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### Method for Peak to Average Power Reduction of DM-RS Signals

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#### Abstract

A user equipment (UE) is configured to enhance Demodulation Reference Signals (DM-RS) in order to reduce the Peak-to-Average Power Ratio (PAPR) to the same level as for data symbols. To accomplish this function, the UE obtains a first initialization value that is calculated based on one of a first parameter (e.g., cell ID) and a second parameter and a scrambling code ID. The UE then maps the first initialization value to either a first Code Division Multiplexing (CDM) group or a second CDM group based on the scrambling code ID. The mapping associates the first initialization value with the first or second CDM group such that the initialization value is used when generating a demodulation sequence for that CDM group.

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## Background/Summary

RELATED APPLICATIONS [0001] This application is a continuation of prior application Ser. No. 18/412,895 filed Jan. 15, 2024, which is a continuation of application Ser. No. 17/283,732 filed Apr. 8, 2021 and issued on Jan. 16, 2024 as U.S. Pat. No. 11,876,657, which is the National Stage of International Application PCT/SE 2019/050951 filed Oct. 2, 2019, which claims the benefit of U.S. Provisional Application No. 62/744,095 filed Oct. 10, 2018, each of which is incorporated herein by reference in their entirety.

## TECHNICAL FIELD

[0002] The present disclosure relates generally to the field of radio communications, and more particularly to reducing Power-to-Average Peak Ratio (PAPR) in order to achieve a high operational efficiency of a Power Amplifier (PA).

## BACKGROUND

[0003] Signal waveforms with low Peak-to-Average Power Ratio (PAPR) and low Cubic Metric (CM) are desirable when designing wireless systems for achieving high operational efficiency of Power Amplifiers (PA). A signal waveform, i.e. a time continuous signal, with high PAPR/CM has large amplitude variations at the input to the power amplifier and may cause severe clipping of the signal when the PA operates near its maximum output transmit power. This is because a PA has a non-linear relation between the input signal and the output signal, and because the output signal is limited in a maximal possible output amplitude. For low input signal levels, a PA is nearly linear in its characteristics. For high input amplitudes, however, the output signal is limited in amplitude. This is known as clipping, which causes in-band signal distortion as well as out-of-band spurious emissions that degrade the system performance.

## SUMMARY

[0004] Embodiments of the present disclosure also provide a non-transitory computer-readable storage medium comprising a computer program stored thereon. The computer program comprises executable instructions that, when executed by a processing circuit in a user equipment in a wireless communication network, causes the user equipment to obtain a first initialization value that is calculated based on one of a first and a second parameter and a scrambling code ID, and to map the first initialization value to either a first Code Division Multiplexing (CDM) group or a second CDM group based on the scrambling code ID.

[0005] Embodiments of the present disclosure enhance Demodulation Reference Signals (DM-RS) in order to reduce the Peak-to-Average Power Ratio (PAPR) to the same level as for data symbols. Particularly, the initialization values that are used to generate the sequences for data demodulation for the physical uplink and downlink shared channels (i.e., PUSCH and PDSCH, respectively) are different for each of a plurality of Code Division Multiplexing (CDM) groups at a user equipment (UE), and depend on a parameter that is signaled to the UE in the scheduling Downlink Control Information (DCI) message. For example, in one embodiment, the initialization values that get mapped to the CDM groups are mapped differently to the CDM groups being used depending on the value of the signaled parameter received in the scheduling DCI message. Thus, with the embodiments of the present disclosure, the mapping may associate an initialization value with a CDM group such that the associated initialization value is used when generating a demodulation sequence for the CDM group.

[0006] Accordingly, embodiments of the present disclosure provide a method implemented by a user equipment (UE). In particular, the method comprises obtaining a first initialization value that is calculated based on one of a first and a second parameter and a scrambling code ID, and mapping the first initialization value to either a first Code Division Multiplexing (CDM) group or a second CDM group based on the scrambling code ID.

[0007] Embodiments of the present disclosure also provide user equipment (UE) in a wireless communication network. The UE in these embodiments comprises a communications interface circuit and a processing circuit. The communications interface circuit is configured to communicate with a serving cell in the wireless communication network. The processing circuit is configured to obtain a first initialization value that is calculated based on one of a first and a second parameter and a scrambling code ID, and to map the first initialization value to either a first Code Division Multiplexing (CDM) group or a second CDM group based on the scrambling code ID.

[0008] Embodiments of the present disclosure also provide a UE in a wireless communication network. In these embodiments, the UE is configured to obtain a first initialization value that is calculated based on one of a first and a second parameter and a scrambling code ID, and to map the first initialization value to either a first Code Division Multiplexing (CDM) group or a second CDM group based on the scrambling code ID.

[0009] Embodiments of the present disclosure also provide a communication system comprising a network node and a user equipment (UE) operable to communicate with the network node. In this embodiment, the UE comprises processing circuitry configured to obtain a first initialization value that is calculated based on one of a first and a second parameter, and a scrambling code ID, and to map the first initialization value to either a first Code Division Multiplexing (CDM) group or a second CDM group based on the scrambling code ID.

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## Description

### BRIEF DESCRIPTION OF THE DRAWINGS

[0010] FIG. 1 illustrates an exemplary communication network according to an embodiment.

[0011] FIG. 2 illustrates DM-RS Type 1 and Type 2 with 1 or 2 adjacent DMRS symbols according to an embodiment.

[0012] FIG. 3 illustrates mapping of four DM-RS ports on subcarriers within a resource block according to an embodiment.

[0013] FIGS. 4A-4B are signaling diagrams illustrating the signaling between the network and a UE according to one embodiment.

[0014] FIG. 5 illustrates a method implemented by a UE according to an embodiment.

[0015] FIG. 6 is a schematic block diagram of an exemplary UE according to an embodiment.

[0016] FIG. 7 is a schematic block diagram of an exemplary base station according to an embodiment.

[0017] FIG. 8 is a functional block diagram of a wireless terminal that can be configured as a UE or base station according to an embodiment.

[0018] FIG. 9 is a schematic block diagram of an exemplary UE according to an embodiment.

[0019] FIG. 10 is a schematic block diagram of an exemplary base station according to an embodiment.

[0020] FIG. 11 illustrates an example wireless network according to one embodiment.

[0021] FIG. 12 illustrates one embodiment of a UE according to one embodiment.

[0022] FIG. 13 is a schematic block diagram illustrating a virtualization environment in which functions implemented by some embodiments may be virtualized according to one embodiment.

[0023] FIG. 14 illustrates a telecommunication network connected via an intermediate network to a host computer according to one embodiment.

[0024] FIG. **15** illustrates host computer communicating via a base station with a user equipment over a partially wireless connection according to one embodiment.

[0025] FIG. **16** is a flowchart illustrating a method implemented in a communication system according to one embodiment.

[0026] FIG. **17** is a flowchart illustrating a method implemented in a communication system, in accordance with one embodiment.

[0027] FIG. **18** is a flowchart illustrating a method implemented in a communication system, in accordance with one embodiment.

[0028] FIG. **19** is a flowchart illustrating a method implemented in a communication system, in accordance with one embodiment.

#### DETAILED DESCRIPTION

[0029] Referring now to the drawings, an exemplary embodiment of the disclosure will be described in the context of a 5G or New Radio (NR) wireless communication network. Those skilled in the art will appreciate that the methods and apparatus herein described are not limited to use in 5G or NR networks, but may also be used in wireless communication networks where multiple beams within a single cell are used for communication with wireless devices in the cell.

[0030] FIG. **1** illustrates a wireless communication network **10** according to the NR standard currently being developed by Third Generation Partnership Project (3GPP). The wireless communication network **10** comprises one or more base stations **20** providing service to user equipments (UEs) **30** in respective cells **50** of the wireless communication network **10**. The base stations **20** are also referred to as Evolved NodesBs (eNBs) and gNodeBs (gNBs) in 3GPP standards. Although only one cell **50** and one base station **20** are shown in FIG. **1**, those skilled in the art will appreciate that a typical wireless communication network **10** comprises many cells **50** served by many base stations **20**.

[0031] Further, one feature of NR networks is the ability of the base stations **20** to transmit and/or receive on multiple beams in the same cell **50**. FIG. **1** illustrates two beams **52**, although the number of beams **52** in a cell **50** may be different.

[0032] The UEs **30** may comprise any type of equipment capable of communicating with the base station **20** over a wireless communication channel. For example, the UEs **30** may comprise cellular telephones, smart phones, laptop computers, notebook computers, tablets, machine-to-machine (M2M) devices (also known as machine type communication (MTC) devices), embedded devices, wireless sensors, or other types of wireless end user devices capable of communicating over wireless communication networks **10**.

[0033] As previously stated, a PA has a non-linear relation between the input signal and the output signal. Further, the output signal is limited in a maximum possible output amplitude. For low input signal levels, a PA is nearly linear in its characteristics. However, for high input amplitudes, the output signal is limited in amplitude. This is known as “clipping.”

[0034] Clipping causes in-band signal distortion as well as out-of-band spurious emissions that degrade the system performance. There are some approaches to avoid impairments due to clipping. One approach, for example, is to increase the linearity requirements on the PA. However, requiring higher linearity of the PA (e.g. by design or by employing linearization techniques) can increase cost significantly. Another approach is to decrease the transmit power such that the probability for clipping is low. This latter approach, however, reduces the coverage of the transmitted signal. Therefore, it is desirable to keep the PAPR/CM of the input signal as low as possible.

[0035] The main signal waveform in NR in uplink and downlink refers to a multi-carrier Orthogonal Frequency Division Multiplexing (OFDM) signal generation (a.k.a. CP-OFDM) in which physical layer channels and reference signals are directly mapped on a time-frequency grid. The CP-OFDM scheme offers large flexibility in resource utilization to the cost of a chosen waveform with relatively high PAPR/CM. However, this is a drawback for uplink coverage where transmissions can be power limited. To enhance uplink coverage, transform pre-coded OFDM

signal generation (a.k.a. DFT-spread OF DM) was adopted as a complementary uplink waveform for which the CM is in the order of 3 dB lower than it is for CP-OFDM.

[0036] It is essential that PAPR and CM of these OFDM waveforms are not increasing by the design of the reference signals. For the CP-OFDM waveform, the reference signal will be QPSK modulated as:

$$[00001]r(m) = \frac{1}{\sqrt{2}}(1 - 2 \cdot \text{Math. } c(2m)) + j \frac{1}{\sqrt{2}}(1 - 2 \cdot \text{Math. } c(2m + 1)), m = 0, 1, \dots, \text{Math.}$$

[0037] where  $c(i)$  refers to a length-31 Gold sequence. The Quadrature Phase Shift Keying (QPSK) modulated reference signal will match the PAPR/CM of the CP-OFDM waveform.

However, it will not be suitable for the DFT-spread OFDM waveform for which a reference signal is instead derived from a Zadoff-Chu sequence with a PAPR/CM that matches the DFT-spread OFDM waveform.

[0038] Like LTE, NR will require DM-RS for coherent demodulation of the physical layer data channels PDSCH (DL) and PUSCH (UL), as well as for PDCCH (DL). The DM-RS is confined to the resource blocks carrying the associated PDSCH/PUSCH, and is mapped on the OFDM time-frequency grid such that the receiver is able to efficiently handle time/frequency-selective fading radio channels.

[0039] NR will use a flexible air interface in which the mapping of the DM-RS on the resource elements of an OF DM time-frequency grid is highly configurable. For example, the DM-RS can be configured with respect to: [0040] A mapping type in the frequency domain within one OFDM symbol. This is known as type 1 or type 2 mapping. For type 1 mapping, the maximum number of orthogonal DM-RS ports in one slot is either 4 or 8, whereas for type 2 mapping, the maximum number of orthogonal DM-RS ports in one slot is either 6 or 12, depending on whether one or two adjacent OFDM symbols are used for DM-RS; [0041] The start position in time within the slot for the DM-RS (type A or type B mapping); [0042] The number of additional DM-RS symbols within the transmission interval and the maximum number of supported orthogonal DM-RS ports.

[0043] The number of considered DM-RS ports coincides with the number of multiple-input and multiple-output (MIMO) layers that are spatially multiplexed from the transmitter perspective—i.e. one DM-RS port per transmitted layer. Thus, a single-layer (i.e., rank 1) transmission will use a single DM-RS port, whereas a two-layer (i.e., rank 2) transmission will use two DM-RS ports. Typically, the DM-RS ports used in SU-MIMO transmissions represent a subset of the total available DM-RS ports given by the DM-RS configuration. Further, the ports used in the transmission are indicated via the physical downlink control channel (PDCCH).

[0044] FIG. 2 illustrates DM-RS type 1 and type 2 mapping with 1 or 2 adjacent DM-RS symbols. The different shaded areas represent different Code Divisional Multiplexing (CDM) groups. An antenna port is mapped to the Resource Elements (REs) within one CDM group only. Table 1 below illustrates how DM-RS ports are indicated for a Front Loaded Type 1 DM-RS with one OFDM symbol for DM-RS. Values in the range from 0-11 inclusive are signaled from the network to the UE using downlink control information (DCI). If the Value=0, then a single DM-RS port (i.e., DM-RS port 0) is used, and thus, a single layer transmission using DM-RS port 0 (SIMO). If Value=2 is signaled, then 2 layers of data are scheduled (MIMO), and DM-RS ports 0 and 1 are used for the two layers, respectively.

TABLE-US-00001 TABLE 1 Antenna port(s) (1000 + DM-RS port), DL-DM-RS-config-type = 1, DL-DM-RS - max-len = 1 One Codeword: Codeword 0 enabled, Codeword 1 disabled Number of DMRS CDM group(s) DMRS Value without data port(s) 0 1 0 1 1 1 2 1 0, 1 3 2 0 4 2 1 5 2 2 6 2 3 7 2 0, 1 8 2 2, 3 9 2 0-2 10 2 0-3 11 2 0, 2 12-15 Reserved Reserved

[0045] Moreover, with the DM-RS type 1 mapping supporting up to 4 orthogonal DM-RS ports (indexing the ports with 0, 1, 2 and 3), a single-layer transmission could use one of the four DM-RS ports {0}, {1}, {2}, {3}. Additionally, a two-layer transmission could use one of the port pairs {0, 1}, {0, 2}, {0, 3}, {1, 2}, {1, 3}, {2, 3}, and a three-layer transmission could in principle use one of the port triples {0, 1, 2}, {0, 1, 3}, {0, 2, 3}, {1, 2, 3}. A four-layer transmission would use

all the available DM-RS ports {0, 1, 2, 3}. When specifying port combinations to be signaled, only a subset of the possible combinations is specified according to Table 1 above such that for type 1 mapping (maximum 4 ports), the port pairs are restricted to {0, 1}, {2, 3}, {0, 2}, and a three-layer transmission is restricted to the ports {0, 1, 2}, while four layer transmission use all four ports. In NR, the DM-RS ports used in multi-layer transmissions are specified in 3GPP TS 38.212, where additional tables as the one shown in FIG. 2 for Front Loaded Type 2, and for the case of 2 DMRS symbols, are specified.

[0046] Table 2 illustrates an example of how 3GPP TS 38.211 defines which RE an antenna port is mapped to.

TABLE-US-00002 TABLE 2 Parameters for PDSCH DM-RS configuration type 1. CDM w.sub.f (k') w.sub.t (l') p group  $\lambda$   $\Delta$  k' = 0 k' = 1 l' = 0 l' = 1 1000 0 0 +1 +1 +1 +1 1001 0 0 +1 -1 +1 +1 1002 1 1 +1 +1 +1 +1 1003 1 1 +1 -1 +1 +1 1004 0 0 +1 +1 +1 -1 1005 0 0 +1 -1 +1 -1 1006 1 1 +1 +1 +1 -1 1007 1 1 +1 -1 +1 -1

[0047] As seen in Table 2, there are 8 antenna ports p for DM-RS type 1 labeled 1000-1007. For each port p, a CDM group A is given. This means that ports belonging to the same CDM group are mapped to the same group of resource elements, but separated by an orthogonal cover code in frequency (in cases where a single OFDM symbol is used for DMRS) or frequency+time (in cases where two OF DM symbols are used). The delta parameter A indicates the frequency shift in steps of REs. Therefore, port 1000 and port 1001 use even subcarriers (A=0) and are separated by a frequency domain cover code (+1 +1) and (+1 -1), respectively. From Table 1, it can be seen that a dual layer MIMO transmission can be selected by indicating Value=2 in the scheduling DCI.

[0048] FIG. 3 illustrates NR mapping of four DM-RS ports on a resource block and on a single OFDM symbol, where the orthogonal cover codes (OCCs) of length-2 are used within each CDM group to orthogonalize the DM-RS sequences that are mapped on the same subcarriers. As seen in FIG. 3, Type 1 mapping of the DM-RS sequence (r (m), m=0,1, . . . ) has a comb structure with two CDM groups, and further, has a denser pattern in the frequency-domain than the Type 2 mapping, which use three CDM groups.

[0049] Comb mapping is a prerequisite for transmissions requiring low PAPR/CM, and is therefore used in conjunction with DFT-spread-OFDM. In CP-OFDM, however, both DM-RS Type 1 and DM-RS Type 2 mapping are supported. Additionally, Type 2 mapping supports three CDM groups; however, in this example, CDM group 2 is not used. In other words, there is no type 2 mapping of DM-RS on subcarriers 4, 5, 10 and 11. Resource elements associated with a CDM group that are (occasionally) not used for DM-RS can be used for PDSCH/PUSCH to reduce overhead. In NR, the construction of reference signals and their mapping on resource elements are specified in 3GPP TS 38.211.

[0050] After layer-mapping, the DM-RS and associated PDSCH/PUSCH are mapped to physical antennas. The mapping can be described by a precoding matrix with the number of rows being equal to the number of physical antennas (or virtual antennas in case a specification transparent mapping is performed between virtual antennas and the physical antennas, for example a beamforming network) and the number of columns equal to the number of layers.

[0051] Therefore, the transmitted DM-RS is pre-coded to adjust the spatial phases of the transmitted signals such that received signals adds constructively at the intended receiver, and destructively at other, unintended receivers. Each DM-RS is pre-coded according to the associated column of the precoding matrix. The choice of pre-coder is typically based on channel state information (CSI) acquired either on the receiver side or on the transmitter side. The precoding could be wideband in the sense that the same pre-coder is applied to all subcarriers, or there could be one pre-coder per subcarrier. Typically, though, the pre-coder is fixed over a bundle of resource blocks. For the uplink, and at least in the cases of codebook-based uplink transmissions, the pre-coder may be indicated to the UE, and thus, is selected by the network. For the downlink, the pre-coder is standard transparent. As such, it is up to the network to select a pre-coder.

[0052] As mentioned above, in NR, as well as in LTE, a reference signal based on PRBS is constructed as:

$$[00002] r(m) = \frac{1}{\sqrt{2}}(1 - 2 \cdot \text{Math. } c(2m)) + j \frac{1}{\sqrt{2}}(1 - 2 \cdot \text{Math. } c(2m + 1)), m = 0, 1, \dots, \text{Math. } c(2m + 1),$$

where the PRBS  $c(i)$  is a Gold-31 sequence constructed from modulo-2 additions of two m-sequences, with each m-sequence being associated with a 31-bit linear feedback shift register (LFSR). The initial state of one of the LFSRs is fixed, whereas the initial state of the second LFSR,  $c.\text{sub.init}$ , depends at least on the scrambling ID,  $N.\text{sub.ID}$ , and the OFDM symbol within the radio frame at which the reference signal is sent. With  $n.\text{sub.s}$  and  $I$  denoting the slot index and the OFDM symbol index of a 14-symbols slot, respectively, this radio frame OFDM symbol can be expressed as  $t = 14 \cdot n.\text{sub.s} + I$ . The function determining the initial state  $c.\text{sub.init}$  will be reference signal type dependent.

[0053] In the case of an NR DM-RS, a 16-bit scrambling ID is either UE-specific, RRC configured, or defined by a default value that corresponds to the physical cell ID,  $N.\text{sub.ID}.\text{sup.cell} \in \{0, 1, \dots, 1007\}$ . When the scrambling ID is RRC configured, two scrambling IDs are provided,  $N.\text{sub.ID}.\text{sup}(0)$  and  $N.\text{sub.ID}.\text{sup}(1)$ . The particular scrambling IDs used is dynamically indicated by the parameter  $n.\text{sub.SCID} \in \{0, 1\}$ .

[0054] The pseudo-random sequence generator shall be initialized with:

$$[00003] C_{\text{init}} = (2^{17} (N_{\text{symb}}^{\text{slot}} n_{s,f}^u + I + 1) (2N_{\text{ID}}^{n_{\text{SCID}}} + 1) + 2N_{\text{ID}}^{n_{\text{SCID}}} + n_{\text{SCID}}) \bmod 2^{31}$$

wherein:  $I$  is the OFDM symbol number within the slot; [0055]  $n.\text{sub.s}, f.\text{sup.}\mu$  is the slot number within a frame; and [0056]  $N.\text{sub.ID}.\text{sup}.0, N.\text{sub.ID}.\text{sup}.2 \in \{0, 1, \dots, 65535\}$  are given by the higher-layer parameters  $\text{scramblingID0}$  and  $\text{scramblingID1}$ , respectively, in the DM-RS-UplinkConfig IE, if provided, and the PUSCH is scheduled by DCI format 0\_1; [0057]

$N.\text{sub.ID}.\text{sup}.0 \in \{0, 1, \dots, 65535\}$  is given by the higher-layer parameter  $\text{scramblingID0}$  in the DM-RS-UplinkConfig IE, if provided, and the PUSCH is scheduled by DCI format 0\_0 with the CRC scrambled by C-RNTI, MCS-C-RNTI, or CS-RNTI; [0058]

$N.\text{sub.ID}.\text{sup}.n.\text{sub.SCID} = N.\text{sub.ID}.\text{sup}.cell$  otherwise.

[0059] The  $n.\text{sub.SCID}$  parameter is used to be able to generate two different sequences (two different  $c.\text{sub.init}$ ) for DM-RS and this parameter is indicated by the scheduling of the DCI transmitted by PDCCH. Therefore, the gNB can dynamically indicate the  $n.\text{sub.SCID}$  parameter to the UE for PUSCH transmission or for PDSCH reception. This is useful, for example, when performing transmissions from different cells or transmission points (TRPs), where users served by one cell may use  $n.\text{sub.SCID}=0$  and users served by the other cell use  $n.\text{sub.SCID}=1$ . So depending on the scheduler decision,  $n.\text{sub.SCID}$  is determined by network and signaled to the UE.

[0060] Thus, in NR multi-layer transmissions, the DM-RS sequence is mapped to resource elements so that the same sequence samples are reused in multiple CDM groups (see FIG. 3).

Hence, the sequence is resource specific per CDM group. The same sequence can then be reused for the next CDM group in cases where the next CDM group is also used for transmission of DM-RS. However, together with precoding, this repetition of sequence samples can create very high peaks (high PAPR/CM) in the transmitted waveform. This will either incur very high requirements on the transmitter linearity (which increases cost), or causes severe degradation of transmit signal quality.

[0061] The present embodiments, however, addresses such issues by, inter alia, simplifying UE implementation. To simplify UE implementation, the pseudo-random generator outputs two  $c.\text{sub.init}$  values for scrambling code IDs  $n.\text{sub.SCID}=0$  and  $n.\text{sub.SCID}=1$ . These two values are then used to generate the sequence for each of two CDM groups of antenna ports, respectively. Additionally, the  $n.\text{sub.SCID}$  flag that is indicated in the downlink control information (DCI) transmitted by PDCCH is used to toggle which  $c.\text{sub.init}$  value is used for which CDM group.

[0062] The present embodiments provide advantages and benefits that conventional solutions do not provide. One advantage is that the UE implementation supporting the generation of two

c.sub.init values can be re-used. Additionally, the embodiments of the present disclosure allow for toggling the n.sub.SCID to use by DCI even though more than one CDM group is used for the DM-RS for the UE. Accordingly, in another advantage, multi-TRP transmission is still supported. [0063] Further, embodiments of the present disclosure enhance DM-RS in order to reduce the PAPR to the same level as for data symbols. The c.sub.init used to generate DM-RS sequences for data demodulation for PDSCH or PUSCH are different for each CDM group and depends on the signaled n.sub.SCID parameter in the scheduling DCI message. In one embodiment, the c.sub.init mapping to CDM group is mapped differently to the used CDM groups depending on the signaled value of n.sub.SCID=0 or n.sub.SCID=1.

[0064] FIG. 4A is a signaling diagram 60 illustrating the PDSCH scheduling according to one embodiment. As seen in FIG. 4A, a Radio Resource Control (RRC) message first configures first and second parameters (e.g., first and second cell ID parameters N.sub.ID.sup.0, N.sub.ID.sup.1, respectively) based on the scrambling IDs for the downlink (line 62). The network then uses the DCI to transmit the PDCCH and indicate the used DM-RS ports to schedule the PDSCH (line 64). The DCI includes the n.sub.SCID parameter. The network then transmits the PDSCH and the associated DM-RS with first and second CDM groups (e.g., port 0 and port 2). In these cases, the first and second parameters c.sub.init are different per CDM group, and depend on the first and second parameters (e.g., the first and second cell IDs N.sub.ID.sup.0, N.sub.ID.sup.1), and the scrambling code ID n.sub.SCID (box 66). The UE then generates a first demodulation sequence (e.g., a DM-RS sequence) based on one of the first and second parameters (e.g., one of the two c.sub.init parameter values) obtained by the scrambling code ID (e.g., n.sub.SCID=0, 1), and maps the first demodulation sequence to a DM-RS port in the first or second CDM group depending on n.sub.SCID. A second demodulation sequence is also generated based on the other of the first and second parameters and used for the other of the first and second CDM groups.

[0065] FIG. 4B is a signaling diagram 70 illustrating an equivalent operation (i.e., lines 72, 74, 76) for the uplink to schedule PUSCH according to one embodiment. In more detail, the two c.sub.init values (using corresponding values n.sub.SCID=0, 1) in Rel-15 are used for port(s) in each of the two CDM groups, respectively, for situations where there are two CDM groups (i.e., DM-RS configuration type 1). Additionally, the n.sub.SCID parameter signaled in DCI is used to toggle the mapping of c.sub.init to different CDM groups.

[0066] As seen in FIG. 4B, a Radio Resource Control (RRC) message first configures the first and second parameters (e.g., the first and second cell ID parameters N.sub.ID.sup.0, N.sub.ID.sup.1) based on the scrambling code IDs for the UPLINK (line 72). The network then uses the DCI to transmit the PDCCH and indicate the used DM-RS ports to schedule the PUSCH (line 74). As above, the DCI includes the n.sub.SCID parameter. The network then transmits the PDSCH and associates the DM-RS with first and second CDM groups (e.g., port 0 and port 2). In these cases, the first and second initialization values c.sub.init are different per CDM group, and depend on the cell IDs N.sub.ID.sup.0, N.sub.ID.sup.1, and the scrambling code ID n.sub.SCID (box 76). The UE then generates the first demodulation sequence based on one of the first and second parameters (e.g., one of the two c.sub.init values) obtained by the scrambling code ID (e.g., n.sub.SCID=0, 1), and maps the first demodulation sequence to a first DM-RS port in the first or second CDM group depending on n.sub.SCID. The UE also generates a second demodulation sequence based on the other of the first and second parameters, and uses it for the second CDM group.

Embodiment for DM-RS Type 1 (Two CDM Groups)

[0067] For CDM group A=0, the DM-RS sequence is generated using c.sub.init as in Rel-15, and using the signaled n.sub.SCID (referred to herein as “n.sub.SCID.sup.(signaled)”) in the DCI. For CDM group A=1, the DM-RS sequence is generated using c.sub.init as in Rel-15 and using n.sub.SCID.sup.(signaled) in the DCI. However, the n.sub.SCID that is actually used for sequence generation is n.sub.SCID (1)=1–n.sub.SCID.sup.(signaled) to generate c.sub.init for this CDM group. Therefore, if n.sub.SCID=1 is signaled, then n.sub.SCID=1 is used for CDM group 0, and



n.sub.SCID=0 is used for CDM group 1.

[0068] To generalize the embodiment, the values for c.sub.init are different for each CDM group and depend on n.sub.SCID.sup.(signaled) in the scheduling DCI message. In one embodiment, the c.sub.init changes places between the CDM groups depending on whether n.sub.SCID=0 or n.sub.SCID=1. Thus, for a first CDM Group, n.sub.SCID (0)=n.sub.SCID.sup.(signaled). For a second CDM group, n.sub.SCID.sup.(1)=1-n.sub.SCID.sup.(signaled).

Embodiment for DMRS Type 2 (three CDM groups)

[0069] A UE using rank 1-4 reception or transmission is only using two out of three CDM groups. As such, the present embodiments can also be applied to this case as well.

[0070] Particularly, for a first CDM group  $\lambda_1$  used for PDSCH, DM-RS, or PUSCH DM-RS, the DM-RS sequence is generated using: [0071] c.sub.init as specified in Rel-15; and [0072] the signaled n.sub.SCID (referred to herein as “n.sub.SCID.sup.(signaled)”) in the DCI.

[0073] For a second CDM group  $\lambda_2$ , used for PUSCH DM-RS or PDSCH DM-RS, the DM-RS sequence is generated using: [0074] c.sub.init as specified in Rel-15; and. [0075] the signaled n.sub.SCID in the DCI. However, the n.sub.SCID actually used for sequence generation is N.sub.SCID.sup.(1)=1-n.sub.SCID.sup.(signaled) to generate c.sub.init for this CDM group. Hence, if n.sub.SCID=1 is signaled, then n n.sub.SCID=1 is used for CDM group  $\lambda_{\text{sub.1}}$  and n.sub.SCID=0 is used for CDM group  $\lambda_{\text{sub.2}}$ .

[0076] Thus, in this second embodiment, values for c.sub.init are different for each CDM group and depend on the signaled n.sub.SCID parameter in the scheduling DCI message (n.sub.SCID.sup.(signaled)). In one embodiment, the c.sub.init changes places between the used CDM groups depending on n.sub.SCID=0 or n.sub.SCID=1. Therefore, for a first CDM Group n.sub.SCID (0)=n.sub.SCID.sup.(signaled). For a second CDM group, n.sub.SCID.sup.(1)=1-n.sub.SCID.sup.(signaled).

[0077] FIG. 5 illustrates an exemplary method **80** performed by a UE **30** according to an embodiment.

[0078] Method **80** calls for the UE **30** receiving, from a network node in a wireless communication network, a first parameter (e.g., a first cell ID N.sub.ID.sup.0), a second parameter (e.g., a second cell ID N.sub.ID.sup.1), and a scrambling code ID (e.g., n.sub.SCID) (box **82**). The UE then obtains a first initialization value (e.g., c.sub.init) that is calculated based on one of the first and second parameters, and the scrambling code ID (box **84**), and maps the first initialization value c.sub.init to either a first Code Division Multiplexing (CDM) group or a second CDM group based on the scrambling code ID n.sub.SCID (box **86**).

[0079] Additionally, in some embodiments, method **80** also calls for the UE **30** obtaining a second initialization value c.sub.init that is calculated based on the other of the first and second parameters (e.g., the other of the first and second cell IDs N.sub.ID.sup.0, N.sub.ID.sup.1), and the inverse of the scrambling code ID (e.g., 1-n.sub.SCID) (box **88**), and maps the second initialization value c.sub.init to either the first or second CDM group based on the scrambling code ID (box **90**). In some embodiments, method **80** then calls for generating first and second data demodulation sequences based on the first and second initialization values (box **92**).

[0080] In some embodiments of method **80**, the first and second parameters are received in a Radio Resource Control (RRC) message from the network node. Additionally, the scrambling code ID is received in Downlink Control Information (DCI) from the network node.

[0081] In some embodiments, obtaining the first initialization value comprises calculating the first initialization value based on the one of the first and a second parameters and the scrambling code ID.

[0082] Additionally, in some embodiments of method **80**, obtaining the second initialization value comprises calculating the second initialization value based on the other of the first and second parameters and the inverse of the scrambling code ID.

[0083] In at least one embodiment, the first and second parameters are selected by the UE based on

the scrambling code ID.

[0084] In some embodiments, method **80** further comprises generating first and second data demodulation sequences based on the first and second initialization values.

[0085] In such embodiments, the first and second data demodulation sequences comprise DM-RS sequences.

[0086] In some embodiments of method **80**, the first and second initialization values are first and second c.sub.init values.

[0087] Further, in some embodiments, the first and second initialization values are mapped to corresponding ports in the first and second CDM groups.

[0088] In such embodiments, the first CDM group is different than the second CDM group.

[0089] In at least one embodiment of method **80**, the network node comprises a base station.

[0090] Further, in some embodiments, one of the first and second groups is used for an uplink channel.

[0091] In such embodiments, the one of the first and second groups is used for PUSCH DM-RS.

[0092] In other embodiments of method **80**, one of the first and second groups are used for a downlink channel.

[0093] In these embodiments, the one of the first and second groups is used for PDSCH DM-RS.

[0094] In at least one embodiment, the first and second parameters are first and second cell IDs, respectively.

[0095] An apparatus can perform any of the methods herein described by implementing any functional means, modules, units, or circuitry. In one embodiment, for example, the apparatuses comprise respective circuits or circuitry configured to perform the steps shown in the method figures. The circuits or circuitry in this regard may comprise circuits dedicated to performing certain functional processing and/or one or more microprocessors in conjunction with memory. For instance, the circuitry may include one or more microprocessor or microcontrollers, as well as other digital hardware, which may include Digital Signal Processors (DSPs), special-purpose digital logic, and the like. The processing circuitry may be configured to execute program code stored in memory, which may include one or several types of memory such as read-only memory (ROM), random-access memory, cache memory, flash memory devices, optical storage devices, etc. Program code stored in memory may include program instructions for executing one or more telecommunications and/or data communications protocols as well as instructions for carrying out one or more of the techniques described herein, in several embodiments. In embodiments that employ memory, the memory stores program code that, when executed by the one or more processors, carries out the techniques described herein.

[0096] FIG. **6** illustrates a UE **30** in accordance with one or more embodiments. The UE **30** comprises one or more antennas **32**, a communication module **34**, an ID selection module **36**, an initialization value obtaining module **38**, a mapping module **40** and a demodulation sequence generating module **42**. The various modules **32**, **34**, **36**, **38**, **40**, and **42** can be implemented by hardware and/or by software code that is executed by one or more processors or processing circuits.

[0097] The mapping module **40** is configured to map the first and second initialization values to respective first and second CDM groups based on the scrambling code ID received from the network node. The demodulation sequence generating module **42** is configured to generate first and second data demodulation sequences based on the first and second initialization values. In one embodiment, as previously stated, the first and second data demodulation sequences comprise DM-RS sequences.

[0098] The communication module **34** is configured to communicate signals and data with one or more nodes in the wireless communication network. Such communications include, but are not limited to, receiving multiple parameters (e.g., the first and second cell IDs), scrambling code IDs, and other information from a network node, such as a base station, for example. In at least one embodiment, the communication module **34** is configured to receive the first and second

parameters (e.g., first and second cell IDs) in a Radio Resource Control (RRC) message, and the scrambling code ID in Downlink Control Information (DCI). The ID selection module **36** is configured to select the first and second parameters based on the scrambling code ID. The initialization value obtaining module **38** is configured to obtain first and second initialization values based on the first and second parameters, the scrambling code ID, and the inverse of the scrambling code ID, as previously described. In at least one embodiment, the initialization value obtaining module **38** is configured to calculate the first initialization value based on either the first or the second parameter and the scrambling code ID, and calculate the second initialization value based on the other of the first and second cell ID and the inverse of the scrambling code ID.

[0099] FIG. **7** illustrates a base station **20** in accordance with one or more embodiments. The base station **20** comprises one or more antennas **22**, a receive module **24**, a parameter obtaining module **26**, and a transmit module **28**. The various modules **24**, **26**, and **28** can be implemented by hardware and/or by software code that is executed by a processor or processing circuit. The receive module **24** is configured to receive communication signals and data from the UE **30**. The parameter obtaining module **26** is configured to obtain the parameters that are sent to the UE **30** in the RRC messages and the DCI. In one embodiment, for example, the parameter obtaining module **26** of base station **20** is configured to obtain the first and second parameters and the scrambling code ID. The transmit module **28** is configured to transmit the first and second parameters and the scrambling code ID obtained by the parameter obtaining module **26** to the UE **30** in RRC messages and the DCI, as previously described.

[0100] FIG. **8** illustrates a radio node **100** according to one embodiment that may be configured to function as a network node (e.g., base station **20**) or a UE **30** as herein described. The radio node **100** comprises an antenna array **102** with multiple antenna elements **102a . . . 102n**, a communications interface circuit **104**, a processing circuit **106**, and memory **108**.

[0101] The communications interface circuit **104** is coupled to the antennas **102a . . . 102n** and comprises the radio frequency (RF) circuitry needed for transmitting and receiving signals over a wireless communication channel. The processing circuit **106** controls the overall operation of the radio node **100** and processes the signals transmitted to or received by the radio node **100**. Such processing includes coding and modulation of transmitted data signals, and the demodulation and decoding of received data signals. The processing circuit **106** may comprise one or more microprocessors, hardware, firmware, or a combination thereof.

[0102] Memory **108** comprises both volatile and non-volatile memory for storing computer program code and data needed by the processing circuit **106** for operation. Memory **108** may comprise any tangible, non-transitory computer-readable storage medium for storing data including electronic, magnetic, optical, electromagnetic, or semiconductor data storage. Memory **108** stores a computer program **110** comprising executable instructions that configure the processing circuit **106** to implement method **80** for a UE **30** according to FIG. **5**, as described herein. A computer program in this regard may comprise one or more code modules corresponding to the means or units described above. In general, computer program instructions and configuration information are stored in a non-volatile memory, such as a ROM, erasable programmable read only memory (EPROM) or flash memory. Temporary data generated during operation may be stored in a volatile memory, such as a random access memory (RAM). In some embodiments, computer program **110** for configuring the processing circuit **106** as herein described may be stored in a removable memory, such as a portable compact disc, portable digital video disc, or other removable media. The computer program **110** may also be embodied in a carrier such as an electronic signal, optical signal, radio signal, or computer readable storage medium.

[0103] FIG. **9** illustrates the processing circuit **106** for UE **30** in accordance with one or more embodiments. The processing circuit **106** comprises the communication module **34**, the ID selection module **36**, the initialization value obtaining module **38**, the mapping module **40**, and the demodulation sequence generating module **42**. The various modules **32**, **34**, **36**, **38**, **40**, and **42**

configure processing circuit **106** to function as previously described, and can be implemented by hardware and/or by software code that is executed by one or more processing circuits **106**.

[0104] FIG. **10** illustrates processing circuit **106** for a network node, such as base station **20**, in accordance with one or more embodiments. In this embodiment, the processing circuit **106** comprises the receive module **24**, the parameter obtaining module **26**, and the transmit module **28**. The various modules **24**, **26**, and **28** configure processing circuit **106** to function as previously described, and can be implemented by hardware and/or by software code that is executed by one or more processing circuits **106**.

[0105] Those skilled in the art will also appreciate that embodiments herein further include corresponding computer programs. A computer program comprises instructions which, when executed on at least one processor of an apparatus, cause the apparatus to carry out any of the respective processing described above. A computer program in this regard may comprise one or more code modules corresponding to the means or units described above.

[0106] Embodiments further include a carrier containing such a computer program. This carrier may comprise one of an electronic signal, optical signal, radio signal, or computer readable storage medium.

[0107] In this regard, embodiments herein also include a computer program product stored on a non-transitory computer readable (storage or recording) medium and comprising instructions that, when executed by a processor of an apparatus, cause the apparatus to perform as described above.

[0108] Embodiments further include a computer program product comprising program code portions for performing the steps of any of the embodiments herein when the computer program product is executed by a computing device. This computer program product may be stored on a computer readable recording medium.

[0109] Additional embodiments will now be described. At least some of these embodiments may be described as applicable in certain contexts and/or wireless network types for illustrative purposes, but the embodiments are similarly applicable in other contexts and/or wireless network types not explicitly described.

[0110] Although the subject matter described herein may be implemented in any appropriate type of system using any suitable components, the embodiments disclosed herein are described in relation to a wireless network, such as the example wireless network illustrated in FIG. **11**. For simplicity, the wireless network of FIG. **11** only depicts network **1106**, network nodes **1160** and **1160b**, and WDs **1110**, **1110b**, and **1110c**. In practice, a wireless network may further include any additional elements suitable to support communication between wireless devices or between a wireless device and another communication device, such as a landline telephone, a service provider, or any other network node or end device. Of the illustrated components, network node **1160** and wireless device (WD) **1110** are depicted with additional detail. The wireless network may provide communication and other types of services to one or more wireless devices to facilitate the wireless devices' access to and/or use of the services provided by, or via, the wireless network.

[0111] The wireless network may comprise and/or interface with any type of communication, telecommunication, data, cellular, and/or radio network or other similar type of system. In some embodiments, the wireless network may be configured to operate according to specific standards or other types of predefined rules or procedures. Thus, particular embodiments of the wireless network may implement communication standards, such as Global System for Mobile Communications (GSM), Universal Mobile Telecommunications System (UMTS), Long Term Evolution (LTE), Narrowband Internet of Things (NB-IoT), and/or other suitable 2G, 3G, 4G, or 5G standards; wireless local area network (WLAN) standards, such as the IEEE 802.11 standards; and/or any other appropriate wireless communication standard, such as the Worldwide Interoperability for Microwave Access (WiMAX), Bluetooth, Z-Wave and/or ZigBee standards.

[0112] Network **1106** may comprise one or more backhaul networks, core networks, IP networks, public switched telephone networks (PSTNs), packet data networks, optical networks, wide-area

networks (WANs), local area networks (LANs), wireless local area networks (WLANs), wired networks, wireless networks, metropolitan area networks, and other networks to enable communication between devices.

[0113] Network node **1160** and WD **1110** comprise various components described in more detail below. These components work together in order to provide network node and/or wireless device functionality, such as providing wireless connections in a wireless network. In different embodiments, the wireless network may comprise any number of wired or wireless networks, network nodes, base stations, controllers, wireless devices, relay stations, and/or any other components or systems that may facilitate or participate in the communication of data and/or signals whether via wired or wireless connections.

[0114] As used herein, network node refers to equipment capable, configured, arranged and/or operable to communicate directly or indirectly with a wireless device and/or with other network nodes or equipment in the wireless network to enable and/or provide wireless access to the wireless device and/or to perform other functions (e.g., administration) in the wireless network. Examples of network nodes include, but are not limited to, access points (APs) (e.g., radio access points), base stations (BSs) (e.g., radio base stations, Node Bs, evolved Node Bs (eNBs) and NR NodeBs (gNBs)). Base stations may be categorized based on the amount of coverage they provide (or, stated differently, their transmit power level) and may then also be referred to as femto base stations, pico base stations, micro base stations, or macro base stations. A base station may be a relay node or a relay donor node controlling a relay. A network node may also include one or more (or all) parts of a distributed radio base station such as centralized digital units and/or remote radio units (RRUs), sometimes referred to as Remote Radio Heads (RRHs). Such remote radio units may or may not be integrated with an antenna as an antenna integrated radio. Parts of a distributed radio base station may also be referred to as nodes in a distributed antenna system (DAS). Yet further examples of network nodes include multi-standard radio (MSR) equipment such as MSR BSs, network controllers such as radio network controllers (RNCs) or base station controllers (BSCs), base transceiver stations (BTSs), transmission points, transmission nodes, multi-cell/multicast coordination entities (MCEs), core network nodes (e.g., MSCs, MMEs), O&M nodes, OSS nodes, SON nodes, positioning nodes (e.g., E-SMLCs), and/or MDTs. As another example, a network node may be a virtual network node as described in more detail below. More generally, however, network nodes may represent any suitable device (or group of devices) capable, configured, arranged, and/or operable to enable and/or provide a wireless device with access to the wireless network or to provide some service to a wireless device that has accessed the wireless network.

[0115] In FIG. **11**, network node **1160** includes processing circuitry **1170**, device readable medium **1180**, interface **1190**, auxiliary equipment **1184**, power source **1186**, power circuitry **1187**, and antenna **1162**. Although network node **1160** illustrated in the example wireless network of FIG. **11** may represent a device that includes the illustrated combination of hardware components, other embodiments may comprise network nodes with different combinations of components. It is to be understood that a network node comprises any suitable combination of hardware and/or software needed to perform the tasks, features, functions and methods disclosed herein. Moreover, while the components of network node **1160** are depicted as single boxes located within a larger box, or nested within multiple boxes, in practice, a network node may comprise multiple different physical components that make up a single illustrated component (e.g., device readable medium **1180** may comprise multiple separate hard drives as well as multiple RAM modules).

[0116] Similarly, network node **1160** may be composed of multiple physically separate components (e.g., a NodeB component and a RNC component, or a BTS component and a BSC component, etc.), which may each have their own respective components. In certain scenarios in which network node **1160** comprises multiple separate components (e.g., BTS and BSC components), one or more of the separate components may be shared among several network nodes. For example, a single RNC may control multiple NodeB's. In such a scenario, each unique NodeB and RNC pair, may in

some instances be considered a single separate network node. In some embodiments, network node **1160** may be configured to support multiple radio access technologies (RATs). In such embodiments, some components may be duplicated (e.g., separate device readable medium **1180** for the different RATs) and some components may be reused (e.g., the same antenna **1162** may be shared by the RATs). Network node **1160** may also include multiple sets of the various illustrated components for different wireless technologies integrated into network node **1160**, such as, for example, GSM, WCDMA, LTE, NR, WiFi, or Bluetooth wireless technologies. These wireless technologies may be integrated into the same or different chip or set of chips and other components within network node **1160**.

[0117] Processing circuitry **1170** is configured to perform any determining, calculating, or similar operations (e.g., certain obtaining operations) described herein as being provided by a network node. These operations performed by processing circuitry **1170** may include processing information obtained by processing circuitry **1170** by, for example, converting the obtained information into other information, comparing the obtained information or converted information to information stored in the network node, and/or performing one or more operations based on the obtained information or converted information, and as a result of said processing making a determination.

[0118] Processing circuitry **1170** may comprise a combination of one or more of a microprocessor, controller, microcontroller, central processing unit, digital signal processor, application-specific integrated circuit, field programmable gate array, or any other suitable computing device, resource, or combination of hardware, software and/or encoded logic operable to provide, either alone or in conjunction with other network node **1160** components, such as device readable medium **1180**, network node **1160** functionality. For example, processing circuitry **1170** may execute instructions stored in device readable medium **1180** or in memory within processing circuitry **1170**. Such functionality may include providing any of the various wireless features, functions, or benefits discussed herein. In some embodiments, processing circuitry **1170** may include a system on a chip (SoC).

[0119] In some embodiments, processing circuitry **1170** may include one or more of radio frequency (RF) transceiver circuitry **1172** and baseband processing circuitry **1174**. In some embodiments, radio frequency (RF) transceiver circuitry **1172** and baseband processing circuitry **1174** may be on separate chips (or sets of chips), boards, or units, such as radio units and digital units. In alternative embodiments, part or all of RF transceiver circuitry **1172** and baseband processing circuitry **1174** may be on the same chip or set of chips, boards, or units.

[0120] In certain embodiments, some or all of the functionality described herein as being provided by a network node, base station, eNB or other such network device may be performed by processing circuitry **1170** executing instructions stored on device readable medium **1180** or memory within processing circuitry **1170**. In alternative embodiments, some or all of the functionality may be provided by processing circuitry **1170** without executing instructions stored on a separate or discrete device readable medium, such as in a hard-wired manner. In any of those embodiments, whether executing instructions stored on a device readable storage medium or not, processing circuitry **1170** can be configured to perform the described functionality. The benefits provided by such functionality are not limited to processing circuitry **1170** alone or to other components of network node **1160**, but are enjoyed by network node **1160** as a whole, and/or by end users and the wireless network generally.

[0121] Device readable medium **1180** may comprise any form of volatile or non-volatile computer readable memory including, without limitation, persistent storage, solid-state memory, remotely mounted memory, magnetic media, optical media, random access memory (RAM), read-only memory (ROM), mass storage media (for example, a hard disk), removable storage media (for example, a flash drive, a Compact Disk (CD) or a Digital Video Disk (DVD)), and/or any other volatile or non-volatile, non-transitory device readable and/or computer-executable memory devices that store information, data, and/or instructions that may be used by processing circuitry

**1170**. Device readable medium **1180** may store any suitable instructions, data or information, including a computer program, software, an application including one or more of logic, rules, code, tables, etc. and/or other instructions capable of being executed by processing circuitry **1170** and, utilized by network node **1160**. Device readable medium **1180** may be used to store any calculations made by processing circuitry **1170** and/or any data received via interface **1190**. In some embodiments, processing circuitry **1170** and device readable medium **1180** may be considered to be integrated.

[0122] Interface **1190** is used in the wired or wireless communication of signaling and/or data between network node **1160**, network **1106**, and/or WDs **1110**. As illustrated, interface **1190** comprises port(s)/terminal(s) **1194** to send and receive data, for example to and from network **1106** over a wired connection. Interface **1190** also includes radio front end circuitry **1192** that may be coupled to, or in certain embodiments a part of, antenna **1162**. Radio front end circuitry **1192** comprises filters **1198** and amplifiers **1196**. Radio front end circuitry **1192** may be connected to antenna **1162** and processing circuitry **1170**. Radio front end circuitry may be configured to condition signals communicated between antenna **1162** and processing circuitry **1170**. Radio front end circuitry **1192** may receive digital data that is to be sent out to other network nodes or WDs via a wireless connection. Radio front end circuitry **1192** may convert the digital data into a radio signal having the appropriate channel and bandwidth parameters using a combination of filters **1198** and/or amplifiers **1196**. The radio signal may then be transmitted via antenna **1162**. Similarly, when receiving data, antenna **1162** may collect radio signals which are then converted into digital data by radio front end circuitry **1192**. The digital data may be passed to processing circuitry **1170**. In other embodiments, the interface may comprise different components and/or different combinations of components.

[0123] In certain alternative embodiments, network node **1160** may not include separate radio front end circuitry **1192**, instead, processing circuitry **1170** may comprise radio front end circuitry and may be connected to antenna **1162** without separate radio front end circuitry **1192**. Similarly, in some embodiments, all or some of RF transceiver circuitry **1172** may be considered a part of interface **1190**. In still other embodiments, interface **1190** may include one or more ports or terminals **1194**, radio front end circuitry **1192**, and RF transceiver circuitry **1172**, as part of a radio unit (not shown), and interface **1190** may communicate with baseband processing circuitry **1174**, which is part of a digital unit (not shown).

[0124] Antenna **1162** may include one or more antennas, or antenna arrays, configured to send and/or receive wireless signals. Antenna **1162** may be coupled to radio front end circuitry **1190** and may be any type of antenna capable of transmitting and receiving data and/or signals wirelessly. In some embodiments, antenna **1162** may comprise one or more omni-directional, sector or panel antennas operable to transmit/receive radio signals between, for example, 2 GHZ and 66 GHZ. An omni-directional antenna may be used to transmit/receive radio signals in any direction, a sector antenna may be used to transmit/receive radio signals from devices within a particular area, and a panel antenna may be a line of sight antenna used to transmit/receive radio signals in a relatively straight line. In some instances, the use of more than one antenna may be referred to as MIMO. In certain embodiments, antenna **1162** may be separate from network node **1160** and may be connectable to network node **1160** through an interface or port.

[0125] Antenna **1162**, interface **1190**, and/or processing circuitry **1170** may be configured to perform any receiving operations and/or certain obtaining operations described herein as being performed by a network node. Any information, data and/or signals may be received from a wireless device, another network node and/or any other network equipment. Similarly, antenna **1162**, interface **1190**, and/or processing circuitry **1170** may be configured to perform any transmitting operations described herein as being performed by a network node. Any information, data and/or signals may be transmitted to a wireless device, another network node and/or any other network equipment.

[0126] Power circuitry **1187** may comprise, or be coupled to, power management circuitry and is configured to supply the components of network node **1160** with power for performing the functionality described herein. Power circuitry **1187** may receive power from power source **1186**. Power source **1186** and/or power circuitry **1187** may be configured to provide power to the various components of network node **1160** in a form suitable for the respective components (e.g., at a voltage and current level needed for each respective component). Power source **1186** may either be included in, or external to, power circuitry **1187** and/or network node **1160**. For example, network node **1160** may be connectable to an external power source (e.g., an electricity outlet) via an input circuitry or interface such as an electrical cable, whereby the external power source supplies power to power circuitry **1187**. As a further example, power source **1186** may comprise a source of power in the form of a battery or battery pack which is connected to, or integrated in, power circuitry **1187**. The battery may provide backup power should the external power source fail. Other types of power sources, such as photovoltaic devices, may also be used.

[0127] Alternative embodiments of network node **1160** may include additional components beyond those shown in FIG. **11** that may be responsible for providing certain aspects of the network node's functionality, including any of the functionality described herein and/or any functionality necessary to support the subject matter described herein. For example, network node **1160** may include user interface equipment to allow input of information into network node **1160** and to allow output of information from network node **1160**. This may allow a user to perform diagnostic, maintenance, repair, and other administrative functions for network node **1160**.

[0128] As illustrated, wireless device **1110** includes antenna **1111**, interface **1114**, processing circuitry **1120**, device readable medium **1130**, user interface equipment **1132**, auxiliary equipment **1134**, power source **1136** and power circuitry **1137**. WD **1110** may include multiple sets of one or more of the illustrated components for different wireless technologies supported by WD **1110**, such as, for example, GSM, WCDMA, LTE, NR, WiFi, WiMAX, NB-IoT, or Bluetooth wireless technologies, just to mention a few. These wireless technologies may be integrated into the same or different chips or set of chips as other components within WD **1110**.

[0129] Antenna **1111** may include one or more antennas or antenna arrays, configured to send and/or receive wireless signals, and is connected to interface **1114**. In certain alternative embodiments, antenna **1111** may be separate from WD **1110** and be connectable to WD **1110** through an interface or port. Antenna **1111**, interface **1114**, and/or processing circuitry **1120** may be configured to perform any receiving or transmitting operations described herein as being performed by a WD. Any information, data and/or signals may be received from a network node and/or another WD. In some embodiments, radio front end circuitry and/or antenna **1111** may be considered an interface.

[0130] As illustrated, interface **1114** comprises radio front end circuitry **1112** and antenna **1111**. Radio front end circuitry **1112** comprise one or more filters **1118** and amplifiers **1116**. Radio front end circuitry **1114** is connected to antenna **1111** and processing circuitry **1120**, and is configured to condition signals communicated between antenna **1111** and processing circuitry **1120**. Radio front end circuitry **1112** may be coupled to or a part of antenna **1111**. In some embodiments, WD **1110** may not include separate radio front end circuitry **1112**; rather, processing circuitry **1120** may comprise radio front end circuitry and may be connected to antenna **1111**. Similarly, in some embodiments, some or all of RF transceiver circuitry **1122** may be considered a part of interface **1114**. Radio front end circuitry **1112** may receive digital data that is to be sent out to other network nodes or WDs via a wireless connection. Radio front end circuitry **1112** may convert the digital data into a radio signal having the appropriate channel and bandwidth parameters using a combination of filters **1118** and/or amplifiers **1116**. The radio signal may then be transmitted via antenna **1111**. Similarly, when receiving data, antenna **1111** may collect radio signals which are then converted into digital data by radio front end circuitry **1112**. The digital data may be passed to processing circuitry **1120**. In other embodiments, the interface may comprise different components



and/or different combinations of components.

[0131] Processing circuitry **1120** may comprise a combination of one or more of a microprocessor, controller, microcontroller, central processing unit, digital signal processor, application-specific integrated circuit, field programmable gate array, or any other suitable computing device, resource, or combination of hardware, software, and/or encoded logic operable to provide, either alone or in conjunction with other WD **1110** components, such as device readable medium **1130**, WD **1110** functionality. Such functionality may include providing any of the various wireless features or benefits discussed herein. For example, processing circuitry **1120** may execute instructions stored in device readable medium **1130** or in memory within processing circuitry **1120** to provide the functionality disclosed herein.

[0132] As illustrated, processing circuitry **1120** includes one or more of RF transceiver circuitry **1122**, baseband processing circuitry **1124**, and application processing circuitry **1126**. In other embodiments, the processing circuitry may comprise different components and/or different combinations of components. In certain embodiments processing circuitry **1120** of WD **1110** may comprise a SoC. In some embodiments, RF transceiver circuitry **1122**, baseband processing circuitry **1124**, and application processing circuitry **1126** may be on separate chips or sets of chips. In alternative embodiments, part or all of baseband processing circuitry **1124** and application processing circuitry **1126** may be combined into one chip or set of chips, and RF transceiver circuitry **1122** may be on a separate chip or set of chips. In still alternative embodiments, part or all of RF transceiver circuitry **1122** and baseband processing circuitry **1124** may be on the same chip or set of chips, and application processing circuitry **1126** may be on a separate chip or set of chips. In yet other alternative embodiments, part or all of RF transceiver circuitry **1122**, baseband processing circuitry **1124**, and application processing circuitry **1126** may be combined in the same chip or set of chips. In some embodiments, RF transceiver circuitry **1122** may be a part of interface **1114**. RF transceiver circuitry **1122** may condition RF signals for processing circuitry **1120**.

[0133] In certain embodiments, some or all of the functionality described herein as being performed by a WD may be provided by processing circuitry **1120** executing instructions stored on device readable medium **1130**, which in certain embodiments may be a computer-readable storage medium. In alternative embodiments, some or all of the functionality may be provided by processing circuitry **1120** without executing instructions stored on a separate or discrete device readable storage medium, such as in a hard-wired manner. In any of those particular embodiments, whether executing instructions stored on a device readable storage medium or not, processing circuitry **1120** can be configured to perform the described functionality. The benefits provided by such functionality are not limited to processing circuitry **1120** alone or to other components of WD **1110**, but are enjoyed by WD **1110** as a whole, and/or by end users and the wireless network generally.

[0134] Processing circuitry **1120** may be configured to perform any determining, calculating, or similar operations (e.g., certain obtaining operations) described herein as being performed by a WD. These operations, as performed by processing circuitry **1120**, may include processing information obtained by processing circuitry **1120** by, for example, converting the obtained information into other information, comparing the obtained information or converted information to information stored by WD **1110**, and/or performing one or more operations based on the obtained information or converted information, and as a result of said processing making a determination.

[0135] Device readable medium **1130** may be operable to store a computer program, software, an application including one or more of logic, rules, code, tables, etc. and/or other instructions capable of being executed by processing circuitry **1120**. Device readable medium **1130** may include computer memory (e.g., Random Access Memory (RAM) or Read Only Memory (ROM)), mass storage media (e.g., a hard disk), removable storage media (e.g., a Compact Disk (CD) or a Digital Video Disk (DVD)), and/or any other volatile or non-volatile, non-transitory device readable and/or

computer executable memory devices that store information, data, and/or instructions that may be used by processing circuitry **1120**. In some embodiments, processing circuitry **1120** and device readable medium **1130** may be considered to be integrated.

[0136] User interface equipment **1132** may provide components that allow for a human user to interact with WD **1110**. Such interaction may be of many forms, such as visual, audial, tactile, etc. User interface equipment **1132** may be operable to produce output to the user and to allow the user to provide input to WD **1110**. The type of interaction may vary depending on the type of user interface equipment **1132** installed in WD **1110**. For example, if WD **1110** is a smart phone, the interaction may be via a touch screen; if WD **1110** is a smart meter, the interaction may be through a screen that provides usage (e.g., the number of gallons used) or a speaker that provides an audible alert (e.g., if smoke is detected). User interface equipment **1132** may include input interfaces, devices and circuits, and output interfaces, devices and circuits. User interface equipment **1132** is configured to allow input of information into WD **1110**, and is connected to processing circuitry **1120** to allow processing circuitry **1120** to process the input information. User interface equipment **1132** may include, for example, a microphone, a proximity or other sensor, keys/buttons, a touch display, one or more cameras, a USB port, or other input circuitry. User interface equipment **1132** is also configured to allow output of information from WD **1110**, and to allow processing circuitry **1120** to output information from WD **1110**. User interface equipment **1132** may include, for example, a speaker, a display, vibrating circuitry, a USB port, a headphone interface, or other output circuitry. Using one or more input and output interfaces, devices, and circuits, of user interface equipment **1132**, WD **1110** may communicate with end users and/or the wireless network, and allow them to benefit from the functionality described herein.

[0137] Auxiliary equipment **1134** is operable to provide more specific functionality which may not be generally performed by WDs. This may comprise specialized sensors for doing measurements for various purposes, interfaces for additional types of communication such as wired communications etc. The inclusion and type of components of auxiliary equipment **1134** may vary depending on the embodiment and/or scenario.

[0138] Power source **1136** may, in some embodiments, be in the form of a battery or battery pack. Other types of power sources, such as an external power source (e.g., an electricity outlet), photovoltaic devices or power cells, may also be used. WD **1110** may further comprise power circuitry **1137** for delivering power from power source **1136** to the various parts of WD **1110** which need power from power source **1136** to carry out any functionality described or indicated herein. Power circuitry **1137** may in certain embodiments comprise power management circuitry. Power circuitry **1137** may additionally or alternatively be operable to receive power from an external power source; in which case WD **1110** may be connectable to the external power source (such as an electricity outlet) via input circuitry or an interface such as an electrical power cable. Power circuitry **1137** may also in certain embodiments be operable to deliver power from an external power source to power source **1136**. This may be, for example, for the charging of power source **1136**. Power circuitry **1137** may perform any formatting, converting, or other modification to the power from power source **1136** to make the power suitable for the respective components of WD **1110** to which power is supplied.

[0139] FIG. **12** illustrates one embodiment of a UE in accordance with various aspects described herein. As used herein, a user equipment or UE may not necessarily have a user in the sense of a human user who owns and/or operates the relevant device. Instead, a UE may represent a device that is intended for sale to, or operation by, a human user but which may not, or which may not initially, be associated with a specific human user (e.g., a smart sprinkler controller). Alternatively, a UE may represent a device that is not intended for sale to, or operation by, an end user but which may be associated with or operated for the benefit of a user (e.g., a smart power meter). UE **1200** may be any UE identified by the 3rd Generation Partnership Project (3GPP), including a NB-IoT UE, a machine type communication (MTC) UE, and/or an enhanced MTC (eMTC) UE. UE **1200**,

as illustrated in FIG. 12, is one example of a WD configured for communication in accordance with one or more communication standards promulgated by the 3rd Generation Partnership Project (3GPP), such as 3GPP's GSM, UMTS, LTE, and/or 5G standards. As mentioned previously, the term WD and UE may be used interchangeably. Accordingly, although FIG. 12 is a UE, the components discussed herein are equally applicable to a WD, and vice-versa.

[0140] In FIG. 12, UE 1200 includes processing circuitry 1201 that is operatively coupled to input/output interface 1205, radio frequency (RF) interface 1209, network connection interface 1211, memory 1215 including random access memory (RAM) 1217, read-only memory (ROM) 1219, and storage medium 1221 or the like, communication subsystem 1231, power source 1233, and/or any other component, or any combination thereof. Storage medium 1221 includes operating system 1223, application program 1225, and data 1227. In other embodiments, storage medium 1221 may include other similar types of information. Certain UEs may utilize all of the components shown in FIG. 12, or only a subset of the components. The level of integration between the components may vary from one UE to another UE. Further, certain UEs may contain multiple instances of a component, such as multiple processors, memories, transceivers, transmitters, receivers, etc.

[0141] In FIG. 12, processing circuitry 1201 may be configured to process computer instructions and data. Processing circuitry 1201 may be configured to implement any sequential state machine operative to execute machine instructions stored as machine-readable computer programs in the memory, such as one or more hardware-implemented state machines (e.g., in discrete logic, FPGA, ASIC, etc.); programmable logic together with appropriate firmware; one or more stored program, general-purpose processors, such as a microprocessor or Digital Signal Processor (DSP), together with appropriate software; or any combination of the above. For example, the processing circuitry 1201 may include two central processing units (CPUs). Data may be information in a form suitable for use by a computer.

[0142] In the depicted embodiment, input/output interface 1205 may be configured to provide a communication interface to an input device, output device, or input and output device. UE 1200 may be configured to use an output device via input/output interface 1205. An output device may use the same type of interface port as an input device. For example, a USB port may be used to provide input to and output from UE 1200. The output device may be a speaker, a sound card, a video card, a display, a monitor, a printer, an actuator, an emitter, a smartcard, another output device, or any combination thereof. UE 1200 may be configured to use an input device via input/output interface 1205 to allow a user to capture information into UE 1200. The input device may include a touch-sensitive or presence-sensitive display, a camera (e.g., a digital camera, a digital video camera, a web camera, etc.), a microphone, a sensor, a mouse, a trackball, a directional pad, a trackpad, a scroll wheel, a smartcard, and the like. The presence-sensitive display may include a capacitive or resistive touch sensor to sense input from a user. A sensor may be, for instance, an accelerometer, a gyroscope, a tilt sensor, a force sensor, a magnetometer, an optical sensor, a proximity sensor, another like sensor, or any combination thereof. For example, the input device may be an accelerometer, a magnetometer, a digital camera, a microphone, and an optical sensor.

[0143] In FIG. 12, RF interface 1209 may be configured to provide a communication interface to RF components such as a transmitter, a receiver, and an antenna. Network connection interface 1211 may be configured to provide a communication interface to network 1243a. Network 1243a may encompass wired and/or wireless networks such as a local-area network (LAN), a wide-area network (WAN), a computer network, a wireless network, a telecommunications network, another like network or any combination thereof. For example, network 1243a may comprise a Wi-Fi network. Network connection interface 1211 may be configured to include a receiver and a transmitter interface used to communicate with one or more other devices over a communication network according to one or more communication protocols, such as Ethernet, TCP/IP, SONET,

ATM, or the like. Network connection interface **1211** may implement receiver and transmitter functionality appropriate to the communication network links (e.g., optical, electrical, and the like). The transmitter and receiver functions may share circuit components, software or firmware, or alternatively may be implemented separately.

[0144] RAM **1217** may be configured to interface via bus **1202** to processing circuitry **1201** to provide storage or caching of data or computer instructions during the execution of software programs such as the operating system, application programs, and device drivers. ROM **1219** may be configured to provide computer instructions or data to processing circuitry **1201**. For example, ROM **1219** may be configured to store invariant low-level system code or data for basic system functions such as basic input and output (I/O), startup, or reception of keystrokes from a keyboard that are stored in a non-volatile memory. Storage medium **1221** may be configured to include memory such as RAM, ROM, programmable read-only memory (PROM), erasable programmable read-only memory (EPROM), electrically erasable programmable read-only memory (EEPROM), magnetic disks, optical disks, floppy disks, hard disks, removable cartridges, or flash drives. In one example, storage medium **1221** may be configured to include operating system **1223**, application program **1225** such as a web browser application, a widget or gadget engine or another application, and data file **1227**. Storage medium **1221** may store, for use by UE **1200**, any of a variety of various operating systems or combinations of operating systems.

[0145] Storage medium **1221** may be configured to include a number of physical drive units, such as redundant array of independent disks (RAID), floppy disk drive, flash memory, USB flash drive, external hard disk drive, thumb drive, pen drive, key drive, high-density digital versatile disc (HD-DVD) optical disc drive, internal hard disk drive, Blu-Ray optical disc drive, holographic digital data storage (HDDS) optical disc drive, external mini-dual in-line memory module (DIMM), synchronous dynamic random access memory (SDRAM), external micro-DIMM SDRAM, smartcard memory such as a subscriber identity module or a removable user identity module (SIM/RUIM), other memory, or any combination thereof. Storage medium **1221** may allow UE **1200** to access computer-executable instructions, application programs or the like, stored on transitory or non-transitory memory media, to off-load data, or to upload data. An article of manufacture, such as one utilizing a communication system may be tangibly embodied in storage medium **1221**, which may comprise a device readable medium.

[0146] In FIG. **12**, processing circuitry **1201** may be configured to communicate with network **1243b** using communication subsystem **1231**. Network **1243a** and network **1243b** may be the same network or networks or different network or networks. Communication subsystem **1231** may be configured to include one or more transceivers used to communicate with network **1243b**. For example, communication subsystem **1231** may be configured to include one or more transceivers used to communicate with one or more remote transceivers of another device capable of wireless communication such as another WD, UE, or base station of a radio access network (RAN) according to one or more communication protocols, such as IEEE 802.12, CDMA, WCDMA, GSM, LTE, UTRAN, WiMAX, or the like. Each transceiver may include transmitter **1233** and/or receiver **1235** to implement transmitter or receiver functionality, respectively, appropriate to the RAN links (e.g., frequency allocations and the like). Further, transmitter **1233** and receiver **1235** of each transceiver may share circuit components, software or firmware, or alternatively may be implemented separately.

[0147] In the illustrated embodiment, the communication functions of communication subsystem **1231** may include data communication, voice communication, multimedia communication, short-range communications such as Bluetooth, near-field communication, location-based communication such as the use of the global positioning system (GPS) to determine a location, another like communication function, or any combination thereof. For example, communication subsystem **1231** may include cellular communication, Wi-Fi communication, Bluetooth communication, and GPS communication. Network **1243b** may encompass wired and/or wireless

networks such as a local-area network (LAN), a wide-area network (WAN), a computer network, a wireless network, a telecommunications network, another like network or any combination thereof. For example, network **1243b** may be a cellular network, a Wi-Fi network, and/or a near-field network. Power source **1213** may be configured to provide alternating current (AC) or direct current (DC) power to components of UE **1200**.

[0148] The features, benefits and/or functions described herein may be implemented in one of the components of UE **1200** or partitioned across multiple components of UE **1200**. Further, the features, benefits, and/or functions described herein may be implemented in any combination of hardware, software or firmware. In one example, communication subsystem **1231** may be configured to include any of the components described herein. Further, processing circuitry **1201** may be configured to communicate with any of such components over bus **1202**. In another example, any of such components may be represented by program instructions stored in memory that when executed by processing circuitry **1201** perform the corresponding functions described herein. In another example, the functionality of any of such components may be partitioned between processing circuitry **1201** and communication subsystem **1231**. In another example, the non-computationally intensive functions of any of such components may be implemented in software or firmware and the computationally intensive functions may be implemented in hardware.

[0149] FIG. **13** is a schematic block diagram illustrating a virtualization environment **1300** in which functions implemented by some embodiments may be virtualized. In the present context, virtualizing means creating virtual versions of apparatuses or devices which may include virtualizing hardware platforms, storage devices and networking resources. As used herein, virtualization can be applied to a node (e.g., a virtualized base station or a virtualized radio access node) or to a device (e.g., a UE, a wireless device or any other type of communication device) or components thereof and relates to an implementation in which at least a portion of the functionality is implemented as one or more virtual components (e.g., via one or more applications, components, functions, virtual machines or containers executing on one or more physical processing nodes in one or more networks).

[0150] In some embodiments, some or all of the functions described herein may be implemented as virtual components executed by one or more virtual machines implemented in one or more virtual environments **1300** hosted by one or more of hardware nodes **1330**. Further, in embodiments in which the virtual node is not a radio access node or does not require radio connectivity (e.g., a core network node), then the network node may be entirely virtualized.

[0151] The functions may be implemented by one or more applications **1320** (which may alternatively be called software instances, virtual appliances, network functions, virtual nodes, virtual network functions, etc.) operative to implement some of the features, functions, and/or benefits of some of the embodiments disclosed herein. Applications **1320** are run in virtualization environment **1300** which provides hardware **1330** comprising processing circuitry **1360** and memory **1390**. Memory **1390** contains instructions **1395** executable by processing circuitry **1360** whereby application **1320** is operative to provide one or more of the features, benefits, and/or functions disclosed herein.

[0152] Virtualization environment **1300**, comprises general-purpose or special-purpose network hardware devices **1330** comprising a set of one or more processors or processing circuitry **1360**, which may be commercial off-the-shelf (COTS) processors, dedicated Application Specific Integrated Circuits (ASICs), or any other type of processing circuitry including digital or analog hardware components or special purpose processors. Each hardware device may comprise memory **1390-1** which may be non-persistent memory for temporarily storing instructions **1395** or software executed by processing circuitry **1360**. Each hardware device may comprise one or more network interface controllers (NICs) **1370**, also known as network interface cards, which include physical network interface **1380**. Each hardware device may also include non-transitory, persistent,

machine-readable storage media **1390-2** having stored therein software **1395** and/or instructions executable by processing circuitry **1360**. Software **1395** may include any type of software including software for instantiating one or more virtualization layers **1350** (also referred to as hypervisors), software to execute virtual machines **1340** as well as software allowing it to execute functions, features and/or benefits described in relation with some embodiments described herein.

[0153] Virtual machines **1340**, comprise virtual processing, virtual memory, virtual networking or interface and virtual storage, and may be run by a corresponding virtualization layer **1350** or hypervisor. Different embodiments of the instance of virtual appliance **1320** may be implemented on one or more of virtual machines **1340**, and the implementations may be made in different ways.

[0154] During operation, processing circuitry **1360** executes software **1395** to instantiate the hypervisor or virtualization layer **1350**, which may sometimes be referred to as a virtual machine monitor (VMM). Virtualization layer **1350** may present a virtual operating platform that appears like networking hardware to virtual machine **1340**.

[0155] As shown in FIG. **13**, hardware **1330** may be a standalone network node with generic or specific components. Hardware **1330** may comprise antenna **13225** and may implement some functions via virtualization. Alternatively, hardware **1330** may be part of a larger cluster of hardware (e.g. such as in a data center or customer premise equipment (CPE)) where many hardware nodes work together and are managed via management and orchestration (MANO) **13100**, which, among others, oversees lifecycle management of applications **1320**.

[0156] Virtualization of the hardware is in some contexts referred to as network function virtualization (NFV). NFV may be used to consolidate many network equipment types onto industry standard high volume server hardware, physical switches, and physical storage, which can be located in data centers, and customer premise equipment.

[0157] In the context of NFV, virtual machine **1340** may be a software implementation of a physical machine that runs programs as if they were executing on a physical, non-virtualized machine. Each of virtual machines **1340**, and that part of hardware **1330** that executes that virtual machine, be it hardware dedicated to that virtual machine and/or hardware shared by that virtual machine with others of the virtual machines **1340**, forms a separate virtual network elements (VNE).

[0158] Still in the context of NFV, Virtual Network Function (VNF) is responsible for handling specific network functions that run in one or more virtual machines **1340** on top of hardware networking infrastructure **1330** and corresponds to application **1320** in FIG. **13**.

[0159] In some embodiments, one or more radio units **13200** that each include one or more transmitters **13220** and one or more receivers **13210** may be coupled to one or more antennas **13225**. Radio units **13200** may communicate directly with hardware nodes **1330** via one or more appropriate network interfaces and may be used in combination with the virtual components to provide a virtual node with radio capabilities, such as a radio access node or a base station.

[0160] In some embodiments, some signaling can be effected with the use of control system **13230** which may alternatively be used for communication between the hardware nodes **1330** and radio units **13200**.

[0161] FIG. **14** illustrates a telecommunication network connected via an intermediate network to a host computer in accordance with some embodiments. In particular, with reference to FIG. **14**, in accordance with an embodiment, a communication system includes telecommunication network **1410**, such as a 3GPP-type cellular network, which comprises access network **1411**, such as a radio access network, and core network **1414**. Access network **1411** comprises a plurality of base stations **1412a**, **1412b**, **1412c**, such as NBs, eNBs, gNBs or other types of wireless access points, each defining a corresponding coverage area **1413a**, **1413b**, **1413c**. Each base station **1412a**, **1412b**, **1412c** is connectable to core network **1414** over a wired or wireless connection **1415**. A first UE **1491** located in coverage area **1413c** is configured to wirelessly connect to, or be paged by, the corresponding base station **1412c**. A second UE **1492** in coverage area **1413a** is wirelessly

connectable to the corresponding base station **1412a**. While a plurality of UEs **1491**, **1492** are illustrated in this example, the disclosed embodiments are equally applicable to a situation where a sole UE is in the coverage area or where a sole UE is connecting to the corresponding base station **1412**.

[0162] Telecommunication network **1410** is itself connected to host computer **1430**, which may be embodied in the hardware and/or software of a standalone server, a cloud-implemented server, a distributed server or as processing resources in a server farm. Host computer **1430** may be under the ownership or control of a service provider, or may be operated by the service provider or on behalf of the service provider. Connections **1421** and **1422** between telecommunication network **1410** and host computer **1430** may extend directly from core network **1414** to host computer **1430** or may go via an optional intermediate network **1420**. Intermediate network **1420** may be one of, or a combination of more than one of, a public, private or hosted network; intermediate network **1420**, if any, may be a backbone network or the Internet; in particular, intermediate network **1420** may comprise two or more sub-networks (not shown).

[0163] The communication system of FIG. **14** as a whole enables connectivity between the connected UEs **1491**, **1492** and host computer **1430**. The connectivity may be described as an over-the-top (OTT) connection **1450**. Host computer **1430** and the connected UEs **1491**, **1492** are configured to communicate data and/or signaling via OTT connection **1450**, using access network **1411**, core network **1414**, any intermediate network **1420** and possible further infrastructure (not shown) as intermediaries. OTT connection **1450** may be transparent in the sense that the participating communication devices through which OTT connection **1450** passes are unaware of routing of uplink and downlink communications. For example, base station **1412** may not or need not be informed about the past routing of an incoming downlink communication with data originating from host computer **1430** to be forwarded (e.g., handed over) to a connected UE **1491**. Similarly, base station **1412** need not be aware of the future routing of an outgoing uplink communication originating from the UE **1491** towards the host computer **1430**.

[0164] Example implementations, in accordance with an embodiment, of the UE, base station and host computer discussed in the preceding paragraphs will now be described with reference to FIG. **15**. FIG. **15** illustrates host computer communicating via a base station with a user equipment over a partially wireless connection in accordance with some embodiments. In communication system **1500**, host computer **1510** comprises hardware **1515** including communication interface **1516** configured to set up and maintain a wired or wireless connection with an interface of a different communication device of communication system **1500**. Host computer **1510** further comprises processing circuitry **1518**, which may have storage and/or processing capabilities. In particular, processing circuitry **1518** may comprise one or more programmable processors, application-specific integrated circuits, field programmable gate arrays or combinations of these (not shown) adapted to execute instructions. Host computer **1510** further comprises software **1511**, which is stored in or accessible by host computer **1510** and executable by processing circuitry **1518**. Software **1511** includes host application **1512**. Host application **1512** may be operable to provide a service to a remote user, such as UE **1530** connecting via OTT connection **1550** terminating at UE **1530** and host computer **1510**. In providing the service to the remote user, host application **1512** may provide user data which is transmitted using OTT connection **1550**.

[0165] Communication system **1500** further includes base station **1520** provided in a telecommunication system and comprising hardware **1525** enabling it to communicate with host computer **1510** and with UE **1530**. Hardware **1525** may include communication interface **1526** for setting up and maintaining a wired or wireless connection with an interface of a different communication device of communication system **1500**, as well as radio interface **1527** for setting up and maintaining at least wireless connection **1570** with UE **1530** located in a coverage area (not shown in FIG. **15**) served by base station **1520**. Communication interface **1526** may be configured to facilitate connection **1560** to host computer **1510**. Connection **1560** may be direct or it may pass

through a core network (not shown in FIG. 15) of the telecommunication system and/or through one or more intermediate networks outside the telecommunication system. In the embodiment shown, hardware 1525 of base station 1520 further includes processing circuitry 1528, which may comprise one or more programmable processors, application-specific integrated circuits, field programmable gate arrays or combinations of these (not shown) adapted to execute instructions. Base station 1520 further has software 1521 stored internally or accessible via an external connection.

[0166] Communication system 1500 further includes UE 1530 already referred to. Its hardware 1535 may include radio interface 1537 configured to set up and maintain wireless connection 1570 with a base station serving a coverage area in which UE 1530 is currently located. Hardware 1535 of UE 1530 further includes processing circuitry 1538, which may comprise one or more programmable processors, application-specific integrated circuits, field programmable gate arrays or combinations of these (not shown) adapted to execute instructions. UE 1530 further comprises software 1531, which is stored in or accessible by UE 1530 and executable by processing circuitry 1538. Software 1531 includes client application 1532. Client application 1532 may be operable to provide a service to a human or non-human user via UE 1530, with the support of host computer 1510. In host computer 1510, an executing host application 1512 may communicate with the executing client application 1532 via OTT connection 1550 terminating at UE 1530 and host computer 1510. In providing the service to the user, client application 1532 may receive request data from host application 1512 and provide user data in response to the request data. OTT connection 1550 may transfer both the request data and the user data. Client application 1532 may interact with the user to generate the user data that it provides.

[0167] It is noted that host computer 1510, base station 1520 and UE 1530 illustrated in FIG. 15 may be similar or identical to host computer 1430, one of base stations 1412a, 1412b, 1412c and one of UEs 1491, 1492 of FIG. 14, respectively. This is to say, the inner workings of these entities may be as shown in FIG. 15 and independently, the surrounding network topology may be that of FIG. 14.

[0168] In FIG. 15, OTT connection 1550 has been drawn abstractly to illustrate the communication between host computer 1510 and UE 1530 via base station 1520, without explicit reference to any intermediary devices and the precise routing of messages via these devices. Network infrastructure may determine the routing, which it may be configured to hide from UE 1530 or from the service provider operating host computer 1510, or both. While OTT connection 1550 is active, the network infrastructure may further take decisions by which it dynamically changes the routing (e.g., on the basis of load balancing consideration or reconfiguration of the network).

[0169] Wireless connection 1570 between UE 1530 and base station 1520 is in accordance with the teachings of the embodiments described throughout this disclosure. One or more of the various embodiments improve the performance of OTT services provided to UE 1530 using OTT connection 1550, in which wireless connection 1570 forms the last segment. More precisely, the teachings of these embodiments provide an advantage over conventional methods in that the UE implementation that supports generating two c.sub.init can be re-used. In addition, the present embodiments allow for toggling the n.sub.SCID to use by DCI even though more than one CDM group is used for the DM-RS for the UE. Hence, one advantage is that multi-TRP transmission is still supported. Additionally, the present embodiments negate the need for increasing the linearity requirements on the transmitter, thereby lowering costs, and help preventing the degradation of transmit signal quality.

[0170] A measurement procedure may be provided for the purpose of monitoring data rate, latency and other factors on which the one or more embodiments improve. There may further be an optional network functionality for reconfiguring OTT connection 1550 between host computer 1510 and UE 1530, in response to variations in the measurement results. The measurement procedure and/or the network functionality for reconfiguring OTT connection 1550 may be



implemented in software **1511** and hardware **1515** of host computer **1510** or in software **1531** and hardware **1535** of UE **1530**, or both. In embodiments, sensors (not shown) may be deployed in or in association with communication devices through which OTT connection **1550** passes; the sensors may participate in the measurement procedure by supplying values of the monitored quantities exemplified above, or supplying values of other physical quantities from which software **1511**, **1531** may compute or estimate the monitored quantities. The reconfiguring of OTT connection **1550** may include message format, retransmission settings, preferred routing etc.; the reconfiguring need not affect base station **1520**, and it may be unknown or imperceptible to base station **1520**. Such procedures and functionalities may be known and practiced in the art. In certain embodiments, measurements may involve proprietary UE signaling facilitating host computer **1510**'s measurements of throughput, propagation times, latency and the like. The measurements may be implemented in that software **1511** and **1531** causes messages to be transmitted, in particular empty or 'dummy' messages, using OTT connection **1550** while it monitors propagation times, errors etc.

[0171] FIG. **16** is a flowchart illustrating a method implemented in a communication system, in accordance with one embodiment. The communication system includes a host computer, a base station and a UE which may be those described with reference to FIGS. **14** and **15**. For simplicity of the present disclosure, only drawing references to FIG. **16** will be included in this section. In step **1610**, the host computer provides user data. In substep **1611** (which may be optional) of step **1610**, the host computer provides the user data by executing a host application. In step **1620**, the host computer initiates a transmission carrying the user data to the UE. In step **1630** (which may be optional), the base station transmits to the UE the user data which was carried in the transmission that the host computer initiated, in accordance with the teachings of the embodiments described throughout this disclosure. In step **1640** (which may also be optional), the UE executes a client application associated with the host application executed by the host computer.

[0172] FIG. **17** is a flowchart illustrating a method implemented in a communication system, in accordance with one embodiment. The communication system includes a host computer, a base station and a UE which may be those described with reference to FIGS. **14** and **15**. For simplicity of the present disclosure, only drawing references to FIG. **17** will be included in this section. In step **1710** of the method, the host computer provides user data. In an optional substep (not shown) the host computer provides the user data by executing a host application. In step **1720**, the host computer initiates a transmission carrying the user data to the UE. The transmission may pass via the base station, in accordance with the teachings of the embodiments described throughout this disclosure. In step **1730** (which may be optional), the UE receives the user data carried in the transmission.

[0173] FIG. **18** is a flowchart illustrating a method implemented in a communication system, in accordance with one embodiment. The communication system includes a host computer, a base station and a UE which may be those described with reference to FIGS. **14** and **15**. For simplicity of the present disclosure, only drawing references to FIG. **18** will be included in this section. In step **1810** (which may be optional), the UE receives input data provided by the host computer. Additionally or alternatively, in step **1820**, the UE provides user data. In substep **1821** (which may be optional) of step **1820**, the UE provides the user data by executing a client application. In substep **1811** (which may be optional) of step **1810**, the UE executes a client application which provides the user data in reaction to the received input data provided by the host computer. In providing the user data, the executed client application may further consider user input received from the user. Regardless of the specific manner in which the user data was provided, the UE initiates, in substep **1830** (which may be optional), transmission of the user data to the host computer. In step **1840** of the method, the host computer receives the user data transmitted from the UE, in accordance with the teachings of the embodiments described throughout this disclosure.

[0174] FIG. **19** is a flowchart illustrating a method implemented in a communication system, in

accordance with one embodiment. The communication system includes a host computer, a base station and a UE which may be those described with reference to FIGS. 14 and 15. For simplicity of the present disclosure, only drawing references to FIG. 19 will be included in this section. In step 1910 (which may be optional), in accordance with the teachings of the embodiments described throughout this disclosure, the base station receives user data from the UE. In step 1920 (which may be optional), the base station initiates transmission of the received user data to the host computer. In step 1930 (which may be optional), the host computer receives the user data carried in the transmission initiated by the base station.

[0175] Any appropriate steps, methods, features, functions, or benefits disclosed herein may be performed through one or more functional units or modules of one or more virtual apparatuses. Each virtual apparatus may comprise a number of these functional units. These functional units may be implemented via processing circuitry, which may include one or more microprocessor or microcontrollers, as well as other digital hardware, which may include digital signal processors (DSP s), special-purpose digital logic, and the like. The processing circuitry may be configured to execute program code stored in memory, which may include one or several types of memory such as read-only memory (ROM), random-access memory (RAM), cache memory, flash memory devices, optical storage devices, etc. Program code stored in memory includes program instructions for executing one or more telecommunications and/or data communications protocols as well as instructions for carrying out one or more of the techniques described herein. In some implementations, the processing circuitry may be used to cause the respective functional unit to perform corresponding functions according to one or more embodiments of the present disclosure.

[0176] Generally, all terms used herein are to be interpreted according to their ordinary meaning in the relevant technical field, unless a different meaning is clearly given and/or is implied from the context in which it is used. All references to a/an/the element, apparatus, component, means, step, etc. are to be interpreted openly as referring to at least one instance of the element, apparatus, component, means, step, etc., unless explicitly stated otherwise. The steps of any methods disclosed herein do not have to be performed in the exact order disclosed, unless a step is explicitly described as following or preceding another step and/or where it is implicit that a step must follow or precede another step. Any feature of any of the embodiments disclosed herein may be applied to any other embodiment, wherever appropriate. Likewise, any advantage of any of the embodiments may apply to any other embodiments, and vice versa. Other objectives, features and advantages of the enclosed embodiments will be apparent from the description.

[0177] The term unit may have conventional meaning in the field of electronics, electrical devices and/or electronic devices and may include, for example, electrical and/or electronic circuitry, devices, modules, processors, memories, logic solid state and/or discrete devices, computer programs or instructions for carrying out respective tasks, procedures, computations, outputs, and/or displaying functions, and so on, as such as those that are described herein.

[0178] Some of the embodiments contemplated herein are described more fully with reference to the accompanying drawings. Other embodiments, however, are contained within the scope of the subject matter disclosed herein. The disclosed subject matter should not be construed as limited to only the embodiments set forth herein; rather, these embodiments are provided by way of example to convey the scope of the subject matter to those skilled in the art.

## Claims

1. A method implemented by a user equipment (UE), the method comprising: obtaining a first initialization value, wherein the first initialization value is based on: one of a first and a second parameter; a scrambling code ID; and a first Code Division Multiplexing (CDM) group; generating a first demodulation sequence based on the first initialization value, wherein the first demodulation sequence is mapped to resource elements of a first port; transmitting a first demodulation reference

signal (DM-RS) using the first port; obtaining a second initialization value, wherein the second initialization value is based on: the other of the first and second parameters; an inverse of the scrambling code ID; and a second CDM group; generating a second demodulation sequence based on the second initialization value; wherein the second demodulation sequence is mapped to resource elements of a second port; and transmitting a second demodulation reference signal (DM-RS) using second port.

2. The method according to claim 1, further comprising receiving, from a network node in a wireless communication network, the first parameter, the second parameter, and the scrambling code ID.

3. The method according to claim 2, wherein the first and second parameters are received in a Radio Resource Control (RRC) message from the network node, and wherein the scrambling code ID is received in Downlink Control Information (DCI) from the network node.

4. The method according to claim 1, wherein obtaining the first initialization value comprises calculating the first initialization value based on the one of the first and the second parameters and the scrambling code ID.

5. The method according to claim 1, wherein obtaining the second initialization value comprises calculating the second initialization value based on the other of the first and second parameters and the inverse of the scrambling code ID.

6. The method according to claim 1, wherein the first and second parameters are selected by the UE based on the scrambling code ID.

7. The method according to claim 1, wherein the first and second data demodulation sequences comprise DM-RS sequences.

8. The method according to claim 1, wherein the first and second initialization values are first and second  $c_{sub.init}$  values.

9. The method according to claim 1, wherein the first CDM group is different than the second CDM group.

10. The method according to claim 1, wherein the scrambling code ID corresponds to  $n_{sub.SCID}$ , and wherein the inverse of the scrambling code ID corresponds to  $1 - n_{sub.SCID}$ .

11. A user equipment (UE) in a wireless communication network, the UE comprising: a communications interface circuit configured to communicate with a serving cell in the wireless communication network; and a processing circuit configured to: obtain a first initialization value, wherein the first initialization value is based on: one of a first and a second parameter; a scrambling code ID; and a first Code Division Multiplexing (CDM) group; generate a first demodulation sequence based on the first initialization value, wherein the first demodulation sequence is mapped to resource elements of a first port; transmit a first demodulation reference signal (DM-RS) using the first port via the communications interface circuit; obtain a second initialization value, wherein the second initialization value is based on: the other of the first and second parameters; an inverse of the scrambling code ID; and a second CDM group; generate a second demodulation sequence based on the second initialization value, wherein the second demodulation sequence is mapped to resource elements of a second port; and transmit a second demodulation reference signal (DM-RS) using second port via the communications interface circuit.

12. A non-transitory computer-readable storage medium comprising a computer program stored thereon, wherein the computer program comprises executable instructions that, when executed by a processing circuit in a user equipment in a wireless communication network, causes the user equipment to: obtain a first initialization value, wherein the first initialization value is calculated based on: one of a first and a second parameter; and a scrambling code ID; and a first Code Division Multiplexing (CDM) group; generate a first demodulation sequence based on the first initialization value; wherein the first demodulation sequence is mapped to resource elements of a first port; transmit a first demodulation reference signal (DM-RS) using the first port and obtain a second initialization value, wherein the second initialization value is calculated based on: the other

of the first and second parameters; an inverse of the scrambling code ID; and a second CDM group; generate a second demodulation sequence based on the second initialization value, wherein the second demodulation sequence is mapped to resource elements of a second port; and transmit a second demodulation reference signal (DM-RS) using second port.

**13.** The user equipment according to claim 12 wherein the processing circuit is further configured to receive the first parameter, the second parameter, and the scrambling code ID from a network node in the wireless communication network.

**14.** The user equipment according to claim 13 wherein the first and second parameters are received in a Radio Resource Control (RRC) message from the network node, and wherein the scrambling code ID is received in Downlink Control Information (DCI) from the network node.

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