

# AI-hw4

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## 5.8

5.8 Consider the two-player game described in Figure 5.17. a. Draw the complete game tree, using the following conventions: - Write each state as  $(s_A, s_B)$ , where  $s_A$  and  $s_B$  denote the token locations. - Put each terminal state in a square box and write its game value in a circle. - Put loop states (states that already appear on the path to the root) in double square boxes. Since their value is unclear, annotate each with a "?" in a circle. b. Now mark each node with its backed-up minimax value (also in a circle). Explain how you handled the "?" values and why. c. Explain why the standard minimax algorithm would fail on this game tree and briefly sketch how you might fix it, drawing on your answer to (b). Does your modified algorithm give optimal decisions for all games with loops? d. This 4-square game can be generalized to  $n$  squares for any  $n > 2$ . Prove that  $A$  wins if  $n$  is even and loses if  $n$  is odd.

### Part (a): Complete Game Tree

1. Initial state:  $(1, 2)$
  2. Player A moves to 2:  $(2, 2)$
  3. Player B can only move back to 1:  $(2, 1)$
  4. Player A moves to 3:  $(3, 1)$
  5. Player B moves to 2:  $(3, 2)$
  6. Player A jumps to 1:  $(1, 2)$  – a loop state.
  7. Player A moves to 4:  $(4, 2)$  – a terminal state, A wins (+1).
  8. If A jumps back to 1 initially:  $(1, 2)$  – another loop state.
- Draw each non-terminal state as  $(s_A, s_B)$ .
  - Terminal states: Put in a square box, with the value (+1 or -1) in a circle.
  - Loop states: Double square boxes with a "?" in a circle.

### Part (b): Minimax Values

- For terminal states, the value is the result of the game (+1 for A win, -1 for B win).
- For non-terminal states, consider the best achievable outcome for the player whose turn it is, assuming the opponent plays optimally.
- Loop states ("?",) are problematic because they can lead to infinite loops. For this exercise, a heuristic approach could be to assign a value based on who benefits from the loop or to enforce a rule such as a draw after a certain number of loops.

## Part (c): Standard Minimax Algorithm Issue and Fix

The standard minimax algorithm fails because of the loops, potentially causing an infinite recursion. The modified algorithm could include:

- A visitation count to detect loops.
- Assign a value to loop states, possibly a draw (0) or based on which player the loop favors.
- Use a depth limit to force a decision after a certain number of moves.

## Part (d): Generalization to (n) Squares

**Proof:**

- If  $n$  is even, A wins:
  - A always makes the last move to  $n$ , since both players move alternately.
- If  $n$  is odd, A loses:
  - B always makes the last move to  $n$ , as A starts but there is an odd number of moves to make.

For an even  $n$ , A controls the last move, and for an odd  $n$ , B controls the last move, given optimal play from both sides.

## 5.9

5.9 This problem exercises the basic concepts of game playing, using tic-tac-toe (noughts and crosses) as an example. We define  $X_n$  as the number of rows, columns, or diagonals with exactly  $nX$ 's and no  $O$ 's. Similarly,  $O_n$  is the number of rows, columns, or diagonals with just  $nO$ 's. The utility function assigns +1 to any position with  $X_3 = 1$  and -1 to any position with  $O_3 = 1$ . All other terminal positions have utility 0. For nonterminal positions, we use a

linear evaluation function defined as

$$\text{Eval}(s) = 3X_2(s) + X_1(s) - (3O_2(s) + O_1(s)).$$

- a. Approximately how many possible games of tic-tac-toe are there?
- b. Show the whole game tree starting from an empty board down to depth 2 (i.e., one  $X$  and one  $O$  on the board), taking symmetry into account.
- c. Mark on your tree the evaluations of all the positions at depth 2.
- d. Using the minimax algorithm, mark on your tree the backed-up values for the positions at depths 1 and 0, and use those values to choose the best starting move.
- e. Circle the nodes at depth 2 that would not be evaluated if alpha-beta pruning were applied, assuming the nodes are generated in the optimal order for alpha-beta pruning.

## Part (a): Number of Possible Games

For tic-tac-toe, there are approximately 255,168 possible game sequences considering all possible moves and turn orders, without accounting for symmetry or early wins. Accounting for these, there are about 26,830 unique positions.

## Part (b): Game Tree to Depth 2

- **Depth 0:** Empty board.
- **Depth 1:** 'X' moves in the center or a corner.
- **Depth 2:** 'O' responds:
  - 'X' in center: 'O' in a corner or on an edge.
  - 'X' in a corner: 'O' in the center, adjacent edge, or opposite corner.

## Part (c): Evaluations at Depth 2

- **X in Center, O in Corner/Edge:**
  - **Eval(s):**  $3 \times 0 + 4 - (3 \times 0 + 4) = 0$
- **X in Corner, O in Center/Adjacent Edge/Opposite Corner:**
  - **Eval(s):** 0 (Similar to the above scenario, no immediate threats from either player)

## Part (d): Minimax Values

- **Depth 1 (X to move):** Choose the move leading to the highest evaluation.

- **Depth 0** (0 to move): Choose the move leading to the lowest maximum score from depth 1.

## Part (e): Alpha-Beta Pruning

Assuming optimal order of node generation, nodes at depth 2 that do not improve upon previously discovered scores can be pruned, depending on the actual node values and sequence of moves.

### 5.13

5.13 Develop a formal proof of correctness for alpha-beta pruning. To do this, consider the situation shown in Figure 5.18. The question is whether to prune node  $n_j$ , which is a maxnode and a descendant of node  $n_1$ . The basic idea is to prune it if and only if the minimax value of  $n_1$  can be shown to be independent of the value of  $n_j$ .

> > a. Node  $n_1$  takes on the minimum value among its children:  $n_1 = \min(n_2, n_{21}, \dots, n_{2b_2})$ . Find a similar expression for  $n_2$  and hence an expression for  $n_1$  in terms of  $n_j$ .

> > b. Let  $l_i$  be the minimum (or maximum) value of the nodes to the left of node  $n_i$  at depth  $i$ , whose minimax value is already known. Similarly, let  $r_i$  be the minimum (or maximum) value of the unexplored nodes to the right of  $n_i$  at depth  $i$ . Rewrite your expression for  $n_1$  in terms of the  $l_i$  and  $r_i$  values.

> > c. Now reformulate the expression to show that in order to affect  $n_1$ ,  $n_j$  must not exceed a certain bound derived from the  $l_i$  values.

> > d. Repeat the process for the case where  $n_j$  is a min-node.

### Part (a): Expression for $n_1$ in Terms of $n_j$

- Node  $n_1$  takes the minimum value among its children.
- Node  $n_2$  is a max-node and will take on the maximum value of its children:  

$$n_2 = \max(n_{21}, n_{22}, \dots, n_j, \dots, n_{2b_2}).$$
- Therefore,  $n_1$  can be expressed as:  

$$n_1 = \min(\max(n_{21}, n_{22}, \dots, n_j, \dots, n_{2b_2}), n_{11}, \dots, n_{1b_1}).$$

### Part (b): Expression for $n_1$ in Terms of $l_i$ and $r_i$

- Let  $l_2$  be the maximum value among the nodes to the left of  $n_j$  at depth 2, whose minimax value is already known.
- Let  $r_2$  be the maximum value among the unexplored nodes to the right of  $n_j$  at depth 2.
- Rewrite  $n_1$  as:  $n_1 = \min(\max(l_2, n_j, r_2), l_1)$ , where  $l_1$  is the minimum value of nodes to the left of  $n_1$  at depth 1 that are already evaluated.

## Part (c): Bound for $n_j$ to Affect $n_1$

- For  $n_j$  to affect  $n_1$ , it must be such that  $\max(l_2, n_j, r_2)$  is lower than the next best alternative (here represented as  $l_1$ ). This is critical because  $n_1$  is taking the minimum of these maximums.
- Therefore,  $n_j$  can only affect  $n_1$  if  $n_j < l_1$ . Any value for  $n_j$  greater than or equal to  $l_1$  would make  $\max(l_2, n_j, r_2) \geq l_1$ , which would not be the minimum value influencing  $n_1$ .

## Part (d): Case Where $n_j$ is a Min-Node

- When  $n_j$  is a min-node, the expression for  $n_2$  becomes:  
 $n_2 = \min(n_{21}, \dots, n_j, \dots)$ , which means that  $n_2$  is now a minimum among its children.
- $n_j$  can only affect the value of  $n_1$  if  $n_j$  is greater than the known maximums of the nodes to the left of  $n_2$  at depth 2, denoted as  $l_2$ . This is because  $n_1$  will be the minimum of these values and  $n_2$ 's value.
- Specifically,  $n_j$  can influence  $n_1$  if  $n_j > l_2$ , making it a potentially lower value than what  $l_2$  can offer, thus potentially lowering  $n_1$  if  $n_2$  influences  $n_1$ 's value.