

第一章 非完整基理论

1.1 完整基与非完整基的概念

在 ?? 节中，我们利用曲线坐标系 $\mathbf{X}(\mathbf{x})$ 构造了 \mathbb{R}^m 上的一组（局部协变）基

$$\left\{ \mathbf{g}_i(\mathbf{x}) = \frac{\partial \mathbf{X}}{\partial x^i}(\mathbf{x}) \right\}_{i=1}^m \subset \mathbb{R}^m, \quad (1.1)$$

它们称为**完整基**。与之对应，不是由曲线坐标系诱导的基，称为**非完整基**。

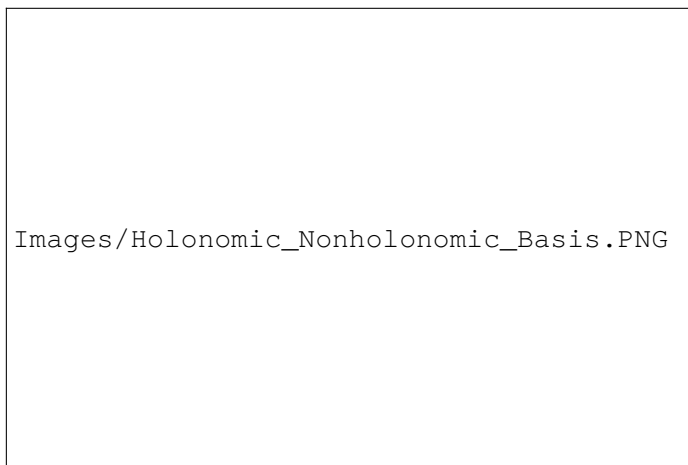


图 1.1: 完整基与非完整基

如图 1.1, x^i -线的切向量构成一组局部协变基 $\{\mathbf{g}_i(\mathbf{x})\}_{i=1}^m$ ，它和它的对偶 $\{\mathbf{g}^i(\mathbf{x})\}_{i=1}^m$ 都是完整基。除此以外，我们当然可以选取另外的基 $\{\mathbf{g}_{(i)}(\mathbf{x})\}_{i=1}^m$ 和 $\{\mathbf{g}^{(i)}(\mathbf{x})\}_{i=1}^m$ ，它们不是由曲线坐标系诱导，因而是非完整基。

1.2 非完整基下的张量梯度

下面我们来考察张量梯度在非完整基下的表达形式。在 ?? 节中，我们已经推导出了张量场的（右）梯度：

$$(\Phi \otimes \nabla)(\mathbf{x}) \triangleq \frac{\partial \Phi}{\partial x^\mu}(\mathbf{x}) \otimes \mathbf{g}^\mu(\mathbf{x}) = \nabla_\mu \Phi_j^{i\ k}(\mathbf{x}) \mathbf{g}_i(\mathbf{x}) \otimes \mathbf{g}^j(\mathbf{x}) \otimes \mathbf{g}_k(\mathbf{x}) \otimes \mathbf{g}^\mu(\mathbf{x}). \quad (1.2)$$

这是一个四阶张量，对应的张量分量可记作

$$(\Phi \otimes \nabla)_j^{\ i\ k}{}_\mu(\mathbf{x}) := \nabla_\mu \Phi_j^{i\ k}(\mathbf{x}). \quad (1.3)$$

除此以外，其他的基当然也可以用来表示该张量，比如前文提到过的 $\{\mathbf{g}_{(i)}(\mathbf{x})\}_{i=1}^m$ 和 $\{\mathbf{g}^{(i)}(\mathbf{x})\}_{i=1}^m$ ，它们都是非完整基。

非完整基与完整基之间的关系，可以利用 ?? 小节中引入的坐标转换关系来获得：

$$\begin{cases} \mathbf{g}_{(i)}(\mathbf{x}) = c_{(i)}^k(\mathbf{x}) \mathbf{g}_k(\mathbf{x}), \end{cases} \quad (1.4-a)$$

$$\begin{cases} \mathbf{g}^{(i)}(\mathbf{x}) = c_k^{(i)}(\mathbf{x}) \mathbf{g}^k(\mathbf{x}); \end{cases} \quad (1.4-b)$$

$$\begin{cases} \mathbf{g}_i(\mathbf{x}) = c_i^{(k)}(\mathbf{x}) \mathbf{g}_{(k)}(\mathbf{x}), \end{cases} \quad (1.4-c)$$

$$\begin{cases} \mathbf{g}^i(\mathbf{x}) = c_{(k)}^i(\mathbf{x}) \mathbf{g}^{(k)}(\mathbf{x}). \end{cases} \quad (1.4-d)$$

2017-01-20 坐标转换关系

其中的基转换系数都是已知量，它们的定义如下：^①

$$\begin{cases} c_{(i)}^j(\mathbf{x}) := \langle \mathbf{g}_{(i)}(\mathbf{x}), \mathbf{g}^j(\mathbf{x}) \rangle_{\mathbb{R}^m}, \end{cases} \quad (1.5-a)$$

$$\begin{cases} c_j^{(i)}(\mathbf{x}) := \langle \mathbf{g}^{(i)}(\mathbf{x}), \mathbf{g}_j(\mathbf{x}) \rangle_{\mathbb{R}^m}. \end{cases} \quad (1.5-b)$$

代入 (1.2) 式，可有^②

$$\begin{aligned} \Phi \otimes \nabla &= \nabla_\mu \Phi_j^{i\ k} \left(\mathbf{g}_i \otimes \mathbf{g}^j \otimes \mathbf{g}_k \otimes \mathbf{g}^\mu \right) \\ &= \nabla_\mu \Phi_j^{i\ k}(\mathbf{x}) \left[\left(c_i^{(p)} \mathbf{g}_{(p)} \right) \otimes \left(c_{(q)}^j \mathbf{g}^{(q)} \right) \otimes \left(c_k^{(r)} \mathbf{g}_{(r)} \right) \otimes \left(c_{(\alpha)}^\mu \mathbf{g}^{(\alpha)} \right) \right] \end{aligned}$$

根据线性性，提出系数：

$$= \left(c_i^{(p)} c_{(q)}^j c_k^{(r)} c_{(\alpha)}^\mu \nabla_\mu \Phi_j^{i\ k} \right) \left(\mathbf{g}_{(p)} \otimes \mathbf{g}^{(q)} \otimes \mathbf{g}_{(r)} \otimes \mathbf{g}^{(\alpha)} \right)$$

写成张量分量与简单张量“乘积”的形式，即为

$$=: (\Phi \otimes \nabla)_{(q)\ (\alpha)}^{(p)\ (r)} \left(\mathbf{g}_{(p)} \otimes \mathbf{g}^{(q)} \otimes \mathbf{g}_{(r)} \otimes \mathbf{g}^{(\alpha)} \right). \quad (1.6)$$

这样，我们就获得了非完整基下张量梯度的表示。再利用式 (1.3)，可知

$$(\Phi \otimes \nabla)_{(q)\ (\alpha)}^{(p)\ (r)} = c_i^{(p)} c_{(q)}^j c_k^{(r)} c_{(\alpha)}^\mu (\Phi \otimes \nabla)_{j\ \mu}^{i\ k}. \quad (1.7)$$

以上结果与 ?? 小节中的推导是完全一致的。

1.3 非完整基的形式运算

在 1.2 节中，我们利用坐标转换关系获得了张量梯度在非完整基下的表示。而在本节，我们将通过定义，建立所谓“形式理论”，获得一套更统一、更连贯的表述。

2017-01-31 统一、连贯？

首先需要给出一些定义。

① 只有两个基转换系数的原因是内积具有交换律。

② 这里我们省略了 “(x)”。

1. 形式偏导数:

$$\frac{\partial}{\partial x^{(\mu)}} \triangleq c_{(\mu)}^l \frac{\partial}{\partial x^l}. \quad (1.8)$$

注意 $\partial/\partial x^{(\mu)}$ 本身是不能用极限形式来定义的, 因为曲线坐标系中并不存在有 $x^{(\mu)}$ 坐标线.

2. 形式 Christoffel 符号:

$$\Gamma_{(\alpha)(\beta)}^{(\gamma)} \triangleq c_{(\alpha)}^i c_{(\beta)}^j c_k^{(\gamma)} \Gamma_{ij}^k - c_{(\alpha)}^i c_{(\beta)}^j \frac{\partial c_j^{(\gamma)}}{\partial x^i} = c_{(\alpha)}^i c_{(\beta)}^j \left(c_k^{(\gamma)} \Gamma_{ij}^k - \frac{\partial c_j^{(\gamma)}}{\partial x^i} \right). \quad (1.9)$$

2017-01-31 第一类形式 Christoffel 符号

3. 形式协变导数. 我们以三阶张量 Φ 为例给出定义. Φ 在非完整基下可以用混合分量表示如下:

$$\Phi_{(\beta)}^{(\alpha)(\gamma)} := \Phi(g^{(\alpha)}, g_{(\beta)}, g^{(\gamma)}). \quad (1.10)$$

它相对 $x^{(\mu)}$ 分量的形式协变导数为

$$\nabla_{(\mu)} \Phi_{(\beta)}^{(\alpha)(\gamma)} \triangleq \frac{\partial \Phi_{(\beta)}^{(\alpha)(\gamma)}}{\partial x^{(\mu)}} + \Gamma_{(\mu)(\sigma)}^{(\alpha)} \Phi_{(\beta)}^{(\sigma)(\gamma)} - \Gamma_{(\mu)(\beta)}^{(\sigma)} \Phi_{(\sigma)}^{(\alpha)(\gamma)} + \Gamma_{(\mu)(\sigma)}^{(\gamma)} \Phi_{(\beta)}^{(\alpha)(\sigma)}. \quad (1.11)$$

回顾 ?? 节, (??) 式给出了完整基下协变导数的定义:

$$\nabla_l \Phi_j^{i k} \triangleq \frac{\partial \Phi_j^{i k}}{\partial x^l} + \Gamma_{ls}^i \Phi_j^{s k} - \Gamma_{lj}^s \Phi_s^{i k} + \Gamma_{ls}^k \Phi_j^{i s}. \quad (1.12)$$

可以看出形式协变导数的定义与它几乎一模一样.

接下来我们要证明

$$\nabla_{(\mu)} \Phi_{(\beta)}^{(\alpha)(\gamma)} = c_{(\mu)}^l c_i^{(\alpha)} c_{(\beta)}^j c_k^{(\gamma)} \nabla_l \Phi_j^{i k}. \quad (1.13)$$

代入式 (1.3) 和 (1.7), 即得

$$\nabla_{(\mu)} \Phi_{(\beta)}^{(\alpha)(\gamma)} = (\Phi \otimes \nabla)_{(q)(\alpha)}^{(p)(\gamma)}. \quad (1.14)$$

换句话说, 此处我们正是要验证这种“形式理论”与 1.2 节中坐标转换关系的一致性.

证明: 左边按照 (1.11) 式展开, 第一项为

$$\frac{\partial \Phi_{(\beta)}^{(\alpha)(\gamma)}}{\partial x^{(\mu)}} = c_{(\mu)}^l \frac{\partial \Phi_{(\beta)}^{(\alpha)(\gamma)}}{\partial x^l}$$

这里用到了形式偏导数的定义 (1.8) 式. 然后利用坐标转换关系展开张量分量:

$$= c_{(\mu)}^l \frac{\partial}{\partial x^l} \left(c_i^{(\alpha)} c_{(\beta)}^j c_k^{(\gamma)} \Phi_j^{i k} \right)$$

再按照通常的偏导数法则直接打开:

$$\begin{aligned} &= c_{(\mu)}^l c_{(\beta)}^j c_k^{(\gamma)} \frac{\partial c_i^{(\alpha)}}{\partial x^l} \Phi_j^{i k} + c_{(\mu)}^l c_i^{(\alpha)} c_k^{(\gamma)} \frac{\partial c_{(\beta)}^j}{\partial x^l} \Phi_j^{i k} + c_{(\mu)}^l c_i^{(\alpha)} c_{(\beta)}^j \frac{\partial c_k^{(\gamma)}}{\partial x^l} \Phi_j^{i k} \\ &\quad + c_{(\mu)}^l c_i^{(\alpha)} c_{(\beta)}^j c_k^{(\gamma)} \frac{\partial \Phi_j^{i k}}{\partial x^l} \end{aligned}$$

$$\begin{aligned}
&= c_{(\mu)}^l \Phi_j^{i\ k} \left(c_{(\beta)}^j c_k^{(\gamma)} \frac{\partial c_i^{(\alpha)}}{\partial x^l} + c_i^{(\alpha)} c_k^{(\gamma)} \frac{\partial c_{(\beta)}^j}{\partial x^l} + c_i^{(\alpha)} c_{(\beta)}^j \frac{\partial c_k^{(\gamma)}}{\partial x^l} \right) \\
&\quad + c_{(\mu)}^l c_i^{(\alpha)} c_{(\beta)}^j c_k^{(\gamma)} \frac{\partial \Phi_j^{i\ k}}{\partial x^l}.
\end{aligned} \tag{1.15}$$

接下来处理含有形式 Christoffel 符号的三项，分别是

$$\begin{aligned}
\Gamma_{(\mu)(\sigma)}^{(\alpha)} \Phi_{(\beta)}^{(\sigma)\ (\gamma)} &= c_{(\mu)}^p c_{(\sigma)}^q \left(c_s^{(\alpha)} \Gamma_{pq}^s - \frac{\partial c_q^{(\alpha)}}{\partial x^p} \right) \cdot \Phi_{(\beta)}^{(\sigma)\ (\gamma)} \\
&= c_{(\mu)}^p c_{(\sigma)}^q \left(c_s^{(\alpha)} \Gamma_{pq}^s - \frac{\partial c_q^{(\alpha)}}{\partial x^p} \right) \cdot c_i^{(\sigma)} c_{(\beta)}^j c_k^{(\gamma)} \Phi_j^{i\ k}
\end{aligned}$$

根据式 (??)，我们有 $c_{(\sigma)}^q c_i^{(\sigma)} = \delta_i^q$ ，于是

$$= c_{(\mu)}^p \Phi_j^{i\ k} \left(c_s^{(\alpha)} c_{(\beta)}^j c_k^{(\gamma)} \Gamma_{pi}^s - c_{(\beta)}^j c_k^{(\gamma)} \frac{\partial c_i^{(\alpha)}}{\partial x^p} \right); \tag{1.16-a}$$

$$\begin{aligned}
-\Gamma_{(\mu)(\beta)}^{(\sigma)} \Phi_{(\sigma)}^{(\alpha)\ (\gamma)} &= -c_{(\mu)}^p c_{(\beta)}^q \left(c_s^{(\sigma)} \Gamma_{pq}^s - \frac{\partial c_q^{(\sigma)}}{\partial x^p} \right) \cdot \Phi_{(\sigma)}^{(\alpha)\ (\gamma)} \\
&= -c_{(\mu)}^p c_{(\beta)}^q \left(c_s^{(\sigma)} \Gamma_{pq}^s - \frac{\partial c_q^{(\sigma)}}{\partial x^p} \right) \cdot c_i^{(\alpha)} c_{(\sigma)}^j c_k^{(\gamma)} \Phi_j^{i\ k} \\
&= c_{(\mu)}^p \Phi_j^{i\ k} \left(-c_i^{(\alpha)} c_{(\beta)}^q c_k^{(\gamma)} \Gamma_{pq}^j + c_i^{(\alpha)} c_{(\beta)}^q c_k^{(\gamma)} c_{(\sigma)}^j \frac{\partial c_q^{(\sigma)}}{\partial x^p} \right);
\end{aligned} \tag{1.16-b}$$

$$\begin{aligned}
\Gamma_{(\mu)(\sigma)}^{(\gamma)} \Phi_{(\beta)}^{(\alpha)\ (\sigma)} &= c_{(\mu)}^p c_{(\sigma)}^q \left(c_s^{(\gamma)} \Gamma_{pq}^s - \frac{\partial c_q^{(\gamma)}}{\partial x^p} \right) \cdot \Phi_{(\beta)}^{(\alpha)\ (\sigma)} \\
&= c_{(\mu)}^p c_{(\sigma)}^q \left(c_s^{(\gamma)} \Gamma_{pq}^s - \frac{\partial c_q^{(\gamma)}}{\partial x^p} \right) \cdot c_i^{(\alpha)} c_{(\beta)}^j c_k^{(\sigma)} \Phi_j^{i\ k} \\
&= c_{(\mu)}^p \Phi_j^{i\ k} \left(c_i^{(\alpha)} c_{(\beta)}^j c_s^{(\gamma)} \Gamma_{pk}^s - c_i^{(\alpha)} c_{(\beta)}^j \frac{\partial c_k^{(\gamma)}}{\partial x^p} \right).
\end{aligned} \tag{1.16-c}$$

以上三式都有公因子 $c_{(\mu)}^p \Phi_j^{i\ k}$ 。为了进一步化简，不妨将哑标 p 换为 l 。这样可有

$$\begin{aligned}
&\Gamma_{(\mu)(\sigma)}^{(\alpha)} \Phi_{(\beta)}^{(\sigma)\ (\gamma)} - \Gamma_{(\mu)(\beta)}^{(\sigma)} \Phi_{(\sigma)}^{(\alpha)\ (\gamma)} + \Gamma_{(\mu)(\sigma)}^{(\gamma)} \Phi_{(\beta)}^{(\alpha)\ (\sigma)} \\
&= c_{(\mu)}^l \Phi_j^{i\ k} \left[\left(c_s^{(\alpha)} c_{(\beta)}^j c_k^{(\gamma)} \Gamma_{li}^s - c_i^{(\alpha)} c_{(\beta)}^q c_k^{(\gamma)} \Gamma_{lq}^j + c_i^{(\alpha)} c_{(\beta)}^j c_s^{(\gamma)} \Gamma_{lk}^s \right) \right. \\
&\quad \left. - c_{(\beta)}^j c_k^{(\gamma)} \frac{\partial c_i^{(\alpha)}}{\partial x^l} + c_i^{(\alpha)} c_{(\beta)}^q c_k^{(\gamma)} c_{(\sigma)}^j \frac{\partial c_q^{(\sigma)}}{\partial x^l} - c_i^{(\alpha)} c_{(\beta)}^j \frac{\partial c_k^{(\gamma)}}{\partial x^l} \right].
\end{aligned} \tag{1.17}$$

该式与 (1.15) 式相加，得

$$\nabla_{(\mu)} \Phi_{(\beta)}^{(\alpha)\ (\gamma)} \triangleq \frac{\partial \Phi_{(\beta)}^{(\alpha)\ (\gamma)}}{\partial x^{(\mu)}} + \Gamma_{(\mu)(\sigma)}^{(\alpha)} \Phi_{(\beta)}^{(\sigma)\ (\gamma)} - \Gamma_{(\mu)(\beta)}^{(\sigma)} \Phi_{(\sigma)}^{(\alpha)\ (\gamma)} + \Gamma_{(\mu)(\sigma)}^{(\gamma)} \Phi_{(\beta)}^{(\alpha)\ (\sigma)}$$

$$\begin{aligned}
&= c_{(\mu)}^l c_i^{(\alpha)} c_{(\beta)}^j c_k^{(\gamma)} \frac{\partial \Phi_j^{i k}}{\partial x^l} + c_{(\mu)}^l \Phi_j^{i k} \left[c_{(\beta)}^j c_k^{(\gamma)} \frac{\partial c_i^{(\alpha)}}{\partial x^l} + c_i^{(\alpha)} c_k^{(\gamma)} \frac{\partial c_{(\beta)}^j}{\partial x^l} + c_i^{(\alpha)} c_{(\beta)}^j \frac{\partial c_k^{(\gamma)}}{\partial x^l} \right. \\
&\quad \left. + \left(c_s^{(\alpha)} c_{(\beta)}^j c_k^{(\gamma)} \Gamma_{li}^s - c_i^{(\alpha)} c_{(\beta)}^q c_k^{(\gamma)} \Gamma_{lq}^j + c_i^{(\alpha)} c_{(\beta)}^j c_s^{(\gamma)} \Gamma_{lk}^s \right) \right. \\
&\quad \left. - c_{(\beta)}^j c_k^{(\gamma)} \frac{\partial c_i^{(\alpha)}}{\partial x^l} + c_i^{(\alpha)} c_{(\beta)}^q c_k^{(\gamma)} c_{(\sigma)}^j \frac{\partial c_q^{(\sigma)}}{\partial x^l} - c_i^{(\alpha)} c_{(\beta)}^j \frac{\partial c_k^{(\gamma)}}{\partial x^l} \right]
\end{aligned}$$

高亮部分相互抵消：

$$\begin{aligned}
&= c_{(\mu)}^l c_i^{(\alpha)} c_{(\beta)}^j c_k^{(\gamma)} \frac{\partial \Phi_j^{i k}}{\partial x^l} + c_{(\mu)}^l \Phi_j^{i k} \left[\left(c_s^{(\alpha)} c_{(\beta)}^j c_k^{(\gamma)} \Gamma_{li}^s - c_i^{(\alpha)} c_{(\beta)}^q c_k^{(\gamma)} \Gamma_{lq}^j + c_i^{(\alpha)} c_{(\beta)}^j c_s^{(\gamma)} \Gamma_{lk}^s \right) \right. \\
&\quad \left. + c_i^{(\alpha)} c_k^{(\gamma)} \frac{\partial c_{(\beta)}^j}{\partial x^l} + c_i^{(\alpha)} c_{(\beta)}^q c_k^{(\gamma)} c_{(\sigma)}^j \frac{\partial c_q^{(\sigma)}}{\partial x^l} \right] \quad (1.18)
\end{aligned}$$

注意到 $c_{(\beta)}^j = c_{(\beta)}^q \delta_q^j = c_{(\beta)}^q c_{(\sigma)}^j c_q^{(\sigma)}$ ，因此

$$\frac{\partial c_{(\beta)}^j}{\partial x^l} = \frac{\partial}{\partial x^l} \left(c_{(\beta)}^q c_{(\sigma)}^j c_q^{(\sigma)} \right) = c_{(\sigma)}^j c_q^{(\sigma)} \frac{\partial c_{(\beta)}^q}{\partial x^l} + c_{(\beta)}^q c_q^{(\sigma)} \frac{\partial c_{(\sigma)}^j}{\partial x^l} + c_{(\beta)}^q c_{(\sigma)}^j \frac{\partial c_q^{(\sigma)}}{\partial x^l}. \quad (1.19)$$

所以 (1.18) 式中最后一步的第二行就能够写成

$$\begin{aligned}
&c_i^{(\alpha)} c_k^{(\gamma)} \frac{\partial c_{(\beta)}^j}{\partial x^l} + c_i^{(\alpha)} c_{(\beta)}^q c_k^{(\gamma)} c_{(\sigma)}^j \frac{\partial c_q^{(\sigma)}}{\partial x^l} \\
&= c_i^{(\alpha)} c_k^{(\gamma)} \left(\frac{\partial c_{(\beta)}^j}{\partial x^l} + c_{(\beta)}^q c_{(\sigma)}^j \frac{\partial c_q^{(\sigma)}}{\partial x^l} \right) \\
&= c_i^{(\alpha)} c_k^{(\gamma)} \left(c_{(\sigma)}^j c_q^{(\sigma)} \frac{\partial c_{(\beta)}^q}{\partial x^l} + c_{(\beta)}^q c_q^{(\sigma)} \frac{\partial c_{(\sigma)}^j}{\partial x^l} + c_{(\beta)}^q c_{(\sigma)}^j \frac{\partial c_q^{(\sigma)}}{\partial x^l} + c_{(\beta)}^q c_{(\sigma)}^j \frac{\partial c_q^{(\sigma)}}{\partial x^l} \right)
\end{aligned}$$

合并同类项：

$$\begin{aligned}
&= c_i^{(\alpha)} c_k^{(\gamma)} \left[c_{(\sigma)}^j \left(c_q^{(\sigma)} \frac{\partial c_{(\beta)}^q}{\partial x^l} + c_{(\beta)}^q \frac{\partial c_q^{(\sigma)}}{\partial x^l} \right) + c_{(\beta)}^q \left(c_q^{(\sigma)} \frac{\partial c_{(\sigma)}^j}{\partial x^l} + c_{(\sigma)}^j \frac{\partial c_q^{(\sigma)}}{\partial x^l} \right) \right] \\
&= c_i^{(\alpha)} c_k^{(\gamma)} \left[c_{(\sigma)}^j \frac{\partial}{\partial x^l} \left(c_q^{(\sigma)} c_{(\beta)}^q \right) + c_{(\beta)}^q \frac{\partial}{\partial x^l} \left(c_q^{(\sigma)} c_{(\sigma)}^j \right) \right]
\end{aligned}$$

再次利用式 (??)，可得

$$= c_i^{(\alpha)} c_k^{(\gamma)} \left(c_{(\sigma)}^j \frac{\partial \delta_{\beta}^{\sigma}}{\partial x^l} + c_{(\beta)}^q \frac{\partial \delta_q^j}{\partial x^l} \right) = 0. \quad (1.20)$$

代回式 (1.18)，有

$$\begin{aligned}
\nabla_{(\mu)} \Phi_{(\beta)}^{(\alpha) (\gamma)} &= c_{(\mu)}^l c_i^{(\alpha)} c_{(\beta)}^j c_k^{(\gamma)} \frac{\partial \Phi_j^{i k}}{\partial x^l} + c_{(\mu)}^l \Phi_j^{i k} \left(c_s^{(\alpha)} c_{(\beta)}^j c_k^{(\gamma)} \Gamma_{li}^s - c_i^{(\alpha)} c_{(\beta)}^q c_k^{(\gamma)} \Gamma_{lq}^j + c_i^{(\alpha)} c_{(\beta)}^j c_s^{(\gamma)} \Gamma_{lk}^s \right) \\
&= c_{(\mu)}^l c_i^{(\alpha)} c_{(\beta)}^j c_k^{(\gamma)} \frac{\partial \Phi_j^{i k}}{\partial x^l} + c_{(\mu)}^l \left(c_s^{(\alpha)} c_{(\beta)}^j c_k^{(\gamma)} \Gamma_{li}^s \Phi_j^{i k} - c_i^{(\alpha)} c_{(\beta)}^q c_k^{(\gamma)} \Gamma_{lq}^j \Phi_j^{i k} + c_i^{(\alpha)} c_{(\beta)}^j c_s^{(\gamma)} \Gamma_{lk}^s \Phi_j^{i k} \right)
\end{aligned}$$

下面要对哑标进行重排。括号里的第一项： $s \leftrightarrow i$ ；第二项： $j \rightarrow s, q \rightarrow j$ ；第三项： $s \leftrightarrow k$ 。于是

$$\begin{aligned}
&= c_{(\mu)}^l c_i^{(\alpha)} c_{(\beta)}^j c_k^{(\gamma)} \frac{\partial \Phi_j^{i k}}{\partial x^l} + c_{(\mu)}^l \left(c_i^{(\alpha)} c_{(\beta)}^j c_k^{(\gamma)} \Gamma_{ls}^i \Phi_j^{s k} - c_i^{(\alpha)} c_{(\beta)}^j c_k^{(\gamma)} \Gamma_{lj}^s \Phi_s^{i k} + c_i^{(\alpha)} c_{(\beta)}^j c_k^{(\gamma)} \Gamma_{ls}^k \Phi_j^{i s} \right) \\
&= c_{(\mu)}^l c_i^{(\alpha)} c_{(\beta)}^j c_k^{(\gamma)} \left(\frac{\partial \Phi_j^{i k}}{\partial x^l} + \Gamma_{ls}^i \Phi_j^{s k} - \Gamma_{lj}^s \Phi_s^{i k} + \Gamma_{ls}^k \Phi_j^{i s} \right) \\
&= c_{(\mu)}^l c_i^{(\alpha)} c_{(\beta)}^j c_k^{(\gamma)} \nabla_l \Phi_j^{i k}.
\end{aligned} \tag{1.21}$$

这就完成了证明。 \square

如前文所言，此种形式理论与我们在 1.2 节中所使用的方法（坐标转换）并无二致，但它在某些特定情况下将会十分有用，这就是下一节要介绍的内容。

1.4 单位正交基

1.4.1 选取非完整基

实际情况下，为了计算的方便，我们通常会取一组正交基作为完整基，它们满足

$$\langle \mathbf{g}_i, \mathbf{g}_j \rangle_{\mathbb{R}^m} = 0, \quad i \neq j. \tag{1.22}$$

注意此处的 \mathbf{g}_i 和 \mathbf{g}_j 都是协变基。

2017-02-01 为什么不直接取单位正交基

这样，度量 g_{ij} 就可以用矩阵形式写成

$$[g_{ij}] = \begin{bmatrix} g_{11} & & \\ & \ddots & \\ & & g_{mm} \end{bmatrix}, \tag{1.23}$$

它是一个对角矩阵。根据式 (??)，我们有

$$[g_{ik}] [g^{kj}] = [\delta_i^j] = \mathbf{I}_m; \tag{1.24}$$

而根据线性代数的知识，对角矩阵的逆同样是对角阵，因此 $[g^{ij}]$ 也是一个对角矩阵。换句话说，逆变基同样是一组正交基。

出于量纲一致等因素的考虑，我们常常需要将正交基单位化，使其成为单位正交基。当然，之前的完整基也就成了非完整基：

$$\mathbf{g}_{(\alpha)} \triangleq c_{(\alpha)}^i \mathbf{g}_i, \tag{1.25}$$

式中，

$$c_{(\alpha)}^i = \begin{cases} \frac{1}{\sqrt{g_{ii}}}, & i = \alpha; \\ 0, & i \neq \alpha. \end{cases} \tag{1.26}$$

这里 g_{ii} 中的指标 i 不求和。^① 对于逆变基，也是同样的：

$$\mathbf{g}^{(\alpha)} \triangleq c_i^{(\alpha)} \mathbf{g}^i, \quad (1.27)$$

其中的

$$c_i^{(\alpha)} = \begin{cases} \sqrt{g_{ii}}, & i = \alpha; \\ 0, & i \neq \alpha. \end{cases} \quad (1.28)$$

1.4.2 形式运算

首先考虑形式偏导数：

$$\frac{\partial}{\partial x^{(\mu)}} \triangleq c_{(\mu)}^l \frac{\partial}{\partial x^l}. \quad (1.29)$$

一般来说， $\mathbf{x} \in \mathbb{R}^m$ ，因而该式包含着 m 项的求和。但在完整基是正交基、非完整基是单位正交基的情况下，系数 $c_{(\mu)}^l$ 仅在 $l = \mu$ 的时候才有非零值。所以

$$\frac{\partial}{\partial x^{(\mu)}} = c_{(\mu)}^\mu \frac{\partial}{\partial x^\mu} = \frac{1}{\sqrt{g_{\mu\mu}}} \frac{\partial}{\partial x^\mu}. \quad (1.30)$$

指标 μ 不求和，此式便只剩下了一项。

下面处理形式 Christoffel 符号：

$$\Gamma_{(\alpha)(\beta)}^{(\gamma)} \triangleq c_{(\alpha)}^i c_{(\beta)}^j c_k^{(\gamma)} \Gamma_{ij}^k - c_{(\alpha)}^i c_{(\beta)}^j \frac{\partial c_j^{(\gamma)}}{\partial x^i}$$

同样，特殊情况下只需要考虑非零值：

$$= c_{(\alpha)}^\alpha c_{(\beta)}^\beta c_\gamma^{(\gamma)} \Gamma_{\alpha\beta}^\gamma - c_{(\alpha)}^\alpha c_{(\beta)}^\beta \frac{\partial c_\beta^{(\gamma)}}{\partial x^\alpha}$$

代入式 (1.26) 和 (1.28)，可得

$$= \frac{1}{\sqrt{g_{\alpha\alpha}}} \frac{1}{\sqrt{g_{\beta\beta}}} \sqrt{g_{\gamma\gamma}} \cdot \Gamma_{\alpha\beta}^\gamma - \frac{1}{\sqrt{g_{\alpha\alpha}}} \frac{1}{\sqrt{g_{\beta\beta}}} \cdot \frac{\partial c_\beta^{(\gamma)}}{\partial x^\alpha}. \quad (1.31)$$

此处的指标 α, β, γ 均不表示求和。

上式包含一个完整基下的 Christoffel 符号 $\Gamma_{\alpha\beta}^\gamma$ 。根据 ?? 小节中的 (??) 式和 (??) 式，很容易利用度量把它计算出来：

$$\Gamma_{\alpha\beta}^\gamma = g^{\gamma s} \Gamma_{\alpha\beta, s} = g^{\gamma s} \cdot \frac{1}{2} \left(\frac{\partial g_{\beta s}}{\partial x^\alpha} + \frac{\partial g_{\alpha s}}{\partial x^\beta} - \frac{\partial g_{\alpha\beta}}{\partial x^s} \right)$$

注意指标 s 需要求和！但是由于度量的非对角元均为零，所以可以直接写成

$$= g^{\gamma\gamma} \Gamma_{\alpha\beta, \gamma} = \frac{1}{g_{\gamma\gamma}} \cdot \frac{1}{2} \left(\frac{\partial g_{\beta\gamma}}{\partial x^\alpha} + \frac{\partial g_{\alpha\gamma}}{\partial x^\beta} - \frac{\partial g_{\alpha\beta}}{\partial x^\gamma} \right). \quad (1.32)$$

同样，指标都不表示求和。

现在我们来分 4 种情况，进一步化简 $\Gamma_{\alpha\beta}^\gamma$ 。

^① 在 $i = \alpha$ 的情况下，该系数常被称作 **Lamé 系数**。

1. $\alpha \neq \beta \neq \gamma$. 前文已经提到, 度量的非对角元均为零, 即

$$g_{\beta\gamma} = g_{\alpha\gamma} = g_{\alpha\beta} = 0, \quad (1.33)$$

因此结果非常简单:

$$\Gamma_{\alpha\beta}^{\gamma} = 0. \quad (1.34)$$

2. $\alpha = \beta \neq \gamma$, 即 $\Gamma_{\alpha\alpha}^{\gamma}$. 直接计算, 可有

$$\Gamma_{\alpha\alpha}^{\gamma} = g^{\gamma\gamma} \Gamma_{\alpha\alpha, \gamma} = \frac{1}{g_{\gamma\gamma}} \cdot \frac{1}{2} \left(-\frac{\partial g_{\alpha\alpha}}{\partial x^{\gamma}} \right) = -\frac{1}{2} \frac{1}{g_{\gamma\gamma}} \frac{\partial g_{\alpha\alpha}}{\partial x^{\gamma}}. \quad (1.35)$$

3. $\alpha = \gamma \neq \beta$, 即 $\Gamma_{\alpha\beta}^{\alpha}$. 根据式(??), 它又等于 $\Gamma_{\beta\alpha}^{\alpha}$. 同样, 直接来进行计算:

$$\Gamma_{\alpha\beta}^{\alpha} = g^{\alpha\alpha} \Gamma_{\alpha\beta, \alpha} = \frac{1}{g_{\alpha\alpha}} \cdot \frac{1}{2} \left(\frac{\partial g_{\alpha\alpha}}{\partial x^{\beta}} \right) = \frac{1}{2} \frac{1}{g_{\alpha\alpha}} \frac{\partial g_{\alpha\alpha}}{\partial x^{\beta}}. \quad (1.36)$$

4. $\alpha = \beta = \gamma$, 即 $\Gamma_{\alpha\alpha}^{\alpha}$. 指标只剩下了一个, 喜闻乐见.

$$\Gamma_{\alpha\alpha}^{\alpha} = g^{\alpha\alpha} \Gamma_{\alpha\alpha, \alpha} = \frac{1}{g_{\alpha\alpha}} \cdot \frac{1}{2} \left(\frac{\partial g_{\alpha\alpha}}{\partial x^{\alpha}} \right) = \frac{1}{2} \frac{1}{g_{\alpha\alpha}} \frac{\partial g_{\alpha\alpha}}{\partial x^{\alpha}}. \quad (1.37)$$

算好了完整基 (正交基) 下的 Christoffel 符号, 就可以考虑非完整基 (单位正交基) 下的情况了. 我们在式 (1.32) 中已经计算出了非完整基下的 Christoffel 符号, 现在只要把以上四种情况逐一代入即可.

1. $\alpha \neq \beta \neq \gamma$. 已经知道 $\Gamma_{\alpha\beta}^{\gamma} = 0$, 而根据 (1.28) 式, 又有 $c_{\beta}^{(\gamma)} = 0$, 于是

$$\Gamma_{(\alpha)(\beta)}^{(\gamma)} = 0. \quad (1.38)$$

2. $\alpha = \beta \neq \gamma$. 此时有

$$\begin{aligned} \Gamma_{(\alpha)(\alpha)}^{(\gamma)} &= \frac{1}{g_{\alpha\alpha}} \sqrt{g_{\gamma\gamma}} \cdot \Gamma_{\alpha\alpha}^{\gamma} - \frac{1}{g_{\alpha\alpha}} \cdot \frac{\partial c_{\alpha}^{(\gamma)}}{\partial x^{\alpha}} \\ &= \frac{1}{g_{\alpha\alpha}} \sqrt{g_{\gamma\gamma}} \cdot \left(-\frac{1}{2} \frac{1}{g_{\gamma\gamma}} \frac{\partial g_{\alpha\alpha}}{\partial x^{\gamma}} \right) - 0 \\ &= -\frac{1}{\sqrt{g_{\gamma\gamma}}} \cdot \left(\frac{1}{2g_{\alpha\alpha}} \frac{\partial g_{\alpha\alpha}}{\partial x^{\gamma}} \right). \end{aligned} \quad (1.39)$$

考虑到

$$\frac{\partial}{\partial x} \ln \sqrt{f(x)} = \frac{\partial}{\partial x} \left[\frac{1}{2} \ln f(x) \right] = \frac{1}{2} \frac{1}{f(x)} \frac{\partial f(x)}{\partial x}, \quad (1.40)$$

于是

$$\Gamma_{(\alpha)(\alpha)}^{(\gamma)} = -\frac{1}{\sqrt{g_{\gamma\gamma}}} \frac{\partial}{\partial x^{\gamma}} \left(\ln \sqrt{g_{\alpha\alpha}} \right). \quad (1.41)$$

3. $\alpha = \gamma \neq \beta$. 此时

$$\begin{aligned} \Gamma_{(\alpha)(\beta)}^{(\alpha)} &= \frac{1}{\sqrt{g_{\beta\beta}}} \cdot \Gamma_{\alpha\beta}^{\alpha} - \frac{1}{\sqrt{g_{\alpha\alpha}}} \frac{1}{\sqrt{g_{\beta\beta}}} \cdot \frac{\partial c_{\beta}^{(\alpha)}}{\partial x^{\alpha}} \\ &= \frac{1}{\sqrt{g_{\beta\beta}}} \cdot \left(\frac{1}{2} \frac{1}{g_{\alpha\alpha}} \frac{\partial g_{\alpha\alpha}}{\partial x^{\beta}} \right) - 0 \end{aligned}$$

同理，利用对数，可得

$$= \frac{1}{\sqrt{g_{\beta\beta}}} \frac{\partial}{\partial x^\beta} \left(\ln \sqrt{g_{\alpha\alpha}} \right). \quad (1.42)$$

注意这里没有负号.

3*. $\beta = \gamma \neq \alpha$ ，即 $\Gamma_{(\alpha)(\beta)}^{(\beta)}$. 不过我们暂时先从 $\Gamma_{(\beta)(\alpha)}^{(\alpha)}$ 开始.

之前虽然已经计算了 $\Gamma_{(\alpha)(\beta)}^{(\alpha)}$ ，但由于我们并未证明形式 Christoffel 符号的下标可以交换^①，因而仍要从头来算：

$$\Gamma_{(\beta)(\alpha)}^{(\alpha)} = \frac{1}{\sqrt{g_{\beta\beta}}} \cdot \Gamma_{\beta\alpha}^\alpha - \frac{1}{\sqrt{g_{\beta\beta}}} \frac{1}{\sqrt{g_{\alpha\alpha}}} \cdot \frac{\partial c_\alpha^{(\alpha)}}{\partial x^\beta}$$

交换 Christoffel 符号的下标，同时代入(1.28)式，可有

$$\begin{aligned} &= \frac{1}{\sqrt{g_{\beta\beta}}} \cdot \Gamma_{\alpha\beta}^\alpha - \frac{1}{\sqrt{g_{\beta\beta}}} \frac{1}{\sqrt{g_{\alpha\alpha}}} \cdot \frac{\partial}{\partial x^\beta} \sqrt{g_{\alpha\alpha}} \\ &= \frac{1}{\sqrt{g_{\beta\beta}}} \cdot \left(\frac{1}{2} \frac{1}{g_{\alpha\alpha}} \frac{\partial g_{\alpha\alpha}}{\partial x^\beta} \right) - \frac{1}{\sqrt{g_{\beta\beta}}} \frac{1}{\sqrt{g_{\alpha\alpha}}} \cdot \frac{1}{2\sqrt{g_{\alpha\alpha}}} \frac{\partial g_{\alpha\alpha}}{\partial x^\beta} \\ &= 0. \end{aligned} \quad (1.43)$$

回过头来，若要得到 $\Gamma_{(\alpha)(\beta)}^{(\beta)}$ ，只需交换 α 、 β ，结果当然不变：

$$\Gamma_{(\alpha)(\beta)}^{(\beta)} = 0. \quad (1.44)$$

4. $\alpha = \beta = \gamma$. 指标全部相同，有

$$\begin{aligned} \Gamma_{(\alpha)(\alpha)}^{(\alpha)} &= \frac{1}{\sqrt{g_{\alpha\alpha}}} \cdot \Gamma_{\alpha\alpha}^\alpha - \frac{1}{g_{\alpha\alpha}} \cdot \frac{\partial c_\alpha^{(\alpha)}}{\partial x^\alpha} \\ &= \frac{1}{\sqrt{g_{\alpha\alpha}}} \cdot \left(\frac{1}{2} \frac{1}{g_{\alpha\alpha}} \frac{\partial g_{\alpha\alpha}}{\partial x^\alpha} \right) - \frac{1}{g_{\alpha\alpha}} \cdot \frac{\partial}{\partial x^\alpha} \sqrt{g_{\alpha\alpha}} \\ &= \frac{1}{2g_{\alpha\alpha}\sqrt{g_{\alpha\alpha}}} \frac{\partial g_{\alpha\alpha}}{\partial x^\alpha} - \frac{1}{g_{\alpha\alpha}} \cdot \frac{1}{2\sqrt{g_{\alpha\alpha}}} \frac{\partial g_{\alpha\alpha}}{\partial x^\alpha} \\ &= 0, \end{aligned} \quad (1.45)$$

依然是个很漂亮的结果.

到此，我们可以看到，只有两种形式的 Christoffel 符号非零：

$$\left\{ \begin{aligned} \Gamma_{(\alpha)(\alpha)}^{(\beta)} &= -\frac{1}{\sqrt{g_{\beta\beta}}} \frac{\partial}{\partial x^\beta} \left(\ln \sqrt{g_{\alpha\alpha}} \right) = -\frac{\partial}{\partial x^{(\beta)}} \ln \sqrt{g_{\alpha\alpha}}, \\ \Gamma_{(\alpha)(\beta)}^{(\alpha)} &= \frac{1}{\sqrt{g_{\beta\beta}}} \frac{\partial}{\partial x^\beta} \left(\ln \sqrt{g_{\alpha\alpha}} \right) = \frac{\partial}{\partial x^{(\beta)}} \ln \sqrt{g_{\alpha\alpha}}. \end{aligned} \right. \quad (1.46-a)$$

$$\left\{ \begin{aligned} \Gamma_{(\alpha)(\alpha)}^{(\beta)} &= -\frac{1}{\sqrt{g_{\beta\beta}}} \frac{\partial}{\partial x^\beta} \left(\ln \sqrt{g_{\alpha\alpha}} \right) = -\frac{\partial}{\partial x^{(\beta)}} \ln \sqrt{g_{\alpha\alpha}}, \\ \Gamma_{(\alpha)(\beta)}^{(\alpha)} &= \frac{1}{\sqrt{g_{\beta\beta}}} \frac{\partial}{\partial x^\beta} \left(\ln \sqrt{g_{\alpha\alpha}} \right) = \frac{\partial}{\partial x^{(\beta)}} \ln \sqrt{g_{\alpha\alpha}}. \end{aligned} \right. \quad (1.46-b)$$

后一个等号利用了形式偏导数(1.30)式.

对于单位正交基，其度量满足

$$g^{(\alpha)(\beta)} = g_{(\alpha)(\beta)} = \delta_\alpha^\beta, \quad (1.47)$$

^① 所以这里也多了一种情况需要讨论.

因此协变基与逆变基只好相同。这样一来，协变分量与逆变分量也就没有了差别，我们统一用尖括号标出。于是上面的 Christoffel 符号就可以写成

$$\left\{ \begin{aligned} \Gamma_{\langle \alpha \alpha \beta \rangle} &= \Gamma_{(\alpha)(\alpha), (\beta)} = \Gamma^{(\beta)}_{(\alpha)(\alpha)} = -\frac{\partial}{\partial x^{(\beta)}} \ln \sqrt{g_{\alpha\alpha}}, \end{aligned} \right. \quad (1.48\text{-a})$$

$$\left\{ \begin{aligned} \Gamma_{\langle \alpha \beta \alpha \rangle} &= \Gamma_{(\alpha)(\beta), (\alpha)} = \Gamma^{(\alpha)}_{(\alpha)(\beta)} = \frac{\partial}{\partial x^{(\beta)}} \ln \sqrt{g_{\alpha\alpha}}. \end{aligned} \right. \quad (1.48\text{-b})$$

显然，它们的第二、第三指标具有反对称性：

$$\Gamma_{\langle \alpha \alpha \beta \rangle} = -\Gamma_{\langle \alpha \beta \alpha \rangle}. \quad (1.49)$$