Database Principles Mid-term Exam Review

Lecture 1

- No books required
- · Avoid textbooks, use professional books

Grade	Percentage
Midterm	24%
Midterm	25%
Lab & Quizzes	20%
Final Exam	25%
Project*	30%

^{*} Groups of 3 or 4 for project

Information Data with a meaning

Store data is mostly text, numbers, dates, and (less onen) mulfmedia documents.

DETERMENT.

RETRIEVE data

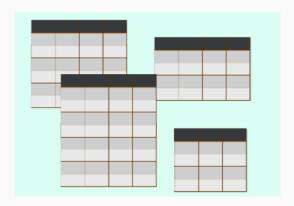
as efficiently as possible.

Relational Theory

Purposes of Databases

1970

Edgar F. Codd 1923 - 2003

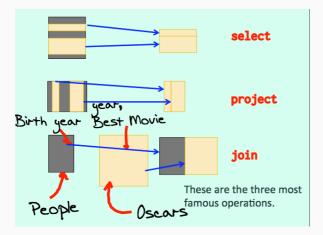


Each column in the table stores a piece of data, and one row represents a "known fact".

All the pieces of data in a row are related, hence "relational"

Operate on relations

Codd's big idea was that as relations are well known mathemafcal animals (sets), you could operate on them, and get new sets.



If tables are variables, you can change their content massively, and combine them in complex operations to obtain new results, as you could with numbers

Modeling

Before you can do fun operafons with tables, you must design your database well, a step known as modelling.

First of all, you must know which data you need/want to manage. It depends on whom you are.

Film Database

Duplicates are forbidden in relafonal tables.

Key

What differentiates one row from another.

Key cannot be changed.

For purely commercial reasons, though, it may be assumed that the combination ftle/country/year will be unique.

Primary Key

Normalizaton

First Normal Form

Each column should only contain ONE piece of information.

Making the right choices

Most choice revolves along assessing whether an attribute is or can be considered **unambiguous** or whether even unusual cases are important and could break everything, in which case you may need to split data between two or even three tables.

Entity

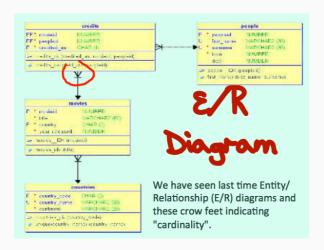
In a database model, you call "enfty" something that has a life ot its own. eg. people, films, countries.

Relafonships connect entities. They have no life of their own.

If a film project is abandoned, there will be no rows for this film in CREDITS.

An "Enfty Relafonship Diagram" (E/R Diagram) is a way to represent tables in a database (you onen have hundreds of tables in a diagram). Crow feet indicate "cardinality".

Lecture 2



"Cardinality" is just a complicated word to say "number".

Cardinality

(1, n)

There is always one country per film, but there are several films per country.

(0, n)

In some cases, some attributes haven't always a value; "main language" for instance, because you have silent films.

(m, b)

Several actors usually appear in a film, and an actor usually plays in several films.

(m,n) cardinality implies a relationship table.

Normalization

All about splitting

- 1 Simple Attributes
- 2 Attributes depend on the full key
- 3 Non-key attributes not dependent on each other

Every non key attribute must provide a fact about the key, the whole key, and nothing but the key. – William Kent (1936 - 2005)

Query Language

- ALPHA
- SQL (born SEQUEL, Don Chamberlin with Ray Boyce)
- QUEL (Michael Stonebraker)
- QBE (Query By Example, Moshe Zloof)

Oates, Ellison, Miner - The founders of Oracle

Two Main Components of SQL

Data Definition Language

deals with tables

CREATE ALTER DROP

Data Manipulation Language

deals with data

INSERT UPDATE DELETE SELECT

There is an official SQL standard that no product fully implements, and many subtly and someUmes irritatingly different dialects. We'll see the main variants of SQL.

- PostgreSQL
- ORACLE
- MySQL
- IBM DB2
- Microsoft SQL Server

Key propriety of relations

ALL ROWS ARE DISTINCT

• CAN BE enforced for tables in SQL

But you have to create your tables well.

• NOT enforced for query results in SQL

You have to be extra-careful if the result of a query is the starting point for another query, which happens often.

Case Sensitivity

Keywords

SQL keywords (words that have a special meaning in SQL) are NOT case sensitive and can be typed in any case you want.

Identifiers

Same story with identifiers, the names you give to tables or, as you will soon see, columns, aren't case-sensitive.

 $Table \ (and \ column) \ names \ must \ start \ with \ a \ leder \ (PostgreSQL \ tolerates \ an \ underscore) \ and \ only \ contain \ letters, \ digits, \ or \ underscores.$

The \$ sign is also accepted, and some products allow #.

Note that names can sometimes be quoted between double quotes or square brackets, in which case spaces are allowed AND names become case-sensitive Beder to avoid it.

Data Types

- Text
- Number
- Date
- Binary

That's basically what you find in a database; nothing fancy.

Text

char(length) char

char() is for fixed-size columns (data is padded with spaces if shorter). Used for codes.

varchar(max length) varchar2(max length)

varchars don't pad. They are limited in length (a few thousand bytes).

text clob

CLOB (called TEXT in MySQL) allows to store much bigger text (Gb).

Number

int float numeric(precision, scale) number(precision, scale)

Date

date

includes time, down to second with Oracle, not with other products.

datetime

down to second (other than Oracle, except DB2)

timestamp

down to 0.000001 second

Binary

PRIMARY KEY

PRIMARY KEY indicates two things:

- 1. The value is mandatory (the additional NOT NULL doesn't hurt but is redundant).
- 2. The values are unique (no duplicates allowed in the column).

UNIQUE

With many products data **is** case sensitive and different capitalizaUon means different values that wouldn't violate a uniqueness constraint. You **must** standardize case. eg. Oracle, PostgreSQL and DB2.

Referential Integrity

A foreign key can be composed of a combinaUon of columns (rare).

Note that the constraint works both ways

We won't be able to delete a country if movies reference it, because we would

```
raw(max length)
```

varbinary(max length)

RAW in Oracle, and VARBINARY (SQL Server) are the binary equivalent of VARCHAR.

hloh

BLOB is the binary equivalent of CLOB (BLOB means Binary Large Object).

PostgreSQL calls the binary datatype BYTEA, don't ask me why.

NULL

It indicates the absence of a value, because we don't yet know it, or because in that case the adribute is irrelevant, or because we haven't the slightest idea about what this should be.

For more on NULL, see Lecture 3.

Comments

```
comment on column people.surname is 'Surname or stage name';
-- comments in an SQL statement start with a double dash
```

Constraints

get "orphaned rows" and the database would become inconsistent

Creating tables requires:

- Proper modelling (cardinalities)
- Defining keys (what identifies rows)
- Determining correct data types
- Defining constraints

INSERT

INSERT enters data into a table

```
insert into table_name
  (list of columns)
values (list of values)
```

Values must match column-names one by one.

```
insert into countries
  (country_code, country_name, continent)
values('us', 'United States', 'AMERICA')
```

Strings

For $strings, SINGLE\ quotes$ is the standard and what works everywhere.

The standard SQL way to **escape a quote** is to double it.

Date

There are only two ways to enter a date, as a string or as the result of a function or computation.

to_date('07/20/1969' , 'MM/DD/YYYY')

CURRENT DATE

CURRENT_DATE (no time) and CURRENT_TIMESTAMP (time included) are recognized by all products

Lecture 3

SELECT

select * from movies \approx print table

In a program, always name columns.

Restriction

```
select ...
from ...
where ...
```

SELECT is followed by the names of the columns you want to return, FROM by the name of the tables that you query, and WHERE by filtering conditions.

```
select *
from movies
where country = 'us'
and year_released between 1940 and 1949
```

and is "stronger" than or

- =
- <> or !=
- < <=
- >>=

Whenever you are comparing data of slightly different types, you should use functions that "cast" data types. It will avoid bad surprises.

```
Another frequent mistake is with datetime values
```

```
where issued >= `<Monday's date>`
    -- <Monday 00:00:00>
and issued <= `<Friday's date>`
    -- <Friday 00:00:00>
```

IN()

```
where country in ('us', 'gb')
and year_released between 1940 and 1949
```

country not in ('us', 'gb')

LIKE

- % any number of characters, including none
- one and only one character

```
select * from movies
where title not like '%A%'
  and title not like '%a%'
```

```
select * from movies
  where upper(title) not like '%A%'
```

Not good to apply a function to a searched column.

NULL

The only way to test NULL.

distinct

where column_name is null

where column_name is not null

Concatenating Strings

'hello' || ' world' Most products

'hello' + ' world' SQL Server

concat('hello', 'world') MySQL

Avoid applying functions to columns that are used for comparison.

CASE .. END

```
case upper(color)
when 'Y' then 'Color'
when 'M' then 'B&W'
else '?'
end as color,
```

NULL cannot be tested in a WHEN branch.

Useful Functions

Numerical Functions

round(3.141592, 3) 3.142

trunc(3,141592, 3) 3.141

floor() ceiling()

String Functions

upper lower()

substr('Citizen Kane'), 5, 3 'zen'

```
trim(' Oops ') 'Oops'
replace('Sheep', 'ee', 'i') 'Ship'
length()
Date Functions
    To give just one example, here is how you can add one month to a date
    (similar syntax for days, weeks, ... except for Oracle)
      ŠÖLServer dateadd(month, 1, date_col)
                                                                    date_col + 1 month
        IBM. DB2.
                                                                      date_col + interval'1 month'
            MySQL
                                                                    date_add(date_col, interval 1 month)
                                                                    add_months(date_col, 1)
           ORACLE"
                                                                      date_col + decimal_number
               	extstyle 	ext
cast(__ as __)
```

Aggregate Functions

aggregate function will aggregate all rows that share a feature and return a characteristic of each group of aggregated rows.

GROUP BY

```
select country, year_released, count(*) number_of_movies
from movies
group by country, year_released
```

You can also group on several columns. Every column that isn't an aggregate function and appears after SELECT must also appear after GROUP BY.

- count(*) count(col)
- min(col)
- max(col)
- avg(col)
- sum(col)
- stddev()

SQLite hasn't stddev(), which computes the standard deviaTon

```
select * from (
    select country,
        min(year_released) oldest_movie
    from movies
    group by country
    ) earliest movies_per_country
where oldest_movie < 1940</pre>
```

HAVING

```
select country,
    min(year_released) oldest_movie
from movies
group by country
having min(year_released) < 1940</pre>
```

having country = 'us' hurts performance.

```
where country = 'us' is way more efficient.
```

When you apply a funcTon or operators to a null, with very few exceptions the result is null because the result of a transformation applied to something unknown is an unknown quantity.

Aggregate functions ignore NULLs.

Counting a mandatory column such as **BORN** will return the same value as **COUNT(*)**. The third count, though, will only return the number of dead people in the table.

select count(distinct colname)

How many people are both actors and directors?

```
select peopleid, count(*) as number_of_roles
from (select distinct peopleid, credited_as
    from credits
    where credited_as in ('A', 'D')
) all_actors_and_directors
group by peopleid
having count(*) = 2
```

Lecture 4

JOIN

```
select title, country_name, year_released
from movies
join countries
```

```
on country_code = country
where country_code <> 'us'
```

USING

Not supported by SQL Server

```
select distinct first_name, surname
from people
join credits
using (peopleid)
where credited_as = 'D'
```

Alias

```
select distinct p.first_name, p.surname
from people p
  join credits c
   on c.peopleid = p.peopleid
where credited_as = 'D'
```

Self-join

INNER JOIN

the regular join

OUTER JOIN

Use LEFT OUTER JOIN only.

CONTRARY TO WHAT HAPPENS WITH THE ORDINARY (inner) JOIN, ORDER IS IMPORTANT.

COALESCE()

takes an indeterminate number of parameters and returns the first one that isn't **NULL**, available with all products.

Filter close to tables

With LEFT OUTER JOINs, apply all condilons before joining.

British movie titles with director names when available?

It's not necessary to have a subquery of British films for MOVIES, but it is necessary to have a subquery that only returns directors.

```
select m.year_released, m.title,
    p.first_name, p.surname
from (select movieid, year_released, title
    from movies
    where country = 'gb') m
left outer join (select movieid, peopleid
    from credits
        where credited as = 'b') c
    on c.movieid = m.movieid
left outer join people p
    on p.peopleid = c.peopleid
```

Filtering and Qualifying

An outer join is always a qualifying join, unless it is associated with an IS **NULL** condilon, meaning that not finding a match is significant.

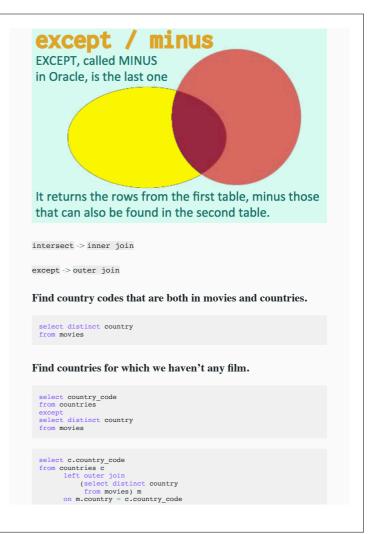
If a join is removed, MORE rows?

- Yes -> Filtering
- No -> Qualifying

Set Operations

UNION

takes two result sets and combine them into a single result set. • must return the same number of columns. • the data types of corresponding columns must match. UNION ALL INTERSECT The first other set operator intersect is INTERSECT It returns the common rows in two tables (or query results) EXCEPT / MINUS



where m.country is null **SUBQUERY** Correlation where c.country_code = m.country)
as country_name from movies m
where m.country <> 'us Strictly speaking, a subquery afer the SELECT is more equivalent to a LEFT OUTER IOIN select m.title,
 c.country_name
from movies m
 left outer join countries c
 on c.country_code = m.country
where m.country <> 'us' A subquery in the FROM cannot be correlated. from clause uncorrelated select list correlated where clause uncorrelated or correlated IN() in (select col from ... where ...)

elect country, year released, title from movies where country in (select country_coue
from countries
where continent = 'EUROPE') Some products (Oracle, DB2, PostgreSQL with some twisting) even allow comparing a set of column values (the correct word is "tuple") to the result of a subquery.

(col1, col2) in
 (select col3, col4
 from t
 where ...)

IN() means an implicit DISTINCT in the subquery.

If demonstrably unique, no distinct with a JOIN (no need with a IN()).

select country, title from ... inner join from ...) on ...

where col in (select distinct ... from ...)

But, if there is the shadow of a possibility, however remote, that one day I might have duplicates (in other (select distinct ... words, if I haven't the guarantee of a constraint), then I should have DISTINCT otherwise I may have wrong results with a JOIN (although not with a IN (), but I prefer documenting the possibility of a problem)

Lecture 5

NULLS

```
select * from people where born >= 1970
and first_name not in
  (select first_name
    from people
where born < 1970
      and first name is not null)
```

WHERE Correlated Query

Correlated queries in the WHERE clause are used with the (NOT) EXISTS construct

NEVER try to correlate an IN()!

EXISTS NOT EXISTS

The films with at least one actor born in 1970 or later.

```
from credits c
from credits c
inner join people p
on p.peopleid = c.pe
where c.credited_as = 'A'
and p.born >= 1970
and c.movieid = m.movieid)
                                                                    c.peopleid
```

Sorting Data

ORDER BY

order by coll desc, col2 asc

ASC is the default and nobody uses it.

Ordering depends on the data type.

What about NULL?

It depends on the DBMS.

SQL Server, MySQL and SQLite consider by default that nothing is smaller than everything.

DB2, Oracle and PostgreSQL that it's greater than anything.

Collation

Local text sorting rules.

Advanced sorts

Using CASE .. END to replace each code with a value that sorts as intended.

```
order by case credited_as
```

LIMIT

PostgreSQL, MySQL, SQLite

limit 10

IBM DB2, ORACLE, PostgreSQL

fetch first 10 rows

SOL Server

```
select top 10 title, ...
```

In Oracle, if you just want to keep the first ten rows, since the **rownum** of each row is assigned before the sort, the orered query must be nested.

```
select *
from (select title,
country,
year_released
from movies
order by title) m where rownum <= 10
```

Third Page

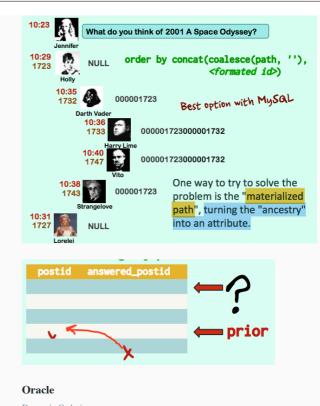
PostgreSQL, MySQL, SQLite

```
select title,
country,
year_released
from movies
order by title limit 10 offset 20
```

If you are using with SQL Server or DB2 the equivalent of LIMIT, then there is no OFFSET. You must cheat

Materialized Path

Turning the "ancestry" into an attribute.



Dynamic Ordering

```
select message, ...
from forum_posts ..
connect by answered_postid = prior postid
start with answered_postid is null
and topicid = ...
order siblings by postid
```

IBM DB2, SQL Server, Oracle

Recursive queries

Recursive queries operate level by level from top to bottom.

Window Functions

Format

Like scalar functions, they return a result for a single row; but like aggregate functions, this result is computed out of several rows.

func(parameters) over (magic clause)

Aggregate Function as Window Function

With DBMS products that support window funcIons, every aggregate function can be used as a window function.

min(year released) over (partition by country)

Window functions always operate againts rows that belong to a result set.

One related characteristics is that they can only appear aler the SELECT, not in the WHERE clause, and there is nothing with them similar to HAVING with aggregate functions.

OVER()

You can have an empty **OVER** clause to indicate that you want the result computed over all rows selected.

min(year_released) over()

When there is an **ORDER BY** you cannot start returning rows before you have seen all of them. The same thing can be obtained with **CROSS JOIN** (also called a Cartesian join).

Ranking Reporting Function

row_number()

assigns distinct, sequential numbers to everyone.

rank()

assigns the same number to ties, but there is a gap in ranks.

dense_rank()

assigns the same number to ties, with no gap

Generating HTML

<tag></tag>

Lecture 6

HTML Charts

. . .

SQL Subtleties

SQL isn't fully relational.

With SQL (at least with complex queries, and they occur a lot in reporting) you keep juggling between relational and non-relational features of the language.

Common Table Expressions

within

with something as ...

LEFT OUTER JOIN

"Filling the gaps"

```
select x.year_released, count(m.movieid) as films

from (select 1925 as year_released
    union all select 1927
    union all select 1927
    union all select 1928
    union all select 1929
    union all select 1930
    union all select 1930
    union all select 1931
    union all select 1931
    union all select 1932
    union all select 1932
    union all select 1933
    union all select 1934) x
    left outer join movies m
    on m.year_released = x.year_released
group by x.year_released
-- There are various tricks for generating lists of values
```

Interesting use of min/max

All values are equal:

having min(...) = max(...)

exists compared to count

exists compared to count

When it comes to correlated subqueries, if you execute them a number of times, then you don't want to spend too much time in each one.

A surprising high number of people use count(*) when they are only interested in existence and only want to check whether the result of the count is zero or not. Suppose that you are scanning a 1,000,000 row table. If you use EXISTS and if the third row you inspect verifies the condition, you can stop here. If you use COUNT, you must check all rows to find how many other rows also verify the condition.

Limiting damage

Another interesAng use of window functions is limiting damage with runaway queries.

Fuzzy Searches

soundex()

Basically you retain the first lemer, drop vowels, lemers that sound like vowels (w=oo), h (ofen silent), then replace similarly sounding consonents by the same digit, before eliminating one of two successive idenAcal digits.

B, F, P, V	1
C, G, J, K, Q, V, X, Z	2
D, T	3
L	4
M, N	5
R	6

Problem: Strong Anglo-Saxon Bias

Thinking a Query

Queries must be thought as successive layers, from the inside out.

1. The Scope

Identifying the tables we need.

2. Aggregates

We must perform them before joining when possible.

3. Main Filter

THE condition that defines the most precisely the subset of rows we want to retrieve.

4. Core Joins

Core joins are either the ones that contribute to filtering (not in that case) or that returns informatioon that you should return and that shall be here.

5. Polish

Additional information, ordering, etc. Make the result nicer.

Transaction

begin transaction start transaction

A transaction ends when you issue either COMMIT or ROLLBACK.

Data Change

UPDATE

What appears as an update may be in fact an insert.

In banking system, what is stored is operations, and balances are recomputed once in a while.

INSERT

Most products (exceptions are Oracle and SQLite) allow inserting several rows in one statement, with a comma-separated list of row data between parentheses.

```
insert into table_name
  (column1, column2, ..., columnn)
values (value1, value2, ..., valuen),
    ...
  (valuep, valueq, ..., valuez)
```

If you omit a column in insert, the value inserted is the default one if defined, otherwise it will be NULL.

If the column is nullable, nothing prevents you from explicitly inserting **NULL**, and the default value won't be used.

How to populate numerical identifiers

Two approaches

SEQUENCE

Simply a number generator.

IBM DB2, Oracle, SQL Server 2012, PostgreSQL

create sequence movie_seq

Lecture 7

```
ORACLE
                                                Syntax varies, but you
      insert into movies(movieid, ...) can obtain a new
      values(movie_seq.nextval, ...)
                                                (guaranteed to be
      \textbf{insert into credits(movieid, } \ldots \textbf{)} \text{ unique) number, and}
                                                retrieve the last
      values(movie_seq.currval, ...)
IBM. DB2. FÖÖLG
                                                number you obtained
                                                for this sequence and
      insert into movies(movieid, ...) this session. values(next value for movie_seq, ...) DB2 only
      insert into credits(movieid, ...)
values(previous value for movie_seq,
      insert into movies(movieid, ...)
      values(nextval('movie_seq'), ...)
      insert into credits(movieid, ...)
      values(currval('movie_seq'), ...)
```

Auto-Numbered Column

SQL Server

create table movies (movieid int not null identity primary key)

PostgreSQL, MySQL

serial primary key

SQLite

integer primary key

PostgreSQL actually creates a sequence behind the scene, which it "attaches" to the table so that dropping the table drops the sequence.

Oracle (since version 12, it wasn't possible before) can do it PostgreSQL style, but more explicitly.

Loading Data From a File

Linux Line 1\nLine 2

Windows Line1\r\nLine2

File Format

- Comma Separated Values (CSV)
- Tab-separated
- Fixed-field Files
- XML

When everything else fails, using a scripting language to generate INSERT statements is usually the simplest soluTon.

UPDATE

Without a WHERE all rows are affected.

```
update table_name
set column_name = new_value,
    other_col = other_val,
    ...
where ...
```

UPDATE is a SET operation.

- Updates in loops are WRONG.
- · Think massive operations.

Like a join in a select, same issues with nulls and duplicates!

Oracle and DB2 both support subqueries returning several columns (SQLite also now).

SQL Server and PostgreSQL both support the same older-join type of syntax allowing to join the updated table to the one from which we are gerng data.

MySQL allows a join with the newer syntax.

It's usually forbidden to update a key - it's the identifier. You cannot change an identifier. You can only delete the row and insert another.

Update or Insert

SQL Server, Oracle

MERGE

A interesting operation would be to update a film we know, and insert it if we don't. That's the purpose of MERGE.

MySQL

on duplicate key update

SOLite

insert or replace

Update then Insert

When none of the above is available, you should try to update, and if nothing is affected insert.

NEVER count first to see if the row is already here! It's useless work.

DELETE

```
delete from table_name
where ...
```

If you omit the WHERE clause, then (as with ${\tt UPDATE})$ the statement affects all rows and you ${\tt EMPTY}$ table_name!

TRUNCATE

without a WHERE clause. Leave it to senior DBAs

```
begin transaction
```

SQL Programming

Functions

select full_name(first_name, surname) as name, born, died
from people order by surname

Procedural extensions to SQL

```
select col1, col2, ...
into local_var1, local_var2, ...
from ...
```

Cursors

"row variables"

...