

JMS

## INDEX

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Roll No.: 23 - - - - - 80 Sub.: Operating S.  
DCE

Interface

\* User OS, hardware  
\* Decision Maker

JMS

Date

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## Unit-I 1. Overview of

### Operating Systems

Wind - Convenience (95%)

Linux - Throughput (82%)

It is collection  
of procedures

A collection of programs that governs the control of resources like - processor, main memory, secondary storage, I/O devices and files so that we can use the computer system efficiently and conventionally, is called operating system.

User

↓ + ↑  
Applica  
↓  
OS

↓  
Hardware

### Functions of OS

An Operating System performs many functions to manage a computer's hardware and software:-

- ↳ i) File Management:- Managing files and folders, including who can access them and what action can take.
- ↳ ii) Memory Management:- Allocating memory to programs and coordinating applications.
- ↳ iii) Process Management:- Deciding which process can access the processor and in what order.
- ↳ iv) Security:- Protecting data from cyber-(deadlock) attacks and prevent unauthorized access to programs.

### Device Management:-

Disk Sch. Algo.

→ FCFS

→ S-Seek T.P

→ SCAN (Elevator Algo.)

Kerberos  
security

Spooling: Simultaneous peripheral operation online  
It is process of temporary storage of data for use and execution by device.

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Error-  
i) Bad Sector  
ii) Memory overflow

↳ v) Error Handling:- It detects and reports the error.

↳ vi) System Performance Control:-

It controls the performance of system by aborting programs with errors.

## Importance of OS

An operating system is important for many reasons:-

↳ i) Resource Management:- It manages the (Algorithm) computer resources like CPU, memory, printers etc.

↳ ii) User Interface:- It provides a user interface that allows user to interact with their computer.

↳ iii) Peripheral Device Control:- It controls the peripheral device like- scanner, printer etc.

↳ iv) Application Execution:- It executes and provides services for application software.

↳ v) Mobile device features:- Mobile operating system supports features like GPS, Wi-Fi etc.

## Types of Operating System

The broad categories of operating systems are :-

- i> Single User Operating System
- ii> Multi User Operating System
- iii> Network Operating System
- iv> Distributed Operating System

i> Single User Operating System:- An operating system which allow one user at a time on CPU, is called single user operating system. In this OS, only one terminal is active with the CPU.

Ex-DOS (Disk Operating System), These OS are very fast, <sup>less complex</sup> and it is used for personal computers and laptops.

ii> Multi User Operating System:- An operating system which allow two or more user at a time on single CPU, is called multi user operating system. In this OS, two or more terminal are active with the CPU. Ex-Unix, Linux, Mac OS. These OS are little bit slow and more complex than single user OS.

iii) Network Operating System:- A special type of distributed operating system which allows to connect many computers in a single channel, is called network operating system. In this OS, two or more OS are used at a time. One is at more machines and other manages the connection of these systems. Ex - DOS, Windows etc. It is used for connecting computers and devices into LAN.

### Features of NOS:-

Following are the features of NOS:-

- i) It provides basic OS feature like support for processor, protocol etc.
- ii) It provides security features like authentication, access control etc.
- iii) Provide proper naming of files.
- iv) Provide file, print, back-up services using different server for each.
- v) User management and support for log on and log off, remote access etc.

### Types of Network OS

There are two major types of network OS:-

- i) Peer to Peer
- ii) Client - Server

i) Peer to Peer:- In this system, a number of user are allowed to share resources and files located on computer. In this, all computers have same abilities to use resources on network.

Adv: i) Less initial cost

ii) No need for dedicated server.

Disad: i) Data is not centralise for files

ii) Don't provide security.

ii) Client - Server:- It allows the network to centralise functions and application in one or more server. The file server become centralised, provide access to resources on file server. It allow multiple user to simultaneously share same resources of physical location. Ex - Windows, Novell Netware.

Adv:-

i) Centralise:- Resources are controlled through server because information and resources are available.

ii) Scalability:- All elements can be replaced individually as need increase.

iii) Flexibility:- New technology can be easily integrated.

iv) Accessibility:- Servers can be accessed remotely across multiple platform.

v> **Distributed System**: An OS which allows sharing of resources and co-ordination of distributed activities in network, is called distributed OS. It uses a layer called middleware to present heterogeneous system as single processor.

v> **Batch Operating System**: This type of OS does not interact with computer directly. There is an operator which takes similar jobs and group them into batches. It is designed to manage and execute large number of jobs efficiently.

- Adv: i> Multi user can share the batch.  
ii> The idle time for batch is less.

- Disadv: i> It is hard to debug.  
ii> The other jobs will have to wait for unknown time.  
iii> More CPU idle time

vi> **Multi-Programming OS**: It can be simply illustrated as more than one program is present in main memory and any one of them can kept in execution. It is non-preemptive.

- Adv: i> It increases the throughput of system  
ii> It helps in reducing response time.

Many files transferred from HD to RAM.

vii) Multi Tasking OS:- It is simply a multiprogramming OS with a facility of Round-Robin Scheduling Algorithm. It can run multiple programs simultaneously. It is preemptive.

Advt:

- i) Multiple programs executed.
- ii) It comes with proper memory management.

viii) Real-Time OS:- The time interval required to process and respond to input is less. It is used when there are time requirements which are very strict like missile system.

Types:

- i) Hard Real Time:- In this, time constraints are extremely strict. These systems are built for saving life like airbags. Virtual memory is rarely found in these systems.

- ii) Soft- Real Time:- These are for application where time constraint is less/high. It is very less restrictive.

Adv:

- i) Maximum utilization of device. more output from resources.
- ii) Memory allocation is best managed.
- iii) Time assigned for shifting task is very less.

↳ Time Sharing OS:- Each task is given some time to execute so that all the tasks work smoothly. Each user gets time of CPU as they use single system. After this time interval is over, OS switches to next task.

### Advantages

- i> Each task get equal opportunity.
- ii> CPU idle time can be reduced.
- iii> Fewer chance of software duplication.

↳ Embedded OS:- It is specialized OS designed to perform specific task for device. It often works within embedded system. It performs one task in bigger machine like traffic lights, digital camera, Refrigerator etc.

### A. Difference b/w Multiprogramming and Multitasking OS.

#### M. Programming

i> It includes single CPU to execute program.

#### M. Tasking

It uses multiple task for task allocation

ii> Concept of Context Switching is used.

Concept of Context switching and time sharing is used.

iii> It increases CPU utilization by organising job.

It increases CPU utilisation and responsiveness.

iv> To reduce CPU idle time used for long.

To increase CPU utilisation concept by time sharing.

- |   |   |
|---|---|
| vi> The OS switches to and executes another job when current job needs to wait. | # switching happens when allowed time expire or other reason for process needs to wait. |
| vii> Execution Process takes more time.   | Execution process takes less time.  |

### SYSTEM Calls

It is a programmatic way in which a computer program requests a service from kernel of OS it is executed on.

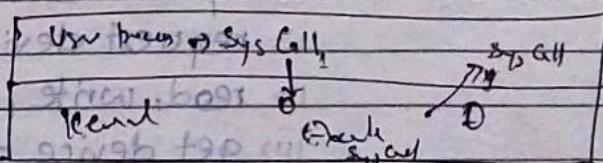
It is a way for programs to interact with OS.

A computer program makes a system calls when it make a request to operating systems kernel. It provides the services for OS to user program via

Application Program Interface (API). System calls are only entry point to kernel system.

There are five categories of system calls:-

- i> Process Control
- ii> File Manipulation
- iii> Device Manipulation
- iv> Information Maintenance
- v> Communication



User mode  
bit - 1

Kernel mode  
bit - 0

» Process Control :- A running program needs to be able to stop execution either normally or abnormally. Under either normal or abnormal, the OS must transfer control to invoking command interpreter.

Following are the functions :-

i) end, abort

ii) load, execute

iii) create process, terminate process

iv) get process attribute, set process attribute

v) wait event, signal event

» ii) File Management :- Some of the system calls for file management :-

i) createfile, delete file

ii) open, close

iii) read, write, reposition

iv) get file attribute, set file attribute

» iii) Device Management :- Process usually requires several resources to execute, if these resources are available, they will be granted and control return to user process.

Some of system calls for device mgmt. are :-

i) request device, release device

ii) read, write; reposition

iii) get device attribute, set device attr.

iv) logically attach or detach device

i> Information Maintenance:- Some system calls exist purely for transferring information between user program and OS.

- i> get time or date, set time or date
- ii> get system data, set system data
- iii> get and set processes, file or device attribute.

v> Communication:- Communication is OS occur by a process called inter-process communication (IPC)

- i> message passing
- ii> shared memory

### Operating System Structure

The OS can be implemented

with the help of various structure. The structure of OS depends on how the various standard component are interconnected and melded into kernel.

five structures of operating system:-

i> Monolithic System

ii> Layered System

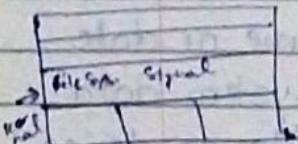
iii> Virtual Machines

iv> Exo-kernels

v> Client - Server System

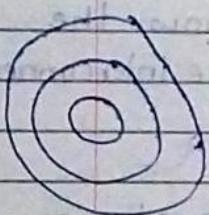
(simple)

- i> Monolithic System:- Such operating system do not have well-defined structure and are small, simple and limited. The interface and levels of functionality are not well separate. MS-DOS, is an example of such OS, application programs are able to do basic I/O routines.

Adv:

- i> It delivers better application performance
- ii> It is easy for kernel developers to develop such OS.

- ii> Layered Structure:- In this structure, the OS is broken into a number of layers. The bottom layer is hardware and topmost layer is user interface. Ex- Unix



These layers are so designed that each layer uses the functions of lower layer. This simplifies debugging process, if lower layers are debugged and an error occur during debugging, then error must be on that layer only, as lower layer have already been debugged.

Layer	Function
0	Processor
1.	Memory Management
2.	Operator-Process Comm.
3.	I/O Management
4.	User Program
5.	Operator

Adv:

- i> Layering makes it easier to enhance OS
- ii> It is very easy to perform debugging and system verification.

iii> Virtual Machine:- These are time sharing system which provide multiprogramming and one or more machines. These are not extended machines, they are the exact copies of bare hardware including kernel/user mode, input/output and everything else the real machine has.

iv> Exo-kernal Machine:- It is a program present at bottom layer running in kernel mode. The work of exokernal is just allocate the resource to virtual machine and check them to make sure that no machine is trying to use some other resource. It is required when interprocess communication is required.

Advantages:

- i> It improves the performance of application
- ii> It is simple to test and create new OS.
- iii> Separate management from security.

v) Client-Server Model:- By splitting the OS into parts, each part only handle one factor of system like file service, terminal service etc, each part become small and manageable.

\*. User Mode:- When a user-mode program request to run, a process and virtual address space are created for it by OS. User-mode programs are less privileged than kernel mode.

Adv:

- i) Stability and Reliability
- ii) Simplified debugging

\*. Kernel Mode:- The kernel is the core program which all other OS components rely. It is used to access the hardware component and schedule which processes should run on computer system. It is most privileged program, it can directly interact with hardware.

Advt:

- i) Direct Hardware Access

- ii) Complete Control

## B. Difference between User Mode and Kernel Mode:

### User M.

### Kernel M.

- i> The application program don't have direct access to system resource. 'System Call' needs to access. The program has direct & unrestricted access to system resource.
- ii> A single process fail if an interrupt occur. The whole OS might go down if interrupt occur.
- iii> It is also called unprivileged mode or slave mode. It is also called privileged mode, master mode or system mode.
- iv> All process get separate virtual address space. All process shares a single virtual address space.
- v> Applications have fewer privileges. The applications have more privilege.
- vi> The mode bit value is 1. The mode bit value is 0.
- vii> System crash can recovered by simply resuming session. System crash is severe and make things more complicated.
- viii> User program can access and execute for system. Only essential functionality is permitted to operate.

## User Interface of OS

A user interface (UI) refers to the part of OS, program or device that allow user to enter and receive information.

There are two types of UI:-

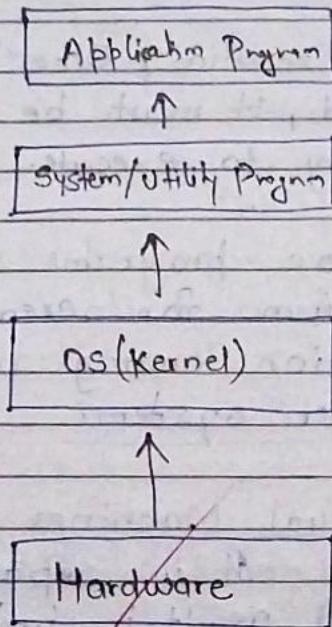
- i> Command Line Interface
- ii> Graphical User Interface

i> Command Line Interface:- It deals with using text command and technique for entering those commands. Some OS include command interpreter in kernel.  
Ex- Windows, Linux.

ii> Graphical User Interface:- It is a visual interface that allow user to interact with electronic devices through graphical components like icon, button etc. It is used in computers, smartphones, etc.

## System Programs

In the logical computer, at the lowest level is hardware. Next is Operating System (Kernel) then the system program and finally application program. System program is also known as system utility.



Some of system programs are given:-

- i> File Management:- These programs create, delete, copy, rename, print, dump and generally manipulate files and directories.
- ii> Status Information:- Some programs create simply ask for system for date, time, disc space no. of user and status information.
- iii> File Modification:- Several text editors may be available to create and modify the content of files stored on storage device.
- iv> Programming Language Support:- Compilers, assembler, debugger, and interpreter for programming language are provided to user with OS.

v) Program Loading and Execution:- Once a program is compiled, it must be loaded into memory to execute.

vi) Communication:- These programs provide the mechanism for creating virtual connection among user, process and computer system.

### Virtual Machines

It is separate OS installed on usual OS. It is implemented by software emulation and hardware virtualization.

Two types of virtual machine:-

- i) System virt. mach.
- ii) Process virt. mach.

i) System VM:- A virtual machine that provides a complete system platform and supports execution of OS.

ii) Process VM:- A virtual machine that allows application to run in isolated environment.

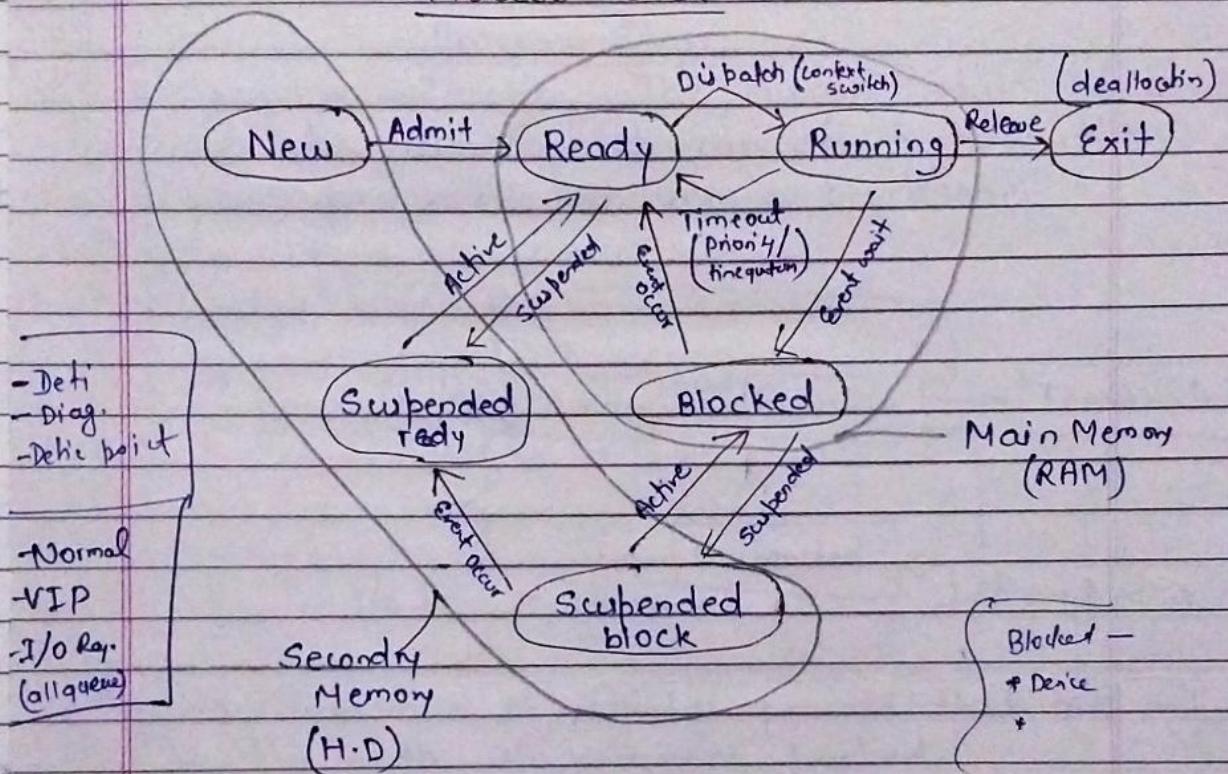
### Advantages

- i) Cost Saving
- ii) Better Security
- iii) Scalability
- iv) Reliability
- v) Environment friendly

## Unit-II 2. Process Management

The process is an instance of program in execution. It is the smallest unit of work that can be individually scheduled by CPU scheduler.

### Process State



- i. New to Ready → LTS (multiprogramming process)
  - ii. Ready to Run → STS (multitasking process)
  - iii. All suspension → MTS
- ↳ Running: All resources with CPU. On CPU, one process run at a time.

iv) Suspended:- Uncompleted process are comes under this state until the related condition is removed.

v) Terminated:- Completed process are comes under this state.

### Process Control Block

It is also called  
Process Descriptor

The OS groups all the information that needs a particular process into data structure, is called Process Control Block (PCB). All the process will be active only when it has active PCB with it.

Various fields of PCB are:-

Name
Priority
Pstate
cpu usage
processes

i) Process Name:- This shows the number of particular process.

ii) Process Priority:- It contains priority of process whether it set by user or OS.

iii) Process State:- It contains the current state of process.

iv) Memory Management Information:- It contain information about address where

the process store in memory.

v) Accounting Info.:- It contain information about CPU time, I/O disk used, connect time and other.

iv) CPU Register:- These are used to save the state of process into PCB.

v) CPU Scheduling Information:- It contains information about scheduling algorithm.

### Scheduling Queues

In OS, it helps to track the sequence of scheduling by storing process in different queue.

The OS maintains the process scheduling queue.

i) Job Queue:- It keeps all the process in system.

ii) Ready Queue:- It stores process that is ready to execute.

iii) Device Queue:- It stores process that is waiting for I/O device to become available.

### Scheduler

It is a software module that chooses which jobs to admit to system and which process to run. It is used to optimize system performance.

There are three types of scheduler:-

i) Job Scheduler (L.T.S)

ii) Process Sched. (M.T.S)

iii) CPU Sched. (S.T.S)

i> Job Scheduler:- It is also called long-term scheduler. It works mainly on batch operating system. In this, batch of jobs is submitted for execution.

when current batch executed, then new batch is allowed to execute

These jobs are stored in batch queue. The main objective is that to provide batch job in such a manner that processor and device remain busy all time. It handles process movement from new to ready.

ii> Process Scheduler:- It is also called medium-term scheduler. It <sup>handles</sup> swapped out process to make ready for execution.

After execution, running process is suspended and removed from memory <sup>(store in HD)</sup>.

Once suspending condition is removed, it allocate required amount of memory and make ready. It handles process movement from suspended to ready state.

iii> CPU Scheduler:- It is also called short-term scheduler. The objective is to maximize the system performance. On occurrence of any event, the OS calls it to decide which process should be scheduled for execution. Clock ticks, interrupts, I/O completion and operational calls are few events which change the global system state. It handles process movement from ready to running.

## Operation on Processes

The process in the system can execute concurrently and must be created or deleted dynamically. So, OS provide mechanism for process creation and termination.

i> Process Creation:- A process may create several new process by create process system call. The creating process is called parent process and new process is called children process.

When a process creates a subprocess, that subprocess may able to obtain its resource directly.

ii> Process Termination:- A process terminates when it finishes its final statement and asks to delete. At that point, it returns output to parent process by wait system call. All resources are then deallocated by OS.

## Inter Process Communication

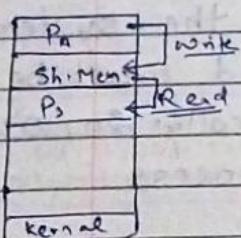
It is a mechanism which allows to communicate each other. The communication between these processes can be seen.

Two ways of communication:-

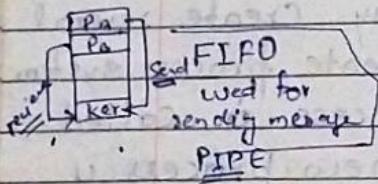
i> Shared Memory

ii> Message Passing

i) Shared Memory:- Communication between process by shared memory require process to share some variable. Process can use it for extracting information from other process. Write & Read Imp.



ii) Message Passing:- In this, process communicate with each other without using any kind of shared memory. It uses common mailbox for messaging.



### Scheduling Criteria

The performance of different types of scheduler:-

i) CPU Utilization:- It is the average fraction of time during CPU is busy.

ii) Through-put :- It refers to amount of work completed in unit time.

iii) Turnaround Time:- The time between the job is submitted and completed by system.  
(CT-AT)

iv) Waiting Time:- It is the time that a job spend in waiting for resource allocation.  
(TAT-BT)

v) Response Time:- It is used in time sharing and real time OS.  
(Get CPU first - AT)

vi) Arrival Time, Burst Time, Completion Time

## Premptive Scheduling

It is one which can be done in circumstances when a process switches from running state to ready state or from waiting state to ready state.

## Non-Premptive Scheduling

It is one which can be done in circumstances when a process terminates or switches from running to ready state.

### Premptive

i> Resources are allocated to process for limited time.

### Non-Premptive

Once resource are allocated it holds till it complete.

ii> Process can be interrupted in between.

Process can't be interrupted until it terminates.

iii> It has overhead of scheduling.

It doesn't have overhead.

iv> It is flexible.

It is rigid.

v> CPU utilization is high.

CPU utilization is low.

vi> Waiting time is less.

Waiting time is high.

vii> Response time is less.

Response time is high.

viii> Round Robin, shortest remaining time first are example.

First come first serve, Shortest job first are example.

## Scheduling Algorithm

Concept of  
preemption

A set of rules that determine which task to execute at a specific moment is called scheduling algorithm.

There are five types of scheduling algorithm :-

- i) First Come First Serve
- ii) Shortest Job First
- iii) Round Robin
- iv) Multiprocessor Scheduling
- v) Real Time Scheduling

i) First Come First Serve:- It is considered to be the simplest of all OS algorithm scheduling. It states that the process that request the CPU first is allocated the CPU first and is implemented.

### Characteristics:

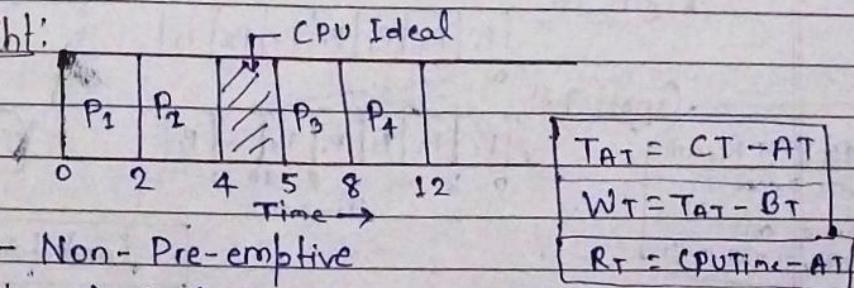
- i) It supports both CPU scheduling algorithms.
- ii) It is easy to implement and use.
- iii) It is not efficient and having high waiting time.

ii) Shortest Job First:- It is a process that selects the waiting process with less execution time. It may or may not be preemptive. If the running process has less execution time then it continues.

## ⇒ First Come First Serve

Process	AT	BT	CT	TAT	WT	RT	
P <sub>1</sub>	0	2	2	2	0	0	
P <sub>2</sub>	1	2	4	3	1	1	
P <sub>3</sub>	5	3	8	3	0	0	
P <sub>4</sub>	6	4	12	6	2	2	

Gantt Chrt:

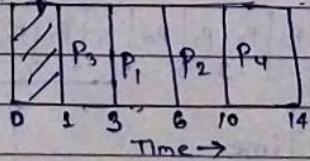


- \* Mode - Non-Pre-emptive
- \* Criteria - Arrival Time

## ⇒ Shortest Job First

Process	AT	BT	CT	TAT	WT	RT	
P <sub>1</sub>	1	3	6	5	2	2	
P <sub>2</sub>	2	4	10	8	4	4	
P <sub>3</sub>	1	2	3	2	0	0	
P <sub>4</sub>	4	4	14	10	6	6	

Gantt Chrt: Ideal



- \* Mode:- Non-Pre-emptive
- \* Criteria - Burst Time

$$AT > BT > P_i.ID$$

WT & RT same in Non-Pr.

TQ-2

### iii) Round Robin

Proc. ID	AT	BT	CT	TAT	WT	RT
P <sub>1</sub>	0	5	12	12	7	0
P <sub>2</sub>	1	4	11	10	6	1
P <sub>3</sub>	2	2	6	4	2	2
P <sub>4</sub>	4	1	9	5	4	4

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cause

Ready Q.:

P <sub>1</sub>	P <sub>2</sub>	P <sub>3</sub>	P <sub>1</sub>	P <sub>4</sub>	P <sub>2</sub>	P <sub>1</sub>

P <sub>1</sub>	P <sub>2</sub>	P <sub>3</sub>	P <sub>1</sub>	P <sub>4</sub>	P <sub>2</sub>	P <sub>1</sub>
0	2	4	6	8	10	12

Cont.  
Scheduling

Ready Queue min slot

where

slot

on

m).

→ Great Redefn  
 i) RTT process  
 ii) Function calls  
 done, at certain time

∴ Context Switch = 6

\* Criteria - Time Quantum

\* Mode - Pre-emptive

iv) Shortest Remaining Time First

Proc. ID	AT	BT	CT	TAT	WT	RT
P <sub>1</sub>	0	5	9	9	4	0
P <sub>2</sub>	1	4	4	3	0	0
P <sub>3</sub>	2	2	13	11	7	7
P <sub>4</sub>	4	1	5	1	0	0

tions

be

e OS.

2

AT > BT > P<sub>10</sub>

Gantt Chart:

P <sub>1</sub>	P <sub>2</sub>	P <sub>2</sub>	P <sub>2</sub>	P <sub>4</sub>	P <sub>1</sub>	P <sub>1</sub>	P <sub>1</sub>	P <sub>1</sub>	P <sub>3</sub>
0	1	2	3	4	5	6	7	8	9

\* Criteria! - Brust Time

\* Mode! - Pre-emption

$$TAT = CT - AT$$

$$WT = TAT - BT$$

$$RT = CPU - AT$$

SJF with Preem. is called SRTF

Characts: i> It has minimum waiting time.

ii> It is associated with each task as unit of time.

iii> If shorter process keeps coming, cause starvation.

iv> Round Robin :- It is a CPU scheduling algorithm where each process is assigned a fixed time slot. It is preemptive and mainly focus on Time Sharing technique (time quantum).

Characts: i> It is simple, easy to use and starvation free.

ii> It is widely used as core.

iv> Multiprocessor Scheduling :- It addresses task allocations to multiple CPU. It involves higher throughput and several task can be processed in separate processor.

v> Real Time Scheduling :- It is preferred in real-time OS. In this, processes are completed in a critical manner.

Two types of real time system are :-

i> Hard Real time

ii> Soft Real time

i) Hard Real time:- The execution of process must be completed in specific time. So, when a process is submitted, we have to specify amount of time.

ii) Soft real time:- The processes are assigned a certain priority and the process having higher priority must be executed before lower priority process. The main aim is to assign priority.

### Process Synchronization

When several processes fulfill the work together, then all process must be synchronised properly. OS not provide synchronization operation. These are cooperative process who carry proper synchronization.

#### Long Term Sch.

- i) It takes process from job pool.
- ii) It is also called Job Scheduler.
- iii) It regulates more degree of multi programming.
- iv) It is faster than short term.
- v) It changes process state from new to ready.
- vi) It selects good process for a CPU.
- vii) It controls multi-programming.

#### Short Term sch.

- It takes process from ready queue.
- It is also called CPU Scheduler.
- It regulates less degree of multi programming.
- It is slower than long term.
- It changes process state from ready to running.
- It selects new process for a CPU.
- It controls multi-tasking.

## Unit-II 3. Deadlocks

Deadlock is a situation where a group of processes is permanently blocked by each other. It can occur due to improper interaction and communication between process.

### Condition for a Deadlock

In 1971 Coffman, Elphick and Shoshani have shown that, there are four condition that cause deadlock in system:-

i) Mutual Exclusion:- If there is sharable resource then only one process can use that resource and other needs to wait.

ii) Hold and Wait:- If a process hold some resource and wait for some resource which are held by other process.

iii) No Preemption:- A process which holds a resource can release it after completing its task.

iv) Circular Wait:- There must a set of waiting process. One waits other and soon.

assign resource - assign edge  
request re - request edge

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Date:

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## Methods for Handling Deadlocks

i) Deadlock Prevention :- The basic philosophy behind it is to prevent at least one condition.

i) Mutual Exclusion :-

It is only applied for non-shareable resource because more than one process can be granted.

ii) Hold and Wait :-

It is prevented by a process requesting a resource, it does not hold other resource.

Resource utilization is low and starvation are disadvantages.

iii) No Preemption :-

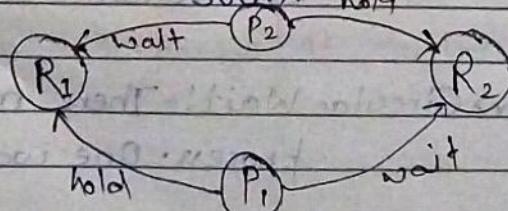
A process which holds resource can release only after completing its task.

iv) Circular Wait :-

There must a set of waiting

process. One waits other and

soon hold



## Deadlock Avoidance

The side effect of deadlock prevention is device utilization is low and system throughput is reduced. In this, if a process request for available resource then, the request can grant only when it does not lead to deadlock.

So, to implement the deadlock avoidance, the algorithm examine the resource state to ensure that there can never be deadlock.

## Banker's ALGORITHM

It is proposed by Dijkstra in 1965. It states,

"When a new process enters into system, it has to declare maximum number of instance of resource to we. It is necessary, the no: not exceed the total no. of resource."

When a process request for a set of resource, then it is duty of system to determine whether the allocation of resource to requesting process will leave the system.

- Concept of B. Algo.

\* Resources - (available in system)

\* Processes - (process which req. res.)

\* Allocation Matrix - (matrix which shows count allocn res.)

\* Max Matrix - (matrix which shows max res. for process)

\* Need Matrix - (shows remay res.)

\* Available Vector - (vector repres. no. of available resource)

$A = 10$     $B = 5$     $C = 7$   
 Deadlock Avoidance  
 by Job Disk Date

Process	(already)			Max Need			Available			Remaining Need		
	A	B	C	A	B	C	A	B	C	A	B	C
P <sub>1</sub>	0	1	0	7	5	3	3	3	2	7	4	3
P <sub>2</sub>	2	0	0	3	2	2	5	3	2	1	2	2
P <sub>3</sub>	3	0	2	9	0	2	7	0	3	6	0	0
P <sub>4</sub>	2	1	1	4	2	2	7	4	5	2	1	1
P <sub>r</sub>	0	0	2	5	3	3	7	5	5	5	3	1
Total	7	2	5				10	5	7			

Total	Avg - Total	A	B	C
Total	Avg - Total	10	5	7
Allot $\rightarrow$	7	2	5	
Current Availability	3	3	2	

$P_2 > P_4 > P_3 > P_1 > P_r$

Safe sequence because not occur deadlock.

Max Need - already Allotted = Remaining

Deadlock occurs when all process doesn't get any resource

## Deadlock Detection

It is a process of determining a deadlock exist and identify process and resource involved in deadlock. The basic idea is to check allocation against resources available for possible allocation.

Once deadlock detected, way to recover it-

- i> Temporarily prevent resource from deadlocked process.
- ii> Back off a process to some check point.
- iii> Successively kill process until it deadlock free

## Recovery from Deadlock

A deadlock may occur when either deadlock prevention or deadlock avoidance is not employed.

To invoke detection algorithm, if deadlock occur frequently, it invoke every time. If the frequency of occurrence is less then it invoke at less frequent interval.

Two option used in breaking deadlock

- i> abort one or more process to break circular wait.
- ii> preempt some resource from other deadlocked process.

## Unit - III 4. Memory

## Management Function

The task of subdividing the memory among different <sup>process</sup> is called memory management. It is a method to manage operation between main memory and disk during execution.

~~Why Memory Management is Required~~

The memory management is required :-

- i> Allocate and de-allocate memory before and after process execution.
- ii> To keep track of used memory space.
- iii> To minimize fragmentation issue.
- iv> To proper utilization of main memory.

Data Integrity

~~Characteristics of memory~~~~System~~

A typical memory system has following character :-

i> Location:- A memory location should be either internal and external.

ii> Capacity:- The word length may or may not be equal to 1, 2 or 4 bytes.

iii> Speed,

iv> Cost, Data transfer, Volatility, Scalability.

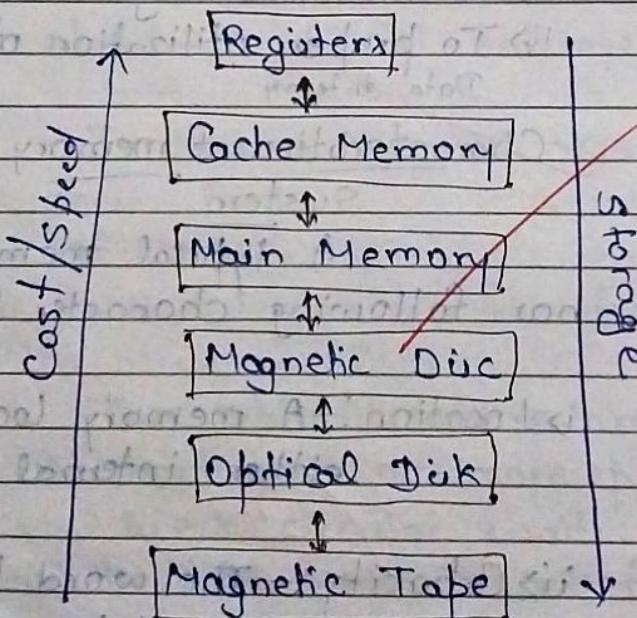
iii) Performance:- It performs several task quickly and efficiently.

iv) Unit of Transfer:- For internal memory, unit of transfer is equal to word length.

v) Physical Characteristics:- In volatile memory (RAM), the data is erased when power is off and in non-volatile (ROM), data is non-erased when power off.

### Memory Hierarchy

A typical memory hierarchy structure is given:-



i> Registers:- These are built to CPU. It is used to scratch pad during execution. They are also called CPU register. It is not a part of main memory. It contains status of CPU. Memory Address Register (MAR), Memory Buffer Register (MBR), Instruction register (IR) etc. contained by system.

ii> Cache Memory:- It is high speed memory which is used to increase the speed of processing. It is a part of main memory where used instruction are placed. It is of limited size so its management is done carefully.

iii> Main Memory:- It is built into CPU. CPU communicates directly with this <sup>type of</sup> memory.

~~iv> Magnetic Disk~~ :- It is used to store large amount of data. The disk surface is coated by magnetic material. Each disk is divided into track and each track is divided into sectors.

~~v> Magnetic Tape~~ :- It is flexible tape coated with magnetic oxide. On tape, data is stored one character at a time. Each character is represented by 7 or 9 bit. Inter Record Gap (IRG) is used to distinguish contiguous block.

Accumulator  
Program Counter  
Stack Pointer

vi) Optical Disk:- Compact Disk (CD) was introduced for digital audio. CD is also known as non-erasable disk that can store more than 60 minute audio information one side. The computer uses CD-ROM, WORM and erasable optical disk.

vii) CD-ROM:- It is non-erasable disk used for store data. It is circular in shape and can hold about 600 MB of information. A highly intense laser is used to record digital information as a series of microscopic pit on reflective surface. A motor is used to rotate disk.

The intensity of reflective light changes. This change is detected by photo sensor and converted into digital system.

viii) WORM:- It stands for Write Once Read Many. It has same work as CD-ROM. The mostly used size is 5.25 inch which holds from 200 - 800 MB of data.

ix) Erasable Optical Disk:- It uses optical technology & can be easily erased or rewritten. Its capacity is 650 MB.

Advantages:

- i) High Capacity
- ii) Portability
- iii) Reliability

x) DVD:- Digital versatile Disk.

### Characteristics of Logical Address

- Generated by CPU: The logical address is produced by CPU when executing instruction.
- ii> Virtual Memory: It is a part of virtual memory which provides illusion to process. am's
- iii> Independence: It is independent of physical memory. MMU is responsible for translating logical address to physical address.

### Working:

When a program makes a memory request, the address generated is logical address. The MMU translates logical address to physical address in RAM called address translation.

### Characteristics of Physical Address

- i> Actual Hardware Location: The physical address is location on physical memory hardware.

- ii> Used by Memory System: It is used by MMU to access data in RAM.

- iii> Translation: The OS maps logical address to physical address.

### Working:

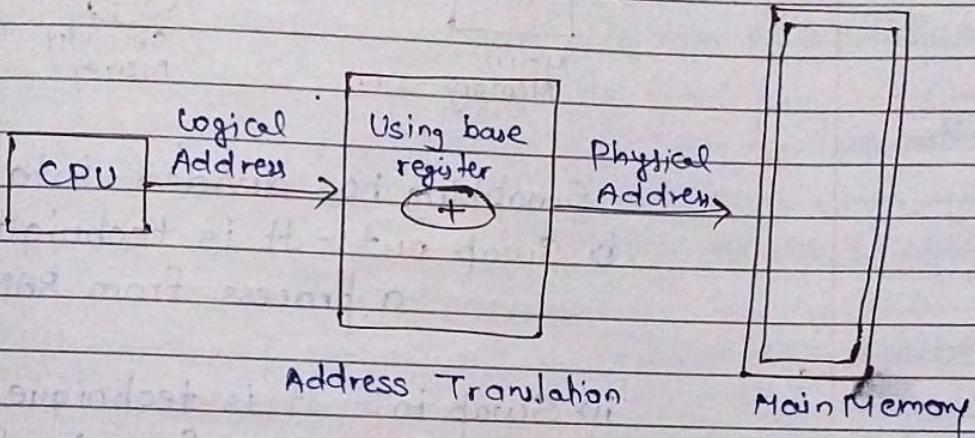
MMU translates

CPU generates a logical address. The MMU uses translation table to convert logical address to physical address. The physical address is then used to access data in actual RAM.

out and swap in. Medium term scheduler is responsible for swapping.

## (virtual) Logical and Physical Address Space

Logical address are used to represent the information within a program's address space whereas Physical address represents the actual main memory address where data are stored.



The set of logical address represent logical address space and the set of physical address represent physical address space. The content of base register are added to every logical address to obtain physical address.

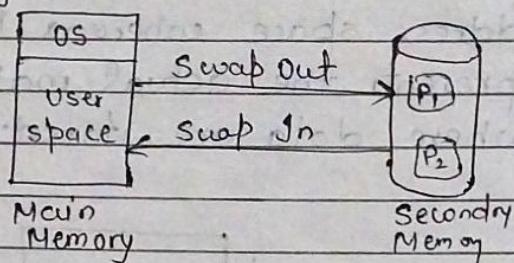
## SWAPPING

A process that removes data or program between computer's main memory and secondary memory. Swapper decide which process to swap out and swap in. Medium Term Scheduler is responsible for swapping.

The factors which influence swap out are - suspended process, low priority etc.

The criteria for swap in process are - time spent in secondary memory, priority etc.

Diagram:



Swapping has divided into two concepts.

i) Swap out:- It is technique for moving a process from RAM to hard disk.

ii) Swap in:- It is technique for moving a process from hard disk to RAM.

Advantages:

i) Process need not wait for execution.

ii) It utilizes main memory.

iii) Multiple processes can be run.

iv) Concept of virtual memory is used.

## Memory Allocation

The memory allocation is an action of assigning the physical or virtual memory address space to a process. Memory is divided according to type of user's environment. If there is only single user system, then memory is divided into two parts whereas in multi-user environment, memory is divided into number of partitions.

Memory is allotted to process by two methods:

- i> Contiguous
- ii> Non-Contiguous

i> Contiguous Memory Allocation:- In a single process system, the memory is divided into two parts. One part holds the OS and other for various processes. When a resident process completes its task, it is removed from ~~memory~~ and new process replaces it by OS.

Usually OS is loaded in low memory because it needs to track first and last address of memory which is allocated to user.

When the process comes, the OS checks the process size and the size of memory where it is located on. The size of process is not exceeded to available size of memory.

As it takes memory, their remaining execution is going complete.

Two types of Contiguous Memory:- i> Fixed(Static)

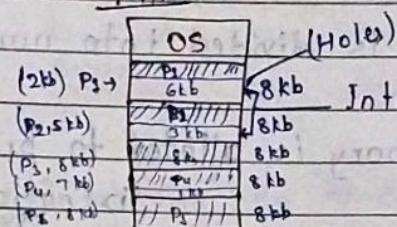
ii> Variable(Dynamic)

Concept of Multiprogramming

Partition After processes come

i) Fixed Partitioning :- It is done when the system is manufactured first. The number and sizes of each partition is usually determined during system generation. It is dependent upon capacity of available memory, degree of multiprogramming and size of process. Each partition is allocated to each process.

RAM



Internal fragmentation

Internal frag. is occur

Disadv.

- ⇒ Fragments
- ⇒ Limited size
- ⇒ Inefficient utilization

ii) Variable Partitioning :- In this partitioning, there is no fixation on numbers and size of partitions. Partitions are made according to the size of requesting process until the memory is full. In this, allocation is done when a process request for memory, memory manager creates a suitable portion for process if there is contiguous free sufficient memory and the remaining area is returned to free memory.

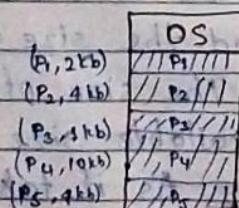
External frag. is occur

Disadv.

- ⇒ External fragmentation
- a) Complex memory allocation
- b) Difficult implementation

Adv'

- ⇒ merge two contiguous area
- Buddy system



If the process P<sub>2</sub> and P<sub>3</sub> got executed, there is the space of 3kb left in memory. Whenever a new process of 3kb wants memory, then it won't get any memory because the free space occurs at P<sub>2</sub> and P<sub>3</sub>. This is called External fragmentation (not contiguous).

So, to avoid External Fragmentation, one of the following four algorithms are used :-

- i> First fit
- ii> Next fit
- iii> Best fit
- iv> Worst fit

i> First fit :- It allocates the first free allocation which is large enough to hold the process.

ii> Next fit :- In this, searching of free partition starts not from beginning of free list.

iii> Best fit :- It allocates the smallest free partition that meets the requirements of requesting process. It firstly searches entire free partition and then allocate the memory.

iv> Worst fit :- It searches the largest free partition to the requesting process in order to reduce the rate of production of small holes.

### Fragmentation

The process of dividing a computer file into fragments that are stored in different parts of computer storage, is called fragmentation.

When a file is fragmented, it is stored on storage device in non-contiguous block. Because memory blocks are so small, they cannot be assigned to processes and thus remain idle.

Fragmentation occurs when a file is too large to fit into a single contiguous block of free space or when the blocks of free space are insufficient to hold the file.

There are two main types of fragmentation:-

- i) Internal Frag.
- ii) External Frag.

i) Internal Fragmentation:- Internal fragmentation occurs when there is unused space within a memory block. If a system allocates a 64kb memory to store a file that is only 40kb in size, that block will contain 24kb of free space, is called internal fragmentation.

ii) External Fragmentation:- It occurs when a storage device has many small block of free space. This can happen when a system creates and deletes files, leaving many free block of space.

When a system needs to store a new file, it may unable to find single contiguous block of free space to store the file, called external fragmentation.

- Disad.
- 1) Slow Performance
  - 2) Space Wasting
  - 3) Data loss
  - 4) Risk of system crash
  - 5) Reduce battery life

Internal Frag.

i> Fixed size memory block of space is allotted to process.

ii> It occurs when the process is smaller than memory.

iii> To remove this, best-fit block should used.

iv> It occurs with paging and fixed partitioning.

v> It occurs in worst fit memory allocation method.

External Frag.

Variable size memory block of space is allotted to process.

It occurs when the process is removed.

To remove this, compaction and paging is used.

It occurs with segmentation and dynamic partitioning.

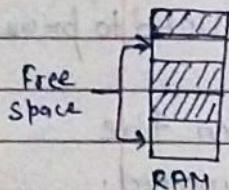
It occurs in best fit and first fit memory allocation.

Compaction

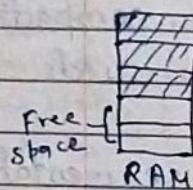
~~Compaction is a technique to collect all the free memory present in the form of fragments in one large piece of memory which can be used to run other process.~~

~~It does by moving all the process towards one end of memory and all the available free space towards other end so that it becomes contiguous.~~

~~Although the compaction technique is very useful in making memory utilization efficient and reduce external fragmentation but large amount of time is wasted hence it reduces efficiency.~~

Before Compaction:

The memory has some free space between occupied space (external fragmentation). Due to this less free space between occupied space, large process cannot be loaded.

After Compaction:

All the occupied space has been moved up and free space at bottom. This makes the space contiguous and remove external fragmentation. Process with large memory requirement can be loaded into RAM.

*Memory  
14/11/24*

PAGING In Non-Contiguous

Paging is a memory management scheme that permits the physical address space of a process to be non-contiguous. It allows fitting of various process of varying process sizes into memory that has external fragmentation.

It is used to store/retrieve data from HD to RAM.

In paging, physical memory is divided into fixed partition called 'frame'. Virtual address space is also divided into blocks, is called 'pages'. It is the amount of space in hard disk used for storing data of RAM.

The virtual address of a process is divided into two parts - Page number and offset. The Page Map Table contains page number and base address of frames in physical memory. The base address is combined with offset to determine actual memory address.

*the value of*

The page and frame sizes are determined by hardware. Operating System keeps track of states of each frame using MMT. It indicates whether the frame is free or allocated.

No first fit or best fit algorithms are used because the frame sizes are equal to page size.

### Hardware Support for Paging

This technique deals with protecting the memory for storing of mapping tables and speeding up mapping of virtual memory to physical memory.

The size of PMT must be large enough to accommodate the maximum size process in the system. A dedicated register 'Page Map Table Limit Register' is used to record highest virtual page number for process. Another register 'Page Map Table Base Register' is maintained to set the base address of PMT.

### Disadvantages:

- i> Due to fixed size of page, it may not utilize properly. ('Internal Frag')
- ii> Page tables consume large amount of memory.
- iii> Paging is a complex system to implement and debug.
- iv> Too many pages in physical memory at same time can lead to thrashing.
- v> OS needs to track pages on disk which can reduce performance.

## SEGMENTATION

It is a memory management scheme in which a single process is divided into blocks of related information, called 'segments'. It provides dynamic relocation, protection and sharing.

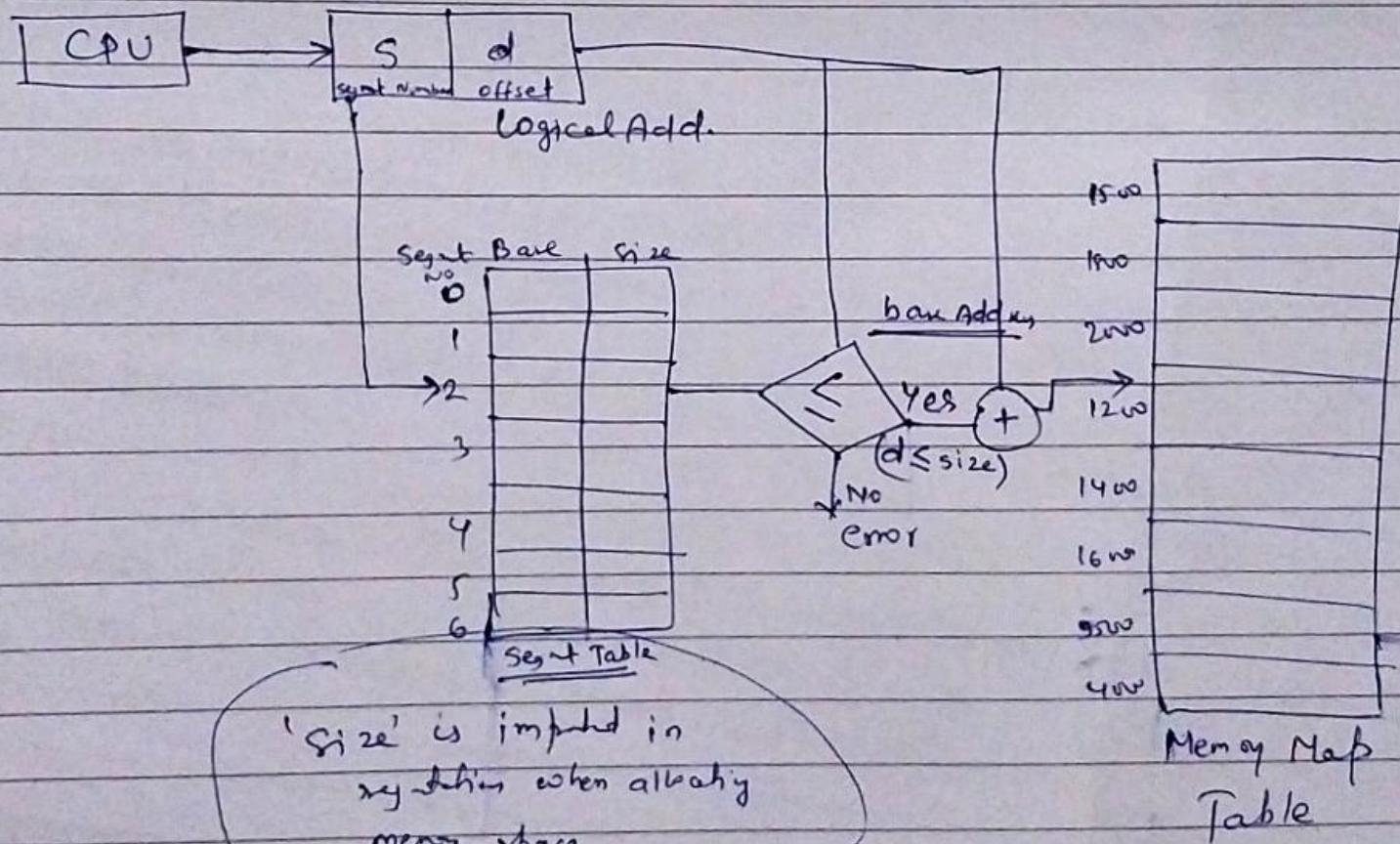
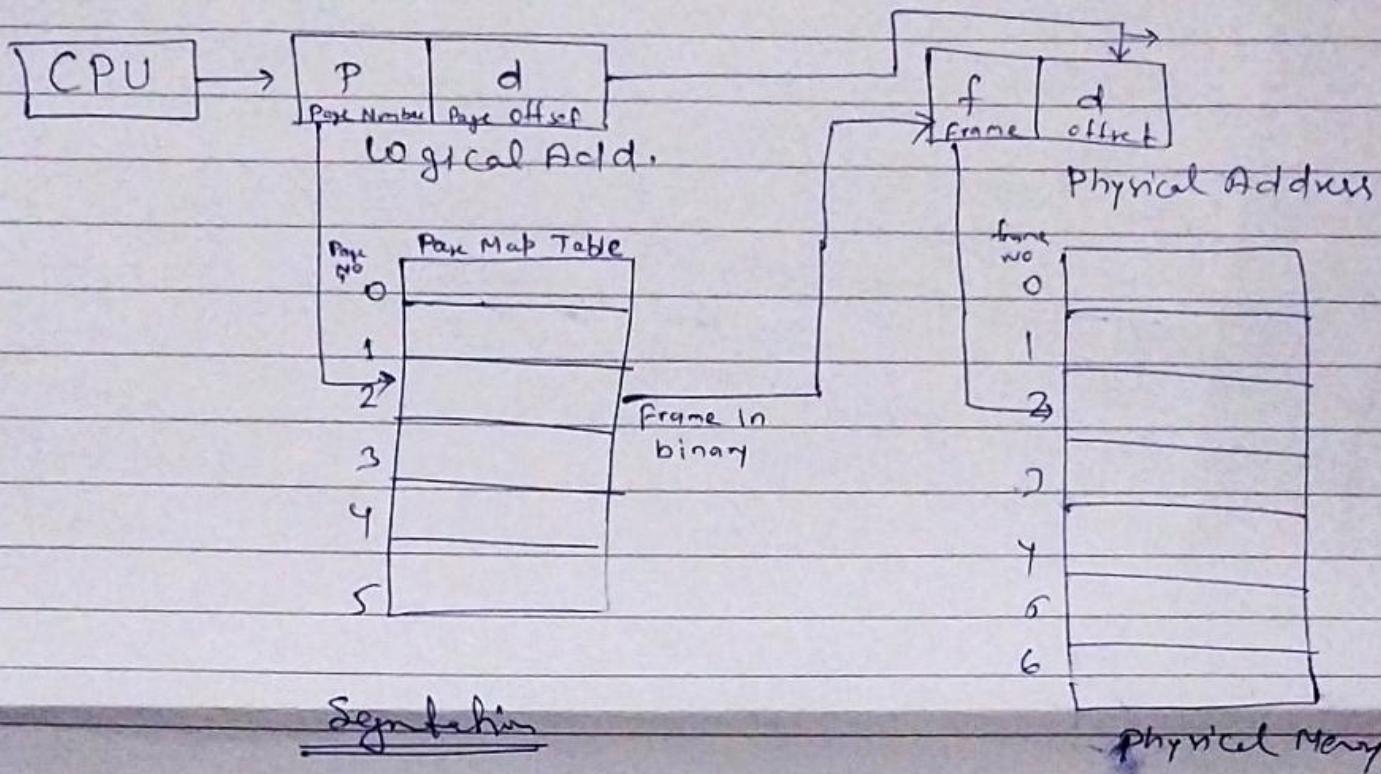
Generally, a program consists of set of instructions, global and local data and stacks so, a segment is a collection of such entities. Four segments are - CODE, DATA, STACK and SHARED.

Address generated by CPU in segmentation consists of two components -  
<segment number, offset>

When a segmented process request for memory, the OS creates a suitable portion as large enough to accommodate the need of each segment. The base and size of loaded segment are collected in a table called Segment Descriptor Table (SDT).

SDT can put either in fast register or in memory. A SDTBR is set to base of running process and since its size may vary so, SDTLR is used to contain the last segment number.

Used to store/retrieve data from memory to main memory page.



Disadvantages:-

- i) It can cause external fragmentation.
- ii) The segment table requires more memory for each entry.
- iii) It can be more difficult to implement and manage than paging.
- iv) It can be challenging to allocate contiguous memory.
- v) It can be difficult to swap segments of different sizes.

\* Difference between Paging and Segmentation.Paging

- |  |  |
|--|--|
| i) The program is divided into <u>fixed size</u> .       | The program is divided into <u>variable size</u> . |
| ii) For paging, Operating System is accountable.         | For segmentation, compiler is accountable.         |
| iii) Page size is determined by hardware.                | Segment size is determined by user.                |
| iv) It is faster.  | It is slower.                                      |
| v) It results in internal fragm.                         | It results in external fragme.                     |
| vi) Paging is invisible to user.                         | Segmentation is visible to user.                   |
| vii) It is hard to share procedure between processes.    | It can share procedures between processes.         |
| viii) Protection is hard to apply for paging.            | Protection is easy to apply for segmentation.      |
| ix) Page is referred to as physical unit of information. | It is referred to as logical unit of information.  |

## Virtual Memory

The secondary memory that is used to keep the portion of address space of executing process, is called virtual memory. It is a memory management scheme, which allows partial loading of virtual address in physical memory.

The Operating System decides which section to bring in, when to bring and where to place it in main memory.

The virtual memory system allows automatic migration of portions of address between main memory and secondary memory.

Virtual memory is implemented by maintaining the image of virtual address space of process in secondary memory and then into main memory.

## Performance Increment with

### Virtual Memory

Partial loading of addresses into physical memory, reduces the amount of physical memory used per process so, many processes can be loaded into physical memory simultaneously.

## Management of Virtual Memory

When paging is used as memory management scheme, the implementation of virtual memory requires maintenance of one page map table per process. As size of virtual memory can be much greater than main memory, the size of PMT much larger.

The Operating System maintains one MMT to maintain a list of portions of physical memory available for allocation.

FMT is used when the required page is not found in main memory. One FMT is prepared and maintained for each active process.

## Problems to Virtual Memory Concept

- i> Allocation Policy:- It decides how much real memory to be allocated to each process.
- ii> Fetch Policy:- It decides about when and which items are to brought into RAM.
- iii> Replacement Policy:- It decides about when a new item is to brought and replaced with new one.
- iv> Placement Policy:- It decides about placement of an incoming item in physical memory.

100nay  
19/11/24

## Unit-IV: I/O Management Function

The device management is the management of device in which OS tracks devices, allocating and deallocating device, monitoring device, installing device etc.

I/O traffic controller keeps the track of status of device and I/O scheduler decides how I/O processor process a request and provide path from memory to device.

### Dedicated Devices

A peripheral device that is assigned to perform single task at a time, is called dedicated device. It is allocated to a job for its entire duration.

Some devices lend themselves to this form of allocation. It may be inefficient, if the job does not fully and continually utilize the device.

### Shared Devices

The devices that can be accessed by multiple user simultaneously, is called shared device. Ex-Disk-ASD. Information is stored on direct access device is directly accessible.

## Input-Output Devices

The computer mainly works on input-process-output cycle. The user feed data to computer as input. These data are then converted into machine language and after this, data is stored in machine language and memory where they are taken for processing and after processing the result is displayed as output.

Throughout the whole cycle, the process deals with various I/O and storage devices.

### i) Input Devices:

The device that is used to supply input to computer for processing, is called input device. Ex- Keyboard, Mouse etc.

Various common input devices are as follows:-

i) Keyboard:- It is an input device that is used to input key typed characters into computer. Mainly, keyboard with 104 key is used widely. Various types of keys include numeric key, character key, function key etc.

ii) Mouse:- It is an input device that is used in GUI system in which we interact through pictures. It is a navigation or pointing device used to supply input. It contains two specific button and a ball to supply input.

iii) Smart Card Reader: The device that is used to decode the data stored on the magnetic strips of smart card, is called smart card reader. Ex- ATMs contains magnetic strips on the back of card to store important data.

iv) Light Pen: It is a type of pointing device that is used to choose a displayed menu on screen for a program. It doesn't emit light, it reacts to light through photo-sensitive detector. It is used for marking portion of screen.

v) Scanner: It is a type of input device that is used to transfer printed or handmade documents to computer. Flat bed scanner is widely used. It is cheaper and have better resolution.

vi) Bar Code Reader: It is made up of bars of different width and spacing that gives algebraic and numeric information about products and address. Bar code scanners are fast, accurate and efficient.

vii) Digital Cameras: These are the type of image capturing and video capturing device which uses magnetic or semiconductor technology to store data. The picture and video are of best quality or resolution based on type of camera.

- CGA - Colour Graphic Adaptor
- EGA - Enhanced Graphic Adapter
- VGA - Video Graphic Adapter
- XGA - Extended Graphic Adapter

JMS

Date:

Page No.:

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ii) Output Device: The device that is used for taken or display output of processed data, is called output device. It is the third phase of processing cycle. In this, the information is displayed in soft or hard form.

Two types of output device:-

- i) Soft copy output
- ii) Hard copy output

i) Soft copy output: It is a type of output which is available to user till computer is on. This is not a permanent type output.

ii) Hard copy output: It is a type of output which can be read directly and immediately. It is produced on paper and is permanent type of output.

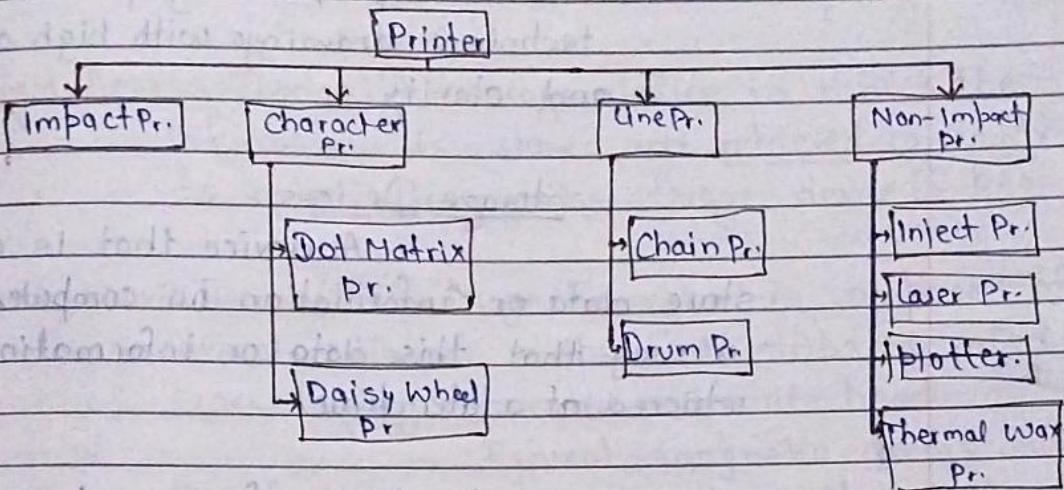
Various are common output device:-

i) Computer Monitor: The monitor or Visual Display Unit is a device that displays visual information from a computer. It is essential setup allowing users to see output generated by computers.

Various types of monitors like LED, LCD and OLED comes in different sizes resolution and types.

OLED -  
Organic LED

ii) Printer: It is a peripheral device that produces hard copy of document. It translates digital data from a computer into physical form on paper.



iii) Speaker: It is a computer hardware output device that provides audio output for various multimedia application. It allows user to hear sound effect, music and other audio elements while using computer.

~~Speakers can come in different sizes and configuration, from built-in speaker on laptop to external speaker connected to desktop.~~

iv) Projector: It is a device that allows user to display the content from their computer screen onto a larger surface, known as projector screen with a larger audience.

iv) Plotter: It is a device that is used to create high-quality graphics and for printing large engineering drawings or architectural blueprints. This results in precise and detailed output, making plotters ideal for technical drawings with high accuracy and clarity.

### Storage Devices

A device that is used to store data or information in computer in such a way that this data or information may be retrieved at a later time.

On the basis of access time, the storage device classifies into three categories:

i) Serial Access Device

ii) Completely Direct Access Device

iii) Direct Access Device.

i) Serial Access Device: It is a device in which the information is stored and retrieved in a strict manner.

Ex- Magnetic Tape Unit. Information is stored in them as record form. Each record can be identified by its physical position on tape.

These are mainly used for applications that only require sequential accessing.

ii) Completely Direct Access Device: A device in which the access time does not vary and it remains constant for every request. Ex- Magnetic core memory, semiconductor memory, ROM etc. It is used in main memory.

iii) Direct Access Device: A storage device in which the information is stored and retrieved randomly, is called direct access storage device. Ex-RAM.

i) Fixed Head Drum/Disk: Magnetic drum is composed of several adjacent strips. Each strip is called track having separate read/write head.

Typical magnetic drums move very fast and have several read/write heads. So, a random access to read or write can be accomplished in 5 or 10 milliseconds.

Characteristics:

rotation speed  $\approx 10$  msec

maximum access time  $\approx 10$  ms

Ave. access time  $\approx 5$  ms

Capacity = 10 million byte

serial access time  $\approx 1$  ms

ii) Moving Head Drum/Disk: It uses flat disk with a series of concentric circles, one for each read/write head. The heads are physically moved from track to track and such unit called moving head.

Ex- FD, HD.

Ave. access time  $\approx 30$  ms

Capacity = 100 million bytes

Disadvantages of buffering

- i) First come first serve
- ii) Shared back home first
- iii) SCRAP is lost
- iv) Circular buffer is limited

IM5

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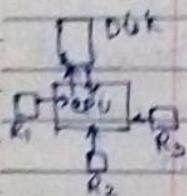
(PFA)

Adv:

- i) Efficiency
- ii) Resource management
- iii) User convenience

Disadv:

- i) Memory usage
- ii) Complexity



Types:-

- i) Print spooling
- ii) Job scheduling
- iii) E-mail spooling

Adv:

- i) Efficient use of resource
- ii) Resource management
- iii) Better user experience

Disadv:

- i) Storage required
- ii) Complexity

## Buffering

A process in which the data is stored in a buffer or cache, which makes this data more accessible than original source. It is an area in memory that is used to hold data that is transmitted from one place to another. It is used to manage speed mismatch between two devices.

## Spooling

SPPOOL stands for Simultaneous

Peripheral Operation on line. A spool is a buffer that holds output for a slower device during execution of a program. So, spooling is done with the help of high speed device.

(PFA)

A ring buffer mechanism is used for spooling. In first step called spooler, the output of process is written to ring buffer. The second process reads line from ring buffer and writes them on printer. It improves the performance of system. It leads to multiplexing. It keeps CPU and I/O working at higher rate.

## Spooling

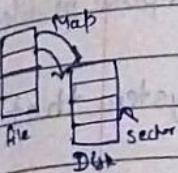
- i) It overlaps I/O of one job with execution of another job.
- ii) It is more efficient.
- iii) It processes data at remote place.
- iv) It handles large amount of data.
- v) It is more complex.
- vi) It uses temporary memory (buffer).

## Buffering

- i) It overlaps I/O of one job with executing of same job.
- ii) It is less efficient.
- iii) It doesn't process data at remote place.
- iv) It handles limited amount of data.
- v) It is less complex.
- vi) It uses disk or special storage area (buffer).

- File System
- i) Windows - NTFS
- ii) Unix - Unix file sys.
- iii) Dos - fat file system
- iv) Linux - Extended file system
- v) Big data - ZFS file system

- Disk Size
- Plat. × Surface × Track
  - × Sector × Data



Before storing a file on memory, it is divided into blocks { then maps to disk }

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## Unit-IV G. File

### Management

The collection of related information that is stored on secondary memory permanently, is called file. It generally represents programs and data. It may be numeric, alphabetic or binary.

File information can be a text file, object programs, executable program and so on. Source file is a sequence of subroutines and functions organised as declaration followed by executable statement. Executable file is a series of code sections that the loader bring into memory and execute them.

File System is a  
rule / software  
OS (Kernel)

### Functions of File System

Following are the major functions of file system as follows.

i) It locates the information from secondary storage into main memory.

ii) It manages secondary storage medium.

iii) Each block of file is tracked.

iv) It provides disk scheduling

v) It extracts directory content.

vi) It supports protection and sharing of files between multiple user.

vii) It provides backup facilities when system crashes.

### File Attributes (Metadata)

A file has certain attributes

which may vary from one system to another.

i) Name: The name given to file when saving may read the purpose of file

ii) Type: It is used for those system that support different types.

iii) Location: It tells where the file is stored on disk.

iv) Size: It defines file size in kb, mb, tb etc.

v) Access Time

v) Protection: To protect the file information from external hacker or fraudster.

vi) File Owner

vi) Modify time and date: It denotes the time and date when file is created.

vii) File Lock info.

vii) Extension: It defines how the data within the file is organised like .txt, .pdf etc.

viii) Permission: It means the permission required to open file for whom can see file.

ix) Encryption: It defines data security that protect file's content from unauthorized user.

## File Operations

File operation is an action performed on a file in computer like create, delete etc. Various types of operation are :-

i) Create: The file is created in the file system. It allocates space to store file.

ii) Open: The file may open to read, modify the content.

iii) Close: When the user needs get fullfill, it must close the file.

iv) Read: To read a file with the help of system call.

v) Move

vi) Search

v) Write: To <sup>write</sup> a file, with the help of system call specifying the name of file to written to file.

vi) Reposition: File can be re-positioned from one location to another.

vii) Delete: File can be deleted to make free.

viii) Truncate: Truncating a file means deletion of specific content, no whole file.

ix) Append: A file can be appended from file.

x) Rename: File can be renamed many times.

x) Copy: Copy of file can be made for sharing that file to other.

## Access Methods for File

To read or write a file information or record, is called file access. There are several ways for information to be accessed:

- i) Sequential Access
- ii) Direct Access
- iii) Other Access method

i) Sequential Access: In sequential access method, the information in the file is processed in sequential order. The writing and reading of data in a sequential order. Ex-magnetic tape. The read and write operation on file automatically advances the file pointer.

- Use:-
- i) Text file
  - ii) Audio or video
  - iii) Backup system
- Charst:
- i) Data is accessed one record right after the last.
  - ii) Read a pointer to be moved by one.
  - iii) Write allocates space for the record.
  - iv) It is implemented by file system.

ii) Direct Access: It means accessing a point within storage point without moving sequentially. There is no restriction on the order of reading or writing for direct access file. It is used in magnetic disk. It can store large amount of information.

- Use:-
- i) Database
  - ii) Multimedia application
  - iii) OS virtual memory
- Charst:
- i) No restriction in reading or writing block.
  - ii) The file is viewed as numbered sequence of blocks.
  - iii) It is much faster for large files.

iii) Other Access Method: It can be built on top of direct access for fast direct accessing by involving concept of index. Index is a table which contains pointers to various blocks. In some file system, multilevel index can be formed such that 1<sup>st</sup> level index points to 2<sup>nd</sup> level.

### File Management

File system is a mechanism that provides an online storage of program or data so that it can be retrieved later. Users enter its information into system through file. The file system permanently stored on storage that holds permanent data.

A file system is designed in such manner that it not only defines a file and program along with attributes but also maps the logical file onto the physical storage.

There are four types of file system:-

i) Simple file sys.

ii) Basic file sys.

iii) Logical file sys.

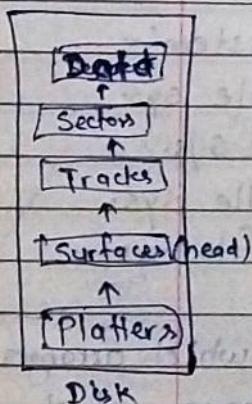
iv) Physical file sys.

i) Simple file system: It is the part of file system which arranges which arrange the user's files in a manner which is seen by user. It arranges the files in directories and subdirectories along with extension name. It is the responsibilities to create structure of files for user.

ii) Basic File System: This file system helps user in reading and writing records in terms of physical block on secondary storage. For identifying the physical block, the basic file system manages the numeric disk address in terms of drive, side, cylinder etc.

iii) Logical file system: It manages metadata information which includes the entire file system structure except actual data. It also manages directory structure File Control Block (FCB). It provides protection and security to file.

iv) Physical File System: It manages how the data are arranged on physical storage. It consists of device drivers and interrupt handlers and it manages the transfer of information between main memory and secondary storage. It provides physical address of form. The information generated by it are used by Basic file system to help user.



### Methods for allocation

The allocation methods define how the files are stored in disk blocks. The main purpose of this is efficient disk space utilization and fast access to file blocks.

- Adv:
- i) Disk Utilization
- ii) Retrieve faster

- Three methods for this is based on our requirement
- i) Contiguous Allocation
  - Non-Contiguous Allocation
    - ii) Linked Allo.
    - iii) Indexed Allo.

## FAT - File Allocation Table

Access/seek time increase in Non-cont.

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i) Contiguous Allocation:- In this scheme, each file occupies a contiguous set of blocks on the disk.

Ex- if a file requires 'n' blocks and is given a block 'b' as starting location, then the blocks assigned to file be- b, b+1...b+n-1.

The directory entry for file with contiguous allocation contains address of starting block and length of allocated portion.

First fit and best fit are the most common algorithm used to select a free hole from set of holes.

### Non Contiguous

ii) Linked Allocation:- In this scheme, each file is a linked

list of disk blocks which need not to contiguous.

The disk blocks can be scattered anywhere on disk. The directory entry contains a pointer to the starting and ending file block. Each block contains a pointer to the next block occupied by the file.

Hence external fragmentation is removed in linked allocation because

Two things, it has

- Data
- Pointer of next node



blocks to other blocks

Disk:

- i) Efficiency
- ii) Space utilization
- iii) Reliability
- iv) Time savings

iii) Indexed Allocation:- In this scheme, all the blocks number of a file is brought into one block, called

Indexed block. The directory entry contains the address of index block. The 'ith' entry in index block contains disk address of 'ith' file block.

When the first block is written, then its number is put into first index of index block.

Disk:

- i) Pointer
- ii) Index block
- iii) Pointer over laid

Hence index allocation removes the problem of external fragmentation.

### Free Space Management

It is used to maintain area. It records all disk blocks that are free. When a file is created, free space is allocated to it and when it is deleted, its space is returned to free space list.

i) Bitmap or Bit Vector:- It is a collection of bits where each bit corresponds to disk block. '0' indicates the block is free and '1' indicates allocated block. It is very simple and efficient to find blocks on disk.

ii) Linked List:- In this, free blocks are linked together and address of first block is stored at a specific location on disk and cached in memory. First free block contains the address of next block.

iii) Grouping:- In this, first free block contains address of 'n' free consecutive blocks. The last block also contains address of another 'n' free blocks soon. Hence, we get sufficient large free blocks.

iv) Counting:- It stores the address of first free block and a number 'n' of free contiguous disk blocks that follows first block. So, free space list contains a disk address along with count.

Keynote  
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