SQL Server Common Table Expressions

STEVE STEDMAN

EVERYTHING YOU EVER WANTED TO KNOW ABOUT COMMON TABLE EXPRESSIONS



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About Steve Stedman

- DBA/Consultant/Trainer/Speaker/Writer
 - o Been using SQL Server since 1990 (SQL Server 1.0 for OS/2)
 - Taught SQL Server classes at WWU
 - SQL Server consultant
- Developer of the Database Health Project
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Common Table Expressions Book

- Published May 2013
- Available at <u>Amazon.com</u> and at <u>Joes2Pros.com</u>
- Printed and Kindle are both available now

SQL Server Common Table Expressions

A Joes 2 Pros[®] T-SQL Tutorial on Everything CTE including Performance, Recursion, Nesting, with Functions, and the use of Multiple CTEs together.



Steve Stedman

Rick A. Morelan, Tony Smithlin, Sandra Howard

Prerequisites

- To get the most value out of this presentation you should:
 - Be familiar with TSQL and able to write queries.
 - Have experience with derived table queries (subqueries)
 - Understand execution plans

Presentation Overview - CTE

- 1. What is a Common Table Expression
- 2. Simple CTE
- 3. CTE instead of a Derived Table
- 4. Recursive CTE
- 5. Multiple CTEs in a Query
- 6. CTE Common Uses
- 7. Manipulating Data with a CTE
- 8. CTE for Math Geeks

1. What is a Common Table Expression?

- A type of a virtual table
- Similar to the ease of a temporary table
- Sort of like a derived table

- Like a temporary named result set
- Acts like a temporary view, or a run time view

Availability of CTEs

• TRANSACT SQL feature that I wish I had learned about 8 years ago, CTE's were introduced in SQL Server 2005

 All versions of SQL Server since SQL 2005 and all variations of SQL Server support CTEs

CTEs are also available in SQL Azure.

Why Use Common Table Expressions?

- Simplify your query test one part at a time
- Recursion
 - Computer Science: When a function calls itself
 - SQL Server: When a query calls itself
- Make derived table queries more readable
- Alternative to a temp table or a table variable

CTE Syntax - WITH

- Queries start with ;WITH not SELECT
- Can be confusing if you are assuming that any query to select data would start with a SELECT

- The scope of the CTE is confined to a single query
- A CTE just seems a little weird, until you master the syntax

;WITH

;WITH expression_name

;WITH expression_name [(column_name[,...n])]

;WITH expression_name [(column_name[,...n])]
AS

```
;WITH expression_name [(column_name[,...n])]
AS
(CTE_query_definition)
```

```
;WITH expression_name [(column_name[,...n])]
AS
(CTE_query_definition)

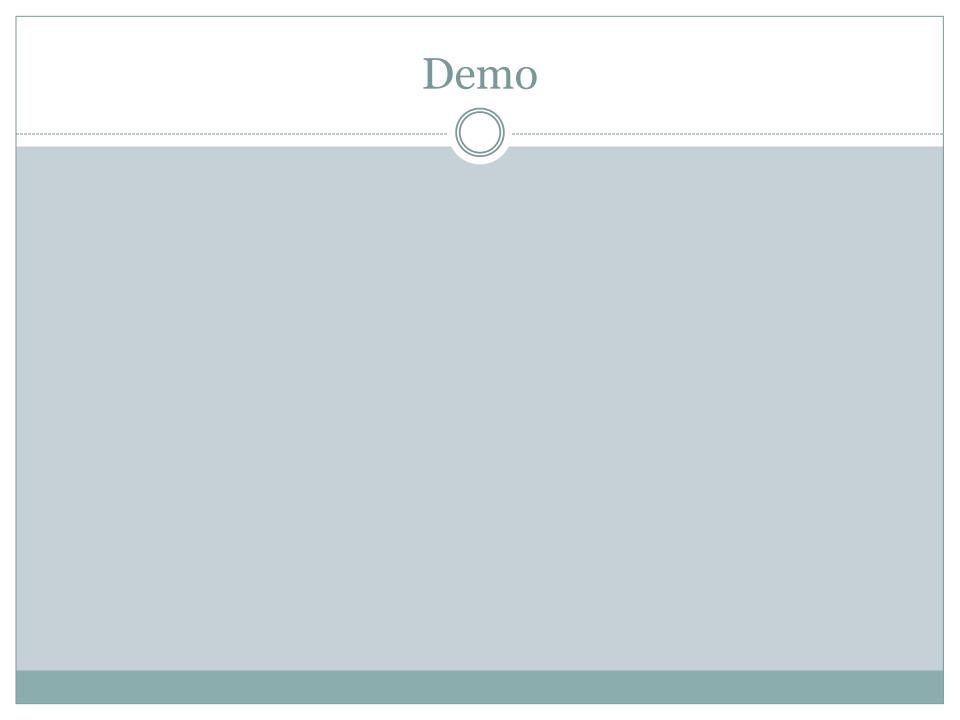
SELECT < column_list >
FROM expression_name;
```

;WITH departmentsCTE

;WITH departmentsCTE (id, department, parent)

```
;WITH departmentsCTE (id, department, parent)
AS
(
SELECT id, department, parent
FROM Departments
)
```

```
;WITH departmentsCTE (id, department, parent)
AS
SELECT id, department, parent
  FROM Departments
SELECT*
 FROM departmentsCTE;
```



Reminder

• If a CTE is not the first statement in a batch it must be proceeded with a semicolon

3. CTE Instead of a Derived Table

- Simplifies the query allows for clean code
- Does not improve the performance
- More value for large derived table queries in that the TSQL is cleaner and easier to read and understand
- Eliminates accidents by duplicating derived table queries TSQL code

Derived Table Without a CTE

SELECT q1.department, q2.department
FROM (SELECT id, department, parent
FROM Departments) as q1
INNER JOIN (SELECT id, department, parent
FROM Departments) as q2

ON q1.id = q2.parent WHERE q1.parent is null;

Steps to Convert a Derived Table to a CTE

- 1. Find the first occurrence of the derived table query to be broken out. Create a name for it and add "CTE" to the name.
- 2. Copy the derived table definition, including the parentheses, and leave the new name as the placeholder.
- 3. Paste the query, copied earlier, above the SELECT statement.
- 4. At the top of the query add the CTE declaration using the same name from step 1.
- 5. Find all other occurrences of the same derived table query and replace them with the CTE name.
- 6. Clean up the formatting and test the query.

CTE for Derived Table Re-use

;WITH deptCTE(id, department, parent) AS (SELECT id, department, parent FROM Departments)

SELECT q1.department, q2.department

FROM deptCTE q1

INNER JOIN deptCTE q2 on q1.id = q2.parent

WHERE q1.parent is null;

CTE Instead of a Derived Table Summary

- Most derived tables can be easily converted to a CTE
- Copy and paste errors can be reduced by using a CTE
- Using a CTE doesn't improve the performance over a similar query written with derived tables
- For a CTE that is referenced multiple times the CTE query is not reused, it is executed multiple times

4. Recursive CTE

Considered recursive when the CTE references itself

- Recursion stops
 - When the second SELECT produces no results
 - Or specify MAXRECURSION
- Uses
 - Hierarchical listing of categories
 - Recursive calculations
 - Much, much more...

Recursive Terminology

- Anchor Query
 - Start the recursion
- Recursive Query
 - The part that repeats
- MAXRECURSION
 - The number of times to repeat the recursive query
 - O Default is 100
 - MAXRECURSION of o implies no maximum

Recursion Overview

Sum the numbers from 1 to 10 without recursion

$$55 = 10 + 9 + 8 + 7 + 6 + 5 + 4 + 3 + 2 + 1$$

Sum the numbers from 1 to 10 recursively

Eventually we get to:

$$55 = 10 + (9 + (8 + (7 + (6 + (5 + (4 + (3 + (2 + 1))))))))$$

Example of How a Recursive CTE Works

- 1. Select some starting set of data from table A.
- 2. Join that starting set of data to table A.
- 3. For the results from step 2, join that to Table A.
- 4. Repeat until there are no more items produced by the join.

;WITH DepartmentCTE(DeptId, Department, Parent, Level) AS

Step 1. Declare the CTE and Columns

;WITH DepartmentCTE(DeptId, Department, Parent, Level) AS
(SELECT id as DeptId, Department, parent, o as Level
FROM Departments
WHERE parent is NULL

Step 2 – Add the Anchor Query

;WITH DepartmentCTE(DeptId, Department, Parent, Level) AS

(SELECT id as DeptId, Department, parent, o as Level

FROM Departments

WHERE parent is NULL

UNION ALL

Step 3 – Add the UNION ALL to connect to the recursive query

;WITH DepartmentCTE(DeptId, Department, Parent, Level) AS
(SELECT id as DeptId, Department, parent, o as Level
 FROM Departments
WHERE parent is NULL
UNION ALL -- and now for the recursive part
SELECT d.id as DeptId, d.Department, d.parent,
 DepartmentCTE.Level + 1 as Level
FROM Departments d

ON DepartmentCTE.DeptId = d.parent)

Step 4 – Add the recursive Query

INNER JOIN DepartmentCTE

```
;WITH DepartmentCTE(DeptId, Department, Parent, Level) AS
(SELECT id as DeptId, Department, parent, o as Level
  FROM Departments
WHERE parent is NULL
 UNION ALL -- and now for the recursive part
SELECT d.id as DeptId, d.Department, d.parent,
        DepartmentCTE.Level + 1 as Level
  FROM Departments d
 INNER JOIN DepartmentCTE
     ON DepartmentCTE.DeptId = d.parent)
SELECT*
FROM DepartmentCTE
ORDER BY parent;
```

Recursive CTE with Tree Path

- Tree Path shows the department and all parent departments.
- Simple to do with a recursive CTE

Level	TreePath
0	Camping
1	Camping -> Backpacks
1	Camping -> Cooking
1	Camping -> Sleeping Bags
1	Camping -> Tents
2	Camping -> Tents -> 1 Person
2	Camping -> Tents -> 2 Person
3	Camping -> Tents -> 2 Person -> Backpacking
3	Camping -> Tents -> 2 Person -> Family Camping
3	Camping -> Tents -> 2 Person -> Mountaineering
4	Camping -> Tents -> 2 Person -> Mountaineering -> Lightweight
4	Camping -> Tents -> 2 Person -> Mountaineering -> Standard
4	Camping -> Tents -> 2 Person -> Mountaineering -> Ultra-lightweight
2	Camping -> Tents -> 3 Person
2	Camping -> Tents -> 4 Person
0	Clearance
0	Cycle
1	Cycle -> Bikes
1	Cycle -> Helmets

;WITH DepartmentCTE (DeptId, Department, Parent, Level, TreePath) AS

Step 1. Declare the CTE and Columns

;WITH DepartmentCTE(DeptId, Department, Parent, Level, **TreePath**)
AS

(SELECT id as DeptId, Department, parent, o as Level, cast(Department as varchar(1024)) as TreePath FROM Departments WHERE parent is NULL

Step 2 – Add the Anchor Query

;WITH DepartmentCTE(DeptId, Department, Parent, Level, TreePath) AS (SELECT id as DeptId, Department, parent, o as Level, cast(Department as varchar(1024)) as TreePath

FROM Departments

WHERE parent is NULL

UNION ALL -- and now for the recursive part

Step 3 – Add the UNION ALL to connect to the recursive query

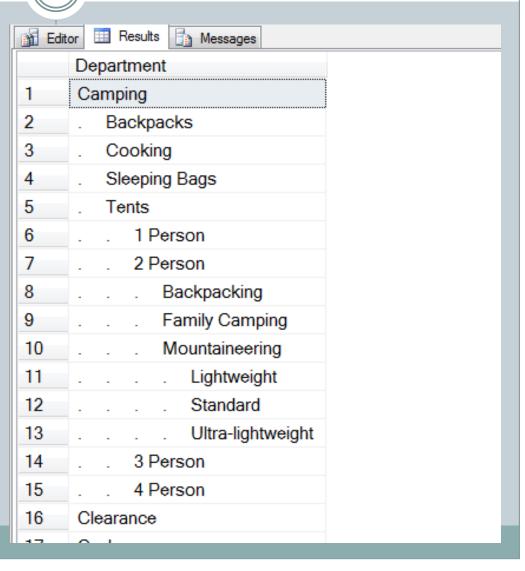
;WITH DepartmentCTE(DeptId, Department, Parent, Level, TreePath) AS (SELECT id as DeptId, Department, parent, o as Level, cast(Department as varchar(1024)) as TreePath FROM Departments WHERE parent is NULL UNION ALL -- and now for the recursive part SELECT d.id as DeptId, d.Department, d.parent, **DepartmentCTE.Level + 1 as Level,** cast(DepartmentCTE.TreePath + ' -> ' + d.department as varchar(1024)) as TreePath FROM Departments d **INNER JOIN DepartmentCTE ON DepartmentCTE.DeptId = d.parent)**

Step 4 – Add the recursive Query

```
;WITH DepartmentCTE(DeptId, Department, Parent, Level, TreePath) AS
(SELECT id as DeptId, Department, parent, o as Level,
          cast(Department as varchar(1024)) as TreePath
  FROM Departments
 WHERE parent is NULL
 UNION ALL -- and now for the recursive part
 SELECT d.id as DeptId, d.Department, d.parent,
         DepartmentCTE.Level + 1 as Level,
         cast(DepartmentCTE.TreePath + ' -> ' +
              d.department as varchar(1024)) as TreePath
 FROM Departments d
 INNER JOIN DepartmentCTE
     ON DepartmentCTE.DeptId = d.parent)
SELECT *
FROM DepartmentCTE
ORDER BY TreePath;
```

Recursive CTE with Indentation

- Simple add on to Tree Path query
- Still using Tree Path for sort order
- Using the SQL Server REPLICATE function to indent the category.



Recursive CTE with Indentation

```
;WITH DepartmentCTE(DeptId, Department, Parent, Level, TreePath) AS
(SELECT id as DeptId, Department, parent, o as Level,
        cast(Department as varchar(1024)) as TreePath
 FROM Departments
 WHERE parent is NULL
 UNION ALL -- and now for the recursive part
SELECT d.id as DeptId, d.Department, d.parent,
    DepartmentCTE.Level + 1 as Level,
    cast(DepartmentCTE.TreePath + ' -> ' +
        d.department as varchar(1024)) as TreePath
 FROM Departments d
 INNER JOIN DepartmentCTE ON DepartmentCTE.DeptId = d.parent)
SELECT REPLICATE('. ', Level) + Department
FROM DepartmentCTE
ORDER BY TreePath;
```

Recursive CTE Performance

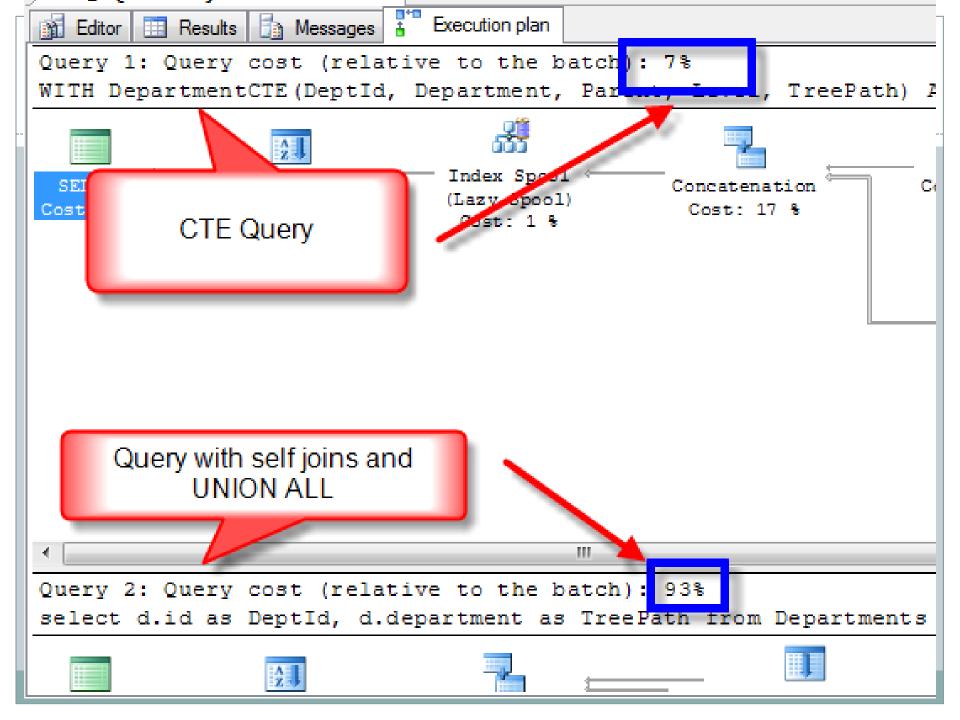
- Using a CTE for re-use of a derived table does not improve performance.
- CTE Compared to a UNION ALL self join to create 6 levels in the hierarchy has a huge performance difference, plus the CTE version is much easier to read.

Hierarchical Query without CTE

Many Self Joins with a UNION ALL

Nested Cursors

- Performance Differences
 - Sample Department query with self joins takes 13 times as long as CTE. 7% compared to 93%.



5. Multiple CTE's In A Single Query

 You can include multiple CTE's by comma seperating them:

;WITH firstCTE (query goes here),

secondCTE (second query goes here)

SELECT * FROM firstCTE
INNER JOIN secondCTE on

Steps to add a Second CTE

- 1. Add a comma at the end of the first CTE, after the closing parentheses.
- 2. After the comma, on the next line, declare the name of the new CTE.
- After the name of the new CTE add the optional columns declaration.
- 4. Add the AS keyword followed by opening and closing parentheses.
- 5. Inside of the parentheses add the new CTE query.
- 6. Call the CTE query from the outer SELECT statement.

Demo: Multiple CTE

;WITH Fnames (Name) AS
(SELECT 'John' UNION Select 'Mary' UNION Select 'Bill'),
Minitials (initial) AS
(SELECT 'A' UNION SELECT 'B' UNION SELECT 'C'),
Lnames (Name) AS
(SELECT 'Anderson' UNION Select 'Hanson' UNION Select 'Jones')

SELECT F.Name, M.initial, L.Name FROM Fnames F CROSS JOIN Lnames as L CROSS JOIN Minitials m;

Nested CTE's

Russian Dolls



• A Nested CTE query can only reference itself or CTE queries declared earlier in the query.

Nested CTE Example

```
;WITH cteo AS
(select 1 as num)
, cte1 AS
(SELECT * FROM cteo)
, cte<sub>2</sub> AS
(SELECT * FROM cte1)
```

SELECT *
FROM cte2;

6. Other Common CTE Uses

- Data paging on a search result (Chapter 7 in the CTE Book)
- Information on the dates in a year
- Creating a replacement for a Numbers table
- Breaking up or parsing strings into tables
 - Query String
 - SQL Server connect string
- Simplifying or breaking up a huge query

Data Paging

• To achieve data paging without CTE it usually involves selecting TOP x, then TOP 2x then top 3x, each time taking longer and longer to get to the data that is needed.

• Data paging can be simplified and not a challenge to create with CTE's.

 TSQL 2012 introduces the OFFSET and FETCH keywords which is easier to use than a CTE for data paging, and more efficient.

Data Paging Page 1

	TableName	ColumnName	RowNum
1	Departments	department	1
2	Departments	id	2
3	Departments	parent	3
4	filestream_tombstone_2073058421	oplsn_bOffset	4
5	filestream_tombstone_2073058421	oplsn_fseqno	5
6	filestream_tombstone_2073058421	oplsn_slotid	6
7	filestream_tombstone_2073058421	rowset_guid	7
8	filestream_tombstone_2073058421	status	8
9	filestream_tombstone_2073058421	transaction_sequence_num	9
10	filestream_tombstone_2073058421	file_id	10

Data Paging Page 2

	TableName	ColumnName	RowNum
1	filestream_tombstone_2073058421	filestream_value_name	11
2	filestream_tombstone_2073058421	column_guid	12
3	queue_messages_1977058079	conversation_handle	13
4	queue_messages_1977058079	conversation_group_id	14
5	queue_messages_1977058079	binary_message_body	15
6	queue_messages_1977058079	fragment_size	16
7	queue_messages_1977058079	fragment_bitmap	17
8	queue_messages_1977058079	message_id	18
9	queue_messages_1977058079	message_sequence_number	19
10	queue_messages_1977058079	message_type_id	20

Data Paging Page 3

	TableName	ColumnName	RowNum
1	queue_messages_1977058079	next_fragment	21
2	queue_messages_1977058079	validation	22
3	queue_messages_1977058079	status	23
4	queue_messages_1977058079	service_contract_id	24
5	queue_messages_1977058079	service_id	25
6	queue_messages_1977058079	priority	26
7	queue_messages_1977058079	queuing_order	27
8	queue_messages_2009058193	queuing_order	28
9	queue_messages_2009058193	priority	29
10	queue_messages_2009058193	service_id	30

Demo: Data Paging

```
;WITH TablesAndColumns AS (
 SELECT OBJECT_NAME(sc.object_id) AS TableName,
       name AS ColumnName,
       row number()
       OVER (ORDER BY object_name(sc.object_id))
       AS Row
FROM sys.columns sc )
SELECT *
FROM TablesAndColumns
WHERE Row BETWEEN (@pageNum - 1) * @pageSize + 1
                 AND @pageNum * @pageSize;
```

Demo: SQL Server 2012 Data Paging

SELECT OBJECT_NAME(sc.object_id) AS TableName, name AS ColumnName
FROM sys.columns sc
ORDER BY TableName
OFFSET (@pageNum - 1) * @pageSize ROWS
FETCH NEXT @pageSize ROWS ONLY;

•An alternative to CTE's if you are using SQL Server 2012

Information on the dates in a year

```
;WITH DatesCTE as (
 SELECT cast('2011-01-01' as date) as CalendarDate
  UNION ALL
 SELECT dateadd(day, 1, CalendarDate) AS CalendarDate
  FROM DatesCTE
 WHERE dateadd (day, 1, CalendarDate) < '2012-01-01'
SELECT
 CalendarDate,
 CalendarYear=year(CalendarDate),
DayOfWeek=datepart(weekday, CalendarDate)
FROM DatesCTE
OPTION (MAXRECURSION 366);
```

Creating a replacement for a Numbers table

```
;WITH NumbersCTE (N) AS
(SELECT 1
 UNION ALL
 SELECT 1 + N
  FROM NumbersCTE
WHERE N < 1000
SELECT N
 FROM NumbersCTE
OPTION (MAXRECURSION o);
```

Breaking up or parsing strings into tables

- Query String
 - Key1=Value1&Key2=Value2&Key3=Value3

- SQL Server connect string
 - o server=myserver;user id=sa;password=asdfasdfasdasdffjfjfj

Simplifying huge queries

- Whether you like it or not, eventually you will end up with a really huge query
- CTE can be used to break up the huge query into smaller components that might be easier to understand than the one huge query

7. Manipulating Data with a CTE

- Update
- Delete
- Insert

Update

• When it is run against the CTE the UPDATE changes the base tables inside of the CTE.

Update works with a single base table CTE.

• Update does work with multiple base tables as long as only one base table is being changed.

Update doesn't work if there are no base tables.

Update Example – Single Base Table CTE

```
;WITH departmentsCTE(id, department, parent) AS
SELECT id, department, parent
 FROM Departments
UPDATE DepartmentsCTE
 SET department = 'Bike Locks'
WHERE id = 11;
```

SELECT * FROM Departments;

Update Example – No Base Table CTE

```
;WITH NumbersCTE (N) AS
(SELECT 1
 UNION ALL
SELECT 1 + N FROM NumbersCTE
 WHERE N < 1000
UPDATE NumbersCte
 SET N = N + 1
OPTION (MAXRECURSION 1000);
Throws an error
```

Delete

- The CTE syntax does not allow for a DELETE statement to be used in any of the queries inside of the CTE
- DELETE statement can run in an outer query.
- The DELETE statement effects the records that were produced by the CTE
- Deleting from a CTE gets very interesting...
 - OA DELETE from the outside query of a CTE will delete from the table inside of the CTE

Delete Example

```
WITH departmentsCTE(id, department, parent) AS
SELECT id, department, parent
 FROM Departments
DELETE FROM departmentsCTE
WHERE parent = 1;
```

Where Delete Doesn't Work with a CTE

 A CTE with multiple base tables doesn't support the delete syntax.

```
;WITH CustomerCTE AS
  SELECT c.*
    FROM Customer AS c
   INNER JOIN SalesInvoice AS si
            ON si.CustomerID = c.CustomerID
   WHERE c.LastName like 'Williams')
DELETE
 FROM CustomerCTE;
 Editor Messages
   Msg 4405, Level 16, State 1, Line 1
   View or function 'CustomerCTE' is not updatable because
   the modification affects multiple base tables.
 100 % - <
```

Insert

• The insert statement can be used to insert into a CTE when the CTE references a single base table

Insert - Demo

```
;WITH departmentsCTE(id, department, parent) AS
SELECT id, department, parent
 FROM Departments
INSERT INTO DepartmentsCTE
     VALUES (99, 'xyz', 1);
```

9. CTE For Math Geeks

• CTE Fibonacci sequence

CTE Factorial

Fibonacci sequence

0, 1, 1, 2, 3, 5, 8, 13, 21, 34, 55, 89, 144 ...

• By definition, the first two numbers in the Fibonacci sequence are 0 and 1, and each subsequent number is the sum of the previous two.

Demo: Fibonacci Sequence

```
;WITH Fibonacci (PrevN, N) AS
(SELECT 0, 1
 UNION ALL
SELECT N, PrevN + N
 FROM Fibonacci
 WHERE N < 100000000)
SELECT PrevN as Fibo
 FROM Fibonacci
OPTION (MAXRECURSION o);
```

Factorial

- The *factorial* of a positive integer n, written n!, is the product of all the positive integers from 1 up to and including n
- Example:

Demo: Factorial

```
;WITH Factorial (N, Factorial) AS
(SELECT 1, cast(1 as BIGINT)
 UNION ALL
SELECT N + 1, (N + 1) * Factorial
 FROM Factorial
 WHERE N < 20
SELECT N, Factorial
 FROM Factorial
OPTION (MAXRECURSION o);
```

Frequent CTE Questions: Execution

• Does a query that JOINs a CTE to itself execute the CTE query once or twice:

• **TWICE.** To confirm write a CTE, JOIN several times, look at the execution plan.

Frequent CTE Questions: View

- How does the performance of a CTE compare to the performance of a view?
- The question assumes that we are not doing a recursive CTE, since you can't do recursion with an view.

They have similar performance.

In Review

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More Information

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CTE – Fact or Fiction

STEVE STEDMAN

DEBUNKING COMMON MYTHS ABOUT COMMON TABLE EXPRESSIONS

1. CTE Executions

As a named result set, the CTE is only run once even if it is referenced multiple times in a query.

True or False?

FALSE The CTE is executed once for EACH time that it is referenced in a query.

1. CTE Executions Explained

;WITH deptCTE(id, department, parent) AS
(SELECT id, department, parent
FROM Departments)
SELECT q1.department, q2.department
FROM deptCTE q1
INNER JOIN deptCTE q2 on q1.id = q2.parent
WHERE q1.parent is null;

 In this example the deptCTE is executed twice

2. CTEs are proprietary

CTEs are proprietary to Microsoft SQL Server.

True or False?

FALSE Common Table Expressions are supported by several major database platforms, among them PostgreSQL, DB2, Oracle and SQL Server, defined in SQL-99 spec

3. CTE and Hierarchical Queries

CTEs are a great way to create recursive hierarchical queries.

True or False?

TRUE Recursive hierarchical queries are easy to write with a CTE. CTE's save time, are easy to follow, and work great for hierarchical data.

5. Database Versions

SQL Server only supports CTE's on SQL Server Enterprise Edition 2008R2 and newer.

True or False?

FALSE Common Table Expressions have been supported since SQL Server 2005 and are available in all versions.

6. Stored Procedures and Functions

CTEs can be defined in user-defined routines, such as functions, stored procedures, triggers, or views.

True or False?

TRUE Common Table Expressions can be defined and used inside of stored procedures and functions.

7. CTEs and Nesting

CTEs can be nested and one CTE can reference an earlier CTE.

True or False?

TRUE Common Table Expressions can be nested. Just define multiple CTE's and reference an earlier CTE from a later one.

8. Indexing CTEs

Indexes can be added to CTEs to boost performance.

True or False?

FALSE A Common Table Expression is a temporary, "inline" view - you cannot add an index to a CTE.

9. VIEW vs CTE

Which performs better, a non-recursive CTE or a VIEW?

They are the same.

The big gain is the recursive CTE, which you can't achieve with a view.

10. CTE's and Data Paging

CTE's are a great way to do Data Paging for a result grid.

True or False

It Depends.....

SQL Server 2012 has the new OFFSET and FETCH clause on select statements, which is easier than CTE's. For 2005, 2008 and 2008R2 the CTE is the best option.

11. CTE's performance

Recursive CTE's perform the same as other pseudo recursive solutions?

True or False

FALSE.....

12. CTE's and TempDB

CTE's are similar to Temp Tables or Table Variables in their use of TempDB?

True or False

FALSE Temp Tables and Table Variables both use TempDB, CTE's do not.....

- See my blog posting for all the details on this one.
 - o http://stevestedman.com/?p=2053
 - It is more than we have time to prove today.

13. Data Paging

An alternative to a CTE would be to use the ROW_NUMBER function in the WHERE clause to filter the results.

True or False?

FALSE ROW_NUMBER can be used to get the current row number in the result set, but it is a windowing function, and windowing functions are not allowed to be used in the WHERE clause.

More Information

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