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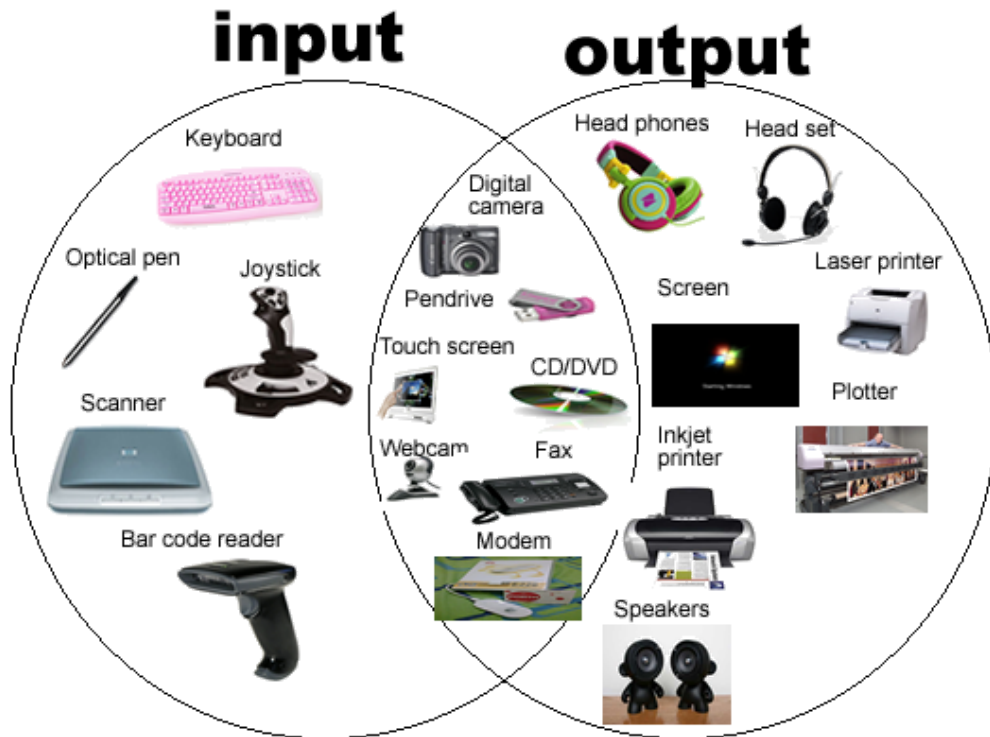
**NAME: RAJU GHORAI**

**ROLL NO: 33200118023**

**DEPARTMENT: CSE**

**SEM: 5 TH**

# I/O HARDWARE



One of the important jobs of an Operating System is to manage various I/O devices including mouse, keyboards, touch pad, disk drives, display adapters, USB devices, Bit-mapped screen, LED, Analog-to-digital converter, On/off switch, network connections, audio I/O, printers etc.

## Principles of I/O Hardware

Different people look at I/O hardware in different ways. Electrical engineers look at it in terms of chips, wires, power supplies, motors, and all the other physical components that make up the hardware. Programmers look at the interface presented to the software the commands the hardware accepts, the functions it carries out, and the errors that can be reported back. In this book we are concerned with programming I/O devices, not designing,

building, or maintaining them, so our interest will be restricted to how the hardware is programmed, not how it works inside. Nevertheless, the programming of many I/O devices is often intimately connected with their internal operation. In the next three subsections we will provide a little general background on I/O hardware as it relates to programming.

An I/O system is required to take an application I/O request and send it to the physical device, then take whatever response comes back from the device and send it to the application. I/O devices can be divided into two categories –

- **Block devices** – A block device is one with which the driver communicates by sending entire blocks of data. For example, Hard disks, USB cameras, Disk-On-Key etc.
- **Character devices** – A character device is one with which the driver communicates by sending and receiving single characters (bytes, octets). For example, serial ports, parallel ports, sound cards etc

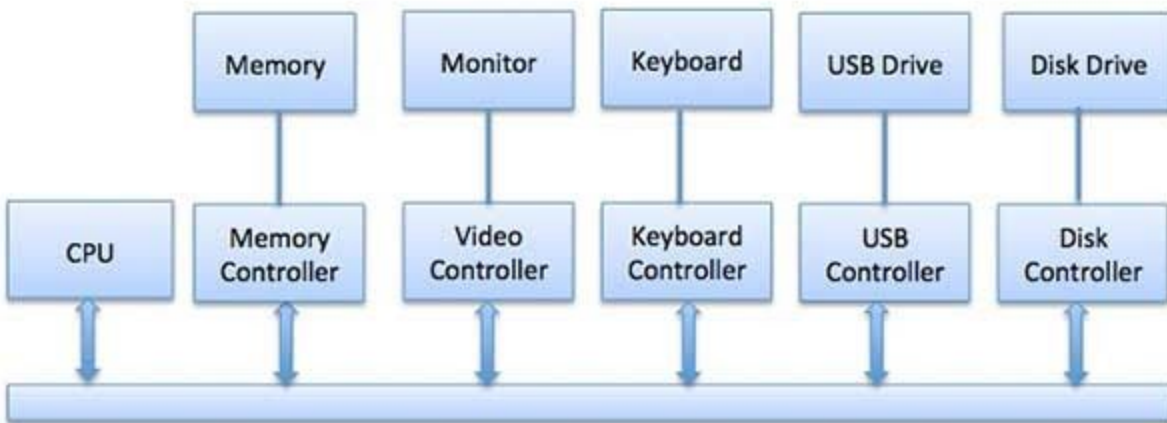
## Device Controllers

Device drivers are software modules that can be plugged into an OS to handle a particular device. The Operating System takes help from device drivers to handle all I/O devices.

The Device Controller works like an interface between a device and a device driver. I/O units (Keyboard, mouse, printer, etc.) typically consist of a mechanical component and an electronic component where the electronic component is called the device controller.

There is always a device controller and a device driver for each device to communicate with the Operating Systems. A device controller may be able to handle multiple devices. As an interface its main task is to convert serial bit stream to block of bytes, perform error correction as necessary.

Any device connected to the computer is connected by a plug and socket, and the socket is connected to a device controller. Following is a model for connecting the CPU, memory, controllers, and I/O devices where CPU and device controllers all use a common bus for communication.



## Synchronous vs asynchronous I/O

- **Synchronous I/O** – In this scheme CPU execution waits while I/O proceeds
- **Asynchronous I/O** – I/O proceeds concurrently with CPU execution

## Communication to I/O Devices

The CPU must have a way to pass information to and from an I/O device. There are three approaches available to communicate with the CPU and Device.

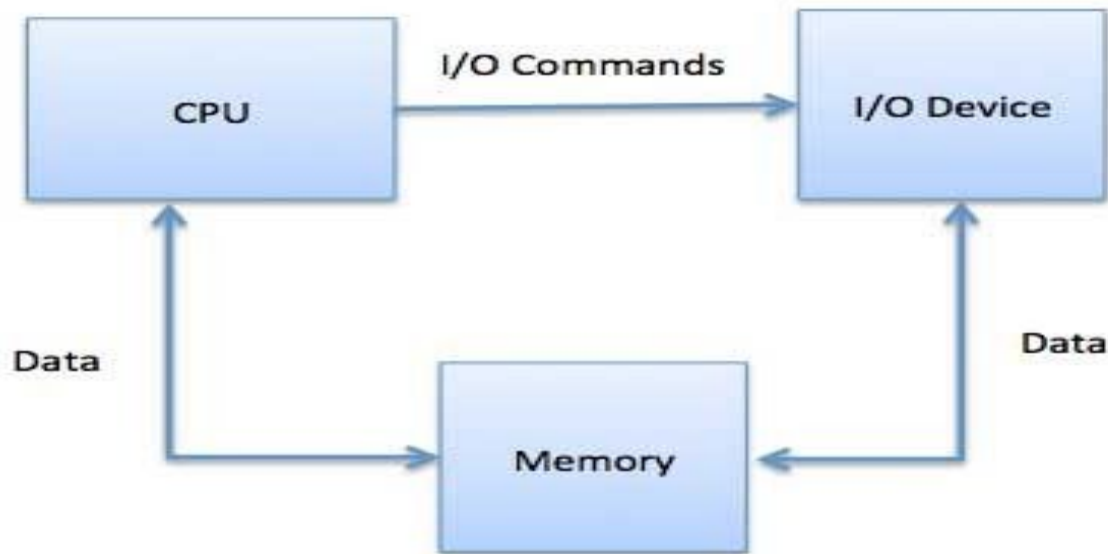
- Special Instruction I/O
- Memory-mapped I/O
- Direct memory access (DMA)

### Special Instruction I/O

This uses CPU instructions that are specifically made for controlling I/O devices. These instructions typically allow data to be sent to an I/O device or read from an I/O device.

### Memory-mapped I/O

When using memory-mapped I/O, the same address space is shared by memory and I/O devices. The device is connected directly to certain main memory locations so that I/O devices can transfer blocks of data to/from memory without going through CPU.



While using memory mapped IO, the OS allocates a buffer in memory and informs the I/O device to use that buffer to send data to the CPU. I/O device operates asynchronously with CPU, interrupts CPU when finished.

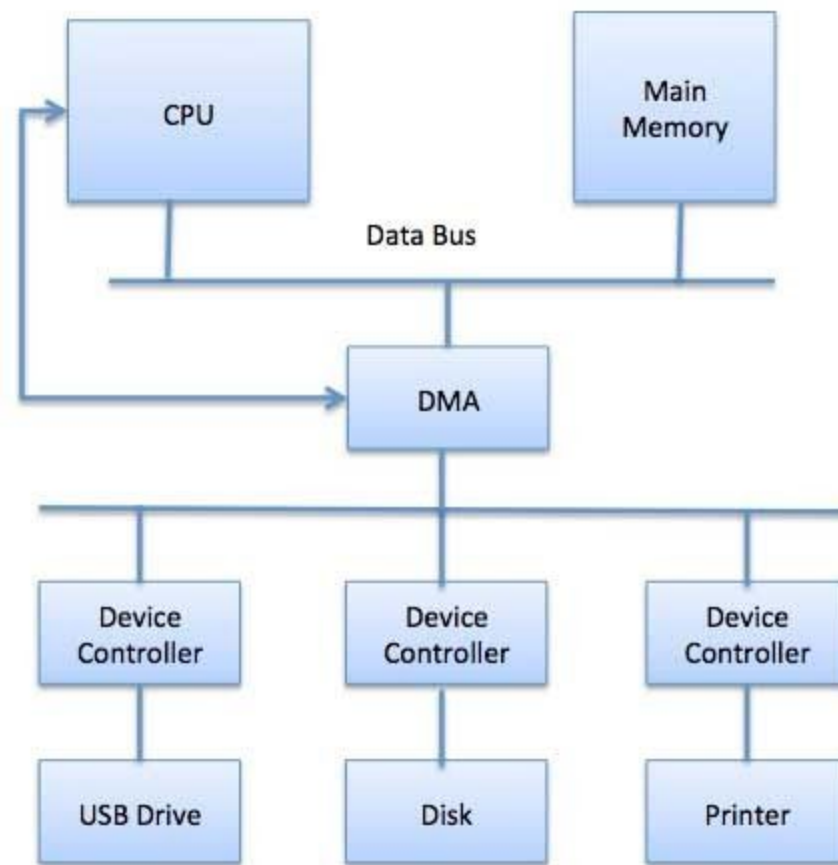
The advantage to this method is that every instruction which can access memory can be used to manipulate an I/O device. Memory mapped IO is used for most high-speed I/O devices like disks, communication interfaces.

## Direct Memory Access (DMA)

Slow devices like keyboards will generate an interrupt to the main CPU after each byte is transferred. If a fast device such as a disk generated an interrupt for each byte, the operating system would spend most of its time handling these interrupts. So a typical computer uses direct memory access (DMA) hardware to reduce this overhead.

Direct Memory Access (DMA) means CPU grants I/O module authority to read from or write to memory without involvement. The DMA module itself controls exchange of data between main memory and the I/O device. CPU is only involved at the beginning and end of the transfer and interrupted only after the entire block has been transferred.

Direct Memory Access needs a special hardware called DMA controller (DMAC) that manages the data transfers and arbitrates access to the system bus. The controllers are programmed with source and destination pointers (where to read/write the data), counters to track the number of transferred bytes, and settings, which includes I/O and memory types, interrupts and states for the CPU cycles.



The operating system uses the DMA hardware as follows –

Step	Description
1	Device driver is instructed to transfer disk data to a buffer address X.
2	Device driver then instructs the disk controller to transfer data to the buffer.
3	Disk controller starts DMA transfer.

4	Disk controller sends each byte to the DMA controller.
5	DMA controller transfers bytes to buffer, increases the memory address, decreases the counter C until C becomes zero.
6	When C becomes zero, DMA interrupts the CPU to signal transfer completion.

## Polling vs Interrupts I/O

A computer must have a way of detecting the arrival of any type of input. There are two ways that this can happen, known as polling and interrupts. Both of these techniques allow the processor to deal with events that can happen at any time and that are not related to the process it is currently running.

### Polling I/O

Polling is the simplest way for an I/O device to communicate with the processor. The process of periodically checking the status of the device to see if it is time for the next I/O operation, is called polling. The I/O device simply puts the information in a Status register, and the processor must come and get the information.

Most of the time, devices will not require attention and when one does it will have to wait until it is next interrogated by the polling program. This is an inefficient method and much of the processor's time is wasted on unnecessary polls.

Compare this method to a teacher continually asking every student in a class, one after another, if they need help. Obviously the more efficient method would be for a student to inform the teacher whenever they require assistance.

### Interrupts I/O

An alternative scheme for dealing with I/O is the interrupt-driven method. An interrupt is a signal to the microprocessor from a device that requires attention.

A device controller puts an interrupt signal on the bus when it needs CPU's attention when CPU receives an interrupt, It saves its current state and invokes the appropriate interrupt handler using the interrupt vector (addresses of OS routines to handle various

events). When the interrupting device has been dealt with, the CPU continues with its original task as if it had never been interrupted.