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### COMMUNICATION METHOD

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#### Abstract

A communication method for applying an artificial intelligence or machine learning (AI/ML) technology to wireless communication between a user equipment and a network in a mobile communication system includes receiving, by the user equipment, environment information from the network, the environment information indicating an communication environment of a coverage area corresponding to a location of the user equipment, and performing, by the user equipment, AI/ML processing among learning processing and/or inference processing using an AI/ML model, based on the environment information.

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## Background/Summary

RELATED APPLICATIONS [0001] The present application is a continuation based on PCT Application No. PCT/JP2023/039397, filed on Nov. 1, 2023, which claims the benefit of Japanese Patent Application No. 2022-175872 filed on Nov. 1, 2022. The content of which is incorporated by reference herein in their entirety.

### TECHNICAL FIELD

[0002] The present disclosure relates to a communication method used in a mobile communication system.

### BACKGROUND

[0003] In the Third Generation Partnership Project (3GPP) (registered trade name, the same shall apply hereinafter), which is a standardization project for mobile communication systems, a study is underway to apply an artificial intelligence or machine learning (also referred to as AI/ML) technology to wireless communication (air interface) in the mobile communication system.

### CITATION LIST

#### Non-Patent Literature

[0004] Non-Patent Document 1: 3GPP Contribution RP-213599, “New SI: Study on Artificial Intelligence (AI)/Machine Learning (ML) for NR Air Interface”

### SUMMARY

[0005] In a first aspect, a communication method is a communication method for applying an artificial intelligence or machine learning (AI/ML) technology to wireless communication between a user equipment and a network in a mobile communication system, the method including receiving, by a user equipment, environment information from a network, the environment information indicating an communication environment of a coverage area corresponding to a location of the user equipment, and performing, by the user equipment, AI/ML processing among learning processing and/or inference processing using an AI/ML model, based on the environment information.

[0006] In a second aspect, a communication method is a communication method for applying an artificial intelligence or machine learning (AI/ML) technology to wireless communication between a user equipment and a network in a mobile communication system, the method including transmitting, by a user equipment, model information to a network, the model information indicating attributes of an AI/ML model included in the user equipment, and receiving, by the user equipment, information indicating whether the user equipment is capable of using the AI/ML model, from the network.

[0007] In a third aspect, a communication method is a communication method for applying an artificial intelligence or machine learning (AI/ML) technology to wireless communication between a user equipment and a network in a mobile communication system, the method including receiving, by a user equipment, configuration information from a network, the configuration information being configured to configure a transmission path used to transfer an AI/ML model from the network to the user equipment, and receiving, by the user equipment, the AI/ML model from the network via the transmission path.

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## Description

## BRIEF DESCRIPTION OF THE DRAWINGS

[0008] FIG. **1** is a diagram illustrating a configuration of a mobile communication system according to an embodiment.

[0009] FIG. **2** is a diagram illustrating a configuration of a user equipment (UE) according to an embodiment.

[0010] FIG. **3** is a diagram illustrating a configuration of a gNB (base station) according to an embodiment.

[0011] FIG. **4** is a diagram illustrating a configuration of a protocol stack of a radio interface of a user plane handling data.

[0012] FIG. **5** is a diagram illustrating a configuration of a protocol stack of a radio interface of a control plane handling signaling (control signal).

[0013] FIG. **6** is a diagram illustrating a functional block configuration of an AI/ML technology (machine learning technology) in the mobile communication system according to an embodiment.

[0014] FIG. **7** is a diagram illustrating an overview of operations relating to each operation scenario according to an embodiment.

[0015] FIG. **8** is a diagram illustrating a first operation scenario according to an embodiment.

[0016] FIG. **9** is a diagram illustrating a first example of reducing CSI-RSs according to an embodiment.

[0017] FIG. **10** is a diagram illustrating a second example of reducing the CSI-RSs according to an embodiment.

[0018] FIG. **11** is an operation flow diagram illustrating a first operation pattern relating to the first operation scenario according to an embodiment.

[0019] FIG. **12** is an operation flow diagram illustrating a second operation pattern relating to the first operation scenario according to an embodiment.

[0020] FIG. **13** is an operation flow diagram illustrating a third operation pattern relating to the first operation scenario according to an embodiment.

[0021] FIG. **14** is a diagram illustrating a second operation scenario according to an embodiment.

[0022] FIG. **15** is an operation flow diagram illustrating an operation example relating to the second operation scenario according to an embodiment.

[0023] FIG. **16** is a diagram illustrating a third operation scenario according to an embodiment.

[0024] FIG. **17** is an operation flow diagram illustrating an operation example relating to the third operation scenario according to an embodiment.

[0025] FIG. **18** is a diagram illustrating a first operation pattern relating to model transfer according to an embodiment.

[0026] FIG. **19** is a diagram illustrating an example of a configuration message including models and additional information according to an embodiment.

[0027] FIG. **20** is a diagram illustrating a second operation pattern relating to model transfer according to an embodiment.

[0028] FIG. **21** is a diagram illustrating a third operation pattern relating to model transfer according to an embodiment.

[0029] FIG. **22** is a diagram illustrating an example of model management according to an embodiment.

[0030] FIG. **23** is a diagram illustrating details of model management according to an embodiment.

[0031] FIG. **24** is a diagram illustrating an example of a UE side model included in the UE according to an embodiment.

[0032] FIG. **25** is a diagram illustrating another example of the UE side model included in the UE according to an embodiment.

[0033] FIG. **26** is a diagram illustrating an operation example of a first operation pattern in consideration of an area communication environment according to an embodiment.

[0034] FIG. **27** is a diagram illustrating an operation example of a second pattern in consideration of the area communication environment according to an embodiment.

[0035] FIG. **28** is a diagram for describing a transmission path used for the model transfer according to an embodiment.

[0036] FIG. **29** is a diagram illustrating an operation example for a configuration of the transmission path used for the model transfer according to an embodiment.

## DESCRIPTION OF EMBODIMENTS

[0037] The present disclosure provides a communication method to enable the AI/ML technology to be leveraged in the mobile communication system.

[0038] A mobile communication system according to an embodiment is described with reference to the drawings. In the description of the drawings, the same or similar parts are denoted by the same or similar reference signs.

### (1) Configuration of Mobile Communication System

[0039] First, a configuration of a mobile communication system according to an embodiment is described. FIG. **1** is a diagram illustrating a configuration of a mobile communication system **1** according to an embodiment. The mobile communication system **1** complies with the 5th Generation System (5GS) of the 3GPP standard. The description below takes the 5GS as an example, but Long Term Evolution (LTE) system may be at least partially applied to the mobile communication system. Alternatively, a sixth generation (6G) system may be at least partially applied to the mobile communication system.

[0040] The mobile communication system **1** includes User Equipment (UE) **100**, a 5G radio access network (Next Generation Radio Access Network (NG-RAN)) **10**, and a 5G Core Network (5GC) **20**. Hereinafter, the NG-RAN **10** may be simply referred to as a RAN **10**. The 5GC **20** may be simply referred to as a core network (CN) **20**. The RAN **10** and the CN **20** constitute a network **5** of the mobile communication system **1**. The UE **100** performs wireless communication with the network **5**.

[0041] The UE **100** is a mobile wireless communication apparatus. The UE **100** may be any apparatus as long as the UE **100** is used by a user. Examples of the UE **100** include a mobile phone terminal (including a smartphone) and/or a tablet terminal, a notebook PC, a communication module (including a communication card or a chipset), a sensor or an apparatus provided on a sensor, a vehicle or an apparatus provided on a vehicle (vehicle UE), and a flying object or an apparatus provided on a flying object (aerial UE).

[0042] The NG-RAN **10** includes base stations (referred to as “gNBs” in the 5G system) **200**. The gNBs **200** are interconnected via an Xn interface which is an inter-base station interface. Each gNB **200** manages one or more cells. The gNB **200** performs wireless communication with the UE **100** that has established a connection to the cell of the gNB **200**. The gNB **200** has a radio resource management (RRM) function, a function of routing user data (hereinafter simply referred to as “data”), a measurement control function for mobility control and scheduling, and the like. The “cell” is used as a term representing a minimum unit of a wireless communication area. The “cell” is also used as a term representing a function or a resource for performing wireless communication with the UE **100**. One cell belongs to one carrier frequency (hereinafter, simply referred to as a “frequency”).

[0043] Note that the gNB can be connected to an Evolved Packet Core (EPC) corresponding to a core network of LTE. An LTE base station can also be connected to the 5GC. The LTE base station and the gNB can be connected via an inter-base station interface.

[0044] The 5GC **20** includes an Access and Mobility Management Function (AMF) and a User Plane Function (UPF) **300**. The AMF performs various types of mobility controls and the like for the UE **100**. The AMF manages mobility of the UE **100** by communicating with the UE **100** by using Non-Access Stratum (NAS) signaling. The UPF controls data transfer. The AMF and UPF are connected to the gNB **200** via an NG interface which is an interface between a base station and

the core network.

[0045] FIG. 2 is a diagram illustrating a configuration of the UE **100** (user equipment) according to an embodiment. The UE **100** includes a receiver **110**, a transmitter **120**, and a controller **130**. The receiver **110** and the transmitter **120** constitute a communicator that performs wireless communication with the gNB **200**. The UE **100** is an example of the communication apparatus.

[0046] The receiver **110** performs various types of reception under control of the controller **130**. The receiver **110** includes an antenna and a reception device. The reception device converts a radio signal received through the antenna into a baseband signal (a reception signal) and outputs the resulting signal to the controller **130**.

[0047] The transmitter **120** performs various types of transmission under control of the controller **130**. The transmitter **120** includes an antenna and a transmission device. The transmission device converts a baseband signal (a transmission signal) output by the controller **130** into a radio signal and transmits the resulting signal through the antenna.

[0048] The controller **130** performs various types of control and processing in the UE **100**. The operations of the UE **100** described above and below may also be performed under the control of the controller **130**. The controller **130** includes at least one processor and at least one memory. The memory stores a program to be executed by the processor and information to be used for processing by the processor. The processor may include a baseband processor and a Central Processing Unit (CPU). The baseband processor performs modulation and demodulation, coding and decoding, and the like of a baseband signal. The CPU executes the program stored in the memory to thereby perform various types of processing.

[0049] FIG. 3 is a diagram illustrating a configuration of the gNB **200** (base station) according to an embodiment. The gNB **200** includes a transmitter **210**, a receiver **220**, a controller **230**, and a backhaul communicator **240**. The transmitter **210** and the receiver **220** constitute a communicator that performs wireless communication with the UE **100**. The backhaul communicator **240** constitutes a network communicator that performs communication with the CN **20**. The gNB **200** is another example of the communication apparatus.

[0050] The transmitter **210** performs various types of transmission under control of the controller **230**. The transmitter **210** includes an antenna and a transmission device. The transmission device converts a baseband signal (a transmission signal) output by the controller **230** into a radio signal and transmits the resulting signal through the antenna.

[0051] The receiver **220** performs various types of reception under control of the controller **230**. The receiver **220** includes an antenna and a reception device. The reception device converts a radio signal received through the antenna into a baseband signal (a reception signal) and outputs the resulting signal to the controller **230**.

[0052] The controller **230** performs various types of control and processing in the gNB **200**. The operations of the gNB **200** described above and below may also be performed under the control of the controller **230**. The controller **230** includes at least one processor and at least one memory. The memory stores a program to be executed by the processor and information to be used for processing by the processor. The processor may include a baseband processor and a CPU. The baseband processor performs modulation and demodulation, coding and decoding, and the like of a baseband signal. The CPU executes the program stored in the memory to thereby perform various types of processing.

[0053] The backhaul communicator **240** is connected to a neighboring base station via an Xn interface which is an inter-base station interface. The backhaul communicator **240** is connected to the AMF/UPF **300** via an NG interface between a base station and the core network. Note that the gNB **200** may include a central unit (CU) and a distributed unit (DU) (i.e., functions are divided), and the two units may be connected via an F1 interface, which is a fronthaul interface.

[0054] FIG. 4 is a diagram illustrating a configuration of a protocol stack of a radio interface of a user plane handling data.

[0055] The user plane radio interface protocol includes a physical (PHY) layer, a medium access control (MAC) layer, a radio link control (RLC) layer, a packet data convergence protocol (PDCP) layer, and a service data adaptation protocol (SDAP) layer.

[0056] The PHY layer performs coding and decoding, modulation and demodulation, antenna mapping and demapping, and resource mapping and demapping. Data and control information are transmitted between the PHY layer of the UE **100** and the PHY layer of the gNB **200** via a physical channel. Note that the PHY layer of the UE **100** receives downlink control information (DCI) transmitted from the gNB **200** over a physical downlink control channel (PDCCH). Specifically, the UE **100** blind decodes the PDCCH using a radio network temporary identifier (RNTI) and acquires successfully decoded DCI as DCI addressed to the UE **100**. A cyclic redundancy code (CRC) parity bit scrambled by the RNTI is added to the DCI transmitted from the gNB **200**.

[0057] In NR, the UE **100** can use a bandwidth narrower than a system bandwidth (that is, a cell bandwidth). The gNB **200** configures a bandwidth part (BWP) consisting of consecutive physical resource blocks (PRBs) for the UE **100**. The UE **100** transmits and receives data and control signals in an active BWP. For example, up to four BWPs may be configurable for the UE **100**. Each BWP may have a different subcarrier spacing. Frequencies of the BWPs may overlap with each other. When a plurality of BWPs are configured for the UE **100**, the gNB **200** can designate which BWP to apply by controlling the downlink. By doing so, the gNB **200** dynamically adjusts the UE bandwidth according to an amount of data traffic in the UE **100** or the like to reduce the UE power consumption.

[0058] The gNB **200** can configure, for example, up to three control resource sets (CORESETs) for each of up to four BWPs on a serving cell. The CORESET is a radio resource for control information to be received by the UE **100**. Up to 12 or more CORESETs may be configured for the UE **100** on the serving cell. Each CORESET may have an index of 0 to 11 or more. A CORESET may include 6 resource blocks (PRBs) and one, two or three consecutive Orthogonal Frequency Division Multiplex (OFDM) symbols in the time domain.

[0059] The MAC layer performs priority control of data, retransmission processing through hybrid ARQ (HARQ: Hybrid Automatic Repeat reQuest), a random access procedure, and the like. Data and control information are transmitted between the MAC layer of the UE **100** and the MAC layer of the gNB **200** via a transport channel. The MAC layer of the gNB **200** includes a scheduler. The scheduler decides transport formats (transport block sizes, Modulation and Coding Schemes (MCSs)) in the uplink and the downlink and resource blocks to be allocated to the UE **100**.

[0060] The RLC layer transmits data to the RLC layer on the reception side by using functions of the MAC layer and the PHY layer. Data and control information are transmitted between the RLC layer of the UE **100** and the RLC layer of the gNB **200** via a logical channel.

[0061] The PDCP layer performs header compression/decompression, encryption/decryption, and the like.

[0062] The SDAP layer performs mapping between IP flows, which are units for QoS (Quality of Service) control by the core network, and radio bearers, which are units for QoS control by the access stratum (AS). Note that, when the RAN is connected to the EPC, the SDAP need not be provided.

[0063] FIG. 5 is a diagram illustrating a configuration of a protocol stack of a radio interface of a control plane handling signaling (a control signal).

[0064] The protocol stack of the radio interface of the control plane includes a radio resource control (RRC) layer and a non-access stratum (NAS) instead of the SDAP layer illustrated in FIG.

4.

[0065] RRC signaling for various configurations is transmitted between the RRC layer of the UE **100** and the RRC layer of the gNB **200**. The RRC layer controls a logical channel, a transport channel, and a physical channel according to establishment, re-establishment, and release of a radio bearer. When a connection (RRC connection) between the RRC of the UE **100** and the RRC of the

gNB 200 is present, the UE 100 is in an RRC connected state. When no connection (RRC connection) between the RRC of the UE 100 and the RRC of the gNB 200 is present, the UE 100 is in an RRC idle state. When the connection between the RRC of the UE 100 and the RRC of the gNB 200 is suspended, the UE 100 is in an RRC inactive state.

[0066] The NAS, which is located above the RRC layer, performs session management, mobility management, and the like. NAS signaling is transmitted between the NAS of the UE 100 and the NAS of the AMF 300A. Note that the UE 100 includes an application layer other than the protocol of the radio interface. A layer lower than the NAS is referred to as an Access Stratum (AS).

## (2) Overview of AI/ML Technology

[0067] In the embodiment, an AI/ML Technology will be described. FIG. 6 is a diagram illustrating a functional block configuration of the AI/ML technology in the mobile communication system 1 according to the embodiment.

[0068] The functional block configuration illustrated in FIG. 6 includes a data collector A1, a model trainer A2, a model inferrer A3, and a data processor A4.

[0069] The data collector A1 collects input data, specifically, training data and inference data, and outputs the training data to the model trainer A2 and outputs the inference data to the model inferrer A3. The data collector A1 may acquire data in the device in which the data collector A1 is provided, as input data. The data collector A1 may acquire, as the input data, data in another apparatus.

[0070] The model trainer A2 performs model training. To be specific, the model trainer A2 optimizes parameters for the training model (hereinafter also referred to as a “model” or an “AI/ML model”) by machine learning using the training data, derives (generates or updates) a trained model, and outputs the trained model to the model inferrer A3. The model is data-driven algorithm in which a set of outputs is generated based on a set of inputs through application of the AI/ML technology. For example, considering  $y=ax+b$ ,  $a$  (slope) and  $b$  (intercept) are the parameters, and optimizing these parameters corresponds to the machine learning. In general, machine learning includes supervised learning, unsupervised learning, and reinforcement learning. Supervised learning is a method of using correct answer data for the training data. Unsupervised learning is a method of not using correct answer data for the training data. For example, in unsupervised learning, feature points are learned from a large amount of training data, and correct answer determination (range estimation) is performed. Reinforcement learning is a method of assigning a score to an output result and learning a method of maximizing the score.

[0071] The model inferrer A3 performs model inference. To be specific, the model inferrer A3 infers an output from the inference data by using the trained model, and outputs inference result data to the data processor A4. For example, considering  $y=ax+b$ ,  $x$  is the inference data and  $y$  corresponds to the inference result data. Note that “ $y=ax+b$ ” is a model. A model in which a slope and an intercept are optimized, for example, “ $y=5x+3$ ” is a trained model. Here, various techniques for the model are used, such as linear regression analysis, neural network, and decision tree analysis. The above “ $y=ax+b$ ” can be considered as a kind of the linear regression analysis. The model inferrer A3 may perform model performance feedback to the model trainer A2.

[0072] The data processor A4 receives the inference result data and performs processing that utilizes the inference result data.

[0073] FIG. 7 is a diagram illustrating an overview of operations relating to each operation scenario according to an embodiment. In FIG. 7, one of the UE 100 and the gNB 200 corresponds to a first communication apparatus, and the other corresponds to a second communication apparatus.

[0074] In step S1, the UE 100 transmits or receives control data related to the AI/ML technology to or from the gNB 200. The control data may be an RRC message that is RRC layer (i.e., layer 3) signaling. The control data may be a MAC Control Element (CE) that is MAC layer (i.e., layer 2) signaling. The control data may be downlink control information (DCI) that is PHY layer (i.e., layer 1) signaling. The downlink signaling may be UE-specific signaling. The downlink signaling

may be broadcast signaling. The control data may be a control message in a control layer (e.g., an AI/ML layer) dedicated to artificial intelligence or machine learning.

### (3) Operation Scenario Example

[0075] An operation scenario example according to the embodiment will be described.

#### (3.1) First Operation Scenario

[0076] FIG. 8 is a diagram illustrating a first operation scenario according to an embodiment. In the first operation scenario, the data collector A1, the model trainer A2, and the model inferrer A3 are arranged in the UE 100 (e.g., the controller 130), and the data processor A4 is arranged in the gNB 200 (e.g., the controller 230). In other words, model training and model inference are performed on the UE 100 side.

[0077] In the first operation scenario, the AI/ML technology is introduced into channel state information (CSI) feedback from the UE 100 to the gNB 200. The CSI (CSI feedback information) transmitted (fed back) from the UE 100 to the gNB 200 is information related to a downlink channel state between the UE 100 and the gNB 200. The CSI includes at least one selected from the group consisting of a channel quality indicator (CQI), a precoding matrix indicator (PMI), and a rank indicator (RI). The gNB 200 performs, for example, downlink scheduling based on the CSI feedback from the UE 100.

[0078] The gNB 200 transmits a reference signal for the UE 100 to estimate a downlink channel state. Such a reference signal may be, for example, a CSI reference signal (CSI-RS). Such a reference signal may also be a demodulation reference signal (DMRS). For example, it is assumed that the reference signal is a CSI-RS.

[0079] First, in the model training, the UE 100 (receiver 110) receives a first reference signal from the gNB 200 by using a first resource. Then, the UE 100 (model trainer A2) derives a trained model for inferring CSI from the reference signal by using training data including the first reference signal. Such a first reference signal may be referred to as a full CSI-RS.

[0080] For example, the UE 100 (CSI generator 131) performs channel estimation by using the reception signal (CSI-RS) received by the receiver 110 from the gNB 200, and generates CSI. The UE 100 (transmitter 120) transmits the generated CSI to the gNB 200. The model trainer A2 performs model training by using a plurality of sets of the reception signal (CSI-RS) and the CSI as the training data to derive a trained model for inferring the CSI from the reception signal (CSI-RS).

[0081] Second, in the model inference, the UE 100 (receiver 110) receives a second reference signal from the gNB 200 by using a second resource that is less than the first resource. Then, the UE 100 (model inferrer A3) uses the trained model to infer the CSI as the inference result data from inference data including the second reference signal. In the description of the first operation scenario, such a second reference signal may be referred to as a partial CSI-RS or a punctured CSI-RS.

[0082] For example, the UE 100 (model inferrer A3) uses the reception signal (CSI-RS) received by the receiver 110 from the gNB 200 as the inference data, and infers the CSI from the reception signal (CSI-RS) by using the trained model. The UE 100 (transmitter 120) transmits the inferred CSI to the gNB 200.

[0083] This enables the UE 100 to feed back accurate (complete) CSI to the gNB 200 from a small number of CSI-RSs (partial CSI-RSs) received from the gNB 200. For example, the gNB 200 can reduce (puncture) the CSI-RS when intended for overhead reduction. The UE 100 can cope with a situation in which a radio situation deteriorates and some CSI-RSs cannot be normally received.

[0084] FIG. 9 is a diagram illustrating a first example of reducing CSI-RSs according to an embodiment. In the first example, the gNB 200 reduces the number of antenna ports for transmitting the CSI-RS. For example, the gNB 200 transmits the CSI-RS from all antenna ports of the antenna panel in a mode in which the UE 100 performs the model training. On the other hand, in the mode in which the UE 100 performs model inference, the gNB 200 reduces the number of antenna ports for transmitting the CSI-RSs, and transmits the CSI-RSs from half the antenna ports



of the antenna panel. Note that the antenna port is an example of the resource. This can reduce the overhead, improve a utilization efficiency of the antenna ports, and give an effect of power consumption reduction.

[0085] FIG. **10** is a diagram illustrating a second example of reducing the CSI-RSs according to an embodiment. In the second example, the gNB **200** reduces the number of radio resources for transmitting the CSI-RSs, specifically, the number of time-frequency resources. For example, the gNB **200** transmits the CSI-RS by using a predetermined time-frequency resource in a mode in which the UE **100** performs the model training. On the other hand, in a mode in which the UE **100** performs the model inference, the gNB **200** transmits the CSI-RS using a smaller amount of time-frequency resources than predetermined time-frequency resources. This can reduce the overhead, improve a utilization efficiency of the radio resources, and give an effect of power consumption reduction.

[0086] A first operation pattern relating to the first operation scenario is described. In the first operation pattern, the gNB **200** transmits a switching notification as the control data to the UE **100**, the switching notification providing notification of mode switching between a mode for performing the model training (hereinafter, also referred to as a “training mode”) and a mode for performing model inference (hereinafter, also referred to as an “inference mode”). The UE **100** receives the switching notification and performs the mode switching between the training mode and the inference mode. This enables the mode switching to be appropriately performed between the training mode and the inference mode. The switching notification may be configuration information to configure a mode for the UE **100**. The switching notification may be also a switching command for indicating to the UE **100** the mode switching.

[0087] In the first operation pattern, when the model training is completed, the UE **100** transmits a completion notification as the control data to the gNB **200**, the completion notification indicating that the model training is completed. The gNB **200** receives the completion notification. This enables gNB **200** to grasp that the model training is completed on the UE **100** side.

[0088] FIG. **11** is an operation flow diagram illustrating the first operation pattern relating to the first operation scenario according to an embodiment. This flow may be performed after the UE **100** establishes an RRC connection to the cell of the gNB **200**. Note that in the operation flow described below, dashed lines indicate steps which may be omitted.

[0089] In step **S101**, the gNB **200** may notify the UE **100** of or configure for the UE, as the control data, an input data pattern in the inference mode, for example, a transmission pattern (puncture pattern) of the CSI-RS in the inference mode. For example, the gNB **200** notifies the UE **100** of the antenna port and/or the time-frequency resource for transmitting or not transmitting the CSI-RS in the inference mode.

[0090] In step **S102**, the gNB **200** may transmit a switching notification for starting the training mode to the UE **100**.

[0091] In step **S103**, the UE **100** starts the training mode.

[0092] In step **S104**, the gNB **200** transmits a full CSI-RS. The UE **100** receives the full CSI-RS and generates CSI based on the received CSI-RS. In the training mode, the UE **100** may perform supervised learning using the received CSI-RS and CSI corresponding to the received CSI-RS. The UE **100** may derive and manage a training result (trained model) per communication environment of the UE **100**, for example, per reception quality (RSRP, RSRQ, or SINR) and/or migration speed.

[0093] In step **S105**, the UE **100** transmits (feeds back) the generated CSI to the gNB **200**.

[0094] Thereafter, in step **S106**, when the model training is completed, the UE **100** transmits a completion notification indicating that the model training is completed to the gNB **200**. The UE **100** may transmit the completion notification to the gNB **200** when the derivation (generation or update) of the trained model is completed. Here, the UE **100** may transmit a notification indicating that learning is completed per communication environment (e.g., migration speed and reception quality) of the UE **100** itself. In this case, the UE **100** includes, in the notification, information

indicating for which communication environment the completion notification is.

[0095] In step **S107**, the gNB **200** transmits, to the UE **100**, a switching information notification for switching from the training mode to the inference mode.

[0096] In step **S108**, the UE **100** switches from the training mode to the inference mode in response to receiving the switching notification in step **S107**.

[0097] In step **S109**, the gNB **200** transmits a partial CSI-RS. Once receiving the partial CSI-RS, the UE **100** uses the trained model to infer CSI from the received CSI-RS. The UE **100** may select a trained model corresponding to the communication environment of the UE **100** itself from among trained models managed per communication environment, and may infer the CSI using the selected trained model.

[0098] In step **S110**, the UE **100** transmits (feeds back) the inferred CSI to the gNB **200**.

[0099] In step **S111**, when the UE **100** determines that the model training is necessary, the UE **100** may transmit a notification as the control data to the gNB **200**, the notification indicating that the model training is necessary. For example, the UE **100** considers that accuracy of the inference result cannot be guaranteed and transmits the notification to the gNB **200** when the UE **100** moves, the migration speed of the UE **100** changes, the reception quality of the UE **100** changes, the cell in which the UE exists changes, or the bandwidth part (BWP) the UE **100** uses for communication changes.

[0100] A second operation pattern relating to the first operation scenario is described. The second operation pattern may be used together with the above-described operation pattern. In the second operation pattern, the gNB **200** transmits a completion condition notification as the control data to the UE **100**, the completion condition notification indicating a completion condition of the model training. The UE **100** receives the completion condition notification and determines completion of the model training based on the completion condition notification. This enables the UE **100** to appropriately determine the completion of the model training. The completion condition notification may be configuration information to configure the completion condition of the model training for the UE **100**. The completion condition notification may be included in the switching notification providing notification of (indicating) switching to the training mode.

[0101] FIG. **12** is an operation flow diagram illustrating the second operation pattern relating to the first operation scenario according to an embodiment.

[0102] In step **S201**, the gNB **200** transmits the completion condition notification as the control data to the UE **100**, the completion condition notification indicating the completion condition of the model training. The completion condition notification may include at least one selected from the group consisting of the following pieces of completion condition information. [0103] Acceptable error range for correct answer data:

For example, adopted is an acceptable range of an error between the CSI generated by using a normal CSI feedback calculation method and the CSI inferred by the model inference. At a stage where the learning has progressed to some extent, the UE **100** can infer the CSI by using the trained model at that point in time, compare the CSI with the correct CSI, and determine that the learning is completed based on that the error is within the acceptable range. [0104] The number of pieces of training data:

The number of pieces of data used for learning. For example, the number of received CSI-RSs corresponds to the number of pieces of training data. The UE **100** can determine that the learning is completed based on that the number of received CSI-RSs in the training mode reaches the number of pieces of training data indicated by a notification (configuration). [0105] The number of learning trials:

The number of times the model training is performed using the training data. The UE **100** can determine that the learning is completed based on that the number of times of the learning in the training mode reaches the number of times indicated by a notification (configuration). [0106]

Output score threshold value:

For example, a score in reinforcement learning. The UE **100** can determine that the learning is completed based on that the score reaches the score indicated by a notification (configuration). [0107] The UE **100** continues the learning based on the full CSI-RS until determining that the learning is completed (step **S203**, **S204**).

[0108] In step **S205**, the UE **100**, when determining that the model training is completed, may transmit a completion notification indicating that the model training is completed to the gNB **200**.

[0109] A third operation pattern relating to the first operation scenario is described. The third operation pattern may be used together with the above-described operation patterns. When the accuracy of the CSI feedback is desired to be increased, not only the CSI-RS but also other types of data, for example, reception characteristics of a physical downlink shared channel (PDSCH) can be used as the training data and the inference data. In the third operation pattern, the gNB **200** transmits data type information as the control data to the UE **100**, the data type information designating at least a type of data used as the training data. In other words, the gNB **200** designates what is to be the training data/inference data (type of input data) with respect to the UE **100**. The UE **100** receives the data type information and performs the model training using the data of the designated data type. This enables the UE **100** to perform appropriate model training.

[0110] FIG. **13** is an operation flow diagram illustrating the third operation pattern relating to the first operation scenario according to an embodiment.

[0111] In step **S301**, the UE **100** may transmit capability information as the control data to the gNB **200**, the capability information indicating which type of input data the UE **100** can handle in the machine learning. Here, the UE **100** may further transmit a notification indicating additional information such as the accuracy of the input data.

[0112] In step **S302**, the UE **100** transmits the data type information to the gNB **200**. The data type information may be configuration information to configure a type of the input data for the UE **100**. Here, the type of the input data may be the reception quality and/or UE migration speed for the CSI feedback. The reception quality may be reference signal received power (RSRP), reference signal received quality (RSRQ), signal-to-interference-plus-noise ratio (SINR), bit error rate (BER), block error rate (BLER), analog-to-digital converter output waveform, or the like.

[0113] Note that when UE positioning to be described below is assumed, the type of the input data may be position information (latitude, longitude, and altitude) of Global Navigation Satellite System (GNSS), RF fingerprint (cell ID, reception quality thereof, and the like), angle of arrival (AoA) of reception signal, reception level/reception phase/reception time difference (OTDOA) for each antenna, roundtrip time, and reception information of short-range wireless communication such as a wireless Local Area Network (LAN).

[0114] The gNB **200** may designate the type of the input data independently for each of the training data and the inference data. The gNB **200** may designate the type of input data independently for each of the CSI feedback and the UE positioning.

### (3.2) Second Operation Scenario

[0115] A second operation scenario is described mainly on differences from the first operation scenario. The first operation scenario has mainly described the downlink reference signal (that is, downlink CSI estimation). The second operation scenario describes an uplink reference signal (that is, uplink CSI estimation). In the description of the second operation scenario, assume that the uplink reference signal is a sounding reference signal (SRS), but may be an uplink DMRS or the like.

[0116] FIG. **14** is a diagram illustrating the second operation scenario according to an embodiment. In the second operation scenario, the data collector **A1**, the model trainer **A2**, the model inferrer **A3**, and the data processor **A4** are arranged in the gNB **200** (e.g., the controller **230**). In other words, the model training and the model inference are performed on the gNB **200** side.

[0117] In the second operation scenario, the AI/ML technology is introduced into the CSI estimation performed by the gNB **200** based on the SRS from the UE **100**. Therefore, the gNB **200**

(e.g., the controller **230**) includes a CSI generator **231** that generates CSI based on the SRS received by the receiver **220** from the UE **100**. The CSI is information indicating an uplink channel state between the UE **100** and the gNB **200**. The gNB **200** (e.g., the data processor **A4**) performs, for example, uplink scheduling based on the CSI generated based on the SRS.

[0118] First, in the model training, the gNB **200** (receiver **220**) receives a first reference signal from the UE **100** by using a first resource. Then, the gNB **200** (model trainer **A2**) derives a trained model for inferring CSI from the reference signal (SRS) by using training data including the first reference signal. In the description of the second operation scenario, such a first reference signal may be referred to as a full SRS.

[0119] For example, the gNB **200** (CSI generator **231**) performs channel estimation by using the reception signal (SRS) received by the receiver **220** from the UE **100**, and generates CSI. The model trainer **A2** performs model training by using a plurality of sets of the reception signal (SRS) and the CSI as the training data to derive a trained model for inferring the CSI from the reception signal (SRS).

[0120] Second, in the model inference, the gNB **200** (receiver **220**) receives a second reference signal from the UE **100** by using a second resource that is less than the first resource. Then, the UE **100** (model inferrer **A3**) uses the trained model to infer the CSI as the inference result data from inference data including the second reference signal. In the description of the second operation scenario, such a second reference signal may be referred to as a partial SRS or a punctured SRS. For a puncture pattern of the SRS, the pattern the same as and/or similar to that in the first operation scenario can be used (see FIGS. **9** and **10**).

[0121] For example, the gNB **200** (model inferrer **A3**) uses the reception signal (SRS) received by the receiver **220** from the UE **100** as the inference data, and infers the CSI from the reception signal (SRS) by using the trained model.

[0122] This enables the gNB **200** to generate accurate (complete) CSI from a small number of SRSs (partial SRSs) received from the UE **100**. For example, the UE **100** may reduce (puncture) the SRS when intended for overhead reduction. The gNB **200** can cope with a situation in which a radio situation deteriorates and some SRSs cannot be normally received.

[0123] In such an operation scenario, “CSI-RS”, “gNB **200**”, and “UE **100**” in the operation of the first operation scenario described above can be read as “SRS”, “UE **100**”, and “gNB **200**”, respectively.

[0124] In the second operation scenario, the gNB **200** transmits reference signal type information as the control data to the UE **100**, the reference signal type information indicating a type of either the first reference signal (full SRS) or the second reference signal (partial SRS) to be transmitted by the UE **100**. The UE **100** receives the reference signal type information and transmits the SRS designated by the gNB **200** to the gNB **200**. This can cause the UE **100** to transmit an appropriate SRS.

[0125] FIG. **15** is an operation flow diagram illustrating an operation example relating to the second operation scenario according to an embodiment.

[0126] In step **S501**, the gNB **200** performs SRS transmission configuration for the UE **100**.

[0127] In step **S502**, the gNB **200** starts the training mode.

[0128] In step **S503**, the UE **100** transmits the full SRS to the gNB **200** in accordance with the configuration in step **S501**. The gNB **200** receives the full SRS and performs model training for channel estimation.

[0129] In step **S504**, the gNB **200** specifies the transmission pattern (puncture pattern) of the SRS to be input as the inference data to the trained model, and configures the specified SRS transmission pattern for the UE **100**.

[0130] In step **S505**, the gNB **200** transitions to the inference mode and starts the model inference using the trained model.

[0131] In step **S506**, the UE **100** transmits the partial SRS in accordance with the SRS transmission

configuration in step S504. When the gNB 200 inputs the SRS as the inference data to the trained model to obtain a channel estimation result, the gNB 200 performs uplink scheduling (e.g., control of uplink transmission weight and the like) of the UE 100 by using the channel estimation result. Note that when the inference accuracy by way of the trained model deteriorates, the gNB 200 may reconfigure so that the UE 100 transmits the full SRS.

### (3.3) Third Operation Scenario

[0132] A third operation scenario is described mainly on differences from the first and second operation scenarios. The third operation scenario is an embodiment in which position estimation of the UE 100 (so-called UE positioning) is performed by using federated learning. FIG. 16 is a diagram illustrating the third operation scenario according to an embodiment. In an application example of such federated learning, for example, the following procedure is performed.

[0133] First, a location server 400 transmits a model to the UE 100.

[0134] Second, the UE 100 performs model training on the UE 100 (model trainer A2) side using the data in the UE 100. The data in the UE 100 may be, for example, a positioning reference signal (PRS) received by the UE 100 from the gNB 200 and/or output data from the GNSS reception device 140. The data in the UE 100 may include position information (including latitude and longitude) generated by the position information generator 132 based on the reception result of the PRS and/or the output data from the GNSS reception device 140.

[0135] Third, the UE 100 applies the trained model, which is the training result, to the UE 100 (model inferrer A3) and transmits variable parameters included in the trained model (hereinafter also referred to as “learned parameters”) to the location server 400. In the above example, the optimized a (slope) and b (intercept) correspond to the learned parameters.

[0136] Fourth, the location server 400 (federated trainer A5) collects the learned parameters from a plurality of UEs 100 and integrates these parameters. The location server 400 may transmit the trained model obtained by the integration to the UE 100. The location server 400 can estimate the position of the UE 100 based on the trained model obtained by the integration and a measurement report from the UE 100.

[0137] In the third operation scenario, the gNB 200 transmits trigger configuration information as the control data to the UE 100, the trigger configuration information configuring a transmission trigger condition for the UE 100 to transmit the learned parameters. The UE 100 receives the trigger configuration information and transmits the learned parameters to the gNB 200 (location server 400) when the configured transmission trigger condition is satisfied. This enables the UE 100 to transmit the learned parameters at an appropriate timing.

[0138] FIG. 17 is an operation flow diagram illustrating an operation example relating to the third operation scenario according to an embodiment.

[0139] In step S601, the gNB 200 may transmit a notification indicating a base model that the UE 100 trains. Here, the base model may be a model trained in the past. As described above, the gNB 200 may transmit the data type information indicating what is to be input data to the UE 100.

[0140] In step S602, the gNB 200 indicates the model training to the UE 100 and configures a report timing (trigger condition) of the learned parameter. The configured report timing may be a periodic timing. The report timing may be a timing triggered by learning proficiency satisfying a condition (that is, an event trigger).

[0141] For the periodic timing, the gNB 200 sets, for example, a timer value in the UE 100. The UE 100 starts a timer when starting learning (step S603) and reports the learned parameters to the gNB 200 (location server 400) when the timer expires (step S604). The gNB 200 may designate a radio frame or time to be reported to the UE 100. The radio frame may be designated as an absolute value, e.g., SFN=512. The radio frame may be calculated by using a modulo operation. For example, the gNB 200 reports the learned parameters at the SFN that “SFN mod N=0” holds for the UE 100, where N is a set value (step S604).

[0142] For the event trigger, the completion condition as described above is configured for the UE

**100.** The UE **100** reports the learned parameters to the gNB **200** (location server **400**) when the completion condition is satisfied (step **S604**). The UE **100** may trigger the reporting of the learned parameters, for example, when the accuracy of the model inference is better than the previously transmitted model. Here, an offset may be introduced to trigger when “current accuracy>previous accuracy+offset” holds. The UE **100** may trigger the reporting of the learned parameters, for example, when the training data is input (learned) N times or more. Such an offset and/or a value of N may be configured by the gNB **200** for the UE **100**.

[0143] In step **S604**, when the condition of the report timing is satisfied, the UE **100** reports the learned parameters at that time to the network (gNB **200**).

[0144] In step **S605**, the network (location server **400**) integrates the learned parameters reported from a plurality of UEs **100**.

#### (4) Model Transfer Example

[0145] In the embodiment, a model transfer example is described.

##### (4.1) First Operation Pattern Relating to Model Transfer

[0146] FIG. **18** is a diagram illustrating a first operation pattern relating to model transfer according to an embodiment. In the drawings referenced in the following embodiment, non-essential processing is indicated by a dashed line. In the following embodiment, it is assumed that the communication apparatus **501** is mainly the UE **100**, but the communication apparatus **501** may be the gNB **200** or the AMF **300A**. It is assumed that the communication apparatus **502** is mainly the gNB **200**, but the communication apparatus **502** may be the UE **100** or the AMF **300A**.

[0147] As illustrated in FIG. **18**, in step **S701**, the gNB **200** transmits, to the UE **100**, a capability inquiry message for requesting transmission of the message including the information element indicating the execution capability for the machine learning processing. The capability inquiry message is an example of the transmission request for requesting transmission of the message including the information element indicating the execution capability for the machine learning processing. The UE **100** receives the capability inquiry message. However, the gNB **200** may transmit the capability inquiry message when performing the machine learning processing (when determining to perform the machine learning process).

[0148] In step **S702**, the UE **100** transmits, to the gNB **200**, the message including the information element indicating the execution capability (an execution environment for the machine learning processing, from another viewpoint) for the machine learning processing. The gNB **200** receives the message. The message may be an RRC message, for example, a “UE Capability” message defined in the RRC technical specifications, or a newly defined message (e.g., a “UE AI Capability” message or the like). The communication apparatus **502** may be the AMF **300A** and the message may be a NAS message. When a new layer for performing or controlling the machine learning processing (AI/ML processing) is defined, the message may be a message of the new layer. The new layer is adequately referred to as an “AI/ML layer”.

[0149] The information element indicating the execution capability for the machine learning processing is at least one selected from group consisting of the information elements (A1) to (A3) below.

##### Information Element (A1)

[0150] The information element (A1) is an information element indicating capability of the processor for performing the machine learning processing and/or an information element indicating capability of the memory for performing the machine learning processing.

[0151] The information element indicating the capability of the processor for performing the machine learning processing may be an information element indicating whether the UE **100** includes an AI processor. When the UE **100** includes the processor, the information element may include an AI processor product number (model number). The information element may be an information element indicate whether a Graphics Processing Unit (GPU) is usable by the UE **100**. The information element may be an information element indicating whether the machine learning

processing needs to be performed by the CPU. The information element indicating the capability of the processor for performing the machine learning processing being transmitted from the UE **100** to the gNB **200** allows the network side to determine whether a neural network model is usable as a model by the UE **100**, for example. The information element indicating the capability of the processor for performing the machine learning processing may be an information element indicating a clock frequency and/or the number of parallel executables for the processor.

[0152] The information element indicating the capability of the memory for performing the machine learning processing may be an information element indicating a memory capacity of a volatile memory (e.g., a Random Access Memory (RAM)) of the memories of the UE **100**. The information elements may be an information element indicating a memory capacity of a non-volatile memory (e.g., a Read Only Memory (ROM)) of the memories of the UE **100**. The information element may indicate both of these. The information element indicating the capability of the memory for performing the machine learning processing may be defined for each type such as a model storage memory, an AI processor memory, or a GPU memory.

[0153] The information element (A1) may be defined as an information element for the inference processing (model inference). The information element (A1) may be defined as an information element for the learning processing (model training). Both the information element for the inference processing and the information element for the learning processing may be defined as the information element (A1).

#### Information Element (A2)

[0154] The information element (A2) is an information element indicating the execution capability for the inference processing. The information element (A2) may be an information element indicating a model supported in the inference processing. The information element may be an information element indicating whether a deep neural network model is able to be supported. In this case, the information element may include at least one selected from the group consisting of information indicating the number of supportable layers (stages) of a neural network, information indicating the number of supportable neurons (which may be the number of neurons per layer), and information indicating the number of supportable synapses (which may be the number of input or output synapses per layer or per neuron).

[0155] The information element (A2) may be an information element indicating the execution time (response time) required to perform the inference processing. The information element (A2) may be an information element indicating the number of simultaneous executions of the inference processing (e.g., how many pieces of inference processing can be performed in parallel). The information element (A2) may be an information element indicating the processing capacity of the inference processing. For example, when a processing load for a certain standard model (standard task) is determined to be one point, the information element indicating the processing capacity of the inference processing may be information indicating how many points the processing capacity of the inference processing itself is.

#### Information Element (A3)

[0156] The information element (A3) is an information element indicating the execution capability for the learning processing. The information element (A3) may be an information element indicating a learning algorithm supported in the learning processing. Examples of the learning algorithm indicated by the information element include supervised learning (e.g., linear regression, decision tree, logistic regression, k-nearest neighbor algorithm, and support vector machine), unsupervised learning (e.g., clustering, k-means, and principal component analysis), reinforcement learning, and deep learning. When the UE **100** supports deep learning, the information element may include at least one selected from the group consisting of information indicating the number of supportable layers (stages) of a neural network, information indicating the number of supportable neurons (which may be the number of neurons per layer), and information indicating the number of supportable synapses (which may be the number of input or output synapses per layer or per

neuron).

[0157] The information element (A3) may be an information element indicating the execution time (response time) required to perform the learning processing. The information element (A3) may be an information element indicating the number of simultaneous executions of the learning processing (e.g., how many pieces of learning processing can be performed in parallel). The information element (A3) may be an information element indicating the processing capacity of the learning processing. For example, when a processing load for a certain standard model (standard task) is determined to be one point, the information element indicating the processing capacity of the learning processing may be information indicating how many points the processing capacity of the learning processing itself is. Note that since the processing load of the learning processing is generally higher than that of the inference processing, the number of simultaneous executions may be information such as the number of simultaneous executions with the inference processing (e.g., two pieces of inference processing and one piece of learning processing).

[0158] In step **S703**, the gNB **200** determines a model to be configured (deployed) for the UE **100** based on the information element included in the message received in step **S702**. The model may be a trained model used by the UE **100** in the inference processing. The model may be an untrained model used by the UE **100** in the learning processing.

[0159] In step **S704**, the gNB **200** transmits a message including the model determined in step **S703** to the UE **100**. The UE **100** receives the message and performs the machine learning processing (learning processing and/or inference processing) using the model included in the message. A specific example of step **S704** is described in the second operation pattern below.

#### (4.2) Second Operation Pattern Relating to Model Transfer

[0160] FIG. **19** is a diagram illustrating an example of a configuration message including models and additional information according to an embodiment. The configuration message may be an RRC message transmitted from the gNB **200** to the UE **100**, for example, an “RRC Reconfiguration” message defined in the RRC technical specifications, or a newly defined message (such as an “A1 Deployment” message or an “A1 Reconfiguration” message). The configuration message may be a NAS message transmitted from the AMF **300A** to the UE **100**. When a new layer for performing or controlling the machine learning processing (AI/ML processing) is defined, the message may be a message of the new layer.

[0161] In the example of FIG. **19**, the configuration message includes three models (Model #1 to Model #3). Each model is included as a container of the configuration message. However, the configuration message may include only one model. The configuration message further includes, as the additional information, three pieces of individual additional information (Info #1 to Info #3) individually provided corresponding to three models (Model #1 to Model #3), respectively, and common additional information (Meta-Info) commonly associated with three models (Model #1 to Model #3). Each piece of individual additional information (Info #1 to Info #3) includes information unique to the corresponding model. The common additional information (Meta-Info) includes information common to all models in the configuration message.

[0162] FIG. **20** is a diagram illustrating the second operation pattern relating to model transfer according to an embodiment.

[0163] In step **S711**, the gNB **200** transmits a configuration message including a model and additional information to the UE **100**. The UE **100** receives the configuration message. The configuration message includes at least one selected from the group consisting of the information elements (B1) to (B6) below.

#### (B1) Model

[0164] The “model” may be a trained model used by the UE **100** in the inference processing. The “model” may be an untrained model used by the UE **100** in the learning processing. In the configuration message, the “model” may be encapsulated (containerized). When the “model” is a neural network model, the “model” may be represented by the number of layers (stages), the



number of neurons per layer, a synapse (weight) between the neurons, and the like. For example, a trained (or untrained) neural network model may be represented by a combination of matrices. [0165] A plurality of “models” may be included in one configuration message. In this case, the plurality of “models” may be included in the configuration message in a list format. The plurality of “models” may be configured for the same application or may be configured for different applications. The application of the model is described in detail below.

#### (B2) Model Index (Also Referred to as “Model ID”)

[0166] A “model index” is an example of the additional information (e.g., individual additional information). The “model index” is an index (index number) assigned to a model. In the activation command and the delete message described below, a model can be designated by the “model index”. When the configuration change of the model is performed, a model can be designated by the “model index” as well.

#### (B3) Model Application

[0167] The “model application” is an example of the additional information (individual additional information or common additional information). The “model application” designates a function to which a model is applied. For example, examples of the functions to which the model is applied include CSI feedback, beam management (beam estimation, overhead latency reduction, beam selection accuracy improvement), positioning, modulation and demodulation, coding and decoding (CODEC), and packet compression. The contents of the model application and indexes (identifiers) thereof may be predefined in the 3GPP technical specifications, and the “model application” may be designated by the index. For example, the model application and the index (identifier) thereof are defined such that the CSI feedback is assigned with an application index #A and the beam management is assigned with an application index #B. The UE **100** deploys the model for which the “model application” is designated to the functional block corresponding to the designated application. Note that the “model application” may be an information element that designates input data and output data of a model.

#### (B4) Model Execution Requirement

[0168] A “model execution requirement” is an example of the additional information (e.g., individual additional information). The “model execution requirement” is an information element indicating a performance required to apply (execute) the model (required performance), for example, a processing delay (request latency).

#### (B5) Model Selection Criterion

[0169] A “model selection criterion” is an example of the additional information (individual additional information or common additional information). In response to a criterion designated by the “model selection criterion” being met, the UE **100** applies (executes) the corresponding model. The “model selection criterion” may be the migration speed of the UE **100**. In this case, the “model selection criterion” may be designated by a speed range such as “low-speed migration” or “high-speed migration”. The “model selection criterion” may be designated by a threshold value of the migration speed. The “model selection criterion” may be a radio quality (e.g., RSRP/RSRQ/SINR) measured in the UE **100**. In this case, the “model selection criterion” may be designated by a range of the radio quality. The “model selection criterion” may be designated by a threshold value of the radio quality. The “model selection criterion” may be a position (latitude/longitude/altitude) of the UE **100**. As the “model selection criterion”, a notification (activation command described below) from a sequential network may be configured to be conformed, or an autonomous selection by the UE **100** may be designated.

#### (B6) Whether to Require Learning Processing

[0170] The “whether to require learning processing” is an information element indicating whether the learning processing (or relearning) on the corresponding model is required or is able to be performed. When the learning processing is required, parameter types used for the learning processing may be further configured. For example, for the CSI feedback, the CSI-RS and the UE

migration speed are configured to be used as parameters. When the learning processing is required, a method of the learning processing, for example, supervised learning, unsupervised learning, reinforcement learning, or deep learning may be further configured. Whether the learning processing is performed immediately after the model is configured may be further configured. When the learning processing is not performed immediately, learning execution may be controlled by the activation command described below. For example, for the federated learning, whether to notify the gNB **200** of a result of the learning processing of the UE **100** may be further configured. When a notification of the result of the learning processing of the UE **100** is required to be provided to the gNB **200**, the UE **100**, after performing the learning processing, may encapsulate and transmit the trained model or the learned parameter to the gNB **200** by using an RRC message or the like. The information element indicating “whether to require learning processing” may be an information element indicating, in addition to whether to require learning processing, whether the corresponding model is used only for the model inference.

[0171] In step **S712**, the UE **100** determines whether the model configured in step **S711** is deployable (executable). The UE **100** may make this determination at the time of activation of the model, which is described below, and in step **S713**, which is described later, a message may be transmitted for a notification of an error at the time of the activation. The determination may be made during using the model (during performing the machine learning processing) instead of the time of the deployment or the activation. When the model is determined to be non-deployable (NO in step **S712**), that is, when an error occurs, in step **S713**, the UE **100** transmits an error message to the gNB **200**. The error message may be an RRC message transmitted from the UE **100** to the gNB **200**, for example, a “Failure Information” message defined in the RRC technical specifications, or a newly defined message (e.g., an “A1 Deployment Failure Information” message). The error message may be Uplink Control Information (UCI) defined in the physical layer or a MAC control element (CE) defined in the MAC layer. The error message may be a NAS message transmitted from the UE **100** to the AMF **300A**. When a new layer (AI/ML layer) for performing the machine learning processing (AI/ML processing) is defined, the message may be a message of the new layer.

[0172] The error message includes at least one selected from the group consisting of the information elements (C1) to (C3).

(C1) Model Index

[0173] This is a model index of the model determined to be non-deployable.

(C2) Application Index

[0174] This is an application index of the model determined to be non-deployable.

(C3) Error Cause

[0175] This is an information element related to a cause of an error. The “error cause” may be, for example, “unsupported model”, “processing capacity exceeded”, “error occurrence phase”, or “other errors”. Examples of the “unsupported model” include, for example, a model that the UE **100** cannot support a neural network model, and a model that the machine learning processing (AI/ML processing) of a designated function cannot be supported. Examples of the “processing capacity exceeded” include, for example, an overload (a processing load and/or a memory load exceeds a capacity), a request processing time being not able to be satisfied, and an interrupt processing or a priority processing of an application (upper layer). The “error occurrence phase” is information indicating when an error has occurred. The “error occurrence phase” may include a classification such as a time of deployment (configuration) time, a time of activation time, or a time of operation. The “error occurrence phase” may include a classification such as a time of inference processing or a time of learning processing. The “other errors” include other causes.

[0176] The UE **100** may automatically delete the corresponding model when an error occurs. The UE **100** may delete the model when confirming that an error message is received by the gNB **200**, for example, when an ACK is received at the lower layer. The gNB **200**, when receiving an error

message from the UE **100**, may recognize that the model has been deleted.

[0177] On the other hand, when the model configured in step **S711** is determined to be deployable (YES in step **S712**), that is, when no error occurs, in step **S714**, the UE **100** deploys the model in accordance with the configuration. The “deployment” may mean bringing the model into an applicable state. The “deployment” may mean actually applying the model. In the former case, the model is not applied when the model is only deployed, but the model is applied when the model is activated by the activation command described below. In the latter case, once the model is deployed, the model is brought into a state of being used.

[0178] In step **S715**, the UE **100** transmits a response message to the gNB **200** in response to the model deployment being completed. The gNB **200** receives the response message. The UE **100** may transmit the response message when the activation of the model is completed by the activation command described below. The response message may be an RRC message transmitted from the UE **100** to the gNB **200**, for example, an “RRC Reconfiguration Complete” message defined in the RRC technical specifications, or a newly defined message (e.g., an “A1 Deployment Complete” message). The response message may be a MAC CE defined in the MAC layer. The response message may be a NAS message transmitted from the UE **100** to the AMF **300A**. When a new layer for performing the machine learning processing (AI/ML processing) is defined, the message may be a message of the new layer.

[0179] In step **S716**, the UE **100** may transmit a measurement report message to the gNB **200**, the measurement report message being an RRC message including a measurement result of a radio environment. The gNB **200** receives the measurement report message.

[0180] In step **S717**, the gNB **200** selects a model to be activated, for example, based on the measurement report message, and transmits an activation command (selection command) for activating the selected model to the UE **100**. The UE **100** receives the activation command. The activation command may be DCI, a MAC CE, an RRC message, or a message of the AI/ML layer. The activation command may include a model index indicating the selected model. The activation command may include information designating whether the UE **100** performs the inference processing or whether the UE **100** performs the learning processing.

[0181] The gNB **200** selects a model to be deactivated, for example, based on the measurement report message, and transmits a deactivation command (selection command) for deactivating the selected model to the UE **100**. The UE **100** receives the deactivation command. The deactivation command may be DCI, a MAC CE, an RRC message, or a message of the AI/ML layer. The deactivation command may include a model index indicating the selected model. The UE **100**, upon receiving the deactivation command, may not need to delete but may deactivate (cease to apply) the designated model.

[0182] In step **S718**, the UE **100** applies (activates) the designated model in response to receiving the activation command. The UE **100** performs the inference processing and/or the learning processing using the activated model from among the deployed models.

[0183] In step **S719**, the gNB **200** transmits a delete message to delete the model to the UE **100**. The UE **100** receives the delete message. The delete message may be a MAC CE, an RRC message, a NAS message, or a message of the AI/ML layer. The delete message may include the model index of the model to be deleted. The UE **100**, upon receiving the delete message, deletes the designated model.

#### (4.3) Third Operation Pattern Relating to Model Transfer

[0184] In the third operation pattern, the UE **100** notifies the network of the load status of the machine learning processing (AI/ML processing). This allows the network (e.g., the gNB **200**) to determine how many more models can be deployed (or activated) in the UE **100** based on the load status transmitted in the notification. The third operation pattern may not need to be premised on the first operation pattern relating to the model transfer described above. The third operation pattern may be premised on the first operation pattern.

[0185] FIG. 21 is a diagram illustrating the third operation pattern relating to model transfer according to an embodiment.

[0186] In step S751, the gNB 200 transmits a message, to the UE 100, a message including a request for providing information on the AI/ML processing load status or a configuration of AI/ML processing load status reporting. The UE 100 receives the message. The message may be a MAC CE, an RRC message, a NAS message, or a message of the AI/ML layer. The configuration of AI/ML processing load status reporting may include information for configuring a report trigger (transmission trigger), for example, “Periodic” or “Event triggered”. “Periodic” configures a reporting period, and the UE 100 performs reporting in the period. “Event triggered” configures a threshold value to be compared with a value (processing load value and/or memory load value) indicating the AI/ML processing load status in the UE 100, and the UE 100 performs reporting in response to the value satisfying a condition of the threshold value. Here, the threshold value may be configured for each model. For example, in the message, the model index and the threshold value may be associated with each other.

[0187] In step S752, the UE 100 transmits a message (report message) including the AI/ML processing load status to the gNB 200. The message may be an RRC message, for example, a “UE Assistance Information” message or “Measurement Report” message. The message may be a newly defined message (e.g., an “AI Assistance Information” message). The message may be a NAS message. The message may be a message of the AI/ML layer.

[0188] The message includes a “processing load status” and/or a “memory load status”. The “processing load status” may indicate what percentage of processing capability (capability of the processor) is already used or what remaining percentage is usable. The “processing load status” may indicate, with the load expressed in points as described above, how many points are already used and how many remaining points are usable. The UE 100 may indicate the “processing load status” for each model. For example, the UE 100 may include at least one set of “model index” and “processing load status” in the message. The “memory load status” may indicate a memory capacity, a memory usage amount, or a memory remaining amount. The UE 100 may indicate the “memory load status” for each type such as a model storage memory, an AI processor memory, and a GPU memory.

[0189] In step S752, when the UE 100 wants to stop using a particular model, for example, because of a high processing load or inefficiency, the UE 100 may include in the message information (model index) indicating a model of which configuration deletion or deactivation of model is wanted. When the processing load of the UE 100 becomes unsafe, the UE 100 may transmit the message including alert information to the gNB 200.

[0190] In step S753, the gNB 200 determines configuration change of the model or the like based on the message received from the UE 100 in step S752, and transmits a message for model configuration change to the UE 100. The message may be a MAC CE, an RRC message, a NAS message, or a message of the AI/ML layer. The gNB 200 may transmit the activation command or deactivation command described above to the UE 100.

#### (5) Example of Model Management

[0191] In the embodiment, an example of model management is described. FIG. 22 is a diagram illustrating an example of the model management according to the embodiment.

[0192] In step S801, the communication apparatus 501 performs AI/ML processing (machine learning processing). The machine learning processing is one of the steps illustrated in FIG. 23 to be described later.

[0193] In step S802, the communication apparatus 501 transmits a notification related to the machine learning processing to the communication apparatus 502 as control data. The communication apparatus 502 receives the notification.

[0194] In step S802, the communication apparatus 501 transmits a notification indicating at least one selected from the group consisting of including an untrained model, including a model in

training, and including a trained model on which testing has been completed to the communication apparatus **502**, for example.

[0195] In step **S803**, the communication apparatus **502** transmits a response corresponding to the notification of step **S802** to the communication apparatus **501** as control data. The communication apparatus **501** receives the response.

[0196] The notification of step **S802** may be a notification indicating that the communication apparatus **501** includes the untrained model. In this case, in step **S803**, a data set and/or a configuration parameter to be used for the model training may be included.

[0197] The notification of step **S802** may be a notification indicating that the communication apparatus **501** includes the model in training. In this case, the response of step **S803** may include a data set to continue the model training.

[0198] The notification of step **S802** may be a notification indicating that the communication apparatus **501** includes the trained model on which testing has been completed. The response of step **S803** may include information to start use of the trained model on which testing has been completed.

[0199] Each of the notification of step **S802** and the response of step **S803** may include an index of the corresponding model and/or identification information for identifying a type or an application (for example, for CSI feedback, for beam management, for positioning, or the like) of the corresponding model. These pieces of information are hereinafter also referred to as “model application information and the like”.

[0200] FIG. **23** is a diagram illustrating details of model management, specifically, step **S801** of FIG. **22**, according to an embodiment.

[0201] In step **S811**, the communication apparatus **501** performs model deployment processing. Here, the communication apparatus **501** notifies the communication apparatus **502** that the communication apparatus **501** includes an untrained model, that is, includes a model that needs to be trained. For example, the untrained model may be pre-installed when the communication apparatus **501** is shipped. The untrained model may be acquired by the communication apparatus **501** from the communication apparatus **502**. When the model training has not been completed, for example, certain quality has not been satisfied, the communication apparatus **501** may notify the communication apparatus **502** that the communication apparatus **501** includes the untrained model. For example, one example of a case is that, even if the model training has been completed once, quality of the model can no longer be secured in monitoring due to movement to another environment (for example, from the indoors to the outdoors). The communication apparatus **502** may provide a training data set to the communication apparatus **501**, based on the notification. The communication apparatus **502** may perform an additional configuration for the communication apparatus **501**. The communication apparatus **502** may perform exclusion of application, for example, discarding, deconfiguration (deconfig.), or deactivation, of the model.

[0202] In step **S812**, the communication apparatus **501** performs model training processing. The communication apparatus **501** notifies the communication apparatus **502** that the communication apparatus **501** is in the process of the model training. The notification may include the model application information and the like, in a manner the same as and/or similar to the above. The communication apparatus **502** continues to provide the training data set to the communication apparatus **501**, based on the notification. Note that, when the communication apparatus **502** receives a notification indicating “prior to learning” or “in the process of learning”, the communication apparatus **502** may recognize that the communication apparatus **501** applies a known technique with no model application.

[0203] In step **S813**, the communication apparatus **501** performs model validation processing. The model validation processing is sub-processing of the model training processing. The model validation processing is processing of evaluating quality of the AI/MIL model using a data set different from the data set used for the model training and thereby selecting (adjusting) a model

parameter. The communication apparatus **501** may notify the communication apparatus **502** that the communication apparatus **501** is in the process of the model training or model validation has been completed.

[0204] In step **S814**, the communication apparatus **501** performs model testing processing. The model testing processing is sub-processing of the model training processing. In the model testing processing, a performance of a final AI/ML model is evaluated using a data set different from the data sets used for the model training and the model validation. Unlike the model validation, the model is not adjusted in the model testing. The communication apparatus **501** notifies the communication apparatus **502** that the communication apparatus **501** includes a tested model (that is, that can secure certain quality). The notification may include the model application information and the like, in a manner the same as and/or similar to the above. The communication apparatus **502** performs processing to start use of the model, for example, configuration or activation of the model, based on the notification. The communication apparatus **502** may determine to provide an inference data set, and perform configuration necessary for the communication apparatus **501**.

[0205] In step **S815**, the communication apparatus **501** performs model sharing processing. For example, the communication apparatus **501** transmits (uploads) the trained model to the communication apparatus **502**.

[0206] In step **S816**, the communication apparatus **501** performs model activation processing. The model activation processing is processing for activating (enabling) the model for a specific function. The communication apparatus **501** may notify the communication apparatus **502** that the communication apparatus **501** has activated the model. The notification may include the model application information and the like, in a manner the same as and/or similar to the above.

[0207] In step **S817**, the communication apparatus **501** performs model inference processing. The model inference processing is processing of generating a set of outputs based on a set of inputs, using the trained model. The communication apparatus **501** may notify the communication apparatus **502** that the communication apparatus **501** has performed the model inference. The notification may include the model application information and the like, in a manner the same as and/or similar to the above.

[0208] In step **S818**, the communication apparatus **501** performs model monitoring processing. The model monitoring processing is processing of monitoring inference a performance of the AI/ML model. The communication apparatus **501** may transmit a notification related to the model monitoring processing to the communication apparatus **502**. The notification may include the model application information and the like, in a manner the same as and/or similar to the above. A specific example of the notification will be described later.

[0209] In step **S819**, the communication apparatus **501** performs model deactivation processing. The model deactivation processing is processing of deactivating (disabling) the model for a specific function. The communication apparatus **501** may notify the communication apparatus **502** that the communication apparatus **501** has deactivated the model. The notification may include the model application information and the like, in a manner the same as and/or similar to the above. The model deactivation processing may be processing of deactivating the currently active model and activating another model. The processing is also referred to as model switching.

## (6) AI/ML Control in Consideration of Area Communication Environment

[0210] In the embodiment, AI/ML control in consideration of an area communication environment is described.

[0211] The AI/ML model used for the inference processing (model inference) includes [0212] 1) a “UE side model” entirely for which the UE **100** performs the inference processing, [0213] 2) a “network side model” entirely for which the network **5** performs the inference processing, and [0214] 3) a “two-sided model” for which the UE **100** and the network **5** cooperatively perform the inference processing.

[0215] Here, each of the “UE side model” and the “network side model” is also referred to as a

“one-sided model”. In the “two-sided model”, the first part of the inference processing may be performed by the UE **100**, and then the remaining part of the inference processing may be performed by the gNB **200**.

[0216] When the AI/ML model used for the inference processing is the UE side model, the network **5** (gNB **200**) is assumed to not grasp attributes (for example, applications and/or performances) of the model. Therefore, it is difficult for the network **5** (gNB **200**) to control the model, to be specific, to control the AI/ML processing using the model.

[0217] FIG. **24** is a diagram illustrating an example of the UE side model included in the UE **100**. In the illustrated example, the UE **100** includes model groups different for respective applications (CSI feedback, beam management, positioning). Each model group includes a plurality of AI/ML models optimized for each communication environment. Under such a premise, the UE **100** needs to be able to appropriately select the AI/ML model used for the inference processing (and the learning process) in accordance with the current communication environment.

[0218] FIG. **25** is a diagram illustrating another example of the UE side model included in the UE **100**. In the illustrated example, the AI/ML model uses environment information related to the current communication environment as one of the inference data sets (input data). For example, the UE **100** includes one AI/ML model that is applied to all communication environments for a certain application, and uses the environment information as additional information for accurate inference. The UE **100** inputs the environment information to the AI/ML model and acquires the inference result data outputted by the AI/ML model. Note that before performing such inference processing, the UE **100** may perform the learning processing using the environment information as one of the training data sets (input data).

#### (6.1) First Operation Pattern in Consideration of Area Communication Environment

[0219] Under the premise illustrated in FIGS. **24** and **25**, the network **5** provides the UE **100** with the environment information used by the UE **100** to perform the AI/ML processing among the learning processing and/or the inference processing using the AI/ML model.

[0220] That is, the UE **100** receives, from the network **5**, the environment information indicating a communication environment (also referred to as an “area communication environment”) of a coverage area corresponding to the location of the UE **100**. The coverage area corresponding to the location of the UE **100** may be a cell in which the UE **100** exists, a tracking area in which the UE **100** exists, or a registration area in which the UE **100** exists. However, the coverage area corresponding to the location of the UE **100** may be a peripheral area of the UE **100**, which may be an area unit smaller than a cell. For example, the coverage area corresponding to the location of the UE **100** may be a beam. The beam is identified by a synchronization signal/PBCH block (SSB) index, for example. The UE **100** performs the AI/ML processing among the learning processing and/or the inference processing using the AI/ML model based on the environment information received from the network **5**. As a result, the UE **100** can perform the AI/ML processing in consideration of the environment information. Note that the environment information provided by the network **5** may be information for assisting the AI/ML processing in the UE **100**, which may be referred to as assist information.

[0221] The environment information is a parameter indicative of a geographical characteristic of the coverage area, which is at least one environmental parameter affecting wireless propagation. For example, the environment information may include at least one selected from the group consisting of information indicating a density of constructions in the coverage area, information indicating a population density in the coverage area, information indicating whether the coverage area is indoors, information indicating a size of a cell included in the coverage area, and information indicating a height of an antenna in the cell.

[0222] Under the premise illustrated in FIG. **24**, the UE **100** that has received the environment information from the network **5** may select an AI/ML model to be used in the AI/ML processing from among a plurality of AI/ML models included in the UE **100** in accordance with the

environment information. Accordingly, the UE **100** can select an appropriate AI/ML model in consideration of the environment information and perform the AI/ML processing using the selected AI/ML model.

[0223] Under the premise as illustrated in FIG. **25**, the UE **100** that has received the environment information from the network **5** may perform the AI/ML processing using the environment information as input for the AI/ML model. Accordingly, the UE **100** can accurately perform the inference processing using, for example, the AI/ML model.

[0224] The UE **100** may receive, from the network **5**, information permitting the UE **100** to use the AI/ML model. When the UE is permitted to use the AI/ML model, the UE **100** may perform the AI/ML processing using the AI/ML model. Accordingly, the UE **100** can perform the AI/ML processing under the management of the network **5**.

[0225] The UE **100** may transmit, to the network **5**, request information to request the environment information to be transmitted. Accordingly, the UE **100** can acquire the environment information from the network **5** in an appropriate situation and at an appropriate timing.

[0226] The UE **100** may receive, from the network **5**, information permitting the UE **100** to transmit the request information. The UE **100** may transmit the request information to the network **5** based on the request information being permitted to be transmitted. Accordingly, the UE **100** can acquire the environment information under the management of the network **5**.

[0227] FIG. **26** is a diagram illustrating an operation example of the first operation pattern in consideration of the area communication environment according to the embodiment. In this operational example, the UE **100** may include a plurality of UE implementation dependent/vendor dependent AI/ML models. Such an AI/ML model is also referred to as a proprietary model. In this operation example, assume that a network entity providing auxiliary information is the gNB **200**, but the network entity providing the auxiliary information may be another network entity, for example, the AMF **300**, and the gNB **200** in FIG. **26** may be read as the AMF **300**.

[0228] In step **S901**, the gNB **200** may give the UE **100** usage permission of the model inference (and/or training). For example, the gNB **200** transmits a message including at least one piece of the following information to the UE **100**. [0229] Information indicating whether the one-sided model may be used. [0230] Information indicating whether the proprietary model may be used.

Here, the gNB **200** may individually give permission/non-permission for respective applications (CSI feedback, beam management, positioning). The message of step **S901** may be a system information block (SIB) transmitted in broadcast. The message may be dedicated signaling transmitted in unicast (for example, an RRC Reconfiguration message). The UE **100** determines whether to use the AI/ML model included in the UE **100** itself based on the received message.

[0231] In step **S902**, the gNB **200** may permit the UE **100** to request the environment information related to the model inference (and/or training). For example, the gNB **200** transmits a message including at least one piece of the following information to the UE **100**.

[0232] Information indicating whether the UE **100** may request the environment information. [0233] Information indicating what items of the environment information can be provided by the gNB **200** (item list).

Here, the gNB **200** may individually give permission/non-permission for respective applications (CSI feedback, beam management, positioning). Note that the items of the environment information are described below. The message of step **S902** may be an SIB transmitted in broadcast. The message may be dedicated signaling (for example, an RRC Reconfiguration message). The UE **100** determines whether UE **100** may request the environment information based on the received message.

[0234] In step **S903**, the UE **100** may transmit a request for the environment information to the gNB **200**. For example, the UE **100** transmits a message including at least one piece of the following information to the gNB **200**.

[0235] Information indicating the applications (CSI feedback, beam management or positioning). [0236] Information indicating whether the environment information is used for inference or for learning. [0237] Information indicating



whether the environment information is used for the one-sided model (UE side model) or the two-sided model. [0238] Information indicating whether the environment information is used for the proprietary model or for the model provided (managed) by the network 5. [0239] Information specifying the items of the environment information to be needed. [0240] Information related to the frequency of providing the environment information: It may be information indicating whether one shot provision or periodic provision is desired. When the periodic provision is desired, the provision frequency (time interval, number of times, etc.) may be further transmitted as a notification.

The message of step **S903** may be, for example, an RRC Setup Request message, an RRC Resume Request message, or a UE Assistance Information message. The gNB **200** receives the message. Alternatively, the UE **100** may transmit the request for the environment information by transmitting a random access preamble to the gNB **200** using a physical random access channel (PRACH) resource prepared for the request for environment information. The UE **100** may be permitted to transmit the request in step **S903** only when at least one of the following conditions is met. [0241] The model inference (and/or training) is permitted in step **S901**. [0242] The request for the environment information is permitted in step **S902**.

[0243] In step **S904**, the gNB **200** provides the UE **100** with the environment information of a cell (serving cell) in which the UE **100** exists. For example, the gNB **200** transmits a message including at least one piece of the following information (items) to the gNB **200**. [0244] Information on the arrangement of constructions such as buildings: Urban, Suburban, Rural. [0245] Information on the arrangement of reflectors: Indoor, Outdoor. [0246] Cell radius, cell type (femto, pico, micro, macro, etc.), (class of) transmit power. [0247] Antenna height, LOS (Line of Sight)/NLOS (Non Line of Sight).

These pieces of information may be information of a neighboring cell in addition to the information of the serving cell. The message of step **S904** may be, for example, an SIB or dedicated signaling (for example, an RRC Reconfiguration message).

[0248] In step **S905**, the UE **100** performs at least one of the following processes according to the environment information received in step **S904**. [0249] The UE **100** selects an appropriate AI/ML model on the basis of the environment information, to be more specific, an AI/ML model matching the communication environment indicated by the environment information, from among a plurality of AI/ML models included in the UE **100**. For example, the UE **100** in the communication environment of urban and outdoor selects an AI/ML model for Urban/Outdoor. [0250] The UE **100** inputs the environment information as inference data (and/or training data) to the AI/ML model. For example, the UE **100** inputs the environment information as the inference data to the trained model for CSI feedback in addition to the radio measurement data.

[0251] When the AI/ML processing is normally completed, the UE **100** may notify the gNB **200** that the processing is normally completed. For example, when the selection of the appropriate model is completed, the UE **100** may notify the gNB **200** that the selection of the appropriate model is completed. On the other hand, when the AI/ML processing is abnormally terminated (or not normally completed), the UE **100** may transmit a notification to the gNB **200**. For example, the UE **100** may notify the gNB **200** that an appropriate model could not be selected.

[0252] In this operation example, new information not defined by the existing 3GPP technical specifications is introduced as the environment information. However, information defined by the existing 3GPP technical specifications may be used as at least a part of the environment information. For example, at least one piece of the following information provided by the gNB **200** in the current specifications may be used as the environment information. [0253] SIB9: Time Info (time information). [0254] SIB19: Reference Location (location information for non-terrestrial network (NTN)). [0255] SIB21: MBS FSAI (Multicast/Broadcast Service (MBS) area for MBS).

## (6.2) Second Operation Pattern in Consideration of Area Communication Environment

[0256] The above-described first operation pattern is on the assumption that the gNB **200** assists

the UE **100** implementation dependent AI/ML processing using the environment information. However, from the viewpoint of an operator and/or a vendor of the network **5**, it is not preferable to publish information on the network **5** (provide the information to the UE **100**) in terms of security or the like. Therefore, in the second operation pattern, the UE **100** notifies the network **5** of an AI/ML model included in the UE **100**, and uses the model in response to an indication from the gNB **200**.

[0257] That is, the UE **100** transmits the model information indicating the attributes of the AI/ML model included in the UE **100** to the network **5**. After that, the UE **100** receives information indicating whether the UE **100** is capable of using the AI/ML model, from the network **5**.

Accordingly, the network **5** can cause the UE **100** to use an appropriate AI/ML model in consideration of, for example, a communication environment at the location of the UE **100** (for example, a communication environment of a cell in which the UE **100** exists).

[0258] The model information transmitted from the UE **100** to the network **5** may include at least one selected from the group consisting of information indicating a type of the AI/ML model, information indicating dependency of the AI/ML model on the network **5**, information indicating whether to learn the AI/ML model, information indicating whether to use the environment information from the network **5** for the learning processing and/or the inference processing using the AI/ML model, information indicating an application of the AI/ML model, and information indicating an application environment of the AI/ML model.

[0259] FIG. **27** is a diagram illustrating an operation example of the second pattern in consideration of the area communication environment according to the embodiment. In this operational example, the UE **100** may include a plurality of UE implementation dependent/vendor dependent AI/IL models. Such an AI/ML model is also referred to as a proprietary model. In this operation example, assume that a notification destination of the model information (from another viewpoint, a registration destination) is the gNB **200**, but the notification destination of the model information may be another network entity, for example, the AMF **300**, and the gNB **200** in FIG. **27** may be read as the AMF **300**.

[0260] In step **S931**, the gNB **200** may broadcast information, for example, in an SIB to the UE **100**, the information indicating that a model notification (model registration) from the UE **100** is possible or that the gNB **200** supports an AI/ML function. The information may be transmitted by a UE-specific notification (configuration) through dedicated signaling (for example, an RRC Reconfiguration message).

[0261] In step **S932**, the UE **100** may transmit, to the gNB **200**, information (e.g., 1-bit flag information) indicating that the UE **100** has an AI/ML model of which notification (registration) the UE **100** can make. For example, the UE **100** may transmit the information in message (Msg) **5** of the random access procedure. The UE **100** may transmit the information in a UE Assistance Information message.

[0262] In step **S933**, the gNB **200** may transmit request information, to the UE **100**, to request (or permit) the UE **100** to notify the gNB **200** of the model information. The gNB **200** may broadcast the request information in an SIB. The gNB **200** may transmit the request information through dedicated signaling.

[0263] In step **S934**, the UE **100** transmits the model information indicating the attributes of the AI/ML model included in the UE **100** to the gNB **200**. For example, the UE **100** transmits a message including at least one piece of the following information to the gNB **200**. [0264]

Information indicating whether the AI/ML model is a one-sided model or a two-sided model.

[0265] Information indicating whether the AI/ML model is a proprietary model (UE implementation dependent/vendor dependent AI/ML model), an open format model (AI/ML model based on a format standardized and/or published outside the 3GPP), or a model provided from a 3GPP network (network implementation dependent/network vendor dependent AI/ML model transferred from the network **5** to the UE **100**). [0266] Information indicating the dependency

(collaboration level) of the AI/ML model on the network 5. For example, the collaboration levels include a level X (no collaboration), a level Y (signaling-based collaboration without model transfer from the network 5), and a level Z (signaling-based collaboration with model transfer from the network 5). [0267] Information indicating whether the AI/ML model is a trained model. The information may be information indicating whether learning is required or whether learning is possible. [0268] Information indicating whether the AI/ML model requires the environment information. [0269] Information indicating the applications (CSI feedback, beam management or positioning) of the AI/ML model. [0270] Information related to a communication environment to which the AI/ML model is applied. [0271] Model ID of the AI/ML model. This is an ID assigned to the AI/ML model on the UE **100** side. The ID may be a temporary ID that can be updated on the gNB **200** side. To be more specific, the gNB **200** may have authority to issue a regular model ID, and the temporary ID may be replaced with the regular model ID. Alternatively, the ID may be an ID that is not updated on the gNB **200** side. Note that the model ID may be a name of the AI/ML model.

The UE **100** may notify the gNB **200** of such model information for each AI/ML model. For example, the UE **100** may transmit, to the gNB **200**, a message including the model information in a list format of each of a plurality of AI/ML models included in the UE **100**. In this case, the model IDs may be implicitly assigned in the order of entries in the list, for example, 0, 1, 2, and the like. The message of step **S934** may be, for example, a UE Capability message, a UE Assistance Information message, or a new message (for example, an AI/ML Assistance Information message). The gNB **200** receives the message. The gNB **200** may assign a new model ID to each model the gNB **200** is notified of. The gNB **200** may use the model ID the gNB **200** is notified of without change.

[0272] In step **S935**, the gNB **200** may transmit a notification indicating that the gNB **200** has received the model notification (model registration) to the UE **100**. When the gNB **200** assigns a new model ID to the model, the gNB **200** may transmit information associating the temporary ID of the model with the new ID to the UE **100**. Alternatively, the gNB **200** may notify the UE **100** that the gNB **200** has not accepted the model registration (model registration failure).

[0273] In step **S936**, the gNB **200** selects a model to be used by the UE **100** from among the models the gNB **200** has been notified of by the UE **100** according to the current communication environment (i.e., determines whether to use each model). For example, when the communication environment of the coverage of the gNB **200** (to be specific, the serving of the UE **100**) is urban, the gNB **200** determines that an urban model is to be used by the UE **100**.

[0274] In step **S937**, the gNB **200** transmits information indicating a determination result of step **S936** to the UE **100**. For example, the gNB **200** transmits a set of a model ID and a model deployment indication or a model activation indication to the UE **100** for a model to be used by the UE **100**. The gNB **200** may transmit a set of a model ID and a model de-deployment/release indication or a model deactivation indication to the UE **100** for a model not to be used by the UE **100**. The message of step **S937** may be dedicated signaling, for example, an RRC Reconfiguration message or a MAC CE. The UE **100** receives the message.

[0275] In step **S938**, the UE **100** executes the operation of the model according to the indication of step **S937**. For example, a UE **100** may deploy or activate a model for which model deployment or model activation has been indicated. The UE **100** may de-deploy or deactivate a model for which model de-deployment/release or model deactivation has been indicated.

[0276] Note that when the UE **100** is handed over from the cell of gNB **200** to a cell of another gNB (target gNB), the gNB **200** may notify the target gNB of the model information acquired in step **S934**. For example, the gNB **200** may transmit a Handover Request message including the model information as part of UE context information to the target gNB.

#### (7) Transmission Path Used for Model Transfer

[0277] With reference to FIG. **28**, a transmission path used for the model transfer is described

according to the embodiment.

[0278] When the AI/ML model is provided by the network **5** to the UE **100** (model transfer), candidates for a transmission path used for the model transfer include a signaling radio bearer (SRB) and a data radio bearer (DRB). Specifically, the SRB is used when the model transfer is performed in the control plane, and the DRB is used when the model transfer is performed in the user plane. A plurality of types of SRBs are defined in technical specifications. The DRB is appropriately configured for the UE **100** from the network **5**. When the SRB is used as the transmission path used for the model transfer, a model having a large size is difficult to transfer. Therefore, the SRB is assumed to be used as the transmission path used for the model transfer for a model having a large size.

[0279] Under the premise that there are such various transmission path candidates, the UE **100** is desired to be able to grasp which transmission path is used for the model transfer. Therefore, assume that the network **5** configures the transmission path used for the model transfer for the UE **100**. That is, the UE **100** receives, from the network **5**, configuration information for configuring a transmission path used for transfer of the AI/ML model from the network **5** to the UE **100**. The UE **100** receives the AI/ML model from the network **5** via the transmission path. Thus, the UE **100** can appropriately receive the AI/ML model from the network **5**.

[0280] The configuration information for configuring the transmission path may include information indicating which of the SRB and the DRB is configured as the transmission path. The configuration information for configuring the transmission path may include information for identifying the SRB to be configured as the transmission path. The configuration information for configuring the transmission path may include information for identifying the DRB to be configured as the transmission path and/or a transmission source address in the transmission path.

[0281] FIG. **29** is a diagram illustrating an operation example for the configuration of the transmission path used for the model transfer according to the embodiment.

[0282] In step **S1001**, the gNB **200** transmits, to the UE **100**, the configuration information for configuring the transmission path for transmitting the model for the UE **100** through dedicated signaling (for example, an RRC Reconfiguration message). The UE **100** may receive the configuration information and establish the transmission path.

[0283] The configuration information may include information indicating whether to use the SRB or the DRB as the transmission path.

[0284] When the SRB is used as the transmission path, the configuration information may include information for identifying a type of the SRB. The types of the SRB include SRB1 to SRB4. The SRB1 is an SRB mainly used for an SIB. The SRB2 is an SRB mainly used for a dedicated RRC message. The SRB2 is an SRB mainly used for a NAS message. The SRB3 is an SRB used for signaling from a secondary node during dual connectivity. The SRB4 is an SRB used for an application-based message, for example, a QoE report.

[0285] When the DRB is used as the transmission path, the configuration information may include a DRB ID and/or a source IP address. For example, the gNB **200** may include information indicative of model transmission in a DRB configuration (including a configuration of a DRB ID) for the UE **100**. The source IP addresses may be the IP addresses of the server or gNB **200** from which the model is transmitted. The configuration information may include information indicating whether to manage and control the model in the control plane. For example, the gNB **200** may notify the UE **100** of the model ID in the control plane (SRB) and transfer the model to the UE **100** in the user plane (DRB) so that the model is associated with the model ID on the UE **100** side. Note that the UE **100** may notify the gNB **200** of the IP addresses of the user plane of the UE **100**. The IP address may be a destination IP address as viewed from the server.

[0286] In step **S1002**, the gNB **200** transfers the model to the UE **100** by using the transmission path configured in step **S1001**. The UE **100** receives and stores the model. When the model is transmitted in the user plane (DRB), the gNB **200** may include the identification information in an

SDAP header or a PDCP header of a packet containing the model. The identification information includes information indicating whether to manage the model in the control plane and/or the model ID when the model is managed by the control plane. The UE **100** acquires the identification information and associates the model with the control plane (RRC).

[0287] In step **S1003**, the gNB **200** specifies the model ID to control deployment, activation, deactivation, etc. of the model in the control plane (RRC message). For example, when the model is transmitted in the user plane (DRB), the gNB **200** may notify the UE **100** of metadata (model header, additional information) associated with the model ID in an RRC message. The UE **100** may associate the model received in the user plane with the metadata received in the control plane based on the model ID.

#### (8) Other Embodiments

[0288] The above-described embodiment has mainly described the communication between the UE **100** and the gNB **200**, but the operations according to the above-described embodiment may be applied to communication between the gNB **200** and the AMF **300A** (i.e., communication between the base station and the core network). The above-described signaling may be transmitted from the gNB **200** to the AMF **300A** over the NG interface. The above-described signaling may be transmitted from the AMF **300A** to the gNB **200** over the NG interface. The AMF **300A** and the gNB **200** may exchange a request to perform the federated learning and/or a training result of the federated learning with each other. The above-described operation scenarios operations may be applied to communication between the gNB **200** and another gNB **200** (i.e., inter-base station communication). The above-described signaling may be transmitted from the gNB **200** to the other gNB **200** over the Xn interface. The gNB **200** and the other gNB **200** may exchange a request to perform the federated learning and/or a training result of the federated learning with each other. The above-described operations may be applied to communication between the UE **100** and another UE **100** (i.e., inter-user equipment communication). The above-described signaling may be transmitted from the UE **100** to the other UE **100** over the sidelink. The UE **100** and the other UE **100** may exchange a request to perform the federated learning and/or a training result of the federated learning with each other.

[0289] The operation flows described above can be separately and independently implemented, and also be implemented in combination of two or more of the operation flows. For example, some steps of one operation flow may be added to another operation flow or some steps of one operation flow may be replaced with some steps of another operation flow. In each flow, all steps may not be necessarily performed, and only some of the steps may be performed.

[0290] In the embodiment described above, an example in which the base station is an NR base station (gNB) has been described. However, the base station may be an LTE base station (an eNB). The base station may be a relay node such as an Integrated Access and Backhaul (IAB) node. The base station may be a distributed unit (DU) of the IAB node. The user equipment (terminal apparatus) may be a relay node such as an IAB node or a Mobile Termination (MT) of the IAB node.

[0291] The term “network node” mainly means a base station, but may also mean a core network apparatus or a part (CU, DU, or RU) of the base station.

[0292] A program causing a computer to execute each piece of the processing performed by the communication apparatus (e.g., UE **100** or gNB **200**) may be provided. The program may be recorded in a computer readable medium. Use of the computer readable medium enables the program to be installed on a computer. Here, the computer readable medium on which the program is recorded may be a non-transitory recording medium. The non-transitory recording medium is not particularly limited, and may be, for example, a recording medium such as a CD-ROM or a DVD-ROM. Circuits for performing each piece of processing performed by the communication apparatus may be integrated, and at least part of the communication apparatus may be configured as a semiconductor integrated circuit (chipset, System on a chip (SoC)).

[0293] As used in this disclosure, the terms “based on” and “depending on” do not mean “based only on” or “depending only on”, unless otherwise specified. The phrase “based on” means both “based only on” and “based at least in part on”. The phrase “depending on” means both “only depending on” and “at least partially depending on”. “Obtain” or “acquire” may mean to obtain information from stored information, may mean to obtain information from information received from another node, or may mean to obtain information by generating the information. The terms “include,” “comprise” and variations thereof do not mean “include only items stated” but instead mean “may include only items stated” or “may include not only the items stated but also other items”. The term “or” used in the present disclosure is not intended to be “exclusive or”. Any references to elements using designations such as “first” and “second” as used in the present disclosure do not generally limit the quantity or order of those elements. These designations may be used herein as a convenient method of distinguishing between two or more elements. Thus, a reference to first and second elements does not mean that only two elements may be employed there or that the first element needs to precede the second element in some manner. For example, when the English articles such as “a,” “an,” and “the” are added in the present disclosure through translation, these articles include the plural unless clearly indicated otherwise in context.

[0294] Embodiments have been described above in detail with reference to the drawings, but specific configurations are not limited to those described above, and various design variation can be made without departing from the gist of the present disclosure.

#### (9) Supplementary Notes

[0295] Features relating to the embodiments described above are described below as supplements.  
Supplementary Note 1

[0296] A communication method for applying an artificial intelligence or machine learning (AI/ML) technology to wireless communication between a user equipment and a network in a mobile communication system, the method including: [0297] receiving, by a user equipment, environment information from a network, the environment information indicating an communication environment of a coverage area corresponding to a location of the user equipment; and [0298] performing, by the user equipment, AI/IL processing among learning processing and/or inference processing using an AI/ML model, based on the environment information.

#### Supplementary Note 2

[0299] The communication method according to supplementary note 1, wherein [0300] the environment information includes at least one selected from the group consisting of information indicating a density of constructions in the coverage area, information indicating a population density in the coverage area, information indicating whether the coverage area is indoors, information indicating a size of a cell included in the coverage area, and information indicating a height of an antenna in the cell.

#### Supplementary Note 3

[0301] The communication method according to supplementary note 1 or 2, wherein [0302] the performing of the AI/ML processing includes selecting the AI/ML model to be used for the AI/ML processing from among a plurality of AI/ML models included in the user equipment in accordance with the environment information.

#### Supplementary Note 4

[0303] The communication method according to any one of supplementary notes 1 to 3, wherein [0304] the performing of the AI/ML processing includes performing the AI/ML processing using the environment information as input for the AI/ML model.

#### Supplementary Note 5

[0305] The communication method according to any one of supplementary notes 1 to 4, further including: [0306] receiving, by the user equipment, information permitting the user equipment to use the AI/ML model, from the network, wherein [0307] the performing of the AI/ML processing includes performing the AI/IL processing based on the AI/ML model being permitted to be used.

#### Supplementary Note 6

[0308] The communication method according to any one of supplementary notes 1 to 5, further including: [0309] transmitting, by the user equipment, request information to the network, the request information being configured to request the environment information to be transmitted.

#### Supplementary Note 7

[0310] The communication method according to any one of supplementary notes 1 to 6, further including: [0311] receiving information, from the network, permitting the user equipment to transmit the request information, wherein [0312] the transmitting of the request information includes transmitting the request information based on the request information being permitted to be transmitted.

#### Supplementary Note 8

[0313] A communication method for applying an artificial intelligence or machine learning (AI/ML) technology to wireless communication between a user equipment and a network in a mobile communication system, the method including: [0314] transmitting, by a user equipment, model information to a network, the model information indicating attributes of an AI/ML model included in the user equipment; and [0315] receiving, by the user equipment, information indicating whether the user equipment is capable of using the AI/ML model, from the network.

#### Supplementary Note 9

[0316] The communication method according to supplementary note 8, wherein [0317] the model information includes at least one selected from the group consisting of information indicating a type of the AI/ML model, information indicating dependency of the AI/ML model on the network, information indicating whether to learn the AI/ML model, information indicating whether to use environment information from the network for learning processing and/or inference processing using the AI/ML model, information indicating an application of the AI/ML model, and information indicating an application environment of the AI/ML model.

#### Supplementary Note 10

[0318] A communication method for applying an artificial intelligence or machine learning (AI/ML) technology to wireless communication between a user equipment and a network in a mobile communication system, the method including: [0319] receiving, by a user equipment, configuration information from a network, the configuration information being configured to configure a transmission path used to transfer an AI/ML model from the network to the user equipment; and [0320] receiving, by the user equipment, the AI/ML model from the network via the transmission path.

#### Supplementary Note 11

[0321] The communication method according to supplementary note 10, wherein [0322] the configuration information includes information indicating which one of a signaling radio bearer (SRB) and a data radio bearer (DRB) is configured as the transmission path.

#### Supplementary Note 12

[0323] The communication method according to supplementary note 10 or 11, wherein [0324] the configuration information includes information for identifying the signaling radio bearer (SRB) to be configured as the transmission path.

#### Supplementary Note 13

[0325] The communication method according to supplementary note 10 or 11, wherein [0326] the configuration information includes information for identifying the data radio bearer (DRB) to be configured as the transmission path and/or a transmission source address in the transmission path.

#### REFERENCE SIGNS

[0327] **1**: Mobile communication system [0328] **5**: Network [0329] **10**: RAN (NG-RAN) [0330] **20**: CN (5GC) [0331] **100**: UE [0332] **110**: Receiver [0333] **120**: Transmitter [0334] **130**: Controller [0335] **131**: CSI generator [0336] **132**: Position information generator [0337] **140**: GNSS reception device [0338] **200**: gNB [0339] **210**: Transmitter [0340] **220**: Receiver [0341]

## Claims

- 1.** A communication method for applying an artificial intelligence or machine learning (AI/ML) technology to wireless communication between a user equipment and a network in a mobile communication system, the communication method comprising: receiving, by a user equipment, environment information from a network, the environment information indicating an communication environment of a coverage area corresponding to a location of the user equipment; and performing, by the user equipment, AI/ML processing among learning processing and/or inference processing using an AI/ML model, based on the environment information.
- 2.** The communication method according to claim 1, wherein the environment information comprises at least one selected from the group consisting of information indicating a density of constructions in the coverage area, information indicating a population density in the coverage area, information indicating whether the coverage area is indoors, information indicating a size of a cell comprised in the coverage area, and information indicating a height of an antenna in the cell.
- 3.** The communication method according to claim 1, wherein the performing of the AI/ML processing comprises selecting the AI/ML model to be used for the AI/ML processing from among a plurality of AI/ML models comprised in the user equipment in accordance with the environment information.
- 4.** The communication method according to claim 1, wherein the performing of the AI/ML processing comprises performing the AI/ML processing using the environment information as input for the AI/ML model.
- 5.** The communication method according to claim 1, further comprising: receiving, by the user equipment, information permitting the user equipment to use the AI/ML model, from the network, wherein the performing of the AI/ML processing comprises performing the AI/ML processing based on the AI/ML model being permitted to be used.
- 6.** The communication method according to claim 1, further comprising: transmitting, by the user equipment, request information to the network, the request information being configured to request the environment information to be transmitted.
- 7.** The communication method according to claim 6, further comprising: receiving information, from the network, permitting the user equipment to transmit the request information, wherein the transmitting of the request information comprises transmitting the request information based on the request information being permitted to be transmitted.
- 8.** A communication method for applying an artificial intelligence or machine learning (AI/ML) technology to wireless communication between a user equipment and a network in a mobile communication system, the communication method comprising: transmitting, by a user equipment, model information to a network, the model information indicating attributes of an AI/ML model comprised in the user equipment; and receiving, by the user equipment, information indicating whether the user equipment is capable of using the AI/ML model, from the network.
- 9.** The communication method according to claim 8, wherein the model information comprises at least one selected from the group consisting of information indicating a type of the AI/ML model, information indicating dependency of the AI/ML model on the network, information indicating whether to learn the AI/ML model, information indicating whether to use environment information from the network for learning processing and/or inference processing using the AI/ML model, information indicating an application of the AI/ML model, and information indicating an application environment of the AI/ML model.
- 10.** A communication method for applying an artificial intelligence or machine learning (AI/ML) technology to wireless communication between a user equipment and a network in a mobile



communication system, the communication method comprising: receiving, by a user equipment, configuration information from a network, the configuration information being configured to configure a transmission path used to transfer an AI/ML model from the network to the user equipment; and receiving, by the user equipment, the AI/ML model from the network via the transmission path.

**11.** The communication method according to claim 10, wherein the configuration information comprises information indicating which one of a signaling radio bearer (SRB) and a data radio bearer (DRB) is configured as the transmission path.

**12.** The communication method according to claim 10, wherein the configuration information comprises information for identifying the signaling radio bearer (SRB) to be configured as the transmission path.

**13.** The communication method according to claim 10, wherein the configuration information comprises information for identifying the data radio bearer (DRB) to be configured as the transmission path and/or a transmission source address in the transmission path.

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