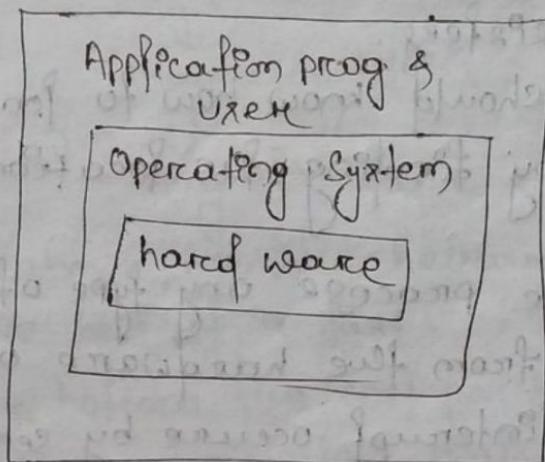


## UNIT-1

### Operating System :

Operating System is a system software which is used to manage the system hardwares and also it acts as a intermediate between user and system. And it provides a platform to run the application programs.



There are two view points of an operating system

(1) User view

(2) System view

#### User view

From the user point of view the OS should be designed in a way which is easy to use and gives better performance.

#### System view

From the system point of view the operating system is designed to manage the hardware and to allocate the resources in a better way.

The OS to control the execution of a program by preventing it from errors.

## Computer System Organization:

### (1) Computer system operation:

- When a computer starts it has a initial program to run called the Bootstrap program it is stored in ROM or EEPROM.
- This program initializes all the memory controller as well as CPU registers.
- This program should know how to load the OS and to execute it by finding the location of the OS in memory.
- In between the process any type of interrupt may occur either from the hardware or from software.
- The hardware interrupt occurs by sending a signal to CPU.
- The software interrupt occurs by sending a system call or monitor call.
- If a interrupt occurs then the CPU transfers its control to the memory location of the interrupt. After handling the interrupt then it resume the execution of previous process/job.

### (2) Memory/storage structure:

- All the computer programs mainly stored in main memory are RAM.
- The interaction between memory & register done by using a instruction.

- (i) Load - This instruction is used to move a data from main memory to internal register within CPU.

(2) Store - This instruction is used to store the data from internal registers from the main memory.

Registers

↓  
Cache

↓  
Main

↓  
Electric circuit or bus

↓  
Magnetic disk

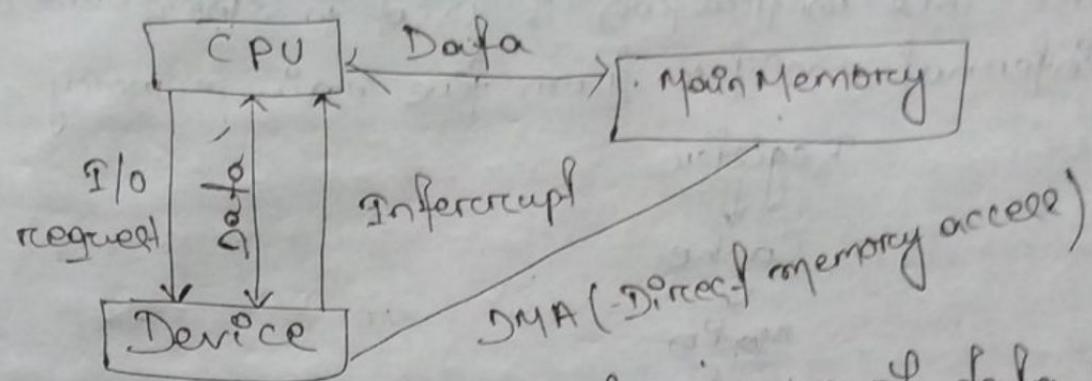
↓  
Magnetic tape

In the above memory hierarchy the higher levels are expensive but it is fast.

- Towards the bottom the cost per bit generally decreases.
- The main memory have the following demerits due to which all the programs and data couldn't be stored in the main memory.
  - (1) The size is small.
  - (2) It is volatile in nature.

(3) I/O Structure:

- In a computer system the CPU and device controller connected to each other by using a common bus.
- Each device controller is in the charge of a specific type of device.
- Operating system has a device driver for each device controller.
- The device controller is responsible for transforming on moving data between peripheral devices and if it is required.



DMA is used to transfer group of data bulk of data.

## Computer System Architecture:

It involves different ways to organize a computer system by using a no. of general purpose processors.

### (1) Single Processor System:

This type of system contains one main CPU which controls the execution of different instruction sets. - This type of system execute or perform multiple processes by using some scheduling algorithms.

### (2) Multi processor system:

This type of system is otherwise called as parallel system. These are interconnected to each other by bus.

These have some advantages over single processor system.

#### (1) Single throughput:

This means if we increase the no of processor then we can execute more no. of processing per time.

- (i) Economy of scale:  
A multi processor system cost less than multiple single processor system.
- (ii) Increased reliability:  
This means the failure of single processor can't fail the total system.

### Working of OS:

- Interface
- Resource allocator
- Manager.

### Types of OS:

- Batch OS
- Multiprogramming
- Multitasking
- Multiprocessor
- Realtime

### Clustered System:

Clustered systems are five combination of more than one system coupled together.

These systems are linked via a local area network.  
These systems operate in a server-client method.

These are of 2 types.

(1) Symmetric

(2) Asymmetric

Symmetric: These type of systems have two servers and both the servers controls all the clients & all also monitor each other.

Asymmetric: In case between two servers one is called as hot stand by mode server that means fail server monitors other server.

### Operating System Structure:

An OS provides the environment for program execution one of the important aspect is multiprogramming of OS.

#### MULTI PROGRAMMING:

Multiprogramming is either the CPU or I/O devices are busy for all the time.

- It increases the CPU utilization as it provides one job has to be executed all the time.
- APP the job of a system is forced by a job pool that the job from the job pool is transmitted to memory by using Job scheduling technique because the memory size is smaller as compare to job pool.
- After storing the job in main memory the system choose one job from them for execution by using process scheduling technique / CPU scheduling.

#### MULTITASKING

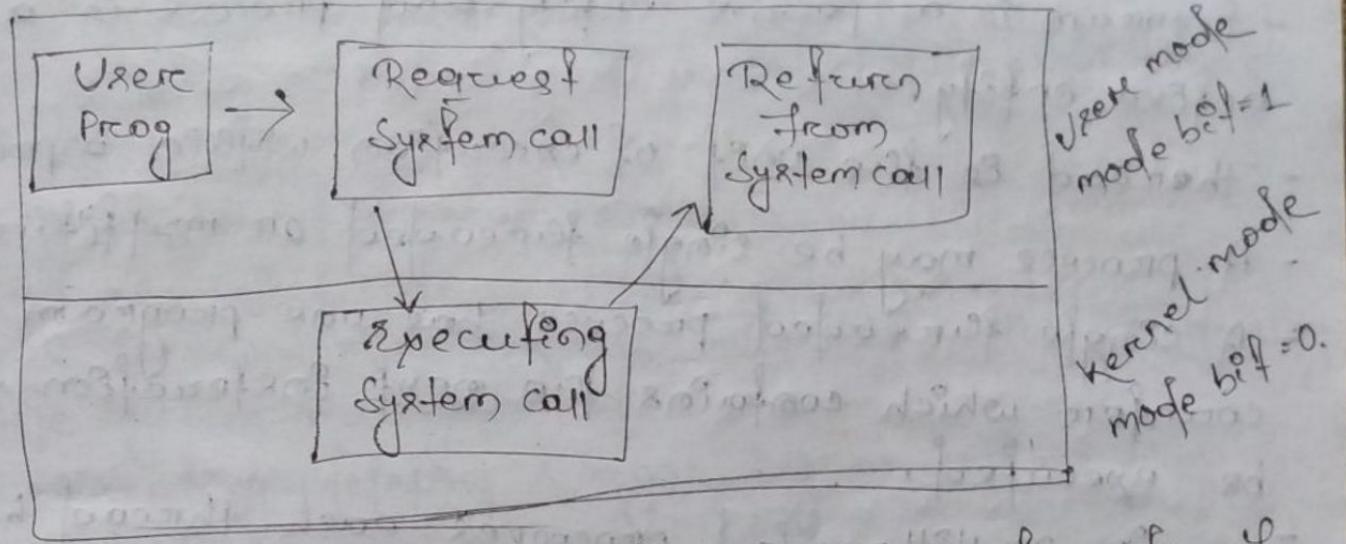
- This type of OS is otherwise called as time sharing OS.
- In these systems CPU executes multiple jobs by switching among them by sharing the CPU time.

### Operating System Operation:

There are 2 types of OS operation:

↳ User Mode

↳ Kernel mode / System mode



The mode bit indicates the correct mode of the operation system i.e. 0 for kernel and 1 for user mode.

- User mode is activated when the system executes a user application.
- When user request service from the operating system then it makes the system call & the mode changes from user mode to system mode.
- The switching between the mode is done by the processor. This dual mode operation helps protecting operating system for outside user.

### TIMER:

When a program runs for an infinite time or there is no preferable time of program termination to overcome this problem we can use a timer.

### Process Management :

Process is a program which is under execution.

- The process is divided into threads to give better performance.

- Program is a passive entity and process is an active entity.
- Thread is the unit of execution within a process.
- A process may be single threaded or multi-threaded.
- A single threaded process has one program counter which contains the next instruction to be executed.
- In multithreaded processes each thread has multiple program counter and each contains next instruction to be executed on a given thread.

### Operating system activities in process management:

- Creating, deleting, suspension and resume the user and system process.
- Process synchronization communication mechanism, deadlock handling, process communication mechanism.
- Mechanisms are provided by operating system.

### Memory Management:

Activities performed during memory management:

- It keeps track about which part of memory is currently in use and by whom.
- Allocating and deallocated the memory space.
- It decide which process will move into and out from the memory.

### Storage Management:

A system uses a logical storage unit and access files by using different storage devices.

### (1) FILE SYSTEM MANAGEMENT :

File is a collection of related data which has enforced by file user.

- File represent programs and data for both source as well as object code.
- OS is responsible for the following activities during file system management.
  - Creating, deleting & organizing as well as file directory.
  - It also helps during the mapping of file info secondary storage.
  - Backup & recovery from non-volatile storage media or secondary storage device.

### (2) MASS-STORAGE MANAGEMENT :

- It involves file storage unit except the main memory.
- OS performs the following activities.
- Free space management, storage allocation from disk scheduling.
- There are some ferromagnetic storage devices like magnetic disk, CD, DVD, and they use WORM (Write once read manytimes) and read/write format.

### (3) CACHING :

- Cache memory is a fast storage system and used to store the data & info in temporary basis.
- In a single processor system there is a single cache memory.
- In a multiprocessor environment each of the CPU contains local cache memory.
- As all the CPUs have to execute concurrently so in some cases we have to update a value which present in different caches and that is called as cache coherency.

#### (4) I/O SYSTEM:

In some cases operating system has to hide some features of specific hardware devices from the user.

- I/O sub system consists of several component.

(i) Memory management.

(ii) Device driver interface.

(iii) Drivers for specific hardware

#### (5) PROTECTION & SECURITY:

- Protection is a mechanism used to control the access of resources from the processor & user.

- Security is used to protect a system from internal & external attack.

- Most of the OSes expect of maintaining user name & user identifier.

#### Distributed System:

A distributed system is a collection of separable heterogeneous through a network.

- Network is a collection of systems connected to each other by a communication link to establish an inter communication facility.

There are different types of networks according to the distance between the system.

#### LAN : Local Area Network

This type of network connects the computer within a building or room.

#### MAN : Metropolitan Area Network

This type of network connects the computer from building to another building within a city.

#### WAN : Wide Area Network

This type of network connects the computers

world wide.

There is a network operating system which help to share files across the network and exchange file data between different processes and different computer.

## Special-Purpose System :

### (1) Real-Time Embedded System :

- This type of system are used for special purposes like robotics, microwaves.
- Embedded systems are those in which the hardware part is controlled by a software & both are present in a single system.
- Real-time systems are those which have used with in a specific time limit to perform a operation.

### (2) Multimedia System :

- Multimedia system processes multimedia data like video & audio file. DVD player.

### (3) Hand-held System :

It includes PDAs (Personal Digital Assistant) like cellphones, palmtops, pocket PCs.

- This type of system has some basic physical memory, speed processor & output devices.
- There are some features like portability increasing the use of handheld systems.

Types of system are client server and client based system.  
Client based system of client server system  
for example personal computer or laptop for client  
systems. Client function of client server system

## Computing Environment:

Computing Environment are those in which we have to use or implement different type of system.

- ↳ Traditional
- ↳ Client-Server
- ↳ Peer-to-Peer
- ↳ Web-based

### Traditional

In this type of computing env. we use old version of systems & the web based approach enhance life use.

Ex: UNIX, windows xp, batch operating system, interactive.

### Client-Server

If it is divided into compute server system & file server systems.

- In this type of system client can send a request to file server & the server gives a response to the client to complete the action.
- In this type of system few clients can create read, write update, delete file type in the server.

### Peer-to-Peer

In this type of env. there is no client server concept. In this approach each system is connected to each other by a network. If you want to add new peer then we have to follow two ways.

### Centralized look up service / Discovery protocol :

The new peer has to contact file service

if it need services from the other peer.

Broadcast:

In this type of service a new peer has to discover what node provides the services by broadcasting a request to all the peers then the node which provides the service gives response to the peer.

Web-based

In this type of env. all the systems are connected either wired or wireless.

Ex: Cell phone, PCs.

Open source OS

This type of OS provides the source code which a programmer can easily modify & the best exmp. is Linux OS & others like windows are close source OS.

## System Structure

OS Services

User Interface

User Interface have several forms.

(1) Command-line interface (CLI)

This helps to give commands and it includes the methods for entering such commands.

(2) Batch Interface:

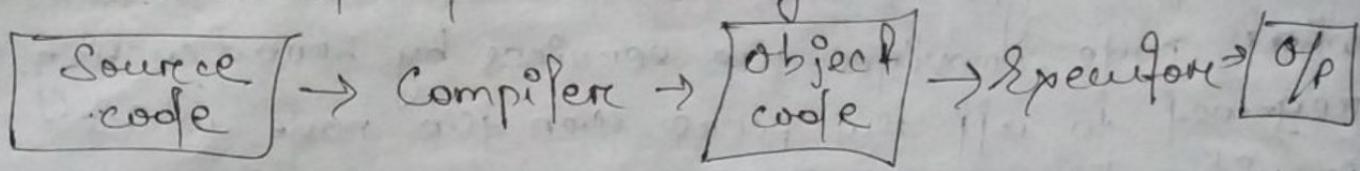
This interface is used to control the commands and its execution.

(3) GUI:

It is a window system which provides I/O operations, entering a text from key board, choose menu etc.

## Program execution :

The system should able to execute a program which is stored in memory.



## I/O operation :

To execute a program it may need file or I/O device.

To increase the efficiency and to protect file data from other users file or provide an I/O operation.

## File system manipulation :

This includes create, delete, search, update, read, write in a file.  
It also provides permission management on access restrictions & deny services.

## Communication

Communication between the processes either in the same system or in between different systems so that they can synchronize themselves.  
This is done by message passing & shared memory.

## Error detection & correction :

Errors are of different types.

### (1) By CPU or memory

Overrange in memory or unavailable of memory connection failure.

### (2) By I/O devices

Network failure, lack of paper in a printer.

(3) By user programs

Illegal memory location, arithmetic overflow.

Error correction is done by debugging approach.

### Resource allocation:

Different process may require some resources at the same time, this type of condition is managed by

of includes how much and what resources are used used by whom.

### Protectional security:

When several process execute concurrently we need operating system so that one process could not interfere with the other during its execution & it is done by providing an

### User OS Interface

There are two approaches for user to interface with the OS these are

↳ command line Interface

↳ Graphic User Interface.

### CLI

- Some of the OS contain command interpreter in the kernel such as UNIX.
- The systems in which multiple command interpreter are used, the interpreters are known as shells.
- There are two ways in which the commands are interpreted.
- Command interpreter itself contains a code to execute the command.

Exp: Create command may cause the interpreter to jump into the section which contains the system calls.

- This approach basically used by UNIX.  
Most of the OS implement the commands through the system programs.

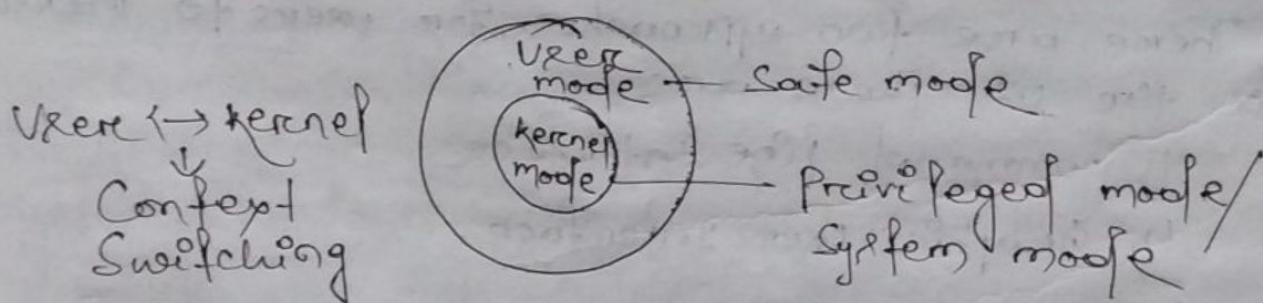
Exp: In UNIX to remove a file we use rm filename  
file means tell the OS has to search for the file rm & execute it by using the parameter file filename.

### GUI

GUI provides a mouse based windows and menu system as an interface.

### System call

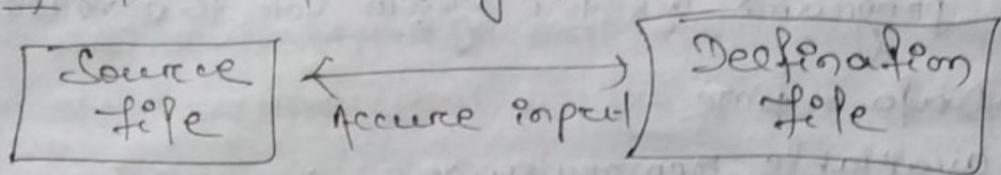
System call provides an interface to the service of OS.



When the system needs to access some resources then it changes its mode either from user to kernel or from kernel to user which is called as context switching.

- System call is a program by which a user can request service from the OS
- This is written in C or C++

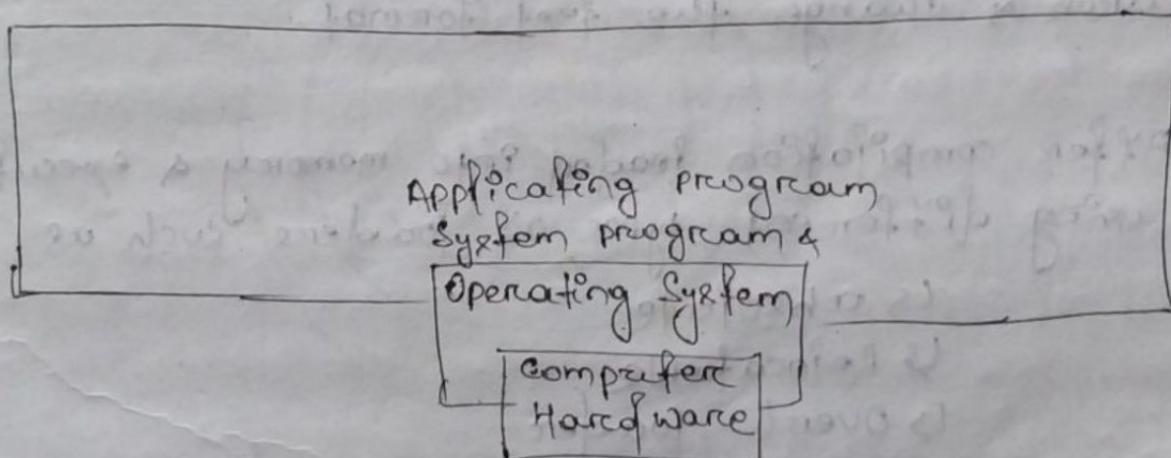
cp: To read & copy data from 1 file to another.



### Type of System call

- ↳ Process control
- ↳ File management
- ↳ Device management
- ↳ Information maintenance
- ↳ Communication

### System Program



System program provides an env. for program execution and develop and also it provides an interface to system call.

- System programs are categorized into following types on the basis of operation.

#### (1) File Management :

This system program is used to manipulate files & directories.

- It deals with external part of file.

## (2) Status Information:

These programs ask the system for the following info.

- (i) Data & time
- (ii) available memory space
- (iii) no. of users.
- (iv) system performance
- (v) debugging
- (vi) source & retrace info.

## (3) File modification

These system program deals with the internal part of file.

- Create & modify the content of file.

Ex: text editor

- Search & change the text format.

## (4)

After compilation loaded into memory & executed by using different type of loader such as:

- ↳ absolute
- ↳ relocatable
- ↳ overl loader,
- ↳ linkage editor

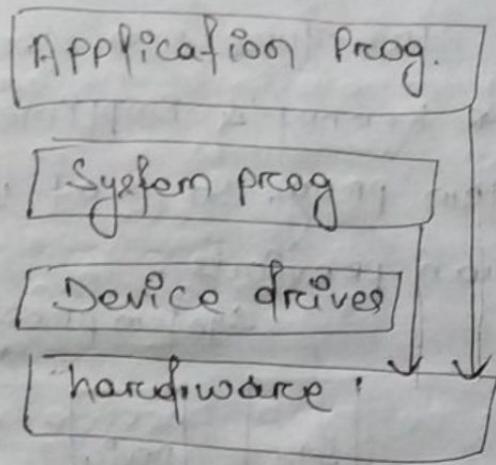
## OS Structure

### (i) Simple structure:

MS DOS is an example of such a system which follows the simple structure of an OS.

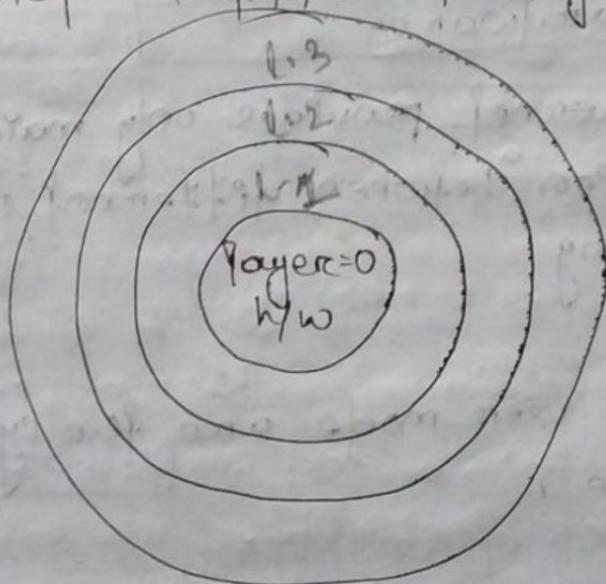
- In this type of structure few application programs are able to access basic I/O devices.
- The main disadvantage of this structure is if an application prog. has some error during

specification layer & affect the hardware directly.



## (2) Layered Approach:

In this type of approach all the functionalities are defined in different layers independently.



- Execution, Implementation & debugging is easy.
- If needed you have to debug only that layer.
- User interface doesn't have direct access to the hardware.

## Disadvantages:

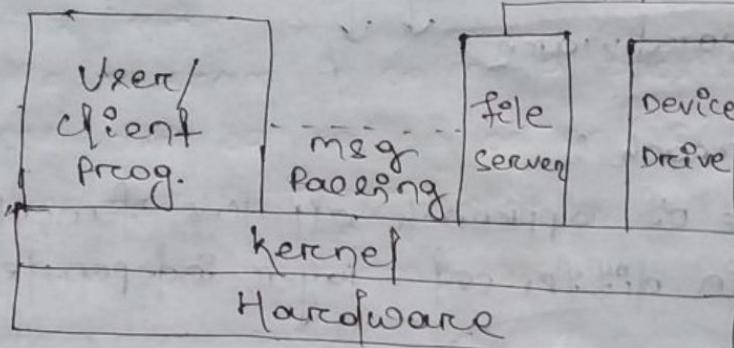
Not very efficient i.e. it takes more time to execute a user program.

- It is difficult to design the upper & lower layers as a layer can use the layers below it & only it can provide service to the layer above it.

### (3) Micro kernels :

By using this structure non-essential part are removed from the kernel & implemented them as user prog. or system prog. So that we can have a smaller kernel than previous.

System prog.



The microkernel provides only main function & the communication between different component by using msg passing.

#### Advantages

Most func. in user mode are system prog.  
So it is secure.

#### Disadvantages

This structure may reduce the performance because the system func. are increased.

### (4) Modules :

- It is the best method for os design which involves oop techniques to create a modifiable kernel.
- The kernel has a set of core component & parts from either during boot time or during the run time.
- This structure uses layered structure concept.

- as well as the micro kernel structure.
- But it is more flexible than layered structure and more efficient than micro kernel structure.

### OS Generation :

- OS can be generated for one machine at one time or may be designed for a class of machines irrespective of their peripheral configuration.
- A process called as system generation (synthesis) which is used to generate an OS for each specific system env.

There are some info. which should be determined before the OS generation.

1. What CPU to be used?

2. How much memory space is available?

3. What a system requires or need from an OS?

4. What devices are available?

### System booting :

Booting is a procedure to load the kernel to start the computer.

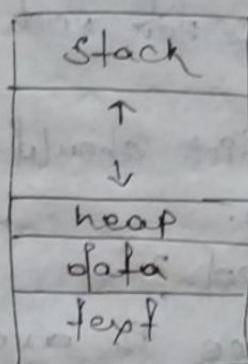
- If it is done by bootstrap prog. or bootstrap loader, it helps to locate the kernel loads it into memory and execute it.
- The bootstrap prog loaded in ROM, because it need not to be initialized & can't be affected by computer viruses like RAM.
- A part of ROM also known as firmware.
- Disadvantage of using ROM is that to change the bootstrap prog. we need to replace the ROM chip by a new one to over come this problem we use EEPROM.

## UNIT-2

### Process Management :

Process is a program in execution.

- It's main need as a resource to complete the task like CPU time, memory, file, I/O devices.
- A program is a set of instructions written to complete a specific task.
- If the program is called a passive entity then a process can be called as active entity.



(Process in memory)

Process may contain more than a program code. Text is stored in the text section & it also contains the current activity.

Stack: Stack includes the temporary data like function return value, local variable.

Heap: It includes the memory location which is dynamically allocated during the runtime.

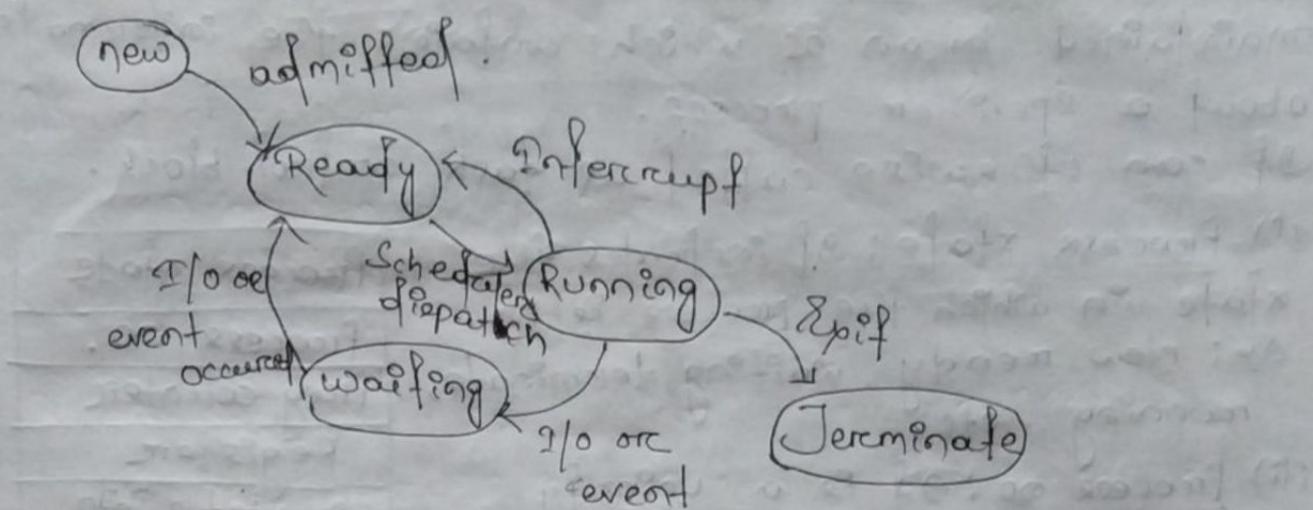
Data: It contains the value of program counter and processor registers.

Program: Set of instructions written for a specific task and which is executed by the system.

- The program and its interface are included to

design a software.

Process state:



New state: A process is in new state when it is created or it goes for execution.

Ready state: After the creation of process if it admitted info the memory in the ready state to be assigned to the processor.

Running state: when the process are going to be executed if it is in running state. If any interrupt (Software/Hardware) occurs during running state then it goes to ready state.

Waiting state: During the executing of a process need some event to complete (I/O devices available) one to get some signals) its execution.

- After the event completion it goes to the ready state.

Terminate state: After the execution the process is terminated.

## Process control block:

Process control block is a data structure which is maintained by an OS which contains the information about a specific process.

It can otherwise called as task control block.

- (i) Process state: It includes the state in which the process is in.  
Ex: New, ready, waiting, terminate, running - etc.
- (ii) Process no: It is a unique identified no. i.e. PID no.
- (iii) Program counter: It holds the address of next instruction to be executed for the process.
- (iv) CPU registers: These registers are of different type and different no. according to the system architecture.  
Ex. IR, Accumulator, general purpose register, MR, MDR, stack pointers etc.
- (v) CPU status info: This includes the information about the scheduling algorithm, the parameter, a pointer that points the position in the scheduling queue.
- (vi) Memory management info: This includes the value of registers, table and memory space used by that process.
- (vii) Accounting info: This includes the time, process no.
- (viii) I/O status info: It stores the no. & list of I/O devices allocated to the process to complete its execution.

Process state
Process no.
Prog. counter
Registers
CPU status info.
Memory
Accounting info.
I/O status info.
etc

## Process Scheduling :

The objective of using multiprogramming OS is that to make the CPU busy for all the times which improves the CPU utilization.

- The objective of using time sharing is to switch the CPU between different processes so that the user can interact with all the processes.
- A scheduler selects a process from all the available processes for execution on the CPU to achieve these objectives.

## Scheduling Queue :

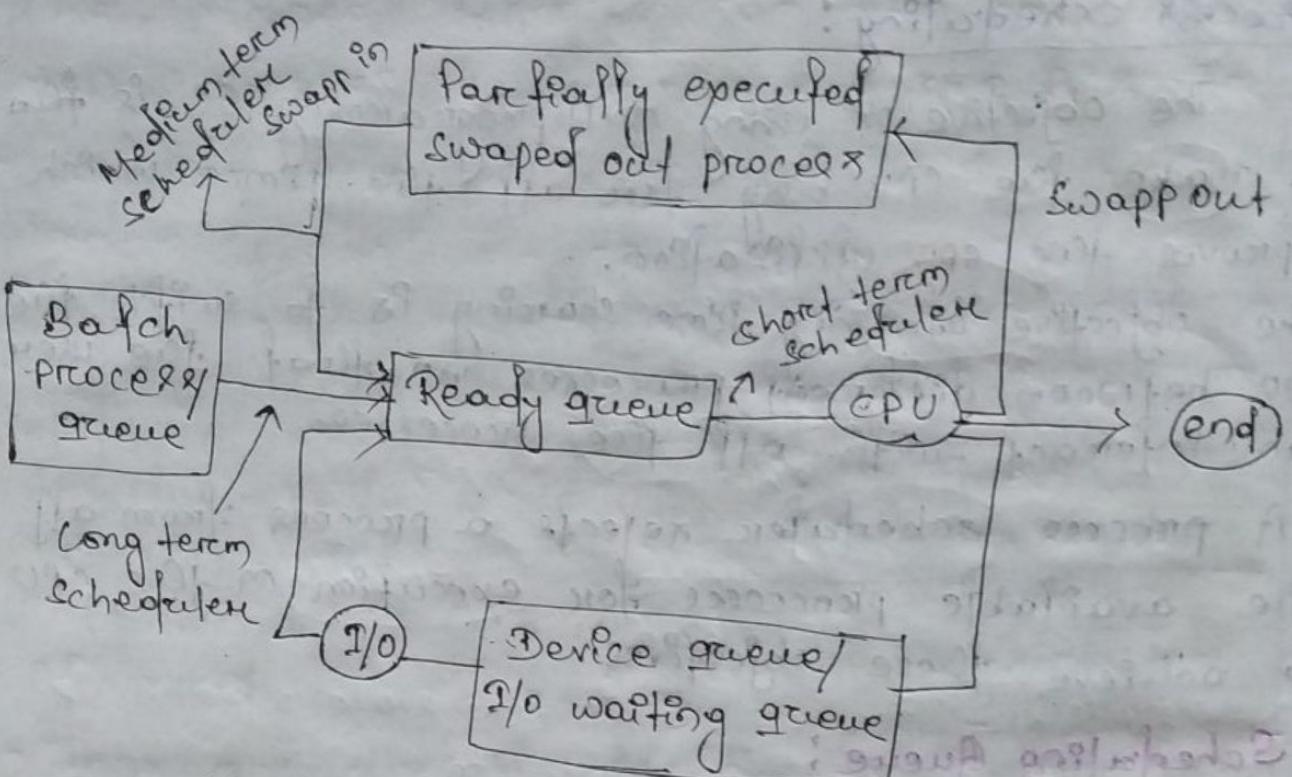
When processes enter the system, it is stored in Job queue.

The processes which are ready & waiting to execute, stored in ready queue.

- It is mainly in the form of linked list, one after another.
- The header part points to the first & last PCB in the list.
- During the running state the process may have to wait for a particular I/O device & the list is called as device queue or if some interrupt occurs then it goes to a queue which stores partially executed process.

## Scheduler :

The selection of processes from the queue for execution is carried out by the scheduler.



- Long term scheduler is used to fetch processes from file job pool into the memory for execution. So it is otherwise called as job scheduler.
- Short term scheduler is used to fetch processes which are ready for execution and allocate the CPU to one of them. So it is otherwise called as CPU scheduler.
- The frequency of execution of short term scheduler is more than long term scheduler.
- The long term scheduler has to select the processes according to the two conditions i/o bound processes (those processes which spend more time to perform I/O operation than computation)
- (ii) CPU bound processes (these processes spend more time to perform computation & also produces I/O request frequently).
- If off processes as CPU bound then free I/O

- Waiting queue will be empty.
- If all the processes are I/O bound then the ready queue will be always empty.
  - Medium term scheduler are used to load partially executed processes (if interrupt occurs) into ready queue. This is basically used in time sharing system.

### Context Switch:

- When some interrupt occurs the system needs to save the current context of the process which are running in the CPU so that it can be resumed after the interrupt execution.
- We perform a safe save to save the current state of the CPU either in kernel mode or in user mode and a safe restore to resume the operation.
  - When context switch occurs the kernel saves the context of whole process in its PCB and loads the context of new process from its PCB for the execution.
  - The context switching speed varies from machine to machine.

### Operations on processes:

#### Process creation:

- A process can create several new processes by using a system call (`Fork()` or `CreateProcess()`)
- The creating process is called as parent and the new processes are called as children of that process.

- The children processes can also create a no. of new processes by forming a tree structure.
  - Each process has assigned with a unique process identifier no. (pid).
  - If a process creates new processes then there are 2 possibilities for the execution.
    - (i) The parent process has to be executed simultaneously with the child process.
    - (ii) The parent process has to wait till the execution of some or all the child processes.
  - There are also two possibilities in terms of the content of the new process.
    - (i) The new process contains same as parent process.
    - (ii) The child process may have new program or data loaded into it.
- ① A new processes is created by a system call i.e. fork().
  - ② The exec() is used after the fork() to start the execution of one of the new processes.
  - ③ The execvp() is used to get list of processes which are going to be executed.
  - ④ When a parent process creates more children and has to wait till the execution of child processes, it has to go to the waiting state by issuing the wait() to move itself into the ready queue.

⑤ When a process completes their execution it has to invoke or issue the exit op<sup>t</sup>( ).

#### Process Termination:

- When a process finished its execution then operating system issues an exit op<sup>t</sup> to delete it.
- At this point off the resources allocated to that processes must be deallocated.
- This situation can be called as normal termination.
- Termination can also be occurred in some circumstances these are
  - (i) if a child process exceeds the use of the resource.
  - (ii) The task assign to the process is no longer needed.
  - (iii) If the parent process is terminating then the os does not allow the child process to continue. There is a cascading termination by which the child processes may not be terminated if the parent process exit.

#### Interprocess Communication:

If be a process by which another process can communicate with another process.

- The process may be either independent (it can't affect or affected by other processes in the system) or cooperating (it can affect or affect other processes in the system).

Independent  $\rightarrow$  Don't share data  
cooperating  $\rightarrow$  share data.

There are several reasons for providing an environment to establish cooperation.

#### (1) Information sharing.

This means no. of processes may need to share same information for execution or no. of users interested in the same information.

#### (2) Computation speed:

To execute a process in a less amount of time we need to divide it in sub-processes and all the processes has to be executed parallelly to speedup the computation.

- This can be implemented in an environment which have multiple processing unit (CPU, I/O)

#### (3) Modularity:

Sometimes we need to divide system function in modules for better throughput.

#### (4) Convenience:

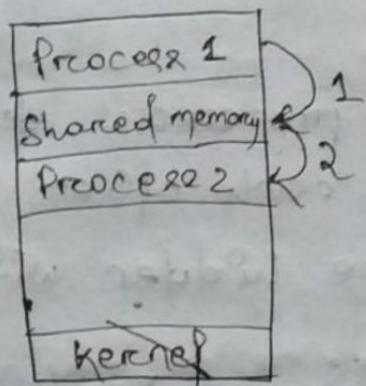
Some users may work on multiple task at the same time.

Ex: printing, browsing, listening music, download in parallel.

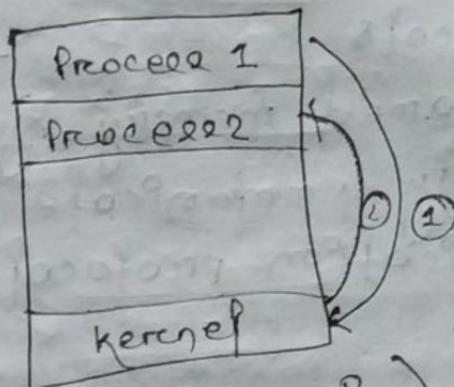
There are 2 models used to perform interprocess communication.

##### (1) Shared memory

##### (2) Message passing



(Shared memory)



(Message passing)

Comparison between shared memory and message passing.

### ① Interface to communication:

- In shared memory systems communication between processors is implicit and transparent. Processors access memory through the shared bus.
- In message passing systems processors must explicitly communicate with each other through messages.

### ② Complexity of the Architecture

- In shared memory system leverages conventional architecture better since existing processors can be added to the shared bus system easily.
- In message passing system it leads to a simpler multiprocessing architecture overall.

### ③ Convenience

- In shared memory system serial code runs without modification.
- In message passing system message passing libraries are available for a wide variety of

## Platforms.

### (i) Protocols:

- In shared memory system processes do not explicitly communicate with each other so communication protocols are hidden within the system.
- In message passing system the communication protocols are fully under user control.

### (ii) Shared memory system:

It uses a memory which is shared by the processes to establish interprocess communication.

- Shared memory will be located in the address base space of the process which creates or initiates the communication and if other processes want to share that memory then they have to attach their address space with the shared memory.
- The operations of the shared memory are not in the control of OS.
- More than one process can't write in the shared memory simultaneously but they can read.
- We follow the producer-consumer problem to define a shared memory concept.
- A producer process has to produce the info. which are going to be consumed by the consumer process.
- Both the producer and consumer has to

operate simultaneously then they have to use shared memory.

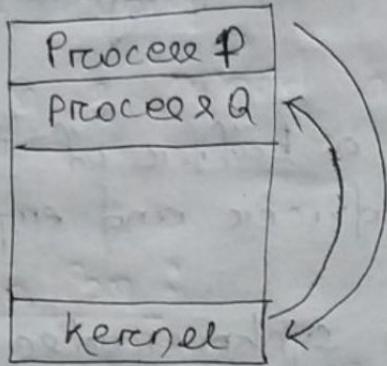
- They are maintaining a buffer of items which will be filled by the producer and emptied by the consumer.
- The process has to be synchronized i.e. a consumer can't consume an item that is not yet produced.
- There are 2 types of buf buffers.

(1) Bounded : The size is limited, that means the producer will wait when the buffer is full and the consumer will wait when the buffer is empty.

(2) Unbounded : The size is unlimited, that means the consumer may have to wait if of need new items but the producer can produce new items always.

### (2) Message Passing System.

- Message passing feature allows the processes to communicate with each other without using a shared memory in their address space and
- The message passing operation uses send (message) and receive (message) operation.
- The messages are either fixed size or variable size.
- Fixed size : The implementation is easy but the programming is difficult.
- Variable size : The implementation is difficult but the programming is



If process P wants to communicate with process Q or vice versa, they must send to and receive from each other by using a communication link.

To implement a communication link logically between two processes there are several methods direct and indirect methods, synchronous and asynchronous, automatic or explicitly buffering.

There are some issues may arise during these implementation.

- (i) Naming
- (ii) Synchronization
- (iii) Buffering

Naming: This type of issue arises in direct or indirect communication.

- In direct communication the communicating process know the sender as well as receiver name.
- The communication link has the following features automatically establish when 2 process want to communicate.

There is exactly 1 link between two processes.

### Symmetry

The sender & receiver process must have a name to each other for communicating.

$\text{Op: send } (q, \text{message}) \rightarrow$  Sends a msg to q

$\text{Receive } (p, \text{message}) \rightarrow$  Receive from p.

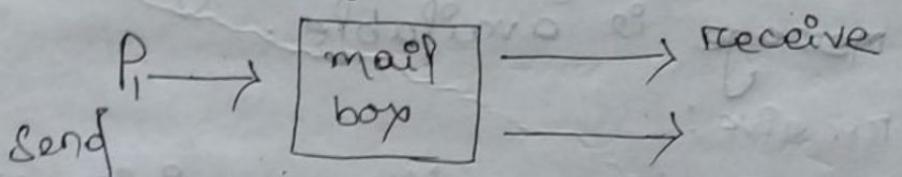
### Asymmetry

The sender must have the name of the receiver but the receiver does not know or not required to name the sender.

- In unidirectional communication has the following properties.

A link may be associated with more than one processes one is between each pair of processes there may exist more than one links.

The link is established between the pair of processes if they shared a mail box.



Let  $P_i$  send a message and which process will receive the message will depend on following operation.

\* There must be a single communication like

\* By allowing one process at a time to receive the process.

\* The recipient may be chosen arbitrarily by using different algorithm.

## ipi round robin

- The mail box is owned by file or one by file a process.

## Synchronization:

Message passing may be blocking or non blocking also called as synchronous & asynchronous.

### Blocking send:

The sending process is blocked until and unless the message is received by the receiver or by the mail box.

The sender sends the msg & resume the operation whether the message is received or not.

### Blocking receive:

The receiver block if the receiving process waits for message i.e. available.

### Nonblocking receive:

The receiver continues the receiving process either if it is valid process or not.

## Buffering

During the communication the communicating processes stage in a temporary queue & the queue may be implemented by the following ways.

(1) 0 capacity - Maximum length is 0.

There is no message in the waiting list & followe blocking send.

(2) Bounded capacity: The link capacity is finite and limited. If the queue is not full then a new message can place in it and if it is full then sender block until the space will available.

(3) Unbounded: The size is unlimited

# Communication in Client-Server System.

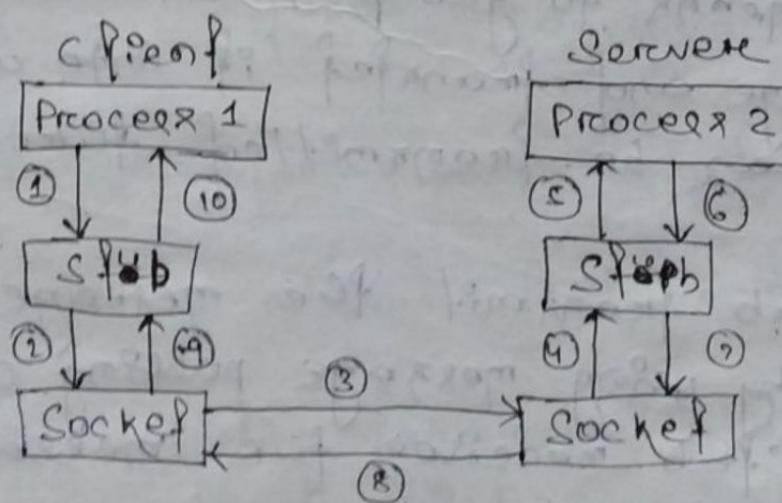
## Sockets:

- Sockets are the end point of a communication and it is different for different processes.
- To identify a socket you need IP address & a port no.
- After getting the request from client the server system passes the request to a specified port.

Ex: FTP server passes to the port 21.

- A client process is assigned to a port no. by the host computer which is greater than 1024 because all the ports below 1024 are used for standard services.

## Remote Procedure call:



When a process wants to communicate with another process which is present in another system through a system and connected via

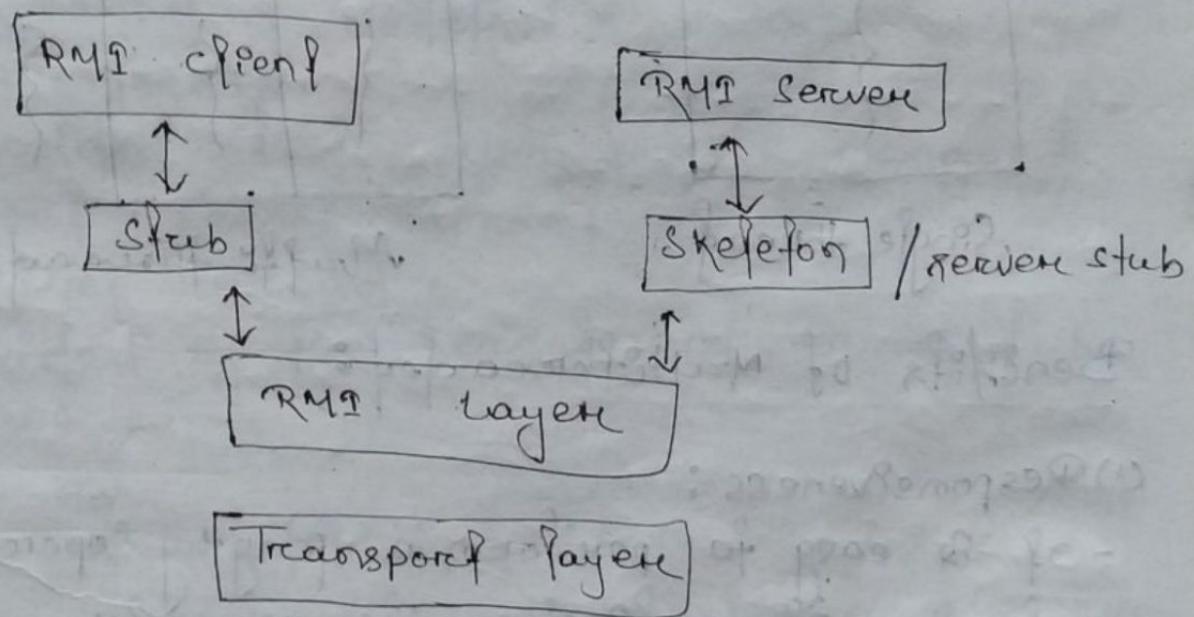
network uses a protocol called as RPC (Remote procedure call).

- RPC is a protocol which helps to establish communication between two remote processes.
- It follows message passing concept used in distributed process communication.
- Each procedure contains an interface and file parameters.
- The RPC system hides the details by placing a stub at client side.
- In client side as well as in the server side when the client invokes remote procedure - RPC system calls the stub by passing file parameters through remote procedure.
- The stub locates the code in the server and marshals the parameters.
- Marshalling means to group the parameters into a package and transfer it into a form so that it can be transmitted through the network.
- Then the stub transmits the message through the server by using message passing concept.
- The server stub receives the message from the socket and specifies the procedure id referring the value to the client side by using name technique.

# Remote Method Invocation

<u>Parameter</u>	<u>RPC</u>	<u>RMI</u>
Support	Procedural Prog.	Object oriented Prog.
Parameter	Ordinary data	Objects
Efficiency	less than RMI	More than RPC
Easy to use	easy	Difficult
Overheads	High	Less.

## Remote Method Invocation:



If is a Java feature is similar to

RPC.

- If passes the parameters by using remote object.
- When a client called a remote method the stub for the remote method is called.
- Stub passes a parcel containing the method name and that and the skeleton is responsible for receiving the parcel.

- Then the skeleton unmarshaled parameters and invoke the appropriate method in the server.
- The stub reassemble the return packet and return it to the client.
- If the objects are the remote object they are pass by the reference.

## Multithreaded Programming :

Process

Code	Data/Files	
Registers	Stack	
		{ }

Single thread

Process

Code	Data	File
Registers	Registers	Registers
Stack	Stack	Stack
{ }	{ }	{ }

Mutithread

Process threads  
viewer  
Process explorer

### Benefits of Multithreaded:

#### (1) Responsiveness:

- It is easy to perform a lengthy operation and also if consume the operation of 1 thread will be block.

#### (2) Resource sharing:

- The resources of the process will be shared between different threads which helps to perform more than one activity simultaneously.
- Ex: code, data, file.

### (3) Economy :

- Single memory space and resources can be shared between multiple threads of the same process.
- It takes more cost to create and allocate memory and resources for each thread.

### (4) Utilization of multiprocessor system :

- As a single threaded process can only run one CPU where multithreaded can run multiple CPUs at a time.

### Multithreading Models :

#### (1) User threads

- Provided at the user level & managed without kernel support.

#### (2) Kernel threads

- Provided by the kernel and managed by the OS.

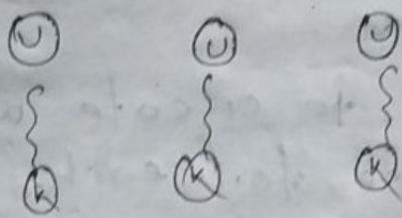
Or

### Type of models :

- To establish the relation between the user threads and kernel threads there are different models.
- One-to-one  
many-to-one  
many-to-many.

## Multithreading Model

### One-to-one



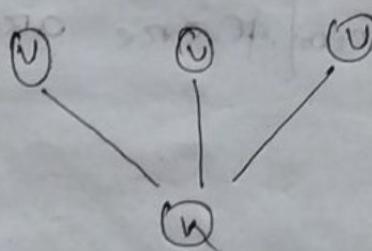
### Advantages

- One user thread is assigned to one kernel thread. By using func of one thread makes a blocking system calls, others will not be affected by func.
- Parallel execution on multiprocessor is easy.

### Disadvantages

- Burden on the system performance is high because per user thread you have to create corresponding kernel thread.
- Ex: Solaris system

### Many-to-One



### Advantages

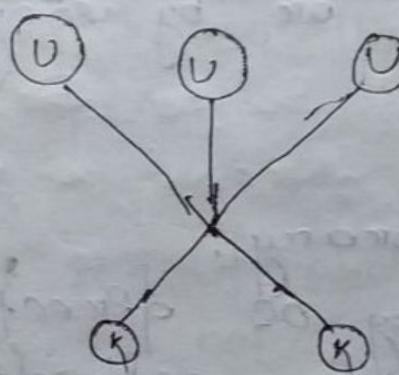
- Many user threads are assigned to one kernel thread.

- Thread management is easy so it is more efficient than others.

### Disadvantages

- Parallel execution is difficult.
- If one thread makes a blocking system call then whole process will be blocked.  
Ex: Windows xp, Linux.

### Many-to-Many



### Advantages

- Many user threads assigned to equal or smaller no. of kernel threads.
- Multiprocessor utilization is high.

### Disadvantages

- Less efficient than one-to-one & many-to-one.
- If one user thread is bound to one kernel thread.  
Ex: Some Unix, DRIS

## Threads Library :

- Threads library provides Application programming Interface (API) for managing threads.

### (1) User level library

- All the code, data, methods are explicit in user space.

- To invoke func type of functions without using a system call or by using local function call.

Ex : POSIX API.

### (2) Kernel level library

- If be managed by OS directly.

- All the code, data, methods explicit in kernel space.

- For invoking you need system call.

Ex : Windows, POSIX  
(Win32)

NOTE: Java Thread API.

## Threading Issues :

### (1) fork system call

fork() system call is used to create separate and duplicate process.

### (2) exec system call

exec() system call will replace current entire process of all threads.

### (3) Cancellation

- Cancellation is the task of terminating a thread before its completion.
- A thread that is to be cancelled is called as canceled target thread.
  - This process is done in two scenarios.

- (1) Asynchronous
- (2) Deferred

#### (1) Asynchronous :

A thread is cancelled immediately without checking any option.

#### (2) Deferred :

The target thread periodically checks whether to terminate or not.

### (4) Signal Handling :

Signal is used when a specific event occurs. For signal handling we follow some specific patterns.

- ① Signal generation
- ② Delivering of a signal to a particular process.
- ③ After delivery of signal within the return of must be handled.

#### Synchronous signal :

If delivered to the same process which generates the signal.

Signal is generated

There are 2 types of signal handler.

- (1) Default (kernel)
- (2) User defined (User)

Transmitting the signal to the specific thread which needs it.

- Deliver the signal to every thread in the process.
- Deliver the signal to a certain thread.
- Assign a specific thread to receive all the messages.

### (5) Thread pool:

When a server process receives a request of creating a thread to process that request.

- There may be some problems will arise like the amount of time needed for threads creation.
- If there are multiple no. of request at the same point of time and need to be executed by the threads.
- To overcome this problem we use a thread pool.
- Thread pool is a place where a no. of threads are created when the process starts execution and place of in the pool.

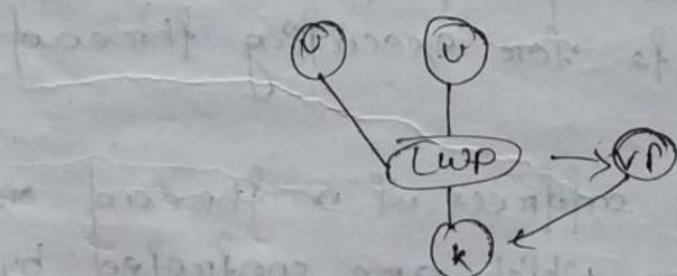
- The request can be provided to a existing thread which is faster than creation of a new thread.
- The thread pool have a limitation on how many threads will be present in the pool.
- It is determined by some factors like
  - (1) No. of CPUs in the system.
  - (2) Amount of physical memory.
  - (3) Expected concurrent client request.

#### (6) Thread Specific Data:

At some point of time each thread may need its own copy for some specific data.

Ex: Transaction processing system i.e. each transaction has a specific identifier.

#### (7) Scheduler Activation:



In many-to-many model there is a light weight process (LWP) which appears to be a virtual processor, where thread library and if helps to schedule the user threads to run.

- There is a technique called as scheduler activation which works as follows.

- The kernel provides the available threads to the available virtual processors through a procedure called as upcall and there is a upcall handler for a thread library.

## OS Example

Windows xp threads:

Windows xp provide fiberc library which supports functionality of one-to-one model.

Components of threads:

- Thread ID
- Register set
- User stack
- Kernel stack
- Private data storage: it uses various dynamic storage libraries or dynamic link library (DLL).

There are 3 thread blocks.

(1) E THREAD : of type for executing threads block.

- It contains starting address of a thread or the set of instructions which are controlled by that thread.

- A pointer to the parent process

(2) K THREAD { kernel thread block} :

- It contains all the information like scheduling, synchronization, kernel stack and a pointer to TEB.

- k-thread and E-thread operate for kernel threads.

(3) TEB (Thread Environment Block) : thread specific

- It contains thread ID, user stack and ~~stack~~, data storage and if exec in user space.

### Linux threads :

- Linux uses fork system call and another one is clone system call to create a thread.
  - There are multiple flags are used to determine how much sharing is possible between parent & child flags.
- Flags are :
- CLONE-FS - File sharing.
  - CLONE-VM - Memory space is shared.
  - CLONE-SIGHAND - Signal handlers shared.
  - CLONE-FILES - Open file sharing.

## UNIT-3

### Preemptive Scheduling :

Ready state

- Running to ready. (Non-preemptive)
- Running to waiting (Preemptive)
- Waiting to ready. (Non-preemptive)
- When a process terminates. (Preemptive)

### Dispatcher

- Dispatcher is a component which gives control of CPU to the processes which are selected by the short term scheduler.
- The time taken for a dispatcher to swap one process and start another process for running is known as dispatcher latency.

### Scheduling Algorithms :

#### (1) FCFS (First come - First Serve)

- FCFS stands for first come first serve algorithm.
- It is used in queue and some FIFO concept.
- It is a non-preemptive type of algorithm.
- Ex : ①

<u>Process</u>	<u>cpu burst (m.s)</u>	<u>Waiting time</u>
P <sub>1</sub>	9	0
P <sub>2</sub>	10	9
P <sub>3</sub>	3	19
P <sub>4</sub>	5	22

The result of the algorithm will be shown in Gantt chart.

$$Average time = 0$$

0	9	19	22	27
P <sub>1</sub>	P <sub>2</sub>	P <sub>3</sub>	P <sub>4</sub>	

Avg. waiting time =  $\frac{0+9+19+22}{4} = 12.5 \text{ ms}$

② Processes	CPU Burst (ms)	Arrival time
P <sub>1</sub>	9	0
P <sub>2</sub>	10	3
P <sub>3</sub>	3	5
P <sub>4</sub>	5	9

0	9	19	22	27
P <sub>1</sub>	P <sub>2</sub>	P <sub>3</sub>	P <sub>4</sub>	

Waiting time

$$P_1 = 0$$

$$P_2 = 9 - 3 = 6$$

$$P_3 = 19 - 5 = 14$$

$$P_4 = 22 - 9 = 13$$

Avg =  $3.23 \text{ ms}$

Advantage  
of FCFS simple and easy to implement.

Disadvantage

Convey effect - All the processes have to wait for 1 big process to be executed.  
Throughput, Turnaround time

$$P_1 = 9$$

$$P_2 = 16$$

$$P_3 = 17$$

$$P_4 = 16$$

## (2) SJF (Shortest job first)

→ Non-preemptive

Turn around time = completion time - arrival time

Waiting time = Turnaround time - Burst time  
or execution part time - arrival time.

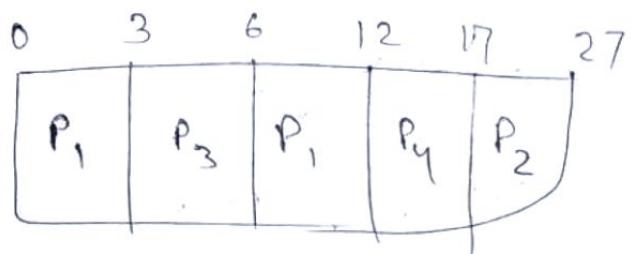
<u>Process</u>	<u>CPU Burst</u>	<u>Waiting time</u>	<u>Turn around time</u>	<u>Arrive time</u>
P <sub>1</sub>	9	8	17	0
P <sub>2</sub>	10	17	27	3
P <sub>3</sub>	3	0	3	5
P <sub>4</sub>	5	3	8	9

```

    graph LR
      P3[ ] ---|0-->| P4[ ]
      P4 ---|3-->| P1[ ]
      P1 ---|8-->| P2[ ]
      P2 ---|17-->| End[ ]
  
```

→ Preemptive

<u>Process</u>	<u>CPU burst</u>	<u>Arrival time</u>	<u>Waiting time</u>	<u>Turn around time</u>
P <sub>1</sub>	9	0	$12 - 9 = 3$	$12 - 0 = 12$
P <sub>2</sub>	10	5	$22 - 10 = 12$	$27 - 5 = 22$
P <sub>3</sub>	3	3	$3 - 3 = 0$	$6 - 3 = 3$
P <sub>4</sub>	5	9	$8 - 5 = 3$	$17 - 9 = 8$



### (3) Priority Scheduling

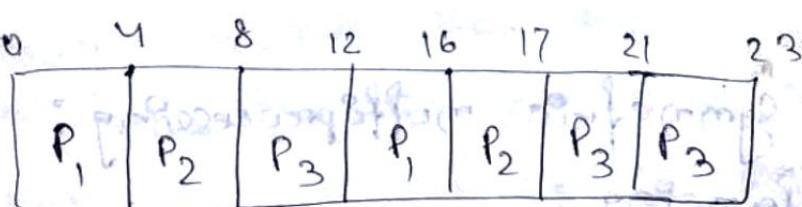
Process	CPU Burst	Priority	Arrival Time	
P <sub>1</sub>	9	3	0	
P <sub>2</sub>	10	4	5	
P <sub>3</sub>	3	0	3	
P <sub>4</sub>	5	2	9	ready queue
0	3	6	9	27
P <sub>1</sub>	P <sub>3</sub>	P <sub>1</sub>	P <sub>4</sub>	P <sub>2</sub>

### (4) Round Robin Scheduling :

Arrival time = 0

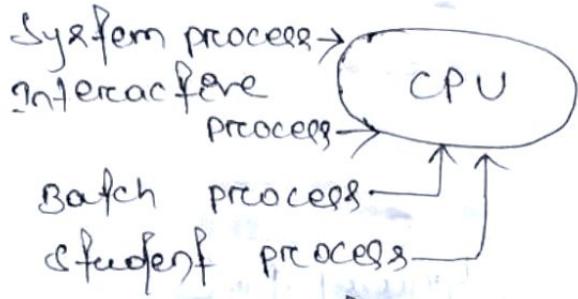
Time quantum = 4 ms

Process	Burst	Turnaround time	Waiting time
P <sub>1</sub>	8	16 - 0 = 16	16 - 8 = 8
P <sub>2</sub>	5	17 - 0 = 17	17 - 5 = 12
P <sub>3</sub>	9	22 - 0 = 22	22 - 9 = 13



$$\text{Average time} = \frac{12 + 8 + 13}{3} = \frac{33}{3} = 11$$

## (5) Multilevel Queue Scheduling



System processes :- FCFS

Interactive processes :- SJF

Batch processes :- Round Robin

Student processes :- Priority.

## Multiprocessor Scheduling:

of type of 2 types.

(1) Symmetric

(2) Asymmetric

### Symmetric

All CPUs have the same priority and the processes may be in a common queue or each processor has its own private queue.

### Asymmetric

If user client-server strategy or master-slave have strategy i.e one processor handles all the activities (master) and other processor work in user mode.

### Issues of symmetric multiprocessing:

#### (1) Load balancing:

The work load will be evenly distributed

among all the processors in a symmetric multiprocessor system.

- There are 2 approaches of load balancing i.e

(i) Push migration: A process is moving from over loaded to an idle or less busy processor.

(ii) Pull migration: When an idle processor pulls a waiting task from a busy processor.

### (2) Thread scheduling:

It is needed in every multitasking confinement scope. models.

- How it will be scheduled decided by confinement scope. too many to many and many to one process confinement scope.

- go one to one called system confinement scope.

### (3) P Thread scheduling:

P Thread identify confinement scope via

- PTHREAD\_SCOPE\_PROCESS schedules the thread using PCs.

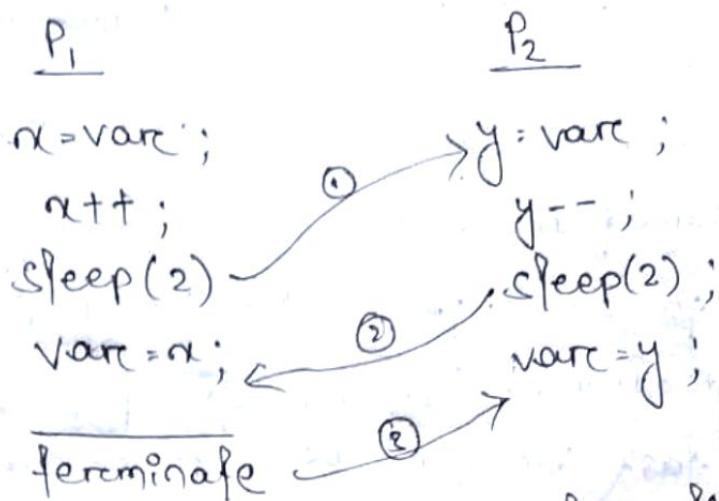
- PTHREAD\_SCOPE\_SYSTEM uses SCS. better solution

### Synchronization:

There are two type of processes i.e co-operative and independent.

- Co-operative processes are those who share something between them i.e variable, code, file etc.

- The processes are not shared between them i.e independent.



After 1st process termination, the value of shared variable i.e. varc = 5, b/c after the completion of P<sub>2</sub> process the value is 3.

- The last updated value of variable is incorrect as both the process allowed to manipulate the variable concurrently.
- This type of condition is called as race condition.
- So to deal with this problem we need to allow one process at a time manipulate shared data we use process synchronization or co-operation.

### Critical Section Problem:

Each process have some or shared segment or location called as critical section, in this location a process perform all shared operation.

Solution to critical section problem must satisfy the following characteristics.

↳ Mutual exclusive

↳ Progress

↳ bounded wait

↳ No assumption regarding the n/w

## Peterson's Solution :

```
#define N=2;
#define false=0;
#define true=1;
#define inf: infreq[N]=false;
entry (inf process)
{
    other;
    infreq[process] = true;
    other = 1 - process;
    freq = true;
}
while (infreq[other] == false && freq[process])
{
    CS
    exit()
    if (infreq[process] == false)
}
```

## Semaphore :

- common variable
- multiple mutually exclusive co-operative process.
- satisfy the synchronization.

of is of 2 types.

(i) countable ( $\infty$  to  $- \infty$ )

(ii) binary (0,1)

wait (semaphore s)

{

s.value = s.value - 1

```

if (s.value < 0)
{
    Send the process to block sleep and sleep();
}

```

Reader-Writer problem by using semaphore:

```

typedef int semaphore;
Semaphore mutex = 1;
Semaphore obj = 1;

int rc = 0; // Reader counter
void reader (void)
{
    while (TRUE)
    {
        down (mutex);
        rc = rc + 1;
        if (rc == 1) down (obj);
        up (mutex);
        read - data - base();
        down (mutex);
        rc = rc - 1;
        if (rc == 0) up (obj);
        up (mutex);
        use . data . read();
    }
}

void writer (void)
{
    while (TRUE)
    {
        think - up - data();
        down (obj);
        write - data - base();
        up (obj);
    }
}

```

## Producer-Consumer Problem:

```
# DEFINE N=5
```

```
typedef int semaphore;
```

```
semaphore mutex = 1;
```

```
semaphore empty = N;
```

```
semaphore full = 0;
```

```
void producer(void)
```

```
{
```

```
    if (item,
```

```
        while (true) {
```

```
            down(empty);
```

```
            down(mutex);
```

```
            insert-item(item);
```

```
            up(mutex);
```

```
            up(full);
```

```
}
```

```
void consumer(void)
```

```
{
```

```
    if (item,
```

```
        while (true) {
```

```
            down(mutex);
```

```
            down(empty);
```

```
            item = remove-item();
```

```
            up(mutex);
```

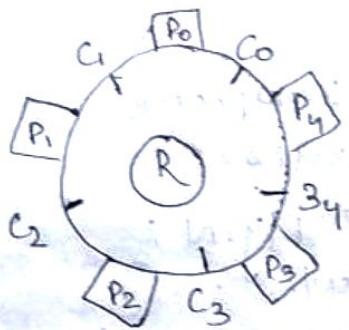
```
            up(mutex);
```

```
            consume-item(item);
```

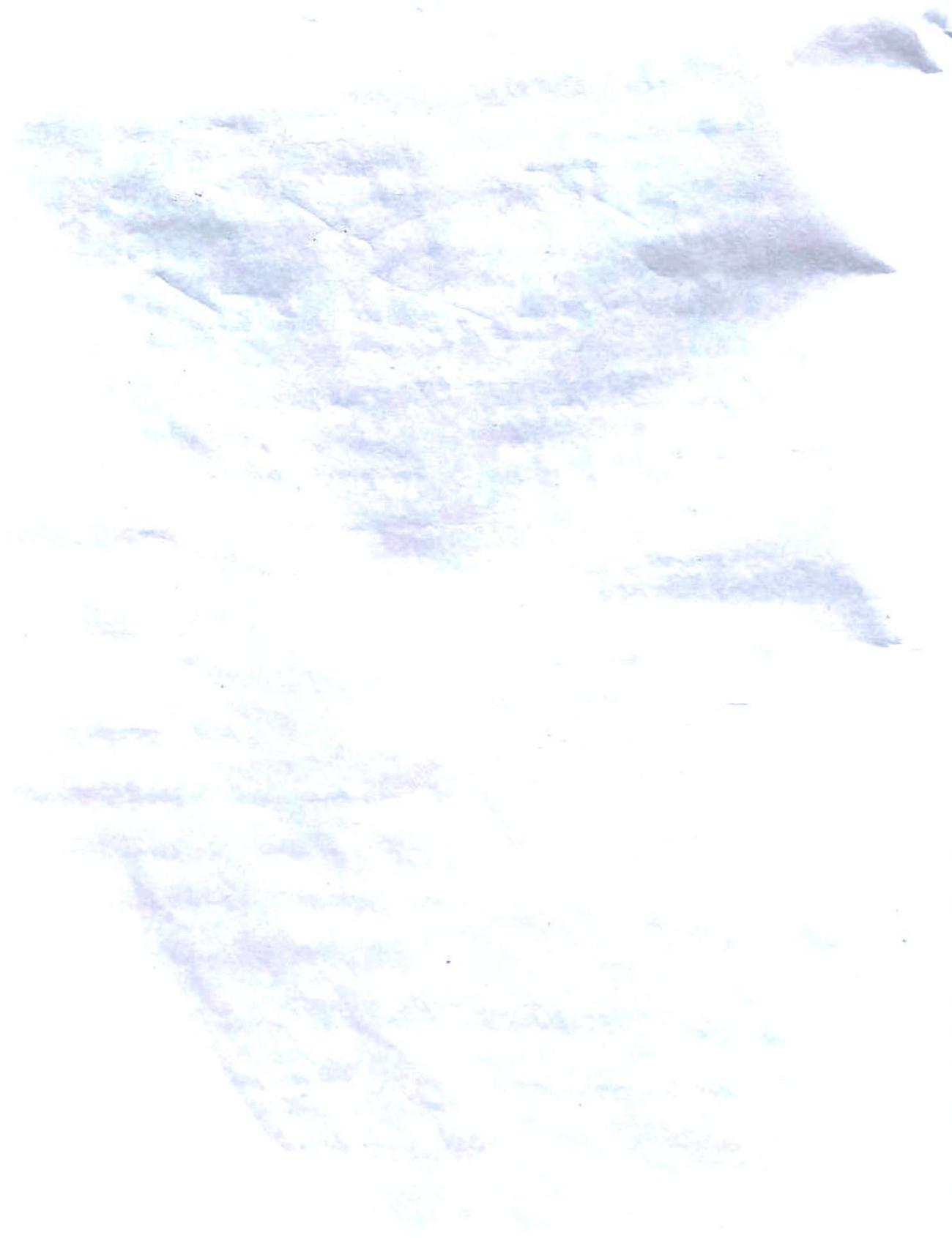
```
}
```

```
}
```

## Dining Philosophers Problem:



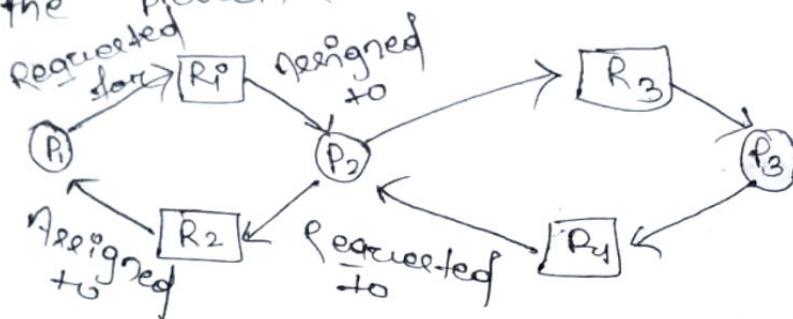
Atomic Transaction:



## UNIT-4

### Dead Lock

Dead Lock is a situation where one process is requesting for a resource so it will be in the waiting state and the requested resource is held by another waiting process. That means both the processes are in the waiting state.



(Resource Allocation Graph)

- This graph consist of two type of nodes  
Process : represented by circle  
resource : represented by square
- There are 2 edges  
Requesting edge : From the process to resource.  
Assignment edge : From the resource to process.

Conditions for dead lock :

- (1) Mutual exclusion
- (2) Hold and wait
- (3) No. Preemption
- (4) Circular wait.

(1) Mutual exclusion : One process can access a non shareable resource at a time.

(2) At least one resource be to the non shareable

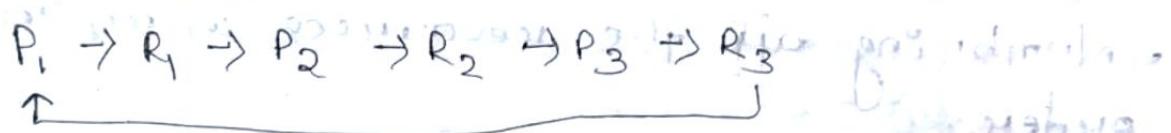
mode.

(2) Hold and wait: in this condition one process hold one resource and waiting for other additional resource to complete their execution which is held by other process.

(3) No. Preemption:

The resources are not released in middle of execution.

(4) Circular wait:



Deadlock Prevention:

Mutual Exclusion:

Rules:

- Convert all non-shareable resources to shareable resource but this condition is not applicable for some resources like printer.

Hold and wait:

Rules:

- A process can request a resource if it has none.
- A process has to request and be allocated of all the resources before execution.

No. Preemption:

Rule:

- A process can request a resource in two conditions, if they are available then we allocate them to the process.

If the resource is not available and allocated to a process which is in waiting state for other resources, if the condition occurs they preempt the resource from the waiting process and allocate it to the requesting process.

### Circular wait :

Rule :

- Give the no.
- Numbering all the resources in an increasing order.
- A process can request a resource of type  $R_i$  which is and releases all the resources of type  $R_j$  such that  $F(R_i) \geq F(R_j)$ .

OR

A process can initially request any no. of resources of type  $R_i$  and assert that it can request for resources type  $R_j$  iff

$$F(R_i) \leq F(R_j)$$

### Deadlock Avoidance :

Deadlock avoidance can happen if we check the state of the system before allocating the processes or resources are deadlock avoidance algorithm checks before allocating the resources about the state of the system.

There are basically 2 types

(1) Unsafe (Deadlock will occur)

(2) Safe (no deadlock will happen)

### Banker's Algorithm

Available :  $A$  is a vector of length  $n$ .

Available [ $i$ ] =  $k$  means there are  $k$  no. of instances of resource  $R_i$ .

Need :  $N$  is a  $n \times m$  matrix which defines the no. of resources needed for a process.

Need [ $i, j$ ] =  $k$  means

$$\text{need } [i, j] = \text{max}[i, j] - \text{Allocation}[i, j]$$

Need [ $i, j$ ] =  $k$  means process  $P_j$  needs  $k$  no. of instances of resource  $R_i$  to complete its resources.

Max : ~~Max~~ is a  $n \times m$  matrix which defines maximum demand of each process.

Max [ $i, j$ ] =  $k$  means process  $P_i$  can demand maximum of  $k$  no. of instances of resource  $R_j$ .

Allocation :  $A$  is currently allocated resource to each process.

Allocation [ $i, j$ ] =  $k$  means process  $P_i$  allocated  $k$  no. of instances of resource type  $R_j$ .

$R_j$  :  $\Sigma$  no. of  $P_i$  which initially allocated  $R_j$ .

↳  $R_j$  : sum of no. of instances of  $R_j$  allocated by all processes.

## Safety Algorithm

This algorithm is used to find out whether the system is in safe state or not.

There are two vectors work and finish of length m and n respectively.

① Work = available

finish[i] = false, i=1, 2, ..., n

② find an  $i^*$  such that  $\text{finish}[i^*] = \text{true}$  ;

$\text{need}[i^*] \leq \text{work}$ ;

if no such  $i^*$  exist goto step 4.

③  $\text{Work} = \text{work} + \text{allocation}[i^*]$ ;

$\text{finish}[i^*] = \text{true}$ ;

go to step 2.

④ if  $\text{finish}[i] = \text{true}$ ,  $\forall i$ .

## Resource Request Algorithm:

This algorithm is used when a process requests for a resource.

if request is a vector of request  $i^*[j] = k$  that means a process  $P_i^*$  can request  $k$  no. of instances of resource of type  $R_j$ .

### Steps

① if  $\text{request}[i] < \text{need}[i]$ , then go to step 2 otherwise generate an error condition as its request exceeds the need.

② if  $\text{request}[i] < \text{available}$ , then go to step 3  
otherwise  $P_i$  must wait.

③ After allocating the resources to  $P_i$  the state of

the following are

$$\text{Available} = \text{Available} - \text{request}$$

$$\text{Allocation} = \text{Allocation} + \text{request}$$

$$\text{need} = \text{need} - \text{request}$$

of resource allocation depends safe if safe that means  $P_i$  is allocated with all the resources otherwise the system is  $\theta$

Process	Allocation			MAX			Available			Need		
	R <sub>1</sub>	R <sub>2</sub>	R <sub>3</sub>	R <sub>1</sub> , R <sub>2</sub>	R <sub>3</sub>	R <sub>1</sub>	R <sub>2</sub>	R <sub>3</sub>	R <sub>1</sub>	R <sub>2</sub>	R <sub>3</sub>	
P <sub>1</sub>	0	1	0	7	5	3	10	5	7	7	4	3
P <sub>2</sub>	2	0	0	3	2	2	1	1	1	1	2	2
P <sub>3</sub>	3	0	2	9	0	2	6	0	0	6	0	0
P <sub>4</sub>	2	1	1	8	2	2	0	1	1	0	1	1
P <sub>5</sub>	0	0	2	4	3	3	4	3	1	4	3	1

$$\text{Available} \Rightarrow \text{Available} + \text{allocation}$$

	Allocation	MAX	Available	Need
P <sub>1</sub>	A B C	A B C	A B C	A B C <del>x</del>
P <sub>2</sub>	0 0 1	1 1 1	3 0 2	1 1 0 <del>x</del> ④
P <sub>3</sub>	2 0 0	B 2 3	4 3 3	5 2 3 <del>x</del> ⑤
P <sub>4</sub>	1 3 1	4 3 1	5 3 4	3 0 0 <del>v</del> ①
P <sub>5</sub>	1 0 0	0 1 0	5 3 5	1 0 0 <del>v</del> ②
P <sub>6</sub>	0 0 1	1 1 1	5 3 6	3 2 0 <del>v</del> ③
		7 3 6		
			A=7 B=3 C=6	resource

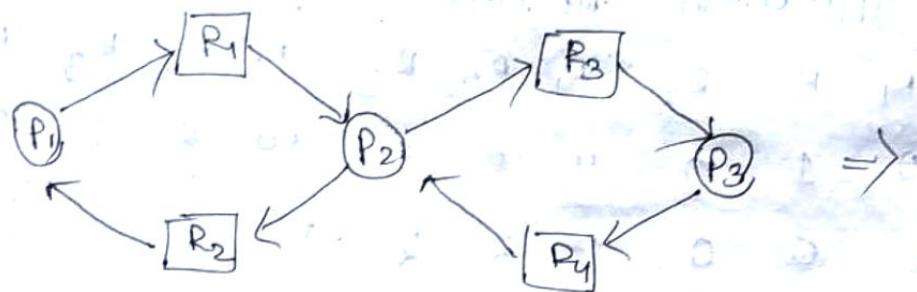
## Deadlock detection:

The deadlock detection algorithm uses two methods

(1) Wait-for-graph for single instance resource type.

(2) Detection algorithm for multiple instances resource type.

### Single instance of resource type:



(Wait-for-graph)

An edge between  $P_1$  to  $P_2$  means there is a resource shared between two processes and there are two edges from  $P_1$  to  $R_1$  &  $R_1$  to  $P_2$  for a common resource  $R_1$ .

If there is a cycle in wait-for-graph then the system is in deadlock state.

In the above example there are two cycles.

### Multiple instance of resource type

The detection algorithm is approximately same as Banker's algorithm.

## Data structures

Available :

Allocation :

Request[i,j] = k :

## Defection algorithm

① Work Available

    finish[i] = false, allocated ≠ 0  
    otherwise, finish[i] = true

② End ? :

    finish[i] = false;

    Request[i] <= Available; Allocation[i] = 0

③ Available = Available + Allocation[i];

    finish[i] = true;

④ if finish[i] = false      unsafe.  
    then the system is in deadlock state and  
    the process pi is deadlocked.

## Recovery from Deadlock:

① Process termination:

- Abort all the deadlock processes.
- Abort one by one process until the deadlock is terminated.

② Resource Preemption:

- Select a victim.

- Roll back
- Startup from .

## Memory Management :

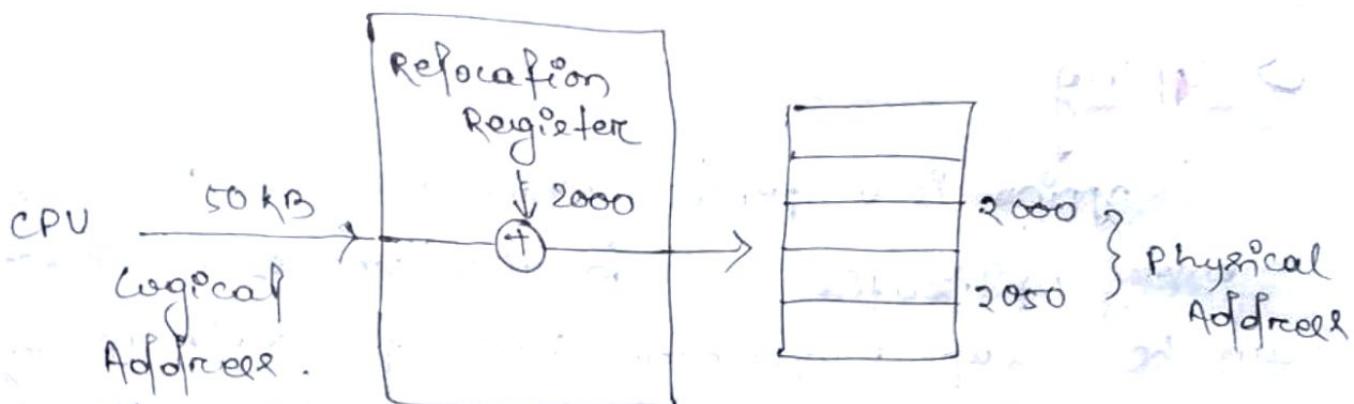
Memory Management is a functionality of a operating system which performs many functions like assigning the memory space to the processes, keep track of allocation and deallocation, load and store operation and memory optimization.

### Logical vs Physical address space:

- Logical addresses are generated by user and the physical addresses generated by memory management unit.
- Let one program has the size of 50 KB and is loaded in main memory in between 2000-2050. i.e called as logical address. (Actual loaded address)
- APP the logical addresses are generated by a program called as logical address space and the corresponding logical addresses physical address of the logical address is called as physical address.

Physical address  $\rightarrow$  Relocation register address + logical address.

Relocation register (Base register)



If the two former two logical addresses are mapped into physical addresses by memory management unit.

### Dynamic Linking and Loading:

If there are two processes P and Q where P is dependent on Q by loading both the processes into the memory it takes both time & space.

So if you have to load executable process P at the time of execution link with the dependent process Q, that is called as dynamic linking.

Loading means load the program from secondary memory to main memory. At the time of execution or running the routines are loaded into the main memory is called as dynamic loading.

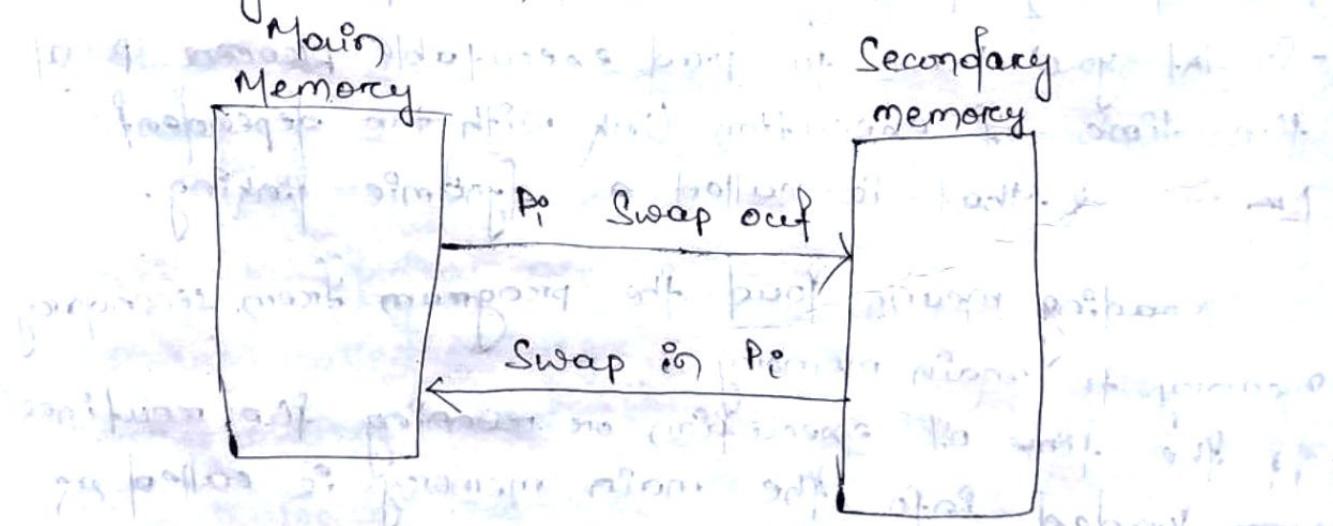
If the loading is performed at the compile time is called as static loading.

OR Dynamic loading means a routine is not loaded until it is called.

# Swapping

Swapping is a process which is used to increase memory utilization and in this process a process can be swap temporarily from the main memory one disk to make available free space for other processes.

- Though it takes more time, but it increases the performance of a multiprogramming system.
- It is also called as a technique for memory compaction.
- To move a process from main memory to secondary is called swap out and secondary to main memory is called swap in.



Swapping required a backing storage which has a fast storage disk.

## Contiguous Memory Allocation:

In this process the available memory will be allocated to the incoming processes in a contiguous manner.

This is done by different methods.

### (1) Fixed size / static Partitioning:

- Single partition
- Multiple partition
  - \* Fixed equal multiple partition:  
same but the size of the partition may be same or may not be same
  - \* Fixed variable multiple partition

### (2) Variable size

## Paging

It is a memory management technique in which a memory divided into fixed page.

Paging is used for non-contiguous memory allocation method.

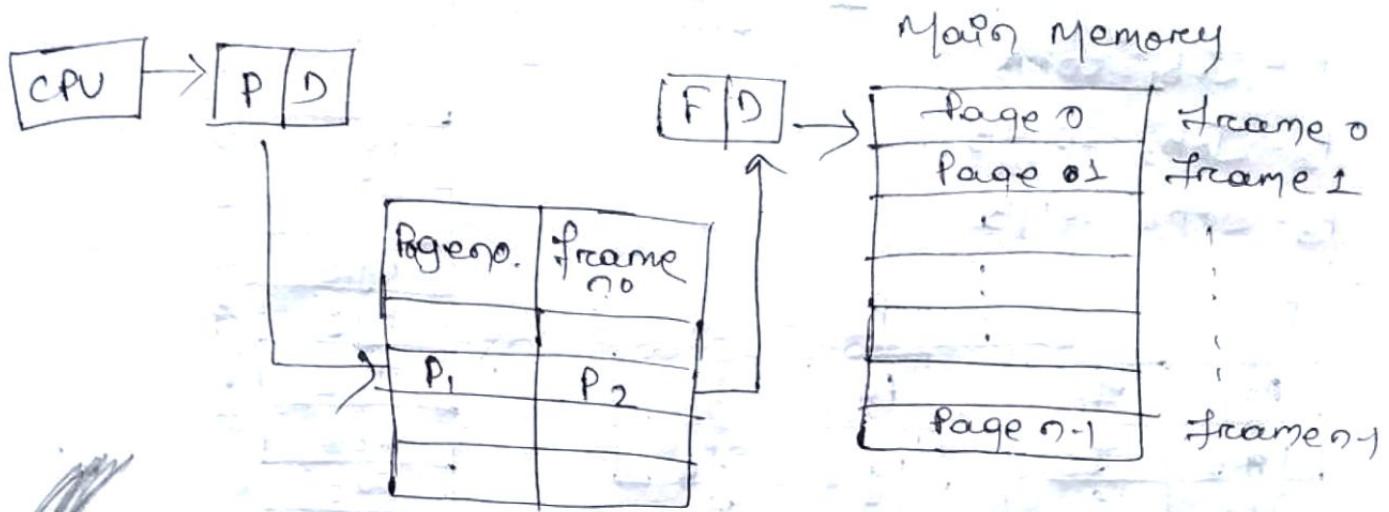
- Paging is used for faster access to data.
- When a program needs a page then if it is available in the main memory then OS copies a certain no. of pages from your storage device to main memory so that paging allows the physical address space of a process to be non-contiguous.

The basic idea of a paging is a physical memory (main memory) is divided into fixed sized block

called as frames, the logical address space is divided into fixed sized blocks called as the pages.

- But the page size and frame size should be equal.
- The size of the frame on a page is depending on the OS.
- The OS maintains a data structure which is a page table, i.e. used for mapping.
- The page table specifies some useful information that tell which frames are allocated or available as well as how many total frames are there and so on.
- The general page table consisting of two fields, such as (1) Page no.  
(2) Frame no.
- Each OS has its own methods for storing page tables as well as to allocate a page table for each process.
- Paging is to deal with external fragmentation problem, this is allowed the logical address space of a process to be non-contiguous which makes the process to be allocated physical memory.
- Every address is generated by the CPU but it is divided into 2 parts,  
(1) Page no.

## (2) Page offset / Displacement



Qf the process size is 10 byte and the main memory size is 20 byte where the page size is 2 byte . If the CPU wants 7 byte of the process which is forced in 17 byte in the main memory what will be the Logical address and physical address.

# Shared Page

$$P_1 = Job_1 = 50 \text{ MB}$$

$$P_2 = Job_2 = 50 \text{ MB}$$

$$P_3 = Job_3 = 50 \text{ MB}$$

P<sub>1</sub>

Pg <sub>11</sub>	f <sub>0</sub>
Pg <sub>12</sub>	f <sub>2</sub>
TE <sub>1</sub>	f <sub>1</sub>
TE <sub>2</sub>	f <sub>4</sub>
TE <sub>3</sub>	f <sub>5</sub>
TE <sub>4</sub>	f <sub>7</sub>

P<sub>2</sub>

Pg <sub>21</sub>	f <sub>3</sub>
Pg <sub>22</sub>	f <sub>6</sub>
TE <sub>1</sub>	f <sub>1</sub>
TE <sub>2</sub>	f <sub>4</sub>
TE <sub>3</sub>	f <sub>5</sub>
TE <sub>4</sub>	f <sub>7</sub>

P<sub>3</sub>

P <sub>31</sub>	f <sub>8</sub>
P <sub>32</sub>	f <sub>9</sub>
TE <sub>1</sub>	f <sub>1</sub>
TE <sub>2</sub>	f <sub>4</sub>
TE <sub>3</sub>	f <sub>5</sub>
TE <sub>4</sub>	f <sub>7</sub>

Shared pages are TE<sub>1</sub>, TE<sub>2</sub>, TE<sub>3</sub>, TE<sub>4</sub>

$2^{10} - 1 \text{ KB}$   
 $2^{20} - 1 \text{ MB}$   
 $2^{30} - 1 \text{ GB}$   
 $2^{40} - 1 \text{ TB}$

Main memory	
OS	0
Pg <sub>11</sub>	1
TE <sub>1</sub>	2
Pg <sub>12</sub>	3
Pg <sub>21</sub>	4
TE <sub>2</sub>	5
TE <sub>3</sub>	6
Pg <sub>22</sub>	7
TE <sub>4</sub>	8
P <sub>31</sub>	9
P <sub>32</sub>	

Structure of page table:

- (1) Multilevel paging or hierarchical paging:
- (2) Hash page table.
- (3) Inverted Page table.

# Page Replacement Algorithm:

FIFO

String :- 0, 1, 2, 3, 5, 6, 3, 4, 6, 3, 7, 3, 1, 5, 3, 6, 3, 4

$f_0$	0	0	0	3	3	3	3	4	4	4	4	4	1	1	1	6	6	6
$f_1$	1	1	1	1	5	5	5	5	3	3	3	3	5	5	5	5	5	4
$f_2$	2	2	2	2	6	6	6	6	7	7	7	7	3	3	3	3	3	3
	x	x	x	x	x	x	v	x	v	x	x	v	x	x	x	v	x	

Page fault = 14

hit = 5

# Buddy's Anomaly:

$f_0$	0	0	0	5	5	5	5	3	3	3	3	3	3	4			
$f_1$	1	1	1	1	6	6	6	6	6	1	1	1	1	1	1	1	1
$f_2$	2	2	2	2	4	4	4	4	4	4	4	5	5	5	5	5	5
$f_3$	3	3	3	3	3	3	3	7	7	7	7	7	6	6	6	6	6
	x	x	x	x	x	v	x	v	v	x	x	x	v	x	v	x	

Page fault - 13

hit - 5

## Optimal Page Replacement Algorithm

Replace the page which is not going to be used for a long period of time.

String: 0, 1, 2, 3, 5, 6, 3, 4, 6, 3, 7, 3, 1, 5, 3, 6, 3, 4

$f_1$	0	0	0	3	3	3	3	3	3	3	3	3	3	3	3	3	3	3
$f_2$	1	1	1	1	1	X	4	4	4	7	8	X	5	5	5	5	5	5
$f_3$	2	2	X	6	6	6	6	6	6	6	6	6	6	6	6	6	6	6

page fault = 11

hit = 7

$f_1$	0	0	0	0	5	5	8	4	4	4	7	X	7	5	5	5	5	5
$f_2$	1	1	1	1	1	1	1	1	1	1	1	1	1	2	2	2	2	2
$f_3$	2	2	X	6	6	6	6	6	6	6	6	6	6	6	6	6	6	6
$f_4$	3	3	3	3	3	3	3	3	3	3	3	3	3	3	3	3	3	3

page fault = 10

hit = 8

LRU Page Replacement: Least Recently Used

String: 0, 1, 2, 3, 5, 6, 3, 4, 6, 3, 7, 3, 1, 5, 3, 6, 3, 4

$f_1$	0	0	0	3	3	3	3	3	3	3	3	3	3	3	3	3	3	3
$f_2$	1	1	X	5	5	X	4	4	4	7	7	7	7	5	5	5	8	4
$f_3$	2	2	X	6	6	6	6	6	6	6	6	6	6	1	7	6	6	6

page fault = 12

hit = 6

f <sub>1</sub>	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
f <sub>2</sub>	1	1	1	2	2	2	2	1	1	1	1	1	2	5	5	5	5	5	5
f <sub>3</sub>	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2
f <sub>4</sub>	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1

Page Fault =

hit =

MRU Page Replacement : Most recently used.

String = 0, 1, 2, 3, 4, 5, 6, 3, 4, 6, 3, 7, 3, 1, 5, 3, 6, 3, 7

f <sub>1</sub>	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
f <sub>2</sub>	1	1	1	2	2	2	2	1	1	1	1	1	2	5	5	5	5	5	5
f <sub>3</sub>	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2

Page Fault = 16

hit = 2

f <sub>1</sub>	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
f <sub>2</sub>	1	1	1	1	1	1	1	1	1	1	1	1	2	5	5	5	5	5	5
f <sub>3</sub>	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2
f <sub>4</sub>	3	4	8	8	4	8	6	3	7	3	3	3	3	8	3	4	3	4	4

Page Fault = 16

hit = 2

Least Frequently used (LFU)

Reference string: 7, 0, 1, 2, 0, 3, 0, 4, 2, 3, 0, 3, 2, 1, 2

f <sub>1</sub>	7	7	7	2	2	2	4	X	3	3	3	3	3
f <sub>2</sub>	0	0	0	0	0	0	0	0	0	0	0	0	0
f <sub>3</sub>	1	1	1	3	3	3	8	2	2	2	2	1	2

X X X X hit X hit X X miss hit hit hit hit X X

frequencies

$$7 = 0.40, 0 = 0.28, 1 = 0.10, 2 = 0.10$$

$$3 = 0.10, 4 = 0.00$$

Page faults = 10

hit = 5

f <sub>1</sub>	7	7	7	2	2	2	4	X	3	3	3	3	3
f <sub>2</sub>	0	0	0	0	0	0	0	0	0	0	0	0	0
f <sub>3</sub>	1	1	1	3	3	3	8	2	2	2	2	1	2
f <sub>4</sub>													

Most Frequently used (MFU)

Reference string :- 7, 0, 1, 2, 0, 3, 0, 4, 2, 3, 0, 3, 2, 1, 2

$f_1$	7	7	7	2	2	2	2	2	2	2	2
$f_2$	0	0	0	0	3	3	3	3	3	3	3
$f_3$	1	1	1	1	X	0	4	4	4	4	4

frequencies

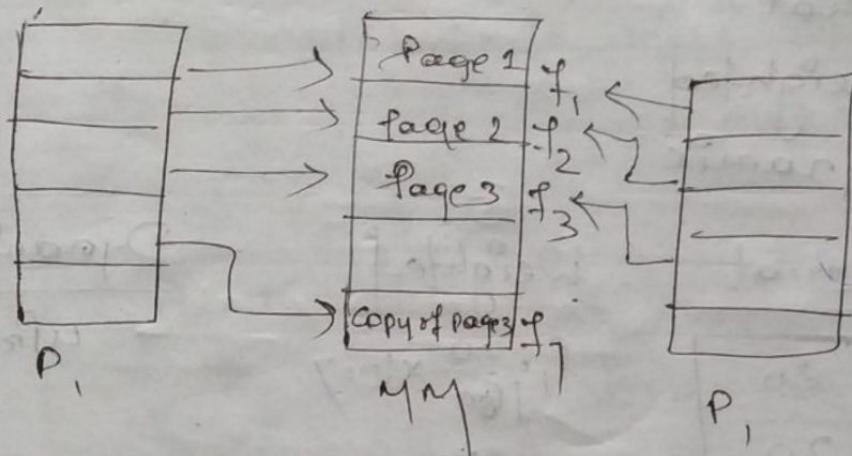
$$7 = \phi 10$$

$$0 = \phi 1101 \quad 1 = \phi 10 \quad 2 = \phi 1,2$$

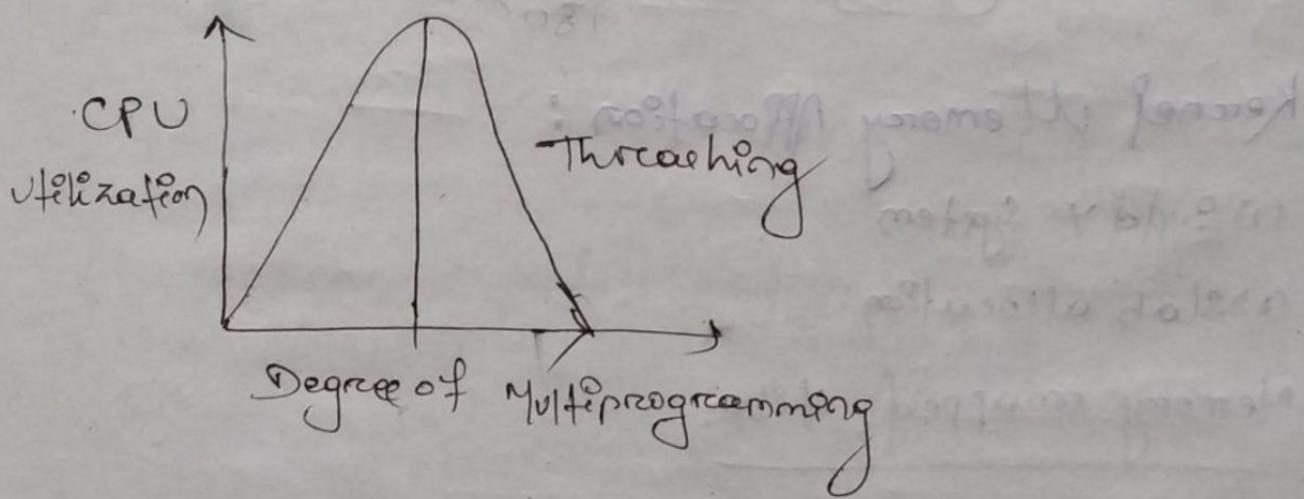
$$3 = \phi 1$$

$$4 = \phi 12$$

## Copy-on-Write



## Threaching



Locality Model

Working-set Model

D = no. of demand page

m = available of frame

$D > m$  : Threaching

$D \leq m$  : Not thraching.

Page Fault Frequency :-

## Frame allocation

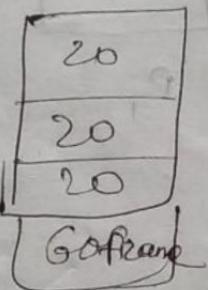
- Equal
- Weighted
- Dynamic

$$P_1 = 20 \text{ Pg}$$

$$P_2 = 60 \text{ Pg}$$

$$P_3 = 100 \text{ Pg}$$

### Equal



### Weighted

$$P_1 = \frac{20}{180} \times 60 = 7$$

$$P_2 = \frac{60}{180} \times 60 = 20$$

$$P_3 = \frac{100}{180} \times 60 = 33$$

### Dynamic

by priority

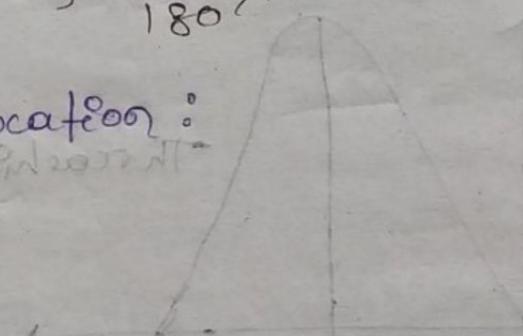
print 2020211

## Kernel Memory Allocation :

(1) Buddy system

(2) Slab allocation

Memory mapped file



092

print 2020211

19011101001

19011101010101

19011101010101

19011101010101

19011101010101

19011101010101

19011101010101

19011101010101

19011101010101

## File Management

file is file refresher of document collection.

it is stored in secondary memory

### Type of File

- [Text] → .txt
- [Source] → .c, .java
- [Object] → .exe

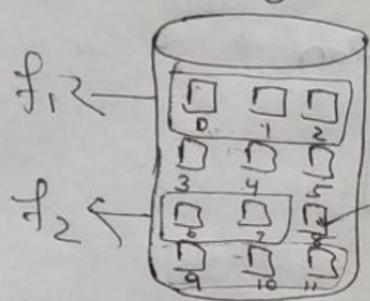
### Attributes

- Name
- Location
- Size
- Type
- Date : create, update, modified
- Protection (Authentic., non authorized)
- Repositioning / move
- Truncating

### Access Method

- Sequential
  - Direct
  - Index
- read next
  - write next
  - Read ↗ Rewind
  - Write ↗
  - jump to ↗
  - Reset ↗
- pointer

## Contiguous file allocation:



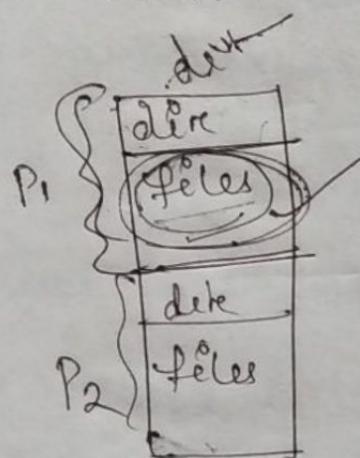
filename	start block	length
f <sub>1</sub>	0	3
f <sub>2</sub>	5	2

Directory  $\rightarrow$  & Table

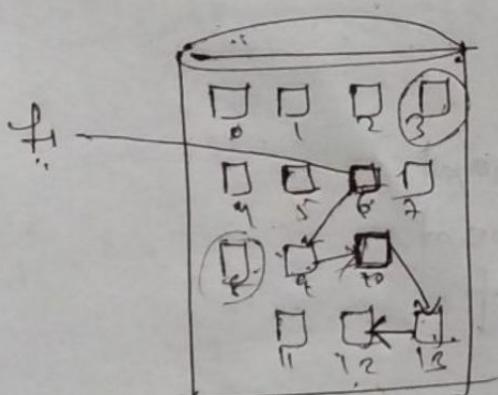
random, sequential

$$6+1 = 7$$

Disk = E freq.



## Linked file



## Linked list

file	Start	end
f <sub>1</sub>	6	15

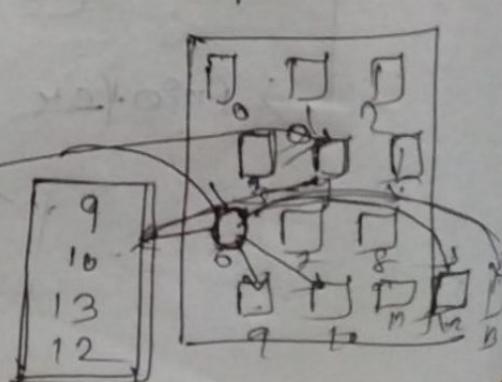
de's random access X

f<sub>2</sub>

## Indexed file alloc

file	Index block
f <sub>1</sub>	4

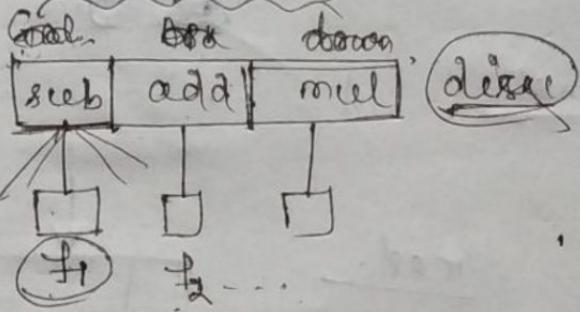
f<sub>1</sub>



## Directory & structure

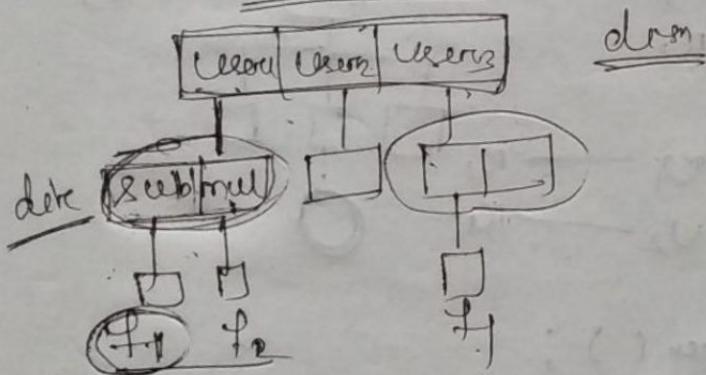
- └ Single level
- └ Two level
- └ Tree structure
- └ Acyclic graph structure. ②

### SL Gallery

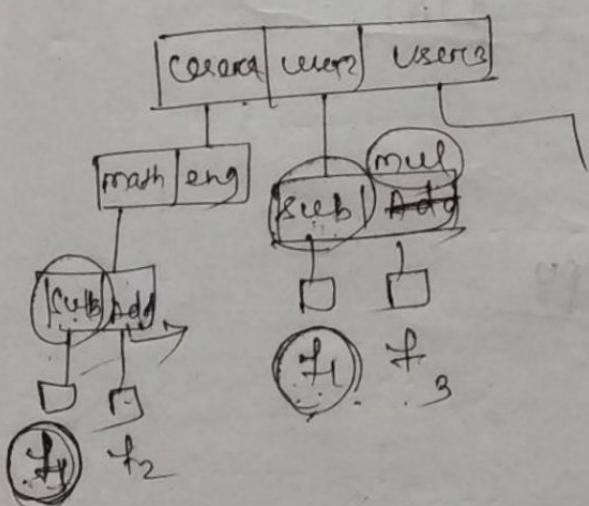


1000

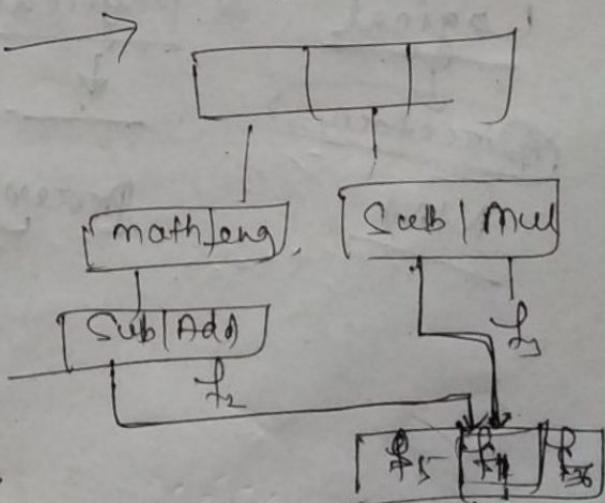
### User level



down



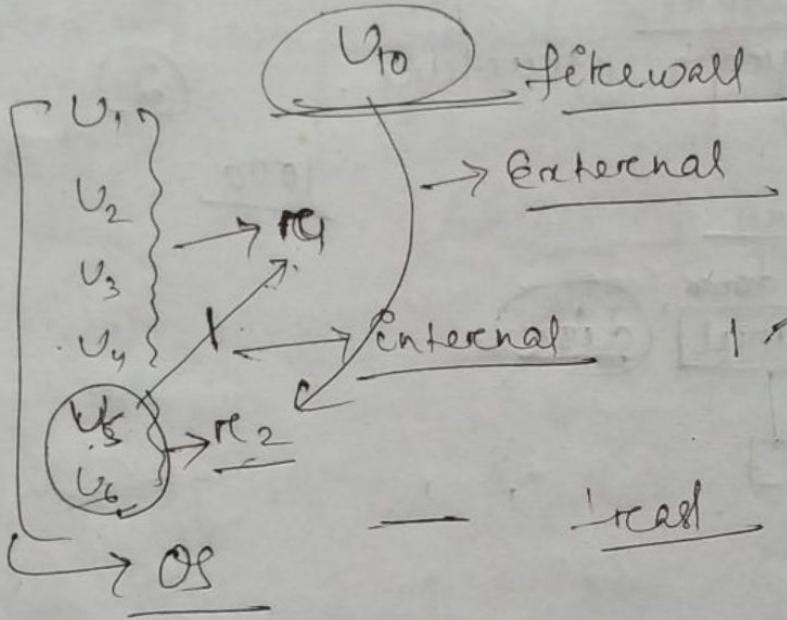
Acyclic



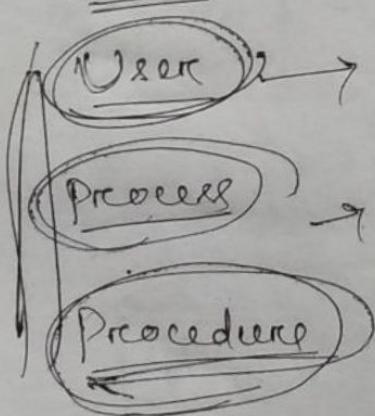
# Protection & Security

Internal

External



Domain



$U_1 \rightarrow r_1$   
 $U_2 \rightarrow r_2$   
 $U_3 \rightarrow r_3$   
 $P_1 \rightarrow r_1$   
 $U_1 \rightarrow r_1$   
 $U_2 \rightarrow r_2$

obj. spec. ( ) ;

LIA

Logical

Director

NN

& physical

Process  $\leftrightarrow$  CPU

