

Tactics and Marks in Banach Mazur Games

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marks and tactics

My notes on Galvin/Telgarsky's Theorem 5 from [3].

Definition 1. Let \mathbb{P} be partially ordered by \leq . Let $\mathbb{P}^\downarrow = \{f \in \mathbb{P}^\omega : f(n) \geq f(n+1)\}$. Then for $f, g \in \mathbb{P}^\downarrow$, we say that f, g zip into each other if for all $m < \omega$ there exists $n < \omega$ such that $f(m) \geq g(n)$ and $g(m) \geq f(n)$.

Definition 2. $BM_{po}(\mathbb{P}, W)$ is a game defined for all non-empty partial orders \mathbb{P} and all subsets $W \subseteq \mathbb{P}^\downarrow$. During round 0, I chooses $a_0 \in \mathbb{P}$, and then II chooses $b_0 \leq a_0$; during around $n+1$, I chooses $a_{n+1} \leq b_n$, and then II chooses $b_{n+1} \leq a_{n+1}$. II wins this game if $\langle a_0, a_1, \dots \rangle \in W$.

Theorem 3. Let $W \subseteq \mathbb{P}^\downarrow$ be closed under zipping. $\text{II} \uparrow_{\text{mark}} BM_{po}(\mathbb{P}, W)$ if and only if $\text{II} \uparrow_{\text{tact}} BM_{po}(\mathbb{P}, W)$.

Proof. Let $\tau(p, n+1)$ be a winning mark for II, where p is the most recent move by I and $n+1$ is the number of moves made by I. Define $\tau^0(p) = p$ and $\tau^{n+1}(p) = \tau(\tau^n(p), n+1)$. Let \preceq well-order \mathbb{P} .

For $p, q \in \mathbb{P}$, say $p \geq_n q$ if there exist $s_m(p) \in \mathbb{P}$ for $m \leq n$ such that

$$p \geq s_m(p) \geq \tau(s_m(p), n+1) \geq q.$$

Note that $p' \geq p \geq_n q \geq q'$ implies $p' \geq_n q'$, and $p \geq_n \tau^n(p)$.

Say $p \geq_\omega q$ whenever $p \geq_n q$ for all $n < \omega$. If $p \geq_\omega l(p)$ for some $l(p)$, then say p is long; otherwise call p short.

For p short, let

$$\mu(p) = \min_{\preceq} \{r \text{ short} : r \geq p\}$$

and since $\mu(p) \not\geq_n p$ for some n , let

$$N(p) = \min\{n < \omega : \mu(p) \not\geq_n p\}.$$

Note that whenever $\mu(p) = \mu(q)$ for $p \geq_n q$, it follows that $\mu(p) \geq_n q$ and therefore $N(p) < N(q)$.

We define

$$\sigma(p) = \begin{cases} l(p) & p \text{ is long} \\ \tau^{N(p)+1}(p) & p \text{ is short} \end{cases}.$$

Suppose σ is legally attacked by $a \in \mathbb{P}^\omega$. For $n \leq \omega$, if $a(n)$ is long, then $a(n) \geq_n l(a(n))$. Therefore,

$$a(n) \geq s_n(a(n)) \geq \tau(s_n(a(n)), n+1) \geq l(a(n)) = \sigma(a(n)) \geq a(n+1).$$

Thus if $a(n)$ is long for $n < \omega$, it follows that $c \in \mathbb{P}^\downarrow$ defined by $c(n) = s_n(a(n))$ is a legal attack against τ . Since τ is winning, $c \in W$, and since c zips into a , $a \in W$ as well.

Otherwise, we may choose a final subsequence b of a such that

- $b(n)$ is short for all $n < \omega$, since $a(m)$ short implies $a(n+m)$ short for all $n < \omega$.
- $\mu(b(n)) = \mu'$ is fixed for all $n < \omega$, since there cannot be an infinite \preceq -decreasing sequence.

As a result,

$$b(n) \geq_{N(b(n))} \tau^{N(b(n))+1}(b(n)) = \sigma(b(n)) \geq b(n+1)$$

and therefore $N(b(n)) < N(b(n+1))$. In particular, $N(b(n)) \geq n$.

Thus for $n < \omega$,

$$b(n) \geq \tau^n(b(n)) \geq \tau(\tau^n(b(n)), n+1) \geq \tau^{N(b(n))+1}(b(n)) = \sigma(b(n)) \geq b(n+1).$$

As a result, $c \in \mathbb{P}^\downarrow$ defined by $c(n) = \tau^n(b(n))$ is a legal attack against the winning strategy τ . Therefore $c \in W$, and since c zips into b and a , we conclude $a \in W$. \square

Observation 4. When $\mathbb{P} = T(X) \setminus \{\emptyset\}$ is ordered by set-inclusion and $W = \{U \in \mathbb{P}^\downarrow : \bigcap_{n < \omega} U(n) \neq \emptyset\}$, then $BM_{po}(\mathbb{P}, W)$ is exactly the topological Banach Mazur game $BM_{E,N}(X)$. Note W is closed under zipping.

Corollary 5. $\text{II} \uparrow_{\text{mark}} BM_{E,N}(X)$ if and only if $\text{II} \uparrow_{\text{tact}} BM_{E,N}(X)$.

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And this stuff is based on section 4.5.1 of [1].

Definition 6. Let $f \in S^{\leq \omega}$. Then $f \upharpoonright n \in S^n$ is defined by $(f \upharpoonright n)(i) = f(i)$. ($f \upharpoonright n$ gives the first n terms of f .)

Let $t \in S^{< \omega}$. Then $t \downharpoonright k \in S^k$ is defined by $(t \downharpoonright k)(i) = t(i + |t| - k)$. ($t \downharpoonright k$ gives the last n terms of t .)

Definition 7. For every partial order \mathbb{P} and compatible $p, q \in \mathbb{P}$, let $p \wedge q$ satisfy $p \wedge q \leq p, q$.

Claim 8. \mathbb{P} contains no infinite antichains if and only if every antichain in \mathbb{P} is of size n or less for some $n < \omega$.

Proof. MAYBE? Apparently true for $\mathbb{P} = \tau \setminus \{\emptyset\}$ due to Lemma 2.10 of [2]. \square

Proposition 9. Let $W \subseteq \mathbb{P}^\downarrow$ be closed under zipping. Suppose every antichain in \mathbb{P} is of size $n < \omega$ or less, and $\text{II} \uparrow_{\text{auto}} BM_{po}(\mathbb{P}, W)$. Then $\text{II} \uparrow_{\text{auto}} BM_{po}(\mathbb{P}, W)$ (i.e. II wins every play of $BM_{po}(\mathbb{P}, W)$, i.e. $W = \mathbb{P}^\downarrow$).

Proof. First, let $\{p_i : i < n\}$ be an antichain of size $n < \omega$, then let \mathbb{P}_i be a maximal pairwise-compatible subset of \mathbb{P} containing p_i . Note that if there existed $q \in \mathbb{P} \setminus \bigcup_{i < n} \mathbb{P}_i$, q must be incompatible with some $q_i \in \mathbb{P}_i$ for $i < n$. Since $p_i, q_i \in \mathbb{P}_i$, they are compatible, so let $r_i = p_i \wedge q_i$. Since q is incompatible with q_i for $i < n$, q is incompatible with r_i for $i < n$. Since p_i is incompatible with p_j for $i < j < n$, r_i is incompatible with r_j for $i < j < n$. But that makes $\{q\} \cup \{r_i : i < n\}$ an antichain of size $n + 1$, contradicting the assumption of the proposition. Thus $\mathbb{P} = \bigcup_{i < n} \mathbb{P}_i$.

We now show that if $s \in \mathbb{P}_i^\downarrow$ for some i , then $s \in W$. Let σ be a winning strategy for II in $BM_{po}(\mathbb{P}, W)$, and attack σ with $q(0) = s(0) \wedge p_i$ and $q(n+1) = s(n+1) \wedge \sigma(\langle q(0), \dots, q(n) \rangle)$. Note that the choice of $q(0)$ is valid as $s(0), p_i \in \mathbb{P}_i$. Similarly, $\sigma(\langle q(0), \dots, q(n) \rangle) \leq q(0) \leq p_i$, so $\sigma(\langle q(0), \dots, q(n) \rangle)$ cannot be compatible with any p_j where $j \neq i$. Thus $s(n+1), \sigma(\langle q(0), \dots, q(n) \rangle) \in \mathbb{P}_i$, making the choice of $q(n+1)$ valid. Since σ is winning for II, we see that $q \in W$, and therefore $s \in W$.

Finally, consider any play of $BM_{po}(\mathbb{P}, W)$. It must contain have a subsequence $s \in \mathbb{P}_i^\downarrow$ for some $i < n$, so $s \in W$ and therefore the play is also in W , securing a victory for II. \square

Lemma 10. *Let $W \subseteq \mathbb{P}^\downarrow$ be closed under zipping. Suppose that for every $p \in \mathbb{P}$, there exists an infinite antichain $A_p = \{a_p(n) : n < \omega\} \subseteq \{q \in \mathbb{P} : q \leq p\}$. Then $\text{II} \uparrow_{(k+2)\text{-mark}} BM_{po}(\mathbb{P}, W)$ if and only if $\text{II} \uparrow_{(k+2)\text{-tact}} BM_{po}(\mathbb{P}, W)$.*

Proof. Let σ witness $\text{II} \uparrow_{(k+2)\text{-mark}} BM_{po}(\mathbb{P}, W)$. Define $\tau(t) = \sigma(\langle a_{t(0)}(0) \rangle, 1)$ for $t \in \mathbb{P}^1$. Since $\tau(t) = \sigma(\langle a_{t(0)}(0) \rangle, 1) \leq a_{t(0)}(0) \leq t(0)$, this is a legal move.

Consider $t \in \mathbb{P}^{j+2}$ for $j \leq k$. If there exists $l_t < \omega$ such that $t(j+1) \leq a_{t(j)}(l_t + j)$, define $t' \in \mathbb{P}^{j+2}$ by $t'(i) = a_{t(i)}(l_t + i)$ and let $\tau(t) = \sigma(t', l_t + |t|)$. Note that since

$$\tau(t) = \sigma(t', l_t + |t|) \leq t'(j+1) = a_{t(j+1)}(l_t + j + 1) \leq t(j+1)$$

this is a legal move. (If l_t failed to exist, we could arbitrarily let, say, $\tau(t) = t(|t| - 1)$; as we will see, this case will never occur for any legal attack against τ .)

Let f be a legal attack against τ . The intuition of the following proof is simple: by construction, l_t will produce the number of I's moves forgotten by II's $(k+2)$ -tactic, allowing the $(k+2)$ -tactic to deduce the round number and thus exploit the winning $(k+2)$ -mark.

We may quickly verify that $l_{f|2} = 0$ since

$$(f \upharpoonright 2)(1) = f(1) \leq \tau(f \upharpoonright 1) = \sigma(\langle a_{f(0)}(0) \rangle, 1) \leq a_{f(0)}(0) = a_{(f \upharpoonright 2)(0)}(0 + 0).$$

We claim in general that $l_{f \upharpoonright (j+2)} = 0$ for $j \leq k$. Assuming $l_{f \upharpoonright (j+2)} = 0$ for $j < k$,

$$\begin{aligned} (f \upharpoonright (j+3))(j+2) &= f(j+2) \leq \tau(f \upharpoonright (j+2)) = \sigma(f \upharpoonright (j+2)', 0 + j + 2) \leq f \upharpoonright (j+2)'(j+1) \\ &= a_{(f \upharpoonright (j+2))(j+1)}(j+1) = a_{(f \upharpoonright (j+3))(j+1)}(0 + (j+1)) \end{aligned}$$

proving $l_{f \upharpoonright (j+3)} = 0$.

Now we show that $l_{f \upharpoonright (j+2) \upharpoonright (k+2)} = j - k$ for $j \geq k$. We've just shown that this is true for our base case $j = k$. Now assuming $l_{f \upharpoonright (j+2) \upharpoonright (k+2)} = j - k$, we show... \square

References

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