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WU et al.(10) **Pub. No.: US 2025/0261372 A1**(43) **Pub. Date: Aug. 14, 2025**(54) **SEMICONDUCTOR MEMORY DEVICE AND METHOD FOR FORMING THE SAME***H10B 43/35* (2023.01)*H10B 43/40* (2023.01)(71) Applicant: **YANGTZE MEMORY TECHNOLOGIES CO., LTD.**, Wuhan (CN)(52) **U.S. Cl.**CPC *H10B 43/27* (2023.02); *H10B 43/10* (2023.02); *H10B 43/35* (2023.02); *H10B 43/40* (2023.02)(72) Inventors: **LinChun WU**, Wuhan (CN); **Wenxi ZHOU**, Wuhan (CN); **Zhiliang XIA**, Wuhan (CN); **ZongLiang HUO**, Wuhan (CN)

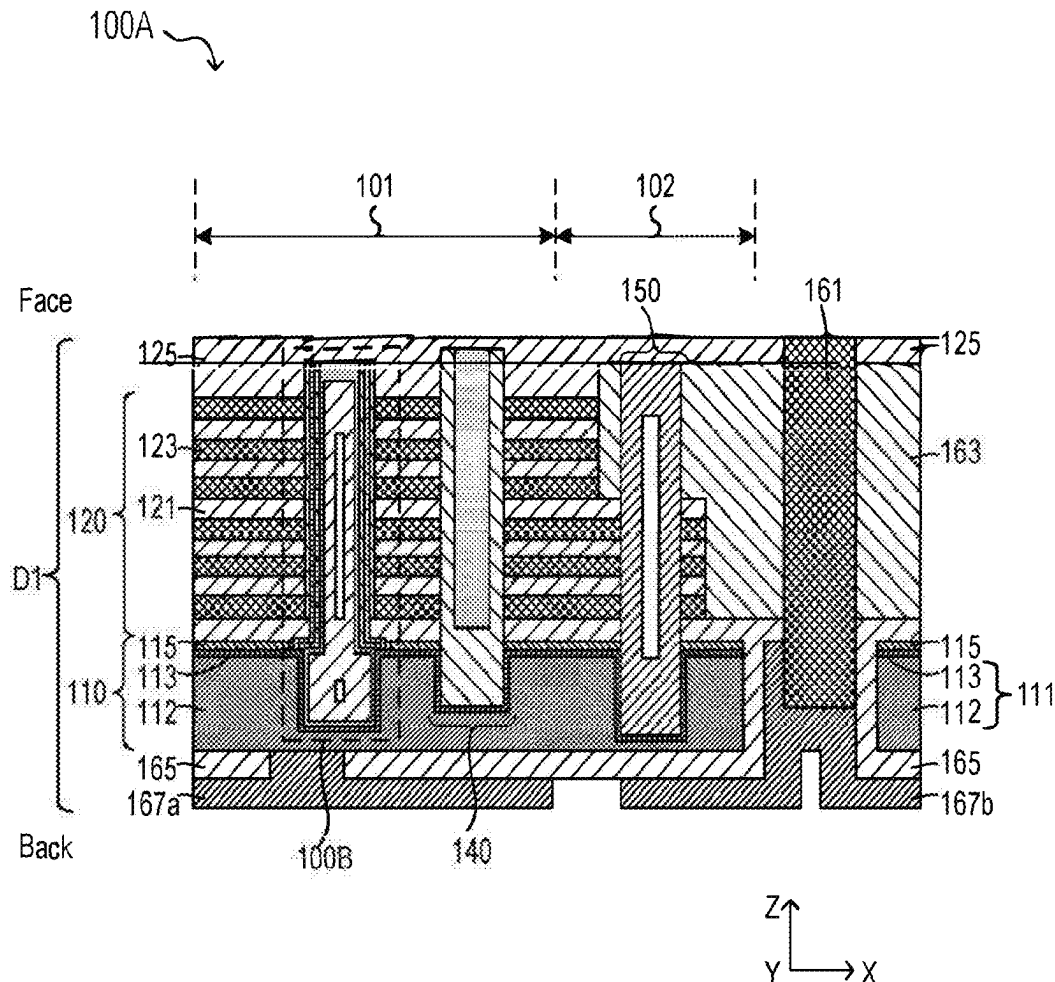
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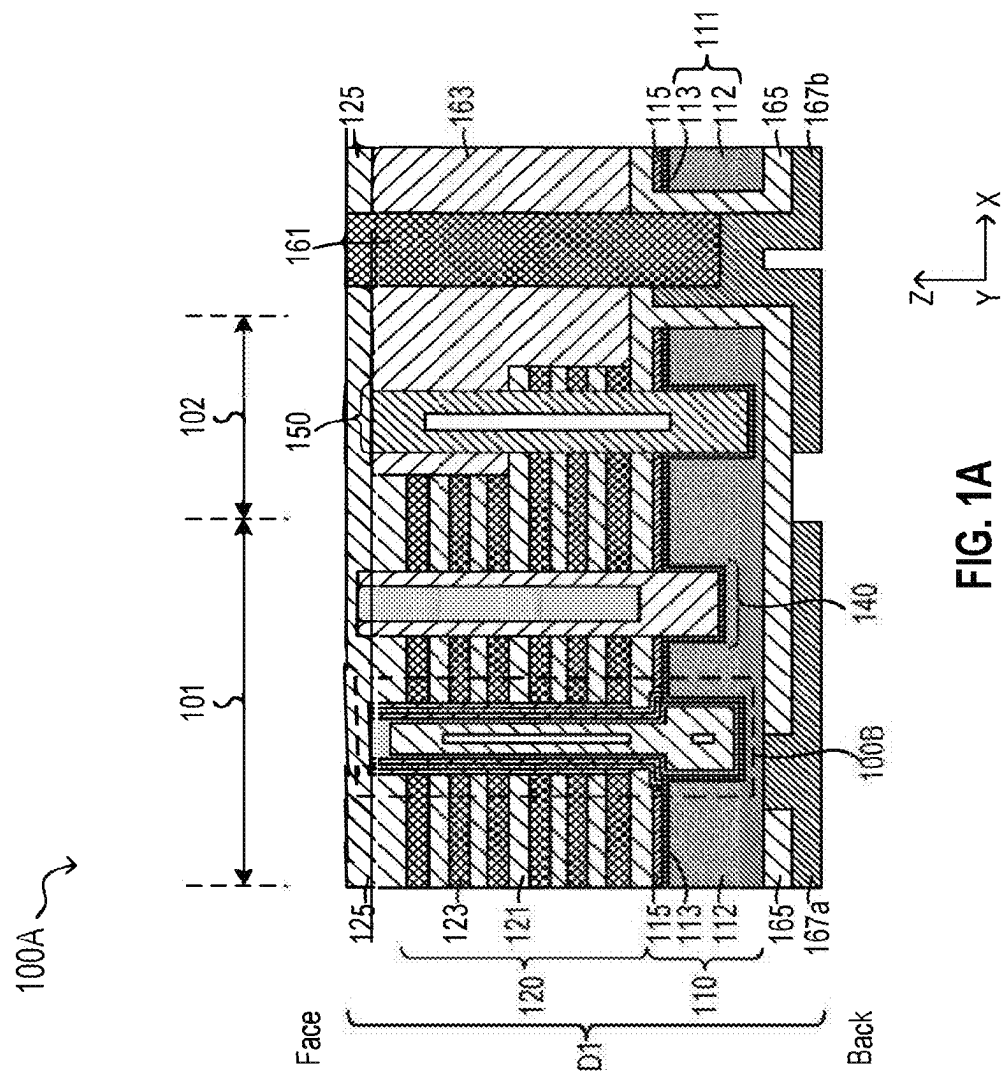
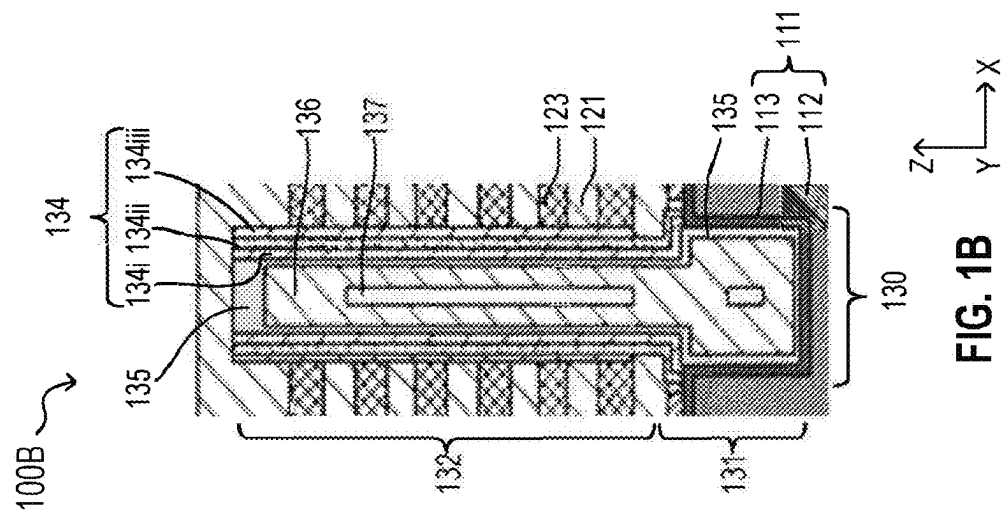
ABSTRACT(21) Appl. No.: **19/191,917**(22) Filed: **Apr. 28, 2025****Related U.S. Application Data**

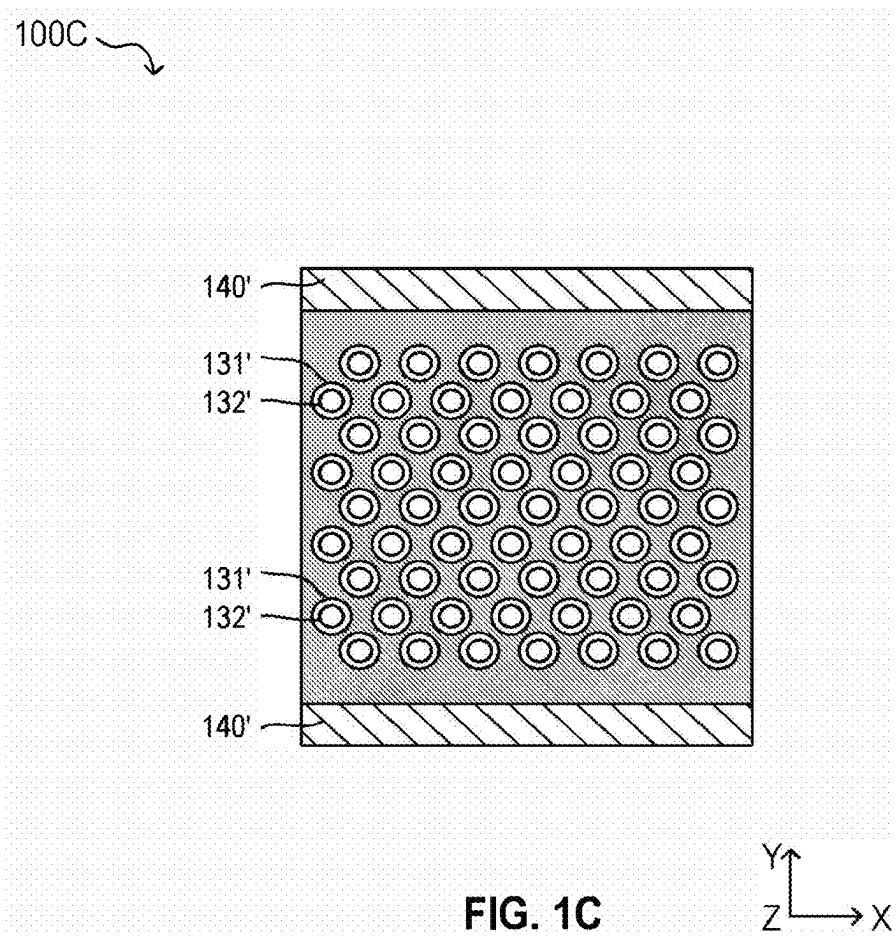
(63) Continuation of application No. 17/647,053, filed on Jan. 5, 2022, which is a continuation of application No. PCT/CN2021/127760, filed on Oct. 30, 2021.

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Aspects of the disclosure provide a semiconductor device including a first die. The first die includes a semiconductor layer, a stack structure including alternatingly gate layers and first insulating layers along a first direction, a first conductive structure, wherein the semiconductor layer is between the first conductive structure and the stack structure in the first direction, and a channel structure including a first portion in the semiconductor layer and a second portion extending in the stack structure. A dimension of the first portion is different from a dimension of the second portion in a second direction. The first direction is different from the second direction.







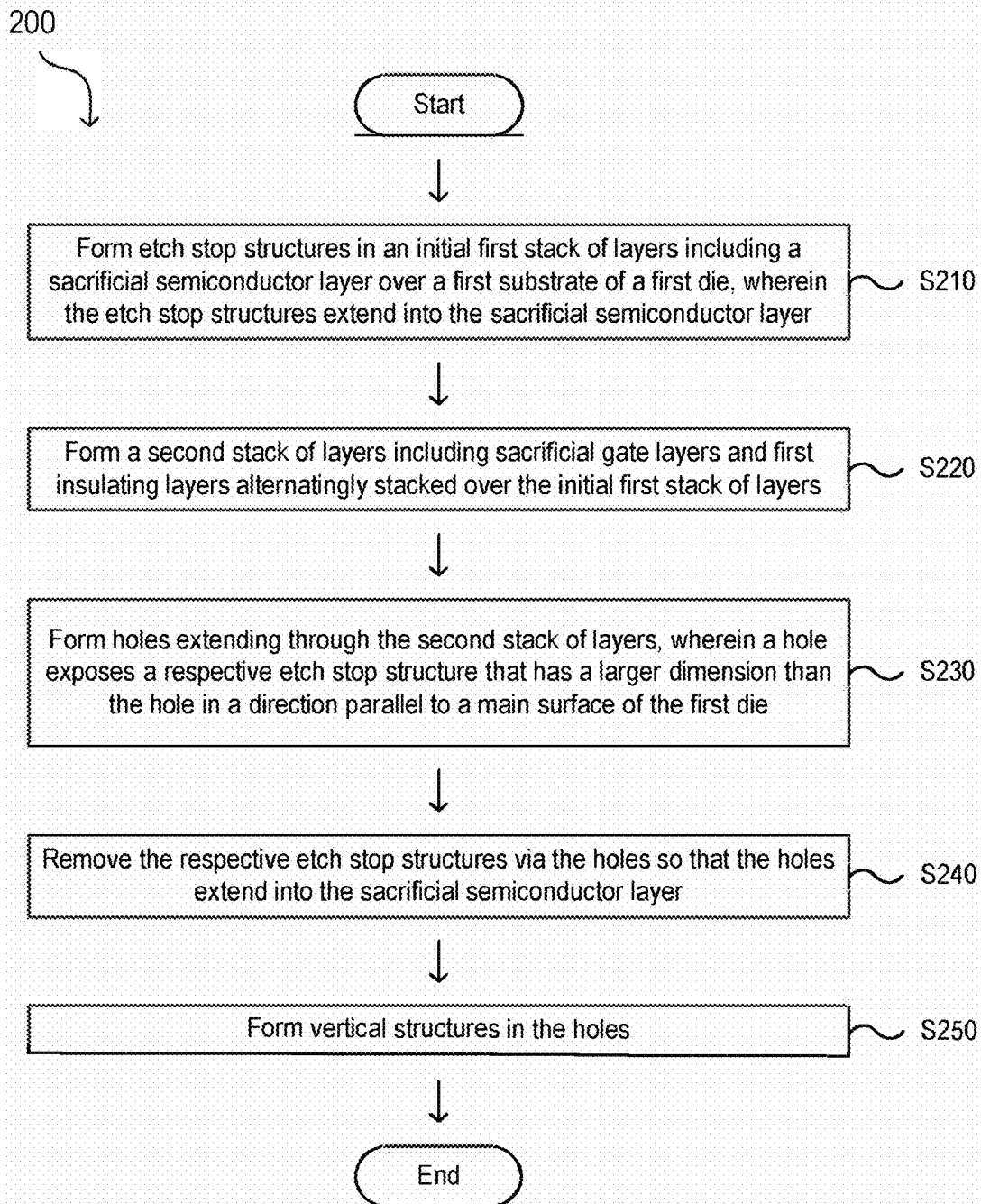


FIG. 2

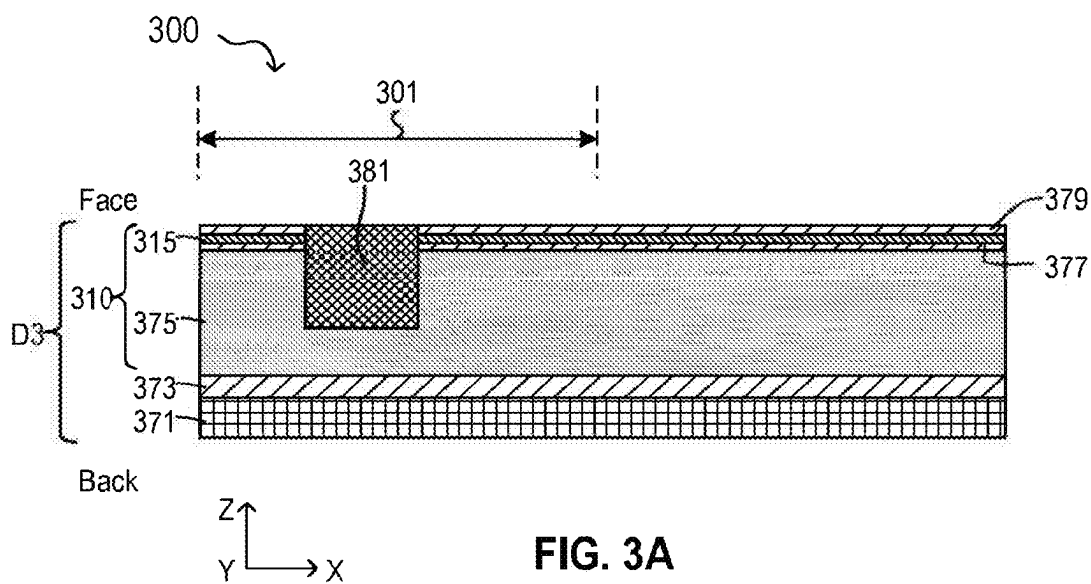


FIG. 3A

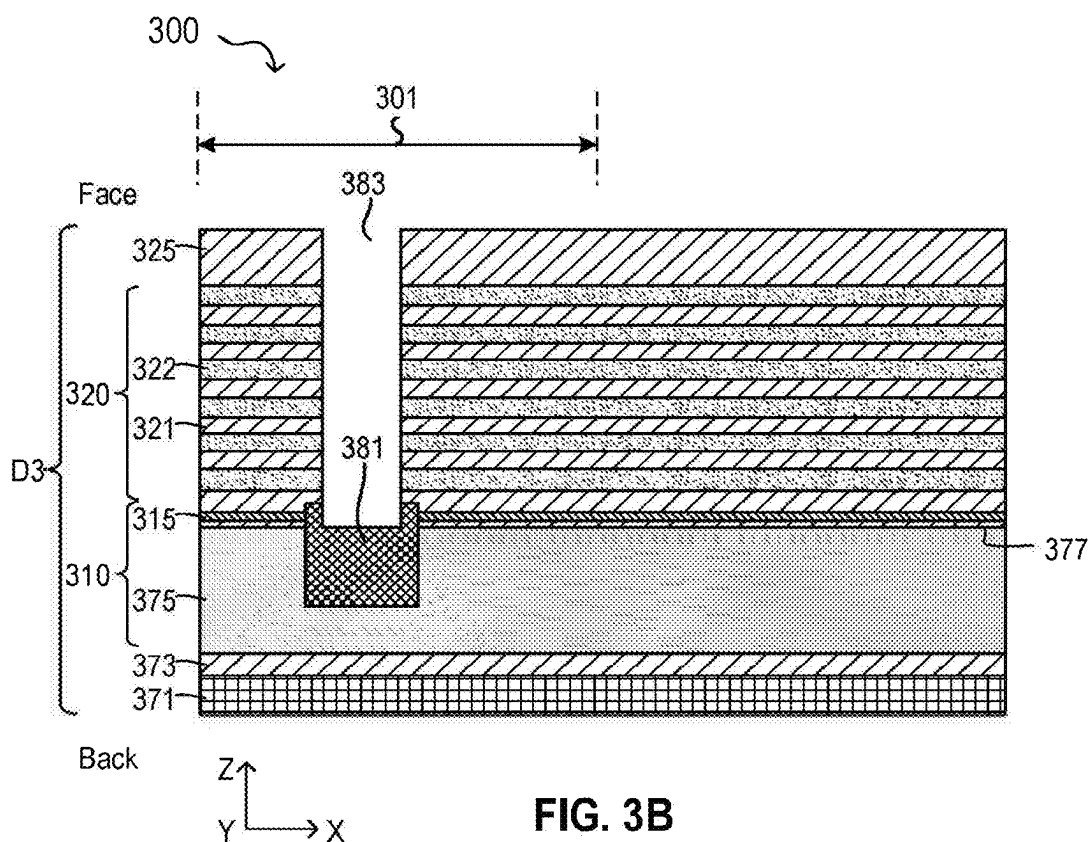
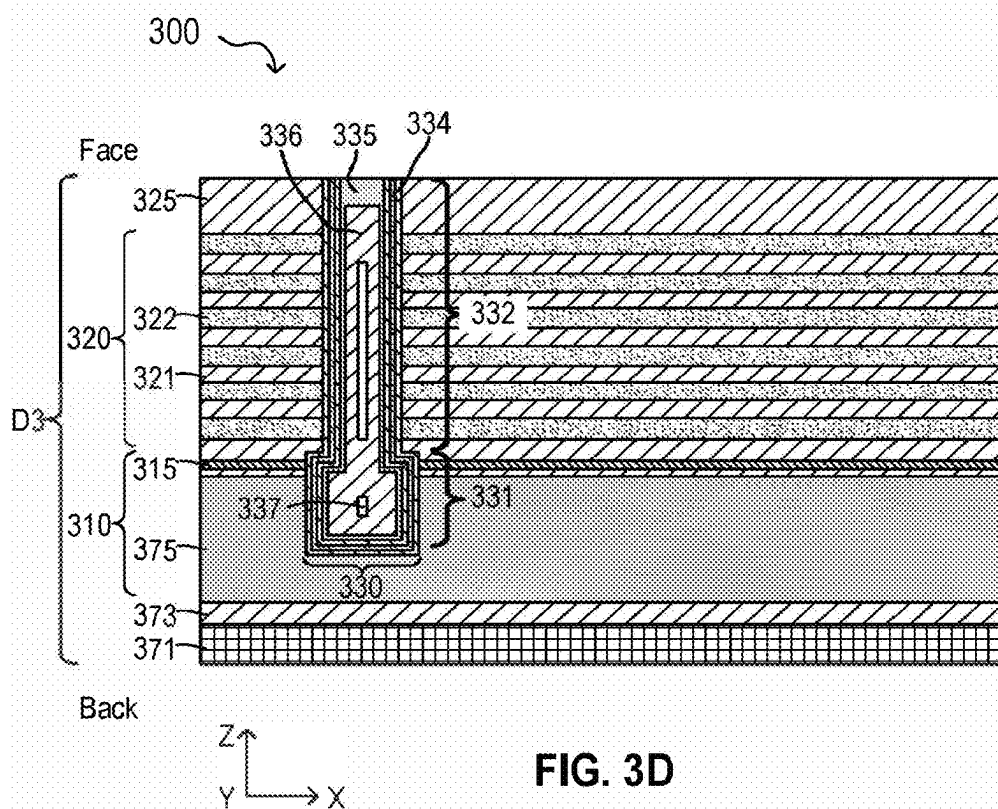
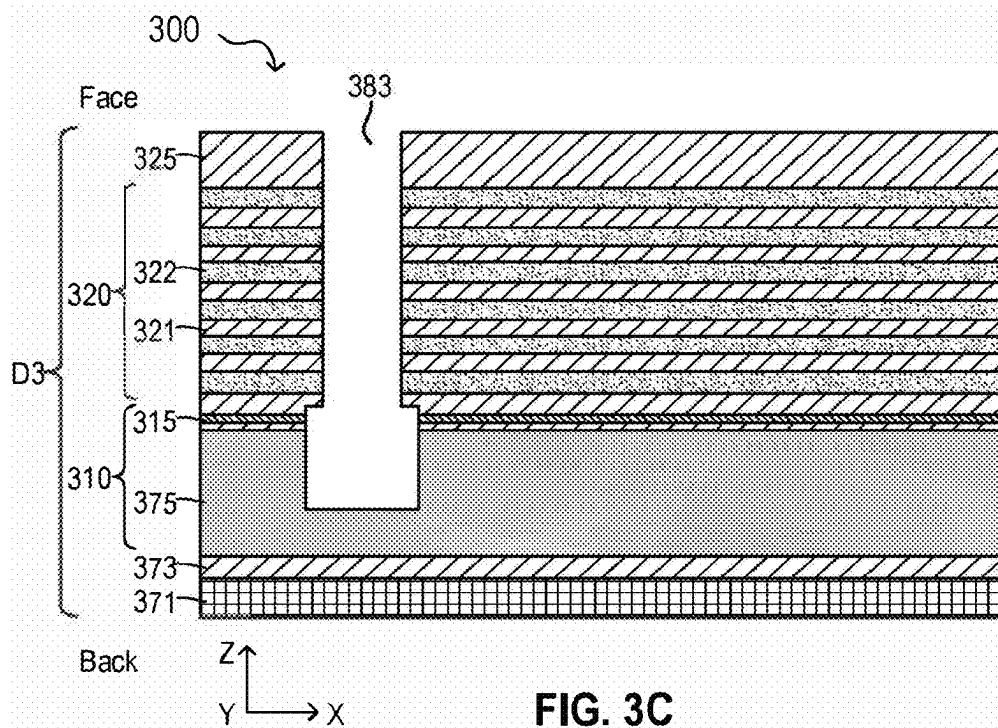


FIG. 3B



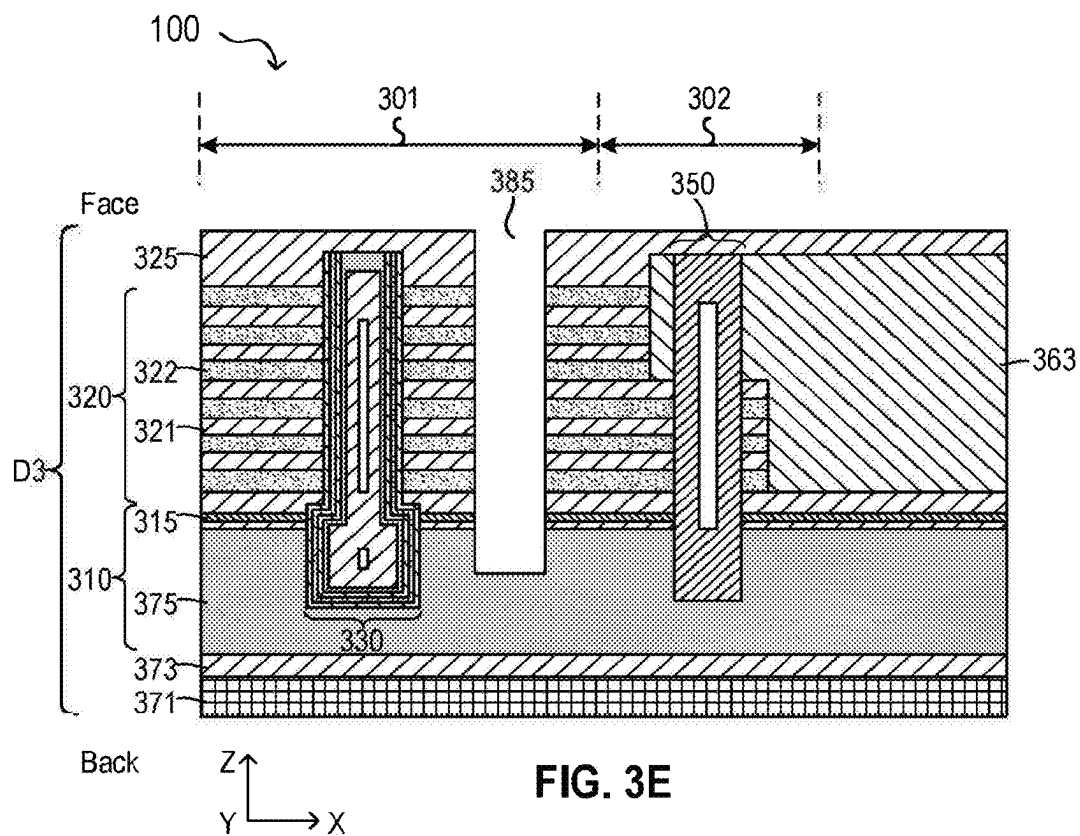


FIG. 3E

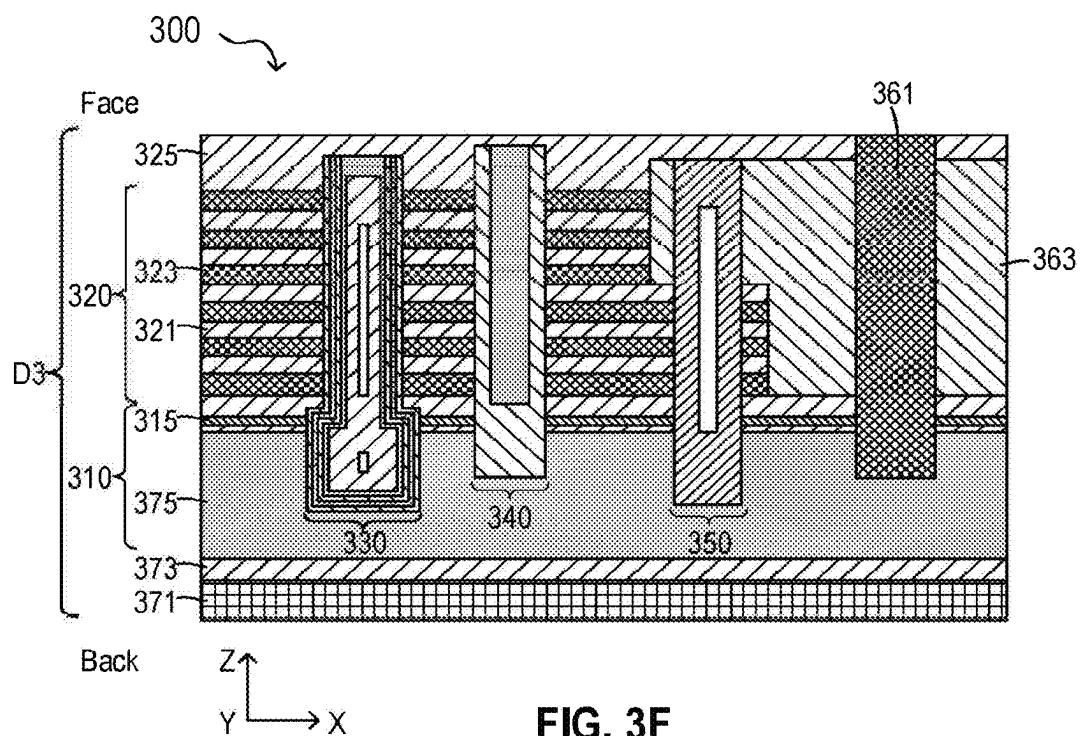


FIG. 3F

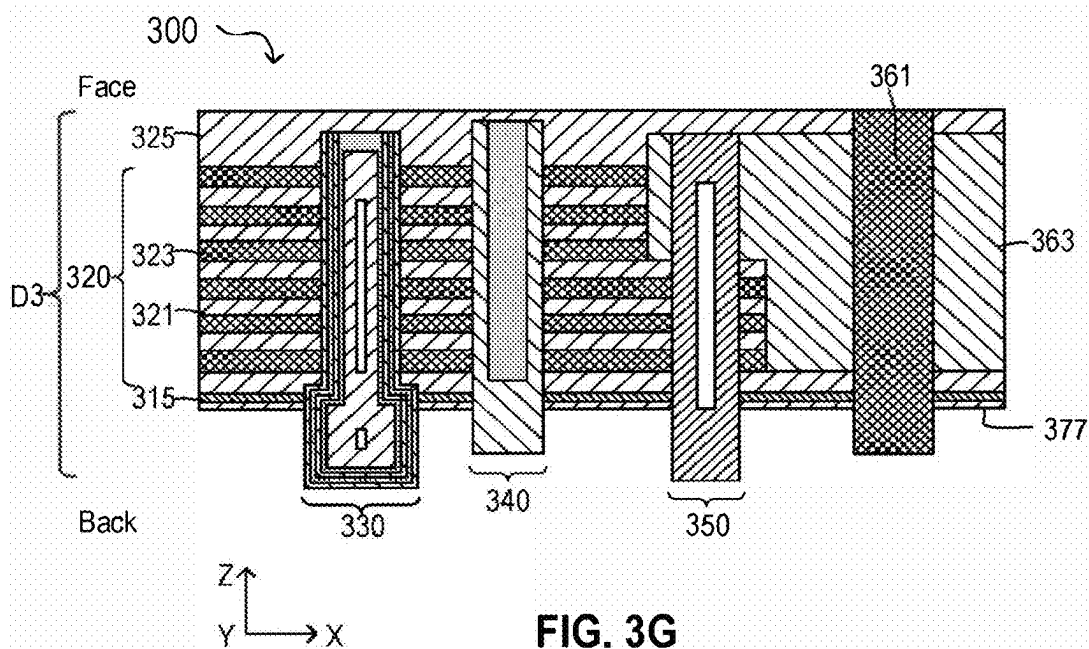


FIG. 3G

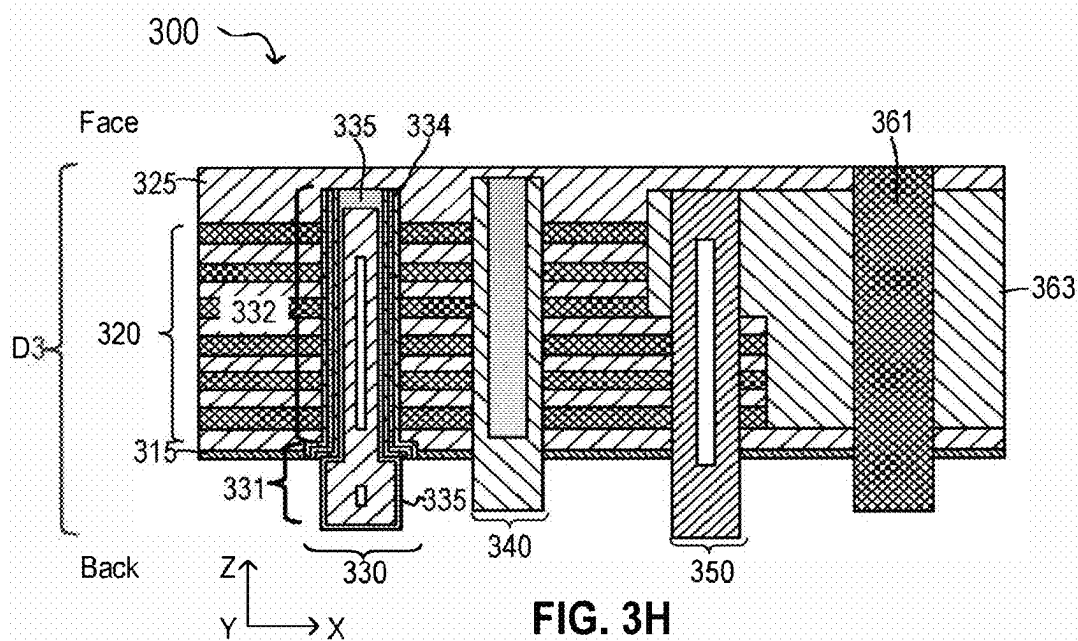
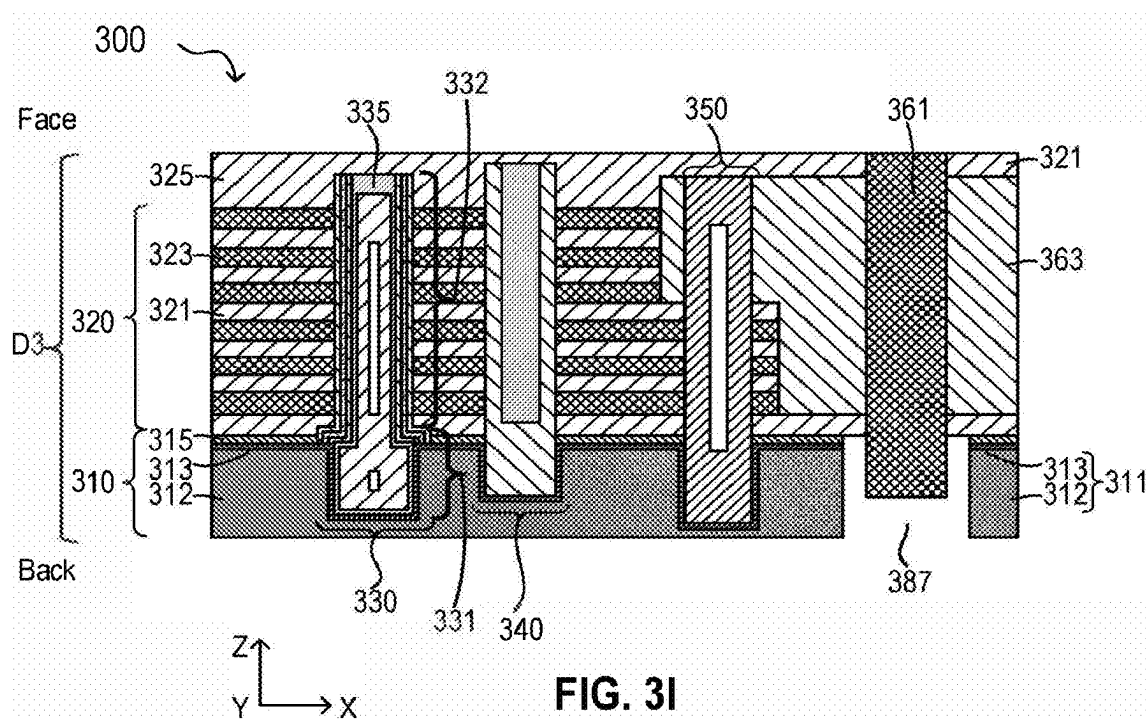
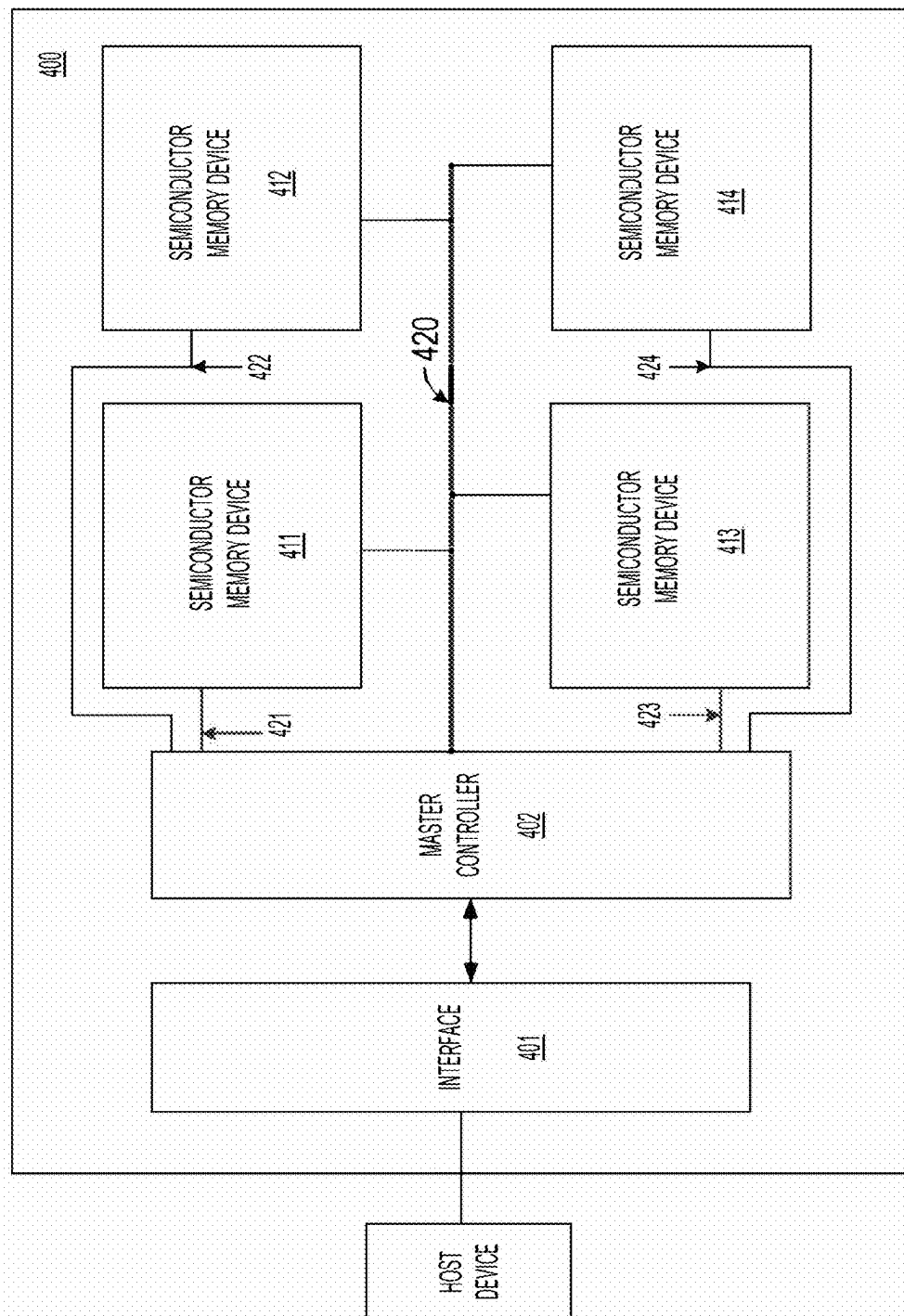


FIG. 3H





SEMICONDUCTOR MEMORY DEVICE AND METHOD FOR FORMING THE SAME

CROSS-REFERENCE TO RELATED APPLICATIONS

[0001] This application is a continuation of U.S. application Ser. No. 17/647,053, filed on Jan. 5, 2022, which is a continuation of International Application No. PCT/CN2021/127760, filed on Oct. 30, 2021, both of which are hereby incorporated by reference in their entireties.

TECHNICAL FIELD

[0002] The present invention describes embodiments generally related to semiconductor memory devices, and methods for forming the semiconductor memory devices.

BACKGROUND

[0003] Semiconductor manufacturers have developed vertical device technologies, such as three dimensional (3D) NAND flash memory technology and the like, to achieve higher data storage density without requiring smaller memory cells. In some examples, a 3D NAND memory device includes a core region (also known as an array region) and a staircase region. The core region includes an array of channel structures extending through a stack of gate layers and insulating layers. The gate layers and the channel structures can form vertical NAND memory cell strings. The staircase region is used to form connections to control the vertical NAND memory cell strings.

SUMMARY

[0004] Aspects of the disclosure provide a semiconductor device and a method of forming the same.

[0005] According to a first aspect, a semiconductor device is provided. The semiconductor device includes a first die. The first die includes a first stack of layers including a semiconductor layer on a backside of the first die. A second stack of layers is formed that includes gate layers and first insulating layers alternately stacked on a face side of the first die. The face side is opposite to the backside. A vertical structure includes a first portion disposed in the first stack of layers and a second portion extending through the second stack of layers. The first portion has a different dimension than the second portion in a direction parallel to a main surface of the first die.

[0006] In some embodiments, the vertical structure includes a channel structure in a core region. The channel structure includes a channel layer extending in the first portion and the second portion. In some embodiments, the second portion includes a tunneling layer surrounding the channel layer, a charge trapping layer surrounding the tunneling layer, and a barrier layer surrounding the charge trapping layer. In some embodiments, the channel layer, in the first portion, is in contact with the semiconductor layer.

[0007] In some embodiments, a first lateral periphery of the first portion extends from a second periphery of the second portion by 10-100 nm.

[0008] In some embodiments, the first portion has a larger dimension than the second portion in the direction parallel to the main surface of the first die.

[0009] In some embodiments, the semiconductor device further includes a first conductive structure disposed on the backside of the first die. The first conductive structure is

conductively connected with the semiconductor layer. A second conductive structure is disposed on the backside of the first die. The second conductive structure is conductively connected with a contact structure disposed on the face side of the first die.

[0010] In some embodiments, the vertical structure includes at least one of a gate line slit (GLS) structure or a dummy channel structure.

[0011] In some embodiments, the semiconductor device further includes memory cells on the face side of the first die. A second die is bonded with the first die face to face. The second die includes a substrate and peripheral circuitry formed on a face side of the substrate for the memory cells.

[0012] According to a second aspect of the disclosure, a memory system includes a semiconductor device having a die. The die includes a first stack of layers including a semiconductor layer on a backside of the die. A second stack of layers includes gate layers and insulating layers alternately stacked on a face side of the die. The face side is opposite to the backside. A vertical structure includes a first portion disposed in the first stack of layers and a second portion extending through the second stack of layers. The first portion has a different dimension than the second portion in a direction parallel to a main surface of the die. The memory system also includes a controller configured to control operations of the semiconductor device. The controller is connected with the semiconductor device.

[0013] According to a third aspect of the disclosure, a method for fabricating a semiconductor device is provided. The method includes forming etch stop structures in an initial first stack of layers including a sacrificial semiconductor layer over a first substrate. The etch stop structures extend into the sacrificial semiconductor layer. A second stack of layers is formed over the initial first stack of layers. Holes are formed that extend through the second stack of layers. A hole exposes a respective etch stop structure that has a different dimension than the hole in a direction parallel to a main surface of the first substrate. The respective etch stop structures are removed via the holes so that the holes extend into the sacrificial semiconductor layer. Vertical structures are formed in the holes. In some embodiments, the first portion has a larger dimension than the second portion in the direction parallel to the main surface of the first substrate.

[0014] In some embodiments, the etch stop structures have different etching properties from the second stack of layers. The etch stop structures have different etching properties from the sacrificial semiconductor layer.

[0015] In some embodiments, the vertical structures include a channel structure. The forming the vertical structures includes forming second insulating layers on exposed surfaces along a channel hole. A channel layer is formed along the second insulating layers.

[0016] In some embodiments, the first substrate of a first die and the sacrificial semiconductor layer are removed from a backside of the first die so that the channel structure is exposed from the backside of the first die. Exposed portions of the second insulating layers are removed so that the channel layer is exposed from the backside of the first die. A semiconductor layer is formed that covers the channel structure from the backside of the first die. A first conductive structure is formed that is conductively connected to the semiconductor layer. A contact structure is formed from a face side of the first die. The face side is opposite to the

backside. A second conductive structure is formed from the backside of the first die. The second conductive structure is conductively connected to the contact structure

[0017] In some embodiments, each channel structure is formed using a respective etch stop structure.

[0018] In some embodiments, the second stack of layers includes sacrificial gate layers and first insulating layers alternately stacked over the initial first stack of layers. Dummy channel structures are formed in a staircase region. Gate line (GL) cut trenches are formed that extend through the second stack of layers. The sacrificial gate layers are replaced with gate layers via the GL trenches. Gate line slit (GLS) structures are formed in the GL cut trenches.

[0019] In some embodiments, the forming the vertical structures includes forming at least one of the dummy channel structures or the GLS structures.

[0020] In some embodiments, the first substrate is included by a first die. Peripheral circuitry is formed on a face side of a second die. The first die and the second die are bonded face to face.

[0021] According to a fourth aspect of the disclosure, a method for fabricating a semiconductor device is provided. The method includes forming a first stack of layers that includes a semiconductor layer on a backside of a first die. A second stack of layers is formed that includes gate layers and first insulating layers alternately stacked on a face side of the first die. The face side is opposite to the backside. A channel structure is formed that includes a first portion disposed in the first stack of layers and a second portion extending through the second stack of layers. The first portion has a larger dimension than the second portion in a direction parallel to a main surface of the first die.

BRIEF DESCRIPTION OF THE DRAWINGS

[0022] Aspects of the present disclosure are best understood from the following detailed description when read with the accompanying figures. It is noted that, in accordance with the standard practice in the industry, various features are not drawn to scale. In fact, the dimensions of the various features may be increased or reduced for clarity of discussion.

[0023] FIG. 1A shows a vertical cross-sectional view of a semiconductor device, in accordance with exemplary embodiments of the present disclosure.

[0024] FIG. 1B shows an expanded view of the box 100B in FIG. 1A, in accordance with exemplary embodiments of the present disclosure.

[0025] FIG. 1C shows a layout design of the semiconductor device in FIG. 1A, in accordance with exemplary embodiments of the present disclosure.

[0026] FIG. 2 shows a flow chart of an exemplary process for manufacturing an exemplary semiconductor device, in accordance with exemplary embodiments of the present disclosure.

[0027] FIGS. 3A, 3B, 3C, 3D, 3E, 3F, 3G, 3H and 3I are cross-sectional views of a semiconductor device at various intermediate steps of manufacturing, in accordance with exemplary embodiments of the present disclosure.

[0028] FIG. 4 shows a block diagram of a memory system device, in accordance with exemplary embodiments of the present disclosure.

DETAILED DESCRIPTION

[0029] The following disclosure provides many different embodiments, or examples, for implementing different features of the provided subject matter. Specific examples of components and arrangements are described below to simplify the present disclosure. These are, of course, merely examples and are not intended to be limiting. For example, the formation of a first feature over or on a second feature in the description that follows may include embodiments in which the first and second features may be in direct contact, and may also include embodiments in which additional features may be formed between the first and second features, such that the first and second features may not be in direct contact. In addition, the present disclosure may repeat reference numerals and/or letters in the various examples. This repetition is for the purpose of simplicity and clarity and does not in itself dictate a relationship between the various embodiments and/or configurations discussed.

[0030] Further, spatially relative terms, such as “beneath,” “below,” “lower,” “above,” “upper” and the like, may be used herein for ease of description to describe one element or feature’s relationship to another element(s) or feature(s) as illustrated in the figures. The spatially relative terms are intended to encompass different orientations of the device in use or operation in addition to the orientation depicted in the figures. The apparatus may be otherwise oriented (rotated 90 degrees or at other orientations) and the spatially relative descriptors used herein may likewise be interpreted accordingly.

[0031] According to some aspects of the disclosure, vertical structures, such as channel structures in three dimensional (3D) NAND flash memory devices and the like, are formed in holes that are etched into a semiconductor layer by a front side processing. The etching process to form the holes into the semiconductor layer can affect a depth uniformity of the holes into the semiconductor layer. When the depth uniformity of the holes is low, the uniformity of the end profile of the vertical structures in the semiconductor layer is poor. Some semiconductor technologies use backside processing to form structures, such as connection structures, at a backside of a semiconductor device. In some examples, the semiconductor layer can be removed by a backside processing, and further backside processing can be performed. The poor uniformity of the end profile of the vertical structures can cause difficulty for the backside processing.

[0032] The present disclosure provides a method to form vertical structures with well-controlled profiles including a sidewall profile and an end profile. Techniques herein include forming an etch stop structure below a future vertical structure. In some examples, the etch stop structure has a larger dimension than the future vertical structure in a horizontal direction so that a hole (for the future vertical structure) can be formed on top of the etch stop structure with some tolerance for alignment error. The etch stop structure can include a material which has etch selectivity so that a process of etching the hole can be stopped at the etch stop structure without causing much gouging or poor uniformity. The etch stop structure is then removed via the hole, and further processes may be executed, for example to form the vertical structure in the hole.

[0033] According to some aspects of the disclosure, a plurality of etch stop structures can be formed below various future vertical structures. In some embodiments, an etch stop

structure is formed below a future channel structure to provide a controlled etch profile for a corresponding channel hole. In some embodiments, an etch stop structure is formed below a future gate line slit (GLS) structure to provide a controlled etch profile for a corresponding gate line (GL) cut trench. In some embodiments, an etch stop structure may be formed below a dummy channel structure to provide a controlled etch profile for a corresponding dummy channel hole.

[0034] According to some aspects of the present disclosure, a pattern of the etch stop structures can be included in an alignment mask that is the first mask to form alignment structures that can be used for alignment by later masks. Hence, no extra mask is needed.

[0035] FIG. 1A shows a vertical cross-sectional view of a semiconductor device 100A, in accordance with exemplary embodiments of the present disclosure. FIG. 1B shows an expanded view of the box 100B in FIG. 1A, in accordance with exemplary embodiments of the present disclosure. FIG. 1C shows some layers in a layout design 100C for the semiconductor device 100A in FIG. 1A, in accordance with exemplary embodiments of the present disclosure. Note that a channel structure is used herein as an example of the vertical structures for illustration purposes.

[0036] As shown in FIGS. 1A-1B, the semiconductor device 100A includes a first die D1. The first die D1 includes a first stack 110 of layers including a semiconductor layer 111 on a backside of the first die D1. The first die D1 also includes a second stack 120 of layers including gate layers 123 and first insulating layers 121 alternatingly stacked on a face side of the first die D1. The face side is opposite to the backside. The first die D1 further includes channel structures 130 disposed in a core region 101 (also referred to as an array region). A channel structure 130 can include a first portion 131 disposed in the first stack 110 of layers and a second portion 132 extending through the second stack 120 of layers. The first portion 131 of the channel structure 130 has a larger dimension than the second portion 132 of the channel structure 130 in a direction parallel to a main surface of the first die D1 (e.g. the XY plane). For example, the first portion 131 can be wider than the second portion 132 in the X direction in the XZ cross section as shown in FIG. 1B. Further, the first portion 131 may be wider than the second portion 132 in any direction in the XY plane such that the first portion 131 extends horizontally beyond the second portion 132. For example, a first lateral periphery of the first portion 131 can extend from a second periphery of the second portion 132 by 10-100 nm.

[0037] In some embodiments, the second portion 132 of the channel structure 130 includes a channel layer 135 (e.g. polysilicon) and second insulating layers 134 surrounding the channel layer 135. For example, the second insulating layers 134 can include a tunneling layer 134*i* (e.g. silicon oxide) surrounding the channel layer 135, a charge trapping layer 134*ii* (e.g. silicon nitride) surrounding the tunneling layer 134*i*, and a barrier layer 134*iii* (e.g. silicon oxide) surrounding the charge trapping layer 134*ii*.

[0038] In some embodiments, the first portion 131 of the channel structure 130 includes the channel layer 135 that is surrounded by the semiconductor layer 111 (e.g. polysilicon) and covered by the semiconductor layer 111 from the backside of the first die D1. As a result, the first portion 131 is in contact with and conductively connected with the semiconductor layer 111. In some embodiments, the semi-

conductor layer 111 is configured to function as a source connection layer that connects the channel layer 135 to an array common source (ACS). In one example, the semiconductor layer 111 includes a bulk portion 112 and a liner portion 113 (e.g. a conformal portion). The liner portion 113 is in contact with the channel layer 135 and may have a different doping profile from the bulk portion 112. In another example, the semiconductor layer 111 only includes the bulk portion 112 which is in contact with the channel layer 135.

[0039] In some embodiments, the channel structure 130 may further include a dielectric layer 136 located inside and surrounded by the channel layer 135. The dielectric layer 136 may include one or more voids 137.

[0040] In some embodiments, the first portion 131 of the channel structure 130 has a lateral dimension of 80-200 nm in a direction parallel to the main surface of the first die D1 (e.g. the XY plane) and a thickness of 10-500 nm in a direction (e.g. the Z direction) perpendicular to the main surface of the first die D1. The second portion 132 can have a lateral dimension of 60-150 nm in the direction parallel to the main surface of the first die D1. In addition, the first portion 131 and the second portion 132 can have various shapes. For example, the first portion 131 and the second portion 132 may have a circular, elliptical or polygonal shape in the XY plane and a pillar shape in the XZ plane and in the YZ plane.

[0041] FIG. 1C shows layout patterns 131' corresponding to the first portion 131 of the channel structures 130, and layout patterns 132' corresponding to the second portion 132 of the channel structures. In some examples, a lateral periphery of the layout patterns 131' extends from a lateral periphery of the layout patterns 132' by a layout size corresponding to 10 nm to 100 nm of real product size (e.g. the semiconductor device 100A).

[0042] In some embodiments, the second stack 120 of gate layers 123 and first insulating layers 121 and channel structures 130 can form a stack of transistors, such as an array of vertical memory cell strings. In some embodiments, the stack of transistors can include memory cells stacked in the Z direction on the face side of the first die D1. In some embodiments, the stack of transistors can also include select transistors, such as one or more bottom select transistors, one or more top select transistors, and the like. In some embodiments, the stack of transistors can further include one or more dummy select transistors.

[0043] Still referring to FIGS. 1A-1B, the first die D1 can also include a plurality of gate line slit (GLS) structures 140 (also referred to as gate line cut structures in some examples) extending through the second stack 120 of layers. FIG. 1C shows layout patterns 140' corresponding to the GLS structures 140. The GLS structures 140 can be used to facilitate replacement of sacrificial layers with the gate layers 123 in a gate-last process. In one embodiment (not shown), a GLS structure 140 may include a semiconductor material (not shown) and be configured to function as an ACS. The ACS is conductively connected to the channel layers 135 via a source connection layer, such as the semiconductor layer 111.

[0044] In another embodiment as shown in FIG. 1A, a GLS structure 140 includes one or more dielectric materials. In some examples, the GLS structure 140 extends through the second stack 120 of layers, and the GLS structure 140 is configured to divide the vertical memory cell strings (corresponding to the channel structures 130) into separate blocks. In some examples, the vertical memory cell strings

are configured to be erased by block. Further, the quantity and arrangement of the channel structures **130** between the GLS structures **140** can vary.

[0045] In the example of FIG. 1A, the GLS structure **140** is shown with a continuous sidewall profile. In another example (not shown), the GLS structure **140** can have a similar configuration to the channel structure **130**. That is, the GLS structure **140** can include a first portion disposed in the first stack **110** of layers and a second portion extending through the second stack **120** of layers. The first portion of the GLS structure **140** may have a larger dimension than the second portion of the GLS structure **140** in a direction parallel to the main surface of the first die **D1**. Thus, the sidewall of the first portion has a larger perimeter than the second portion.

[0046] In some embodiments, the first die **D1** includes a staircase region **102** where pairs of the gate layers **123** and the first insulating layers **121** are arranged in a form of stair steps, for example one pair of the first insulating layer **121** and the gate layer **123** per stair step. Gate contact structures (not shown) can therefore be disposed on the stair steps and be connected to the respective gate layers **123**. The gate contact structures are used to connect driving circuitry to the respective gate layers **123** to control the stacked memory cells and select gates.

[0047] In some embodiments, the first die **D1** further includes a plurality of dummy channel structures **150**. The plurality of dummy channel structures **150** can prevent the second stack **120** of layers from collapsing during a replacement of sacrificial layers with the gate layers **123** in a gate-last process. The dummy channel structures **150** can include one or more dielectric materials. In one example, arrays of dummy channel structures **150** can be disposed in the staircase region **102** between the GLS structures **140**. In another example, one or more dummy channel structures **150** can also be disposed in the core region **101**.

[0048] In the example of FIG. 1A, a dummy channel structure **150** has continuous sidewall. For example, the dummy channel structure **150** has a rectangular shape or a trapezoid shape in the XZ plane. In another example (not shown), the dummy channel structure **150** can have a similar configuration to the channel structure **130**. That is, the dummy channel structure **150** can include a first portion disposed in the first stack **110** of layers and a second portion extending through the second stack **120** of layers. The first portion of the dummy channel structure **150** may have a larger dimension than the second portion of the dummy channel structure **150** in a direction parallel to the main surface of the first die **D1**. Thus, the sidewall of the first portion has a larger perimeter than the second portion.

[0049] In some embodiments, the first die **D1** may further include at least one contact structure **161** that extends from the face side of the first die **D1** to the backside of the first die **D1**. In one example, the at least one contact structure **161** extends through a capping layer **125**, a third insulating layer **163** and an etch stop layer **115**, and extends into the semiconductor layer **111**. In another example (not shown), the contact structure **161** extends through the first insulating layer **121** and the third insulating layer **163**, and may stop at the etch stop layer **115**.

[0050] In some embodiments, the first die **D1** further includes a spacer layer **165** (e.g. silicon oxide) covering the semiconductor layer **111** from the backside of the first die **D1**. A first conductive structure **167a** is disposed on the

backside of the spacer layer **165**, and the first conductive structure **167a** is conductively connected with the semiconductor layer **111** through an opening in the spacer layer **165**. A second conductive structure **167b** is also disposed on the backside of the first die **D1**, and the second conductive structure **167b** is conductively connected with the contact structure **161** through a contact that is referred to as through silicon contact. In an example, the through silicon contact is formed in an opening in the semiconductor layer **111**. The opening in the semiconductor layer **111** can be lined with the spacer layer **165** on the sidewall. While not shown, it should be understood that the first conductive structure **167a** and the second conductive structure **167b** can be conductively connected to external circuitry.

[0051] As mentioned earlier, memory cells can be vertically stacked on the face side of the first die **D1**. In some embodiments, a second die **D2** (not shown) can be bonded with the first die **D1** face to face (a side with a majority of circuitry is face, and an opposite side to the face side is a backside). In some examples, the second die **D2** includes a substrate and peripheral circuitry (e.g., address decoder, driving circuits, sense amplifier, and the like) formed on a face side of the substrate for the memory cells. Note that the first die **D1** initially includes a substrate, over which the memory cells are formed, and the substrate of the first die **D1** is removed prior to the formation of the first conductive structure **167a** and the second conductive structure **167b** in some examples.

[0052] Generally, the peripheral circuitry of the second die **D2** can interface the memory cells with external circuitry. In some embodiments, the contact structure **161** is conductively connected to the peripheral circuitry of the second die **D2**. As a result, the peripheral circuitry can receive instructions from the external circuitry via the second conductive structures **167b** and the contact structures **161**, provide control signals to the memory cells, receive data from the memory cells, and output data to the external circuitry via the contact structures **161** and the second conductive structures **167b**.

[0053] In some embodiments, the semiconductor device **100A** can include multiple array dies (e.g. the first die **D1**) and a CMOS die (e.g. the second die **D2**). The multiple array dies and the CMOS die can be stacked and bonded together. Each array die is coupled to the CMOS die, and the CMOS die can drive the array dies individually or together in a similar manner. Further, in some embodiments, the semiconductor device **100A** includes at least a first wafer and a second wafer bonded face to face. The first die **D1** is disposed with other array dies like **D1** on the first wafer, and the second die **D2** is disposed with other CMOS dies like the second die **D2** on the second wafer. The first wafer and the second wafer are bonded together so that the array dies on the first wafer are bonded with corresponding CMOS dies on the second wafer.

[0054] FIG. 2 shows a flow chart of a process **200** for manufacturing an exemplary semiconductor device, such as the semiconductor device **100A** and the like, in accordance with exemplary embodiments of the present disclosure. The process **200** starts with Step **S210** where etch stop structures are formed in an initial first stack of layers including a sacrificial semiconductor layer over a first substrate of a first die. The etch stop structures extend into the sacrificial semiconductor layer. At Step **S220**, a second stack of layers is formed. The second stack of layers includes sacrificial

gate layers and first insulating layers alternately stacked over the initial first stack of layers. At Step S230, holes (e.g. channel holes) are formed that extend through the second stack of layers and stop at the etch stop structures. A hole exposes a respective etch stop structure that has a larger dimension than the hole in a direction parallel to a main surface of the first die. At Step S240, the respective etch stop structures are removed via the holes so that the holes extend into the sacrificial semiconductor layer. At Step S250, vertical structures (e.g. the channel structure 130) are formed in the holes. It should be noted that additional steps can be provided before, during, and after the process 200, and some of the steps described can be replaced, eliminated, or performed in a different order for additional embodiments of the process 200.

[0055] In some embodiments, the initial first stack of layers can be replaced by the first stack of layers (e.g. the first stack 110 of layers) that includes, for example the semiconductor layer 111 by processing on the backside of the semiconductor device 100A.

[0056] FIGS. 3A, 3B, 3C, 3D, 3E, 3F, 3G, 3H and 3I are cross-sectional views of a semiconductor device 300 at various intermediate steps of manufacturing, in accordance with exemplary embodiments of the present disclosure. In some embodiments, the semiconductor device 300 can eventually become the semiconductor device 100A in FIGS. 1A-1B. Note that a channel structure is used herein as an example of the vertical structures for illustration purposes.

[0057] As shown in FIG. 3A, the semiconductor device 300 includes a first die D3 having a first substrate 371. An oxide layer 373 can be disposed on the first substrate 371. The first die D3 includes an initial first stack 310 of layers including a sacrificial semiconductor layer 375 and an etch stop layer 315. The etch stop layer 315 may be sandwiched between oxide layers 377 and 379. In some embodiments, etch stop structures 381 are formed in the initial first stack 310 of layers and extend into the sacrificial semiconductor layer 375. In the example of FIG. 1A, an etch stop structure 381 is formed in a core region 301. For example, the etch stop structure 381 can be formed by forming an opening in the initial first stack 310 based on a first mask having patterns corresponding to the layout patterns 131'. Then, etch stop material, such as tungsten, can be deposited to fill the opening, and excess etch stop material, can be removed for example by chemical mechanical polishing. As will be discussed later, the etch stop structures 381 can also be formed in another region, such as a staircase region.

[0058] Note that the first die D3 can eventually become the first die D1 in FIG. 1A in some examples. Accordingly, the initial first stack 310 of layers can eventually become the first stack 110 of layers. The etch stop layer 315 can correspond to the etch stop layer 115. The core region 301 can correspond to the core region 101. Similarly, the first die D3 can include a face side and a backside (a side with a majority of circuitry is face, and an opposite side to the face side is a backside).

[0059] In FIG. 3B, a second stack 320 of layers is formed over the initial first stack 310 of layers. The second stack 320 of layers can include first insulating layers 321 and sacrificial gate layer 322 which are stacked alternately in the Z direction. A capping layer 325 can also be formed over the second stack 320 of layers. Then, channel holes 383 can be formed by etching through the second stack 320 of layers. In some examples, the channel holes 383 are formed based on

a second mask having patterns corresponding to the layout patterns 132'. Each channel hole 383 can expose a respective etch stop structure 381 that has a larger dimension than the corresponding channel hole 383 in a direction parallel to a main surface of the first die D3 (e.g. the XY plane). In this example, an etch stop structure 381 can extend horizontally beyond a perimeter of a respective channel hole 383.

[0060] In some embodiments, an etch stop structure 381 has a lateral dimension of 80-200 nm in a direction parallel to the main surface of the first die D3 (e.g. the XY plane) and a thickness of 10-500 nm in a direction (e.g. the Z direction) perpendicular to the main surface of the first die D3. A respective channel hole 383 has a lateral dimension of 60-150 nm in the direction parallel to the main surface of the first die D3.

[0061] In some embodiments, the etch stop structures 381 are configured to have different etching properties from the sacrificial semiconductor layer 375 and the second stack 320 of layers so that an etching process to form the channel holes 383 can be stopped at the etch stop structures 381. In a non-limiting example, the etch stop structures 381 include tungsten. The first insulating layers 321 include silicon oxide. The sacrificial gate layers 322 include silicon nitride. The etch stop layer 315 includes polysilicon. The sacrificial semiconductor layer 375 includes polysilicon.

[0062] Further, in some embodiments, the second stack 320 of layers can eventually become the second stack 120 of layers. The first insulating layers 321 can correspond to the first insulating layers 121. The capping layer 325 can correspond to the capping layer 125.

[0063] In FIG. 3C, the etch stop structures 381 are removed, for example by dry etch. As a result, the channel holes 383 extend into the initial first stack 310 of layers, particularly into the sacrificial semiconductor layer 375. The channel holes 383 thus have varying widths in a height direction (e.g. the Z direction). More importantly, the channel holes 383 can have uniform end profiles at hole bottoms.

[0064] In FIG. 3D, channel structures 330 are formed in the channel holes 383. For example, second insulating layers 334 of the channel structures 330 can be formed on exposed surfaces along the channel holes 383. The second insulating layers 334 may include a tunneling layer, a charge trapping layer, and a barrier layer. Then, a channel layer 335 of the channel structures 330 can be formed along the second insulating layers 334, and a dielectric layer 336 of the channel structures 330 can be formed that is surrounded by the channel layer 335. The dielectric layer 336 may include one or more voids 337.

[0065] As shown, the channel structure 330 includes a first portion 331 disposed in the initial first stack 310 of layers and a second portion 332 extending through the second stack 320 of layers. The first portion 331 of the channel structure 330 has a larger dimension than the second portion 332 of the channel structure 330 in a direction parallel to a main surface of the first die D3 (e.g. the XY plane).

[0066] In some embodiments, the channel structures 330 can eventually become the channel structures 130. Accordingly, the first portion 331 can eventually become the first portion 131. The second portion 332 can correspond to the second portion 132. The channel layer 335 can correspond to the channel layer 135. The second insulating layers 334 can correspond to the second insulating layers 134. The

dielectric layer **336** can correspond to the dielectric layer **136**. The one or more voids **337** can correspond to the one or more voids **137**.

[0067] In some embodiments, while not shown, the semiconductor device **300** may further include a third stack of layers over the second stack **320** of layers. The third stack of layers includes first insulating layers **121** and sacrificial gate layers **122** alternately stacked in the Z direction. Channel holes can also be formed by etching through the third stack and referred to as upper channel holes (UCHs). Accordingly, the channel holes **383** can be referred to as lower channel holes (LCHs). Each UCH can be aligned to a respective LCH so that a respective channel structure can be formed that extend through the second stack **230** and the third stack. The respective channel structure can include the first portion **331** of the channel structure **330** and another portion that corresponds to the second portion **332** of the channel structure **330**. In one embodiment, the respective channel structure can be formed in the UCH and the LCH simultaneously. In another embodiment, part of the respective channel structure can be formed in the LCH first, before the third stack is formed and etched to form the UCH, prior to forming another part of the respective channel structure in the UCH.

[0068] In FIG. 3E, a staircase region **302** is formed, where pairs of the first insulating layers **321** and the sacrificial gate layers **322** are arranged in a form of stair steps, for example one pair of the first insulating layer **321** and the sacrificial gate layer **322** per stair step. The staircase region **302** can be covered by a third insulating layer **363**. A plurality of dummy channel structures **350** can be formed, for example in the staircase region **302**. One or more gate line (GL) cut trenches **385** can be formed, for example in the core region **301**. The one or more GL cut trenches **385** can be used to replace the sacrificial gate layers **322** with gate layers in a future step, and the plurality of dummy channel structures **350** can prevent the second stack **320** of layers from collapsing during such a future replacing step.

[0069] In some embodiments, the plurality of dummy channel structures **350** is formed by etching dummy channel holes (DCHs, not shown) through the second stack **320** of layers and filling the DCHs with one or more dielectric materials. In some embodiments, the etch stop structures **381** can be formed in the staircase region **302** and used for forming the dummy channel structures **350**. Each DCH can expose a respective etch stop structure **381** that has a larger dimension than the corresponding DCH in a direction parallel to a main surface of the first die **D3** (e.g. the XY plane). For example, an etch stop structure **381** can extend horizontally beyond a perimeter of a respective DCH. As a result, the dummy channel structures **350** can have similar configurations to the channel structures **330**.

[0070] In some embodiments, the etch stop structures **381** can also be formed and used for forming the GL cut trenches **385**. Each GL cut trench **385** can expose a respective etch stop structure **381** that has a larger dimension than the corresponding GL cut trench **385** in a direction parallel to a main surface of the first die **D3** (e.g. the XY plane). For example, an etch stop structure **381** can extend horizontally beyond a perimeter of a respective GL cut trench **385**. As a result, the GL cut trenches **385** (and future gate line slit structures formed in the GL cut trenches **385**) can have similar configurations to the channel structures **330**.

[0071] In some embodiments, the staircase region **302** can correspond to the staircase region **102**. The dummy channel structures **350** can correspond to the dummy channel structures **150**. The third insulating layer **363** can correspond to the third insulating layer **163**.

[0072] In FIG. 3F, the sacrificial gate layers **322** are replaced with gate layers **323** via the GL cut trenches **385**, by etching away the sacrificial gate layers **322** and forming the gate layers **323**. Then, gate line slit (GLS) structures **340** are formed in the GL cut trenches **385**. Next, at least one contact structure **361** is formed that extends from the face side of the first die **D3** to the backside of the first die **D3**. While not shown, gate contact structures can also be formed on the stair steps and be connected to the respective gate layers **323**. The gate contact structures can be used to connect driving circuitry to the respective gate layers **323**.

[0073] In some embodiments, the gate layers **323** can correspond to the gate layers **123**. The GLS structures **340** can correspond to the GLS structures **140**. The at least one contact structure **361** can correspond to the at least one contact structure **161**. Similarly, the second stack **320** of gate layers **323** and first insulating layers **321** and the channel structures **330** can form a stack of transistors, such as an array of vertical memory cell strings. In some embodiments, the stack of transistors can include memory cells stacked in the Z direction.

[0074] Further, in some embodiments, a second die **D4** (not shown) can be bonded to the first die **D3** face to face (a side with a majority of circuitry is face, and an opposite side to the face side is a backside). The second die **D4** corresponds to the second die **D2**. Therefore, the second **D4** can include a second substrate and peripheral circuitry formed on a face side of the second substrate for the memory cells of the first die **D3**. Detailed descriptions have been provided above and will be omitted here for simplicity purposes.

[0075] In FIG. 3G, the first substrate **371**, the oxide layer **373** and the sacrificial semiconductor layer **375** are removed from the backside of the first die **D3** so that the channel structures **330** are exposed from the backside of the first die **D3**. Particularly, the first substrate **371** can be removed by chemical-mechanical polishing (CMP). The oxide layer **373** can be etched. The sacrificial semiconductor layer **375** can be selectively etched. As a result, the GLS structures **340** and the dummy channel structures **350** can also be exposed from the backside of the first die **D1**. In this example, the contact structure **361** is exposed. In another example where the contact structure **361** extends through the third insulating layer **363** and stops at the etch stop layer **315**, the contact structure **361** remains covered by the etch stop layer **315**.

[0076] In FIG. 3H, exposed portions of the second insulating layers **334** are removed so that the channel layer **335** is exposed from the backside of the first die **D3**. As a result, the channel structure **330** can become the channel structure **130**. Particularly, the first portion **331** can become the first portion **131**. The descriptions have been provided above and will be omitted here for simplicity purposes.

[0077] In some examples, the second insulating layers **334** include a silicon oxide layer surrounded by a silicon nitride layer surrounded by another silicon oxide layer. During an etching process to remove the exposed portions of the second insulating layers **334**, the oxide layer **377** can therefore also be removed.

[0078] In FIG. 31, a semiconductor layer 311 is formed that covers the channel structures 330 from the backside of the first die D3. In some embodiments, the semiconductor layer 311 can correspond to the semiconductor layer 111. In one embodiment, the semiconductor layer 311 includes a bulk portion 312 and a liner portion 313 (e.g. a conformal portion). The liner portion 313 can be formed on the channel layer 335 and doped by ion implantation. Then, the bulk portion 312 can be formed, for example by chemical vapor deposition (CVD), and planarized by CMP. The bulk portion 312 can be doped in situ during CVD or doped by ion implantation after CVD. A post-annealing step, such as laser annealing, may be executed to activate dopants and/or repair crystal damages. In another embodiment, the semiconductor layer 311 only includes the bulk portion 312 which is in contact with the channel layer 335. Accordingly, the semiconductor layer 311 can be formed by a single deposition process followed by planarization and may also go through doping and annealing processes.

[0079] Further, an opening 387 can be formed in the semiconductor layer 311 to expose the contact structure 361 from the backside of the first die D1. While not shown, a spacer layer that corresponds to the spacer layer 165 can be formed from the backside of the first die D3. A portion of the spacer layer is removed from a bottom of the opening 387 so that a remaining portion of the spacer layer covers sidewalls of the opening 387 and exposes the contact structure 361. Next, a conductive layer can be formed from the backside of the first die D3 and divided to form separate conductive structures. In one example, a first conductive structure that corresponds to the first conductive structure 167a is formed. The first conductive structure is conductively connected to the semiconductor layer 311 through an opening in the spacer layer. In another example, a second conductive structure that corresponds to the second conductive structure 167b is formed. The second conductive structure is formed from the backside of the first die D3 and is conductively connected to the contact structure 361 through the opening 387 in the semiconductor layer 311. The second conductive structure is separated from the semiconductor layer 311 by the remaining portion of spacer layer disposed on the sidewalls of the opening 387.

[0080] It is noted that the semiconductor device 100A can be suitably used in a memory system.

[0081] FIG. 4 shows a block diagram of a memory system device 400, in accordance with exemplary embodiments of the present disclosure. The memory system device 400 includes one or more semiconductor memory devices, such as shown by semiconductor memory devices 411, 412, 413 and 414, which are respectively configured similarly to the semiconductor device 100A. In some examples, the memory system device 400 is a solid state drive (SSD).

[0082] The memory system device 400 can include other suitable components. For example, the memory system device 400 includes an interface 401 and a master controller 402 coupled together as shown in FIG. 4. The memory system device 400 can include a bus 420 that couples the master controller 402 with the semiconductor memory devices 411-414. In addition, the master controller 402 is connected with the semiconductor memory devices 411-414 respectively, such as shown by respective control lines 421, 422, 423 and 424.

[0083] The interface 401 is suitably configured mechanically and electrically to connect between the memory system device 400 and a host device, and can be used to transfer data between the memory system device 400 and the host device.

[0084] The master controller 402 is configured to connect the respective semiconductor memory devices 411-414 to the interface 401 for data transfer. For example, the master controller 402 is configured to provide enable/disable signals respectively to the semiconductor memory devices 411-414 to activate/deactivate one or more semiconductor memory devices 411-414 for data transfer.

[0085] The master controller 402 is responsible for the completion of various instructions within the memory system device 400. For example, the master controller 402 can perform bad block management, error checking and correction, garbage collection, and the like.

[0086] In some embodiments, the master controller 402 is implemented using a processor chip. In some examples, the master controller 402 is implemented using multiple micro-controller units (MCUs).

[0087] “Device” or “semiconductor device” as used herein generically refers to any suitable device, for example, memory circuits, a semiconductor chip (or die) with memory circuits formed on the semiconductor chip, a semiconductor wafer with multiple semiconductor dies formed on the semiconductor wafer, a stack of semiconductor chips, a semiconductor package that includes one or more semiconductor chips assembled on a package substrate, and the like.

[0088] “Substrate” or “target substrate” as used herein generically refers to an object being processed in accordance with the invention. The substrate may include any material portion or structure of a device, particularly a semiconductor or other electronics device, and may, for example, be a base substrate structure, such as a semiconductor wafer, reticle, or a layer on or overlying a base substrate structure such as a thin film. Thus, substrate is not limited to any particular base structure, underlying layer or overlying layer, patterned or un-patterned, but rather, is contemplated to include any such layer or base structure, and any combination of layers and/or base structures. The description may reference particular types of substrates, but this is for illustrative purposes only.

[0089] The substrate can be any suitable substrate, such as a silicon (Si) substrate, a germanium (Ge) substrate, a silicon-germanium (SiGe) substrate, and/or a silicon-on-insulator (SOI) substrate. The substrate may include a semiconductor material, for example, a Group IV semiconductor, a Group III-V compound semiconductor, or a Group II-VI oxide semiconductor. The Group IV semiconductor may include Si, Ge, or SiGe. The substrate may be a bulk wafer or an epitaxial layer.

[0090] The foregoing outlines features of several embodiments so that those skilled in the art may better understand the aspects of the present disclosure. Those skilled in the art should appreciate that they may readily use the present disclosure as a basis for designing or modifying other processes and structures for carrying out the same purposes and/or achieving the same advantages of the embodiments introduced herein. Those skilled in the art should also realize that such equivalent constructions do not depart from the spirit and scope of the present disclosure, and that they may

make various changes, substitutions, and alterations herein without departing from the spirit and scope of the present disclosure.

What is claimed is:

1. A semiconductor device, comprising:
 - a first die comprising:
 - a semiconductor layer;
 - a stack structure comprising alternatingly gate layers and first insulating layers along a first direction;
 - a first conductive structure, wherein the semiconductor layer is between the first conductive structure and the stack structure in the first direction; and
 - a channel structure comprising a first portion in the semiconductor layer and a second portion extending in the stack structure, a dimension of the first portion is different from a dimension of the second portion in a second direction, and the first direction is different from the second direction.
2. The semiconductor device of claim 1, wherein the channel structure comprising:
 - a channel layer; and
 - a function layer comprising:
 - a tunneling layer surrounding the channel layer;
 - a charge trapping layer surrounding the tunneling layer; and
 - a barrier layer surrounding the charge trapping layer.
3. The semiconductor device of claim 2, wherein the channel layer comprises a first portion in the stack structure and a second portion in the semiconductor layer, a dimension of the first portion of the channel layer is different from a dimension of the second portion of the channel layer in the second direction, and the first direction is perpendicular to the second direction.
4. The semiconductor device of claim 2, wherein the channel structure further comprises a dielectric layer surrounded by the channel layer, the dielectric layer comprising a first portion in the stack structure and a second portion in the semiconductor layer, and a dimension of the first portion of the dielectric layer is different from a dimension of the second portion of the dielectric layer in the second direction, the first direction is perpendicular to the second direction.
5. The semiconductor device of claim 2, wherein the function layer comprises a first portion extending in the first direction and a second portion extending outside towards the channel structure in the second direction, and the second portion of the function layer is between the semiconductor layer and the stack structure.
6. The semiconductor device of claim 5, wherein the function layer does not extend into the semiconductor layer.
7. The semiconductor device of claim 2, wherein the channel layer comprises a portion exposed from the function layer, and at least part of the portion of the channel layer exposed from the function layer is in the semiconductor layer and in contact with the semiconductor layer.
8. The semiconductor device of claim 1, wherein the semiconductor layer comprises a first semiconductor layer and a second semiconductor layer, the first semiconductor layer is between the stack structure and the second semiconductor layer in the first direction, the first semiconductor layer comprising a first portion extending in the first direction and a second portion extending in the second direction, and at least part of the first portion of the first semiconductor layer and the second portion of the first semiconductor layer

are in the second semiconductor layer and connected with the second semiconductor layer.

9. The semiconductor device of claim 1, wherein the semiconductor device further comprises a second die comprising a peripheral circuitry, the second die is bonded with the first die, and the stack structure is between the semiconductor layer and the second die.

10. The semiconductor device of claim 1, wherein the first die further comprises a contact structure extending in the first direction and a second conductive structure in contact with the contact structure, the contact structure is spaced from the stack structure in the second direction, a dimension of the contact structure is larger than a dimension of the stack structure in the first direction, the second conductive structure extends through the semiconductor layer, and the second conductive structure and the contact structure are spaced from the semiconductor layer in the second direction.

11. The semiconductor device of claim 1, wherein the first die further comprises a dummy channel structure extending in the stack structure and into the semiconductor layer.

12. A semiconductor device, comprising:

- a first die comprising:
 - a stack structure comprising alternatingly gate layers and first insulating layers in a first direction;
 - a semiconductor layer located at a side of the stack structure in the first direction; and
 - a channel structure comprising a channel layer and a function layer, wherein the function layer comprises a tunneling layer, a charge trapping layer, and a barrier layer,
- wherein the channel layer comprises a first portion extending in the stack structure and surrounded by the function layer, and a second portion exposed from the function layer and in the semiconductor layer, a surface of the second portion of the channel layer away from the first portion of the channel layer in the first direction is connected with the semiconductor layer.

13. The semiconductor device of claim 12, wherein a dimension of the first portion of the channel layer is smaller than a dimension of the second portion of the channel layer in a second direction perpendicular to the first direction.

14. The semiconductor device of claim 12, wherein the channel structure further comprises a dielectric layer surrounded by the channel layer, the dielectric layer comprising a first portion in the stack structure and a second portion in the semiconductor layer, and a dimension of the first portion of the dielectric layer is different from a dimension of the second portion of the dielectric layer in a second direction perpendicular to the first direction.

15. The semiconductor device of claim 12, wherein the function layer comprises a first portion extending in the first direction and a second portion extending outside towards the channel structure in a second direction perpendicular to the first direction, and the second portion of the function layer is between the semiconductor layer and the stack structure.

16. The semiconductor device of claim 12, wherein the first die further comprises a first conductive structure connected with the semiconductor layer, wherein the semiconductor layer is between the first conductive structure and the stack structure in the first direction.

17. The semiconductor device of claim 12, wherein the semiconductor layer comprises a first semiconductor layer and a second semiconductor layer, the first semiconductor

layer is between the stack structure and the second semiconductor layer in the first direction, the first semiconductor layer comprising a first portion extending in the first direction and a second portion extending in a second direction, and at least part of the first portion of the first semiconductor layer and the second portion of the first semiconductor layer are in the second semiconductor layer and connected with the semiconductor layer.

18. The semiconductor device of claim **12**, wherein the semiconductor device further comprises a second die comprising a peripheral circuitry, the second die is bonded with the first die, and the stack structure is between the semiconductor layer and the second die.

19. A semiconductor device, comprising:

a first die comprising:

- a stack structure comprising alternately gate layers and first insulating layers in a first direction;
- a semiconductor layer located at a side of the stack structure in the first direction; and
- a channel structure comprising a channel layer and a function layer, wherein the function layer comprises

a tunneling layer, a charge trapping layer, and a barrier layer, the function layer comprises a first portion extending in the first direction and a second portion extending outside towards the channel structure in a second direction perpendicular to the first direction, and the second portion of the function layer is between the semiconductor layer and the stack structure.

20. The semiconductor device of claim **19**, wherein the channel layer comprises:

- a first portion extending in the stack structure and surrounded by the function layer; and
- a second portion exposed from the function layer and in the semiconductor layer, the second portion of the channel layer is connected with the semiconductor layer, and a dimension of the first portion of the channel layer is smaller than a dimension of the second portion of the channel layer in a second direction perpendicular to the first direction.

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