# Basics of Convolutional Neural Network (CNN)

EE 5179: Deep learning for Imaging Instructor: Kaushik Mitra

### 2. Convolutional Neural Networks (CNNs)

#### CNNs vs MLPs

- Naively using MLP to classify 224x224x3 (~ 3 x 40,000) typical ImageNet image -> parameter explosion
  - ☐ Doesn't exploit local spatial information
- Can we build special neural nets for images exploiting
  - □ 2D topology of pixels
  - Achieve invariance to translation?

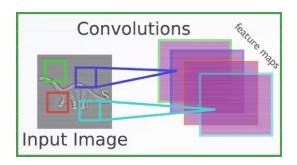
Convolutional networks leverage these ideas,

- Local connectivity
- Parameter sharing
- Pooling/ Subsampling
- ☐ ReLu (rectifier) nonlinearity





Category: tiger ImageNet



# **Topics**

General and biological motivation.

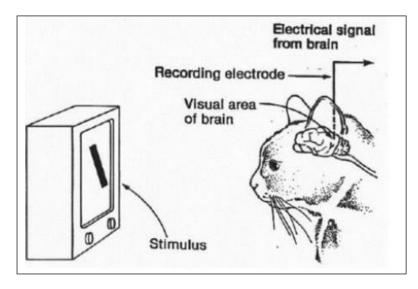
CNNs over fully connected networks.

Different layers in architecture (pooling, relu, etc.)

### Biological motivation - Mammalian vision system.



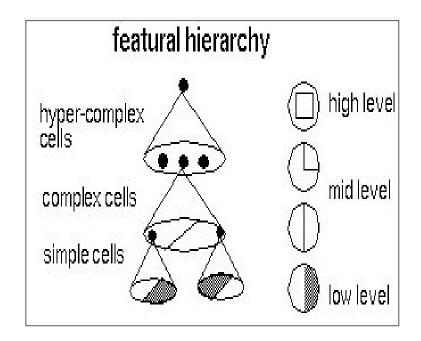
Hubel and Wiesel (1959)



Experimental setup

Suggested a 'hierarchy' of feature detectors in the mammalian visual cortex.

### Biological motivation - Mammalian vision system.



### Simple cells:

- 1. Activity characterized by a linear function of the image.
- 2. Operates in a spatially localized (SL) receptive field.
- 3. Each set responds to edges of different orientation.

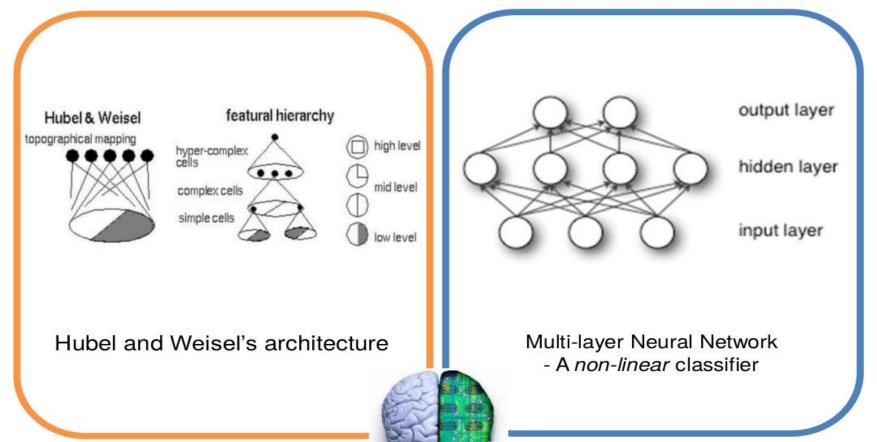
### **Complex cells:**

- 1. Operates in large SL receptive field
- 2. Receive input from lower level simple cells.
- 3. Acts as motion detectors

### **Hyper-complex cells:**

- 1. Larger receptive field
- 2. Receive input from lower level complex cells.
- 3. Acts as angle detectors

### Biological motivation - Mammalian vision system.



### Biological motivation - Grandmother cell

The grandmother cell is a hypothetical neuron that represents a complex but specific concept or object proposed by cognitive scientist Jerry Letvin in 1969.

But this hypothesis is currently being doubted since the number of objects/concepts is larger

than number of neurons.



iolvon.co.uk

### Biological motivation - Biological NN to Artificial NN.

Neocognitron [Fukushima, Biological Cybernetics 1980]

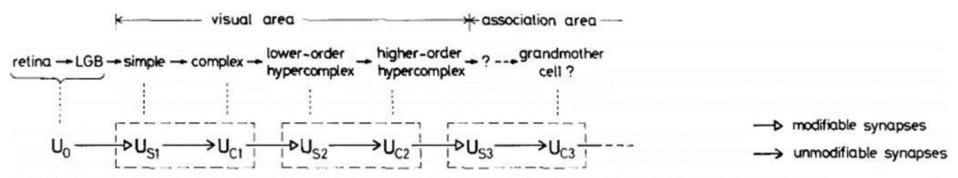
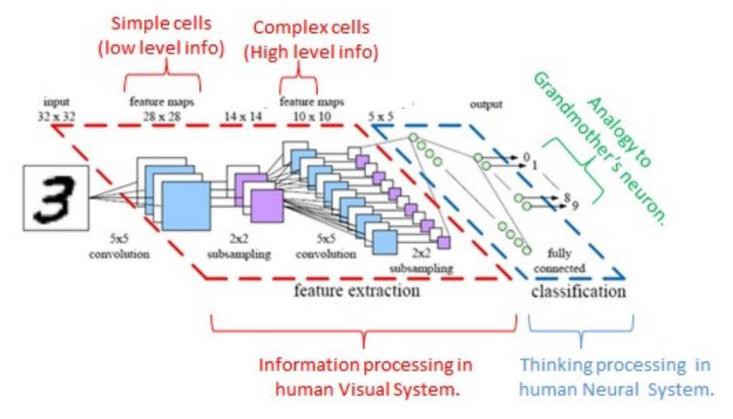


Fig. 1. Correspondence between the hierarchy model by Hubel and Wiesel, and the neural network of the neocognitron

- 1. But neuroscience has told us relatively less about how to train networks.
- Neocognitron used layer-wise unsupervised pretraining algorithm.

### Biological motivation - CNN.

Back-propagation [Lang and Hinton, 1988], and modern CNN [LeCun et al., 1989]

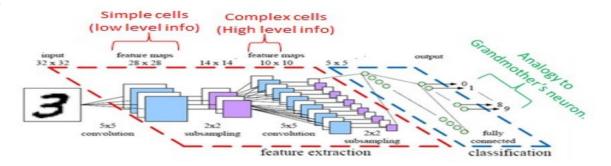


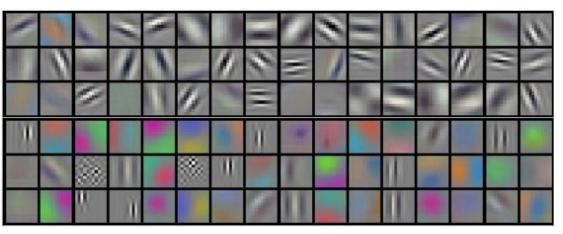
CNN proposed by LeCun et al. for document recognition.

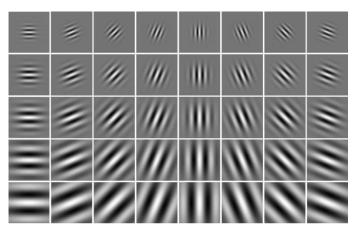
# Simple cells and low-level filters in a CNN

Marčelja, S. [1980] suggests that simple cells in visual cortex can be modeled as

**Gabor filters** 



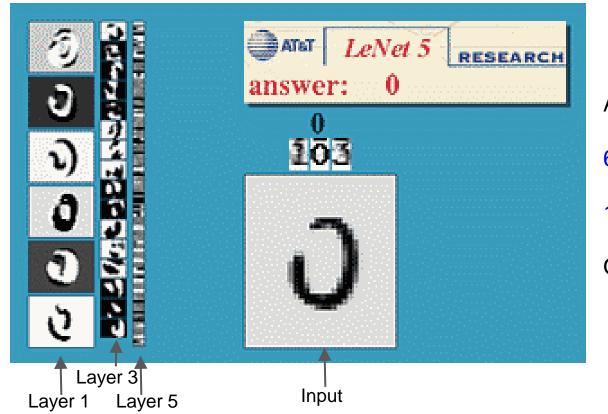




Low-level learnt filters of CNN (from Alexnet, 2012)

Gabor filters

### CNN for document recognition [LeCun et al., 1989].



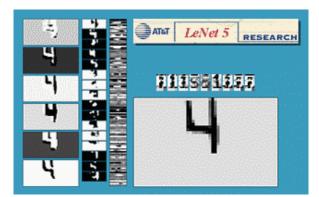
All images are 28x28 grayscale.

60k training examples.

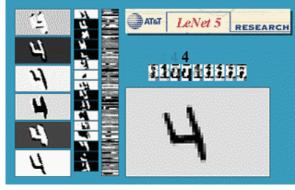
10k test examples

Output value is integer from 0-9

### CNN for document recognition [LeCun et al., 1989].



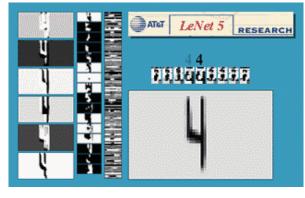
**Translation invariance** 



**Rotation invariance** 



Scale invariance



Squeeze invariance



Stroke-width invariance



Noise invariance

### Then why DL didn't take-off in 90's?

- 1. Limited big data availability
- 2. Limited computational power to crunch data

### Why DL is trending now?

### **Big data availability**



One trillion images.



**350 million** images uploaded **per day**.

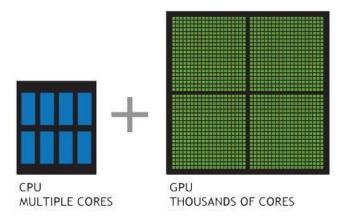


**100 hrs of video** uploaded **per minute**.



2.5 Petabytes data every minute.

### Computational power to crunch data





Parallel processing units - GPUs

# When/how was deep-learning reclaimed?

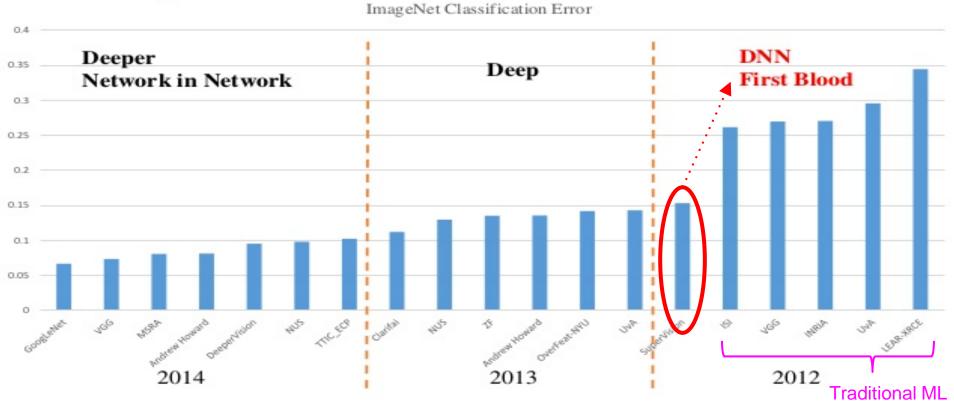


- 1,000 object classes (categories).
- Images:
  - 1.2 M train
  - 100k test.



### ImageNet Classification

1000 categories and 1.2 million training images



Li Fei-Fei: ImageNet Large Scale Visual Recognition Challenge, 2014 <a href="http://image-net.org/">http://image-net.org/</a>

# **Topics**

General and biological motivation.

CNNs over fully connected networks.

Different layers in architecture (pooling, relu, etc.)

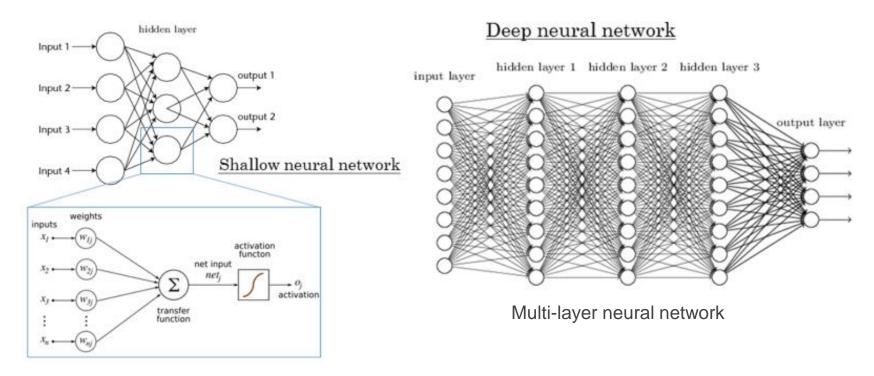
# **Topics**

General and biological motivation.

CNNs over multi-layer neural networks.

Different layers in architecture (pooling, relu, etc.)

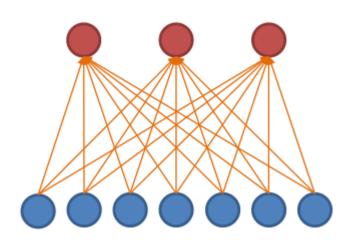
# CNNs over Multi-layer neural networks (MLNN)



### CNNs are multi-layer neural network with two constraints:

- 1. Local connectivity
- 2. Parameter sharing

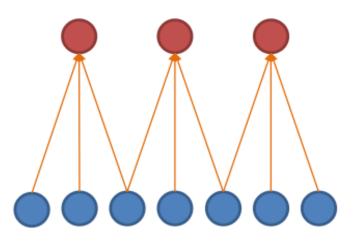
# CNN: Local connectivity (LC)



MLNN ( $7 \times 3 = 21 \text{ parameters}$ )

Hidden layer (3 nodes)

Input layer (7 nodes)



MLNN-LC ( $3 \times 3 = 9$  parameters) 2.3X runtime and storage efficient.

In general for a level with m input and n output nodes and CNN-local connectivity of k nodes (k < m):

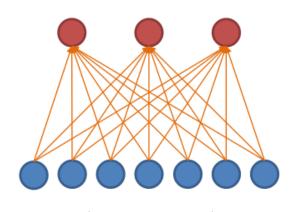
#### **MLNN** have

- 1. m x n parameters to store.
- 2.  $O(m \times n)$  runtime

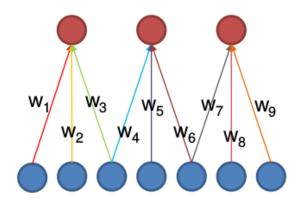
#### **MLNN-LC** have:

- 1. k x n parameters to store.
- 2.  $O(k \times n)$  runtime

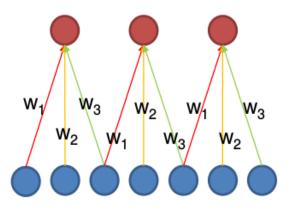
# CNN: Parameter sharing (PS)



MLNN (21 parameters)



MLNN-LC ( 3 X 3 = 9 parameters) **2.3X runtime and storage efficient.** 



MLNN-LC-PS (3 parameters)
2.3X faster,
& 7X storage efficient.

In general for a level with m input and n output nodes and CNN-local connectivity of k nodes (k < m):

#### **MLNN** have

- 1. m x n parameters to store.
- 2.  $O(m \times n)$  runtime

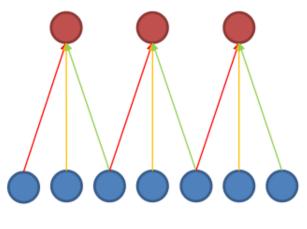
#### **MLNN-LC** have:

- 1. k x n parameters to store.
- 2.  $O(k \times n)$  runtime

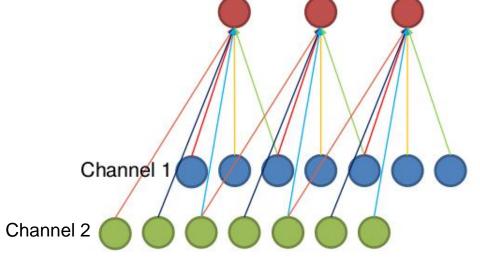
#### **MLNN-LC-PS** have:

- 1. *k* parameters to store.
- 2.  $O(k \times n)$  runtime

CNN with multiple input channels

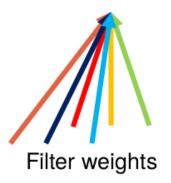


**Single** input channel

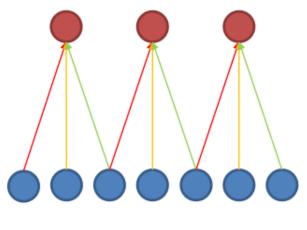


Two input channels



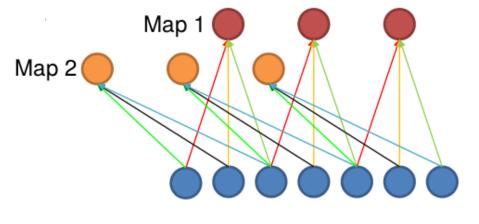


### CNN with multiple output maps

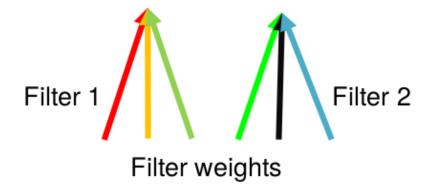


Single output map

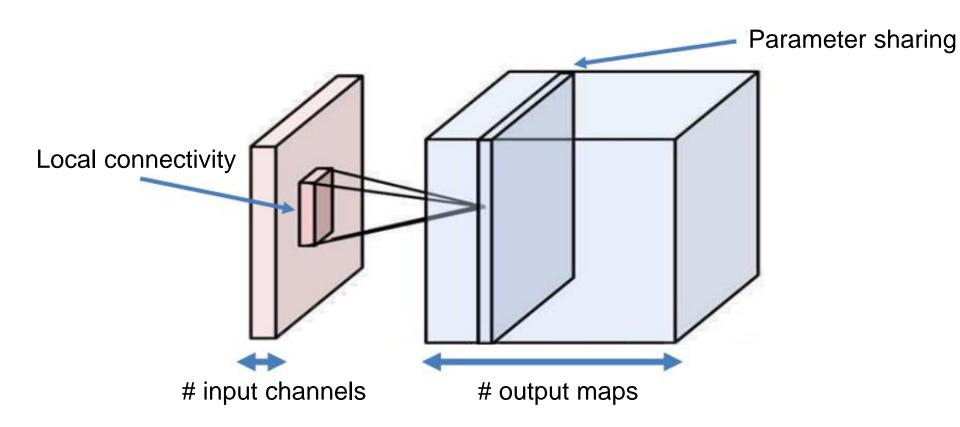




Two output maps



# A generic level of CNN



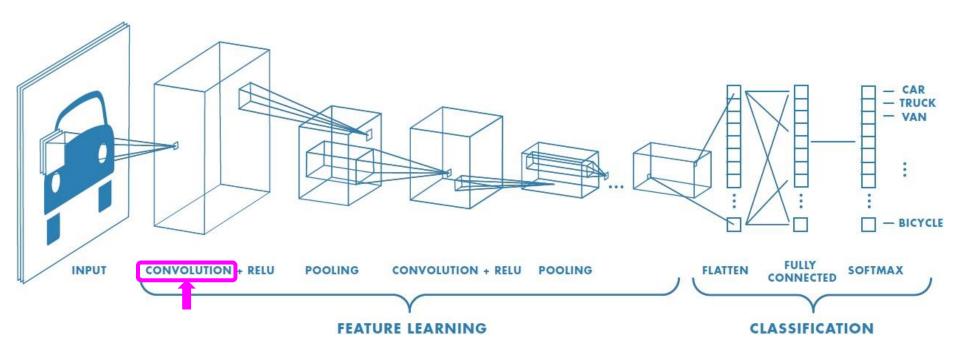
# **Topics**

General and biological motivation.

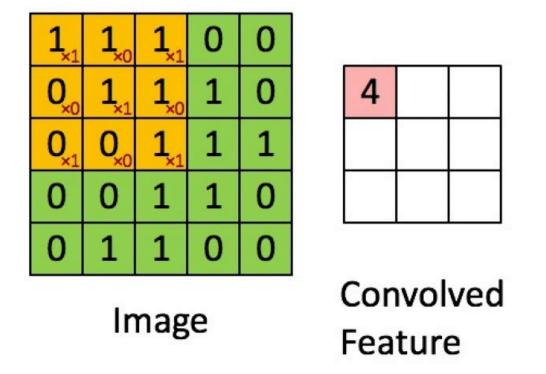
CNNs over multi-layer neural networks.

Different layers in CNN architecture (pooling, relu, etc.)

### Different layers of CNN architecture



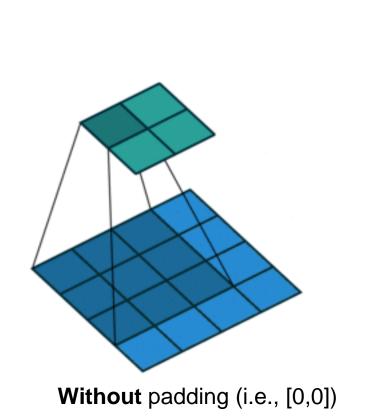
### **CNN:** Convolutional layer

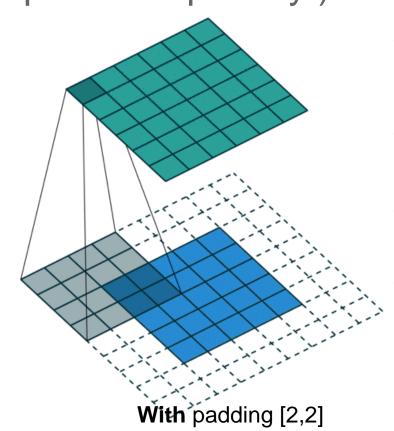


- 1. To reduce the number of weights (through local connectivity).
- 2. To provide spatial invariance (through parameter sharing).

### Hyper parameters for convolutional layer.

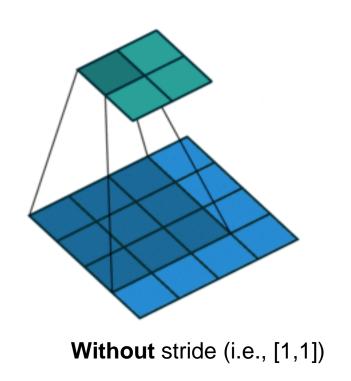
1. Zero padding (to control input size spatially.)

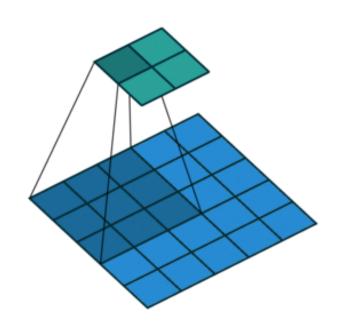




Hyper parameters for convolutional layer.

2. Stride (to produce smaller output volumes spatially.)

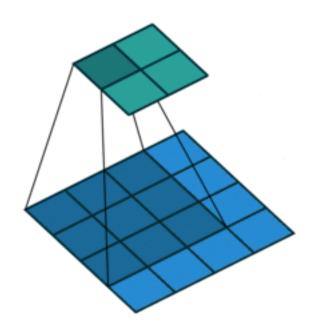




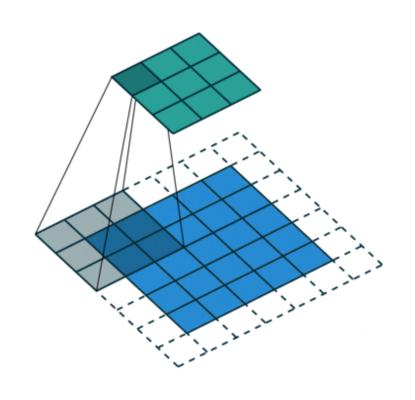
With stride [2,2]

### Hyper parameters for convolutional layer.

# Both padding and stride



Without padding and stride



With padding [1,1] & stride [2,2]

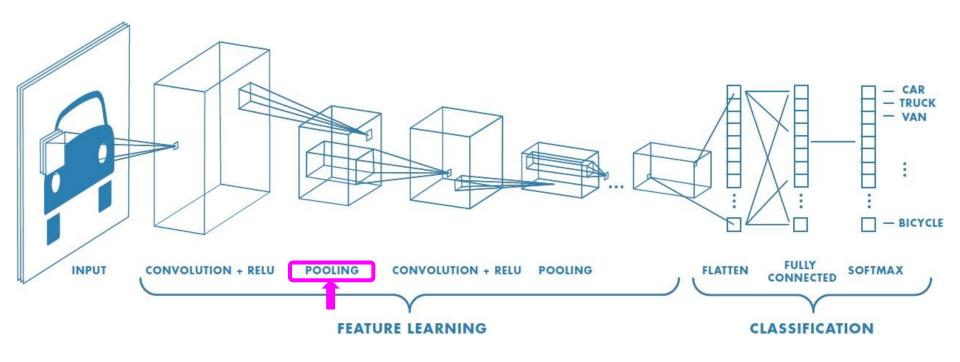
### CONVOLUTIONAL LAYER

- 1. Accepts a volume of size W1 X H1 X D1.
- 2. Requires four hyperparameters:
  - a. Number of filters **K**
  - b. their spatial extent *F*
  - c. their stride S
  - d. the amount of zero padding P
- 3. Produces an output volume of size **W2 X H2 X D2** where:

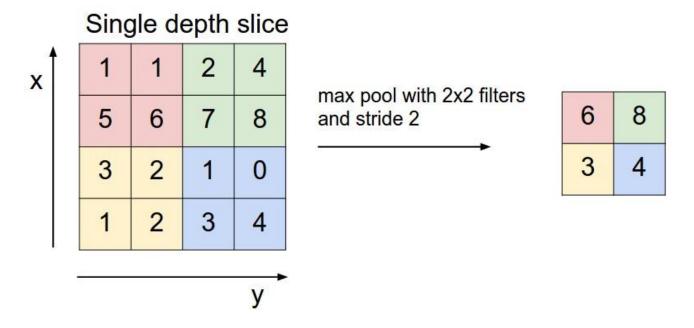
$$W2=(W1-F+2P)/S+1$$
,  $H2=(H1-F+2P)/S+1$ ,  $D2=K$ 

- 1. With parameter sharing, it introduces **F·F·D1** weights per filter, for a total of **(F·F·D1)·K** weights and **K** biases.
- 2. In the output volume, the **d**-th depth slice (of size **W2 X H2**) is the result of performing a valid convolution of the **d**-th filter over the input volume with a stride of **S**, and then offset by **d**-th bias.

### Different layers of CNN architecture



### **CNN**: Pooling layer



- 1. To reduce the spatial size of the representation to reduce the amount of parameters and computation in the network.
- 2. Average pooling or L2 pooling can also be used, but not popular like max pooling.

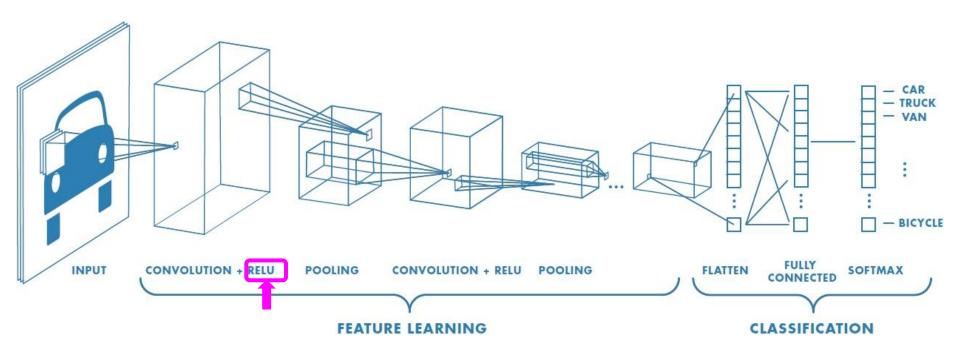
### POOLING LAYER

- 1. Accepts a volume of size *W1* X *H1* X *D1*.
- 2. Requires two hyperparameters:
  - a. their spatial extent **F**
  - b. their stride S
  - c. the amount of zero padding P (commonly P = 0).
- 3. Produces an output volume of size W2 X H2 X D2 where:

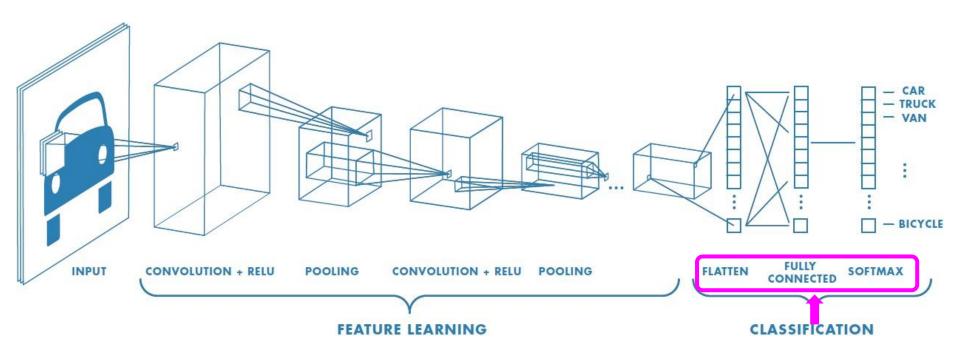
$$W2=(W1-F+2P)/S+1$$
,  $H2=(H1-F+2P)/S+1$ ,  $D2=D1$ 

1. Introduces **zero** parameters since it computes a fixed function of the input.

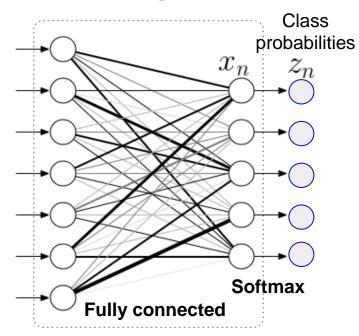
### Different layers of CNN architecture



### Different layers of CNN architecture



# Flattening, fully connected (FC) layer and softmax



### **Flattening**

1. Vectorization (converting **M X N X D** tensor to a **MND X 1** vector).

### **FC** layer

- 1. Multilayer perceptron.
- 2. Generally used in final layers to classify the object.
- 3. Role of a classifier.

### Softmax layer

1. Normalize output as discrete class probabilities.

$$z_n = \frac{e^{x_n}}{\sum_{i=1}^K e^x}$$