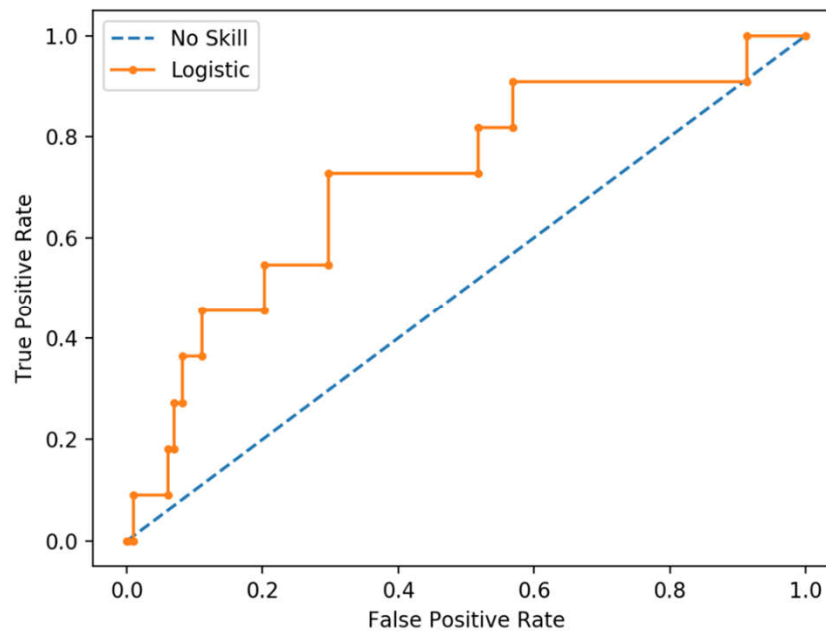
# What makes a good (binary) classifier?

PR-Curve



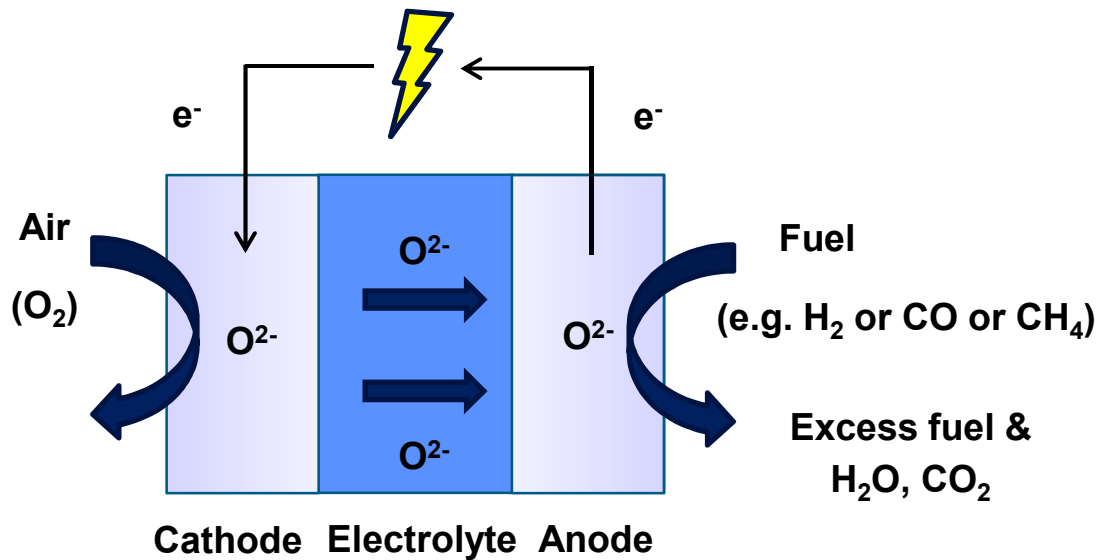
# What makes a good (binary) classifier?

ROC vs PR for samples with 90% negatives:

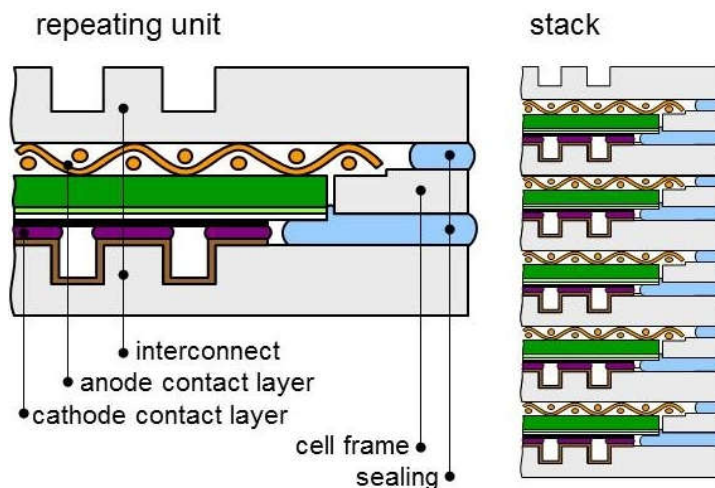


High fraction of correctly classified negatives can give optimistic impression of skill of binary classifier

# Our exercise: Solid oxide fuel cell (SOFC)



Clean “green” energy:  
produce energy from  
hydrogen or methanol

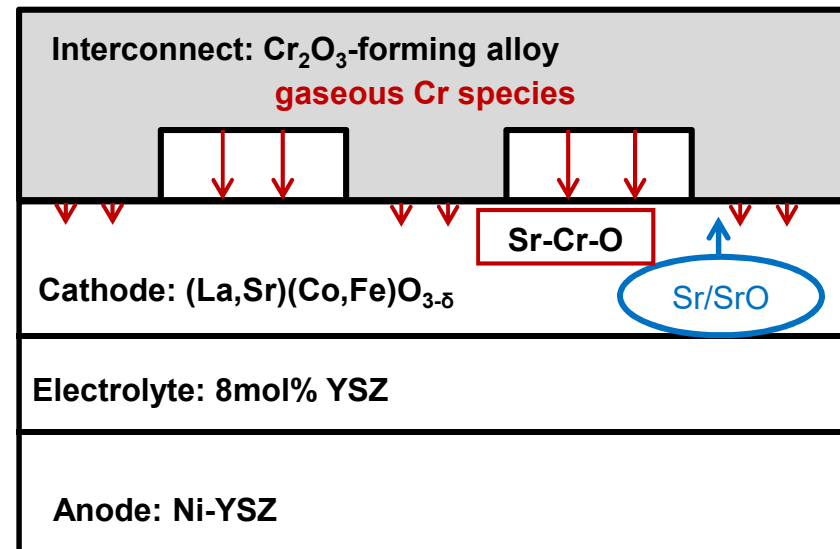
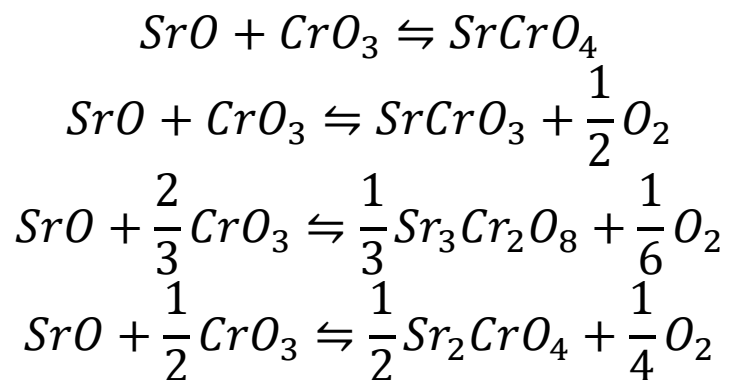


Use stacks of SOFC  
increase voltage  
use steel to connect

# Our exercise: Solid oxide fuel cell (SOFC)

During operation:

- $\text{Cr}_2\text{O}_3$  containing oxide scale forms on steel  
evaporation of gaseous Cr ( $\text{CrO}_3$  or  $\text{CrO}_2(\text{OH})_2$ )
- Sr is reactive element in the cathode  
 $\text{Sr} \rightarrow \text{SrO}$  which segregates out of cathode
- Reaction of SrO & Cr species  
secondary phases that block oxygen reduction / functionality



# Our exercise: Solid oxide fuel cell (SOFC)

CSV file with data

Response/Target: chemical component  
last column

Parameters first columns:

- Partial pressure of  $\text{CrO}_3$  ( $p_{\text{CrO}_3}$ )
- local oxygen partial pressure ( $p_{\text{O}_2}$ )