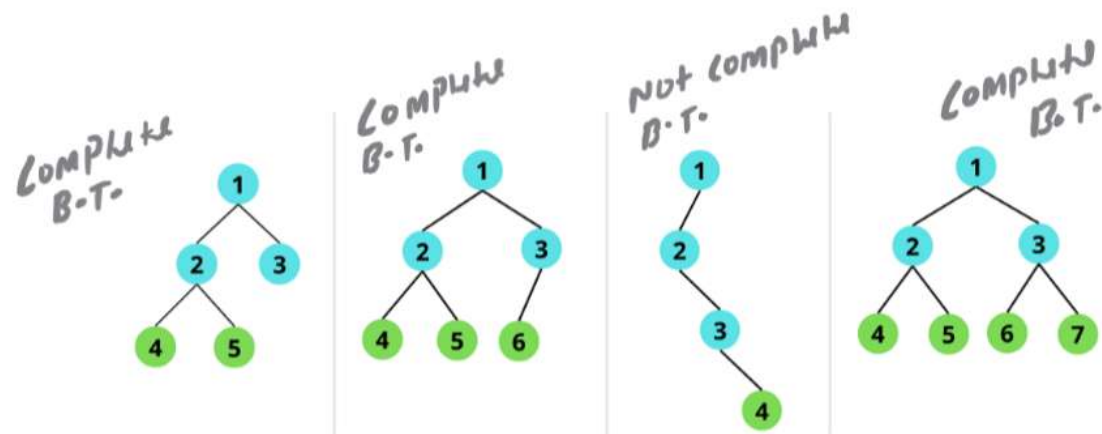


15/12/2023

# HEAP CLASS - 1

## 1. What is Heap Data Structure?

A heap is a non-linear tree-based data structure where the tree is a **complete binary tree** and follows heap properties.

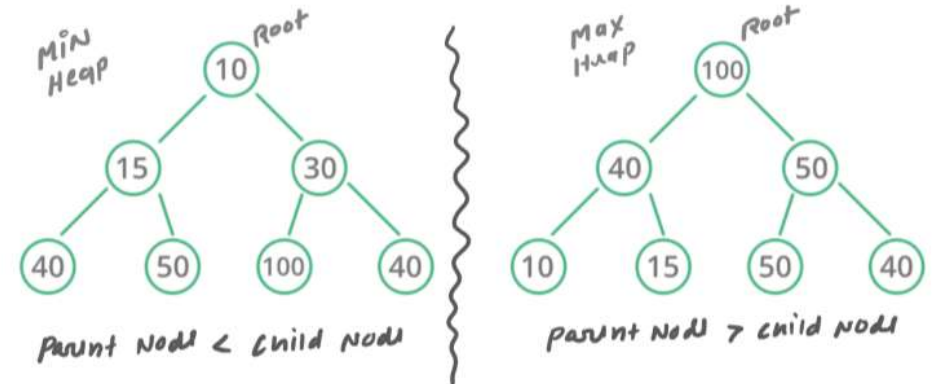


## 2. Types of Heap Data Structure

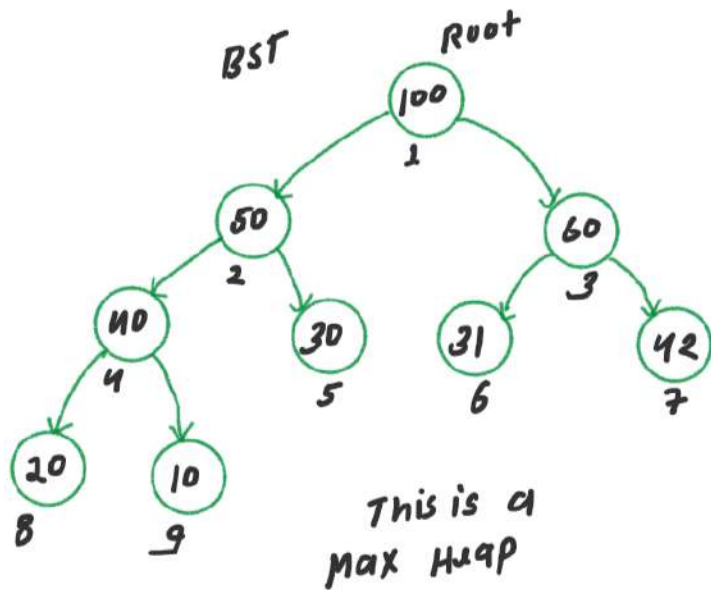
A heap can be either a min heap or a max heap.

In a max heap, the key of a parent node is greater than or equal to the key of its child node.

In a min heap, the key of a parent node is less than or equal to the key of its child node.



### Heap Visualization through BST



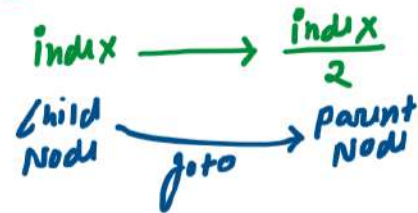
### Heap Implementation through Array

Array

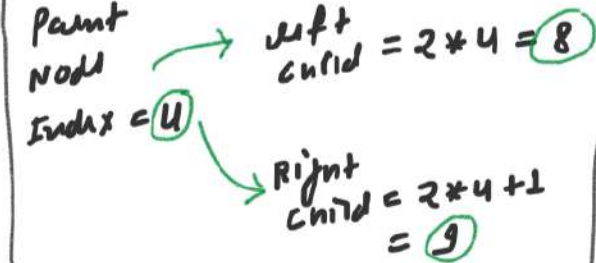
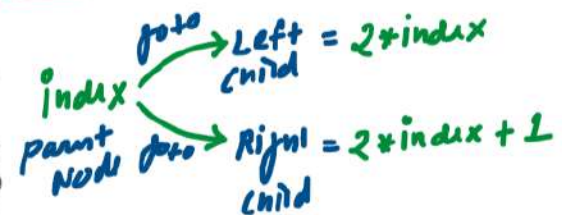
-1	100	50	60	40	30	31	42	20	10
0	1	2	3	4	5	6	7	8	9

When we are using 1 Based Indexing of Array

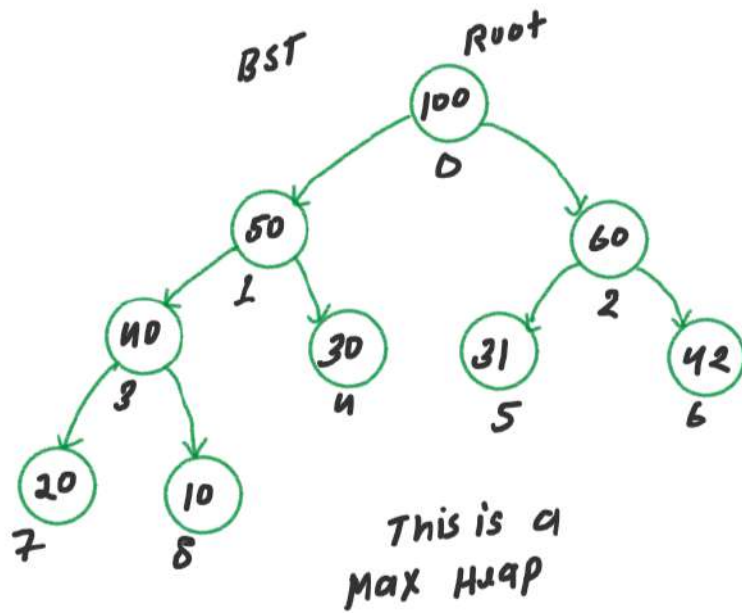
#### Child to Parent



#### Parent to Child



### Heap Visualization through BST



### Heap Implementation through Array

Array

100	50	60	40	30	31	42	20	10
0	1	2	3	4	5	6	7	8

When we are using 1 Based Indexing of Array

Child to Parent

Child =  $i \rightarrow$  Parent = ?

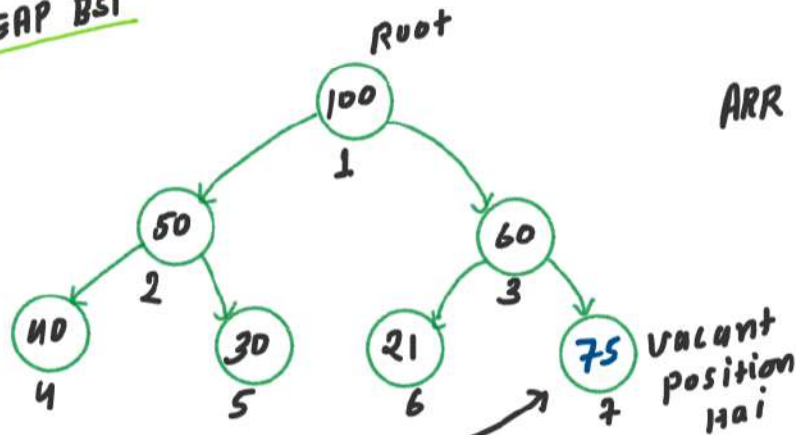
Parent to child

$\text{Parent} = i \rightarrow \begin{cases} \text{Left} = 2*i + 1 \\ \text{Right} = 2*i + 2 \end{cases}$

### 3. Insertion Operation of Heap Data Structure

{ Always, insertion of new element from left to right }

MAX HEAP BST

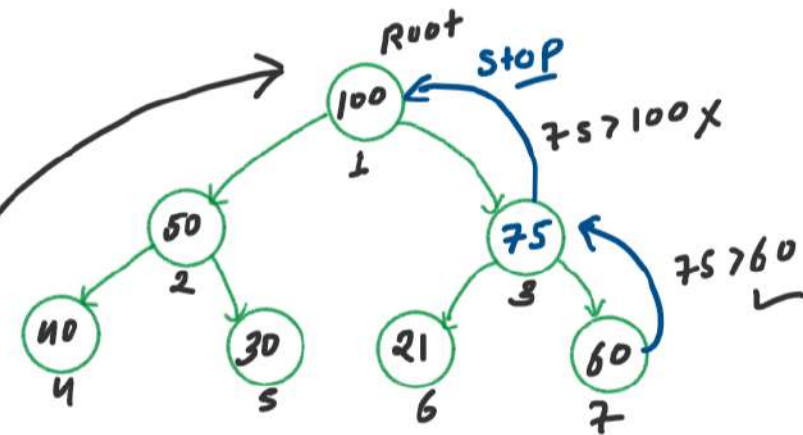


ARR

-1	100	50	60	40	30	21
0	1	2	3	4	5	6

**We want to insert 75**

- 1) insert element into vacant position
- 2) correct the position [Heapify]

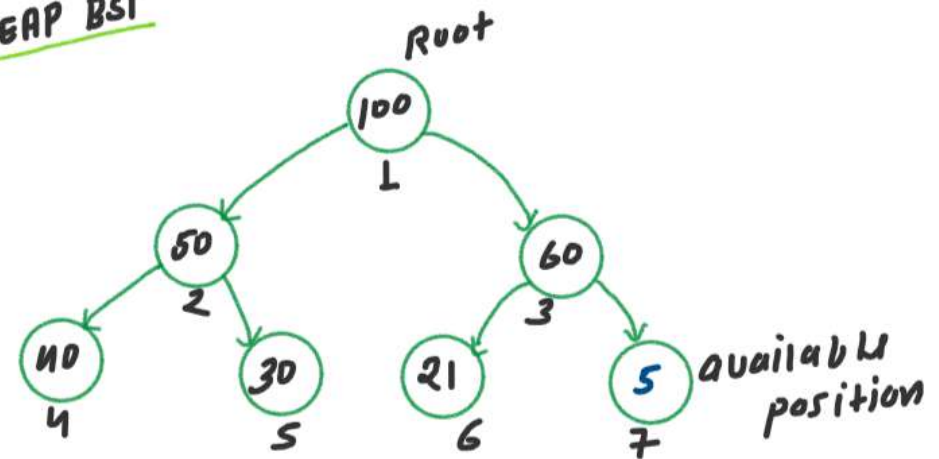


What is heapification?

new Element > parent node  
↳ swap

new Element < parent node  
↳ ignore / stop

MAX HEAP BST



1) insert 5

2)  $5 > 60$  False

↳ **stop**

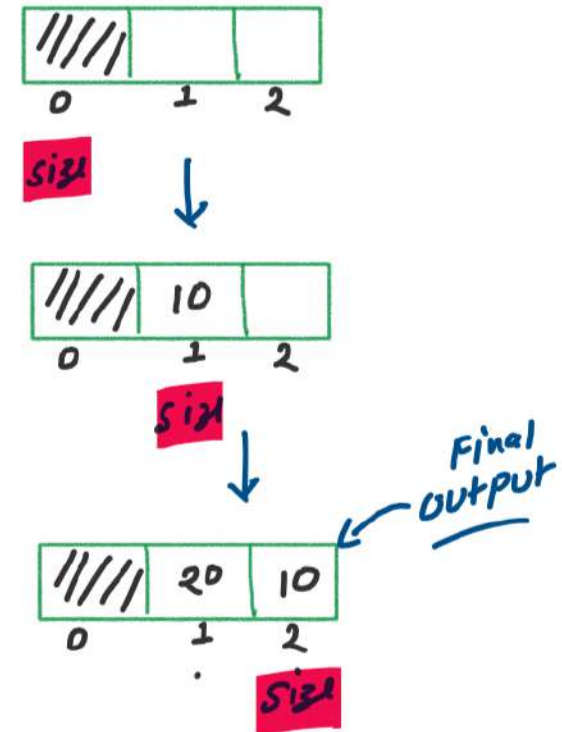
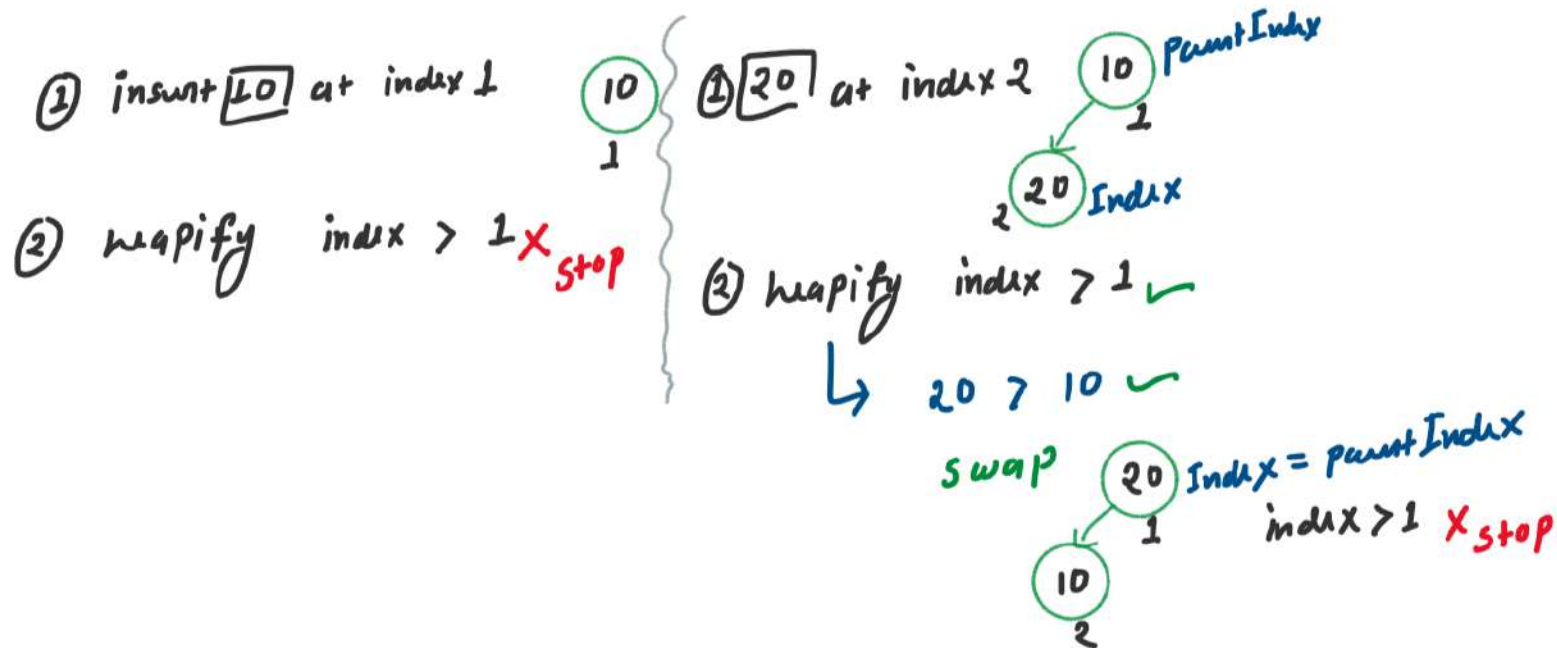


## DRY RUN

Example 1:

Input: 10 20

Output: 20 10



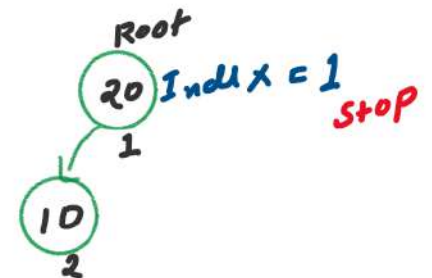
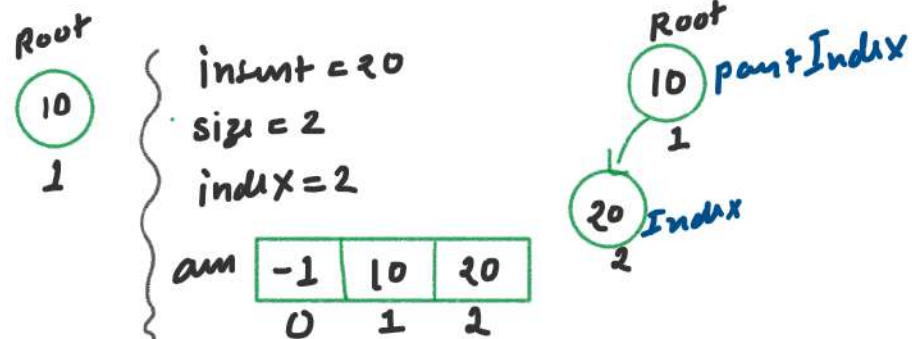
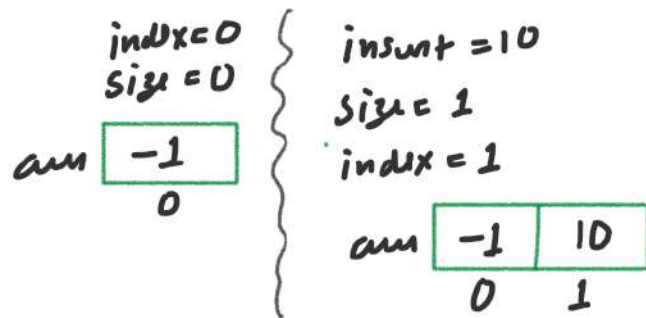


## DRY RUN

Example 2:

Input: 10 20 5 11 6

Output: 20 11 5 10 6

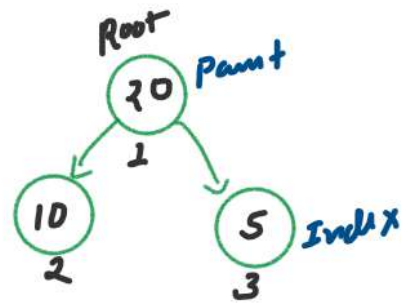


insert = 5  
 size = 3  
 index = 3

am

-1	20	10	5
0	1	2	3

Before and After  
 Insertification



insert = 11  
 size = 4  
 index = 4

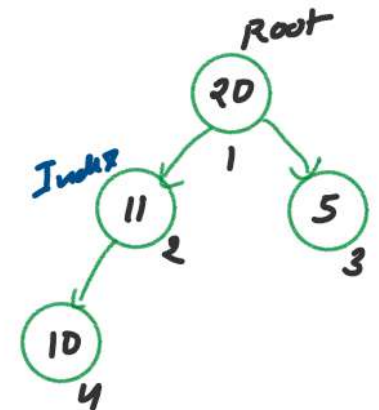
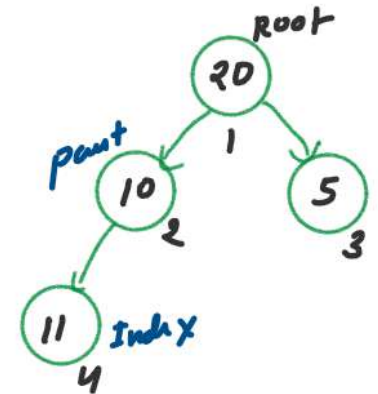
am

-1	20	10	5	11
0	1	2	3	4

After mapify ⇒

am

-1	20	11	5	10
0	1	2	3	4



insert = 6

size = 5

index = 5

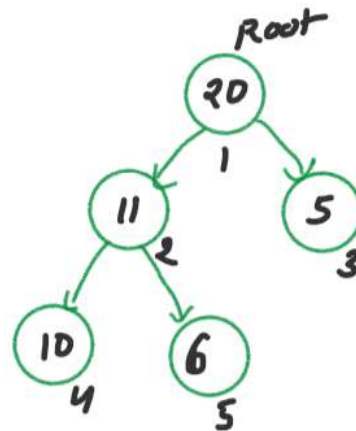
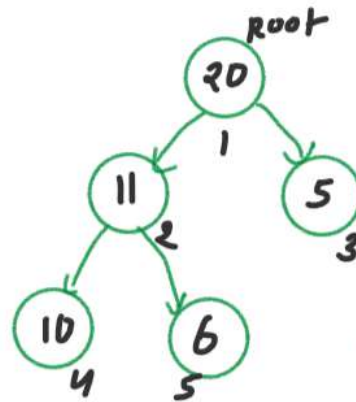
arr

-1	20	11	5	10	6
0	1	2	3	4	5

After mapify  $\Rightarrow$

arr

-1	20	11	5	10	6
0	1	2	3	4	5



Output = 

20	11	5	10	6
----	----	---	----	---

```
#include<iostream>
using namespace std;
```

```
class Heap
{
public:
    int *arr;
    int capacity;
    int size;

    Heap(int capacity){
        this->arr = new int[capacity];
        this->capacity = capacity;

        // Size = current number of elements in heap
        this->size = 0;
    }

    // 1. Insertion Operation of Heap Data Structure
    void insertion(int val){
        ...
    }

    // Printing Heap
    void printHeap(){
        ...
    }
};
```

```
int main(){
    int capacity = 5;
    Heap h(capacity);

    // Insertion of element
    h.insertion(10);
    h.insertion(20);
    h.insertion(5);
    h.insertion(11);
    h.insertion(6);

    cout<< "Printing Heap" << endl;
    h.printHeap();
}
```

```
// 1. Insertion Operation of Heap Data Structure
void insertion(int val){
    if(size == capacity){
        cout<< "Heap Overflow" << endl;
        return;
    }
```

*Insertion*

```
// Size increase ho jayega (1-Based Indexing)
size++;
int index = size;
arr[index] = val;
```

```
// Take the value to its correct position
while (index > 1)
{
    int parentIndex = index/2;
    if(arr[index] > arr[parentIndex]){
        // Swap kardo
        swap(arr[index], arr[parentIndex]);
        index = parentIndex;
    }
    else{
        // Loop ko stop kardo
        break;
    }
}
```

*Heapification*

```
// Printing Heap
void printHeap(){
    for (int i = 1; i <= size; i++)
    {
        cout<< arr[i] << " ";
    }
    cout<<endl;
}
```

***Insertion Operation:***

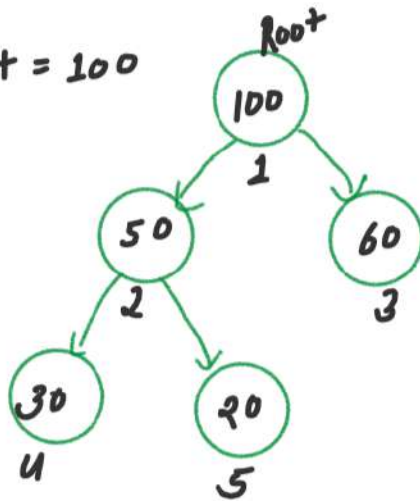
***Time Complexity:***  $O(\log N)$ , Where  $N$  is number of elements in heap.

***Space Complexity:***  $O(\text{capacity})$ , Where capacity is constant.

#### 4. Deletion Operation of Heap Data Structure

EX

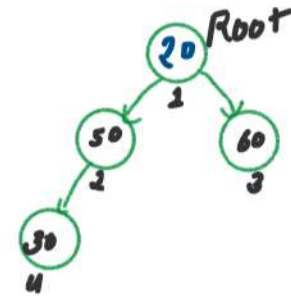
Root  
Element = 100



Always Remove, Access Element from Root & Delete Element from Root

STEP 1

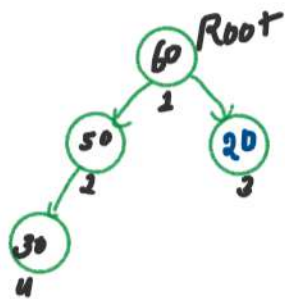
Root ko Replace kardo last element se.



STEP 2

Ab Root ko uski correct position par lakhado

Output



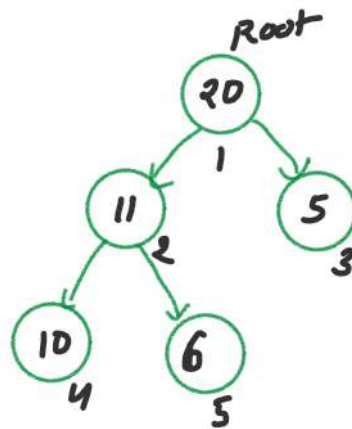
DRY RUN

EX=2

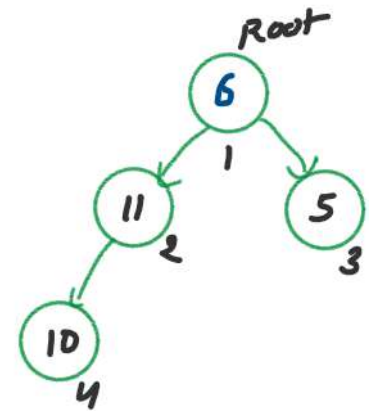
Arr

-1	20	11	5	10	6
0	1	2	3	4	5

size = 5



STEP 1



Arr

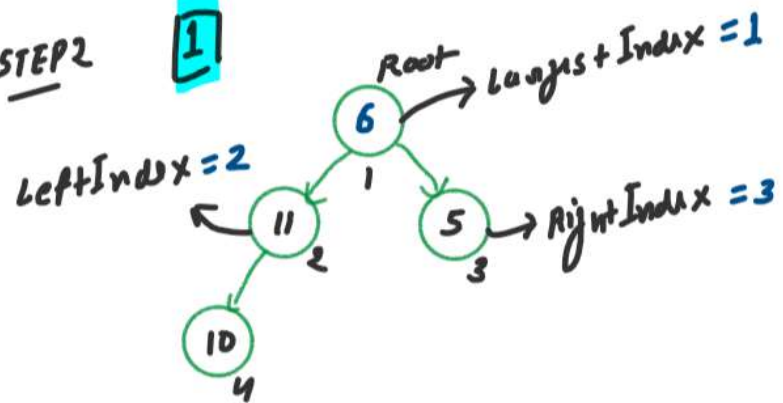
-1	6	11	5	10
0	1	2	3	4

size = 4



STEP 2

1



Ans

-1	6	11	5	10
0	1	2	3	4

Index = 1  
Size = 4

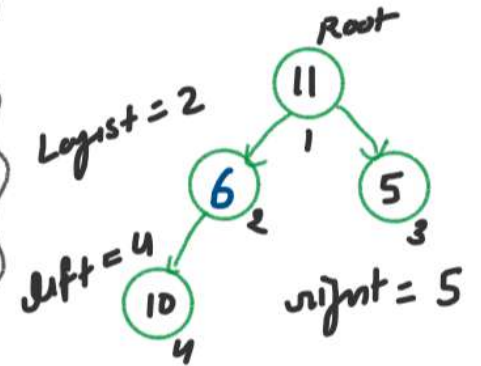
check left

$6 < 11$  TRUE  
largest Index = 2

check right

$11 < 5$  FALSE  
largest Index = 2

$(\text{Index} \neq \text{largest Index})$   
Swap  
Index = largest Index  
= 2



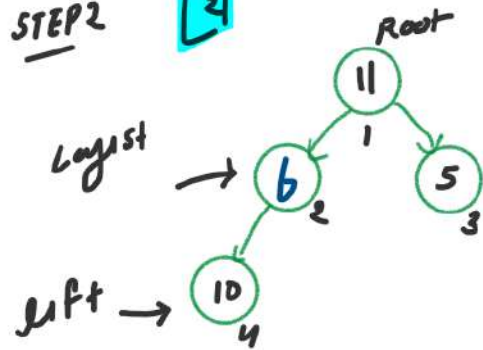
Ans

-1	11	6	5	10
0	1	2	3	4

Index = 2  
Size = 4

STEP 2

2



Arr

-1	11	6	5	10
0	1	2	3	4

$index = 2$   
 $size = 4$

$largestIndex = 2$   
 $leftIndex = 4$   
 $rightIndex = 5$

check left

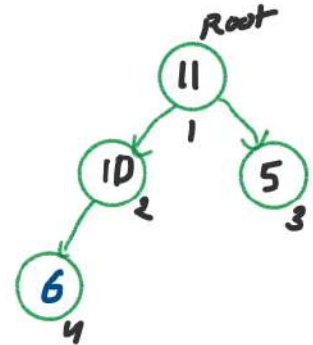
$6 < 10$  TRUE  
 $\rightarrow largestIndex = 4$

check right

$\rightarrow$  Out of Bound Condition

$right < size$   
 $5 < 4$  FALSE

$(index \neq largestIndex)$   
 $\rightarrow$  Swap  
 $\rightarrow index = largestIndex = 4$



Arr

-1	11	10	5	6
0	1	2	3	4

$index = 4$   
 $size = 4$

$STOP \Rightarrow$

$4 == 4$  TRUE  
 $index == size$

Output

11 10 5 6

```

// Deletion Operation of Heap Data Structure
int deleteFromHeap(){
    int savedRoot = arr[1];

    // Step 1: Replace root element with last element
    arr[1] = arr[size];
    // Last element ko delete kardo --> means size-1 ho jayega
    size--;

    // Step 2: Ab root element ko uski correct position par rakh do
    int index = 1;
    while (index < size)
    {
        int leftIndex = 2*index;
        int rightIndex = 2*index+1;
        int largestIndex = index;

        // Now find -> largest index from three indices
        // Check left index
        if(leftIndex <= size && arr[largestIndex] < arr[leftIndex]){
            largestIndex = leftIndex;
        }
        // Check right index
        if(rightIndex <= size && arr[largestIndex] < arr[rightIndex]){
            largestIndex = rightIndex;
        }
        // No changes in index -> loop break krdo
        if(index == largestIndex){
            break;
        }
        else{
            swap(arr[largestIndex], arr[index]);
            index = largestIndex;
        }
    }

    return savedRoot;
}

```

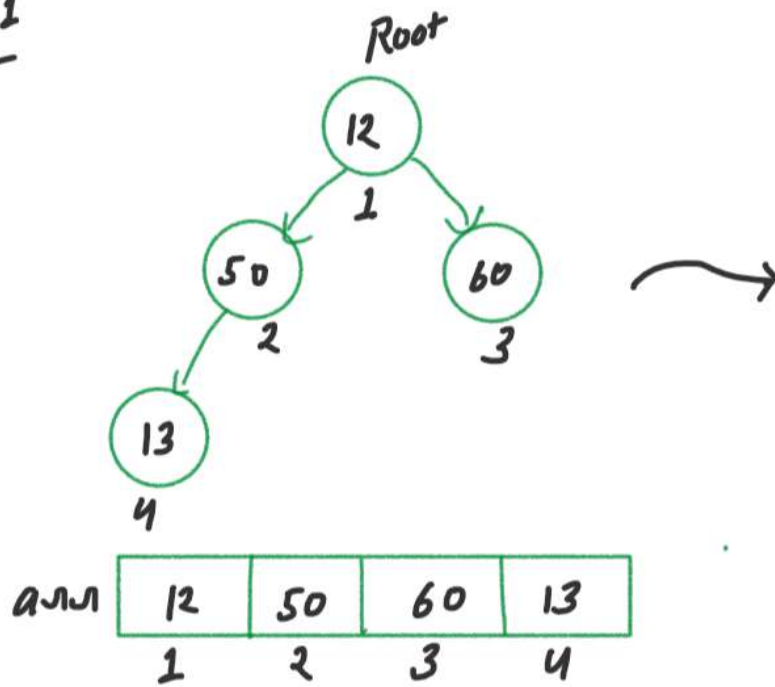
**Deletion Operation:**

**Time Complexity:**  $O(\log N)$ , Where  $N$  is number of elements in heap.

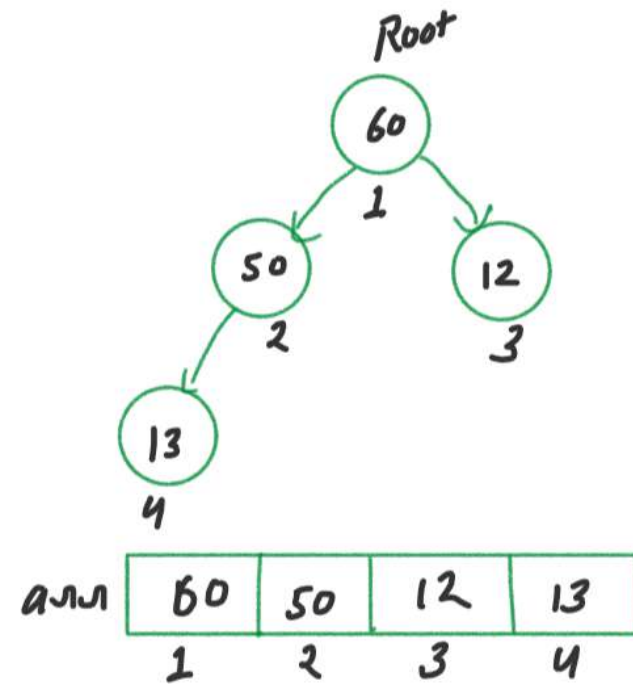
**Space Complexity:**  $O(1)$ , Where 1 is constant.

## 5. HEAPIFY Using Recursion

Ex 1

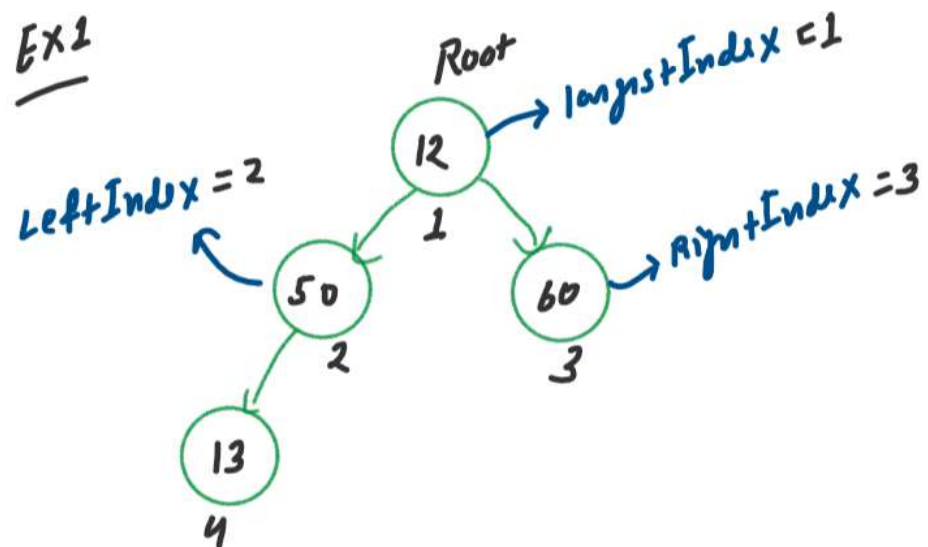


Output



DRY RUN

Ex 1



arr

12	50	60	13
1	2	3	4

Index = 1

Size = 4

Find largest among these

check left

$|12| < |50|$   
→ largestIndex = 2

check right

$|50| < |60|$   
→ largestIndex = 3

if (index != largestIndex)

1-case Hum solve kar lenge

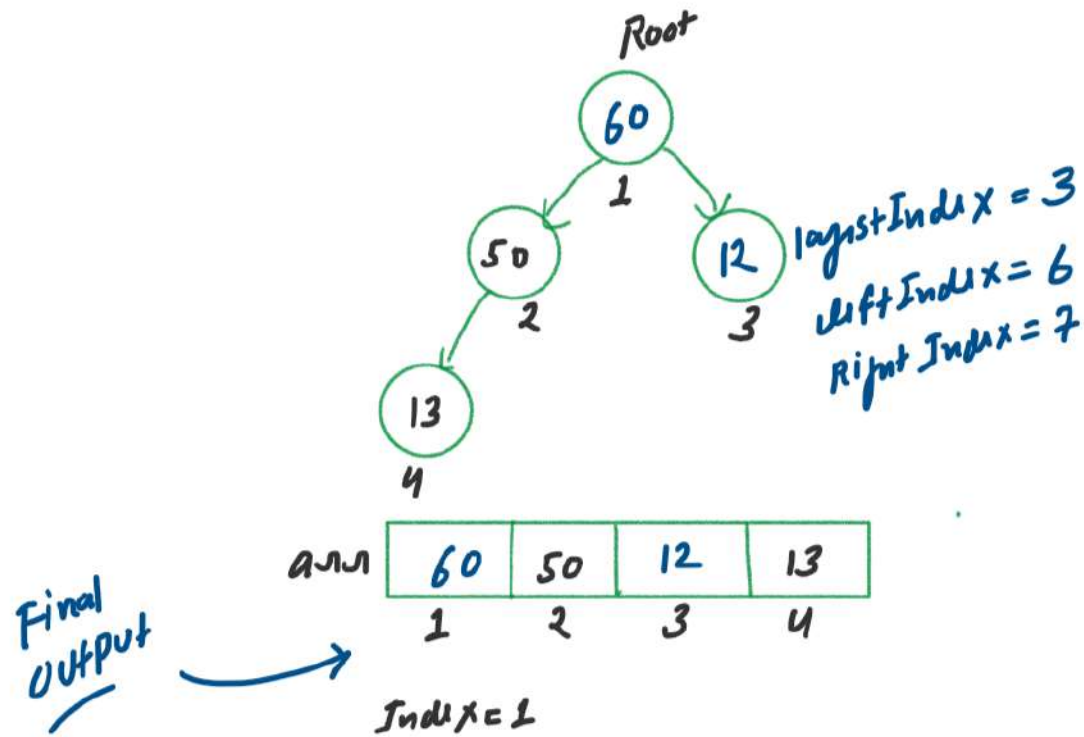
swap(arr[index], arr[largestIndex]);

Ab recursion solve kar lenge

index = largestIndex;

f(arr, size, index);





Right and Left Index  
To Available Hi Nahi Hai

means

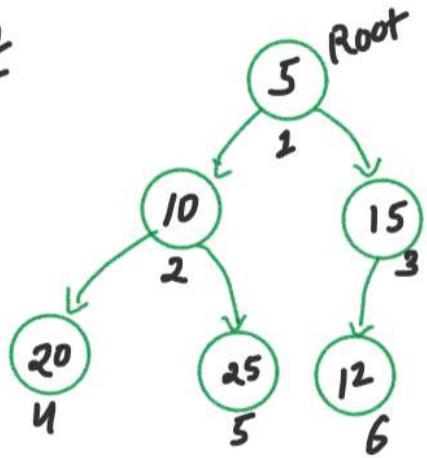
Out of Bound condition Apply  
Ho jayegi

$Left \leq size$   
 $Right \leq size$

(To Aise hi Bas condition Apply  
Kam Ki Nahi Hai)



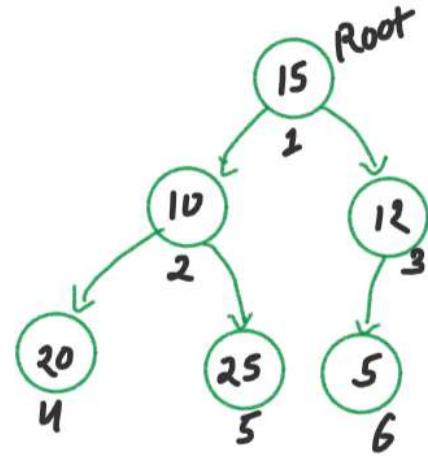
Ex2



arr

5	10	15	20	25	12
1	2	3	4	5	6

Output



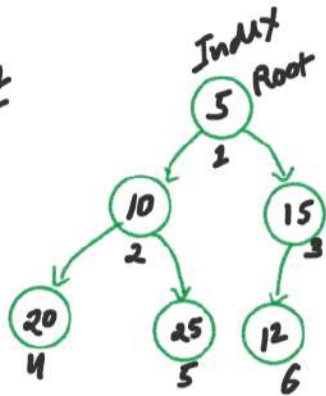
arr

15	10	12	20	25	5
1	2	3	4	5	6



# DRY RUN

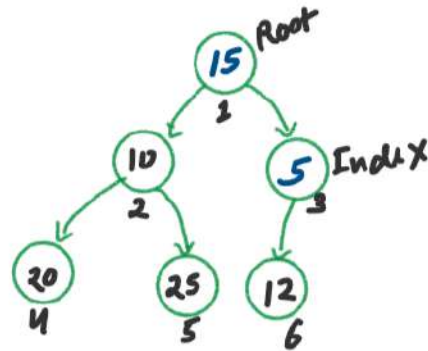
Ex2



arr

5	10	15	20	25	12
1	2	3	4	5	6

f(arr, 6, 1)



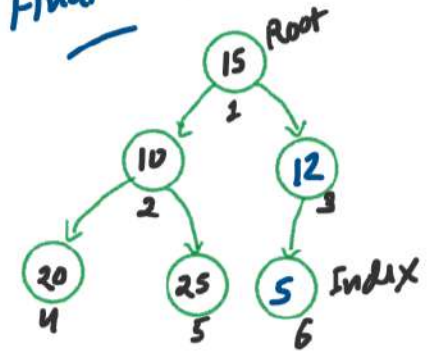
arr

15	10	5	20	25	12
1	2	3	4	5	6

f(arr, 6, 3)



Final output



arr

15	10	12	20	25	5
1	2	3	4	5	6

f(arr, 6, 6)

STOP (index = size)

```
// 5. Heapify using recursion

void heapify(int *arr, int size, int index){
    int leftIndex = 2*index;
    int rightIndex = 2*index+1;
    int largestIndex = index;

    // Now find -> target index from three indices
    if(leftIndex <= size && arr[largestIndex] < arr[leftIndex]){
        largestIndex = leftIndex;
    }
    if (rightIndex <= size && arr[largestIndex] < arr[rightIndex]){
        largestIndex = rightIndex;
    }
    if(index != largestIndex){
        // 1 case hum solve kar lenge
        swap(arr[largestIndex], arr[index]);

        // Ab recursion solve kar lega
        index = largestIndex;
        heapify(arr, size, index);
    }
}

/*
Example 1:
Input:
arr[12 50 60 13] and size = 4

Output:
Printing Heap
60 50 12 13

Example 2:
Input:
arr[5 10 15 20 25 12] and size = 6

Output:
Printing Heap
15 10 12 20 25 5
*/
```

Time Complexity =  $O(\log N)$

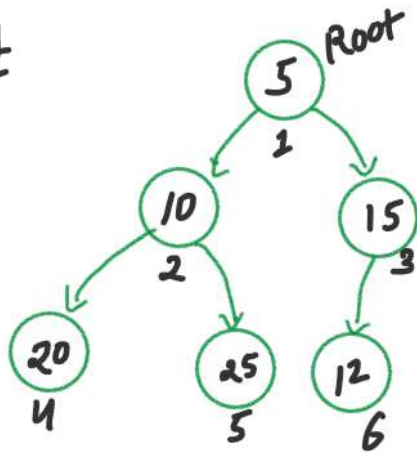
Space Complexity =  $O(\log N)$ , where  $\log N = H$   
 ↳ Due to Max function calls are equals to Height of B.T.

↳ Where  $N$  = Number of element in Array  
 $H$  = Height of Binary Tree

6. Convert Array to Heap (Create heap using array)

TIME COMPLEXITY =  $O(N)$

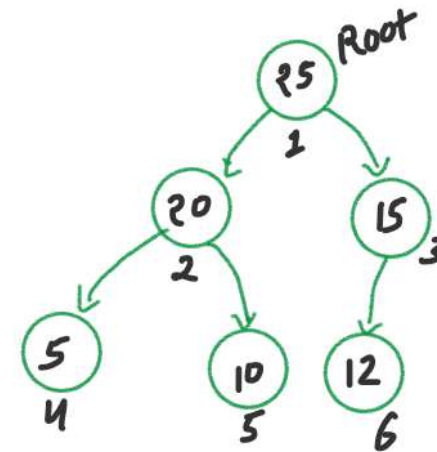
Ex 1



arr

5	10	15	20	25	12
1	2	3	4	5	6

Output

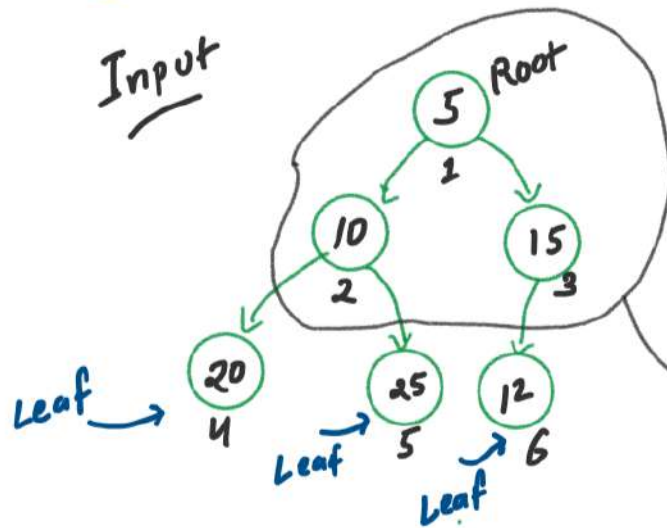


arr

25	20	15	5	10	12
1	2	3	4	5	6

Logic Building

Input



Leaf nodes are valid Always

$\left(\frac{N}{2} + 1\right)^{\text{th}}$  to  $N^{\text{th}}$  node  $\rightarrow (4 \text{ to } 6)$

Loop  $\left(\frac{N}{2} \text{ to index}\right)$

```

for (i = N/2; i > 0; i--) {
    Heapify(arr, N, i);
}

```

non leaf nodes  
(3 to 1)

arr

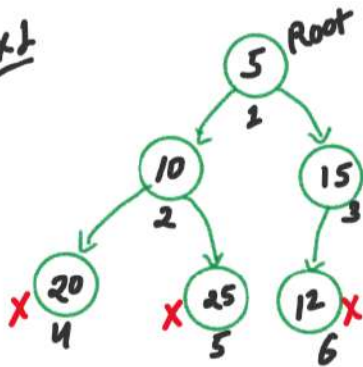
5	10	15	20	25	12
1	2	3	4	5	6

$N=6$

DRY RUN

```
buildHeap(arr, N) {  
  for (i = 3 to 1) {  
    heapify(arr, N, index);  
  }  
}
```

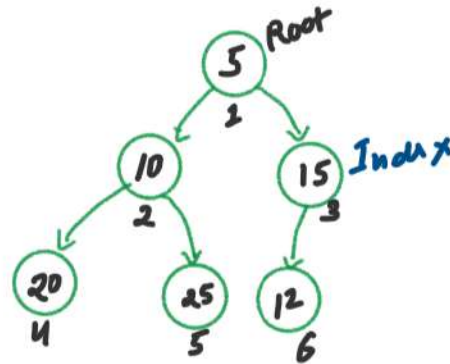
Ex 1



arr

5	10	15	20	25	12
1	2	3	4	5	6

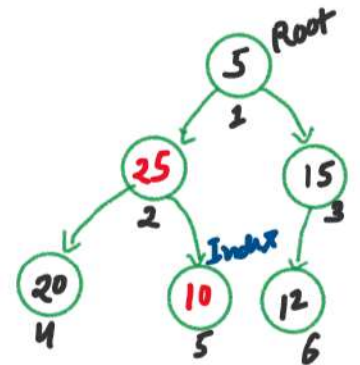
Heapify(arr, 6, 3)



arr

5	10	15	20	25	12
1	2	3	4	5	6

Heapify(arr, 6, 2)



arr

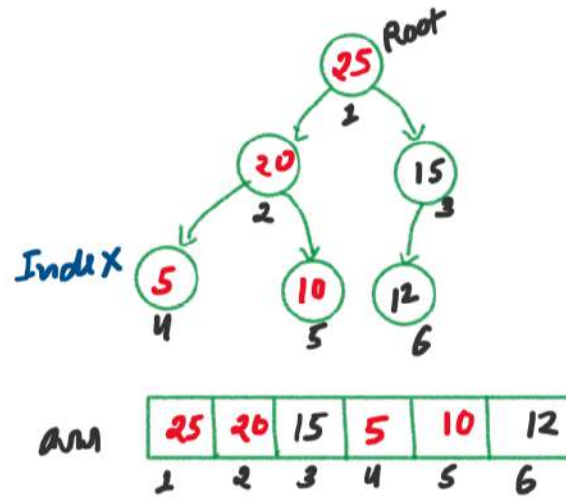
5	25	15	20	10	12
1	2	3	4	5	6

Heapify(arr, 6, 1)

Final output

T.C.  $\Rightarrow O(N)$

Where,  $N$  is number  
of elements in Array.



→ why?

```
// 6. Create heap using array
void buildHeap(int *arr, int n){
    for(int index = n/2; index > 0; index--){
        heapify(arr, n, index);
    }
}
```

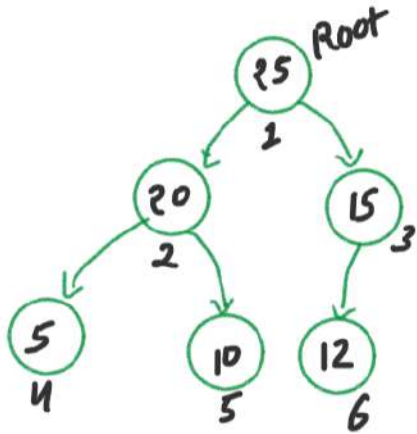




## 7. Heap Sort

Time complexity =  $O(N \log N)$

Ex 1



Input

arr

25	20	15	5	10	12
1	2	3	4	5	6

Output

arr

5	10	12	15	20	25
1	2	3	4	5	6

Approach

STEP 1

Last Node & First Node ko swap krake raho jab tak index 0 = 1

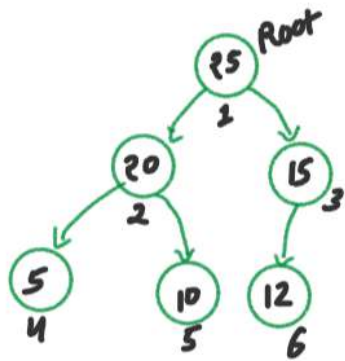
STEP 2

First Node ko heapify krdo  
(Root Index = 1)



DRY RUN

1



AM

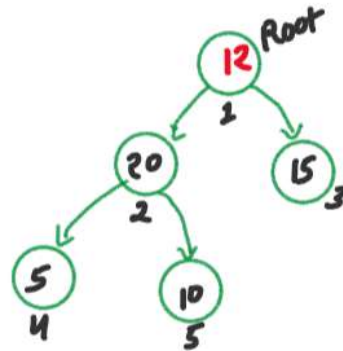
25	20	15	5	10	12
1	2	3	4	5	6

STEP1 SWAP

Root Node = 25

Last Node = 12

N = 6

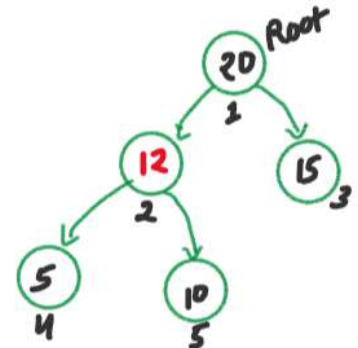


AM

12	20	15	5	10	25
1	2	3	4	5	6

STEP2 Heapify 12

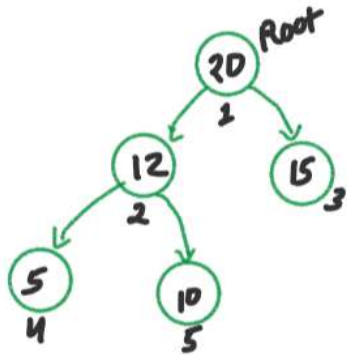
N = 5



AM

20	12	15	5	10	25
1	2	3	4	5	6

2



AM

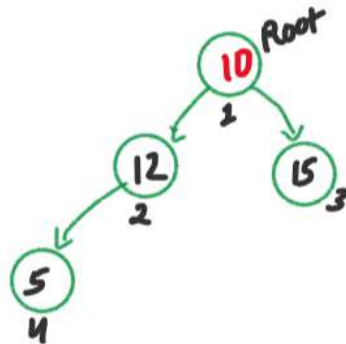
20	12	15	5	10	25
1	2	3	4	5	6

STEP1 SWAP

Root Node = 20

Last Node = 10

N=5

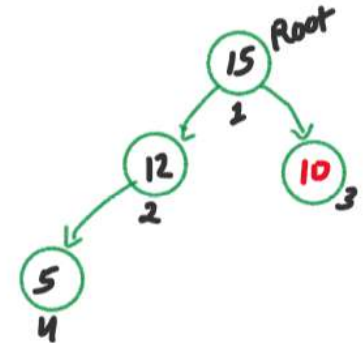


AM

10	12	15	5	20	25
1	2	3	4	5	6

STEP2 Huapify 10

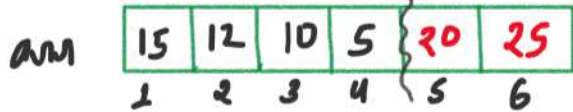
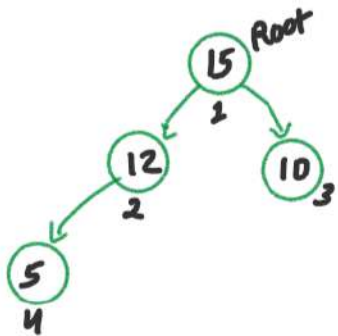
N=4



AM

15	12	10	5	20	25
1	2	3	4	5	6

3

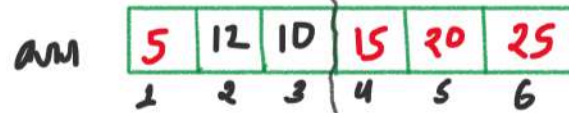
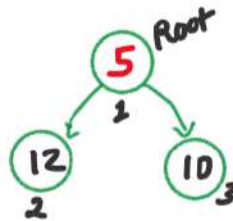


STEP1 SWAP

Root Node = 15

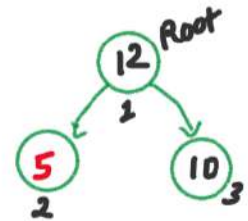
Last Node = 5

N=4

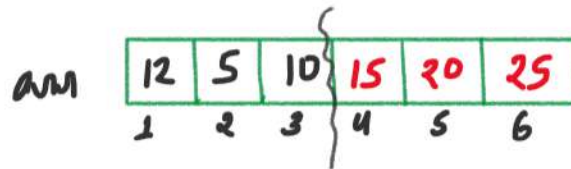
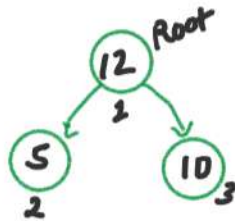


STEP2 Heapify 5

N=3



4

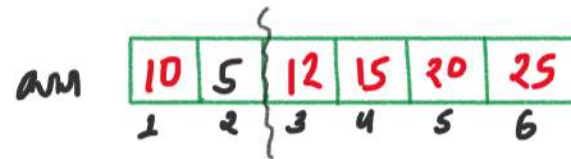
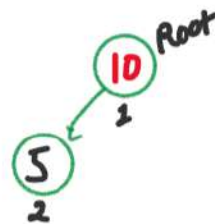


STEP1 SWAP

Root Node = 12

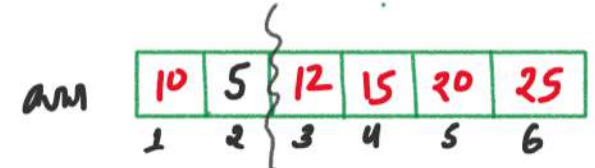
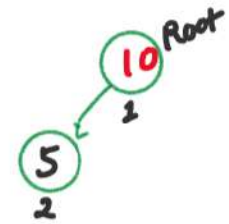
Last Node = 10

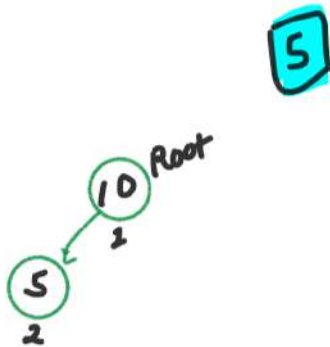
N=3



STEP2 Heapify 10

N=2





AM

10	5	12	15	20	25
1	2	3	4	5	6

STEP1 SWAP

Root Node = 10

Last Node = 5

N = 2



AM

5	10	12	15	20	25
1	2	3	4	5	6

STEP2 Heapify 5

N = 1



AM

5	10	12	15	20	25
1	2	3	4	5	6

Final Output

arr

5	10	12	15	20	25
1	2	3	4	5	6

SORTED  
ARRAY

5 Root  
1

6

STOP  $\Rightarrow N == 1$

Time complexity

Iteration =  $O(N)$  and

Heapify =  $O(\log N)$

Overall Time complexity  
=  $O(N \log N)$



```
// 7. Heap Sort
void heapSort(int *arr, int n){
    while (n != 1)
    {
        swap(arr[1], arr[n]);
        n--;
        heapify(arr, n, 1);
    }
}
```

**Time Complexity:**  $O(N \log N)$ ,  
Where  $N$  is number of elements in array

**Space Complexity:** ?