

## Pattern/String Matching Algorithms

Given a text  $T[1..n]$  and a pattern  $P[1..m]$ , where  $m \leq n$ , the objective of the problem is to determine whether or not  $p$  occurs in  $T$  and return the position of  $P$  in  $T$ .

A pattern  $p$  occurs in  $T$  with a shift  $s$ , if  $0 \leq s \leq n-m$  and  $T[s+1..s+m] = P[1..m]$ . This means  $T[s+j] = P[j]$  for all  $j = 1$  to  $m$ . A shift  $s$  is called a valid shift, if  $P$  occurs in  $T$  with shift  $s$ .

### Naive String matching algorithm / Brute Force

This algorithm finds a valid shift that checks  $P[1..m] = T[s+1..s+m]$  for each  $(n-m+1)$  possible values of shift  $s$ .

NaiveStringnMatcher( $T, P$ )

1.  $n = \text{length}(T)$
2.  $m = \text{length}(P)$
3. for  $s = 0$  to  $n-m$
4.      $j = 1$
5.     While  $j \leq m$  and  $T[s+j] = P[j]$
6.          $j = j + 1$
7.     If  $j = m+1$
8.         Print "Pattern occurs in Text with a shift",  $s$

Analysis:

In worst case, Inner while loop takes  $O(m)$  times comparing characters in  $P$  and  $T$ . As there are  $n-m+1$  values for shift for which the characters in  $T$  and  $P$  matched, the algorithm runs in  $O((n-m+1)m)$  time.

*Notes: Running time is  $O(n^2)$ , if  $T = a^n$  and  $b = a^m$  and  $m = \lfloor n/2 \rfloor$*

## Rabin-Karp Algorithm

This algorithm assumes each character as a decimal digit. So a string of  $k$  consecutive characters can be viewed as a decimal number of length  $k$ .

Given a pattern  $P[1 \dots m]$ ,  $p$  denotes its corresponding decimal value and for a text  $T[1 \dots n]$ ,  $t_s$  denotes decimal value of  $m$ -length substring  $T[s+1 \dots s+m]$  for  $s = 0$  to  $n-m$ . We can say  $t_s = p$  if  $T[s+1 \dots s+m] = P[1 \dots m]$ . Thus  $s$  is a valid shift.

As the length of the pattern may be large that cannot be fit into a single variable, we can use modulo arithmetic concepts to reduce the size of a number. In this a prime number  $q$  can be used to obtain the reduced value of the number.

Example:

$T =$ 

3	2	4	3	9	8	1	2	2	1	3	6	1	7	8	3
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

 $n = 16$   
 $P =$ 

2	2	1	3
---	---	---	---

 $m = 4$

**Solution:**

$$p = 2213$$

$$t_0 = 3243$$

Let take a prime number  $q = 13$  and find  $p \% q$  and  $t_0 \% q$ .

$$p = 2213 \% 13 = 3$$

$$t_0 = 3243 \% 13 = 6$$

$$t_1 = 2439 \% 13 = 8$$

$$t_2 = 4398 \% 13 = 4$$

$$t_3 = 3981 \% 13 = 3 \quad \leftarrow \text{Match found but } t_3 \neq p. \text{ So a } \textbf{spurious Hit}$$

$$t_4 = 9812 \% 13 = 10$$

$$t_5 = 8122 \% 13 = 10$$

$$t_6 = 1221 \% 13 = 12$$

$$t_7 = 2213 \% 13 = 3 \quad \leftarrow \text{Match found. } t_7 = p. \text{ So } s \text{ is a valid shift}$$

$$t_8 = 2136 \% 13 = 4$$

$$t_9 = 1361 \% 13 =$$

$$t_{10} = 3617 \% 13 =$$

$$t_{11} = 6178 \% 13 =$$

$$t_{12} = 1783 \% 13 =$$

If a match is found at any  $t_s$ , then check for  $t_s = p$  by applying brute force approach. If they are matched, then  $P$  occurs in  $T$  with shift ' $s$ '. If they are not matched (i.e.  $t_s \neq p$ ), it is called **spurious hit**.

```
Rabin_Karp_matcher(T, P, d, q)
```

```
// Given Text T, pattern P, radix of the number d and q is a prime number greater than d.
```

```
1. n = length(T)
2. m = length(P)
3. h =  $d^{m-1} \bmod q$ 
4. p = 0
5.  $t_0 = 0$ 
6. for i = 1 to m
7.     p = (d*p + P[i]) mod q
8.     p = (d*t0 + T[i]) mod q
9. for s = 0 to n-m
10.    If p = ts
11.        If P[1..m] = T[s+1..s+m]
12.            Print " pattern occurs with shift", s
13.    If s < n-m
14.         $T_{s+1} = (d*(t_s - h*T[s+1]) + T[s+1+m]) \bmod q$ 
```

**Analysis:** Processing time in line-6 runs in  $\Theta(m)$  time to compute p and  $t_0$ . To compute remaining values of t (i.e.  $t_1, t_2, \dots$ ), a constant time is required for each t. and altogether for (n-m) shift,  $\Theta(n-m)$  time is required.

Verifying p and  $t_s$  in line-11 requires  $\Theta(m)$  time in worst case. So in worst case if  $\Theta(n-m+1)$  spurious hit is occurred, then all verification requires  $\Theta((n-m+1)m)$  time.

Total running time of the

algorithm is  $\Theta(m) + \Theta((n-m+1)m) = \Theta((n-m+1)m)$

## Knuth-Morris-Pratt algorithm for pattern matching

This algorithm was published by Donald Knuth, Vaughan Pratt and James H Morris in 1977. KMP algorithm was the first linear time complexity algorithm for string matching.

The objective of KMP algorithm is to find a pattern (P) in a Text (T).

The algorithm compares characters of P and T from left to right. However, whenever a mismatch occurs, it uses a preprocessed table called "Prefix Table" to skip characters comparison while matching. The prefix table is also known as LPS Table. LPS stands for "Longest proper Prefix which is also Suffix".

### Prefix table/LPS Table.

Given a string S of length n, the prefix function for the string is defined as an array LPS of length n, where  $LPS[i]$  is the length of the longest proper prefix of the substring  $S[1..i]$  which is also a suffix of this string.

Example

P	a	b	a	b	a	c	a
LPS	0	0	1	2	3	0	1

P	a	b	c	a	b	c	d
LPS	0	0	0	1	2	3	0

ComputeLPS (P,m)

```
1. len = 0
2. LPS[1] = 0
3. i = 2
4. while i ≤ m
5.     if p[i] = p[len+1]
6.         len = len+1
7.         LPS[i] = len
8.         i = i + 1
9.     else if len != 0
10.        len = LPS[len]
11.        else, LPS[i] = 0
12.        i = i + 1
13. return LPS
```

**Analysis:** Construction of LPS table is **preprocessing**. For a pattern of length m, algorithm computes an array of length m. While loop in line number-4 runs in  $O(m)$  times.

### Matching with LPS Table.

Given a text  $T[1...n]$  and pattern  $P[1...m]$ , compare the characters of  $P$  and  $T$  for  $i = 1$  to  $n$ . When a mismatch occurs, check the value of  $LPS[i-1]$ .

If it is 0, then start comparing the first character of  $P$  with next character of  $T$ .

If it is not 0, start comparing the characters at the index value equal to the  $LPS$  value of previous character of the mismatched character in  $P$  with the mismatched character in  $T$ .

When there is no mismatch, then continue comparing till the length of  $P$ , and output the starting character of  $T$  from which there is no mismatch.

`KMPMatcher(P, T)`

```
1. n = length(T)
2. m = length(P)
3. LPS = computeLPS(P, m)
4. i = 1
5. j = 0
5. while i ≤ n
6.   if p[j+1] = T[i]
7.     i = i + 1
8.     j = j + 1
9.     if j = m
10.      print (i-j)
11.      j = LPS[j]
12.   else if j > 0
14.     j = LPS[j]
15.   else
16.     i = i + 1
```

### Analysis:

Algorithm takes  $O(m)$  for preprocessing in line-3. Then for matching, each  $i^{\text{th}}$  character of the text is compared with characters of pattern exactly once except some rare cases. Even in the rare case, the index  $i$  never decrements. So the algorithm runs from  $i = 1$  to the complete length of the text which is  $O(n)$  times. Total running time =  $O(m) + O(n) = \mathbf{O(n)}$