CCRB INVESTIGATIVE RECOMMENDATION

| Investigator: | | Team: | CCRB Case #: | ✓ Force | ☐ Discourt. | ☐ U.S. |
|-----------------------------|---|---------------------------|-----------------------|-------------|-------------------|------------|
| Anna Steel | | Team # 8 | 200812744 | ☐ Abuse | O.L. | ☐ Injury |
| Incident Date(s) | | Location of Incident: | I | Precinct: | 18 Mo. SOL | EO SOL |
| Monday, 09/01/2008 5:00 PM | | Eastern Parkway and F | ranklin Avenue | 71 | 3/1/2010 | 3/1/2010 |
| Date/Time CV Reported | | CV Reported At: | How CV Reported | : Date/Time | e Received at CCI | RB |
| Wed, 09/03/2008 11:14 AM | | CCRB | Phone | Wed, 09/0 | 03/2008 11:14 AN | Л |
| Complainant/Victim | Type | Home Addre | ss | | | |
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| | | | | | | |
| Witness(es) | | Home Addre | aa. | | | |
| witness(es) | | Home Addre | 55 | | | |
| Subject Officer(s) | Shield | TaxID | Command | | | |
| 1. POM Nikolaos Stefopoulos | 17460 | 944294 | 061 PCT | | | |
| 2. POM Joseph Rosso | 02771 | 935656 | 061 PCT | | | |
| 3. Officers | | | | | | |
| Witness Officer(s) | Shield N | o Tax No | Cmd Name | | | |
| 1. POM Daniel Baca | 13388 | 934434 | 052 PCT | | | |
| Officer(s) | Allegatio | on | | Inve | estigator Recon | nmendation |
| A.POM Nikolaos Stefopoulos | Force: P(§ 87(2)(b) | O Nikolaos Stefopoulos | used physical force | against | | |
| B. Officers | Force: O | fficers used physical for | ce against § 87(2)(b) | | | |
| C.POM Joseph Rosso | Force: PO Joseph Rosso used physical force against § 87(2)(b) | | | | | |
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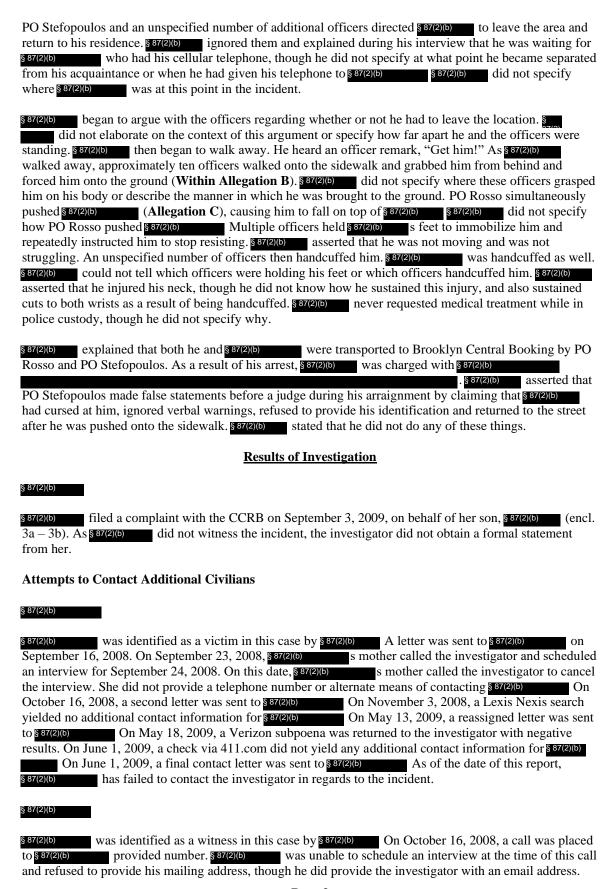
Synopsis

| On September 1, 2008, at about 6:00 PM, \$87(2)(b) was walking on Eastern Parkway near Franklin |
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| Avenue in Brooklyn. §87(2)(b) was taking part in the West Indian Day Parade festivities with two |
| acquaintances, identified through the investigation as \$87(2)(b) and \$87(2)(b) An officer, whom |
| the investigation identified as PO Nikolaos Stefopoulos from the 61st Precinct, suddenly pushed \$87(2)(b) |
| on his back (Allegation A) and directed him to leave parade route. A second officer, identified through the |
| investigation as PO Joseph Rosso from the 61st Precinct, was also at the scene at this time. §87(2)(b) |
| alleged that multiple officers, whom he was unable to describe, pushed him past a series of barricades onto |
| the sidewalk (Allegation B). These officers then directed him to leave the area. §87(2)(b) ignored their |
| commands. He lit a cigarette and then began to walk away from the scene. Approximately ten officers, |
| including PO Stefopoulos, grabbed \$87(2)(b) from behind, forced him to the ground, immobilized him by |
| holding his legs and secured him in handcuffs (Within Allegation B). §87(2)(b) alleged that PO Rosso |
| then pushed §87(2)(b) to the ground on top of §87(2)(b) (Allegation C). The officers then escorted |
| § 87(2)(b) and § 87(2)(b) away from the scene and ultimately transported them to Brooklyn Central |
| Booking. As a result of his arrest, \$87(2)(b) was charged with \$87(2)(b) |
| § 87(2)(b) was charged with § 87(2)(b) |
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| § 87(2)(g) |
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This case was originally assigned to Investigator Watson. Following her resignation, the case was reassigned to Investigator Steel on May 12, 2009.

Summary of Complaint

§ 87(2)(b) §87(2)(b) is §87(2)(b) years old. He is a black male who is 5'11" tall, weighs 190 pounds and has black hair and \$87(2) eyes. \$87(2) was interviewed at the CCRB on September 12, 2008 (encl. 4a - 4f). On September 1, 2008, at about 6:00 PM, \$87(2)(b) left his residence, located at \$87(2)(b) Brooklyn, and walked to the intersection of Eastern Parkway and Franklyn Avenue to take part in the West Indian Day Parade festivities. [807(2)(b) was with two of his acquaintances, identified through the investigation as \$87(2)(b) and \$87(2)(b) who is not a relation of \$87(2)(b) They stopped briefly to speak with an unknown female individual. An officer pushed \$87(2)(5) on his back (Allegation A). described this officer as a white or Hispanic male with a tan complexion who was about 5'9" tall, medium build, had black hair, appeared to be about twenty-five years old and was in uniform and had a silver shield displayed on his chest which bore the name "Rosso." § 87(2)(b) did not specify the manner in which he was pushed. While the description [§ 87(2)(b) corresponded to PO Joseph Rosso from the 61st Precinct, the investigation determined that PO Nikolaos Stefopoulos from the 61st Precinct was the first officer to come into physical contact with \$87(2)(b) not PO Rosso as alleged. asked PO Rosso what was going on and a second officer, identified through the investigation as PO Nikolaos Stefopoulos, directed him to leave. \$37(2)(b) described PO Stefopoulos as a white male who was shorter than 5'8" tall, muscular, had black hair and was in uniform. § 87(2)(b) observed from his shield that the first letters of PO Stefopoulos's name were "St." \$87(2)(b) alleged that twelve to fifteen officers then pushed him off of the street and past an unspecified number of metal barriers onto the sidewalk (Allegation B). § 37(2)(b) was unable to describe these officers in any detail, aside from recalling that most of the officers were male and in uniform. §87(2)(b) did not specify whether he was resisting or pushing against the officers. § 87(2)(b) leaned back and spread his arms to the side to prevent himself from falling. He then stood on the sidewalk and lit a cigarette. From the other side of the barriers on the street,



On November 3, 2008, a contact letter was sent to \$87(2)(b) via an email. Between this date and June 3, 2009, four additional calls were placed to \$87(2)(b) provided number. A reassignment letter was sent to \$87(2)(b) via email on May 13, 2009, and a final contact letter was sent to him via email on June 1, 2009, and again on June 3, 2009. As of the date of this report, \$87(2)(b) has failed to contact the investigator with regard to the incident.

Police Officer Statements:

PO Nikolaos Stefopoulos

PO Nikolaos Stefopoulos is \$87(2)(6) years old. He is a white male who is 5'9" tall, weighs 225 pounds and has black hair and brown eyes. PO Stefopoulos is assigned to the 61st Precinct and has been a member of the service for one year. On the day of the incident, PO Stefopoulos was assigned to a West Indian Day Parade detail and worked from 7:05 AM to 3:40 PM without a partner, in uniform and on foot. PO Stefopoulos's memobook (encl. 6a – 6b) notes that at 6:00 PM, he effected an arrest.

Police Reports

PO Stefopoulos prepared the arrest report (encl. 7a – 7b) and complaint report (encl. 8a – 8b) regarding as arrest. He reported that on September 1, 2009, at approximately 6:00 PM, \$87(2)(b) was observed walking eastbound on Eastern Parkway against the flow of parade traffic, causing disruption of the parade lines with several other individuals. PO Stefopoulos noted that \$37(2)(b) was acting loud, boisterous and improperly by causing annoyance and alarm to those participating in the parade. When asked by PO Stefopoulos to leave the location, \$87(2)(b) reportedly refused and was ejected past a set of police barricades by PO Stefopoulos, who told him that he was not permitted to reenter the parade. \$37(2)(b) continued to act disorderly and reentered the parade by passing the barricade. PO Stefopoulos then removed him from the parade route a second time and warned him not to pass the barricades. When PO Stefopoulos requested \$37(2)(b) replied by stating, "No. Fuck you!" and walked away. PO Stefopoulos then attempted to place \$37(2)(b) under arrest. \$37(2)(b) resisted by flailing his arms in a violent manner, preventing PO Stefopoulos from handcuffing him. The arrest report noted that \$37(2)(b) s physical and mental condition was apparently normal.

CCRB Statement

PO Stefopoulos was interviewed at the CCRB on November 18, 2008 (encl. 9a – 9b), at which time he provided the following statement: on September 1, 2008, at approximately 6:00 PM, PO Stefopoulos was patrolling his foot post near the intersection of Eastern Parkway and Franklin Avenue in Brooklyn. There were other officers in the vicinity, but PO Stefopoulos did not specify where these officers were more specifically or indicate whether they were from his command. He observed a male, subsequently identified through his arrest as §87(2)(b) walking with five additional males, two of whom identified through the § 87(2)(b) and his companions were walking southbound investigation as § 87(2)(b) and § 87(2)(b) against the flow of parade traffic on the street. PO Stefopoulos explained that two sets of police barriers had been erected on Eastern Parkway, one which separated the spectators from the parade and another which was intended to keep pedestrians on the sidewalk. § 87(2)(b) and his companions were bumping into people, screaming obscenities and generally disrupting the festivities. PO Stefopoulos approached and instructed him to leave the parade route. § 87(2)(b) retorted that he did not want to leave. PO Stefopoulos then used his right hand to grip [37(2)(5)] s bicep. PO Stefopoulos did not specify which arm he grasped.

PO Stefopoulos guided \$37(2)(b) past the barricade onto the sidewalk. \$87(2)(b) s companions dispersed, though PO Stefopoulos did not specify in what direction or whether any officers had approached them or instructed them to leave as well. Once \$87(2)(b) passed the barricade, PO Stefopoulos informed him that if he reentered the parade route he would be cited for trespassing. \$87(2)(b) appeared angry and continued to shout obscenities towards PO Stefopoulos, though PO Stefopoulos did not specify what Ray was saying more specifically. \$87(2)(b) then jumped back over the barricade and onto the parade route. PO Stefopoulos asked \$87(2)(b) for his identification and informed him that he would be issued a

| summons. The second state of the refused to provide his identification. PO Stefopoulos told him that he would be arrested if he refused to provide his ID. The replied, "I'm not giving you ID." PO Stefopoulos instructed structed |
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| flailed his free arm away from PO Stefopoulos, who then pushed him to the ground in order to restrain him. PO Stefopoulos fell to the street with and sustained bruises to his arms and a scrape on his right knee as a result of making impact with the ground. Additional officers, whom PO Stefopoulos could neither describe nor identify, responded to the scene. PO Stefopoulos confirmed that PO Joseph Rosso from the 61st Precinct was also at the scene, but PO Stefopoulos did not see him take any physical action with respect to \$\frac{87(2)(0)}{87(2)(0)}\$ PO Stefopoulos was lying on top of who refused to give up his left arm. PO Stefopoulos explained that he twisted the handcuff secured around right hand to cause pain as a compliance technique. PO Stefopoulos explained that this technique usually causes the subject to comply, but this did not prove to be the case with \$\frac{87(2)(0)}{87(2)(0)}\$ who still refused to give up his left arm. The additional officers were able to grasp this arm and pull it towards PO Stefopoulos, enabling him to handcuff \$\frac{87(2)(0)}{87(2)(0)}\$ None of the individuals in the area interfered in \$\frac{87(2)(0)}{87(2)(0)}\$ into a standing position. He saw that \$\frac{87(2)(0)}{87(2)(0)}\$ had bruising on one of his wrists and bruising on his cheek and forehead, the latter of which PO Stefopoulos assumed were caused by falling to the ground. |
| PO Stefopoulos transported \$\frac{87(2)(b)}{87(2)(b)}\$ to a mobile command center, where he was brought before an EMT and refused medical treatment. \$\frac{87(2)(b)}{87(2)(b)}\$ never requested medical treatment from PO Stefopoulos. PO Stefopoulos observed that a second arrestee was at the command center in handcuffs and identified this individual as \$\frac{87(2)(b)}{87(2)(b)}\$ when presented with a photograph. PO Stefopoulos did not witness \$\frac{87(2)(b)}{87(2)(b)}\$ s arrest, which PO Stefopoulos confirmed was effected by PO Rosso. PO Stefopoulos was later informed, though he did not specify by whom, that \$\frac{87(2)(b)}{87(2)(b)}\$ was arrested for assaulting a police officer. \$\frac{87(2)(b)}{87(2)(b)}\$ did not appear to be injured. An officer, whom PO Stefopoulos could not identify, responded to the mobile command center and transported PO Stefopoulos and \$\frac{87(2)(b)}{87(2)(b)}\$ to the 78th Precinct stationhouse in a marked police vehicle. |
| PO Joseph Rosso |
| PO Joseph Rosso is years old. He is a white male who is 5'10" tall, weighs 150 pounds and has black hair and brown eyes. PO Rosso is assigned to the 61 st Precinct and has been a member of the service for four years. On the day of the incident, PO Rosso was assigned to a West Indian Day Parade detail and worked from 7:05 AM to 3:40 PM without a partner, in uniform and on foot. PO Rosso's memobook (encl. 10a – 10c) notes that he arrested at 6:00 PM at the corner of Franklin Avenue and Eastern Parkway. At 7:30 PM, PO Rosso and a sector from the 71 st Precinct transported to the 78 th Precinct for arrest processing. At 8:00 PM, PO Rosso began arrest processing. |
| Police Reports |
| PO Rosso prepared the arrest report (encl. 11a – 11b) and complaint report (encl. 12a – 12b) corresponding to sarrest. PO Rosso reported that on September 1, 2008, at approximately 6:30 PM, PO Rosso observed yelling, screaming and throwing items in the street. Sar(2)(b) was approached by PO Daniel Baca from the 52 nd Precinct and asked to leave the parade route. Sar(2)(b) punched PO Baca in the face and kicked him in the groin, causing pain and bruising to PO Baca's left eye and face and creating panic and alarm in parade crowd. Sar(2)(b) reportedly flailed his arms and kicked while being handcuffed. Sar(2)(b) s physical and mental condition was noted as apparently normal. PO Baca reported that physical force was used against him to overcome assault. |

CCRB Statement

PO Rosso was interviewed at the CCRB on November 18, 2008 (encl. 13a-13b), at which time he provided the following statement: on September 1, 2008, at approximately 6:00 PM, PO Rosso was

| standing at his assigned footpost during the West Indian Day Parade on Franklin Avenue and Eastern Parkway in Brooklyn. PO Rosso heard the sound of multiple individuals screaming and saw PO Nikolaos Stefopoulos struggling with a male, identified through the investigation as \$37(2)(b) on the south side of Franklin Avenue near Eastern Parkway. \$37(2)(b) was lying face down on the ground. One of his arms was in a set of handcuffs behind his back and his other arm was extended away from PO Stefopoulos over his head. PO Rosso did not witness the events leading up to \$37(2)(b) arrest. PO Stefopoulos was lying on top of \$37(2)(b) and was holding \$37(2)(b) arrest and arrest. PO Rosso approached PO Stefopoulos to assist him in restraining \$37(2)(b) PO Rosso instructed \$37(2)(b) to stay on the ground and then grabbed his free arm, which he was able to give to PO Stefopoulos. \$37(2)(b) resisted by moving his body, kicking his legs and trying to roll out from under PO Stefopoulos. Once \$37(2)(b) was handcuffed, PO Stefopoulos and PO Rosso assisted him into a standing position. PO Rosso did not observe any injuries on his person. PO Stefopoulos then walked \$37(2)(b) towards a mobile command post and PO Rosso returned to his foot post. |
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| While walking back to his foot post, PO Rosso observed five officers attempting to escort a second male, subsequently identified through his arrest as \$87(2)(b) off of the street and past a police barricade. PO Rosso did not witness the circumstances under which these officers initially approached \$87(2)(b) PO Rosso saw \$87(2)(b) kick an officer in the groin and then punch him on either his head or upper body. PO Rosso did not then know the officers who were struggling with \$87(2)(b) aside from noting that they were not from his command. PO Rosso later spoke with the officer who had been kicked and punched by \$87(2)(b) and obtained his shield number, which he stated was 13388. This officer was identified through the investigation as PO Daniel Baca of the 52 nd Precinct. The officers surrounded \$87(2)(b) and brought him to the ground. Some of these officers fell to the ground with \$87(2)(b) PO Rosso approached the struggle to assist them in restraining \$87(2)(b) The officers began pulling on \$87(2)(b) s arms to bring them behind his back. When PO Rosso neared them, he used his set of handcuffs to restrain \$87(2)(b) |
| PO Baca was transported to a hospital in regards to injuries sustained during the struggle with PO Rosso walked PO Rosso walked towards a mobile command center. PO Rosso did not specify where this command center was located in relation to the incident location. Ser(2)(b) resisted by pulling and shoving against PO Rosso and going limp. PO Rosso did not specify how he responded to series resistance. At the command center, Ser(2)(b) was brought before an EMT. PO Rosso observed that Ser(2)(b) had a few minor cuts on his head, though PO Rosso did not specify where these cuts were more specifically. Ser(2)(b) refused medical treatment at the mobile command center and never asked PO Rosso for medical treatment. PO Rosso did not specify when, how or where Ser(2)(b) was transported for arrest processing. |
| Officers Not Interviewed by the Investigation |
| Statements and documentary evidence identified PO Daniel Baca from the 52 nd Precinct as present at the scene of the incident. §87(2)(9) |
| Medical Records |
| A medical treatment of prisoner form was prepared for \$87(2)(b) on September 1, 2008 (encl. 14), which noted that \$87(2)(b) had sustained minor cuts after resisting arrest and had refused medical attention at the scene. |
| Police Department Documents: |
| 61st Precinct Roll Call |

 $According \ to \ the \ roll \ call \ for \ September \ 1, 2009 \ (encl. \ 15), \ PO \ Stephanopoulos \ was \ assigned \ to \ a \ parade \ detail.$

| Arrest Photos |
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| s arrest photo (encl. 5) and 887(2)(b) s arrest photo (encl. 17) show no observable signs of physical injury. |
| Disposition of Relevant Arrest |
| [§ 87(2)(b)] [§§ 86(1)(3)&(4)] [§ 87(2)(c)] |
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| Civilian Criminal Conviction History |
| [§ 87(2)(b)] [§§ 86(1)(3)&(4)] [§ 87(2)(c)] |
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| Notice of Claim Inquiry |
| As of June 4, 2009, the Comptroller's Bureau of Law and Adjustment determined that \$87(2)(b) and have not filed a notice of claim with regard to the incident (encl. 20). |
| Officer CCRB History |
| PO Stefopoulos has been a member of the service for year and PO Rosso has been a member of the service for two years. They have had no CCRB allegations substantiated against them (encl. $2a-2b$). |
| Conclusions and Recommendations |
| Officer Identification |
| Statements and documentary evidence identified PO Stefopoulos, PO Rosso and PO Baca as involved in the incident. PO Stefopoulos admitted to using force against \$87(2)(b) and PO Rosso admitted to using force against \$87(2)(c) alleged that multiple officers pushed him past barricades separating the street from the sidewalk, pushed him to the ground and secured him in handcuffs. \$87(2)(b) was unable to describe or number these officers. PO Stefopoulos confirmed that additional officers were involved in apprehending \$87(2)(b) but stated that he did not know who these officers were. As the incident took place during the West Indian Day Parade, officers from a multiple commands across the five boroughs were patrolling the incident location. |
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| Undisputed Facts |
| It is undisputed that \$87(2)(b) refused to leave the parade area when instructed to do so. It is undisputed that officers, including PO Stefopoulos and PO Rosso, used force to restrain \$87(2)(b) and \$87(2)(b) |

| § 87(2)(g) |
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| Assessment of Evidence |
| alleged that he was pushed from behind by PO Rosso and then directed to leave the parade route by PO Stefopoulos. PO Rosso \$87(2)(9) stated that he was not involved in the circumstances leading up to \$87(2)(6) sarrest and explained that \$87(2)(6) was struggling with PO Stefopoulos on the ground when his first observed him. PO Stefopoulos corroborated PO Rosso's account and confirmed that he was the first officer to approach \$87(2)(6) and the first officer to physically guide him passed the barricades separating the sidewalk from the parade route. PO Stefopoulos explained that he observed \$87(2)(6) walking with a number of acquaintances against the flow of parade traffic, screaming obscenities and generally disrupting the proceedings. PO Stefopoulos approached him, directed him to leave and, when \$87(2)(6) refused, gripped his right bicep and guided him past the barricade onto the sidewalk. |
| § 87(2)(g) |
| PO Rosso and PO Stefopoulos \$87(2)(9) stated that \$87(2)(b) was apprehended half an hour after \$87(2)(b) s arrest, which was corroborated by the time stamp on \$87(2)(b) and \$87(2)(b) s respective arrest reports (encl. 7a – 7b; encl. 11a – 11b) \$87(2)(9) |
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| alleged that PO Stefopoulos made false statements during his arraignment when stating that he had cursed, ignored verbal warnings, refused to provide his identification and returned to the street after he was pushed onto the sidewalk. §87(2)(5) denied performing any of these actions, a§87(2)(5) |
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| alleged that officers pushed him past the barriers separating the sidewalk, pushed him to the ground, held his legs to the ground to immobilize him and then secured him in handcuffs. PO Stefopoulos confirmed that \$87(2)(b) was pushed to the ground. While \$87(2)(b) denied struggling against the officers, PO Stefopoulos stated that \$87(2)(b) flailed his arms and refused to give up his arms. PO Stefopoulos's testimony was corroborated by both \$87(2)(b) s arrest report (encl. $7a - 7b$) and PO Rosso's testimony. \$87(2)(b) stated that he leaned back and spread his arms to the side to prevent himself from falling when first pushed. \$87(2)(g) |
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| asserted that PO Rosso pushed § 87(2)(b) onto the ground on top of him. § 87(2)(g) |
| PO Rosso confirmed that he used force to restrain \$87(2)(b) but explained that \$87(2)(b) s arrest was predicated by the fact that \$87(2)(b) punched PO Baca in the face and then kicked him in the groin. \$87(2)(g) |

| § 87(2)(g) | |
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| | ulos used physical force against § 87(2)(b) |
| Allegation B. Officers used physica | d force against § 87(2)(b) |
| § 87(2)(g) | |
| | According to Patrol Guide Procedure |
| | unt of force necessary to overcome resistance and effect an arrest (encl |
| 1). § 87(2)(g) | |
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| § 87(2)(g) | |
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| Allegation C. PO Joseph Rosso use | d physical force against § 87(2)(b) |
| § 87(2)(g) | |
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| Investigator: | Date: |
| | Date: |
| Supervisor: | Date. |
| Reviewed by: | Date: |
| Reviewed by: | Date: |