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# State of polarization sensitive coherent optical time domain reflectometry

#### Abstract

A transceiver is herein described. The transceiver comprises an optical source providing an optical signal, a modulator receiving the optical signal and configured to encode data into the optical signal, a transmitter module to receive data to be encoded into the optical signal and having at least one drive circuit supplying driver signals to the modulator to cause the modulator to encode data into a carrier having a frequency band and a tone signal outside of the frequency band into the optical signal, a narrowband filter operable to receive a portion of the optical signal via an optical loopback, the optical signal having the encoded data and a first tone reflection of the tone signal at a first instant of time and to pass the first tone reflection, a polarimeter operable to receive the first tone reflection and determine a first tone polarization of the first tone reflection.

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# **Background/Summary**

CROSS REFERENCE TO RELATED APPLICATIONS (1) This application claims the benefit of U.S. Provisional Patent Application No. 63/163,462, filed Mar. 19, 2021, which is incorporated herein by reference in its entirety.

#### BACKGROUND

- (1) Optical communication systems typically include a first node that outputs optical carriers to one or more second nodes. The first and second nodes are connected to each other by one or more segments of optical fiber. At the first node, optical signals, each having a corresponding wavelength, and each being modulated to carry a different data stream, are multiplexed onto the optical fiber. In such systems, a laser and a modulator may be provided to generate each optical signal. Additionally, optical communication systems may include high-speed circuitry and components to generate high-speed optical signals at a transmit end (e.g., first node) of the system. At a receive end (e.g., second node), corresponding high-speed circuitry may be provided to detect the incoming data and to forward or distribute such data to lower capacity nodes.
- (2) In subsea optical communication systems, one or more optical amplifier, such as an in-line optical amplifier, may be positioned at specific geographic locations between the first node and the second node along the one or more segments of optical fiber.
- (3) From time to time, environmental disturbances may occur at one or more location between the

first node and the second node and along the one or more segments of fiber. Rapidly identifying the location of these environmental disturbances is desired. Prior methods of identifying a location of environmental disturbances, such as providing a sensor network along the optical communication system, are costly, time consuming, and would require additional maintenance.

- (4) Thus, a need exists to identify the location of environmental disturbances using currently deployed subsea optical communication equipment without impacting any data stream multiplexed onto the optical fiber. It is to such a system and method that the present disclosure is directed. SUMMARY
- (5) Consistent with the present disclosure, a system is provided in which a transceiver comprises an optical source, a modulator, a transmitter module, a narrowband filter, a polarimeter, and an optical loopback. The optical source has a laser operable to provide a continuous wave optical signal. The modulator receives the continuous wave optical signal from the optical source. The modulator is further configured to encode data into the continuous wave optical signal based on one or more driver signals. The transmitter module has circuitry to receive data to be encoded into the continuous wave optical signal and at least one drive circuit supplying driver signals to the modulator to cause the modulator to encode data into a carrier having a frequency band and a tone signal outside of the frequency band into the continuous wave optical signal. The continuous wave optical signal has an optical power and a polarization. The narrowband filter is operable to receive a portion of the continuous wave optical signal having the encoded data and a first tone reflection of the tone signal at a first instant of time and to pass the first tone reflection of the continuous wave optical signal at the first instant of time. The polarimeter is operable to receive the first tone reflection and determine a first tone polarization of the first tone reflection. The optical loopback component is operable to direct the portion of the continuous wave optical signal to the narrowband filter.

# **Description**

#### BRIEF DESCRIPTION OF THE DRAWINGS

- (1) The accompanying drawings, which are incorporated in and constitute a part of this specification, illustrate one or more implementations described herein and, together with the description, explain these implementations. The drawings are not intended to be drawn to scale, and certain features and certain views of the figures may be shown exaggerated, to scale or in schematic in the interest of clarity and conciseness. Not every component may be labeled in every drawing. Like reference numerals in the figures may represent and refer to the same or similar element or function. In the drawings:
- (2) FIG. **1** is a block diagram showing an exemplary embodiment of an optical network constructed in accordance with the present disclosure.
- (3) FIG. **2** is a block diagram showing an exemplary embodiment of a primary node transmitter constructed in accordance with the present disclosure.
- (4) FIG. **3** is a block diagram showing an exemplary embodiment of a primary node transmitter digital signal processor (DSP) constructed in accordance with the present disclosure.
- (5) FIG. **4** is an example of a spectral plot showing optical subcarriers in accordance with the present disclosure.
- (6) FIGS. 5A-5D depict an exemplary embodiment of a location of a tone signal within the optical network of FIG. 1 at varying instants in time.
- (7) FIG. **6** is a diagram of an exemplary embodiment of a subsea optical communication system aspect of the optical communication system of FIG. **1** including one or more optical amplifier at a known geographic location.
- (8) FIG. 7 is a diagram showing an exemplary embodiment of a secondary node receiver

- constructed in accordance with the present disclosure.
- (9) FIG. **8** is a diagram showing an exemplary embodiment of a secondary node receiver DSP consistent with the present disclosure.
- (10) FIG. **9** is a diagram of an exemplary embodiment of a transceiver having a shared laser. DESCRIPTION OF THE EMBODIMENTS
- (11) Before explaining at least one embodiment of the disclosure in detail, it is to be understood that the disclosure is not limited in its application to the details of construction, experiments, exemplary data, and/or the arrangement of the components set forth in the following description or illustrated in the drawings unless otherwise noted.
- (12) The disclosure is capable of other embodiments or of being practiced or carried out in various ways. Also, it is to be understood that the phraseology and terminology employed herein is for purposes of description and should not be regarded as limiting.
- (13) As used in the description herein, the terms "comprises," "comprising," "includes," "including," "has," "having," or any other variations thereof, are intended to cover a non-exclusive inclusion. For example, unless otherwise noted, a process, method, article, or apparatus that comprises a list of elements is not necessarily limited to only those elements but may also include other elements not expressly listed or inherent to such process, method, article, or apparatus. (14) Further, unless expressly stated to the contrary, "or" refers to an inclusive and not to an
- (14) Further, unless expressly stated to the contrary, "or" refers to an inclusive and not to an exclusive "or". For example, a condition A or B is satisfied by one of the following: A is true (or present) and B is false (or not present), A is false (or not present) and B is true (or present), and both A and B are true (or present).
- (15) In addition, use of the "a" or "an" are employed to describe elements and components of the embodiments herein. This is done merely for convenience and to give a general sense of the inventive concept. This description should be read to include one or more, and the singular also includes the plural unless it is obvious that it is meant otherwise. Further, use of the term "plurality" is meant to convey "more than one" unless expressly stated to the contrary.
- (16) As used herein, qualifiers like "substantially," "about," "approximately," and combinations and variations thereof, are intended to include not only the exact amount or value that they qualify, but also some slight deviations therefrom, which may be due to computing tolerances, computing error, manufacturing tolerances, measurement error, wear and tear, stresses exerted on various parts, and combinations thereof, for example.
- (17) As used herein, any reference to "one embodiment," "an embodiment," "some embodiments," "one example," "for example," or "an example" means that a particular element, feature, structure or characteristic described in connection with the embodiment is included in at least one embodiment and may be used in conjunction with other embodiments. The appearance of the phrase "in some embodiments" or "one example" in various places in the specification is not necessarily all referring to the same embodiment, for example.
- (18) The use of ordinal number terminology (i.e., "first", "second", "third", "fourth", etc.) is solely for the purpose of differentiating between two or more items and, unless explicitly stated otherwise, is not meant to imply any sequence or order of importance to one item over another.
- (19) The use of the term "at least one" or "one or more" will be understood to include one as well as any quantity more than one. In addition, the use of the phrase "at least one of X, Y, and Z" will be understood to include X alone, Y alone, and Z alone, as well as any combination of X, Y, and Z. (20) Circuitry, as used herein, may be analog and/or digital components, or one or more suitably programmed processors (e.g., microprocessors) and associated hardware and software, or hardwired logic. Also, "components" may perform one or more functions. The term "component," may include hardware, such as a processor (e.g., microprocessor), an application specific integrated circuit (ASIC), a field programmable gate array (FPGA), a combination of hardware and software, and/or the like. The term "processor" as used herein means a single processor or multiple processors working independently or together to collectively perform a task.

or more components cause the component to perform a specified function. It should be understood that the algorithms described herein may be stored on one or more non-transitory computer readable medium. Exemplary non-transitory computer readable mediums may include random access memory, read only memory, flash memory, and/or the like. Such non-transitory computer readable mediums may be electrically based, optically based, magnetically based, and/or the like. (22) The methods and systems herein disclosed may be used in optical networks. In one embodiment, the optical network has one or more band, or portion of wavelength. As used herein, the C-Band is a band of light having a wavelength between 1528.6 nm and 1566.9 nm. The L-Band is a band of light having a wavelength between 1569.2 nm and 1609.6 nm. Because the wavelength of the C-Band is smaller than the wavelength of the L-Band, the wavelength of the C-Band may be described as a short, or a shorter, wavelength relative to the L-Band. Similarly, because the wavelength of the L-Band is larger than the wavelength of the C-Band, the wavelength of the L-Band may be described as a long, or a longer, wavelength relative to the C-Band.

(21) Software may include one or more computer readable instructions that when executed by one

- (23) The generation of laser beams for use as optical data carrier signals is explained, for example, in U.S. Pat. No. 8,155,531, entitled "Tunable Photonic Integrated Circuits", issued Apr. 10, 2012, and U.S. Pat. No. 8,639,118, entitled "Wavelength division multiplexed optical communication system having variable channel spacings and different modulation formats," issued Jan. 28, 2014, which are hereby fully incorporated in their entirety herein by reference.
- (24) A reconfigurable add-drop multiplexer (ROADM) node is an all-optical subsystem that enables remote configuration of wavelengths at any ROADM node. A ROADM is software-provisionable so that a network operator can choose whether a wavelength is added, dropped, or passed through the ROADM node. The technologies used within the ROADM node include wavelength blocking, planar lightwave circuit (PLC), and wavelength selective switching (WSS)—though the WSS has become the dominant technology. A ROADM system is a metro/regional WDM or long-haul DWDM system that includes a ROADM node. ROADMs are often talked about in terms of degrees of switching, ranging from a minimum of two degrees to as many as eight degrees, and occasionally more than eight degrees. A "degree" is another term for a switching direction and is generally associated with a transmission fiber pair. A two-degree ROADM node switches in two directions, typically called East and West. A four-degree ROADM node switches in four directions, typically called North, South, East, and West. In a WSS-based ROADM network, each degree requires an additional WSS switching element. So, as the directions switched at a ROADM node increase, the ROADM node's cost increases.
- (25) An Optical Cross-Connect is a device for switching at least a portion of a spectrum of light in an optical signal received on an input optical port to any (one or more) output optical port. An optical cross-connect can be configured on ROADM network elements, with a built-in wavelength selective switch (WSS) component that is used to route an optical signal in any of the fiber degrees or directions. For example, an exemplary optical cross connect can be formed within a wavelength selective switch by opening a specified channel, or specific spectrum of light on an input port of the wavelength selective switch. Configuring or pre-configuring an optical cross-connect may be accomplished by providing instructions to a device to cause the device to switch at least a portion of a spectrum of light in an optical signal received on an input port to any (one or more) output optical port.
- (26) As used herein, a span, or link, is the spread or extent of a fiber optic cable between the fiber optic cables' terminals. Generally, a span is an unbroken or uninterrupted segment of fiber optic cable between amplifiers. For instance, if a fiber optic cable carried a signal from point A through a repeater or amplifier at point B and on to point C, the fiber optic cable is said to have two spans, a first span from A to B, and a second span from B to C, the length of the spans being the distance between the respective points. A span may also be the distance between amplifiers, even if the fiber optic cable has not been terminated. For example, the fiber optic cable may not be terminated at an

optical in-line amplifier (described in detail below).

- (27) The terms "hub," "hub node," and "primary node" are used interchangeably herein. In addition, the terms "leaf," "leaf node," and "secondary node" are used interchangeably herein. (28) Referring now to the drawings, and in particular to FIG. 1, an exemplary embodiment of optical communication system 10 is illustrated therein. The optical communication system 10 includes, for example, a primary node 14. The primary node 14 includes a primary transceiver or transceiver module 18, for example, that supplies a downstream optical signal DS having a tone signal (described in detail below) to optical fiber link 22-1, and receives an upstream signal having a tone reflection of the tone signal (described in detail below) from optical fiber link 22-2. (29) In one embodiment, each node may include a coherent optical time domain reflectometer (COTDR) to perform cable monitoring tests having a high accuracy and traditionally utilized to find optical fiber faults, such as a severed cable.
- (30) In one embodiment, the optical communication system **10** includes one or more in-line node **26***a-n* (referred to collectively as in-line node **26**) between the primary node **14** and a secondary node **30**. The in-line node **26** may, in part, boost signals in the optical fiber submarine cable **22**. The in-line node **26** may be referred to as a "repeater" that receives, amplifies, and transmits the optical signals thereby increasing a transmission range of the optical signals. Not all optical communication systems **10** utilize in-line node(s) **26** and the present disclosure may apply to both repeater and repeaterless systems. In one embodiment, the in-line node **26** may be a repeater or an optical in-line amplifier.
- (31) In one embodiment, the in-line node **26** may include one or more amplifier **34** and a high loss loopback **38**. An amplifier **34***a* may amplify the optical signal in the optical fiber link **22-1** before the optical signal continues downstream to the optical fiber link **22-3**. Similarly, an amplifier **34***b* may amplify an incoming optical signal in an optical fiber link **22-4** before the incoming optical signal continues upstream along to the optical fiber link **22-2**. In one embodiment, the amplifier **34** is an erbium doped optical amplifier.
- (32) In one embodiment, the high loss loopback **38** is a High Loss Loopback, or an optical coupler operable to be tuned to a particular wavelength and having a fiber bragg grating **39** to cause a portion of the optical signal at the tuned wavelength (e.g., the tone signal) to be inserted into the incoming optical signal in the upstream direction (e.g., the tone reflection). In this embodiment, the high loss loopback **38** includes two fiber bragg gratings identified with the reference numerals **39***a* and **39***b*. The fiber bragg grating **39***a* reflects a portion of the optical signal amplified by the amplifier **34***a*, to cause the portion of the optical signal to be inserted onto the optical fiber **22-2**. The fiber bragg grating **39***b* reflects a portion of the optical signal amplified by the amplifier **34***b*, to cause the portion of the optical signal to be inserted onto the optical fiber **22-3**.
- (33) In one embodiment, the secondary node **30** is constructed similar to and may have a structure similar to and operate in manner similar to that described above with respect to the primary node **14**. In one example, however, a secondary transceiver **42** may supply a modulated optical signal in an upstream direction. Each optical signal may include one or more optical subcarrier. Collectively, a number the optical subcarriers output from the secondary transceiver **42** may be equal to, less than, or greater than the number of optical subcarriers output from primary transceiver **18**. (34) The optical communication system **10** typically utilizes Wavelength Division Multiplexing
- (34) The optical communication system **10** typically utilizes Wavelength Division Multiplexing (WDM) such as Dense Wavelength Division Multiplexing (DWDM). Dense Wavelength Division Multiplexing multiplexes multiple optical carrier signals onto a single optical fiber by using different laser light wavelengths.
- (35) In the optical communication system **10**, one or more optical data carrier signals may be transmitted in one or more optical data channel (shown in FIG. **4**, below) along with the tone signal through the optical fiber **22**. In particular, selected subcarriers may be transmitted in the downstream direction from primary node **14** to the secondary node **30**, and other subcarriers may be transmitted in the upstream direction from the secondary node **30** to the primary node **14**.

- (36) In some implementations, the optical communication system **10** may include one or more additional primary node **14** and/or secondary node **30** and optical communication paths **22**, fewer primary nodes **14** and/or secondary nodes **30** and optical communication paths **22**, or may have a configuration different from that described above. For example, the optical communication system **10** may have a mesh configuration or a point-to-point configuration.
- (37) In one embodiment, the primary transceiver **18** generally comprises one or more transmitter module **46** and receiver module **50** optically coupled to a ROADM **54**. The primary transceiver **18** may further include an optical loopback **58** operable to be tuned to a particular wavelength and cause a portion of the optical signal at the tuned wavelength (e.g., the tone signal) to be sent from the transmitter module **46** towards the receiver module **50** (e.g., as the tone reflection). In some embodiments, the primary transceiver **18** may further include a narrowband filter **62** optically disposed between the ROADM **54**, or when present the optical loopback **58**, and the receiver module **50**. When the narrowband filter **62** is present, the primary transceiver **18** may further include a polarimeter **66** optically connected to the narrowband filter **62**.
- (38) In some embodiments, when the narrowband filter **62** is present, the narrowband filter **62** is operable to filter a first portion of an optical signal corresponding to the tone signal and direct the tone reflection to the polarimeter **66**.
- (39) In one embodiment, the narrowband filter **62** may be replaced with a wavelength selective switch (WSS) operable to receive the optical signal and direct the first portion of the optical signal corresponding to the tone signal and direct the tone reflection to the polarimeter **66** while directing the remainder of the optical signal to the receiver module **50**.
- (40) In one embodiment, the primary node **14** may include a transmitter module **46** that supplies a downstream modulated optical signal including subcarriers and the tone signal, and a receiver module **50** that receives upstream subcarriers carrying data originating from the secondary node **30** and may include a tone reflection, as described below.
- (41) In one embodiment, the transmitter module **46** may include the tone signal into the downstream modulated optical signal at discrete intervals. For example, in one embodiment, the transmitter module **46** may include the tone signal into the downstream modulated optical signal at a frequency no greater than a time it would take an optical signal to travel from the primary node **14**, to a last in-line node **36** (e.g., the in-line node **26***n*) and back to the primary node **14**. In this way, the transmitter module **46** may ensure that a second tone signal sent in the downstream modulated optical signal does not cause a tone reflection that may interfere with one or more tone reflections from a first tone signal.
- (42) In some embodiments, if the tone signal has a narrow bandwidth, the tone signal may be inserted into the optical signal at greater frequencies. If the tone signal consists of coded pulses, then a quality of the decoded SOP can be improved.
- (43) In one embodiment, the transmitter module **46** may include a first tone signal into the downstream modulated optical signal at a first frequency and include a second tone signal into the downstream modulated optical signal at a second frequency such that the first frequency and the second frequency do not overlap one another. In this manner, the transmitter module **46** may transmit a second tone signal prior to receiving all tone reflections for the first tone signal. (44) In other embodiments, the transmitter module **46** in the primary node **14** may include a first tone signal into the downstream modulated optical signal at a first frequency and the transmitter module in the secondary node **30** may include a second tone signal into the upstream modulated optical signal at a second frequency such that the first frequency and the second frequency do not overlap one another. In this manner, the transmitter module **46** of the primary node **14** may transmit the first tone signal and received first tone reflections on the upstream modulated optical signal without interfering with the second tone signal on the upstream modulated optical signal and second tone reflections on the downstream modulated optical signal received by the secondary node **30**.

- (45) Referring now to FIG. **2**, shown therein is a diagram of an exemplary embodiment of the transmitter module **46** constructed in accordance with the present disclosure. The transmitter module **43** generally includes a transmitter DSP (TX DSP) **100** and a D/A and optics block **104**. In one embodiment, each input to the DSP **100**, such as the inputs to FEC encoders **1002** described below (see FIG. **3**), receives user data.
- (46) In one embodiment, the DSP **100** may supply a plurality of outputs to D/A and optics block **104** including digital-to-analog conversion (DAC) circuits **108-1** to **108-4**, which convert a digital signal received from the DSP **100** into corresponding analog signals. D/A and optics block **104** also includes driver circuits **112-1** to **112-2** that receive the analog signals from DACs **108-1** to **108-4** and adjust the voltages or other characteristics thereof to provide drive signals to a corresponding one of modulators **116-1** to **116-4**
- (47) D/A and optics block **104** further includes modulators **116-1** to **116-4**, each of which may be, for example, a Mach-Zehnder modulator (MZM) that modulates the phase and/or amplitude of the light output from laser **120**. As further shown in FIG. **2**, light output from laser **120** is split such that a first portion of the light is supplied to a first MZM pairing, including MZMs **116-1** and **116-2**, and a second portion of the light is supplied to a second MZM pairing, including MZMs **116-3** and **116-4**. The first portion of the light is split further into third and fourth portions, such that the third portion is modulated by MZM **116-1** to provide an in-phase (I) component of an X (or TE) polarization component of a modulated optical signal, and the fourth portion is modulated by MZM **116-2** and fed to phase shifter **124-1** to shift the phase of such light by 90 degrees in order to provide a quadrature (Q) component of the X polarization component of the modulated optical signal. Similarly, the second portion of the light is further split into fifth and sixth portions, such that the fifth portion is modulated by MZM **116-3** to provide an I component of a Y (or TM) polarization component of the modulated optical signal, and the sixth portion is modulated by MZM **116-4** and fed to phase shifter **124-2** to shift the phase of such light by 90 degrees to provide a Q component of the Y polarization component of the modulated optical signal.
- (48) The optical outputs of MZMs **116-1** and **116-2** are combined to provide an X polarized optical signal including I and Q components and are fed to a polarization beam combiner (PBC) **128**. In addition, the outputs of MZMs **116-3** and **116-4** are combined to provide an optical signal that is fed to polarization rotator **132**, that rotates the polarization of such optical signal to provide a modulated optical signal having a Y (or TM) polarization. The Y polarized modulated optical signal also is provided to PBC **128**, which combines the X and Y polarized modulated optical signals to provide a polarization multiplexed ("dual-pol") modulated optical signal onto optical fiber **22**, for example optical fiber **22-1**, which may be included as a segment of optical fiber in optical communication path **22**.
- (49) The polarization multiplexed optical signal output from D/A and optics block **104** includes subcarriers SC**0**-SC**8** noted described below, such that each subcarrier has X and Y polarization components and I and Q components. Moreover, the polarization multiplexed optical signal output from the D/A and optics block **104** may further include the tone signal having an initial polarization described in more detail below with respect to FIG. **4**.
- (50) Referring now to FIG. **3**, shown therein is a diagram of an exemplary embodiment of the DSP **100** of FIG. **2** shown in greater detail and constructed in accordance with the present disclosure. The TX DSP **100** may include FEC encoders **142-0** to **142-8**. The FEC encoders **142-0** to **142-8** carry out forward error correction coding on a corresponding one of the switch outputs, such as, by adding parity bits to the received data. FEC encoders **142-0** to **142-8** may also provide timing skew between the subcarriers to correct for skew induced by link between nodes **14**, **30**. In addition, FEC encoders **142-0** to **142-8** may interleave the received data.
- (51) Each of FEC encoders **142-0** to **142-8** provides an output to a corresponding one of a plurality of bits-to-symbol circuits, **144-0** to **144-8** (collectively referred to herein as "**144**"). Each of bits-to-symbol circuits **144** may map the encoded bits to symbols on a complex plane. For example, bits-

- to-symbol circuits **144** may map four bits to a symbol in a dual-polarization QPSK constellation. Each of bits-to-symbol circuits **144** provides first symbols, having the complex representation XI+j\*XQ, associated with a respective one of the switch outputs, such as D-**0**, to DSP portion **143**. Data indicative of such first symbols is carried by the X polarization component of each subcarrier SC**0**-SC**7**.
- (52) Each of bits-to-symbol circuits **144** further may provide second symbols having the complex representation YI+j\*YQ. Data indicative of such second symbols, however, is carried by the Y polarization component of each subcarrier SC-**0** to SC-**7**.
- (53) Such mapping, as carried by about circuit **144-0** to **144-8** defines, in one example, a particular modulation format for each subcarrier. That is, such circuit may define a mapping for all the optical subcarrier that is indicative of a binary phase shift keying (BPSK) modulation format, a quadrature phase shift keying (QPSK) modulation format, or an m-quadrature amplitude modulation (QAM, where m is a positive integer, e.g., 4, 8, 16, 32, 64, 128 or 256, for example) format. In another example, one or more of the optical subcarriers may have a modulation format that is different than the modulation format of other optical subcarriers. That is, one of the optical subcarriers have a QPSK modulation format and another optical subcarrier has a different modulation format, such as 8-QAM or 16-QAM. In another example, one of the optical subcarriers has an 8-QAM modulation format and another optical subcarrier has a 16 QAM modulation format. Accordingly, although all the optical subcarriers may carry data at the same data and or baud rate, consistent with an aspect of the present disclosure one or more of the optical subcarriers may carry data at a different data or baud rate than one or more of the other optical subcarriers. Moreover, modulation formats, baud rates and data rates may be changed over time depending on capacity requirements, for example. Adjusting such parameters may be achieved, for example, by applying appropriate signals to mappers **144** based on control information or data described herein and the communication of such data as further disclosed herein between hub and leaf nodes.
- (54) As further shown in FIG. **3**, each of the first symbols output from each of bits-to-symbol circuits **144** is supplied to a respective one of first overlap and save buffers **145-0** to **145-8** (collectively referred to herein as overlap and save buffers **145**) that may buffer 256 symbols, for example. Each of overlap and save buffers **145** may receive **128** of the first symbols or another number of such symbols at a time from a corresponding one of bits to symbol circuits **144**. Thus, overlap and save buffers **145** may combine 128 new symbols from bits to symbol circuits **145**, with the previous 128 symbols received from bits to symbol circuits **145**.
- (55) Each overlap and save buffer **145** supplies an output, which is in the time domain, to a corresponding one of fast Fourier Transform (FFT) circuits **146-0** to **146-8** (collectively referred to as "FFTs **146**"). In one example, the output includes 256 symbols or another number of symbols. Each of FFTs **146** converts the received symbols to the frequency domain using or based on, for example, a fast Fourier transform. Each of FFTs **146** may provide the frequency domain data to bins and switches blocks **161-0** to **161-8**. As discussed in greater detail below, bins and switches blocks **161** include, for example, memories or registers, also referred to as frequency bins (FB) or points, that store frequency components associated with each subcarrier SC and the tone signal. (56) The bins and switches blocks **161** may be configured to supply the outputs of FFTs **146**, i.e., frequency domain data FD, to corresponding frequency bins FB. Further processing of the contents of frequency bins FB by replicator components **147** and other circuits in the TX DSP **100** result in drive signals supplied to modulators **116**, whereby, based on such drive signals, optical subcarriers are generated that correspond to the frequency bin groupings associated with that subcarrier and the tone signal.
- (57) In the example discussed above, the bins and switches blocks **161** supply frequency domain data FD**0-0** to FD-n from FFT **146-0** to a respective one of frequency bins FB**0-0** to FB**0**-*n* for further processing, as described in greater detail below.
- (58) Each of replicator components or circuits **147-0** to **147-8** may replicate the contents of the

- frequency bins FB and store such contents (e.g., for T/2 based filtering of the subcarrier or tone signal) in a respective one of the plurality of replicator components. Such replication may increase the sample rate. In addition, replicator components or circuits **147-0** to **147-8** may arrange or align the contents of the frequency bins to fall within the bandwidths associated with pulse shaped filter circuits **148-0** to **148-19** described below.
- (59) Each pulse shape filter circuit **148-0** to **148-8** may apply a pulse shaping filter to the data stored in the **512** frequency bins of a respective one of the plurality of replicator components or circuits **147-0** to **147-8** to thereby provide a respective one of a plurality of filtered outputs, which are multiplexed and subject to an inverse FFT, as described below. Pulse shape filter circuits **148-1** to **148-8** calculate the transitions between the symbols and the desired subcarrier spectrum so that the subcarriers can be packed together spectrally for transmission, e.g., with a close frequency separation. Pulse shape filter circuits **148-0** to **148-8** also may be used to introduce timing skew between the subcarriers to correct for timing skew induced by links between nodes shown in FIG. **1**, for example. Multiplexer component **149**, which may include a multiplexer circuit or memory, may receive the filtered outputs from pulse shape filter circuits **148-0** to **148-8**, and multiplex or combine such outputs together to form an element vector. In one embodiment, at least one of the pulse shape filter circuits **148-0** to **148-8** may introduce a timing skew to separate the tone signal from the subcarriers such that the tone signal is separated from, and thus less likely to interfere with, the subcarriers.
- (60) Next, IFFT circuit or component **150-1** may receive the element vector and provide a corresponding time domain signal or data based on an inverse fast Fourier transform (IFFT). In one example, the time domain signal may have a rate of 64 GSample/s. Take last buffer or memory circuit **151-1**, for example, may select the last 1024 samples, or another number of samples, from an output of IFFT component or circuit **150-1** and supply the samples to DACs **108-1** and **108-2** (see FIG. **2**) at 64 GSample/s, for example. As noted above, DAC **108-1** is associated with the inphase (I) component of the X pol signal, and DAC **108-2** is associated with the quadrature (Q) component of the Y pol signal. Accordingly, consistent with the complex representation XI+jXQ, DAC **108-1** receives values associated with XI and DAC **108-2** receives values associated with jXQ. As indicated by FIG. **2**, based on these inputs, DACs **108-1** and **108-2** provide analog outputs to MZMD **112-1** and MZMD **112-2**, respectively, as discussed above.
- (61) As further shown in FIG. **3**, each of bits-to-symbol circuits **144-0** to **144-8** outputs a corresponding one of symbols indicative of data carried by the Y polarization component of the polarization multiplexed modulated optical signal output on fiber **22**. As further noted above, these symbols may have the complex representation YI+j\*YQ. Each such symbol may be processed by a respective one of overlap and save buffers **155-0** to **155-8**, a respective one of FFT circuits **156-0** to **156-8**, a respective one of replicator components or circuits **157-0** to **157-8**, pulse shape filter circuits **158-0** to **158-8**, multiplexer or memory **189**, IFFT **150-2**, and take last buffer or memory circuit **151-2**, to provide processed symbols having the representation YI+j\*YQ in a manner similar to or the same as that discussed above in generating processed symbols XI+j\*XQ output from take last circuit **151-1**. In addition, symbol components YI and YQ are provided to DACs **108-3** and **108-4** (FIG. **2**), respectively. Based on these inputs, DACs **108-3** and **108-4** provide analog outputs to MZMD **112-3** and MZMD **112-4**, respectively, as discussed above.
- (62) While FIG. **3** shows the TX DSP **100** as including a particular number and arrangement of functional components, in some implementations, the TX DSP **100** may include additional functional components, fewer functional components, different functional components, or differently arranged functional components. In addition, typically the number of overlap and save buffers, FFTs, replicator circuits, and pulse shape filters associated with the X component may be equal to the number of switch outputs, and the number of such circuits associated with the Y component may also be equal to the number of switch outputs. However, in other examples, the number of switch outputs may be different from the number of these circuits.

- (63) As noted above, based on the outputs of MZMDs **112-1** to **112-4**, a plurality of optical subcarriers SC**0** to SC**7** may be output onto optical fiber **22** (FIG. **2**), which is coupled to the primary node **14**.
- (64) Consistent with an aspect of the present disclosure, the number of subcarriers transmitted from the primary node **14** to the secondary node **30** may vary over time based, for example, on capacity requirements at the primary node **14** and the secondary node **30**. For example, if less downstream capacity is required initially at one or more of the secondary nodes **30**, transmitter module **46** in primary node **14** may be configured to output fewer optical subcarriers. On the other hand, if further capacity is required later, transmitter module **46** may provide more optical subcarriers. (65) In addition, if based on changing capacity requirements, a particular secondary node **30** needs to be adjusted, for example, the output capacity of such secondary node **30** may be increased or decreased by, in a corresponding manner, increasing or decreasing the number of optical subcarriers output from the secondary node.
- (66) As noted above, by storing and subsequently processing zeros (0s) or other predetermined values in frequency bin FB groupings associated with a given subcarrier SC, that subcarrier may be removed or eliminated. To add or reinstate such subcarrier, frequency domain data output from the FFTs **146** may be stored in frequency bins FB and subsequently processed to provide the corresponding subcarrier. Thus, subcarriers may be selectively added or removed from the optical outputs of primary node 14 transmitter module 46 and secondary node 30 transmitter module, such that the number of subcarriers output from such transmitters **30** may be varied, as desired. (67) In the above example, zeros (0s) or other predetermined values are stored in selected frequency bins FBs to prevent transmission of a particular subcarrier SC. Such zeroes or values may, instead, be provided, for example, in a manner similar to that described above, at the outputs of corresponding replicator components **147** or stored in corresponding locations in memory or multiplexer **149**. Alternatively, the zeroes or values noted above may be provided, for example, in a manner similar to that described above, at corresponding outputs of pulse shape filters **148**. (68) In a further example, a corresponding one of pulse shape filters **148-1** to **148-8** may selectively generate zeroes or predetermined values that, when further processed, also cause one or more subcarriers SC to be omitted from the output of either primary node transmitter module 46 or secondary node transmitter. In particular, pulse shape filters **148-0** to **148-8** may include groups of multiplier circuits M. Multiplier circuit M constitutes part of a corresponding butterfly filter. In addition, each multiplier circuit grouping is associated with a corresponding one of subcarriers SC. (69) Each multiplier circuit M receives a corresponding one of output groupings RD from replicator components **147**. In order to remove or eliminate one of subcarriers SC, multiplier circuits M receiving the outputs within a particular grouping associated with that subcarrier multiply such outputs by zero (0), such that each multiplier M within that group generates a product equal to zero (0). The zero products then are subject to further processing similar to that described above to provide drive signals to modulators 112 that result in a corresponding subcarrier SC being omitted from the output of the transmitter module **46**.
- (70) On the other hand, in order to provide a subcarrier SC, each of the multiplier circuits M within a particular groping may multiply a corresponding one of replicator outputs RD by a respective one of coefficients C, which results in at least some non-zero products being output. Based on the products output from the corresponding multiplier grouping, drive signals are provided to modulators **112** to output the desired subcarrier SC from the transmitter module **46**.
- (71) Accordingly, for example, in order to block or eliminate subcarrier SC**0**, each of multiplier circuits M (associated with subcarrier SC**0**) multiplies a respective one of replicator outputs RD by zero (0). Each such multiplier circuit, therefore, provides a product equal to zero, which is further processed, as noted above, such that resulting drive signals cause modulators **112** to provide an optical output without SC**0**. In order to reinstate SC**0**, multiplier circuits M multiply a corresponding one of appropriate coefficients C by a respective one of replicator outputs RD to

provide products, at least some of which are non-zero. Based on these products, as noted above, modulator drive signals are generated that result in subcarrier SC**0** being output.

- (72) The above examples are described in connection with generating or removing the X component of a subcarrier SC. The processes and circuitry described above is employed or included in the TX DSP **100** and optical circuitry used to generate the Y component of the subcarrier to be blocked. For example, switches and bins circuit blocks **162-0** to **162-8**, have a similar structure and operate in a similar manner as switches and bins circuit blocks **161** described above to provide zeroes or frequency domain data as the case may be to selectively block the Y component of one or more subcarriers SC. Alternatively, multiplier circuits, like those described above may be provided to supply zero products output from selected pulse shape filters **158** in order to block the Y component of a particular subcarrier or, if non-zero coefficients are provided to the multiplier circuits instead, generate the subcarrier.
- (73) Thus, the above examples illustrate mechanisms by which subcarriers SC may be selectively blocked from or added to the output of the transmitter module **46**. Since, as discussed below, DSPs and optical circuitry provided in the secondary node **30** transmitter module are similar to that of primary node **14** transmitter module **46**, the processes and circuitry described above is provided, for example, in the secondary node transmitter module to selectively add and remove subcarriers SC from the outputs of the secondary node transmitter modules.
- (74) As described above in more detail, the transmitter module **46** may thus include a tone signal outside the bandwidth of the subcarriers into the optical signal transmitted from the primary node **14**. The tone signal, therefore, has an initial frequency, initial power, and initial polarization that is known at the time the optical signal is transmitted. Additionally, the tone signal may be associated with an initial time at which the tone signal is first transmitted. In some embodiments, the tone signal is not modulated with any data.
- (75) In one embodiment, the tone signal is modulated. In some embodiments, the modulated tone signal may include data, for example, tone signal initial properties such as the initial frequency, initial power, and initial polarization (e.g., initial state of polarization) known at the time the optical signal is transmitted. In this way, in some embodiments, the secondary node **30** may receive information regarding the primary node **14**.
- (76) Optical signals including optical subcarriers SC**0** to SC**7** and a tone signal reflection may be provided from the secondary node **30** to the primary node **14** in FIG. **1**. An example of receiver module **50** in the primary node **14** will be described below with reference to FIG. **7**.
- (77) Referring now to FIG. **4**, shown therein is a diagram of an exemplary embodiment of a plurality of subcarriers, SC**0** to SC**7** that may be output by the transmitter module **46** of a transceiver **18** consistent with an aspect of the present disclosure. Each of subcarriers SC**0** to SC**7** may have a corresponding one of a plurality of frequencies f**0** to f**7**. In addition, each of subcarriers SC**0** to SC**7** may be a Nyquist subcarrier. A Nyquist subcarrier is a group of optical signals, each carrying data, wherein (i) the spectrum of each such optical signal within the group is sufficiently non-overlapping such that the optical signals remain distinguishable from each other in the frequency domain, and (ii) such group of optical signals is generated by modulation of light from a single laser. In general, each subcarrier SC may have an optical spectral bandwidth that is at least equal to the Nyquist frequency, as determined by the baud rate of such subcarrier.
- (78) As discussed in greater detail above, optical subcarriers SC**0** to SC**7** are generated by modulating light output from a laser. The frequency of such laser output light is f' and is typically a center frequency such that half the subcarrier subcarriers, e.g., f**4** to f**7**, are above f' and half the subcarrier frequencies, e.g., f**0** to f**3**, are below f.
- (79) Further shown in FIG. **4** is the tone signal **180**. The tone signal **180** may be generated, for example by one or more of the TX DSP **100** sending a signal to the DAC **108** to cause the MZMD **112** to generate a signal corresponding to the tine signal **180** to be generated by the MZM **116**. In one embodiment, the tone signal **180** is generated at a tone frequency **f8** outside the carrier

- bandwidth between f**0**-f**7** as shown in FIG. **4**. It should be noted that while the tone signal **180** is shown at a frequency greater than the carrier bandwidth, e.g., greater than f**7**, in other embodiments, the tone signal **180** could be generated such that the tone signal **180** is at a tone frequency f**8** outside the carrier bandwidth but less than the frequency for SC**0** at f**0**. The tone signal, as shown in FIG. **4**, has an initial frequency, initial power, and initial polarization that is known at the time the optical signal is transmitted.
- (80) In one embodiment, the tone frequency f**8** of the tone signal **180** is generated at a frequency more than 500 MHz outside the carrier bandwidth, e.g., at a frequency at least 500 MHz greater than f**7** or at least 500 MHz less than f**0**.
- (81) In one embodiment, the tone signal **180** is one or more of a pulsed signal (e.g., a signal transmitted periodically in predetermined intervals), a static signal (e.g., a signal that is continuously transmitted or inserted into the optical signal), or a per pol signal (e.g., a signal consisting of only one polarization). In one embodiment, the tone signal **180** is frequency hopping. When the tone signal **180** is pulsed, the tone signal **180** may be included with the optical signal at a first instant of time and at a second instant of time such that the tone signal **180** pulsed at the second instant of time does not interfere with the tone signal **180** pulsed at the first instant of time. (82) In one embodiment, the tone signal **180** has a pulse width selected based on a desired spatial resolution. In some embodiments, the tone signal **180** has a bandwidth of 1 GHz.
- (83) In one embodiment, the tone signal **180** has a tone frequency f**8** between two subcarriers, such as between SC**0** and SC**1**, for example, such that the tone frequency f**8** may be intermediate f**0** and f**1**, but outside the subcarriers SC**0** and SC**1**. Similarly, the tone signal **180** may have a tone frequency f**8** between any two, adjacent subcarriers. In this way. The tone signal **180** may be included into the optical signal without interfering with data carried on the subcarriers SC**0**-SC**7**.
- (84) In one embodiment, more than one tone signal **180** may be included in the optical signal. For example, a first tone signal may be included between f**0** and f**1** while a second tone signal may be included at f**8**. In one embodiment, a tone signal **180** may be included at a tone frequency less than f**0**, greater than f**7**, and between each subcarrier.
- (85) Referring now to FIGS. **5**A-**5**D in combination, shown therein is the optical communication system **10** of FIG. **1** at different instants of time **200** depicting one or more of a tone signal **204**, a tone signal location **208**, a tone reflection **212**, a tone reflection location **216**, and a time-series graph **220** of the tone reflection **212**.
- (86) Referring now to FIG. **5**A, shown therein is the optical communication system **10** of FIG. **1** at a first instant of time **200-1**. As shown, at the first instant of time **200-1**, the tone signal **204** has a tone signal location **208-1** of the primary node **14**. The tone signal **204** has already passed through the optical loopback **58** resulting in a first tone reflection **212-1** traveling towards the polarimeter **60** and with a tone reflection location **216-1** between the optical loopback **58** and the narrowband filter **62**. The first tone reflection **212-1** passes through the narrowband filter **62** and is received by the polarimeter **60**. In response to receive the first tone reflection **212-1**, the polarimeter **60** stores data indicate of an initial timing within the time-series graph **220**.
- (87) Referring now to FIG. **5**B, shown therein is the optical communication system **10** of FIG. **1** at a second instant of time **200-2**. As shown, at the second instant of time **200-2**, the tone signal **204** has a tone signal location **208-2** exiting the in-line node **26***a*. At this second instant of time **200-2**, the tone signal **204** has already passed the high loss loopback **38** resulting in a second tone reflection **212-2**. At the second instant of time **200-2**, the first tone reflection **212-1** has already passed through the narrowband filter **62** and the polarimeter **60** where the polarimeter has determined a first polarization of the first tone reflection **212-1** as shown in time-series graph **220-1**.
- (88) In one embodiment, the primary node **14** further includes a processor **390** in communication with the polarimeter **60** and a memory **392**. The memory **392** is a non-transitory, computer readable memory which may store computer executable instructions and/or a database. In one embodiment,

the processor **390** is in communication with and may receive from the polarimeter **60** an indication of the polarization of the first tone reflection **212-1**. In one embodiment, the memory **392** stores computer executable instructions that when executed by the processor, causes the processor to communicate and/or exchange data with one or more of the polarimeter **60**, the transmitter module **46**, and/or the receiver module **50** and to further implement the functions described below in more detail.

- (89) In one embodiment, the processor **390**, in communication with the polarimeter **60**, determines the polarization of the first tone reflection **212-1**, and stores the determined polarization in the memory **392**. In some embodiments, the determined polarization may be stored in a database or other computer readable structure in the memory **392**, whereas in other embodiments, the determined polarization may be stored in a data buffer or, in some embodiments, sent via a network element (e.g., network element **394**) to another processor or computer. The processor **390**, the memory **392** and/or the network element **394** may be referred to collectively as a polarization circuit.
- (90) In one embodiment, the processor **390** stores the determined polarization with one or more additional tone reflection information. The one or more tone reflection information may include, for example, a timestamp indicative of a time at which the polarimeter **60** received the first tone reflection **212-1**, a time-delta indicative of a difference in time between a time at which the polarimeter **60** received the first tone reflection **212-1** from the optical loopback **58** (i.e., for the first tone reflection **212-1**, the time-delta would be 0) and/or a time at which the polarimeter **60** received the first tone reflection **212-1**.
- (91) In some embodiments, the secondary node **30** further includes the narrowband filter **62**, the polarimeter **60**, the processor **390**, the memory **392**, and/or, optionally, the network element **394**, each of which is similar to and operates in accordance with the same components described in relation to the primary node 14. In some embodiments, the processor 390 in the primary node 14 may be in communication with the processor **390** in the secondary node **30** to jointly process the determined polarization and/or one or more additional tone reflection information in optical signals in both the upstream and the downstream directions. In this way, a precision and/or quality of the determined polarization and/or the one or more additional tone reflection information may be improved to better locate environmental disturbances on the optical communication system 10. (92) Referring now to FIG. **5**C, shown therein is the optical communication system **10** of FIG. **1** at a third instant of time **200-3**. As shown, at the third instant of time **200-3**, the tone signal **204** has a tone signal location **208-3** of exiting the in-line node **26***n*. At this third instant of time **200-3**, the tone signal **204** has already passed the high loss loopback **38** of the in-line node **26***n*, resulting in a third tone reflection **212-3** heading upstream towards the primary node **14**. At the third instant of time **200-3**, the first tone reflection **212-1** and the second tone reflection **212-2** have already passed through the narrowband filter **62** and the polarimeter **60** where the polarimeter has determined a second polarization of the second tone reflection **212-2** as shown in time-series graph **220-2**. (93) In one embodiment, the processor **390** is in communication with and may receive from the polarimeter **60** an indication of the polarization of the second tone reflection **212-2**. The processor **390**, in communication with the polarimeter **60**, determines the polarization of the second tone reflection **212-2** and stores the polarization in the memory **392** as described above in more detail. (94) In one embodiment, the processor **390** stores the polarization with one or more additional tone reflection information. The one or more tone reflection information may include, for example, a timestamp indicative of a time at which the polarimeter 60 received the second tone reflection 212-2 and/or a time-delta indicative of a difference in time between a time at which the polarimeter 60 received the first tone reflection 212-1 and the second tone reflection 212-2.
- (95) In one embodiment, the processor **390** may store the polarization with the one or more additional tone reflection information for the second tone reflection **212-2** wherein the one or more additional tone reflection information may include a distance and/or a particular optical fiber link

- **22** determined as described below in relation to FIG. **6**.
- (96) In one embodiment, the processor **390**, having received the polarization for the first tone reflection **212-1** and the second tone reflection **212-2**, may determine a state of polarization (SOP) variation of the optical fiber link **22-1** and/or the optical fiber link **22-2**. The SOP variation, S.sup.n', may be determined by finding a difference in the SOP between the primary node **14** loopback **58** and the in-line node **26***a*, i.e., with the formula

loopback **58** and the in-line node **26***a*, i.e., with the formula (97) 
$$S^{n'} = \left(\frac{S_1^n}{S_0^n} * \frac{S_2^n}{S_0^n} * \frac{S_3^n}{S_0^n}\right) - \left(\frac{S_1^{n-1}}{S_0^{n-1}} * \frac{S_2^{n-1}}{S_0^{n-1}} * \frac{S_3^{n-1}}{S_0^{n-1}}\right),$$

- where S.sub.0, S.sub.1, S.sub.2, and S.sub.3 are the elements of the Stokes vector and n is an ordinal for the tone reflection **212**, e.g., for the first tone reflection **212-1**, n=1, for the second tone reflection **212-2**, n=2, etc.
- (98) Referring now to FIG. **5**D, shown therein is the optical communication system **10** of FIG. **1** at a fourth instant of time **200-4**. As shown, at the fourth instant of time **200-4**, the tone signal **204** has been received by the secondary node **30**. At the fourth instant of time **200-4**, the third tone reflection **212-3** has passed through the narrowband filter **62** and the polarimeter **60** where the polarimeter has determined a third polarization of the third tone reflection **212-3** as shown in timeseries graph **220-3**.
- (99) In one embodiment, the processor **390** is in communication with and may receive from the polarimeter **60** an indication of the polarization of the third tone reflection **212-3**. The processor **390**, in communication with the polarimeter **60**, determines the polarization of the third tone reflection **212-3** and stores the polarization in the memory **392** as described above in more detail. (100) In one embodiment, the processor **390** stores the polarization with one or more additional tone reflection information. The one or more tone reflection information may include, for example, a timestamp indicative of a time at which the polarimeter **60** received the third tone reflection **212-3** and/or a time-delta indicative of a difference in time between a time at which the polarimeter **60** received the first tone reflection **212-1** or the second tone reflection **212-2** and the time at which the polarimeter **60** received the third tone reflection **212-3**. In other words, the time-delta may be either a time difference between the first tone reflection **212-1** and any other tone reflection **212** or may be a time difference between a particular tone reflection **212** and a subsequent tone reflection **212**. In each of the above cases, the processor **390** can determine a time traveled by each tone reflection **212** to determine a particular distance traveled by each tone reflection **212** as described below in reference to FIG. **6**.
- (101) In one embodiment, the processor **390** may store the polarization with the one or more additional tone reflection information for the third tone reflection **212-3** wherein the one or more additional tone reflection information may include a distance and/or a particular optical fiber link **22** determined as described below in relation to FIG. **6**.
- (102) In one embodiment, the processor **390** stores the polarization with the one or more additional tone reflection information for each tone reflection **212** received for a particular tone signal **204** sent. For example, the processor **390** stores the polarization with the one or more additional tone reflection information for the first tone reflection **212-1** caused by the optical loopback **58** and for each tone reflection **212** caused by each in-line node **26***a-n* between the primary node **14** and the secondary node **30**.
- (103) In one embodiment, the processor **390** stores the SOP variation with the one or more additional tone reflection information for each tone reflection **212** received for a particular tone signal **204** sent. In one embodiment, the processor **390** may store the SOP variation, a timestamp (or any other indication of a time, such as a time delta), a first loopback location and a second loopback location. For example, from the SOP variation example above in reference to FIG. **5**C, the processor **390** may store the SOP variation S.sup.2', as the SOP variation and an indication that the SOP variation is specific for the SOP variation between the primary node **14** and the in-line node **26***a* along optical fiber link **22-1** and optical fiber link **22-2**. In one embodiment, the

- indication that the SOP variation is specific for the SOP variation the primary node **14** and the inline node **26***a* may include an indication of the optical fiber links **22** between the primary node **14** and the in-line node **26***a*, i.e., the optical fiber links **22-1** and **22-2**, or the indication may include an identifier of a location of the optical loopback resulting in the tone reflections **212-1** and **212-2**, e.g., an identifier of the primary node **14** and the in-line node **26***a*.
- (104) In one embodiment, the processor **390** is further in communication with the transmitter module **46** and, once the processor **390** has stored the polarization with the one or more additional tone reflection information for a last tone reflection **212**, e.g., the third tone reflection **212-3** shown in FIGS. **5**A-D, the processor **390** sends a signal to the transmitter module **46** to cause the transmitter module **46** to send a second tone signal.
- (105) In one embodiment, the second tone signal is sent from the transmitter module **46** before the last tone reflection **212** is received by the primary node **14**. In one embodiment, a second tone signal is sent from the transmitter module **46** before the first tone reflection **212** is received by the primary node **14**.
- (106) It should be understood that while only three tone reflections **212** are described in relation to FIGS. **5**A-D, the number of tone reflections in the optical communication system **10** may be at least equal to one plus the number on in-line nodes **26***a*-*n* between the primary node **14** and the secondary node **30**.
- (107) Additionally, while FIGS. **5**A-D illustrates only one tone reflection **212** in the optical communication system **10** at each instant of time **200** for simplicity, any number of tone reflections **212** may be present in the optical communication system **10** at each instant of time **200**. In one embodiment, the number of tone reflections **212** present in the optical communication system **10** at any instant of time **200** may be dependent on a number of in-line nodes **26** present in the optical communication system **10** and a distance of each optical fiber link **22** between each in-line node **26**.
- (108) As shown in reference to FIGS. **5**A-D, in this way, the primary node **14** can receive each tone reflection **212** caused by the tone signal **204** being reflected upstream at each in-line node **26***a*-*n* by the high loss loopback **38**.
- (109) Referring now to FIG. **6**, shown therein is a diagram of an exemplary embodiment of a subsea optical communication system **240** constructed in accordance with the present disclosure. The subsea optical communication system **240** may be constructed similar to the optical communication system **10** of FIG. **1**. The subsea optical communication system **240** illustrates the primary node **14** and the secondary node **30** being on land **244** while the inline nodes **26**, shown as the inline node **26***a* and the inline node **26***a*, are illustrated as being submerged under water **248**. Each inline node **26***a*-*n* is shown to have a known geographic location **252**, e.g., a known location **252***a* and a known location **252***n*. The known geographic location can be expressed in latitude/longitude. Moreover, each node, e.g., the primary node **14** and the secondary node **30** have a known location **256** and a known location **260**, respectively. Therefore, each optical fiber link **22** has a known length.
- (110) Therefore, when a tone signal **200** is transmitted downstream from the primary node **14** towards the secondary node **30**, thus generating the tone reflection at the primary node **14** and each of the inline nodes **26**, the primary node **14** can measure a duration between each instant of time **200** to determine a distance traveled for each tone reflection **212**. In this way, any change in polarization between the initial polarization of the tone signal and the polarization of each tone reflection can be correlated to a particular distance travelled and thus, to a particular optical fiber link **22** over which the polarization changed.
- (111) Referring now to FIG. **7**, shown therein is an optical receiver module **50** including an Rx optics and A/D block **304**, which, in conjunction with an Rx DSP **308**, may carry out coherent detection. Rx optics and A/D block **304** may include a polarization splitter (PBS) **312** with first and second outputs), a local oscillator (LO) laser **316**, 90 degree optical hybrids or mixers **320-1** and

**330-1** and **330-2** (referred to generally as detectors **330** and individually as detector **330**, each including either a single photodiode or balanced photodiode), AC coupling capacitors **332-1** and 332-2, transimpedance amplifiers/automatic gain control circuits TIA/AGC 334-1 and 334-2, ADCs **340-1** and **340-2** (referred to generally as ADCs **340** and individually as ADC **340**). (112) Polarization beam splitter (PBS) 312 may include a polarization splitter that receives an input polarization multiplexed optical signal including optical subcarriers SC**0** to SC**7** and the tone signal supplied by optical fiber link 22, which may be, for example. PBS 312 may split the incoming optical signal into the two X and Y orthogonal polarization components. The Y component may be supplied to a polarization rotator **306** that rotates the polarization of the Y component to have the X polarization. Hybrid mixers **320** may combine the X and rotated Y polarization components with light from local oscillator laser **310**, which, in one example, is a tunable laser. For example, hybrid mixer **320-1** may combine a first polarization signal (e.g., the component of the incoming optical signal having a first or X (TE) polarization output from a first PBS port with light from local oscillator 310, and hybrid mixer 320-2 may combine the rotated polarization signal (e.g., the component of the incoming optical signal having a second or Y (TM) polarization output from a second PBS port) with the light from local oscillator **310**. In one example, polarization rotator **306** may be provided at the PBS output to rotate Y component polarization to have the X polarization. (113) Detectors **330** may detect mixing products output from the optical hybrids, to form corresponding voltage signals, which are subject to AC coupling by capacitors 332-1 and 332-1, as well as amplification and gain control by TIA/AGCs 334-1 and 334-2. The outputs of TIA/AGCs **334-1** and **334-2** and ADCs **340** may convert the voltage signals to digital samples. For example, two detectors (e.g., photodiodes) **330-1** may detect the X polarization signals to form the corresponding voltage signals, and a corresponding two ADCs **340-1** may convert the voltage signals to digital samples for the first polarization signals after amplification, gain control and AC coupling. Similarly, two detectors **330-2** may detect the rotated Y polarization signals to form the corresponding voltage signals, and a corresponding two ADCs **340-2** may convert the voltage signals to digital samples for the second polarization signals after amplification, gain control and AC coupling. RX DSP 308 may process the digital samples associated with the X and Y polarization components to output data associated with one or more subcarriers within a group of subcarriers SC0 to SC7 encompassed by the bandwidth associated with the secondary node housing the particular DSP **308**. (114) While FIG. 7 shows optical receiver module **50** as including a particular number and arrangement of components, in some implementations, the receiver module **50** may include additional components, fewer components, different components, or differently arranged components. The number of detectors **330** and/or ADCs **340** may be selected to implement the

**320-2** (referred to generally as hybrid mixers **320** and individually as hybrid mixer **320**), detectors

receiver module **50** that is capable of receiving a polarization multiplexed signal. In some instances, one of the components illustrated in FIG. **7** may carry out a function described herein as being carry out by another one of the components illustrated in FIG. **8**. (115) Referring now to FIG. **8**, shown therein is an exemplary Rx DSP **308** constructed in accordance with the present disclosure. As noted above, analog-to-digital (A/D) circuits **340-1** and **340-2** (FIG. **7**) output digital samples corresponding to the analog inputs supplied thereto. In one example, the samples may be supplied by each A/D circuit at a rate of 64 GSamples/s. The digital samples correspond to symbols carried by the X polarization of the optical subcarriers and may be represented by the complex number XI+jXQ. The digital samples may be provided to overlap and save buffer **355-1**, as shown in FIG. **8**. FFT component or circuit **360-1** may receive the **2048** vector elements, for example, from the overlap and save buffer **355-1** and convert the vector elements to the frequency domain using, for example, a fast Fourier transform (FFT). The FFT component **360-1** may convert the 2048 vector elements to 2048 frequency components, each of which may be stored in a register or "bin" or other memory, as a result of carrying out the FFT.

- (116) The frequency components then may be demultiplexed by demultiplexer **361-1**, and groups of such components may be supplied to a respective one of chromatic dispersion equalizer circuits CDEQ **362-1-0** to **362-1-8**, each of which may include a finite impulse response (FIR) filter that corrects, offsets or reduces the effects of, or errors associated with, chromatic dispersion of the transmitted optical subcarriers. Each of CDEQ circuits **362-1-0** to **362-1-8** supplies an output to a corresponding polarization mode dispersion (PMD) equalizer circuit **365-0** to **365-8** (which individually or collectively may be referred to as **365**).
- (117) Digital samples output from A/D circuits **340-2** associated with Y polarization components of subcarrier SC1 may be processed in a similar manner to that of digital samples output from A/D circuits **340-1** and associated with the X polarization component of each subcarrier. Namely, overlap and save buffer **355-2**, FFT **360-2**, demultiplexer **361-2**, and CDEQ circuits **362-2-0** to **362-2-8** may have a similar structure and operate in a similar fashion as buffer **355-1**, FFT **360-1**, demultiplexer **361-1**, and CDEQ circuits **362-1-0** to **362-1-8**, respectively. For example, each of CDEQ circuits **362-2-0** to **362-8** may include an FIR filter that corrects, offsets, or reduces the effects of, or errors associated with, chromatic dispersion of the transmitted optical subcarriers. In addition, each of CDEQ circuits **362-2-0** to **362-2-8** provide an output to a corresponding one of PMDEQ **365-0** to **365-8**.
- (118) As further shown in FIG. **8**, the output of one of the CDEQ circuits, such as CDEQ **362-1-0** may be supplied to clock phase detector circuit **363** to determine a clock phase or clock timing associated with the received subcarriers. Such phase or timing information or data may be supplied to ADCs **340-1** and **340-2** to adjust or control the timing of the digital samples output from ADCs **340-1** and **340-2**. In one embodiment, phase or timing information or data may be supplied to a particular ADC **340** to adjust or control the timing of a digital sample output from the particular ADC **340** corresponding to the tone signal. In this way, the tone signal **204** may have a timing or phase that is different from subcarriers SC**0**-SC**7** and may be analyzed independently of the subcarriers SC**0**-SC**7**.
- (119) Each of PMDEQ circuits **365** may include another FIR filter that corrects, offsets or reduces the effects of, or errors associated with, PMD of the transmitted optical subcarriers. Each of PMDEQ circuits **365** may supply a first output to a respective one of IFFT components or circuits **370-0-1** to **370-8-1** and a second output to a respective one of IFFT components or circuits **370-0-2** to 370-8-2, each of which may convert a 256-element vector, in this example, back to the time domain as 256 samples in accordance with, for example, an inverse fast Fourier transform (IFFT). (120) In one embodiment, the Rx DSP **308** includes a PMDEQ **365** to determine the effects of, or errors associated with, the PMD of the transmitted tone signal. For example, the Rx DSP **308** may include the PMDEQ **365-8** for use to determine the PDM of the transmitted tone signal. (121) In one embodiment, as shown in FIG. **8**, the receiver module **50** further includes a processor **390** in communication with at least one component of the Rx DSP **308** and a memory **392**. The memory **392** is a non-transitory, computer readable memory which may store computer executable instructions and/or a database. In one embodiment, the processor 390 is in communication with one or more particular PMDEQ **365** associated with the tone signal and may receive from the particular PMDEQ **365** an indication the effects of or errors associated with PMD of the tone signal within the transmitted optical signal. The indication of the effects of or errors associated with the PMD of the tone signal may then be used to determine a polarization of the tone signal received by the receiver module **50**. It should be noted that, as used herein, references to the tone signal received by the receiver module include the tone reflection(s) or tone signal reflection(s) received by the receiver module. Generally, in the primary node **14**, the tone signal received by the receiver module **50** is the tone signal reflection or the tone reflection.
- (122) In one embodiment, the processor **390**, in communication with the PMDEQ **365**, determines the polarization of the tone signal and stores the determined polarization in the memory **392**. In some embodiments, the determined polarization may be stored in a database or other computer

- readable structure in the memory **392**, whereas in other embodiments, the determined polarization may be stored in a data buffer or, in some embodiments, sent via a network element (e.g., network element **394**) to another processor or computer.
- (123) In one embodiment, the processor **390** stores the determined polarization with one or more additional tone reflection information. The one or more tone reflection information may include, for example, a timestamp indicative of a time at which the receiver module **50** received the tone signal, a time-delta indicative of a difference in time between the initial time at which the receiver module **50** received the tone signal from the optical loopback **58** and a time at which the receiver module **50** received the tone reflection.
- (124) In one embodiment, the processor **390** stores the determined polarization with the one or more additional tone reflection information for each tone reflection received for a particular tone signal sent. For example, the processor **390** stores the determined polarization with the one or more additional tone reflection information for the tone reflection caused by the optical loopback **58** and for each tone reflection caused by each in-line node **26***a*-*n* between the primary node **14** and the secondary node **30**.
- (125) In one embodiment, the processor **390** is further in communication with the transmitter module **46** and, once the processor **390** has stored the determined polarization with the one or more additional tone reflection information for a last tone reflection, e.g., the tone reflection for the inline node **26***n*, send a signal to the transmitter module **46** to cause the transmitter module **46** to send a second tone signal.
- (126) In one embodiment, the processor **390** may store the determined polarization with the one or more additional tone reflection information for each tone reflection wherein the one or more additional tone reflection information may include a distance and/or a particular optical fiber link **22** determined as described above in relation to FIG. **6**.
- (127) Time domain signals or data output from IFFT **370-0-1** to **370-8-1** are supplied to a corresponding one of Xpol carrier phase correction circuits **374-1-1** to **374-8-1**, which may apply carrier recovery techniques to compensate for X polarization transmitter (e.g., laser **120**) and receiver (e.g., local oscillator laser **316**) linewidths. In some implementations, each carrier phase correction circuit **374-0-1** to **374-8-1** may compensate or correct for frequency and/or phase differences between the X polarization of the transmit signal and the X polarization of light from the local oscillator **316** based on an output of Xpol carrier recovery circuit **374-0-1**, which performs carrier recovery in connection with one of the subcarrier based on the outputs of IFFT **370-01**. After such X polarization carrier phase correction, the data associated with the X polarization component may be represented as symbols having the complex representation xi+j\*xq in a constellation, such as a QPSK constellation or a constellation associated with another modulation formation, such as an m-quadrature amplitude modulation (QAM), m being an integer. In some implementations, the taps of the FIR filter included in one or more of PMDEQ circuits **365** may be updated based on the output of at least one of carrier phase correction circuits **374-0-01** to **374-8-01**.
- (128) In a similar manner, time domain signals or data output from IFFT **370-0-2** to **370-8-2** are supplied to a corresponding one of Ypol carrier phase correction circuits **374-0-2** to **374-8-2**, which may compensate or correct for Y polarization transmitter (e.g., laser **120**) and receiver (e.g., local oscillator laser **316**) linewidths. In some implementations, each carrier phase correction circuit **374-0-2** to **374-8-2** also may correct or compensate for frequency and/or phase differences between the Y polarization of the transmit signal and the Y polarization of light from the local oscillator **316**. After such Y polarization carrier phase correction, the data associated with the Y polarization component may be represented as symbols having the complex representation yi+j\*yq in a constellation, such as a QPSK constellation or a constellation associated with another modulation formation, such as an m-quadrature amplitude modulation (QAM), m being an integer. In some implementations, the output of one of circuits **374-0-2** to **374-8-2** may be used to update the taps of

the FIR filter included in one or more of PMDEQ circuits **365** instead of, or in addition to, the output of at least one of the carrier recovery circuits **374-0-1** to **374-8-1**.

(129) In one embodiment, the processor **390** is in communication with one or more particular IFFT **370**-*n*-**1** corresponding to an X polarization of the tone signal and with one or more particular IFFT **370**-*n*-**2** corresponding to a Y polarization of the tone signal received by the receiver module **50**. The processor **390**, in communication with each particular IFFT **370**, may receive the X polarization phase correction and the Y polarization phase correction for the tone reflection. In one embodiment, the processor **390** processes the X polarization phase correction and the Y polarization phase correction for the tone reflection similar to the determined polarization as described above. For example, the processor **390** may store the X polarization phase correction and the Y polarization phase correction for the tone reflection in the memory **392**, e.g., along with the one or more additional tone reflection information, such as the timestamp and/or time-delta. (130) In one embodiment, the processor **390**, in communication with one or more particular IFFT **370**-*n*-**1** corresponding to an X polarization of the tone signal and with one or more particular IFFT **370**-*n*-**2** corresponding to a Y polarization of the tone signal received by the receiver module **50** or in communication with the PMDEQ 365 determines a SOP variation based on the polarization detected and/or the X polarization phase correction and the Y polarization phase correction for the tone reflection. The processor **390** may then store the SOP variation with one or more additional tone reflection information for each tone reflection received for a particular tone signal sent. In one embodiment, the processor **390** may store the SOP variation, a timestamp (or any other indication of a time, such as a time delta), a first loopback location and a second loopback location for each tone reflection received. In one embodiment, the SOP variation is correlated to a particular optical fiber link 22 or set of optical fiber links 22 between the primary node 14 and the secondary node 30 through which each tone reflection has passed through.

(131) As further shown in FIG. **8**, the output of carrier recovery circuits, e.g., carrier recovery circuit **374-0-1**, also may be supplied to carrier phase correction circuits **374-1-1** to **374-8-1** and **374-0-2** to **374-8-2**, whereby the phase correction circuits may determine or calculate a corrected carrier phase associated with each of the received subcarriers based on one of the recovered carriers, instead of providing multiple carrier recovery circuits, each of which is associated with a corresponding subcarrier. The equalizer, carrier recovery, and clock recovery may be further enhanced by utilizing the known (training) bits that may be included in control signals CNT, for example by providing an absolute phase reference between the transmitted and local oscillator lasers.

(132) Each of the symbols-to-bits circuits or components **375-0-1** to **375-8-1** may receive the symbols output from a corresponding one of circuits **374-0-1** to **374-8-1** and map the symbols back to bits. For example, each of the symbol-to-bits components **375-0-1** to **375-8-1** may map one X polarization symbol, in a QPSK or m-QAM constellation, to Z bits, where Z is an integer. For dual-polarization QPSK modulated subcarriers, Z is four. Bits output from each of component **375-0-1** to **375-8-1** are provided to a corresponding one of FEC decoder circuits **380-0** to **380-8**. (133) Y polarization symbols are output form a respective one of circuits **374-0-2** to **374-8-2**, each of which has the complex representation yi+j\*yq associated with data carried by the Y polarization component. Each Y polarization, like the X polarization symbols noted above, may be provided to a corresponding one of bit-to-symbol circuits or components **375-0-2** to **375-8-2**, each of which has a similar structure and operates in a similar manner as symbols-to-bits component **375-0-1** to **375-8-1**. Each of circuits **375-0-2** to **375-8-2** may provide an output to a corresponding one of FEC decoder circuits **380-0** to **380-8**.

(134) In another example, Data associated with a subcarrier SC received, but not intended for output from that receiver, can be blocked by inserting zeroes (0s) in chromatic dispersion equalizer (CDEQ) circuits **362** associated with both the X and Y polarization components of each subcarrier. In particular, multiplier circuits (provided in corresponding butterfly filter circuits), like multiplier

circuits M described above, may selectively multiply the inputs to the CDEQ circuit **362** by either zero or a desired coefficient. As multiplication by a zero generates a zero product, such zero products are further processed by corresponding circuitry in Rx DSP **308**, e.g., corresponding IFFTs **370**, carrier phase correction components **374**, symbol-to-bits components **375**, and FEC decoder **380**, a corresponding output of DSP **308** will also be zero.

- (135) While FIG. **8** shows the Rx DSP **308** as including a particular number and arrangement of functional components, in some implementations, the Rx DSP **308** may include additional functional components, fewer functional components, different functional components, or differently arranged functional components.
- (136) From the above description and examples, it is clear that the inventive concepts disclosed and claimed herein are well adapted to attain the advantages mentioned herein. While exemplary embodiments of the inventive concepts have been described for purposes of this disclosure, it will be understood that numerous changes may be made which will readily suggest themselves to those skilled in the art and which are accomplished within the spirit of the inventive concepts disclosed and claimed herein.
- (137) Various modifications and other embodiments will be apparent to those skilled in the art from consideration of the present specification, and the detailed implementations described above are provided as examples. For example, the digital signal process disclosed above may be implemented as a programmable gate array circuit (PGA), or a field programmable gate array circuit (FPGA). In addition, although separate lasers **120** and **316** are provided in the transmitter module and receiver module, respectively, as noted above, a transceiver consistent with the present disclosure may include a common laser that is "shared" between the transmitter and receiver. For example, FIG. **9** is a diagram illustrating an example of the transceiver **400** using a shared laser **404** providing optical signals both for transmission and reception (as a local oscillator signal) in accordance with one or more implementations of the present disclosure. As shown, the laser **404** generates an optical signal and provides the optical signal to the splitter **408**. The splitter **408** splits the optical signal into two portions. One portion is provided to the optical hybrids or mixers **320-1** and **320-2**, while the other portion is provided to modulators **116-1** to **116-4**.
- (138) Even though particular combinations of features are recited in the claims and/or disclosed in the specification, these combinations are not intended to limit the disclosure. In fact, many of these features may be combined in ways not specifically recited in the claims and/or disclosed in the specification. Although each dependent claim listed below may directly depend on only one other claim, the disclosure includes each dependent claim in combination with every other claim in the claim set.
- (139) Accordingly, other implementations are within the scope of the claims.

## **Claims**

1. A transceiver, comprising: an optical source having a laser providing a continuous wave optical signal; a modulator receiving the continuous wave optical signal, the modulator configured to encode data into the continuous wave optical signal; a transmitter module having circuitry to receive data to be encoded into the continuous wave optical signal, the transmitter module having at least one drive circuit supplying driver signals to the modulator to cause the modulator to encode data into a carrier having a frequency band and a tone signal outside of the frequency band into the continuous wave optical signal, the continuous wave optical signal having an optical power and a polarization; a narrowband filter operable to receive a portion of the continuous wave optical signal having the encoded data and a first tone reflection of the tone signal at a first instant of time and to pass the first tone reflection of the continuous wave optical signal at the first instant of time; a polarimeter operable to receive the first tone reflection and determine a first tone polarization of the first tone reflection; and an optical loopback component operable to direct the portion of the

continuous wave optical signal to the narrowband filter.

- 2. The transceiver of claim 1, further comprising: a receiver module operable to receive a second continuous wave optical signal having a second encoded data and a second tone reflection at a second instant of time, the receiver module having at least one optical splitter to direct a first portion of the second continuous wave optical signal to a demodulator configured to decode the second encoded data of the second continuous wave optical signal into a second data and to direct a second portion of the second continuous wave optical signal to the narrowband filter; the narrowband filter operable to receive the second portion of the second continuous wave optical signal and to pass the second tone reflection of the second continuous wave optical signal at the second instant of time; and a polarimeter operable to receive the second tone reflection and determine a second tone polarization of the second tone reflection at the second instant of time.
- 3. The transceiver of claim 2, further comprising: a polarization circuit having a processor and a non-transitory computer readable medium storing computer executable instructions that when executed by the processor causes the processor to: receive the first tone polarization from the polarimeter at the first instant in time; receive the second tone polarization from the polarization in the second instant in time; and store the first tone polarization and the second tone polarization in the memory.
- 4. The transceiver of claim 3, wherein the processor further stores a timestamp with the first tone polarization and the second tone polarization in the memory.
- 5. The transceiver of claim 4, wherein the timestamp is indicative of a time corresponding to the first instant in time at which the polarimeter received the tone bandwidth.
- 6. The transceiver of claim 4, wherein the timestamp is indicative of a time-delta between the first instant in time and the second instant in time.
- 7. The transceiver of claim 3, wherein the non-transitory computer readable medium further stores computer executable instructions that when executed by the processor further causes the processor to: generate a tone reflection information indicative of the tone polarization over a period of time between the first instant in time and the second instant in time.
- 8. The transceiver of claim 3, wherein the non-transitory computer readable medium further stores computer executable instructions that when executed by the processor further causes the processor to: generate a tone reflection information indicative of a change in the tone polarization based on the first tone polarization at the first instant in time and the second tone polarization at the second instant in time stored in the memory.
- 9. The transceiver of claim 3, wherein the non-transitory computer readable medium further stores computer executable instructions that when executed by the processor further causes the processor to: determine a state of polarization variation for the second tone reflection received by calculating a different in a state of polarization of the first tone reflection at the first instant of time and a state of polarization of the second tone reflection at the second instant of time.
- 10. The transceiver of claim 9, wherein the non-transitory computer readable medium further stores computer executable instructions that when executed by the processor further causes the processor to: associate the second tone reflection with a particular one or more optical fiber link; and store the state of polarization variation for the second tone reflection and the associated particular one or more optical fiber link in the memory.