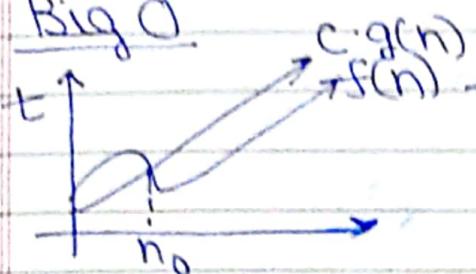


# ALGORITHMS

## I) Time & Space Complexity

### 1) Big O



→ Worst Case

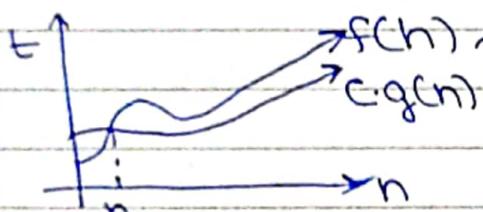
$$f(n) = O(g(n))$$

$$f(n) \leq c \cdot g(n) \text{ for } n \geq n_0$$

where,  $n_0 \geq 1, c \geq 0$

if  $f(n) = n$ ,  $g(n) \rightarrow n, n^2, n^3, n^4 \dots$   
But,  $O \rightarrow$  Tightest Upper Bound :  $O(n)$

### 2) Big Omega ( $\Omega$ )



→ Best Case

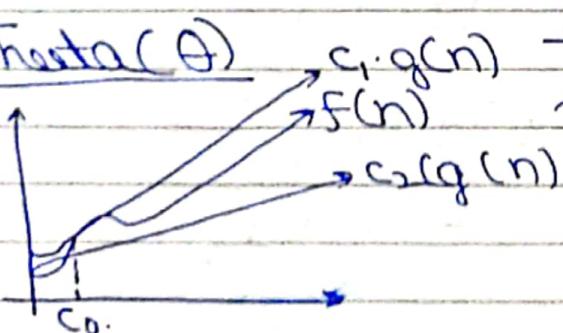
$$f(n) = \Omega(g(n))$$

$$f(n) \geq c \cdot g(n), \text{ for } n \geq n_0$$

where,  $n_0 \geq 1, c \geq 0$

if  $f(n) = n$ ,  $g(n) \rightarrow n, \log n, \log(\log n), 1 \dots$   
But,  $\Omega \rightarrow$  Tightest Lower Bound :  $\Omega(n)$

### 3) Theta ( $\Theta$ )



→ Avg Case

$$f(n) = \Theta(g(n))$$

$$c_1 \cdot g(n) \leq f(n) \leq c_2 \cdot g(n), n \geq n_0$$

where,  $n_0 \geq 1, c_1, c_2 \geq 0$

eg:  $f(n) = 3n^2 + 2n \rightarrow \Theta(n^2) [O(n^2), \Omega(n^2)]$

→ When best & Worst Case are equal, Theta ( $\Theta$ ) exists

\* Algo → Iterative: count no. of times loop runs  
 Recursive: analyse recursive functions  
 To analyse Time

### \* Time complexity of Iterative Prog

$$1) \text{for}(i=1; i \leq n; i++) \rightarrow O(n)$$

eg → Pg 4 [Gate 1991] (increment by 1 - inner loop)  
 calculate loops in terms of n.

eg → Pg 5 [Q2] [Unrolling loop] ~~imp~~

$$2) \text{for}(i=1; i \leq n; j = i * 2) \rightarrow O(\log n)$$

3) A()  
 { while( $n > 1$ )  
 }  
 $n = n/2;$   
 $\vdots$   
 :  $O(\log n)$

eg → Pg 7 [Q2]  $O(n \log \log n)$  ~~imp~~

$$1 + \frac{1}{2} + \frac{1}{3} + \frac{1}{4} + \dots + \frac{1}{n} = \log n$$

### \* Time Complexity of Recursive Prog

→ A(n)  
 {  
 }  
 $y(n > 1)$   
 return  $A(n-1)$

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- 2 Methods → Back Substitution  
 Tree Method.

eg → Pg 8 [Back Substitution Method] ~~imp~~  
 $T(n) = T(n-1) + 1$

Tree Method →  $T(n) = 2T(n/2) + n$

eg → Pg 9 [2T(n/2) + 1] → Tree Method ~~imp~~

$$1+2+4+8+\dots+2^k = \frac{1(2^k+1-1)}{2-1}$$

### \* Compare Various funcs to Analyse Time

1) Scratch out common terms

2) Log both Sides

3) Substitute Very large values of n & compare.

1)  $2^n > n^2$ .

$n = 2^{100}$

Sub  $n = 2^{100}$

$n, (\log n)^{100}$

$\log n, 100 \log \log n$

Subst.  $2^{2300}$

$2^{300}, 30000$

2)  $n^{\sqrt{n}} > n^{\log n}$ .

$\Rightarrow \sqrt{n} \log n, \log n \log n$

$\Rightarrow \frac{1}{2} \log n, \log(\log n), n = 2^{210}$

$\Rightarrow 512 > 10$  ✓

eg → Pg 12 [last Q]

[See in range of n];  $g(n) > f(n)$  ✓

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eg → Pg 13 [Q2] ↗ [imp]  
[Comparing f functions, & arrange them]

### \* Master's Theorem

$$T(n) = aT(n/b) + \Theta(n^k \log^p n)$$

$a \geq 1, b > 1, k \geq 0, p \geq 0$ , p is real

1) if  $a > b^k$ , then  $T(n) = \Theta(n^{\log_b a})$

2) if  $a = b^k$

a) if  $p > -1$ , then  $T(n) = \Theta(n^{\log_b a} \log^{p+1} n)$

b) if  $p = -1$ , then  $T(n) = \Theta(n^{\log_b a} \log \log n)$

c) if  $p < -1$ , then  $T(n) = \Theta(n^{\log_b a})$

3) if  $a < b^k$

a) if  $p \geq 0$ , then  $T(n) = \Theta(n^k \log^p n)$

b) if  $p < 0$ , then  $T(n) = \Theta(n^k)$

Note:  $\log^2 n = \log(\log n)$   
 $(\log n)^2 = \log n \cdot \log n$

Q T(n) =  $2^n T(n/2) + n^n$  X a needs to be const

eg → Q5, Q6, Q14.

Q T(n) =  $64T(n/8) + n^2 \log n$  X

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### \* Analyse Space Complexity

- how much max extra space required by program in terms of  $T(n)$

- 1) Iterative: 1) 1D array (size=n), created inside prog → Space =  $\Theta(n)$
- 2) Recursive:

eg → Pg 19 [Q2] (Tree Method) ↗ [imp]

Time → depends on no. of functn calls  
Space → depth of stack/depth of tree

### \* Amortized Analysis ↗ [Build Heap] [imp]

- instead of computing cost of 1 opern, perform series of operations, & compute avg cost

Techniques → Aggregate  
→ Accounting  
→ Potential.

### \* Aggregate Analysis

[Amortized]

Prob: Insert into Array, if full: double size, & copy ren elements & insert

→ Worst Case =  $\Theta(n^2)$

(to insert n elements, n time for each).

→ Amortized Cost =  $\Theta(1)$

eg → Pg 21 [Example & Time Analysis]

↗ [imp]

## II) SORTING TECHNIQUES

### 1) \* Insertion Sort

- Pick card, compare & arrange in left hand
- Total  $n-1$  Passes

$$1+2+3+\dots+n-1 \quad \boxed{\text{Comp}}$$

Time:  $O(n^2) \rightarrow \text{Worst Case (Rev Sorted)}$   
 $O(n) \rightarrow \text{Best Case (Sorted)}$

Space:  $O(1)$  ✓

(i) If Binary Search used. (Time?)

$$\text{No. of Comp} = O(\log n)$$

$$\text{Movement / Shift} = O(n)$$

Decrease comparison

Decrease movement

(ii) Doubly linkedlist

$$\text{No. of comp} = O(n)$$

$$\text{Movement} = O(1)$$

$$\therefore T = O(n^2) \quad \text{✓}$$

### 2) \* Merge Sort Algorithm [ Divide-Conquer ]

• Heart of MS: Merge Algo

• To merge 2 sorted lists:  $n$  &  $m$

$$T = O(n+m), S = O(n+m)$$

•  $(n+m)$  comp. &  $(n+m)$  copy.

•  $\omega$  is added at the end of sublists, to copy the other list if 1 list is over.

eg)  $\rightarrow$  Pg 24 [ Why  $\omega$ ? Merge] ✓

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### \* Merge Sort [ Out of Place / Stable ]

Divide & Conquer: Divide Prob into many SP, Solve the SP first, & then combine.

eg)  $\rightarrow$  Pg 25 [ Working of MS] ✓ Imp

#### → Space complexity

• Merge Procedure:  $O(n)$  - Extra Array

• Recursive Call:

$$\begin{matrix} \text{Size of stack} \\ \text{Tree} \end{matrix} = \text{depth of tree} = O(\log n)$$

$$\therefore \text{Space} = O(n + \log n) = O(n) \quad \checkmark$$

⇒ Time Complexity:  $T(n) = 2T(n/2) + n$

$$T = \Theta(n \log n) \quad \text{✓}$$

Partitions always at middle

eg)  $\rightarrow$  Pg 26 [  $n/\log n$  hits - Q1] ✓

Note: To compare two  $n$ -length strings. #comp =  $O(n)$

eg)  $\rightarrow$  Pg 27 ✓

### \* Quick Sort Algorithm [ Divide-Conquer ]

• Heart of QS: Partitioning Procedure

Note: If i/p is small, as better MS.

#### \* Partitioning Procedure

1. Choose last element as pivot

2.  $i = -1, j = 0$

3. If  $A[i] > \text{pivot}$ ,  $\rightarrow$  inc.  $j$ .

$$T = \Theta(n)$$

3. if  $A[j] \leq \text{pivot}$ , inc  $i$ , & swap  $A[i], A[j]$   
 4. Else, exchange  $A[i+1]$  with pivot.  
 $T = O(n)$   
 [Partition Algo] In any Array input.

### \* Quick Sort

eg → Pg 29 [Code]

Depending upon the Partitioning index, the Subproblems/time complexity vary.  
Imp

### \* Space Complexity

Partitioning Algo:  $O(1)$

Recursion:

No. of Stack = ht of Tree →  $O(\log n)$ : Best Case  
 Records Tree  $\hookrightarrow O(n)$  : Worst Case

### \* Time Complexity

$$T(n) = n + T(n-k) + T(k)$$

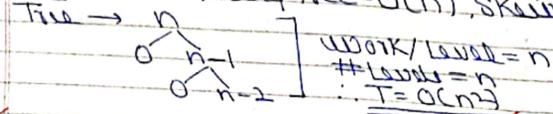
$$\text{if } k = \text{middle} \rightarrow T(n) = 2T(n/2) + n : O(n \log n)$$

$$k = \text{left/right} \rightarrow T(n) = T(n-1) + n : O(n^2)$$

Partition middle:  $O(n \log n)$ : Best Case

Partition left/right:  $O(n^2)$ : Worst Case

- (ii) Ascending/Descending/Array with Eq. elem.  
 $[T = O(n^2), \text{ht of tree} = O(n), \text{Skewed}]$

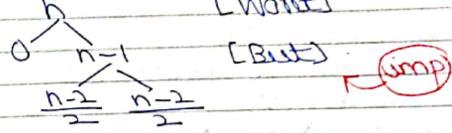


- (iii) Split input into ratio (eg: 1:9), 1:99, 1:999  
 $T = O(n \log n)$

eg → Pg 32 [Split i/p into 1:9 ratio] Q7  
 Select 1/10<sup>th</sup> smallest item as Pivot.

### (iv) Alternate Best & Worst Case

[Worst]



$$T(n) = cn + cn + 2T\left(\frac{n}{2}\right)$$

$$T(n) \Rightarrow O(n \log n)$$

eg → Pg 33 [Median Q] ✓

### \* HEAPS

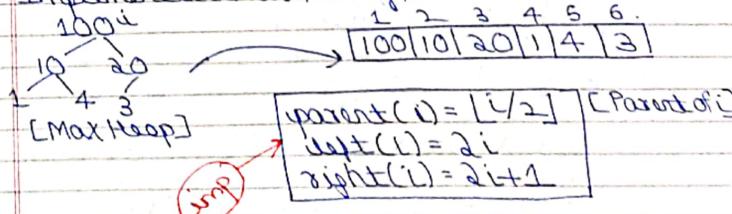
- The DS, as an i/p to an algorithm, affects the time complexity of Algo.

MinHeap / MaxHeap | Insert | deleteMin | findMin

→ MinHeap /  $O(\log n)$  /  $O(1)$  /  $O(1)$

\* Heap: Almost complete binary Tree [no Gaps in Array]

• Implemented as an Array Visualized as Tree



[eg] → Pg 35 [Q1] (To it a maxHeap, & HeapSize)

Array in desc Order: max Heap  
Array in asc Order: min Heap

\* Properties of a complete Binary Tree

- (i) ht of a node = longest edge to leaf
- (ii) ht of tree = ht of root
- (iii) Given ht, max nodes  $\rightarrow [h \rightarrow 2^{h+1} - 1]$

(iv) Ternary Tree,  $h \rightarrow (3^{h+1} - 1)/2$

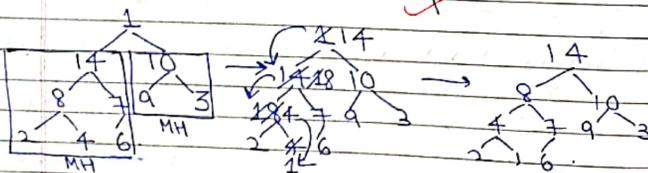
(v) if n nodes

$$\frac{n}{n \text{ nodes}} \rightarrow \lceil \log_2 n \rceil$$

(vi) In a CBT, leaves start from index value  $\{ \lfloor \frac{n}{2} \rfloor + 1 \text{ to } n \}$

(vii) every log is a heap.  $\# \text{leaves} = \frac{n}{2}$

\* MaxHeapsify: If left & right Subtree is max heap, what to do with root so as to make the tree → maxHeap.



Max dist root travel =  $O(h) = O(\log n)$ .

MaxHeapsify:  $T = O(\log n) = O(h)$   
 $S = O(1)$

(vmp) depends on level of node, where called

### \* Build Max Heap

- Nonleaf  $\lfloor \frac{n}{2} \rfloor$  to 1.
- Apply MaxHeapsify one by one, on the nonleaf Nodes.

[eg] → Pg 40 [Q1]

NOTE: Max no. of nodes at height  $h$ :  $\left\lceil \frac{n}{2^{h+1}} \right\rceil$

Build Heap = MaxHeapsify on nonleaf Nodes

$$\sum_{h=0}^{\infty} \left\lceil \frac{n}{2^{h+1}} \right\rceil \cdot O(h) = O(n)$$

: Build Heap → Time Complexity =  $O(n)$

(vmp) Space Complexity =  $O(\log n)$

Max Space taken by any heapsify call.

### v) Extract Max / Delete Max $\quad \text{findMax} = O(1)$

- (i) Delete the root of maxheap, & return it.
- (ii) Replace root with last element, & dec Heap size.
- (iii) L & R are maxheaps, apply maxHeapsify.

$T = O(\log n)$   
 $S = O(1)$

### v) Increase Key (MaxHeap)

- IncreaseKey(A, 5, 300); [index 5 → 300].
- Worst Case: if leaf node, value to move to root  
 $O(h) = O(\log n)$

$T = O(\log n)$

(vmp)

## 3) Decrease Key (Max Heap)

- If root value is decreased.
- L.R. on max heap, so Max Heapsify
- $T = O(h) = O(\log n)$

## 4) Insert element (Max Heap)

- Insert as at the last position.
- Increase Key (-∞, 300); & 300 travels up.
- $T = O(\log n)$

• findMin/deleteAny/Search:  $O(n)$   
[MaxHeap] (imp)

## 5) Heap Sort [InPlace Sorting]

- (i) Build MaxHeap.
- (ii) Exchange root with last element, & dec. Heap Size.
- (iii) Apply maxHeapsify & repeat(ii).  
→ reverse sorted from behind. (imp)
- $T = O(n \log n)$  (imp)
- atleast  $n/2$  times, the ht will be  $\log n$ .

## 6) Bubble Sort

- Heaviest element comes to the right of last swap. [Stable/Adaptive/InPlace].
- $n-1$  Passes.
- $n-1 + n-2 + \dots + 1 = O(n^2)$
- Pg 43 [Code] (imp)

• Time Complexity:  $\{O(n^2)\}$ : Reverse Sorted.  
 $O(Kn)$ : (if  $K$  heavyish elements are unordered,  $K \ll n$ )  
 $\Rightarrow O(n)$  (imp)

## 6) Bucket Sort

→ Sort a large set of floating nos. in range 0 to 1.  
→ No. of buckets = Base = 10.  
Space complexity:  
(imp)  $S = O(n+k)$ ;  $n$  nos,  $k$  buckets.

Time complexity:  $\{T = O(n)\}$  [Uniform dist.]  
 $\{T = O(n^2)\}$  [All map into same bucket].

DS used → HashTable + Chaining

[eg] → Pg 46 [Q] (imp)

## 7) Counting Sort

→ Range must be known, & keys should be in that range ( $K$ )

$$\begin{aligned} S &= O(K) \\ T &= O(n+K) \end{aligned}$$
(imp)

→ For every no. in range, maintain a cell in array.  
→ Traverse the array, & count occur of num, & fill in cell.

[eg] → Pg 47 (imp)

\* Disadvantage:

- Range must be known
- $[1, 1000, 2, 3]$
- $(\text{ArraySize} = 1000)$

## 8) Radix Sort

- Each key in Array should be/made a d-digit number.

2 Digits are numbered from 1 to b (right to left)  
eg: P53

3 For  $i=1$  to d:  
Use Stable Sort Algo to sort d on digit i  
[Counting Sort]

eg. → Pg 48 [Example] ↗ imp  
(When sorted on 1 Unit digit, the 1 digit no.  
will be sorted.) ✎

\* Time Complexity :  $T = \log_2 l (O(n+b))$   
 $S = O(b)$

l: largest no. ↗ imp  
 $\log_2 l$ : no. of digits ↗  
 $n \rightarrow$  Base  
 $b \rightarrow$  No. of elemn.  
 $O(n+b)$ : Time of Counting Sort.  
 $O(b)$ : Space used by Counting Sort.

### ⑨ Selection Sort

- find the smallest element in each pass &  
place in beginning  
 $T = O(n^2) \rightarrow n=1+n-2+n-3+\dots+1 = O(n^2)$   
 $S = O(1)$

- No. of Swaps =  $O(n) - \text{Max}$  ✎

### ⑩ GREEDY TECHNIQUE

- Need for Optimization Problem (min/max)  
 Optimization Problem → Greedy (Want value  
 all, so we)  
 → DP (solve all, but some in  
 exponential time)  
 $\rightarrow O(nk)$   
 $\rightarrow O(2^n)$

### ① Fractional Knapsack Problem

→ sort n objects in P/W ratio [decreasing  
order] → (n log n)  
 → check one by one, if it could fit in KS [full]  
 fraction → (n).  
 $T = O(n \log n)$   
 $S = O(b)$  → [P/W array]

eg. → Pg 52, Algo → Cell ✎

### \* Greedy KS using Max Heap:

→ Build max heap (P/W) :  $O(n)$   
 → delete max n times :  $O(\log n) \times h$   
 ↗ atleast  $n/2$  times  
 $\therefore T = O(n \log n)$  [with Array / with Heap]

### ② Huffman Codes → [min. no. of bits, to save a file]

→ Used to compress a file.  
 → If 4 char: 2 bits needed [Fixed size encoding]  
 Total 8 bits  
 But, Huffman code : (Variable size encoding)  
 If occurrences/frequencies of char are not even,  
 HC is used. Highest freq char gets the least no.  
 of bits.  
 → HC = Prefix Codes [Code can't be prefix of any other  
 code] eg: 0, 10, 110, 111

External Path Length = No. of bits to save  
 $\sum (\text{freq} \times \text{Path length})$  file

eg. → Pg 56 ↗ imp

## ALGO

- 1) Make a minHeap with c. [ ]  $O(n \log n)$  :  $O(n)$

2) for i to n:  
 allocate new node z (Tree Node)  
 $z \leftarrow \text{extractmin}(Q)$       }  $O(n \log n)$   
 $z \leftarrow \text{extractmin}(Q)$   
 $z \leftarrow \text{parent}(x, y) \leftarrow x \log y$       }  $O(n \log n)$   
 Insert(Q, z)

$$T = O(n \log n)$$

$$S = O(n)$$

imp

Space req to store tree.

①  
Heap

②  
[Sort]  
ALGO

Note

[eg] → Pg 58 [Prob. in Huffman Coding]

## ③ Job Sequencing with Deadlines

- 1) Arrange the jobs in dec. order of Profits :  $O(n \log n)$   
 2) Take each job & Place it as close to its deadline (in Gantt chart) :  $O(n^2)$

[eg] → Pg 61 [Q2] X

## ④ Optimal Merge Patterns

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→ merge files with sorted records, so as to minimize the no. of record movements. imp

External Path = No. of record length movements

Algo (a) Create min Heap :  $O(n)$

(ii) Take 2 min, merge it; put back :  $O(n \log n)$

$$\therefore T = O(n \log n)$$

→ Largest record file will be moved less, nearer to root. imp

[eg]

→ Pg 63 [Q7]

## \* Intro to Spanning Trees

Simple Graph: no loops / 11 edges

Null Graph: no edges

$E = O(V^2) \rightarrow$  Complete Graph (Worst case)  
 $V = O(\log E)$

Spanning Tree: Min no. of edges (true) required to connect all vertices (n)  $\rightarrow (n-1)$  edges

→ No. of Spanning trees  $\rightarrow n^{n-2}$  STs imp

## \* Kirchhoff's theorem

→ To find no. of STs for a Not complete Graph

- Construct Adjacency Matrix
- Diagonal Os  $\rightarrow$  degree of nodes
- Non-diagonal 1s  $\rightarrow$  "-1"
- Non-diagonal 0s  $\rightarrow$  "0".

$$\text{No. of STs} = \text{cofactor of any element} = (-1)^{i+j} M_{ij}$$

[eg] → Pg 65 [Q7] X

\* MST: ST with min cost out of the  
 $n^{n-2}$  STs [Brute Force] ~~✓~~

### ⑤ Prim's MST Algorithm

- Start at vertex (u), select its next nbr (v).
- From (u, v), select the next nbr from the unvisited nodes & so on. jmp
- Free goes are edge a time & we never get a cycle here ( $\because$  nodes selected from UV nbrs)
- If step 2 is not distinct: more than 1 MST.
- eg → Pg 69 [Gate-10] (Adj. Matrix)
- eg → Pg 70 [O should be kept] ~~✓~~

### ⑥ Prim's implementation (without MinHeap)

- DS: boolean visited[V], weights[V], parent[V]
- Run loop V times
- i. Pick vertex with minWeight from Unvisited (min), & Mark it as visited.
- explore all its unvisited nbrs
- decide whether to update Parent & weight.  
 If  $weights[i] > edges[min][i]$   
update
- eg → Pg 71 [Alg + Example] :  $T = O(V^2)$   
 $S = O(V)$

### (ii) Prim's implementation (with MinHeap)

- eg → Alg [Case] jmp
- G: Graph,  $x$ : root; & eg: Pg 71

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✓ Build Heap:  $O(V)$   
 Extract min:  $O(V \log V)$   
 Decrease Key: (DK carried out Edge times)  
 $O(E \log V)$   
 $T = O(V \log V + E \log V + V)$   
 $T = O(E \log V)$  [With Min Heap] jmp

$T = O(V \log V + E)$  [With Fibonacci Heap]  
 $O(E \log V) \leftrightarrow O(V^2)$

#### (i) Dense Graph: $E = O(V^2)$

-  $O(V^2 \log V) > O(V^2)$

- choose without heap

#### (ii) Sparse Graph: $E = O(V)$

-  $O(V \log V) < O(V^2)$

- choose with heap implementation ~~✓~~

### ⑦ Kruskal's MST Algorithm

→ We may get a forest, we need to check if the edges don't form a cycle (while adding)

#### \* Disjoint Sets

Sets which are disjoint to each other.

Operations on Disjoint Set:

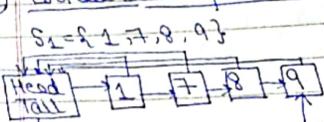
(i) Create set(x) : Create set with elem(x)  
 [choose any elem of a set as representative]

(ii) Union :  $S1 \cup S2$

(iii) Find set(x) : Find set of x.

• DS for Disjoint Sets linked list imp. Forest / Tree imp.

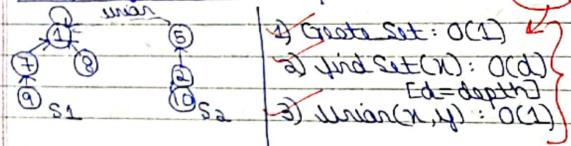
### 1) Linked List Implementation



{  
 1) Create Set:  $O(1)$   
 2) Find Set( $x$ ):  $O(1)$   
 3) Union:  $O(n)$   
 : Point all items of next list to head.  
 : Point all items of next list to head.

: By Amortized Analysis, with LL implement?  
 $T = O(n)$  [Merge n disjoint sets, union]

### 2) Tree / Disjoint Forest Implementation

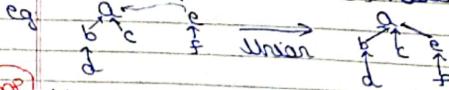


{  
 1) Create Set:  $O(1)$   
 2) Find Set( $x$ ):  $O(d)$   
 Ed = depth  
 3) Union( $x, y$ ):  $O(1)$

\* To improve findSet approach = reduce depth  
 ↳ Union By Rank  
 ↳ Path compression

### 3) Union By Rank

- Make root of tree with less nodes point to the root of tree with more nodes



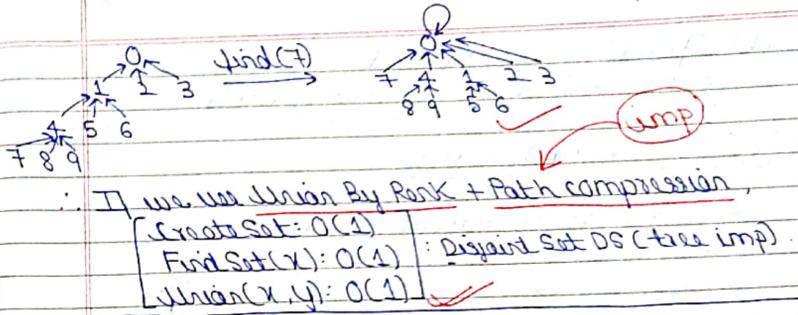
Max depth possible with  $n$  nodes =  $\log n$   
 $\rightarrow$  Find Set  $\approx O(\log n)$  [Union By Rank]

### 4) Path Compression

- whenever we perform find( $x$ ), make everyone visited in the path point to the root. Next, find( $x$ )  $O(1)$

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: If we use Union By Rank + Path compression,

Create Set:  $O(1)$   
 Find Set( $x$ ):  $O(1)$   
 Union( $x, y$ ):  $O(1)$

Disjoint Set DS (tree imp)

### 5) Connected components using Disjoint Set DS

- For dynamic Graphs: Disjoint Set  $\rightarrow$  BFS / DFS

eg → Pg 84 [Find no of Connected Comp]  
 $T = O(E \log V)$   
 $S = O(V)$

### 6) Kruskal's MST Algorithm (Using Disjoint Sets)

Algo → Pg 84  
 $T = O(E \log E) / O(E \log V)$   
 S = O(V)

### 7) Dijkstra Algorithm

- Single Source Shortest Path Algorithm

- It doesn't have the capacity to detect (-ve) edge cycles, so it is not allowed to work with -ve edge Graph (no trust in answer)

eg → Pg 87 [Algo] (Dijkstra with Heap)  
 extract min (V times):  $O(V \log V)$   
 decrease Key (E times) / Relaxation:  $O(E \log V)$   
 $T = O(E \log V)$   
 $S = O(V)$

(ii) If Graph is disconnected / Graph has -ve edge cycle  $\Rightarrow$  Shortest Path not possible.

### Bellman Ford Algorithm

- Single Source Shortest Path Algo

- can detect -ve edge cycle

Graph  $\xrightarrow{\text{Bellman Ford}}$  No (-ve cycle)  $\xrightarrow{\text{SP}}$

- But, slower than Dijkstra.

$\Rightarrow$  Intuition: If Graph has n vertices SP won't have more than  $n-1$  edges.

(i) List the edges (in some order)

(ii) Relax all the edges ( $n-1$ ) times. After 1st iteration, SP contains at most 1 edge  $\leftarrow$  (imp)

(iii) Relax 1 more time, if value of any node changes  $\Rightarrow$  -ve cycle exists.

$$T = O(E \times V-1) \times O(1) \rightarrow \text{relaxation time} \quad [ : \text{array}]$$

If, Sparse Graph:  $T = O(n^2)$

Dense Graph:  $T = O(n^3)$   $\times$

### DYNAMIC PROGRAMMING

dynamically decide  $\rightarrow$  storing in table

\* Fibonacci:

$$T(n) = T(n-1) + T(n-2)$$

$T = O(2^n)$ ; (without DP)

# of recursive trees =  $n$ , # first call =  $2^n$ .

$T = O(n)$ ,  $S = O(n)$  (with DP)

(i) If Graph is disconnected / Graph has -ve edge cycle  $\Rightarrow$  Shortest Path not possible.

### Bellman Ford Algorithm

- Single Source Shortest Path Algo

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### Matrix Chain Multiplication Problem

Apxg, Bixg,

$$A^t B \rightarrow \text{Total mul} = pxq, \text{Size} = p \times q, (C)$$

Problem: Parenthesize mult. of n Matrices in a way, so as to min. # multiplications.

\* For n Matrices  $\rightarrow$  no. of parenthesizing ways =  $K_{n-1} = \frac{2^{n-1}}{n+1}$  (n=4, Subit 3 in formula)

$\Rightarrow$  Requirements for DP:

(i) Optimal Substructure: Main Problem must be divided into Small Problem, & conquer it

(ii) Recursive formula:

(iii) Overlapping/dependent Subproblems:

\*  $A_1 A_2 A_3 \dots A_n$   $\leftarrow$  Matrix  $p_0 \times p_1, p_1 \times p_2, \dots, p_{n-1} \times p_n \leftarrow$  dimension[Array]

\*  $A_i A_{i+1} A_{i+2} \dots A_j \rightarrow (A_i A_{i+1} \dots A_k) (A_{k+1} \dots A_j)$   $\downarrow$   $P_{i-1} \times P_k$   $\downarrow$   $P_k \times P_j$   $P_{i-1} \times P_j$

$\Rightarrow$  Recursive eqn:

$$m[i,j] = \begin{cases} 0, i=j \\ \min \{ m[i,k] + m[k+1,j] + p_{i-1} p_k p_j \} \\ \text{to mul} \\ [A_i \dots A_j] \end{cases} \quad i \leq k \leq j-1 \quad \therefore \quad \checkmark$$

[eq]  $\rightarrow$  Recursion Tree [Pg 94]:

$T = O(2^n)$ ; // Using Recursion without DP.

# of overlapping SPs,

$\rightarrow$  No. of Unique Subproblems =  $O(n^2)$

(imp)

**eg** → Pg 95 [Q] ✓  
 No. of Unique function calls =  $n^2$ .  
 Time to compute 1 funct call =  $O(n)$ . ∴  $K_E(i_j)$   
 $T = O(n^2 \times n) = O(n^3)$  ↗ **Imp**  
 $S = O(n^2)$  ✓  
 Bottom up DP (Iterative - Tabular)  
 Top down DP (Memorization - Recursion)  
 [Almost Space, bit more due to Stack Return]

### ② Longest Common Subsequence

① RAVINDRA [LCS = {AA}] — m :  $2^m$  SS  
 AJAY — n

(ii) Brute force:  $O(2^m \times n)$

\* X:  $x_1, x_2, x_3, \dots, x_n$

Y:  $y_1, y_2, y_3, \dots, y_m$

Recursive Eqn: ↗ **Imp**

$$c[i, j] = \begin{cases} 0 & i=0 \text{ or } j=0 \\ 1 + c[i-1, j-1] & x_i = y_j \\ \max(c[i-1, j], c[i, j-1]) & x_i \neq y_j \end{cases}$$

\* Recursion tree (in worst case)

→ Pg 99 [Recursion tree].

(i) Depth of tree =  $n+m$  ↗ **Imp**

$T = O(2^{n+m})$  // recursion without DP.

→ Lots of overlapping SPS

No. of Unique SPS:  $O(n \times m)$

Time to compute 1 SP:  $O(1)$

(iii)  $\therefore T = O(mn), S = O(mn)$  // with DP.

**eg** → Pg 101 [Bottom up DP - tabular] ↗ **Imp**  
 (Path tracing from bottom most cell).  
 Within a Stage, no edge.  
 ③ Multi Stage Graph ↗ edge only from  $S_i$  to  $S_{i+1}$ .  

Problem: Find Shortest Path from S to T.

- Dijkstra takes  $O(E \log V)$ , ∵ compute SP from Source to all Vertices & D. → Too Slow.

$$M(S, 1) = \min \begin{cases} S-A + m(A, 2) \\ S-B + m(B, 2) \\ S-C + m(C, 2) \end{cases}$$

$m(S, 1)$ : SP from nodes [stage 1] to T.  
(Recursive eqn)

Vertices in next stage.

**eg** → Pg 103 [Recursive tree] ↗ **Imp**  
 depth =  $O(n)$  ↗ **Imp**  
 (i)  $T = O(K^n)$  // if K vertices in each stage.  
 // Without DP.  
 ∵ lot of overlapping SPS ⇒ DP.

**eg** → Pg 103 ↗ **Imp**  $T = O(2^n)$   
 $S = O(n)$

- No. of Unique SPS =  $O(n)$  ↗ **Imp**
- Time req to compute 1 funct call =  $O(n)$  ↗ **Imp**

$$\therefore T[i] = \min_{j=i+1}^n \{ S[i, j] + T[j] \}$$

(ii)  $T = O(n^2)$  ↗ **Imp**  
 $S = O(n)$   
 [1D Array] ↗ **Imp**

$E = n^2$  ↗  $T = O(E)$   
 $S = O(V)$  ↗ **Imp**

// with DP. ↗ **Imp**

eg ④ 0/1 KnapSack

KS → Fractional KS [Rice] → Greedy  
 KS → 0/1 KS [Pants] → DP.

Q Why Greedy fails in 0/1 KS  
 eg → Pg 105 [Capacity = w] ↗ **Imp**

(i) Brute Force:

[On every obj include / exclude] →  $T = O(2^n)$

\* Recursive Eqn:

$$\begin{cases} 0; & i=0 \text{ or } w=0 \\ KS(i, w) = \max \begin{cases} p_i + KS(i-1, w-w_i) & // \text{include} \\ KS(i-1, w) & // \text{exclude} \end{cases} & w_i > w \end{cases}$$

ith object state space left

eg → Recursion tree [Pg 106]

(ii) Recursion without DP.

depth = n

$T = O(2^n), S = O(n)$

lot of overlapping SPS, ∴ DP.

eg → Pg 106 [Bottom up DP]

No of Unique SPS =  $O(n \times w)$

Time req to compute 1 SP:  $O(1)$

Table Size =  $O(n \cdot w)$

(iii)  $\therefore T = O(n \cdot w), S = O(n \cdot w)$  ↗ **Imp** // with DP.

but in case,  $w \approx 2^n \rightarrow T = \text{exponent}$ .

depends on w: Not Polynomial Alg.

NP complete Problem.

(Same time due, no proof that linear time exists)

⑤ SubSet Sum Problem

Problem: Is there any SubSequence in a given Set, whose sum is S.

(i) Brute Force →  $T = O(2^n)$  # subSequences

\* Recursive eqn:

$$SS(i, S) = \begin{cases} \text{True}, & S=0 \\ \text{False}, & i=0, S \neq 0 \\ SS(i-1, S); & S < a_i \text{ [Exclude]} \\ SS(i-1, S-a_i) \vee SS(i-1, S) \text{ [Include]} & \end{cases}$$

eg → Pg 109 [Recursion Tree]

depth = n

(ii) Recursion without DP →  $T = O(2^n), S = O(n)$

\* Lots of Overlapping SPS

\* No. of Unique SPS =  $O(n \times S)$

Time required to compute 1 SP:  $O(1)$

eg → Pg 109 [Bottom up DP]

$T = O(n \cdot S), S = O(n \cdot S)$  // with DP.

if  $S \approx 2^n$ , why to use DP?, use Brute force

NP complete Problem (like 0/1 KS)

⑥ Travelling Salesman Problem

Problem: The Salesman has to visit every vertex & return back to Source (in min cost) or Find the min cost Hamiltonian Cycle.

(ii) Brute Force  $\rightarrow T = O(n!) = \boxed{T = O(n^n)}$   
 $\therefore (n-1)!/2$  Hamilton cycles.

[eg]  $\rightarrow$  Pg 111 [TSP example]

\* Recursive eq<sup>n</sup>:

$$T(i, S) = \min_{j \in S} [C[i, j] + T(j, S - \{j\})]; S \neq \emptyset$$

Set of  
Vertices  
unvisited  
↑  
 {  
v in  
Set  
} ↑  
 C[i, 1]  
 Source

\* Lots of overlapping SPs in Recursion tree.

\* No. of Unique SPs =  $O(n \cdot 2^n)$

Time req. to compute 1 SP =  $O(n)$

$$\therefore T = O(n^2 \cdot 2^n) \quad // \text{with DP.}$$

$$S = O(n \cdot 2^n)$$

NP Complete Problem.

$$(n-1) + (n-1) \binom{n-2}{n-2} + (n-1) \binom{n-2}{n-4} + \dots + (n-1) \binom{n}{2}$$

## (7) Floyd-Warshall

- All Pairs Shortest Path Problem.

(i) Run Dijkstra for every node:  $O(VE \log V) \approx O(V^3 \log V)$

(ii) Run BF for every node:  $O(VE) \approx O(V^4)$

∴ Too Slow  $\rightarrow$  Floyd-Warshall

\* Recursive eq<sup>n</sup>:

$$d_{ij}^K = \begin{cases} \min(d_{ij}^{K-1}, d_{ik}^{K-1} + d_{kj}^{K-1}) & ; K \neq 0 \\ W_{ij} & ; K=0 \end{cases}$$

$D_0$ : path allowed (with no item vertex)

$D_1$ : SP using 1 as intermediate v.

$D_2$ : SP using 1, 2 as intermediate v.

compute  $D_2$  using  $D_1$ .  $\times$

[eg]  $\rightarrow$  Pg 115 [Bottom up DP]

$O(n)$  # Tables = # Vertices ( $\because$  SP with all V intern.)

Go complete 1-table  $\rightarrow O(n^3)$  Funct calls.

each funct call:  $O(1)$

$$\therefore T = O(n^2 \cdot n^3) = O(n^5)$$

$$S = O(n^3)$$

: Max Space only 2 Matrix at a time

\* NP Completeness

Tractable: if there exists at least one Poly algo:  $O(n^K)$  / fast also/  
real time solns.

Intractable: not tractable / slow, can't solve in  
Poly time, but can give approx results in rel time



$\Rightarrow$  Many prob look tractable at surface but are not

Tractable

Intractable

- Shortest Path

- Longest Path

- EulerTour

- MinCost Hamiltonian Cycle

- 2CNF

- 3CNF

$$(x_1 \vee x_2 \vee x_3) \wedge (x_1 \vee x_4 \vee x_5)$$

\* Optimizn  $\rightarrow$  Decision Problem.

[Work on Decision Problem ( $V/N$ ) b4 Opt Prob.]

(i) TSP  $\rightarrow$  Is any SP covering all Vertices of length at most  $K$ ?

(ii) 0/1KS  $\rightarrow$  Is there any sol? where Proj is atleast  $K$ ?

( $\uparrow$  you can't solve DP, OP no chance)

$\Rightarrow$  We use only DP for NP-Completeness

$\cdot$  If OP is easy  $\rightarrow$  DP is easy

$\cdot$  If DP is hard  $\rightarrow$  OP is hard

\* Verification Algo

$(A-B-C-D-A)$   $\rightarrow$  G Hamiltonian?, A-B-C-D-A  
DP. SAT.

VA takes  $O(n)$  time [check V & edges]

\* P: Set of Decision Problems for which there is polynomial-time algo to solve them.

\* NP: Set of DPs whose solution can be verified in Poly time. /  $O(n^k)$  VA.

$\rightarrow$  P: Greedy, DnC



$\cdot$  If all NP-P problems can be solved in  $O(n^k)$ ,  $P = NP$

$\cdot$  If atleast 1 prob of NP-P can't be solved in Poly time,  $P \neq NP$

Run b/w P & NP not known yet

$\rightarrow$  till today, no one could solve it Poly time  
provided none can't solve it either

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\* Poly time reduction Algo

$A \xrightarrow{\text{poly}} B$

$\alpha \xrightarrow{\text{poly}} \beta$

(i) if  $\beta$  is easy,  $\alpha$  is also easy.

(ii) if  $\alpha$  is hard,  $\beta$  is also hard.

[eg]  $\rightarrow$  Q8 [Q-A, B]

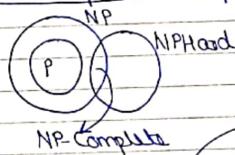
easy =  $O(n^k)$   
solved

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\* NP-Hard: If  $\forall$  prob in NP can be poly-time reducible to a prob 'A', then 'A' is NP-Hard.  
 $\rightarrow$  If 'A' can be solved in P, every prob in NP is P. :  $P = NP$

\* NP-Complete: If it is NP & NP Hard.

\* Our Belief / not Proof



\* If NP-Complete, can be solved in Poly time, then  $\forall$  NP problem in P. :  $P = NP$

\* If NP-Complete, proved to never been solved.  $P \neq NP$

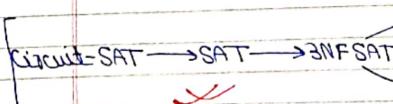
Took as a researcher.

\* Status of NP = Unknown (nothing abt Solvable time, only  $n^k$  VA).

\* If  $A = NP$  Hard, &  $A \xrightarrow{\text{poly}} B$ , then  $B = NP$  Hard.

\* If  $B$  is already in NP,  $\Rightarrow B = NP$  Complete.

\* Well Known NP Complete.



Clique  $\rightarrow$  Vertex Cover  $\rightarrow$  HC  $\rightarrow$  TSP

$\times$  Subset Sum.