ALMOST COMPATIBLE FUNCTIONS AND INFINITE LENGTH GAMES

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ABSTRACT. TODO

1. INTRODUCTION

Definition 1. Two functions f, g are almost compatible, that is, $f \sim g$ when $\{a \in dom\, f \cap dom\, g : f(a) \neq g(a)\}$ is finite.

Marion Scheepers used almost compatible functions in [4] in order to study the existence of limited information strategies on a variation of the meager-nowhere dense game he introduced in [5].

Game 2. Let $Sch_{C,F}^{\cup,\subset}(\kappa)$ denote *Scheepers' strict countable-finite union game* with two players $\mathscr{C}$, $\mathscr{F}$. In round 0, $\mathscr{C}$ chooses $C_0 \in [\kappa]^{\leq\omega}$, followed by $\mathscr{F}$ choosing $F_0 \in [\kappa]^{<\omega}$. In round $n+1$, $\mathscr{C}$ chooses $C_{n+1} \in [\kappa]^{\leq\omega}$ such that $C_{n+1} \supset C_n$, followed by $\mathscr{F}$ choosing $F_{n+1} \in [\kappa]^{<\omega}$.

$\mathscr{F}$ wins the game if $\bigcup_{n<\omega} F_n \supseteq \bigcup_{n<\omega} C_n$; otherwise, $\mathscr{C}$ wins.

Of course, with perfect information this game is trivial: during round n player $\mathscr{F}$ simply chooses n ordinals from each of the n countable sets played by $\mathscr{C}$. However, if $\mathscr{F}$ is limited to using information from the last k moves by $\mathscr{C}$ during each round, the task becomes more difficult. Call such a strategy a *k-tactical strategy* or *k-tactic*; if using the round number is allowed, then the strategy is called a *k-Markov strategy* or a *k-mark*.

Definition 3. The statement $S(\kappa)$ (given as $S(\kappa, \aleph_0, \omega)$ in [4]) claims that there exist one-to-one functions $f_A : A \to \omega$ for each $A \in [\kappa]^{\leq\aleph_0}$ such that the collection $\{f_A : A \in [\kappa]^{\leq\aleph_0}\}$ is pairwise almost compatible.

In the same paper, Scheepers noted that $S(\omega_1)$ holds in ZFC, and that it's possible to force $\mathfrak{c}$ to be arbitrarily large while preserving $S(\mathfrak{c})$. However, $S(\mathfrak{c}^+)$ always fails. This axiom may be applied to obtain a winning 2-tactic for $\mathscr{F}$ in the countable-finite game.

In [1], Clontz related this game to a game which may be used to characterize the Menger covering property of a topological space.

Key words and phrases. TODO.

Game 4. Let $Men_{C,F}(X)$ denote the *Menger game* with players $\mathscr{C}$, $\mathscr{F}$. In round n, $\mathscr{C}$ chooses an open cover $\mathcal{U}_n$, followed by $\mathscr{F}$ choosing subset F_n of X which may be finitely covered by $\mathcal{U}_n$.

$\mathscr{F}$ wins the game if $X = \bigcup_{n<\omega} F_n$, and $\mathscr{C}$ wins otherwise.

This characterization is slightly different than the typical characterization in which the second player first chooses a specific finite subcollection $\mathcal{F}_n$ of the cover itself and lets $F_n = \bigcup \mathcal{F}_n$, denoted as $G_{fin}(\mathcal{O}, \mathcal{O})$ in [6]. However, it's easily seen that these games are equivalent for perfect information strategies (so both characterize the Menger property), and this characterization is more convenient for our concerns.

Definition 5. Let $\kappa^\dagger = \kappa \cup \{\infty\}$ where κ is discrete and ∞'s neighborhoods are the co-countable sets containing it.

The relationship between $Sch^{\cup,\subset}_{C,F}(\kappa)$ and $Men_{C,F}\left(\kappa^\dagger\right)$ is strong; in both games $\mathscr{C}$ essentially chooses a countable subset of κ followed by $\mathscr{F}$ choosing a finite subset of that choice, and it's easy to see the winning perfect information strategy for $\mathscr{F}$ in both games. In addition, it was shown in [1] that when $S(\kappa)$ holds, $\mathscr{F}$ has a winning 2-Markov strategy in $Men_{C,F}\left(\kappa^\dagger\right)$.

One source of motivation is to make progress on the following open question:

Question 6. *Does there exist a topological space X for which $\mathscr{F} \uparrow Men_{C,F}(X)$ but $\mathscr{F} \underset{2\text{-}mark}{\not\uparrow} Men_{C,F}(X)$? (That is, the second player can win the Menger game on X with perfect information but not with 2-Markov information.)*

One might hope that $(\mathfrak{c}^+)^\dagger$ might answer this question in the affirmative as $S(\mathfrak{c}^+)$ fails, but we will show that assuming $V = L$, $\mathscr{F} \underset{\text{2-mark}}{\uparrow} Men_{C,F}\left(\kappa^\dagger\right)$ for all cardinals κ.

2. One-to-one and finite-to-one almost compatible functions

We may weaken Scheeper's $S(\kappa)$ as follows:

Definition 7. The statement $S'(\kappa)$ weakens $S(\kappa)$ be only requiring the witness functions $f_A : A \to \omega$ to be finite-to-one.

The following observation will be convenient.

Proposition 8. *$S(\kappa)$ and $S'(\kappa)$ need only be witnessed by functions $\{f_A : A \in \mathcal{S}\}$ for some family $\mathcal{S}$ cofinal in $[\kappa]^{\leq\aleph_0}$.*

Proof. For each $A \in [\kappa]^{\leq\aleph_0}$ choose $A' \supseteq A$ from $\mathcal{S}$ and let $g_A = f_{A'} \restriction A$. $\square$

In the next section we will show that $S'(\kappa)$ is sufficient for the applications to the Scheepers and Menger games. In the meantime, we will demonstrate that $S'(\kappa)$ is strictly weaker than $S(\kappa)$.

Recall the following.

Definition 9. A Kurepa family $\mathcal{K} \subseteq [\kappa]^{\aleph_0}$ on κ satisfies that $\mathcal{K} \restriction A = \{K \cap A : K \in \mathcal{K}\}$ is countable for each $A \in [\kappa]^{\aleph_0}$.

Theorem 10. *$S'(\kappa)$ holds whenever there exists a cofinal Kurepa family on κ.*

Proof. Let $\mathcal{K} = \{K_\alpha : \alpha < \theta\}$ be a cofinal Kurepa family on κ. We first define $f_\alpha : K_\alpha \to \omega$ for each $\alpha < \theta$.

Suppose we've already defined pairwise almost compatible finite-to-one functions $\{f_\beta : \beta < \alpha\}$. To define f_α, we first recall that $\mathcal{K} \restriction K_\alpha$ is countable, so we may choose $\beta_n < \alpha$ for $n < \omega$ such that $\{K_\beta : \beta < \alpha\} \restriction K_\alpha \setminus \{\emptyset\} = \{K_\alpha \cap K_{\beta_n} : n < \omega\}$. Let $K_\alpha = \{\delta_{i,j} : i \leq \omega, j < w_i\}$ where $w_i \leq \omega$ for each $i \leq \omega$, $K_\alpha \cap \left(K_{\beta_n} \setminus \bigcup_{m<n} K_{\beta_m}\right) = \{\delta_{n,j} : j < w_n\}$, and $K_\alpha \setminus \bigcup_{n<\omega} K_{\beta_n} = \{\delta_{\omega,j} : j < w_\omega\}$. Then let $f_\alpha(\delta_{n,j}) = \max(n, f_{\beta_n}(\delta_{n,j}))$ for $n < \omega$ and $f_\alpha(\delta_{\omega,j}) = j$ otherwise.

We should show that f_α is finite-to-one. Let $n < \omega$. Since $f_\alpha(\delta_{m,j}) \geq m$, we only consider the finite cases where $m \leq n$. Since each f_{β_m} is finite-to-one, $f_{\beta_m}(\delta_{m,j}) \leq n$ for only finitely many j. Thus $f_\alpha(\delta_{m,j}) = \max(m, f_{\beta_m}(\delta_{m,j}))$ maps to n for only finitely many j.

We now want to demonstrate that $f_\alpha \sim f_{\beta_n}$ for all $n < \omega$. Note $\delta_{m,j} \in K_{\beta_n}$ implies $m \leq n$. For $m = n$, we have $f_\alpha(\delta_{n,j}) = \max(n, f_{\beta_n}(\delta_{n,j}))$ which differs from $f_{\beta_n}(\delta_{n,j})$ for only the finitely many j which are mapped below n by f_{β_n}. For $m < n$ and $\delta_{m,j} \in K_{\beta_n}$,we have $f_\alpha(\delta_{m,j}) = \max(m, f_{\beta_m}(\delta_{m,j}))$ which can only differ from $f_{\beta_n}(\delta_{m,j})$ for only the finitely many j which are mapped below m by f_{β_m} or the finitely many j for which the almost compatible $f_{\beta_n} \sim f_{\beta_m}$ differ.

Finally for any $\beta < \alpha$, we may conclude $f_\alpha \sim f_\beta$ since there is some β_n with $K_\alpha \cap K_\beta = K_\alpha \cap K_{\beta_n}$, $f_\alpha \sim f_{\beta_n}$, and $f_{\beta_n} \sim f_\beta$. □

We now construct a topology on ω_n for each $n < \omega$ which will witness a Kurepa family on $\aleph_n$.

Proposition 11. *Let X be a T_2 space with a base of countable and compact neighborhoods. Then X is locally metrizable, X has a base of compact open countable sets, and its closure operation preserves cardinality and weight.*

Proof. For each point x let K be a countable and compact neighborhood of x, and it follows that it is contained in a countable, open, and locally compact neighborhood W of x, which in turn is zero-dimensional and metrizable. So choose V clopen in W such that $x \in V \subseteq K$; V is a compact open neighborhood of x in X.

Since the space is locally metrizable and thus first-countable, the weight and cardinality of any subspace coincide. Then for infinite $A \subseteq X$, cover $\overline{A}$ with open sets of countable cardinality, and say $x \sim y$ if there's a finite linked chain joining them; since $x \sim a$ for some $a \in A$, $\overline{A}$ is covered by $|A|$-many sets each of size $\aleph_0$. Thus $|A| \leq |\overline{A}| \leq |A| \otimes \aleph_0 = |A|$. □

Definition 12. A topological space is said to be ω-bounded if each countable subset of the space has compact closure.

Lemma 13. *Let X be a T_2 space of cardinality less than $\aleph_\omega$ with a base of countable and compact neighborhoods. Then X has an ω-bounded extension $\tilde{X}$ with the same properties, such that $\tilde{X} \setminus X$ has the same cardinality as X.*

Proof. We prove this by induction on n. If $n = 0$, then we can just use the one-point compactification of two copies of X. So suppose $n > 0$ and that $X = \omega_n$ has an appropriate topology.

For each $\alpha < \omega_n$, γ_α may be chosen such that both the closure of the set α in X and a countable neighborhood of the point α are subsets of γ_α. Note that the set $\{\lambda < \omega_n : \alpha < \lambda \Rightarrow \gamma_\alpha < \lambda\}$ is a cub subset of ω_n containing a cub subset C of limit ordinals. Now for each $\lambda \in C$, the initial segment λ is open as $\alpha < \lambda$ belongs to the neighborhood $\gamma_\alpha \subsetneq \lambda$. Also, if λ has uncountable cofinality, then for $\beta \geq \lambda$ and any countable neighborhood U of β, $U \cap \lambda = U \cap \alpha$ for some $\alpha < \lambda$; thus $U \setminus \overline{\alpha} = U \setminus \lambda$ is a neighborhood of β, showing that λ is clopen.

Let $\tilde{X} = \omega_n \times 2$. By induction on $\lambda \in C$ we will define a topology for $\tilde{X}_\lambda = \omega_n \times \{0\} \cup \lambda \times \{1\}$ such that

- $\omega_n \times \{0\}$ is an open copy of X,
- $\lambda \times 2$ is open, and when $\mathrm{cf}(\lambda) > \omega$ also closed,
- the space has a base of countable and compact neighborhoods, and
- for each $\alpha < \lambda$, the closure of $\alpha \times 2$ is an ω-bounded subset of $\lambda \times 2$.

and note that $\omega_n \times \{0\} \cup 0 \times \{1\}$ satisfies these requirements.

We first consider the case $n = 1$. If λ is a limit in C, then $\omega_n \times \{0\} \cup \lambda \times \{1\} = \bigcup_{\mu \in C \cap \lambda} \omega_n \times \{0\} \cup \mu \times \{1\}$ will have the topology induced by that union which satisfies the induction requirements. Otherwise we choose an increasing sequence of ordinals $\{\alpha_k : k \in \omega\}$ with limit λ such that α_0 is the predecessor of λ in C, or $\alpha_0 = 0$ if λ is the least element of C.

The subspace $\overline{\lambda} \times \{0\} \cup \alpha_0 \times \{1\}$ of X is countable and locally compact; therefore it is metrizable and zero-dimensional. So we may choose increasing sets U_k for $k < \omega$ which are clopen in this topology and satisfy

$$\overline{\alpha_k \times \{0\} \cup \alpha_0 \times \{1\}} = \overline{\alpha_k} \times \{0\} \cup \alpha_0 \times \{1\} \subseteq U_k \subseteq \lambda \times \{0\} \cup \alpha_0 \times 2$$

We need only describe a base for the points $\langle \alpha, 1 \rangle \in (\lambda \setminus \alpha_0) \times \{1\}$. We do so by letting $\langle \alpha, 1 \rangle$ be isolated when $\alpha \notin \{\alpha_k : k < \omega\}$, and giving $\langle \alpha_k, 1 \rangle$ the neighborhoods $U_k \cup ((\alpha_k + 1) \times \{1\}) \setminus K$ for each compact K in $U_k \cup (\alpha_k \times \{1\})$; that is, let $\langle \alpha_k, 1 \rangle$ be the one point compactifying $U_k \cup (\alpha_k \times \{1\})$.

The first two requirements of our inductive hypothesis are obviously satsified. Note $U_k \cup ((\alpha_k + 1) \times \{1\})$ is a compact neighborhood of countable cardinality for points in $\lambda \times 2$, and for points in $(\omega_n \setminus \overline{\lambda}) \times \{0\}$ we may use a compact neighborhood of countable cardinality from $X \setminus \lambda$. Finally let $\langle \alpha, 0 \rangle \in (\overline{\lambda} \setminus \lambda) \times \{0\}$ and let $K \subseteq \overline{\lambda} \times \{0\} \cup \alpha_0 \times \{1\}$ be a compact neighborhood in that subspace missing $\alpha_0 \times 2$. Then for each $k < \omega$, $\langle \alpha_k, 1 \rangle$ has the neighborhood $U_k \cup ((\alpha_k + 1) \times \{1\}) \setminus K$, so K gains no new limit points in $\overline{\lambda} \times \{0\} \cup \lambda \times \{1\}$ and thus remains compact. So the third requirement is also satisfied. For the final requirement, note that for $\alpha < \lambda$, we may choose $\alpha < \alpha_k < \lambda$ and note that $\alpha \times 2$ is contained in the compact set $U_k \cup ((\alpha_k + 1) \times \{1\})$.

For the case $n > 1$, we may assume that the successors in C have uncountable cofinality. We again proceed by induction on $\lambda \in C$. Again when λ is a limit in C, the union of the previously defined $\omega_n \times \{0\} \cup \mu \times \{1\}$ satisfies the given requirements; in particular if $\alpha < \lambda$, then $\alpha < \mu < \lambda$ for some successor $\mu \in C$ with uncountable cofinality. As such, the closure of $\alpha \times 2$ is an ω-bounded subset of the clopen $\mu \times 2$ and therefore also of $\lambda \times 2$. In case λ is not a limit of C, then λ has uncountable cofinality and a predecessor $\mu \in C$. We therefore have that $\lambda \times \{0\}$ is clopen in $\omega_n \times \{0\}$. Since the cardinality of $\lambda \times \{0\} \cup \mu \times 2$ is less than $\aleph_n$, we may simply apply the induction hypothesis to choose an appropriate topology for $\lambda \times 2$.

As a result, $\tilde{X} = \bigcup_{\lambda \in C} \tilde{X}_\lambda$ is ω-bounded as any countable set is contained in some $\alpha \times 2$ for $\alpha < \lambda \in C$. $\square$

Theorem 14. *For each $n \in \omega$, there is a T_2, locally countable, ω-bounded topology on ω_n.*

Proof. Apply the previous lemma to ω_n with the discrete topology. $\square$

Corollary 15. *There exists a Kurepa family cofinal in $[\omega_k]^\omega$ for each $k < \omega$.*

Proof. We use the family $\mathcal{K}$ which is a base of $\aleph_0$-many compact open sets for each point in the constructed topology on ω_n as our witness. Of course, every Lindelöf set in a locally countable space is countable, and the closure of every countable set is a compact countable set. Thus $\mathcal{K}$ is cofinal in $[\omega_n]^\omega$. It is Kurepa since for every countable set A, there are only countably many compact open sets in $\mathcal{K}$ joined to A by a finite linked chain. $\square$

This is alternatively a corollary of an observation of Todorcevic communicated by Dow in [2]: if every Kurepa family of size at most θ extends to a cofinal Kurepa family, then the same is true of θ^+. So the result follows as every Kurepa family $\mathcal{K}$ of size ω extends to the cofinal Kurepa family $[\bigcup \mathcal{K}]^\omega$.

So we have our desired result.

Corollary 16. *$S'(\omega_n)$ holds for all $n < \omega$. Under CH, we have both $S'(\omega_2)$ and $\neg S(\omega_2)$.*

3. TODO ALL THIS STUFF NEEDS EDITING STILL

As noted in [TODO cite Dow], Jensen's one-gap two-cardinal theorem under $V = L$ [TODO cite] can be used to show that there exist cofinal Kurepa families on every cardinal.

Corollary 17 ($V = L$)**.** *$S'(\theta)$ holds for all cardinals.*

In particular, $S(\omega_2)$ fails under CH, showing the two are distinct. Actually, CH is not required to have $S(\omega_2)$ fail.

We are going to need a technical lemma (available in Kunen).

Lemma 18. *Assume that $G \subset \mathrm{Fn}(\omega_2, 2)$ is a generic filter, and let $\mu \in \omega_2$. Then the final model $V[G]$ can be regarded as forcing with $\mathrm{Fn}(\omega_2 \setminus \mu, 2)$ over the model $V[G_\mu]$. In addition, for each $\mathrm{Fn}(\omega_2, 2)$-name $\dot{A}$ of a subset of ω (treat as a subset of $\omega \times \mathrm{Fn}(\omega_2, 2)$), there is a canonical name $\dot{A}(G_\mu)$ where,*

$$\dot{A}(G_\mu) = \{(n, p \restriction [\mu, \omega_2)) : (n, p) \in \dot{A} \ \text{ and } \ p \restriction \mu \in G_\mu\}$$

and we get that the valuation of $\dot{A}(G_\mu)$ by the tail of the generic, $G_{\omega_2 \setminus \mu}$, is the same as the valuation of $\dot{A}$ by the full generic.

Theorem 19. *If we add ω_2 Cohen reals to a model of CH we get that Scheepers' $S(\omega_2)$ (still) fails.*

Proof. The forcing poset is $\mathrm{Fn}(\omega_2, 2)$. Let $\{\dot{f}_A : A \in [\omega_2]^\omega\}$ be a family of names such that $\dot{f}_A$ is a one-to-one function from A into ω. It suffices to only consider sets A from the ground model.

Put all the lemma stuff in an elementary submodel M of the universe (technically of $H(\kappa)$, or of V_κ, for some large κ). Standard methods says that we can assume that $|M| = \omega_1 = \mathfrak{c}$ and that $M^\omega \subset M$ (which means that every countable subset of M is a member of M).

Let $\lambda = M \cap \omega_2$ (same as the supremum of $M \cap \omega_2$). Consider the name $\dot{f}_{[\lambda, \lambda+\omega)}$. What is such a name? We can assume that it is a set of pairs of the form $((\lambda + k, m), p)$ where $p \in Fn(\omega_2, 2)$ and, of course, $k, m \in \omega$. This is (almost) equivalent to saying that p forces that $\dot{f}_{[\lambda,\lambda+\omega)}(\lambda + k) = m$. We don't take all such p, in fact for each k, m it is enough to take a countable set of such p to get an equivalent name (Kunen calls it a nice name if we take, for each k, m an antichain that is maximal among such conditions). Given any such name $\dot{f}$, let $\mathrm{supp}(\dot{f})$ denote the union of the domains of conditions p appearing in the name.

Also let Y equal $\mathrm{supp}(\dot{f}_{[\lambda,\lambda+\omega)}) \setminus \lambda$. Let δ denote the order type of Y and let the 2-parameter notation $\varphi_{\mu,\lambda}$ be the order-preserving function from $\mu \cup Y$ onto the ordinal $\mu + \delta$. This lifts canonically to an order-preserving bijection $\varphi_{\mu,\lambda}$: $\mathrm{Fn}(\mu \cup Y, 2) \mapsto \mathrm{Fn}(\mu + \delta, 2)$. Similarly, we make sense of the name $\varphi_{\mu,\lambda}(\dot{f}_{[\lambda,\lambda+\omega)})$, call it F_M. Here simply, for each tuple $(\ (k, m)\ , p) \in \dot{f}_{[\lambda,\lambda+\omega)}$, we have that $(\ (k, m)\ , \varphi_{\mu,\lambda}(p))$ is in F_M. Again, let $\varphi_{\mu,\lambda}(\dot{f}_{[\lambda,\lambda+\omega)})$ be interpreted in the above sense as giving F_M (which is an element of M). Note that we do not regard δ

as fixed here, but rather simply depending on the $\text{supp}(\dot{f}_{[\lambda,\lambda+\omega)})$ described above. Other values replacing $\lambda > \mu$ will result in their own set Y and canonical map $\varphi_{\mu,\lambda}$; but one thing we do have to assume (or arrange) for other values α replacing λ is that $\text{supp}(\dot{f}_{[\alpha,\alpha+\omega)})$ intersected with α is contained in μ.

Now the object F_M is an element of M, and M believes this statement is true:

$$(\forall\beta \in \omega_2)\ (\exists\beta < \lambda \in \omega_2)\ \text{supp}(\dot{f}_{[\lambda,\lambda+\omega)}) \cap \lambda \subset \mu \text{ and } F_M = \varphi_{\mu,\lambda}(\dot{f}_{[\lambda,\lambda+\omega)})$$

But now, this means that, not only is there an $\alpha \in M$, $F_M = \varphi_{\mu,\alpha}(\dot{f}_{[\alpha,\alpha+\omega)})$ but also that there is an increasing sequence $\{\alpha_\xi : \xi \in \omega_1\} \subset \lambda$ of such α's satisfying that, for each ξ we have that $\text{supp}(\dot{f}_{[\alpha_\xi,\alpha_\xi+\omega)})$ is contained in $\alpha_{\xi+1}$.

Choose such a sequence. This means that if we let $A = \bigcup_{n>0}[\alpha_n, \alpha_n + \omega)$ we have the name $\dot{f}_A$ in M. This then means that all the $((\beta, m), p)$ appearing in $\dot{f}_A$ have the property that $dom(p)$ is contained in M. There is, within M, a name $\dot{g}$ satisfying that $\dot{f}_A(\alpha_n + k) = \dot{f}_{[\alpha_n,\alpha_n+\omega)}(\alpha_n + k)$ for all $k > \dot{g}(n)$.

We now apply the above Lemma using $\mu = \mu_0$ and we are now working in the extension $V[G_\mu]$. We will abuse the notation and use $\dot{f}_{[\alpha_n,\alpha_n+\omega)}$ instead of $\dot{f}_{[\alpha_n,\alpha_n+\omega)}(G_\mu)$ as defined in the Lemma. We work for a contradiction. Something special has now happened, namely, the supports of the names $\{\dot{f}_{[\alpha_n,\alpha_n+\omega)} : 0 < n < \omega\}$ are pairwise disjoint and also disjoint from the support of the name $\dot{f}_{[\lambda,\lambda+\omega)}$ (under the same convention about G_μ. And not only that, these names are pairwise isomorphic (in the way that they all map to F_M).

Since A is disjoint from $[\lambda, \lambda + \omega)$, there must be an integer ℓ together with a condition $q \in Fn(\omega_2 \setminus \mu, 2)$ satisfying that for all $n > \ell$, q forces that
"if $k > \dot{g}(n)$ (since $\alpha_n + k \in A$) then $\dot{f}_{[\alpha_n,\alpha_n+\omega)}(\alpha_n + k) \neq \dot{f}_{[\lambda,\lambda+\omega)}(\lambda + k)$".

Choose n large enough so that $dom(q) \cap [\alpha_n, \mu_{n+1})$ is empty. Choose $q_1 < q \restriction \lambda$ (in M) so that

$$\varphi_{\mu,\alpha_n}(q_1 \restriction \text{supp}(\dot{f}_{[\alpha_n,\alpha_n+\omega)}) = \varphi_{\mu,\lambda}(q \restriction \text{supp}(\dot{f}_{[\lambda,\lambda+\omega)})$$

and then (again in M) choose $q_2 < q_1$ so that it both forces a value L on $\ell + \dot{g}(n)$ and subsequently forces a value m on $\dot{f}_{[\alpha_n,\alpha_n+\omega)}(\alpha_n + L + 1)$. But now, again calculate

$$q_3 = \varphi^{-1}_{\mu,\lambda} \circ \varphi_{\mu,\alpha_n}(q_2 \restriction \text{supp}(\dot{f}_{[\alpha_n,\alpha_n+\omega)}))$$

and, by the isomorphisms, we have that q_3 forces that $\dot{f}_{[\lambda,\lambda+\omega)}(\lambda + L + 1) = m$.

Technically (or with more care) all of this is taking place in the poset $\text{Fn}(\omega_2 \setminus \mu, 2)$ and this means that q_3 and q are all compatible with each other.

Follow the bouncing ball: it suffices to consider $q(\beta) = e$ and to assume that $q_3(\beta)$ is defined. Since $q_3(\beta)$ is defined, we have that there is a $\beta' \in dom(q_2)$ such that $\varphi_{\mu,\lambda}(\beta) = \varphi_{\mu,\alpha_n}(\beta')$, and that $q_3(\beta) = q_2(\beta')$. But, by definition of q_1, $\beta' \in dom(q_1)$ and even that $q_1(\beta') = q(\beta)$. Then, since $q_2 < q_1$, we have that $q_2(\beta') = q_1(\beta') = q(\beta)$. This completes the circle that $q_3(\beta) = q(\beta)$.

Finally, our contradiction is that $q_3 \cup q_2 \cup q$ forces that $k = L + 1$ violates the quoted statement above. $\square$

On the other hand, it's also consistent that $S'(\theta)$ can fail.

Theorem 20. *There's a model where $S'(\omega_\omega)$ fails.*

Proof. We will need the model constructed in [3] in which an instance of Chang's conjecture $(\aleph_{\omega+1}, \aleph_\omega) \twoheadrightarrow (\aleph_1, \aleph_0)$ is shown to fail.

We can take as a given (as shown in [3, Theorem 5]) that we may assume that we have a model V of GCH in which there are regular limit cardinals $\kappa < \lambda$ satisfying that $(\lambda^{+\omega+1}, \lambda^{+\omega}) \twoheadrightarrow (\kappa^{+\omega+1}, \kappa^{+\omega})$.

What this says is that if L is a countable language with at least one unary relation symbol R and M is a model of L with base set $\lambda^{+\omega+1}$ in which the interpretation of R has cardinality $\lambda^{+\omega}$, then M has an elementary submodel N of cardinality $\kappa^{+\omega+1}$ in which $R \cap N$ has cardinality $\kappa^{+\omega}$ (of course $R \cap N$ is the interpretation of R in N because $N \prec M$).

The interested reader will want to know that it is shown in [3] that if κ is a 2-huge cardinal and j is the 2-huge embedding with critical point κ, then with $\lambda = j(\kappa)$ one has that $(\lambda^{+\omega+1}, \lambda^{+\omega}) \twoheadrightarrow (\kappa^{+\omega+1}, \kappa^{+\omega})$ holds.

Let $\{h_\xi : \xi \in \lambda^{+\omega+1}\}$ be a scale in $\Pi\{\lambda^{+n+1} : n \in \omega\}$ ordered by the usual mod finite coordinatewise ordering. For convenience we may assume that $h_\xi(n) \geq \lambda^{+n}$ for all ξ and all n. If P is any poset of cardinality less than $\lambda^{+\omega}$, then in the forcing extension by P, the sequence $\{h_\xi : \xi \in \lambda^{+\omega+1}\}$ remains cofinal in $\Pi\{\lambda^{+n+1} : n \in \omega\}$.

The forcing notion $\mathbb{P}_0$ is simply the finite condition collapse of $\kappa^{+\omega}$, i.e. $\mathbb{P}_0 = (\kappa^{+\omega})^{<\omega}$. In the forcing extension by $\mathbb{P}_0$, one now has that the ordinal $\kappa^{+\omega+1}$ from V is the first uncountable cardinal $\aleph_1$. Then in this forcing extension we let $\mathbb{P}_1$ be the countable condition Levy collapse, $Lv(\lambda, \omega_2)$, which collapses all cardinals less than λ to have cardinality at most $\aleph_1$. The poset $\mathbb{P}_1$ has cardinality λ. We treat $\mathbb{P}_1$ as containing $\mathbb{P}_0$ as a subposet by identifying each $(p_0, 1)$ with p_0. After forcing with $\mathbb{P}_0 * \mathbb{P}_1$ we will have that ω_1 is the ordinal $\left(\kappa^{+\omega+1}\right)^V$, ω_2 is the ordinal λ, and ω_ω is the ordinal $(\lambda^{+\omega})^V$.

Now we assume that we have an assignment $\dot{f}_{\dot{A}}$ of a $\mathbb{P}_0 * \mathbb{P}_1$-name of a finite-to-one function from $\dot{A}$ into ω for each $\mathbb{P}_0 * \mathbb{P}_1$-name of a countable subset of $\lambda^{+\omega+1}$. We will obtain a contradiction.

Let $\{\dot{A}_\xi : \xi \in \lambda^{+\omega+1}\}$ be an enumeration of all the nice $\mathbb{P}_0$-names of countable subsets of $\lambda^{+\omega}$. For each $\xi \in \lambda^{+\omega+1}$, let $\dot{f}_\xi$ be another notation for $\dot{f}_{\dot{A}_\xi}$. Since $\mathbb{P}_0$ forces that $\mathbb{P}_1$ is countably closed, the collection of all nice $\mathbb{P}_0$-names will produce all the countable sets in the extension by $\mathbb{P}_0 * \mathbb{P}_1$, but $\mathbb{P}_0 * \mathbb{P}_1$ can introduce new enumerations of these names. For each $\xi \in \lambda^{+\omega+1}$, there is a minimal ζ_ξ so that $\dot{A}_{\zeta_\xi}$ is the canonical name for the range of h_ξ. This means that $\dot{f}_{\zeta_\xi} \circ h_\xi$ is simply the $\mathbb{P}_0 * \mathbb{P}_1$-name of a finite-to-one function from ω to ω. For each $\xi \in \lambda^{+\omega+1}$, choose any $p_\xi \in \mathbb{P}_0 * \mathbb{P}_1$ so that there is a nice $\mathbb{P}_0$-name, $\dot{H}_\xi$, that is forced by p_ξ to equal

$\dot{f}_{\zeta_\xi} \circ h_\xi$. Choose $\Lambda \subset \lambda^{+\omega+1}$ of cardinality $\lambda^{+\omega+1}$ and so that there is a pair $p, \dot{H}$ satisfying that $p_\xi = p$ and $\dot{H}_\xi = \dot{H}$ for all $\xi \in \Lambda$. We may assume that p is in a generic filter G.

Let $\{x_\xi : \xi \in \lambda^{+\omega+1}\}$ be any enumeration of $H(\lambda^{+\omega+1})$ such that $\{x_\xi : \xi \in \lambda^{+\omega}\}$ is also equal to $H(\lambda^{+\omega})$. We choose this enumeration in such a way that $x_\xi \in x_\eta$ implies $\xi < \eta$. We use relation symbol R_0 to code (and well order) $(H(\lambda^{+\omega+1}), \in)$ as follows: $(\xi, \eta) \in R_0$ if and only if $x_\xi \in x_\eta$. Let R_1 be a binary relation on $\kappa^{+\omega}$ so that $(\kappa^{+\omega}, R_1)$ is isomorphic to $\mathbb{P}_0$. Let R_2 be a binary relation on λ so that $R_2 \cap (\kappa^{+\omega} \times \kappa^{+\omega}) = R_1$ and (λ, R_2) is isomorphic to $\mathbb{P}_0 * \mathbb{P}_1$. Let ψ be the poset isomorphism from λ to $\mathbb{P}_0 * \mathbb{P}_1$.

We continue coding. We can code the sequence $\{h_\xi : \xi \in \lambda^{+\omega+1}\}$ as another binary relation R_3 on $\lambda^{+\omega+1}$ where $R_3 \cap \left(\{\xi\} \times \lambda^{+\omega+1}\right) = \{(\xi, h_\xi(n)) : n \in \omega\}$ for each $\xi \in \lambda^{+\omega+1}$. The relation symbol R_4 can code the sequence $\{\dot{A}_\xi : \xi \in \lambda^{+\omega+1}\}$ where $(\xi, \alpha, \zeta) \in R_4$ if and only if $(\check{\alpha}, \psi(\zeta))$ is in the name $\dot{A}_\xi$. Let R_5 code this collection, i.e. $(\gamma, n, m, \eta) \in R_5$ if and only if $((\widecheck{n, m}), \psi(\eta)) \in \dot{H}_\gamma$. Also let R_6 code (equal) the set Λ. Finally we use the relation symbol R_7 to similarly code the sequence $\{\dot{f}_\xi : \xi \in \lambda^{+\omega+1}\}$: $(\xi, \alpha, n, \zeta) \in R_7$ if and only if $((\widecheck{\alpha, n}), \psi(\zeta))$ is in the name $\dot{f}_\xi$.

Needless to say, the unary relation symbol R is interpreted as the set $\lambda^{+\omega}$ for the application of $(\lambda^{+\omega+1}, \lambda^{+\omega}) \twoheadrightarrow (\kappa^{+\omega+1}, \kappa^{+\omega})$. Now we have defined our model M of the language $L = \{\in, R, R_0, \ldots, R_7\}$, and we choose an elementary submodel N witnessing $(\lambda^{+\omega+1}, \lambda^{+\omega}) \twoheadrightarrow (\kappa^{+\omega+1}, \kappa^{+\omega})$. Of course N is really just a $\kappa^{+\omega+1}$ sized subset of $\lambda^{+\omega+1}$ with the additional property that $N \cap \lambda^{+\omega}$ has cardinality $\kappa^{+\omega}$. In the forcing extension N has cardinality ω_1 and $A = N \cap \lambda^{+\omega}$ is countable.

We will need the following claim from [3]

Claim. *We may assume that N satisfies that $N \cap \kappa^{+\omega+1}$ is transitive (i.e. an initial segment).*

Proof of Claim. Suppose our originally supplied N fails the conclusion of the claim. We know that $\kappa^{+\omega} \in N$, (via R_1) in which case so is $\kappa^{+\omega+1}$.

Then set $\beta_0 = \sup(N \cap \kappa^{+\omega+1})$ and consider the Skolem closure $Hull(N \cup \beta_0, M)$. A little informally (in that we have to formalize the enumeration of formulas) let $\{\varphi_n : n \in \omega\}$ is the enumeration of all formulas in the language L, and let ℓ_n be the minimal integer such that the free variables of φ_n are among $\{v_0, \ldots, v_{\ell_n}\}$. Then, for each tuple $\langle \xi_1, \ldots, \xi_{\ell_n} \rangle$ of elements of $\lambda^{+\omega+1}$, we define $f_n(\xi_1, \ldots, \xi_{\ell_n})$ to be the minimal $\xi_0 \in \lambda^{+\omega+1}$ such that $M \models \varphi_n(\xi_0, \ldots, \xi_{\ell_n})$. If there is no such ξ_0, in other words if $M \models \neg\exists x\ \varphi_n(x, \xi_1, \ldots, \xi_{\ell_n})$, then set $f_n(\xi_1, \ldots, \xi_{\ell_n})$ to be 0. Now $Hull(N \cup \beta_0, M)$ is just the minimal superset X of $N \cup \beta_0$ that satisfies that $f_n[X^{\{1,\ldots,\ell_n\}}] \subset X$ for all n. Since this is simply a large algebra, we can generate all the terms t of the algebraic operations $\{f_n : n \in \omega\}$. It is easily seen that for each $\zeta \in X$, there is a term $t(v_1, \ldots, v_m)$ such that $\zeta = t(\delta_1, \ldots, \delta_m)$ for some sequence $\langle \delta_1, \ldots, \delta_m \rangle$ with each $\delta_i \in N \cup \beta_0$. Assume that $\zeta \in \kappa^{+\omega+1}$. By re-indexing the variables in the term we can assume that there is an $n \leq m$ so that $\delta_i < \beta_0$ for $1 \leq i \leq n$ and $\kappa^{+\omega+1} \leq \delta_i$ for $n < i \leq m$. Let $\vec{a}$ denote the tuple $\langle \delta_{n+1}, \ldots, \delta_m \rangle$. Choose $\eta \in N \cap \kappa^{+\omega+1}$ large enough so that $\{\delta_1, \ldots, \delta_n\}$ is contained in η. Since set-membership in M is coded by R_0 rather than $\in$ we have to argue a little less naturally. Consider the set $s_0(\eta, \vec{a}) = \{t(\gamma_1, \ldots, \gamma_n, \vec{a}) : \{\gamma_1, \cdots, \gamma_n\} \in [\eta]^{\leq n}\}$. Clearly $s_0(\eta, \vec{a})$ is a member of $H(\lambda^{+\omega+1})$. Now define $s_1(\eta, \vec{a})$ to be $\{x_\alpha : \alpha \in s_0(\eta, \vec{a})\}$, and choose the unique $\zeta_1 \in \lambda^{+\omega+1}$ such that $x_{\zeta_1} = s_1(\eta, \vec{a})$. We claim that $\zeta_1 \in N$. Note that $\alpha R_0 \zeta_1$ holds if and only if $\alpha \in s_0(\eta, \vec{a})$, and therefore

$$M \models (\forall \alpha)\, [\alpha R_0 \zeta_1 \text{ iff } (\exists \gamma_1 \in \eta) \cdots (\exists \gamma_n \in \eta)(\alpha = t(\gamma_1, \ldots, \gamma_n, \vec{a}))] \ .$$

By elementarity then we have that $\zeta_1 \in N$, and by similar reasoning the supremum, ζ_0, of $\zeta_1 \cap \kappa^{+\omega+1}$ is also in N. This of course means that $\zeta < \xi_0$. □

We use the elementarity of N to deduce properties of the families $\{\dot{A}_\xi : \xi \in N\}$ and $\{\dot{f}_\xi : \xi \in N\}$. Actually the collection we are most interested in is the family $\{h_\xi : \xi \in \Lambda \cap N\}$.

Since $\mathfrak{c} < \kappa^{+\omega+1}$ there is a function $\langle \varrho_n : n \in \omega \rangle$ in $\Pi_n \lambda^{+\omega}$ such that the sequence $\{h_\xi : \xi \in N\}$ is unbounded mod finite in $\Pi_n \varrho_n$ (by Shelah's pcf theory). This is in Jech somewhere. For each n, $\rho_n \leq \sup(N \cap \lambda^{+n+2})$.

Since $\mathbb{P}_0$ has cardinality less than $|N| = \kappa^{+\omega+1}$, the sequence $\{h_\xi : \xi \in \Lambda \cap N\}$ remains unbounded mod finite in $\Pi_n \varrho_n$ (and in $\Pi_n(\varrho_n \cap N)$). Now pass to the extension by $G \cap \mathbb{P}_0$ and let H be the function $\mathrm{val}_G(\dot{H})$, and we recall that $f_{\zeta_\xi}(h_\xi(n)) = H(n)$ for all $n \in \omega$. Now pass to the full extension $V[G]$ and again, since $\mathbb{P}_1$ was forced to be countably closed, the family $\{h_\xi : \xi \in \Lambda \cap N\}$ is still unbounded in $\Pi_n(\varrho_n \cap N)$. We let A be the countable set $N \cap \lambda^{+\omega}$, and for each $\xi \in \Lambda \cap N$, there is an n_ξ such that $f_\xi(h_\xi(m)) = f_A(h_\xi(m))$ for all $m > n_\xi$. There is a single n so that $\Lambda_n = \{\xi \in \Lambda \cap N : n_\xi = n\}$ has cardinality ω_1, and thus $\{h_\xi : \xi \in \Lambda_n \cap N\}$ is also unbounded in $\Pi_n(\rho_n \cap N)$. This certainly implies that there is an $m > n$ such that $\{h_\xi(m) : \xi \in \Lambda_n \cap N\}$ is infinite. This completes the proof since $f_A(h_\xi(m)) = H(m)$ for all $\xi \in \Lambda_n \cap N$.

□

Question 21. *Is $S'(\theta)$ equivalent to having a Kurepa family on θ?*

Applications!

$$\begin{tikzcd}
 & \mathscr{F} \underset{\text{2-mark}}{\uparrow} Men_{C,F}\left(\kappa^\dagger\right) \arrow[r, leftrightarrow] \arrow[d] & \mathscr{F} \underset{\text{2-mark}}{\uparrow} Sch^{\cap}_{C,F}(\kappa) \arrow[d] \\
S'(\kappa) \arrow[ur] \arrow[r] \arrow[drr] & \mathscr{F} \underset{\text{2-mark}}{\uparrow} Sch^{1,\subseteq}_{C,F}(\kappa) \arrow[r, leftrightarrow] & \mathscr{F} \underset{\text{2-mark}}{\uparrow} Sch^{\cup,\subseteq}_{C,F}(\kappa) \\
 & & \mathscr{F} \underset{\text{2-tact}}{\uparrow} Sch^{\cup,\subseteq}_{C,F}(\kappa) \arrow[u]
\end{tikzcd}$$

Figure 1. Diagram of Scheeper/Menger game implications with $S'(\kappa)$

Theorem 22. *Figure 1 holds. (Proven in [TODO cite]) (Actually, TODO double-check that it works with just S', particularly the strict game)*

It was left open if these implications can be reversed. The answer is consistently no.

Theorem 23. *Let α be the limit of increasing ordinals β_n for $n < \omega$. If $\mathscr{F} \underset{2\text{-}mark}{\uparrow} Sch^{\cap}_{C,F}(\omega_{\beta_n})$ for all $n < \omega$, then $\mathscr{F} \underset{2\text{-}mark}{\uparrow} Sch^{\cap}_{C,F}(\omega_\alpha)$.*

Proof. Let σ_n be a winning 2-mark for $\mathscr{F}$ in $Sch^{\cap}_{C,F}(\omega_{\beta_n})$. Define the 2-mark σ for $\mathscr{F}$ in $Sch^{\cap}_{C,F}(\omega_\alpha)$ as follows:

$$\sigma(\langle C\rangle, 0) = \sigma_0(\langle C \cap \omega_{\beta_0}\rangle, 0)$$

$$\sigma(\langle C, D\rangle, n+1) = \sigma_{n+1}(\langle D \cap \omega_{\beta_{n+1}}\rangle, 0) \cup \bigcup_{m \leq n} \sigma_m(\langle C \cap \omega_{\beta_m}, D \cap \omega_{\beta_m}\rangle, n-m+1)$$

Let $\langle C_0, C_1, \ldots\rangle$ be an attack by $\mathscr{C}$ in $Sch^{\cap}_{C,F}(\omega_\alpha)$, and $\alpha \in \bigcap_{n<\omega} C_n$. Choose $N < \omega$ with $\alpha < \omega_{\beta_{N+1}}$. Consider the attack $\langle C_{N+1} \cap \omega_{\beta_{N+1}}, C_{N+2} \cap \omega_{\beta_{N+1}}, \ldots\rangle$ by $\mathscr{C}$ in $Sch^{\cap}_{C,F}(\omega_{\beta_{N+1}})$. Since σ_{N+1} is a winning strategy and $\alpha \in \bigcap_{n<\omega} C_{N+n+1} \cap \omega_{\beta_{N+1}}$, either $\alpha \in \sigma_{N+1}(\langle C_{N+1} \cap \omega_{\beta_{N+1}}\rangle, 0)$ and thus $\alpha \in \sigma(\langle C_N, C_{N+1}\rangle, N+1)$, or $\alpha \in \sigma_{N+1}(\langle C_{N+M+1} \cap \omega_{\beta_{N+1}}, C_{N+M+2} \cap \omega_{\beta_{N+1}}\rangle, M+1)$ for some $M < \omega$ and thus $\alpha \in \sigma(\langle C_{N+M+1}, C_{N+M+2}\rangle, N+M+2)$. Thus σ is a winning strategy. $\square$

Theorem 24. *Let α be the limit of increasing ordinals β_n for $n < \omega$. If $\mathscr{F} \underset{2\text{-}mark}{\uparrow} Sch^{1,\subseteq}_{C,F}(\omega_{\beta_n})$ for all $n < \omega$, then $\mathscr{F} \underset{2\text{-}mark}{\uparrow} Sch^{1,\subseteq}_{C,F}(\omega_\alpha)$.*

Proof. Let σ_n be a winning 2-mark for $\mathscr{F}$ in $Sch^{1,\subseteq}_{C,F}(\omega_{\beta_n})$. Define the 2-mark σ for $\mathscr{F}$ in $Sch^{1,\subseteq}_{C,F}(\omega_\alpha)$ as follows:

$$\sigma(\langle C\rangle, 0) = \sigma_0(\langle C \cap \omega_{\beta_0}\rangle, 0)$$

$$\sigma(\langle C, D\rangle, n+1) = \sigma_{n+1}(\langle D \cap \omega_{\beta_{n+1}}\rangle, 0) \cup \bigcup_{m \leq n} \sigma_m(\langle C \cap \omega_{\beta_m}, D \cap \omega_{\beta_m}\rangle, n-m+1)$$

Let $\langle C_0, C_1, \ldots\rangle$ be an attack by $\mathscr{C}$ in $Sch^{1,\subseteq}_{C,F}(\omega_\alpha)$, and $\alpha \in C_0$. Choose $N < \omega$ with $\alpha < \omega_{\beta_{N+1}}$. Consider the attack $\langle C_{N+1} \cap \omega_{\beta_{N+1}}, C_{N+2} \cap \omega_{\beta_{N+1}}, \ldots\rangle$ by $\mathscr{C}$ in $Sch^{1,\subseteq}_{C,F}(\omega_{\beta_{N+1}})$. Since σ_{N+1} is a winning strategy and $\alpha \in C_{N+1} \cap \omega_{\beta_{N+1}}$, either $\alpha \in \sigma_{N+1}(\langle C_{N+1} \cap \omega_{\beta_{N+1}}\rangle, 0)$ and thus $\alpha \in \sigma(\langle C_N, C_{N+1}\rangle, N+1)$, or $\alpha \in \sigma_{N+1}(\langle C_{N+M+1} \cap \omega_{\beta_{N+1}}, C_{N+M+2} \cap \omega_{\beta_{N+1}}\rangle, M+1)$ for some $M < \omega$ and thus $\alpha \in \sigma(\langle C_{N+M+1}, C_{N+M+2}\rangle, N+M+2)$. Thus σ is a winning strategy. $\square$

Corollary 25. *It is consistent that $S'(\omega_\omega)$ fails, but as $S'(\omega_k)$ holds for all $k < \omega$, we have $\mathscr{F} \underset{2\text{-}mark}{\uparrow} Sch^{\cap}_{C,F}(\omega_\omega)$ and $\mathscr{F} \underset{2\text{-}mark}{\uparrow} Sch^{1,\subseteq}_{C,F}(\omega_\omega)$.*

A tricky topological question: does $\mathscr{F} \uparrow Men_{C,F}(X)$ imply $\mathscr{F} \underset{\text{2-mark}}{\uparrow} Men_{C,F}(X)$? (C showed that) Under $V = L$, we cannot hope to find a counterexample using $X = \kappa^\dagger$ since $S'(\kappa)$ and thus $\mathscr{F} \underset{\text{2-mark}}{\uparrow} Sch^{\cap}_{C,F}(\kappa)$ always holds.

Definition 26. Let R_ω be the real numbers with the topology of the usual open intervals with countably many elements removed.

Theorem 27. *$\mathscr{F} \uparrow Men_{C,F}(R_\omega)$. If there exists a Kurepa family on the reals, then* $\mathscr{F} \underset{2\text{-}mark}{\uparrow} Men_{C,F}(R_\omega)$.

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