

Algorithms and Datastructures

Lecture 10

Manfred Jaeger

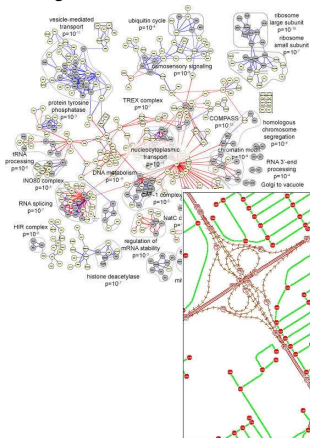


AALBORG UNIVERSITET

Graphs

Graphs and Networks everywhere:

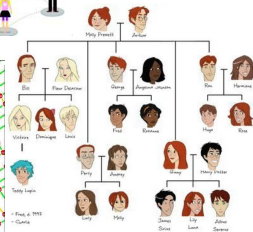
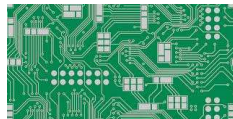
Biological Network



Social Network



Circuit

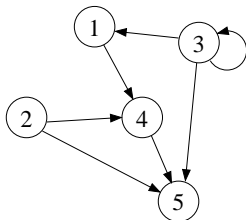


Pedigree

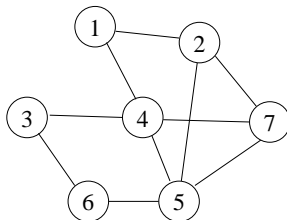
Road Network



The mathematical model for connected entities:



directed



undirected

A **Graph** is a pair (V, E) where

- ▶ $V = \{v_1, \dots, v_n\}$ is a set of nodes (a.k.a. vertices).
- ▶ E is a set of ordered pairs (v_i, v_j) of vertices (**directed** graph), or unordered sets $\{v_i, v_j\}$ of vertices (**undirected** graph)
- ▶ Undirected graphs contain no self loops (edges (v, v))

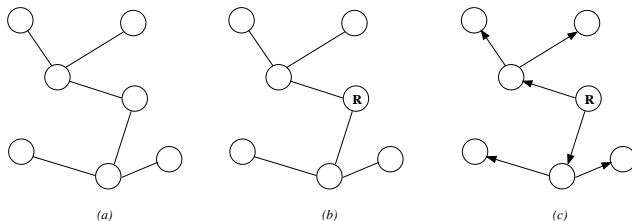
A graph is **weighted** if there also is a function

$$w : E \rightarrow \mathbb{R}$$

Cycles

Path: Sequence of nodes $\langle v_0, \dots, v_k \rangle$ so that $(v_i, v_{i+1}) \in E$ ($i = 0, \dots, k - 1$).

Simple Cycle: Path with $v_0 = v_k$, and all vertices v_1, \dots, v_k are distinct. For undirected graphs: $k \geq 3$.



Trees

Tree (a): Undirected graph without simple cycles

Rooted Tree (b): Tree with distinguished *Root* node


Directed Tree (c): Directed graph with distinguished *Root* node R , so that every node $v \in V$ is reachable from R by a unique path.

A Graph ADT

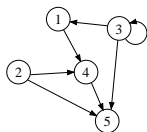
Data: graph data (V, E)

Operations

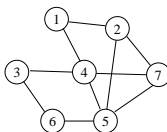
Name	Specification
<code>void addNode(v)</code>	adds new node v to V
<code>void addEdge(v, w)</code>	adds new edge (v, w) (or $\{v, w\}$) to E
<code>void removeNode(v)</code>	remove node v from V
<code>void removeEdge(v, w)</code>	remove edge (v, w) (or $\{v, w\}$) from E
<code>list(Nodes) allNodes()</code>	returns a list of all nodes in V
<code>list(Nodes) neighbors(v)</code>	returns a list of all nodes w with $(v, w) \in E$ ($\{v, w\} \in E$)
<code>boolean testEdge(v, w)</code>	returns <i>true</i> if $(v, w) \in E$ ($\{v, w\} \in E$)

 Not necessarily a complete list (Graph ADT does not have a generally accepted, normative definition, like Stack, Queue ...)!

 For weighted graphs also *get*, *set* operations for the weights.



	1	2	3	4	5
1	0	0	0	1	0
2	0	0	0	1	1
3	1	0	1	0	1
4	0	0	0	0	1
5	0	0	0	0	0



	1	2	3	4	5	6	7
1	0	1	0	1	0	0	0
2	1	0	0	0	1	0	1
3	0	0	0	1	0	1	0
4	1	0	1	0	1	0	1
5	0	1	0	1	0	1	1
6	0	0	1	0	1	0	0
7	0	1	0	1	1	0	0

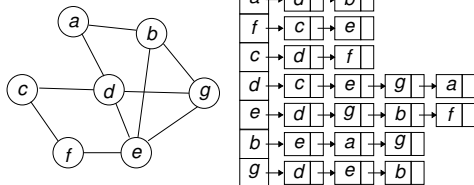
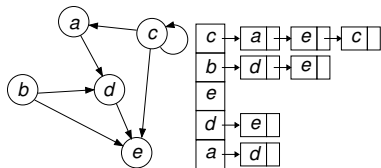
- Nodes identified with indices $1, \dots, n$
- Edge set E stored as an $n \times n$ -dimensional **adjacency matrix** A with

$$A[i, j] = \begin{cases} 1 & \text{if } (i, j) \in E \\ 0 & \text{if } (i, j) \notin E \end{cases}$$

For weighted graphs:

$$A[i, j] = \begin{cases} w(i, j) & \text{if } (i, j) \in E \\ \text{nil} & \text{if } (i, j) \notin E \end{cases}$$

(alternative: 0 for non-existing edges)



- ▶ Data stored in array of linked lists
- ▶ List elements contain identifier of (or pointer to) node
- ▶ Does not require that nodes possess integer identifiers $1, \dots, n$.

Call nodes **indexed**, if nodes $v \in V$ have attribute $v.index \in 1, \dots, |V|$ (for adjacency matrix nodes need to be indexed).

Operation	Adjacency Matrix	Adjacency List	
		Indexed	Not Indexed
<code>void addEdge(v, w)</code>	$O(1)$	$O(1)$	$O(V)$
<code>list(Nodes) allNodes()</code>	$O(1)$	$O(1)$	$O(1)$
<code>list(Nodes) neighbors(v)</code>	$O(V)$	$O(1)$	$O(V)$
<code>boolean testEdge(v, w)</code>	$O(1)$	$O(E)$	$O(V + E)$

Space: Adjacency Matrix: $O(|V|^2)$; Adjacency List: $O(|V| + |E|)$. (but matrix has much lower constant factor!).

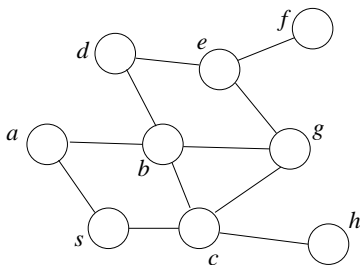
Breadth-first Search

input : Graph G with *source* vertex
 $s \in V$

output: Setting of node attributes
color, *d*, and π .

BFS(G, s)

```
1 for each  $u \in G.allnodes() \setminus \{s\}$  do
2    $u.color = white$ 
3    $u.d = \infty$ 
4    $u.\pi = nil$ 
5  $s.color = gray$ 
6  $s.d = 0$ 
7  $s.\pi = nil$ 
8  $Q = newQueue$ 
9  $ENQUEUE(Q, s)$ 
10 while  $Q \neq \emptyset$  do
11    $u = Dequeue(Q)$ 
12   for each  $v \in neighbors(u)$  do
13     if  $v.color = white$  then
14        $v.color = gray$ 
15        $v.d = u.d + 1$ 
16        $v.\pi = u$ 
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```



input : Graph G with *source* vertex
 $s \in V$

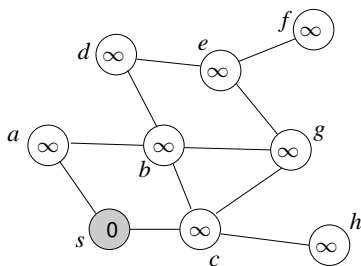
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```



Q:
 s

input : Graph G with *source* vertex
 $s \in V$

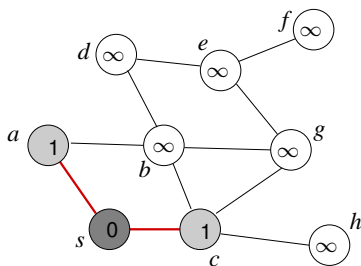
output: Setting of node attributes
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```



Q:

a
c

input : Graph G with *source* vertex $s \in V$

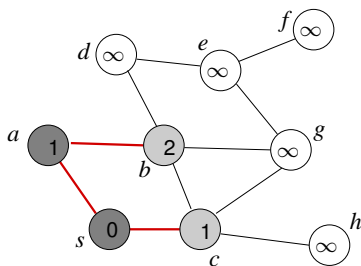
output: Setting of node attributes *color*, *d*, and π .

BFS(G, s)

```

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```



Q:

c
b

input : Graph G with *source* vertex
 $s \in V$

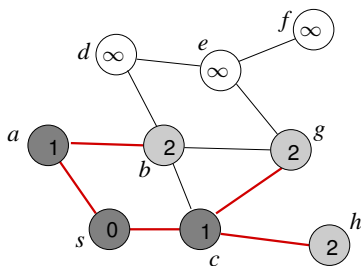
output: Setting of node attributes
color, *d*, and π .

BFS(G, s)

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```



Q:

b
g
h

input : Graph G with *source* vertex
 $s \in V$

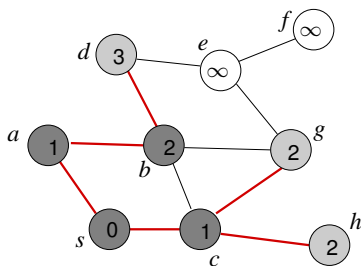
output: Setting of node attributes
 $color$, d , and π .

BFS(G, s)

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Q:

g
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d

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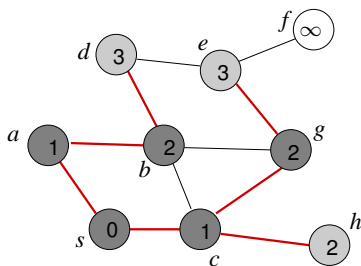
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```



Q:

h
d
e

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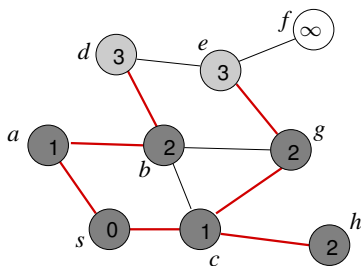
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BFS(G, s)

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Q:

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e

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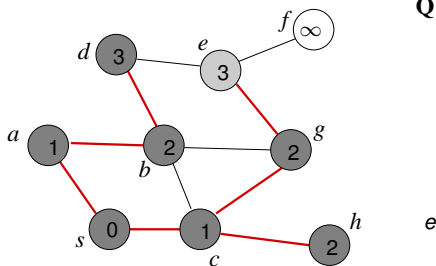
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BFS(G, s)

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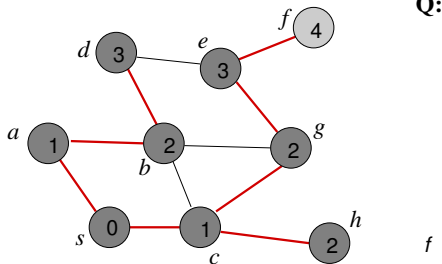
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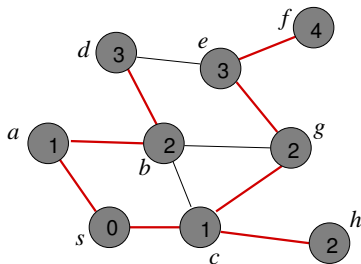
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```

Q:



BFS(G, s)

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```

Aggregate Analysis

Analysing loops: Instead of bounding the time for each single loop iteration, and summing over all iterations:

- ▶ directly bound the total time spent on all loop iterations

BFS Analysis

Total time spent:

- ▶ lines **10,11**: $O(|V|)$ (each node is enqueued/dequeued at most once).
- ▶ lines **13-17**: $O(|E|)$ (each edge is processed once (directed graph), or twice (undirected graph)).
- ▶ line **12**: $O(|V|)$ if computation of $neighbors(u)$ is $O(1)$, $O(|V|^2)$ if $neighbors(u)$ is $O(|V|)$.

Total time $O(|V| + |E|)$ if $neighbors(u)$ computable in $O(1)$, otherwise $O(|V|^2)$.

Shortest Path

For unweighted graph G : shortest path distance $\delta(s, v)$ from s to v is the minimum number of edges on paths from s to v (∞ if no path exists).

Shortest Paths from BFS

After termination of $BFS(G, s)$:

- ▶ for all $v \in V$: $v.d = \delta(s, v)$
- ▶ for all v with $v.d \neq \infty$: a shortest path from s to v is given by backward-tracing the π pointers from v to s .

Depth-first Search

DFS(G)

```

1 for each  $u \in G.allnodes()$  do
2    $u.color = white$ 
3    $u.\pi = nil$ 
4  $time = 0$ 
5 for each  $u \in G.allnodes()$  do
6   if  $u.color = white$  then
7     DFS-VISIT( $G, u$ )

```

DFS-VISIT(G, u)

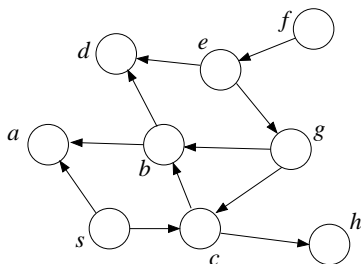
```

1  $time = time + 1$ 
2  $u.d = time$ 
3  $u.color = gray$ 
4 for each  $v \in neighbors(u)$  do
5   if  $v.color = white$  then
6      $v.\pi = u$ 
7     DFS-VISIT( $G, v$ )
8  $u.color = black$ 
9  $time = time + 1$ 
10  $u.f = time$ 

```

Snapshots at line 4 of DFS-VISIT.

Nodes marked with $u.d/u.f$:



DFS(G)

```

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DFS-VISIT(G, u)

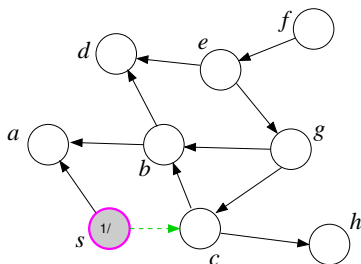
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```

Snapshots at line 4 of DFS-VISIT.

Nodes marked with $u.d/u.f$:



current node u



π edge



next $v \in neighbors(u)$

DFS(G)

```

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DFS-VISIT(G, u)

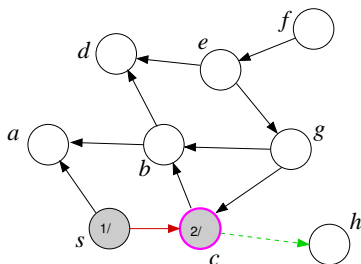
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Snapshots at line 4 of DFS-VISIT.

Nodes marked with $u.d/u.f$:



current node u



π edge



next $v \in neighbors(u)$

DFS(G)

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```

DFS-VISIT(G, u)

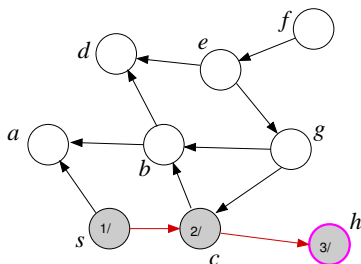
```

1  $time = time + 1$ 
2  $u.d = time$ 
3  $u.color = gray$ 
4 for each  $v \in neighbors(u)$  do
5   if  $v.color = white$  then
6      $v.\pi = u$ 
7     DFS-VISIT( $G, v$ )
8  $u.color = black$ 
9  $time = time + 1$ 
10  $u.f = time$ 

```

Snapshots at line 4 of DFS-VISIT.

Nodes marked with $u.d/u.f$:



current node u



π edge



next $v \in neighbors(u)$

DFS(*G*)

```

1 for each  $u \in G.allnodes()$  do
2    $u.color = white$ 
3    $u.\pi = nil$ 
4  $time = 0$ 
5 for each  $u \in G.allnodes()$  do
6   if  $u.color = white$  then
7     DFS-VISIT( $G, u$ )

```

DFS-VISIT(G, u)

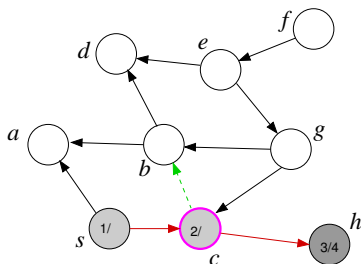
```

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3  $u.color = gray$ 
4 for each  $v \in neighbors(u)$  do
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7     DFS-VISIT( $G, v$ )
8  $u.color = black$ 
9  $time = time + 1$ 
10  $u.f = time$ 

```

Snapshots at line 4 of DFS-VISIT.

Nodes marked with $u.d/u.f$:



current node u



π edge



next $v \in neighbors(u)$

DFS(G)

```

1 for each  $u \in G.allnodes()$  do
2    $u.color = white$ 
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4  $time = 0$ 
5 for each  $u \in G.allnodes()$  do
6   if  $u.color = white$  then
7     DFS-VISIT( $G, u$ )

```

DFS-VISIT(G, u)

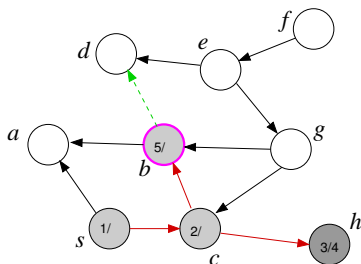
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1  $time = time + 1$ 
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4 for each  $v \in neighbors(u)$  do
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8  $u.color = black$ 
9  $time = time + 1$ 
10  $u.f = time$ 

```

Snapshots at line 4 of DFS-VISIT.

Nodes marked with $u.d/u.f$:



current node u



π edge



next $v \in neighbors(u)$

DFS(G)

```

1 for each  $u \in G.allnodes()$  do
2    $u.color = white$ 
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6   if  $u.color = white$  then
7     DFS-VISIT( $G, u$ )

```

DFS-VISIT(G, u)

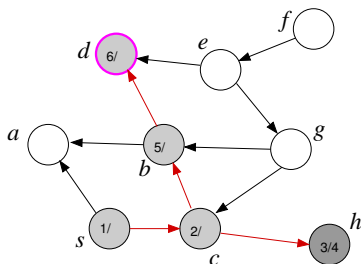
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4 for each  $v \in neighbors(u)$  do
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8  $u.color = black$ 
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10  $u.f = time$ 

```

Snapshots at line 4 of DFS-VISIT.

Nodes marked with $u.d/u.f$:



current node u



π edge



next $v \in neighbors(u)$

DFS(G)

```

1 for each  $u \in G.allnodes()$  do
2    $u.color = white$ 
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6   if  $u.color = white$  then
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```

DFS-VISIT(G, u)

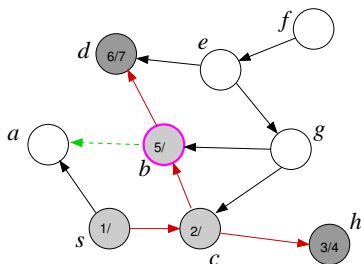
```

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3  $u.color = gray$ 
4 for each  $v \in neighbors(u)$  do
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7     DFS-VISIT( $G, v$ )
8  $u.color = black$ 
9  $time = time + 1$ 
10  $u.f = time$ 

```

Snapshots at line 4 of DFS-VISIT.

Nodes marked with $u.d/u.f$:



current node u



π edge



next $v \in neighbors(u)$

DFS(G)

```

1 for each  $u \in G.allnodes()$  do
2    $u.color = white$ 
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```

DFS-VISIT(G, u)

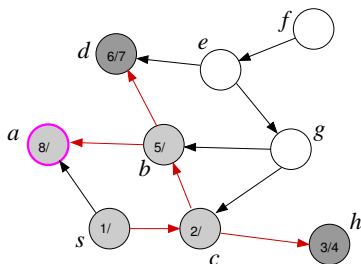
```

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4 for each  $v \in neighbors(u)$  do
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8  $u.color = black$ 
9  $time = time + 1$ 
10  $u.f = time$ 

```

Snapshots at line 4 of DFS-VISIT.

Nodes marked with $u.d/u.f$:



current node u



π edge



next $v \in neighbors(u)$

DFS(G)

```

1 for each  $u \in G.allnodes()$  do
2    $u.color = white$ 
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4  $time = 0$ 
5 for each  $u \in G.allnodes()$  do
6   if  $u.color = white$  then
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```

DFS-VISIT(G, u)

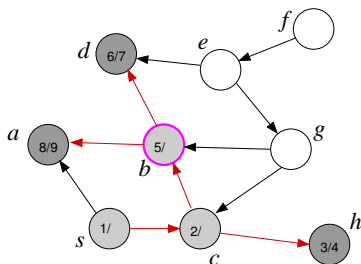
```

1  $time = time + 1$ 
2  $u.d = time$ 
3  $u.color = gray$ 
4 for each  $v \in neighbors(u)$  do
5   if  $v.color = white$  then
6      $v.\pi = u$ 
7     DFS-VISIT( $G, v$ )
8  $u.color = black$ 
9  $time = time + 1$ 
10  $u.f = time$ 

```

Snapshots at line 4 of DFS-VISIT.

Nodes marked with $u.d/u.f$:



current node u



π edge



next $v \in neighbors(u)$

DFS(G)

```

1 for each  $u \in G.allnodes()$  do
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6   if  $u.color = white$  then
7     DFS-VISIT( $G, u$ )

```

DFS-VISIT(G, u)

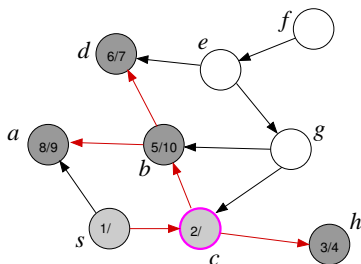
```

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3  $u.color = gray$ 
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8  $u.color = black$ 
9  $time = time + 1$ 
10  $u.f = time$ 

```

Snapshots at line 4 of DFS-VISIT.

Nodes marked with $u.d/u.f$:



current node u



π edge



next $v \in neighbors(u)$

DFS(G)

```

1 for each  $u \in G.allnodes()$  do
2    $u.color = white$ 
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6   if  $u.color = white$  then
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```

DFS-VISIT(G, u)

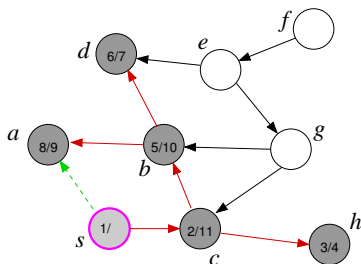
```

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8  $u.color = black$ 
9  $time = time + 1$ 
10  $u.f = time$ 

```

Snapshots at line 4 of DFS-VISIT.

Nodes marked with $u.d/u.f$:



current node u



π edge



next $v \in neighbors(u)$

DFS(G)

```

1 for each  $u \in G.allnodes()$  do
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```

DFS-VISIT(G, u)

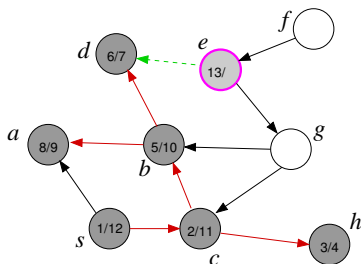
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```

Snapshots at line 4 of DFS-VISIT.

Nodes marked with $u.d/u.f$:



current node u



π edge



next $v \in neighbors(u)$

DFS(G)

```

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6   if  $u.color = white$  then
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```

DFS-VISIT(G, u)

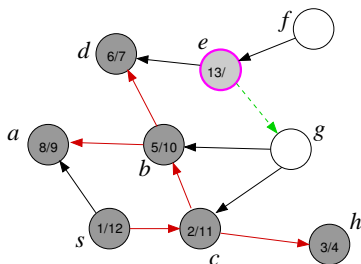
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```

Snapshots at line 4 of DFS-VISIT.

Nodes marked with $u.d/u.f$:



current node u



π edge



next $v \in neighbors(u)$

DFS(*G*)

```

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```

DFS-VISIT(G, u)

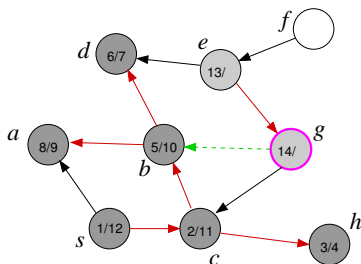
```

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```

Snapshots at line 4 of DFS-VISIT.

Nodes marked with $u.d/u.f$:



current node u



π edge



next $v \in neighbors(u)$

DFS(G)

```

1 for each  $u \in G.allnodes()$  do
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6   if  $u.color = white$  then
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```

DFS-VISIT(G, u)

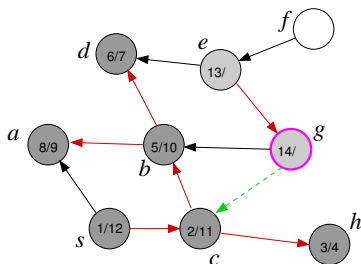
```

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9  $time = time + 1$ 
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```

Snapshots at line 4 of DFS-VISIT.

Nodes marked with $u.d/u.f$:



current node u



π edge



next $v \in neighbors(u)$

DFS(G)

```

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```

DFS-VISIT(G, u)

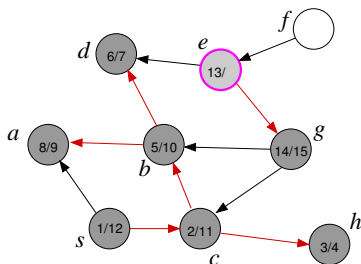
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```

Snapshots at line 4 of DFS-VISIT.

Nodes marked with $u.d/u.f$:



current node u



π edge



next $v \in neighbors(u)$

DFS(G)

```

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```

DFS-VISIT(G, u)

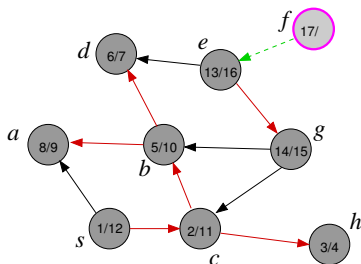
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```

Snapshots at line 4 of DFS-VISIT.

Nodes marked with $u.d/u.f$:



current node u



π edge



next $v \in neighbors(u)$

DFS(G)

```

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6   if  $u.color = white$  then
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```

DFS-VISIT(G, u)

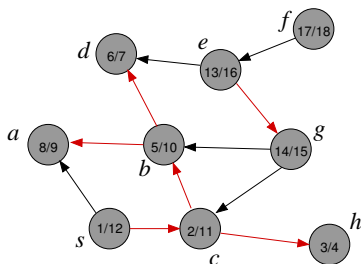
```

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```

Snapshots at line 4 of DFS-VISIT.

Nodes marked with $u.d/u.f$:



current node u



π edge

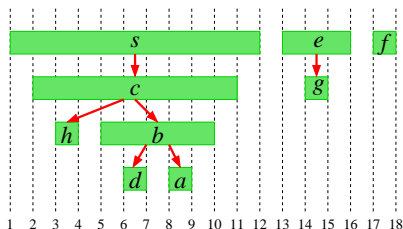
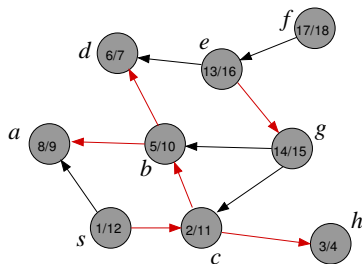


next $v \in neighbors(u)$


Similar aggregate analysis as for BFS:

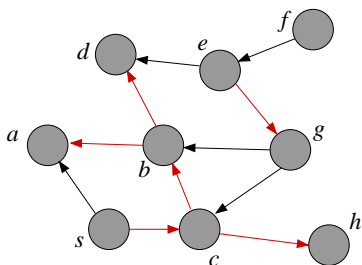
- ▶ $O(|V| + |E|)$ if $neighbors(u)$ computable in $O(1)$
- ▶ $O(|V|^2)$ if $neighbors(u)$ computable in $O(|V|)$

The π -edges define a set of rooted trees (a forest):

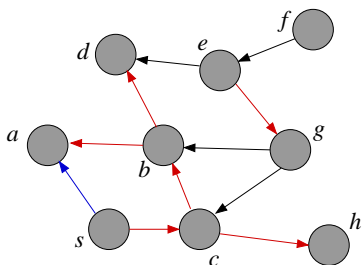


Right: tree structure with nodes spanning time interval $[v.d, v.f]$

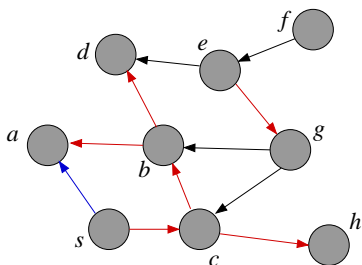
 The exact structure depends on the order in which nodes are enumerated in *allnodes()* and *neighbors(u)*.



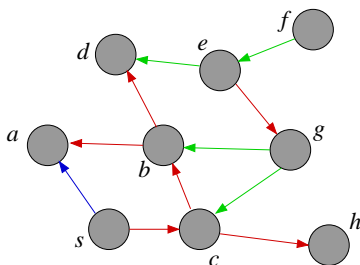
- **Tree edges** (*v.color* = *white* in line 5)




- ▶ **Tree edges** (*v.color* = *white* in line 5)
- ▶ **Forward Edges**: Edges inside one tree, leading from ancestor to descendant (*v.color* = *black* in line 5)



- ▶ **Tree edges** (*v.color* = *white* in line 5)
- ▶ **Forward Edges**: Edges inside one tree, leading from ancestor to descendant (*v.color* = *black* in line 5)
- ▶ **Back Edges**: Edges inside one tree, leading from descendant to ancestor (*v.color* = *gray* in line 5)

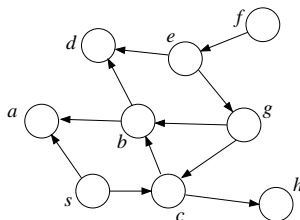
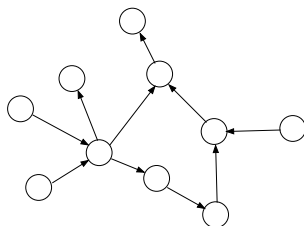


- ▶ **Tree edges** ($v.color = white$ in line 5)
- ▶ **Forward Edges**: Edges inside one tree, leading from ancestor to descendant ($v.color = black$ in line 5)
- ▶ **Back Edges**: Edges inside one tree, leading from descendant to ancestor ($v.color = gray$ in line 5)
- ▶ **Cross Edges**: All other edges ($v.color = black$ in line 5)

 this classification is determined by the node orderings in *allnodes()* and *neighbors(u)*; *not* describing inherent edge properties.

Directed Acyclic Graphs (DAG)

Directed Graph without any cycles:



Typical Application: nodes represent tasks/events, edges temporal or logistic precedence

DAG Test by DFS

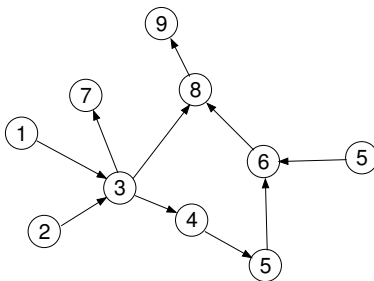
A directed graph is acyclic if and only if a DFS does not produce any Back edges (no gray nodes encountered in line 4)

Typical Application: determine whether set of tasks is feasible

A **topological sort** of a *DAG* is an ordering of the vertices, so that

$$(u, v) \in E \Rightarrow u < v$$

Nodes labelled with index in a topological sort:

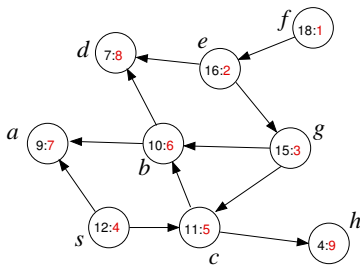


Typical application: construct a *feasible schedule* to carry out all tasks.

Topological Sort and DFS Finishing Times

The finishing times $v.f$ computed by DFS on a DAG define a reverse topological sort.

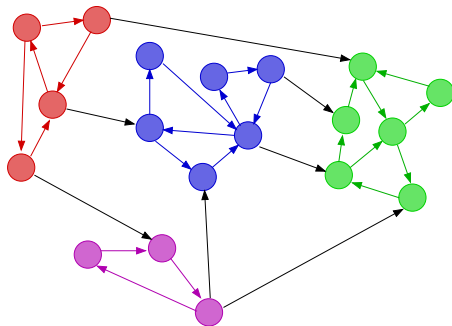
Example: Nodes with finishing times and **index** in topological sort:




Definition

A *strongly connected component (SCC)* of G is *maximal* subgraph C of G , such that for all $u, v \in C$ there exists a path from u to v .

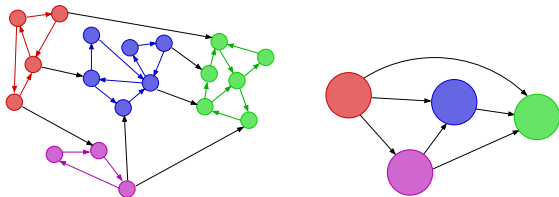
Example: graph with its SCCs shown in color :



 For every directed graph G there is a unique partitioning into SCCs.

The **Component Graph** of the directed graph $G = (V, E)$ is the graph

- ▶ whose nodes are the SCCs C_1, \dots, C_k of G , and
- ▶ there is an edge (C_i, C_j) if and only if there are nodes $u \in C_i$ and $v \in C_j$ with $(u, v) \in E$



 The Component Graph is a DAG!

DFS Trees and SCCs

- ▶ All nodes from SCC will be contained in a single tree constructed by DFS
- ▶ But: a single tree may contain nodes from several SCCs

Goal: apply DFS such that the DFS trees are exactly the SCCs.

Transpose Graph

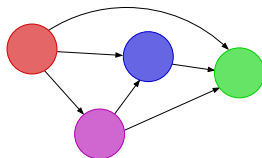
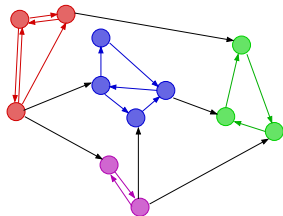
The transpose G^T of a graph $G = (V, E)$ is the graph with the same vertex set V as G , and all edges reversed, i.e. G^T has the edge set

$$E^T = \{(u, v) \mid (v, u) \in E\}$$

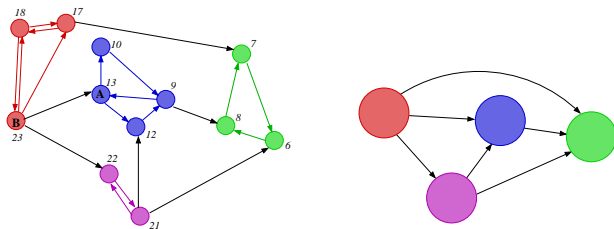
 G and G^T have the same SCCs.

- Step 1: Perform a standard DFS to compute finishing times $v.f$ for all vertices
- Step 2: Turn G into G^T .
- Step 3: Perform a DFS on G^T , where in the top-level loop nodes are processed in decreasing order of their $v.f$ values
- Step 4: Return the trees obtained in the second DFS

Step 1: Perform a standard DFS to compute finishing times $v.f$ for all vertices

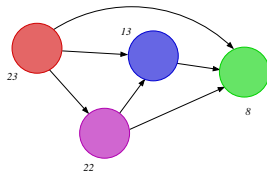
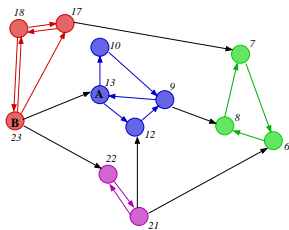


Step 1: Perform a standard DFS to compute finishing times $v.f$ for all vertices



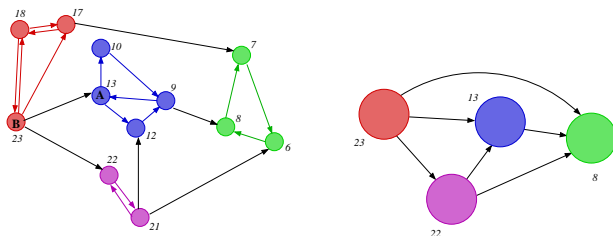
- Finishing times computed (top loop first started at **A**, then **B**; edges processed in clockwise order)

Step 1: Perform a standard DFS to compute finishing times $v.f$ for all vertices



- ▶ Finishing times computed (top loop first started at **A**, then **B**; edges processed in clockwise order)
- ▶ SCCs C labeled with $f(C) = \max_{u \in C} u.f$

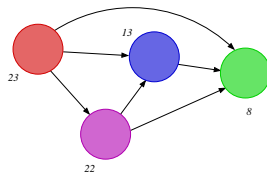
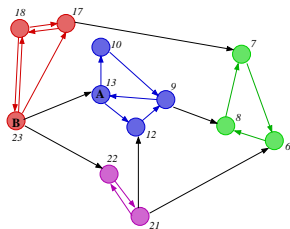
Step 1: Perform a standard DFS to compute finishing times $v.f$ for all vertices



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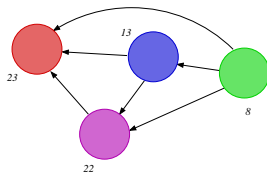
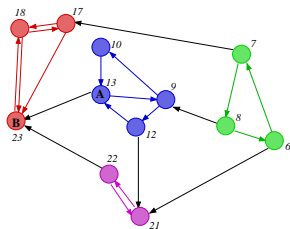
👉 If there is an edge (C, C') in the component graph, then $f(C) > f(C')$.

Step 2: Turn G into G^T .



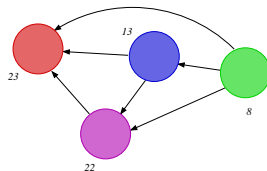
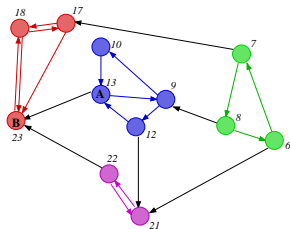
📖 Only the reversal of the edges between different SCCs matters!

Step 2: Turn G into G^T .

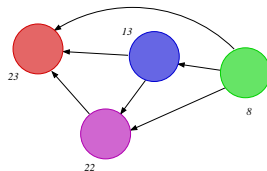
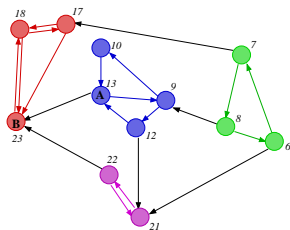


 Only the reversal of the edges between different SCCs matters!

Step 3: Perform a DFS on G^T , where in the top-level loop nodes are processed in decreasing order of their $v.f$ values

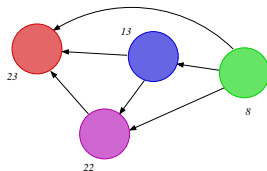
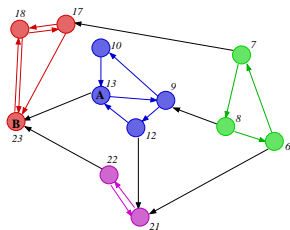


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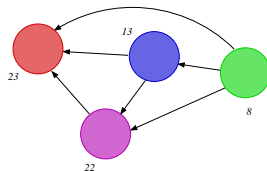
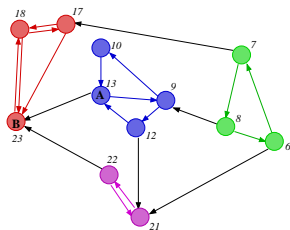
- The first node processed in the top-level loop belongs to a SCC whose nodes do not have any descendants in other SCCs

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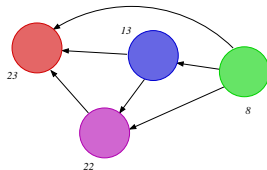
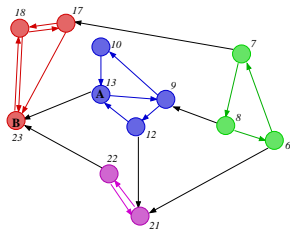
- ▶ The first node processed in the top-level loop belongs to a SCC whose nodes do not have any descendants in other SCCs
- ▶ \rightsquigarrow the first DFS tree consists of the nodes of that component

Step 3: Perform a DFS on G^T , where in the top-level loop nodes are processed in decreasing order of their $v.f$ values



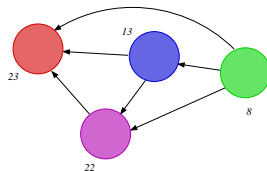
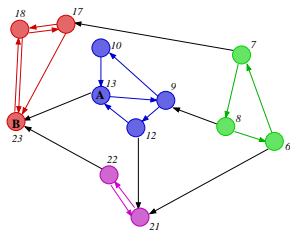
- ▶ The first node processed in the top-level loop belongs to a SCC whose nodes do not have any descendants in other SCCs
- ▶ \rightsquigarrow the first DFS tree consists of the nodes of that component
- ▶ The second node processed in the top-level loop belongs to a SCC whose nodes can only have black descendants in other SCCs

Step 3: Perform a DFS on G^T , where in the top-level loop nodes are processed in decreasing order of their $v.f$ values



- ▶ The first node processed in the top-level loop belongs to a SCC whose nodes do not have any descendants in other SCCs
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- ▶ The second node processed in the top-level loop belongs to a SCC whose nodes can only have black descendants in other SCCs
- ▶ \rightsquigarrow the second DFS tree consists of the nodes of that component

Step 3: Perform a DFS on G^T , where in the top-level loop nodes are processed in decreasing order of their $v.f$ values



- ▶ The first node processed in the top-level loop belongs to a SCC whose nodes do not have any descendants in other SCCs
- ▶ \rightsquigarrow the first DFS tree consists of the nodes of that component
- ▶ The second node processed in the top-level loop belongs to a SCC whose nodes can only have black descendants in other SCCs
- ▶ \rightsquigarrow the second DFS tree consists of the nodes of that component
- ▶ ... etc