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# (12) United States Patent

Katabi et al.

(54) METHODS AND APPARATUS FOR
MONITORING OCCUPANCY OF WIDEBAND
GHZ SPECTRUM AND SENSING AND
DECODING RESPECTIVE FREQUENCY
COMPONENTS OF TIME-VARYING
SIGNALS USING SUB-NYQUIST CRITERION
SIGNAL SAMPLING

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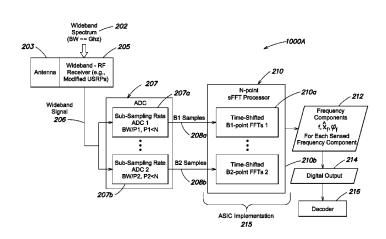
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# (57) ABSTRACT

Methods and apparatus for monitoring wideband GHz spectrum for wireless communication, and sensing and decoding respective frequency components of a time-varying signal (Continued)



corresponding to the monitored spectrum. Concepts relating to sparse Fast Fourier Transform (sFFT) techniques facilitate identification of one or more frequency components of a sparsely occupied spectrum by sub-sampling the signal corresponding to the monitored spectrum at a sampling rate below the Nyquist criterion. The disclosed methods and apparatus may be implemented using conventional relatively low-power wireless receivers and using off-the-shelf relatively inexpensive low-speed and low-power analog-to-digital converters (ADCs) typically employed in WiFi devices or cellular phones, in tandem with unique processing techniques based on sFFTs and sub-Nyquist criterion sampling, and have demonstrated efficacy even in scenarios where the monitored spectrum is not sparse.

## 49 Claims, 12 Drawing Sheets

# Related U.S. Application Data

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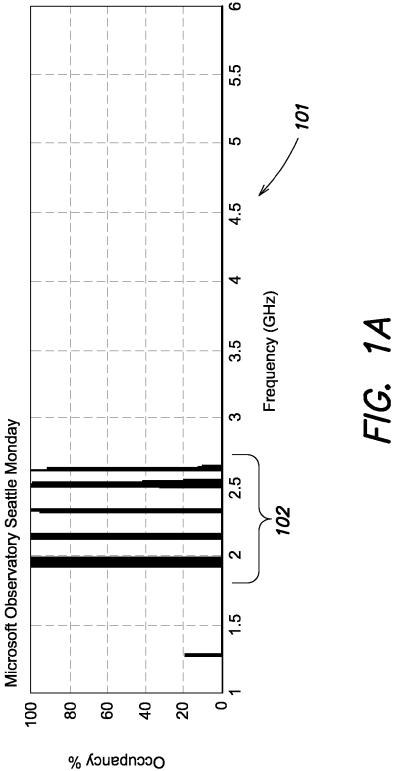
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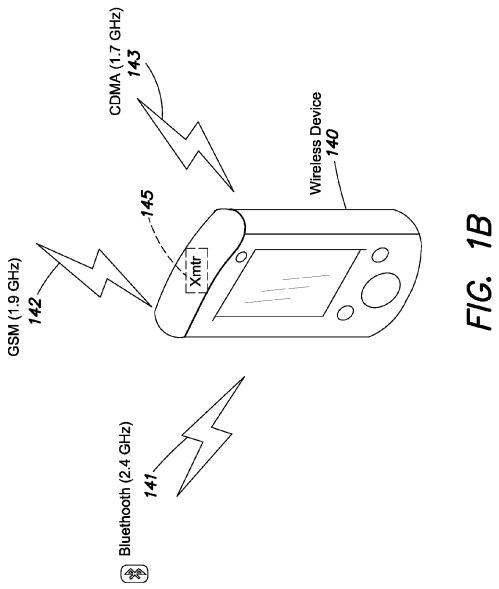
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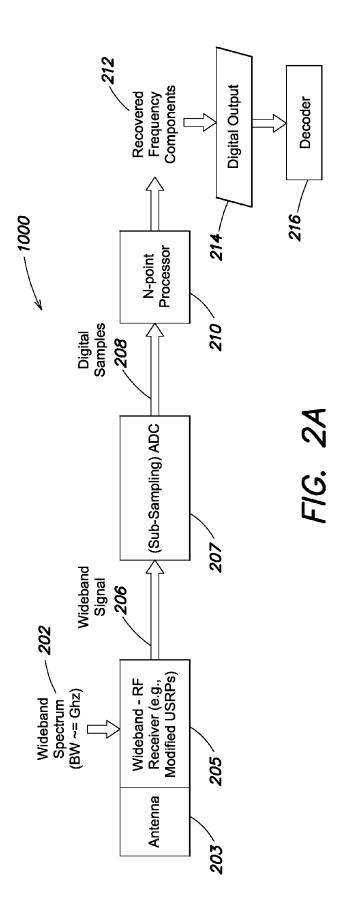
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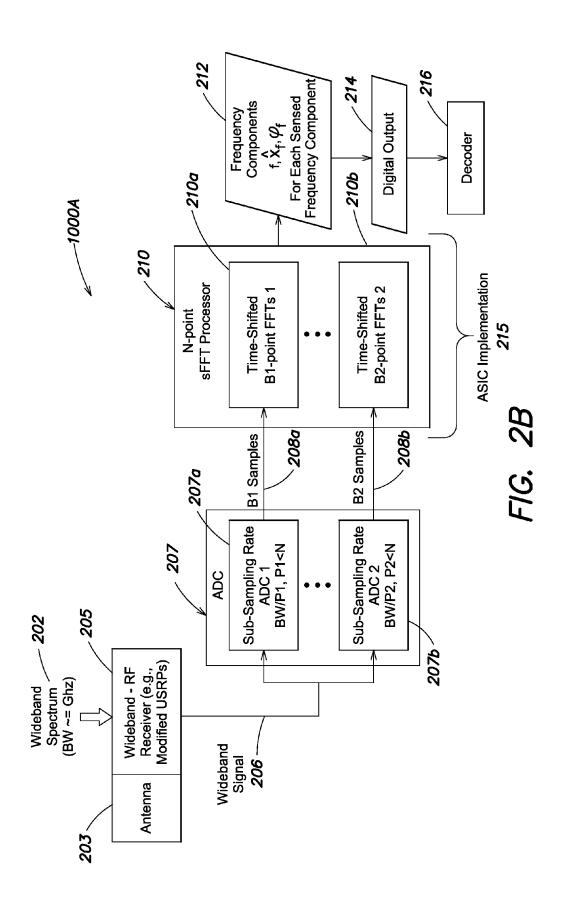
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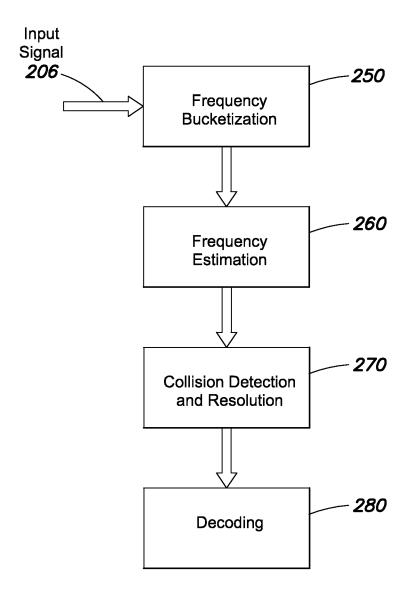
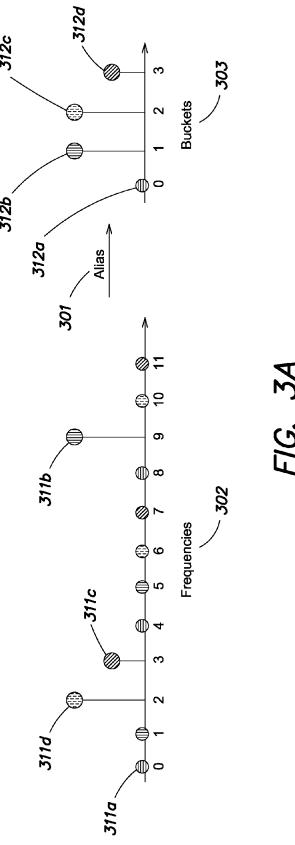
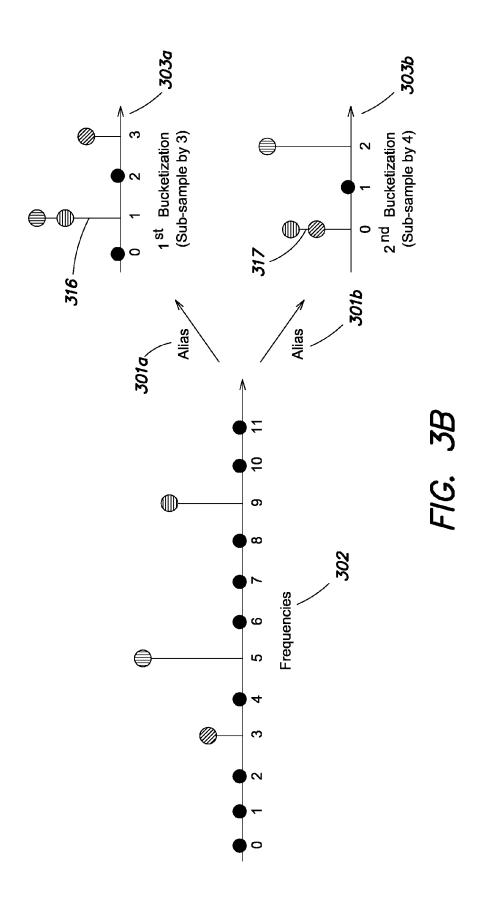


FIG. 2C





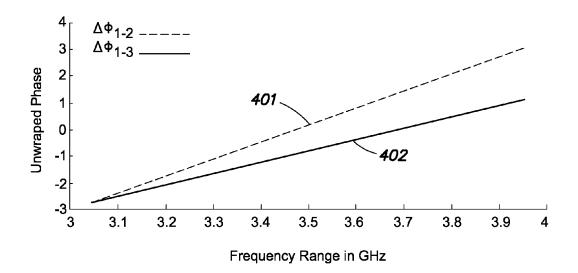


FIG. 4

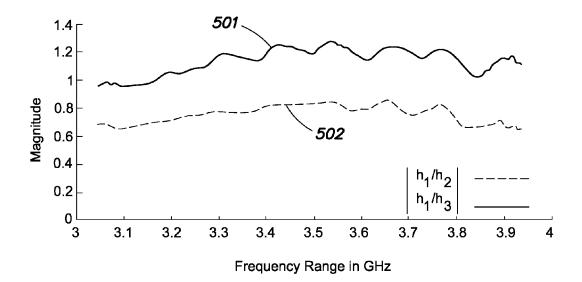
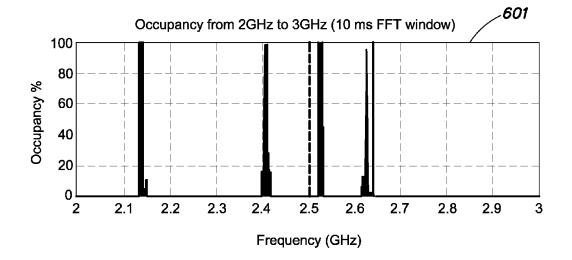


FIG. 5



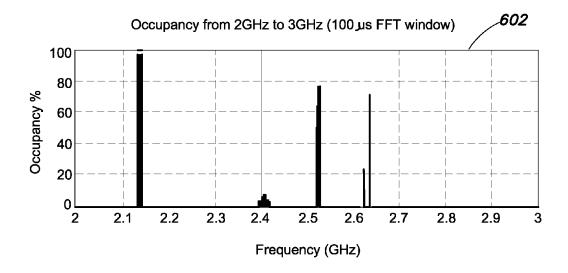
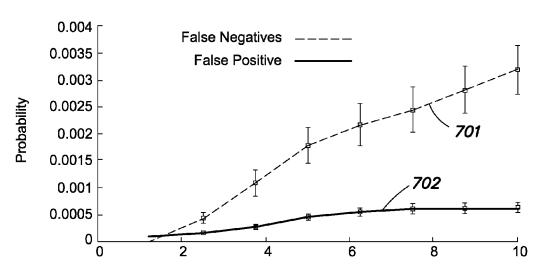
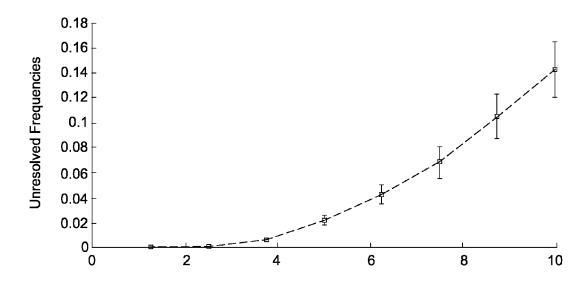


FIG. 6



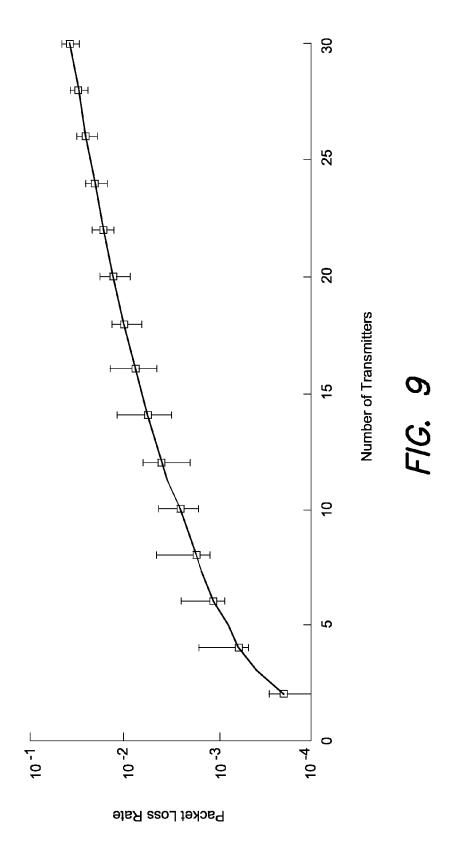
Percentage of Spectrum Usage (Sparsity)

FIG. 7



Percentage of Spectrum Usage (Sparsity)

FIG. 8



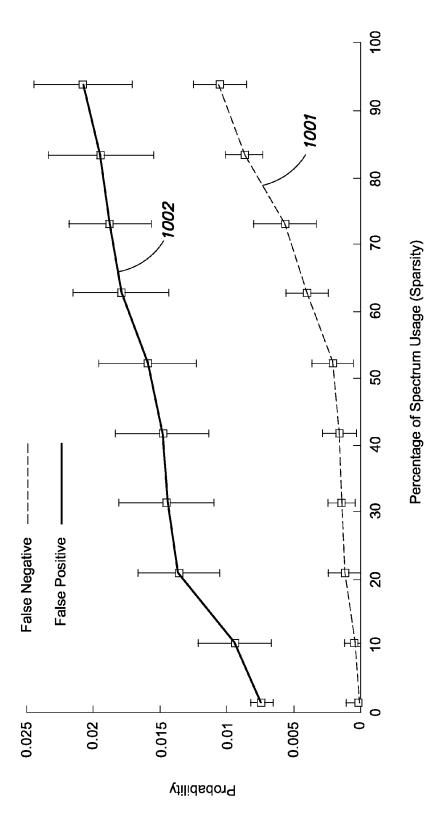


FIG 10

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METHODS AND APPARATUS FOR MONITORING OCCUPANCY OF WIDEBAND GHZ SPECTRUM AND SENSING AND DECODING RESPECTIVE FREQUENCY COMPONENTS OF TIME-VARYING SIGNALS USING SUB-NYQUIST CRITERION SIGNAL SAMPLING

## CROSS-REFERENCES TO RELATED APPLICATIONS

This application claims the benefit, under 35 U.S.C. §119(e), of the following U.S. Provisional Applications:

Ser. No. 62/005,527, entitled "Methods And Apparatus For Sensing And Decoding Respective Frequency Components Of Time-Varying Signals Using Sub-Nyquist Criterion Signal Sampling," filed May 30, 2014;

Ser. No. 61/937,350, entitled "Methods And Apparatus For Sensing And Decoding Respective Frequency Compo-Signal Sampling," filed Feb. 7, 2014;

Ser. No. 61/916,527, entitled "Integrated Circuit Architecture To Improve Fourier Transform Efficiency For Sparse Signals," filed on Dec. 16, 2013; and

Ser. No. 61/906,262, entitled "Integrated Circuit Archi- 25 tecture To Improve Fourier Transform Efficiency For Sparse Signals," filed on Nov. 19, 2013.

All of the aforementioned applications are hereby expressly incorporated by reference in their entirety.

#### GOVERNMENT SUPPORT

This invention was made with government support under Grant No. CNS 1116864 awarded by the National Science Foundation. The government has certain rights in the inven- 35

# BACKGROUND

The rising popularity of wireless communication and the 40 potential of a spectrum shortage have motivated the United States Federal Communications Commission (FCC) to take steps towards releasing multiple bands of spectrum for dynamic spectrum sharing. The government's interest in re-purposing the spectrum for sharing is motivated by the 45 fact that the actual utilization of the spectrum is sparse in practice. For instance, FIG. 1A from the Microsoft Spectrum Observatory in Seattle, Wash., USA (i.e., an example of an urban area), shows that large swaths of spectrum in the gigahertz (GHz) range remain underutilized in this region. 50 To use the spectrum more efficiently, the President's Council of Advisors on Science and Technology (PCAST) has advocated dynamic sharing of much of the currently underutilized spectrum, which would create GHz-wide spectrum superhighways that can be shared by many different types of 55 wireless services (just as vehicles share a superhighway by moving from one lane to another). For example, multiple ultra-wideband links can share the same wideband spectrum by using orthogonal time-hopping codes for time-modulated systems, or orthogonal pulses and orthogonal codes for 60 fast-pulse-based systems.

Existing methods for spectrum sensing, like those used in the Microsoft Spectrum Observatory, rely on sequential hopping from one relatively small portion of the spectrum (e.g., a "channel" or "band") to another relatively small 65 portion of the spectrum, typically acquiring only tens of MHz at a time. In another example of spectrum sensing,

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some conventional spectrum analyzers (e.g., the SPECMON series spectrum analyzers from Tektronix, see hwww.tek-.com/spectrum-analyzer/specmon) offer advertised real time bandwidths of up to 165 MHz. In either of the foregoing examples, however, respective and relatively smaller bands of a wider overall spectrum are monitored only occasionally, making it easy to miss short lived signals (e.g., radar) in a given band of a wider swath of GHz spectrum.

#### **SUMMARY**

Given a wide spectrum of gigahertz (GHz) bandwidth that ultimately will be available for wireless communication (e.g., via dynamic spectrum sharing), the Inventors have recognized and appreciated that innovative wideband spectrum sensing techniques would facilitate reliable and accurate detection of a presence or an absence of signals over an appreciably expanded communication spectrum.

In one aspect, the Inventors have recognized and apprenents Of Time-Varying Signals Using Sub-Nyquist Criterion 20 ciated that a difficulty in capturing (i.e., sensing) a wide swath of GHz bandwidth in essentially real time stems from the conventional need for high-speed analog-to-digital converters (ADCs), which are costly, consume significant power, and have a relatively low bit resolution as compared with conventional low-speed ADCs used in WiFi devices or cellular phones. For example, a conventional 100 MS/s ADC, typically employed in Wi-Fi receivers, costs a few dollars, consumes a few milli Watts, and has a 12 to 16-bit resolution, whereas a high speed ADC that can take multiple giga-samples per second may cost hundreds of dollars, consume multiple orders of magnitude more power, and have only 6 to 8-bits resolution.

> In view of the foregoing, according to various inventive embodiments discussed in detail herein, the Inventors have developed a conceptual framework referred to herein as "BigBand" that enables GHz-wide spectrum sensing and effective reception (e.g., decoding) of one or more sensed signals in one or more bands of the wide spectrum using relatively low-power radios (e.g., similar to those employed in conventional WiFi devices). Making GHz-wide sensing (i.e. the ability to detect occupancy of a frequency band by one or more signals) and reception (i.e. the ability to decode information modulated on one or more carriers of a signal) available on relatively inexpensive and low power wireless communication devices (also referred to herein as "commodity radios") enables a variety of new communicationrelated applications, devices and techniques across multiple user sectors (e.g., government, industrial/commercial, scientific/academic, consumer).

> For example, real time GHz sensing enables highly dynamic spectrum access in which short (e.g., sub-millisecond) spectrum vacancies can be identified and utilized, thereby increasing the overall spectrum efficiency. Further, a relatively inexpensive and low-power GHz spectrum sensing technology facilitates deployment in a metropolitan area (or other relatively densely populated areas) of a significant number (e.g., thousands) of wireless receivers configured with such a technology for large-scale real time GHz spectrum monitoring. Such a deployment of GHz spectrum sensing receivers enables a better understanding of spectrum utilization, identification and localization of breaches of spectrum policy, and a more-informed planning of spectrum allocation.

> Beyond sensing, the ability to decode signals in a GHzwide band according to various embodiments of the present invention enables a single receiver of a wireless communication device to receive concurrent transmissions from

diverse parts of the spectrum and with different information encoding protocols, and effectively decode such transmissions. This ability enables a new class of wireless devices according to various embodiments of the present invention (e.g., cell phones, Smartphones, tablet computers, laptop 5 computers, wearable smart devices, and/or the like), as shown in FIG. 1B, in which one wireless receiver of a wireless device 140 may be employed to concurrently receive and respectively decode Bluetooth signals at 2.4 GHz (141), signals according to the GSM standard at 1.9 GHz (142), and signals according to the CDMA standard at 1.7 GHz (143), for example. In another example, the wireless device 140 may include a transmitter 145 that is configured to transmit signals using any one or more of various communication protocols (e.g., Bluetooth, GSM, 15 CDMA).

In general, some embodiments of the present invention relate to a wireless communication system comprising a wideband RF receiver and associated antenna, an analog-to-digital converter (ADC) apparatus to digitally sample a 20 wideband signal output by the wideband receiver and corresponding to monitored wideband spectrum, and a Fast Fourier Transform (FFT) processing apparatus to process digital samples of the received signal so as to sense and facilitate decoding of respective frequency components of 25 the received signal.

More specifically, in some embodiments of such a wireless communication system, the wideband receiver and associated antenna (also referred to herein as the "front end" of the system) receives one or more discreet RF signals 30 encoded with information and having respective carrier frequencies over a wide GHz band. In one sense, the collection of such discreet RF signals may be viewed as respective components of a time-varying signal provided as an output of the wideband receiver and representing the 35 monitored GHz bandwidth. This time-varying signal is then uniformly subsampled by one or more analog-to-digital converters (ADCs) of the ADC apparatus to provide "under sampled" digital samples of the time-varying signal corresponding to the monitored GHz bandwidth. These digital 40 samples are then processed by one or more sparse Fast Fourier Transform (sFFT) processors of the FFT processing apparatus, which "recover" (e.g., provide information about the presence of) the respective frequency components of the time-varying signal corresponding to the GHz bandwidth 45 monitored by the wideband receiver. In some implementations, the FFT processing apparatus provides a digital output that includes information pertaining to the frequency of each detected frequency component, and a corresponding complex magnitude and phase of each detected frequency com- 50 ponent (also referred to as a "complex value" of a given frequency component). For example, in some embodiments the information pertaining to sensed frequency components may be provided as an N-bit digital word representing the frequency components that are present in the time-varying 55 signal corresponding to the monitored GHz bandwidth (e.g., each bit representing whether a respective frequency component is occupied or not). Other alternative forms for the output of the FFT processing apparatus are discussed below in connection with FIGS. 2A-2C.

In some embodiments of the present invention, an apparatus is introduced to sense one or more frequency components of a time-varying signal having a frequency bandwidth of interest BW and a Nyquist sampling criteria of N samples in a sampling time T, wherein N=T×BW. The apparatus 65 comprises a first analog-to-digital converter (ADC) to uniformly sub-sample the time-varying signal at a first time t

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and at a first sampling rate of (BW/p1) samples/second, wherein p<sub>1</sub> is less than N so as to provide a first set of  $B_1=N/p_1$  samples of the time-varying signal; a second ADC to uniformly sub-sample the time-varying signal at a second time  $t+\tau_1$  and the first sampling rate  $(BW/p_1)$  so as to provide a second set of B<sub>1</sub> time-shifted samples of the time-varying signal, wherein  $\tau_1$  is invertible modulo N; and at least one processor communicatively coupled to the first ADC and the second ADC to sense the one or more frequency components of the time-varying signal by: A) computing a first B<sub>1</sub>-point Fast Fourier Transform (FFT) for the first set of B<sub>1</sub> samples of the time-varying signal so as to determine a magnitude value  $X_0$  for at least one frequency component of the one or more frequency components of the time-varying signal; B) computing a second B<sub>1</sub>-point FFT for the second set of B<sub>1</sub> time-shifted samples of the time-varying signal; and C) comparing the first B<sub>1</sub>-point FFT and the second B<sub>1</sub>-point FFT so as to determine a position f1 in the bandwidth of interest BW of the at least one frequency component.

In some embodiments of the present invention, a wireless communication device is introduced to receive and decode a plurality of signals respectively having carrier frequencies in the GHz range, the plurality of signals constituting, in combination, respective frequency components of a timevarying signal having a frequency bandwidth of interest BW and a Nyquist sampling criteria of N samples in a sampling time T, wherein N=T×BW. The wireless communication device comprises: A) a wideband receiver to receive the plurality of signals constituting, in combination, the respective frequency components of the time-varying signal; B) an analog-to-digital converter (ADC) apparatus, communicatively coupled to the wideband receiver, to provide a plurality of uniform sub-samples of the time-varying signal, wherein: at least a first pair of uniform sub-samples of the plurality of uniform sub-samples are time-shifted with respect to one another; and at least a second pair of uniform sub-samples of the plurality of uniform sub-samples are sub-sampled at different sampling rates; and C) at least one processor, communicatively coupled to the ADC apparatus, to sense and decode the plurality of signals constituting, in combination, the respective frequency components of the time-varying signal by: C1) computing a plurality of Fast Fourier Transforms (FFTs) based on the plurality of uniform sub-samples of the time-varying signal; C2) processing the plurality of FFTs so as to determine a magnitude value and a frequency position in the bandwidth of interest BW of each signal of the plurality of signals constituting, in combination, the respective frequency components of the timevarying signal, C3) providing a digital output representing at least respective frequency positions in the bandwidth of interest BW of the plurality of signals constituting, in combination, the respective frequency components of the time-varying signal, based at least in part on C1) and C2); and C4) decoding each signal of the plurality of signals based at least in part on C3).

In some embodiments of the present invention, a wireless communication method is introduced for receiving and decoding a plurality of signals respectively having carrier frequencies in the GHz range, the plurality of signals constituting, in combination, respective frequency components of a time-varying signal having a frequency bandwidth of interest BW and a Nyquist sampling criteria of N samples in a sampling time T, wherein N=T×BW. The wireless communication method comprises: A) receiving, via a wideband receiver, the plurality of signals constituting, in combination, the respective frequency components of the time-varying signal; B) uniformly sub-sampling the time-varying

signal, via an analog-to-digital converter (ADC) apparatus communicatively coupled to the wideband receiver, so as to provide at least four sets of samples of the time-varying signal, the at least four sets of samples comprising: a first set of samples arising from uniform sub-sampling of the timevarying signal at a first time and a first sampling rate; a second set of samples arising from uniform sub-sampling of the time-varying signal at a second time different from the first time and the first sampling rate; a third set of samples arising from uniform sub-sampling of the time-varying 10 signal at the first time and a second sampling rate different from the first sampling rate; and a fourth set of samples arising from uniform sub-sampling of the time-varying signal at a third time and the second sampling rate; and C) electronically processing, via at least one processor com- 15 municatively coupled to the ADC apparatus, the first, second, third and fourth sets of samples of the time-varying signal provided in B) by: C1) computing respective first, second, third and fourth Fast Fourier Transforms (FFTs) based on corresponding ones of the first, second, third and 20 fourth sets of samples of the time-varying signal provided in B); C2) processing the first, second, third and fourth FFTs so as to determine a magnitude value and a frequency position in the bandwidth of interest BW of each signal of the plurality of signals constituting, in combination, the respec- 25 tive frequency components of the time-varying signal, C3) providing a digital output representing at least respective frequency positions in the bandwidth of interest BW of the plurality of signals constituting, in combination, the respective frequency components of the time-varying signal, based 30 at least in part on C1) and C2); and C4) decoding each signal of the plurality of signals based at least in part on C3).

It should be appreciated that all combinations of the foregoing concepts and additional concepts discussed in greater detail below (provided such concepts are not mutu- 35 ally inconsistent) are contemplated as being part of the inventive subject matter disclosed herein. In particular, all combinations of claimed subject matter appearing at the end of this disclosure are contemplated as being part of the inventive subject matter disclosed herein. It should also be 40 appreciated that terminology explicitly employed herein that also may appear in any disclosure incorporated by reference should be accorded a meaning most consistent with the particular concepts disclosed herein.

## BRIEF DESCRIPTION OF THE DRAWINGS

The skilled artisan will understand that the drawings primarily are for illustrative purposes and are not intended to limit the scope of the inventive subject matter described 50 herein. The drawings are not necessarily to scale; in some instances, various aspects of the inventive subject matter disclosed herein may be shown exaggerated or enlarged in the drawings to facilitate an understanding of different features. In the drawings, like reference characters generally 55 to one embodiment of the present invention. refer to like features (e.g., functionally similar and/or structurally similar elements).

FIG. 1A shows exemplary data illustrating large swaths of spectrum in the GHz frequency range remain underutilized.

FIG. 1B illustrates a wireless communication device 60 including a wireless receiver to receive multiple signals (e.g., Bluetooth, GSM, CDMA) across a relatively wide bandwidth of GHz spectrum, according to one embodiment of the present invention.

FIGS. 2A-2B show block diagrams illustrating systems 65 including a wideband RF GHz receiver to monitor a wideband spectrum in the GHz range and output a corresponding

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wideband signal, an analog-to-digital converter (ADC) apparatus employing sub-Nyquist criterion signal sampling to sample the wideband signal output by the wideband receiver, and a sparse Fast Fourier Transform (sFFT) processor for sensing respective frequency components of the wideband signal corresponding to the monitored spectrum, according to embodiments of the present invention.

FIG. 2C shows a flow diagram illustrating a wideband spectrum sensing method based on the block diagrams of the systems shown in FIG. 2A and FIG. 2B, according to one embodiment of the present invention.

FIG. 3A shows a processing technique used in the method of FIG. 2C referred to as "bucketization" using one or more aliasing filters implemented in part by the ADC apparatus of FIG. 2A or FIG. 2B, according to one embodiment of the present invention.

FIG. 3B shows another processing technique used in the method of FIG. 2C relating to resolving collisions with co-prime aliasing filters implemented in part by the ADC apparatus of FIG. 2A or FIG. 2B, according to one embodiment of the present invention.

FIG. 4 illustrates the concept of phase rotation in the frequency domain based on time shifts in the time domain as part of the technique for resolving collisions illustrated in FIG. 3B, according to one embodiment of the present invention.

FIG. 5 shows hardware channel magnitude for an example implementation of a wideband wireless receiver configured for wideband GHz sensing according to one embodiment of the present invention.

FIG. 6 shows an example of spectrum occupancy used for testing performance of the wideband wireless receiver implementation discussed in connection with FIG. 5, according to one embodiment of the present invention.

FIG. 7 shows false negatives and positives as a function of spectrum sparsity in connection with testing of spectrum sensing by the example implementation of the wideband wireless receiver discussed in connection with FIG. 5, according to one embodiment of the present invention.

FIG. 8 shows unresolved frequencies as a function of spectrum sparsity in connection with testing of spectrum sensing by the example implementation of the wideband wireless receiver discussed in connection with FIG. 5, according to one embodiment of the present invention.

FIG. 9 shows packet loss as a function of the number of simultaneous transmitters in connection with testing of spectrum sensing by the example implementation of the wideband wireless receiver discussed in connection with FIG. 5, according to one embodiment of the present invention.

FIG. 10 illustrates effectiveness of detecting changes in spectrum occupancy across a range of spectrum sparsity in connection with spectrum sensing by another example implementation of a wideband wireless receiver according

#### DETAILED DESCRIPTION

Following below are more detailed descriptions of various concepts related to, and embodiments of, inventive methods and apparatus for monitoring occupancy of wideband GHz spectrum, and sensing (and in some instances decoding) respective frequency components of time-varying signals using sub-Nyquist criterion signal sampling. It should be appreciated that various concepts introduced above and discussed in greater detail below may be implemented in any of numerous ways, as the disclosed concepts are not limited

to any particular manner of implementation. Examples of specific implementations and applications are provided primarily for illustrative purposes.

## I. INTRODUCTION

Various embodiments of the present invention are directed to a conceptual framework (or "technology") referred to herein generally as "BigBand," which in various aspects is implemented in multiple methods, apparatus, and systems 10 described in detail herein relating to wideband spectrum sensing (e.g., detecting occupied bands within a wide GHz spectrum). As noted above, this conceptual framework is referred to herein as "BigBand." In some embodiments disclosed herein, systems, apparatus and methods based on 15 the BigBand conceptual framework not only are capable of detecting occupancy of one or more bands of a wideband spectrum, but may further be configured to decode sensed signals in occupied bands so as to obtain the information carried by these signals (e.g., obtaining in-phase I and 20 quadrature Q components of an encoded signal).

BigBand generally builds on advances in the research area of sparse Fourier transforms, which permit signals whose frequency domain representation is sparse to be recovered using only a relatively small subset of signal samples (also 25 referred to herein as "sub-sampling"), such that GHz of spectrum can be effectively analyzed for occupancy by sampling signals representing the wideband spectrum at sampling rates below their Nyquist rate. In some examples discussed in greater detail below, the disclosed methods, 30 apparatus and systems based on BigBand may be implemented by modifying conventional relatively low-power wireless RF receivers (e.g., configured for reception of signals in the GHz bandwidth) and using off-the-shelf relatively inexpensive low-speed and low-power analog-to- 35 digital converters (ADCs) typically employed in WiFi devices or cellular phones, thereby facilitating practical implementation of effective wideband sensing techniques at relatively low cost.

Some past work relating to GHz spectrum sensing has 40 proposed using compressive sensing to acquire GHz signals at sub-Nyquist sampling rates. Approaches based on compressive sensing require random sampling of the signal, which in turn requires analog mixing at Nyquist rates and expensive processing to recover the original signal (e.g., 45 such random sampling cannot be done simply by using conventional low-speed/low cost ADCs). These designs are relatively complex and arguably consume as much power as an ADC that would sample at the Nyquist rate. Unlike compressive sensing, various implementations of methods, 50 apparatus and systems based on BigBand do not require analog mixing or random sampling and can be implemented using conventional wireless RF receivers (e.g., "commodity radios"), conventional relatively low-speed low-power and low-cost ADCs, and innovative Fast Fourier Transform 55 (FFT) techniques that facilitate increased processing and computational speeds based at least in part on concepts relating to sub-sampling.

In one exemplary implementation of a system based on the conceptual framework of BigBand, multiple software 60 radio devices (commonly known as USRPs or Universal Software Radio Peripherals) are employed with conventional relatively low-speed, low-cost, low-power ADCs and one or more processors to implement novel sparse FFT (sFFT) algorithms that enable wideband GHz sensing. More 65 specifically, one illustrative prototype discussed in greater detail below employs three USRPs, each of which can

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capture 50 MHz bandwidth, as constituent components of a system that is capable of wideband sensing across a bandwidth of almost 1 GHz; i.e., a sensing bandwidth that is six times larger than the digital bandwidth of the three USRPs combined. In one particular example, a system is constructed to sense the spectrum between 2 GHz and 2.9 GHz (a 0.9 GHz swath of spectrum used by diverse technologies). Outdoor measurements reveal that, in geographic areas with relatively dense populations (e.g., a metropolitan area or a university campus), this swath of spectrum presently has a typical occupancy of approximately 2-5%. Under these conditions, the exemplary wideband sensing system described herein in one implementation is capable not only of wideband sensing but also decoding (e.g., recovering information from) signals from up to 30 transmitters that are simultaneously frequency hopping in the monitored 0.9 GHz band.

In other implementations, methods, apparatus and systems based on the conceptual framework of BigBand may effectively perform spectrum sensing even in more densely occupied spectrum. The efficacy of BigBand to sense more densely occupied spectrum is predicated in part on the notion that only a small fraction of the spectrum is likely to change its occupancy over short intervals of a few milliseconds. Evaluation of such methods, apparatus and systems demonstrate effective detection of particular frequency bands in the wideband spectrum that change occupancy even when the spectrum is 95% occupied.

#### II. OVERVIEW

FIG. 2A illustrates a block diagram of a system 1000 for monitoring a wideband spectrum in the GHz range, and sensing respective frequency components of a wideband signal corresponding to the monitored wideband spectrum, according to one embodiment of the present invention. As shown in FIG. 2A, the system 1000 includes a wideband RF receiver 205 and an associated antenna 203 to monitor a wideband spectrum 202 over a GHz bandwidth and output a time-varying wideband signal 206 corresponding to the monitored spectrum 202. In one example implementation discussed in greater detail below, the wideband receiver 205 may comprise one or more Universal Software Radio Peripherals (USRPs). As discussed elsewhere herein, in some embodiments the monitored spectrum 202 and the corresponding time-varying wideband signal 206 may be "sparse" in that non-zero frequency components present in the monitored spectrum 202 (and hence the signal 206) are sparsely distributed across the spectrum (as illustrated, for example in FIG. 1A).

In the system of FIG. 2A, the time-varying wideband signal 206 is sampled by an analog-to-digital converter (ADC) apparatus 207 that employs sub-Nyquist criterion signal sampling to sample the wideband signal 206. For purposes of the present disclosure, a "sample" of a signal refers to a complex sample including both in-phase I and quadrature Q components (thus, the Nyquist criterion implies that a bandwidth of interest of BW Hz requires BW complex samples per second, i.e., real and imaginary samples). The ADC apparatus 207 provides as an output digital samples 208 of the time-varying wideband signal 206, which are then processed by a Fast Fourier Transform (FFT) processing apparatus 210. In various embodiments, the FFT processing apparatus includes one or more sparse Fast Fourier Transform (sFFT) processors to recover (e.g., provide information about the presence of) respective frequency components 212 of the time-varying signal 206

corresponding to the monitored spectrum 202, based on the processed digital samples 208.

In various embodiments, the FFT processing apparatus 210 provides a digital output 214 that includes information pertaining to the frequency of each detected frequency 5 component of the frequency components 212. For example, in some implementations the digital output 214 includes a frequency index or position for each detected frequency component, and a corresponding complex magnitude and phase of each detected frequency component (also referred 10 to as a "complex value" of a given frequency component). In some embodiments, at least some of the information in the digital output 214 pertaining to sensed frequency components may be provided as an N-bit digital word representing the frequency components 212 that are present in the 15 time-varying signal 206 corresponding to the monitored GHz bandwidth (e.g., each bit representing whether a respective frequency component is occupied or not). In an alternative implementation, the FFT processing apparatus can provide an output having a number of bits equal to 20 log<sub>2</sub>(N) multiplied by a number of the sensed one or more occupied (non-zero) frequency components; in this way, the output represents all the occupied frequencies. In a further implementation, as in wireless communication the occupied frequencies regions can be consecutive, the FFT processing 25 apparatus can use the beginning frequency and the ending frequency of each occupied frequency region to represent the sensed one or more occupied frequency regions; in this way, the number of output bits equals  $2 \times \log_2(N)$  multiplied by a number of occupied frequency regions.

Once the frequency components 212 that are present in the monitored spectrum are identified by the FFT processing apparatus 210, in some embodiments the decoder 216 can process one or more sensed frequency components by taking an inverse FFT (IFFT) based on the complex values (i.e., 35 complex magnitude and phase) associated with the one or more frequency components so as to recover the original time-domain signal corresponding to the frequency component(s). Subsequently, the decoder 216 decodes the recovered time-domain signal output by the IFFT (e.g., according 40 to any of a number of well-known communication protocols) to facilitate recovery of information (e.g., digital symbols) originally encoded on the time-domain signal at a transmitting source.

In various implementations, decoding of one or more of 45 the respective sensed frequency components in the monitored bandwidth presumes some knowledge of the original time-domain signals generated at the transmitting source (e.g., encoding protocol/modulation methodology used to encode information on one or more carriers to generate the 50 respective original time-domain signals, respective bandwidths of the original time-domain signals, etc.). Decoding also takes into consideration the size/resolution of the FFT (e.g., N-points) implemented by the FFT processing apparatus 210 as compared to the respective bandwidths of the 55 original time-domain signals; for example, given an N-point FFT (with frequency number positions or indices  $f_i$ , i=0, 1, $2, \dots N-1$ ), the bandwidth of an original time-domain signal at the transmitting source may be such that it corresponds to one sensed frequency position of the N-point FFT or mul- 60 tiple (e.g., adjacent) sensed frequency positions of the N-point FFT. Thus, the IFFT employed by the decoder 216 to recover a time-domain signal from one or more sensed frequency components (based on the complex magnitude and phase associated therewith) should be taken over a 65 bandwidth comparable to the expected bandwidth of the time-domain signal.

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FIG. 2B is an expanded block diagram similar to that shown in FIG. 2A and illustrating in greater detail the respective components of a system 1000A for monitoring a wideband spectrum in the GHz range, and sensing respective frequency components of a wideband signal corresponding to the monitored wideband spectrum, according to one embodiment of the present invention. In the block diagram of FIG. 2B, additional details of an ADC apparatus 207 and an FFT processing apparatus 210 are shown to illustrate the concepts of time-shifting of the time-varying signal representing the monitored wideband spectrum and co-prime sub-sampling of the wideband signal 206 to facilitate an identification of the frequency components 212 that are present in the time-varying signal 206 corresponding to the monitored GHz bandwidth spectrum. As discussed in greater detail below in connection with FIG. 2C, the respective elements of the ADC apparatus 207 and the FFT processing apparatus 210 in the system 1000A may employ uniform sub-sampling of the time-varying signal 206 in the time domain to facilitate a technique referred to herein as "bucketization" in the frequency domain. Signal sub-sampling and corresponding "bucketization" allows smallersized FFTs to be employed by the FFT processing apparatus 210, which may facilitate increased processing speeds, reduce circuit footprint and/or required processing power, and reduce fabrication overhead and costs associated with the FFT processing apparatus.

Although signal sub-sampling allows for smaller-sized FFTs, one tradeoff is that signal sub-sampling in the time domain may give rise to a concept referred to herein as "frequency collision" in the frequency domain. The Inventors have recognized and appreciated, however, that using multiple ADCs with different co-prime sub-sampling rates to sample the time-varying signal 206 in the ADC apparatus 207 serves to mitigate "frequency collision" that may arise from sub-sampling in the time domain. Accordingly, in some embodiments the system 1000A also may employ co-prime sub-sampling techniques for the ADC apparatus 207 to facilitate an inventive technique referred to herein as "collision resolution." The system 1000A also may employ time-shifting of the time-varying signal representing the monitored spectrum to facilitate frequency estimation of frequency components that are present in the time-varying signal 206, based on phase rotation in the frequency domain. These various inventive concepts are discussed in greater detail further below.

In the systems shown in FIGS. 2A and 2B, consider that the wideband time-varying signal 206 may have a frequency bandwidth of interest BW and a Nyquist sampling criteria of N samples in a sampling time T, wherein  $N=T\times BW$ . With the foregoing in mind, as shown in FIG. 2B, the signal 206 may be uniformly sub-sampled by a plurality of different ADCs of the ADC apparatus 207 with different sample rates so as to implement aliasing filters resulting in "bucketization." In particular, a first ADC 207a of the ADC apparatus 207 may have a first sub-sampling rate of BW/p<sub>1</sub> samples per second, wherein p<sub>1</sub> is less than N so as to provide a first set of  $B_1 = N/p_1$  sub-sampled digital samples 208a of the timevarying signal 206. Similarly, a second ADC 207b of the ADC apparatus 207 may have a second sub-sampling rate of BW/p<sub>2</sub> samples per second, wherein p<sub>2</sub> is less than N so as to provide a first set of  $B_2=N/p_2$  sub-sampled digital samples **208**b of the time-varying signal **206**. This manner of subsampling of the time-varying signal 206 allows FFTs having a size smaller than N points to be employed to recover respective frequency components of the time-varying signal 206. In particular, as shown in FIG. 2B, a B<sub>1</sub>-point FFT 210a

of the FFT processing apparatus **210** may be used to process the  $B_1$  digital samples **208**a, and a  $B_2$ -point FFT **210**b of the FFT processing apparatus **210** may be used to process the  $B_2$  digital samples **208**b.

As noted above, the sub-sampling of the signal **206** causes 5 aliasing in the frequency domain, such that when the respective sub-sampled digital samples are processed by corresponding sFFT processors of the FFT processing apparatus 210, multiple frequency components present in the signal 206 may "hash" to a same frequency "bucket," leading to a 10 "frequency collision." To mitigate such frequency collision, in some embodiments the numbers  $p_1$  and  $p_2$  are selected to be co-prime numbers so as to facilitate "collision resolution." These concepts are discussed in greater detail below in connection with FIGS. 2C, 3A and 3B. As also noted 15 above, time-shifting in the time domain (e.g., of the timevarying signal input to the ADC apparatus 207) facilitates frequency position estimation of frequency components that are present in the time-varying signal 206, based on phase rotation in the frequency domain. To this end, the ADC 20 apparatus 207 may include multiple ADCs at each of the different sampling rates BW/p<sub>1</sub> and BW/p<sub>2</sub> (these other ADCs are not shown explicitly in FIG. 2B), and the FFT processing apparatus 210 may include multiple B<sub>1</sub>-point sFFTs and B<sub>2</sub>-point sFFTs (not explicitly shown in FIG. 2B) 25 respectively coupled to corresponding ones of the timeshifting ADCs of the ADC apparatus 207. In this manner, multiple time-shifted sub-sampled sets of digital samples of the time-varying signal 206 are respectively processed by corresponding B<sub>1</sub>-point sFFTs or B<sub>2</sub>-point sFFTs of the FFT 30 processing apparatus 210 so as to provide the digital output 214 representing the sensed frequency components 212 that are present in the time-varying signal 206 corresponding to the monitored spectrum. As noted above in connection with FIG. 2A, once the sensed frequency components 212 are 35 identified, respective frequency components may be decoded via decoder 216.

FIG. 2C shows a flow diagram illustrating a wideband spectrum sensing method based on the block diagrams of the systems shown in FIG. 2A and FIG. 2B, according to one 40 embodiment of the present invention, in which the timevarying signal 206 is processed by the ADC apparatus 207 and the FFT processing apparatus 210 to implement frequency bucketization 250, frequency estimation 260, and collision detection and resolution 270. Respective frequency 45 components that are detected in the monitored spectrum as a result of the method involving blocks 250-270 may be further processed in some embodiments in decoding block 280 (e.g., by decoder 216 shown in FIGS. 2A and 2B) so as to recover information encoded on one or more sensed 50 frequency components (e.g., in the form of a time-domain signal). These inventive techniques outlined in blocks 250-270 of FIG. 2C are discussed in turn below.

# III. CONCEPTUAL DETAILS

For purposes of the present discussion, the symbols x and  $\hat{x}$  are used herein to denote a time signal and its Fourier transform respectively. The following terms are also used throughout this application: the position of a sensed frequency component in the monitored spectrum given by f (also referred to herein as frequency position number or index), the value of a sensed frequency component given by  $\hat{x}_{j}$ , and the phase of a sensed frequency component  $(\phi_{j})$  (the value and phase of a frequency component are also referred 65 to as the "complex value" of the frequency component). As shown in FIGS. 2A-B, a system based on BigBand monitors

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wideband spectrum **202**, processes a signal **206** corresponding to the monitored spectrum, and discovers the occupied frequency positions f of one or more frequency components of the processed signal and estimates their corresponding values  $\hat{x}_f$  and phase  $\phi_f$ . Once  $\hat{x}$  is computed (e.g.,  $\hat{x}_f$ ,  $\phi_f$  and f are computed for all components present), the time signal x can be recovered via inverse FFT (IFFT). Subsequently, information encoded on the respective sensed frequency components (e.g., "wireless symbols" encoded on carriers using any of a variety of protocols) may be decoded so as to recover the information.

With reference to FIG. 2C, BigBand's design essentially has three primary components: frequency bucketization 250, frequency estimation 260, and collision detection and resolution 270, which are described in turn below. Further details of Big-Band can be found in H. Hassanieh, L. Shi, O. Abari, E. Hamed, and D. Katabi, "BigBand: GHz-Wide Sensing and Decoding on Commodity Radios," May 2013, MIT-CSAIL-TR-2013-009; and the complete analysis of the algorithm and proofs of correctness can be found in B. Ghazi, H. Hassanieh, P. Indyk, D. Katabi, E. Price, and L. Shi, "Sample-Optimal Average-Case Sparse Fourier Transform in Two Dimensions," *Proceedings of Allerton Conference*, October 2013, both of which publications are herein expressly incorporated by reference.

With respect to frequency bucketization 250, in various embodiments BigBand performs bucketization by sub-sampling in the time domain then taking an FFT, which causes aliasing in the frequency domain. This process essentially maps the spectrum ("hashes" respective frequency components present in the monitored spectrum) into "buckets," as illustrated and discussed further below in connection with FIGS. 3A and 3B. Since in some implementations the monitored spectrum is sparsely occupied, most buckets will be either empty or have a single active frequency, and only few buckets will have a collision of multiple active frequencies. Empty buckets are discarded and non-empty buckets undergo the technique of "frequency estimation."

Regarding frequency estimation 260, the value and frequency number (i.e., location in the spectrum) of each active frequency are estimated based on time-shifts in the time domain that correspond to phase rotation in the frequency domain. In the absence of a collision, the value  $\hat{x}_f$  of an active frequency is the value of its bucket. To find the frequency position number f, in one implementation Big-Band repeats the bucketization on the time-varying signal after shifting it in time. A shift in time causes a phase change  $\Delta \phi_f$  in the frequency domain of  $2\pi f \tau/N$ , where f is the frequency number,  $\tau$  is the time shift (e.g., in units of some number of shifted samples out of a total of N samples), and N is the FFT size. Thus, the phase change can be used to compute the frequency number.

With respect to collision resolution 270, in various embodiments collisions are detected as follows: if a bucket 55 contains a collision then repeating the bucketization with a time shift causes the bucket's magnitude to change, since the colliding frequencies rotate by different phases. In contrast, the magnitude does not change if the bucket has a single active frequency. After detecting collisions, the BigBand 60 resolves them by using bucketization multiple times with co-prime sampling rates and corresponding sFFTs with co-prime sizes (two numbers are co-prime if their greatest common divisor is one). The use of co-prime sampling rates guarantees that any two frequencies that collide in one 65 bucketization do not collide in other bucketizations (as shown and discussed further below in connection with FIG. 3B).

## A. Frequency Bucketization

To hash frequencies into buckets, BigBand may utilize the following basic property of the Fourier transform: subsampling in the time domain causes aliasing in the frequency 5 domain. Stated differently, aliasing in the frequency domain is accomplished by sampling the signal in the time domain at a sampling rate that is lower than the Nyquist rate for the

Formally, let x be a time signal of bandwidth BW, and  $\hat{x}$ its frequency representation. Let b be a sub-sampled version of x, i.e.,  $b_i = x_{i \cdot p}$  where p is the sub-sampling factor. Then,  $\hat{b}$ , the FFT of b is an aliased version of  $\hat{x}$ , i.e.:

$$\hat{b}_{i} = \sum_{m=0}^{P-1} \hat{x}_{i+m(BW/P)}. \tag{1}$$

Thus, an aliasing filter is a form of bucketization in which frequencies equally spaced by an interval BW/p hash to the same bucket, i.e., frequency f hashes to bucket i=f mod BW/p, as shown in FIG. 3A. FIG. 3A shows an example of 20 a bucketization of a time-varying signal where N is taken to be 12 for purposes of illustration (such that there are twelve frequency points 302 for the FFT of the signal). The bucketization is achieved using an aliasing filter 301 (e.g., corresponding sFFT of the FFT processing apparatus 210). In the present illustrative example, an ADC of the ADC apparatus 207 is configured to sub-sample the input timevarying signal by 3x in the time domain, resulting in the spectrum aliasing into only four buckets 303 in the fre- 30 quency domain upon processing of the digital samples output by the ADC by the corresponding sFFT of the FFT processing apparatus). Thus, the twelve frequency points 302 (e.g., see frequency position numbers 0 through 11 on the left side of FIG. 3A) will alias into only four frequency 35 buckets 303 (shown on the right side of FIG. 3A); stated differently, frequency components that are present in the sub-sampled time-varying signal and that are equally spaced by four frequency positions amongst the twelve frequency points 302 end up in the same bucket of the four frequency 40 buckets 303 after processing by the ADC and corresponding sFFT constituting the aliasing filter 301.

More specifically, in FIG. 3A, frequency components present in frequency position numbers 0, 4, 8 of the frequencies 302 hash to the same first bucket 312a of the 45 buckets 303. Similarly frequency components present in frequency position numbers 1, 5, 9 of the frequencies 302 hash to the same second bucket 312b; frequency components present in frequency position numbers 2, 6, 10 of the frequencies 302 hash to the same third bucket 312c; and 50 frequency components present in frequency position numbers 3, 7, 11 of the frequencies 302 hash to the same fourth bucket 312d.

Further, the value in each bucket of the buckets 303 is the sum of the values of only the frequency components that 55 hash to the bucket as shown in Eq. 1. For example, for bucket 312a, the value is equivalent to the sum of the values of frequency numbers 0, 4 and 8 (which is zero in the present example); the value of bucket 312b is equivalent to the sum of values of frequency numbers 1, 5 and 9 (which is only the 60 value 311b of frequency number 9 in the present example); the value of bucket 312c is equivalent to the sum of values of frequency numbers 2, 6 and 10 (which is only the value 311d of frequency number 2 in the present example); and the value of bucket 312d is equivalent to the sum of values of 65 frequency numbers 3, 7 and 11 (which is only the value 311c of frequency number 3 in the present example).

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Although N=12 frequency points were considered in the example of FIG. 3A for purposes of illustrating the concept of bucketization, it should be appreciated that in various implementations of inventive methods and apparatus according to various embodiments disclosed herein, N may be a significantly larger number given the wideband spectrum of interest, and this spectrum of interest (and the corresponding time-varying wideband signal corresponding to the monitored spectrum) may be relatively sparse. Accordingly, when the respective frequency components present in the monitored spectrum and corresponding timevarying wideband signal are hashed into buckets according to the bucketization technique described herein, the fact that the spectrum of interest is sparse and hence most buckets have noise and no signal may be leveraged. To this end, BigBand compares the energy (i.e., the magnitude square) of a bucket with the wideband receiver's noise level and considers all buckets whose energy is below a threshold to be empty. It then focuses on the occupied buckets and ignores empty buckets.

# B. Frequency Estimation

Next, for each of the occupied buckets, BigBand may constituted by an ADC of the ADC apparatus 207 and a 25 identify which frequency components created the energy in these buckets, and what are the values of these frequency components. By doing so, BigBand can recovered a complete representation of the frequency components with nonzero signal values so as to "acquire" the full signal in the Fourier domain. In this manner, BigBand can essentially provide a full N-point FFT of a time-varying signal having a bandwidth of interest BW and a Nyquist sampling criteria of N samples in a sampling time T, wherein N=T×BW, by using smaller B<sub>1</sub>-point and B<sub>2</sub>-point FFTs (i.e., wherein  $B_1 = N/p_1$  and  $B_2 = N/p_2$ ).

> As noted above, presuming a relatively sparse spectrum, when hashing frequencies into buckets many buckets are likely to be empty. Even for the occupied buckets, the sparsity of the spectrum means that many of these buckets will likely have a single non-zero frequency hashing into them, and only a small number of buckets will have a collision of multiple non-zero (or occupied) frequencies. In one aspect, BigBand may first focus on buckets with a single non-zero frequency and estimate the value and the position of this non-zero frequency, i.e., and the corresponding frequency position f.

> In the absence of a collision, the value of the occupied frequency is the value of the bucket. Said differently, the value of a bucket after aliasing  $\hat{\mathbf{b}}_i$  is a good estimate of the value of the occupied frequency  $\hat{x}_f$  in that bucket, since all other frequencies in the bucket have zero signal value (only noise).

> Although the value of the non-zero frequency in a bucket can be found, its frequency position f may not be known, since aliasing mapped multiple frequencies to the same bucket. To compute f, the phase-rotation property of the Fourier transform can be leveraged, which states that a shift in time domain translates into phase rotation in the frequency domain. Specifically, to accomplish frequency estimation, the process of bucketization is performed again (e.g., with another aliasing filter constituted by an ADC of the ADC apparatus 207 and a corresponding sFFT of the FFT processing apparatus 210), after shifting the input signal by some amount of time that corresponds to a time shift  $\tau$  (e.g., in units of some number of shifted samples out of a total of N samples). Since a shift in time translates into phase rotation in the frequency domain, the value of the

bucket changes from  $\hat{b}_i = \hat{x}_f$  to  $\hat{b}_i^{(\tau)} = \hat{x}_f e^{2\pi j f \tau/N}$ . Hence, using the change in the phase of the bucket, the frequency of interest can be estimated, which can be performed for all buckets that do not have collisions.

In various aspects, it should be appreciated that not all 5 values of  $\tau$  will allow unique estimation of multiple frequency positions. This is because the phase wraps around every  $2\pi$ . For example, say that we use a shift of  $\tau=2$ samples out of N where N is the size of the FFT, and consider two frequencies f and f=f+N/2. After a shift by  $\tau$ , 10 the phase rotation off is  $\Delta \phi(f) = 2\pi \cdot f \cdot 2/N$ . The phase rotation of f' is  $\Delta \phi(f_{-})=2\pi \cdot (f+N/2)\cdot 2/N \mod 2\pi=2\pi \cdot f\cdot 2/N$ . Thus, with a time shift of 2 samples, the phase shifts observed for two frequencies f and f' separated by N/2 are the same, and BigBand will be unable to disambiguate between them. BigBand can use a shift of  $\tau=3$  samples to disambiguate between f and f+N/2, but this does not address the situation completely since a shift of  $\tau=3$  will be unable to disambiguate frequencies separated by N/3, In general, a  $\tau$  should be considered that gives a unique mapping between the phase 20 rotation and the frequencies, independent of the separation between the frequencies. Formally, for all separations s between the frequencies  $(1 \le s \le N-1)$ ,  $s\tau/N$  should not be an integer. This is true either  $\tau=1$  sample (e.g., corresponding to a time delay of the time-varying signal of 1/BW where 8 W 25 is the bandwidth of interest), or any  $\tau$  invertible modulo N. To sample the time-delayed signal, a second low-speed ADC may be used that has the same sampling rate as the ADC in the bucketization step but that receives a delayed version of the time-varying signal, i.e., delayed by some time corre- 30 sponding to some number of sample shifts  $\tau$ . In some example implementations, this can be achieved by connecting a single antenna to two ADCs of the ADC apparatus 207 using different delay lines. Alternatively, one can use different delay lines to connect the clocks to the two ADCs of 35 the ADC apparatus **207**.

# C. Collision Detection and Resolution

BigBand also uses the phase rotation property of the 40 Fourier transform to determine if a collision has occurred. Specifically, if the bucket contains a single non-zero frequency, i.e., no collision, then performing the bucketization with a time shift r causes only a phase rotation of the value in the bucket but the magnitude of the bucket does not 45 change (i.e., with or without the time shift,  $||\hat{\mathbf{b}}_i|| = ||\hat{\mathbf{b}}_i^{(\tau)}|| = ||\hat{\mathbf{x}}_i||$ ). In contrast, consider the case where there is a collision between, say, two frequencies f and f'. Then the value of the bucket without a time-shift is  $\hat{b}_i = \hat{x}_f + \hat{x}_f$  while its value with a time-shift of  $\tau$  is  $\hat{b}_i^{(\tau)} = \hat{x}_f e^{2\pi i f}$ . Since the colliding 50 frequencies rotate by different phases, the overall magnitude of the bucket will change. Thus, it can be determined whether there is a collision or not by comparing the magnitudes of the buckets with and without the time-shift. It should be noted that even if a collision is occasionally 55 falsely detected when there is a single frequency, BigBand can still correct this error. This is because the collision resolution step described below estimates the values of the presumed colliding frequencies to zero.

To reconstruct the full spectrum, the collisions are to be 60 solved; i.e., for each non-zero frequency in a collision its value  $\hat{x}_r$  and position f need to be estimated. Two approaches may be adopted for resolving collisions (which may also be combined in case the spectrum is less sparse), as set for the below

One approach to resolve collisions is to bucketize the spectrum multiple times using aliasing filters with co-prime 16

sampling rates. Co-prime aliasing filters guarantee (by the Chinese remainder theorem) that any two frequencies that collide in one bucketization will not collide in the other bucketizations. To better understand this point, consider the example in FIG. 3B, which shows an example of resolving collisions by using two co-prime aliasing filters to ensure the frequencies that collide in one filter will not collide in the second.

The illustration in FIG. 3B, like FIG. 3A, again considers an example in which N is taken to be 12 for purposes of illustration (such that there are twelve frequency points 302 for the FFT of the signal). In the example of FIG. 3B, the twelve frequency points 302 on the left side of the figure reveal that, for the monitored wideband spectrum and corresponding time-varying wideband signal in the current example, there are frequency components present in frequency position numbers 3, 5, and 9. Two bucketizations are performed on the time-varying signal, using a first aliasing filter 301a (e.g., constituted by a first ADC of the ADC apparatus 207 and a corresponding first sFFT of the FFT processing apparatus 210) and a second aliasing filter 301b(e.g., constituted by a second ADC of the ADC apparatus 207 and a corresponding second sFFT of the FFT processing apparatus 210).

To implement the first aliasing filter 301a of two co-prime aliasing filters, the first ADC of the ADC apparatus 207 is configured to sub-sample the input time-varying signal by 3× in the time domain, resulting in the spectrum aliasing into only four buckets 303a in the frequency domain upon processing of the digital samples output by the first ADC by the corresponding first sFFT of the FFT processing apparatus. Thus, the twelve frequency points 302 (e.g., see frequency position numbers 0 through 11 on the left side of FIG. 3B) alias into only four frequency buckets 303a (shown on the top right side of FIG. 3B). Further, to implement the second aliasing filter 301b, the second ADC of the ADC apparatus 207 is configured to sub-sample the input timevarying signal by 4x in the time domain, resulting in the spectrum aliasing into only three buckets 303b in the frequency domain upon processing of the digital samples output by the second ADC by the corresponding second sFFT of the FFT processing apparatus. Thus, the twelve frequency points 302 alias into only three frequency buckets 303b (shown on the bottom right side of FIG. 3B) in this second bucketization based on co-prime aliasing filters.

To illustrate the function of the co-prime aliasing filters, consider the frequency component in frequency position number 5 of the twelve frequency points 302 on the left side of FIG. 3B. By virtue of the first aliasing filter 301a, frequency position number 5 of the twelve frequency points hashes to bucket number 1 of the first set of buckets 303a arising from the first bucketization. But recall from the discussion above in connection with FIG. 3A that frequency position number 9 of the twelve frequency points also hashes to bucket number 1 of the first set of buckets 303a in the first bucketization; thus, frequency position numbers 5 and 9 collide in this first bucketization, giving rise to collision 316 shown in bucket number 1 of the first set of buckets 303a. It is also noteworthy that the frequency component in frequency position number 3 of the twelve frequency points 302 on the left side of FIG. 3B hashes to bucket number 3 of the first set of buckets 303a, without collision with any other frequency components.

Consider now the frequency component in frequency position number 5 of the twelve frequency points 302 as it undergoes a second bucketization by the second aliasing filter 301b. In this second bucketization, the frequency

position number 5 hashes by itself to bucket 2 of the second set of buckets 303b without collision with any other frequency components. However, the frequency position numbers 3 and 9 both hash in this second bucketization to bucket 0 of the second set of buckets, giving rise to collision 317.

The respective collisions 316 (first bucketization) and 317 (second bucketization) can be solved by iterating between the two bucketizations. For example, the frequency 3 can be estimated from the first bucketization, where it does not collide. The frequency 3 is subtracted from the colliding 10 bucket in the second bucketization to obtain the frequency 9. The first bucketization is revisited and then the frequency 9 is subtracted from the bucket where it collides to obtain the frequency 5.

Thus, by using co-prime aliasing filters to bucketize and 15 iterating between the bucketizations (i.e., estimating frequencies from buckets where they do not collide and subtracting them from buckets where they do collide) the spectrum can be recovered. This process illustrates that to capture a spectrum bandwidth BW, two ADCs can be used 20 that sample at rates  $BW/p_1$  and  $BW/p_2$  where  $p_1$  and  $p_2$  are co-prime. For example, to recover a 1 GHz spectrum, a 42 MHz ADC can be used along with a 50 MHz ADC. The combination of these two ADCs can capture a bandwidth of 1.05 GHz because 42 MHz=1.05 GHz/25 and 50 MHz=1.05 25 GHz/21, where 21 and 25 are co-prime numbers. Note that, as discussed further below, each of these co-prime bucketizations is repeated with a time shift, thereby requiring a total of 4 low-speed ADCs in the ADC converter apparatus 207 (and four corresponding sFFTs in the FFT processing appa- 30 ratus 210).

Co-prime aliasing are an efficient way to resolve collisions, but in other embodiments they may not be necessary. Here, in an alternative implementation, collisions can be resolved while still using multiple ADCs of the ADC appa- 35 ratus 207 that sample at the same rate (with implications that an ADC apparatus 207 in some inventive embodiments herein may be constructed with only one type of ADC). In this implementation, one type of aliasing filter is used multiple times with multiple different time shifts. To see how 40 this can help resolve collisions, consider again the case where two frequencies f and f collide in a bucket. If two time shifts  $\tau_1$  and  $\tau_2$  are used, three values are obtained for each bucket. For the bucket where f and f' collide, these values are:

$$\begin{split} \hat{b}_{i} &= \hat{x}_{i} + \hat{x}_{f}, \\ \hat{b}_{i}^{(T)}{}_{1} &= \hat{x}_{f} e^{2\pi i f \hat{\tau} 1} + \hat{x}_{fXe}^{22\pi i f \hat{\tau} 1} \\ \hat{b}_{i}^{(T)}{}_{2} &= \hat{x}_{f} e^{2\pi i f \hat{\tau} 2} + \hat{x}_{fXe}^{22\pi i f \hat{\tau} 2} \end{split} \tag{2}$$

If the positions of f and f are known, the above becomes an over determined system of equations where the only unknowns are  $\hat{x}_{f}$ ,  $\hat{x}^{\dagger}_{f}$ . Since only few frequencies hash into 55 each bucket, there is a limited number of possible values of f and f'. For each of these possibilities, the above overdetermined system can be solved to find  $\hat{\mathbf{x}}_{t}$ ,  $\hat{\mathbf{x}}'_{t}$ . Hence, the overdetermined system can be solved for the possible (f, f) error. While the above does not guarantee that the solution is unique, in case multiple pairs (ft) satisfy the equations, BigBand can detect that event and report that the values of these frequencies remain unresolved (note that theoretically, for a collision of k frequencies, 2 k samples can guarantee 65 a unique solution in the absence of noise). The empirical results discussed further below show however that for

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practical spectrum sparsity (which is about 5%), three shifted bucketizations are enough to uniquely resolve the colliding frequencies.

Though this method requires more digital computation, BigBand performs this method for the few buckets that have a collision, and then obtains knowledge of the number of collisions, which could be small due to the sparsity of the spectrum.

In another implementation, this method can be combined with the co-prime approach to deal with less sparse spectrum. In this case, one uses this method to resolve collisions of two frequencies while iterating between the co-prime filters.

#### D. Channel Estimation and Calibration

The description above assumes that the different ADCs of the ADC apparatus 207 can sample exactly the same signal at different time-shifts. However, because the signals experience different channels, they will be scaled differently and the ADCs will not be able to sample exactly the same signal.

To better understand this problem, consider the case where collisions are solved without the co-prime sub-sampling. In this case, three ADCs can be used, each sampling a signal that is delayed by a time shift. In this case, consider a non-zero frequency f whose value is  $\hat{x}_f$ . If f hashes to bucket i and does not collide, then the value of the bucket at each of the ADCs can be written as:

$$\begin{split} \hat{b}_i = & h_w(f) h_1(f) \hat{\mathbf{x}}_f \\ \hat{b}_i^{(\mathcal{X})}_1 = & h_w(f) h_1(f) \hat{\mathbf{x}}_f e^{2\pi i f \hat{\mathbf{x}}_1} \\ \hat{b}_i^{(\mathcal{X})}_2 = & h_w(f) h_1(f) \hat{\mathbf{x}}_f e^{2\pi i f \hat{\mathbf{x}}_2} \end{split}$$

where  $h_{w}(f)$  is the channel on the wireless medium,  $h_{1}(f)$ ,  $h_2(f)$ ,  $h_3(f)$  are the hardware channels on each of the radios, and •(f) indicates that these parameters are frequency dependent. h<sub>w</sub>(f) is the same in all three bucketizations by connecting the RF front ends (wireless receiver 205) to the same antenna. As a result, h<sub>w</sub>(f) cancels out once the ratios,  $\hat{b}_i^{(\tau 1)}/\hat{b}_i$  and  $\hat{b}_i^{(\tau 1)}/\hat{b}_i$  of the buckets are taken. However, the hardware channels are different for the different bucketizations. BigBand may need to estimate them and compensate for them in order to perform frequency estimation and also resolve the collisions.

Furthermore, though it is possible to create time-shifts between the three ADCs as explained above, the values of these time-shifts  $\tau_1$ ,  $\tau_2$  may be required in order to perform 50 frequency estimation based on phase rotation. Embodiments of estimating these time-shifts are discussed below.

To estimate the channels and the time shifts, the total bandwidth BW is divided that BigBand captures into p consecutive chunks. Then a known signal is transmitted in each chunk, one by one. Since only one chunk is transmitted at a time, there are no collisions at the receiver after aliasing. Eq. 3 is used to estimate the ratios  $h_2(f) e^{2\pi i j f \epsilon_1}/h_1(f)$  and  $h_3(f) \cdot e^{2\pi i f^2/h_1}(f)$  for each frequency f in the spectrum.

Given the ratios, h<sub>2</sub>(f)/h<sub>1</sub>(f) may be computed for each pairs and choose the pair that minimizes the mean square 60 frequency f, and the delay  $\tau_1$ , which may be estimated as follows: both the magnitude and phase of the hardware channel ratio will be different for different frequencies. The magnitude differs with frequency because different frequencies experience different attenuation in the hardware. The phase varies linearly with frequency because all frequencies experience the same delay  $\tau_1$ , and the phase rotation of a frequency f is simply  $2 \pi f \tau_1$ . The phase of the ratio may then

be plotted as a function of frequency, and compute the delay  $\tau_1$  from the slope of the resulting line.

FIG. 4 shows the phase result of this estimation performed on a USRP software radios employed in one exemplary implementation of a wireless communication system 5 according to one embodiment of the present invention. As shown in FIG. 4, the phase rotation vs frequency plots 401-402 show that the phase rotation between the three USRPs is linear across the 900 MHz frequency spectrum and can be used to estimate the time shifts.

As expected, the phase is linear across (e.g., see 401-402) 900 MHz. Hence, by fitting the points in FIG. 4 to a line the shifts  $\tau_1, \tau_2$  and the relative phases of the hardware channels can be estimated (i.e.  $<h_1(f)/h_2(f)$  and  $<h_1(f)/h_3(f)$ .

FIG. 5 also shows the relative magnitudes of the hardware 15 channels on the USRPs (i.e.  $|h_1(f)/h_2(f)|$  and  $|h_1(f)/h_3(f)|$ ) over the 900 MHz between 3.05 GHz and 3.95 GHz. The relative channel magnitudes |h1(f)/h2(f)| (e.g., see 502) and |h1(f)/h3(f)| (e.g., see 301) are not equal to 1 and are not flat across the frequency spectrum. Hence, we need to compen- 20 sate for these estimates to be able to detect and solve collisions. These hardware channels and time shifts are stable. In one implementation, the hardware channels and time shifts can be estimated only once at the set up time.

#### E. Sensing Non-Sparse Spectrum

In other embodiments, the concepts underlying BigBand can be extended to sense a non-sparse spectrum. The Inventors have recognized and appreciated that although the 30 spectrum might not be sparse, changes in spectrum usage are typically sparse, i.e., over short intervals, in which only a small percentage of the frequencies are freed up or become occupied. This makes it possible to estimate the occupancy without sampling the signal at the Nyquist rate. Here sparse 35 changes are referred to as differential sparsity, and the extension of the concepts disclosed herein that deals with such non-sparse spectrum is referred to as "D-BigBand." Unlike in the case where the spectrum is sparse, however, in the I and Q components of a given frequency component of the signal representing the monitored spectrum may not be recovered. The two components of D-BigBand are explained below.

Frequency Bucketization: D-BigBand also bucketizes the 45 spectrum using sub-sampling filters. However, since the spectrum is not sparse, it is very likely that all buckets will be occupied. Thus, D-BigBand tries to detect changes in the occupancy of frequencies that hash to each bucket. To do so, D-BigBand computes the average power of the buckets over 50 two consecutive time windows TW by performing the bucketization multiple times during each time window (the number of times D-BigBand can average is =TW/T where T is the FFT window time). Since the changes in spectrum occupancies are sparse, only the average power of few 55 buckets would change between the two time windows. D-BigBand can then focus only on the few buckets where the average power changes.

Frequency Estimation: Now that D-BigBand knows in which buckets the average power has changed, it remains to 60 estimate which of the frequencies in the bucket is the one whose occupancy has changed. However, the phase rotation property may no longer be used to estimate these frequencies or resolve their collisions since the phase of the bucket now depends on all the frequencies that hash to the bucket 65 and not just the frequency whose occupancy has changed. Thus, to estimate the changing frequencies a different

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method can be used which is referred to as voting. The bucketization is repeated multiple times while randomizing which frequencies hash to which buckets. After that, each bucketization votes for frequencies that hash to buckets where the power changed. Frequencies that get the most number of votes are picked as the ones whose occupancy has changed. To randomize the bucketizations, co-prime subsampling is used which as described above guarantees that frequencies that hash together in one bucketization cannot hash together in the other bucketizations. Further details of how and why this voting approach works can be found in the technical report publication, H. Hassanieh, L. Shi, O. Abari, E. Hamed, and D. Katabi, "BigBand: GHz-Wide Sensing and Decoding on Commodity Radios," May 2013, MIT-CSAIL-TR-2013-009, which is herein expressly incorporated by reference.

As with any differential system, the state of spectrum occupancy may need to be initialized. However, an interesting property of D-BigBand is that the occupancy of each frequency in the spectrum can be initialized to unknown. This is because, when the difference is taken in power it can be told whether the frequency became occupied or it became empty. Specifically, a negative power difference implies that the corresponding frequency became empty, and a positive power difference implies that the corresponding frequency became occupied. Hence, once the occupancy of a frequency changes, its current state can be told irrespective of its previous state. This avoids the need for initialization and prevents error propagation.

#### F. A USRP-Based Example Implementation

In one example implementation, the systems shown in FIGS. 2A and 2B may employ USRP N210 software radios for the wireless receivers 205. Since the USRPs use the same ADCs, it is not possible to have co-prime sub-sampling rates. Thus, this implementation relies on resolving collisions without co-prime sub-sampling.

In this example, three USRP N210 radios are used with the non-sparse setting we only perform spectrum sensing but 40 the SBX daughter boards, which can operate in the 400 MHz to 4.4 GHz range. The clocks of the three USRPs are synchronized using an external GPSDO clock. In order to sample the same signal using the three USRPs, the USRPs are connected to the same antenna using a power splitter but with wires of different lengths in order to introduce small time-shifts. The analog low pass filters are removed on the SBX daughterhoards to allow the USRP's ADC to receive the entire bandwidth that its analog front-end circuitry is designed for. The analog circuitry of the USRP front-end can receive at most 0.9 GHz, which puts an upper bound on the digital bandwidth of the system. The three USRP ADCs each samples the signal at 50 MS/s (it should be noted that in principle, the USRP ADC can sample up to 100 MS/s. However, the USRP digital processing chain cannot support this rate and hence the ADC sampling rate can be set to no higher than 50 MS/s each). Thus, the implementation of BigBand captures a bandwidth BW=900 MHz using only 150 MS/s.

> With respect to example implementation of D-BigBand, D-BigBand's frequency estimation relies on using different co-prime sub-sampling rates and hence D-BigBand may not be directly implemented on USRPs. Thus, to verify that D-BigBand can sense a non-sparse spectrum, trace-driven experiments are used. To collect traces of one GHz of highly occupied spectrum, many USRPs are used to transmit and receive. Since a total of 20 USRPs are used, they can be divided into 10 receivers and 10 transmitters and capture

250 MHz at a time. The process can be repeated this 4 times at center frequencies that are 250 MHz apart and stitch them together in the frequency domain to capture the full 1 GHz spectrum. Inverse FFT is then performed to obtain a time signal sampled at 1 GHz. This time domain signal is then sub-sampled using three co-prime rates: 1/21, 1/20, and 1/23 GHz, and D-BigBand is run using these sub-sampled versions of the signal.

## G. BigBand's Spectrum Sensing Results

In one example, outdoor measurements are collected from the roof top of a 24 floor building. BigBand can capture the signal between 2 GHz and 2.9 GHz over 30 minutes, and is configured to compute the spectrum over an FFT window of size W. Example parameters for W can be W=10 ms and W=100 µs. The occupancy of a particular frequency is then calculated as the percentage of the FFT windows during which the frequency was occupied (i.e., the power at that frequency was at least twice the noise power).

FIG. **6** is a graph similar to that shown in FIG. **1**A (although with a different horizontal scale) and shows the fraction of time that each chunk of spectrum between 2 GHz and 2.9 GHz is occupied, as recovered by BigBand. In FIG. 25 **6**, the average spectrum occupancy at the geographical location of measurements between 1 PM and 2 PM is viewed at a 10 ms granularity (top graph **601**) and 100 µs granularity (bottom graph **602**). These graphs readily illustrate that the spectrum is sparsely occupied. Further, the sparsity 30 increases when one computes the occupancy over shorter time windows.

These results were confirmed using a spectrum analyzer. In particular, the occupancy is about 5% when considered over FFT windows of 10 ms and drops to about 2%, when 35 viewed over windows of 100 ps. The spectrum analyzer measurements also indicate that even frequencies that look 100% occupied over 10 ms windows become less occupied when viewed over shorter intervals. This is because while these frequencies are occupied for some fraction of every 10 40 ins interval, there is a large number of shorter windows within each 10 ms where these frequencies are not occupied. For example, with reference to the top graph 601 in FIG. 6, the WiFi band around 2.4 GHz seems fully utilized when checked over 10 ms windows; yet if one views it over 45 windows that are shorter (see bottom graph 602 at 100 μs), or even 100 times shorter (i.e., 100 ps), one would discover that the medium is almost always idle. In contrast, the band around 2.1 GHz which is used by cellular technologies is occupied even at very short time scales (compare the top 50 graph 601 to the bottom graph 602).

In a further implementation, measurements collected in other locations or on different dates show similar results to those in FIG. 6 but may differ slightly in which frequencies are occupied. Measurements from higher parts of the spectrum are qualitatively similar but have significantly higher sparsity.

Most of today's spectrum sensing equipment relies on scanning Even expensive, power hungry spectrum analyzers typically capture a 100 MHz bandwidth in one shot, and end 60 up scanning to capture a larger spectrum. The performance of sequentially scanning the spectrum depends mainly on how fast the device can scan a GHz of bandwidth. In the absence of fast scanning, the system can miss radar and other highly dynamic signals. Here, it is compared how fast 65 it would take to scan the 900 MHz bandwidth using three techniques: state-of-the-art spectrum monitors like the

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RFeye, which is used in the Microsoft spectrum observatory, 3 USRPs sequentially scanning the 900 MHz, or 3 USRPs using BigBand.

Table 1 shows the results for different FFT window sizes. In all cases, BigBand takes exactly the time of the FFT window to acquire the 900 MHz spectrum. The 3 USRPs combined can scan 150 MHz at a time and hence need to scan 6 times to acquire the full 900 MHz. For FFT window sizes lower than 10 ms, the scanning time is about 48 ms. Hence, the USRPs spend very little time actually sensing the spectrum, which will lead to a lot of missed signals. Of course, state of the art spectrum monitors can do much better. The RFeye node has a fast scanning mode of 40 GHz/second. It scans in chunks of 20 MHz and thus will take 22.5 ms to scan 900 MHz. Note that RFeye has a maximum resolution of 20 kHz, and hence does not support FFT windows larger than 50 μs.

TABLE 1

FFT Window	BigBand (900 MHz)	3 USRP Seq. Scan (150 MHz)	RFeye Scan (20 MHz)
1 μs	1 μs	48 ms	22.5 ms
10 μs	10 μs	48 ms	22.5 ms
100 μs	100 μs	48 ms	_
1 ms	1 ms	54 ms	_
10 ms	10 ms	114 ms	_

The above table implies that the spectrum is sparser at finer time intervals, and provides more opportunities for fine-grained spectrum reuse. This result motivates the need for fast spectrum sensing schemes to exploit these short-term vacancies. Thus, BigBand, which in some example implementations uses off-the-shelf components, is much faster than even expensive scanning based solutions, allowing it to detect short-term spectrum vacancies.

To verify the range of sparsity for which BigBand works, additional experiments were implemented between 3.05 GHz and 3.95 GHz because this band is effectively empty. The sparsity is varied in the 3.05 GHz to 3.95 GHz range between 1% and 10% by transmitting from 5 different USRPs. Each USRP transmits a signal whose bandwidth is at least 1 MHz and at most 20 MHz. The bandwidth and the center frequencies of the signals transmitted by the USRPs are randomized. For each sparsity level, the experiment is repeated 100 times with different random choices of bandwidth and center frequencies, and BigBand is run over a 1 ms FFT window. Three example metrics are considered: (1) false negatives: the fraction of occupied frequencies that BigBand incorrectly reports as empty; (2) false positives: the fraction of empty frequencies that BigBand incorrectly reports as occupied; (3) unresolved frequencies: the fraction of total frequencies that BigBand cannot resolve due to unresolved collisions.

FIG. 7 shows false negatives (701) and false positives (702) as a function of spectrum sparsity, which shows that BigBand's false positives and false negatives rates are extremely low. The probability of false positive stays below 0.0005 even when 10% of the spectrum is occupied. The probability of false negative is less than 0.002 when the spectrum occupancy is less than 5%, and stays within 0.003 even when the spectrum occupancy goes up to 10%.

FIG. **8** shows unresolved frequencies as a function of spectrum sparsity. For example, BigBand may not resolve around 2% of the frequencies with 5% spectrum occupancy, and stays below 14% even when spectrum occupancy grows as large as 10%. The fraction of unresolved frequencies is

less than 0.03 when the spectrum usage is below 5%. This number increases as the spectrum usage increases, but stays below 0.14 when 10% of the spectrum is used. Unresolved frequencies increase as spectrum usage increases because the probability of collision increases. Note however that in contrast to false positive and fake negatives, BigBand knows which exact frequencies it could not resolve and reports these frequencies with the label "not-estimated". Thus, unresolved frequencies show lack of information as opposed to errors. The application can decide how to treat unresolved frequencies. For dynamic spectrum access, it can simply avoid the unresolved frequencies.

In another example, real-world spectrum measurements, for instance, in the Microsoft observatory, can reveal that actual spectrum usage is 2-5%. In this regime, BigBand's unresolved frequencies are less than 0.03. Further, if the occupancy is high, one may use D-BigBand, which deals with high occupancies.

#### H. BigBand's Decoding Results

in this section, it is verified that BigBand can concurrently decode a large number of transmitters from diverse parts of the spectrum. The transmitters employed in some experimental implementations use the same technology, but it 25 should be appreciated that the results indicated here indicate that transmitters using different technologies may be effectively employed to successfully decode multiple different signals encoded with respective different protocols.

In one example implementation, 10 USRPs are used to 30 emulate up to 30 transmitters hopping in a spectrum of 0.9 GHz. At any given time instant, each device uses 1 MHz of spectrum to transmit a BPSK signal. Similar to the Bluetooth frequency hopping standard, it is assumed that there is a master controller that assigns a hopping sequence to each 35 transmitter device that ensures that no two devices hop to the same frequency at the same time instant. Note however, that the hopping sequence for different devices allows them to hop to frequencies that get aliased to the same bucket at a particular time instant, and hence collide in BigBand's 40 aliasing filters. Like in Bluetooth, each transmitter hops 1, 3, or 5 times per packet, depending on the length of the packet.

FIG. 9 shows BigBand's packet loss as a function of the number of simultaneous transmitters, e.g., the packet loss rate versus the number of devices hopping in the spectrum. 45 It shows that BigBand can decode the packets from 30 devices spanning a bandwidth of 900 MHz with a packet loss rate less than 3.5%. Decoding all these transmitters without BigBand would either require a wideband 0.9 GHz receiver, or a receiver with 30 RF-frontends, both of which 50 would be significantly more costly and power-hungry.

It some aspects, BigBand may have more noise than a narrowband receiver since it can capture a much larger bandwidth. Three types of noise are considered: thermal noise, quantization noise and ADC jitter noise. BigBand has 55 higher thermal noise due to bucketization. Specifically, since in one implementation the 900 MHz bandwidth is aliased into 50 MHz, it is expected that the thermal noise would increase by 18× (12.5 dB). However, quantization noise and ADC jitter noise do not alias, and hence do not increase. The 60 overall increase in noise depends on how the thermal noise compares to these other types of noise.

To understand the impact of thermal noise and quantify the SNR performance of BigBand, such performance is compared with that of a 50 MHz narrowband receiver that 65 uses the same USRP hardware, A 10 MHz signal is transmitted, and received on BigBand and the narrowband 24

receiver, and the resulting SNR is compared. BigBand and the narrowband receiver are connected to the same antenna and ensure that both receivers' rx-gains are set properly so that the received signal amplitude spans the same range on both receivers. The experiment is run with different receive signal strengths and measure the SNR on each, and the measurements are repeated for the ADC quantization set to 8 bits and 14 bits to better understand the interaction between thermal noise and quantization noise.

Table 2 shows the mean and max reduction in SNR of a signal received on BigBand relative to the narrowband USRP. The result shows that at 8 bit quantization, the reduction is a little less than 3 dB which means that the 12 dB increase in thermal noise only translates to 3 dB reduction in SNR due to quantization and jitter noise. At a quantization of 14 bits, the SNR reduction becomes 6 dB which means that the ADC jitter noise is still significantly higher than thermal noise. Though this reduction in SNR is significant compared to narrowband receivers, one would require using 18 such receivers to capture in real time the same 900 MHz bandwidth as BigBand which is not practical in terms of cost and bulkiness.

TABLE 2

Reduction in SNR at different quantization levels			
	BigBand vs Narrowband RX		
ADC Quantization	Mean	Max	
8 bits 14 bits	-2.73 dB -5.68 dB	-2.78 dB -5.89 dB	

## I. D-BigBand's Sensing Results

In this section, D-BigBand's ability to sense changes in spectrum occupancy independent of sparsity is evaluated. The percentage of total occupied frequencies in the spectrum are varied between 1% sparse) to 95% (almost fully occupied), and then the number of frequencies is changed that change occupancy every 1 ms by up to 1% (i.e., 10 MHz), and D-BigBand's accuracy is evaluated in identifying the frequencies that change occupancy.

FIG. 10 shows D-BigBand's effectiveness as a function of spectrum sparsity. For example, over a band of 1 GHz, D-BigBand can reliably detect changes in spectrum occupancy even when the spectrum is 95% occupied, as long as the change in spectrum occupancy is less than 1% every ms.

As a function of spectrum occupancy, FIG. 10 shows the false positives 1002 (i.e., frequencies whose occupancy has not changed, but D-BigBand erroneously declared as changed) and false negatives 1001 (i.e., frequencies whose occupancy has changed, but D-BigBand erroneously declares as unchanged). As shown in FIG. 10, D-BigBand robustly identifies changes in occupancy, with both the false positive and the false negative probabilities remaining under 0.02 even for a spectrum occupancy of 95%.

# V. CONCLUSION

This application presents a conceptual framework referred to as "BigBand" that enables GHz-wide sensing and decoding using commodity radios. Empirical evaluation demonstrates that BigBand is able to sense the spectrum stably and dynamically under different sparsity levels; BigBand's effectiveness is demonstrated as a receiver to decode

GHz-wide sparse signals. In one implementation, BigBand enables multiple applications that would otherwise require expensive and power hungry devices, e.g. real-time spectrum monitoring, dynamic spectrum access, concurrent decoding of multiple transmitters in diverse parts of the 5 spectrum.

While various inventive embodiments have been described and illustrated herein, those of ordinary skill in the art will readily envision a variety of other means and/or structures for performing the function and/or obtaining the 10 results and/or one or more of the advantages described herein, and each of such variations and/or modifications is deemed to be within the scope of the inventive embodiments described herein. More generally, those skilled in the art will readily appreciate that all parameters, dimensions, materials, 15 and configurations described herein are meant to be exemplary and that the actual parameters, dimensions, materials, and/or configurations will depend upon the specific application or applications for which the inventive teachings is/are used. Those skilled in the art will recognize, or be able 20 to ascertain using no more than routine experimentation, many equivalents to the specific inventive embodiments described herein. It is, therefore, to be understood that the foregoing embodiments are presented by way of example only and that, within the scope of the appended claims and 25 equivalents thereto; inventive embodiments may be practiced otherwise than as specifically described and claimed. Inventive embodiments of the present disclosure are directed to each individual feature, system, article, material, kit, and/or method described herein. In addition, any combina-30 tion of two or more such features, systems, articles, materials, kits, and/or methods, if such features, systems, articles, materials, kits, and/or methods are not mutually inconsistent, is included within the inventive scope of the present disclosure.

The above-described embodiments can be implemented in any of numerous ways. For example, the embodiments (e.g., of designing and/or operating transparent displays) may be implemented using hardware, software, or a combination thereof. When implemented in software, the soft-40 ware code can be executed on any suitable processor or collection of processors, whether provided in a single computer or distributed among multiple computers.

Further, it should be appreciated that the present displays and methods of making and operating displays may be used 45 in conjunction with a computer, which may be embodied in any of a number of forms, such as a rack-mounted computer, a desktop computer, a laptop computer, or a tablet computer. Additionally, a computer may be embedded in a device not generally regarded as a computer but with suitable processing capabilities, including a Personal Digital Assistant (PDA), a smart phone or any other suitable portable or fixed electronic device

Also, a computer may have one or more input and output devices, including one or more displays as disclosed herein.

These devices can be used, among other things, to present a user interface. Examples of output devices that can be used to provide a user interface include printers or display screens for visual presentation of output and speakers or other sound generating devices for audible presentation of output. 60 Examples of input devices that can be used for a user interface include keyboards, and pointing devices, such as mice, touch pads, and digitizing tablets. As another example, a computer may receive input information through speech recognition or in other audible format.

Such computers may be interconnected by one or more networks in any suitable form, including a local area net26

work or a wide area network, such as an enterprise network, and intelligent network (IN) or the Internet. Such networks may be based on any suitable technology and may operate according to any suitable protocol and may include wireless networks, wired networks or fiber optic networks.

The various methods or processes outlined herein may be coded as software that is executable on one or more processors that employ any one of a variety of operating systems or platforms. Additionally, such software may be written using any of a number of suitable programming languages and/or programming or scripting tools, and also may be compiled as executable machine language code or intermediate code that is executed on a framework or virtual machine.

In this respect, various inventive concepts may be embodied as a computer readable storage medium (or multiple computer readable storage media) (e.g., a computer memory, one or more floppy discs, compact discs, optical discs, magnetic tapes, flash memories, circuit configurations in Field Programmable Gate Arrays or other semiconductor devices, or other non-transitory medium or tangible computer storage medium) encoded with one or more programs that, when executed on one or more computers or other processors, perform methods that implement the various embodiments of the invention discussed above. The computer readable medium or media can be transportable, such that the program or programs stored thereon can be loaded onto one or more different computers or other processors to implement various aspects of the present invention as discussed above.

The terms "program" or "software" are used herein in a generic sense to refer to any type of computer code or set of computer-executable instructions that can be employed to program a computer or other processor to implement various aspects of embodiments as discussed above. Additionally, it should be appreciated that according to one aspect, one or more computer programs that when executed perform methods of the present invention need not reside on a single computer or processor, but may be distributed in a modular fashion amongst a number of different computers or processors to implement various aspects of the present invention.

Computer-executable instructions may be in many forms, such as program modules, executed by one or more computers or other devices. Generally, program modules include routines, programs, objects, components, data structures, etc. that perform particular tasks or implement particular abstract data types. Typically the functionality of the program modules may be combined or distributed as desired in various embodiments.

Also, data structures may be stored in computer-readable media in any suitable form. For simplicity of illustration, data structures may be shown to have fields that are related through location in the data structure. Such relationships may likewise be achieved by assigning storage for the fields with locations in a computer-readable medium that convey relationship between the fields. However, any suitable mechanism may be used to establish a relationship between information in fields of a data structure, including through the use of pointers, tags or other mechanisms that establish relationship between data elements.

Also, various inventive concepts may be embodied as one or more methods, of which an example has been provided. The acts performed as part of the method may be ordered in any suitable way. Accordingly, embodiments may be constructed in which acts are performed in an order different

than illustrated, which may include performing some acts simultaneously, even though shown as sequential acts in illustrative embodiments.

All definitions, as defined and used herein, should be understood to control over dictionary definitions, definitions 5 in documents incorporated by reference, and/or ordinary meanings of the defined terms.

A flow diagram is used herein. The use of flow diagrams is not meant to be limiting with respect to the order of operations performed. The herein described subject matter 10 sometimes illustrates different components contained within, or connected with, different other components. It is to be understood that such depicted architectures are merely exemplary, and that in fact many other architectures can be implemented which achieve the same functionality. In a 15 conceptual sense, any arrangement of components to achieve the same functionality is effectively "associated" such that the desired functionality is achieved. Hence, any two components herein combined to achieve a particular functionality can be seen as "associated with" each other 20 such that the desired functionality is achieved, irrespective of architectures or intermedia components. Likewise, any two components so associated can also be viewed as being "operably connected," or "operably coupled," to each other to achieve the desired functionality, and any two compo- 25 nents capable of being so associated can also be viewed as being "operably couplable," to each other to achieve the desired functionality. Specific examples of operably couplable include but are not limited to physically mateable and/or physically interacting components and/or wirelessly 30 interactable and/or wirelessly interacting components and/or logically interacting and/or logically interactable components.

The indefinite articles "a" and "an," as used herein in the specification and in the claims, unless clearly indicated to 35 the contrary, should be understood to mean "at least one."

The phrase "and/or," as used herein in the specification and in the claims, should be understood to mean "either or both" of the elements so conjoined, i.e., elements that are conjunctively present in some cases and disjunctively pres- 40 in a sampling time T, wherein N=T×BW, the apparatus ent in other cases. Multiple elements listed with "and/or" should be construed in the same fashion, i.e., "one or more" of the elements so conjoined. Other elements may optionally be present other than the elements specifically identified by the "and/or" clause, whether related or unrelated to those 45 elements specifically identified. Thus, as a non-limiting example, a reference to "A and/or B", when used in conjunction with open-ended language such as "comprising" can refer, in one embodiment, to A only (optionally including elements other than B); in another embodiment, to B 50 only (optionally including elements other than A); in yet another embodiment, to both A and B (optionally including other elements); etc.

As used herein in the specification and in the claims, "or" should be understood to have the same meaning as "and/or" 55 as defined above. For example, when separating items in a list, "or" or "and/or" shall be interpreted as being inclusive, i.e., the inclusion of at least one, but also including more than one, of a number or list of elements, and, optionally, additional unlisted items. Only terms clearly indicated to the 60 contrary, such as "only one of" or "exactly one of," or, when used in the claims, "consisting of," will refer to the inclusion of exactly one element of a number or list of elements. In general, the term "or" as used herein shall only be interpreted as indicating exclusive alternatives (i.e. "one or the 65 other but not both") when preceded by terms of exclusivity, such as "either," "one of" "only one of," or "exactly one of"

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"Consisting essentially of," when used in the claims, shall have its ordinary meaning as used in the field of patent law.

As used herein in the specification and in the claims, the phrase "at least one," in reference to a list of one or more elements, should be understood to mean at least one element selected from any one or more of the elements in the list of elements, but not necessarily including at least one of each and every element specifically listed within the list of elements and not excluding any combinations of elements in the list of elements. This definition also allows that elements may optionally be present other than the elements specifically identified within the list of elements to which the phrase "at least one" refers, whether related or unrelated to those elements specifically identified. Thus, as a non-limiting example, "at least one of A and B" (or, equivalently, "at least one of A or B," or, equivalently "at least one of A and/or B") can refer, in one embodiment, to at least one, optionally including more than one, A, with no B present (and optionally including elements other than B); in another embodiment, to at least one, optionally including more than one, B. with no A present (and optionally including elements other than A); in yet another embodiment, to at least one, optionally including more than one, A, and at least one, optionally including more than one, B (and optionally including other elements); etc.

In the claims, as well as in the specification above, all transitional phrases such as "comprising," "including," "carrying," "having," "containing," "involving," "holding," "composed of," and the like are to be understood to be open-ended, i.e., to mean including but not limited to. Only the transitional phrases "consisting of" and "consisting essentially of" shall be closed or semi-closed transitional phrases, respectively, as set forth in the United States Patent Office Manual of Patent Examining Procedures, Section 2111.03.

What is claimed is:

1. An apparatus to sense one or more frequency components of a time-varying signal having a frequency bandwidth of interest BW and a Nyquist sampling criteria of N samples comprising:

- a first analog-to-digital converter (ADC) to uniformly sub-sample the time-varying signal at a first time t and at a first sampling rate of (BW/p<sub>1</sub>) samples/second, wherein  $p_1$  is less than N so as to provide a first set of  $B_1=N/p_1$  samples of the time-varying signal;
- a second ADC to uniformly sub-sample the time-varying signal at a second time  $t+\tau_1$  and the first sampling rate  $(BW/p_1)$  so as to provide a second set of  $B_1$  time-shifted samples of the time-varying signal, wherein  $\tau_1$  is invertible modulo N; and
- at least one processor communicatively coupled to the first ADC and the second ADC to sense the one or more frequency components of the time-varying signal by:
  - A) computing a first B<sub>1</sub>-point Fast Fourier Transform (FFT) for the first set of B<sub>1</sub> samples of the timevarying signal so as to determine a magnitude value  $X_{fl}$  for at least one frequency component of the one or more frequency components of the time-varying signal;
  - B) computing a second B<sub>1</sub>-point FFT for the second set of B<sub>1</sub> time-shifted samples of the time-varying signal; and
  - C) comparing the first B<sub>1</sub>-point FFT and the second B<sub>1</sub>-point FFT so as to determine a position f1 in the bandwidth of interest BW of the at least one frequency component.

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- 2. The apparatus of claim 1, wherein  $\tau_1$  is on the order of
- 3. The apparatus of claim 1, wherein the at least one processor provides a digital output representing the sensed one or more frequency components of the time-varying 5 signal, based at least in part on A), B) and C).
- 4. The apparatus of claim 3, wherein the digital output includes an N-bit digital word representing the sensed one or more frequency components, and wherein respective bits of the N-bit word represent a corresponding frequency com- 10 ponent of the one or more frequency components.
- 5. The apparatus of claim 3, wherein the digital output comprises a plurality of bits equal to log<sub>2</sub>(N) multiplied by a number of the sensed one or more frequency components.
- 6. The apparatus of claim 3, wherein the digital output 15 comprises a plurality of bits equal to  $2 \log_2(N)$  multiplied by a number of occupied frequency regions from the sensed one or more frequency components, the output bits representing each starting frequency and each ending frequency of each occupied frequency region.
  - 7. The apparatus of claim 3, further comprising: an antenna; and
  - a wideband receiver, coupled to the antenna, the first ADC, and the second ADC, to receive the one or more frequency components of the time-varying signal, the 25 one or more frequency components respectively having carrier frequencies in the GHz frequency range,
  - wherein the wideband receiver provides the time-varying signal to at least the first ADC and the second ADC.
- 8. The apparatus of claim 7, further comprising at least 30 one decoder to decode the sensed one or more frequency components of the time-varying signal provided by the wideband receiver.
- 9. The apparatus of claim 8, wherein the at least one decoder recovers information from respective frequency 35 components of the sensed one or more frequency components by calculating an inverse FFT of each frequency component.
- 10. The apparatus of claim 8, wherein the sensed one or more frequency components of the time-varying signal 40 provided by the wideband receiver correspond to at least one
  - a Bluetooth signal having a first carrier frequency of 2.4
  - GHz:
  - a CDMA signal having a third carrier frequency of 1.7
  - a Wi-Fi signal having a fourth carrier frequency of 5 GHz;
  - a WiMax signal having a sixth carrier frequency of 5.8
  - 11. The apparatus of claim 10, wherein:
  - the sensed one or more frequency components of the time-varying signal include a plurality of sensed fre- 55 quency components of the time-varying signal; and
  - the at least one decoder decodes multiple sensed frequency components of the plurality of the sensed frequency components of the time-varying signal provided by the wideband receiver.
  - 12. A mobile wireless communication device, comprising: a transmitter; and
  - the apparatus of claim 11.
- 13. The mobile wireless communication device of claim 12, wherein the first sampling rate  $(BW/p_1)$  of the first ADC and the second ADC is less than or equal to approximately 50 megasamples/sec.

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- 14. The apparatus of claim 7, wherein the frequency bandwidth of interest BW is at least approximately 1 GHz.
- 15. The apparatus of claim 14, wherein the one or more frequency components of the time-varying signal occupy less than or equal to approximately 5% of the frequency bandwidth of interest BW.
- 16. The apparatus of claim 14, wherein the one or more frequency components of the time-varying signal occupy less than or equal to approximately 2% of the frequency bandwidth of interest BW.
  - 17. The apparatus of claim 3, wherein A) comprises:
  - A1) computing the first B<sub>1</sub>-point FFT for the first set of B<sub>1</sub> samples of the time-varying signal so as to generate a first set of B<sub>1</sub> frequency buckets, each frequency bucket of the first set of B<sub>1</sub> frequency buckets having a corresponding bucket magnitude value;
  - A2) determining at least one occupied frequency bucket of the first set of B<sub>1</sub> frequency buckets having a substantially non-zero bucket magnitude value; and
  - A3) determining the magnitude value  $X_{fl}$  for the at least one frequency component of the one or more frequency components of the time-varying signal based at least in part on the substantially non-zero bucket magnitude value of the at least one occupied frequency bucket.
  - **18**. The apparatus of claim **17**, wherein:
  - B) comprises computing the second B<sub>1</sub>-point FFT for the second set of B<sub>1</sub> time-shifted samples of the timevarying signal so as to generate a second set of B<sub>1</sub> frequency buckets respectively corresponding to the first set of B<sub>1</sub> frequency buckets; and
  - C) comprises:
    - C1) comparing a first phase of the at least one frequency component in the at least one occupied frequency bucket of the first set of B, frequency buckets to a second phase of the at least one frequency component in a corresponding occupied frequency bucket of the second set of B<sub>1</sub> frequency buckets so as to determine a phase rotation  $\Delta \phi$  of the at least one frequency component; and
    - C2) estimating the position f1 in the bandwidth of interest BW of the at least one frequency component based on the phase rotation  $\Delta \phi$ .
- 19. The apparatus of claim 3, wherein the one or more frequency components of the time-varying signal include a a GSM signal having a second carrier frequency of 1.9 45 plurality of frequency components in the frequency bandwidth of interest BW, and wherein A) comprises:
  - A1) computing the first  $B_1$ -point FFT for the first set of  $B_1$ samples of the time-varying signal so as to generate a first set of B<sub>1</sub> frequency buckets, each frequency bucket of the first set of B<sub>1</sub> frequency buckets having a corresponding bucket magnitude value;
  - A2) determining at least one occupied frequency bucket of the first set of B<sub>1</sub> frequency buckets having a substantially non-zero bucket magnitude value; and
  - A3) determining if the substantially non-zero bucket magnitude value of the at least one occupied frequency bucket represents a collision of two or more frequency components of the plurality of frequency components.
  - 20. The apparatus of claim 19, wherein:
  - B) comprises computing the second B<sub>1</sub>-point FFT for the second set of B<sub>1</sub> time-shifted samples of the timevarying signal so as to generate a second set of B<sub>1</sub> frequency buckets respectively corresponding to the first set of B<sub>1</sub> frequency buckets; and
  - A3) comprises determining that the substantially non-zero bucket magnitude value of the at least one occupied frequency bucket of the first set of B<sub>1</sub> frequency buck-

ets represents the collision of the two or more frequency components if the substantially non-zero bucket magnitude value of the at least one occupied frequency bucket of the first set of  $B_1$  frequency buckets is different than a second substantially non-zero bucket magnitude value of a corresponding occupied frequency bucket of the second set of  $B_1$  frequency buckets.

- 21. The apparatus of claim 20, wherein if in A3) the at least one processor determines that the substantially non- 10 zero bucket magnitude value of the at least one occupied frequency bucket represents the collision of the two or more frequency components of the plurality of frequency components, A) further comprises:
  - A4) determining at least one other occupied frequency 15 bucket of the first set of B<sub>1</sub> frequency buckets having a substantially non-zero bucket magnitude value; and
  - A5) determining if the substantially non-zero bucket magnitude value of the at least one other occupied frequency bucket represents another collision of two or 20 more frequency components of the plurality of frequency components.
- 22. The apparatus of claim 20, wherein if in A3) the at least one processor determines that the substantially non-zero bucket magnitude value of the at least one occupied 25 frequency bucket does not represent the collision of the two or more frequency components of the plurality of frequency components, A) further comprises:
  - A4) determining the magnitude value X<sub>11</sub> of a first frequency component of the plurality of frequency components of the time-varying signal as the substantially non-zero bucket magnitude value of the at least one occupied frequency bucket.
  - 23. The apparatus of claim 22, wherein C) comprises:
  - C1) comparing a first phase of the first frequency component in the at least one occupied frequency bucket of the first set of  $B_1$  frequency buckets to a second phase of the first frequency component in the corresponding occupied frequency bucket of the second set of  $B_1$  frequency buckets so as to determine a phase rotation 40  $\Delta \phi$  of the first frequency component; and
  - C2) estimating the position f1 in the bandwidth of interest BW of the first frequency component based on the phase rotation  $\Delta \phi$ .
  - 24. The apparatus of claim 23, further comprising:
  - a third ADC to uniformly sub-sample the time-varying signal at the first time t and at a second sampling rate of  $(BW/p_2)$  samples/second, wherein  $p_2$  is less than N so as to provide a third set of  $B_2$ =N/ $p_2$  samples of the time-varying signal; and
  - a fourth ADC to uniformly sub-sample the time-varying signal at a third time  $t+\tau_2$  and the second sampling rate  $(BW/p_2)$  so as to provide a fourth set of  $B_2$  time-shifted samples of the time-varying signal,

wherein:

- $p_2$  and  $p_1$  are co-prime numbers.
- **25**. The apparatus of claim **24**, wherein  $\tau_2 = \tau_1$ .
- **26**. The apparatus of claim **24**, wherein the at least one processor senses the plurality of frequency components of the time-varying signal by:
  - D) computing a third  $B_2$ -point FFT for the third set of  $B_2$  samples of the time-varying signal so as to generate a third set of  $B_2$  frequency buckets, each frequency bucket of the third set of  $B_2$  frequency buckets having a corresponding bucket magnitude value; and
  - E) computing a fourth  $B_2$ -point FFT for the fourth set of  $B_2$  time-shifted samples of the time-varying signal so as

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to generate a fourth set of  $\mathrm{B}_2$  frequency buckets respectively corresponding to the third set of  $\mathrm{B}_2$  frequency buckets.

- 27. The apparatus of claim 26, wherein the at least one processor senses the plurality of frequency components of the time-varying signal by:
  - F) subtracting the magnitude value  $X_{/1}$  of the first frequency component from a bucket magnitude value of an occupied bucket in each of the first set of  $B_1$  frequency buckets, the second set of  $B_1$  frequency buckets, the third set of  $B_2$  frequency buckets, and the fourth set of  $B_2$  frequency buckets to which the first frequency component hashes as a result of the FFTs respectively computed in A1), B), D) and E).
  - 28. The apparatus of claim 26, further comprising:
  - a fifth ADC to uniformly sub-sample the time-varying signal at a fourth time  $t+\tau_3$  and the first sampling rate  $p_1$  so as to provide a fifth set of  $B_1$  time-shifted samples of the time-varying signal, wherein  $\tau_3$  invertible modulo N; and
  - a sixth ADC to uniformly sub-sample the time-varying signal at the fourth time  $t+\tau_3$  and the second sampling rate  $p_2$  so as to provide a sixth set of  $B_2$  time-shifted samples of the time-varying signal,
  - wherein the at least one processor senses the plurality of frequency components of the time-varying signal by:
  - G) computing a fifth  $B_1$ -point FFT for the fifth set of  $B_1$  time-shifted samples of the time-varying signal so as to generate a fifth set of  $B_1$  frequency buckets respectively corresponding to the first and second sets of  $B_1$  frequency buckets;
  - H) computing a sixth  $B_2$ -point FFT for the sixth set of  $B_2$  time-shifted samples of the time-varying signal so as to generate a sixth set of  $B_2$  frequency buckets respectively corresponding to the third and fourth sets of  $B_2$  frequency buckets.
  - 29. The apparatus of claim 26, further comprising: an antenna; and
  - a wideband receiver, coupled to the antenna and the first, second, third, fourth, fifth and sixth ADCs, to receive the one or more frequency components of the time-varying signal, the one or more frequency components respectively having carrier frequencies in the GHz frequency range,
  - wherein the wideband receiver provides the time-varying signal to at least the first, second, third, fourth, fifth and sixth ADCs.
- 30. The apparatus of claim 29, further comprising at least one decoder to decode the sensed one or more frequency components of the time-varying signal provided by the wideband receiver.
- 31. The apparatus of claim 30, wherein the sensed one or more frequency components of the time-varying signal provided by the wideband receiver correspond to at least one of:
  - a Bluetooth signal having a first carrier frequency of 2.4 GHz;
  - a GSM signal having a second carrier frequency of 1.9 GHz;
  - a CDMA signal having a third carrier frequency of 1.7 GHz;
  - a Wi-Fi signal having a fourth carrier frequency of 5 GHz; and
  - a WiMax signal having a sixth carrier frequency of 5.8 GHz.

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- 32. The apparatus of claim 31, wherein:
- the sensed one or more frequency components of the time-varying signal include a plurality of sensed frequency components of the time-varying signal; and
- the at least one decoder decodes multiple sensed fre- 5 quency components of the plurality of the sensed frequency components of the time-varying signal provided by the wideband receiver.
- 33. A mobile wireless communication device, comprising: a transmitter; and

the apparatus of claim 32.

- 34. The apparatus of claim 23, wherein the apparatus further comprises:
  - a third ADC to uniformly sub-sample the time-varying signal at a third time t+T and at the first sampling rate 15 (BW/p<sub>1</sub>) so as to provide a third set of B<sub>1</sub> time-shifted samples of the time-varying signal, wherein  $\tau_2$  is invertible modulo N,
  - wherein the at least one processor senses the plurality of frequency components of the time-varying signal by: 20
  - computing a third B<sub>1</sub>-point FFT for the third set of B<sub>1</sub> time-shifted samples of the time-varying signal so as to generate a third set of B1 frequency buckets respectively corresponding to the first and second sets of B<sub>1</sub> frequency buckets.
- 35. A wireless communication device to receive and decode a plurality of signals respectively having carrier frequencies in the GHz range, the plurality of signals constituting, in combination, respective frequency components of a time-varying signal having a frequency bandwidth of 30 interest BW and a Nyquist sampling criteria of N samples in a sampling time T, wherein N=T×BW, the wireless communication device comprising:
  - A) a wideband receiver to receive the plurality of signals constituting, in combination, the respective frequency 35 components of the time-varying signal;
  - B) an analog-to-digital converter (ADC) apparatus, communicatively coupled to the wideband receiver, to provide a plurality of uniform sub-samples of the time-varying signal, wherein:
    - at least a first pair of uniform sub-samples of the plurality of uniform sub-samples are time-shifted with respect to one another; and
    - at least a second pair of uniform sub-samples of the plurality of uniform sub-samples are sub-sampled at 45 different sampling rates; and
  - C) at least one processor, communicatively coupled to the ADC apparatus, to sense and decode the plurality of signals constituting, in combination, the respective frequency components of the time-varying signal by: 50
    - C1) computing a plurality of Fast Fourier Transforms (FFTs) based on the plurality of uniform sub-samples of the time-varying signal;
    - C2) processing the plurality of FFTs so as to determine a magnitude value and a frequency position in the 55 bandwidth of interest BW of each signal of the plurality of signals constituting, in combination, the respective frequency components of the time-varying signal,
    - C3) providing a digital output representing at least 60 respective frequency positions in the bandwidth of interest BW of the plurality of signals constituting, in combination, the respective frequency components of the time-varying signal, based at least in part on C1) and C2); and
    - C4) decoding each signal of the plurality of signals based at least in part on C3).

- 36. The wireless communication device of claim 35, wherein:
  - in B), the plurality of uniform sub-samples of the timevarying signal includes at least four sets of samples of the time-varying signal comprising:
    - a first set of samples arising from uniform sub-sampling of the time-varying signal at a first time and a first sampling rate;
    - a second set of samples arising from uniform subsampling of the time-varying signal at a second time different from the first time and the first sampling
    - a third set of samples arising from uniform sub-sampling of the time-varying signal at the first time and a second sampling rate different from the first sampling rate; and
    - a fourth set of samples arising from uniform subsampling of the time-varying signal at a third time and the second sampling rate; and
  - in C), the at least one processor senses and decodes the plurality of signals constituting, in combination, the respective frequency components of the time-varying signal by:
    - C1) computing respective first, second, third and fourth Fast Fourier Transforms (FFTs) based on corresponding ones of the first, second, third and fourth sets of samples of the time-varying signal provided by the ADC apparatus; and
    - C2) processing the first, second, third and fourth FFTs so as to determine the magnitude value and the frequency position in the bandwidth of interest BW of each signal of the plurality of signals constituting, in combination, the respective frequency components of the time-varying signal.
- 37. The wireless communication device of claim 36, wherein:
  - the first sampling rate is BW/p<sub>1</sub> samples/second, wherein p<sub>1</sub> is less than N;
- the second sampling rate is BW/p2 samples/second, wherein  $p_2$  is less than N; and

 $p_2$  and  $p_1$  are co-prime numbers.

- 38. The wireless communication device of claim 36, wherein the wireless receiver includes at least one Universal Software Radio Peripheral (USRP).
- 39. The wireless communication device of claim 36, wherein:
  - the ADC apparatus includes a plurality of analog-todigital converters (ADCs) to uniformly sub-sample the time-varying signal; and
  - the first sampling rate (BW/p<sub>1</sub>) and the second sampling rate  $(BW/p_2)$  implemented by the plurality of ADCs is less than or equal to approximately 50 megasamples/
- 40. The wireless communication device of claim 36, wherein the frequency bandwidth of interest BW is at least approximately 1 GHz.
- 41. The wireless communication device of claim 36, wherein the plurality of signals constituting, in combination, the respective frequency components of the time-varying signal occupy less than or equal to approximately 5% of the frequency bandwidth of interest BW.
  - 42. A mobile wireless communication device, comprising: a transmitter; and
  - the wireless communication device of claim 36.
- 43. The wireless communication device of claim 36, wherein:

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- the plurality of signals constituting, in combination, the respective frequency components of the time-varying signal includes at least two of:
  - a Bluetooth signal having a first carrier frequency of 2.4 GHz:
  - a GSM signal having a second carrier frequency of 1.9
  - a CDMA signal having a third carrier frequency of 1.7 GHz.
  - a Wi-Fi signal having a fourth carrier frequency of 5  $\,^{10}$  GHz; and
  - a WiMax signal having a sixth carrier frequency of 5.8 GHz; and
- the at least one processor senses and decodes the at least two of the plurality of signals.
- **44**. A mobile wireless communication device, comprising: a transmitter; and
- the wireless communication device of claim 43.
- **45**. A wireless communication method for receiving and decoding a plurality of signals respectively having carrier frequencies in the GHz range, the plurality of signals constituting, in combination, respective frequency components of a time-varying signal having a frequency bandwidth of interest BW and a Nyquist sampling criteria of N samples in a sampling time T, wherein N=T×BW, the wireless communication method comprising:
  - A) receiving, via a wideband receiver, the plurality of signals constituting, in combination, the respective frequency components of the time-varying signal;
  - B) uniformly sub-sampling the time-varying signal, via an <sup>30</sup> analog-to-digital converter (ADC) apparatus communicatively coupled to the wideband receiver, so as to provide at least four sets of samples of the time-varying signal, the at least four sets of samples comprising:
    - a first set of samples arising from uniform sub-sampling of the time-varying signal at a first time and a first sampling rate;
    - a second set of samples arising from uniform subsampling of the time-varying signal at a second time different from the first time and the first sampling 40 rate:
    - a third set of samples arising from uniform sub-sampling of the time-varying signal at the first time and a second sampling rate different from the first sampling rate; and
    - a fourth set of samples arising from uniform subsampling of the time-varying signal at a third time and the second sampling rate; and
  - C) electronically processing, via at least one processor communicatively coupled to the ADC apparatus, the first, second, third and fourth sets of samples of the time-varying signal provided in B) by:

- C1) computing respective first, second, third and fourth Fast Fourier Transforms (FFTs) based on corresponding ones of the first, second, third and fourth sets of samples of the time-varying signal provided in B):
- C2) processing the first, second, third and fourth FFTs so as to determine a magnitude value and a frequency position in the bandwidth of interest BW of each signal of the plurality of signals constituting, in combination, the respective frequency components of the time-varying signal,
- C3) providing a digital output representing at least respective frequency positions in the bandwidth of interest BW of the plurality of signals constituting, in combination, the respective frequency components of the time-varying signal, based at least in part on C1) and C2); and
- C4) decoding each signal of the plurality of signals based at least in part on C3).
- **46**. The wireless communication method of claim **45**, wherein:
  - the first sampling rate is  $BW/p_1$  samples/second, wherein  $p_1$  is less than N;
  - the second sampling rate is BW/p<sub>2</sub> samples/second, wherein p<sub>2</sub> is less than N; and
  - $p_2$  and  $p_1$  are co-prime numbers.
- **47**. The wireless communication method of claim **45**, wherein the frequency bandwidth of interest BW is at least approximately 1 GHz.
- **48**. The wireless communication method of claim **45**, wherein the plurality of signals constituting, in combination, the respective frequency components of the time-varying signal occupy less than or equal to approximately 5% of the frequency bandwidth of interest BW.
- **49**. The wireless communication method of claim **45**, wherein:
  - the plurality of signals constituting, in combination, the respective frequency components of the time-varying signal includes at least two of:
    - a Bluetooth signal having a first carrier frequency of 2.4 GHz;
    - a GSM signal having a second carrier frequency of 1.9
    - a CDMA signal having a third carrier frequency of 1.7 GHz:
    - a Wi-Fi signal having a fourth carrier frequency of 5 GHz; and
    - a WiMax signal having a sixth carrier frequency of 5.8
  - C4) comprises decoding the at least two of the plurality of signals.

\* \* \* \* \*