# DUTIES OF LEADERS AND FOLLOWERS

#### INTRODUCTION

The readings in this section are about duty. Duties are the things we are morally obliged to do. Some general duties include the duty to tell the truth or the duty to protect human life. The idea of duty can be found in most cul.

One of the greatest exponents of the moral imperative of duty is the philosopher Immanuel Kant. Kant's ethical theory emphasizes the importance of acting on principle or doing something because it is the right thing to do repardless of the consequences. This can be an especially difficult way of thinking about morality for people in leadership positions. Sometimes doing much duty requires moral courage, because the outcome of the action will anger others and maybe harm the agent. A manager may get fired because he complains about the safety of the company products. A politician may lose an election because she thinks it's irresponsible to give tax cuts.

The best way to understand the moral dynamics of duties is to look at a number of cases studies. The case study "Innocent or Guilty?" is about a number's duties to various stakeholders. The case "On Women and Girls" is less dramatic than the first, but it helps us think about what it means to treat prophe with respect or as "ends in themselves." There is also a case study about the Nazi war criminal, Adolph Eichmann. Eichmann claimed that he was atting on his Kantian duty and when he followed Hitler's orders to deport and then exterminate the Jews. This short selection from Hannah Arendfi book Eichmann in Jerusalem not only illustrates a gross misinterpretation of Kant, it also shows how dangerous a mindless notion of duty can be. The Eichmann case raises provocative questions about the moral obligations of followers and their responsibility for the actions of their leaders.

The reading "Moral Luck" provides us with an interesting way to think about free will and our ability to determine the outcome of our actions.

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95

Bernard Williams argues that morality is not immune from luck. The case study "Is A Lie Always a Lie?" makes us think about whether we have duty to tell the truth even when we think we will be lucky enough to make our lies come true. As we saw in the story of David and Bathsheba, leaders are often tempted to lie because they believe they either won't get caught, or they can cover up their lies.

The last reading in this section is from Confucius's Analects. Confucius's ethics, while different in approach from Kant's, are also based on duty. The primary duty that all people have is respect for and obedience to their parents. However, Confucius also talks about the duties we have in the various roles we play in life and the relationships we have to others in various contexts.

The readings in this section are not only about duties, but the fact that moral decisions are often made by fallible people with incomplete information and the inability to control all the variables that affect outcomes. As you read the following essays, reflect on the following questions: Would leaders be ethical if they always did their duty as described by Kant? As described by Confucius? What makes doing one's duty different from following rules? Does it really matter if leaders do something good for their constituents or community, not because it is the right thing to do but because it will make them look good? Does morality in leadership really require a good will?

# Good Will, Duty and the Categorical Imperative

Immanuel Kant, 1724-1804

Immanuel Kant was born in Königsberg, Prussia. He taught at the University of Königsberg, where he was a popular teacher. As you will see in the following selections from The Grounding for the Metaphysics of Morals, Kant argues that the supreme principle of morality is based on reason, not religious revelation. This book, written in 1785, brought him in conflict with the religious views of the government of Prussia. In 1792 King Frederick William II barred Kant from teaching or writing on religious subjects. Kant obeyed, but began writing again five years after the death of the king.

Kant's work in ethics is important for a number of reasons. On a practical level, Kant recast the basic ethical principles found in the Judeo-Christian religions into a secular form. For example, you will notice that his categorical imperative is a more detailed rendering of the golden rule. By making ethics secular, Kant created a moral system that was open to everyone capable of reason,

regardless of their religious beliefs. The bedrock of his system was respect for the dignity of all human beings.

Kant's theory offers a very strict view of morality, one that captures the idea of "acting on principle" or doing something simply because it is the right thing to do. In Kant we see the conflict between duty and self-interest. Hypothetical imperatives are those that say an act is good because it attains a particular end. However, Kant says the morality of an act depends on a person's intentions (a good will), not the result of the act. This is because we often don't know how things will turn out. A moral act is one that is done for the right reasons, even if it has bad consequences. Sometimes doing one's duty does not make you or other people happy. Unlike Aristotle, Kant doesn't believe that leading a morally good life will make you happy, because he doesn't think we can tell in advance what will make us happy. Kant's theory reminds us that taking moral action doesn't always have a happy ending. So, for Kant, being ethical often burts.

Some aspects of Kant's theory are particularly useful to think about in relation to leadership. First, his theory emphasizes the fact that no one is an exception to moral laws. Second, his theory makes us think about situations in which leaders must act on strongly held beliefs or duty duties that are not in their own interest. (Not all choices are win-win.) Third, he says that we should never use people. And finally, he says that everyone, no matter who they are, should be both the legislator and the follower of moral laws in what Kant calls "the kingdom of ends."

## First Section The Only Unqualified Good Is a Good Will

There is no possibility of thinking of anything at all in the world, or even out of it, which can be regarded as good without qualification, except a good will. Intelligence, wit, judgment, and whatever talents of the mind one might want to name are doubtless in many respects good and desirable, as are such qualities of temperament as courage, resolution, perseverance. But they can also become extremely bad and harmful if the will, which is to make use of these gifts of nature and which in its special constitution is called charactor, is not good. The same holds with gifts of fortune; power, riches, honor, even health, and that complete well-being and contentment with one's condition which is called happiness make for pride and often hereby even arrogames, unless there is a good will to correct their influence on the mind and herewith also to rectify the whole principle of action and make it universally conformable to its end. The sight of a being who is not graced by any touch of a pure and good will but who yet enjoys an uninterrupted prosperity can never delight a rational and impartial spectator. Thus a good will seems to constitute the indispensable condition of being even worthy of happiness.

A good will is good not because of what it effects or accomplishes, nor because of its fitness to attain some proposed end; it is good only through its willing, i.e., it is good in itself. When it is considered in itself, then it is to be entermed very much higher than anything which it might ever bring about

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merely in order to favor some inclination, or even the sum total of all inclinations. Even if, by some especially unfortunate fate or by the niggardly provision of stepmotherly nature, this will should be wholly lacking in the power to accomplish its purpose; if with the greatest effort it should yet achieve nothing, and only the good will should remain (not, to be sure, as a mere wish but as the summoning of all the means in our power), yet would it, like a jewel, still shine by its own light as something which has its full value in itself. Its usefulness or fruitlessness can neither augment nor diminish this value. Its usefulness would be, as it were, only the setting to enable us to handle it in ordinary dealings or to attract to it the attention of those who are not yet experts, but not to recommend it to real experts or to determine its value.

But there is something so strange in this idea of the absolute value of a mere will, in which no account is taken of any useful results, that in spite of all the agreement received even from ordinary reason, yet there must arise the suspicion that such an idea may perhaps have as its hidden basis merely some high-flown fancy, and that we may have misunderstood the purpose of nature in assigning to reason the governing of our will. Therefore, this idea will be examined from this point of view.

# The Highest Function of Reason Is to Establish a Good Will, Not Happiness

In the natural constitution of an organized being, i.e., one suitably adapted to the purpose of life, let us take as a principle that in such a being no organ is to be found for any end unless it be the most fit and the best adapted for that end. Now if that being's preservation, welfare, or in a word its happiness, were the real end of nature in the case of a being having reason and will, then nature would have hit upon a very poor arrangement in having the reason of the creature carry out this purpose. For all the actions which such a creature has to perform with this purpose in view, and the whole rule of his conduct would have been prescribed much more exactly by instinct; and the purpose in question could have been attained much more certainly by instinct than it ever can be by reason. And if in addition reason had been imparted to this favored creature, then it would have had to serve him only to contemplate the happy constitution of his nature, to admire that nature, to rejoice in it, and to feel grateful to the cause that bestowed it; but reason would not have served him to subject his faculty of desire to its weak and delusive guidance nor would it have served him to meddle incompetently with the purpose of nature. In a word, nature would have taken care that reason did not strike out into a practical use nor presume, with its weak insight, to think out for itself a plan for happiness and the means for attaining it. Nature would have taken upon herself not only the choice of ends but also that of the means, and would with wise foresight have entrusted both to instinct alone.

And, in fact, we find that the more a cultivated reason devotes itself to the aim of enjoying life and happiness, the further does man get away from true contentment. Because of this there arises in many persons, if only they are candid enough to admit it, a certain degree of misology, i.e., hatred of ceason. This is especially so in the case of those who are the most experienced in the use of reason, because after calculating all the advantages they derive, I say not from the invention of all the arts of common luxury, but even from the sciences (which in the end seem to them to be also a luxury of the understanding), they yet find that they have in fact only brought more trouble on their heads than they have gained in happiness. Therefore, they come to envy, rather than despise, the more common run of men who are closer to the guidance of mere natural instinct and who do not allow their reason much influence on their conduct. And we must admit that the judgment of those who would temper, or even reduce below zero, the boastful eulogies on behalf of the advantages which reason is supposed to provide as regards the happiness and contentment of life is by no means morose or ungrateful to the goodness with which the world is governed. There lies at the root of such judgments, rather, the idea that existence has another and much more worthy purpose, for which, and not for happiness, reason is quite properly intended, and which must, therefore, be regarded as the supreme condition to which the private purpose of men must, for the most part, defer.

Reason, however, is not competent enough to guide the will safely as regards its objects and the satisfaction of all our needs (which it in part even multiplies); to this end would an implanted natural instinct have led much more certainly. But inasmuch as reason has been imparted to us as a practical faculty, i.e., as one which is to have influence on the will, its true function must ls- to produce a will which is not merely good as a means to some further end, but is good in itself. To produce a will good in itself reason was absolutely necessary, inasmuch as nature in distributing her capacities has everywhere gone to work in a purposive manner. While such a will may not indeed be the sole and complete good, it must, nevertheless, be the highest good and the condition of all the rest, even of the desire for happiness. In this case there is nothing inconsistent with the wisdom of nature that the cultivation of reason, which is requisite for the first and unconditioned purpose, may in many ways restrict, at least in this life, the attainment of the second purpose, viz., happinexs, which is always conditioned. Indeed happiness can even be reduced to less than nothing, without nature's failing thereby in her purpose; for reason recognizes as its highest practical function the establishment of a good will, whereby in the attainment of this end reason is capable only of its own kind of satisfaction, viz., that of fulfilling a purpose which is in turn determined only by reason, even though such fulfillment were often to interfere with the purposes of inclination.

## First Proposition: Only an Act Done from Duty Has Moral Worth

The concept of a will estimable in itself and good without regard to any further end must now be developed. This concept already dwells in the natural sound understanding and needs not so much to be taught as merely to be elucidated. It always holds first place in estimating the total worth of our actions and constitutes the condition of all the rest. Therefore, we shall take up the concept of duty, which includes that of a good will, though with certain subjective restrictions and hindrances, which far from hiding a good will or rendering it unrecognizable, rather bring it out by contrast and make it shine forth more brightly.

I here omit all actions already recognized as contrary to duty, even though they may be useful for this or that end; for in the case of these the question does not arise at all as to whether they might be done from duty, since they even conflict with duty. I also set aside those actions which are really in accordance with duty, yet to which men have no immediate inclination, but perform them because they are impelled thereto by some other inclination. For in this [second] case to decide whether the action which is in accord with duty has been done from duty or from some selfish purpose is easy. This difference is far more difficult to note in the [third] case where the action accords with duty and the subject has in addition an immediate inclination to do the action. For example, that a dealer should not overcharge an inexperienced purchaser certainly accords with duty; and where there is much commerce, the prudent merchant does not overcharge but keeps to a fixed price for everyone in general, so that a child may buy from him just as well as everyone else may. Thus customers are honestly served, but this is not nearly enough for making us believe that the merchant has acted this way from duty and from principles of honesty; his own advantage required him to do it. He cannot, however, be assumed to have in addition [as in the third case] an immediate inclination toward his buyers, causing him, as it were, out of love to give no one as far as price is concerned any advantage over another. Hence the action was done neither from duty nor from immediate inclination, but merely for a selfish purpose.

On the other hand, to preserve one's life is a duty; and, furthermore, everyone has also an immediate inclination to do so. But on this account the often anxious care taken by most men for it has no intrinsic worth, and the maxim of their action has no moral content. They preserve their lives, to be sure, in accordance with duty, but not from duty. On the other hand, if adversity and hopeless sorrow have completely taken away the taste for life, if an unfortunate man, strong in soul and more indignant at his fate than despondent or dejected, wishes for death and yet preserves his life without loving it not from inclination or fear, but from duty-then his maxim indeed has a

moral content.

To be beneficent where one can is a duty; and besides this, there are many persons who are so sympathetically constituted that, without any further motive of vanity or self-interest, they find an inner pleasure in spreading joy around them and can rejoice in the satisfaction of others as their own work. But I maintain that in such a case an action of this kind, however dutiful and amiable it may be, has nevertheless no true moral worth. It is on a level with such actions as arise from other inclinations, e.g., the inclination for honor, which if fortunately directed to what is in fact beneficial and accords with duty and is thus honorable, deserves praise and encouragement, but not esteem; for its maxim lacks the moral content of an action done not from inclination but from duty. Suppose then the mind of this friend of mankind to be clouded over with his own sorrow so that all sympathy with the lot of others is extinguished, and suppose him still to have the power to benefit others in distress, even though he is not touched by their trouble because he is sufficiently absorbed with his own; and now suppose that, even though no inclination moves him any longer, he nevertheless tears himself from this deadly insensibility and performs the action without any inclination at all, but solely from duty-then for the first time his action has genuine moral worth. Further still, if nature has put little sympathy in this or that man's heart, if (while being an honest man in other respects) he is by temperament cold and indifferent to the sufferings of others, perhaps because as regards his own sufferings he is endowed with the special gift of patience and fortitude and expects or even requires that others should have the same; if such a man (who would truly not be nature's worst product) had not been exactly fashioned by her to be a philanthropist, would he not yet find in himself a source from which he might give himself a worth far higher than any that a good-natured temperament might have? By all means, because just here does the worth of the character come out; this worth is moral and incomparably the highest of all, viz., that he is beneficent, not from inclination, but from duty.

To secure one's own happiness is a duty (at least indirectly); for discontent with one's condition under many pressing cares and amid unsatisfied wants might easily become a great temptation to transgress one's duties. But here also do men of themselves already have, irrespective of duty, the strongest and deepest inclination toward happiness, because just in this idea are all inclinations combined into a sum total. But the precept of happiness is often so constituted as greatly to interfere with some inclinations, and yet men cannot form any definite and certain concept of the sum of satisfaction of all inclinations that is called happiness. Hence there is no wonder that a single inclination which is determinate both as to what it promises and as to the time within which it can be satisfied may outweigh a fluctuating idea; and there is no wonder that a man, e.g., a gouty patient, can choose to enjoy what he likes and to suffer what he may, since by his calculation he has here at least not sacnificed the enjoyment of the present moment to some possibly groundless

CHAPTER 3

101

expectations of the good fortune that is supposed to be found in health. But even in this case, if the universal inclination to happiness did not determine his will and if health, at least for him, did not figure as so necessary an element in his calculations; there still remains here, as in all other cases, a law, viz., that he should promote his happiness not from inclination but from duty, and thereby for the first time does his conduct have real moral worth.

Undoubtedly in this way also are to be understood those passages of Scripture which command us to love our neighbor and even our enemy. For love as an inclination cannot be commanded; but beneficence from duty, when no inclination impels us and even when a natural and unconquerable aversion opposes such beneficence, is practical, and not pathological, love. Such love resides in the will and not in the propensities of feeling, in principles of action and not in tender sympathy; and only this practical love can be commanded.

#### Second Proposition: The Moral Worth of an Act Is Not Based on the Consequences of the Act

The second proposition is this: An action done from duty has its moral worth, not in the purpose that is to be attained by it, but in the maxim according to which the action is determined, The moral worth depends, therefore, not on the realization of the object of the action, but merely on the principle of volition according to which, without regard to any objects of the faculty of desire, the action has been done. From what has gone before it is clear that the purposes which we may have in our actions, as well as their effects regarded as ends and incentives of the will, cannot give to actions any unconditioned and moral worth. Where, then, can this worth lie if it is not to be found in the will's relation to the expected effect? Nowhere but in the principle of the will, with no regard to the ends that can be brought about through such action. For the will stands, as it were, at a crossroads between its a priori principle, which is formal, and its a posteriori incentive, which is material; and since it must be determined by something, it must be determined by the formal principle of volition, if the action is done from duty-and in that case every material principle is taken away from it.

#### Third Proposition: The Moral Worth of an Act Comes from the Agent's Respect for the Law

The third proposition, which follows from the other two, can be expressed thus: Duty is the necessity of an action done out of respect for the law. I can indeed have an inclination for an object as the effect of my proposed action; but I can never have respect for such an object, just because it is merely an effect and is not an activity of the will. Similarly, I can have no respect for inclination as such, whether my own or that of another. I can at most, if my own inclination, approve it; and, if that of another, even love it, i.e., consider it to be favorable to my own advantage. An object of respect can only be what is connected with my will solely as ground and never as effect-something that does not serve my inclination but, rather, outweighs it, or at least excludes it from consideration when some choice is made—in other words, only the law itself can be an object of respect and hence can be a command. Now an action done from duty must altogether exclude the influence of inclination and therewith every object of the will. Hence there is nothing left which can determine the will except objectively the law and subjectively pure respect for this practical law, i.e., the will can be subjectively determined by the maxim that I should follow such a law even if all my inclinations are thereby thwarted.

Thus the moral worth of an action does not lie in the effect expected from it nor in any principle of action that needs to borrow its motive from this expected effect. For all these effects (agreeableness of one's condition and even the furtherance of other people's happiness) could have been brought about also through other causes and would not have required the will of a rational being, in which the highest and unconditioned good can alone be found. Therefore, the pre-eminent good which is called moral can consist in nothing but the representation of the law in itself, and such a representation can admittedly be found only in a rational being insofar as this representation, and not some expected effect, is the determining ground of the will. This good is already present in the person who acts according to this representation, and such good need not be awaited merely from the effect.

#### Second Section Hypothetical Imperatives: Acts Are Good for Some Actual or Possible Purpose

Every practical law represents a possible action as good and hence as necessary for a subject who is practically determinable by reason; therefore all imperatives are formulas for determining an action which is necessary according to the principle of a will that is good in some way. Now if the action would be good merely as a means to something else, so is the imperative hypothetical. But if the action is represented as good in itself, and hence as necessary in a will which of itself conforms to reason as the principle of the will, then the imperative is categorical.

An imperative thus says what action possible by me would be good, and it presents the practical rule in relation to a will which does not forthwith perform an action simply because it is good, partly because the subject does not always know that the action is good and partly because (even if he does know it is good) his maxims might yet be opposed to the objective principles of practical reason.

A hypothetical imperative thus says only that an action is good for some purpose, either possible or actual. In the first case it is a problematic practical

103

principle; in the second case an assertoric one. A categorical imperative, which declares an action to be of itself objectively necessary without reference to any purpose, i.e., without any other end, holds as an apodeictic practical principle.

Whatever is possible only through the powers of some rational being can be thought of as a possible purpose of some will. Consequently, there are in fact infinitely many principles of action insofar as they are represented as necessary for attaining a possible purpose achievable by them. All sciences have a practical part consisting of problems saying that some end is possible for us and of imperatives telling us how it can be attained. These can, therefore, be called in general imperatives of skill. Here there is no question at all whether the end is reasonable and good, but there is only a question as to what must be done to attain it. The prescriptions needed by a doctor in order to make his patient thoroughly healthy and by a poisoner in order to make sure of killing his victim are of equal value so far as each serves to bring about its purpose perfectly. Since there cannot be known in early youth what ends may be presented to us in the course of life, parents especially seek to have their children learn many different kinds of things, and they provide for skill in the use of means to all sorts of arbitrary ends, among which they cannot determine whether any one of them could in the future become an actual purpose for their ward, though there is always the possibility that he might adopt it. Their concern is so great that they commonly neglect to form and correct their children's judgment regarding the worth of things which might be chosen as ends.

#### The Categorical Imperative or Universality of Law

If I think of a hypothetical imperative in general, I do not know beforehand what it will contain until its condition is given. But if I think of a categorical imperative, I know immediately what it contains. Since, besides the law, the imperative contains only the necessity that this maxim should accord with this law, while the law contains no condition to restrict it, there remains nothing but the universality of a law as such with which the maxim of the action should conform. This conformity alone is properly what is represented as necessary by the imperative.

Hence there is only one categorical imperative and it is this: Act only according to that maxim whereby you can at the same time will that it should become a universal law.

Now if all imperatives of duty can be derived from this one imperative as their principle, then there can at least be shown what is understood by the concept of duty and what it means, even though there is left undecided whether what is called duty may not be an empty concept.

The universality of law according to which effects are produced constitutes what is properly called nature in the most general sense (as to form), i.e., the existence of things as far as determined by universal laws. Accordingly, the

universal imperative of duty may be expressed thus: Act as if the maxim of your action were to become through your will a universal law of nature.

We shall now enumerate some duties, following the usual division of them into duties to ourselves and to others and into perfect and imperfect duties.

- 1. A man reduced to despair by a series of misfortunes feels sick of life but is still so far in possession of his reason that he can ask himself whether taking his own life would not be contrary to his duty to himself. Now he asks whether the maxim of his action could become a universal law of nature. But his maxim is this: from self-love I make as my principle to shorten my life when its continued duration threatens more evil than it promises satisfaction. There only remains the question as to whether this principle of self-love can become a universal law of nature. One sees at once a contradiction in a system of nature whose law would destroy life by means of the very same feeling that acts so as to stimulate the furtherance of life, and hence there could be no existence as a system of nature. Therefore, such a maxim cannot possibly hold as a universal law of nature and is, consequently, wholly opposed to the supreme principle of all duty.
- 2. Another man in need finds himself forced to borrow money. He knows well that he won't be able to repay it, but he sees also that he will not get any loan unless he firmly promises to repay it within a fixed time. He wants to make such a promise, but he still has conscience enough to ask himself whether it is not permissible and is contrary to duty to get out of difficulty in this way. Suppose, however, that he decides to do so. The maxim of his action would then be expressed as follows: when I believe myself to be in need of money, I will borrow money and promise to pay it back, although I know that I can never do so. Now this principle of self-love or personal advantage may perhaps be quite compatible with one's entire future welfare, but the question is now whether it is right. I then transform the requirement of self-love into a universal law and put the question thus: how would things stand if my maxim were to become a universal law? He then sees at once that such a maxim could never hold as a universal law of nature and be consistent with itself, but must necessarily be self-contradictory. For the universality of a law which says that anyone believing himself to be in difficulty could promise whatever he pleases with the intention of not keeping it would make promising itself and the end to be attained thereby quite impossible, inasmuch as no one would believe what was promised him but would merely laugh at all such utterances as being vain pretenses.
- 3. A third finds in himself a talent whose cultivation could make him a man useful in many respects. But he finds himself in comfortable circumstances and prefers to indulge in pleasure rather than to bother

105

been fully set forth how all duties depend as regards the kind of obligation (not the object of their action) upon the one principle.

If then there is to be a supreme practical principle and, as far as the human will is concerned, a categorical imperative, then it must be such that from the conception of what is necessarily an end for everyone because this end is an end in itself it constitutes an objective principle of the will and can hence serve as a practical law. The ground of such a principle is this: rational nature exists as an end in itself. In this way man necessarily thinks of his own existence; thus far is it a subjective principle of human actions. But in this way also does every other rational being think of his existence on the same rational ground that holds also for me; hence it is at the same time an objective principle, from which, as a supreme practical ground, all laws of the will must be able to be derived. The practical imperative will therefore be the following: Act in such a way that you treat humanity, whether in your own person or in the person of another, always at the same time as an end and never simply as a means. We now want to see whether this can be carried out in practice. Let us keep to our previous examples.

First, as regards the concept of necessary duty to oneself, the man who contemplates suicide will ask himself whether his action can be consistent with the idea of humanity as an end in itself. If he destroys himself in order to escape from a difficult situation, then he is making use of his person merely as a means so as to maintain a tolerable condition till the end of his life. Man, however, is not a thing and hence is not something to be used merely as a means; he must in all his actions always be regarded as an end in himself. Therefore, I cannot dispose of man in my own person by mutilating, damaging, or killing him. (A more exact determination of this principle so as to avoid all misunderstanding, e.g., regarding the amputation of limbs in order to save oneself, or the exposure of one's life to danger in order to save it, and so on, must here be omitted; such questions belong to morals proper.)

Second, as concerns necessary or strict duty to others, the man who intends to make a false promise will immediately see that he intends to make use of another man merely as a means to an end which the latter does not likewise hold. For the man whom I want to use for my own purposes by such a promise cannot possibly concur with my way of acting toward him and hence cannot himself hold the end of this action. This conflict with the principle of duty to others becomes even clearer when instances of attacks on the freedom and property of others are considered. For then it becomes clear that a transgressor of the rights of men intends to make use of the persons of others merely as a means, without taking into consideration that, as rational beings, they should always be esteemed at the same time as ends, i.e., be esteemed only as beings who must themselves be able to hold the very same action as an end.

himself about broadening and improving his fortunate natural aptitudes. But he asks himself further whether his maxim of neglecting his natural gifts, besides agreeing of itself with his propensity to indulgence, might agree also with what is called duty. He then sees that a system of nature could indeed always subsist according to such a universal law, even though every man (like South Sea Islanders) should let his talents rust and resolve to devote his life entirely to idleness, indulgence, propagation, and, in a word, to enjoyment. But he cannot possibly will that this should become a universal law of nature or be implanted in us as such a law by a natural instinct. For as a rational being he necessarily wills that all his faculties should be developed, inasmuch as they are given him for all sort of possible purposes.

4. A fourth man finds things going well for himself but sees others (whom he could help) struggling with great hardships; and he thinks: what does it matter to me? Let everybody be as happy as Heaven wills or as he can make himself; I shall take nothing from him nor even envy him; but I have no desire to contribute anything to his well-being or to his assistance when in need. If such a way of thinking were to become a universal law of nature, the human race admittedly could very well subsist and doubtless could subsist even better than when everyone prates about sympathy and benevolence and even on occasion exerts himself to practice them but, on the other hand, also cheats when he can, betrays the rights of man, or otherwise violates them. But even though it is possible that a universal law of nature could subsist in accordance with that maxim, still it is impossible to will that such a

principle should hold everywhere as a law of nature. For a will which

resolved in this way would contradict itself, inasmuch as cases might often arise in which one would have need of the love and sympathy of

others and in which he would deprive himself, by such a law of nature

springing from his own will, of all hope of the aid he wants for himself.

These are some of the many actual duties, or at least what are taken to be such, whose derivation from the single principle cited above is clear. We must be able to will that a maxim of our action become a universal law; this is the canon for morally estimating any of our actions. Some actions are so constituted that their maxims cannot without contradiction even be thought as a universal law of nature, much less be willed as what should become one. In the case of others this internal impossibility is indeed not found, but there is still no possibility of willing that their maxim should be raised to the universality of a law of nature, because such a will would contradict itself. There is no difficulty in seeing that the former kind of action conflicts with strict or narrow [perfect] (irremissible) duty, while the second kind conflicts only with broad

[imperfect] (meritorious) duty. By means of these examples there has thus

Third, with regard to contingent (meritorious) duty to oneself, it is not enough that the action does not conflict with humanity in our own person as an end in itself; the action must also harmonize with this end. Now there are in humanity capacities for greater perfection which belong to the end that nature has in view as regards humanity in our own person. To neglect these capacities might perhaps be consistent with the maintenance of humanity as an end in itself, but would not be consistent with the advancement of this end.

Fourth, concerning meritorious duty to others, the natural end that all men have is their own happiness. Now humanity might indeed subsist if nobody contributed anything to the happiness of others, provided he did not intentionally impair their happiness. But this, after all, would harmonize only negatively and not positively with humanity as an end in itself, if everyone does not also strive, as much as he can, to further the ends of others. For the ends of any subject who is an end in himself must as far as possible be my ends also, if that conception of an end in itself is to have its full effect in me.

#### Treat People as Ends in Themselves

This principle of humanity and of every rational nature generally as an end in itself is the supreme limiting condition of every man's freedom of action. This principle is not borrowed from experience, first, because of its universality, inasmuch as it applies to all rational beings generally, and no experience is capable of determining anything about them; and, secondly, because in experience (subjectively) humanity is not thought of as the end of men, i.e., as an object that we of ourselves actually make our end which as a law ought to constitute the supreme limiting condition of all subjective ends (whatever they may be); and hence this principle must arise from pure reason [and not from experience]. That is to say that the ground of all practical legislation lies objectively in the rule and in the form of universality, which (according to the first principle) makes the rule capable of being a law (say, for example, a law of nature). Subjectively, however, the ground of all practical legislation lies in the end; but (according to the second principle) the subject of all ends is every rational being as an end in himself. From this there now follows the third practical principle of the will as the supreme condition of the will's conformity with universal practical reason, viz., the idea of the will of every rational being as a will that legislates universal law.

#### The Autonomous Will Legislates and Is Subject to Moral Law

According to this principle all maxims are rejected which are not consistent with the will's own legislation of universal law. The will is thus not merely subject to the law but is subject to the law in such a way that it must be regarded also as legislating for itself and only on this account as being subject to the law tof which it can regard itself as the author).

Thus the principle that every human will as a will that legislates universal law in all its maxims, provided it is otherwise correct, would be well suited to being a categorical imperative in the following respect: just because of the idea of legislating universal law such an imperative is not based on any interest, and therefore it alone of all possible imperatives can be unconditional. Or still better, the proposition being converted, if there is a categorical imperative (i.e., a law for the will of every rational being), then it can only command that everything be done from the maxim of such a will as could at the same time have as its object only itself regarded as legislating universal law. For only then are the practical principle and the imperative which the will obeys unconditional, inasmuch as the will can be based on no interest at all.

#### The Kingdom of Ends

The concept of every rational being as one who must regard himself as legislating universal law by all his will's maxims, so that he may judge himself and his actions from this point of view, leads to another very fruitful concept, which depends on the aforementioned one, viz., that of a kingdom of ends.

By "kingdom" I understand a systematic union of different rational beings through common laws. Now laws determine ends as regards their universal validity; therefore, if one abstracts from the personal differences of rational beings and also from all content of their private ends, then it will be possible to think of a whole of all ends in systematic connection (a whole both of rational beings as ends in themselves and also of the particular ends which each may set for himself); that is, one can think of a kingdom of ends that is possible on the aforesaid principles.

For all rational beings stand under the law that each of them should treat binoself and all others never merely as means but always at the same time as an end in himself. Hereby arises a systematic union of rational beings through common objective laws, i.e., a kingdom that may be called a kingdom of ends teertainly only an ideal), inasmuch as these laws have in view the very relation of such beings to one another as ends and means.

A rational being belongs to the kingdom of ends as a member when he legmates in it universal laws while also being himself subject to these laws. He belongs to it as sovereign, when as legislator he is himself subject to the will of no other.

A rational being must always regard himself as legislator in a kingdom of ends rendered possible by freedom of the will, whether as member or as sovernign. The position of the latter can be maintained not merely through the maxims of his will but only if he is a completely independent being without needs and with unlimited power adequate to his will. Hence morality consists in the relation of all action to that legislation whereby alone a kingdom of ends is possible. This legislation must be found in every rational being and must be able to arise from his will, whose principle then is never to act on any maxim except such as can also be a universal law and hence such as the will can thereby regard itself as at the same time the legislator of universal law. If now the maxims do not by their very nature already necessarily conform with this objective principle of rational beings as legislating universal laws, then the necessity of acting on that principle is called practical necessitation, i.e., duty. Duty does not apply to the sovereign in the kingdom of ends, but it does apply to every member and to each in the same degree.

The practical necessity of acting according to this principle, i.e., duty, does not rest at all on feelings, impulses, and inclinations, but only on the relation of rational beings to one another, a relation in which the will of a rational being must always be regarded at the same time as legislative, because otherwise he could not be thought of as an end in himself. Reason, therefore, relates every maxim of the will as legislating universal laws to every other will and also to every action toward oneself; it does so not on account of any other practical motive or future advantage but rather from the idea of the dignity of a rational being who obeys no law except what he at the same time enacts himself.

#### Dignity, Not Price, Is the Foundation of Morality

In the kingdom of ends everything has either a price or a dignity. Whatever has a price can be replaced by something else as its equivalent; on the other hand, whatever is above all price, and therefore admits of no equivalent, has a dignity.

Whatever has reference to general human inclinations and needs has a market price; whatever, without presupposing any need, accords with a certain taste, i.e., a delight in the mere unpurposive play of our mental powers, has an affective price; but that which constitutes the condition under which alone something can be an end in itself has not merely a relative worth, i.e., a price, but has an intrinsic worth, i.e., dignity.

Now morality is the condition under which alone a rational being can be an end in himself, for only thereby can he be a legislating member in the kingdom of ends. Hence morality and humanity, insofar as it is capable of morality, alone have dignity. Skill and diligence in work have a market price; wit, lively imagination, and humor have an affective price; but fidelity to promises and benevolence based on principles (not on instinct) have intrinsic worth. Neither nature nor art contain anything which in default of these could be put in their place; for their worth consists, not in the effects which arise from them, nor in the advantage and profit which they provide, but in mental dispositions, i.e., in the maxims of the will which are ready in this way to manifest themselves in action, even if they are not favored with success. Such

actions also need no recommendation from any subjective disposition or taste so as to meet with immediate favor and delight; there is no need of any immediate propensity or feeling toward them. They exhibit the will performing them as an object of immediate respect; and nothing but reason is required to impose them upon the will, which is not to be cajoled into them, since in the case of duties such cajoling would be a contradiction. This estimation, therefore, lets the worth of such a disposition be recognized as dignity and puts it infinitely beyond all price, with which it cannot in the least be brought into competition or comparison without, as it were, violating its sanctity.

What then is it that entitles the morally good disposition, or virtue, to make such lofty claims? It is nothing less than the share which such a disposition affords the rational being of legislating universal laws, so that he is fit to be a member in a possible kingdom of ends, for which his own nature has already determined him as an end in himself and therefore as a legislator in the kingdom of ends. Thereby is he free as regards all laws of nature, and he obeys only those laws which he gives to himself. Accordingly, his maxims can belong to a universal legislation to which he at the same time subjects himself. For nothing can have any worth other than what the law determines. But the legislation itself which determines all worth must for that very reason have dignity, i.e., unconditional and incomparable worth; and the word "respect" alone provides a suitable expression for the esteem which a rational being must have for it. Hence autonomy is the ground of the dignity of human nature and of every rational nature.

### REVIEW QUESTIONS

- 1. What are the positives and negatives of a leader acting on principle without regard for the consequences?
- 2. Because most leaders have people who work for them, what does it mean to treat people as ends in themselves in the workplace?
- 3. Kant says that when it comes to moral choice and principles, everyone is both a leader and a follower. What are the ramifications of this idea for leaders in modern organizations?

110

#### Case: Innocent or Guilty? Joanne B. Ciulla

You manage a data processing department. Three years ago, you hired Fred Jones, a twenty-five-year-old computer programmer. He proved to be an excellent worker who consistently received high performance ratings. Jones was very quiet and rarely socialized with other employees; however, whenever anyone had a computer problem, you could count on him to solve it.

A few months ago, Jones did not show up for work or call to say why. The next day you received a call from his mother. She said that Jones had been arrested and charged with the murder of his girlfriend. Later that week you received a letter from Jones. He said that he was devastated by the loss of his girlfriend. He went on to explain that the reason he was a suspect is because he had argued in public with her the day before her death. When the police came to question Jones, he had no one to back up his alibi because he had taken a long run in the country at the time of the murder. Jones ended the letter by saying that he was now living a nightmare. He lost his loved one and is accused of a brutal crime that he did not commit. He asks for an unpaid leave of absence. The company grants his request.

Jones goes on trial and the jury finds him guilty. His lawyer appeals the case on a procedural technicality. Jones is given a new trial and he is found innocent. One month later, Jones calls and says that he is ready to work again. You are ambivalent, but you see no reason why he shouldn't come back. After all, he has not been convicted of crime and he is a valuable employee. You mention to a colleague that Jones will be returning to work.

When you arrive at work the next morning, a group of employees are waiting for you in front of your office. They are angry and tell you that they refuse to work with Jones because they are afraid he is dangerous. They demand that he be fired or moved to some other part of the company. What should you do?

#### **Ouestions**

- 1. What are your duties in this situation?
- 2. To whom do you have moral obligations, or what duties do you have to the various stakeholders in this case?
- 3. How would a Kantian decide this case?
- Would your ethical obligations in this case be different if people were afraid to work with Jones because he had AIDS?

#### Case: On "Women" and "Girls" Joanne B. Ciulla

You just started your first job after college. George is your immediate supervisor. He is in his sixties and so is his secretary, Hazel. Both of them have worked for the company for more than thirty years. Since you began working in the office, you've noticed that George refers to his secretary and members of the clerical staff as "the girls." You have even heard him say, "my girl will call you." Sometimes he'll come in the morning and say "hi beautiful" to Hazel, or "how are you girls doing today?" to the clerical staff.

When you joined the company, you read in the Corporate Ethics and Business Ethics Policy Statements that "continued abuse of familiarities or diminutives" are a form of harassment that can create a hostile work environment. You find it offensive to hear an older woman called a girl, but no one else seems to notice it. Hazel usually responds to these comments with a smile, so you wonder if it bothers her. You also consider the fact that George is from another generation and that his comments may be just harmless old habits.

You make a casual comment about it to George: "Don't you think that its silly to call a sixty-year-old woman a girl?" George laughs and tells you in a conspiratorial tone, "Of course its silly, but old Hazel loves being called a girl, it makes her feel young. Sometimes I call her beautiful to make her feel good, because with a face like hers, no one else will." He goes on to say, "It's okay to talk that way with the old gals, but don't worry, I know that the young professional women like you don't like being called girl."

After your conversation with George you go and ask Hazel if she enjoys being called "beautiful" and "girl" by George. Hazel sighs and says, "Twe worked here a long time. When I was younger they called me 'doll,' 'baby,' and sometimes worse names. I don't even hear them anymore. It makes some men (cel powerful to call their secretaries by these names. The way I see it, with the flick of a few computer keys I could turn George's entire operation into chaos. When you get to be my age, you don't make a big deal about these things." Now you are really confused. You know what the law says about such behavior, but putting the law aside for now, you wonder about the ethics of the situation.

#### **Ouestions**

- 1. What would Kant say was wrong with George's behavior?
- 2. What are George's and Hazel's duties in this case, to themselves, each other, and other relevant stakeholders?
- 3. Is there anything ethically wrong with Hazel's position?
- 4. What can future leaders learn from this seemingly trivial situation?