## An Example of Gruenhage's Compact-Point Game for which K has a winning strategy, but no winning k-Markov strategy

We construct a ZFC example given by Gary Gruenhage, inpsired by a ZFC+ $\neg$ SH example due to Stephen Watson [5]. We require a result of Gruenhage and Michael [3]:

**Theorem 1.** Let X be a meta-Lindelöf, locally Lindelöf regular space, and let  $\mathcal{B}$  be a base for X. Then X has a cover  $\mathcal{B}' \subseteq \mathcal{B}$  such that  $\{\overline{B} : B \in \mathcal{B}'\}$  is point-countable.

**Theorem 2.** There exists a compact, zero-dimensional topological space X and an uncountable subspace  $C \subseteq 2^{\omega}$  of the Cantor Set, such that X has a point-countable cover  $\mathcal{U} = \{U_c : c \in C\}$  of clopen sets which is not  $\sigma$ -point-finite (the union of countably-many point-finite collections).

*Proof.* Take a zero-dimensional Corson compact Y of weight  $2^{\omega}$ , which is not Eberlein compact. (See Todercevic [4] for an example.) It follows by [1] that  $Y^2$  is hereditarily metaLindelöf, but not hereditarily  $\sigma$ -metacompact. Note  $X = Y^2 \setminus \Delta$  is an open non- $\sigma$ -metacompact subspace of  $Y^2$ , and thus locally compact, and let  $\mathcal{V}$  be an open cover of X such that no  $\sigma$ -point-finite open refinement exists.

Let  $\mathcal{B}$  be a clopen base for X of cardinality  $2^{\omega}$  which is a refinement of  $\mathcal{V}$ . Then as X is metaLindelöf, locally Lindelöf, and regular, by Thm 1, let  $\mathcal{U} \subseteq \mathcal{B}$  be a point-countable clopen refinement of  $\mathcal{V}$  of cardinality  $\leq 2^{\omega}$ , which cannot be  $\sigma$ -point-finite (and is thus uncountable).

**Definition 3.** Using the X from Theorem 2, let

$$\mathbb{X} = (X \times 2^{<\omega}) \cup 2^{\omega}$$

compose a topological sum of  $2^{<\omega}$  copies of X along with a discrete copy of a subspace  $C \subseteq 2^{\omega}$  of the Cantor Set, and add open (in fact, compact) neighborhoods of the form:

$$B_c = c \cup (U_c \times \{c \upharpoonright n : n < \omega\})$$

as seen in Figure 1.

**Definition 4.** Let  $S \in [C]^{<\omega}$  and  $m < \omega$ . Define

$$K_S = \bigcup_{c \in S} B_c$$

$$A = \{z^{\frown} \langle 1 \rangle : z \in 1^{<\omega}\}$$

$$K_S^* = K_S \setminus (X \times A)$$

$$L_m = X \times 2^{< m}$$

c = <0,1,0,...>

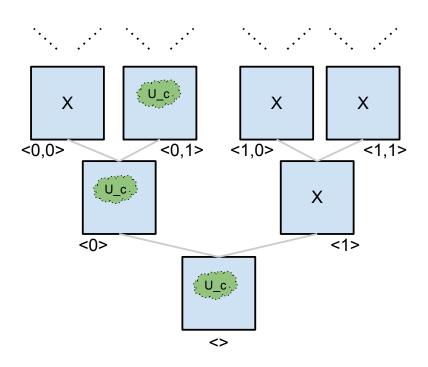


Figure 1: The Cantor Tree space  $\mathbb X$ 

and observe that every compact set is dominated by the compact set  $K_S^* \cup L_m$  for some S, m.

Intuitively,  $K_S^*$  collects the branches of  $U_c$  converging up to each  $c \in S$  while avoiding the copy of X for each s in an antichain A, and  $L_m$  collects the copies of X with |s| < m at the base of the tree. (See Figure 2)

**Definition 5.**  $LF_{K,P}(\mathbb{X})$  is a topological game consisting of players K and P. During each round, K chooses a compact subset of  $\mathbb{X}$ , and P chooses a point outside of any compact set previously played by K. K wins the game if the set of points chosen by P throughout all rounds of the game are locally finite in the space.

**Definition 6.** We say  $I \uparrow G$  if Player I has a winning strategy in the game G.

We say  $I \uparrow_{\text{tact}} G$  (resp.  $I \uparrow_{k\text{-tact}} G$ ) if Player I has a winning tactical (resp. k-tactical) strategy in the game G, a strategy depending on only the (k) most recent move(s) of the opponent.

We say  $I \uparrow_{\text{mark}} G$  (resp.  $I \uparrow_{k\text{-mark}} G$ ) if Player I has a winning Markov (resp. k-Markov) strategy in the game G, a strategy depending on only the (k) most recent move(s) of the opponent and the current round number.

**Proposition 7.** Without loss of generality, we may assume P always plays points in  $X \times 2^{<\omega}$  throughout  $LF_{K,P}(\mathbb{X})$ .

**Proposition 8.**  $K \uparrow LF_{K,P}(\mathbb{X})$ 

*Proof.* Let  $x \in X$ .  $C^x = \{c \in C : x \in U_c\}$  is a countable collection by the point-countability of  $\mathcal{U}$ , so label its elements as  $\{c_n^x : n < \omega\}$ .

K may use the strategy

$$\sigma(\langle x_0, s_0 \rangle, \dots, \langle x_{n-1}, s_{n-1} \rangle) = \bigcup_{i < n} K_{\{c_0^{x_i}, \dots, c_{n-1}^{x_i}\}} \cup L_{|s_i|+1}$$

This is a winning strategy because each move  $\langle x_i, s_i \rangle$  by P cannot be a part of a subsequence of the play converging to any  $c_n^{x_i}$ , since  $K_{\{c_0^{x_i}, \dots, c_n^{x_i}\}} \supseteq B_{c_n^{x_i}}$  was forbidden during round n.

**Theorem 9.**  $K \gamma_{tact} LF_{K,P}(X)$ .

*Proof.* This is actually a corollary of Gruenhage's theorem in [2]:  $\mathbb{X}$  is locally compact (each point is either in some X or in some  $B_c$ ) but not metacompact (any cover of C necessarily will have infinite overlap at some  $X \times \{s\}$ ). However, we proceed with a direct game-theoretic proof.

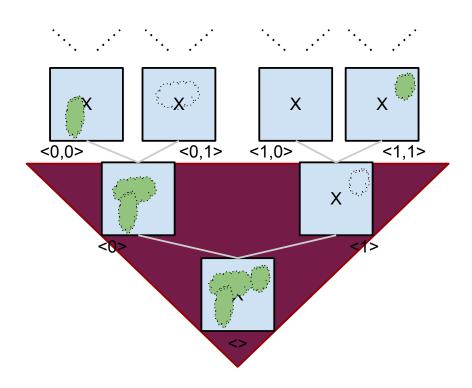


Figure 2:  $K_S^*$  and  $L_m$ 

Suppose that  $\sigma(\langle x,s\rangle)$  was a winning tactical strategy for K and define the compact set

$$\sigma'(x,n) = \bigcup_{|s| \le n} \sigma(\langle x, s \rangle)$$

There exists some  $f: C \to \omega$  such that for all  $x \in U_c$ ,  $\sigma'(x, f(c))$  covers some  $B_c \setminus L_m$ . (If not, P counters by simply always playing in  $B_c \setminus L_m$ .)

Recall that  $\mathcal{U}$  is not the union of the countably-many point-finite collections, and

$$\mathcal{U} = \bigcup_{n < \omega} \{ U_c : f(c) = n \}$$

so we may choose n where  $\mathcal{U}_n = \{U_c : f(c) = n\}$  is not point-finite. Fix x so that x belongs to each of  $\{U_{c_0}, U_{c_1}, \dots\} \subseteq \mathcal{U}_n$ .

For each  $c_i$ ,  $\sigma'(x, f(c_i)) = \sigma'(x, n)$  covers  $c_i$ . Thus  $\sigma'(x, n) \supseteq \{c_0, c_1, \dots\}$  is a compact set covering a closed discrete subset, a contradiction.

Theorem 10.  $K \uparrow_{2-tact} LF_{K,P}(\mathbb{X})$ .

*Proof.* Suppose  $\sigma(\langle x, s \rangle, \langle y, t \rangle)$  was a winning 2-tactical strategy. Without loss of generality we assume it ignores order. We may define  $S(x, y, n) \in [C]^{<\omega}$  (increasing on n) and  $n < m(x, y, n) < \omega$  such that for each (x, y),

$$\bigcup_{s,t\in 2^{\leq n}} \sigma(\langle x,s\rangle,\langle y,t\rangle) \subseteq K_{S(x,y,n)}^* \cup L_{m(x,y,n)}$$

and so we assume

$$\sigma(\langle x, s \rangle, \langle y, t \rangle) = K_{S(x, y, \max(|s|, |t|))}^* \cup L_{m(x, y, \max(|s|, |t|))}$$

Select an arbitrary point  $x' \in X$ . We define a tactical strategy

$$\tau(x,s) = K^*_{S(x,x',m(x,x',|s|)+1)} \cup L_{m(x,x',m(x,x',|s|)+1)}$$

We complete the proof by showing  $\tau$  is a winning tactical strategy (a contradiction).

Suppose

$$\langle x_0, s_0 \rangle, \langle x_1, s_1 \rangle, \langle x_2, s_2 \rangle, \dots$$

successfully countered  $\tau$  by clustering at  $c \in C$  (the strategy trivially prevents clustering elsewhere). Let  $z_n = \langle 0, \dots, 0 \rangle$  with n zeros. We claim

$$\langle x_0, s_0 \rangle, \langle x', z_{m(x_0, x', |s_0|)} \cap \langle 1 \rangle \rangle, \langle x_1, s_1 \rangle, \langle x', z_{m(x_1, x', |s_1|)} \cap \langle 1 \rangle \rangle, \langle x_2, s_2 \rangle, \langle x', z_{m(x_2, x', |s_2|)} \cap \langle 1 \rangle \rangle, \dots$$

is a successful counter to  $\sigma$ .

We will need the fact that, as  $\langle x_{i+1}, s_{i+1} \rangle$  was legal against  $\tau$ :

$$|s_i| < m(x_i, x', |s_i|) + 1 = |z_{m(x_i, x', |s_i|)} \land \langle 1 \rangle|$$

$$< m(x_i, x', m(x_i, x', |s_i|) + 1) = m(x_i, x', |z_{m(x_i, x', |s_i|)} \land \langle 1 \rangle|) \le |s_{i+1}|$$

Note that  $m(x, y, \max(|s|, |t|))$  is increasing throughout this play of the game versus  $\sigma$ :

$$\begin{split} m(x_{i}, x', \max(|s_{i}|, |z_{m(x_{i}, x', |s_{i}|)} \cap \langle 1 \rangle |)) \\ &= m(x_{i}, x', |z_{m(x_{i}, x', |s_{i}|)} \cap \langle 1 \rangle |) \\ &\leq |s_{i+1}| \\ &< m(x_{i+1}, x', |s_{i+1}|) \\ &= m(x_{i+1}, x', \max(|s_{i+1}|, |z_{m(x_{i}, x', |s_{i}|)} \cap \langle 1 \rangle |)) \\ &= |z_{m(x_{i+1}, x', |s_{i+1}|)} \\ &< |z_{m(x_{i+1}, x', |s_{i+1}|)} \cap \langle 1 \rangle | \\ &< m(x_{i+1}, x', |z_{m(x_{i+1}, x', |s_{i+1}|)} \cap \langle 1 \rangle |) \\ &= m(x_{i+1}, x', \max(|s_{i+1}|, |z_{m(x_{i+1}, x', |s_{i+1}|)} \cap \langle 1 \rangle |)) \end{split}$$

We turn to showing that  $\langle x', z_{m(x_{i+1}, x', |s_{i+1}|)} \cap \langle 1 \rangle \rangle$  is always a legal move. Since  $z_{m(x_{i+1}, x', |s_{i+1}|)} \cap \langle 1 \rangle$  is on the antichain avoided by any  $K^*$ , the problem is reduced to showing that this move isn't forbidden by

$$L_{m(x_{i+1},x',\max(|s_{i+1}|,|z_{m(x_{i},x',|s_{i}|)} \cap \langle 1 \rangle|))}$$

which we can see here:

$$m(x_{i+1}, x', \max(|s_{i+1}|, |z_{m(x_i, x', |s_i|)} \cap \langle 1 \rangle |)) = m(x_{i+1}, x', |s_{i+1}|) < |z_{m(x_{i+1}, x', |s_{i+1}|)} \cap \langle 1 \rangle |$$

We can conclude by showing that  $\langle x_{i+1}, s_{i+1} \rangle$  is always a legal move. We can see it avoids

$$L_{m(x_i,x',\max(|s_i|,|z_{m(x_i,x',|s_i|)} \cap \langle 1 \rangle|))}$$

since

$$m(x_i, x', \max(|s_i|, |z_{m(x_i, x', |s_i|)} \cap \langle 1 \rangle |)) = m(x_i, x', |z_{m(x_i, x', |s_i|)} \cap \langle 1 \rangle |) \le |s_{i+1}|$$

Since  $\langle x_{i+1}, s_{i+1} \rangle$  was legal against  $\tau$ , for  $h \leq i$  it avoided

$$K_{S(x_h,x',m(x_h,x',|s_h|)+1)}^* = K_{S(x_h,x',\max(|s_h|,|z_{m(x_h,x',|s_h|)} \cap \langle 1 \rangle|))}^*$$

and when h < i, we see it avoids:

$$\begin{split} K_{S(x_{h+1},x',\max(|s_{h+1}|,|z_{m(x_h,x',|s_h|)} \frown \langle 1 \rangle |))}^* &= K_{S(x_{h+1},x',|s_{h+1}|)}^* \\ &\subseteq K_{S(x_{h+1},x',m(x_{h+1},x',|s_{h+1}|)+1)}^* \end{split}$$

This concludes the proof.

## Theorem 11. $K \not\uparrow_{k-tact} LF_{K,P}(\mathbb{X})$ .

*Proof.* The proof proceeds in parallel to the proof of K  $\gamma_{2\text{-tact}}$   $LF_{K,P}(\mathbb{X})$ , and in fact is just a generalization of said proof (at the cost of simplicity).

Suppose  $\sigma(\langle x_0, s_0 \rangle, \dots, \langle x_k, s_k \rangle)$  was a winning (k+1)-tactical strategy. Without loss of generality we assume it ignores order. We may define  $S(x_0, \dots, x_k, n) \in [C]^{<\omega}$  (increasing on n) and  $n < m(x_0, \dots, x_k, n) < \omega$  such that for each  $(x_0, \dots, x_k)$ ,

$$\bigcup_{s_0,\ldots,s_k\in 2^{\leq n}} \sigma(\langle x_0,s_0\rangle,\ldots,\langle x_k,s_k\rangle) \subseteq K_{S(x_0,\ldots,x_k,n)}^* \cup L_{m(x_0,\ldots,x_k,n)}$$

and so we assume

$$\sigma(\langle x_0, s_0 \rangle, \dots, \langle x_k, s_k \rangle) = K^*_{S(x_0, \dots, x_k, \max(|s_0|, \dots, |s_k|))} \cup L_{m(x_0, \dots, x_k, \max(|s_0|, \dots, |s_k|))}$$

Select an arbitrary point  $x' \in X$ . Let  $M^0(x,n) = m(x,x',\ldots,x',n)$  and  $M^{i+1}(x,n) = M^0(x,M^i(x,n)+1)$ . We define a tactical strategy

$$\tau(x,s) = K_{S(x,x',\dots,x',M^{k-1}(x,|s|)+1)}^* \cup L_{m(x,x',\dots,x',M^{k-1}(x,|s|)+1)}$$

We complete the proof by showing  $\tau$  is a winning tactical strategy (a contradiction).

Suppose

$$\langle x_0, s_0 \rangle, \langle x_1, s_1 \rangle, \langle x_2, s_2 \rangle, \dots$$

successfully countered  $\tau$  by clustering at  $c \in C$  (the strategy trivially prevents clustering elsewhere). Let  $z_n = \langle 0, \dots, 0 \rangle$  with n zeros. We claim

$$\langle x_0, s_0 \rangle, \langle x', z_{M^0(x_0, |s_0|)} \cap \langle 1 \rangle \rangle, \langle x', z_{M^1(x_0, |s_0|)} \cap \langle 1 \rangle \rangle, \dots, \langle x', z_{M^{k-1}(x_0, |s_0|)} \cap \langle 1 \rangle \rangle,$$

$$\langle x_1, s_1 \rangle, \langle x', z_{M^0(x_1,|s_1|)} \cap \langle 1 \rangle \rangle, \langle x', z_{M^1(x_1,|s_1|)} \cap \langle 1 \rangle \rangle, \dots, \langle x', z_{M^{k-1}(x_1,|s_1|)} \cap \langle 1 \rangle \rangle, \dots$$

is a successful counter to  $\sigma$ .

We will need the fact that, as  $\langle x_{i+1}, s_{i+1} \rangle$  was legal against  $\tau$ :

$$|s_i| < M^0(x_i, |s_i|) + 1 = |z_{M^0(x_i, |s_i|)} \land \langle 1 \rangle| < M^0(x_i, M^0(x_i, |s_i|) + 1) + 1$$

$$= M^1(x_i, |s_i|) + 1 = |z_{M^1(x_i, |s_i|)} \land \langle 1 \rangle| < \dots < |z_{M^{k-1}(x_i, |s_i|)} \land \langle 1 \rangle|$$

$$= M^{k-1}(x_i, |s_i|) + 1 < m(x_i, x', \dots, x', M^{k-1}(x_i, |s_i|) + 1) \le |s_{i+1}|$$

Note that  $m(x_0, \ldots, x_k, \max(|s_0|, \ldots, |s_k|))$  is increasing throughout this play of the game versus  $\sigma$ :

$$m(x_i, x', \dots, x', \max(|s_i|, |z_{M^0(x_i, |s_i|)} \land \langle 1 \rangle|, \dots, |z_{M^{k-1}(x_i, |s_i|)} \land \langle 1 \rangle|))$$

$$= m(x_{i}, x', \dots, x', |z_{M^{k-1}(x_{i}, |s_{i}|)} \cap \langle 1 \rangle |)$$

$$= m(x_{i}, x', \dots, x', M^{k-1}(x_{i}, |s_{i}|) + 1)$$

$$\leq |s_{i+1}|$$

$$< M^{0}(x_{i+1}, |s_{i+1}|)$$

$$= m(x_{i+1}, x', \dots, x', |s_{i+1}|)$$

$$= m(x_{i+1}, x', \dots, x', \max(|s_{i+1}|, |z_{M^{0}(x_{i}, |s_{i}|)} \cap \langle 1 \rangle |, \dots, |z_{M^{k-1}(x_{i}, |s_{i}|)} \cap \langle 1 \rangle |))$$

$$= |z_{m(x_{i+1}, x', \dots, x', |s_{i+1}|)}|$$

$$= |z_{M^{0}(x_{i+1}, |s_{i+1}|)}|$$

$$< |z_{M^{0}(x_{i+1}, |s_{i+1}|)} \cap \langle 1 \rangle |$$

$$< m(x_{i+1}, x', \dots, x', |z_{M^{0}(x_{i+1}, |s_{i+1}|)} \cap \langle 1 \rangle |)$$

$$= m(x_{i+1}, x', \dots, x', \max(|s_{i+1}|, |z_{M^{0}(x_{i+1}, |s_{i+1}|)} \cap \langle 1 \rangle |, |z_{M^{1}(x_{i}, |s_{i}|)} \cap \langle 1 \rangle |))$$

$$\vdots$$

$$< m(x_{i+1}, x', \dots, x', \max(|s_{i+1}|, |z_{M^{0}(x_{i+1}, |s_{i+1}|)} \cap \langle 1 \rangle |, \dots, |z_{M^{k-1}(x_{i+1}, |s_{i+1}|)} \cap \langle 1 \rangle |))$$

We turn to showing that  $\langle x', z_{M^j(x_{i+1}, |s_{i+1}|)} \cap \langle 1 \rangle \rangle$  is always a legal move. Since  $z_{M^j(x_{i+1}, |s_{i+1}|)} \cap \langle 1 \rangle$  is on the antichain avoided by any  $K^*$ , the problem is reduced to showing that this move isn't forbidden by

$$\begin{split} L_{m(x_{i+1},x',\dots,x',\max(|s_{i+1}|,|z_{M^0(x_{i+1},|s_{i+1}|)} \cap \langle 1 \rangle|,\dots,|z_{M^{j-1}(x_{i+1},|s_{i+1}|)} \cap \langle 1 \rangle|,|z_{M^j(x_i,|s_i|)} \cap \langle 1 \rangle|,\dots,|z_{M^k(x_i,|s_i|)} \cap \langle 1 \rangle|))} \\ &= L_{m(x_{i+1},x',\dots,x',|z_{M^{j-1}(x_{i+1},|s_{i+1}|)} \cap \langle 1 \rangle|)} \end{split}$$

which we can see here:

$$m(x_{i+1}, x', \dots, x', |z_{M^{j-1}(x_{i+1}, |s_{i+1}|)} \land \langle 1 \rangle |)$$

$$= m(x_{i+1}, x', \dots, x', M^{j-1}(x_{i+1}, |s_{i+1}|) + 1)$$

$$= M^{0}(x_{i+1}, M^{j-1}(x_{i+1}, |s_{i+1}|) + 1)$$

$$= M^{j}(x_{i+1}, s_{i+1})$$

$$< |z_{M^{j}(x_{i+1}, |s_{i+1}|)} \land \langle 1 \rangle |$$

We can conclude by showing that  $\langle x_{i+1}, s_{i+1} \rangle$  is always a legal move. We can see it avoids

$$L_{m(x_i,x',...,x',\max(|s_i|,|z_{M^0(x_i,|s_i|)} \cap \langle 1 \rangle|,...,|z_{M^{k-1}(x_i,|s_i|)} \cap \langle 1 \rangle|))}$$

since

$$m(x_{i}, x', \dots, x', \max(|s_{i}|, |z_{M^{0}(x_{i}, |s_{i}|)} \land \langle 1 \rangle|, \dots, |z_{M^{k-1}(x_{i}, |s_{i}|)} \land \langle 1 \rangle|))$$

$$= m(x_{i}, x', \dots, x', |z_{M^{k-1}(x_{i}, |s_{i}|)} \land \langle 1 \rangle|)$$

$$= m(x_{i}, x', \dots, x', M^{k-1}(x_{i}, |s_{i}|) + 1)$$

$$\leq |s_{i+1}|$$

Since  $\langle x_{i+1}, s_{i+1} \rangle$  was legal against  $\tau$ , for  $h \leq i$  it avoided

$$\begin{split} K_{S(x_h,x',...,x',M^{k-1}(x_h,|s_h|)+1)}^* \\ = K_{S(x_h,x',...,x',\max(|s_h|,|z_{M^0(x_h,|s_h|)} ^\frown \langle 1 \rangle|,...,|z_{M^{k-1}(x_h,|s_h|)} ^\frown \langle 1 \rangle|))}^* \end{split}$$

and when h < i, we see it avoids both:

$$\begin{split} K_{S(x_{h+1},x',\ldots,x',\max(|s_{h+1}|,|z_{M^0(x_{h+1},|s_{h+1}|)} \cap \langle 1 \rangle |,\ldots,|z_{M^{j-1}(x_{h+1},|s_{h+1}|)} \cap \langle 1 \rangle |,|z_{M^{j}(x_{h},|s_{h}|)} \cap \langle 1 \rangle |,\ldots,|z_{M^k(x_{h},|s_{h}|)} \cap \langle 1 \rangle |)) \\ &= K_{S(x_{h+1},x',\ldots,x',|z_{M^{j-1}(x_{h+1},|s_{h+1}|)} \cap \langle 1 \rangle |) \\ &= K_{S(x_{h+1},x',\ldots,x',M^{j-1}(x_{h+1},|s_{h+1}|)+1)}^* \\ &\subseteq K_{S(x_{h+1},x',\ldots,x',M^{k-1}(x_{h+1},|s_{h+1}|)+1)}^* \end{split}$$

and:

$$\begin{split} K_{S(x_{h+1},x',\dots,x',\max(|s_{h+1}|,|z_{M^0(x_h,|s_h|)} \cap \langle 1 \rangle |,\dots,|z_{M^k(x_h,|s_h|)} \cap \langle 1 \rangle |))} \\ &= K_{S(x_{h+1},x',\dots,x',|s_{k+1}|)}^* \\ &\subseteq K_{S(x_{h+1},x',\dots,x',M^{k-1}(x_{h+1},|s_{h+1}|)+1)}^* \end{split}$$

This concludes the proof.

## Corollary 12. $K \gamma_{k-mark} LF_{K,P}(\mathbb{X})$ .

*Proof.* Let  $\sigma(\langle x_0, s_0 \rangle, \dots, \langle x_{k-1}, s_{k-1} \rangle, n)$  be a winning k-Markov strategy for K increasing on n. We define a k-tactical strategy

$$\tau(\langle x_0, s_0 \rangle, \dots, \langle x_{k-1}, s_{k-1} \rangle) = \sigma(\langle x_0, s_0 \rangle, \dots, \langle x_{k-1}, s_{k-1} \rangle, \max_{i < k} (|s_i|)) \cup L_{\max_{i < k} (|s_i|) + 1}$$

and observe that since for any legal play of the game, the round number  $n \leq \max_{i < k}(|s_i|)$ , we know  $\tau$  always yields supersets of  $\sigma$ , and is thus also a winning strategy, contradiction.  $\square$ 

## References

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