

# Chapter 1

## Type theory

### Exercises

*Exercise 1.1.* Given functions  $f : A \rightarrow B$  and  $g : B \rightarrow C$ , define their **composite**  $g \circ f : A \rightarrow C$ . Show that we have  $h \circ (g \circ f) \equiv (h \circ g) \circ f$ .

**Solution** (Alan).

**Solution** (Alex). Define  $g \circ f \equiv \lambda(x : A).g(fx)$ . Then if  $x : A$  then  $fx : B$  and  $g(fx) : C$ , so  $\lambda(x : A).g(fx)$  has the desired type  $A \rightarrow C$ .

Suppose  $f : A \rightarrow B$ ,  $g : B \rightarrow C$ , and  $h : C \rightarrow D$ . We have

$$\begin{aligned} h \circ (g \circ f) &= \lambda x.(h \circ (g \circ f))x \\ &= \lambda x.h((g \circ f)x) \\ &= \lambda x.h(g(fx)) \\ &= \lambda x.(h \circ g)(fx) \\ &= \lambda x.((h \circ g) \circ f)x \\ &= (h \circ g) \circ f. \end{aligned}$$

*Exercise 1.2.* Derive the recursion principle for products  $\text{rec}_{A \times B}$  using only the projections, and verify that the definitional equalities are valid. Do the same for  $\Sigma$ -types.

**Solution** (Daniel). The *recursion principle* is the rule that states that  $\text{rec}_{A \times B}(f) : A \times B \rightarrow C$  is well defined, and it is taken as a primitive notion. We are asked to instead take the well-definedness of  $\text{pr}_1$  and  $\text{pr}_2$  as primitive instead and to derive the recursion principle.

Definitions of  $\text{rec}_{A \times B}$ ,  $\text{pr}_1$ , and  $\text{pr}_2$  are given below for convenience.

$$\begin{aligned}\text{rec}_{A \times B} &: (A \rightarrow B \rightarrow C) \rightarrow A \times B \rightarrow C \\ \text{rec}_{A \times B}(f)((a, b)) &:= f(a)(b)\end{aligned}$$

$$\begin{aligned}\text{pr}_1 &: A \times B \rightarrow A \\ \text{pr}_1 &:= \text{rec}_{A \times B}(\lambda a\, b \mapsto a)\end{aligned}$$

$$\begin{aligned}\text{pr}_2 &: A \times B \rightarrow B \\ \text{pr}_2 &:= \text{rec}_{A \times B}(\lambda a\, b \mapsto b)\end{aligned}$$

(In agreement with the notation used in the text,  $\lambda a\, b \mapsto \Phi$  is merely shorthand for  $\lambda a \mapsto (\lambda b \mapsto \Phi)$ . We can think of such an expression as a “two-variable” curried function [mmmm, curry].)

We need primitive definitions of  $\text{pr}_1$  and  $\text{pr}_2$ .

$$\begin{aligned}\text{pr}_1 &: A \times B \rightarrow A \\ \text{pr}_1(a, b) &:= a\end{aligned}$$

$$\begin{aligned}\text{pr}_2 &: A \times B \rightarrow B \\ \text{pr}_2(a, b) &:= b\end{aligned}$$

We define

$$\begin{aligned}\text{rec}'_{A \times B} &: (A \rightarrow B \rightarrow C) \rightarrow A \times B \rightarrow C \\ \text{rec}'_{A \times B}(f)((a, b)) &:= f(\text{pr}_1(a, b))(\text{pr}_2(a, b))\end{aligned}$$

We have

$$\begin{aligned}\text{rec}_{A \times B}(f)(a, b) &= f(a)(b) \\ &= f(\text{pr}_1(a, b))(\text{pr}_2(a, b)) \\ &= \text{rec}'_{A \times B}(f)(a, b)\end{aligned}$$

So  $\text{rec}_{A \times B} = \text{rec}'_{A \times B}$ .

**Solution** (Jake).

**Solution** (James).

*Exercise 1.3.* Derive the induction principle for products  $\text{ind}_{A \times B}$ , using only the projections and the propositional uniqueness principle  $\text{uniq}_{A \times B}$ . Verify that the definitional equalities are valid. Generalize  $\text{uniq}_{A \times B}$  to  $\Sigma$ -types, and do the same for  $\Sigma$ -types. (*This requires concepts from ??.*)

**Solution** (Steven). I actually have no idea (yet), this is just a test.  $\text{foo} = b^{a^r}$

**Solution** (Zack).

*Exercise 1.4.* Assuming as given only the *iterator* for natural numbers

$$\text{iter} : \prod_{C:\mathcal{U}} C \rightarrow (C \rightarrow C) \rightarrow \mathbb{N} \rightarrow C$$

with the defining equations

$$\begin{aligned} \text{iter}(C, c_0, c_s, 0) &::= c_0, \\ \text{iter}(C, c_0, c_s, \text{succ}(n)) &::= c_s(\text{iter}(C, c_0, c_s, n)), \end{aligned}$$

derive a function having the type of the recursor  $\text{rec}_{\mathbb{N}}$ . Show that the defining equations of the recursor hold propositionally for this function, using the induction principle for  $\mathbb{N}$ .

**Solution** (Alan).

**Solution** (Daniel).

*Exercise 1.5.* Show that if we define  $A + B ::= \sum_{(x:\mathbf{2})} \text{rec}_{\mathbf{2}}(\mathcal{U}, A, B, x)$ , then we can give a definition of  $\text{ind}_{A+B}$  for which the definitional equalities stated in ?? hold.

**Solution** (Steven).

**Solution** (Alex). Recall that the type of  $\text{ind}_{A+B}$  is

$$\prod_{C:(A+B) \rightarrow \mathcal{U}} \left( \prod_{a:A} C(\text{inl}(a)) \right) \rightarrow \left( \prod_{b:B} C(\text{inr}(b)) \right) \rightarrow \prod_{x:A+B} C(x).$$

If  $x : A + B$  then either  $x = (0_{\mathbf{2}}, a)$  with  $a : A$  or  $x = (1_{\mathbf{2}}, b)$  with  $b : B$ . Thus we may define  $\text{ind}_{A+B}$  by the following case analysis.

$$\begin{aligned} \text{ind}_{A+B}(C, g_0, g_1, (0_{\mathbf{2}}, a)) &::= g_0(a) \\ \text{ind}_{A+B}(C, g_0, g_1, (1_{\mathbf{2}}, b)) &::= g_1(b) \end{aligned}$$

Since  $\text{inl}(a) = (0_{\mathbf{2}}, a)$  and  $\text{inr}(b) = (1_{\mathbf{2}}, b)$  the types of  $g_0(a) : C(\text{inl}(a))$  and  $g_1(b) : C(\text{inr}(b))$  are judgementally equal to  $C(0_{\mathbf{2}}, a)$  and  $C(1_{\mathbf{2}}, b)$  respectively. In either case  $\text{ind}_{A+B}(C, g_0, g_1, x) : C(x)$ . Additionally, by substitution we have

$$\begin{aligned} \text{ind}_{A+B}(C, g_0, g_1, \text{inl}(a)) &\equiv g_0(a), \\ \text{ind}_{A+B}(C, g_0, g_1, \text{inr}(b)) &\equiv g_1(b), \end{aligned}$$

as desired.

**Solution** (James).

*Exercise 1.6.* Show that if we define  $A \times B := \prod_{(x:2)} \text{rec}_2(\mathcal{U}, A, B, x)$ , then we can give a definition of  $\text{ind}_{A \times B}$  for which the definitional equalities stated in ?? hold propositionally (i.e. using equality types). (This requires the function extensionality axiom, which is introduced in ??.)

**Solution** (Jake).

**Solution** (Zack).

*Exercise 1.7.* Give an alternative derivation of  $\text{ind}'_{=A}$  from  $\text{ind}_{=A}$  which avoids the use of universes. (This is easiest using concepts from later chapters.)

**Solution** (Alan).

**Solution** (Jake).

*Exercise 1.8.* Define multiplication and exponentiation using  $\text{rec}_{\mathbb{N}}$ . Verify that  $(\mathbb{N}, +, 0, \times, 1)$  is a semiring using only  $\text{ind}_{\mathbb{N}}$ . You will probably also need to use symmetry and transitivity of equality, ????.

**Solution** (Steven).

**Solution** (Alex). Recall that  $\text{rec}_{\mathbb{N}}$  has type

$$\text{rec}_{\mathbb{N}} : \prod_{C:\mathcal{U}} C \rightarrow (\mathbb{N} \rightarrow C \rightarrow C) \rightarrow C,$$

and defining equations

$$\begin{aligned} \text{rec}_{\mathbb{N}}(C, c_0, c_s, 0) &:= c_0, \\ \text{rec}_{\mathbb{N}}(C, c_0, c_s, \text{succ}(n)) &:= c_s(n, \text{rec}_{\mathbb{N}}(C, c_0, c_s, n)). \end{aligned}$$

Define

$$\begin{aligned} \mu_0 &:= \lambda n. 0, \\ \mu_s &:= \lambda n. \lambda g. \lambda m. g(m) + m, \\ \text{mult} &:= \text{rec}_{\mathbb{N}}(\mathbb{N} \rightarrow \mathbb{N}, \mu_0, \mu_s). \end{aligned}$$

Then we have

$$\begin{aligned} \text{mult}(0, m) &\equiv \text{rec}_{\mathbb{N}}(\mathbb{N} \rightarrow \mathbb{N}, \mu_0, \mu_s, 0)(m), \\ &\equiv \mu_0(m), \\ &\equiv 0, \end{aligned}$$

and

$$\begin{aligned} \text{mult}(\text{succ}(n), m) &\equiv \text{rec}_{\mathbb{N}}(\mathbb{N} \rightarrow \mathbb{N}, \mu_0, \mu_s, \text{succ}(n))(m), \\ &\equiv \mu_s(n, \text{rec}_{\mathbb{N}}(\mathbb{N} \rightarrow \mathbb{N}, \mu_0, \mu_s, n))(m), \\ &\equiv \mu_s(n, \text{mult}(n))(m), \\ &\equiv \text{mult}(n, m) + m, \end{aligned}$$

which behaves like we would expect of multiplication. Similarly, we define

$$\begin{aligned} e_0 &::= 1, \\ e_s &::= \lambda n. \lambda g. \lambda m. \text{mult}(g(m), m), \\ \text{exp} &::= \text{rec}_{\mathbb{N}}(\mathbb{N} \rightarrow \mathbb{N}, e_0, e_s), \end{aligned}$$

and everything works out similarly. (Note that here  $\text{exp}(n, m)$  means  $m^n$ .)

Next, we will show that  $(\mathbb{N}, 0, +, \times, 1)$  forms a semiring. We will be needing the following functions throughout:

$$\text{ap}_{\text{succ}} : \prod_{m, n: \mathbb{N}} (m =_{\mathbb{N}} n) \rightarrow (\text{succ}(m) =_{\mathbb{N}} \text{succ}(n)) \quad \S 1.9$$

$$\text{tr}_{\mathbb{N}} : \prod_{a, b, c: A} a =_A b \rightarrow b =_A c \rightarrow a =_A c \quad \S 2.1$$

$$\text{sym}_{\mathbb{N}} : \prod_{a, b: A} a =_A b \rightarrow b =_A a \quad \S 2.1$$

$$\text{ipl} : \prod_{a, b: \mathbb{N}} \text{succ}(a) + b =_{\mathbb{N}} \text{succ}(a + b) \quad \text{From judgemental equality.}$$

$$\text{ipr} : \prod_{a, b: \mathbb{N}} a + \text{succ}(b) =_{\mathbb{N}} \text{succ}(a + b) \quad \text{From judgemental equality.}$$

For convenience most dependent arguments are omitted when clear from context and  $\text{tr}_{\mathbb{N}}$  is written infix as  $\star$ . I may be doing something wrong here, but most of these follow from judgemental equality with  $\text{ind}_{\mathbb{N}}$  just there to pack things into the dependent type. That's why there are so many `refl`s.

Left identity:  $P_0 ::= \prod_{n: \mathbb{N}} 0 + n = n$ .

$$p_0 ::= \text{ind}_{\mathbb{N}}(P_0, \text{refl}_0, \lambda n. \lambda p. \text{refl}_n)$$

Right identity:  $P_1 ::= \prod_{n: \mathbb{N}} n + 0 = n$ .

$$r_1 ::= \lambda n. \lambda p. \text{ipl} \star \text{ap}_{\text{succ}}(p)$$

$$p_1 ::= \text{ind}_{\mathbb{N}}(P_1, \text{refl}_0, r_1)$$

Commutativity:  $P_2 ::= \prod_{a, b: \mathbb{N}} a + b = b + a$ .

$$r_2 ::= \lambda n. \lambda p. \text{ipl} \star \text{ap}_{\text{succ}}(p) \star \text{ipr}$$

$$p_2 ::= \text{ind}_{\mathbb{N}}(P_2, p_0, r_2)$$

Zero annihilation (left):  $P_3 ::= \prod_{a: \mathbb{N}} 0 \times a = 0$ .

$$p_3 ::= \text{ind}_{\mathbb{N}}(P_3, \text{refl}_0, \lambda n. \lambda p. \text{refl}_0)$$

Zero annihilation (right):  $P_4 ::= \prod_{a: \mathbb{N}} a \times 0 = 0$ .

$$p_4 ::= \text{ind}_{\mathbb{N}}(P_4, \text{refl}_0, \lambda n. \lambda p. \text{refl}_0)$$

Unit (left):  $P_5 \equiv \prod_{a:\mathbb{N}} 1 \times a = a$

$$p_5 \equiv \text{ind}_{\mathbb{N}}(P_5, \text{refl}_0, \lambda n. \lambda p. \text{refl}_n)$$

Unit (right):  $P_6 \equiv \prod_{a:\mathbb{N}} a \times 1 = a$

$$h_6 \equiv \text{ind}_{\mathbb{N}}(\prod_{n:\mathbb{N}} \text{succ}(n) \times 1 = \text{succ}(n \times 1), \text{refl}_0, \lambda n. \lambda p. \text{refl}_n)$$

$$r_6 \equiv \lambda n. \lambda p. h_6 \star \text{ap}_{\text{succ}}(p)$$

$$p_6 \equiv \text{ind}_{\mathbb{N}}(P_5, \text{refl}_0, r_6)$$

To be continued maybe. This exercise is pretty long, and I'm pretty sure the hairy part is still ahead.

*Exercise 1.9.* Define the type family  $\text{Fin} : \mathbb{N} \rightarrow \mathcal{U}$  mentioned at the end of ??, and the dependent function  $\text{fmax} : \prod_{(n:\mathbb{N})} \text{Fin}(n+1)$  mentioned in ??.

**Solution** (Daniel).

**Solution** (Zack).

**Solution** (James).

*Exercise 1.10.* Show that the Ackermann function  $\text{ack} : \mathbb{N} \rightarrow \mathbb{N} \rightarrow \mathbb{N}$  is definable using only  $\text{rec}_{\mathbb{N}}$  satisfying the following equations:

$$\begin{aligned} \text{ack}(0, n) &\equiv \text{succ}(n), \\ \text{ack}(\text{succ}(m), 0) &\equiv \text{ack}(m, 1), \\ \text{ack}(\text{succ}(m), \text{succ}(n)) &\equiv \text{ack}(m, \text{ack}(\text{succ}(m), n)). \end{aligned}$$

**Solution** (Alan).

**Solution** (Steven).

*Exercise 1.11.* Show that for any type  $A$ , we have  $\neg\neg\neg A \rightarrow \neg A$ .

**Solution** (Jake).

**Solution** (Daniel).

**Solution** (James).

*Exercise 1.12.* Using the propositions as types interpretation, derive the following tautologies.

- (i) If  $A$ , then (if  $B$  then  $A$ ).
- (ii) If  $A$ , then not (not  $A$ ).
- (iii) If (not  $A$  or not  $B$ ), then not ( $A$  and  $B$ ).

**Solution** (Alex). Translating them into types, we get

- (i)  $\prod_{A,B:\mathcal{U}} A \rightarrow B \rightarrow A$ ,
- (ii)  $\prod_{A:\mathcal{U}} A \rightarrow (A \rightarrow 0) \rightarrow 0$ ,

(iii)  $\prod_{A,B:\mathcal{U}} ((A \rightarrow 0) + (B \rightarrow 0)) \rightarrow (A \times B) \rightarrow 0$ .

For values of each type we have

- (i)  $\lambda a. \lambda b. a$ ,
- (ii)  $\lambda a. \lambda f. f(a)$ ,
- (iii)  $\text{rec}_{(A \rightarrow 0) + (B \rightarrow 0)}((A \times B) \rightarrow 0, \lambda f. f \circ \text{pr}_1, \lambda f. f \circ \text{pr}_2)$ .

The third value can be written without the recursor as

$$\begin{aligned} g(\text{inl}(f), (a, b)) &::= f(a), \\ g(\text{inr}(f), (a, b)) &::= f(b). \end{aligned}$$

**Solution** (Zack).

*Exercise 1.13.* Using propositions-as-types, derive the double negation of the principle of excluded middle, i.e. prove *not (not (P or not P))*.

**Solution** (Alan).

**Solution** (Zack).

*Exercise 1.14.* Why do the induction principles for identity types not allow us to construct a function  $f : \prod_{(x:A)} \prod_{(p:x=x)} (p = \text{refl}_x)$  with the defining equation

$$f(x, \text{refl}_x) ::= \text{refl}_{\text{refl}_x} \quad ?$$

**Solution** (Daniel).

**Solution** (Alex).

**Solution** (James).

*Exercise 1.15.* Show that indiscernability of identicals follows from path induction.

**Solution** (Steven).

**Solution** (Jake).

*Exercise 1.16.* Show that addition of natural numbers is commutative:  $\prod_{(i,j:\mathbb{N})} (i + j = j + i)$ .