ALMOST COMPATIBLE FUNCTIONS AND INFINITE LENGTH GAMES

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Abstract. TODO

1. Introduction

Definition 1. Two functions f, g are almost compatible, that is, $f \sim g$ when $\{a \in dom \ f \cap dom \ g : f(a) \neq g(a)\}$ is finite.

Marion Scheepers used almost compatible functions in [8] in order to study the existence of limited information strategies on a variation of the meager-nowhere dense game he introduced in [9].

Game 2. Let $Sch_{C,F}^{\cup,\subset}(\kappa)$ denote Scheepers' strict countable-finite union game with two players \mathscr{C} , \mathscr{F} . In round 0, \mathscr{C} chooses $C_0 \in [\kappa]^{\leq \omega}$, followed by \mathscr{F} choosing $F_0 \in [\kappa]^{<\omega}$. In round n+1, \mathscr{C} chooses $C_{n+1} \in [\kappa]^{\leq \omega}$ such that $C_{n+1} \supset C_n$, followed by \mathscr{F} choosing $F_{n+1} \in [\kappa]^{<\omega}$.

 \mathscr{F} wins the game if $\bigcup_{n<\omega} F_n \supseteq \bigcup_{n<\omega} C_n$; otherwise, \mathscr{C} wins.

Of course, with perfect information this game is trivial: during round n player \mathscr{F} simply chooses n ordinals from each of the n countable sets played by \mathscr{C} . However, if \mathscr{F} is limited to using information from the last k moves by \mathscr{C} during each round, the task becomes more difficult. Call such a strategy a k-tactical strategy or k-tactic; if using the round number is allowed, then the strategy is called a k-Markov strategy or a k-mark.

Definition 3. The statement $A(\kappa)$ (given as $S(\kappa, \aleph_0, \omega)$ in [8] and $S(\kappa)$ in [1]) claims that there exist one-to-one functions $f_A : A \to \omega$ for each $A \in [\kappa]^{\leq \aleph_0}$ such that the collection $\{f_A : A \in [\kappa]^{\leq \aleph_0}\}$ is pairwise almost compatible.

In the same paper, Scheepers noted that $A(\omega_1)$ holds in ZFC, and that it's possible to force \mathfrak{c} to be arbitrarily large while preserving $A(\mathfrak{c})$. However, $A(\mathfrak{c}^+)$ always fails. This axiom may be applied to obtain a winning 2-tactic for \mathscr{F} in the countable-finite game.

In [1], Clontz related this game to a game which may be used to characterize the Menger covering property of a topological space.

Key words and phrases. TODO.

Game 4. Let $Men_{C,F}(X)$ denote the $Menger\ game$ with players \mathscr{C} , \mathscr{F} . In round n, \mathscr{C} chooses an open cover \mathcal{U}_n , followed by \mathscr{F} choosing subset F_n of X which may be finitely covered by \mathcal{U}_n .

 \mathscr{F} wins the game if $X = \bigcup_{n < \omega} F_n$, and \mathscr{C} wins otherwise.

This characterization is slightly different than the typical characterization in which the second player first chooses a specific finite subcollection \mathcal{F}_n of the cover itself and lets $F_n = \bigcup \mathcal{F}_n$, denoted as $G_{fin}(\mathcal{O}, \mathcal{O})$ in [10]. However, it's easily seen that these games are equivalent for perfect information strategies (so both characterize the Menger property), and this characterization is more convenient for our concerns.

Definition 5. Let $\kappa^{\dagger} = \kappa \cup \{\infty\}$ where κ is discrete and ∞ 's neighborhoods are the co-countable sets containing it.

The relationship between $Sch_{C,F}^{\cup,\subset}(\kappa)$ and $Men_{C,F}(\kappa^{\dagger})$ is strong; in both games $\mathscr C$ essentially chooses a countable subset of κ followed by $\mathscr F$ choosing a finite subset of that choice, and it's easy to see the winning perfect information strategy for $\mathscr F$ in both games. In addition, it was shown in [1] that when $A(\kappa)$ holds, $\mathscr F$ has a winning 2-Markov strategy in $Men_{C,F}(\kappa^{\dagger})$.

One source of motivation is to make progress on the following open question:

Question 6. Does there exist a topological space X for which $\mathscr{F} \uparrow Men_{C,F}(X)$ but $\mathscr{F} \uparrow Men_{C,F}(X)$? (That is, the second player can win the Menger game on X with perfect information but not with 2-Markov information.)

2. One-to-one and finite-to-one almost compatible functions

We may weaken Scheeper's $A(\kappa)$ as follows:

Definition 7. The statement $A'(\kappa)$ weakens $A(\kappa)$ by only requiring the witnessing almost-compatible functions $f_A: A \to \omega$ to be finite-to-one.

Proposition 8. $A(\kappa)$ and $A'(\kappa)$ need only be witnessed by functions $\{f_A : A \in \mathcal{S}\}$ for some family \mathcal{S} cofinal in $[\kappa]^{\leq \aleph_0}$.

Proof. For each
$$A \in [\kappa]^{\leq \aleph_0}$$
 choose $A' \supseteq A$ from S and let $g_A = f_{A'} \upharpoonright A$.

In the next section we will show that $A'(\kappa)$ is sufficient for the applications to the Scheepers and Menger games. In the meantime, we will demonstrate that $A'(\kappa)$ is strictly weaker than $A(\kappa)$.

Recall the following.

Definition 9. A Kurepa family $\mathcal{K} \subseteq [\kappa]^{\aleph_0}$ on κ satisfies that $\mathcal{K} \upharpoonright A = \{K \cap A : K \in \mathcal{K}\}$ is countable for each $A \in [\kappa]^{\aleph_0}$. Let $K(\kappa)$ be the statement claiming there exists a Kurepa family on κ cofinal in $[\kappa]^{\aleph_0}$.

Theorem 10. $K(\kappa) \Rightarrow A'(\kappa)$.

Proof. Let $\mathcal{K} = \{K_{\alpha} : \alpha < \theta\}$ be a cofinal Kurepa family on κ . We first define $f_{\alpha} : K_{\alpha} \to \omega$ for each $\alpha < \theta$.

Suppose we've already defined pairwise almost compatible finite-to-one functions $\{f_{\beta}: \beta < \alpha\}$. To define f_{α} , we first recall that $\mathcal{K} \upharpoonright K_{\alpha}$ is countable, so we may choose $\beta_n < \alpha$ for $n < \omega$ such that $\{K_{\beta}: \beta < \alpha\} \upharpoonright K_{\alpha} \setminus \{\emptyset\} = \{K_{\alpha} \cap K_{\beta_n}: n < \omega\}$. Let $K_{\alpha} = \{\delta_{i,j}: i \leq \omega, j < w_i\}$ where $w_i \leq \omega$ for each $i \leq \omega$, $K_{\alpha} \cap (K_{\beta_n} \setminus \bigcup_{m < n} K_{\beta_m}) = \{\delta_{n,j}: j < w_n\}$, and $K_{\alpha} \setminus \bigcup_{n < \omega} K_{\beta_n} = \{\delta_{\omega,j}: j < w_{\omega}\}$. Then let $f_{\alpha}(\delta_{n,j}) = \max(n, f_{\beta_n}(\delta_{n,j}))$ for $n < \omega$ and $f_{\alpha}(\delta_{\omega,j}) = j$ otherwise.

We should show that f_{α} is finite-to-one. Let $n < \omega$. Since $f_{\alpha}(\delta_{m,j}) \geq m$, we only consider the finite cases where $m \leq n$. Since each f_{β_m} is finite-to-one, $f_{\beta_m}(\delta_{m,j}) \leq n$ for only finitely many j. Thus $f_{\alpha}(\delta_{m,j}) = \max(m, f_{\beta_m}(\delta_{m,j}))$ maps to n for only finitely many j.

We now want to demonstrate that $f_{\alpha} \sim f_{\beta_n}$ for all $n < \omega$. Note $\delta_{m,j} \in K_{\beta_n}$ implies $m \le n$. For m = n, we have $f_{\alpha}(\delta_{n,j}) = \max(n, f_{\beta_n}(\delta_{n,j}))$ which differs from $f_{\beta_n}(\delta_{n,j})$ for only the finitely many j which are mapped below n by f_{β_n} . For m < n and $\delta_{m,j} \in K_{\beta_n}$, we have $f_{\alpha}(\delta_{m,j}) = \max(m, f_{\beta_m}(\delta_{m,j}))$ which can only differ from $f_{\beta_n}(\delta_{m,j})$ for only the finitely many j which are mapped below m by f_{β_m} or the finitely many j for which the almost compatible $f_{\beta_n} \sim f_{\beta_m}$ differ.

Finally for any $\beta < \alpha$, we may conclude $f_{\alpha} \sim f_{\beta}$ since there is some β_n with $K_{\alpha} \cap K_{\beta} = K_{\alpha} \cap K_{\beta_n}$, $f_{\alpha} \sim f_{\beta_n}$, and $f_{\beta_n} \sim f_{\beta}$.

We now construct a topology on ω_n for each $n < \omega$ which will witness a Kurepa family of size \aleph_n . A similar construction was previously shown by Juhász et. al. in [4], and the relationship of Kurepa families and such spaces has also been investigated in a preprint of Nyikos [7].

Proposition 11. Let X be a T_2 space with a base of countable and compact neighborhoods. Then X is locally metrizable with a base of compact open countable sets.

Proof. For each point x let K be a countable and compact neighborhood of x, and it follows that it is contained in a countable, open, and locally compact neighborhood W of x, which in turn is zero-dimensional and metrizable. So choose V clopen in W such that $x \in V \subseteq K$; V is a compact open neighborhood of x in X.

Definition 12. A topological space is said to be ω -bounded if each countable subset of the space has compact closure. As in [4] we call a T_2 , locally countable, ω -bounded space *splendid*, and let $S(\kappa)$ represent the claim that there exists a splendid space of cardinality κ .

Proposition 13. Let X be a T_2 space with cardinality less than \aleph_{ω} which is locally countable and ω -bounded. Then the closure operation preserves cardinality and weight.

Proof. Note that the closure of any countable neighborhood is compact, and any Lindelöf set is countable. This space is locally metrizable and thus first-countable, so cardinality and weight coincide for any subspace. The result is obvious if A is countable; otherwise let $A = \{a_{\alpha} : \alpha < \omega_{n+1}\}$ and since basic neighborhoods are countable note any limit point of A is a limit point of $A_{\beta} = \{a_{\alpha} : \alpha < \beta\}$ for some $\beta < \omega_{n+1}$. Thus $\overline{A} = \bigcup_{\beta < \omega_{n+1}} \overline{A_{\beta}}$ and by induction $|\overline{A}| = |A|$.

Lemma 14. Let X be a T_2 space with cardinality less than \aleph_{ω} which is locally countable and locally compact, and such that its closure operation preserves cardinalities. Then X has an ω -bounded extension \tilde{X} with the same properties where $\tilde{X} \setminus X$ has the same cardinality as X.

Proof. We prove this by induction on n. If n=0, then we can just use the one-point compactification of two copies of X. So suppose n>0 and that $X=\omega_n$ has an appropriate topology. Note that X has a base of countable and compact neighborhoods since the closure operation preserves cardinalities.

For each $\alpha < \omega_n$, γ_α may be chosen such that both the closure of the set α in X and a countable neighborhood of the point α are subsets of γ_α . Note that the set $\{\lambda < \omega_n : \alpha < \lambda \Rightarrow \gamma_\alpha < \lambda\}$ is a cub subset of ω_n containing a cub subset C of limit ordinals. Now for each $\lambda \in C$, the set λ is open as $\alpha < \lambda$ belongs to the neighborhood $\gamma_\alpha \subseteq \lambda$. Also, if λ has uncountable cofinality, then for $\beta \geq \lambda$ and any countable neighborhood U of β , $U \cap \lambda = U \cap \alpha$ for some $\alpha < \lambda$; thus $U \setminus \overline{\alpha} = U \setminus \lambda$ is a neighborhood of β , showing that λ is clopen.

Let $\tilde{X} = \omega_n \times 2$. By induction on $\lambda \in C$ we will define compatible topologies for $\tilde{X}_{\lambda} = \omega_n \times \{0\} \cup \lambda \times \{1\}$ such that

- $\omega_n \times \{0\}$ is an open copy of X,
- $\lambda \times 2$ is open, and when $cf(\lambda) > \omega$ also closed,
- the space has a base of countable and compact neighborhoods, and
- when λ is a successor, for each $\alpha < \lambda$ the closure of $\alpha \times 2$ is an ω -bounded subset of $\lambda \times 2$.

We first consider the case n=1. If λ is a limit in C, then $X_{\lambda} = \bigcup_{\mu \in C \cap \lambda} X_{\mu}$ satisfies the induction requirements. Otherwise we choose an increasing sequence of ordinals $\{\alpha_k : k \in \omega\}$ with limit λ such that α_0 is the predecessor of λ in C, or $\alpha_0 = 0$ if λ is the least element of C.

The subspace $\overline{\lambda} \times \{0\} \cup \alpha_0 \times 2$ of X is countable and locally compact; therefore it is metrizable and zero-dimensional. So we may choose increasing sets U_k for $k < \omega$ which are clopen in this topology and satisfy

$$\overline{\alpha_k \times \{0\} \cup \alpha_0 \times 2} = \overline{\alpha_k} \times \{0\} \cup \alpha_0 \times 2 \subseteq U_k \subseteq \lambda \times \{0\} \cup \alpha_0 \times 2$$

Note that U_k is also clopen in \tilde{X}_{α_0} since it is closed in $\overline{\lambda} \times \{0\} \cup \alpha_0 \times 2$ and open in $\lambda \times \{0\} \cup \alpha_0 \times 2$.

We need only describe a base for the points $\langle \alpha, 1 \rangle \in (\lambda \setminus \alpha_0) \times \{1\}$. We do so by letting $\langle \alpha, 1 \rangle$ be isolated when $\alpha \notin \{\alpha_k : k < \omega\}$, and giving $\langle \alpha_k, 1 \rangle$ the open neighborhoods $(U_k \cup ((\alpha_k + 1) \times \{1\})) \setminus K$ for each compact subset K of $U_k \cup (\alpha_k \times \{1\})$; that is, $\langle \alpha_k, 1 \rangle$ is the one point compactifying $U_k \cup (\alpha_k \times \{1\})$.

The first two requirements of our inductive hypothesis are obviously satsified. Note points in $\lambda \times 2$ are covered by the compact countable neighborhood $U_k \cup ((\alpha_k + 1) \times \{1\})$ for some $k < \omega$, and for points in $(\omega_n \setminus \lambda) \times \{0\}$ we may use a compact countable neighborhood from X. For the final requirement, note that for $\alpha < \lambda$, we may choose $\alpha < \alpha_k < \lambda$ and note that $\alpha \times 2$ is contained in the compact subset $U_k \cup ((\alpha_k + 1) \times \{1\})$ of $\lambda \times 2$.

For the case n>1, we may assume that the successors in C have uncountable cofinality. We again proceed by induction on $\lambda\in C$. Again when λ is a limit in C, $\tilde{X}_{\lambda}=\bigcup_{\mu\in C\cap\lambda}\tilde{X}_{\mu}$ satisfies the given requirements; in particular if $\alpha<\lambda$, then $\alpha<\mu<\lambda$ for some successor $\mu\in C$ with uncountable cofinality. As such, the closure of $\alpha\times 2$ is an ω -bounded subset of the clopen $\mu\times 2$ and therefore also of $\lambda\times 2$. In case λ is not a limit of C, then λ has uncountable cofinality and a predecessor $\mu\in C$. We therefore have that $\lambda\times\{0\}$ is clopen in $\omega_n\times\{0\}$. Since the cardinality of $\lambda\times\{0\}\cup\mu\times 2$ is less than \aleph_n , we may simply apply the induction hypothesis to choose an appropriate topology for $\lambda\times 2$.

As a result, $\tilde{X} = \bigcup_{\lambda \in C} \tilde{X}_{\lambda}$ is ω -bounded as any countable set is contained in some $\alpha \times 2$ for $\alpha < \lambda \in C$.

Theorem 15. For each $k < \omega$, there is a T_2 , locally countable, ω -bounded topology on ω_k . That is, $S(\aleph_k)$ for all $k < \omega$.

Proof. Apply the previous lemma to ω_n with the discrete topology.

Lemma 16. The family of compact open sets in a locally countable, ω -bounded topological space X is a Kurepa family cofinal in $[X]^{\omega}$. That is, $S(\kappa) \Rightarrow K(\kappa)$.

Proof. Let \mathcal{K} collect all compact open subsets of X. Of course, every Lindelöf set in a locally countable space is countable, and the closure of every countable set is a compact countable set; thus \mathcal{K} is cofinal in $[X]^{\omega}$. It is Kurepa since every countable set is contained in a countable compact open subspace of X; this subspace has a countable base of compact open sets, which closed under finite unions enumerates all compact open subsets of the subspace.

Corollary 17. $K(\aleph_k)$ for all $k < \omega$.

Alternatively, the previous corollary may be obtained via an observation of Todorcevic communicated by Dow in [2]: if every Kurepa family of size at most κ extends to a cofinal Kurepa family, then the same is true of κ^+ .

Nyikos points out in [7] that a cofinal Kurepa family may be used to construct a locally metrizable, ω -bounded, zero-dimensional space with appropriate cardinality, but whether this can be strengthened to locally countable and ω -bounded (as asked in [4]) remains an open question.

Also left open is this extension of the question asked in [7] and [4] on the possible equivalence of $S(\kappa)$ and $K(\kappa)$.

Question 18. May any of the implications in the theorem $S(\kappa) \Rightarrow K(\kappa) \Rightarrow A'(\kappa)$ be reversed?

Regardless, we have obtained our desired result.

Corollary 19. $A'(\aleph_k)$ for all $k < \omega$.

3. Consistency results

As noted in [2], Jensen's one-gap two-cardinal theorem under V = L introduced in [3] implies that $K(\kappa)$ holds for all cardinals κ .

Corollary 20 (V = L). $A'(\kappa)$ for all cardinals κ .

Weakening to the continuum hypothesis, we have an obvious consequence.

Corollary 21 (CH). $A'(\aleph_2)$, but $\neg A(\aleph_2)$.

But CH is not required to have $A(\aleph_2)$ fail.

Lemma 22 ([5]). Assume that $G \subset \operatorname{Fn}(\omega_2, 2)$ is a generic filter, and let $\mu \in \omega_2$. Then the final model V[G] can be regarded as forcing with $\operatorname{Fn}(\omega_2 \setminus \mu, 2)$ over the model $V[G_{\mu}]$. In addition, for each $\operatorname{Fn}(\omega_2, 2)$ -name \dot{A} of a subset of ω (treat as a subset of $\omega \times \operatorname{Fn}(\omega_2, 2)$), there is a canonical name $\dot{A}(G_{\mu})$ where,

$$\dot{A}(G_{\mu}) = \{(n, p \upharpoonright [\mu, \omega_2)) : (n, p) \in \dot{A} \quad and \quad p \upharpoonright \mu \in G_{\mu}\}$$

and we get that the valuation of $\dot{A}(G_{\mu})$ by the tail of the generic, $G_{\omega_2 \setminus \mu}$, is the same as the valuation of \dot{A} by the full generic.

Theorem 23. There exists a model of ZFC for which $\mathfrak{c} = \aleph_2$ and $\neg A(\aleph_2)$.

Proof. The forcing poset is $\operatorname{Fn}(\omega_2, 2)$. Let $\{\dot{f}_A : A \in [\omega_2]^\omega\}$ be a family of names such that \dot{f}_A is a one-to-one function from A into ω . It suffices to only consider sets A from the ground model.

Put all the lemma stuff in an elementary submodel M of the universe (technically of $H(\kappa)$, or of V_{κ} , for some large κ). Standard methods says that we can assume that $|M| = \omega_1 = \mathfrak{c}$ and that $M^{\omega} \subset M$ (which means that every countable subset of M is a member of M).

Let $\lambda = M \cap \omega_2$ (same as the supremum of $M \cap \omega_2$). Consider the name $\dot{f}_{[\lambda,\lambda+\omega)}$. What is such a name? We can assume that it is a set of pairs of the form $((\lambda + k, m), p)$ where $p \in Fn(\omega_2, 2)$ and, of course, $k, m \in \omega$. This is (almost) equivalent to saying that p forces that $\dot{f}_{[\lambda,\lambda+\omega)}(\lambda+k)=m$. We don't take all such p, in fact for each k, m it is enough to take a countable set of such p to get an equivalent name (Kunen calls it a nice name if we take, for each k, m an antichain that is maximal among such conditions). Given any such name \dot{f} , let supp (\dot{f}) denote the union of the domains of conditions p appearing in the name.

Also let Y equal $\operatorname{supp}(\dot{f}_{[\lambda,\lambda+\omega)})\setminus\lambda$. Let δ denote the order type of Y and let the 2-parameter notation $\varphi_{\mu,\lambda}$ be the order-preserving function from $\mu\cup Y$ onto the ordinal $\mu+\delta$. This lifts canonically to an order-preserving bijection $\varphi_{\mu,\lambda}$: $\operatorname{Fn}(\mu\cup Y,2)\mapsto\operatorname{Fn}(\mu+\delta,2)$. Similarly, we make sense of the name $\varphi_{\mu,\lambda}(\dot{f}_{[\lambda,\lambda+\omega)})$, call it F_M . Here simply, for each tuple $((k,m),p)\in\dot{f}_{[\lambda,\lambda+\omega)}$, we have that $((k,m),\varphi_{\mu,\lambda}(p))$ is in F_M . Again, let $\varphi_{\mu,\lambda}(\dot{f}_{[\lambda,\lambda+\omega)})$ be interpreted in the above sense as giving F_M (which is an element of M). Note that we do not regard δ as fixed here, but rather simply depending on the $\operatorname{supp}(\dot{f}_{[\lambda,\lambda+\omega)})$ described above. Other values replacing $\lambda>\mu$ will result in their own set Y and canonical map $\varphi_{\mu,\lambda}$; but one thing we do have to assume (or arrange) for other values α replacing λ is that $\operatorname{supp}(\dot{f}_{[\alpha,\alpha+\omega)})$ intersected with α is contained in μ .

Now the object F_M is an element of M, and M believes this statement is true:

$$(\forall \beta \in \omega_2) \ (\exists \beta < \lambda \in \omega_2) \ \operatorname{supp}(\dot{f}_{[\lambda, \lambda + \omega)}) \cap \lambda \subset \mu \ \text{and} \ F_M = \varphi_{\mu, \lambda}(\dot{f}_{[\lambda, \lambda + \omega)})$$

But now, this means that, not only is there an $\alpha \in M$, $F_M = \varphi_{\mu,\alpha}(\dot{f}_{[\alpha,\alpha+\omega)})$ but also that there is an increasing sequence $\{\alpha_{\xi} : \xi \in \omega_1\} \subset \lambda$ of such α 's satisfying that, for each ξ we have that $\operatorname{supp}(\dot{f}_{[\alpha_{\xi},\alpha_{\xi}+\omega)})$ is contained in $\alpha_{\xi+1}$.

Choose such a sequence. This means that if we let $A = \bigcup_{n>0} [\alpha_n, \alpha_n + \omega)$ we have the name \dot{f}_A in M. This then means that all the $((\beta, m), p)$ appearing in \dot{f}_A have the property that dom(p) is contained in M. There is, within M, a name \dot{g} satisfying that $\dot{f}_A(\alpha_n + k) = \dot{f}_{[\alpha_n, \alpha_n + \omega)}(\alpha_n + k)$ for all $k > \dot{g}(n)$.

We now apply the above Lemma using $\mu = \mu_0$ and we are now working in the extension $V[G_{\mu}]$. We will abuse the notation and use $\dot{f}_{[\alpha_n,\alpha_n+\omega)}$ instead of $\dot{f}_{[\alpha_n,\alpha_n+\omega)}(G_{\mu})$ as defined in the Lemma. We work for a contradiction. Something special has now happened, namely, the supports of the names $\{\dot{f}_{[\alpha_n,\alpha_n+\omega)}: 0 < n < \omega\}$ are pairwise disjoint and also disjoint from the support of the name $\dot{f}_{[\lambda,\lambda+\omega)}$ (under the same convention about G_{μ} . And not only that, these names are pairwise isomorphic (in the way that they all map to F_M).

Since A is disjoint from $[\lambda, \lambda + \omega)$, there must be an integer ℓ together with a condition $q \in Fn(\omega_2 \setminus \mu, 2)$ satisfying that for all $n > \ell$, q forces that

"if
$$k > \dot{g}(n)$$
 (since $\alpha_n + k \in A$) then $\dot{f}_{[\alpha_n, \alpha_n + \omega)}(\alpha_n + k) \neq \dot{f}_{[\lambda, \lambda + \omega)}(\lambda + k)$ ".

Choose n large enough so that $dom(q) \cap [\alpha_n, \mu_{n+1})$ is empty. Choose $q_1 < q \upharpoonright \lambda$ (in M) so that

$$\varphi_{\mu,\alpha_n}(q_1 \upharpoonright \operatorname{supp}(\dot{f}_{[\alpha_n,\alpha_n+\omega)}) = \varphi_{\mu,\lambda}(q \upharpoonright \operatorname{supp}(\dot{f}_{[\lambda,\lambda+\omega)})$$

and then (again in M) choose $q_2 < q_1$ so that it both forces a value L on $\ell + \dot{g}(n)$ and subsequently forces a value m on $\dot{f}_{[\alpha_n,\alpha_n+\omega)}(\alpha_n+L+1)$. But now, again calculate

$$q_3 = \varphi_{\mu,\lambda}^{-1} \circ \varphi_{\mu,\alpha_n}(q_2 \upharpoonright \operatorname{supp}(\dot{f}_{[\alpha_n,\alpha_n+\omega)}))$$

and, by the isomorphisms, we have that q_3 forces that $\dot{f}_{[\lambda,\lambda+\omega)}(\lambda+L+1)=m$.

Technically (or with more care) all of this is taking place in the poset $\operatorname{Fn}(\omega_2 \setminus \mu, 2)$ and this means that q_3 and q are all compatible with each other.

Follow the bouncing ball: it suffices to consider $q(\beta) = e$ and to assume that $q_3(\beta)$ is defined. Since $q_3(\beta)$ is defined, we have that there is a $\beta' \in dom(q_2)$ such that $\varphi_{\mu,\lambda}(\beta) = \varphi_{\mu,\alpha_n}(\beta')$, and that $q_3(\beta) = q_2(\beta')$. But, by definition of q_1 , $\beta' \in dom(q_1)$ and even that $q_1(\beta') = q(\beta)$. Then, since $q_2 < q_1$, we have that $q_2(\beta') = q_1(\beta') = q(\beta)$. This completes the circle that $q_3(\beta) = q(\beta)$.

Finally, our contradiction is that $q_3 \cup q_2 \cup q$ forces that k = L + 1 violates the quoted statement above.

We are also able to force $A'(\kappa)$ to fail for every cardinal other than the first ω -many we've already guaranteed.

Theorem 24. There exists a model of ZFC for which $\neg A'(\aleph_{\omega})$.

Proof. We will need the model constructed in [6] in which an instance of Chang's conjecture $(\aleph_{\omega+1}, \aleph_{\omega}) \rightarrow (\aleph_1, \aleph_0)$ is shown to fail.

We can take as a given (as shown in [6, Theorem 5]) that we may assume that we have a model V of GCH in which there are regular limit cardinals $\kappa < \lambda$ satisfying that $(\lambda^{+\omega+1}, \lambda^{+\omega}) \twoheadrightarrow (\kappa^{+\omega+1}, \kappa^{+\omega})$.

What this says is that if L is a countable language with at least one unary relation symbol R and M is a model of L with base set $\lambda^{+\omega+1}$ in which the interpretation of R has cardinality $\lambda^{+\omega}$, then M has an elementary submodel N of cardinality $\kappa^{+\omega+1}$ in which $R\cap N$ has cardinality $\kappa^{+\omega}$ (of course $R\cap N$ is the interpretation of R in N because $N \prec M$).

The interested reader will want to know that it is shown in [6] that if κ is a 2-huge cardinal and j is the 2-huge embedding with critical point κ , then with $\lambda = j(\kappa)$ one has that $(\lambda^{+\omega+1}, \lambda^{+\omega}) \rightarrow (\kappa^{+\omega+1}, \kappa^{+\omega})$ holds.

Let $\{h_{\xi}: \xi \in \lambda^{+\omega+1}\}$ be a scale in $\Pi\{\lambda^{+n+1}: n \in \omega\}$ ordered by the usual mod finite coordinatewise ordering. For convenience we may assume that $h_{\xi}(n) \geq \lambda^{+n}$ for all ξ and all n. If P is any poset of cardinality less than $\lambda^{+\omega}$, then in the forcing extension by P, the sequence $\{h_{\xi}: \xi \in \lambda^{+\omega+1}\}$ remains cofinal in $\Pi\{\lambda^{+n+1}: n \in \omega\}$.

The forcing notion \mathbb{P}_0 is simply the finite condition collapse of $\kappa^{+\omega}$, i.e. $\mathbb{P}_0 = (\kappa^{+\omega})^{<\omega}$. In the forcing extension by \mathbb{P}_0 , one now has that the ordinal $\kappa^{+\omega+1}$ from V is the first uncountable cardinal \aleph_1 . Then in this forcing extension we let \mathbb{P}_1 be the countable condition Levy collapse, $Lv(\lambda,\omega_2)$, which collapses all cardinals less than λ to have cardinality at most \aleph_1 . The poset \mathbb{P}_1 has cardinality λ . We treat \mathbb{P}_1 as containing \mathbb{P}_0 as a subposet by identifying each $(p_0,1)$ with p_0 . After forcing with $\mathbb{P}_0 * \mathbb{P}_1$ we will have that ω_1 is the ordinal $(\kappa^{+\omega+1})^V$, ω_2 is the ordinal λ , and ω_{ω} is the ordinal $(\lambda^{+\omega})^V$.

Now we assume that we have an assignment $\dot{f}_{\dot{A}}$ of a $\mathbb{P}_0 * \mathbb{P}_1$ -name of a finite-to-one function from \dot{A} into ω for each $\mathbb{P}_0 * \mathbb{P}_1$ -name of a countable subset of $\lambda^{+\omega+1}$. We will obtain a contradiction.

Let $\{\dot{A}_{\xi}: \xi \in \lambda^{+\omega+1}\}$ be an enumeration of all the nice \mathbb{P}_0 -names of countable subsets of $\lambda^{+\omega}$. For each $\xi \in \lambda^{+\omega+1}$, let \dot{f}_{ξ} be another notation for $\dot{f}_{\dot{A}_{\xi}}$. Since \mathbb{P}_0 forces that \mathbb{P}_1 is countably closed, the collection of all nice \mathbb{P}_0 -names will produce all the countable sets in the extension by $\mathbb{P}_0 * \mathbb{P}_1$, but $\mathbb{P}_0 * \mathbb{P}_1$ can introduce new enumerations of these names. For each $\xi \in \lambda^{+\omega+1}$, there is a minimal ζ_{ξ} so that $\dot{A}_{\zeta_{\xi}}$ is the canonical name for the range of h_{ξ} . This means that $\dot{f}_{\zeta_{\xi}} \circ h_{\xi}$ is simply the $\mathbb{P}_0 * \mathbb{P}_1$ -name of a finite-to-one function from ω to ω . For each $\xi \in \lambda^{+\omega+1}$, choose any $p_{\xi} \in \mathbb{P}_0 * \mathbb{P}_1$ so that there is a nice \mathbb{P}_0 -name, \dot{H}_{ξ} , that is forced by p_{ξ} to equal $\dot{f}_{\zeta_{\xi}} \circ h_{\xi}$. Choose $\Lambda \subset \lambda^{+\omega+1}$ of cardinality $\lambda^{+\omega+1}$ and so that there is a pair p, \dot{H} satisfying that $p_{\xi} = p$ and $\dot{H}_{\xi} = \dot{H}$ for all $\xi \in \Lambda$. We may assume that p is in a generic filter G.

Let $\{x_{\xi}: \xi \in \lambda^{+\omega+1}\}$ be any enumeration of $H(\lambda^{+\omega+1})$ such that $\{x_{\xi}: \xi \in \lambda^{+\omega}\}$ is also equal to $H(\lambda^{+\omega})$. We choose this enumeration in such a way that $x_{\xi} \in x_{\eta}$ implies $\xi < \eta$. We use relation symbol R_0 to code (and well order) $(H(\lambda^{+\omega+1}), \in)$

as follows: $(\xi, \eta) \in R_0$ if and only if $x_{\xi} \in x_{\eta}$. Let R_1 be a binary relation on $\kappa^{+\omega}$ so that $(\kappa^{+\omega}, R_1)$ is isomorphic to \mathbb{P}_0 . Let R_2 be a binary relation on λ so that $R_2 \cap (\kappa^{+\omega} \times \kappa^{+\omega}) = R_1$ and (λ, R_2) is isomorphic to $\mathbb{P}_0 * \mathbb{P}_1$. Let ψ be the poset isomorphism from λ to $\mathbb{P}_0 * \mathbb{P}_1$.

We continue coding. We can code the sequence $\{h_{\xi}: \xi \in \lambda^{+\omega+1}\}$ as another binary relation R_3 on $\lambda^{+\omega+1}$ where $R_3 \cap (\{\xi\} \times \lambda^{+\omega+1}) = \{(\xi, h_{\xi}(n)) : n \in \omega\}$ for each $\xi \in \lambda^{+\omega+1}$. The relation symbol R_4 can code the sequence $\{\dot{A}_{\xi}: \xi \in \lambda^{+\omega+1}\}$ where $(\xi, \alpha, \zeta) \in R_4$ if and only if $(\check{\alpha}, \psi(\zeta))$ is in the name \dot{A}_{ξ} . Let R_5 code this collection, i.e. $(\gamma, n, m, \eta) \in R_5$ if and only if $((n, m), \psi(\eta)) \in \dot{H}_{\gamma}$. Also let R_6 code (equal) the set Λ . Finally we use the relation symbol R_7 to similarly code the sequence $\{\dot{f}_{\xi}: \xi \in \lambda^{+\omega+1}\}$: $(\xi, \alpha, n, \zeta) \in R_7$ if and only if $((\alpha, n), \psi(\zeta))$ is in the name \dot{f}_{ξ} .

Needless to say, the unary relation symbol R is interpreted as the set $\lambda^{+\omega}$ for the application of $(\lambda^{+\omega+1}, \lambda^{+\omega})$ — $*(\kappa^{+\omega+1}, \kappa^{+\omega})$. Now we have defined our model M of the language $L = \{ \in, R, R_0, \dots, R_7 \}$, and we choose an elementary submodel N witnessing $(\lambda^{+\omega+1}, \lambda^{+\omega})$ — $*(\kappa^{+\omega+1}, \kappa^{+\omega})$. Of course N is really just a $\kappa^{+\omega+1}$ sized subset of $\lambda^{+\omega+1}$ with the additional property that $N \cap \lambda^{+\omega}$ has cardinality $\kappa^{+\omega}$. In the forcing extension N has cardinality ω_1 and $A = N \cap \lambda^{+\omega}$ is countable.

We will need the following claim from [6]:

Claim. We may assume that N satisfies that $N \cap \kappa^{+\omega+1}$ is transitive (i.e. an initial segment).

Proof of Claim. Suppose our originally supplied N fails the conclusion of the claim. We know that $\kappa^{+\omega} \in N$, (via R_1) in which case so is $\kappa^{+\omega+1}$.

Then set $\beta_0 = \sup(N \cap \kappa^{+\omega+1})$ and consider the Skolem closure $Hull(N \cup \beta_0, M)$. A little informally (in that we have to formalize the enumeration of formulas) let $\{\varphi_n:n\in\omega\}$ is the enumeration of all formulas in the language L, and let ℓ_n be the minimal integer such that the free variables of φ_n are among $\{v_0, \ldots, v_{\ell_n}\}$. Then, for each tuple $\langle \xi_1, \dots, \xi_{\ell_n} \rangle$ of elements of $\lambda^{+\omega+1}$, we define $f_n(\xi_1, \dots, \xi_{\ell_n})$ to be the minimal $\xi_0 \in \lambda^{+\omega+1}$ such that $M \models \varphi_n(\xi_0, \dots, \xi_{\ell_n})$. If there is no such ξ_0 , in other words if $M \models \neg \exists x \ \varphi_n(x, \xi_1, \dots, \xi_{\ell_n})$, then set $f_n(\xi_1, \dots, \xi_{\ell_n})$ to be 0. Now $Hull(N \cup \beta_0, M)$ is just the minimal superset X of $N \cup \beta_0$ that satisfies that $f_n[X^{\{1,\ldots,\ell_n\}}] \subset X$ for all n. Since this is simply a large algebra, we can generate all the terms t of the algebraic operations $\{f_n : n \in \omega\}$. It is easily seen that for each $\zeta \in X$, there is a term $t(v_1, \ldots, v_m)$ such that $\zeta = t(\delta_1, \ldots, \delta_m)$ for some sequence $\langle \delta_1, \dots, \delta_m \rangle$ with each $\delta_i \in N \cup \beta_0$. Assume that $\zeta \in \kappa^{+\omega+1}$. By re-indexing the variables in the term we can assume that there is an $n \leq m$ so that $\delta_i < \beta_0$ for $1 \le i \le n$ and $\kappa^{+\omega+1} \le \delta_i$ for $n < i \le m$. Let \vec{a} denote the tuple $\langle \delta_{n+1}, \ldots, \delta_m \rangle$. Choose $\eta \in N \cap \kappa^{+\omega+1}$ large enough so that $\{\delta_1, \ldots, \delta_n\}$ is contained in η . Since set-membership in M is coded by R_0 rather than \in we have to argue a little less naturally. Consider the set $s_0(\eta, \vec{a}) = \{t(\gamma_1, \dots, \gamma_n, \vec{a}) : \{\gamma_1, \dots, \gamma_n\} \in [\eta]^{\leq n}\}.$ Clearly $s_0(\eta, \vec{a})$ is a member of $H(\lambda^{+\omega+1})$. Now define $s_1(\eta, \vec{a})$ to be $\{x_\alpha : \alpha \in A\}$ $s_0(\eta, \vec{a})$, and choose the unique $\zeta_1 \in \lambda^{+\omega+1}$ such that $x_{\zeta_1} = s_1(\eta, \vec{a})$. We claim that $\zeta_1 \in N$. Note that $\alpha R_0 \zeta_1$ holds if and only if $\alpha \in s_0(\eta, \vec{a})$, and therefore

$$M \models (\forall \alpha) \left[\alpha R_0 \zeta_1 \text{ iff } (\exists \gamma_1 \in \eta) \cdots (\exists \gamma_n \in \eta) (\alpha = t(\gamma_1, \dots, \gamma_n, \vec{a})) \right].$$

By elementarity then we have that $\zeta_1 \in N$, and by similar reasoning the supremum, ζ_0 , of $\zeta_1 \cap \kappa^{+\omega+1}$ is also in N. This of course means that $\zeta < \xi_0$.

We use the elementarity of N to deduce properties of the families $\{\dot{A}_{\xi}: \xi \in N\}$ and $\{\dot{f}_{\xi}: \xi \in N\}$. Actually the collection we are most interested in is the family $\{h_{\xi}: \xi \in \Lambda \cap N\}$.

Since $\mathfrak{c} < \kappa^{+\omega+1}$ there is a function $\langle \varrho_n : n \in \omega \rangle$ in $\Pi_n \lambda^{+\omega}$ such that the sequence $\{h_{\xi} : \xi \in N\}$ is unbounded mod finite in $\Pi_n \varrho_n$ (by Shelah's pcf theory). This is in Jech somewhere. For each $n, \ \rho_n \leq \sup(N \cap \lambda^{+n+2})$.

Since \mathbb{P}_0 has cardinality less than $|N| = \kappa^{+\omega+1}$, the sequence $\{h_{\xi} : \xi \in \Lambda \cap N\}$ remains unbounded mod finite in $\Pi_n \varrho_n$ (and in $\Pi_n (\varrho_n \cap N)$). Now pass to the extension by $G \cap \mathbb{P}_0$ and let H be the function $\operatorname{val}_G(\dot{H})$, and we recall that $f_{\zeta_{\xi}}(h_{\xi}(n)) = H(n)$ for all $n \in \omega$. Now pass to the full extension V[G] and again, since \mathbb{P}_1 was forced to be countably closed, the family $\{h_{\xi} : \xi \in \Lambda \cap N\}$ is still unbounded in $\Pi_n(\varrho_n \cap N)$. We let A be the countable set $N \cap \lambda^{+\omega}$, and for each $\xi \in \Lambda \cap N$, there is an n_{ξ} such that $f_{\xi}(h_{\xi}(m)) = f_A(h_{\xi}(m))$ for all $m > n_{\xi}$. There is a single n so that $\Lambda_n = \{\xi \in \Lambda \cap N : n_{\xi} = n\}$ has cardinality ω_1 , and thus $\{h_{\xi} : \xi \in \Lambda_n \cap N\}$ is also unbounded in $\Pi_n(\rho_n \cap N)$. This certainly implies that there is an m > n such that $\{h_{\xi}(m) : \xi \in \Lambda_n \cap N\}$ is infinite. This completes the proof since $f_A(h_{\xi}(m)) = H(m)$ for all $\xi \in \Lambda_n \cap N$.

4. Applications to infinite length games

We introduce three variations of Scheeper's game which we defined in the introduction.

Game 25. Let $Sch_{C,F}^{\cup,\subseteq}(\kappa)$ denote the *Scheepers countable-finite union game* which proceeds analogously to $Sch_{C,F}^{\cup,\subseteq}(\kappa)$, except that \mathscr{C} 's restriction in round n+1 is weakened to $C_{n+1} \supseteq C_n$.

Game 26. Let $Sch_{C,F}^{1,\subseteq}(\kappa)$ denote the *Scheepers countable-finite initial game* which proceeds analogously to $Sch_{C,F}^{\cup,\subseteq}(\kappa)$, except that \mathscr{F} 's winning condition is weakened to $\bigcup_{n<\omega} F_n \supseteq C_0$.

Game 27. Let $Sch_{C,F}^{\cap}(\kappa)$ denote the *Scheepers countable-finite intersection game* which proceeds analogously to $Sch_{C,F}^{1,\subseteq}(\kappa)$, except that $\mathscr C$ may choose any $C_n \in [\kappa]^{\leq \omega}$ each round, and $\mathscr F$'s winning condition is weakened to $\bigcup_{n<\omega} F_n \supseteq \bigcap_{n<\omega} C_n$.

In [1] Clontz extended Scheepers' application of almost-compatible injections to these game variants as well as $Men_{C,F}(\kappa^{\dagger})$.

Theorem 28. $A'(\kappa)$ implies the game-theoretic conditions shown in Figure 1.

Proof. The weaker claim $A(\kappa) \Rightarrow \mathscr{F} \underset{\text{2-mark}}{\uparrow} Sch_{C,F}^{\cap}(\kappa)$ was proven in [1]; however, the strategy only required that the f_A be pairwise almost-compatible and that preimages of finite sets in each f_A are finite, which is also possible assuming $A'(\kappa)$.

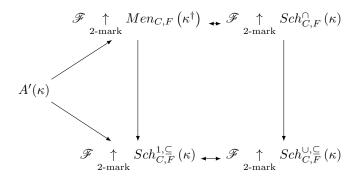


FIGURE 1. Diagram of Scheeper/Menger game implications with $A'(\kappa)$

It was left open if $A'(\kappa)$ was equivalent to these game-theoretic conditions. The answer is consistently negative.

Theorem 29. Let α be the limit of increasing ordinals β_n for $n < \omega$. If $\mathscr{F} \uparrow_{2-mark}$ $Sch_{C,F}^{\cap}(\omega_{\beta_n})$ for all $n < \omega$, then $\mathscr{F} \uparrow_{2-mark}$ $Sch_{C,F}^{\cap}(\omega_{\alpha})$.

Proof. Let σ_n be a winning 2-mark for \mathscr{F} in $Sch_{C,F}^{\cap}(\omega_{\beta_n})$. Define the 2-mark σ for \mathscr{F} in $Sch_{C,F}^{\cap}(\omega_{\alpha})$ as follows:

$$\sigma(\langle C \rangle, 0) = \sigma_0(\langle C \cap \omega_{\beta_0} \rangle, 0)$$
$$\sigma(\langle C, D \rangle, n+1) = \sigma_{n+1}(\langle D \cap \omega_{\beta_{n+1}} \rangle, 0) \cup \bigcup_{m \le n} \sigma_m(\langle C \cap \omega_{\beta_m}, D \cap \omega_{\beta_m} \rangle, n-m+1)$$

Let $\langle C_0, C_1, \ldots \rangle$ be an attack by $\mathscr C$ in $Sch_{C,F}^{\cap}(\omega_{\alpha})$, and $\alpha \in \bigcap_{n < \omega} C_n$. Choose $N < \omega$ with $\alpha < \omega_{\beta_{N+1}}$. Consider the attack $\langle C_{N+1} \cap \omega_{\beta_{N+1}}, C_{N+2} \cap \omega_{\beta_{N+1}}, \ldots \rangle$ by $\mathscr C$ in $Sch_{C,F}^{\cap}(\omega_{\beta_{N+1}})$. Since σ_{N+1} is a winning strategy and $\alpha \in \bigcap_{n < \omega} C_{N+n+1} \cap \omega_{\beta_{N+1}}$, either $\alpha \in \sigma_{N+1}(\langle C_{N+1} \cap \omega_{\beta_{N+1}} \rangle, 0)$ and thus $\alpha \in \sigma(\langle C_N, C_{N+1} \rangle, N+1)$, or $\alpha \in \sigma_{N+1}(\langle C_{N+M+1} \cap \omega_{\beta_{N+1}}, C_{N+M+2} \cap \omega_{\beta_{N+1}} \rangle, M+1)$ for some $M < \omega$ and thus $\alpha \in \sigma(\langle C_{N+M+1}, C_{N+M+2} \rangle, N+M+2)$. Thus σ is a winning strategy. \square

Theorem 30. Let α be the limit of increasing ordinals β_n for $n < \omega$. If $\mathscr{F} \uparrow_{2-mark}$ $Sch_{C,F}^{1,\subseteq}(\omega_{\beta_n})$ for all $n < \omega$, then $\mathscr{F} \uparrow_{2-mark} Sch_{C,F}^{1,\subseteq}(\omega_{\alpha})$.

Proof. The proof proceeds nearly identically to the previous proof. \Box

Corollary 31. It is consistent that $A'(\aleph_{\omega})$ fails, but as $A'(\aleph_k)$ holds for all $k < \omega$, we have $\mathscr{F} \underset{2\text{-mark}}{\uparrow} Sch_{C,F}^{\cap}(\omega_{\omega})$ and $\mathscr{F} \underset{2\text{-mark}}{\uparrow} Sch_{C,F}^{1,\subseteq}(\omega_{\omega})$.

Our topological question 6 remains unsolved; however, our results have revealed that we cannot hope to find a ZFC counterexample where $X = \kappa^{\dagger}$. This is because if we also assume V = L, it follows that $A'(\kappa)$ and therefore $\mathscr{F} \underset{\text{2-mark}}{\uparrow} Men_{C,F}(X)$ for every cardinal.

5. Generalized Kurepa families and almost-compactible functions

TODO

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