# ALMOST COMPATIBLE FUNCTIONS AND INFINITE LENGTH GAMES

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Abstract. TODO

### 1. Introduction

**Definition 1.** Two functions f, g are almost compatible, that is,  $f \sim g$  when  $\{a \in dom \ f \cap dom \ g : f(a) \neq g(a)\}$  is finite.

Marion Scheepers used almost compatible functions in [3] in order to study the existence of limited information strategies on a variation of the meager-nowhere dense game he introduced in [4].

**Game 2.** Let  $Sch_{C,F}^{\cup,\subset}(\kappa)$  denote Scheepers' strict countable-finite union game with two players  $\mathscr{C}$ ,  $\mathscr{F}$ . In round 0,  $\mathscr{C}$  chooses  $C_0 \in [\kappa]^{\leq \omega}$ , followed by  $\mathscr{F}$  choosing  $F_0 \in [\kappa]^{<\omega}$ . In round n+1,  $\mathscr{C}$  chooses  $C_{n+1} \in [\kappa]^{\leq \omega}$  such that  $C_{n+1} \supset C_n$ , followed by  $\mathscr{F}$  choosing  $F_{n+1} \in [\kappa]^{<\omega}$ .

 $\mathscr{F}$  wins the game if  $\bigcup_{n<\omega} F_n \supseteq \bigcup_{n<\omega} C_n$ ; otherwise,  $\mathscr{C}$  wins.

Of course, with perfect information this game is trivial: during round n player  $\mathscr{F}$  simply chooses n ordinals from each of the n countable sets played by  $\mathscr{C}$ . However, if  $\mathscr{F}$  is limited to using information from the last k moves by  $\mathscr{C}$  during each round, the task becomes more difficult. Call such a strategy a k-tactical strategy or k-tactic; if using the round number is allowed, then the strategy is called a k-Markov strategy or a k-mark.

**Definition 3.** The statement  $S(\kappa)$  (given as  $S(\kappa,\aleph_0,\omega)$  in [3]) claims that there exist injections  $f_A: A \to \omega$  for each  $A \in [\kappa]^{\leq \aleph_0}$  such that the collection  $\{f_A: A \in [\kappa]^{\leq \aleph_0}\}$  is pairwise almost compatible.

In the same paper, Scheepers noted that  $S(\omega_1)$  holds in ZFC, and that it's possible to force  $\mathfrak{c}$  to be arbitrarily large while preserving  $S(\mathfrak{c})$ . However,  $S(\mathfrak{c}^+)$  always fails. This axiom may be applied to obtain a winning 2-tactic for  $\mathscr{F}$  in the countable-finite game.

In [1], Clontz related this game to a game which may be used to characterize the Menger covering property of a topological space.

Key words and phrases. TODO.

**Game 4.** Let  $Men_{C,F}(X)$  denote the  $Menger\ game$  with players  $\mathscr{C}$ ,  $\mathscr{F}$ . In round n,  $\mathscr{C}$  chooses an open cover  $\mathcal{U}_n$ , followed by  $\mathscr{F}$  choosing subset  $F_n$  of X which may be finitely covered by  $\mathcal{U}_n$ .

 $\mathscr{F}$  wins the game if  $X = \bigcup_{n < \omega} F_n$ , and  $\mathscr{C}$  wins otherwise.

This characterization is slightly different than the typical characterization in which the second player first chooses a specific finite subcollection  $\mathcal{F}_n$  of the cover itself and lets  $F_n = \bigcup \mathcal{F}_n$ , denoted as  $G_{fin}(\mathcal{O}, \mathcal{O})$  in [5]. However, it's easily seen that these games are equivalent for perfect information strategies (so both characterize the Menger property), and this characterization is more convenient for our concerns.

**Definition 5.** Let  $\kappa^{\dagger} = \kappa \cup \{\infty\}$  where  $\kappa$  is discrete and  $\infty$ 's neighborhoods are the co-countable sets containing it.

The relationship between  $Sch_{C,F}^{\cup,\subset}(\kappa)$  and  $Men_{C,F}(\kappa^{\dagger})$  is strong; in both games  $\mathscr C$  essentially chooses a countable subset of  $\kappa$  followed by  $\mathscr F$  choosing a finite subset of that choice, and it's easy to see the winning perfect information strategy for  $\mathscr F$  in both games. In addition, it was shown in [1] that when  $S(\kappa)$  holds,  $\mathscr F$  has a winning 2-Markov strategy in  $Men_{C,F}(\kappa^{\dagger})$ .

One source of motivation is to make progress on the following open question:

**Question 6.** Does there exist a topological space X for which  $\mathscr{F} \uparrow Men_{C,F}(X)$  but  $\mathscr{F} \uparrow Men_{C,F}(X)$ ? (That is, the second player can win the Menger game on X with perfect information but not with 2-Markov information.)

One might hope that  $(\mathfrak{c}^+)^{\dagger}$  might answer this question in the affirmative as  $S(\mathfrak{c}^+)$  fails, but we will show that assuming V = L,  $\mathscr{F} \uparrow Men_{C,F}(\kappa^{\dagger})$  for all cardinals  $\kappa$ .

## 2. One-to-one and finite-to-one almost compatible functions

We may weaken Scheeper's  $S(\kappa)$  as follows:

**Definition 7.**  $S'(\theta)$  states that there exists a cofinal family  $S \subseteq [\theta]^{\omega}$  and a collection of pairwise almost compatible finite-to-one functions  $\{f_S \in \omega^S : S \in S\}$ 

**Definition 8.**  $S(\theta)$  strengthens  $S'(\theta)$  by requiring the collection to contain one-to-one functions.

We wish to show that Scheeper's original  $S(\theta)$  is strictly stronger than  $S'(\theta)$ .

**Definition 9.** A topological space is said to be  $\omega$ -bounded if each countable subset of the space has compact closure.

**Theorem 10.** For each  $n \in \omega$ , there is a locally countable,  $\omega$ -bounded topology on  $\omega_n$ . Note that this means that the closure of any set has the same cardinality and weight as the set.

To prove the theorem, we must actually prove a stronger lemma.

**Lemma 11.** Assume that X has cardinality at most  $\omega_n$  (for any  $n \in \omega$ ), and is locally countable, locally compact, and the closure of each set has the same cardinality as the set. Then X has an  $\omega$ -bounded extension with the same properties.

*Proof.* We prove this by induction on n. In fact, we make our inductive statement that if  $\tilde{X}$  is the extension of X, then  $\tilde{X}\setminus X$  also has cardinality  $\omega_n$ . If n=0, then we can just take the free union of two copies of X and then the one-point compactification. So suppose n>0 and that X is such a topology on the ordinal  $\omega_n$ . For each  $\alpha<\omega_n$ , the closure of the initial segment  $\alpha$  is bounded by some  $\gamma_\alpha$ . Also, because X is locally countable,  $\gamma_\alpha$  can be chosen so that  $\alpha$  is contained in the interior of  $\gamma_\alpha$ . There is a cub  $C\subset\omega_n$  with the property that for each  $\delta\in C$  and  $\alpha<\delta$ ,  $\gamma_\alpha$  is also less than  $\delta$ . This implies that for each  $\delta\in C$ , the initial segment  $\delta$  is open, and if  $\delta$  has uncountable cofinality, then  $\delta$  is clopen.

The proof will be easier to visualize if we now identify the points of X with the point set  $\omega_n \times \{0\}$  and we will add the points  $\omega_n \times \{1\}$  to create the extension. By induction on  $\lambda \in C$  we define a topology on  $\omega_n \times \{0\} \cup \lambda \times \{1\}$  so that  $\omega_n \times \{0\}$  is an open subset. We also ensure, by induction, for each  $\alpha < \lambda$ , the closure of  $\alpha \times 2$  is an  $\omega$ -bounded subset of  $\lambda \times 2$ .

In the case that n=1, then choose any sequence  $\lambda_n:n\in\omega$  increasing cofinal in  $\lambda$ . If  $\lambda$  is a limit in C, then we simply take the topology we have constructed so far on  $\lambda\times 2$  and there's nothing more needs to be done. Otherwise we may assume that  $\lambda_0$  is the predecessor of  $\lambda\in C$  and we set  $Y_\lambda$  to equal the countable set  $\overline{\lambda}\setminus\lambda$ . For convenience, and with no loss, we assume that  $\lambda$  itself is a limit of limits. And we have a topology on

$$\lambda_0 \times 2 \cup (\lambda \cup Y_\lambda) \times \{0\}$$
.

Recursively choose clopen sets  $U_n$  in this topology so that  $\lambda_0 \times 2 \subset U_0$ ,  $U_n \cup \lambda_{n+1} \times \{0\}$  is contained  $U_{n+1}$  while  $U_{n+1}$  is disjoint from  $Y_{\lambda}$ . It is easy to see that we can have all the points in  $(\lambda \setminus \{\lambda_n : n \in \omega\}) \times \{1\}$  be isolated, and arrange that  $(\lambda_n, 1)$  is the point at infinity in the one-point compactification  $U_n \cup (\lambda_n \times \{1\})$ .

Now we handle the case n > 1 and we can shrink C and now assume that C is the closure of  $\{\lambda \in C : \operatorname{cf}(\lambda) > \omega\}$ . We again proceed by induction on  $\lambda \in C$ . If  $\lambda$  is a limit in C, then there is nothing to do: we simply have defined an appropriate topology on  $\omega_n \times \{0\} \cup \lambda \times \{1\}$  so that for each  $\mu \in C \cap \lambda$  with  $\operatorname{cf}(\mu) > \omega$ ,  $\mu \times 2$  is a clopen  $\omega$ -bounded subspace. In case  $\lambda$  is not a limit of C, then  $\lambda$  has uncountable cofinality and a predecessor  $\mu \in C$ . We therefore have that  $\lambda \times \{0\}$  is clopen in  $\omega_n \times \{0\}$ . We apply the induction hypothesis to the space  $\lambda \times \{0\} \cup \mu \times 2$  to choose the topology on  $\lambda \times 2$ .

**Definition 12.** A Kurepa family  $\mathcal{K} \subseteq [\theta]^{\omega}$  on  $\theta$  satisfies that  $\mathcal{K} \upharpoonright A = \{K \cap A : K \in \mathcal{K}\}$  is countable for each  $A \in [\theta]^{\omega}$ .

Corollary 13. There exists a Kurepa family cofinal in  $[\omega_k]^{\omega}$  for each  $k < \omega$ .

*Proof.* This is actually a corollary of an observation of Todorcevic communicated by Dow in [TODO cite Gen Prog in Top I]: if every Kurepa family of size at most  $\theta$  extends to a cofinal Kurepa family, then the same is true of  $\theta^+$ . So the result

follows as every Kurepa family  $\mathcal{K}$  of size  $\omega$  extends to the cofinal Kurepa family  $[\![\,]\mathcal{K}]^{\omega}$ .

We may alternatively obtain the result from the previous topological argument by using the family  $\mathcal{K}$  of compact sets in the constructed topology on  $\omega_k$  as our witness. Of course, every Lindelöf set in a locally countable space is countable. Thus  $\mathcal{K}$  is cofinal in  $[\omega_k]^{\omega}$  since for every countable set A,  $\overline{A}$  is compact and countable. It is Kurepa since for every countable set A, let (TODO)

**Theorem 14.**  $S'(\theta)$  holds whenever there exists a cofinal Kurepa family on  $\theta$ .

*Proof.* Let  $k < \omega$ , and  $\mathcal{K} = \{K_{\alpha} : \alpha < \kappa\}$  be a cofinal Kurepa family on  $\theta$ . We should define  $f_{\alpha} : K_{\alpha} \to \omega$  for each  $\alpha < \kappa$ .

Suppose we've defined pairwise almost compatible  $\{f_{\beta}: \beta < \alpha\}$ . To define  $f_{\alpha}$ , we first recall that  $\mathcal{K} \upharpoonright K_{\alpha}$  is countable, so we may choose  $\beta_n < \alpha$  for  $n < \omega$  such that  $\{K_{\beta}: \beta < \alpha\} \upharpoonright K_{\alpha} \setminus \{\emptyset\} = \{K_{\alpha} \cap K_{\beta_n}: n < \omega\}$ . Let  $K_{\alpha} = \{\delta_{i,j}: i \leq \omega, j < w_i\}$  where  $w_i \leq \omega$  for each  $i \leq \omega$ ,  $K_{\alpha} \cap (K_{\beta_n} \setminus \bigcup_{m < n} K_{\beta_m}) = \{\delta_{n,j}: j < w_n\}$ , and  $K_{\alpha} \setminus \bigcup_{n < \omega} K_{\beta_n} = \{\delta_{\omega,j}: j < w_{\omega}\}$ . Then let  $f_{\alpha}(\delta_{n,j}) = \max(n, f_{\beta_n}(\delta_{n,j}))$  for  $n < \omega$  and  $f_{\alpha}(\delta_{\omega,j}) = j$  otherwise.

We should show that  $f_{\alpha}$  is finite-to-one. Let  $n < \omega$ . We need only worry about  $\delta_{m,j}$  for  $m \le n$  since  $f_{\alpha}(\delta_{m,j}) \ge m$ . Since each  $f_{\beta_m}$  is finite-to-one,  $f_{\beta_m}(\delta_{m,j}) \le n$  for only finitely many j. Thus  $f_{\alpha}$  maps to n only finitely often.

We now want to demonstrate that  $f_{\alpha} \sim f_{\beta_n}$  for all  $n < \omega$ . We again need only concern ourselves with  $\delta_{m,j}$  for  $m \le n$  since otherwise  $\delta_{m,j} \notin K_{\beta_n}$ . For m = n, we have  $f_{\alpha}(\delta_{n,j}) = \max(n, f_{\beta_n}(\delta_{n,j}))$  which differs from  $f_{\beta_n}(\delta_{n,j})$  for only the finitely many j which are mapped below n by  $f_{\beta_n}$ . For m < n and  $\delta_{m,j} \in K_{\beta_n}$ , we have  $f_{\alpha}(\delta_{m,j}) = \max(m, f_{\beta_m}(\delta_{m,j}))$  which can only differ from  $f_{\beta_n}(\delta_{m,j})$  for only the finitely many j which are mapped below m by  $f_{\beta_m}$  or the finitely many j for which the almost compatible  $f_{\beta_n} \sim f_{\beta_m}$  differ.

Corollary 15.  $S'(\omega_k)$  holds for all  $k < \omega$ .

As noted in [TODO cite Dow], Jensen's one-gap two-cardinal theorem under V=L [TODO cite] can be used to show that there exist cofinal Kurepa families on every cardinal.

Corollary 16 (V = L).  $S'(\theta)$  holds for all cardinals.

In particular,  $S(\omega_2)$  fails under CH, showing the two are distinct. Actually, CH is not required to have  $S(\omega_2)$  fail.

We are going to need a technical lemma (available in Kunen).

**Lemma 17.** Assume that  $G \subset \operatorname{Fn}(\omega_2, 2)$  is a generic filter, and let  $\mu \in \omega_2$ . Then the final model V[G] can be regarded as forcing with  $\operatorname{Fn}(\omega_2 \setminus \mu, 2)$  over the model  $V[G_{\mu}]$ . In addition, for each  $\operatorname{Fn}(\omega_2, 2)$ -name  $\dot{A}$  of a subset of  $\omega$  (treat as a subset of  $\omega \times \operatorname{Fn}(\omega_2, 2)$ ), there is a canonical name  $\dot{A}(G_{\mu})$  where,

$$\dot{A}(G_{\mu}) = \{(n, p \upharpoonright [\mu, \omega_2)) : (n, p) \in \dot{A} \quad and \quad p \upharpoonright \mu \in G_{\mu}\}$$

and we get that the valuation of  $\dot{A}(G_{\mu})$  by the tail of the generic,  $G_{\omega_2 \setminus \mu}$ , is the same as the valuation of  $\dot{A}$  by the full generic.

**Theorem 18.** If we add  $\omega_2$  Cohen reals to a model of CH we get that Scheepers'  $S(\omega_2)$  (still) fails.

*Proof.* The forcing poset is  $\operatorname{Fn}(\omega_2, 2)$ . Let  $\{\dot{f}_A : A \in [\omega_2]^\omega\}$  be a family of names such that  $\dot{f}_A$  is a one-to-one function from A into  $\omega$ . It suffices to only consider sets A from the ground model.

Put all the lemma stuff in an elementary submodel M of the universe (technically of  $H(\kappa)$ , or of  $V_{\kappa}$ , for some large  $\kappa$ ). Standard methods says that we can assume that  $|M| = \omega_1 = \mathfrak{c}$  and that  $M^{\omega} \subset M$  (which means that every countable subset of M is a member of M).

Let  $\lambda = M \cap \omega_2$  (same as the supremum of  $M \cap \omega_2$ ). Consider the name  $\dot{f}_{[\lambda,\lambda+\omega)}$ . What is such a name? We can assume that it is a set of pairs of the form  $((\lambda + k, m), p)$  where  $p \in Fn(\omega_2, 2)$  and, of course,  $k, m \in \omega$ . This is (almost) equivalent to saying that p forces that  $\dot{f}_{[\lambda,\lambda+\omega)}(\lambda+k)=m$ . We don't take all such p, in fact for each k, m it is enough to take a countable set of such p to get an equivalent name (Kunen calls it a nice name if we take, for each k, m an antichain that is maximal among such conditions). Given any such name  $\dot{f}$ , let supp $(\dot{f})$  denote the union of the domains of conditions p appearing in the name.

Also let Y equal  $\operatorname{supp}(\dot{f}_{[\lambda,\lambda+\omega)})\setminus\lambda$ . Let  $\delta$  denote the order type of Y and let the 2-parameter notation  $\varphi_{\mu,\lambda}$  be the order-preserving function from  $\mu\cup Y$  onto the ordinal  $\mu+\delta$ . This lifts canonically to an order-preserving bijection  $\varphi_{\mu,\lambda}$ :  $\operatorname{Fn}(\mu\cup Y,2)\mapsto\operatorname{Fn}(\mu+\delta,2)$ . Similarly, we make sense of the name  $\varphi_{\mu,\lambda}(\dot{f}_{[\lambda,\lambda+\omega)})$ , call it  $F_M$ . Here simply, for each tuple  $((k,m),p)\in\dot{f}_{[\lambda,\lambda+\omega)}$ , we have that  $((k,m),\varphi_{\mu,\lambda}(p))$  is in  $F_M$ . Again, let  $\varphi_{\mu,\lambda}(\dot{f}_{[\lambda,\lambda+\omega)})$  be interpreted in the above sense as giving  $F_M$  (which is an element of M). Note that we do not regard  $\delta$  as fixed here, but rather simply depending on the  $\operatorname{supp}(\dot{f}_{[\lambda,\lambda+\omega)})$  described above. Other values replacing  $\lambda>\mu$  will result in their own set Y and canonical map  $\varphi_{\mu,\lambda}$ ; but one thing we do have to assume (or arrange) for other values  $\alpha$  replacing  $\lambda$  is that  $\operatorname{supp}(\dot{f}_{[\alpha,\alpha+\omega)})$  intersected with  $\alpha$  is contained in  $\mu$ .

Now the object  $F_M$  is an element of M, and M believes this statement is true:

$$(\forall \beta \in \omega_2) \ (\exists \beta < \lambda \in \omega_2) \ \operatorname{supp}(\dot{f}_{[\lambda, \lambda + \omega)}) \cap \lambda \subset \mu \ \text{and} \ F_M = \varphi_{\mu, \lambda}(\dot{f}_{[\lambda, \lambda + \omega)})$$

But now, this means that, not only is there an  $\alpha \in M$ ,  $F_M = \varphi_{\mu,\alpha}(\dot{f}_{[\alpha,\alpha+\omega)})$  but also that there is an increasing sequence  $\{\alpha_{\xi}: \xi \in \omega_1\} \subset \lambda$  of such  $\alpha$ 's satisfying that, for each  $\xi$  we have that  $\operatorname{supp}(\dot{f}_{[\alpha_{\xi},\alpha_{\xi}+\omega)})$  is contained in  $\alpha_{\xi+1}$ .

Choose such a sequence. This means that if we let  $A = \bigcup_{n>0} [\alpha_n, \alpha_n + \omega)$  we have the name  $\dot{f}_A$  in M. This then means that all the  $((\beta, m), p)$  appearing in  $\dot{f}_A$  have the property that dom(p) is contained in M. There is, within M, a name  $\dot{g}$  satisfying that  $\dot{f}_A(\alpha_n + k) = \dot{f}_{[\alpha_n, \alpha_n + \omega)}(\alpha_n + k)$  for all  $k > \dot{g}(n)$ .

We now apply the above Lemma using  $\mu = \mu_0$  and we are now working in the extension  $V[G_{\mu}]$ . We will abuse the notation and use  $\dot{f}_{[\alpha_n,\alpha_n+\omega)}$  instead of  $\dot{f}_{[\alpha_n,\alpha_n+\omega)}(G_{\mu})$  as defined in the Lemma. We work for a contradiction. Something special has now happened, namely, the supports of the names  $\{\dot{f}_{[\alpha_n,\alpha_n+\omega)}: 0 < n < \omega\}$  are pairwise disjoint and also disjoint from the support of the name  $\dot{f}_{[\lambda,\lambda+\omega)}$  (under the same convention about  $G_{\mu}$ . And not only that, these names are pairwise isomorphic (in the way that they all map to  $F_M$ ).

Since A is disjoint from  $[\lambda, \lambda + \omega)$ , there must be an integer  $\ell$  together with a condition  $q \in Fn(\omega_2 \setminus \mu, 2)$  satisfying that for all  $n > \ell$ , q forces that

"if 
$$k > \dot{g}(n)$$
 (since  $\alpha_n + k \in A$ ) then  $\dot{f}_{[\alpha_n, \alpha_n + \omega)}(\alpha_n + k) \neq \dot{f}_{[\lambda, \lambda + \omega)}(\lambda + k)$ ".

Choose n large enough so that  $dom(q) \cap [\alpha_n, \mu_{n+1})$  is empty. Choose  $q_1 < q \upharpoonright \lambda$  (in M) so that

$$\varphi_{\mu,\alpha_n}(q_1 \upharpoonright \operatorname{supp}(\dot{f}_{[\alpha_n,\alpha_n+\omega)}) = \varphi_{\mu,\lambda}(q \upharpoonright \operatorname{supp}(\dot{f}_{[\lambda,\lambda+\omega)})$$

and then (again in M) choose  $q_2 < q_1$  so that it both forces a value L on  $\ell + \dot{g}(n)$  and subsequently forces a value m on  $\dot{f}_{[\alpha_n,\alpha_n+\omega)}(\alpha_n+L+1)$ . But now, again calculate

$$q_3 = \varphi_{\mu,\lambda}^{-1} \circ \varphi_{\mu,\alpha_n}(q_2 \upharpoonright \operatorname{supp}(\dot{f}_{\lceil \alpha_n,\alpha_n+\omega \rceil}))$$

and, by the isomorphisms, we have that  $q_3$  forces that  $\dot{f}_{[\lambda,\lambda+\omega)}(\lambda+L+1)=m$ .

Technically (or with more care) all of this is taking place in the poset  $\operatorname{Fn}(\omega_2 \setminus \mu, 2)$  and this means that  $q_3$  and q are all compatible with each other.

Follow the bouncing ball: it suffices to consider  $q(\beta) = e$  and to assume that  $q_3(\beta)$  is defined. Since  $q_3(\beta)$  is defined, we have that there is a  $\beta' \in dom(q_2)$  such that  $\varphi_{\mu,\lambda}(\beta) = \varphi_{\mu,\alpha_n}(\beta')$ , and that  $q_3(\beta) = q_2(\beta')$ . But, by definition of  $q_1$ ,  $\beta' \in dom(q_1)$  and even that  $q_1(\beta') = q(\beta)$ . Then, since  $q_2 < q_1$ , we have that  $q_2(\beta') = q_1(\beta') = q(\beta)$ . This completes the circle that  $q_3(\beta) = q(\beta)$ .

Finally, our contradiction is that  $q_3 \cup q_2 \cup q$  forces that k = L + 1 violates the quoted statement above.

On the other hand, it's also consistent that  $S'(\theta)$  can fail.

**Theorem 19.** There's a model where  $S'(\omega_{\omega})$  fails.

*Proof.* We will need the model constructed in [2] in which an instance of Chang's conjecture  $(\aleph_{\omega+1}, \aleph_{\omega}) \rightarrow (\aleph_1, \aleph_0)$  is shown to fail.

We can take as a given (as shown in [2, Theorem 5]) that we may assume that we have a model V of GCH in which there are regular limit cardinals  $\kappa < \lambda$  satisfying that  $(\lambda^{+\omega+1}, \lambda^{+\omega}) \twoheadrightarrow (\kappa^{+\omega+1}, \kappa^{+\omega})$ .

What this says is that if L is a countable language with at least one unary relation symbol R and M is a model of L with base set  $\lambda^{+\omega+1}$  in which the interpretation of R has cardinality  $\lambda^{+\omega}$ , then M has an elementary submodel N of cardinality  $\kappa^{+\omega+1}$  in which  $R \cap N$  has cardinality  $\kappa^{+\omega}$  (of course  $R \cap N$  is the interpretation of R in N because  $N \prec M$ ).

The interested reader will want to know that it is shown in [2] that if  $\kappa$  is a 2-huge cardinal and j is the 2-huge embedding with critical point  $\kappa$ , then with  $\lambda = j(\kappa)$  one has that  $(\lambda^{+\omega+1}, \lambda^{+\omega}) \rightarrow (\kappa^{+\omega+1}, \kappa^{+\omega})$  holds.

Let  $\{h_{\xi}: \xi \in \lambda^{+\omega+1}\}$  be a scale in  $\Pi\{\lambda^{+n+1}: n \in \omega\}$  ordered by the usual mod finite coordinatewise ordering. For convenience we may assume that  $h_{\xi}(n) \geq \lambda^{+n}$  for all  $\xi$  and all n. If P is any poset of cardinality less than  $\lambda^{+\omega}$ , then in the forcing extension by P, the sequence  $\{h_{\xi}: \xi \in \lambda^{+\omega+1}\}$  remains cofinal in  $\Pi\{\lambda^{+n+1}: n \in \omega\}$ .

The forcing notion  $\mathbb{P}_0$  is simply the finite condition collapse of  $\kappa^{+\omega}$ , i.e.  $\mathbb{P}_0 = (\kappa^{+\omega})^{<\omega}$ . In the forcing extension by  $\mathbb{P}_0$ , one now has that the ordinal  $\kappa^{+\omega+1}$  from V is the first uncountable cardinal  $\aleph_1$ . Then in this forcing extension we let  $\mathbb{P}_1$  be the countable condition Levy collapse,  $Lv(\lambda,\omega_2)$ , which collapses all cardinals less than  $\lambda$  to have cardinality at most  $\aleph_1$ . The poset  $\mathbb{P}_1$  has cardinality  $\lambda$ . We treat  $\mathbb{P}_1$  as containing  $\mathbb{P}_0$  as a subposet by identifying each  $(p_0,1)$  with  $p_0$ . After forcing with  $\mathbb{P}_0 * \mathbb{P}_1$  we will have that  $\omega_1$  is the ordinal  $(\kappa^{+\omega+1})^V$ ,  $\omega_2$  is the ordinal  $\lambda$ , and  $\omega_{\omega}$  is the ordinal  $(\lambda^{+\omega})^V$ .

Now we assume that we have an assignment  $\dot{f}_{\dot{A}}$  of a  $\mathbb{P}_0 * \mathbb{P}_1$ -name of a finite-to-one function from  $\dot{A}$  into  $\omega$  for each  $\mathbb{P}_0 * \mathbb{P}_1$ -name of a countable subset of  $\lambda^{+\omega+1}$ . We will obtain a contradiction.

Let  $\{\dot{A}_{\xi}: \xi \in \lambda^{+\omega+1}\}$  be an enumeration of all the nice  $\mathbb{P}_0$ -names of countable subsets of  $\lambda^{+\omega}$ . For each  $\xi \in \lambda^{+\omega+1}$ , let  $\dot{f}_{\xi}$  be another notation for  $\dot{f}_{\dot{A}_{\xi}}$ . Since  $\mathbb{P}_0$  forces that  $\mathbb{P}_1$  is countably closed, the collection of all nice  $\mathbb{P}_0$ -names will produce all the countable sets in the extension by  $\mathbb{P}_0 * \mathbb{P}_1$ , but  $\mathbb{P}_0 * \mathbb{P}_1$  can introduce new enumerations of these names. For each  $\xi \in \lambda^{+\omega+1}$ , there is a minimal  $\zeta_{\xi}$  so that  $\dot{A}_{\zeta_{\xi}}$  is the canonical name for the range of  $h_{\xi}$ . This means that  $\dot{f}_{\zeta_{\xi}} \circ h_{\xi}$  is simply the  $\mathbb{P}_0 * \mathbb{P}_1$ -name of a finite-to-one function from  $\omega$  to  $\omega$ . For each  $\xi \in \lambda^{+\omega+1}$ , choose any  $p_{\xi} \in \mathbb{P}_0 * \mathbb{P}_1$  so that there is a nice  $\mathbb{P}_0$ -name,  $\dot{H}_{\xi}$ , that is forced by  $p_{\xi}$  to equal  $\dot{f}_{\zeta_{\xi}} \circ h_{\xi}$ . Choose  $\Lambda \subset \lambda^{+\omega+1}$  of cardinality  $\lambda^{+\omega+1}$  and so that there is a pair  $p, \dot{H}$  satisfying that  $p_{\xi} = p$  and  $\dot{H}_{\xi} = \dot{H}$  for all  $\xi \in \Lambda$ . We may assume that p is in a generic filter G.

Let  $\{x_{\xi}: \xi \in \lambda^{+\omega+1}\}$  be any enumeration of  $H(\lambda^{+\omega+1})$  such that  $\{x_{\xi}: \xi \in \lambda^{+\omega}\}$  is also equal to  $H(\lambda^{+\omega})$ . We choose this enumeration in such a way that  $x_{\xi} \in x_{\eta}$  implies  $\xi < \eta$ . We use relation symbol  $R_0$  to code (and well order)  $(H(\lambda^{+\omega+1}), \in)$  as follows:  $(\xi, \eta) \in R_0$  if and only if  $x_{\xi} \in x_{\eta}$ . Let  $R_1$  be a binary relation on  $\kappa^{+\omega}$  so that  $(\kappa^{+\omega}, R_1)$  is isomorphic to  $\mathbb{P}_0$ . Let  $R_2$  be a binary relation on  $\lambda$  so that  $R_2 \cap (\kappa^{+\omega} \times \kappa^{+\omega}) = R_1$  and  $(\lambda, R_2)$  is isomorphic to  $\mathbb{P}_0 * \mathbb{P}_1$ . Let  $\psi$  be the poset isomorphism from  $\lambda$  to  $\mathbb{P}_0 * \mathbb{P}_1$ .

We continue coding. We can code the sequence  $\{h_{\xi}: \xi \in \lambda^{+\omega+1}\}$  as another binary relation  $R_3$  on  $\lambda^{+\omega+1}$  where  $R_3 \cap (\{\xi\} \times \lambda^{+\omega+1}) = \{(\xi, h_{\xi}(n)) : n \in \omega\}$  for each  $\xi \in \lambda^{+\omega+1}$ . The relation symbol  $R_4$  can code the sequence  $\{\dot{A}_{\xi}: \xi \in \lambda^{+\omega+1}\}$  where  $(\xi, \alpha, \zeta) \in R_4$  if and only if  $(\check{\alpha}, \psi(\zeta))$  is in the name  $\dot{A}_{\xi}$ . Let  $R_5$  code this collection, i.e.  $(\gamma, n, m, \eta) \in R_5$  if and only if  $((n, m), \psi(\eta)) \in \dot{H}_{\gamma}$ . Also let  $R_6$  code (equal) the set  $\Lambda$ . Finally we use the relation symbol  $R_7$  to similarly code the

sequence  $\{\dot{f}_{\xi}: \xi \in \lambda^{+\omega+1}\}$ :  $(\xi, \alpha, n, \zeta) \in R_7$  if and only if  $((\alpha, n), \psi(\zeta))$  is in the name  $\dot{f}_{\xi}$ .

Needless to say, the unary relation symbol R is interpreted as the set  $\lambda^{+\omega}$  for the application of  $(\lambda^{+\omega+1}, \lambda^{+\omega})$ — $*(\kappa^{+\omega+1}, \kappa^{+\omega})$ . Now we have defined our model M of the language  $L = \{ \in, R, R_0, \ldots, R_7 \}$ , and we choose an elementary submodel N witnessing  $(\lambda^{+\omega+1}, \lambda^{+\omega})$ — $*(\kappa^{+\omega+1}, \kappa^{+\omega})$ . Of course N is really just a  $\kappa^{+\omega+1}$  sized subset of  $\lambda^{+\omega+1}$  with the additional property that  $N \cap \lambda^{+\omega}$  has cardinality  $\kappa^{+\omega}$ . In the forcing extension N has cardinality  $\omega_1$  and  $A = N \cap \lambda^{+\omega}$  is countable.

We will need the following claim from [2]

**Claim.** We may assume that N satisfies that  $N \cap \kappa^{+\omega+1}$  is transitive (i.e. an initial segment).

*Proof of Claim.* Suppose our originally supplied N fails the conclusion of the claim. We know that  $\kappa^{+\omega} \in N$ , (via  $R_1$ ) in which case so is  $\kappa^{+\omega+1}$ .

Then set  $\beta_0 = \sup(N \cap \kappa^{+\omega+1})$  and consider the Skolem closure  $Hull(N \cup \beta_0, M)$ . A little informally (in that we have to formalize the enumeration of formulas) let  $\{\varphi_n:n\in\omega\}$  is the enumeration of all formulas in the language L, and let  $\ell_n$ be the minimal integer such that the free variables of  $\varphi_n$  are among  $\{v_0, \ldots, v_{\ell_n}\}$ . Then, for each tuple  $\langle \xi_1, \dots, \xi_{\ell_n} \rangle$  of elements of  $\lambda^{+\omega+1}$ , we define  $f_n(\xi_1, \dots, \xi_{\ell_n})$ to be the minimal  $\xi_0 \in \lambda^{+\omega+1}$  such that  $M \models \varphi_n(\xi_0, \dots, \xi_{\ell_n})$ . If there is no such  $\xi_0$ , in other words if  $M \models \neg \exists x \ \varphi_n(x, \xi_1, \dots, \xi_{\ell_n})$ , then set  $f_n(\xi_1, \dots, \xi_{\ell_n})$  to be 0. Now  $Hull(N \cup \beta_0, M)$  is just the minimal superset X of  $N \cup \beta_0$  that satisfies that  $f_n[X^{\{1,\ldots,\ell_n\}}] \subset X$  for all n. Since this is simply a large algebra, we can generate all the terms t of the algebraic operations  $\{f_n : n \in \omega\}$ . It is easily seen that for each  $\zeta \in X$ , there is a term  $t(v_1, \ldots, v_m)$  such that  $\zeta = t(\delta_1, \ldots, \delta_m)$  for some sequence  $\langle \delta_1, \ldots, \delta_m \rangle$  with each  $\delta_i \in N \cup \beta_0$ . Assume that  $\zeta \in \kappa^{+\omega+1}$ . By re-indexing the variables in the term we can assume that there is an  $n \leq m$  so that  $\delta_i < \beta_0$  for  $1 \leq i \leq n$  and  $\kappa^{+\omega+1} \leq \delta_i$  for  $n < i \leq m$ . Let  $\vec{a}$  denote the tuple  $\langle \delta_{n+1}, \ldots, \delta_m \rangle$ . Choose  $\eta \in N \cap \kappa^{+\omega+1}$  large enough so that  $\{\delta_1, \ldots, \delta_n\}$  is contained in  $\eta$ . Since set-membership in M is coded by  $R_0$  rather than  $\in$  we have to argue a little less naturally. Consider the set  $s_0(\eta, \vec{a}) = \{t(\gamma_1, \dots, \gamma_n, \vec{a}) : \{\gamma_1, \dots, \gamma_n\} \in [\eta]^{\leq n}\}.$ Clearly  $s_0(\eta, \vec{a})$  is a member of  $H(\lambda^{+\omega+1})$ . Now define  $s_1(\eta, \vec{a})$  to be  $\{x_\alpha : \alpha \in A\}$  $s_0(\eta, \vec{a})$ , and choose the unique  $\zeta_1 \in \lambda^{+\omega+1}$  such that  $x_{\zeta_1} = s_1(\eta, \vec{a})$ . We claim that  $\zeta_1 \in N$ . Note that  $\alpha R_0 \zeta_1$  holds if and only if  $\alpha \in s_0(\eta, \vec{a})$ , and therefore

$$M \models (\forall \alpha) \left[ \alpha R_0 \zeta_1 \text{ iff } (\exists \gamma_1 \in \eta) \cdots (\exists \gamma_n \in \eta) (\alpha = t(\gamma_1, \dots, \gamma_n, \vec{a})) \right].$$

By elementarity then we have that  $\zeta_1 \in N$ , and by similar reasoning the supremum,  $\zeta_0$ , of  $\zeta_1 \cap \kappa^{+\omega+1}$  is also in N. This of course means that  $\zeta < \xi_0$ .

We use the elementarity of N to deduce properties of the families  $\{\dot{A}_{\xi}: \xi \in N\}$  and  $\{\dot{f}_{\xi}: \xi \in N\}$ . Actually the collection we are most interested in is the family  $\{h_{\xi}: \xi \in \Lambda \cap N\}$ .

Since  $\mathfrak{c} < \kappa^{+\omega+1}$  there is a function  $\langle \varrho_n : n \in \omega \rangle$  in  $\Pi_n \lambda^{+\omega}$  such that the sequence  $\{h_{\xi} : \xi \in N\}$  is unbounded mod finite in  $\Pi_n \varrho_n$  (by Shelah's pcf theory). This is in Jech somewhere. For each  $n, \ \rho_n \leq \sup(N \cap \lambda^{+n+2})$ .

Since  $\mathbb{P}_0$  has cardinality less than  $|N| = \kappa^{+\omega+1}$ , the sequence  $\{h_{\xi} : \xi \in \Lambda \cap N\}$  remains unbounded mod finite in  $\Pi_n \varrho_n$  (and in  $\Pi_n (\varrho_n \cap N)$ ). Now pass to the extension by  $G \cap \mathbb{P}_0$  and let H be the function  $\operatorname{val}_G(\dot{H})$ , and we recall that  $f_{\zeta_{\xi}}(h_{\xi}(n)) = H(n)$  for all  $n \in \omega$ . Now pass to the full extension V[G] and again, since  $\mathbb{P}_1$  was forced to be countably closed, the family  $\{h_{\xi} : \xi \in \Lambda \cap N\}$  is still unbounded in  $\Pi_n(\varrho_n \cap N)$ . We let A be the countable set  $N \cap \lambda^{+\omega}$ , and for each  $\xi \in \Lambda \cap N$ , there is an  $n_{\xi}$  such that  $f_{\xi}(h_{\xi}(m)) = f_A(h_{\xi}(m))$  for all  $m > n_{\xi}$ . There is a single n so that  $\Lambda_n = \{\xi \in \Lambda \cap N : n_{\xi} = n\}$  has cardinality  $\omega_1$ , and thus  $\{h_{\xi} : \xi \in \Lambda_n \cap N\}$  is also unbounded in  $\Pi_n(\rho_n \cap N)$ . This certainly implies that there is an m > n such that  $\{h_{\xi}(m) : \xi \in \Lambda_n \cap N\}$  is infinite. This completes the proof since  $f_A(h_{\xi}(m)) = H(m)$  for all  $\xi \in \Lambda_n \cap N$ .

**Question 20.** Is  $S'(\theta)$  equivalent to having a Kurepa family on  $\theta$ ?

## Applications!

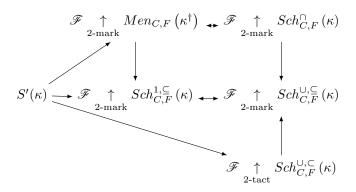


FIGURE 1. Diagram of Scheeper/Menger game implications with  $S'(\kappa)$ 

**Theorem 21.** Figure 1 holds. (Proven in [TODO cite]) (Actually, TODO double-check that it works with just S', particularly the strict game)

It was left open if these implications can be reversed. The answer is consistently no.

**Theorem 22.** Let  $\alpha$  be the limit of increasing ordinals  $\beta_n$  for  $n < \omega$ . If  $\mathscr{F} \uparrow_{2-mark}$   $Sch_{C,F}^{\cap}(\omega_{\beta_n})$  for all  $n < \omega$ , then  $\mathscr{F} \uparrow_{2-mark}$   $Sch_{C,F}^{\cap}(\omega_{\alpha})$ .

*Proof.* Let  $\sigma_n$  be a winning 2-mark for  $\mathscr{F}$  in  $Sch_{C,F}^{\cap}(\omega_{\beta_n})$ . Define the 2-mark  $\sigma$  for  $\mathscr{F}$  in  $Sch_{C,F}^{\cap}(\omega_{\alpha})$  as follows:

$$\sigma(\langle C \rangle, 0) = \sigma_0(\langle C \cap \omega_{\beta_0} \rangle, 0)$$
  
$$\sigma(\langle C, D \rangle, n+1) = \sigma_{n+1}(\langle D \cap \omega_{\beta_{n+1}} \rangle, 0) \cup \bigcup_{m \le n} \sigma_m(\langle C \cap \omega_{\beta_m}, D \cap \omega_{\beta_m} \rangle, n-m+1)$$

Let  $\langle C_0, C_1, \ldots \rangle$  be an attack by  $\mathscr C$  in  $Sch_{C,F}^{\cap}(\omega_{\alpha})$ , and  $\alpha \in \bigcap_{n < \omega} C_n$ . Choose  $N < \omega$  with  $\alpha < \omega_{\beta_{N+1}}$ . Consider the attack  $\langle C_{N+1} \cap \omega_{\beta_{N+1}}, C_{N+2} \cap \omega_{\beta_{N+1}}, \ldots \rangle$  by  $\mathscr C$  in  $Sch_{C,F}^{\cap}(\omega_{\beta_{N+1}})$ . Since  $\sigma_{N+1}$  is a winning strategy and  $\alpha \in \bigcap_{n < \omega} C_{N+n+1} \cap \omega_{\beta_{N+1}}$ , either  $\alpha \in \sigma_{N+1}(\langle C_{N+1} \cap \omega_{\beta_{N+1}} \rangle, 0)$  and thus  $\alpha \in \sigma(\langle C_N, C_{N+1} \rangle, N+1)$ , or  $\alpha \in \sigma_{N+1}(\langle C_{N+M+1} \cap \omega_{\beta_{N+1}}, C_{N+M+2} \cap \omega_{\beta_{N+1}} \rangle, M+1)$  for some  $M < \omega$  and thus  $\alpha \in \sigma(\langle C_{N+M+1}, C_{N+M+2} \rangle, N+M+2)$ . Thus  $\sigma$  is a winning strategy.  $\square$ 

**Theorem 23.** Let  $\alpha$  be the limit of increasing ordinals  $\beta_n$  for  $n < \omega$ . If  $\mathscr{F} \uparrow_{2-mark}$   $Sch_{C,F}^{1,\subseteq}(\omega_{\beta_n})$  for all  $n < \omega$ , then  $\mathscr{F} \uparrow_{2-mark} Sch_{C,F}^{1,\subseteq}(\omega_{\alpha})$ .

*Proof.* Let  $\sigma_n$  be a winning 2-mark for  $\mathscr{F}$  in  $Sch_{C,F}^{1,\subseteq}(\omega_{\beta_n})$ . Define the 2-mark  $\sigma$  for  $\mathscr{F}$  in  $Sch_{C,F}^{1,\subseteq}(\omega_{\alpha})$  as follows:

$$\sigma(\langle C \rangle, 0) = \sigma_0(\langle C \cap \omega_{\beta_0} \rangle, 0)$$
 
$$\sigma(\langle C, D \rangle, n+1) = \sigma_{n+1}(\langle D \cap \omega_{\beta_{n+1}} \rangle, 0) \cup \bigcup_{m \leq n} \sigma_m(\langle C \cap \omega_{\beta_m}, D \cap \omega_{\beta_m} \rangle, n-m+1)$$

Let  $\langle C_0, C_1, \ldots \rangle$  be an attack by  $\mathscr C$  in  $Sch_{C,F}^{1,\subseteq}(\omega_\alpha)$ , and  $\alpha \in C_0$ . Choose  $N < \omega$  with  $\alpha < \omega_{\beta_{N+1}}$ . Consider the attack  $\langle C_{N+1} \cap \omega_{\beta_{N+1}}, C_{N+2} \cap \omega_{\beta_{N+1}}, \ldots \rangle$  by  $\mathscr C$  in  $Sch_{C,F}^{1,\subseteq}(\omega_{\beta_{N+1}})$ . Since  $\sigma_{N+1}$  is a winning strategy and  $\alpha \in C_{N+1} \cap \omega_{\beta_{N+1}}$ , either  $\alpha \in \sigma_{N+1}(\langle C_{N+1} \cap \omega_{\beta_{N+1}} \rangle, 0)$  and thus  $\alpha \in \sigma(\langle C_N, C_{N+1} \rangle, N+1)$ , or  $\alpha \in \sigma_{N+1}(\langle C_{N+M+1} \cap \omega_{\beta_{N+1}}, C_{N+M+2} \cap \omega_{\beta_{N+1}} \rangle, M+1)$  for some  $M < \omega$  and thus  $\alpha \in \sigma(\langle C_{N+M+1}, C_{N+M+2} \rangle, N+M+2)$ . Thus  $\sigma$  is a winning strategy.  $\square$ 

Corollary 24. It is consistent that  $S'(\omega_{\omega})$  fails, but as  $S'(\omega_{k})$  holds for all  $k < \omega$ , we have  $\mathscr{F} \underset{2\text{-mark}}{\uparrow} Sch_{C,F}^{\cap}(\omega_{\omega})$  and  $\mathscr{F} \underset{2\text{-mark}}{\uparrow} Sch_{C,F}^{1,\subseteq}(\omega_{\omega})$ .

A tricky topological question: does  $\mathscr{F} \uparrow Men_{C,F}(X)$  imply  $\mathscr{F} \uparrow_{2-\mathrm{mark}} Men_{C,F}(X)$ ? (C showed that ) Under V=L, we cannot hope to find a counterexample using  $X=\kappa^{\dagger}$  since  $S'(\kappa)$  and thus  $\mathscr{F} \uparrow_{2-\mathrm{mark}} Sch_{C,F}^{\cap}(\kappa)$  always holds.

**Definition 25.** Let  $R_{\omega}$  be the real numbers with the topology of the usual open intervals with countably many elements removed.

**Theorem 26.**  $\mathscr{F} \uparrow Men_{C,F}(R_{\omega})$ . If there exists a Kurepa family on the reals, then  $\mathscr{F} \uparrow Men_{C,F}(R_{\omega})$ .

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