

# MERGESORT

---

CS340

# Merge Sort

- Insertion sort is **incremental**: the first part of the array is sorted. Keep it sorted when adding a new number.
- Another technique for developing algorithms is **divide and conquer**.
- Divide and conquer
  - **divides** a problem into smaller subproblems (divide)
  - solves those subproblems (**conquer**)
  - **combines** the results (combine)

# Merge Sort

To sort  $A[p..r]$

- **Divide** by splitting into two subarrays  $A[p..q]$  and  $A[q+1..r]$ , where  $q$  is the halfway point of  $A[p..r]$ .
- **Conquer** by **recursively** sorting the two subarrays  $A[p..q]$  and  $A[q+1..r]$ .
- **Combine** by merging the two sorted subarrays  $A[p..q]$  and  $A[q+1..r]$  to produce a single sorted subarray  $A[p..r]$ .

# Merge Sort

MERGE-SORT( $A, p, r$ )

**if**  $p < r$

$q = \lfloor (p + r) / 2 \rfloor$

    MERGE-SORT( $A, p, q$ )

    MERGE-SORT( $A, q + 1, r$ )

    MERGE( $A, p, q, r$ )

// check for base case

// divide

// conquer

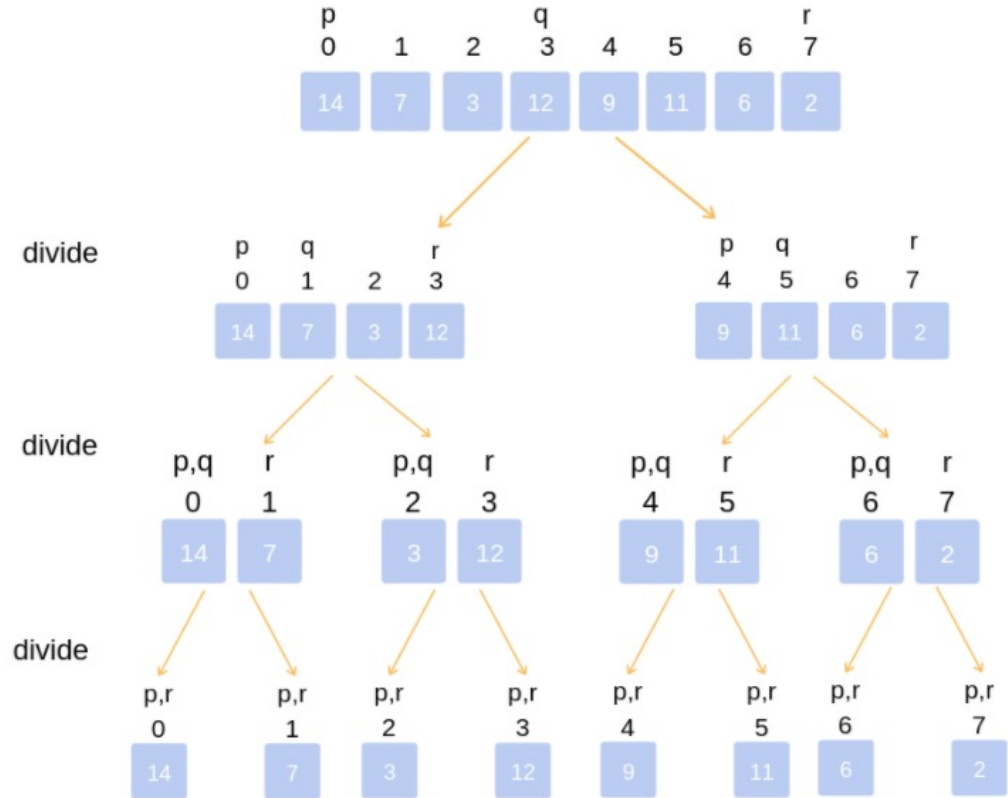
// conquer

// combine

Initial call: Merge-Sort( $A, 1, n$ )

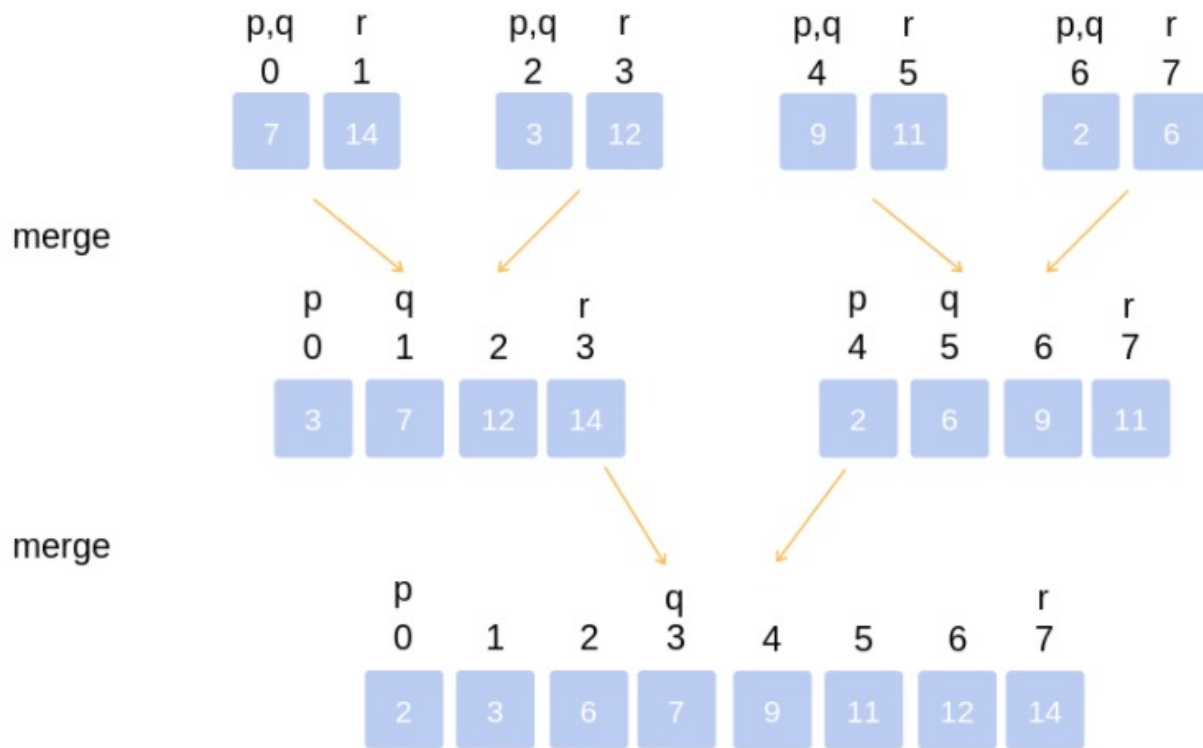
# Merge Sort

- First, divide



# Merge Sort

- Then merge



# The Merge Procedure

- **Input:** Array  $A$  and indices  $p, q, r$  such that
  - $p \leq q < r$ .
  - Subarray  $A[p..q]$  is sorted and subarray  $A[q+1..r]$  is sorted. By the restrictions on  $p, q, r$ , neither subarray is empty.
- **Output:** The two subarrays are merged into a single sorted subarray in  $A[p..r]$ .

# Merging two sorted arrays

```
MERGE( $A, p, q, r$ )  
   $n_1 = q - p + 1$   
   $n_2 = r - q$   
  let  $L[1 \dots n_1 + 1]$  and  $R[1 \dots n_2 + 1]$  be new arrays  
  for  $i = 1$  to  $n_1$   
     $L[i] = A[p + i - 1]$   
  for  $j = 1$  to  $n_2$   
     $R[j] = A[q + j]$   
   $L[n_1 + 1] = \infty$   
   $R[n_2 + 1] = \infty$   
   $i = 1$   
   $j = 1$   
  for  $k = p$  to  $r$   
    if  $L[i] \leq R[j]$   
       $A[k] = L[i]$   
       $i = i + 1$   
    else  $A[k] = R[j]$   
       $j = j + 1$ 
```

What is the time complexity of  
MERGE() ?



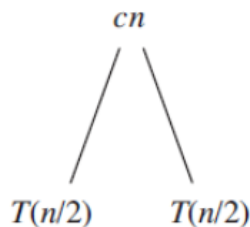
# Time complexity of Merge Sort

Describe the complexity with  
a **recurrence equation** →

$$T(n) = \begin{cases} c & \text{if } n = 1, \\ 2T(n/2) + cn & \text{if } n > 1. \end{cases}$$

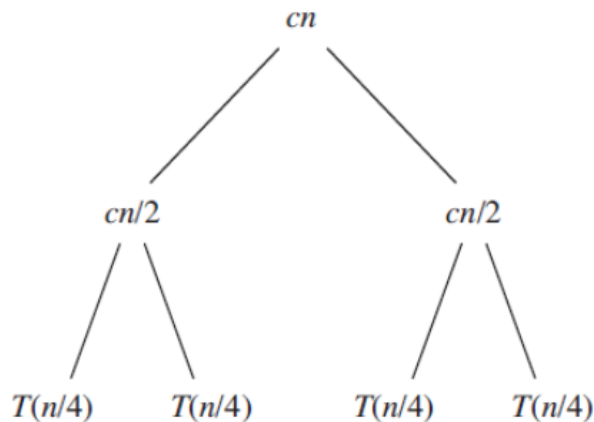
Draw a  
recursion  
tree

$T(n)$



(a)

(b)



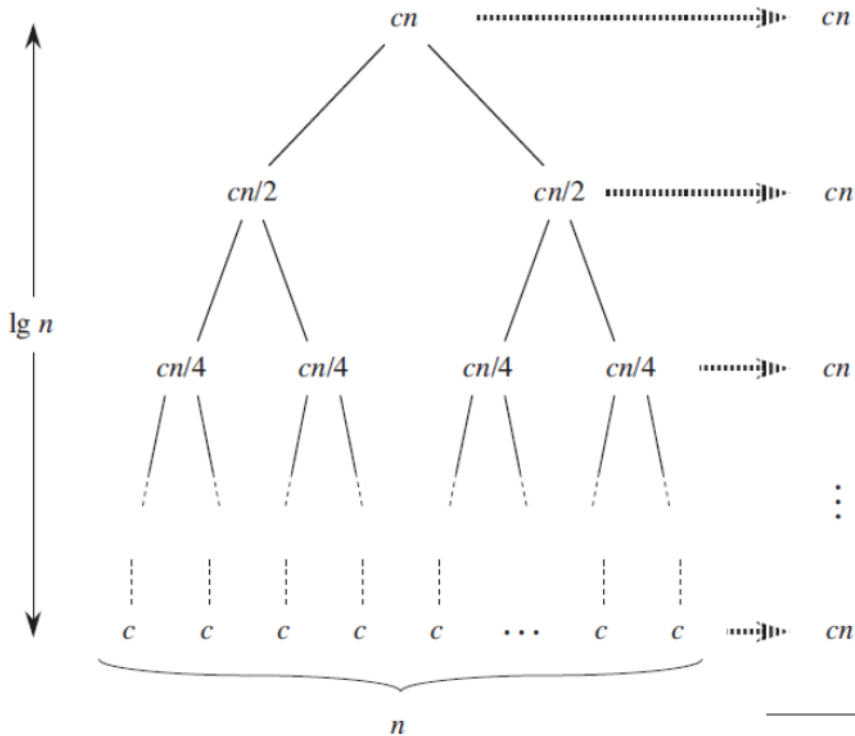
(c)

# Complexity of Merge Sort

1. How many levels is the tree?
2. What is the cost at each level?

Complexity =  
 $\Theta(n \lg n)$

How does this compare  
to insertion sort?



(d)

Total:  $cn \lg n + cn$