

Chapter 1

Type theory

Exercises

Exercise 1.1. Given functions $f : A \rightarrow B$ and $g : B \rightarrow C$, define their **composite** $g \circ f : A \rightarrow C$. Show that we have $h \circ (g \circ f) \equiv (h \circ g) \circ f$.

Solution (Alan).

Solution (Alex). Define $g \circ f \equiv \lambda(x : A).g(fx)$. Then if $x : A$ then $fx : B$ and $g(fx) : C$, so $\lambda(x : A).g(fx)$ has the desired type $A \rightarrow C$.

Suppose $f : A \rightarrow B$, $g : B \rightarrow C$, and $h : C \rightarrow D$. We have

$$\begin{aligned} h \circ (g \circ f) &= \lambda x.(h \circ (g \circ f))x \\ &= \lambda x.h((g \circ f)x) \\ &= \lambda x.h(g(fx)) \\ &= \lambda x.(h \circ g)(fx) \\ &= \lambda x.((h \circ g) \circ f)x \\ &= (h \circ g) \circ f. \end{aligned}$$

Exercise 1.2. Derive the recursion principle for products $\text{rec}_{A \times B}$ using only the projections, and verify that the definitional equalities are valid. Do the same for Σ -types.

Solution (Daniel). The *recursion principle* is the rule that states that $\text{rec}_{A \times B}(f) : A \times B \rightarrow C$ is well defined, and it is taken as a primitive notion. We are asked to instead take the well-definedness of pr_1 and pr_2 as primitive instead and to derive the recursion principle.

Definitions of $\text{rec}_{A \times B}$, pr_1 , and pr_2 are given below for convenience.

$$\begin{aligned}\text{rec}_{A \times B} &: (A \rightarrow B \rightarrow C) \rightarrow A \times B \rightarrow C \\ \text{rec}_{A \times B}(f)((a, b)) &:= f(a)(b)\end{aligned}$$

$$\begin{aligned}\text{pr}_1 &: A \times B \rightarrow A \\ \text{pr}_1 &:= \text{rec}_{A \times B}(\lambda a\, b \mapsto a)\end{aligned}$$

$$\begin{aligned}\text{pr}_2 &: A \times B \rightarrow B \\ \text{pr}_2 &:= \text{rec}_{A \times B}(\lambda a\, b \mapsto b)\end{aligned}$$

(In agreement with the notation used in the text, $\lambda a\, b \mapsto \Phi$ is merely shorthand for $\lambda a \mapsto (\lambda b \mapsto \Phi)$. We can think of such an expression as a “two-variable” curried function [mmmm, curry].)

We need primitive definitions of pr_1 and pr_2 .

$$\begin{aligned}\text{pr}_1 &: A \times B \rightarrow A \\ \text{pr}_1(a, b) &:= a\end{aligned}$$

$$\begin{aligned}\text{pr}_2 &: A \times B \rightarrow B \\ \text{pr}_2(a, b) &:= b\end{aligned}$$

We define

$$\begin{aligned}\text{rec}'_{A \times B} &: (A \rightarrow B \rightarrow C) \rightarrow A \times B \rightarrow C \\ \text{rec}'_{A \times B}(f)((a, b)) &:= f(\text{pr}_1(a, b))(\text{pr}_2(a, b))\end{aligned}$$

We have

$$\begin{aligned}\text{rec}_{A \times B}(f)(a, b) &= f(a)(b) \\ &= f(\text{pr}_1(a, b))(\text{pr}_2(a, b)) \\ &= \text{rec}'_{A \times B}(f)(a, b)\end{aligned}$$

So $\text{rec}_{A \times B} = \text{rec}'_{A \times B}$.

Solution (Jake).

Solution (James).

Exercise 1.3. Derive the induction principle for products $\text{ind}_{A \times B}$, using only the projections and the propositional uniqueness principle $\text{uniq}_{A \times B}$. Verify that the definitional equalities are valid. Generalize $\text{uniq}_{A \times B}$ to Σ -types, and do the same for Σ -types. (*This requires concepts from ??.*)

Solution (Steven). I actually have no idea (yet), this is just a test. $\text{foo} = b^{a^r}$

Solution (Zack).

Exercise 1.4. Assuming as given only the *iterator* for natural numbers

$$\text{iter} : \prod_{C:\mathcal{U}} C \rightarrow (C \rightarrow C) \rightarrow \mathbb{N} \rightarrow C$$

with the defining equations

$$\begin{aligned} \text{iter}(C, c_0, c_s, 0) &::= c_0, \\ \text{iter}(C, c_0, c_s, \text{succ}(n)) &::= c_s(\text{iter}(C, c_0, c_s, n)), \end{aligned}$$

derive a function having the type of the recursor $\text{rec}_{\mathbb{N}}$. Show that the defining equations of the recursor hold propositionally for this function, using the induction principle for \mathbb{N} .

Solution (Alan).

Solution (Daniel).

Exercise 1.5. Show that if we define $A + B ::= \sum_{(x:\mathbf{2})} \text{rec}_{\mathbf{2}}(\mathcal{U}, A, B, x)$, then we can give a definition of ind_{A+B} for which the definitional equalities stated in ?? hold.

Solution (Steven).

Solution (Alex). Recall that the type of ind_{A+B} is

$$\prod_{C:(A+B) \rightarrow \mathcal{U}} \left(\prod_{a:A} C(\text{inl}(a)) \right) \rightarrow \left(\prod_{b:B} C(\text{inr}(b)) \right) \rightarrow \prod_{x:A+B} C(x).$$

If $x : A + B$ then either $x = (0_{\mathbf{2}}, a)$ with $a : A$ or $x = (1_{\mathbf{2}}, b)$ with $b : B$. Thus we may define ind_{A+B} by the following case analysis.

$$\begin{aligned} \text{ind}_{A+B}(C, g_0, g_1, (0_{\mathbf{2}}, a)) &::= g_0(a) \\ \text{ind}_{A+B}(C, g_0, g_1, (1_{\mathbf{2}}, b)) &::= g_1(b) \end{aligned}$$

Since $\text{inl}(a) = (0_{\mathbf{2}}, a)$ and $\text{inr}(b) = (1_{\mathbf{2}}, b)$ the types of $g_0(a) : C(\text{inl}(a))$ and $g_1(b) : C(\text{inr}(b))$ are judgementally equal to $C(0_{\mathbf{2}}, a)$ and $C(1_{\mathbf{2}}, b)$ respectively. In either case $\text{ind}_{A+B}(C, g_0, g_1, x) : C(x)$. Additionally, by substitution we have

$$\begin{aligned} \text{ind}_{A+B}(C, g_0, g_1, \text{inl}(a)) &\equiv g_0(a), \\ \text{ind}_{A+B}(C, g_0, g_1, \text{inr}(b)) &\equiv g_1(b), \end{aligned}$$

as desired.

Solution (James).

Exercise 1.6. Show that if we define $A \times B := \prod_{(x:2)} \text{rec}_2(\mathcal{U}, A, B, x)$, then we can give a definition of $\text{ind}_{A \times B}$ for which the definitional equalities stated in ?? hold propositionally (i.e. using equality types). (This requires the function extensionality axiom, which is introduced in ??.)

Solution (Jake).

Solution (Zack).

Exercise 1.7. Give an alternative derivation of $\text{ind}'_{=A}$ from $\text{ind}_{=A}$ which avoids the use of universes. (This is easiest using concepts from later chapters.)

Solution (Alan).

Solution (Jake).

Exercise 1.8. Define multiplication and exponentiation using $\text{rec}_{\mathbb{N}}$. Verify that $(\mathbb{N}, +, 0, \times, 1)$ is a semiring using only $\text{ind}_{\mathbb{N}}$. You will probably also need to use symmetry and transitivity of equality, ????.

Solution (Steven).

Solution (Alex). Recall that $\text{rec}_{\mathbb{N}}$ has type

$$\text{rec}_{\mathbb{N}} : \prod_{C:\mathcal{U}} C \rightarrow (\mathbb{N} \rightarrow C \rightarrow C) \rightarrow C,$$

and defining equations

$$\begin{aligned} \text{rec}_{\mathbb{N}}(C, c_0, c_s, 0) &:= c_0, \\ \text{rec}_{\mathbb{N}}(C, c_0, c_s, \text{succ}(n)) &:= c_s(n, \text{rec}_{\mathbb{N}}(C, c_0, c_s, n)). \end{aligned}$$

Define

$$\begin{aligned} \mu_0 &:= \lambda n. 0, \\ \mu_s &:= \lambda n. \lambda g. \lambda m. g(m) + m, \\ \text{mult} &:= \text{rec}_{\mathbb{N}}(\mathbb{N} \rightarrow \mathbb{N}, \mu_0, \mu_s). \end{aligned}$$

Then we have

$$\begin{aligned} \text{mult}(0, m) &\equiv \text{rec}_{\mathbb{N}}(\mathbb{N} \rightarrow \mathbb{N}, \mu_0, \mu_s, 0)(m), \\ &\equiv \mu_0(m), \\ &\equiv 0, \end{aligned}$$

and

$$\begin{aligned} \text{mult}(\text{succ}(n), m) &\equiv \text{rec}_{\mathbb{N}}(\mathbb{N} \rightarrow \mathbb{N}, \mu_0, \mu_s, \text{succ}(n))(m), \\ &\equiv \mu_s(n, \text{rec}_{\mathbb{N}}(\mathbb{N} \rightarrow \mathbb{N}, \mu_0, \mu_s, n))(m), \\ &\equiv \mu_s(n, \text{mult}(n))(m), \\ &\equiv \text{mult}(n, m) + m, \end{aligned}$$

which behaves like we would expect of multiplication. Similarly, we define

$$\begin{aligned} e_0 &::= 1, \\ e_s &::= \lambda n. \lambda g. \lambda m. \text{mult}(g(m), m), \\ \text{exp} &::= \text{rec}_{\mathbb{N}}(\mathbb{N} \rightarrow \mathbb{N}, e_0, e_s), \end{aligned}$$

and everything works out similarly. (Note that here $\text{exp}(n, m)$ means m^n .)

Next, we will show that $(\mathbb{N}, 0, +, \times, 1)$ forms a semiring. We will be needing the following functions throughout:

$$\text{ap}_{\text{succ}} : \prod_{m, n: \mathbb{N}} (m =_{\mathbb{N}} n) \rightarrow (\text{succ}(m) =_{\mathbb{N}} \text{succ}(n)) \quad \S 1.9$$

$$\text{tr}_{\mathbb{N}} : \prod_{a, b, c: A} a =_A b \rightarrow b =_A c \rightarrow a =_A c \quad \S 2.1$$

$$\text{sym}_{\mathbb{N}} : \prod_{a, b: A} a =_A b \rightarrow b =_A a \quad \S 2.1$$

$$\text{ipl} : \prod_{a, b: \mathbb{N}} \text{succ}(a) + b =_{\mathbb{N}} \text{succ}(a + b) \quad \text{From judgemental equality.}$$

$$\text{ipr} : \prod_{a, b: \mathbb{N}} a + \text{succ}(b) =_{\mathbb{N}} \text{succ}(a + b) \quad \text{From judgemental equality.}$$

For convenience most dependent arguments are omitted when clear from context and $\text{tr}_{\mathbb{N}}$ is written infix as \star . I may be doing something wrong here, but most of these follow from judgemental equality with $\text{ind}_{\mathbb{N}}$ just there to pack things into the dependent type. That's why there are so many `refl`s.

Left identity: $P_0 ::= \prod_{n: \mathbb{N}} 0 + n = n$.

$$p_0 ::= \text{ind}_{\mathbb{N}}(P_0, \text{refl}_0, \lambda n. \lambda p. \text{refl}_n)$$

Right identity: $P_1 ::= \prod_{n: \mathbb{N}} n + 0 = n$.

$$r_1 ::= \lambda n. \lambda p. \text{ipl} \star \text{ap}_{\text{succ}}(p)$$

$$p_1 ::= \text{ind}_{\mathbb{N}}(P_1, \text{refl}_0, r_1)$$

Commutativity: $P_2 ::= \prod_{a, b: \mathbb{N}} a + b = b + a$.

$$r_2 ::= \lambda n. \lambda p. \text{ipl} \star \text{ap}_{\text{succ}}(p) \star \text{sym}_{\mathbb{N}}(\text{ipr})$$

$$p_2 ::= \text{ind}_{\mathbb{N}}(P_2, p_0, r_2)$$

Zero annihilation (left): $P_3 ::= \prod_{a: \mathbb{N}} 0 \times a = 0$.

$$p_3 ::= \text{ind}_{\mathbb{N}}(P_3, \text{refl}_0, \lambda n. \lambda p. \text{refl}_0)$$

Zero annihilation (right): $P_4 ::= \prod_{a: \mathbb{N}} a \times 0 = 0$.

$$p_4 ::= \text{ind}_{\mathbb{N}}(P_4, \text{refl}_0, \lambda n. \lambda p. \text{refl}_0)$$

Unit (left): $P_5 := \prod_{a:\mathbb{N}} 1 \times a = a$

$$p_5 := \text{ind}_{\mathbb{N}}(P_5, \text{refl}_0, \lambda n. \lambda p. \text{refl}_n)$$

Unit (right): $P_6 := \prod_{a:\mathbb{N}} a \times 1 = a$

$$h_6 := \text{ind}_{\mathbb{N}}(\prod_{n:\mathbb{N}} \text{succ}(n) \times 1 = \text{succ}(n \times 1), \text{refl}_0, \lambda n. \lambda p. \text{refl}_n)$$

$$r_6 := \lambda n. \lambda p. h_6 \star \text{ap}_{\text{succ}}(p)$$

$$p_6 := \text{ind}_{\mathbb{N}}(P_5, \text{refl}_0, r_6)$$

To be continued (maybe). I think that to get distributivity or associativity of multiplication I will need some substitution rules of the form

$$\text{spl} : \prod_{a,b,c:\mathbb{N}} (a = b) \rightarrow (a + c = b + c),$$

$$\text{spr} : \prod_{a,b,c:\mathbb{N}} (a = b) \rightarrow (c + a = c + b),$$

$$\text{sml} : \prod_{a,b,c:\mathbb{N}} (a = b) \rightarrow (a \times c = b \times c),$$

$$\text{smr} : \prod_{a,b,c:\mathbb{N}} (a = b) \rightarrow (c \times a = c \times b),$$

which likely need inductive constructions as well. This is where my patience for this kind of thing starts to run thin.

Oh, it occurs to me that we can construct all of these at once with the induction rule for identity types. We just want to construct

$$\text{subst} : \prod_{(a,b:A)} \prod_{(f:A \rightarrow B)} \prod_{p:a=b} (f(a) = f(b)),$$

which we can do by

$$C(a : A, b : A, p : (a = b)) := \prod_{f:A \rightarrow B} (f(a) = f(b)),$$

$$c(a : A) := \prod_{f:A \rightarrow B} \text{refl}_{f(a)},$$

$$\text{subst}_0 := \text{ind}_=(C, c),$$

$$\text{subst}(a, b, f, p) := \text{subst}_0(a, b, p, f).$$

This way we can have

$$\text{spl}(a, b, c) := \text{subst}(a, b, \lambda x. x + c),$$

$$\text{sml}(a, b, c) := \text{subst}(a, b, \lambda x. x \times c),$$

and so on. The exercise specified that we should only use $\text{ind}_{\mathbb{N}}$, though, which is unfortunate.

Exercise 1.9. Define the type family $\text{Fin} : \mathbb{N} \rightarrow \mathcal{U}$ mentioned at the end of ??, and the dependent function $\text{fmax} : \prod_{(n:\mathbb{N})} \text{Fin}(n+1)$ mentioned in ??.

Solution (Daniel).

Solution (Zack).

Solution (James). Define

$$\text{Fin}(0) \equiv 0$$

$$\text{Fin}(\text{succ}(n)) \equiv \text{Fin}(n) + 1$$

and

$$\text{fmax} : \prod_{(n:\mathbb{N})} \text{Fin}(n+1) \text{ by } \text{fmax}(n) \equiv \text{inr}(\star)$$

Exercise 1.10. Show that the Ackermann function $\text{ack} : \mathbb{N} \rightarrow \mathbb{N} \rightarrow \mathbb{N}$ is definable using only $\text{rec}_{\mathbb{N}}$ satisfying the following equations:

$$\text{ack}(0, n) \equiv \text{succ}(n),$$

$$\text{ack}(\text{succ}(m), 0) \equiv \text{ack}(m, 1),$$

$$\text{ack}(\text{succ}(m), \text{succ}(n)) \equiv \text{ack}(m, \text{ack}(\text{succ}(m), n)).$$

Solution (Alan).

Solution (Steven).

Exercise 1.11. Show that for any type A , we have $\neg\neg\neg A \rightarrow \neg A$.

Solution (Jake).

Solution (Daniel).

Solution (James). Let $ev : A \rightarrow (A \rightarrow 0) \rightarrow 0$ by $ev(a)(f) = f(a)$.

For $g : \neg\neg\neg A = (((A \rightarrow 0) \rightarrow 0) \rightarrow 0)$, let $f(g)(a) = g(ev(a))$. Then $f : \neg\neg\neg A \rightarrow A \rightarrow 0 = \neg\neg\neg A \rightarrow \neg A$.

Exercise 1.12. Using the propositions as types interpretation, derive the following tautologies.

(i) If A , then (if B then A).

(ii) If A , then not (not A).

(iii) If (not A or not B), then not (A and B).

Solution (Alex). Translating them into types, we get

(i) $\prod_{A,B:\mathcal{U}} A \rightarrow B \rightarrow A$,

(ii) $\prod_{A:\mathcal{U}} A \rightarrow (A \rightarrow 0) \rightarrow 0$,

(iii) $\prod_{A,B:\mathcal{U}} ((A \rightarrow 0) + (B \rightarrow 0)) \rightarrow (A \times B) \rightarrow 0$.

For values of each type we have

(i) $\lambda a. \lambda b. a$,

- (ii) $\lambda a. \lambda f. f(a)$,
- (iii) $\text{rec}_{(A \rightarrow 0) + (B \rightarrow 0)}((A \times B) \rightarrow 0, \lambda f. f \circ \text{pr}_1, \lambda f. f \circ \text{pr}_2)$.

The third value can be written without the recursor as

$$\begin{aligned} g(\text{inl}(f), (a, b)) &\equiv f(a), \\ g(\text{inr}(f), (a, b)) &\equiv f(b). \end{aligned}$$

Solution (Zack).

Exercise 1.13. Using propositions-as-types, derive the double negation of the principle of excluded middle, i.e. prove *not (not (P or not P))*.

Solution (Alan).

Solution (Zack).

Exercise 1.14. Why do the induction principles for identity types not allow us to construct a function $f : \prod_{(x:A)} \prod_{(p:x=x)} (p = \text{refl}_x)$ with the defining equation

$$f(x, \text{refl}_x) \equiv \text{refl}_{\text{refl}_x} \quad ?$$

Solution (Daniel).

Solution (Alex). Well, let's start going through it and see where we run into trouble.

The induction rule that allows the defining equation to depend on a variable is path induction, which demands of us a type family

$$C : \prod_{x,y:A} (x =_A y) \rightarrow \mathcal{U}$$

and a defining equation

$$c : \prod_{x:A} C(x, x, \text{refl}_x),$$

and gives us a value

$$g : \prod_{(x,y:A)} \prod_{(p:x=y)} C(x, y, p).$$

Since the defining equation we were provided is $c \equiv \lambda x. \text{refl}_{\text{refl}_x}$ with type

$$\prod_{x:A} \text{refl}_x =_{x=x} \text{refl}_x,$$

our chosen C must satisfy

$$C(x, x, \text{refl}_x) \equiv \text{refl}_x =_{x=x} \text{refl}_x.$$

Additionally, since we want our result to ultimately have type $\prod_{(x:A)} \prod_{(p:x=x)} (p = \text{refl}_x)$, C must also satisfy

$$C(x, y, p) \equiv p = \text{refl}_x.$$

Can we have both at once? Well, if $C(x, y, p) \equiv (p =_{x=x} \text{refl}_x)$ then we will get everything we want. We can define

$$f(x) \equiv \text{ind}_{=A}(C, c, x, x),$$

so

$$f : \prod_{(x:A)} \prod_{(p:x=x)} (p = \text{refl}_x),$$

and it satisfies $f(x, \text{refl}_x) \equiv c(x) \equiv \text{refl}_{\text{refl}_x}$. So we win. Or maybe we lose, since that's not supposed to be allowed. What's the problem? I don't know. Maybe you can tell me.

Maybe the types don't fit? Consider $x, y : A$ and $p : x =_A y$. Then

$$C(x, y, p) \equiv p =_{x=x} \text{refl}_x,$$

but for the expression on the right hand side to make sense we need $p : x = x$ rather than $p : x = y$. My best guess is that we need judgemental equality rather than propositional equality to make this substitution, but this whole situation seems subtle enough that I'm not sure.

Solution (James).

Exercise 1.15. Show that indiscernability of identicals follows from path induction.

Solution (Steven).

Solution (Jake).

Exercise 1.16. Show that addition of natural numbers is commutative: $\prod_{(i,j:\mathbb{N})} (i + j = j + i)$.