

# Minimum bases in permutation groups

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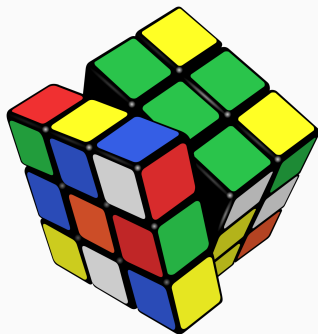
**Lawrence Chen**

October 24, 2022

**Honours presentation**

**Monash University**

Supervised by A/Prof. Heiko Dietrich and  
Dr Santiago Barrera Acevedo



# Contents

## Some basic group theory

- Permutations

- Permutation groups

- Group actions

- Orbits and stabilisers

## The Rubik's group

- Representing the cube and its operations

- The Rubik's group of permutations

- Orbits in the Rubik's group

- Transitive action on corners

## Bases and stabiliser chains

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- What is the size of the Rubik's group?

## Base sizes of primitive groups

- Affine groups

- Non-large base permutation groups

- Main result in thesis

*Aim:* analyse Blaha's 1992 paper on NP-completeness of min base problem, and recent results for primitive perm groups.

## Motivation: understanding the Rubik's cube

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*One answer:* using permutations and *computational group theory*!

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*One answer: using permutations and computational group theory!*

**(J. A. Paulos, Innumeracy)**

*Ideal Toy Company stated on the package of the original Rubik cube that there were **more than three billion** possible states the cube could attain. It's analogous to McDonald's proudly announcing that they've sold **more than 120** hamburgers.*

## Some basic group theory

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# Permutations

## **Definition (permutation)**

**Permutation** of  $\Omega$  is bijection  $g : \Omega \rightarrow \Omega$ .

**Symmetric group**  $\text{Sym}(\Omega)$  is set of permutations of  $\Omega$ .

(For  $\Omega = [n] := \{1, \dots, n\}$ , write  $\text{Sym}(n)$ .)

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$$\begin{array}{cccccc} & 1 & 2 & 3 & 4 & 5 & 6 \\ g & & & & & & \\ & 1 & 2 & 3 & 4 & 5 & 6 \end{array}$$

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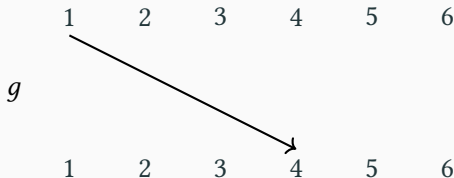
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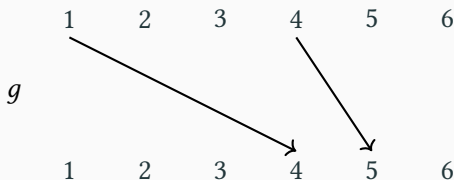
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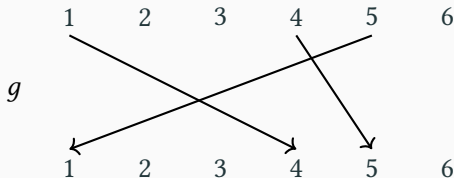
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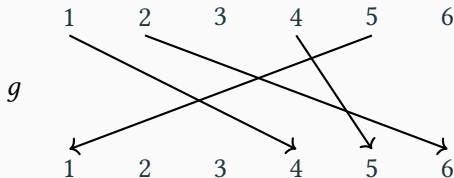
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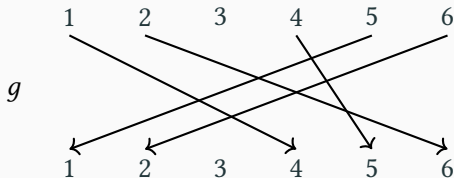
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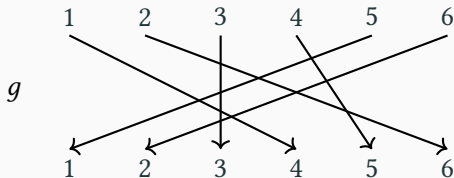
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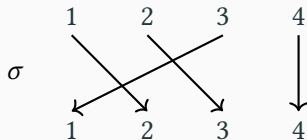
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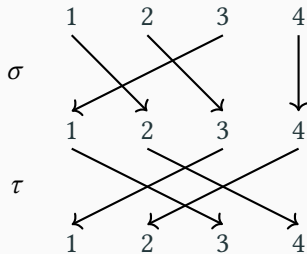
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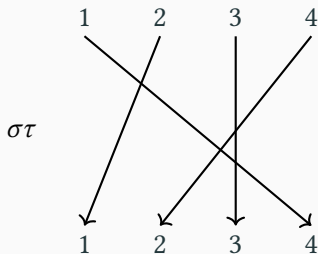
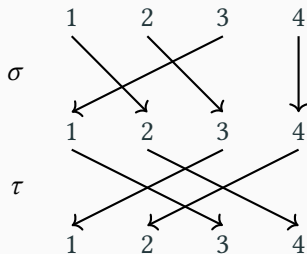
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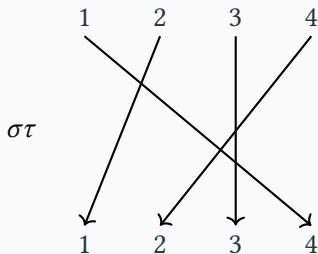
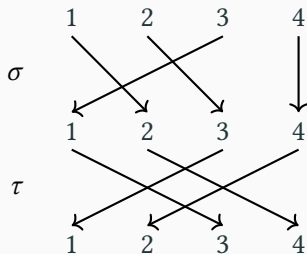
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*Note:* here,  $gh \neq hg$ , since  $1^{gh} = 4$  but  $1^{hg} = (1^h)^g = 3^g = 1$ . Identity  $1 = ()$  satisfies  $1g = g1 = g$  for  $g \in \text{Sym}(\Omega)$ .

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**Perm group** on  $\Omega$  (of deg  $n$ ) is subset  $G \leq \text{Sym}(\Omega)$  ( $|\Omega| = n$ ) s.t.

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Set  $X$  **generates**  $G$  if every  $g \in G$  is  $g = x_1^{\varepsilon_1} \cdots x_r^{\varepsilon_r}$  for some  $r \in \mathbb{N}$ ,  $x_i \in X$  **generators**,  $\varepsilon_i \in \{\pm 1\}$ ; write  $G = \langle X \rangle$ .

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## Example (dihedral group)

Let  $r = (1, 2, 3, 4), s = (1, 4)(2, 3) \in \text{Sym}(4)$ . **Dihedral group** is  $D_8 := \langle r, s \rangle = \{1, r, r^2, r^3, s, sr, sr^2, sr^3\}$  (e.g.  $srs^{-1}r^2 = r$ ), “*symmetries of square*”.

## Definition (group action)

For  $G \leq \text{Sym}(\Omega)$  and  $\mathcal{S} \neq \emptyset$ , a  **$G$ -action** is map  $\mathcal{S} \times G \rightarrow \mathcal{S}$ ,  
 $(\alpha, g) \mapsto \alpha^g$  s.t.  $\alpha^1 = \alpha$  and  $\alpha^{gh} = (\alpha^g)^h$  for  $\alpha \in \mathcal{S}$  and  $g, h \in G$ .

**Degree** of action is  $|\mathcal{S}|$ .

*Idea:*  $\alpha \in \mathcal{S}$  is *state*, apply *move*  $g \in G$  to get state  $\alpha^g \in \mathcal{S}$ , in way that respects permutation product.

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## Example (natural action)

$G \leq \text{Sym}(\Omega)$  acts on  $\mathcal{S} = \Omega$  by  $\alpha^g := \alpha^g$  (image) for  $\alpha \in \Omega$ ,  $g \in G$ .

### Example (dihedral group)

Recall  $D_8 = \langle r, s \rangle = \{1, r, r^2, r^3, s, sr, sr^2, sr^3\}$  acts naturally on  $[4]$ .

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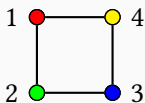
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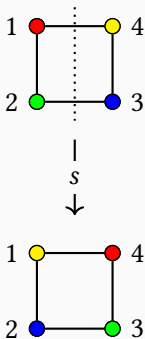


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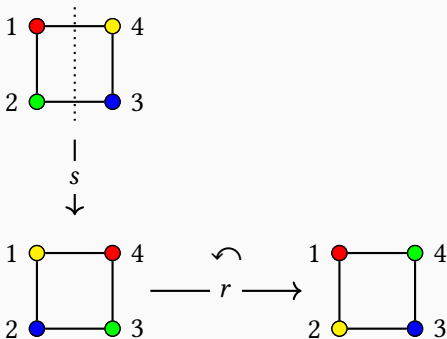


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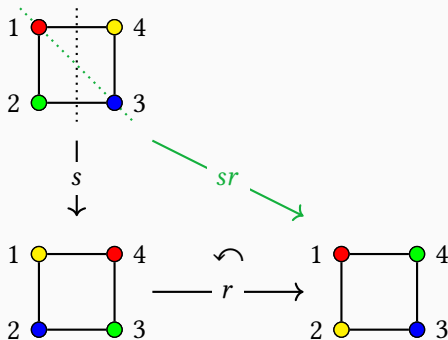


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## Definition (orbit)

If  $G$  acts on  $\mathcal{S}$ , then **orbit** of  $\alpha \in \mathcal{S}$  is  $\alpha^G := \{\alpha^g : g \in G\}$ .

*Idea:* states  $\alpha^g \in \mathcal{S}$  reachable from fixed  $\alpha \in \mathcal{S}$  by moves  $g \in G$ .

One orbit only: **transitive** action.

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## Definition (stabiliser)

If  $G$  acts on  $\mathcal{S}$ , then **stabiliser** of  $\alpha \in \mathcal{S}$  is  $G_\alpha := \{g \in G : \alpha^g = \alpha\}$ .

*Idea:* moves  $g \in G$  that fix given  $\alpha \in \mathcal{S}$ .

## Orbits and stabilisers (ii)

Orbit  $\alpha^G$ : states  $\alpha^g \in \mathcal{S}$  reachable from fixed  $\alpha$  by moves  $g \in G$ .

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Orbit of 1:  $1^1 = 1$ ,  $1^r = 2$ ,  $1^{r^2} = 3$ ,  $1^{r^3} = 4$ , so  $1^G = [4]$  (*transitive*).

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Stabiliser of 1:  $sr = (2, 4)$ ,  $sr^2 = (1, 2)(3, 4)$ ,  $sr^3 = (1, 3)$ , so  $G_1 = \{(), (2, 4)\} = \{1, sr\}$ .

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*Note:*  $|1^G||G_1| = 4 \cdot 2 = 8 = |G|$ . Coincidence?



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*Note:*  $|1^G||G_1| = 4 \cdot 2 = 8 = |G|$ . Coincidence?

### Theorem (orbit-stabiliser)

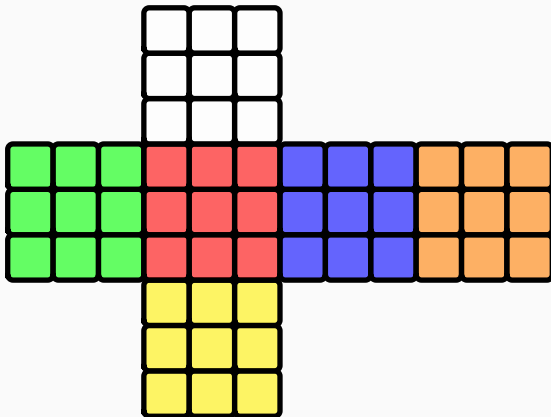
If  $G$  acts on  $\mathcal{S}$ , then for  $\alpha \in \mathcal{S}$ ,  $|\alpha^G||G_\alpha| = |G|$ .

## The Rubik's group

---

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Rubik's cube has 6 faces, each with  $3 \times 3$  small *stickers*.



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In **solved state 1**, label stickers (except each centre) using [48]:

			1	2	3							
			4	U	5							
			6	7	8							
9	10	11	17	18	19	25	26	27	33	34	35	
12	L	13	20	F	21	28	R	29	36	B	37	
14	15	16	22	23	24	30	31	32	38	39	40	
			41	42	43							
			44	D	45							
			46	47	48							

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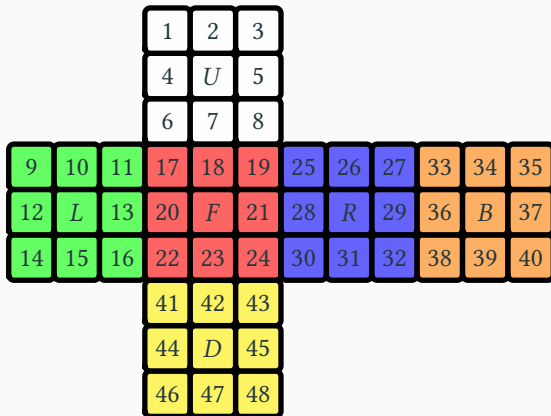
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6 **generators** (moves in CC):  $U, L, F, R, B, D$  (rot. clockwise).

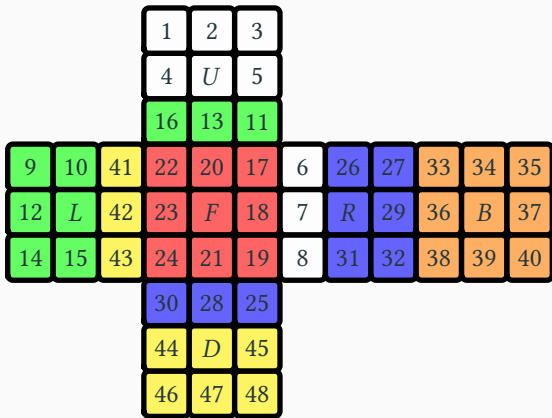
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From *solved state 1*, consider  $F$  which rotates front face clockwise:



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From *solved state* 1, consider  $F$  which rotates front face clockwise:



$$F = (17, 19, 24, 22)(18, 21, 23, 20)(6, 25, 43, 16)$$

$$(7, 28, 42, 13)(8, 30, 41, 11) \in \text{Sym}(48).$$

# The Rubik's group of permutations

Generators as permutations of labels [48]:

- $U = (1, 3, 8, 6)(2, 5, 7, 4)(9, 33, 25, 17)(10, 34, 26, 18)(11, 35, 27, 19)$
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**Definition (Rubik's group)**

$\mathcal{G} = \langle U, L, F, R, B, D \rangle \leq \text{Sym}(48)$  is permutation group of degree 48, called **Rubik's group**.

Clearly  $\mathcal{G}$  is finite, but what is  $|\mathcal{G}|$ ?

## The Rubik's group of permutations (ii)

GAP code to define generators and  $\mathcal{G} = \langle U, L, F, R, B, D \rangle$  (as G):

```
1 U := ( 1, 3, 8, 6)( 2, 5, 7, 4)( 9,33,25,17)(10,34,26,18)
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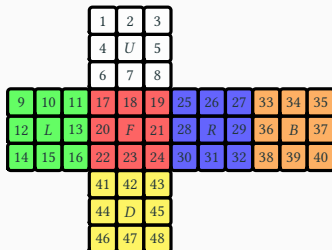
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Order cmd:  $|\mathcal{G}| = 43\,252\,003\,274\,489\,856\,000 \approx 4.3 \cdot 10^{19}$ . *How?*

## Orbits in the Rubik's group



```
1 gap> Orbit( G, 1 );
2 [ 1, 6, 40, 27, 8, 35, 16, 41, 32, 25, 48, 3, 11, 24, 46, 33, 43, 17, 30,
   14, 19, 9, 22, 38 ]
3 gap> Orbit( G, 2 );
4 [ 2, 5, 12, 7, 36, 10, 47, 4, 28, 45, 34, 13, 29, 44, 20, 42, 26, 21, 37,
   15, 31, 18, 23, 39 ]
```

Two  $\mathcal{G}$ -orbits: corner stickers  $1^{\mathcal{G}}$ , edge stickers  $2^{\mathcal{G}}$ .

### Definition (block)

If  $G$  acts transitively on  $\mathcal{S}$  and  $\Delta \subseteq \mathcal{S}$ , let  $\Delta^g := \{\alpha^g : \alpha \in \Delta\}$ .

A **block** is  $\Delta \subseteq \mathcal{S}$  with  $\Delta^g = \Delta$  or  $\Delta^g \cap \Delta = \emptyset$  for all  $g \in G$ .



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*Examples of blocks:* singletons,  $\mathcal{S}$ , orbits.

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For block  $\Delta$ , define **block system**  $\Sigma = \{\Delta^g : g \in G\}$  (partitions  $\mathcal{S}$ ); then  $G$  acts on  $\Sigma$ ; if  $\Delta$  is *maximal*, then acts primitively.

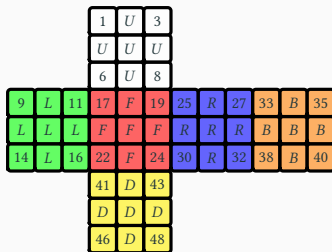
## Transitive action on corners (ii)

$\mathcal{G}$  acts transitively on corner stickers  $1^{\mathcal{G}}$ . In this action:

[illegible]

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$$\Sigma = \underbrace{\{\{1, 35, 9\}, \{6, 11, 17\}\}}_{UBL}, \underbrace{\{\{40, 46, 14\}, \{27, 3, 33\}\}}_{RUB},$$

$$\underbrace{\{\{8, 25, 19\}, \{16, 41, 22\}\}}_{URF}, \underbrace{\{\{32, 48, 38\}, \{24, 43, 30\}\}}_{FDR},$$

is block system for *maximal* block  $\{8, 25, 19\}$  (*URF* corner); corner stickers stay together.

## Transitive action on corners (iii)

			1	U	3						
			U	U	U						
			6	U	8						
9	L	11	17	F	19	25	R	27	33	B	35
L	L	L	F	F	F	R	R	R	B	B	B
14	L	16	22	F	24	30	R	32	38	B	40
			41	D	43						
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$$F \mapsto (\underbrace{FUL}_{FDL^F}, \underbrace{FUR}_{FUL^F}, \underbrace{FDR}_{FUR^F}, \underbrace{FDL}_{FDR^F}) \in \text{Sym}(\Sigma).$$

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$\mathcal{G}$  induces every perm of  $\Sigma$  (so  $\text{Sym}(8)$  “is” *primitive* quotient of  $\mathcal{G}$ ).



## Bases and stabiliser chains

---

## Definition (Base, stabiliser chain)

If  $G \leq \text{Sym}(\Omega)$ , distinct elts  $B = [\beta_1, \dots, \beta_r] \subseteq \Omega$  is **base** for  $G$  if  $G_{\beta_1, \dots, \beta_r} = 1$ . (Recall:  $G_{\beta_1, \dots, \beta_r} = \{g \in G : \beta_1^g = \beta_1, \dots, \beta_r^g = \beta_r\}$ .)

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Corresponding **stabiliser chain** is

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Base  $B$  contains elts of  $\Omega$  such that only  $1 \in G$  fixes every  $\beta_i \in B$ .  
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## Theorem (Blaha, 1992)

*Problem of finding minimum base for  $G$  is NP-complete (if  $P \neq NP$ , then no polynomial time algorithm).*

### Example (Rubik's group)

Using BaseOfGroup cmd in GAP, base of  $\mathcal{G}$  of size 18 is

$$B = [1, 3, 6, 8, 2, 4, 5, 7, 12, 13, 14, 15, 16, 21, 23, 24, 29, 31].$$

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### Theorem

For Rubik's group  $\mathcal{G}$ ,  $b(\mathcal{G}) = 18$ .



Stabiliser chain implemented in GAP; useful in algorithms.

## Bases and stabiliser chains (iii)

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Let  $G = \langle X \rangle \leq \text{Sym}(\Omega)$  have base  $B$  and stabiliser chain

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Generate uniformly random element of  $G$ .

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(*Alternative: random product of generators in  $X$  — Markov chain; mixing time/distribution?*)

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### Problem (membership testing)

For  $g \in \text{Sym}(\Omega)$ , test if  $g \in G$ .

*(Application:*

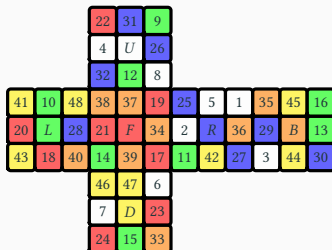
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(Application: check if restickering of Rubik's cube is valid state.)



# What is the size of the Rubik's group?

## Theorem (size of perm group)

If  $B = [\beta_1, \dots, \beta_r]$  is base for  $G \leq \text{Sym}(\Omega)$  with stabiliser chain  $G = G^0 \geq G^1 \geq \dots \geq G^r = 1$ , then

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Implementing base and stabiliser chain for Rubik's group  $\mathcal{G}$  (using `BaseOfGroup` and `StabChain` cmds), GAP computes:

# What is the size of the Rubik's group?

## Theorem (size of perm group)

If  $B = [\beta_1, \dots, \beta_r]$  is base for  $G \leq \text{Sym}(\Omega)$  with stabiliser chain  $G = G^0 \geq G^1 \geq \dots \geq G^r = 1$ , then

$$|G| = |\beta_1^{G^0}| |\beta_2^{G^1}| \cdots |\beta_r^{G^{r-1}}|.$$

Orbits and stabilisers can be easily computed (e.g. using GAP).

Implementing base and stabiliser chain for Rubik's group  $\mathcal{G}$  (using `BaseOfGroup` and `StabChain` cmds), GAP computes:

## Corollary

For Rubik's group  $\mathcal{G}$ ,  $|\mathcal{G}| = 43\,252\,003\,274\,489\,856\,000 \approx 4.3 \cdot 10^{19}$ .

## Base sizes of primitive groups

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Interested in  $q = 2$ , i.e. field  $\mathbb{F}_2 = \{0, 1\}$  with  $1 + 1 = 0$ ,  $1 \cdot 1 = 1$ , etc.

## Theorem (Liebeck, 1984)

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*Previous best (Babai, 1981):  $b(G) = O(\sqrt{n})$  if not containing  $\text{Alt}(n)$ .*

*“Remarkable” proof used classification of finite simple groups,  
O’Nan-Scott theorem (classifies primitive groups).*

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*For primitive perm group  $G$  of degree  $n$ , and  $G$  is non-large base:*

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## Non-large base permutation groups (ii)

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### Question (Moscatiello & Roney-Dougal, 2021)

Which primitive groups  $G \leq \text{Sym}(n)$  satisfy  $b(G) = \log n + 1$ ?

## Theorem

*Let  $G \leq \text{AGL}_d(2)$  be primitive for some  $d \leq 10$  with natural action on  $K^d$  with  $b(G) = d + 1$ . (Then  $G$  is perm group of degree  $n = 2^d$ .) Then*

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- (i)  $G$  is  $\text{AGL}_d(2)$  with  $d \geq 2$ , or*
- (ii)  $G$  is  $2^d : \text{Sp}_d(2) = \text{Sp}_d(2) \ltimes C_2^d$  with  $d \geq 4$  even.*

### Proof (idea).

- Find representatives  $M$  of conjugacy classes of primitive maximal subgroups of  $\text{AGL}_d(2)$ .

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- Otherwise, recursively check for each representative  $M$ .

Every primitive  $G \leq \text{AGL}_d(2)$  with  $b(G) = d + 1$  is found by process (plus perhaps false positives), up to conjugacy.  $\square$

Greedy base algorithm performed better than BaseOfGroup in testing; found no false positives.

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### Conjecture

Primitive group  $G \leq \text{Sym}(n)$  satisfies  $b(G) = \log n + 1$  iff:

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Primitive group  $G \leq \text{Sym}(n)$  satisfies  $b(G) = \log n + 1$  iff:

- $n = 2^d$  with  $d \geq 2$ , and  $G$  is  $\text{AGL}_d(2)$ ; or
- $n = 2^d$  with  $d \geq 4$ , and  $G$  is  $2^d : \text{Sp}_d(2)$ .

## Concluding remarks

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## References and resources

- Analyzing Rubik's cube with GAP:  
<https://www.gap-system.org/Doc/Examples/rubik.html>
- J. A. Paulos — *Innumeracy* (book)
- Holt — *Handbook of Computational Group Theory* (textbook)
- Dixon and Mortimer — *Permutation Groups* (textbook)
- Blaha — *Minimum bases for permutation groups: The greedy approximation*, 1992:  
[https://doi:10.1016/0196-6774\(92\)90020-D](https://doi:10.1016/0196-6774(92)90020-D)
- Liebeck — *On minimal degrees and base sizes of primitive permutation groups*, 1984: <https://doi.org/10.1007/bf01193603>
- Moscatiello and Roney-Dougall: *Base sizes of primitive permutation groups*, 2021: <https://doi.org/10.1007/s00605-021-01599-5>