# Haolun Wu

Ph.D. cand., Computer Science, McGill University, Mila - Quebec AI Institute Visiting Student Researcher, Computer Science, Stanford University Borealis AI Fellowship, FRQNT PhD Fellowship

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### Research Interests

Areas: Information Retrieval, Knowledge Discovery, Learning from Human Feedback, AI Alignment

My research is around **human-centric AI** and **AI alignment**, and broadly touches personalization, evaluation, and responsibility. I am particularly interested in learning from human feedback for human-AI alignment, spanning both higher-level considerations (e.g., social norms) and lower-level aspects (e.g., personalization and data values).

## **Academic Training**

Oct. 2024- Stanford University, STAIR Lab, Palo Alto, USA.

present Visiting Student Researcher, Department of Computer Science.

Supervisor: Sanmi Koyejo

Feb. 2021- Mila & McGill University, Montreal, Canada.

present Ph.D., School of Computer Science.

Supervisory committee: Steve Liu, Fernando Diaz, Laurent Charlin, Joëlle Pineau

Thesis topic: Human-Centric Alignment through Multilevel Feedback Integration for Personalized and Responsible Information Access

Sep. 2019- Mila & McGill University, Montreal, Canada.

Jun. 2021 M.Sc., School of Computer Science.

Thesis: *Balancing Fairness in Multi-stakeholder Recommendation via Multi-objective Optimization* Published at ACM Transactions on Information Systems (TOIS).

Spring 2018 University of California, San Diego (UCSD), La Jolla, USA.

Undergraduate Exchange.

Sep. 2015- Northeastern University, Shenyang, China.

Jun. 2019 B.E. Computer Science and Engineering.

Outstanding Graduation Thesis (top 1%). Outstanding Student for all years.

# Relevant Industrial Experience

Feb. 2023- Microsoft Research, Cambridge, UK.

Aug. 2024 Student Researcher, Alexandria Team.

Manager: John Winn. Project Mentor: Bhaskar Mitra.

• Focus on information extraction, joint text and knowledge base (KB) masked modeling.

Aug. 2023- Google Research, Mountain View, USA.

Feb. 2024 Student Researcher, Descartes Team.

Director: Ray Kurzweil / Yun-hsuan Sung. Project Mentor: Cicero Nogueira dos Santos.

- Knowledge localization in LLMs and Mixture-of-Experts (MoEs).
- Nov. 2022- Google Research, Mountain View, USA.
- Feb. 2023 Research Intern, MuDcATs Team.

Manager / mentor: Craig Boutilier.

- o Propose density-based user representation (DUR) through Gaussian Process Regression, for better personalized multi-interest retrieval.
- Sep. 2020- Microsoft Research, Montreal, Canada.
- Jun. 2021 Student Researcher, FATE (Fairness, Accountability, Transparency, and Ethics) Team.

Manager / mentor: Fernando Diaz.

o Propose a multi-objective optimization framework for fairness-aware recommendation, Multi-FR, that adaptively balances accuracy and fairness for various stakeholders with Pareto optimality guarantee. Paper published at ACM Transactions on Information Systems (TOIS) 2022.

## Publications - Full list on my Google Scholar profile.

During the first 4 years of my Ph.D., I published over 10 (co)first-authored papers and over 20 papers in total on top venues (e.g., NeurIPS, ICLR, TKDE, TOIS, SIGIR, WWW, EMNLP, CHI). My work has garnered 550 Google Scholar citations and an h-index of 11 by March 2025.

- arXiv Gaurush Hiranandani, Haolun Wu, Subhojyoti Mukherjee, and Sanmi Koyejo. "Logits are All We Need to Adapt Closed Models".
- arXiv Shangyu Wu, Ying Xiong, Yufei Cui, Haolun Wu, Can Chen, Ye Yuan, Lianming Huang, Xue Liu, Tei-Wei Kuo, Nan Guan, Chun Jason Xue. "Retrieval-Augmented Generation for Natural Language Processing: A Survey".
- arXiv Ye Yuan, Youyuan Zhang, Can Chen, Haolun Wu, Zixuan Li, Jianmo Li, James J. Clark, and Xue Liu. "Design Editing for Offline Model-based Optimization".
- arXiv Fuyuan Lyu, Xing Tang, Dugang Liu, Haolun Wu, Chen Ma, Xiuqiang He, and Xue Liu. "Feature Representation Learning for Click-through Rate Prediction: A Review and New Perspectives". arXiv:2302.02241.
- arXiv Yansen Zhang, Bowei He, Haolun Wu, Zexu Sun and Chen Ma. "Counterfactual Multi-Player Bandits for Explainable Recommendation Diversification".
- arXiv Chengming Hu, Xuan Li, Dan Liu, Haolun Wu, Ju Wang, and Xi Chen. "Teacher-Student Architecture for Knowledge Learning: A Survey". arXiv:2210.17332.

#### Journal Articles

Surv. Tutor. IF: 35.6

- J4 Hao Zhou, Chengming Hu, Ye Yuan, Yufei Cui, Yili Jin, Can Chen, Haolun Wu, Dun Yuan, Li Jiang, IEEE Commun. Di Wu, Xue Liu, Charlie Zhang, Xianbin Wang, and Jiangchuan Liu. "Large Language Model (LLM) for Telecommunications: A Comprehensive Survey on Principles, Key Techniques, and Opportunities". Communications Surveys and Tutorials, 2024.
  - J3 Haolun Wu\*, Yansen Zhang\*, Chen Ma, Fuyuan Lyu, Bhaskar Mitra, and Xue Liu. "Result Diversification TKDE in Search and Recommendation: A Survey". IEEE Transactions on Knowledge and Data Engineering, 2024. IF: 8.9
  - J2 Chang Meng, Ziqi Zhao, Wei Guo, Yingxue Zhang, Haolun Wu, Chen Gao, Dong Li, Xiu Li, Ruim-TOIS ing Tang. "Coarse-to-Fine Knowledge-Enhanced Multi-Interest Learning Framework for Multi-Behavior IF: 7.1 Recommendation". ACM Transactions on Information Systems, 2023.

- J1 Haolun Wu, Chen Ma, Bhaskar Mitra, Fernando Diaz, and Xue Liu. "A Multi-objective Optimization
- TOIS Framework for Multi-stakeholder Fairness-aware Recommendation". ACM Transactions on Information IF: 7.1 Systems, 2022.

## **Conference Proceedings**

- C14 Emiliano Penaloza, Olivier Gouvert, **Haolun Wu**, Laurent Charlin. "*Text Representations for Scrutable* The WebConf *Recommendations*". In the 34th Web Conference (WWW). Sydney, Australia. Jul. 2025.
- Poster, 19.8%
  - C13 Haolun Wu, Ofer Meshi, Masrour Zoghi, Fernando Diaz, Xue Liu, Craig Boutilier, and Maryam Karimzade-
- NeurIPS hgan. "Density-based User Representation through Gaussian Process Regression for Multi-interest Person-
- Poster, 25.8% *alized Retrieval* ". In the 38th Annual Conference on Neural Information Processing Systems. Vancouver, Canada. Dec. 2024.
  - C12 **Haolun Wu**\*, Ye Yuan\*, Liana Mikaelyan, Alexander Meulemans, Xue Liu, James Hensman, and Bhaskar
- EMNLP Mitra. "Learning to Extract Structured Entities Using Language Models". In the 19th Conference on
- Oral, top 3% Empirical Methods in Natural Language Processing. Miami, Florida, USA. Nov. 2024.
  - C11 Ziqiang Cui, Haolun Wu, Bowei He, Ji Cheng, Kede Ma, Chen Ma. "Diffusion-based Contrastive Learning
- CIKM for Sequential Recommendation". In the 33rd ACM International Conference on Information and Knowledge
- Oral, 23.0% Management. Boise, Idaho, USA. Oct. 2024.
- C10 Haolun Wu, Bhaskar Mitra, Nick Craswell. "Towards Group-aware Search Success". In the 10th ACM
- SIGIR ICTIR SIGIR / the 14th International Conference on the Theory of Information Retrieval. Washington DC, USA.
  - Oral, 41.0% Jul. 2024.
    - C9 Xiaoshan Huang\*, **Haolun Wu**\*, Xue Liu, and Susanne Lajoie. "Examining the Role of Peer Acknowledge-
    - CHI ments on Social Annotations: Unraveling the Psychological Underpinnings". In the 41st ACM Conference
  - Oral, 26.4% on Human Factors in Computing Systems. Honolulu, USA. May. 2024.
    - C8 Haolun Wu\*, Chengming Hu\*, Xuan Li, Chen Ma, Xi Chen, Boyu Wang, Jun Yan, and Xue Liu. "Less or
- ICLR More from Teacher: Exploiting Trilateral Geometry for Knowledge Distillation". In the 12th International
- Poster, 30.8% Conference on Learning Representations. Vienna, Austria. May. 2024.
  - C7 Haolun Wu, Chen Ma, Yingxue Zhang, Xue Liu, and Mark Coates. "Self-supervised Contrastive Alignment
  - ICDE for Tag-enhanced Recommendation". For the 39th IEEE International Conference on Data Engineering.
  - Oral, 30.6% Anaheim, USA. Apr. 2023.
    - C6 Haolun Wu, Chen Ma, Yingxue Zhang, Xue Liu, and Mark Coates. "Adapting Triplet Importance of Implicit
    - CIKM Feedback for Personalized Recommendation". In the 31st ACM International Conference on Information
- Oral, 21.3% and Knowledge Management. Atlanta, USA. Oct. 2022.
  - C5 Haolun Wu\*, Bhaskar Mitra\*, Chen Ma, Fernando Diaz, and Xue Liu. "Joint Multisided Exposure Fairness
- SIGIR for Recommendation". In the 45th International ACM SIGIR Conference on Research and Development in
- Oral, 20.3% Information Retrieval. Madrid, Spain. Jul. 2022.
  - C4 Chen Ma, Liheng Ma, Yingxue Zhang, **Haolun Wu**, Xue Liu, and Mark Coates. "Knowledge-Enhanced
- AAAI Top-K Recommendation in Poincaré Ball". In the 35th AAAI Conference on Artificial Intelligence. Virtual.
- Oral, 21.4% Feb. 2021.
  - C3 Haolun Wu, Yunfei Feng. "A Pioneering Scalable Self-driving Car Simulation Platform". In the IEEE 2nd
  - AUTEEE International Conference on Automation, Electronics and Electrical Engineering. Shenyang, China. Nov. 2019.
    - C2 Chong Fu, Yufu Shan, Muyang He, Ziyuan Yu, and Haolun Wu. "A New Medical Image Encryption
    - SMC *Algorithm Using Multiple 1-D Chaotic Maps*". In the IEEE International Conference on Systems, Man, and Cybernetics. Miyazaki, Japan. Oct. 2018.

C1 Yufu Shan, Muyang He, Ziyuan Yu, and **Haolun Wu**. "Pixel Level Image Encryption Based on Semantic ICCAIRO Segmentation". In the IEEE International Conference on Control, Artificial Intelligence, Robotics and Optimization. Prague, Czech Republic. May. 2018.

#### Workshops

- W3 Ye Yuan, Youyuan Zhang, Can Chen, **Haolun Wu**, Melody Zixuan Li, Jianmo Li, James J. Clark, Xue Liu. ICLR workshop "Design Editing for Offline Model-based Optimization". In ICLR 2025 Workshop on Deep Generative Model in Machine Learning: Theory, Principle and Efficacy.
- W2 Fuyuan Lyu, Linfeng Du, Yunpeng Weng, Qiufang Ying, Zhiyan Xu, wenzou, **Haolun Wu**, Xiuqiang He, ICLR workshop Xing Tang. "*Timing is important: Risk-aware Fund Allocation based on Time-Series Forcasting*". In ICLR 2025 Workshop on Financial AI.
  - W1 **Haolun Wu**, Ofer Meshi, Masrour Zoghi, Fernando Diaz, Xue Liu, Craig Boutilier, and Maryam Karimzade-WSDM hgan. "Density-based User Representation through Gaussian Process Regression for Multi-interest Personalworkshop ized Retrieval". In WSDM 2024 Workshop on Learning Representation and Clustering.

#### **Patents**

- P3 **Haolun Wu**, Chen Ma, Yingxue Zhang, and Mark Coates. "Recommendation System With Adaptive Thresholds for Neighborhood Selection". US 2022/0253722 A1. Patent US 2022.
- P2 **Haolun Wu**, Chen Ma, and Yingxue Zhang. "Recommendation System with Adaptive Weighted Bayesian Personalized Ranking Loss". US 2022/0253688 A1. Patent US 2022.
- P1 **Haolun Wu**, Haodong Lai, Yutao Liu, Wentao Zhou, and Qinlai Li. "A Method For Real-Time Image Style Transfer Based On Conditional Generative Adversarial Networks". AU2017101166A4. IP Australia 2017.

# Grants & Fellowships

Total funding: \$279,334. Total share of funding: \$173,834.

I made significant contribution to the writing of the following grant and fellowship proposals during Ph.D.

- 2024 Fonds de recherche du Québec FRQNT Ph.D. Fellowship (\$58,334, share 100%) (rank 1st-place)
- 2023 Borealis AI Fellowship (\$10,000, share 100%) (1 of the 10 recipients in Canada)
- 2022 MSR-Mila Collaboration Grant (\$66,000, share 50%)

Lead PI: Xue (Steve) Liu; Industrial Mentor: Bhaskar Mitra, John Winn

Title: SNAKE: Structured Neural Attention over Knowledge base Entities

Funding Body: Microsoft Research

2022 MITACS Accelerate Fellowship (\$90,000, share 50%)

Lead PI: Xue (Steve) Liu; Industrial Mentor: Shuo Wang

Title: Fairness-aware Matching for Dynamic Predictive Behavior Matching

Funding Body: Bell Canada, MITACS

2020 MSR-Mila Collaboration Grant (\$55,000, share 50%)

Lead PI: Xue (Steve) Liu: Industrial Mentor: Fernando Diaz

Title: Two-sided Recommendation with Fairness

Funding Body: Microsoft Research

#### Honors & Awards

Total award amount: \$51,300.

2024 Student Travel Grant (\$3,000), Neural Information Processing Systems (NeurIPS)

- 2024 Grad Excellence Award (\$6,000), McGill University
- 2024 Student Travel Award (\$1,000), International Conference on Learning Representations (ICLR)
- 2023 Grad Excellence Award (\$6,000), McGill University
- 2023 Graduate Stimulus and Financial Supplement (\$1,750+\$1,500+\$850), McGill University
- 2022 Grad Excellence Award (\$7,000+\$1,000), McGill University
- 2022 SIGIR Student Travel Grant. (\$1,350+\$850)
- 2021 Apple Scholars PhD Fellowship Nominee (1 out of 3 students at McGill University)
- 2021 Grad Excellence Award (\$5,000), McGill University
- 2020 Grad Excellence Award (\$12,600), McGill University
- 2019 Excellent Graduation Thesis, Northeastern University
- 2016-2019 Outstanding Student (\$2,400), Northeastern University
  - 2017 First Prize, CUMCM (Contemporary Undergraduate Mathematical Contest in Modelling)
  - 2017 MCM/ICM Meritorious Winner, COMAP (Consortium for Mathematics and Its Application)
  - 2016 Yao Tianshun Scholarship (\$1,000, 2 out of 250 students), Northeastern University
  - 2014 First Prize (rank 18<sup>th</sup> in Sichuan Province), CMS (Chinese Mathematical Association)

#### Media

2023 McGill PhD Candidates Earn Borealis AI Fellowships. McGill Reporter.

# Community & Leadership

#### Mila Lab Representatives

- I am honored to have been elected and to serve as one of the lab representatives (11 out of 1000+ students) for 2023-24 at *Mila - Quebec AI Institute*, where I proudly represent the McGill PhD cohort. The Mila LabReps act as a bridge between students and the various members of Mila's ecosystem. I am actively involved in organizing student assemblies, writing newsletters, managing finances, and facilitating communication among students, professors, and staff.

#### Founder and Organizer

- I co-found and organize a reading group DEFirst at *Mila - Quebec AI Institute* and the *Vector Institute* to build an interdisciplinary forum of researchers, students and professors alike, across both industry and academia, who work at the intersection of IR and Search, Fairness, Generative Model, and Trustworthy AI. The YouTube channel is here: https://www.youtube.com/@defirstreadinggroup-milaxv8747/videos.

#### • Participant

- Summer School in Responsible Artificial Intelligence (AI) and Human Rights hosted by Mila and University of Montreal (selected as 1 of the 40 global participants).

#### Session Chair

- SIGIR 2022 (Session: Collaborative Filtering)

#### PC member / Reviewer

- ACL 2025
- NeurIPS 2024
- ICLR 2024
- ICML 2024/2025

- KDD 2024
- AAAI 2022
- SIGIR 2022/2023/2024/2025
- TKDD 2022

# Talk, Lecture & Mentorship

#### Talk and Lecture

- Talk: "Human-Centric Alignment through Multilevel Feedback Integration", Stanford University. Head: Sanmi Koyejo. Mar. 2025.

- Teach: "Applications of Machine Learning in Real World Systems" (COMP 597 & 598).

Head: Xue (Steve) Liu. McGill University. Winter 2021 & 2022.

- Talk: "Multi-FR: A Multi-Objective Optimization Method for Achieving Two-sided Fairness in E-commerce Recommendation", Microsoft Bing.

Head: Nick Craswell. Oct. 2021.

- Talk: "Joint Multisided Exposure Fairness for Search and Recommendation". (selected as 1 of the 6 contributed Talks at Montreal AI Symposium 2022)

Microsoft Bing. Apr. 2022; Bell Canada. Aug. 2022; Mila. Sep. 2022.

- Mentored and collaborated with several undergraduate and junior graduate students. (2022-)
  - Hillary Tao (McGill)
  - Yansen Zhang (CityU of Hong Kong)
  - Rui Song (McGill)

- Evelyn Cao (McGill)
- Ye Yuan (McGill)
- Weixu Zhang (McGill)

# ——— Coding Skills

Python, Pytorch, Tensorflow, Jax.

## Extracurricular Activities

- I am a certificated **clarinet player**. I was a member of Musicians Association of Sichuan, China. My advisor was Yi Zhu, who is now a Deputy Director of the Music Department of Sichuan University.
- I am also a master in **Chinese Calligraphy**. My advisor was Jialin Zheng, who is now a Vice Chairman of Sichuan Calligraphers Association and Vice Chairman of Chengdu Calligraphers Association.

#### References

#### Xue (Steve) Liu

Fellow of the Canadian Academy of Engineering (FCAE); Fellow of the IEEE (FIEEE)

Associate VP of Research, Professor, School of Computer Science and Machine Learning, MBZUAI, Abu Dhabi, UAE Professor & William Dawson Scholar, School of Computer Science, McGill University, Montreal, Canada

Associate Member, Mila - Quebec AI Institute

ex-VP R&D, Chief Scientist / Co-Director, Samsung AI Center, Montreal, Canada

Chair ACM SIGBED

xue.liu@mcgill.ca

#### Fernando Diaz

Associate Professor, Language Technologies Institute, Carnegie Mellon University, Pittsburgh, USA Research Scientist, Google Research, Montreal, Canada diazf@acm.org

## Craig Boutilier

Principle Scientist, Google Research, Mountain View, USA ex-Professor, Department of Computer Science, University of Toronto, Toronto, Canada cboutilier@google.com

#### Sanmi Koyejo

Assistant Professor, Computer Science, Stanford University, Palo Alto, USA
Stanford Trustworthy AI Research (STAIR), Stanford AI Laboratory (SAIL), Stanford Human-centered AI (HAI)
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