# Pitch Prediction: Statistical Learning

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#### **Abstract**

Keywords:

#### 1 Introduction

- 2 Analytics, or more specifically sabremetrics, have long played a role in strategical choices in
- Major League Baseball (MLB). From a hitter's perspective, sabremetrics tools to determine
- 4 the type of pitch that will be thrown would be a major advantage. While we are not
- 5 aware of publicly available, and perhaps more importantly, legal methods for predicting
- 6 pitch sequencing; in recent years, teams have used illegal methods to video record, decipher
- 7 pitch signals, and relay them to hitters. This manuscript explores the use of statistical
- 8 modeling to predict the type of pitch thrown in various scenarios.
- In baseball, the catcher signals for a certain type of pitch by using a serious of hand
- signals. The signals are typically only seen by the pitcher and pitcher's team, unless a
- runner is on base. The signals determine the type of pitch that the pitcher will throw on the
- next pitch.

- Baseball is a game with many "unwritten rules", one of which would be stealing signs.
- Sign stealing is an accepted part of the game; however, using electronic equipment to steal
- 15 signs is not permitted.
- In 2017, the Boston Red Sox were caught using electronic devices (apple watch), to send
- signals from the video replay room to the dugout. The defining feature of the verdict was
- the use of an electronic device. The Yankees were also accused of using the Yankees network
- to gain a competitive advantage.
- On January 13, 2020 MLB imposed one of the largest penalties in history on the Houston
- 21 Astros for a scandal that involved video recording hitters to steal pitch signs and then relaying
- 22 signals by banging on trash cans. The Astros were fined 5 million dollars, the maximum fine
- 23 allowed in MLB; stripped of first and second round draft picks for multiple years; and the
- 24 manager and general manager (GM) were suspended for one year. The manager and GM
- <sup>25</sup> were ultimately fired by the organization. The Boston Red Sox and manager, Alex Cora a
- <sup>26</sup> former Houston Astro are still under investigation.
- In recent years, MLB changed the rules to permit the use of technology in the dugout as
- teams are now allowed to use league-provided iPad/laptops.
- In line with historical advances in analytics in baseball and the sabremetrics movement,
- we seek to explore using statistical models to predict the next pitch thrown depending on the
- 31 count and other scenarios. To be clear, the goal is not to use electronic equipment to decode
- signs, but rather statistical learning tools are used to decipher patterns in pitch sequencing.
- Section 2 describes the data used in this analysis. Section 3 highlights the statistical models
- used for prediction as well as the loss functions used to evaluate different models. Section 4
- describes the model results and Section 5 concludes with a discussion.

### 36 **2** Data

- 37 The data used for this analysis come from Pitch Fx and specifically the Pitch Rx package
- (ADD CITE) is used to scrape data into R. The Pitch Fx data is captured by a camera and
- <sup>39</sup> contains several variables about each pitch, one of which is the pitch type.

## 40 3 Statistical Framework

- 41 3.1 Loss Functions
- 42 3.2 Model Specification
- 4 Results
- 5 Discussion