

Solutions to *Algebra* by Thomas W. Hungerford

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December 17, 2025



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# Prerequisites and Preliminaries

## 0.1 Logic

## 0.2 Sets and Classes

## 0.3 Functions

## 0.4 Relations and Partitions

## 0.5 Products

## 0.6 The Integers

## 0.7 The Axiom of Choice, Order, and Zorn's Lemma

**Exercise 1.** Let  $(A, \leq)$  be a partially ordered set and  $B$  a nonempty subset. A *lower bound* of  $B$  is an element  $d \in A$  such that  $d \leq b$  for every  $b \in B$ . A *greatest lower bound* (g.l.b.) of  $B$  is a lower bound  $d_0$  of  $B$  such that  $d \leq d_0$  for every other lower bound  $d$  of  $B$ . A *least upper bound* (l.u.b.) of  $B$  is an upper bound  $t_0$  of  $B$  such that  $t_0 \leq t$  for every other upper bound  $t$  of  $B$ .  $(A, \leq)$  is a *lattice* if for all  $a, b \in A$  the set  $\{a, b\}$  has both a greatest lower bound and a least upper bound.

- (a) If  $S \neq \emptyset$ , then the power set  $P(S)$  ordered by set-theoretic inclusion is a lattice, which has a unique maximal element.
- (b) Give an example of a partially ordered set which is not a lattice.
- (c) Give an example of a lattice with no maximal element and an example of a partially ordered set with two maximal elements.

*Solution.* (a) For  $X, Y \subset S$  the greatest lower bound is

$$X \cap Y.$$

The least upper bound is

$$X \cup Y.$$

Thus every pair  $X, Y$  has a g.l.b. and l.u.b., so  $(P(S), \subset)$  is a lattice.

A maximal element in  $P(S)$  is an element that is not properly contained in any other element. The whole set  $S$  is an upper bound for every subset of  $S$  and is not contained in any strictly larger subset of  $S$ , so  $S$  is a maximal element. It is unique because if  $T$  is any subset with  $U \subset T$  for all  $U \subset S$ , then in particular  $S \subset T$ , so  $T = S$ .

- (b) Take the set  $A = \{a, b\}$  with the only order relations being reflexivity:

$$a \leq a, \quad b \leq b,$$

For the pair  $a, b$  there is no lower bound other than possibly elements  $\leq a$  and  $\leq b$ ; but the only candidates are  $a$  and  $b$  themselves, and neither is  $\leq$  the other. Hence there is no greatest lower bound of  $a, b$ . (Similarly there is no least upper bound.) Therefore this poset is not a lattice.

- (c) Take the integers  $\mathbb{Z}$  with the usual order. For any  $m, n \in \mathbb{Z}$  the least upper bound is  $\max m, n$  and the greatest lower bound is  $\min m, n$ ; thus  $(\mathbb{Z}, \leq)$  is a lattice. But  $\mathbb{Z}$  has no maximal element because for every  $n \in \mathbb{Z}$  there exists  $n + 1 > n$ . So  $\mathbb{Z}$  is a lattice with no maximal element.

Let  $A = \{0, a, b\}$  and define the order by

$$0 \leq a, \quad 0 \leq b.$$

**Exercise 2.** A lattice  $(A, \leq)$  (see Exercise 1) is said to be **complete** if every nonempty subset of  $A$  has both a least upper bound and a greatest lower bound. A map of partially ordered sets  $f : A \rightarrow B$  is said to preserve order if  $a \leq a'$  in  $A$  implies  $f(a) \leq f(a')$  in  $B$ . Prove that an order-preserving map  $f$  of a complete lattice  $A$  into itself has at least one fixed element (that is, an  $a \in A$  such that  $f(a) = a$ ).

*Solution.* Let  $S = \{a \in A : f(a) \leq a\}$  be the set of all pre-fixed points of  $f$ . Since  $A$  is complete, it has a greatest element, say 1. Because  $f$  preserves order,  $f(1) \leq 1$ , so  $1 \in S$ . Thus  $S \neq \emptyset$  and, since  $A$  is complete,  $S$  has a g.l.b; call it

$$m = \inf S.$$

*First*, we show that  $f(m) \leq m$ . For every  $s \in S$  we have  $m \leq s$ , hence  $f(m) \leq f(s)$  by order preservation. Since  $s \in S$ ,  $f(s) \leq s$ , and thus  $f(m) \leq s$  for all  $s \in S$ . Hence  $f(m)$  is a lower bound of  $S$ , and by maximality of  $m$  as greatest lower bound,  $f(m) \leq m$ .

*Second*, we show that  $m \leq f(m)$ . Since  $m$  is a lower bound of  $S$  and  $f$  is order-preserving, the argument above shows that  $f(m)$  is also a lower bound of  $S$ . Therefore  $f(m) \leq s$  for all  $s \in S$ , so  $f(m)$  is a lower bound of  $S$ . Because  $m$  is the greatest lower bound, we must have  $m \leq f(m)$ .

Combining the inequalities  $f(m) \leq m$  and  $m \leq f(m)$ , we conclude that  $f(m) = m$ . Thus  $f$  has a fixed element.

**Exercise 3.** Exhibit a well ordering of the set  $\mathbb{Q}$  of rational numbers.

*Solution.* Write each rational number in  $\mathbb{Q}$  in its unique reduced form  $a/b$  with  $b > 0$  and  $\gcd(a, b) = 1$ . (Under this convention the rational 0 is represented uniquely as 0/1.)

Define a binary relation  $\leq$  on  $\mathbb{Q}$  by declaring

$$\frac{a}{b} \leq \frac{c}{d}$$

iff either

1.  $|a| + b < |c| + d$ , or
2.  $|a| + b = |c| + d$  and  $a < c$ , or
3.  $|a| + b = |c| + d$ ,  $a = c$ , and  $b \leq d$ .

Since every rational is written in the unique reduced form specified above, the quantities  $|a| + b$ ,  $a$ , and  $b$  are well defined for each rational, so  $\leq$  is well defined.

It is immediate that  $\leq$  is a total order. To see that it is a well ordering, let  $S \subseteq \mathbb{Q}$  be nonempty and for each  $x = a/b \in S$  set  $N(x) = |a| + b \in \mathbb{N}$ . The set  $\{N(x) : x \in S\}$  is a nonempty subset of  $\mathbb{N}$ , hence has a least element  $n_0$ . The subset  $T = \{x \in S : N(x) = n_0\}$  is therefore nonempty. Among elements of  $T$ , the numerators form a finite (hence well-ordered) subset of  $\mathbb{Z}$ , so there is a least numerator  $a_0$ . Finally, among rationals in  $T$  with numerator  $a_0$  the denominator is minimal for the  $\leq$ -least element. Thus  $T$  (and hence  $S$ ) has a least element with respect to  $\leq$ . Therefore  $\leq$  is a well ordering of  $\mathbb{Q}$ .

**Exercise 4.** Let  $S$  be a set. A **choice function** for  $S$  is a function  $f$  from the set of all nonempty subsets of  $S$  to  $S$  such that  $f(A) \in A$  for all  $A \neq \emptyset$ ,  $A \subset S$ . Show that the Axiom of Choice is equivalent to the statement that every set  $S$  has a choice function.

*Solution.* We show the two statements are equivalent.

(AC  $\Rightarrow$  choice functions exist). Let  $S$  be any set and let  $\mathcal{I}$  denote the collection of all nonempty subsets of  $S$ . If  $\mathcal{I} = \emptyset$  then  $S = \emptyset$ , and the unique function  $\emptyset \rightarrow \emptyset$  is a choice function for  $S$ . Thus assume  $\mathcal{I} \neq \emptyset$ . Consider the family  $\{X_A\}_{A \in \mathcal{I}}$  where  $X_A = A$  for each  $A \in \mathcal{I}$ . Every  $X_A$  is nonempty by definition, and the family is indexed by the nonempty set  $\mathcal{I}$ . By the Axiom of Choice (the product of a family of nonempty sets indexed by a nonempty set is nonempty), the product  $\prod_{A \in \mathcal{I}} X_A$  is nonempty. An element of this product is precisely a function  $f: \mathcal{I} \rightarrow S$  with  $f(A) \in X_A = A$  for each  $A$ ; that is exactly a choice function for  $S$ . Hence every set  $S$  admits a choice function.

(Choice functions exist  $\Rightarrow$  AC). Assume every set  $T$  admits a choice function  $c_T$  defined on the collection of nonempty subsets of  $T$ . Let  $\{X_i\}_{i \in I}$  be any family of nonempty sets indexed by a nonempty set  $I$ . Put  $S = \bigcup_{i \in I} X_i$ . Then each  $X_i$  is a nonempty subset of  $S$ , so the hypothesis supplies a choice function  $c_S$  for  $S$ . Define  $g: I \rightarrow S$  by  $g(i) := c_S(X_i)$ . By construction  $g(i) \in X_i$  for every  $i \in I$ , so  $g \in \prod_{i \in I} X_i$ . Hence the product is nonempty. This establishes the Axiom of Choice.

Therefore the two statements are equivalent.

**Exercise 5.** Let  $S$  be the set of all points  $(x, y)$  in the plane with  $y \leq 0$ . Define an ordering by  $(x_1, y_1) \leq (x_2, y_2) \iff x_1 = x_2$  and  $y_1 \leq y_2$ . Show that this is a partial ordering of  $S$ , and that  $S$  has infinitely many maximal elements.

*Solution.* Let  $S = \{(x, y) \in \mathbb{R}^2 : y \leq 0\}$  and define

$$(x_1, y_1) \leq (x_2, y_2) \iff x_1 = x_2 \text{ and } y_1 \leq y_2.$$

**(i) This relation is a partial order.**

- *Reflexive:* For any  $(x, y) \in S$  we have  $x = x$  and  $y \leq y$ , so  $(x, y) \leq (x, y)$ .
- *Antisymmetric:* If  $(x_1, y_1) \leq (x_2, y_2)$  and  $(x_2, y_2) \leq (x_1, y_1)$ , then  $x_1 = x_2$  and  $y_1 \leq y_2$ , and also  $x_2 = x_1$  and  $y_2 \leq y_1$ . Hence  $y_1 = y_2$  and therefore  $(x_1, y_1) = (x_2, y_2)$ .
- *Transitive:* If  $(x_1, y_1) \leq (x_2, y_2)$  and  $(x_2, y_2) \leq (x_3, y_3)$ , then  $x_1 = x_2$  and  $x_2 = x_3$ , so  $x_1 = x_3$ , and  $y_1 \leq y_2 \leq y_3$ , hence  $y_1 \leq y_3$ . Thus  $(x_1, y_1) \leq (x_3, y_3)$ .

Therefore the relation is reflexive, antisymmetric, and transitive, i.e. a partial order.

**(ii)  $S$  has infinitely many maximal elements.**

Fix any real number  $x_0$ . For that  $x_0$  the point  $(x_0, 0) \in S$  satisfies the following: if  $(x_0, 0) \leq (x, y)$  then  $x = x_0$  and  $0 \leq y$ . Since every element of  $S$  has  $y \leq 0$ , the only possibility is  $y = 0$ , so  $(x, y) = (x_0, 0)$ . Thus there is no element of  $S$  strictly greater than  $(x_0, 0)$ ; i.e.  $(x_0, 0)$  is maximal.

As  $x_0$  ranges over  $\mathbb{R}$  we obtain the family  $\{(x, 0) : x \in \mathbb{R}\}$  of maximal elements, which is infinite (indeed uncountable). Hence  $S$  has infinitely many maximal elements.

(Observe also that any point  $(x, y)$  with  $y < 0$  is not maximal because  $(x, y) < (x, 0)$ .)

**Exercise 6.** Prove that if all the sets in the family  $\{A_i \mid i \in I \neq \emptyset\}$  are nonempty, then each of the projections  $\pi_k: \prod_{i \in I} A_i \rightarrow A_k$  is surjective.

*Solution.* Let  $\{A_i\}_{i \in I}$  be a family of sets with  $A_i \neq \emptyset$  for each  $i \in I$ . Fix  $k \in I$  and let  $\pi_k: \prod_{i \in I} A_i \rightarrow A_k$  be the projection onto the  $k$ -th coordinate. We must show that  $\pi_k$  is surjective, i.e. that for every  $a \in A_k$  there exists  $f \in \prod_{i \in I} A_i$  with  $\pi_k(f) = f(k) = a$ .

For a given  $a \in A_k$  we need to define a function  $f: I \rightarrow \bigcup_{i \in I} A_i$  such that  $f(i) \in A_i$  for all  $i \in I$  and  $f(k) = a$ . To do this we must choose, for each  $i \in I - \{k\}$ , an element  $f(i) \in A_i$ . The existence of a choice function selecting one element from each  $A_i$  (for  $i \neq k$ ) is exactly an instance of the Axiom of Choice. Assuming Choice (or equivalently the hypothesis that the product  $\prod_{i \in I} A_i$  is nonempty), pick such elements  $f(i)$  for all  $i \neq k$ , and put  $f(k) = a$ . Then  $f \in \prod_{i \in I} A_i$  and  $\pi_k(f) = a$ . Since  $a$  was arbitrary,  $\pi_k$  is surjective.

**Remark.** If the index set  $I$  is finite, no form of the Axiom of Choice is needed: one can choose elements from the finitely many  $A_i$  inductively (or by a finite product of nonempty sets being nonempty). The use of Choice becomes essential only when  $I$  is infinite.

**Exercise 7.** Let  $(A, \leq)$  be a linearly ordered set. The **immediate successor** of  $a \in A$  (if it exists) is the least element in the set  $\{x \in A \mid a < x\}$ . Prove that if  $A$  is well ordered by  $\leq$ , then at most one element of  $A$  has no immediate successor. Give an example of a linearly ordered set in which precisely two elements have no immediate successor.

*Solution.* First remark: if  $a \in A$  has no immediate successor, that means the set  $\{x \in A : x > a\}$  either is empty (so  $a$  is maximal) or is nonempty but has no least element.

**At most one element has no immediate successor.** Suppose for contradiction that  $a$  and  $b$  are two distinct elements of  $A$  with no immediate successor. Since  $A$  is linearly ordered, either  $a < b$  or  $b < a$ . Without loss of generality assume  $a < b$ . Then  $b \in \{x \in A : x > a\}$ , so this set is nonempty. But  $A$  is well ordered, hence every nonempty subset has a least element; therefore  $\{x \in A : x > a\}$  has a least element  $c$ . By definition  $c$  is the immediate successor of  $a$ , contradicting the assumption that  $a$  has no immediate successor. Thus it is impossible for two distinct elements to both lack immediate successors; at most one element of  $A$  can have no immediate successor.  $\square$

**Example with exactly two elements having no immediate successor.** Let

$$B = \{0\} \cup \{1/n : n \in \mathbf{N}^*\} \subset \mathbb{R}$$

equipped with the usual order inherited from  $\mathbb{R}$ . Every element of  $B$  except 0 is of the form  $1/n$  for some  $n \in \mathbf{N}^*$ . For  $n \geq 2$ , the least element strictly greater than  $1/n$  is  $1/(n-1)$ , so  $1/n$  has an immediate successor. The element  $1 = 1/1$  is maximal in  $B$  (no larger element of  $B$  exists), hence it has no immediate successor. The element 0 also has no immediate successor: the set  $\{x \in B : x > 0\} = \{1/n : n \in \mathbf{N}^*\}$  has no least element because for each  $1/n$  there is  $1/(n+1) \in B$  with  $0 < 1/(n+1) < 1/n$ . Therefore 0 has no immediate successor. No other elements of  $B$  lack immediate successors, so exactly two elements of  $B$  (namely 0 and 1) have no immediate successor.

## 0.8 Cardinal Numbers

**Exercise 1.** Let  $I_0 = \emptyset$  and for each  $n \in \mathbf{N}^*$  let  $I_n = \{1, 2, 3, \dots, n\}$ .

- (a)  $I_n$  is not equipollent to any of its proper subsets [Hint: induction].
- (b)  $I_m$  and  $I_n$  are equipollent if and only if  $m = n$ .
- (c)  $I_m$  is equipollent to a subset of  $I_n$  but  $I_n$  is not equipollent to any subset of  $I_m$  if and only if  $m < n$ .

*Solution.* Recall that  $I_0 = \emptyset$  and  $I_n = \{1, 2, \dots, n\}$  for  $n \geq 1$ .

**Lemma.** For every  $n \geq 0$ , every injective map  $g: I_n \rightarrow I_n$  is surjective (hence bijective).

*Proof.* We proceed by strong induction on  $n$ .

*Base cases.* For  $n = 0$ , the statement is trivial: the only map  $\emptyset \rightarrow \emptyset$  is bijective. For  $n = 1$ , any injective map  $g: \{1\} \rightarrow \{1\}$  must send 1 to 1, so it is surjective.

*Inductive step.* Fix  $n \geq 2$  and assume the claim holds for all  $k < n$ . Let  $g: I_n \rightarrow I_n$  be injective. Suppose, for a contradiction, that  $g$  is not surjective. Then  $g(I_n)$  is a proper subset of  $I_n$ , so there exists an element of  $I_n$  not in the image of  $g$ ; choose  $m$  to be the largest such element. (A largest element exists since  $I_n$  is finite and totally ordered.)

Because  $m \notin g(I_n)$ , the image of  $g$  is contained in  $I_n - \{m\}$ . Define

$$\phi: I_n - \{m\} \longrightarrow I_{n-1}, \quad \phi(k) = \begin{cases} k, & k < m, \\ k-1, & k > m. \end{cases}$$

Define also

$$\phi^{-1} : I_{n-1} \longrightarrow I_n - \{m\}, \quad \phi^{-1}(j) = \begin{cases} j, & j < m, \\ j+1, & j \geq m. \end{cases}$$

A direct check shows that  $\phi$  and  $\phi^{-1}$  are inverse bijections.

Now consider the composition

$$\psi = \phi \circ g \circ \phi^{-1} : I_{n-1} \rightarrow I_{n-1}.$$

The map  $\psi$  is injective, since it is a composition of injective maps. By the induction hypothesis,  $\psi$  is surjective, hence bijective. Since  $\phi^{-1}$  is also bijective, the composition

$$\phi^{-1} \circ \psi = g \circ \phi^{-1}$$

is bijective. In particular,  $g \circ \phi^{-1}$  is surjective onto  $I_n - \{m\}$ . This means that the restriction

$$g|_{I_n - \{m\}} : I_n - \{m\} \longrightarrow I_n - \{m\}$$

is surjective.

Now consider  $g(m)$ . Since  $m \notin g(I_n)$  by assumption, we must have  $g(m) \in I_n - \{m\}$ . But because  $g|_{I_n - \{m\}}$  is surjective, there exists some  $j \in I_n - \{m\}$  with  $g(j) = g(m)$ , contradicting the injectivity of  $g$ . This contradiction shows that  $g$  must be surjective.

This completes the induction and the proof of the lemma.

**(a)  $I_n$  is not equipollent to any of its proper subsets.**

Assume, for a contradiction, that there exists a bijection  $f : I_n \rightarrow S$  with  $S \subsetneq I_n$ . Let  $i : S \hookrightarrow I_n$  denote the inclusion map. Then  $i \circ f : I_n \rightarrow I_n$  is injective. By the Lemma,  $i \circ f$  is surjective. But  $(i \circ f)(I_n) = i(S) = S$ , a proper subset of  $I_n$ , which is impossible. Hence  $I_n$  is not equipollent to any of its proper subsets.

**(b)  $I_m$  and  $I_n$  are equipollent if and only if  $m = n$ .**

If  $m = n$ , the identity map is a bijection. Conversely, suppose  $I_m$  and  $I_n$  are equipollent and assume  $m \neq n$ . Without loss of generality, let  $m < n$ . Then a bijection  $I_m \rightarrow I_n$  would make  $I_n$  equipollent to a proper subset of itself, contradicting part (a). Thus  $m = n$ .

**(c)  $I_m$  is equipollent to a subset of  $I_n$  but  $I_n$  is not equipollent to any subset of  $I_m$  if and only if  $m < n$ .**

If  $m < n$ , the inclusion  $I_m \hookrightarrow I_n$  is injective, so  $I_m$  is equipollent to the subset  $I_m \subset I_n$ . If  $I_n$  were equipollent to a subset of  $I_m$ , then  $I_n$  would be equipollent to a proper subset of itself, contradicting part (a). Hence the stated asymmetry holds when  $m < n$ .

Conversely, suppose the asymmetry in the statement holds. The existence of an injection  $I_m \rightarrow I_n$  implies  $m \leq n$ . If  $m = n$ , then the two sets are equipollent, contradicting the assumption. Therefore  $m < n$ . This completes the proof.

**Exercise 2.** (a) Every infinite set is equipollent to one of its proper subsets.

(b) A set is finite if and only if it is not equipollent to one of its proper subsets [see Exercise 1].

*Solution.* (a) **Every infinite set is equipollent to one of its proper subsets (assuming the Axiom of Choice).**

Assume the Axiom of Choice in the form that every set admits a choice function. Let  $S$  be an infinite set. Using a choice function, we construct an infinite sequence of distinct elements of  $S$ .

Let  $\mathcal{P}^*(S)$  denote the collection of all nonempty subsets of  $S$ , and let  $c : \mathcal{P}^*(S) \rightarrow S$  be a choice function. Define inductively

$$S_1 = S, \quad s_1 = c(S_1),$$

and, having chosen distinct elements  $s_1, \dots, s_n$ , set

$$S_{n+1} = S - \{s_1, \dots, s_n\}, \quad s_{n+1} = c(S_{n+1}).$$

Since  $S$  is infinite, each  $S_{n+1}$  is nonempty, so the construction continues indefinitely. Thus we obtain an infinite sequence  $(s_n)_{n \geq 1}$  of distinct elements of  $S$ .

Define a map  $f : S \rightarrow S$  by

$$f(s_n) = s_{n+1} \quad (n \geq 1), \quad f(x) = x \text{ for } x \notin \{s_n : n \geq 1\}.$$

Then  $f$  is injective: it is the identity off  $\{s_n\}$ , and on  $\{s_n\}$  it is a shift. Moreover,  $f$  is not surjective, since  $s_1$  is not in the image. Hence  $f(S) \subsetneq S$ , and since  $f : S \rightarrow f(S)$  is a bijection,  $S$  is equipollent to a proper subset of itself.

*Remark.* The statement proved here is not provable in ZF alone. Without the Axiom of Choice, there may exist infinite sets that are not equipollent to any proper subset (so-called *Dedekind-finite* infinite sets). Thus part (a) genuinely requires some form of Choice.

(b) **A set is finite if and only if it is not equipollent to one of its proper subsets (assuming the Axiom of Choice).**

If  $S$  is finite, then  $S$  is equipollent to  $I_n$  for some  $n$ , and by Exercise 1(a) no finite set is equipollent to any proper subset of itself. Hence a finite set is not equipollent to a proper subset.

Conversely, suppose  $S$  is not finite, i.e.  $S$  is infinite. By part (a), assuming the Axiom of Choice,  $S$  is equipollent to a proper subset of itself. Therefore, a set is finite if and only if it is not equipollent to one of its proper subsets.

**Exercise 3.** (a)  $\mathbb{Z}$  is a denumerable set.

(b) The set  $\mathbb{Q}$  of rational numbers is denumerable. [Hint: show that  $|\mathbb{Z}| \leq |\mathbb{Q}| \leq |\mathbb{Z} \times \mathbb{Z}| = |\mathbb{Z}|$ .]

*Solution.* (a)  $\mathbb{Z}$  is denumerable.

Define  $f : \mathbb{N} \rightarrow \mathbb{Z}$  by

$$f(0) = 0, \quad f(2n-1) = n, \quad f(2n) = -n \quad (n \geq 1).$$

Then  $f$  is bijective: every integer occurs exactly once (positive integers at odd inputs, negative integers at even inputs, and 0 at 0). Hence  $\mathbb{Z}$  is denumerable.

(b)  $\mathbb{Q}$  is denumerable.

We show that  $|\mathbb{Z}| \leq |\mathbb{Q}| \leq |\mathbb{Z} \times \mathbb{Z}|$ , and that  $|\mathbb{Z} \times \mathbb{Z}| = |\mathbb{Z}|$ .

First,  $\mathbb{Z} \subset \mathbb{Q}$  via  $n \mapsto n/1$ , so the inclusion gives an injection  $\mathbb{Z} \hookrightarrow \mathbb{Q}$ ; hence  $|\mathbb{Z}| \leq |\mathbb{Q}|$ .

Next define  $g : \mathbb{Q} \rightarrow \mathbb{Z} \times \mathbb{Z}$  by sending each rational  $r$  to its reduced numerator–denominator pair: write  $r = a/b$  with  $a \in \mathbb{Z}$ ,  $b \in \mathbb{Z} - \{0\}$ ,  $\gcd(a, b) = 1$ , and  $b > 0$ , and set  $g(r) = (a, b)$ . The representation  $a/b$  with these conditions is unique, so  $g$  is injective. Hence  $|\mathbb{Q}| \leq |\mathbb{Z} \times \mathbb{Z}|$ .

Finally,  $\mathbb{Z} \times \mathbb{Z}$  is denumerable. Since  $\mathbb{Z}$  is denumerable by part (a), it suffices to exhibit a bijection  $\mathbb{N} \times \mathbb{N} \rightarrow \mathbb{N}$  and then transport it to  $\mathbb{Z} \times \mathbb{Z}$  using a bijection  $\mathbb{N} \rightarrow \mathbb{Z}$ . For example, the Cantor pairing function

$$\pi(m, n) = \frac{(m+n)(m+n+1)}{2} + n$$

is a bijection  $\mathbb{N} \times \mathbb{N} \rightarrow \mathbb{N}$ . Therefore  $\mathbb{Z} \times \mathbb{Z}$  is denumerable, i.e.  $|\mathbb{Z} \times \mathbb{Z}| = |\mathbb{Z}|$ .

Combining the inequalities,

$$|\mathbb{Z}| \leq |\mathbb{Q}| \leq |\mathbb{Z} \times \mathbb{Z}| = |\mathbb{Z}|,$$

so  $|\mathbb{Q}| = |\mathbb{Z}|$ . Hence  $\mathbb{Q}$  is denumerable.

**Exercise 4.** If  $A, A', B, B'$  are sets such that  $|A| = |A'|$  and  $|B| = |B'|$ , then  $|A \times B| = |A' \times B'|$ . If in addition  $A \cap B = \emptyset = A' \cap B'$  then  $|A \cup B| = |A' \cup B'|$ . Therefore multiplication and addition of cardinals is well defined.

*Solution.* Assume  $|A| = |A'|$  and  $|B| = |B'|$ . Then there exist bijections  $\alpha : A \rightarrow A'$  and  $\beta : B \rightarrow B'$ .

**Products.** Define

$$\Phi : A \times B \longrightarrow A' \times B', \quad \Phi(a, b) = (\alpha(a), \beta(b)).$$

Then  $\Phi$  is bijective. Indeed, its inverse is

$$\Psi : A' \times B' \longrightarrow A \times B, \quad \Psi(a', b') = (\alpha^{-1}(a'), \beta^{-1}(b')).$$

Thus  $|A \times B| = |A' \times B'|$ .

**Unions (disjoint case).** Assume in addition that  $A \cap B = \emptyset$  and  $A' \cap B' = \emptyset$ . Define  $F : A \cup B \rightarrow A' \cup B'$  by

$$F(x) = \begin{cases} \alpha(x), & x \in A, \\ \beta(x), & x \in B. \end{cases}$$

This is well defined because  $A \cap B = \emptyset$ , so each  $x \in A \cup B$  lies in exactly one of the two sets. Similarly, the map

$$G : A' \cup B' \rightarrow A \cup B, \quad G(y) = \begin{cases} \alpha^{-1}(y), & y \in A', \\ \beta^{-1}(y), & y \in B', \end{cases}$$

is well defined because  $A' \cap B' = \emptyset$ . One checks immediately that  $G \circ F = \text{id}_{A \cup B}$  and  $F \circ G = \text{id}_{A' \cup B'}$ , so  $F$  is a bijection. Hence  $|A \cup B| = |A' \cup B'|$ .

Therefore, if we define cardinal multiplication by  $|A| \cdot |B| := |A \times B|$  and cardinal addition (for disjoint sets) by  $|A| + |B| := |A \cup B|$ , these operations depend only on the cardinalities of  $A$  and  $B$ , and not on the particular representatives chosen. In other words, addition and multiplication of cardinals are well defined.

**Exercise 5.** For all cardinal numbers  $\alpha, \beta, \gamma$ :

- (a)  $\alpha + \beta = \beta + \alpha$  and  $\alpha\beta = \beta\alpha$  (commutative laws).
- (b)  $(\alpha + \beta) + \gamma = \alpha + (\beta + \gamma)$  and  $(\alpha\beta)\gamma = \alpha(\beta\gamma)$  (associative laws).
- (c)  $\alpha(\beta + \gamma) = \alpha\beta + \alpha\gamma$  and  $(\alpha + \beta)\gamma = \alpha\gamma + \beta\gamma$  (distributive laws).
- (d)  $\alpha + 0 = \alpha$  and  $\alpha 1 = \alpha$ .
- (e) If  $\alpha \neq 0$ , then there is no  $\beta$  such that  $\alpha + \beta = 0$  and if  $\alpha \neq 1$ , then there is no  $\beta$  such that  $\alpha\beta = 1$ . Therefore subtraction and division of cardinal numbers cannot be defined.

*Solution.* Let  $\alpha, \beta, \gamma$  be cardinals. Choose sets  $A, B, C$  such that  $|A| = \alpha$ ,  $|B| = \beta$ ,  $|C| = \gamma$ , and assume (replacing by equipollent copies if necessary) that  $A, B, C$  are pairwise disjoint. Recall that  $\alpha + \beta := |A \cup B|$  (for disjoint representatives) and  $\alpha\beta := |A \times B|$ .

- (a) **Commutativity.** Since  $A \cup B = B \cup A$ , we have  $\alpha + \beta = |A \cup B| = |B \cup A| = \beta + \alpha$ . Define  $\tau : A \times B \rightarrow B \times A$  by  $\tau(a, b) = (b, a)$ . Then  $\tau$  is a bijection, so  $|A \times B| = |B \times A|$ , i.e.  $\alpha\beta = \beta\alpha$ .
- (b) **Associativity.** Because  $A, B, C$  are disjoint,

$$(\alpha + \beta) + \gamma = |(A \cup B) \cup C| = |A \cup (B \cup C)| = \alpha + (\beta + \gamma).$$

For products, define  $\Phi : (A \times B) \times C \rightarrow A \times (B \times C)$  by  $\Phi((a, b), c) = (a, (b, c))$ . This is a bijection with inverse  $(a, (b, c)) \mapsto ((a, b), c)$ . Hence  $(\alpha\beta)\gamma = \alpha(\beta\gamma)$ .

- (c) **Distributivity.** Since  $B$  and  $C$  are disjoint, so are  $A \times B$  and  $A \times C$  if we identify them as subsets of  $A \times (B \cup C)$  via the inclusions  $B \hookrightarrow B \cup C$  and  $C \hookrightarrow B \cup C$ . Define

$$\Phi : A \times (B \cup C) \longrightarrow (A \times B) \cup (A \times C)$$

by

$$\Phi(a, x) = \begin{cases} (a, x), & x \in B, \\ (a, x), & x \in C. \end{cases}$$

This is well defined (each  $x \in B \cup C$  lies in exactly one of  $B, C$ ) and is clearly bijective, with inverse given by the inclusion of the union into  $A \times (B \cup C)$ . Therefore

$$|A \times (B \cup C)| = |(A \times B) \cup (A \times C)|,$$

i.e.  $\alpha(\beta + \gamma) = \alpha\beta + \alpha\gamma$ . The identity  $(\alpha + \beta)\gamma = \alpha\gamma + \beta\gamma$  follows similarly by swapping the roles of left and right factors.

(d) **Identities.** Let  $0 = |\emptyset|$  and  $1 = |\{*\}|$ . If  $A \cap \emptyset = \emptyset$ , then  $A \cup \emptyset = A$ , so  $\alpha + 0 = |A| = \alpha$ . Also  $A \times \{*\} \cong A$  via  $a \mapsto (a, *)$ , so  $\alpha 1 = \alpha$ .

(e) **No additive inverses and no multiplicative inverses in general.** If  $\alpha \neq 0$ , choose a nonempty set  $A$  with  $|A| = \alpha$ . For any set  $B$  disjoint from  $A$ , the union  $A \cup B$  is nonempty, hence  $|A \cup B| \neq 0$ . Therefore there is no  $\beta$  such that  $\alpha + \beta = 0$ .

If  $\alpha \neq 1$ , then either  $\alpha = 0$  or  $\alpha \geq 2$ . In either case, there is no  $\beta$  with  $\alpha\beta = 1$ . Indeed, if  $\alpha = 0$  then  $\alpha\beta = 0$  for all  $\beta$ . If  $\alpha \geq 2$ , let  $A$  be a set of cardinality  $\alpha$ , so  $A$  has distinct elements  $a_1 \neq a_2$ . For any nonempty  $B$ , the two subsets  $\{a_1\} \times B$  and  $\{a_2\} \times B$  are disjoint and nonempty, so  $A \times B$  has at least two elements and hence cannot have cardinality 1. If  $B = \emptyset$ , then  $A \times B = \emptyset$  has cardinality 0. Thus  $|A \times B| \neq 1$  for all  $B$ , i.e. there is no  $\beta$  with  $\alpha\beta = 1$ .

Therefore subtraction and division of cardinal numbers cannot be defined so as to make  $(\text{Cardinals}, +, \cdot)$  into a ring or field in the usual way.

**Exercise 6.** Let  $I_n$  be as in Exercise 1. If  $A \sim I_m$  and  $B \sim I_n$  and  $A \cap B = \emptyset$ , then  $(A \cup B) \sim I_{m+n}$  and  $A \times B \sim I_{mn}$ . Thus if we identify  $|A|$  with  $m$  and  $|B|$  with  $n$ , then  $|A| + |B| = m + n$  and  $|A||B| = mn$ .

*Solution.* Let  $A \sim I_m$  and  $B \sim I_n$ , and assume  $A \cap B = \emptyset$ . Choose bijections

$$f : A \longrightarrow I_m, \quad g : B \longrightarrow I_n.$$

**Unions.** Define  $h : A \cup B \rightarrow I_{m+n}$  by

$$h(x) = \begin{cases} f(x), & x \in A, \\ m + g(x), & x \in B. \end{cases}$$

This is well defined because  $A \cap B = \emptyset$ . It is injective: on  $A$  it agrees with the injection  $f$ ; on  $B$  it agrees with the injection  $x \mapsto m + g(x)$ ; and no value coming from  $A$  (which lies in  $\{1, \dots, m\}$ ) can equal a value coming from  $B$  (which lies in  $\{m+1, \dots, m+n\}$ ). It is surjective because every  $t \in I_{m+n}$  satisfies either  $1 \leq t \leq m$ , in which case  $t = f(a)$  for  $a = f^{-1}(t) \in A$ , or  $m+1 \leq t \leq m+n$ , in which case  $t = m + g(b)$  for  $b = g^{-1}(t-m) \in B$ . Hence  $h$  is a bijection and  $(A \cup B) \sim I_{m+n}$ .

**Products.** Define  $\Phi : A \times B \rightarrow I_{mn}$  by

$$\Phi(a, b) = (f(a) - 1)n + g(b).$$

Since  $1 \leq f(a) \leq m$  and  $1 \leq g(b) \leq n$ , we have  $0 \leq (f(a) - 1)n \leq (m-1)n$ , so  $\Phi(a, b) \in \{1, 2, \dots, mn\} = I_{mn}$ .

To see that  $\Phi$  is injective, suppose  $\Phi(a, b) = \Phi(a', b')$ . Then

$$(f(a) - 1)n + g(b) = (f(a') - 1)n + g(b'),$$

so

$$(f(a) - f(a'))n = g(b') - g(b).$$

The right-hand side lies in  $\{-(n-1), \dots, n-1\}$ , while the left-hand side is a multiple of  $n$ . Hence both sides must be 0, so  $f(a) = f(a')$  and  $g(b) = g(b')$ , and therefore  $a = a'$  and  $b = b'$ .

For surjectivity, let  $t \in I_{mn}$ . By the division algorithm there exist unique integers  $q, r$  with

$$t - 1 = qn + r, \quad 0 \leq r \leq n - 1, \quad 0 \leq q \leq m - 1.$$

Set  $i = q + 1 \in I_m$  and  $j = r + 1 \in I_n$ . Choose  $a \in A$  with  $f(a) = i$  and  $b \in B$  with  $g(b) = j$ . Then

$$\Phi(a, b) = (i - 1)n + j = qn + (r + 1) = t.$$

Thus  $\Phi$  is surjective, hence bijective, and  $A \times B \sim I_{mn}$ .

Therefore, identifying  $|A|$  with  $m$  and  $|B|$  with  $n$ , we obtain

$$|A| + |B| = m + n, \quad |A| |B| = mn,$$

i.e. cardinal addition and multiplication agree with the usual addition and multiplication on finite cardinalities.

**Exercise 7.** If  $A \sim A'$ ,  $B \sim B'$  and  $f : A \rightarrow B$  is injective, then there is an injective map  $A' \rightarrow B'$ . Therefore the relation  $\leq$  on cardinal numbers is well defined.

*Solution.* Assume  $A \sim A'$  and  $B \sim B'$ , and let  $f : A \rightarrow B$  be injective. Choose bijections  $\alpha : A' \rightarrow A$  and  $\beta : B \rightarrow B'$ . Define

$$f' = \beta \circ f \circ \alpha : A' \longrightarrow B'.$$

Then  $f'$  is injective, since it is a composition of injective maps ( $\alpha$  and  $\beta$  are bijections, hence injective, and  $f$  is injective). Thus there exists an injection  $A' \rightarrow B'$ , as required.

Consequently, if we define  $|A| \leq |B|$  to mean that there exists an injective map  $A \rightarrow B$ , then this relation depends only on the cardinalities of  $A$  and  $B$ , and not on the particular representatives chosen. Hence  $\leq$  on cardinal numbers is well defined.

**Exercise 8.** An infinite subset of a denumerable set is denumerable.

*Solution.* Let  $S$  be denumerable and let  $T \subset S$  be an infinite subset. Choose a bijection  $f : \mathbb{N} \rightarrow S$ . Consider the set of indices

$$J = f^{-1}(T) = \{n \in \mathbb{N} : f(n) \in T\} \subset \mathbb{N}.$$

Since  $T$  is infinite and  $f$  is bijective,  $J$  is infinite.

We now enumerate  $J$  in increasing order. Define  $j_0 = \min J$ , and having defined  $j_0 < \dots < j_k$ , set

$$j_{k+1} = \min(J - \{j_0, \dots, j_k\}).$$

This is well defined because  $J$  is infinite, so after removing finitely many elements it is still nonempty, and  $\mathbb{N}$  is well ordered.

Define  $g : \mathbb{N} \rightarrow T$  by  $g(k) = f(j_k)$ . Then  $g(k) \in T$  for all  $k$ , and  $g$  is injective since the  $j_k$  are distinct and  $f$  is injective. Moreover  $g$  is surjective onto  $T$ : if  $t \in T$ , then  $t = f(n)$  for a unique  $n \in \mathbb{N}$ , and  $n \in J$ . Since  $(j_k)$  lists all elements of  $J$ , we have  $n = j_k$  for some  $k$ , hence  $t = f(n) = f(j_k) = g(k)$ .

Thus  $g$  is a bijection  $\mathbb{N} \rightarrow T$ , so  $T$  is denumerable.

**Exercise 9.** *The infinite set of real numbers  $\mathbb{R}$  is not denumerable (that is,  $\aleph_0 < |\mathbb{R}|$ ). [Hint: it suffices to show that the open interval  $(0, 1)$  is not denumerable by Exercise 8. You may assume each real number can be written as an infinite decimal. If  $(0, 1)$  is denumerable there is a bijection  $f : \mathbf{N}^* \rightarrow (0, 1)$ . Construct an infinite decimal (real number)  $.a_1a_2\dots$  in  $(0, 1)$  such that  $a_n$  is not the  $n$ th digit in the decimal expansion of  $f(n)$ . This number cannot be in  $\text{Im } f$ .]*

*Solution.* We prove that  $(0, 1)$  is not denumerable. Since  $(0, 1) \subset \mathbb{R}$ , this implies  $|\mathbb{R}| > \aleph_0$ . (Equivalently, if  $\mathbb{R}$  were denumerable then its infinite subset  $(0, 1)$  would be denumerable, contrary to what we prove below.)

Assume for contradiction that  $(0, 1)$  is denumerable. Then there exists a bijection  $f : \mathbf{N}^* \rightarrow (0, 1)$ . For each  $n \in \mathbf{N}^*$ , write the decimal expansion of  $f(n)$  as

$$f(n) = 0.d_{n1}d_{n2}d_{n3}\dots,$$

where each  $d_{nk} \in \{0, 1, \dots, 9\}$ . We may (and do) choose the expansion so that it does *not* end in an infinite string of 9's; this makes the decimal representation unique.

Now define a new decimal

$$x = 0.a_1a_2a_3\dots$$

by the rule

$$a_n = \begin{cases} 1, & d_{nn} \neq 1, \\ 2, & d_{nn} = 1. \end{cases}$$

Then each  $a_n \in \{1, 2\}$ , so  $x \in (0, 1)$ . Moreover, for every  $n$  we have  $a_n \neq d_{nn}$  by construction. Hence  $x \neq f(n)$  for every  $n$ , since  $x$  and  $f(n)$  differ in the  $n$ -th decimal digit. Therefore  $x \notin \text{Im}(f)$ , contradicting surjectivity of  $f$ .

Thus no bijection  $\mathbf{N}^* \rightarrow (0, 1)$  exists, so  $(0, 1)$  is not denumerable. Consequently  $\mathbb{R}$  is not denumerable, i.e.  $\aleph_0 < |\mathbb{R}|$ .

**Exercise 10.** *If  $\alpha, \beta$  are cardinals, define  $\alpha^\beta$  to be the cardinal number of the set of all functions  $B \rightarrow A$ , where  $A, B$  are sets such that  $|A| = \alpha, |B| = \beta$ .*

- (a)  $\alpha^\beta$  is independent of the choice of  $A, B$ .
- (b)  $\alpha^{\beta+\gamma} = (\alpha^\beta)(\alpha^\gamma); (\alpha\beta)^\gamma = (\alpha^\gamma)(\beta^\gamma); \alpha^{\beta\gamma} = (\alpha^\beta)^\gamma$ .
- (c) If  $\alpha \leq \beta$ , then  $\alpha^\gamma \leq \beta^\gamma$ .
- (d) If  $\alpha, \beta$  are finite with  $\alpha > 1, \beta > 1$  and  $\gamma$  is infinite, then  $\alpha^\gamma = \beta^\gamma$ .
- (e) For every finite cardinal  $n$ ,  $\alpha^n = \alpha\alpha\dots\alpha$  ( $n$  factors). Hence  $\alpha^n = \alpha$  if  $\alpha$  is infinite.
- (f) If  $P(A)$  is the power set of a set  $A$ , then  $|P(A)| = 2^{|A|}$ .

*Solution.* Let  $|A| = \alpha$  and  $|B| = \beta$ . Write  $A^B$  for the set of all functions  $B \rightarrow A$ ; by definition  $\alpha^\beta = |A^B|$ .

- (a)  **$\alpha^\beta$  is well defined.** Suppose  $A, A', B, B'$  satisfy  $|A| = |A'| = \alpha$  and  $|B| = |B'| = \beta$ . Choose bijections  $\varphi : A \rightarrow A'$  and  $\psi : B' \rightarrow B$ . Define

$$T : A^B \longrightarrow (A')^{B'}, \quad T(f) = \varphi \circ f \circ \psi.$$

Then  $T$  is a bijection, with inverse  $g \mapsto \varphi^{-1} \circ g \circ \psi^{-1}$ . Hence  $|A^B| = |(A')^{B'}|$ , so  $\alpha^\beta$  is independent of the choices of  $A, B$ .

- (b) **Exponent laws.** Let  $|A| = \alpha$ ,  $|B| = \beta$ ,  $|C| = \gamma$ , and take  $B \cap C = \emptyset$ .

(i)  $\alpha^{\beta+\gamma} = \alpha^\beta \alpha^\gamma$ . A function  $h : B \cup C \rightarrow A$  is uniquely determined by its restrictions  $h|_B : B \rightarrow A$  and  $h|_C : C \rightarrow A$ . Conversely, any pair  $(f, g) \in A^B \times A^C$  determines a unique  $h \in A^{B \cup C}$  by  $h|_B = f$ ,  $h|_C = g$ . Thus the map

$$A^{B \cup C} \longrightarrow A^B \times A^C, \quad h \mapsto (h|_B, h|_C)$$

is a bijection, so  $|A^{B \cup C}| = |A^B \times A^C|$ , i.e.  $\alpha^{\beta+\gamma} = (\alpha^\beta)(\alpha^\gamma)$ .

(ii)  $(\alpha\beta)^\gamma = (\alpha^\gamma)(\beta^\gamma)$ . A function  $u : C \rightarrow A \times B$  is equivalent to an ordered pair of functions  $(f, g)$  with  $f : C \rightarrow A$  and  $g : C \rightarrow B$ , via  $u(c) = (f(c), g(c))$ . Hence

$$(A \times B)^C \sim A^C \times B^C,$$

so  $|(A \times B)^C| = |A^C \times B^C|$ , i.e.  $(\alpha\beta)^\gamma = (\alpha^\gamma)(\beta^\gamma)$ .

(iii)  $\alpha^{\beta\gamma} = (\alpha^\beta)^\gamma$ . Identify  $B \times C$  as the domain. A function  $F : B \times C \rightarrow A$  is equivalent to a function  $\tilde{F} : C \rightarrow A^B$  given by

$$\tilde{F}(c)(b) = F(b, c).$$

This correspondence is bijective (currying/uncurrying), so

$$A^{B \times C} \sim (A^B)^C,$$

hence  $\alpha^{\beta\gamma} = (\alpha^\beta)^\gamma$ .

- (c) **Monotonicity in the base.** Assume  $\alpha \leq \beta$ . Choose sets  $A, B$  with  $|A| = \alpha$ ,  $|B| = \beta$ , and an injection  $i : A \hookrightarrow B$ . For any set  $C$  with  $|C| = \gamma$ , define

$$I : A^C \longrightarrow B^C, \quad I(f) = i \circ f.$$

If  $I(f) = I(g)$ , then  $i \circ f = i \circ g$ , and since  $i$  is injective we have  $f = g$ . Thus  $I$  is injective, so  $|A^C| \leq |B^C|$ , i.e.  $\alpha^\gamma \leq \beta^\gamma$ .

- (d) **If  $\alpha, \beta$  are finite  $> 1$  and  $\gamma$  is infinite, then  $\alpha^\gamma = \beta^\gamma$ .**

Let  $\gamma = |C|$  with  $C$  infinite. Since  $\alpha > 1$ , there exists an injection  $\{0, 1\} \hookrightarrow A$ , hence  $2^\gamma \leq \alpha^\gamma$  by (c). Also  $A$  is finite, so there is an injection  $A \hookrightarrow \{0, 1\}^k$  for some  $k \in \mathbb{N}$  (e.g. take  $k$  with  $2^k \geq \alpha$ ). Then by (c)

$$\alpha^\gamma \leq (2^k)^\gamma.$$

Using (b)(iii) and (b)(v) below,  $(2^k)^\gamma = 2^{k\gamma}$ . Since  $C$  is infinite and  $k \geq 1$  is finite,  $k\gamma = \gamma$  (there is a bijection  $C \times I_k \cong C$ ), hence  $(2^k)^\gamma = 2^\gamma$ . Therefore  $2^\gamma \leq \alpha^\gamma \leq 2^\gamma$ , so  $\alpha^\gamma = 2^\gamma$ . The same argument gives  $\beta^\gamma = 2^\gamma$ , hence  $\alpha^\gamma = \beta^\gamma$ .

- (e) **Finite exponents.** Let  $n$  be a finite cardinal and choose  $I_n = \{1, \dots, n\}$ . A function  $I_n \rightarrow A$  is the same as an  $n$ -tuple  $(a_1, \dots, a_n) \in A^n$ . Thus

$$A^{I_n} \cong \underbrace{A \times \cdots \times A}_{n \text{ factors}},$$

so  $\alpha^n = \alpha \cdot \alpha \cdots \alpha$  ( $n$  factors).

In particular, if  $\alpha$  is infinite and  $n \geq 1$  is finite, then  $\alpha^n = \alpha$ . (This uses the earlier result that  $\alpha n = \alpha$  for infinite  $\alpha$  and finite  $n \geq 1$ , proved by exhibiting a bijection  $A \times I_n \sim A$  when  $A$  is infinite.)

- (f) **Power sets.** Let  $P(A)$  denote the power set of  $A$ . Identify a subset  $S \subset A$  with its characteristic function  $\chi_S : A \rightarrow \{0, 1\}$ , where  $\chi_S(a) = 1$  if  $a \in S$  and  $\chi_S(a) = 0$  otherwise. The map

$$P(A) \longrightarrow \{0, 1\}^A, \quad S \mapsto \chi_S$$

is a bijection, with inverse  $f \mapsto f^{-1}(\{1\})$ . Hence  $|P(A)| = |\{0, 1\}^A| = 2^{|A|}$ .

**Exercise 11.** If  $I$  is an infinite set, and for each  $i \in I$   $A_i$  is a finite set, then  $|\bigcup_{i \in I} A_i| \leq |I|$ .

*Solution.* Let  $I$  be infinite and suppose each  $A_i$  is finite. For each  $i \in I$ , choose a bijection  $f_i : A_i \rightarrow I_{n_i}$  for some  $n_i \in \mathbb{N}$ . Since  $A_i$  is finite, there exists an injection  $A_i \hookrightarrow \mathbb{N}$  (for instance, compose  $f_i$  with the inclusion  $I_{n_i} \hookrightarrow \mathbb{N}$ ). Fix such an injection and denote it by  $\phi_i : A_i \hookrightarrow \mathbb{N}$ .

Define a map

$$F : \bigcup_{i \in I} A_i \longrightarrow I \times \mathbb{N}$$

by

$$F(x) = (i, \phi_i(x)) \quad \text{where } i \text{ is any index with } x \in A_i.$$

To make  $F$  well defined, replace  $\bigcup_{i \in I} A_i$  by the disjoint union

$$\bigsqcup_{i \in I} A_i = \{(i, x) : i \in I, x \in A_i\},$$

which is equipollent to  $\bigcup_{i \in I} A_i$  via  $(i, x) \mapsto x$ . On the disjoint union define

$$\tilde{F} : \bigsqcup_{i \in I} A_i \longrightarrow I \times \mathbb{N}, \quad \tilde{F}(i, x) = (i, \phi_i(x)).$$

This map is injective: if  $\tilde{F}(i, x) = \tilde{F}(j, y)$ , then  $(i, \phi_i(x)) = (j, \phi_j(y))$ , hence  $i = j$  and  $\phi_i(x) = \phi_i(y)$ . Since  $\phi_i$  is injective,  $x = y$ . Thus  $(i, x) = (j, y)$ .

Therefore

$$\left| \bigsqcup_{i \in I} A_i \right| \leq |I \times \mathbb{N}|.$$

Because  $I$  is infinite, we have  $|I \times \mathbb{N}| = |I|$  (since  $|\mathbb{N}| = \aleph_0 \leq |I|$  and for infinite cardinals  $\kappa$ ,  $\kappa \cdot \aleph_0 = \kappa$ ). Hence

$$\left| \bigsqcup_{i \in I} A_i \right| \leq |I|.$$

Finally, the canonical surjection  $\bigsqcup_{i \in I} A_i \rightarrow \bigcup_{i \in I} A_i$ ,  $(i, x) \mapsto x$ , shows  $|\bigcup_{i \in I} A_i| \leq |\bigsqcup_{i \in I} A_i|$ . Combining, we obtain

$$\left| \bigcup_{i \in I} A_i \right| \leq |I|.$$

**Exercise 12.** Let  $\alpha$  be a fixed cardinal number and suppose that for every  $i \in I$ ,  $A_i$  is a set with  $|A_i| = \alpha$ . Then  $|\bigcup_{i \in I} A_i| \leq |I|\alpha$ .

*Solution.* Let  $I$  be an index set and suppose  $|A_i| = \alpha$  for all  $i \in I$ . Choose a set  $A$  with  $|A| = \alpha$ . For each  $i \in I$ , choose a bijection  $\varphi_i : A_i \rightarrow A$ .

Consider the disjoint union

$$\bigsqcup_{i \in I} A_i = \{(i, x) : i \in I, x \in A_i\}.$$

Define

$$F : \bigsqcup_{i \in I} A_i \longrightarrow I \times A, \quad F(i, x) = (i, \varphi_i(x)).$$

Then  $F$  is injective: if  $F(i, x) = F(j, y)$ , then  $(i, \varphi_i(x)) = (j, \varphi_j(y))$ , hence  $i = j$  and  $\varphi_i(x) = \varphi_j(y)$ , and since  $\varphi_i$  is injective,  $x = y$ . Thus  $(i, x) = (j, y)$ .

Therefore

$$\left| \bigsqcup_{i \in I} A_i \right| \leq |I \times A| = |I| |A| = |I| \alpha.$$

Finally, the canonical map  $\bigsqcup_{i \in I} A_i \rightarrow \bigcup_{i \in I} A_i$ ,  $(i, x) \mapsto x$ , is surjective, so

$$\left| \bigcup_{i \in I} A_i \right| \leq \left| \bigsqcup_{i \in I} A_i \right|.$$

Combining these inequalities gives

$$\left| \bigcup_{i \in I} A_i \right| \leq |I| \alpha,$$

as required.



# Chapter 1

## Groups

### 1.1 Semigroups, Monoids, and Groups

**Exercise 1.** Give examples other than those in the text of semigroups and monoids that are not groups.

*Solution.* We give several standard examples, emphasizing which group axiom fails in each case.

#### Semigroups that are not monoids.

- *Positive integers under addition.* The set  $\mathbf{N}^* = \{1, 2, 3, \dots\}$  with the operation  $+$  is a semigroup: addition is associative. It is not a monoid, since there is no identity element in  $\mathbf{N}^*$  for addition.
- *Nonempty strings under concatenation.* Let  $\Sigma$  be a nonempty alphabet and let  $\Sigma^+$  be the set of all nonempty finite strings over  $\Sigma$ . Concatenation of strings is associative, so  $\Sigma^+$  is a semigroup. It is not a monoid because the empty string (the identity for concatenation) is not included.

#### Monoids that are not groups.

- *Natural numbers under addition.* The set  $\mathbb{N} = \{0, 1, 2, \dots\}$  with addition is a monoid: addition is associative and 0 is an identity. It is not a group because no element  $n \geq 1$  has an additive inverse in  $\mathbb{N}$ .
- *Nonzero natural numbers under multiplication.* The set  $\mathbf{N}^* = \{1, 2, 3, \dots\}$  with multiplication is a monoid, with identity 1. It is not a group because, for example, 2 has no multiplicative inverse in  $\mathbf{N}^*$ .
- *Endomorphisms of a set under composition.* Let  $X$  be a set with at least two elements, and let  $\text{End}(X)$  be the set of all functions  $X \rightarrow X$ . Under composition, this is a monoid: composition is associative and the identity map is the identity element. It is not a group, since non-bijective functions (for example, constant maps) have no inverse.

In each of these examples, the failure to be a group is due to the absence of inverses, even though associativity (and, for monoids, an identity element) is present.

**Exercise 2.** Let  $G$  be a group (written additively),  $S$  a nonempty set, and  $M(S, G)$  the set of all functions  $f : S \rightarrow G$ . Define addition in  $M(S, G)$  as follows:  $(f + g) : S \rightarrow G$  is given by  $s \mapsto f(s) + g(s) \in G$ . Prove that  $M(S, G)$  is a group, which is abelian if  $G$  is.

*Solution.* Let  $G$  be a group written additively and let  $S \neq \emptyset$ . Set  $M(S, G) = \{f : S \rightarrow G\}$ , and define addition pointwise by

$$(f + g)(s) = f(s) + g(s) \quad (s \in S).$$

We verify the group axioms.

**Closure.** If  $f, g \in M(S, G)$ , then for each  $s \in S$  the value  $f(s) + g(s) \in G$ , so  $f + g : S \rightarrow G$  is a function into  $G$ . Hence  $f + g \in M(S, G)$ .

**Associativity.** For  $f, g, h \in M(S, G)$  and  $s \in S$ ,

$$((f+g)+h)(s) = (f+g)(s)+h(s) = (f(s)+g(s))+h(s) = f(s)+(g(s)+h(s)) = f(s)+(g+h)(s) = (f+(g+h))(s),$$

using associativity in  $G$ . Since the two functions agree at every  $s$ ,  $(f + g) + h = f + (g + h)$ .

**Identity element.** Let  $0_G$  be the identity of  $G$ , and define  $0 : S \rightarrow G$  by  $0(s) = 0_G$  for all  $s \in S$  (the zero function). Then for any  $f \in M(S, G)$  and  $s \in S$ ,

$$(f + 0)(s) = f(s) + 0_G = f(s), \quad (0 + f)(s) = 0_G + f(s) = f(s).$$

Hence  $0$  is an identity element in  $M(S, G)$ .

**Inverses.** Given  $f \in M(S, G)$ , define  $-f : S \rightarrow G$  by  $(-f)(s) = -f(s)$ , where  $-f(s)$  denotes the inverse of  $f(s)$  in  $G$ . Then for each  $s \in S$ ,

$$(f + (-f))(s) = f(s) + (-f(s)) = 0_G,$$

so  $f + (-f) = 0$ . Similarly  $(-f) + f = 0$ . Thus every  $f$  has an inverse.

Therefore  $M(S, G)$  is a group under pointwise addition.

**Commutativity.** If  $G$  is abelian, then for  $f, g \in M(S, G)$  and all  $s \in S$ ,

$$(f + g)(s) = f(s) + g(s) = g(s) + f(s) = (g + f)(s),$$

so  $f + g = g + f$ . Hence  $M(S, G)$  is abelian whenever  $G$  is abelian.

**Exercise 3.** Is it true that a semigroup which has a left identity element and in which every element has a right inverse (see Proposition 1.3) is a group?

*Solution.* No. Let  $S$  be any set with at least two elements, and define a binary operation on  $S$  by

$$x * y = y \quad (x, y \in S).$$

(This is the *right-zero semigroup*.)

**Semigroup:** The operation is associative, since

$$(x * y) * z = y * z = z = x * z = x * (y * z)$$

for all  $x, y, z \in S$ .

**Left identity:** Fix any element  $e \in S$ . Then for every  $x \in S$ ,

$$e * x = x,$$

so  $e$  is a left identity.

**Right inverses:** For any  $a \in S$ , take  $b = e$ . Then

$$a * b = a * e = e,$$

so every element has a right inverse (with respect to the left identity  $e$ ).

**Not a group:**  $e$  is not a two-sided identity unless  $S$  is a singleton. Indeed, for  $x \neq e$ ,

$$x * e = e \neq x.$$

Hence  $S$  is not a monoid (with identity), and therefore cannot be a group.

Thus a semigroup can have a left identity and right inverses for all elements without being a group.

**Exercise 4.** Write out a multiplication table for the group  $D_4^*$ .

*Solution.*

.	$e$	$r$	$r^2$	$r^3$	$s$	$sr$	$sr^2$	$sr^3$
$e$	$e$	$r$	$r^2$	$r^3$	$s$	$sr$	$sr^2$	$sr^3$
$r$	$r$	$r^2$	$r^3$	$e$	$sr^3$	$s$	$sr$	$sr^2$
$r^2$	$r^2$	$r^3$	$e$	$r$	$sr^2$	$sr^3$	$s$	$sr$
$r^3$	$r^3$	$e$	$r$	$r^2$	$sr$	$sr^2$	$sr^3$	$s$
$s$	$s$	$sr$	$sr^2$	$sr^3$	$e$	$r$	$r^2$	$r^3$
$sr$	$sr$	$sr^2$	$sr^3$	$s$	$r^3$	$e$	$r$	$r^2$
$sr^2$	$sr^2$	$sr^3$	$s$	$sr$	$r^2$	$r^3$	$e$	$r$
$sr^3$	$sr^3$	$s$	$sr$	$sr^2$	$r$	$r^2$	$r^3$	$e$

**Exercise 5.** Prove that the symmetric group on  $n$  letters,  $S_n$ , has order  $n!$ .

*Solution.* Let  $S_n$  denote the symmetric group on  $n$  letters, i.e. the set of all bijections  $\{1, 2, \dots, n\} \rightarrow \{1, 2, \dots, n\}$ . Thus  $|S_n|$  is the number of permutations of an  $n$ -element set.

A permutation  $\sigma \in S_n$  is determined by the ordered list  $(\sigma(1), \sigma(2), \dots, \sigma(n))$ , where these values must be distinct and each lies in  $\{1, \dots, n\}$ . We count the number of such lists.

There are  $n$  choices for  $\sigma(1)$ . After choosing  $\sigma(1)$ , there remain  $n - 1$  choices for  $\sigma(2)$ , since  $\sigma(2) \neq \sigma(1)$ . Continuing, after choosing  $\sigma(1), \dots, \sigma(k-1)$ , there are  $n - (k-1)$  choices for  $\sigma(k)$ . Therefore the total number of permutations is

$$n \cdot (n-1) \cdot (n-2) \cdots 2 \cdot 1 = n!.$$

Hence  $|S_n| = n!$ .

**Exercise 6.** Write out an addition table for  $Z_2 \oplus Z_2$ .  $Z_2 \oplus Z_2$  is called the **Klein four group**.

*Solution.* Recall that  $Z_2 = \{0, 1\}$  with addition mod 2. Thus

$$Z_2 \oplus Z_2 = \{(0, 0), (1, 0), (0, 1), (1, 1)\},$$

with addition defined componentwise modulo 2.

The addition table is:

+	(0, 0)	(1, 0)	(0, 1)	(1, 1)
(0, 0)	(0, 0)	(1, 0)	(0, 1)	(1, 1)
(1, 0)	(1, 0)	(0, 0)	(1, 1)	(0, 1)
(0, 1)	(0, 1)	(1, 1)	(0, 0)	(1, 0)
(1, 1)	(1, 1)	(0, 1)	(1, 0)	(0, 0)

From the table we see that:

- $(0, 0)$  is the identity element.
- Every non-identity element has order 2.
- The operation is commutative.

Hence  $Z_2 \oplus Z_2$ , the Klein four group, is an abelian group in which every non-identity element is its own inverse.

**Exercise 7.** If  $p$  is prime, then the nonzero elements of  $Z_p$  form a group of order  $p - 1$  under multiplication. [Hint:  $\bar{a} \neq \bar{0} \implies (a, p) = 1$ ; use Introduction, Theorem 6.5.] Show that this statement is false if  $p$  is not prime.

*Solution.* Let  $p$  be prime. Consider the set  $Z_p^\times = Z_p - \{\bar{0}\}$  of nonzero residue classes modulo  $p$ , with multiplication modulo  $p$ .

**Claim.** If  $p$  is prime, then  $Z_p^\times$  is a group under multiplication and  $|Z_p^\times| = p - 1$ .

*Proof.* Closure and associativity are inherited from integer multiplication modulo  $p$ , and the identity element is  $\bar{1}$ . It remains to show that every  $\bar{a} \in Z_p^\times$  has a multiplicative inverse in  $Z_p^\times$ .

If  $\bar{a} \neq \bar{0}$ , then  $p \nmid a$ , hence  $\gcd(a, p) = 1$  because  $p$  is prime. By Introduction, Theorem 6.5 (Bézout's identity), there exist integers  $x, y$  such that

$$ax + py = 1.$$

Reducing this congruence modulo  $p$  gives  $ax \equiv 1 \pmod{p}$ , hence  $\bar{a}\bar{x} = \bar{1}$  in  $Z_p$ . Thus  $\bar{x}$  is the inverse of  $\bar{a}$ , and  $\bar{x} \neq \bar{0}$ . Therefore every element of  $Z_p^\times$  has an inverse, so  $Z_p^\times$  is a group.

Finally,  $Z_p$  has  $p$  elements, and removing  $\bar{0}$  leaves  $p - 1$  elements, so  $|Z_p^\times| = p - 1$ .

**The statement is false when  $p$  is not prime.** Let  $n \geq 2$  be composite. Then there exist integers  $a, b$  with  $1 < a < n$ ,  $1 < b < n$ , and  $n = ab$ . In  $Z_n$  we have

$$\bar{a} \neq \bar{0}, \quad \bar{b} \neq \bar{0}, \quad \text{but} \quad \bar{a}\bar{b} = \bar{ab} = \bar{n} = \bar{0}.$$

Thus  $Z_n - \{\bar{0}\}$  contains nonzero elements whose product is  $\bar{0}$ . In particular, it is not closed under multiplication, so it cannot be a group.

For a concrete example, take  $n = 4$ :  $\bar{2} \neq \bar{0}$  in  $Z_4$ , but  $\bar{2} \cdot \bar{2} = \bar{4} = \bar{0}$ . Hence the nonzero elements of  $Z_4$  do not form a group under multiplication.

**Exercise 8.** (a) The relation given by  $a \sim b \iff a - b \in \mathbb{Z}$  is a congruence relation on the additive group  $\mathbb{Q}$  [see Theorem 1.5].

(b) The set  $\mathbb{Q}/\mathbb{Z}$  of equivalence classes is an infinite abelian group.

*Solution.* (a)  $\sim$  is a congruence relation on  $(\mathbb{Q}, +)$ .

First note that  $\sim$  is an equivalence relation:

- Reflexive:  $a - a = 0 \in \mathbb{Z}$ , so  $a \sim a$ .
- Symmetric: if  $a \sim b$  then  $a - b \in \mathbb{Z}$ , hence  $b - a = -(a - b) \in \mathbb{Z}$ , so  $b \sim a$ .
- Transitive: if  $a \sim b$  and  $b \sim c$ , then  $a - b \in \mathbb{Z}$  and  $b - c \in \mathbb{Z}$ , so  $(a - c) = (a - b) + (b - c) \in \mathbb{Z}$ , hence  $a \sim c$ .

To check that it is a congruence relation (compatible with the group operation), let  $a \sim b$  and  $c \sim d$ . Then  $a - b \in \mathbb{Z}$  and  $c - d \in \mathbb{Z}$ , so

$$(a + c) - (b + d) = (a - b) + (c - d) \in \mathbb{Z},$$

which shows  $a + c \sim b + d$ . Thus  $\sim$  is a congruence relation on the additive group  $\mathbb{Q}$  (in the sense of Theorem 1.5).

(b)  $\mathbb{Q}/\mathbb{Z}$  is an infinite abelian group.

Since  $\sim$  is a congruence relation on the abelian group  $(\mathbb{Q}, +)$ , Theorem 1.5 implies that the set of equivalence classes  $\mathbb{Q}/\mathbb{Z}$  becomes a group under

$$[a] + [b] = [a + b],$$

where  $[a]$  denotes the  $\sim$ -equivalence class of  $a$ . This operation is well defined by part (a), the identity element is  $[0]$ , and the inverse of  $[a]$  is  $[-a]$ . Moreover, because  $\mathbb{Q}$  is abelian,  $\mathbb{Q}/\mathbb{Z}$  is abelian.

It remains to show that  $\mathbb{Q}/\mathbb{Z}$  is infinite. Consider the elements

$$\left[\frac{1}{n}\right] \in \mathbb{Q}/\mathbb{Z} \quad (n \geq 2).$$

If  $\left[\frac{1}{m}\right] = \left[\frac{1}{n}\right]$ , then  $\frac{1}{m} - \frac{1}{n} \in \mathbb{Z}$ . But for  $m, n \geq 2$  we have

$$-\frac{1}{2} < \frac{1}{m} - \frac{1}{n} < \frac{1}{2},$$

so the only integer it can equal is 0. Hence  $\frac{1}{m} = \frac{1}{n}$ , so  $m = n$ . Thus the elements  $\left[\frac{1}{n}\right]$  are all distinct, giving infinitely many distinct elements of  $\mathbb{Q}/\mathbb{Z}$ .

Therefore  $\mathbb{Q}/\mathbb{Z}$  is an infinite abelian group.

**Exercise 9.** Let  $p$  be a fixed prime. Let  $R_p$  be the set of all those rational numbers whose denominator is relatively prime to  $p$ . Let  $R^p$  be the set of rationals whose denominator is a power of  $p$  ( $p^i, i \geq 0$ ). Prove that both  $R_p$  and  $R^p$  are abelian groups under ordinary addition of rationals.

*Solution.* Fix a prime  $p$ .

**(1) The set  $R_p$  is an abelian group under addition.**

By definition,  $R_p$  consists of those rationals  $a/b \in \mathbb{Q}$  (in lowest terms, with  $b > 0$ ) such that  $\gcd(b, p) = 1$ .

*Closure.* Let  $\frac{a}{b}, \frac{c}{d} \in R_p$  with  $\gcd(b, p) = \gcd(d, p) = 1$ . Then

$$\frac{a}{b} + \frac{c}{d} = \frac{ad + bc}{bd}.$$

Since  $\gcd(b, p) = \gcd(d, p) = 1$ , we also have  $\gcd(bd, p) = 1$ . When the fraction  $\frac{ad+bc}{bd}$  is reduced to lowest terms, its denominator divides  $bd$ , hence is still relatively prime to  $p$ . Therefore  $\frac{a}{b} + \frac{c}{d} \in R_p$ .

*Identity.*  $0 = \frac{0}{1} \in R_p$  because  $\gcd(1, p) = 1$ .

*Inverses.* If  $\frac{a}{b} \in R_p$ , then  $-\frac{a}{b} \in R_p$  and  $\frac{a}{b} + (-\frac{a}{b}) = 0$ .

*Associativity and commutativity.* These are inherited from addition in  $\mathbb{Q}$ . Hence  $R_p$  is an abelian group under addition.

**(2) The set  $R^p$  is an abelian group under addition.**

By definition,  $R^p$  consists of rationals of the form  $\frac{a}{p^i}$  with  $a \in \mathbb{Z}$  and  $i \geq 0$ .

*Closure.* Let  $\frac{a}{p^i}, \frac{c}{p^j} \in R^p$ . Then

$$\frac{a}{p^i} + \frac{c}{p^j} = \frac{ap^j + cp^i}{p^{i+j}}.$$

This is again a rational whose denominator is a power of  $p$ , so it lies in  $R^p$ .

*Identity.*  $0 = \frac{0}{p^0} \in R^p$ .

*Inverses.* If  $\frac{a}{p^i} \in R^p$ , then  $-\frac{a}{p^i} \in R^p$ .

*Associativity and commutativity.* Again inherited from  $\mathbb{Q}$ . Therefore  $R^p$  is an abelian group under addition.

**Exercise 10.** Let  $p$  be a prime and let  $Z(p^\infty)$  be the following subset of the group  $\mathbb{Q}/\mathbb{Z}$  (see Pg.27):

$$Z(p^\infty) = \{\overline{a/b} \in \mathbb{Q}/\mathbb{Z} \mid a, b \in \mathbb{Z} \text{ and } b = p^i \text{ for some } i \geq 0\}.$$

Show that  $Z(p^\infty)$  is an infinite group under the addition operation of  $\mathbb{Q}/\mathbb{Z}$ .

*Solution.* Fix a prime  $p$ . Recall that  $\mathbb{Q}/\mathbb{Z}$  is an abelian group under  $\bar{x} + \bar{y} = \overline{x+y}$ . We show that  $Z(p^\infty)$  is an (infinite) subgroup.

**Subgroup.** Let  $\overline{a/p^i}, \overline{c/p^j} \in Z(p^\infty)$  (where  $i, j \geq 0$ ). Then in  $\mathbb{Q}/\mathbb{Z}$ ,

$$\overline{\frac{a}{p^i}} + \overline{\frac{c}{p^j}} = \overline{\frac{a}{p^i} + \frac{c}{p^j}} = \overline{\frac{ap^j + cp^i}{p^{i+j}}}.$$

Since  $p^{i+j}$  is again a power of  $p$ , the sum lies in  $Z(p^\infty)$ . Also,

$$-\overline{\frac{a}{p^i}} = \overline{-\frac{a}{p^i}} = \overline{\frac{-a}{p^i}} \in Z(p^\infty),$$

and the identity element  $\bar{0} = \overline{0/1}$  belongs to  $Z(p^\infty)$  (take  $i = 0$ ). Hence  $Z(p^\infty)$  is a subgroup of  $\mathbb{Q}/\mathbb{Z}$ , and therefore a group (indeed abelian) under the induced operation.

**Infinitude.** Consider the elements  $\overline{1/p^n} \in Z(p^\infty)$  for  $n \geq 1$ . We claim they are all distinct in  $\mathbb{Q}/\mathbb{Z}$ . If  $\overline{1/p^m} = \overline{1/p^n}$ , then

$$\frac{1}{p^m} - \frac{1}{p^n} \in \mathbb{Z}.$$

Assume  $m < n$ . Then

$$0 < \frac{1}{p^m} - \frac{1}{p^n} = \frac{p^{n-m} - 1}{p^n} < \frac{p^{n-m}}{p^n} = \frac{1}{p^m} \leq 1,$$

so the difference is an integer strictly between 0 and 1, which is impossible. Thus  $m = n$ . Therefore the classes  $\overline{1/p^n}$  are pairwise distinct, and  $Z(p^\infty)$  is infinite.

Hence  $Z(p^\infty)$  is an infinite group under addition in  $\mathbb{Q}/\mathbb{Z}$ .

**Exercise 11.** The following conditions on a group  $G$  are equivalent: (i)  $G$  is abelian; (ii)  $(ab)^2 = a^2b^2$  for all  $a, b \in G$ ; (iii)  $(ab)^{-1} = a^{-1}b^{-1}$  for all  $a, b \in G$ ; (iv)  $(ab)^n = a^n b^n$  for all  $n \in \mathbb{Z}$  and all  $a, b \in G$ ; (v)  $(ab)^n = a^n b^n$  for three consecutive integers  $n$  and all  $a, b \in G$ . Show that (v)  $\Rightarrow$  (i) is false if “three” is replaced by “two.”

*Solution.* We prove the implications

$$(i) \Leftrightarrow (ii) \Leftrightarrow (iii), \quad (i) \Rightarrow (iv) \Rightarrow (v) \Rightarrow (i),$$

and then show that in (v) the phrase “three consecutive integers” cannot be weakened to “two consecutive integers.”

(i)  $\Rightarrow$  (ii). If  $G$  is abelian, then  $ab = ba$ , hence

$$(ab)^2 = abab = aabb = a^2b^2.$$

(ii)  $\Rightarrow$  (i). Assume  $(ab)^2 = a^2b^2$  for all  $a, b \in G$ . Then

$$abab = aabb.$$

Cancel  $a$  on the left to obtain  $bab = abb$ , and then cancel  $b$  on the right to obtain  $ba = ab$ . Thus  $G$  is abelian.

(i)  $\Rightarrow$  (iii). If  $G$  is abelian, then  $(ab)^{-1} = b^{-1}a^{-1} = a^{-1}b^{-1}$ .

(iii)  $\Rightarrow$  (i). Assume  $(ab)^{-1} = a^{-1}b^{-1}$  for all  $a, b \in G$ . Taking inverses of both sides gives

$$ab = ((ab)^{-1})^{-1} = (a^{-1}b^{-1})^{-1} = ba,$$

so  $G$  is abelian.

Thus (i), (ii), (iii) are equivalent.

(i)  $\Rightarrow$  (iv). Assume  $G$  is abelian. For  $n \geq 0$ ,

$$(ab)^n = \underbrace{(ab) \cdots (ab)}_{n \text{ factors}} = \underbrace{a \cdots a}_{n \text{ factors}} \underbrace{b \cdots b}_{n \text{ factors}} = a^n b^n.$$

For  $n < 0$ , write  $n = -m$  with  $m > 0$ . Then

$$(ab)^n = (ab)^{-m} = ((ab)^{-1})^m = (a^{-1}b^{-1})^m = a^{-m}b^{-m} = a^n b^n,$$

using commutativity. Hence (iv) holds.

(iv)  $\Rightarrow$  (v). Immediate.

(v)  $\Rightarrow$  (i). Assume that for some three consecutive integers  $n = k, k + 1, k + 2$  we have

$$(ab)^n = a^n b^n \quad \text{for all } a, b \in G.$$

We prove that  $G$  is abelian.

**Step 1: From two consecutive exponents, get commutation with a power of  $b$ .**  
Using the identities for  $k$  and  $k + 1$ , we compute

$$(ab)^{k+1} = (ab)^k(ab) = a^k b^k ab,$$

and also

$$(ab)^{k+1} = a^{k+1} b^{k+1} = a^k a b^k b.$$

Equating these and cancelling  $a^k$  on the left gives

$$b^k ab = ab^k b.$$

Cancelling  $b$  on the right yields

$$b^k a = ab^k \quad \text{for all } a, b \in G. \tag{1.1}$$

Applying the same argument to the consecutive pair  $k + 1, k + 2$  gives

$$b^{k+1} a = ab^{k+1} \quad \text{for all } a, b \in G. \tag{1.2}$$

**Step 2: Consecutive powers force commutation with  $b$ .** Since  $\gcd(k, k + 1) = 1$ , there exist integers  $u, v$  such that

$$uk + v(k + 1) = 1.$$

Hence, for every  $b \in G$ ,

$$b = b^{uk+v(k+1)} = (b^k)^u (b^{k+1})^v.$$

By (1.1) and (1.2), every element  $a \in G$  commutes with  $b^k$  and with  $b^{k+1}$ , hence also with all their integer powers and with their product. Therefore  $ab = ba$  for all  $a, b \in G$ , so  $G$  is abelian.

Thus (v)  $\Rightarrow$  (i).

**Failure for “two consecutive integers”.** If in (v) we require the identity  $(ab)^n = a^n b^n$  only for two consecutive integers, we may take  $n = 0, 1$ . But for every group and all  $a, b$ ,

$$(ab)^0 = e = a^0 b^0, \quad (ab)^1 = ab = a^1 b^1.$$

Thus the weakened condition holds in every group, including nonabelian groups (e.g.  $D_4$ ), so it does not imply that  $G$  is abelian.

**Exercise 12.** If  $G$  is a group,  $a, b \in G$  and  $bab^{-1} = a^r$  for some  $r \in \mathbb{N}$ , then  $b^j ab^{-j} = a^{r^j}$  for all  $j \in \mathbb{N}$ .

*Solution.* We prove the statement by induction on  $j \in \mathbb{N}$ .

**Base case.** For  $j = 0$  we have  $b^0 ab^{-0} = a$ , and  $a^{r^0} = a^1 = a$ , so the formula holds. For  $j = 1$  the formula is exactly the hypothesis  $bab^{-1} = a^r$ .

**Inductive step.** Assume for some  $j \geq 0$  that

$$b^j ab^{-j} = a^{r^j}.$$

Conjugate both sides by  $b$ . Using  $bx b^{-1}$  as an automorphism of  $G$ , we obtain

$$b^{j+1} ab^{-(j+1)} = b(b^j ab^{-j})b^{-1} = b a^{r^j} b^{-1} = (bab^{-1})^{r^j}.$$

(The last equality uses the general fact that conjugation preserves powers:  $bx^n b^{-1} = (bx b^{-1})^n$  for all  $n \in \mathbb{N}$ , proved by a short induction on  $n$ .)

Now apply the hypothesis  $bab^{-1} = a^r$ :

$$(bab^{-1})^{r^j} = (a^r)^{r^j} = a^{r \cdot r^j} = a^{r^{j+1}}.$$

Thus

$$b^{j+1} ab^{-(j+1)} = a^{r^{j+1}},$$

completing the induction.

Therefore  $b^j ab^{-j} = a^{r^j}$  for all  $j \in \mathbb{N}$ .

**Exercise 13.** If  $a^2 = e$  for all elements  $a$  of a group  $G$ , then  $G$  is abelian.

*Solution.* Assume that  $a^2 = e$  for every  $a \in G$ . Then each element is its own inverse: indeed  $a^2 = e$  implies  $a^{-1} = a$ .

Let  $a, b \in G$ . Consider  $(ab)^2$ . By the hypothesis,  $(ab)^2 = e$ , so

$$(ab)(ab) = e.$$

But  $(ab)^{-1} = b^{-1}a^{-1} = ba$ , since  $a^{-1} = a$  and  $b^{-1} = b$ . Hence

$$e = (ab)(ab) \implies (ab)^{-1} = ab.$$

Therefore  $ab = ba$ . Since  $a, b$  were arbitrary,  $G$  is abelian.

**Exercise 14.** If  $G$  is a finite group of even order, then  $G$  contains an element  $a \neq e$  such that  $a^2 = e$ .

*Solution.* Let  $G$  be a finite group of even order. Consider the set

$$S = \{a \in G \mid a \neq e\}.$$

For each  $a \in S$ , either  $a = a^{-1}$  or  $a \neq a^{-1}$ .

If  $a \neq a^{-1}$ , then the elements  $a$  and  $a^{-1}$  are distinct and can be paired together. Thus all elements of  $S$  that are *not* equal to their own inverse can be partitioned into disjoint pairs  $\{a, a^{-1}\}$ .

Since  $|G|$  is even,  $|S| = |G| - 1$  is odd. Removing an even number of elements (the paired elements) from the odd-sized set  $S$  leaves an odd number of elements. Hence there must exist at least one element  $a \in S$  that is not paired with a distinct inverse, i.e. such that  $a = a^{-1}$ .

For this element  $a \neq e$ , we have  $a = a^{-1}$ , which implies

$$a^2 = e.$$

Thus  $G$  contains a non-identity element of order 2.

**Exercise 15.** Let  $G$  be a nonempty finite set with an associative binary operation such that for all  $a, b, c \in G$   $ab = ac \implies b = c$  and  $ba = ca \implies b = c$ . Then  $G$  is a group. Show that this conclusion may be false if  $G$  is infinite.

*Solution.* **Finite case.** Assume  $G$  is a nonempty finite set with an associative binary operation, and that both left and right cancellation hold:

$$ab = ac \implies b = c, \quad ba = ca \implies b = c.$$

Fix  $a \in G$ . Consider the left translation  $L_a : G \rightarrow G$  given by  $L_a(x) = ax$ . Left cancellation says  $L_a$  is injective, hence (since  $G$  is finite)  $L_a$  is surjective. Hence for every  $b \in G$  the equation

$$ax = b$$

has a solution  $x \in G$ .

Similarly, consider the right translation  $R_a : G \rightarrow G$  given by  $R_a(x) = xa$ . Right cancellation implies  $R_a$  is injective, hence surjective. Hence for every  $b \in G$  the equation

$$ya = b$$

has a solution  $y \in G$ .

Thus for all  $a, b \in G$ , both equations  $ax = b$  and  $ya = b$  are solvable in  $G$ . By Proposition 1.4,  $G$  is a group.

**Infinite case (counterexample).** Let  $G = \mathbb{N} = \{0, 1, 2, \dots\}$  with the operation  $+$ . Addition is associative, and both cancellation laws hold:

$$a + b = a + c \implies b = c, \quad b + a = c + a \implies b = c.$$

However  $(\mathbb{N}, +)$  is not a group: although 0 is an identity, most elements have no additive inverses in  $\mathbb{N}$  (for example, there is no  $x \in \mathbb{N}$  with  $1 + x = 0$ ). Hence the conclusion may fail when  $G$  is infinite.

**Exercise 16.** Let  $a_1, a_2, \dots$  be a sequence of elements in a semigroup  $G$ . Then there exists a unique function  $\psi : \mathbb{N}^* \rightarrow G$  such that  $\psi(1) = a_1$ ,  $\psi(2) = a_1 a_2$ ,  $\psi(3) = (a_1 a_2) a_3$  and for  $n \geq 1$ ,  $\psi(n+1) = (\psi(n)) a_{n+1}$ . Note that  $\psi(n)$  is precisely the standard  $n$  product  $\prod_{i=1}^n a_i$ . [Hint: Applying the Recursion Theorem 6.2 of the Introduction with  $a = a_1$ ,  $S = G$  and  $f_n : G \rightarrow G$  given by  $x \mapsto x a_{n+2}$  yields a function  $\varphi : \mathbb{N} \rightarrow G$ . Let  $\psi = \varphi \theta$ , where  $\theta : \mathbb{N}^* \rightarrow \mathbb{N}$  is given by  $k \mapsto k - 1$ .]

*Solution.* Let  $G$  be a semigroup and let  $a_1, a_2, \dots$  be a sequence in  $G$ . We apply the Recursion Theorem 6.2 from the Introduction in the form:

Given a set  $S$ , an element  $a \in S$ , and maps  $f_n : S \rightarrow S$  ( $n \in \mathbb{N}$ ), there exists a unique function  $\varphi : \mathbb{N} \rightarrow S$  such that

$$\varphi(0) = a, \quad \varphi(n+1) = f_n(\varphi(n)) \quad (n \in \mathbb{N}).$$

Take  $S = G$  and  $a = a_1$ . For each  $n \in \mathbb{N}$ , define

$$f_n : G \rightarrow G, \quad f_n(x) = x a_{n+2}.$$

Since  $G$  is a semigroup, the product  $x a_{n+2}$  is defined for all  $x \in G$ , so each  $f_n$  is well defined. By the Recursion Theorem, there exists a unique  $\varphi : \mathbb{N} \rightarrow G$  satisfying

$$\varphi(0) = a_1, \quad \varphi(n+1) = \varphi(n) a_{n+2} \quad (n \in \mathbb{N}).$$

Now define  $\theta : \mathbf{N}^* \rightarrow \mathbb{N}$  by  $\theta(k) = k - 1$ , and set

$$\psi := \varphi \circ \theta : \mathbf{N}^* \rightarrow G.$$

Then

$$\begin{aligned} \psi(1) &= \varphi(0) = a_1, \\ \psi(2) &= \varphi(1) = \varphi(0)a_2 = a_1a_2, \end{aligned}$$

and in general for  $n \geq 1$ ,

$$\psi(n+1) = \varphi(n) = \varphi(n-1)a_{n+1} = \psi(n)a_{n+1}.$$

Thus  $\psi$  satisfies exactly the required recursion, so it exists.

For uniqueness: if  $\psi' : \mathbf{N}^* \rightarrow G$  is another function satisfying  $\psi'(1) = a_1$  and  $\psi'(n+1) = \psi'(n)a_{n+1}$ , define  $\varphi' : \mathbb{N} \rightarrow G$  by  $\varphi'(n) = \psi'(n+1)$ . Then

$$\varphi'(0) = \psi'(1) = a_1, \quad \varphi'(n+1) = \psi'(n+2) = \psi'(n+1)a_{n+2} = \varphi'(n)a_{n+2} = f_n(\varphi'(n)).$$

Hence  $\varphi'$  satisfies the same recursion as  $\varphi$ , so by the Recursion Theorem  $\varphi' = \varphi$ , and therefore  $\psi' = \varphi' \circ \theta = \varphi \circ \theta = \psi$ . Thus  $\psi$  is unique.

Finally, by construction  $\psi(n) = a_1a_2 \cdots a_n$ , i.e. the standard product  $\prod_{i=1}^n a_i$ .