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### Method and system for managing and securing subsets of data in a large distributed data store

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#### Abstract

A system groups multiple entities in a large distributed data store (DDS), such as directories and files, into a subset called a domain. The domain is treated as a unit for defining policies to detect and treat sensitive data. Sensitive data can be defined by enterprise or industry. Treatment of sensitive data may include quarantining, masking, and encrypting, of the data or the entity containing the data. Data in a domain can be copied as a unit, with or without the same structure, and with transformations such as masking or encryption, into parts of the same DDS or to a different DDS. Domains can be the unit of access control for organizations, and assigned tags useful for identifying their purpose, ownership, location, or other characteristics. Policies and operations, assigned at the domain level, may vary from domain to domain, but within a domain are uniform, except for specific exclusions.

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## Background/Summary

RELATED APPLICATIONS (1) The present application is a continuation of U.S. patent application Ser. No. 17/231,706, filed Apr. 15, 2021, entitled “Method and System for Managing and Securing Subsets of Data in a Large Distributed Data Store,” which is a continuation of U.S. patent application Ser. No. 14/216,840, filed Mar. 17, 2014, entitled “Method and System for Managing and Securing Subsets of Data in a Large Distributed Data Store,” now U.S. Pat. No. 11,010,348, which claims the benefit of priority to the U.S. Provisional Patent Application No. 61/793,584, filed Mar. 15, 2013, entitled “Method and System for Managing and Securing Subsets

of Data in a Large Distributed Data Store,” all of which are hereby incorporated by reference in their entirety.

## FIELD OF THE INVENTION

(1) New approaches to data organization, such as Hadoop's HDFS, or MongoDB, implement a highly distributed file or document-oriented database system on commodity servers, and support parallel processing. The number of entities (documents, files, directories, collections) in these systems can be in the millions. The present invention proposes a method that organizes this data into logical subsets, and then secures each subset and enables its movement to another location, either in the same big data system or a different big data system.

## BACKGROUND OF THE INVENTION

(2) Big data systems are employed by enterprises for large-scale data storage and management. Typically they are large distributed file systems like Hadoop HDFS, document-oriented database systems like MongoDB or Couchbase, or distributed key-value stores such as HBase. In this paper we refer to all of the above as “Distributed Data Stores” (DDS). DDSs provide the ability to store huge amounts of data on commodity hardware. In addition, DDSs provide multiple features such as parallel processing, restricted access to data, transparent replication, and fault tolerance. These features enable multiple concurrent users to use DDSs to access large quantities of data for data mining and analysis, which are the typical usage areas for DDSs.

(3) DDSs are often used to store data collected from the web, such as Twitter feeds and Facebook conversations, call records from call centers and telephones, transaction data for financial institutions, and weather data. DDSs generally house a wide variety of information, and are accessed by a variety of end users within enterprises. Managing this large quantity of information, especially with a view towards securing it, is a challenge.

(4) For example, in a large enterprise, subsets of a DDS may be marked for use by different departments. Each of these subsets may have completely different requirements for security and access controls to be maintained, whether the data can be copied, and what kind of policies need to be in place to ensure that the integrity of the data is not compromised. Some subsets may be open to the public, whereas other subsets may have information that only a select few can access.

(5) There is therefore a need for a method and system for dividing data in DDSs such as the ones mentioned above into logical subsets, which can then be managed from the security and operational point of view.

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## Description

### BRIEF DESCRIPTION OF THE FIGURES

(1) The accompanying figures, where like reference numerals refer to identical or functionally similar elements throughout the separate views, and which together with the detailed description below are incorporated in and form part of the specification, serve to further illustrate various embodiments and to explain various principles and advantages all in accordance with the invention.

(2) FIG. 1, illustrates a view of a large DDS **100** with multiple domains **102, 104, 106, 108, 110 & 112**. Each domain **102, 104, 106, 108, 110 & 112** in turn will contain multiple directories, files, or collections of documents.

(3) FIG. 2 illustrates a copy action whereby one domain **106** (including its subdomain **112**) is copied **206 (& 212)** to another location in the same DDS **100**.

(4) FIG. 3 illustrates copying between multiple DDS's **100 & 300**, including a copy action whereby one domain **304** (including its subdomains) from a first DDS **100** is copied **306** to a second DDS **300**.

(5) FIG. 4. Illustrates a system **400** for managing domains in a DDS **100 & 300**.

(6) Skilled artisans will appreciate that elements in the figures are illustrated for simplicity and

clarity and have not necessarily been drawn to scale. For example, the dimensions of some of the elements in the figures may be exaggerated relative to other elements to help to improve understanding of embodiments of the invention.

## DETAILED DESCRIPTION OF THE INVENTION

(7) Before describing in detail embodiments that are in accordance with the invention, it should be observed that the embodiments reside primarily in combinations of method steps and system components related to a method and system for managing subsets of data in a large distributed data store (DDS.) Accordingly, the system components and method steps have been represented where appropriate by conventional symbols in the drawings, showing only those specific details that are pertinent to understanding the embodiments of the invention so as not to obscure the disclosure with details that will be readily apparent to those of ordinary skill in the art having the benefit of the description herein.

(8) In this document, relational terms such as first and second, and the like may be used solely to distinguish one entity or action from another entity or action without necessarily requiring or implying any actual such relationship or order between such entities or actions. The terms “comprises,” “comprising,” or any other variation thereof, are intended to cover a non-exclusive inclusion, such that a process, method, or apparatus that comprises a list of elements does not include only those elements but may include other elements not expressly listed or inherent to such process, method, or apparatus. An element preceded by “comprises . . . a” does not, without more constraints, preclude the existence of additional identical elements in the process, method, or apparatus that comprises the element.

(9) Generally speaking, pursuant to various embodiments, the invention provides a method and a system for managing subsets of data in a large DDS **100**. A domain, such as domain **102**, **104**, **106**, **108**, **110** or **112** is defined as a set of one or more directories, files, collections, documents, or other logical units of data in one or more DDSs **100** & **300**. The example system utilizes an application programming interface (API) or other available means of communicating with a DDS cluster, e.g., DDS cluster **100** or **300**, in order to obtain information about the components of the DDS **100**, such as directories, files, and collections. The example system also uses the same means for performing operations such as, but not limited to, discovering sensitive data items, quarantining, masking, or encrypting sensitive data in domains, and for copying domains. The example system stores metadata information about domains **102**, **104**, **106**, **108**, **110** & **112** in its repository (which is typically outside the DDS **100**, but can also be inside the DDS **100**), and maps the information about components of the DDS **100** such as directories, files, collections, and documents, to the domain metadata information to manage the domains **102**, **104**, **106**, **108**, **110** & **112**.

(10) Referring to the drawings and in particular to FIG. **1**, an exemplary logical diagram of a DDS **100** containing a hierarchy of domains, for example domains **102**, **104**, **106**, **108**, **110** and **112** is disclosed. HR-World **102**, for example, is a root-level domain, and HR-Europe **104** and HR-Asia **106** are also domains, which happen to be subdomains of root level domain HR-World **102**. In an embodiment, HR-World **102** need not exist, in which case, HR-Europe **104** and HR Asia **106** are root-level domains. HR-Western-Europe **108** and HR-Eastern-Europe **110** are subdomains of HR-Europe **104**, and HR-South-Asia **112** is the single subdomain of HR-Asia **106**.

(11) Properties may be assigned to the domains **102**, **104**, **106**, **108**, **110** & **112** through the system described in later sections, and depicted in FIG. **4**. All the constituents of a domain, e.g., domain **102**, (i.e., all directories and files marked as being part of the domain **106** in the case of a Distributed File System) are also assigned the properties of the domain **106**. By default, a subdomain, e.g., domain **112**, and its constituents will also be assigned the properties of the respective parent domain, e.g., domain **106**. Examples of such inheritable properties include an encryption key to be used for security, categories of data that are considered sensitive, policies to mask specific types of sensitive data, business tags to be attached to the data in the domain **106**, access rights to groups of users over the constituents of the domain **106** (files and directories in the

case of a Distributed File System, collections and documents in the case of a Distributed Document-oriented Database.) The set of properties listed above is purely exemplary, and does not limit other properties from being attached to the domain.

(12) In an embodiment, constituent of a DDS **100** (for example a directory or a file) may belong to multiple domains, with rules governing which policies are in effect where the policies of the multiple domains are in conflict. In a scenario, subdomains **112** may have some or all relevant policies that are different from those of their parent domains **106**. This change from the usual norm of having subdomains possess the same properties as their parent domains is selected in an explicit manner. But by default, subdomains **112** inherit the policies of the parent domain **106**.

(13) In an example embodiment, a directory in Hadoop Distributed File System (a type of DDS **100**) may be assigned as the root **102** of the domain, and all subdirectories automatically become part of that domain **102**. In another embodiment, subdirectories do not automatically become part of the root domain **102** unless explicitly marked as member of the domain **102**. In yet another embodiment, subdomains (e.g., **104**) of a main domain (e.g., **102**) may be restricted to being subdirectories of the root directory of the main domain **102**. In yet another embodiment, this restriction may not be there. In the most general case, a domain is simply a set of entities (for example, files, directories, collections, documents) that is marked as being part of the domain, irrespective of their location within the structure of the DDS **100**.

(14) Once one or more domains are marked, policies can be attached to them. The policies may include but are not limited to sensitive data policies, backup and restore policies, access policies, and others that may affect the constituents of the domain in any way.

(15) In the case of sensitive data policies, in an embodiment, the enterprise may select a set of sensitive data types it needs to protect within the DDS **100**. Examples of such data types include, but are not limited to, credit card numbers, social security numbers, medical record numbers, addresses, names of patients, names high net-worth individuals, driver's license numbers, and bank account numbers. There can also be policies controlling how exactly the sensitive data, once found, is treated. For example, one policy could say that credit card numbers should be masked with a format-preserving masking. The same policy may say that social security numbers need to be encrypted with a particular encryption key. A different policy may say that telephone numbers need to be masked consistently, where consistency means that identical masked values replace originally identical sensitive values, in this case telephone numbers. The same policy may say that any file containing email addresses needs to be quarantined, i.e., access to it should be restricted. Once the policies relating to data security are defined, tasks run for detecting and sensitive data on constituents of the domain **102** will need to adhere to those policies.

(16) Another example of security related policies assignable to a domain **102** is the management of encryption and decryption keys to be used in encryption sensitive items in a domain. In an embodiment, policies can be set to use a particular encryption key for a particular period of time in a domain. Policies can also be set for when the key would expire, and a new key would be used. Key strength and key type may also be set at domain level.

(17) In another scenario, backup policies can be assigned to a domain **102**, whereby the time of incremental and full backup can be set at the domain level. Other scenarios include assignment of different fine-grained access rights to the constituents of a domain to various users. Some users may have read access to all files containing social security numbers, whereas others may not. The user who has access to social security numbers in one domain **102** may not have access to the same in another domain.

(18) In yet another scenario, business or other tags may be applied to an entire domain **102**, so that reporting systems such as a dashboard may analyze the information about sensitive data using the tags as filters. Tags may indicate that the domain belongs to a particular region, division, or department of the company; they may also indicate that the domain has data of a particular classification level, or the data pertain to a particular region or language.

(19) FIG. 2 depicts the copying of a domain **106**, including its subdomain **112** to another location (e.g., **206** & **212**) within the same DDS **100**. In a scenario, the new domain **206** may be automatically be given a new name, which can be modified. The new domain **206** will initially have the properties of the source domain **106**, and these can also be modified. In another scenario, the data in the new domain **206** may be created after masking all sensitive data from the source domain based on certain policies. Therefore, in this case, the source domain **106**, has the sensitive data, but the new domain **206** has only de-identified data. In yet another scenario, the sensitive data from the source domain **106** may be encrypted before copying to a new domain **206**. The same source domain **106** may be used for multiple of such transformations.

(20) FIG. 3 describes another embodiment of copying domains **304**, but this time between two DDS clusters **100** and **300**. The source domain **304** is in one DDS **100**, and the new copied domain **306** is another DDS **300**. In the most general case, the second DDS **300** may be of a completely different type. In an embodiment, the connectivity software required for this copy between DDS clusters may be part of an example system described in FIG. 4. In another embodiment, the transfer of domains may apply connectivity software that is part of a third-party tool. From the user viewpoint, copying within a DDS **100** and between multiple DDS's **100** & **300** is substantially identical in terms of steps to follow, resulting in a very easy to use interface.

(21) FIG. 4 describes an example system **400** for managing domains in one or more DDS clusters **100** & **300**. FIG. 4 describes one embodiment of such an example system **400**, other configurations are possible and can be built to achieve the same effect in managing domains. A user interface **402** enables each end-user to perform operations on domains such as, but not limited to, creation of a domain **102** and association of the domain **102** with various constituents of the DDS **100**; creation of policies for sensitive data discovery, masking, quarantine, and encryption, and association of those policies with the domain **102**; creation and management of policies for backup and association of those policies with one or more domains **102**; creation and management of encryption and decryption key policies and association with one or more domains **102**; creation of subdomains **104** within domains **102**; creation of policies to be used while copying domains **106**; actual copying of the domains **206** either within a DDS **100** or to another DDS **300**. The user interface **402** is also used to start discovery, masking, encryption, or quarantine tasks on one or more domains **102**, and to view the results of these tasks. Further, the user interface **402** may be used to create tags, and associate these tags with one or more domains **102**.

(22) An example controller **404** interacts with the user interface **402**, and packages requests to an example agent **406**, which interacts with the DDS **100**. The controller **404** has access to a repository **408** where information created and managed through the user interface **402** is stored. Therefore, the repository **408** contains comprehensive metadata about domains **102**, **104**, **106**, **108**, **110** & **112** in the given DDS **100**.

(23) The agent **406** interacts with the DDS **100** and performs actions initiated in the user interface **402**, such as searching for sensitive data, masking, quarantining, encryption, copying of domains, on the DDS **100**. The agent **406** interfaces and performs actions using either the application programming interface (API) of the DDS **100** or by other means.

(24) An example dashboard **410** processes data from the results of sensitive data scans, masking operations, quarantining operations, encryption operations, which are stored in the repository **408**, and presents the data in aggregate form to an end user, for example in various visual forms. The example dashboard **410** may display the information filtered for specific domains **106** and subdomains **112**. The dashboard **410** may also use the tags, and therefore may show the data partitioned, narrowed, or filtered by the tag values. The dashboard **410** also offers drill-down review, so that a user may examine constituents of a domain **102** to see what operations were performed on the domain **102**.

(25) The various embodiments of the invention provide an efficient method for managing and securing data in subsets of a large DDS **100** & **300**.

(26) Those skilled in the art will realize that the above-recognized advantages and other advantages described herein are merely exemplary and are not meant to be a complete rendering of all of the advantages of the various embodiments of the invention.

(27) In the foregoing specification, specific embodiments of the invention have been described. However, one of ordinary skill in the art appreciates that various modifications and changes can be made without departing from the scope of the invention. Accordingly, the specification and figures are to be regarded in an illustrative rather than a restrictive sense, and all such modifications are intended to be included within the scope of the invention. The benefits, advantages, solutions to problems, and any element(s) that may cause any benefit, advantage, or solution to occur or become more pronounced are not to be construed as critical, or required.

## Claims

1. A system for managing data in a Distributed Data Store (DDS), said system including: a Distributed Data Store (DDS), wherein said DDS includes a first domain, wherein said first domain comprises a first set of a plurality of data entities, wherein said first set of a plurality of data entities includes one or more directories, files, collections, documents, or other logical units of data, wherein said plurality of data entities of said first set are marked as being part of said first domain; and wherein said DDS includes a second domain, wherein said second domain comprises a second set of a plurality of data entities, wherein said second set of a plurality of data entities includes one or more directories, files, collections, documents, or other logical units of data, wherein said plurality of data entities of said second set are marked as being part of said second domain; and a repository storing first metadata relating to said first domain, wherein said first metadata identifies said first domain and identifies a set of first properties of said first domain, wherein said set of first properties includes an identification of at least one first sensitive data type, wherein said repository includes at least one first policy assigned to said at least one first sensitive data type, said repository also storing second metadata relating to said second domain, wherein said second metadata identifies said second domain and identifies a set of second properties of said second domain, wherein said set of second properties is different from said set of first properties, wherein said set of second properties includes an identification of at least one second sensitive data type, wherein said repository includes at least one second policy assigned to said at least one second sensitive data type, wherein data is managed in said DDS by scanning said first domain by applying said identification of said at least one first sensitive data type to said first set of a plurality of data entities to identify at least one data entity of said first set of a plurality of data entities as belonging to said at least one first sensitive data type, retrieving said at least one first policy assigned to said at least one first sensitive type, and applying said at least one first policy to said at least one data entity of said first set of a plurality of data entities belonging to said at least one first sensitive data type, and scanning said second domain by applying said identification of said at least one second sensitive data type to said second set of a plurality of data entities to identify at least one data entity of said second set of a plurality of data entities as belonging to said at least one second sensitive data type, retrieving said at least one second policy assigned to said at least one second sensitive type, and applying said at least one second policy to said at least one data entity of said second set of a plurality of data entities belonging to said at least one second sensitive data type, wherein said at least one first policy includes encrypting said at least one data entity of said first set.

2. The system of **1** wherein said encrypting said at least one data entity of said first set uses an encryption key.

3. The system of **1** wherein said at least one second policy includes encrypting said at least one data entity of said second set.

4. The system of **3** wherein said encrypting said at least one data entity of said second set uses an

encryption key.

5. The system of claim 1 wherein at least one of said at least one first policy assigned to said at least one first sensitive data type and said at least one second policy assigned to said at least one second sensitive data type includes masking at least one of said at least one first sensitive data type and said at least one second sensitive data type.

6. The system of claim 1 wherein at least one of said at least one first policy assigned to said at least one first sensitive data type and said at least one second policy assigned to said at least one second sensitive data type includes encrypting at least one of said at least one first sensitive data type and said at least one second sensitive data type.

7. The system of claim 1 wherein at least one of said at least one first policy assigned to said at least one first sensitive data type and said at least one second policy assigned to said at least one second sensitive data type includes quarantining at least one of said at least one first sensitive data type and said at least one second sensitive data type.

8. The system of claim 1 wherein said at least one first sensitive data type and said at least one second sensitive data type are one of credit card numbers, social security numbers, medical record numbers, addresses, names of patients, names high net-worth individuals, driver's license numbers, and bank account numbers.

9. The system of claim 1 wherein said repository is outside said DDS.

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