# ALMOST COMPATIBLE FUNCTIONS AND INFINITE LENGTH GAMES

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ABSTRACT.  $\mathcal{A}'(\kappa)$  asserts the existence of pairwise almost compatible finite-to-one functions  $A\to\omega$  for each countable subset A of  $\kappa$ . The existence of winning 2-Markov strategies in several infinite-length games, including the Menger game on the one-point Lindelöfication  $\kappa^\dagger$  of  $\kappa$ , are guaranteed by  $\mathcal{A}'(\kappa)$ .  $\mathcal{A}'(\kappa)$  is implied by the existence of cofinal Kurepa families of size  $\kappa$ , and thus holds for all cardinals less than  $\aleph_\omega$ . It's consistent that  $\mathcal{A}'(\aleph_\omega)$  fails, but there must always be a winning 2-Markov strategy for the second player in the Menger game on  $\omega_\omega^\dagger$ .

#### 1. Introduction

**Definition 1.** Two functions f, g are almost compatible, that is,  $f \sim g$  when  $\{a \in dom \ f \cap dom \ g : f(a) \neq g(a)\}$  is finite.

Marion Scheepers used almost compatible functions in [10] in order to study the existence of limited information strategies on a variation of the meager-nowhere dense game he introduced in [11].

**Game 2.** Let  $Sch_{C,F}^{\cup,\subset}(\kappa)$  denote Scheepers' strict countable-finite union game with two players  $\mathscr{C}$ ,  $\mathscr{F}$ . In round 0,  $\mathscr{C}$  chooses  $C_0 \in [\kappa]^{\leq \omega}$ , followed by  $\mathscr{F}$  choosing  $F_0 \in [\kappa]^{\leq \omega}$ . In round n+1,  $\mathscr{C}$  chooses  $C_{n+1} \in [\kappa]^{\leq \omega}$  such that  $C_{n+1} \supset C_n$ , followed by  $\mathscr{F}$  choosing  $F_{n+1} \in [\kappa]^{<\omega}$ .

 $\mathscr{F}$  wins the game if  $\bigcup_{n<\omega} F_n\supseteq \bigcup_{n<\omega} C_n$ ; otherwise,  $\mathscr{C}$  wins.

Of course, with perfect information this game is trivial: during round n player  $\mathscr{F}$  simply chooses n ordinals from each of the n countable sets played by  $\mathscr{C}$ . However, if  $\mathscr{F}$  is limited to using information from the last k moves by  $\mathscr{C}$  during each round, the task becomes more difficult. Call such a strategy a k-tactical strategy or k-tactic; if using the round number is allowed, then the strategy is called a k-Markov strategy or a k-mark.

**Definition 3.** The statement  $\mathcal{A}(\kappa)$  (given as  $S(\kappa, \aleph_0, \omega)$  in [10] and  $S(\kappa)$  in [1]) claims that there exist one-to-one functions  $f_A: A \to \omega$  for each  $A \in [\kappa]^{\leq \aleph_0}$  such that the collection  $\{f_A: A \in [\kappa]^{\leq \aleph_0}\}$  is pairwise almost compatible.

In the same paper, Scheepers noted that  $\mathcal{A}(\omega_1)$  holds in ZFC, and that it's possible to force  $\mathfrak{c}$  to be arbitrarily large while preserving  $\mathcal{A}(\mathfrak{c})$ . However,  $\mathcal{A}(\mathfrak{c}^+)$  always fails. This axiom may be applied to obtain a winning 2-tactic for  $\mathscr{F}$  in the countable-finite game.

In [1], Clontz related this game to a game which may be used to characterize the Menger covering property of a topological space.

**Game 4.** Let  $Men_{C,F}(X)$  denote the  $Menger\ game$  with players  $\mathscr{C}$ ,  $\mathscr{F}$ . In round n,  $\mathscr{C}$  chooses an open cover  $\mathcal{U}_n$ , followed by  $\mathscr{F}$  choosing a subset  $F_n$  of X which may be finitely covered by  $\mathcal{U}_n$ .

 $\mathscr{F}$  wins the game if  $X = \bigcup_{n < \omega} F_n$ , and  $\mathscr{C}$  wins otherwise.

This characterization is slightly different than the typical characterization in which the second player first chooses a specific finite subcollection  $\mathcal{F}_n$  of the cover itself and lets  $F_n = \bigcup \mathcal{F}_n$ , denoted as  $G_{fin}(\mathcal{O}, \mathcal{O})$  in [12]. However, it's easily seen that these games are equivalent for perfect information strategies (so both characterize the Menger property in the same way), and this characterization is more convenient for our concerns.

**Definition 5.** Let  $\kappa^{\dagger} = \kappa \cup \{\infty\}$  where  $\kappa$  is discrete and  $\infty$ 's neighborhoods are the co-countable sets containing it.

The relationship between  $Sch_{C,F}^{\cup,\subset}(\kappa)$  and  $Men_{C,F}(\kappa^{\dagger})$  is strong; in both games  $\mathscr{C}$  essentially chooses a countable subset of  $\kappa$  followed by  $\mathscr{F}$  choosing a finite subset of that choice, and it's easy to see the winning perfect information strategy for  $\mathscr{F}$  in both games. In addition, it was shown in [1] that when  $\mathcal{A}(\kappa)$  holds,  $\mathscr{F}$  has a winning 2-Markov strategy in  $Men_{C,F}(\kappa^{\dagger})$ .

One source of motivation is to make progress on the following open question:

**Question 6.** Does there exist a topological space X for which  $\mathscr{F} \uparrow Men_{C,F}(X)$  but  $\mathscr{F} \circlearrowleft Men_{C,F}(X)$ ? (That is, the second player can win the Menger game on X with perfect information but not with 2-Markov information.)

## 2. One-to-one and finite-to-one almost compatible functions

We may weaken Scheeper's  $\mathcal{A}(\kappa)$  as follows:

**Definition 7.** The statement  $\mathcal{A}'(\kappa)$  weakens  $\mathcal{A}(\kappa)$  by only requiring the witnessing almost-compatible functions  $f_A: A \to \omega$  to be finite-to-one.

**Proposition 8.**  $\mathcal{A}(\kappa)$  and  $\mathcal{A}'(\kappa)$  need only be witnessed by functions  $\{f_A : A \in \mathcal{S}\}\$  for some family  $\mathcal{S}$  cofinal in  $[\kappa]^{\leq \aleph_0}$ .

*Proof.* For each 
$$A \in [\kappa]^{\leq \aleph_0}$$
 choose  $A' \supseteq A$  from  $S$  and let  $g_A = f_{A'} \upharpoonright A$ .

In the next section we will show that  $\mathcal{A}'(\kappa)$  is sufficient for the applications to the Scheepers and Menger games. In the meantime, we will demonstrate that  $\mathcal{A}'(\kappa)$  is strictly weaker than  $\mathcal{A}(\kappa)$ .

Recall the following.

**Definition 9.** A Kurepa family  $\mathcal{K} \subseteq [\kappa]^{\aleph_0}$  on  $\kappa$  satisfies that  $\mathcal{K} \upharpoonright A = \{K \cap A : K \in \mathcal{K}\}$  is countable for each  $A \in [\kappa]^{\aleph_0}$ . Let  $\mathcal{K}(\kappa)$  be the statement claiming there exists a Kurepa family on  $\kappa$  cofinal in  $[\kappa]^{\aleph_0}$ .

Theorem 10.  $\mathcal{K}(\kappa) \Rightarrow \mathcal{A}'(\kappa)$ .

*Proof.* Let  $\mathcal{K} = \{K_{\alpha} : \alpha < \theta\}$  be a cofinal Kurepa family on  $\kappa$ . We first define  $f_{\alpha} : K_{\alpha} \to \omega$  for each  $\alpha < \theta$ .

Suppose we've already defined pairwise almost compatible finite-to-one functions  $\{f_{\beta}: \beta < \alpha\}$ . To define  $f_{\alpha}$ , we first recall that  $\mathcal{K} \upharpoonright K_{\alpha}$  is countable, so we may choose  $\beta_n < \alpha$  for  $n < \omega$  such that  $\{K_{\beta}: \beta < \alpha\} \upharpoonright K_{\alpha} \setminus \{\emptyset\} = \{K_{\alpha} \cap K_{\beta_n}: n < \omega\}$ . Let  $K_{\alpha} = \{\delta_{i,j}: i \leq \omega, j < w_i\}$  where  $w_i \leq \omega$  for each  $i \leq \omega$ ,  $K_{\alpha} \cap (K_{\beta_n} \setminus \bigcup_{m < n} K_{\beta_m}) = \{\delta_{n,j}: j < w_n\}$ , and  $K_{\alpha} \setminus \bigcup_{n < \omega} K_{\beta_n} = \{\delta_{\omega,j}: j < w_{\omega}\}$ . Then let  $f_{\alpha}(\delta_{n,j}) = \max(n, f_{\beta_n}(\delta_{n,j}))$  for  $n < \omega$  and  $f_{\alpha}(\delta_{\omega,j}) = j$  otherwise.

We should show that  $f_{\alpha}$  is finite-to-one. Let  $n < \omega$ . Since  $f_{\alpha}(\delta_{m,j}) \geq m$ , we only consider the finite cases where  $m \leq n$ . Since each  $f_{\beta_m}$  is finite-to-one,  $f_{\beta_m}(\delta_{m,j}) \leq n$  for only finitely many j. Thus  $f_{\alpha}(\delta_{m,j}) = \max(m, f_{\beta_m}(\delta_{m,j}))$  maps to n for only finitely many j.

We now want to demonstrate that  $f_{\alpha} \sim f_{\beta_n}$  for all  $n < \omega$ . Note  $\delta_{m,j} \in K_{\beta_n}$  implies  $m \le n$ . For m = n, we have  $f_{\alpha}(\delta_{n,j}) = \max(n, f_{\beta_n}(\delta_{n,j}))$  which differs from  $f_{\beta_n}(\delta_{n,j})$  for only the finitely many j which are mapped below n by  $f_{\beta_n}$ . For m < n and  $\delta_{m,j} \in K_{\beta_n}$ , we have  $f_{\alpha}(\delta_{m,j}) = \max(m, f_{\beta_m}(\delta_{m,j}))$  which can only differ from  $f_{\beta_n}(\delta_{m,j})$  for only the finitely many j which are mapped below m by  $f_{\beta_m}$  or the finitely many j for which the almost compatible  $f_{\beta_n} \sim f_{\beta_m}$  differ.

Finally for any  $\beta < \alpha$ , we may conclude  $f_{\alpha} \sim f_{\beta}$  since there is some  $\beta_n$  with  $K_{\alpha} \cap K_{\beta} = K_{\alpha} \cap K_{\beta_n}$ ,  $f_{\alpha} \sim f_{\beta_n}$ , and  $f_{\beta_n} \sim f_{\beta}$ .

We now construct a topology on  $\omega_n$  for each  $n < \omega$  which will witness a Kurepa family of size  $\aleph_n$ . A similar construction was previously shown by Juhász et. al. in [6], and the relationship of Kurepa families and such spaces has also been investigated in a preprint of Nyikos [9].

**Proposition 11.** Let X be a  $T_2$  space with a base of countable and compact neighborhoods. Then X is locally metrizable with a base of compact open countable sets.

*Proof.* For each point x let K be a countable and compact neighborhood of x, and it follows that it is contained in a countable, open, and locally compact neighborhood W of x, which in turn is zero-dimensional and metrizable. So choose V clopen in W such that  $x \in V \subseteq K$ ; V is a compact open neighborhood of x in X.

**Definition 12.** A topological space is said to be  $\omega$ -bounded if each countable subset of the space has compact closure. As in [6] we call a  $T_2$ , locally countable,  $\omega$ -bounded space *splendid*, and let  $\mathcal{S}(\kappa)$  represent the claim that there exists a splendid space of cardinality  $\kappa$ .

**Proposition 13.** Let X be a  $T_2$  space with cardinality less than  $\aleph_{\omega}$  which is locally countable and  $\omega$ -bounded. Then the closure operation preserves cardinality and weight.

*Proof.* Note that the closure of any countable neighborhood is compact, and any Lindelöf set is countable. This space is locally metrizable and thus first-countable, so cardinality and weight coincide for any subspace. The result is obvious if A is countable; otherwise let  $A = \{a_{\alpha} : \alpha < \omega_{n+1}\}$  and since basic neighborhoods are countable note any limit point of A is a limit point of  $A_{\beta} = \{a_{\alpha} : \alpha < \beta\}$  for some  $\beta < \omega_{n+1}$ . Thus  $\overline{A} = \bigcup_{\beta < \omega_{n+1}} \overline{A_{\beta}}$  and by induction  $|\overline{A}| = |A|$ .

**Lemma 14.** Let X be a  $T_2$  space with cardinality less than  $\aleph_{\omega}$  which is locally countable and locally compact, and such that its closure operation preserves cardinalities. Then X has an  $\omega$ -bounded extension  $\tilde{X}$  with the same properties where  $\tilde{X} \setminus X$  has the same cardinality as X.

*Proof.* We prove this by induction on n. If n=0, then we can just use the one-point compactification of two copies of X. So suppose n>0 and that  $X=\omega_n$  has an appropriate topology. Note that X has a base of countable and compact neighborhoods since the closure operation preserves cardinalities.

For each  $\alpha < \omega_n$ ,  $\gamma_\alpha$  may be chosen such that both the closure of the set  $\alpha$  in X and a countable neighborhood of the point  $\alpha$  are subsets of  $\gamma_\alpha$ . Note that the set  $\{\lambda < \omega_n : \alpha < \lambda \Rightarrow \gamma_\alpha < \lambda\}$  is a cub subset of  $\omega_n$  containing a cub subset C of limit ordinals. Now for each  $\lambda \in C$ , the set  $\lambda$  is open as  $\alpha < \lambda$  belongs to the neighborhood  $\gamma_\alpha \subseteq \lambda$ . Also, if  $\lambda$  has uncountable cofinality, then for  $\beta \geq \lambda$  and any countable neighborhood U of  $\beta$ ,  $U \cap \lambda = U \cap \alpha$  for some  $\alpha < \lambda$ ; thus  $U \setminus \overline{\alpha} = U \setminus \lambda$  is a neighborhood of  $\beta$ , showing that  $\lambda$  is clopen.

Let  $\tilde{X} = \omega_n \times 2$ . By induction on  $\lambda \in C$  we will define compatible topologies for  $\tilde{X}_{\lambda} = \omega_n \times \{0\} \cup \lambda \times \{1\}$  such that

- $\omega_n \times \{0\}$  is an open copy of X,
- $\lambda \times 2$  is open, and when  $cf(\lambda) > \omega$  also closed,
- the space has a base of countable and compact neighborhoods, and
- when  $\lambda$  is a successor, for each  $\alpha < \lambda$  the closure of  $\alpha \times 2$  is an  $\omega$ -bounded subset of  $\lambda \times 2$ .

We first consider the case n=1. If  $\lambda$  is a limit in C, then  $X_{\lambda} = \bigcup_{\mu \in C \cap \lambda} X_{\mu}$  satisfies the induction requirements. Otherwise we choose an increasing sequence of ordinals  $\{\alpha_k : k \in \omega\}$  with limit  $\lambda$  such that  $\alpha_0$  is the predecessor of  $\lambda$  in C, or  $\alpha_0 = 0$  if  $\lambda$  is the least element of C.

The subspace  $\overline{\lambda} \times \{0\} \cup \alpha_0 \times 2$  of X is countable and locally compact; therefore it is metrizable and zero-dimensional. So we may choose increasing sets  $U_k$  for  $k < \omega$  which are clopen in this topology and satisfy

$$\overline{\alpha_k \times \{0\} \cup \alpha_0 \times 2} = \overline{\alpha_k} \times \{0\} \cup \alpha_0 \times 2 \subseteq U_k \subseteq \lambda \times \{0\} \cup \alpha_0 \times 2$$

Note that  $U_k$  is also clopen in  $\tilde{X}_{\alpha_0}$  since it is closed in  $\overline{\lambda} \times \{0\} \cup \alpha_0 \times 2$  and open in  $\lambda \times \{0\} \cup \alpha_0 \times 2$ .

We need only describe a base for the points  $\langle \alpha, 1 \rangle \in (\lambda \setminus \alpha_0) \times \{1\}$ . We do so by letting  $\langle \alpha, 1 \rangle$  be isolated when  $\alpha \notin \{\alpha_k : k < \omega\}$ , and giving  $\langle \alpha_k, 1 \rangle$  the open neighborhoods  $(U_k \cup ((\alpha_k + 1) \times \{1\})) \setminus K$  for each compact subset K of  $U_k \cup (\alpha_k \times \{1\})$ ; that is,  $\langle \alpha_k, 1 \rangle$  is the one point compactifying  $U_k \cup (\alpha_k \times \{1\})$ .

The first two requirements of our inductive hypothesis are obviously satsified. Note points in  $\lambda \times 2$  are covered by the compact countable neighborhood  $U_k \cup ((\alpha_k + 1) \times \{1\})$  for some  $k < \omega$ , and for points in  $(\omega_n \setminus \lambda) \times \{0\}$  we may use a compact countable neighborhood from X. For the final requirement, note that for  $\alpha < \lambda$ , we may choose  $\alpha < \alpha_k < \lambda$  and note that  $\alpha \times 2$  is contained in the compact subset  $U_k \cup ((\alpha_k + 1) \times \{1\})$  of  $\lambda \times 2$ .

For the case n>1, we may assume that the successors in C have uncountable cofinality. We again proceed by induction on  $\lambda\in C$ . Again when  $\lambda$  is a limit in C,  $\tilde{X}_{\lambda}=\bigcup_{\mu\in C\cap\lambda}\tilde{X}_{\mu}$  satisfies the given requirements; in particular if  $\alpha<\lambda$ , then  $\alpha<\mu<\lambda$  for some successor  $\mu\in C$  with uncountable cofinality. As such, the closure of  $\alpha\times 2$  is an  $\omega$ -bounded subset of the clopen  $\mu\times 2$  and therefore also of  $\lambda\times 2$ . In case  $\lambda$  is not a limit of C, then  $\lambda$  has uncountable cofinality and a predecessor  $\mu\in C$ . We therefore have that  $\lambda\times\{0\}$  is clopen in  $\omega_n\times\{0\}$ . Since the cardinality of  $\lambda\times\{0\}\cup\mu\times 2$  is less than  $\aleph_n$ , we may simply apply the induction hypothesis to choose an appropriate topology for  $\lambda\times 2$ .

As a result,  $\tilde{X} = \bigcup_{\lambda \in C} \tilde{X}_{\lambda}$  is  $\omega$ -bounded as any countable set is contained in some  $\alpha \times 2$  for  $\alpha < \lambda \in C$ .

**Theorem 15.** For each  $k < \omega$ , there is a  $T_2$ , locally countable,  $\omega$ -bounded topology on  $\omega_k$ . That is,  $\mathcal{S}(\aleph_k)$  for all  $k < \omega$ .

*Proof.* Apply the previous lemma to  $\omega_n$  with the discrete topology.

**Lemma 16.** The family of compact open sets in a locally countable,  $\omega$ -bounded topological space X is a Kurepa family cofinal in  $[X]^{\omega}$ . That is,  $S(\kappa) \Rightarrow K(\kappa)$ .

*Proof.* Let  $\mathcal{K}$  collect all compact open subsets of X. Of course, every Lindelöf set in a locally countable space is countable, and the closure of every countable set is a compact countable set; thus  $\mathcal{K}$  is cofinal in  $[X]^{\omega}$ . It is Kurepa since every countable set is contained in a countable compact open subspace of X; this subspace has a countable base of compact open sets, which closed under finite unions enumerates all compact open subsets of the subspace.

Corollary 17.  $\mathcal{K}(\aleph_k)$  for all  $k < \omega$ .

Alternatively, the previous corollary may be obtained via an observation of Todorcevic communicated by Dow in [3]: if every Kurepa family of size at most  $\kappa$  extends to a cofinal Kurepa family, then the same is true of  $\kappa^+$ .

Nyikos points out in [9] that a cofinal Kurepa family may be used to construct a locally metrizable,  $\omega$ -bounded, zero-dimensional space with appropriate cardinality, but whether this can be strengthened to locally countable and  $\omega$ -bounded (as asked in [6]) remains an open question.

Also left open is this extension of the question asked in [9] and [6] on the possible equivalence of  $S(\kappa)$  and  $K(\kappa)$ .

**Question 18.** May any of the implications in the theorem  $S(\kappa) \Rightarrow K(\kappa) \Rightarrow A'(\kappa)$  be reversed?

Regardless, we have obtained our desired result.

Corollary 19.  $\mathcal{A}'(\aleph_k)$  for all  $k < \omega$ .

## 3. Consistency results

As noted in [3], Jensen's one-gap two-cardinal theorem under V = L introduced in [5] implies that  $\mathcal{K}(\kappa)$  holds for all cardinals  $\kappa$ .

Corollary 20 (V = L).  $A'(\kappa)$  for all cardinals  $\kappa$ .

Weakening to the continuum hypothesis, we see an obvious consequence.

Corollary 21 (CH).  $\mathcal{A}'(\mathfrak{c}^+)$ , but  $\neg \mathcal{A}(\mathfrak{c}^+)$ .

But CH is not required to have  $\mathcal{A}(\aleph_2)$  fail.

The forcing extension of a model M by a poset  $\mathbb{P} \in M$  is obtained simply by evaluating all  $\mathbb{P}$ -names from M by a generic filter G. A set  $\tau$  is a  $\mathbb{P}$ -name if  $\tau$  is a (possibly empty) set of ordered pairs  $(\sigma,p)$  where  $p \in \mathbb{P}$  and  $\sigma$  is also itself a  $\mathbb{P}$ -name. If G is a  $\mathbb{P}$ -generic filter, then  $\operatorname{val}_G(\tau)$  is defined to equal  $\{\operatorname{val}_G(\sigma): (\exists p \in G) \ (\sigma,p) \in \tau\}$ .

If  $x \in M$ , then the canonical  $\mathbb{P}$ -name,  $\check{x}$ , is generally, and recursively, taken to be  $\{(\check{y},1):y\in x\}$  where 1 is the maximum element of  $\mathbb{P}$ . However, it will be convenient to consider, when the context is clear, (x,p) (for any  $p\in \mathbb{P}$ ) to be a kind of  $\mathbb{P}$ -name. In particular if  $\tau\subset X\times \mathbb{P}$  (for some fixed  $X\in M$ ), then we may let  $\tau[G]=\{x:\ (\exists p\in G)\ (x,p)\in \tau\}.$ 

Thus,  $\operatorname{val}_G(\tau)$  will denote the recursive evaluation by G and  $\tau[G]$  will be defined as above. In fact, if  $\tau \in M$  is any set then each of  $\operatorname{val}_G(\tau)$  and  $\tau[G]$  are well defined. It is a standard convention to use a dotted letter, such as  $\dot{x}$ , to indicate that we are discussing a  $\mathbb{P}$ -name.

One says that a condition  $p \in \mathbb{P}$  forces a statement  $\varphi$  to hold, denoted  $p \Vdash \varphi$ , if that statement holds in M[G] for all  $\mathbb{P}$ -generic filters with  $p \in G$ . The forcing theorem states that if  $M[G] \models \varphi$ , then there is some  $p \in G$  forcing that  $\varphi$  holds. The following is an immediate consequence of the forcing theorem.

**Lemma.** If  $X \in M$  and  $\dot{x}$  is a  $\mathbb{P}$ -name, then there is a  $\tau \subset X \times \mathbb{P}$ , such that for any generic G,  $\tau[G] = X \cap \operatorname{val}_G(\dot{x})$ .

In other words, the family of subsets of any  $X \in M$  in the extension M[G] is equal to  $\{\tau[G]: \tau \subset X \times \mathbb{P}, \quad \tau \in M \}$ . We will be using the forcing poset is  $\operatorname{Fn}(\omega_2,2)$ . The elements of this poset are all the finite partial functions from  $\omega_2$  into 2 ordered by reverse inclusion. It follows that, for any  $\lambda \in \omega_2$ , each of  $\operatorname{Fn}(\lambda,2)$  and  $\operatorname{Fn}(\omega_2 \setminus \lambda,2)$  are subposets. For any  $\operatorname{Fn}(\omega_2,2)$ -generic filter G, it easily follows that  $G_\lambda = G \cap \operatorname{Fn}(\lambda,2)$  and  $G^\lambda = G \cap \operatorname{Fn}(\omega_2 \setminus \lambda,2)$  are also generic filters. But a much stronger statement is true.

**Lemma.** [7] Assume that  $G \subset \operatorname{Fn}(\omega_2, 2)$  is a generic filter, and let  $\lambda \in \omega_2$ . Then the final model M[G] is equal to  $(M[G_{\lambda}])[G^{\lambda}]$  in the sense that  $G^{\lambda}$  is a  $\operatorname{Fn}(\omega_2 \setminus \lambda, 2)$ -generic filter over the model  $M[G_{\lambda}]$ .

In addition, for each 
$$X \in M$$
 and name  $\dot{A} \subset X \times \operatorname{Fn}(\omega_2, 2)$ , we get that  $(\dot{A}(G_{\lambda}))[G^{\lambda}] = \dot{A}[G]$  where  $\dot{A}(G_{\lambda}) = \{(x, p \upharpoonright [\lambda, \omega_2)) : (x, p) \in \dot{A} \text{ and } p \upharpoonright \lambda \in G_{\lambda}\}$ 

With these lemmas in hand we are ready to prove the theorem. The idea of the proof comes from Kunen's result about no  $\omega_2$  length mod finite chains of subset of  $\omega$ . We consider any family of names of suitable one-to-one functions from countable subsets of  $\omega_2$  into  $\omega$ . We identify a large enough  $\lambda \in \omega_2$  so that a pattern has emerged and we pass to the model  $M[G_{\lambda}]$ . We then show that this pattern can not continue out to  $\omega_2$ .

**Theorem 22.** There exists a model of ZFC for which  $\mathfrak{c} = \aleph_2$  and  $\neg \mathcal{A}(\aleph_2)$ .

Proof. We start with a model M of GCH and suppose that G is a  $\operatorname{Fn}(\omega_2, 2)$ -generic filter. The argument takes place in M. Let  $\{\dot{f}_A: A \in [\omega_2]^\omega\}$  be a family of names (in M) such that, for any generic G and each  $A \in [\omega_2]^\omega \cap M$ ,  $\dot{f}_A[G]$  is a one-to-one function from A into  $\omega$ . We also assume that whenever  $B \subset A$  are members of  $[\omega_2]^\omega$ , we have that  $\dot{f}_B[G] \subset^* \dot{f}_A[G]$ . If we now obtain a contradiction then we will have shown that  $\mathcal{A}(\aleph_2)$  fails.

By [2, 1.5], there is a set  $H \subset H(\aleph_3)$  such that the family  $\{\dot{f}_A : A \in [\omega_2]^\omega\}$  is an element of H, H is an elementary submodel of  $H(\aleph_3)$ , H has cardinality  $\aleph_1$ , and  $H^\omega \subset H$  (every countable subset of H is an element of H).

Let  $\lambda = H \cap \omega_2$  (same as the supremum of  $H \cap \omega_2$ ). Consider the name  $\dot{f}_{[\lambda,\lambda+\omega)}$ . What is such a name? By Lemma 3, we can assume that it is a set of pairs of the form  $((\lambda + k, m), p)$  where  $p \in Fn(\omega_2, 2)$  and, of course,  $k, m \in \omega$ . Furthermore, for each k, m it is enough (see [7, 5.11,5.12]) to take a countable set of such p to get an equivalent (*nice*) name. Given any such nice name  $\dot{f}$ , let  $\text{supp}(\dot{f})$  denote the union of the domains of conditions p appearing in the name.

Now let Y equal supp $(\dot{f}_{[\lambda,\lambda+\omega)})\setminus\lambda$ . Furthermore, fix any  $\mu\in\lambda\subset H$  such that supp $(\dot{f}_{[\lambda,\lambda+\omega)})\cap\lambda$  is contained in  $\mu$ . Let  $\delta\in\omega_1$  denote the order type of Y and let  $\varphi_{\mu,\lambda}$  be the order-preserving function from  $\mu\cup Y$  onto the ordinal  $\mu+\delta$ . This lifts canonically to an order-preserving bijection  $\varphi_{\mu,\lambda}:\operatorname{Fn}(\mu\cup Y,2)\mapsto\operatorname{Fn}(\mu+\delta,2)$ . Now fix any  $\mu<\lambda$  so that  $\operatorname{supp}(\dot{f}_{[\lambda,\lambda+\omega)})\cap\lambda\subset\mu$ . We can similarly make sense of the name  $\varphi_{\mu,\lambda}(\dot{f}_{[\lambda,\lambda+\omega)})$ , call it  $F_H$ . Here simply, for each tuple  $((k,m),p)\in\dot{f}_{[\lambda,\lambda+\omega)}$ , we have that  $((k,m),\varphi_{\mu,\lambda}(p))$  is in  $F_H$ . Again, let  $\varphi_{\mu,\lambda}(\dot{f}_{[\lambda,\lambda+\omega)})$  be interpreted in the above sense as giving  $F_H$  (which is an element of H).

Other values replacing  $\lambda > \mu$  will result in their own set Y and canonical map  $\varphi_{\mu,\lambda}$ . Now the object  $F_H$  is an element of H, and H believes this statement is true:

$$(\forall \beta \in \omega_2) \ (\exists \lambda \in \omega_2 \setminus \beta) \ \operatorname{supp}(\dot{f}_{[\lambda, \lambda + \omega)}) \cap \lambda \subset \mu \ \text{and} \ F_H = \varphi_{\mu, \lambda}(\dot{f}_{[\lambda, \lambda + \omega)})$$

But now, this means that, not only is there an  $\alpha \in H$ ,  $F_H = \varphi_{\mu,\alpha}(\dot{f}_{[\alpha,\alpha+\omega)})$  but also that there is an increasing sequence  $\{\alpha_{\xi} : \xi \in \omega_1\} \subset \lambda$  of such  $\alpha$ 's satisfying that, for each  $\xi$  we have that  $\operatorname{supp}(\dot{f}_{[\alpha_{\xi},\alpha_{\xi}+\omega)})$  is contained in  $\alpha_{\xi+1}$ .

Choose such a sequence. This means that if we let  $A = \bigcup_{n>0} [\alpha_n, \alpha_n + \omega)$  we have the name  $\dot{f}_A$  in H. This then means that all the  $((\beta, m), p)$  appearing in (the nice name)  $\dot{f}_A$  have the property that dom(p) is contained in H. There is, also within H, a name  $\dot{g}$  satisfying that  $\dot{f}_A(\alpha_n + k) = \dot{f}_{[\alpha_n,\alpha_n+\omega)}(\alpha_n + k)$  for all  $k > \dot{g}(n)$ , or more precisely,  $\dot{g} \subset (\omega \times \omega) \times \operatorname{Fn}(\omega_2, 2)$  satisfies that  $\dot{g}[G] \in \omega^\omega$  and  $\dot{f}_A[G](\alpha_n + k) = \dot{f}_{[\alpha_n,\alpha_n+\omega)}[G](\alpha + k)$  for all  $k > \dot{g}[G](n)$ .

We now apply Lemma 3 and we are now working in the extension  $M[G_{\mu}]$ . We work for a contradiction. Something special has now happened, namely, the supports of the names  $\{\dot{f}_{[\alpha_n,\alpha_n+\omega)}(G_{\mu}): 0 < n < \omega\}$  are pairwise disjoint and also disjoint from the support of the name  $\dot{f}_{[\lambda,\lambda+\omega)}(G_{\mu})$ . And not only that, these names are pairwise isomorphic (in the way that they all map to  $F_H$ ).

Since A is disjoint from  $[\lambda, \lambda + \omega)$ , there must be an integer  $\ell$  together with a condition  $q \in Fn(\omega_2 \setminus \mu, 2)$  satisfying that for all  $n > \ell$ , q forces that

"if 
$$k > \dot{g}(n)$$
 then  $(\dot{f}_{[\alpha_n,\alpha_n+\omega)}(G_\mu))(\alpha_n+k) \neq (\dot{f}_{[\lambda,\lambda+\omega)}(G_\mu))(\lambda+k)$ ".

Choose  $n > \ell$  large enough so that  $dom(q) \cap [\alpha_n, \alpha_{n+1})$  is empty. Choose  $q_1 < q \upharpoonright \lambda$  (in H) so that

$$\varphi_{\mu,\alpha_n}(q_1 \upharpoonright \operatorname{supp}(\dot{f}_{[\alpha_n,\alpha_n+\omega)}) = \varphi_{\mu,\lambda}(q \upharpoonright \operatorname{supp}(\dot{f}_{[\lambda,\lambda+\omega)})$$

and then (again in H) choose  $q_2 < q_1$  so that it both forces a value L on  $\ell + \dot{g}(n)$  and subsequently forces a value m on  $\dot{f}_{[\alpha_n,\alpha_n+\omega)}(\alpha_n+L+1)$ . But now, again calculate

$$q_3 = \varphi_{\mu,\lambda}^{-1} \circ \varphi_{\mu,\alpha_n}(q_2 \upharpoonright \operatorname{supp}(\dot{f}_{[\alpha_n,\alpha_n+\omega)}))$$

and, by the isomorphisms, we have that  $q_3$  forces that  $\dot{f}_{[\lambda,\lambda+\omega)}(\lambda+L+1)=m$ .

Technically (or with more care) all of this is taking place in the poset  $\operatorname{Fn}(\omega_2 \setminus \mu, 2)$  and this means that  $q_3$  and q are with each other. To verify this it suffices to consider  $q(\beta) = e$  and to assume that  $q_3(\beta)$  is defined. Since  $q_3(\beta)$  is defined, we have that there is a  $\beta' \in \operatorname{dom}(q_2)$  such that  $\varphi_{\mu,\lambda}(\beta) = \varphi_{\mu,\alpha_n}(\beta')$ , and that  $q_3(\beta) = q_2(\beta')$ . But, by definition of  $q_1, \beta' \in \operatorname{dom}(q_1)$  and even that  $q_1(\beta') = q(\beta)$ . Then, since  $q_2 < q_1$ , we have that  $q_2(\beta') = q_1(\beta') = q(\beta)$ . This completes the circle that  $q_3(\beta) = q(\beta)$ .

Finally, our contradiction is that  $q_3 \cup q_2 \cup q$  forces that k = L + 1 violates the quoted statement above.

We are also able to force  $\mathcal{A}'(\kappa)$  to fail for every cardinal other than the first  $\omega$ -many we've already guaranteed.

**Theorem 23.** It follows from the existence of a 2-huge cardinal that there is a model of ZFC for which  $\neg A'(\aleph_{\omega})$ .

*Proof.* We will need the model constructed in [8] in which an instance of Chang's conjecture  $(\aleph_{\omega+1}, \aleph_{\omega}) \rightarrow (\aleph_1, \aleph_0)$  is shown to fail.

We can take as a given (as shown in [8, Theorem 5]) that we may assume that we have a model V of GCH in which there are regular limit cardinals  $\kappa < \lambda$  satisfying that  $(\lambda^{+\omega+1}, \lambda^{+\omega}) \twoheadrightarrow (\kappa^{+\omega+1}, \kappa^{+\omega})$ .

What this says is that if L is a countable language with at least one unary relation symbol R and M is a model of L with base set  $\lambda^{+\omega+1}$  in which the interpretation of R has cardinality  $\lambda^{+\omega}$ , then M has an elementary submodel N of cardinality  $\kappa^{+\omega+1}$  in which  $R\cap N$  has cardinality  $\kappa^{+\omega}$  (of course  $R\cap N$  is the interpretation of R in N because  $N\prec M$ ).

The interested reader will want to know that it is shown in [8] that if  $\kappa$  is a 2-huge cardinal and j is the 2-huge embedding with critical point  $\kappa$ , then with  $\lambda = j(\kappa)$  one has that  $(\lambda^{+\omega+1}, \lambda^{+\omega}) \rightarrow (\kappa^{+\omega+1}, \kappa^{+\omega})$  holds. There is no loss of generality to also assume that GCH holds in this model.

Let  $\{h_{\xi}: \xi \in \lambda^{+\omega+1}\}$  be a scale in  $\Pi\{\lambda^{+n+1}: n \in \omega\}$  ordered by the usual mod finite coordinatewise ordering. For convenience we may assume that  $h_{\xi}(n) \geq \lambda^{+n}$  for all  $\xi$  and all n. For each integer m the cofinality of the mod finite ordering on  $\Pi\{\lambda^{+n+1}: m < n \in \omega\}$  is the same as it is for the entire product  $\Pi\{\lambda^{+n+1}: n \in \omega\}$ .

If P is any poset of cardinality less than  $\lambda^{+m}$  then, in the forcing extension by P, every function in  $\Pi\{\lambda^{+n+1}: m < n \in \omega\}$  is bounded above by a ground model function. It therefore follows easily that in the forcing extension by P, the sequence  $\{h_{\xi}: \xi \in \lambda^{+\omega+1}\}$  remains cofinal in  $\Pi\{\lambda^{+n+1}: n \in \omega\}$ .

The forcing notion  $\mathbb{P}_0$  is simply the finite condition collapse of  $\kappa^{+\omega}$ , i.e.  $\mathbb{P}_0 = (\kappa^{+\omega})^{<\omega}$ . In the forcing extension by  $\mathbb{P}_0$ , one now has that the ordinal  $\kappa^{+\omega+1}$  from V is the first uncountable cardinal  $\aleph_1$ . Then in this forcing extension we let  $\mathbb{P}_1$  be the countable condition Levy collapse,  $Lv(\lambda,\omega_2)$ , which collapses all cardinals less than  $\lambda$  to have cardinality at most  $\aleph_1$ . The poset  $\mathbb{P}_1$  has cardinality  $\lambda$ . We treat  $\mathbb{P} * \mathbb{P}_1$  as containing  $\mathbb{P}_0$  as a subposet by identifying each  $(p_0,1)$  with  $p_0$ . After forcing with  $\mathbb{P}_0 * \mathbb{P}_1$  we will have that  $\omega_1$  is the ordinal  $(\kappa^{+\omega+1})^V$ ,  $\omega_2$  is the ordinal  $\lambda$ , and  $\omega_{\omega}$  is the ordinal  $(\lambda^{+\omega})^V$ .

Now we assume that we have an assignment  $\dot{f}_{\dot{A}}$  of a  $\mathbb{P}_0 * \mathbb{P}_1$ -name of a finite-to-one function from  $\dot{A}$  into  $\omega$  for each  $\mathbb{P}_0 * \mathbb{P}_1$ -name of a countable subset of  $\lambda^{+\omega+1}$ . We will obtain a contradiction.

Let  $\{\dot{A}_{\xi}: \xi \in \lambda^{+\omega+1}\}$  be an enumeration of all the nice  $\mathbb{P}_0$ -names of countable subsets of  $\lambda^{+\omega}$ . For each  $\xi \in \lambda^{+\omega+1}$ , let  $\dot{f}_{\xi}$  be another notation for  $\dot{f}_{\dot{A}_{\xi}}$ . Since  $\mathbb{P}_0$  forces that  $\mathbb{P}_1$  is countably closed, the collection of all nice  $\mathbb{P}_0$ -names will produce all the countable sets in the extension by  $\mathbb{P}_0 * \mathbb{P}_1$ , but  $\mathbb{P}_0 * \mathbb{P}_1$  can introduce new enumerations of these names. For each  $\xi \in \lambda^{+\omega+1}$ , there is a minimal  $\zeta_{\xi}$  so that  $\dot{A}_{\zeta_{\xi}}$  is the canonical name for the range of  $h_{\xi}$ . This means that  $\dot{f}_{\zeta_{\xi}} \circ h_{\xi}$  is simply the  $\mathbb{P}_0 * \mathbb{P}_1$ -name of a finite-to-one function from  $\omega$  to  $\omega$ . For each  $\xi \in \lambda^{+\omega+1}$ , choose any  $p_{\xi} \in \mathbb{P}_0 * \mathbb{P}_1$  so that there is a nice  $\mathbb{P}_0$ -name,  $\dot{H}_{\xi}$ , that is forced by  $p_{\xi}$  to equal  $\dot{f}_{\zeta_{\xi}} \circ h_{\xi}$ . Choose  $\Lambda \subset \lambda^{+\omega+1}$  of cardinality  $\lambda^{+\omega+1}$  and so that there is a pair  $p, \dot{H}$  satisfying that  $p_{\xi} = p$  and  $\dot{H}_{\xi} = \dot{H}$  for all  $\xi \in \Lambda$ . We may assume that p is in a generic filter G.

Let  $\{x_{\xi}: \xi \in \lambda^{+\omega+1}\}$  be any enumeration of  $H(\lambda^{+\omega+1})$  such that  $\{x_{\xi}: \xi \in \lambda^{+\omega}\}$  is also equal to  $H(\lambda^{+\omega})$ . We choose this enumeration in such a way that  $x_{\xi} \in x_{\eta}$  implies  $\xi < \eta$ . We use relation symbol  $R_0$  to code (and well order)  $(H(\lambda^{+\omega+1}), \in)$  as follows:  $(\xi, \eta) \in R_0$  if and only if  $x_{\xi} \in x_{\eta}$ . Let  $R_1$  be a binary relation on  $\kappa^{+\omega}$  so that  $(\kappa^{+\omega}, R_1)$  is isomorphic to  $\mathbb{P}_0$ . Let  $R_2$  be a binary relation on  $\lambda$  so that  $R_2 \cap (\kappa^{+\omega} \times \kappa^{+\omega}) = R_1$  and  $(\lambda, R_2)$  is isomorphic to  $\mathbb{P}_0 * \mathbb{P}_1$ . Let  $\psi$  be the poset isomorphism from  $(\lambda, R_2)$  to  $\mathbb{P}_0 * \mathbb{P}_1$ .

We continue coding. We can code the sequence  $\{h_{\xi}: \xi \in \lambda^{+\omega+1}\}$  as another binary relation  $R_3$  on  $\lambda^{+\omega+1}$  where  $R_3 \cap \left(\{\xi\} \times \lambda^{+\omega+1}\right) = \{(\xi, h_{\xi}(n)) : n \in \omega\}$  for each  $\xi \in \lambda^{+\omega+1}$ . The relation symbol  $R_4$  can code the sequence  $\{\dot{A}_{\xi}: \xi \in \lambda^{+\omega+1}\}$  where  $(\xi, \alpha, \zeta) \in R_4$  if and only if  $(\check{\alpha}, \psi(\zeta))$  is in the name  $\dot{A}_{\xi}$ . Let  $R_5$  code this collection, i.e.  $(\gamma, n, m, \eta) \in R_5$  if and only if  $((n, m), \psi(\eta)) \in \dot{H}_{\gamma}$ . Also let  $R_6$  code (equal) the set  $\Lambda$ . Finally we use the relation symbol  $R_7$  to similarly code the sequence  $\{\dot{f}_{\xi}: \xi \in \lambda^{+\omega+1}\}$ :  $(\xi, \alpha, n, \zeta) \in R_7$  if and only if  $((\alpha, n), \psi(\zeta))$  is in the name  $\dot{f}_{\xi}$ .

Needless to say, the unary relation symbol R is interpreted as the set  $\lambda^{+\omega}$  for the application of  $(\lambda^{+\omega+1}, \lambda^{+\omega}) \rightarrow (\kappa^{+\omega+1}, \kappa^{+\omega})$ . Now we have defined our model M of the language  $L = \{ \in, R, R_0, \ldots, R_7 \}$ , and we choose an elementary submodel N

witnessing  $(\lambda^{+\omega+1}, \lambda^{+\omega}) \rightarrow (\kappa^{+\omega+1}, \kappa^{+\omega})$ . Of course N is really just a  $\kappa^{+\omega+1}$  sized subset of  $\lambda^{+\omega+1}$  with the additional property that  $N \cap \lambda^{+\omega}$  has cardinality  $\kappa^{+\omega}$ . In the forcing extension N has cardinality  $\omega_1$  and  $A = N \cap \lambda^{+\omega}$  is countable.

We will need the following claim from [8]:

**Claim.** We may assume that N satisfies that  $N \cap \kappa^{+\omega+1}$  is transitive (i.e. an initial segment).

*Proof of Claim:* Suppose our originally supplied N fails the conclusion of the claim. We know that  $\kappa^{+\omega} \in N$ , (via  $R_1$ ) in which case so is  $\kappa^{+\omega+1}$ .

Then set  $\beta_0 = \sup(N \cap \kappa^{+\omega+1})$  and consider the Skolem closure  $Hull(N \cup \beta_0, M)$ . A little informally (in that we have to formalize the enumeration of formulas as per Gödel coding) let  $\{\varphi_n : n \in \omega\}$  be an enumeration of all formulas in the language L, and let  $\ell_n$  be the minimal integer such that the free variables of  $\varphi_n$  are among  $\{v_0,\ldots,v_{\ell_n}\}$ . Then, for each tuple  $\langle \xi_1,\ldots,\xi_{\ell_n}\rangle$  of elements of  $\lambda^{+\omega+1}$ , we define  $f_n(\xi_1,\ldots,\xi_{\ell_n})$  to be the minimal  $\xi_0\in\lambda^{+\omega+1}$  such that  $M\models\varphi_n(\xi_0,\ldots,\xi_{\ell_n})$ . If there is no such  $\xi_0$ , in other words if  $M \models \neg \exists x \ \varphi_n(x, \xi_1, \dots, \xi_{\ell_n})$ , then set  $f_n(\xi_1,\ldots,\xi_{\ell_n})$  to be 0. Now  $Hull(N\cup\beta_0,M)$  is just the minimal superset X of  $N \cup \beta_0$  that satisfies that  $f_n[X^{\{1,\dots,\ell_n\}}] \subset X$  for all n. Since this is simply a large algebra, we can generate all the terms t of the algebraic operations  $\{f_n : n \in \omega\}$ . It is easily seen that for each  $\zeta \in X$ , there is a term  $t(v_1, \ldots, v_m)$  such that  $\zeta =$  $t(\delta_1,\ldots,\delta_m)$  for some sequence  $\langle \delta_1,\ldots,\delta_m\rangle$  with each  $\delta_i\in N\cup\beta_0$ . Assume that  $\zeta \in \kappa^{+\omega+1}$ . By re-indexing the variables in the term we can assume that there is an  $n \leq m$  so that  $\delta_i < \beta_0$  for  $1 \leq i \leq n$  and  $\kappa^{+\omega+1} \leq \delta_i$  for  $n < i \leq m$ . Let  $\vec{a}$  denote the tuple  $\langle \delta_{n+1}, \dots, \delta_m \rangle$ . Choose  $\eta \in N \cap \kappa^{+\omega+1}$  large enough so that  $\{\delta_1,\ldots,\delta_n\}$  is contained in  $\eta$ . Since set-membership in M is coded by  $R_0$ rather than  $\in$  we have to argue a little less naturally. Consider the set  $s_0(\eta, \vec{a}) =$  $\{t(\gamma_1,\ldots,\gamma_n,\vec{a}): \{\gamma_1,\cdots,\gamma_n\}\in [\eta]^{\leq n}\}$ . Clearly  $s_0(\eta,\vec{a})$  is a member of  $H(\lambda^{+\omega+1})$ . Now define  $s_1(\eta, \vec{a})$  to be  $\{x_\alpha : \alpha \in s_0(\eta, \vec{a})\}$ , and choose the unique  $\zeta_1 \in \lambda^{+\omega+1}$ such that  $x_{\zeta_1} = s_1(\eta, \vec{a})$ . We claim that  $\zeta_1 \in N$ . Note that  $\alpha R_0 \zeta_1$  holds if and only if  $\alpha \in s_0(\eta, \vec{a})$ , and therefore

$$M \models (\forall \alpha) [\alpha R_0 \zeta_1 \text{ iff } (\exists \gamma_1 \in \eta) \cdots (\exists \gamma_n \in \eta) (\alpha = t(\gamma_1, \dots, \gamma_n, \vec{a}))]$$
.

By elementarity then we have that  $\zeta_1 \in N$ , and by similar reasoning the supremum,  $\zeta_0$ , of  $\zeta_1 \cap \kappa^{+\omega+1}$  is also in N. This of course means that  $\zeta < \xi_0$ .

We use the elementarity of N to deduce properties of the families  $\{\dot{A}_{\xi}: \xi \in N\}$  and  $\{\dot{f}_{\xi}: \xi \in N\}$ . Actually the collection we are most interested in is the family  $\{h_{\xi}: \xi \in \Lambda \cap N\}$ .

Now we need a result from Shelah's pcf theory which is proven in Jech [4, 24.9]. Since  $\aleph_1 = \mathfrak{c} < \kappa^{+\omega+1}$  there is a function  $\langle \varrho_n : n \in \omega \rangle$  in  $\Pi_n \lambda^{+\omega}$  such that the sequence  $\{h_{\xi} : \xi \in N\}$  is unbounded mod finite in  $\Pi_n \varrho_n$  For each  $n, \varrho_n \leq \sup(N \cap \lambda^{+n+2})$ . Since  $\mathbb{P}_0$  has cardinality  $\kappa^{+\omega}$ , and so less than  $|N| = \kappa^{+\omega+1}$ , a standard argument (analogous to the fact that adding a Cohen real does not add a dominating real) shows that the sequence  $\{h_{\xi} : \xi \in \Lambda \cap N\}$  remains unbounded mod finite in  $\Pi_n \varrho_n$  (and in  $\Pi_n (\varrho_n \cap N)$ ).

Now pass to the extension by  $G \cap \mathbb{P}_0$  and let H be the function  $\operatorname{val}_G(H)$ , and we recall that  $f_{\zeta_{\xi}}(h_{\xi}(n)) = H(n)$  for all  $n \in \omega$  and  $\xi \in \Lambda$ . Now pass to the full

extension V[G] and again, since  $\mathbb{P}_1$  was forced to be countably closed, the family  $\{h_{\xi}: \xi \in \Lambda \cap N\}$  is still unbounded in  $\Pi_n(\varrho_n \cap N)$  (no new elements were added). We let A be the countable set  $N \cap \lambda^{+\omega}$ , and for each  $\xi \in \Lambda \cap N$ , there is an  $n_{\xi}$  such that  $f_{\xi}(h_{\xi}(m)) = f_A(h_{\xi}(m))$  for all  $m > n_{\xi}$ . There is a single n so that  $\Lambda_n = \{\xi \in \Lambda \cap N : n_{\xi} = n\}$  has cardinality  $\omega_1$ , and thus  $\{h_{\xi}: \xi \in \Lambda_n \cap N\}$  is also unbounded in  $\Pi_n(\rho_n \cap N)$ . This certainly implies that there is an m > n such that  $\{h_{\xi}(m): \xi \in \Lambda_n \cap N\}$  is infinite. This completes the proof since  $f_A(h_{\xi}(m)) = H(m)$  for all  $\xi \in \Lambda_n \cap N$ .

#### 4. Applications to infinite length games

We introduce three variations of Scheeper's game which we defined in the introduction.

**Game 24.** Let  $Sch_{C,F}^{\cup,\subseteq}(\kappa)$  denote the *Scheepers countable-finite union game* which proceeds analogously to  $Sch_{C,F}^{\cup,\subseteq}(\kappa)$ , except that  $\mathscr{C}$ 's restriction in round n+1 is weakened to  $C_{n+1} \supseteq C_n$ .

**Game 25.** Let  $Sch_{C,F}^{1,\subseteq}(\kappa)$  denote the *Scheepers countable-finite initial game* which proceeds analogously to  $Sch_{C,F}^{\cup,\subseteq}(\kappa)$ , except that  $\mathscr{F}$ 's winning condition is weakened to  $\bigcup_{n<\omega} F_n\supseteq C_0$ .

**Game 26.** Let  $Sch_{C,F}^{\cap}(\kappa)$  denote the *Scheepers countable-finite intersection game* which proceeds analogously to  $Sch_{C,F}^{1,\subseteq}(\kappa)$ , except that  $\mathscr{C}$  may choose any  $C_n \in [\kappa]^{\leq \omega}$  each round, and  $\mathscr{F}$ 's winning condition is weakened to  $\bigcup_{n<\omega} F_n \supseteq \bigcap_{n<\omega} C_n$ .

In [1] Clontz extended Scheepers' application of almost-compatible injections to these game variants as well as  $Men_{C,F}(\kappa^{\dagger})$ . However, when considering Markov strategies, finite-to-one functions suffice.

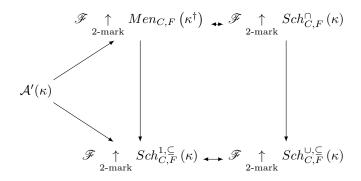


FIGURE 1. Diagram of Scheeper/Menger game implications with  $\mathcal{A}'(\kappa)$ 

**Theorem 27.**  $\mathcal{A}'(\kappa)$  implies the game-theoretic conditions shown in Figure 1.

*Proof.* The weaker claim  $\mathcal{A}(\kappa) \Rightarrow \mathscr{F} \underset{\text{2-mark}}{\uparrow} Sch_{C,F}^{\cap}(\kappa)$  was proven in [1]; however, the strategy only required that the  $f_A$  be pairwise almost-compatible and that

preimages of finite sets in each  $f_A$  are finite, which is also possible assuming  $\mathcal{A}'(\kappa)$ . Note that the relationships amongst the games were all shown in [1].

We include the following proof from [10] for the convenience of the reader.

Theorem 28. 
$$\mathcal{A}(\kappa) \Rightarrow \mathscr{F} \uparrow_{2\text{-tact}} Sch_{C,F}^{\cup,\subset}(\kappa)$$

*Proof.* Let  $\{f_A : A \in [\kappa]^{\leq \aleph_0}\}$  witness  $\mathcal{A}(\kappa)$ , and define  $g_A : A \to \omega$  by  $g_A(\alpha) = f_A(\alpha) - |\{\beta \in A : f_A(\beta) < f_A(\alpha)\}|$ .

We claim that  $\{\alpha \in A : g_A(\alpha) \leq g_B(\alpha)\}$  must be finite as it is bounded above by  $\max\{M, f_A(\alpha), f_B(\alpha) : f_A(\alpha) \neq f_B(\alpha)\}$  where  $M = f_B(\alpha)$  for some  $\alpha \in B \setminus A$ . To see this, let  $f_A(\alpha) = f_B(\alpha) = N > M$  and assume  $f_A(\beta) \neq f_B(\beta)$  implies  $f_A(\beta), f_B(\beta) < N$ . Then

$$g_A(\alpha) = N - |\{\beta \in A : f_A(\beta) < N\}| > N - |\{\beta \in B : f_B(\beta) < N\}| = g_B(\alpha)$$
 with the strictness of the inequality witnessed by  $f_B(\alpha) = M < N$  for some  $\alpha \in B \setminus A$ .

As a result,

$$\sigma(\langle A, B \rangle) = \{ \alpha \in A : g_A(\alpha) \le g_B(\alpha) \}$$

is a legal 2-tactic for  $\mathscr{F}$ . Let  $C = \langle C_0, C_1, \ldots \rangle$  be a strictly increasing sequence of countable sets and  $\alpha \in C_n$ . Noting that  $f_A$  is an injection and not just finite-to-one,  $0 \leq g_{C_{n+m}}(\alpha)$  for all  $m < \omega$ , and it follows that  $g_{C_{n+m}}(\alpha) \leq g_{C_{n+m+1}}(\alpha)$  for some  $m < \omega$ . Therefore  $\alpha \in \sigma(\langle C_{n+m}, C_{n+m+1} \rangle)$ .

So it would seem that  $\mathcal{A}'(\kappa)$  is sufficient only when considering Markov strategies. (Of course,  $\mathcal{A}'(\kappa) \Rightarrow \mathscr{F} \uparrow Sch_{C,F}^{\cup,\subseteq}(\kappa) \Rightarrow \mathscr{F} \uparrow Sch_{C,F}^{\cup,\subseteq}(\kappa)$ .) We would like to demonstrate that  $\mathcal{A}'(\kappa)$  is not necessary.

**Theorem 29.** Let  $\alpha$  be the limit of increasing ordinals  $\beta_n$  for  $n < \omega$ . If  $\mathscr{F} \uparrow_{2-mark}$   $Sch_{C,F}^{\cap}(\aleph_{\beta_n})$  for all  $n < \omega$ , then  $\mathscr{F} \uparrow_{2-mark} Sch_{C,F}^{\cap}(\aleph_{\alpha})$ .

*Proof.* Let  $\sigma_n$  be a winning 2-mark for  $\mathscr{F}$  in  $Sch_{C,F}^{\cap}(\aleph_{\beta_n})$ . Define the 2-mark  $\sigma$  for  $\mathscr{F}$  in  $Sch_{C,F}^{\cap}(\aleph_{\alpha})$  as follows:

$$\sigma(\langle C \rangle, 0) = \sigma_0(\langle C \cap \aleph_{\beta_0} \rangle, 0)$$

$$\sigma(\langle C, D \rangle, n+1) = \sigma_{n+1}(\langle D \cap \aleph_{\beta_{n+1}} \rangle, 0) \cup \bigcup_{m \leq n} \sigma_m(\langle C \cap \aleph_{\beta_m}, D \cap \aleph_{\beta_m} \rangle, n-m+1)$$

Let  $\langle C_0, C_1, \ldots \rangle$  be an attack by  $\mathscr C$  in  $Sch_{C,F}^{\cap}(\aleph_{\alpha})$ , and  $\alpha \in \bigcap_{n < \omega} C_n$ . Choose  $N < \omega$  with  $\alpha < \aleph_{\beta_{N+1}}$ . Consider the attack  $\langle C_{N+1} \cap \aleph_{\beta_{N+1}}, C_{N+2} \cap \aleph_{\beta_{N+1}}, \ldots \rangle$  by  $\mathscr C$  in  $Sch_{C,F}^{\cap}(\aleph_{\beta_{N+1}})$ . Since  $\sigma_{N+1}$  is a winning strategy and  $\alpha \in \bigcap_{n < \omega} C_{N+n+1} \cap \aleph_{\beta_{N+1}}$ , either  $\alpha \in \sigma_{N+1}(\langle C_{N+1} \cap \aleph_{\beta_{N+1}} \rangle, 0)$  and thus  $\alpha \in \sigma(\langle C_N, C_{N+1} \rangle, N+1)$ , or  $\alpha \in \sigma_{N+1}(\langle C_{N+M+1} \cap \aleph_{\beta_{N+1}}, C_{N+M+2} \cap \aleph_{\beta_{N+1}} \rangle, M+1)$  for some  $M < \omega$  and thus  $\alpha \in \sigma(\langle C_{N+M+1}, C_{N+M+2} \rangle, N+M+2)$ . Thus  $\sigma$  is a winning strategy.  $\square$ 

**Theorem 30.** Let  $\alpha$  be the limit of increasing ordinals  $\beta_n$  for  $n < \omega$ . If  $\mathscr{F} \uparrow_{2-mark}$   $Sch_{C,F}^{1,\subseteq}(\aleph_{\beta_n})$  for all  $n < \omega$ , then  $\mathscr{F} \uparrow_{2-mark} Sch_{C,F}^{1,\subseteq}(\aleph_{\alpha})$ .

*Proof.* The proof proceeds nearly identically to the previous proof.

Corollary 31. It is consistent that  $\mathcal{A}'(\aleph_{\omega})$  fails, but as  $\mathcal{A}'(\aleph_k)$  holds in ZFC for all  $k < \omega$ , both  $\mathscr{F} \underset{2\text{-mark}}{\uparrow} Sch_{C,F}^{\cap}(\aleph_{\omega})$  and  $\mathscr{F} \underset{2\text{-mark}}{\uparrow} Sch_{C,F}^{1,\subseteq}(\aleph_{\omega})$  hold in ZFC.

Note that Question 6 remains unsolved; however, our results have revealed that we cannot hope to find a ZFC counterexample where  $X = \kappa^{\dagger}$ . This is because if we also assume V = L, it follows that  $\mathcal{A}'(\kappa)$  and therefore  $\mathscr{F} \underset{\text{2-mark}}{\uparrow} Men_{C,F}(\kappa^{\dagger})$  for every cardinal  $\kappa$ . Although, one may consider the following question.

**Question 32.** Is there a model of ZFC where both  $\mathscr{F} \underset{2\text{-mark}}{\uparrow} Men_{C,F} \left(\aleph_{\omega+1}^{\dagger}\right)$  and  $\mathcal{A}'(\aleph_{\omega})$  fail?

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