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### Method and apparatus for interference management

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#### Abstract

Methods and apparatuses for interference management in a wireless communication system. A method for operating a user equipment (UE) includes receiving configuration information about I. I is interference information for interfering N.sub.int remote radio heads (RRHs), where  $N_{\text{sub.int}} \leq N - 1$ . The configuration information indicates a number of RRHs,  $N > 1$ . The method further includes determining, based on the interference information I, a downlink (DL) spatial filter using P antenna ports, where  $P > 1$ , and receiving DL data using the determined DL spatial filter.

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## **Background/Summary**

CROSS-REFERENCE TO RELATED APPLICATIONS AND CLAIM OF PRIORITY (1) The present application claims priority to U.S. Provisional Patent Application No. 63/273,708, filed on Oct. 29, 2021. The content of the above-identified patent document is incorporated herein by reference.

### **TECHNICAL FIELD**

(1) The present disclosure relates generally to wireless communication systems and, more specifically, the present disclosure relates to interference management in a wireless communication system.

### **BACKGROUND**

(2) 5th generation (5G) or new radio (NR) mobile communications is recently gathering increased momentum with all the worldwide technical activities on the various candidate technologies from industry and academia. The candidate enablers for the 5G/NR mobile communications include massive antenna technologies, from legacy cellular frequency bands up to high frequencies, to provide beamforming gain and support increased capacity, new waveform (e.g., a new radio access technology (RAT)) to flexibly accommodate various services/applications with different requirements, new multiple access schemes to support massive connections, and so on.

### **SUMMARY**

(3) The present disclosure relates to wireless communication systems and, more specifically, the present disclosure relates to interference management in a wireless communication system.

(4) In one embodiment, a user equipment (UE) is provided. The UE includes a transceiver configured to receive configuration information about I. I is interference information for interfering N.sub.int remote radio heads (RRHs), where  $N_{\text{sub.int}} \leq N - 1$ . The configuration information indicates a number of RRHs,  $N > 1$ . The UE further includes a processor operably coupled to the transceiver. The processor is configured to, based on the interference information I, determine a downlink (DL) spatial filter using P antenna ports, where  $P > 1$ . The transceiver is further configured to receive DL data using the determined DL spatial filter.

(5) In another embodiment, a base station (BS) is provided. The BS includes a transceiver configured to transmit configuration information about I. I is interference information for interfering N.sub.int RRHs, where  $N_{\text{sub.int}} \leq N - 1$ . The configuration information indicates a number of RRHs,  $N > 1$ . The BS further includes a processor operably coupled to the transceiver. The processor is configured to, based on the interference information I, determine a DL spatial

filter using  $P$  antenna ports, where  $P > 1$ . The transceiver is further configured to transmit DL data for reception according to the determined DL spatial filter.

(6) In yet another embodiment, a method for operating a UE is provided. The method includes receiving configuration information about  $I$ .  $I$  is interference information for interfering  $N_{\text{sub.int}}$  RRHs, where  $N_{\text{sub.int}} \leq N - 1$ . The configuration information indicates a number of RRHs,  $N > 1$ . The method further includes determining, based on the interference information  $I$ , a DL spatial filter using  $P$  antenna ports, where  $P > 1$ , and receiving DL data using the determined DL spatial filter.

(7) Other technical features may be readily apparent to one skilled in the art from the following figures, descriptions, and claims.

(8) Before undertaking the DETAILED DESCRIPTION below, it may be advantageous to set forth definitions of certain words and phrases used throughout this patent document. The term “couple” and its derivatives refer to any direct or indirect communication between two or more elements, whether or not those elements are in physical contact with one another. The terms “transmit,” “receive,” and “communicate,” as well as derivatives thereof, encompass both direct and indirect communication. The terms “include” and “comprise,” as well as derivatives thereof, mean inclusion without limitation. The term “or” is inclusive, meaning and/or. The phrase “associated with,” as well as derivatives thereof, means to include, be included within, interconnect with, contain, be contained within, connect to or with, couple to or with, be communicable with, cooperate with, interleave, juxtapose, be proximate to, be bound to or with, have, have a property of, have a relationship to or with, or the like. The term “controller” means any device, system, or part thereof that controls at least one operation. Such a controller may be implemented in hardware or a combination of hardware and software and/or firmware. The functionality associated with any particular controller may be centralized or distributed, whether locally or remotely. The phrase “at least one of,” when used with a list of items, means that different combinations of one or more of the listed items may be used, and only one item in the list may be needed. For example, “at least one of: A, B, and C” includes any of the following combinations: A, B, C, A and B, A and C, B and C, and A and B and C.

(9) Moreover, various functions described below can be implemented or supported by one or more computer programs, each of which is formed from computer readable program code and embodied in a computer readable medium. The terms “application” and “program” refer to one or more computer programs, software components, sets of instructions, procedures, functions, objects, classes, instances, related data, or a portion thereof adapted for implementation in a suitable computer readable program code. The phrase “computer readable program code” includes any type of computer code, including source code, object code, and executable code. The phrase “computer readable medium” includes any type of medium capable of being accessed by a computer, such as read only memory (ROM), random access memory (RAM), a hard disk drive, a compact disc (CD), a digital video disc (DVD), or any other type of memory. A “non-transitory” computer readable medium excludes wired, wireless, optical, or other communication links that transport transitory electrical or other signals. A non-transitory computer readable medium includes media where data can be permanently stored and media where data can be stored and later overwritten, such as a rewritable optical disc or an erasable memory device.

(10) Definitions for other certain words and phrases are provided throughout this patent document. Those of ordinary skill in the art should understand that in many if not most instances, such definitions apply to prior as well as future uses of such defined words and phrases.

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## Description

## BRIEF DESCRIPTION OF THE DRAWINGS

- (1) For a more complete understanding of the present disclosure and its advantages, reference is now made to the following description taken in conjunction with the accompanying drawings, in which like reference numerals represent like parts:
- (2) FIG. 1 illustrates an example of wireless network according to embodiments of the present disclosure;
- (3) FIG. 2 illustrates an example of a base station (gNB) according to embodiments of the present disclosure;
- (4) FIG. 3 illustrates an example of UE according to embodiments of the present disclosure;
- (5) FIGS. 4 and 5 illustrate example of wireless transmit and receive paths according to this disclosure;
- (6) FIG. 6 illustrates an example of antenna structure according to embodiments of the present disclosure;
- (7) FIG. 7 illustrates an example of concept of distributed multiple-input multiple-output (MIMO) according to embodiments of the present disclosure;
- (8) FIG. 8 illustrates an example of distributed MIMO according to embodiments of the present disclosure;
- (9) FIG. 9 illustrates an example of distributed MIMO scenario when a UE receives data from serving RRHs in an interference environment by non-serving RRHs according to embodiments of the present disclosure;
- (10) FIG. 10 illustrates a signaling flow of network (NW) and UE operation according to embodiments of the present disclosure; and
- (11) FIG. 11 illustrates another signaling flow of NW and UE operation according to embodiments of the present disclosure.

## DETAILED DESCRIPTION

- (12) FIG. 1 through FIG. 11, discussed below, and the various embodiments used to describe the principles of the present disclosure in this patent document are by way of illustration only and should not be construed in any way to limit the scope of the disclosure. Those skilled in the art will understand that the principles of the present disclosure may be implemented in any suitably arranged system or device.
- (13) The following documents are hereby incorporated by reference into the present disclosure as if fully set forth herein: 3GPP TS 36.211 v16.4.0, "E-UTRA, Physical channels and modulation"; 3GPP TS 36.212 v16.4.0, "E-UTRA, Multiplexing and Channel coding"; 3GPP TS 36.213 v16.4.0, "E-UTRA, Physical Layer Procedures"; 3GPP TS 36.321 v16.3.0, "E-UTRA, Medium Access Control (MAC) protocol specification"; 3GPP TS 36.331 v16.3.0, "E-UTRA, Radio Resource Control (RRC) Protocol Specification"; 3GPP TS 38.211 v16.4.0, "NR, Physical channels and modulation"; 3GPP TS 38.212 v16.4.0, "NR, Multiplexing and Channel coding"; 3GPP TS 38.213 v16.4.0, "NR, Physical Layer Procedures for Control"; 3GPP TS 38.214 v16.4.0, "NR, Physical Layer Procedures for Data"; 3GPP TS 38.215 v16.4.0, "NR, Physical Layer Measurements"; 3GPP TS 38.321 v16.3.0, "NR, Medium Access Control (MAC) protocol specification"; and 3GPP TS 38.331 v16.3.1, "NR, Radio Resource Control (RRC) Protocol Specification."
- (14) To meet the demand for wireless data traffic having increased since deployment of 4G communication systems and to enable various vertical applications, 5G/NR communication systems have been developed and are currently being deployed. The 5G/NR communication system is considered to be implemented in higher frequency (mmWave) bands, e.g., 28 GHz or 60 GHz bands, so as to accomplish higher data rates or in lower frequency bands, such as 6 GHz, to enable robust coverage and mobility support. To decrease propagation loss of the radio waves and increase the transmission distance, the beamforming, massive multiple-input multiple-output (MIMO), full dimensional MIMO (FD-MIMO), array antenna, an analog beam forming, large scale antenna

techniques are discussed in 5G/NR communication systems.

(15) In addition, in 5G/NR communication systems, development for system network improvement is under way based on advanced small cells, cloud radio access networks (RANs), ultra-dense networks, device-to-device (D2D) communication, wireless backhaul, moving network, cooperative communication, coordinated multi-points (CoMP), reception-end interference cancelation and the like.

(16) The discussion of 5G systems and frequency bands associated therewith is for reference as certain embodiments of the present disclosure may be implemented in 5G systems. However, the present disclosure is not limited to 5G systems, or the frequency bands associated therewith, and embodiments of the present disclosure may be utilized in connection with any frequency band. For example, aspects of the present disclosure may also be applied to deployment of 5G communication systems, 6G or even later releases which may use terahertz (THz) bands.

(17) FIGS. **1-3** below describe various embodiments implemented in wireless communications systems and with the use of orthogonal frequency division multiplexing (OFDM) or orthogonal frequency division multiple access (OFDMA) communication techniques. The descriptions of FIGS. **1-3** are not meant to imply physical or architectural limitations to the manner in which different embodiments may be implemented. Different embodiments of the present disclosure may be implemented in any suitably arranged communications system.

(18) FIG. **1** illustrates an example wireless network according to embodiments of the present disclosure. The embodiment of the wireless network shown in FIG. **1** is for illustration only. Other embodiments of the wireless network **100** could be used without departing from the scope of this disclosure.

(19) As shown in FIG. **1**, the wireless network includes a gNB **101** (e.g., base station, BS), a gNB **102**, and a gNB **103**. The gNB **101** communicates with the gNB **102** and the gNB **103**. The gNB **101** also communicates with at least one network **130**, such as the Internet, a proprietary Internet Protocol (IP) network, or other data network.

(20) The gNB **102** provides wireless broadband access to the network **130** for a first plurality of user equipments (UEs) within a coverage area **120** of the gNB **102**. The first plurality of UEs includes a UE **111**, which may be located in a small business; a UE **112**, which may be located in an enterprise (E); a UE **113**, which may be located in a WiFi hotspot (HS); a UE **114**, which may be located in a first residence (R); a UE **115**, which may be located in a second residence (R); and a UE **116**, which may be a mobile device (M), such as a cell phone, a wireless laptop, a wireless PDA, or the like. The gNB **103** provides wireless broadband access to the network **130** for a second plurality of UEs within a coverage area **125** of the gNB **103**. The second plurality of UEs includes the UE **115** and the UE **116**. In some embodiments, one or more of the gNBs **101-103** may communicate with each other and with the UEs **111-116** using 5G/NR, long term evolution (LTE), long term evolution-advanced (LTE-A), WiMAX, WiFi, or other wireless communication techniques.

(21) Depending on the network type, the term “base station” or “BS” can refer to any component (or collection of components) configured to provide wireless access to a network, such as transmit point (TP), transmit-receive point (TRP), an enhanced base station (eNodeB or eNB), a 5G/NR base station (gNB), a macrocell, a femtocell, a WiFi access point (AP), or other wirelessly enabled devices. Base stations may provide wireless access in accordance with one or more wireless communication protocols, e.g., 5G/NR 3.sup.rd generation partnership project (3GPP) NR, long term evolution (LTE), LTE advanced (LTE-A), high speed packet access (HSPA), Wi-Fi 802.11a/b/g/n/ac, etc. For the sake of convenience, the terms “BS” and “TRP” are used interchangeably in this patent document to refer to network infrastructure components that provide wireless access to remote terminals. Also, depending on the network type, the term “user equipment” or “UE” can refer to any component such as “mobile station,” “subscriber station,” “remote terminal,” “wireless terminal,” “receive point,” or “user device.” For the sake of

convenience, the terms “user equipment” and “UE” are used in this patent document to refer to remote wireless equipment that wirelessly accesses a BS, whether the UE is a mobile device (such as a mobile telephone or smartphone) or is normally considered a stationary device (such as a desktop computer or vending machine).

(22) Dotted lines show the approximate extents of the coverage areas **120** and **125**, which are shown as approximately circular for the purposes of illustration and explanation only. It should be clearly understood that the coverage areas associated with gNBs, such as the coverage areas **120** and **125**, may have other shapes, including irregular shapes, depending upon the configuration of the gNBs and variations in the radio environment associated with natural and man-made obstructions.

(23) As described in more detail below, one or more of the UEs **111-116** include circuitry, programming, or a combination thereof, for interference management in a wireless communication system. In certain embodiments, and one or more of the gNBs **101-103** includes circuitry, programming, or a combination thereof, for interference management in a wireless communication system.

(24) Although FIG. **1** illustrates one example of a wireless network, various changes may be made to FIG. **1**. For example, the wireless network could include any number of gNBs and any number of UEs in any suitable arrangement. Also, the gNB **101** could communicate directly with any number of UEs and provide those UEs with wireless broadband access to the network **130**. Similarly, each gNB **102-103** could communicate directly with the network **130** and provide UEs with direct wireless broadband access to the network **130**. Further, the gNBs **101**, **102**, and/or **103** could provide access to other or additional external networks, such as external telephone networks or other types of data networks.

(25) FIG. **2** illustrates an example gNB **102** according to embodiments of the present disclosure. The embodiment of the gNB **102** illustrated in FIG. **2** is for illustration only, and the gNBs **101** and **103** of FIG. **1** could have the same or similar configuration. However, gNBs come in a wide variety of configurations, and FIG. **2** does not limit the scope of this disclosure to any particular implementation of a gNB.

(26) As shown in FIG. **2**, the gNB **102** includes multiple antennas **205a-205n**, multiple RF transceivers **210a-210n**, transmit (TX) processing circuitry **215**, and receive (RX) processing circuitry **220**. The gNB **102** also includes a controller/processor **225**, a memory **230**, and a backhaul or network interface **235**.

(27) The RF transceivers **210a-210n** receive, from the antennas **205a-205n**, incoming RF signals, such as signals transmitted by UEs in the network **100**. The RF transceivers **210a-210n** down-convert the incoming RF signals to generate IF or baseband signals. The IF or baseband signals are sent to the RX processing circuitry **220**, which generates processed baseband signals by filtering, decoding, and/or digitizing the baseband or IF signals. The RX processing circuitry **220** transmits the processed baseband signals to the controller/processor **225** for further processing.

(28) The TX processing circuitry **215** receives analog or digital data (such as voice data, web data, e-mail, or interactive video game data) from the controller/processor **225**. The TX processing circuitry **215** encodes, multiplexes, and/or digitizes the outgoing baseband data to generate processed baseband or IF signals. The RF transceivers **210a-210n** receive the outgoing processed baseband or IF signals from the TX processing circuitry **215** and up-converts the baseband or IF signals to RF signals that are transmitted via the antennas **205a-205n**.

(29) The controller/processor **225** can include one or more processors or other processing devices that control the overall operation of the gNB **102**. For example, the controller/processor **225** could control the reception of UL channel signals and the transmission of DL channel signals by the RF transceivers **210a-210n**, the RX processing circuitry **220**, and the TX processing circuitry **215** in accordance with well-known principles. The controller/processor **225** could support additional functions as well, such as more advanced wireless communication functions. For instance, the

controller/processor **225** could support beam forming or directional routing operations in which outgoing/incoming signals from/to multiple antennas **205a-205n** are weighted differently to effectively steer the outgoing signals in a desired direction. Any of a wide variety of other functions could be supported in the gNB **102** by the controller/processor **225**.

(30) The controller/processor **225** is also capable of executing programs and other processes resident in the memory **230**, such as an OS. The controller/processor **225** can move data into or out of the memory **230** as required by an executing process.

(31) The controller/processor **225** is also coupled to the backhaul or network interface **235**. The backhaul or network interface **235** allows the gNB **102** to communicate with other devices or systems over a backhaul connection or over a network. The interface **235** could support communications over any suitable wired or wireless connection(s). For example, when the gNB **102** is implemented as part of a cellular communication system (such as one supporting 5G/NR, LTE, or LTE-A), the interface **235** could allow the gNB **102** to communicate with other gNBs over a wired or wireless backhaul connection. When the gNB **102** is implemented as an access point, the interface **235** could allow the gNB **102** to communicate over a wired or wireless local area network or over a wired or wireless connection to a larger network (such as the Internet). The interface **235** includes any suitable structure supporting communications over a wired or wireless connection, such as an Ethernet or RF transceiver.

(32) The memory **230** is coupled to the controller/processor **225**. Part of the memory **230** could include a RAM, and another part of the memory **230** could include a Flash memory or other ROM.

(33) Although FIG. 2 illustrates one example of gNB **102**, various changes may be made to FIG. 2. For example, the gNB **102** could include any number of each component shown in FIG. 2. As a particular example, an access point could include a number of interfaces **235**, and the controller/processor **225** could support interference management in a wireless communication system. As another particular example, while shown as including a single instance of TX processing circuitry **215** and a single instance of RX processing circuitry **220**, the gNB **102** could include multiple instances of each (such as one per RF transceiver). Also, various components in FIG. 2 could be combined, further subdivided, or omitted and additional components could be added according to particular needs.

(34) FIG. 3 illustrates an example UE **116** according to embodiments of the present disclosure. The embodiment of the UE **116** illustrated in FIG. 3 is for illustration only, and the UEs **111-115** of FIG. 1 could have the same or similar configuration. However, UEs come in a wide variety of configurations, and FIG. 3 does not limit the scope of this disclosure to any particular implementation of a UE.

(35) As shown in FIG. 3, the UE **116** includes an antenna **305**, a radio frequency (RF) transceiver **310**, TX processing circuitry **315**, a microphone **320**, and RX processing circuitry **325**. The UE **116** also includes a speaker **330**, a processor **340**, an input/output (I/O) interface (IF) **345**, a touchscreen **350**, a display **355**, and a memory **360**. The memory **360** includes an operating system (OS) **361** and one or more applications **362**.

(36) The RF transceiver **310** receives, from the antenna **305**, an incoming RF signal transmitted by a gNB of the network **100**. The RF transceiver **310** down-converts the incoming RF signal to generate an intermediate frequency (IF) or baseband signal. The IF or baseband signal is sent to the RX processing circuitry **325**, which generates a processed baseband signal by filtering, decoding, and/or digitizing the baseband or IF signal. The RX processing circuitry **325** transmits the processed baseband signal to the speaker **330** (such as for voice data) or to the processor **340** for further processing (such as for web browsing data).

(37) The TX processing circuitry **315** receives analog or digital voice data from the microphone **320** or other outgoing baseband data (such as web data, e-mail, or interactive video game data) from the processor **340**. The TX processing circuitry **315** encodes, multiplexes, and/or digitizes the outgoing baseband data to generate a processed baseband or IF signal. The RF transceiver **310**



receives the outgoing processed baseband or IF signal from the TX processing circuitry **315** and up-converts the baseband or IF signal to an RF signal that is transmitted via the antenna **305**.

(38) The processor **340** can include one or more processors or other processing devices and execute the OS **361** stored in the memory **360** in order to control the overall operation of the UE **116**. For example, the processor **340** could control the reception of DL channel signals and the transmission of UL channel signals by the RF transceiver **310**, the RX processing circuitry **325**, and the TX processing circuitry **315** in accordance with well-known principles. In some embodiments, the processor **340** includes at least one microprocessor or microcontroller.

(39) The processor **340** is also capable of executing other processes and programs resident in the memory **360**, such as processes for interference management in a wireless communication system. The processor **340** can move data into or out of the memory **360** as required by an executing process. In some embodiments, the processor **340** is configured to execute the applications **362** based on the OS **361** or in response to signals received from gNBs or an operator. The processor **340** is also coupled to the I/O interface **345**, which provides the UE **116** with the ability to connect to other devices, such as laptop computers and handheld computers. The I/O interface **345** is the communication path between these accessories and the processor **340**.

(40) The processor **340** is also coupled to the touchscreen **350** and the display **355**. The operator of the UE **116** can use the touchscreen **350** to enter data into the UE **116**. The display **355** may be a liquid crystal display, light emitting diode display, or other display capable of rendering text and/or at least limited graphics, such as from web sites.

(41) The memory **360** is coupled to the processor **340**. Part of the memory **360** could include a random access memory (RAM), and another part of the memory **360** could include a Flash memory or other read-only memory (ROM).

(42) Although FIG. 3 illustrates one example of UE **116**, various changes may be made to FIG. 3. For example, various components in FIG. 3 could be combined, further subdivided, or omitted and additional components could be added according to particular needs. As a particular example, the processor **340** could be divided into multiple processors, such as one or more central processing units (CPUs) and one or more graphics processing units (GPUs). Also, while FIG. 3 illustrates the UE **116** configured as a mobile telephone or smartphone, UEs could be configured to operate as other types of mobile or stationary devices.

(43) FIG. 4 and FIG. 5 illustrate example wireless transmit and receive paths according to this disclosure. In the following description, a transmit path **400** may be described as being implemented in a gNB (such as the gNB **102**), while a receive path **500** may be described as being implemented in a UE (such as a UE **116**). However, it may be understood that the receive path **500** can be implemented in a gNB and that the transmit path **400** can be implemented in a UE. In some embodiments, the receive path **500** is configured to support the codebook design and structure for systems having 2D antenna arrays as described in embodiments of the present disclosure.

(44) The transmit path **400** as illustrated in FIG. 4 includes a channel coding and modulation block **405**, a serial-to-parallel (S-to-P) block **410**, a size N inverse fast Fourier transform (IFFT) block **415**, a parallel-to-serial (P-to-S) block **420**, an add cyclic prefix block **425**, and an up-converter (UC) **430**. The receive path **500** as illustrated in FIG. 5 includes a down-converter (DC) **555**, a remove cyclic prefix block **560**, a serial-to-parallel (S-to-P) block **565**, a size N fast Fourier transform (FFT) block **570**, a parallel-to-serial (P-to-S) block **575**, and a channel decoding and demodulation block **580**.

(45) As illustrated in FIG. 4, the channel coding and modulation block **405** receives a set of information bits, applies coding (such as a low-density parity check (LDPC) coding), and modulates the input bits (such as with quadrature phase shift keying (QPSK) or quadrature amplitude modulation (QAM)) to generate a sequence of frequency-domain modulation symbols.

(46) The serial-to-parallel block **410** converts (such as de-multiplexes) the serial modulated symbols to parallel data in order to generate N parallel symbol streams, where N is the IFFT/FFT

size used in the gNB **102** and the UE **116**. The size N IFFT block **415** performs an IFFT operation on the N parallel symbol streams to generate time-domain output signals. The parallel-to-serial block **420** converts (such as multiplexes) the parallel time-domain output symbols from the size N IFFT block **415** in order to generate a serial time-domain signal. The add cyclic prefix block **425** inserts a cyclic prefix to the time-domain signal. The up-converter **430** modulates (such as up-converts) the output of the add cyclic prefix block **425** to an RF frequency for transmission via a wireless channel. The signal may also be filtered at baseband before conversion to the RF frequency.

(47) A transmitted RF signal from the gNB **102** arrives at the UE **116** after passing through the wireless channel, and reverse operations to those at the gNB **102** are performed at the UE **116**.

(48) As illustrated in FIG. 5, the downconverter **555** down-converts the received signal to a baseband frequency, and the remove cyclic prefix block **560** removes the cyclic prefix to generate a serial time-domain baseband signal. The serial-to-parallel block **565** converts the time-domain baseband signal to parallel time domain signals. The size N FFT block **570** performs an FFT algorithm to generate N parallel frequency-domain signals. The parallel-to-serial block **575** converts the parallel frequency-domain signals to a sequence of modulated data symbols. The channel decoding and demodulation block **580** demodulates and decodes the modulated symbols to recover the original input data stream.

(49) Each of the gNBs **101-103** may implement a transmit path **400** as illustrated in FIG. 4 that is analogous to transmitting in the downlink to UEs **111-116** and may implement a receive path **500** as illustrated in FIG. 5 that is analogous to receiving in the uplink from UEs **111-116**. Similarly, each of UEs **111-116** may implement the transmit path **400** for transmitting in the uplink to the gNBs **101-103** and may implement the receive path **500** for receiving in the downlink from the gNBs **101-103**.

(50) Each of the components in FIG. 4 and FIG. 5 can be implemented using only hardware or using a combination of hardware and software/firmware. As a particular example, at least some of the components in FIG. 4 and FIG. 5 may be implemented in software, while other components may be implemented by configurable hardware or a mixture of software and configurable hardware. For instance, the FFT block **570** and the IFFT block **515** may be implemented as configurable software algorithms, where the value of size N may be modified according to the implementation.

(51) Furthermore, although described as using FFT and IFFT, this is by way of illustration only and may not be construed to limit the scope of this disclosure. Other types of transforms, such as discrete Fourier transform (DFT) and inverse discrete Fourier transform (IDFT) functions, can be used. It may be appreciated that the value of the variable N may be any integer number (such as 1, 2, 3, 4, or the like) for DFT and IDFT functions, while the value of the variable N may be any integer number that is a power of two (such as 1, 2, 4, 8, 16, or the like) for FFT and IFFT functions.

(52) Although FIG. 4 and FIG. 5 illustrate examples of wireless transmit and receive paths, various changes may be made to FIG. 4 and FIG. 5. For example, various components in FIG. 4 and FIG. 5 can be combined, further subdivided, or omitted and additional components can be added according to particular needs. Also, FIG. 4 and FIG. 5 are meant to illustrate examples of the types of transmit and receive paths that can be used in a wireless network. Any other suitable architectures can be used to support wireless communications in a wireless network.

(53) A unit for DL signaling or for UL signaling on a cell is referred to as a slot and can include one or more symbols. A bandwidth (BW) unit is referred to as a resource block (RB). One RB includes a number of sub-carriers (SCs). For example, a slot can have duration of one millisecond and an RB can have a bandwidth of 180 KHz and include 12 SCs with inter-SC spacing of 15 KHz. A slot can be either full DL slot, or full UL slot, or hybrid slot similar to a special subframe in time division duplex (TDD) systems.

(54) DL signals include data signals conveying information content, control signals conveying DL control information (DCI), and reference signals (RS) that are also known as pilot signals. A gNB transmits data information or DCI through respective physical DL shared channels (PDSCHs) or physical DL control channels (PDCCHs). A PDSCH or a PDCCH can be transmitted over a variable number of slot symbols including one slot symbol. A UE can be indicated a spatial setting for a PDCCH reception based on a configuration of a value for a transmission configuration indication state (TCI state) of a control resource set (CORESET) where the UE receives the PDCCH. The UE can be indicated a spatial setting for a PDSCH reception based on a configuration by higher layers or based on an indication by a DCI format scheduling the PDSCH reception of a value for a TCI state. The gNB can configure the UE to receive signals on a cell within a DL bandwidth part (BWP) of the cell DL BW.

(55) A gNB transmits one or more of multiple types of RS including channel state information RS (CSI-RS) and demodulation RS (DMRS). A CSI-RS is primarily intended for UEs to perform measurements and provide channel state information (CSI) to a gNB. For channel measurement, non-zero power CSI-RS (NZP CSI-RS) resources are used. For interference measurement reports (IMRs), CSI interference measurement (CSI-IM) resources associated with a zero power CSI-RS (ZP CSI-RS) configuration are used. A CSI process consists of NZP CSI-RS and CSI-IM resources. A UE can determine CSI-RS transmission parameters through DL control signaling or higher layer signaling, such as an RRC signaling from a gNB. Transmission instances of a CSI-RS can be indicated by DL control signaling or configured by higher layer signaling. A DMRS is transmitted only in the BW of a respective PDCCH or PDSCH and a UE can use the DMRS to demodulate data or control information.

(56) UL signals also include data signals conveying information content, control signals conveying UL control information (UCI), DMRS associated with data or UCI demodulation, sounding RS (SRS) enabling a gNB to perform UL channel measurement, and a random access (RA) preamble enabling a UE to perform random access. A UE transmits data information or UCI through a respective physical UL shared channel (PUSCH) or a physical UL control channel (PUCCH). A PUSCH or a PUCCH can be transmitted over a variable number of slot symbols including one slot symbol. The gNB can configure the UE to transmit signals on a cell within an UL BWP of the cell UL BW.

(57) UCI includes hybrid automatic repeat request acknowledgement (HARQ-ACK) information, indicating correct or incorrect detection of data transport blocks (TBs) in a PDSCH, scheduling request (SR) indicating whether a UE has data in the buffer of UE, and CSI reports enabling a gNB to select appropriate parameters for PDSCH or PDCCH transmissions to a UE. HARQ-ACK information can be configured to be with a smaller granularity than per TB and can be per data code block (CB) or per group of data CBs where a data TB includes a number of data CBs.

(58) A CSI report from a UE can include a channel quality indicator (CQI) informing a gNB of a largest modulation and coding scheme (MCS) for the UE to detect a data TB with a predetermined block error rate (BLER), such as a 10% BLER, of a precoding matrix indicator (PMI) informing a gNB how to combine signals from multiple transmitter antennas in accordance with a multiple input multiple output (MIMO) transmission principle, and of a rank indicator (RI) indicating a transmission rank for a PDSCH. UL RS includes DMRS and SRS. DMRS is transmitted only in a BW of a respective PUSCH or PUCCH transmission. A gNB can use a DMRS to demodulate information in a respective PUSCH or PUCCH. SRS is transmitted by a UE to provide a gNB with an UL CSI and, for a TDD system, an SRS transmission can also provide a PMI for DL transmission. Additionally, in order to establish synchronization or an initial higher layer connection with a gNB, a UE can transmit a physical random access channel.

(59) Rel.1.14 LTE and Rel.1.15 NR support up to 32 CSI-RS antenna ports which enable an eNB to be equipped with a large number of antenna elements (such as 64 or 128). In this case, a plurality of antenna elements is mapped onto one CSI-RS port. For mmWave bands, although the number of

antenna elements can be larger for a given form factor, the number of CSI-RS ports—which can correspond to the number of digitally precoded ports—tends to be limited due to hardware constraints (such as the feasibility to install a large number of ADCs/DACs at mmWave frequencies) as illustrated in FIG. 6.

(60) FIG. 6 illustrates an example antenna structure **600** according to embodiments of the present disclosure. An embodiment of the antenna structure **600** shown in FIG. 6 is for illustration only.

(61) In this case, one CSI-RS port is mapped onto a large number of antenna elements which can be controlled by a bank of analog phase shifters **601**. One CSI-RS port can then correspond to one sub-array which produces a narrow analog beam through analog beamforming **605**. This analog beam can be configured to sweep across a wider range of angles **620** by varying the phase shifter bank across symbols or subframes. The number of sub-arrays (equal to the number of RF chains) is the same as the number of CSI-RS ports  $N_{\text{sub.CSI-PORT}}$ . A digital beamforming unit **610** performs a linear combination across  $N_{\text{sub.CSI-PORT}}$  analog beams to further increase precoding gain. While analog beams are wideband (hence not frequency-selective), digital precoding can be varied across frequency sub-bands or resource blocks. Receiver operation can be conceived analogously.

(62) Since the aforementioned system utilizes multiple analog beams for transmission and reception (wherein one or a small number of analog beams are selected out of a large number, for instance, after a training duration—to be performed from time to time), the term “multi-beam operation” is used to refer to the overall system aspect. This includes, for the purpose of illustration, indicating the assigned DL or UL TX beam (also termed “beam indication”), measuring at least one reference signal for calculating and performing beam reporting (also termed “beam measurement” and “beam reporting,” respectively), and receiving a DL or UL transmission via a selection of a corresponding RX beam.

(63) The aforementioned system is also applicable to higher frequency bands such as  $>52.6$  GHz. In this case, the system can employ only analog beams. Due to the O<sub>2</sub> absorption loss around 60 GHz frequency (~10 dB additional loss @100 m distance), larger number of and sharper analog beams (hence larger number of radiators in the array) may be needed to compensate for the additional path loss.

(64) At lower frequency bands such as  $<1$  GHz, on the other hand, the number of antenna elements may not be large in a given form factor due to the large wavelength. As an example, for the case of the wavelength size ( $\lambda$ ) of the center frequency 600 MHz (which is 50 cm), it requires 4 m for uniform-linear-array (ULA) antenna panel of 16 antenna elements with the half-wavelength distance between two adjacent antenna elements. Considering a plurality of antenna elements is mapped to one digital port in practical cases, the required size for antenna panel(s) at gNB to support a large number of antenna ports such as 32 CSI-RS ports becomes very large in such low frequency bands, and it leads the difficulty of deploying 2-D antenna element arrays within the size of a conventional form factor. This results in a limited number of CSI-RS ports that can be supported at a single site and limits the spectral efficiency of such systems.

(65) One possible approach to resolving the issue is to form multiple antenna panels/remote radio heads (RRHs) with a small number of antenna ports instead of integrating all of the antenna ports in a single panel (or at a single site) and to distribute the multiple panels in multiple locations/sites (or RRHs).

(66) FIG. 7 illustrates an example of concept of distributed MIMO **700** according to embodiments of the present disclosure. An embodiment of the concept of distributed MIMO **700** shown in FIG. 7 is for illustration only.

(67) This approach, concept of distributed MIMO (D-MIMO), is shown in FIG. 7. The multiple antenna panels at multiple locations can still be connected to a single base unit, and thus the signal transmitted/received via multiple distributed panels can be processed in a centralized manner through the single base unit, as illustrated in FIG. 8.

(68) FIG. 8 illustrates an example of distributed MIMO 800 according to embodiments of the present disclosure. An embodiment of the distributed MIMO 800 shown in FIG. 8 is for illustration only.

(69) In another embodiment, it is possible that multiple distributed antenna panels are connected to more than one base units, which communicates with each other and jointly supporting single antenna system. Although there is no restriction on the placement of multiple antenna panels of distributed MIMO system, it is also possible that some (or all) of multiple antenna panels can be collocated, for example, on a same building/stadium. In cases that multiple antenna panels are collocated (or even in the case that panels are not collocated), channel coefficients across the panels can have a certain level of correlation, and this can be exploited in CSI codebook design to compress the amount of CSI feedback for distributed MIMO.

(70) Note that although low frequency band systems (sub-1 GHz band) are indicated as a motivation for distributed MIMO, the distributed MIMO technology is frequency-band-agnostic and can be useful in mid-(sub-6 GHz) and high-band (above-6 GHz) systems in addition to low-band (sub-1 GHz) systems.

(71) Compared to low-frequency bands wherein most of the bands are operating with a frequency division duplexing (FDD), most of mid-frequency bands (above 1 GHz to sub-6 GHz) are designated to use a time division duplexing (TDD), and thus D-MIMO needs to be enhanced for TDD systems as well as FDD systems. For FDD systems, new CSI codebook design methods tailored for distributed MIMO are provided as illustrated in the U.S. patent application Ser. No. 17/549,658 as incorporated by reference herein.

(72) In contrast with FDD systems where DL channels need to be measured at a UE side and reported to a NW using a CSI feedback framework for DL channel acquisition at the NW, in TDD scenarios, DL channels can be obtained at the NW via uplink SRS reception to estimate UL channels (thanks to DL-UL channel reciprocity in TDD). Thus, the NW can perform MU-MIMO transmission in a given set of frequency-and-time resources based on the DL channel information for multiple UEs without DL CSI feedback.

(73) One of the key aspects in D-MIMO scenarios for MU-MIMO is that for a given UE, its serving RRHs can be a subset of RRHs in the D-MIMO NW whereas the other RRHs of the D-MIMO NW can be interfering RRHs that are serving other UEs. In this case, the interference signals from interfering RRHs (e.g., inter-RRH interference) to the UE can be dominated among all of the interference (i.e., intra/inter-cell interference), which could be a main bottleneck for the UE to have good quality of SINR.

(74) One aspect in TDD D-MIMO scenarios is that channel information of interfering RRHs for a given UE can frequently be available at the NW, based on SRS reception, and the channel information of interfering RRHs can be used to efficiently schedule the UE if the NW is able to inform the UE of interference direction (e.g., channel covariances for interfering RRHs) which is determined by the NW when scheduling the UE. Note that if the UE is aware of statistic of inter-RRH interference channels (e.g., channel covariance for interfering RRHs), the UE can design advanced spatial beamforming or filtering such as MMSE beamforming/filtering by utilizing the statistic of inter-RRH interference channels (e.g., we call hereafter interference direction or space). For example, MMSE beamforming can be designed only when a statistic of interference channels is available at the UE. When the interfering RRHs for a given scheduled UE are determined by the NW and the channels associated with the interfering RRHs are available at the NW, the inter-RRH interference can be significantly reduced if the NW is able to indicate interference direction or space to the UE so that the UE can design advanced spatial beamforming using the information.

(75) In the present disclosure, various components to alleviate inter-RRH interference by indicating desired signal/interference direction/space and/or desired signal/interference power/amplitude/level are provided. Although the terminology “inter-RRH interference” is used, it should not be limited to the case of inter-RRH interference only but can be interpreted as general interference if

applicable.

(76) In one embodiment I, a UE is configured with “inter-RRH interference component” which includes information I on inter-RRH interference, and the UE performs receive filtering/beamforming based on the configured information on inter-RRH interference. For example, information I can include interference space (a subspace spanned by interference vector directions) or direction and/or interference level/quality/power, which will be described specifically later. In one example, “inter-RRH interference component” can be configured via higher-layer parameter, MAC-CE or DCI. In another example, “inter-RRH interference component” can be configured periodically, semi-persistently, or aperiodically.

(77) FIG. 9 illustrates an example of distributed MIMO scenario **900** when a UE receives data from serving RRHs in an interference environment by non-serving RRHs according to embodiments of the present disclosure. An embodiment of the distributed MIMO scenario **900** shown in FIG. 9 is for illustration only.

(78) In one example, an inter-RRH interference scenario is provided as shown in FIG. 9, wherein a UE receives data via PDCCH/PDSCH from serving RRHs in an interference environment (dominated inter-RRH interference) by non-serving RRHs (that are supporting other UEs using, e.g., a same time-and-frequency resource set). Since the non-serving RRHs and serving RRHs for the UE are determined by the D-MIMO NW (based on DL channel information for the UE and other UEs), and the D-MIMO NW can identify expected inter-RRH interference to the UE, which can be different depending on UE's receiver (beamforming/filtering) processing.

(79) For example, inter-RRH interference effect for the UE can be reduced if some information on inter-RRH interference is indicated to the UE and the UE utilizes the information when receiving DL data from the serving RRHs. As shown in FIG. 9, an interference space or direction can be indicated to the UE, and the UE designs receive beamforming/filtering considering the indicated interference space/direction when the UE receives PDCCH/PDSCH data.

(80) Note that by facilitating inter-RRH interference alleviation at a UE side, the NW can determine more aggressive MCS selection, considering the inter-RRH interference alleviation, for DL transmission to the UE.

(81) FIG. 10 illustrates a signaling flow **1000** of NW and UE operation according to embodiments of the present disclosure. The signaling flow **1000** as may be performed by a UE (e.g., **111-116** as illustrated in FIG. 1) and a base station (e.g., **101-103** as illustrated in FIG. 1. An embodiment of the signaling flow **1000** shown in FIG. 10 is for illustration only. One or more of the components illustrated in FIG. 10 can be implemented in specialized circuitry configured to perform the noted functions or one or more of the components can be implemented by one or more processors executing instructions to perform the noted functions.

(82) FIG. 10 shows a general flow chart for NW and UE operations to support components for alleviating inter-RRH interference at the UE. As shown in FIG. 10, the NW configures the UE to transmit SRS(s), and the UE transmits SRS(s) according to the configuration.

(83) The NW estimates the uplink channel based on the SRS reception and infers the DL channel using DL-UL channel reciprocity. Then, the NW determines serving RRHs and non-serving RRHs for the UE based on the estimated (inferred) DL channel, and also computes information I on inter-RRH interference such as interference direction and/or level. The NW configures the UE with information I via PDCCH/PDSCH and transmits DL data according to the configuration. The UE then decodes the DL data by using the information I on inter-RRH interference.

(84) As illustrated in FIG. 10, in step **1002**, a network (NW), for example, a BS (**101-103**) as illustrated in FIG. 1, may configure a UE (e.g., **111-116** as illustrated in FIG. 1) to transmit SRS. In step **1004**, the UE transmits the SRS to the NE. In step **1006**, the NW may estimate the channel and determines, in step **1008**, serving and non-serving RRHs for the UE based on the estimate channel. In step **1008**, the NW further compute information I on inter-RRH interface. In step **1010**, the NW may configure the UE with information I on the inter-RRH interference. In step **1012**, the NE


transmits DL data to the UE, and, in step 1014, the UE decodes the DL data by utilizing the information I on inter-RRH interference.


(85) For example, if the UE is aware of statistics of inter-RRH interference signals (e.g., covariance matrix of inter-RRH interference signals R indicated by the NW), the UE is able to obtain high received SINR by MMSE receive beamforming/filtering. Several embodiments for information I are provided.

(86) In one embodiment, information I includes one or multiple vectors

$\{w_{\text{sub}.n}\}_{\text{sub}.n=0}^{\text{sup}.N-1}$  that are selected from a set of vectors .

(87) In one example, N is defined according to at least one of the following examples: (1) in one example, N is fixed, e.g., N=1, i.e., a single vector  $w_{\text{sub}.0}$  is configured/indicated; (2) in one example, N is configured via higher-layer parameter, MAC-CE, or DCI. In one example,  $N=\{1, 2, \dots, P-1\}$ , where P is the number of antenna ports (i.e., number of SRS ports) at UE. In another example,  $N=\{1, 2\}$ ; and/or (3) in one example, N is defined as a function of the number of configured subbands (SBs). For example,  $N=K$ , where K is the number of configured SBs. In another example,  $N=pK$ , where  $p \in \{1, 2, \dots, P-1\}$ .

(88) In one example,  is a pre-defined set composed of P-dimensional vectors, where P is the number of antenna ports (i.e., number of SRS ports) at a UE: (1) in one example,

 is a set comprised of basis vectors; and/or (2) in one example,

 is a set comprised of (oversampled) DFT basis vectors. For example, a DFT basis vector can be expressed as

$$(89) p_i = [1 \quad e^{j\frac{2\pi i}{OP}} \quad \text{Math.} \quad e^{j\frac{2\pi i(P-1)}{OP}}]^T,$$

where O is the oversampled factor and P is the number of antenna ports at the UE.

(90) In one embodiment, information I includes one or multiple vectors

$\{w_{\text{sub}.n}\}_{\text{sub}.n=0}^{\text{sup}.N-1}$  whose elements are decomposed into phase and amplitude (or power) components, and they are selected from respective codebooks (or sets).

(91) In one example, N is defined as discussed herein.

(92) In one example, the codebook for the phase component is fixed, e.g.,  $n_{\text{sub}.p}$ -bit PSK codebook, where  $n_{\text{sub}.p}$ -bit PSK codebook is defined as

$$(93) \Phi(n_p) = \{e^{j\frac{2\pi(n-1)}{2^{n_p}}}: n = 0, 1, \text{Math.}, 2^{n_p} - 1\}.$$

In one example,  $n_{\text{sub}.p}=4$ . In another example, the codebook for the phase component is configured via higher-layer parameter, e.g., from 3-bit PSK and 4-bit PSK codebook.

(94) In one example, the codebook for the amplitude component is  $n_{\text{sub}.a}$ -bit codebook composed of equidistant points in [0,1] in Y dB scale. For example,  $n_{\text{sub}.a}=4$  and  $Y=1.5$ , which corresponds to

$$(95) A(n_a, Y) = \{1, (\frac{1}{2})^{\frac{1}{4}}, (\frac{1}{4})^{\frac{1}{4}}, \text{Math.}, (\frac{1}{15})^{\frac{1}{2}}\}.$$

In another example,  $n_{\text{sub}.a}=3$  and  $Y=3$ , which corresponds to

$$(96) A(n_a, Y) = \{1, (\frac{1}{2})^{\frac{1}{2}}, (\frac{1}{4})^{\frac{1}{2}}, \text{Math.}, (\frac{1}{27})^{\frac{1}{2}}\}.$$

(97) In one example, a strongest coefficient indicator (SCI) is used to indicate the strongest element of  $w_{\text{sub}.n}$ . The element associated with the strongest element can be regarded as one, and thus the value is not needed to be indicated.

(98) In one embodiment, information I includes interference (covariance) matrix, which can be represented as  $R_{\text{sub}.D} = U_{\text{sub}.D} \Sigma_{\text{sub}.D} U_{\text{sub}.D}^{\text{sup}.H}$ , where

$R_{\text{sub}.D} = \Sigma_{\text{sub}.d=0}^{\text{sup}.D-1} \sigma_{\text{sub}.d} u_{\text{sub}.d} u_{\text{sub}.d}^{\text{sup}.H}$  and D is a parameter that indicates a dimension of interference subspace: (1) in one example, D is fixed, e.g., D=1; and/or (2) in one example, D is configured via higher-layer parameter, MAC-CE or DCI, and  $D \in \{1, 2\}$ . In this case, one-bit parameter is needed. In another example,  $D \in \{1, 2, \dots, P-1\}$ , where P is the number of antenna ports (the number of SRS ports) at the UE.

(99) In one example,  $u_{\text{sub}.d}$  is selected from a set composed of unit-norm vectors.

- (100) In one example, each element of  $u_{sub,d}$  is decomposed into phase and amplitude components and they are selected from respective codebooks.
- (101) In one example, the codebook for the phase component is designed as discussed according to the examples described herein.
- (102) In one example, the codebook for the amplitude component is designed as discussed according to the examples described herein.
- (103) In one example, an SCI is used to indicate the strongest element of  $u_{sub,d}$ , similar to the examples described herein.
- (104) In one example,  $\sigma_{sub,d,sup,2}$  is defined based on signal-to-interference ratio (SIR) (or SINR) and selected from a pre-defined codebook (set). The NW can estimate an expected signal power at the UE and an (inter-RRH) expected interference power to the UE and compute the SIR (or SINR) based on those powers.
- (105) In one example,  $\sigma_{sub,d,sup,2}$  is selected from a codebook composed of equidistance points in  $[a, b]$  in C dB scale, where, for example,
- (106)  $a = \frac{1}{10^2}$ ,  
 $b=10$ ,  $C=3$ .
- (107) In another example,  $\sigma_{sub,d,sup,2}$  is selected from a codebook composed of non-equidistance point in  $[a, b]$ , for example which is given in the following TABLE 1.
- (108) TABLE-US-00001 TABLE 1  $\sigma_{sub,d,sup,2}$  (SIR or SINR) index  $\sigma_{sub,d,sup,2}$  (SIR or SINR)  
0  $\geq 9$  dB 1 6 dB 2 3 dB 3 0 dB 4 -1.5 dB 5 -3 dB 6 -4.5 dB 7 -6 dB 8 -7.5 dB 9 -9 dB 10 -10.5 dB 11 -12 dB 12 -13.5 dB 13 -15 dB 14 -16.5 dB 15  $\leq -18$  dB
- (109) Note that designing receive filtering/beamforming to be nearly orthogonal (or in an MMSE manner) to the space of interference signals becomes critical to have reasonable received SINR when there exist strong interference signals. Therefore, a codebook composed of non-equidistance points in a given range for  $\sigma_{sub,d,sup,2}$  could be efficient, as shown in TABLE 1.
- (110) In one example,  $\sigma_{sub,d,sup,2}$  for  $d=0, \dots, D-1$  is normalized by the largest  $\sigma_{sub,d,sup,2}$  and each normalized  $\sigma_{sub,d,sup,2}$  is indicated to the UE.
- (111) In one example,  $\sigma_{sub,0,sup,2}$  is the largest value and is selected from a first codebook, e.g., the SIR table above, and each normalized  $\sigma_{sub,d,sup,2}$  for  $d=1, \dots, D-1$  is selected from a second codebook, e.g., composed of equidistant points in  $[0, 1]$  in 1.5 dB or 3 dB scale.
- (112) In one example,  $\sigma_{sub,0,sup,2}$  is the largest value and is set to one, hence not indicated to the UE, and each normalized  $\sigma_{sub,d,sup,2}$  for  $d=1, \dots, D-1$  is selected from a codebook, e.g., composed of equidistant points in  $[0, 1]$  in 1.5 dB or 3 dB scale.
- (113) In another example,  $\sigma_{sub,d,sup,2}$  is defined based on (inter-RRH) interference power/level and selected from a pre-defined codebook (or set) similar to examples described herein.
- (114) In one embodiment, a UE can be configured with a parameter (or indicator) to enable or disable  $\sigma_{sub,d,sup,2}$  via higher-layer parameter (RRC), MAC-CE, or DCI. If the parameter indicates “disable  $\sigma_{sub,d,sup,2}$ ,”  $\sigma_{sub,d,sup,2}$  is not indicated to the UE. If the parameter indicates “enable  $\sigma_{sub,d,sup,2}$ ,”  $\sigma_{sub,d,sup,2}$  is indicated to the UE. Note that when an expected interference level is too high (i.e., SIR is too low), zero-forcing (ZF) receive beamforming/filtering is near optimal. Since the strength information  $\sigma_{sub,d,sup,2}$  on interference is not needed (only direction information  $u_{sub,d}$  is needed) when designing ZF receiver beamforming, this parameter can be used to disable, when the NW expects that the expected interference to the UE may be larger.
- (115) In one example, when the parameter is indicated to disable  $\sigma_{sub,d,sup,2}$  to a UE, the UE designs ZF beamforming/filtering using  $\{u_{sub,d}\}$  to receive DL data via PDCCH/PDSCH.
- (116) In one example, when the parameter is indicated to disable  $\sigma_{sub,d,sup,2}$  to a UE, the UE does not have to design ZF beamforming/filtering. In this case, the UE may utilize its own information for interference or the previous information on  $\sigma_{sub,d,sup,2}$  if the UE designs beamforming/filtering (such as MMSE beamforming) other than ZF beamforming.



(117) In one example, when the parameter is indicated to disable  $\sigma_{\text{sub.d.sup.2}}$  to a UE, a second parameter can be used to indicate to force the UE to design ZF or not. For example, if the second parameter indicates to design ZF, the UE may design ZF beamforming when receiving configured DL data. Otherwise, the UE can design its own preferred beamforming.

(118) In one embodiment,  $\sigma_{\text{sub.d.sup.2}}$  and  $u_{\text{sub.d}}$  can be indicated/configured via different mediums, according to at least one of the following examples: (1) in one example, (as,  $\sigma_{\text{sub.d.sup.2}}, u_{\text{sub.d}}$ )=(RRC, RRC); (2) in one example, ( $\sigma_{\text{sub.d.sup.2}}, u_{\text{sub.d}}$ )=(RRC, MAC-CE); (3) in one example, ( $\sigma_{\text{sub.d.sup.2}}, u_{\text{sub.d}}$ )=(RRC, DCI); (3) in one example, ( $\sigma_{\text{sub.d.sup.2}}, u_{\text{sub.d}}$ )=(MAC-CE, RRC); (4) in one example, ( $\sigma_{\text{sub.d.sup.2}}, u_{\text{sub.d}}$ )=(MAC-CE, MAC-CE); (5) in one example, ( $\sigma_{\text{sub.d.sup.2}}, u_{\text{sub.d}}$ )=(MAC-CE, DCI); (6) in one example, ( $\sigma_{\text{sub.d.sup.2}}, u_{\text{sub.d}}$ )=(DCI, RRC); (7) in one example, ( $\sigma_{\text{sub.d.sup.2}}, u_{\text{sub.d}}$ )=(DCI, MAC-CE); and/or (8) in one example, ( $\sigma_{\text{sub.d.sup.2}}, u_{\text{sub.d}}$ )=(DCI, DCI).

(119) In one embodiment,  $\sigma_{\text{sub.d.sup.2}}$  and  $u_{\text{sub.d}}$  can be periodically, semi-persistently, or aperiodically indicated/configured.

(120) In one embodiment, multiple covariance matrices (i.e.,  $\{R_{\text{sub.D},m}\}_{\text{sub.m}=0}^{\text{sup.M}-1}$ ) are configured to a UE via higher-layer parameter (e.g., RRC), and some of them are indicated to the UE via lower-layer parameter (e.g., MAC-CE or DCI): (1) in one example, M is predetermined and fixed, e.g., M=2; (2) in one example, M is configured and selected from  $M=\{2, 4, 6, 8\}$ , or  $\{1, 2, 3, 4\}$ , or  $\{1, 2\}$ , or  $\{2, 3\}$ ; and/or (3) in one example,  $M=N_{\text{sub.RRH}}$ , where  $N_{\text{sub.RRH}}$  is the number of RRHs in a D-MIMO network.

(121) In one example, a covariance matrix is configured for each interference covariance ID, e.g., as shown in the following TABLE 2.

(122) TABLE-US-00002  

TABLE 2	Covariance matrix	Interference covariance ID	Covariance matrix		
0	$R_{\text{sub.D}, 0}$	$0 = U_{\text{sub.D}, 0} \Sigma_{\text{sub.D}, 0} U_{\text{sub.D}, 0}^{\text{sup.H}}$	1	$R_{\text{sub.D}, 1}$	$1 = U_{\text{sub.D}, 1} \Sigma_{\text{sub.D}, 1} U_{\text{sub.D}, 1}^{\text{sup.H}}$
...	...	...	...	...	...
M-1	$R_{\text{sub.D}, M-1}$	$M-1 = U_{\text{sub.D}, M-1} \Sigma_{\text{sub.D}, M-1} U_{\text{sub.D}, M-1}^{\text{sup.H}}$			



(123) Each covariance can be indicated to the UE via higher-layer parameter, similar to the relevant embodiments/examples discussed herein.

(124) In one example, a bit-map indicator with size M is used to indicate some or all of the configured covariance matrices. For example, from the most significant bit (MSB) to the least significant bit (LSB), the M bits correspond to interference covariance IDs from 0 to M-1. In one example, the UE utilizes a total interference covariance matrix by computing:

$R_{\text{sub.D}} = \sum_{m \in S} R_{\text{sub.D},m}$ , where S is the set of the covariance IDs corresponding to is in the bit-map indicator with size M.

(125) In one example, information I includes interference (covariance) matrix, which can be represented as  $R_{\text{sub.D}} = U_{\text{sub.D}} \Sigma_{\text{sub.D}} U_{\text{sub.D}}^{\text{sup.H}}$ , where

$R_{\text{sub.D}} = \sum_{d=0}^{\text{sup.D}-1} c_{\text{sub.d}} u_{\text{sub.d}} u_{\text{sub.d}}^{\text{sup.H}}$  and D is a parameter that indicates a dimension of interference subspace, where  $U_{\text{sub.D}}$  is an orthogonal DFT basis composed of D DFT basis vectors  $\{u_{\text{sub.d}}\}$  and  $c_{\text{sub.d}}$  is a complex coefficient: (1) in one example, D is fixed, e.g., D=1; and/or (2) in one example, D is configured via higher-layer parameter, MAC-CE or DCI, and  $D \in \{1, 2\}$ . In this case, one-bit parameter is needed. In another example,  $D \in \{1, 2, \dots, P-1\}$ , where P is the number of antenna ports (the number of SRS ports) at the UE.

(126) In one example,  $u_{\text{sub.d}}$  is selected from a set (codebook) . For example,  custom character comprises of oversampled DFT basis vectors, where an oversampled DFT basis vector can be expressed as

$$(127) p_i = [ 1 \quad e^{j\frac{2\pi i}{OP}} \quad \dots \quad e^{j\frac{2\pi i(P-1)}{OP}} ]^T,$$

where O is the oversampled factor and P is the number of antenna ports at the UEs: (1) in one example, O is predetermined or fixed to 1 or 2; and/or (2) in one example, O is configured via higher-parameter and selected from, e.g.,  $O \in \{1, 2\}$ .

(128) In one example, c.sub.d is decomposed into amplitude and phase components and they are selected from respective codebooks: (1) in one example, the phase of c.sub.d is selected from an n.sub.p-bit PSK codebook, where an n.sub.p-bit PSK codebook is defined as

$$(129) \Phi(n_p) = \{e^{\frac{j2\pi(n-1)}{2^{n_p}}} : n = 0, 1, \dots, 2^{n_p} - 1\}.$$

In one example, n.sub.p=4. In another example, the codebook for the phase component is configured via higher-layer parameter, e.g., from 3-bit PSK and 4-bit PSK codebook; and/or (2) in one example, the amplitude of c.sub.d is selected from an n.sub.a-bit codebook composed of equidistant points in [0, 1] in Y dB scale. For example, n.sub.a=4 and Y=1.5. In another example, n.sub.a=3 and Y=3.

(130) In one example, c.sub.d for d=0, . . . , P-1 is normalized by the strongest c.sub.d and each normalized c.sub.d is indicated to the UE: (1) in one example, c.sub.0 is the largest value and is selected from a codebook, e.g., the SIR table above, and each normalized c.sub.d for d=1, . . . , D-1 is selected according to examples described herein; and/or (2) in one example, c.sub.0 is the largest value and is set to one, hence not indicated to the UE, and each normalized c.sub.d for d=1, . . . , D-1 is selected according to examples described herein.

(131) In embodiment, information I includes interfering DMRS configuration(s) for UE to measure interfering DMRS to be transmitted from non-serving RRHs.

(132) In one example, time-and-frequency resources conveying interfering DMRS(s) are the same as those conveying DMRS transmitted from serving RRHs. In this case, sequence (scramble) IDs between the interfering and desired DMRSs may be different, and thus the NW configures scramble ID(s) for the interfering DMRS(s) via higher-layer parameter, MAC-CE, or DCI.

(133) In one example, the NW configures B scramble IDs for interfering DMRSs via higher-layer parameter, and the NW indicates some of B scramble IDs for UE to measure interfering DMRSs using a bit-map indicator with size B.

(134) In one example, time-and-frequency resources conveying interfering DMRS(s) can be differently configured from those conveying DMRS transmitted from serving RRHs. In this case, sequence (scramble) IDs between the interfering and desired DMRSs can be the same or different.

(135) In one example, an individual DMRS configuration (e.g., including time-and-frequency resource information and scramble ID) for each interfering DMRS is configured via higher-layer parameter, MAC-CE, or DCI.

(136) In one example, an individual DMRS configuration which does not include scramble ID for each interfering DMRS is configured via higher-layer parameter, MAC-CE, or DCI. (This is for the case where a same scramble ID is used for all interfering DMRS and desired signal DMRS.)

(137) In one example, the NW configures B DMRS configurations for interfering DMRSs via higher-layer parameter, and the NW indicates some of B DMRSs for UE to measure interfering DMRSs using a bit-map indicator with size B.

(138) In one embodiment, a UE is configured with “desired signal component” which includes information S on desired signal, and the UE performs receive filtering/beamforming based on the configured information S on desired signal. For example, information S can include signal space (a subspace spanned by desired signal vector directions) or direction and/or signal

level/quality/power, which will be described specifically later. In one example, “desired signal component” can be configured via higher-layer parameter, MAC-CE or DCI. In another example, “desired signal component” can be configured periodically, semi-persistently, or aperiodically.

(139) Instead of indicating information on “inter-RRH interference component” provided in embodiment 1 and embodiment 2 provides a framework to indicate information on “desired signal component.” In other words, information directly related to “desired signal” transmitted from serving RRHs is indicated to UE to alleviate inter-RRH interference at the UE side.

(140) FIG. 11 illustrates another signaling flow 1100 of NW and UE operation according to embodiments of the present disclosure. The signaling flow 1100 as may be performed by a UE

(e.g., **111-116** as illustrated in FIG. 1) and a base station (e.g., **101-103** as illustrated in FIG. 1). An embodiment of the signaling flow **1100** shown in FIG. 11 is for illustration only. One or more of the components illustrated in FIG. 11 can be implemented in specialized circuitry configured to perform the noted functions or one or more of the components can be implemented by one or more processors executing instructions to perform the noted functions.




(141) FIG. 11 shows a general flow chart for NW and UE operations using embodiment 2 to alleviate inter-RRH interference at the UE. As shown in FIG. 11, the NW configures the UE to transmit SRS(s), and the UE transmits SRS(s) according to the configuration. The NW estimates the uplink channel based on the SRS reception and infers the DL channel using DL-UL channel reciprocity. Then, the NW determines serving RRHs and non-serving RRHs for the UE based on the estimated (inferred) DL channel, and also computes information S on desired signal such as desired signal direction/space and/or level/quality/power. The NW configures the UE with information S via PDCCH/PDSCH and transmits DL data according to the configuration. The UE then decodes the DL data by using the information S on desired signal.

(142) As illustrated in FIG. 11, in step **1102**, the NW (**101-103** as illustrated in FIG. 1) may configure the UE (e.g., **111-116** as illustrated in FIG. 1) to transmit the SRS. In step **1104**, the UE transmits the SRS to the NW. In step **1106**, the NW estimates the channel and determines, in step **1108**, serving and non-serving RRHs for the UE based on the estimated channel, and compute information S on desired signal. In step **1110**, the NW configure the UE with information S on desired signal. In step **1112**, the NW transmits the DL data to the UE. In step **1114**, the UE decodes the DL data by utilizing the information S on desired signal.

(143) For example, if the UE is aware of statistics of desired signals (e.g., covariance matrix of desired signal  $R_{sub.s}$  indicated by the NW), the UE is able to obtain high received SINR by MMSE receive beamforming/filtering using the information. Several embodiments for information S are provided.

(144) In one embodiment, information S includes one or multiple vectors  $\{s_{sub.n}\}_{sub.n=0}^{sup.N-1}$  that are selected from a set of vectors .

(145) In one example, N is defined according to at least one of the following examples: (1) in one example, N is fixed, e.g.,  $N=1$ , i.e., a single vector  $s_{sub.0}$  is configured/indicated; (2) in one example, N is configured via higher-layer parameter, MAC-CE, or DCI. In one example,  $N=\{1, 2, \dots, P-1\}$ , where P is the number of antenna ports (i.e., number of SRS ports) at UE. In another example,  $N=\{1,2\}$ ; and/or (3) in one example, N is defined as a function of the number of configured subbands (SBs). For example,  $N=K$ , where K is the number of configured SBs. In another example,  $N=pK$ , where  $p \in \{1, 2, \dots, P-1\}$ .

(146) In one example,  is a pre-defined set composed of P-dimensional vectors, where P is the number of antenna ports (i.e., number of SRS ports) at a UE: (1) in one example,  is a set comprised of basis vectors; and/or (2) in one example,  is a set comprised of (oversampled) DFT basis vectors. For example, a DFT basis vector can be expressed as

$$(147) p_i = [ 1 \quad e^{j\frac{2\pi i}{OP}} \quad \text{.Math.} \quad e^{j\frac{2\pi i(P-1)}{OP}} ]^T,$$

where O is the oversampled factor and P is the number of antenna ports at the UE.

(148) In one embodiment, information S includes one or multiple vectors  $\{s_{sub.n}\}_{sub.n=0}^{sup.N-1}$  whose elements are decomposed into phase and amplitude (or power) components, and they are selected from respective codebooks (or sets).

(149) In one example, N is defined according to the examples described herein.

(150) In one example, the codebook for the phase component is fixed, e.g.,  $n_{sub.p}$ -bit PSK codebook, where  $n_{sub.p}$ -bit PSK codebook is defined as

$$(151) \Phi(n_p) = \{ e^{\frac{j2\pi(n-1)}{2^{n_p}}} : n = 0, 1, \text{.Math.}, 2^{n_p} - 1 \}.$$

In one example,  $n_{sub.p}=4$ . In another example, the codebook for the phase component is

configured via higher-layer parameter, e.g., from 3-bit PSK and 4-bit PSK codebook.

(152) In one example, the codebook for the amplitude component is  $n_{\text{sub.a}}$ -bit codebook composed of equidistant points in  $[0,1]$  in Y dB scale. For example,  $n_{\text{sub.a}}=4$  and  $Y=1.5$ , which corresponds to

$$(153) A(n_a, Y) = \{1, (\frac{1}{2})^{\frac{1}{Y}}, (\frac{1}{4})^{\frac{1}{Y}}, \dots, (\frac{1}{2^{n_a}})^{\frac{1}{Y}}\}.$$

In another example,  $n_{\text{sub.a}}=3$  and  $Y=3$ , which corresponds to

$$(154) A(n_a, Y) = \{1, (\frac{1}{2})^{\frac{1}{Y}}, (\frac{1}{4})^{\frac{1}{Y}}, \dots, (\frac{1}{2^{n_a}})^{\frac{1}{Y}}\}.$$

(155) In one example, an SCI is used to indicate the strongest element of  $s_{\text{sub.n}}$ . The element associated with the strongest element can be regarded as one, and thus the value is not needed to be indicated.

(156) In one embodiment, information S includes desired signal covariance matrix, which can be represented as  $R_{\text{sub.s,D}} = U_{\text{sub.s,D}} \Sigma_{\text{sub.s,D}} U_{\text{sub.s,D}}^H$ , where

$R_{\text{sub.s,D}} = \Sigma_{\text{sub.d}} = 0_{\text{sup.D}} - 1 \sigma_{\text{sub.d,sup.2}} u_{\text{sub.s,d}} u_{\text{sub.s,d}}^H$  and D is a parameter that indicates a dimension of desired signal subspace: (1) in one example, D is fixed, e.g.,  $D=1$ ; and/or (2) in one example, D is configured via higher-layer parameter, MAC-CE or DCI, and  $D \in \{1,2\}$ . In this case, one-bit parameter is needed. In another example,  $D \in \{1, 2, \dots, P-1\}$ , where P is the number of antenna ports (the number of SRS ports) at the UE.

(157) In one example,  $U_{\text{sub.s,d}}$  is selected from a set composed of unit-norm vectors.

(158) In one example, each element of  $u_{\text{sub.s,d}}$  is decomposed into phase and amplitude components and they are selected from respective codebooks.

(159) In one example, the codebook for the phase component is designed according to the examples described herein.

(160) In one example, the codebook for the amplitude component is designed according to the examples described herein.

(161) In one example, an SCI is used to indicate the strongest element of  $u_{\text{sub.s,d}}$ , similar to the examples described herein.

(162) In one example,  $\sigma_{\text{sub.d,sup.2}}$  is defined based on signal-to-interference ratio (SIR) (or SINR) and selected from a pre-defined codebook (set). The NW can estimate an expected signal power at the UE and an (inter-RRH) expected interference power to the UE and compute the SIR (or SINR) based on those powers.

(163) In one example,  $\sigma_{\text{sub.d,sup.2}}$  is selected from a codebook composed of equidistance points in  $[a, b]$  in C dB scale, where, for example,

$$(164) a = \frac{1}{10^2},$$

$$b=10, C=3.$$

(165) In another example,  $\sigma_{\text{sub.d,sup.2}}$  is selected from a codebook composed of non-equidistance point in  $[a, b]$ , for example which is given in the following TABLE 3.

(166) TABLE-US-00003 TABLE 3  $\sigma_{\text{sub.d,sup.2}}$  (SIR or SINR) index  $\sigma_{\text{sub.d,sup.2}}$  (SIR or SINR)  
0  $\geq 9$  dB 1 6 dB 2 3 dB 3 0 dB 4 -1.5 dB 5 -3 dB 6 -4.5 dB 7 -6 dB 8 -7.5 dB 9 -9 dB 10 -10.5 dB 11 -12 dB 12 -13.5 dB 13 -15 dB 14 -16.5 dB 15  $\leq -18$  dB

(167) In one example,  $\sigma_{\text{sub.d,sup.2}}$  for  $d=0, \dots, D-1$  is normalized by the largest  $\sigma_{\text{sub.d,sup.2}}$  and each normalized  $\sigma_{\text{sub.d,sup.2}}$  is indicated to the UE: (1) in one example,  $\sigma_{\text{sub.0,sup.2}}$  is the largest value and is selected from a first codebook, e.g., the SIR table above, and each normalized  $\sigma_{\text{sub.d,sup.2}}$  for  $d=1, \dots, D-1$  is selected from a second codebook, e.g., composed of equidistant points in  $[0, 1]$  in 1.5 dB or 3 dB scale; and/or (2) in one example,  $\sigma_{\text{sub.0,sup.2}}$  is the largest value and is set to one, hence not indicated to the UE, and each normalized  $\sigma_{\text{sub.d,sup.2}}$  for  $d=1, \dots, D-1$  is selected from a codebook, e.g., composed of equidistant points in  $[0, 1]$  in 1.5 dB or 3 dB scale.

(168) In another example,  $\sigma_{\text{sub.d,sup.2}}$  is defined based on desired signal power/level/quality and selected from a pre-defined codebook (or set) similar to examples described herein.

(169) In one embodiment, a UE can be configured with a parameter (or indicator) to enable or disable  $\sigma_{\text{sub.d.sup.2}}$  via higher-layer parameter (RRC), MAC-CE, or DCI. If the parameter indicates “disable  $\sigma_{\text{sub.d.sup.2}}$ ,”  $\sigma_{\text{sub.d.sup.2}}$  is not indicated to the UE. If the parameter indicates “enable  $\sigma_{\text{sub.d.sup.2}}$ ,”  $\sigma_{\text{sub.d.sup.2}}$  is indicated to the UE.

(170) In one embodiment,  $\sigma_{\text{sub.d.sup.2}}$  and  $u_{\text{sub.s,d}}$  can be indicated/configured via different mediums, according to at least one of the following examples: (1) in one example,  $(\sigma_{\text{sub.d.sup.2}}, u_{\text{sub.s,d}}) = (\text{RRC}, \text{RRC})$ ; (2) in one example,  $(\sigma_{\text{sub.d.sup.2}}, u_{\text{sub.s,d}}) = (\text{RRC}, \text{MAC-CE})$ ; (3) in one example,  $(\sigma_{\text{sub.d.sup.2}}, u_{\text{sub.s,d}}) = (\text{RRC}, \text{DCI})$ ; (4) in one example,  $(\sigma_{\text{sub.d.sup.2}}, u_{\text{sub.s,d}}) = (\text{MAC-CE}, \text{RRC})$ ; (5) in one example,  $(\sigma_{\text{sub.d.sup.2}}, u_{\text{sub.s,d}}) = (\text{MAC-CE}, \text{MAC-CE})$ ; (6) in one example,  $(\sigma_{\text{sub.d.sup.2}}, u_{\text{sub.s,d}}) = (\text{MAC-CE}, \text{DCI})$ ; (7) in one example,  $(\sigma_{\text{sub.d.sup.2}}, u_{\text{sub.s,d}}) = (\text{DCI}, \text{RRC})$ ; (8) in one example,  $(\sigma_{\text{sub.d.sup.2}}, u_{\text{sub.s,d}}) = (\text{DCI}, \text{MAC-CE})$ ; and/or (9) in one example,  $(\sigma_{\text{sub.d.sup.2}}, u_{\text{sub.s,d}}) = (\text{DCI}, \text{DCI})$ .

(171) In one embodiment,  $\sigma_{\text{sub.d.sup.2}}$  and  $u_{\text{sub.s,d}}$  can be periodically, semi-persistently, or aperiodically indicated/configured.

(172) In one embodiment, multiple covariance matrices (i.e.,  $\{R_{\text{sub.s,D},m}\}_{\text{sub.m}=0}^{\text{sup.M}-1}$ ) are configured to a UE via higher-layer parameter (e.g., RRC), and some of them are indicated to the UE via lower-layer parameter (e.g., MAC-CE or DCI): (1) in one example, M is predetermined and fixed, e.g.,  $M=2$ ; (2) in one example, M is configured and selected from  $M=\{2, 4, 6, 8\}$ , or  $\{1, 2, 3, 4\}$ , or  $\{1, 2\}$ , or  $\{2, 3\}$ ; and/or (3) in one example,  $M=N_{\text{sub.RRH}}$ , where  $N_{\text{sub.RRH}}$  is the number of RRHs in a D-MIMO network.

(173) In one example, a covariance matrix is configured for each desired signal covariance ID, e.g., as shown in the following TABLE 4.

(174) TABLE-US-00004 TABLE 4 Covariance matrix Desired signal covariance ID Covariance matrix  
 0  $R_{\text{sub.s,D},0} = U_{\text{sub.s,D},0} \Sigma_{\text{sub.s,D},0} U_{\text{sub.s,D},0}^{\text{sup.H}}$   
 1  $R_{\text{sub.s,D},1} = U_{\text{sub.s,D},1} \Sigma_{\text{sub.s,D},1} U_{\text{sub.s,D},1}^{\text{sup.H}}$  . . . . .  $M-1$   $R_{\text{sub.s,D},M-1} = U_{\text{sub.s,D},M-1} \Sigma_{\text{sub.s,D},M-1} U_{\text{sub.s,D},M-1}^{\text{sup.H}}$



(175) Each covariance can be indicated to the UE via higher-layer parameter, similar to the relevant embodiments/examples under embodiments described herein.

(176) In one example, a bit-map indicator with size M is used to indicate some or all of the configured covariance matrices. For example, from the most significant bit (MSB) to the least significant bit (LSB), the M bits correspond to desired signal covariance IDs from 0 to M-1. In one example, the UE utilizes a total desired signal covariance matrix by computing:

$R_{\text{sub.s,D}} = \sum_{\Sigma_{\text{sub.s,D}} \in S} R_{\text{sub.s,D},m}$ , where S is the set of the covariance IDs corresponding to 1s in the bit-map indicator with size M.

(177) In one example, information S includes desired signal covariance matrix, which can be represented as  $R_{\text{sub.s,D}} = U_{\text{sub.s,D}} \Sigma_{\text{sub.s,D}} U_{\text{sub.s,D}}^{\text{sup.H}}$ , where

$R_{\text{sub.s,D}} = \Sigma_{\text{sub.d}=0}^{\text{sup.D}-1} c_{\text{sub.s,d}} u_{\text{sub.s,d}} u_{\text{sub.s,d}}^{\text{sup.H}}$  and D is a parameter that indicates a dimension of desired signal subspace, where  $U_{\text{sub.s,D}}$  is an orthogonal DFT basis composed of D DFT basis vectors  $\{u_{\text{sub.s,d}}\}$  and  $C_{\text{sub.s,d}}$  is a complex coefficient: (1) in one example, D is fixed, e.g.,  $D=1$ ; and/or (2) in one example, D is configured via higher-layer parameter, MAC-CE or DCI, and  $D \in \{1, 2\}$ . In this case, one-bit parameter is needed. In another example,  $D \in \{1, 2, \dots, P-1\}$ , where P is the number of antenna ports (the number of SRS ports) at the UE.

(178) In one example,  $u_{\text{sub.s,d}}$  is selected from a set (codebook) . For example,  custom character comprises of oversampled DFT basis vectors, where an oversampled DFT basis vector can be expressed as

$$(179) p_i = [1 \quad e^{j\frac{2\pi i}{O \cdot P}} \quad \dots \quad e^{j\frac{2\pi i(P-1)}{O \cdot P}}]^T,$$

where O is the oversampled factor and P is the number of antenna ports at the UEs: (1) in one example, O is predetermined or fixed to 1 or 2; and/or (2) in one example, O is configured via higher-parameter and selected from, e.g.,  $O \in \{1, 2\}$ .

- (180) In one example,  $c_{\text{sub},s,d}$  is decomposed into amplitude and phase components and they are selected from respective codebooks.
- (181) In one example, the phase of  $c_{\text{sub},s,d}$  is selected from an  $n_{\text{sub},p}$ -bit PSK codebook, where an  $n_{\text{sub},p}$ -bit PSK codebook is defined as
- (182)  $\Phi(n_p) = \{e^{\frac{j2\pi(n-1)}{2^{n_p}}} : n = 0, 1, \dots, 2^{n_p} - 1\}$ .
- In one example,  $n_{\text{sub},p}=4$ . In another example, the codebook for the phase component is configured via higher-layer parameter, e.g., from 3-bit PSK and 4-bit PSK codebook.
- (183) In one example, the amplitude of  $c_{\text{sub},d}$  is selected from an  $n_{\text{sub},a}$ -bit codebook composed of equidistant points in  $[0, 1]$  in Y dB scale. For example,  $n_{\text{sub},a}=4$  and  $Y=1.5$ . In another example,  $n_{\text{sub},a}=3$  and  $Y=3$ .
- (184) In one example,  $c_{\text{sub},s,d}$  for  $d=0, \dots, P-1$  is normalized by the strongest  $c_{\text{sub},s,d}$  and each normalized  $c_{\text{sub},s,d}$  is indicated to the UE: (1) in one example,  $c_{\text{sub},s,0}$  is the largest value and is selected from a codebook, e.g., the SIR table above, and each normalized  $c_{\text{sub},s,d}$  for  $d=1, \dots, D-1$  is selected according to examples described herein; and/or (2) in one example,  $c_{\text{sub},s,0}$  is the largest value and is set to one, hence not indicated to the UE, and each normalized  $c_{\text{sub},s,d}$  for  $d=1, \dots, D-1$  is selected according to examples described herein.
- (185) Any of the above variation embodiments/examples can be utilized independently or in combination with at least one other variation embodiment.
- (186) The above flowcharts illustrate example methods that can be implemented in accordance with the principles of the present disclosure and various changes could be made to the methods illustrated in the flowcharts herein. For example, while shown as a series of steps, various steps in each figure could overlap, occur in parallel, occur in a different order, or occur multiple times. In another example, steps may be omitted or replaced by other steps.
- (187) Although the present disclosure has been described with exemplary embodiments, various changes and modifications may be suggested to one skilled in the art. It is intended that the present disclosure encompass such changes and modifications as fall within the scope of the appended claims. None of the description in this application should be read as implying that any particular element, step, or function is an essential element that must be included in the claims scope. The scope of patented subject matter is defined by the claims.

## Claims

1. A user equipment (UE) comprising: a transceiver configured to receive configuration information about I, wherein: I is interference information for interfering  $N_{\text{sub},\text{int}}$  remote radio heads (RRHs), where  $N_{\text{sub},\text{int}} \leq N-1$ , and the configuration information indicates a number of RRHs,  $N > 1$ ; and a processor operably coupled to the transceiver, the processor configured to, based on the interference information I, determine a downlink (DL) spatial filter using P antenna ports, where  $P > 1$ , wherein the transceiver is further configured to receive DL data using the determined DL spatial filter.
2. The UE of claim 1, wherein the interference information I includes an interference covariance matrix  $R_{\text{sub},D}$  for the interfering  $N_{\text{sub},\text{int}}$  RRHs, where  $R_{\text{sub},D} = \sum_{d=0}^{D-1} \sigma_{\text{sub},d}^2 u_{\text{sub},d} u_{\text{sub},d}^H$ , D is a parameter that indicates a dimension of interference subspace, and  $D \leq P-1$ .
3. The UE of claim 2, wherein: each element of  $u_{\text{sub},d}$  is decomposed into phase and amplitude components that are selected from respective codebooks, the codebook for the phase component is an  $n_{\text{sub},p}$ -bit phase-shift keying (PSK) codebook, where  $n_{\text{sub},p}$ -bit PSK codebook is defined as  $\Phi(n_p) = \{e^{\frac{j2\pi(n-1)}{2^{n_p}}} : n = 0, 1, \dots, 2^{n_p} - 1\}$ , and the codebook for the amplitude component is an  $n_{\text{sub},a}$ -bit codebook composed of equidistant points in  $[0,1]$  in Y decibel (dB) scale.
4. The UE of claim 2, wherein:  $\sigma_{\text{sub},d}^2$  is defined based on a signal-to-interference ratio



- (SIR) and selected from a predefined codebook, and the predefined codebook includes equidistant points in  $[a, b]$  in C decibel (dB) scale or is composed of non-equidistant point in  $[a, b]$ .
5. The UE of claim 2, wherein the interference information I further includes a parameter to enable or disable  $\sigma_{\text{sub.d.sup.2}}$ , where  $\sigma_{\text{sub.d.sup.2}}$  is included in I only when the parameter is set to enable.
6. The UE of claim 1, wherein the interference information I includes multiple interference covariance matrices  $\{R_{\text{sub.D,m}}\}_{\text{sub.m}=0.\text{sup.M}-1}$ , where  $R_{\text{sub.D,m}} = \Sigma_{\text{sub.d}=0.\text{sup.D}-1} \sigma_{\text{sub.d,m.sup.2}} u_{\text{sub.d,m}} u_{\text{sub.d,m}}^H$ , D is a parameter that indicates a dimension of interference subspace, and  $D \leq P-1$ .
7. The UE of claim 6, wherein the transceiver is further configured to receive a subset of the multiple interference covariance matrices via a medium access control-control element (MAC-CE) or downlink control information (DCI).
8. A base station (BS) comprising: a transceiver configured to transmit configuration information about I, wherein: I is interference information for interfering  $N_{\text{sub.int}}$  remote radio heads (RRHs), where  $N_{\text{sub.int}} \leq N-1$ , and the configuration information indicates a number of RRHs,  $N > 1$ ; and a processor operably coupled to the transceiver, the processor configured to, based on the interference information I, determine a downlink (DL) spatial filter using P antenna ports, where  $P > 1$ , wherein the transceiver is further configured to transmit DL data for reception according to the determined DL spatial filter.
9. The BS of claim 8, wherein the interference information I includes an interference covariance matrix  $R_{\text{sub.D}}$  for the interfering  $N_{\text{sub.int}}$  RRHs, where  $R_{\text{sub.D}} = \Sigma_{\text{sub.d}=0.\text{sup.D}-1} \sigma_{\text{sub.d.sup.2}} u_{\text{sub.d}} u_{\text{sub.d}}^H$ , D is a parameter that indicates a dimension of interference subspace, and  $D \leq P-1$ .
10. The BS of claim 9, wherein: each element of  $u_{\text{sub.d}}$  is decomposed into phase and amplitude components that are selected from respective codebooks, the codebook for the phase component is an  $n_{\text{sub.p}}$ -bit phase-shift keying (PSK) codebook, where  $n_{\text{sub.p}}$ -bit PSK codebook is defined as  $\Phi(n_p) = \{e^{j\frac{2\pi(n-1)}{2^{n_p}}} : n = 0, 1, \dots, 2^{n_p} - 1\}$ , and the codebook for the amplitude component is an  $n_{\text{sub.a}}$ -bit codebook composed of equidistant points in  $[0, 1]$  in Y decibel (dB) scale.
11. The BS of claim 9, wherein:  $\sigma_{\text{sub.d.sup.2}}$  is defined based on a signal-to-interference ratio (SIR) and selected from a predefined codebook, and the predefined codebook includes equidistant points in  $[a, b]$  in C decibel (dB) scale or is composed of non-equidistant point in  $[a, b]$ .
12. The BS of claim 9, wherein the interference information I further includes a parameter to enable or disable  $\sigma_{\text{sub.d.sup.2}}$ , where  $\sigma_{\text{sub.d.sup.2}}$  is included in I only when the parameter is set to enable.
13. The BS of claim 8, wherein the interference information I includes multiple interference covariance matrices  $\{R_{\text{sub.D,m}}\}_{\text{sub.m}=0.\text{sup.M}-1}$ , where  $R_{\text{sub.D,m}} = \Sigma_{\text{sub.d}=0.\text{sup.D}-1} \sigma_{\text{sub.d,m.sup.2}} u_{\text{sub.d,m}} u_{\text{sub.d,m}}^H$ , D is a parameter that indicates a dimension of interference subspace, and  $D \leq P-1$ .
14. The BS of claim 13, wherein the transceiver is further configured to transmit a subset of the multiple interference covariance matrices via a medium access control-control element (MAC-CE) or downlink control information (DCI).
15. A method for operating a user equipment (UE), the method comprising: receiving configuration information about I, wherein: I is interference information for interfering  $N_{\text{sub.int}}$  remote radio heads (RRHs), where  $N_{\text{sub.int}} \leq N-1$ , and the configuration information indicates a number of RRHs,  $N > 1$ ; determining, based on the interference information I, a downlink (DL) spatial filter using P antenna ports, where  $P > 1$ ; and receiving DL data using the determined DL spatial filter.
16. The method of claim 15, wherein the interference information I includes an interference covariance matrix  $R_{\text{sub.D}}$  for the interfering  $N_{\text{sub.int}}$  RRHs, where  $R_{\text{sub.D}} = \Sigma_{\text{sub.d}=0.\text{sup.D}-1} \sigma_{\text{sub.d.sup.2}} u_{\text{sub.d}} u_{\text{sub.d}}^H$ , D is a parameter that indicates a

dimension of interference subspace, and  $D \leq P-1$ .

17. The method of claim 16, wherein: each element of  $u_{\text{sub},d}$  is decomposed into phase and amplitude components that are selected from respective codebooks, the codebook for the phase component is an  $n_{\text{sub},p}$ -bit phase-shift keying (PSK) codebook, where  $n_{\text{sub},p}$ -bit PSK codebook is defined as  $\Phi(n_p) = \{e^{j\frac{2\pi(n-1)}{2^{n_p}}} : n = 0, 1, \dots, 2^{n_p} - 1\}$ , and the codebook for the amplitude component is an  $n_{\text{sub},a}$ -bit codebook composed of equidistant points in  $[0,1]$  in Y decibel (dB) scale.

18. The method of claim 16, wherein:  $\sigma_{\text{sub},d,\text{sup},2}$  is defined based on a signal-to-interference ratio (SIR) and selected from a predefined codebook, and the predefined codebook includes equidistant points in  $[a, b]$  in C decibel (dB) scale or is composed of non-equidistant point in  $[a, b]$ .

19. The method of claim 16, wherein the interference information I further includes a parameter to enable or disable  $\sigma_{\text{sub},d,\text{sup},2}$ , where  $\sigma_{\text{sub},d,\text{sup},2}$  is included in I only when the parameter is set to enable.

20. The method of claim 15, wherein: the interference information I includes multiple interference covariance matrices  $\{R_{\text{sub},D,m}\}_{m=0}^{M-1}$ , where

$R_{\text{sub},D,m} = \sigma_{\text{sub},d=0}^{\text{sup},D-1} \sigma_{\text{sub},d,m}^{\text{sup},2} u_{\text{sub},d,\mu_{\text{sub},d,m}^{\text{sup},H}}$ , D is a parameter that indicates a dimension of interference subspace, and  $D \leq P-1$ , and the method further comprises receiving a subset of the multiple interference covariance matrices via a medium access control-control element (MAC-CE) or downlink control information (DCI).

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