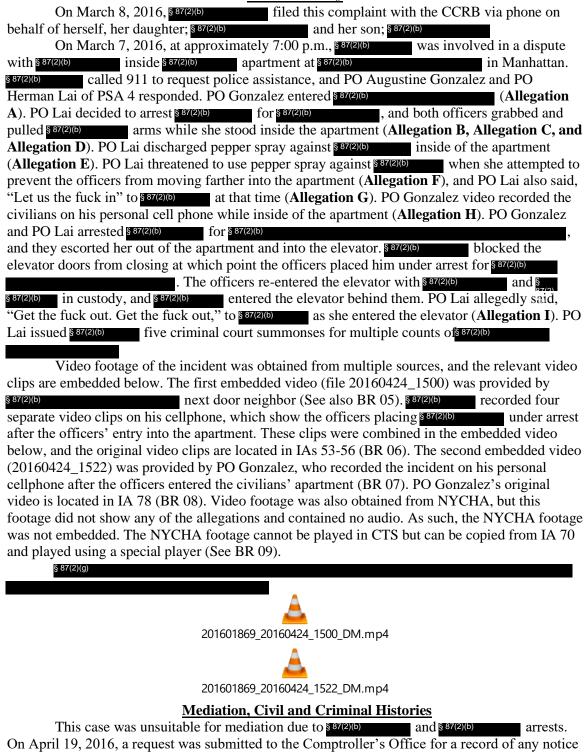
CCRB INVESTIGATIVE RECOMMENDATION

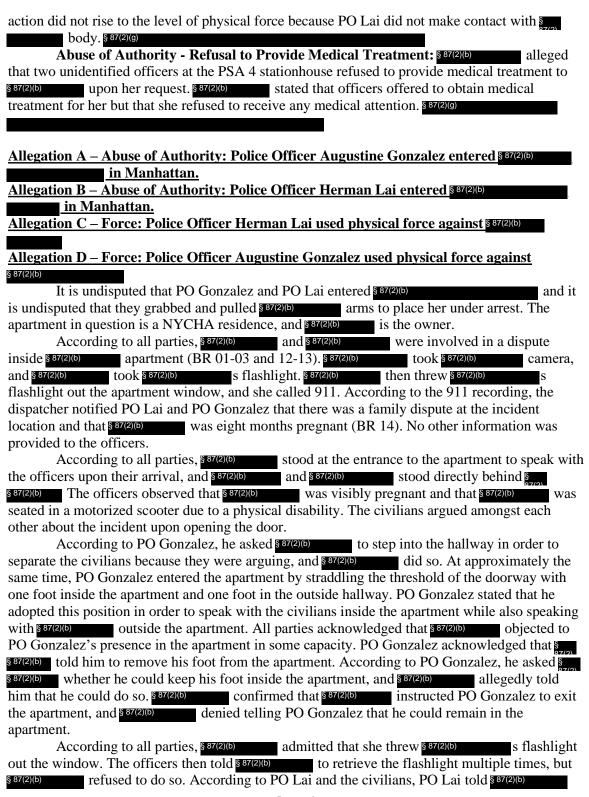
Investigator:		Team:	CCRB Case #:	✓ Force	☑ Discourt.	☐ U.S.
John Butler		Squad #5	201601869	☑ Abuse	O.L.	☐ Injury
Incident Date(s)		Location of Incident:	•	Precinct:	18 Mo. SOL	EO SOL
Monday, 03/07/2016 7:00 PM		§ 87(2)(b)		05	9/7/2017	9/7/2017
Date/Time CV Reported		CV Reported At:	How CV Reported:	Date/Tir	ne Received at CCI	RB
Tue, 03/08/2016 8:23 AM		CCRB	Phone	Tue, 03/	/08/2016 8:23 AM	
Complainant/Victim	Type	Home Addre	ess			
Witness(es)		Home Addre	ess			
Subject Officer(s)	Shield	TaxID	Command			
1. POM Augustine Gonzalez	04096	950514	PSA 4			
2. POM Herman Lai	01897	949191	PSA 4			
Officer(s)	Allegati	on		In	vestigator Recon	nmendation
A.POM Augustine Gonzalez	Abuse: F § 87(2)(b)	Police Officer Augustine	Gonzalez entered in Manhattan.			
B.POM Herman Lai	Abuse: F § 87(2)(b)	Police Officer Herman L	ai entered in Manhattan.			
C.POM Herman Lai	Force: Possible (%) Force:	olice Officer Herman La	ai used physical force	e against		
D.POM Augustine Gonzalez	Force: Porce aga	olice Officer Augustine ainst § 87(2)(b)	Gonzalez used physi	cal		
E.POM Herman Lai	Force: Possible 87(2)(b)	olice Officer Herman La	ai used pepper spray	against		
F.POM Herman Lai		Police Officer Herman L with the use of force.	ai threatened § 87(2)(b)			
G.POM Herman Lai		esy: Police Officer Herr cously to ^{§ 87(2)(b)}	nan Lai spoke			
H.POM Augustine Gonzalez	§ 87(2)(b)	Police Officer Augustine ersonal cell phone.	e Gonzalez video rece and ^{§ 87(2)(b)}	orded		
I.POM Herman Lai		esy: Police Officer Herr eously to § 87(2)(b)	nan Lai spoke			

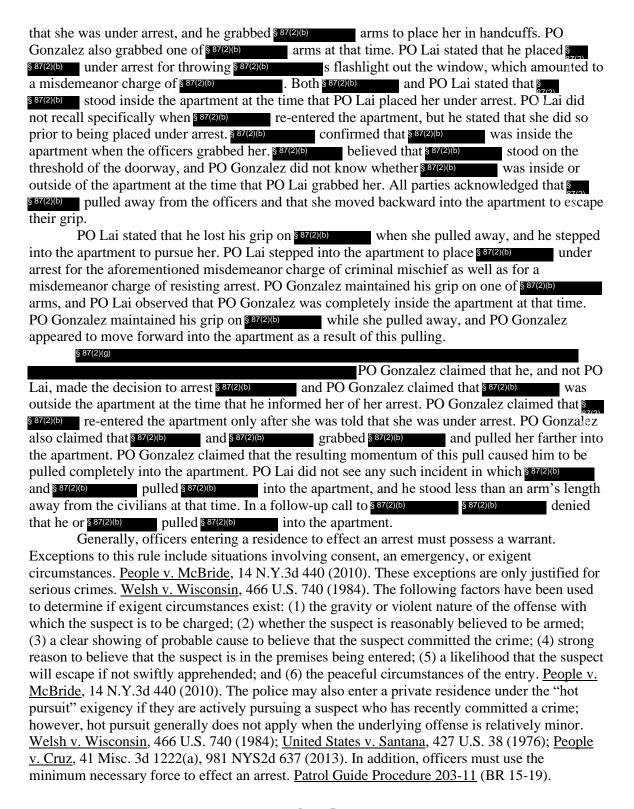
Case Summary



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of claim filed in regards to this incident, and the results are pending. [\$ 87(2)(b)] [\$\$ 86(1)(3)&(4)] [\$ 87(2)(c)]
Civilian and Officer CCRB Histories does not have any prior CCRB complaints (BR 11). filed two prior complaints with the CCRB (case \$87(2)(b) and case \$87(2)(b) \$87(2)(b)
This is PO Gonzalez's first CCRB complaint in his four year tenure as an NYPD officer.
PO Lai has been a member of the NYPD for five years and has been the subject of three prior CCRB complaints involving five allegations, none of which were substantiated. \$87(2)(9)
Potential Issues Field work was conducted to the incident location, and one of the civilian witnesses to the incident, \$87(2)(b) was interviewed. A second witness to the incident, \$87(2)(b) refused to provide a statement about the incident.
Findings and Recommendations
Explanation of Subject Officer Identification Say(2)(b) alleged that he heard a male voice say, "Let us in. Let us the fuck in [the apartment]," from inside his own apartment next door. Say(2)(b) say(2)(b) to "move the fuck out of the way." PO Lai acknowledged telling Say(2)(b) to move out of his way to allow the officers to enter the apartment. PO Gonzalez denied participating in any such conversation. Say(2)(g)
Abuse of Authority - Threat of Arrest: \$\frac{837(2)(b)}{2}\$ alleged that PO Gonzalez threatened to arrest \$\frac{837(2)(b)}{2}\$ at the doorway of the apartment, and \$\frac{837(2)(b)}{2}\$ alleged that an unidentified officer made this threat at the conclusion of the incident. It is undisputed that PO Lai attempted to place \$\frac{837(2)(b)}{2}\$ under arrest, that \$\frac{837(2)(b)}{2}\$ refused to surrender to him, and that PO Lai used his discretion to issue criminal court summonses to \$\frac{837(2)(b)}{2}\$ in lieu of her arrest.





	§ 87(2)(g)
	§ 87(2)(g)
	§ 87(2)(g)
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	§ 87(2)(g)
Allegat	tion E – Force: Police Officer Herman Lai used pepper spray against §87(2)(b)
	It is undisputed that PO Lai used pepper spray against \$87(2)(b)
	According to \$87(2)(b) and \$87(2)(b) PO Lai discharged one burst of pepper
spray la	asting one second against \$87(2)(5) while she pulled away from the officers. According
to the c	ivilians, the pepper spray struck \$87(2)(b) face, and residual spray made contact with
§ 87(2)(b)	face due to her close proximity to \$87(2)(b) Both officers and all of the civilians
were af	fected by the pepper spray, and they variously coughed and rubbed their eyes. PO
Gonzal	ez later developed asthmatic symptoms as a result of his exposure to pepper spray, and he
was trai	nsported via EMS to \$87(2)(b) for treatment. Photos of the incident
location	a showed that the civilians and officers stood in a three foot wide hallway at the time of the
pepper	spray discharge, and all parties were consistent in that the officers and civilians stood
within a	a few feet of each other in this confined space (BR 21).

PO Lai acknowledged that he used pepper spray against \$87(2)(b) arm at the time that he used his pepper spray, and PO Lai stated that \$67(2)(b) are spray approximately 10 inches from face and approximately one and a half feet away from \$67(2)(b) are face at the time that he discharged the spray. PO Lai confirmed that he discharged only one burst of pepper spray lasting one second; however, PO Lai stated that the pepper spray emitted in a cloud of mist as opposed to a directed stream because the pepper spray bottle was brand new. PO Lai acknowledged that the resulting mist affected all parties inside the apartment. PO Lai was aware that \$67(2)(b) are was eight months pregnant at the time that he discharged his pepper spray.
. She alleged that PO Lai used pepper spray against prior to placing her under arrest, and she claimed that PO Lai then extended his arm into the apartment and discharged the spray for a second time while waving the bottle back and forth indiscriminately. S87(2)(b) and the officers denied that this occurred. Officers must use the minimum necessary force to effect an arrest. Patrol Guide Procedure 203-11. Officers should discharge pepper spray from a minimum distance of three feet and only in situations when the officer reasonably believes it to be necessary to effect an arrest. If possible, officers should avoid using pepper spray on women believe to be pregnant. Pepper spray shall not be used in situations that do not require the use of force. Patrol Guide Procedure 212-95 (BR 19-20).
g 01 (2)(g)
Allegation F – Abuse of Authority: Police Officer Herman Lai threatened \$87(2)(5) with the use of force.
It is undisputed that PO Lai threatened to use pepper spray against §87(2)(b)
retreated farther into the apartment after being affected by the pepper spray. According to \$87(2)(b) PO Lai and PO Gonzalez instructed \$87(2)(b) to move out of the way so as to allow them to pursue \$87(2)(b) into the apartment, but \$87(2)(b) refused to move. During that conversation, \$87(2)(b) alleged that PO Lai threatened to use pepper

spray against \$87(2)(b) if she did not allow the officers to move into the apartment. Neither \$87(2)(b) nor \$87(2)(b) made this allegation, and \$87(2)(b) who was inside the apartment next door, could not clearly hear everything that was said at that time.
PO Lai acknowledged that he threatened to use pepper spray against \$87(2)(6) if she did not move out of the officers' way. PO Lai issued this threat because \$87(2)(6) blocked the officers' path farther into the apartment, and the officers needed to move past \$87(2)(6) to arrest \$87(2)(6) PO Lai did not ultimately use pepper spray against \$87(2)(6) PO
Gonzalez denied that blocked the officers' path, and he did not recall whether PO Lai threatened to use pepper spray against 687(2)(6) Officers may use pepper spray when they reasonably believe it to be necessary to effect
an arrest. Officers are prohibited from using pepper spray against subjects who passively resist. Patrol Guide Procedure 212-95 (BR 20). 887(2)(9)
Allogation C. Discountages Police Officer Hormon Leignoles discounts and the Series
During \$\frac{\sqrt{2}\sqrt{0}}{\sqrt{2}\sqrt{0}} argument with PO Lai, \$\frac{\sqrt{8}\sqrt{2}\sqrt{0}}{\sqrt{0}} heard PO Lai say, "Move the fuck out of the way." \$\frac{\sqrt{2}\sqrt{0}}{\sqrt{0}} heard a male voice say, "Let us in. Let us the fuck in" from inside his next door apartment. Neither \$\frac{\sqrt{2}\sqrt{0}}{\sqrt{0}} nor \$\frac{\sqrt{2}\sqrt{0}}{\sqrt{0}} made this allegation. PO Lai acknowledged that he instructed \$\frac{\sqrt{2}\sqrt{0}}{\sqrt{0}} to move out of the officers' way multiple times. PO Gonzalez denied that the officers had any conversation in which PO Lai told to let them into the apartment or to move out of their way. PO Lai did not recall whether he used any profanity as alleged during this conversation. PO Lai stated that he "might have" used the word "fuck" when speaking with \$\frac{\sqrt{0}\sqrt{0}}{\sqrt{0}} at later parts of the incident (Discussed in Allegation I). However, video and audio recordings of these moments revealed that PO Lai did not use profanity during these occasions (See Allegation I). PO Lai also stated that he did not consider the use of the word "fuck" to be profane. PO Lai held this belief because he claimed that the word "fuck" is commonly used and is not always discourteous. Officers must be courteous and respectful in the interactions with the public. Patrol Guide Procedure 203-09 (BR 22).

§ 87(2)(g)
Allegation H – Abuse of Authority: Police Officer Augustine Gonzalez video recorded 887(2)(b)
and §87(2)(b) on his personal cell phone.
It is undisputed that PO Gonzalez video recorded \$87(2)(b) and \$37(2)
§ 87(2)(b) on his personal cell phone.
According to \$87(2)(b) and the officers, PO Gonzalez and PO Lai moved around
motorized wheelchair to pursue \$87(2)(b) The officers walked down the
hallway of the apartment and entered the kitchen. The officers placed §87(2)(b) in handcuffs
in the kitchen and then walked back toward the front door of the apartment. At that time, the
officers told § 87(2)(b) that she was blocking their way out of the apartment, and PO Gonzalez
appeared to direct his cell phone toward \$87(2)(6) as though video recording her.
According to the officers, \$87(2)(b) closed and locked the apartment door behind them once they handcuffed \$87(2)(b) The officers asked \$87(2)(b) to allow them to exit the
apartment, but §87(2)(b) refused to do so. PO Gonzalez acknowledged that he then video
recorded the civilians on his personal cell phone, and PO Gonzalez provided this video to the
CCRB. PO Gonzalez stated that he did not have his Department issued cell phone on his person at
the time of the incident, and he stated that he video recorded the civilians to "cover himself."
The officers moved past \$87(2)(b) unlocked the door, and exited the apartment with
in custody. PO Gonzalez placed his cellphone in his front shirt pocket and
continued to record the incident while in the hallway. PO Gonzalez did not notify a supervisor
that he possessed a recording of the incident, and he did not invoice the recording into evidence.
PO Gonzalez stated that he was not required to invoice the video as evidence.
Officers are prohibited from using any personal electronic/digital device (e.g., cellular phone, camera, etc.) to record video and/or audio or take photographs during any police
encounter (BR 23). Officers may only record encounters when prior supervisory authorization is
obtained and when authorized Department technology is utilized. Law enforcement recordings
are subject to preservation and discovery rules, and any such recordings must be promptly made
available to the relevant prosecutor's office or the New York City Law Department. Patrol Guide
Procedure 203-06.
§ 87(2)(g)
Allegation I – Discourtesy: Police Officer Herman Lai spoke discourteously to § 87(2)(b)
Integration 1 Biscourtes y, 1 once officer tremum Dar spoke discourteously to
The officers escorted § 87(2)(b) to the elevator in the hallway outside § 87(2)(b)
apartment, and \$87(2)(b) and \$87(2)(b) followed them. The civilians loudly protested the
officers' actions while using profanity, and §87(2)(b) referred to PO Lai, who is Asian, as a
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"chink" multipl	e times. According to §8	7(2)(b) and the office	rs, the officers entered the	
elevator with §8	7(2)(b) and § 87(2)(b)	instructed § 87(2)(b)	to block the elevator do	or.
		r from closing, and the offi		
§ 87(2)(b)	under arrest for obstructi	ng governmental administr	ration. At that time, § 87(2)(b)	
exited his aparti	ment and video recorded	the incident on his cell pho	one (See embedded video).	
Several addition	nal civilians, including	also exited the	ir apartments to observe th	e
incident. § 87(2)(b)	called 911 to requ	est an ambulance for \$ 87(2)(6)	and the 911 record	ling
of that call capt	ured the remainder of th	e incident on audio recordi	ng (BR 24).	_
§ 87(2)(b)	stated that she grab	bed the collar of PO Lai's	jacket while he placed	
		read PO Lai's shield number		20
		not to touch him. § 87(2)(b)		
number by read	ing the number from his	shield. PO Lai stated that l	ne "might have" told	
§ 87(2)(b) in subs	tance, "Get your fucking	g hands off me," when § 87(2)	grabbed him. None	e of
		er the audio from PO Gonz		
from § 87(2)(b)	911 call recorded that	at comment, and these recor	ding captured the part of th	ıe
incident in which	h PO Lai claimed he ma	ay have used profanity. § 87(2	s video footage di	d
not capture the	part of the incident in qu	estion.		
The off	icers placed § 87(2)(b)	and § 87(2)(b) in the	elevator, and § 87(2)(b)	
		the elevator to enter behind		
		as they moved toward the		
		illegedly heard PO Lai say,		
		" told § 87(2)(b) to "get tl		
		g this comment. None of the		
		Sonzalez's phone nor the au		
		recordings captured the pa		PO
		s video footage did		
		r to capture the complete in		
		the elevator and descended		
		cene, and § 87(2)(b) refu		1
	(2)(b) were then t	ransported to the PSA 4 sta	tionhouse for arrest	
processing.				
§ 87(2)(g)				
a .				
Squad:				
T				
Investigator:				
	Signature	Print	Date	
C 17 1				
Squad Leader: _	FF: 4 /G:			
	Title/Signature	Print	Date	

Reviewer:			
	Title/Signature	Print	Date