

# Scheeper's Meager-NWD Game and the Menger Game

AU Topology Seminar

Steven Clontz

Department of Mathematics and Statistics  
Auburn University

October 22, 2013



# Abstract

Marion Scheepers designed the Meager-NWD game  $Fill_{\mathcal{MN}}^{\subseteq}(J)$  in the 80s to study the existence of  $k$ -tactics in set-theoretic and topological games.

There are strong similarities between Dr. Scheeper's game and the special case of the Menger game  $Cov_{\mathcal{CF}}(\kappa^{\dagger})$  played upon the one-point "Lindelöfication" of a discrete cardinal  $\kappa$ .

We will explore the relationship between  $k$ -tactical strategies in  $Fill_{\mathcal{MN}}^{\subseteq}(J)$  and  $k$ -Marköv strategies in  $Fill_{\mathcal{MN}}^{\subseteq}(J)$  or  $Cov_{\mathcal{CF}}(\kappa^{\dagger})$ , as well as a sentence  $S(\kappa, \omega, \omega)$  which is consistent with ZFC.



# Menger Game

## Game

The two-player Menger Game  $Cov_{\mathcal{C}\mathcal{F}}(X)$  proceeds as follows:

- Round  $n$ : player  $\mathcal{C}$  chooses an open cover  $\mathcal{U}_n$  of  $X$
- Round  $n$ : player  $\mathcal{F}$  chooses finite  $\mathcal{F}_n \subseteq \mathcal{U}_n$ .

$\mathcal{F}$  wins if  $\bigcup_{n < \omega} \mathcal{F}_n$  is a cover of  $X$ .

- Easy to see that  $\mathcal{F}$  can win for any  $\sigma$ -compact space.
- The existence or non-existence of various limited info strategies in this game characterize covering properties of  $X$ .



# $\text{Cov}_{\mathcal{C}\mathcal{F}}(X)$ characterizations

$$\begin{array}{ccccccc}
 \mathcal{F} \uparrow_{\text{mark}} \text{Cov}_{\mathcal{C}\mathcal{F}}(X) & \Rightarrow & \mathcal{F} \uparrow_{2\text{-mark}} \text{Cov}_{\mathcal{C}\mathcal{F}}(X) & \Rightarrow & \mathcal{F} \uparrow \text{Cov}_{\mathcal{C}\mathcal{F}}(X) & \Rightarrow & \mathcal{C} \not\uparrow \text{Cov}_{\mathcal{C}\mathcal{F}}(X) \\
 \updownarrow & & \updownarrow & & \updownarrow & & \updownarrow \\
 X \text{ is } \sigma\text{-(rel. compact)} & \Rightarrow & ??? & \Rightarrow & ??? & \Rightarrow & X \text{ is Menger}
 \end{array}$$

- $\uparrow$  denotes a player with a **winning strategy**
- $\uparrow_{\text{mark}}$  denotes a player with a winning **Marköv** strategy (using only the round number and most recent move of opponent)
- $\uparrow_{k\text{-mark}}$  denotes a player with a winning **k-Marköv** strategy (using only the round number and  $k$  most recent moves of opponent)

## Theorem

Assume  $k \geq 2$ .  $\mathcal{F} \uparrow_{k\text{-mark}} \text{Cov}_{\mathcal{C}\mathcal{F}}(X) \Leftrightarrow \mathcal{F} \uparrow_{2\text{-mark}} \text{Cov}_{\mathcal{C}\mathcal{F}}(X)$

## Theorem

For  $X$  second-countable,  $\mathcal{F} \uparrow_{\text{mark}} \text{Cov}_{\mathcal{C}\mathcal{F}}(X) \Leftrightarrow \mathcal{F} \uparrow \text{Cov}_{\mathcal{C}\mathcal{F}}(X)$

# $\text{Cov}_{\mathcal{C}\mathcal{F}}(X)$ characterizations

Here are a couple properties between  $\sigma$ -compact and Menger:

- Alster
- Hurewicz

An example of a Menger space which doesn't yield a Markov strategy for  $\mathcal{F}$  in the Menger game is  $\omega_1^\dagger$ .

( $\kappa^\dagger = \kappa \cup \{\infty\}$  is the one-point "Lindelöfication" of discrete  $\kappa$ .)

## Theorem

$\mathcal{F} \nVdash_{\text{mark}} \text{Cov}_{\mathcal{C}\mathcal{F}}(\omega_1^\dagger)$  but  $\mathcal{F} \uparrow_{2\text{-mark}} \text{Cov}_{\mathcal{C}\mathcal{F}}(\omega_1^\dagger)$



# What about $\text{Cov}_{\mathcal{C}\mathcal{F}}(\kappa^\dagger)$ ?

- The direct proof of  $\mathcal{F} \uparrow_{2\text{-mark}} \text{Cov}_{\mathcal{C}\mathcal{F}}(\omega_1^\dagger)$  uses injective functions  $f_\alpha : \alpha \rightarrow \omega$  for each  $\alpha < \omega_1$  such that for  $\alpha < \beta$ :

$$|\{\gamma < \alpha : f_\alpha(\gamma) \neq f_\beta(\gamma)\}| < \omega$$

(Proof in Kunen's set theory text, used for construction of an Aronszajn tree)

- Would like to extend this idea for  $\kappa > \omega_1$  to show  $\mathcal{F} \uparrow_{2\text{-mark}} \text{Cov}_{\mathcal{C}\mathcal{F}}(\kappa^\dagger) \dots$



# $Fill_{\mathcal{MN}}^{\subseteq}(J)$

## Game

The **strict filling game**  $Fill_{\mathcal{MN}}^{\subseteq}(J)$  on an ideal  $J$  proceeds as follows:

- Round 0: player  $\mathcal{M}$  chooses  $M_0 \in \langle J \rangle$ , the  $\sigma$ -completion of  $J$  (closure under countable unions)
- Round 0: player  $\mathcal{N}$  chooses  $N_0 \in J$ .
- Round  $n + 1$ : player  $\mathcal{M}$  chooses  $M_{n+1}$  where  $M_n \subsetneq M_{n+1} \in \langle J \rangle$
- Round  $n + 1$ : player  $\mathcal{N}$  replies with  $N_{n+1} \in J$ .

Player  $\mathcal{N}$  wins the game if  $\bigcup_{n < \omega} N_n \supseteq \bigcup_{n < \omega} M_n$ .



- The sets in  $\langle J \rangle$  and  $J$  are referred to as meager and nowhere-dense sets, respectively.
  - For any topological space, the set of nowhere dense sets  $J$  forms an ideal.
  - For every ideal  $J$ , there is a topological space where  $J$  is the set of nowhere dense sets.
- This game was defined and studied by Marion Scheepers. Here's some facts.

## Proposition

$$\mathcal{N} \uparrow Fill_{\mathcal{M}\mathcal{N}}^{\subseteq}(J)$$





## Theorem

$$\mathcal{N} \uparrow_{tact} Fill_{\mathcal{MN}}^{\subseteq}(J) \Leftrightarrow J = \langle J \rangle$$

- $\uparrow_{tact}$  denotes a player with a winning **tactical** strategy (using only the most recent move of opponent)
- $\uparrow_{k-tact}$  denotes a player with a winning **k-tactical** strategy (using only the  $k$  most recent moves of opponent)



## Theorem

Assume  $cf(\langle J \rangle) = \omega_1$ . Let  $J_X = \{N \cap X : N \in J\}$ .

$\mathcal{N} \uparrow_{k\text{-tact}} Fill_{\mathcal{M}\mathcal{N}}^{\leq}(J) \Leftrightarrow \mathcal{N} \uparrow_{k\text{-tact}} Fill_{\mathcal{M}\mathcal{N}}^{\leq}(J_X)$  for each  
 $X \in \langle J \rangle \setminus J$

**Proof:**  $\Rightarrow$  is straight-forward.

Sketch of  $\Leftarrow$ : Let  $S_{\alpha}$  for  $\alpha < \omega_1$  enumerate a cofinal set of  $\langle J \rangle$ , with  $\beta \leq \alpha \Rightarrow S_{\beta} \subseteq S_{\alpha}$ . Assume the latest move by  $\mathcal{M}$  is contained by  $S_{\alpha}$ . There are two types of attacks that  $\mathcal{N}$  must defeat.

- ①  $\mathcal{M}$ 's attack may never go outside  $S_{\alpha}$ , so  $\mathcal{N}$  can cover according to the strategy for  $\mathcal{N} \uparrow_{k\text{-tact}} Fill_{\mathcal{M}\mathcal{N}}^{\leq}(S_{\alpha})$ .
- ②  $\mathcal{M}$ 's attack may eventually exceed  $S_{\alpha}$ , but by using tree arrangements  $<_n$  of  $\omega_1$  of finite height approximating  $<$ ,  $\mathcal{N}$  can cover according to the *winning perfect information strategy* as though  $\mathcal{M}$  had played sets  $S_{\beta}$  for  $\beta \leq_n \alpha$  instead.



## Corollary

*If  $|\bigcup J| \leq \omega_1$  and  $|M| \leq \omega$  for  $M \in \langle J \rangle$ , then  $\mathcal{N} \uparrow_{2\text{-tact}} Fill_{\mathcal{MN}}^{\subseteq}(J)$ .*

**Proof:** Assume  $\omega \in \langle J \rangle$  and assume the two latest moves of  $\mathcal{M}$  are  $M \subsetneq M' \subseteq \omega$ . Let  $n = \min(M' \setminus M)$ , and have  $\mathcal{N}$  cover  $\{0, \dots, n\}$ . It follows that the generated  $n$  must be unbounded for any legal attack by  $\mathcal{M}$ , making it a winning 2-tactic for  $Fill_{\mathcal{MN}}^{\subseteq}(J_{\omega})$ .

Apply the previous theorem to finish the result. □



# Countable Finite Game

## Game

The special case of  $Fill_{\mathcal{MN}}^{\subseteq}(J)$  where  $J = [\kappa]^{<\omega}$  is the Countable-Finite game  $Fill_{\mathcal{CF}}^{\subseteq}(\kappa)$ .

## Corollary

$$\mathcal{F} \uparrow_{2\text{-tact}} Fill_{\mathcal{CF}}^{\subseteq}(\omega_1)$$

So  $\mathcal{F} \uparrow_{2\text{-tact}} Fill_{\mathcal{CF}}^{\subseteq}(\omega_1)$  and  $\mathcal{F} \uparrow_{2\text{-mark}} Cov_{\mathcal{CF}}(\omega_1^{\dagger})$ . In addition, the basic goal of  $\mathcal{F}$  in  $Cov_{\mathcal{CF}}(\omega_1^{\dagger})$  is similar to the goal of  $\mathcal{F}$  in  $Fill_{\mathcal{CF}}^{\subseteq}(\omega_1)$ :  $\mathcal{F}$  can cover a co-countable neighborhood of  $\infty$  in the initial round, and is trying to cover the countable remainder in the following rounds (most likely using finitely many singletons from  $\mathcal{C}$ 's covers).



- Question: why does  $\mathcal{F}$  need the round number in  $Cov_{\mathcal{C}\mathcal{F}}(\omega_1^{\dagger})$  and not  $Fill_{\mathcal{C}\mathcal{F}}^{\subseteq}(\omega_1)$ ?

## Proposition

$\mathcal{F} \uparrow_{k\text{-tact}} Cov_{\mathcal{C}\mathcal{F}}(X) \Leftrightarrow X \text{ is compact}$

**Proof:** If  $X$  isn't compact, and  $\mathcal{C}$  constantly chooses an open cover  $\mathcal{U}$  without a finite subcover for  $X$  throughout the entire game, then  $\mathcal{F}$  only chooses  $k$  different finite subcollections of  $\mathcal{U}$  by the game's end, which cannot cover  $X$ .

If  $X$  is compact,  $\mathcal{F} \uparrow_{\text{tact}} Cov_{\mathcal{C}\mathcal{F}}(X)$  trivially. □

- Answer:  $\mathcal{C}$  cannot choose a constant strategy in  $Fill_{\mathcal{C}\mathcal{F}}^{\subseteq}(\kappa)$ , but  $\mathcal{C}$  can in  $Cov_{\mathcal{C}\mathcal{F}}(\kappa^{\dagger})$ .



This provides the motivation to change the rules of Scheeper's game to bring it more in line with the Menger game.

## Game

The game  $Fill_{\mathcal{MN}}^{\subseteq}(J)$  is identical to  $Fill_{\mathcal{MN}}^{\subsetneq}(J)$ , except that  $\mathcal{M}$  may choose the same set in successive rounds.

## Game

$Fill_{\mathcal{CF}}^{\subseteq}(\kappa)$  is identical to  $Fill_{\mathcal{MN}}^{\subseteq}([\kappa]^{<\omega})$

It seems reasonable to ask if  $k$ -tactics in  $Fill_{\mathcal{MN}}^{\subseteq}(J)$  correspond to  $k$ -Marköv strategies in  $Fill_{\mathcal{MN}}^{\subseteq}(J)$ .



## Theorem

$$\mathcal{N} \uparrow_{2\text{-tact}} Fill_{\mathcal{MN}}^{\subseteq}(J) \Rightarrow \mathcal{N} \uparrow_{2\text{-mark}} Fill_{\mathcal{MN}}^{\subseteq}(J)$$

**Proof:** Enumerate the sets in  $J$  as  $A_{\alpha}$  for  $\alpha < |J|$ . For  $M \in \langle J \rangle$  and  $n < \omega$ , let  $M + 0 = M$  and  $M + n + 1$  be the union of  $M + n$  and the least  $A_{\alpha}$  not contained in  $M + n$ .

Let  $\sigma$  be a winning 2-tactical strategy for  $N$  in  $Fill_{\mathcal{MN}}^{\subseteq}(\kappa)$ , and assume  $\sigma(M) \cup \sigma(M') \subseteq \sigma(M, M')$ .

We define a 2-Markov strategy  $\tau$  for  $F$  in  $Fill_{\mathcal{MN}}^{\subseteq}(\kappa)$  as follows:



$$\tau(M_0, 0) = \sigma(M_0)$$

$$\tau(M_n, M_{n+1}, n+1) = \begin{cases} \sigma(M_n, M_{n+1}) & \text{if } M_n \subsetneq M_{n+1} \\ \bigcup_{m \leq n} \sigma(M_n + m, M_{n+1} + m + 1) & \text{otherwise} \end{cases}$$

- (Essentially, if  $\mathcal{M}$  tries to be tricky and not increase the size of her meager set,  $\mathcal{N}$  can pretend she added a few extra nowhere dense sets based on the round number.)





Let  $M_0 \subseteq M_1 \subseteq \dots$  be an attack by  $\mathcal{M}$  against  $\tau$ . There are two possible cases:

- Assume  $M_n = M_N$  for all  $n \geq N$ .  
The collection produced by  $\sigma$  versus the attack

$$M_N + 0 \subsetneq M_N + 1 \subsetneq \dots$$

must cover  $M_N$  as  $\sigma$  is a winning strategy.

Let  $x \in M_N$ . If  $x \in \sigma(M_N + 0)$ , then  $x$  will be covered in round  $N + 1$  by

$$\tau(M_N, M_N, N + 1) \supseteq \sigma(M_N + 0, M_N + 1) \supseteq \sigma(M_N + 0)$$

Otherwise,  $x \in \sigma(M_N + n, M_N + n + 1)$ , and  $x$  will be covered in round  $N + n + 1$  by

$$\tau(M_N, M_N, N + n + 1) \supseteq \sigma(M_N + n, M_N + n + 1)$$



- Otherwise we may find  $0 < f(0) < f(1) < \dots$  such that  $M_{f(n)} \subsetneq M_{f(n)+1} = M_{f(n+1)}$ .  
Then the collection produced by  $\sigma$  versus the attack

$$M_{f(0)} \subsetneq M_{f(1)} \subsetneq M_{f(2)} \dots$$

must cover  $\bigcup_{n < \omega} M_n$  as  $\sigma$  is a winning strategy.

Let  $x \in \bigcup_{n < \omega} M_n$ . If  $x \in \sigma(M_{f(0)})$ , then  $x$  will be covered by  $\tau$  in round  $f(0) + 1$  by

$$\tau(M_{f(0)}, M_{f(0)+1}, f(0) + 1) = \sigma(M_{f(0)}, M_{f(0)+1}) \supseteq \sigma(M_{f(0)})$$

Otherwise,  $x \in \sigma(M_{f(n)}, M_{f(n+1)})$ , and  $x$  will be covered by  $\tau$  in round  $f(n) + 1$  by

$$\tau(M_{f(n)}, M_{f(n)+1}, f(n) + 1) = \sigma(M_{f(n)}, M_{f(n)+1}) = \sigma(M_{f(n)}, M_{f(n+1)})$$

Thus  $\tau$  is a winning strategy.



But the converse need not hold.

## Theorem

*There is a free ideal  $J$  such that  $\mathcal{N} \not\uparrow_{2\text{-tact}} Fill_{\mathcal{M}\mathcal{N}}^{\subseteq}(J)$  but  $\mathcal{N} \uparrow_{2\text{-mark}} Fill_{\mathcal{M}\mathcal{N}}^{\subseteq}(J)$ .*

**Proof:** This counterexample was constructed by Scheepers for another purpose, but works for us as well. Assume  $\mathbb{R}$  has the usual Euclidean topology.

Choose  $A \subseteq \mathbb{R}$  such that  $|A| = \omega$  and  $A$  is meager but not nowhere dense. Then choose  $V \subseteq \mathbb{R}$  such that  $|V| = 2^{\omega}$ ,  $V$  is meager, and  $V$  is disjoint from  $A$ . Assume  $A = \{a_n : n < \omega\}$ .

Certainly, if  $J$  is the collection of nowhere dense subsets of  $A \cup V$ , then  $F \uparrow_{2\text{-mark}} Fill_{\mathcal{M}\mathcal{N}}^{\subseteq}(J)$ . In fact, since  $A \cup V$  is meager,  $F \uparrow_{\text{pre}} Fill_{\mathcal{M}\mathcal{N}}^{\subseteq}(J)$  ( $\mathcal{F}$  has a **predetermined strategy** using only the round number).



Let  $\sigma$  be a 2-tactical strategy for  $\mathcal{N}$  in  $Fill_{\mathcal{MN}}^{\subseteq}(J)$ .

By Cor 28 of Scheepers' "Partition relation for partially ordered sets", for every partition  $\{K_n : n < \omega\}$  of the comparable pairs in  $[\mathcal{P}(V)]^2$  there is some  $n' < \omega$  and sequence  $C_0 \subsetneq C_1 \subsetneq \dots \subsetneq V$  where  $\{C_m, C_{m+1}\} \in K_{n'}$  for all  $m < \omega$ .

Define  $K_n$  to be the collection of pairs of sets  $\{B, C\}$  such that  $B \subsetneq C$  and  $n$  is the least integer where  $a_n \in A \setminus \sigma(A \cup B, A \cup C)$ .

Then  $\sigma$  may be countered by the attack  $A \cup C_0, A \cup C_1, \dots$ , since  $a_{n'} \in A \setminus \sigma(A \cup C_m, A \cup C_{m+1})$  for all  $m < \omega$  and thus is never covered. □

## Question

$\mathcal{N} \uparrow_{2\text{-mark}} Fill_{\mathcal{CF}}^{\subseteq}(\kappa) \Rightarrow \mathcal{N} \uparrow_{2\text{-tact}} Fill_{\mathcal{CF}}^{\subseteq}(\kappa)?$



# $S(\kappa, \omega, \omega)$

Scheepers introduced the sentence  $S(\kappa, \omega, \omega)$  (or rather, a sentence equivalent to the one I use below).

## Definition

For two functions  $f, g$  we say  $f$  is **almost compatible** with  $g$  ( $f \parallel^* g$ ) if  $|\{x \in \text{dom}(f) \cap \text{dom}(g) : f(x) \neq g(x)\}| < \omega$ .

## Definition

$S(\kappa, \omega, \omega)$  is shorthand for the sentence: there exist injective functions  $f_A : A \rightarrow \omega$  for each  $A \in [\kappa]^\omega$  such that  $f_A \parallel^* f_B$  for all  $A, B \in [\kappa]^\omega$ .



## Theorem

$$S(\omega_1, \omega, \omega)$$

**Proof:** Use Kunen's  $f_{\alpha}$  mentioned earlier. □

## Theorem

$$\neg S(\kappa, \omega, \omega) \text{ for } \kappa > 2^{\omega}$$

**Proof:** Let  $A_{\alpha} = \{\alpha \cdot \omega + n : n < \omega\} \in [\kappa]^{\omega}$  and  $f_{A_{\alpha}} : A_{\alpha} \rightarrow \omega$  be injective for  $\alpha < \kappa$ . Since there are  $\kappa > |[\omega]^{\omega}|$  different  $A_{\alpha}$ , there must be  $\alpha, \beta$  where  $\text{ran}(f_{A_{\alpha}}) = \text{ran}(f_{A_{\beta}})$ . Then there is no way to define  $f_{A_{\alpha} \cup A_{\beta}}$  so that it is almost compatible with both  $f_{A_{\alpha}}$  and  $f_{A_{\beta}}$ . □

## Corollary

$$S(\omega_2, \omega, \omega) \Rightarrow \neg CH$$



So what about the consistency of  $\neg CH + S(\omega_2, \omega, \omega)$ ? It turns out that's fine (to be shown later).

## Theorem

$$S(\kappa, \omega, \omega) \Rightarrow \mathcal{F} \uparrow_{2\text{-tact}} Fill_{\mathcal{C}, \mathcal{F}}^{\subseteq}(\kappa)$$

**Proof:** Due to Todorcevic. Let  $f_A : A \rightarrow \omega$  for  $A \in [\kappa]^{\omega}$  witness  $S(\kappa, \omega, \omega)$ , and let  $g_A(\alpha)$  be the number of ordinals “skipped” by  $f_A$  below  $f_A(\alpha)$ , that is,  $f_A(\alpha) - |\{\beta \in A : f_A(\beta) < f_A(\alpha)\}|$ .

Note that for  $A \subsetneq B$ ,  $|\{\alpha \in A : g_A(\alpha) \leq g_B(\alpha)\}| < \omega$  since the difference in  $f_A$  and  $f_B \upharpoonright A$  is finite, and  $f_B$  has to map at least one more ordinal than  $f_A$ .

Let  $\sigma(C, C') = \{\alpha \in C : g_C(\alpha) \leq g_{C'}(\alpha)\}$ . If  $C_0 \subsetneq C_1 \subsetneq \dots$  was an attack defeating  $\sigma$ , then let  $\alpha \in C_N \setminus \bigcup_{n < \omega} \sigma(C_n, C_{n+1})$ .

Observe that  $g_{C_N}(\alpha) > g_{C_{N+1}}(\alpha) > g_{C_{N+2}}(\alpha) > \dots$ , contradiction.



## Theorem

$$S(\kappa, \omega, \omega) \Rightarrow \mathcal{F} \uparrow_{2\text{-mark}} Fill_{\mathcal{C}, \mathcal{F}}^{\subseteq}(\kappa)$$

**Proof:** Corollary of the previous theorem. Alternatively,  $\mathcal{F}$  can use the winning strategy

$$\sigma(C, C', n+1) = f_C^{-1}(\{0, \dots, n-1\}) \cup \{\alpha \in C : f_C(\alpha) \neq f_{C'}(\alpha)\}$$





# Back to $\text{Cov}_{\mathcal{C}\mathcal{F}}(\kappa^\dagger)$

While a proof  $\mathcal{F} \uparrow_{2\text{-mark}} \text{Fill}_{\mathcal{C}\mathcal{F}}^\subseteq(\kappa) \Rightarrow \mathcal{F} \uparrow_{2\text{-mark}} \text{Cov}_{\mathcal{C}\mathcal{F}}(\kappa^\dagger)$  has eluded me, the techniques used previously are very useful for dealing with  $\text{Cov}_{\mathcal{C}\mathcal{F}}(\kappa^\dagger)$  directly.

It will be useful to define a sufficient property for  $\mathcal{F} \uparrow_{2\text{-mark}} \text{Cov}_{\mathcal{C}\mathcal{F}}(X)$ , which I've called almost- $\sigma$ -(relatively compact).



## Definition

Let  $\mathcal{U}$  be a cover of  $X$ . We say  $C \subseteq X$  is  $\mathcal{U}$ -compact if there exists a finite subcover of  $\mathcal{U}$  which covers  $C$ .

We say  $X$  is almost- $\sigma$ -(relatively compact) if there exist functions  $r_{\mathcal{V}} : X \rightarrow \omega$  for each open cover  $\mathcal{V}$  of  $X$  such that both of the following sets are  $\mathcal{V}$ -compact for all open covers  $\mathcal{U}, \mathcal{V}$  and  $n < \omega$ :

$$c(\mathcal{V}, n) = \{x \in X : r_{\mathcal{V}}(x) \leq n\}$$

$$p(\mathcal{U}, \mathcal{V}) = \{x \in X : 0 < r_{\mathcal{U}}(x) < r_{\mathcal{V}}(x)\}$$

## Proposition

$X$   $\sigma$ -(relatively compact)  $\Rightarrow$   $X$  almost- $\sigma$ -(relatively compact)



## Theorem

*If  $X$  is almost- $\sigma$ -(relatively compact), then  $\mathcal{F} \uparrow_{2\text{-mark}} \text{Cov}_{\mathcal{C}\mathcal{F}}(X)$ .*

**Proof:** Let  $\sigma(\mathcal{U}_0, 0)$  cover  $c(\mathcal{U}_0, 0)$ , and let  $\sigma(\mathcal{U}_n, \mathcal{U}_{n+1}, n+1)$  cover both  $c(\mathcal{U}_{n+1}, n+1)$  and  $p(\mathcal{U}_n, \mathcal{U}_{n+1})$ . If  $\mathcal{U}_0, \mathcal{U}_1, \dots$  is any play by  $C$ , then for each  $x \in X$ , we note that one of the following must occur:

- $r_{\mathcal{U}_0}(x) = 0$  and thus  $x \in c(\mathcal{U}_0, 0)$ .
- $r_{\mathcal{U}_0}(x) = N+1$  for some  $N \geq 0$  and:
  - For all  $n \leq N$ ,

$$n+1 < r_{\mathcal{U}_{n+1}}(x) \leq N+1$$

and thus  $x \in c(\mathcal{U}_{N+1}, r_{\mathcal{U}_{n+1}}(x)) = c(\mathcal{U}_{N+1}, N+1)$ .



- $r_{\mathcal{U}_0}(x) = N + 1$  for some  $N \geq 0$  and: (cont.)

- For some  $n \leq N$ ,

$$r_{\mathcal{U}_{n+1}}(x) < n + 1 \leq r_{\mathcal{U}_n}(x) < N + 1$$

and thus  $x \in c(\mathcal{U}_{n+1}, r_{\mathcal{U}_{n+1}}(x)) \subseteq c(\mathcal{U}_{n+1}, n + 1)$ .

- For some  $n \leq N$ ,

$$n + 1 \leq r_{\mathcal{U}_n}(x) < N + 1 < r_{\mathcal{U}_{n+1}}(x)$$

and thus  $x \in p(\mathcal{U}_n, \mathcal{U}_{n+1})$



## Corollary

$X$  almost- $\sigma$ -(relatively compact)  $\Rightarrow X$  Menger

## Question

$\mathcal{F} \uparrow_{2\text{-mark}} \text{Cov}_{\mathcal{CF}}(X) \Rightarrow X$  almost- $\sigma$ -(relatively compact)? (Or can I slightly adjust the definition to get this result?)



## Theorem

*If  $S(\kappa, \omega, \omega)$ , then  $\kappa^\dagger$  is almost- $\sigma$ -(relatively compact).*

**Proof:** Take the injective functions  $f_A : A \rightarrow \omega$  witnessing  $S(\kappa, \omega, \omega)$ . For each cover  $\mathcal{V}$  of  $\kappa^\dagger$  let  $A(\mathcal{V})$  define a set such that  $\kappa^\dagger \setminus A(\mathcal{V})$  is in a refinement of  $\mathcal{V}$ .

Then  $r_{\mathcal{V}}$  defined by

$$r_{\mathcal{V}}(x) = \begin{cases} 0 & x \in \kappa^\dagger \setminus A(\mathcal{V}) \\ f_{A(\mathcal{V})}(x) + 1 & x \in A(\mathcal{V}) \end{cases}$$

witnesses the property as  $c(\mathcal{V}, 0)$  is contained in a single open set in  $\mathcal{V}$ ,  $c(\mathcal{V}, n+1)$  is a singleton or empty set, and

$$p(\mathcal{U}, \mathcal{V}) = \{\alpha \in A(\mathcal{U}) \cap A(\mathcal{V}) : f_{A(\mathcal{U})}(\alpha) < f_{A(\mathcal{V})}(\alpha)\}$$

is finite.



## Corollary

If  $S(\kappa, \omega, \omega)$ , then  $\mathcal{F} \uparrow_{2\text{-mark}} \text{Cov}_{\mathcal{CF}}(\kappa^\dagger)$

This result becomes more interesting if we can show  $S(\kappa, \omega, \omega)$  is consistent for  $\kappa > \omega_1$ .

## Definition

A finite partial function  $p$  from  $A$  to  $B$  has a domain which is a finite subset of  $A$  and a range which is a finite subset of  $B$ . Let the set of all finite partial functions from  $A$  to  $B$  be denoted by  $\text{Fn}(A, B)$ .

## Definition

Let  $\text{Fn}^2(\mathcal{A}, B) \subset \text{Fn}(\mathcal{A}, \text{Fn}(\bigcup \mathcal{A}, B))$  such that for each  $p \in \text{Fn}^2(\mathcal{A}, B)$ ,  $p(A) = p_A \in \text{Fn}(A, B)$ .



## Definition

For  $\kappa > \omega_1$ , let  $\mathbb{P}_\kappa \subset Fn^2([\kappa]^\omega, \omega)$  be such that each  $p_A$  is injective, and give it the partial order  $\leq$  defined by  $q \leq p$  if and only if:

- $\text{dom}(q) \supseteq \text{dom}(p)$
- For each  $A \in \text{dom}(p)$ ,  $q_A \supseteq p_A$
- For each  $A, B \in \text{dom}(p)$ , if  $p_A$  and  $p_B$  are not defined for some  $\alpha \in A \cap B$ , but  $q_A$  is, then  $q_B$  is also defined for  $\alpha$  and  $q_A(\alpha) = q_B(\alpha)$ . That is, for  $\alpha \in A \cap B$

$$\alpha \in \text{dom}(q_A) \setminus (\text{dom}(p_A) \cup \text{dom}(p_B))$$

$$\Downarrow$$

$$\alpha \in \text{dom}(q_B) \text{ and } q_A(\alpha) = q_B(\alpha)$$



## Lemma

$\mathbb{P}_\kappa$  has property  $K$  (and thus is c.c.c.). That is, let  $P \subseteq \mathbb{P}_\kappa$  be uncountable: there is an uncountable  $Q \subseteq P$  such that points in  $Q$  are pairwise compatible.

**Proof:** If  $|\{\text{dom}(p) : p \in P\}| > \omega$ , we will use the  $\Delta$ -system lemma to find an uncountable  $P' \subseteq P$  such that for  $p, q \in P'$ ,  $\text{dom}(p) \cap \text{dom}(q) = \mathcal{R}$ . Otherwise, we may fix an uncountable  $P' \subseteq P$  such that for  $p, q \in P'$ ,  $\text{dom}(p) = \text{dom}(q) = \mathcal{R}$ .

Similarly, for each  $A \in \mathcal{R}$  we may find that  $|\{\text{dom}(p_A) : p \in P'\}| > \omega$ , and we can use the  $\Delta$ -system lemma to find an uncountable  $P'' \subseteq P'$  where  $\text{dom}(p_A) \cap \text{dom}(q_A) = A'$  for all  $p, q \in P''$ , or otherwise we may find  $P'' \subseteq P'$  where  $\text{dom}(p_A) = \text{dom}(q_A) = A'$  for all  $p, q \in P''$ .





Finally, for each  $A \in \mathcal{R}$  and  $\alpha \in A'$ , we may find  $n_{A,\alpha}$  such that there are uncountable  $p \in P''$  with  $p_A(\alpha) = n_{A,\alpha}$ , and thus we may choose  $Q \subseteq P''$  to be an uncountable collection such that for  $p, q \in Q$ ,  $p_A = q_A$  for  $A \in \mathcal{R}$ .

Then it is easily verified that  $p \cup q \in \mathbb{P}_\kappa$  and  $p \cup q \leq p, q$  for all  $p, q \in Q$ . □

Since  $\mathbb{P}_\kappa$  is c.c.c.:

### Corollary

*Any forcing using a  $\mathbb{P}_\kappa$ -generic filter preserves cardinals and cofinalities.*

### Corollary

*If  $cf(\kappa) > \omega$ , any forcing using a  $\mathbb{P}_\kappa$ -generic filter results in  $2^\omega \leq \kappa$ .*



## Proposition

For  $A \in [\kappa]^\omega$  and  $\alpha \in A$ , the sets

$$D_A = \{p \in \mathbb{P}_\kappa : A \in \text{dom}(p)\}$$

$$D_{A,\alpha} = \{p \in \mathbb{P}_\kappa : A \in \text{dom}(p), \alpha \in \text{dom}(p_A)\}$$

are dense in  $\mathbb{P}_\kappa$ .

## Theorem

If  $\text{cf}(\kappa) > \omega$ ,  $S(\kappa, \omega, \omega) + (\kappa = 2^\omega)$  is consistent with ZFC.

**Proof:** We adapt a forcing argument due to Scheepers (which used a slightly different poset). Let  $M$  be a countable transitive submodel of ZFC. Consider the c.c.c. poset  $\mathbb{P}_\kappa$  realized in the model  $M$ . Let  $G$  be a  $\mathbb{P}_\kappa$ -generic filter over  $M$ .



We now work in the smallest model  $M[G]$  extending  $M$  and containing  $G$ .

For each  $A \in [\kappa]^\omega$ , note  $[\kappa]^\omega \cap M$  is cofinal in  $[\kappa]^\omega$ , so let  $A' \supseteq A$  be in  $[\kappa]^\omega \cap M$  and let  $f_A = \bigcup_{p \in G \cap D_{A'}} p_{A'} \restriction A$ . Since  $G$  is a  $\mathbb{P}_\kappa$ -generic filter over  $M$ , it is easily verified (considering the dense sets  $D_{A,\alpha}$ ) that  $f_A$  is an injective function from  $A$  into  $\omega$ .

In addition, for  $A, B \in [\kappa]^\omega \cap M$ , let  $p \in G \cap D_A \cap D_B$ . For all  $q \leq p$  it follows that

$$\{\alpha \in \text{dom}(q_A) \cap \text{dom}(q_B) : q_A(\alpha) \neq q_B(\alpha)\} \subseteq \text{dom}(p_A) \cup \text{dom}(p_B)$$

Thus  $|\{\alpha \in A \cap B : f_A(\alpha) \neq f_B(\alpha)\}| < \omega$  and  $f_A \parallel^* f_B$  for  $A, B \in [\kappa]^\omega \cap M$ , and it's immediate that  $f_A \parallel^* f_B$  for  $A, B \in [\kappa]^\omega$  as well.

The  $f_A$  witness  $S(\kappa, \omega, \omega)$ . Since  $\kappa \geq 2^\omega$  and  $S(\kappa, \omega, \omega)$  is a contradiction for  $\kappa > 2^\omega$ , we know  $\kappa = 2^\omega$ .



## Corollary

*For all  $\kappa$ ,  $\mathcal{F} \upharpoonright_{2\text{-mark}} \text{Cov}_{\mathcal{C}\mathcal{F}}(\kappa^\dagger)$  is consistent with ZFC.*

## Question

Is  $\mathcal{F} \upharpoonright_{2\text{-mark}} \text{Cov}_{\mathcal{C}\mathcal{F}}(\omega_2^\dagger)$  a theorem of ZFC?

