11

12

13

14

15

16

17

18

19

20

21

22

23

A New Hybrid Machine Learning Method for Stellar Parameter Inference

SUJAY SHANKAR, MICHAEL A. GULLY-SANTIAGO, AND CAROLINE V. MORLEY

¹Department of Astronomy, The University of Texas at Austin, Austin, TX 78712, USA

ABSTRACT

The advent of machine learning (ML) is revolutionary to numerous scientific disciplines, with a growing number of examples in astronomical spectroscopic inference, as ML is expected to be more powerful than traditional techniques. Here we introduce a hybrid ML (HML) method combining automatic differentiation, interpolation, and Bayesian optimization to infer stellar parameters given stellar spectra. We study T_{eff} , $\log(g)$, and [Fe/H], but this method **could be extended** to other **parameters** such as $[\alpha/\text{Fe}]$ (alpha element abundance), C/O (carbon-oxygen ratio), and f_{sed} (sedimentation efficiency). We first use blase's nontraditional semi-empirical approach, recasting spectra into sets of tunable spectral lines. blase is run on 1,314 spectra from a rectilinear subset of the PHOENIX synthetic spectral model grid ([Fe/H]: [-0.5, 0] dex, T_{eff} : [2300, 12000] K, $\log(g)$: [2, 6], λ : [8038, 12849] Å). For each of the 128,723 lines, we continuously map stellar parameters to spectral line parameters using regular grid linear interpolation. These manifolds are aggregated to create the PHOENIX generator, enabling parallelized reconstruction of spectra given stellar parameters. Gaussian Process minimization is then used to infer stellar parameters by minimizing the root-mean-square (RMS) loss between input and PHOENIX generator spectra. From testing, the inference error in $T_{\rm eff}$ was 185 K, $\log(q)$ was 0.19, and [Fe/H] was 0.12 dex. Our products are an archive of the blase models of the PHOENIX subset, as well as the spectral reconstruction and inference algorithms themselves. This study is a proof of concept showing that semi-empirical HML is a viable alternative to traditional approaches.

1. INTRODUCTION

Stellar spectra are exceedingly rich sources of information about the stars that produce them. Spectra encode fundamental properties such as temperature, surface gravity, and chemical composition via their numerous absorption lines. Extrinsic properties such as the stellar radial velocity or the projected equatorial rotation shift the wavelengths of lines and broaden their widths, respectively. Observing the spectrum alters it again; the resolution, bandwidth, and other properties of the instrument change the fidelity at which we observe the spectrum, and can limit our ability to extract fundamental properties precisely. Observed stellar spectra thus represent extremely complex, data, influenced by multiple parameters, that have gone through multiple transformations before reaching our detectors.

Modern astronomical spectroscopy takes advantage of intuitive, performant spectral models such as

Corresponding author: Sujay Shankar sujays2001@gmail.com

41 KORG (Wheeler et al. 2023), however it would 42 further benefit from the paradigm of interpretabil-43 ity. The determination of fundamental properties, the 44 creation of Extreme Precision Radial Velocity (EPRV) 45 templates, and the application of composite spectral fit-46 ting could all leverage such an innovation. This am-47 bitious aim may be broadly referred to as a "founda-48 tional spectroscopy model for astrophysics", in reference 49 to the same category of models used for large language 50 models (LLMs) in artificial intelligence (AI). There are 51 many challenges with creating such a foundational spec-52 tral model for astrophysics. Physical inputs into the 53 spectral modeling process are imperfect, and simplify-54 ing assumptions in stellar (and substellar) atmospheres 55 are necessarily inexact due to factors such as asym-56 metries and unknown physics. Computational costs 57 make the training of such models challenging; how-58 ever, advances have been made with attention-59 based (Różański et al. 2023) and transformer-60 based (Leung & Bovy 2024) models, among oth-61 ers. Most prevailing solutions have had to choose ei-62 ther a model driven approach (such as this work), in 63 which precomputed models are taken as gospel, or a data

driven/empirical approach (such as Lux (Horta et al. 2025)), in which our knowledge of stellar physics is ignored or treated phenomenologically, depending on the application. Hybrid solutions exist and have been implemented, such as those presented in Leung & Bovy 2019 and Rains et al. 2024. Although a lingering challenge is always how to ideally balance the fusion of model driven and data driven paradigms.

Spectroscopic surveys such as APOGEE (Majewski et al. 2017) use their own in-house pipelines to ex
tract stellar parameters from spectra (ASPCAP (Gar
fecía Pérez et al. 2016) in the case of APOGEE), and

these pipelines appear effective. The core assumption

for the vast majority of pipelines is that the data to be

analyzed is a list of pixels. Analysis pipelines may be

closed-source or limited to the scope of the survey itself,

causing a sort of siloing effect among surveys. This mo
tivates the development of more universal, instrument
agnostic open-source frameworks that can apply broadly

to a range of spectral observations with relatively little

tuning.

Multiple efforts have been made in this direction, treating spectra in different ways. The standard practice is to treat the wavelength and flux as simply two arrays and use bespoke algorithms tailored to a small number of well-calibrated spectral lines to obtain fundamental stellar parameters and chemical compositions (e.g. the IGRINS YSO Survey (López-Valdivia et al. 2023) and the IRTF Spectral Library (Rayner et al. 2009)). Other whole-spectrum fitting abstractions decompose model spectra into an eigenbasis, implementing the data-model comparison stage as a tractable regression, such as starfish (Czekala et al. 2015).

Ideally, we want a system that can self-consistently 100 learn, a genuine AI foundational spectral model. Such a 101 system would enable the assignment of accurate stellar parameters, and could yield re-usable interpretable spec-103 tral models. blase, first presented in Gully-Santiago 104 & Morley 2022, took an important, albeit limited, step 105 in this direction, treating spectra not as a set of pixels 106 or a set of eigenbasis coefficients but as a set of inter-107 pretable and traceable spectral lines, specifically Voigt profiles. Each of these approaches has tradeoffs, but one 109 key scientific advantage of blase comes from its ability 110 to adapt to new information, while preserving some ad-111 herence to physics-based models. This intelligent capability stems from its ability to fit a theoretically unlim-113 ited number of nonlinear spectral line parameters with 114 automatic differentiation (autodiff). Autodiff is a tech-115 nology that tracks transformations made to data using 116 the chain rule, even being able to differentiate control 117 flow transformations involving if-else blocks, for exam-118 ple. With the gradient obtained from autodiff's chain 119 rule, we can optimize model parameters by just going 120 against the direction of the gradient (because we usu-121 ally want to minimize something such as a loss function, 122 we go in the direction of greatest decrease, which is al-123 ways the negative of the gradient). Autodiff has been 124 used successfully in other astrophysical contexts such as 125 exojax (Kawahara et al. 2022) and wobble (Bedell 126 et al. 2019), but the recasting of spectra into sets of 127 inherently nonlinear spectral lines positions blase as a 128 unique and promising semi-empirical tool. The origi-129 nal blase paper demonstrated the ability to tune spec-130 tral lines with autodiff and 'clone' spectra, recasting 131 them as ML models defined by interpretable sets 132 of tuned spectral lines, however it was restricted 133 to a pre-selected static synthetic model.

Here we introduce the next logical step in the sequence of expanding interpretable spectroscopic machine learning ing from operating on a single grid point and towards an entire 3D grid of precomputed spectra. We rebrand this augmentation as blase3D.

Ideally this process would be monolithic, with the 140 end-to-end spectral inference code powered by a single 141 autodiffable machine learning framework, like PvTorch 142 (Paszke et al. 2019) or JAX (Bradbury et al. 2018). 143 However, here we separate the problem into three pieces, only the first of which is currently differentiable. In section 2, we scale out the blase method to 1,314 precom-146 puted synthetic spectral model clones, yielding a down-147 loadable archive of pretrained machine learning mod-148 els with 128,723 unique spectral lines. In section 3, 149 we then fit manifolds mapping stellar parameters to 150 uniformly-derived spectral line parameters using regular 151 grid linear interpolation. Finally, in section 4, we show 152 how reconstructions of the spectra using said manifolds 153 can be used for inferring stellar fundamental properties 154 from spectra. This final step resembles the aims of "at-155 mospheric retrievals", but in principle should be faster, 156 adaptive, and reusable. An overview of this process is 157 shown in Figure 1.

2. CLONING THE PHOENIX MODEL GRID

158

159

2.1. The PHOENIX Subset

For the purposes of this study, we chose the widely-adopted PHOENIX synthetic spectral model grid (Husser et al. 2013). Our approach can be straightforwardly applied to any other model grid in the future, including substellar atmosphere grids such as Sonora (Marley et al. 2021; Karalidi et al. 2021; Morley et al. 2024; Mukherjee et al. 2024), but for now we limit our

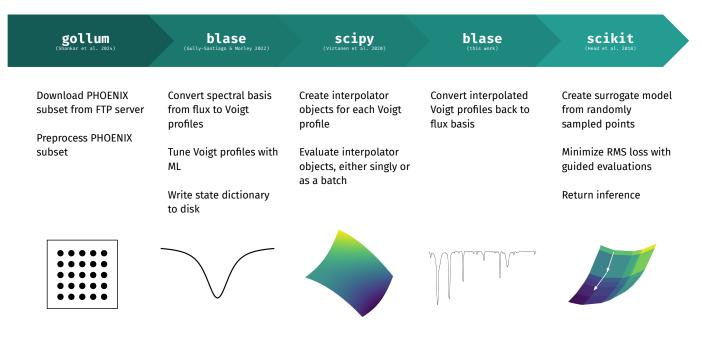


Figure 1. Overview of the process used in this study.

167 scope to a rectilinear subset of the PHOENIX grid, 168 focusing on near solar metallicities and a broad range of 169 effective temperature and surface gravity, with details 170 given in Table 1. This approach is taken due to the 171 computational cost of interpolation algorithms. 172 Future versions with more advanced models will 173 be able to bypass the limitation of a rectilinear 174 subset.

2.2. Preprocessing with gollum

175

182

183

184

185

186

187

188

189

First, the PHOENIX subset was programmatically retrieved with gollum (Shankar et al. 2024), which
downloaded spectra from the PHOENIX FTP server.
The spectra were then put through a short preprocessing pipeline similar to that from Gully-Santiago & Morley 2022.

- 1. Blackbody Division: Since the $T_{\rm eff}$ of each spectrum is known, the **corresponding** blackbody spectrum was divided out.
- 2. Continuum Normalization: The spectra were further normalized by dividing them by a 5th order polynomial continuum fit using a peak-finding filter in order to eliminate curvature that would inhibit line modeling.

190 Mathematically, we can express the preprocessing as fol-191 lows:

$$\bar{S} = \frac{S}{BQ_5} \tag{1}$$

where \bar{S} is the preprocessed spectrum, S is the original spectrum, B is the blackbody spectrum, and Q_n is the n^{th} order polynomial continuum fit. Arithmetic operations between arrays are assumed to be elementwise in all following notation.

2.3. Line Identification with blase

The next step was to convert the PHOENIX subset into a physically interpretable intermediate representation: a table of spectral line properties rather than an array of fluxes. We used blase, which models spectral lines as Voigt profiles and tunes the profiles to mimic the original PHOENIX spectrum with back propagation. Back propagation, put simply, is the process of moving in the autodiff gradient field to update ML model parameters (like mentioned earlier, usually against the gradient ent because we minimize loss functions). Four parameters were optimized: the line center μ , the log-amplitude ln(a), the Gaussian width σ , and the Lorentzian width γ . The optimization used the Adam optimizer (Kingma & Ba 2017) with a learning rate of 0.05 over 100 epochs. Gully-Santiago & Morley 2022 recommends this

Parameter	Symbol	Interval	Sampling
Alpha Element Abundance	α	[0] dex	N/A
Iron Abundance	$[\mathrm{Fe/H}]$	[-0.5, 0] dex	$0.5 \mathrm{dex}$
Effective Temperature	$T_{ m eff}$	[2300, 12000] K	$100~\mathrm{K}$ until $7000~\mathrm{K},$ then $200~\mathrm{K}$
Surface Gravity	$\log(g)$	[2, 6]	0.5
Wavelength	λ	[8038, 12849] Å	$\mathbf{R}=500,000$

Table 1. The subset of the PHOENIX grid used in this study. These limits were imposed to reduce the computational cost of the algorithms and to ensure a rectilinear parameter space in order to work with scipy's RegularGridInterpolator (Virtanen et al. 2020). The wavelength limits in particular roughly line up with that of the Habitable Zone Planet Finder (HPF) spectrograph (Mahadevan et al. 2012). This subset is comprised of 1,314 individual spectra: 73 $T_{\rm eff}$ values, 9 $\log(g)$ values, and 2 [Fe/H] values.

213 setup as the minimum, and for a proof-of-concept 214 implementation, we found it best to leave it as is. 215 In addition, we limited two custom parameters: wing cut 216 to 6,000 and prominence to 0.005. Wing cut (in pixels) 217 is a parameter that determines the extent of the Voigt 218 profile to evaluate, saving computational resources by 219 not evaluating negligible line wings. Prominence (in 220 normalized flux counts) sets a lower limit for the amplitude of detected lines, which saves resources by 222 disregarding shallow lines, so in our case we disregard $_{223}$ lines with amplitude < 0.05. In short, our choices for 224 wing cut and prominence decrease the computational cost of blase's cloning process at the expense of decreasing its accuracy slightly. blase uses the pseudo-Voigt approximation, which saves on computational cost while remaining accurate to about 1% (Ida et al. 2000). 229 The pseudo-Voigt approximation uses a weighted aver-230 age of a Gaussian and Lorentzian as opposed to a con-231 volution. blase's pseudo-Voigt profile implementation 232 uses the following:

$$\tilde{\mathbf{V}}_{\mu}(\lambda) = a \left[\eta \mathbf{L}(\lambda - \mu'; f) + (1 - \eta) \mathbf{G}(\lambda - \mu'; f) \right]$$
(2a)

$$\eta = \sum_{n=1}^{3} \mathbf{u}_{n} \left(\frac{2\gamma}{f} \right)^{n}$$
(2b)

$$f = 32 \sum_{n=0}^{5} \mathbf{v}_{n} \left(\sqrt{2 \ln(2)} \sigma \right)^{5-n} (\gamma)^{n}$$
(2c)

$$\mathbf{u} = \begin{bmatrix} 1.36603 \\ -0.47719 \\ 0.11116 \end{bmatrix} \mathbf{v} = \begin{bmatrix} 1 \\ 2.69269 \\ 2.42843 \\ 4.47163 \\ 0.07842 \\ 1 \end{bmatrix}$$

²³³ where **L** and **G** are abbreviations for Lorentzian and ²³⁴ Gaussian profiles, respectively. Notice that we use μ' ²³⁵ instead of μ in the formula. This is because blase ²³⁶ optionally allows the line center to shift slightly dur- ²³⁷ ing optimization, and it is this shifted center which is ²³⁸ used in computation. The individual Voigt profiles are

still indexed by μ for cross-model line identification, explained in the next section. Once optimization was complete, the list of identified lines was saved to a 'state dictionary': a common representation for pre-trained machine learning models that can be stored to disk for reuse later. These are stored in the .pt file format for each of the 1,314 PHOENIX subset grid points. The total disk space these files take up is 465 MB (382 MB when downloaded as a zip archive). For reference, the storage space the PHOENIX subset takes up on disk is approximately 8.1 GB. This represents a data compression factor of around 20 just by recasting the spectrum with blase. The state dictionaries are available on Zenodo at https://zenodo.org/records/11246174 (Shankar 2024).

3. INTERPOLATING MANIFOLDS

3.1. Cross-Model Line Identification

As previously mentioned, blase tunes the line centers of detected lines. This means that from one PHOENIX spectrum to the next, the same line could have a slightly different line center. Since the goal of this study is to interpolate the properties of each line, we needed to identify the presence of a particular line across the PHOENIX subset, associating the same line with every occurrence. We decided to do this by using the line centers μ of the detected lines pre-optimization.

Pre-optimized line centers were required to be equal in order to group lines together. The values of μ pre-optimization are recorded by blase to 0.01 Å(picometer) precision; if they instead used the full available floating point precision, this method would likely detect singular (ungroupable) instances of spectral lines across the grid, completely negating the premise of this study. Of course, this method can be confused by species that produce spectral lines at very close wavelengths and other confounding factors, likely resulting in some false positives and negatives. However, we deem it sufficient to approx-

imate this way, as an in-depth treatment would be time-consuming and computationally expensive.

Now with each spectral line indexed by μ , we had four parameters to interpolate: μ' , $\ln(a)$, σ , and γ . Note that since we are dealing with the parameters of Voigt profiles, we can see in Equation 2 that even if the interpolation method is linear, a final spectral reconstruction will vary nonlinearly in flux.

Spectral lines were often only detected in some spectra from the PHOENIX subset. In Figure 2, we show that different grid points **show** differing counts of detected spectral lines.

The number of detected spectral lines changes as a function of stellar parameter for different reasons. Perhaps for astrophysical reasons: stellar atmospheres genuinely do not produce that line at detectable strength at the given temperature and surface gravity. Alternatively, blase's fitting or our line identification assumptions led to artifacts. Whatever the cause, these missing lines have immediate practical consequences. Rectilinear interpolation schemes break in regions where a line does not appear; you can't interpospel late a quantity that simply doesn't exist.

To solve this, we artificially populated missing grid points with log-amplitudes of -1000, which retained interpolator stability while nullifying the evaluated line. To prove the feasibility of this computational trick, we can do a simple thought experiment. Moving 1 K in $T_{\rm eff}$ away from a grid point on the boundary of detectability would reduce a line's amplitude by a factor of over 20,000 (or more precisely, e^{10}). This is an extremely large reduction for an extremely small deviation from a grid point, meaning that to any realistic precision, the line immediately vanishes as soon as blase no longer detects it.

Examples of the appearance of missing sections in heatmaps where a line does not appear can be seen in Figure 3 and Figure 4, which show heatmaps of the 11617.66Å C I and the 10123.66Å He II lines (Kramida et al. 2023) varying over a solar metal-licity slice of the PHOENIX subset stellar parameter space. Across the entire PHOENIX subset, blase detected 128,723 spectral lines with unique μ . On average, a PHOENIX spectrum has 9,167 detected spectral lines, with the minimum being 252 ($T_{\rm eff} = 12,000$, $\log(g) = 6$, [Fe/H] = -0.5) and the maximum being 34,551 ($T_{\rm eff} = 2,300$, $\log(g) = 3$, [Fe/H] = 0). Every one of these lines can be visualized as a manifold mapping a 3D stellar parameter vector to a 4D spectral line parameter vector, and every

one was interpolated to map stellar parameters to spectral line properties.

3.2. Continuously Evaluable Manifolds

For each line, the inputs to the interpolator were the three input parameters $T_{\rm eff}$, $\log(g)$, and [Fe/H], and the output was a list of four parameters, μ' , $\ln(a)$, σ , and γ . For each line, one of these interpolator objects was created using linear interpolation, and these interpolators were aggregated into a single list, which was then written to disk in the .pkl file storage format. These interpolators generate multiple manifolds representing the following mapping:

$$\begin{bmatrix} T_{\text{eff}} \\ \log(g) \\ [\text{Fe/H}] \end{bmatrix} \rightarrow \begin{bmatrix} \mu' \\ \ln(a) \\ \sigma \\ \gamma \end{bmatrix}$$
 (3)

345 These interpolators could now be evaluated at any point 346 lying within the domain of the PHOENIX subset, turn-347 ing a discretely sampled PHOENIX subset into a con-348 tinuously evaluable function, sometimes called a spec-349 tral emulator. With the given size of the PHOENIX 350 subset, the interpolator list takes up 13.2 GB of disk 351 space. This is larger than the original PHOENIX 352 subset by about 63% and than the state dictio-353 nary clone archive by a factor of over 34. This is 354 due to the fact that we are now pickling entire 355 function objects that must memorize the data. 356 Future work with more space-efficient models 357 and clever storage strategies could reduce stor-358 age space requirements in exchange for I/O per-359 **formance.** This evaluation is able to reconstruct an ex-360 isting PHOENIX spectrum or alternatively interpolate 361 a new spectrum within the domain of the PHOENIX 362 subset, so we call this the PHOENIX generator.

The spectral reconstruction process is done by iterating over the PHOENIX generator, evaluating each inspection that the given coordinates, then reshaping the data into the same format that PyTorch uses for state dictionaries. During the iteration, if the interpolated log-amplitude of the line is less than -100, the line is excluded from the state dictionary. We do this to avoid artifacts in the manifolds due to the artifical population of log-amplitudes of -1000 where grid points were missing.

Finally, the state dictionary is fed into blase's SparseLinearEmulator, which reconstructs the spectrum by constructing a forward model based on the input state dictionary. Any nan values are set to 1 (which we can do because the spectra are all normalized), and the spectral reconstruction is complete. We can observe

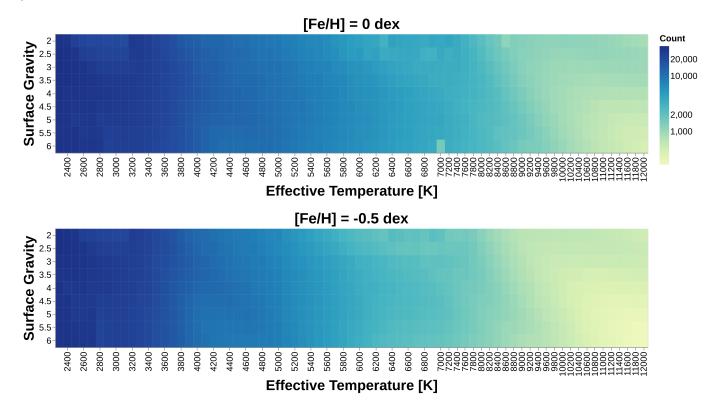


Figure 2. Number of detected spectral lines at each grid point of the PHOENIX subset. We can see that the number of detected lines decreases with increasing $T_{\rm eff}$. Remember that from $T_{\rm eff}=7000~{\rm K}$ onward, PHOENIX's sampling increment changes from 100 K to 200 K. Due to some combination of computational artifacts from our line identification assumptions or innate behavior of PHOENIX grid spectra themselves, outliers such as the obvious one here at $T_{\rm eff}=7000~{\rm K}$ and $\log(g)=6$ appear. Further exploration into why this happens is not in the scope of this study; for inference we are concerned with the lines themselves, not overall grid point behavior.

415

416

417

379 in Figure 5 that a Fe I line from a reconstructed so-380 lar spectrum is not simply a pixel interpolation between 382 the nearest PHOENIX grid point spectra. It is in-383 terpolating the spectral line properties using hundreds 384 of thousands of manifolds, each representing a nonlinear 385 parameter in the shape of the spectral line.

3.3. Spectral Reconstruction Time

A typical use case for the PHOENIX generator may
be to batch reconstruct spectra from an array of input
stellar parameters. Therefore, there is some motivation
to reduce the computational cost of this procedure to
reduce the computational cost of this procedure to
reduce the computational time needed to use the PHOENIX generator in two distinct
ways. First, for a single input, which would be relevant
in serial applications. Second, for an array of multiple
inputs, which would be relevant in parallel applications.
scipy's RegularGridInterpolator API allows for the
passing in of an entire array of input coordinates to be
evaluated at once. However using blase to reconstruct
the spectrum from our interpolated state dictionary is
always done serially, leading to what is actually more of
a pseudo-parallel evaluation, but extremely performant

402 nonetheless. Performance results are shown in Figure 6,
403 and we can see that the multi-reconstruction is much
404 faster than a series of single reconstructions. While the
405 generator takes around 15 seconds per spectrum
406 serially, this drops to 1 second per spectrum as
407 soon as 30 reconstructions. The speedup factor
408 between the two methods increases as more spec409 tra are generated, reaching a factor of 20 at 60
410 reconstructions. The computer used for this test
411 has specifications shown in Table 2 (note that
412 spectral reconstruction does not currently lever413 age the GPU):

CPU	AMD EPYC 7513 (32c/64t, 3.65 GHz boost)
RAM	256 GB
GPU	Nvidia A100 40GB ($\times 2$)

Table 2. Specifications of the machine used for computations, but not for generating visualizations.

4. BAYESIAN INFERENCE AND TESTING

4.1. Inference Algorithm

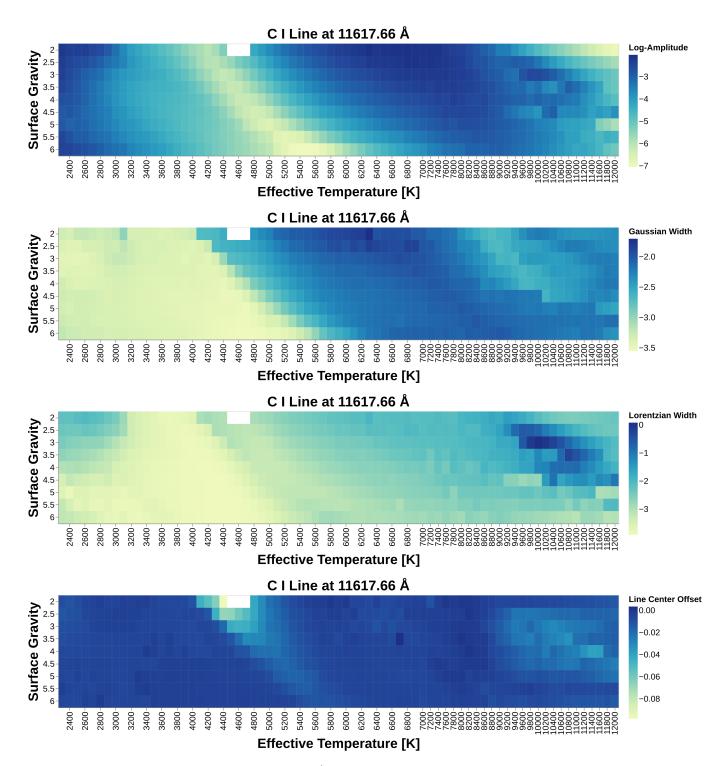


Figure 3. Heatmaps showing how $\ln(a)$, σ , γ , and $\mu' - \mu$ vary over the PHOENIX subset slice at solar metallicity of a C I spectral line. Notice the missing chunk in the top left; this could perhaps be due to the line center shifting to the point that blase identified the chunk as a different line entirely (notice the line center offset increasing in magnitude approaching the chunk border). Since blase did not detect a spectral line here, so we artificially populate those points with lines that have $\ln(a) = -1000$ during interpolation. This and all spectral lines shown in this paper were identified using the NIST spectral line database (Kramida et al. 2023).

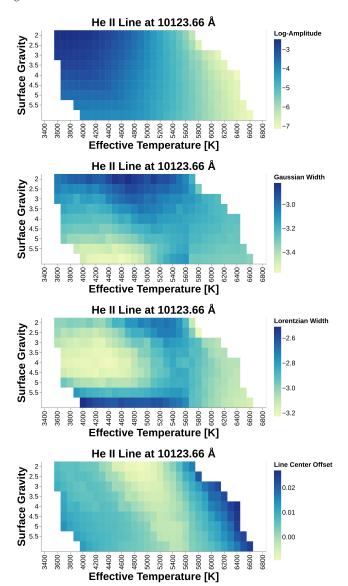


Figure 4. Heatmap showing how $\ln(a)$ and σ vary over the PHOENIX subset slice at solar metallicity of a He II spectral line. This plot is much smaller than Figure 3 because blase detects this line at a select chunk of grid points, leading to data only existing between $T_{\rm eff}$ 3600 K and 6600 K.

The goals of this study's inference algorithm are to infer the stellar parameters $T_{\rm eff}$, $\log(g)$, and [Fe/H] by comparing a spectrum with our reconstructions and solving the optimization problem. We elected to use Bayesian optimization for this component, specifically the gp_minimize function from the scikit-optimize library (Head et al. 2018). This algorithm uses a Gaussian Process to model the objective function, which in this case was the RMS (Root-Mean-Square) loss between the interpolated spectrum M and

428 the true spectrum D, defined as:

$$\mathcal{L} = \left\langle (\mathsf{M} - \mathsf{D})^2 \right\rangle^{1/2} \tag{4}$$

429 The optimizer was configured to first run 100 random 430 evaluations to seed the surrogate model, then run 20 431 more evaluations now guided by the surrogate model. 432 A random evaluation is simply a randomly gen-433 erated tuple of stellar parameters within the 434 parameter space of the PHOENIX generator, 435 which is then evaluated with the generator to 436 get a reconstruction and then compared with the 437 inference target to retrieve its RMS error. The 438 surrogate model is the approximation to the true 439 objective function that the optimizer constructs 440 based on the set of random evaluations (in this 441 case defined by a set of 100 points rather than 442 a fully continuous, infinitely resolved function) 443 Guided evaluations are different, they are not 444 randomly generated tuples of stellar parameters. 445 They use the surrogate model in order to select 446 new test points for the minimum of the objective 447 function. This totals to 120 evaluations, 100 to cre-448 ate the surrogate model, then 20 guided evaluations, 449 which was deemed sufficient for this study. Fine-tuning 450 these numbers is possible, but simply not warranted for 451 a proof-of concept method. Tweaking these numbers 452 could lead to a more accurate inference, however 453 it would incur additional computational cost as 454 well. One inference run takes on average just under 7.5 455 minutes to complete.

4.2. Bayesian Optimizer Performance

To test the performance of the inference algorithm,
we used the PHOENIX subset itself. This is possible
for two reasons: first, the PHOENIX generator
has not truly memorized the PHOENIX subset
due to its Voigt profile basis and the ignoring of
weaker features, and secondly, random samples
within the parameter space are extremely unlikely to be PHOENIX grid points themselves,
avoiding any overlap.

We know that in typical observational settings, a coarse estimate for $T_{\rm eff}$ tends to be fairly well-constrained from ancillary data such as photometric color index. So when testing the inference algorithm, we limited its search space to only include $T_{\rm eff}$ which lay within 500 K of the true value on either side. $\log(g)$ and [Fe/H] were allowed to vary freely. The test sample consisted of 9 unique $T_{\rm eff}$ values, 3 unique $\log(g)$ values, and 2 unique [Fe/H] values, totaling 54 unique spectra in the test set. $T_{\rm eff}$ ranged from 3000 K to 11000 K in increments of 1000 K, $\log(g)$ ranged from 2 to 6 in

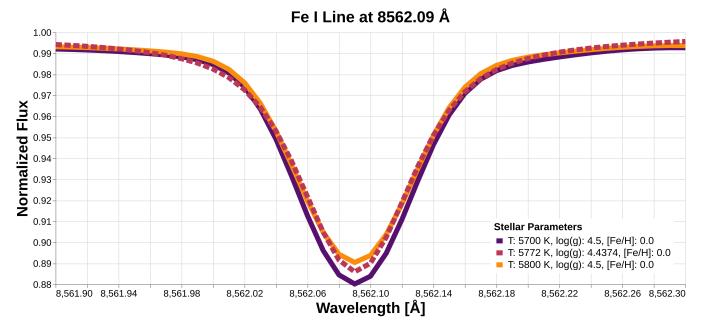


Figure 5. Plot of an Fe I spectral line shown at the closest PHOENIX grid points to the Sun's stellar parameters (solid lines), as well as a solar spectrum reconstructed with the PHOENIX generator (dotted line). We can see the spectral line shape be reconstructed mostly between the two native PHOENIX spectra, being closer to the 5800 K spectrum as the Sun's $T_{\rm eff}$ used here is 5772 K.

 $_{477}$ increments of 2, and [Fe/H] ranged from -0.5 to 0 in $_{478}$ increments of 0.5.

The results of the inference algorithm are as follows: $T_{\rm eff}$ differed from the true result by an average of 185 K or 2.6%. $\log(g)$ differed by an average of 0.19 or 6.8%. $\log(g)$ differed by an average of 0.12 dex, which is 24% of our search range. From this, we can see that $T_{\rm eff}$ was the most accurately inferred parameter, followed by $\log(g)$, and then [Fe/H].

5. DISCUSSION

5.1. Scientific Applications

487

This study's paradigm of spectral inference can enable scientists to adopt self-consistent model grids and analyze their spectral line behavior in an interpretable fashion, which has been fairly uncommon practice thus far. Notably, this system tracks the shifting of spectral lines as a function of stellar parameters, which traditionally has been uncommon for algorithms to assess systematically. We balance rigidity in the Voigt profile basis and flexibility in line parameter tunability, resulting in an interpretable system.

To summarize, we bring to scientists the ability to understand exactly what spectral properties we consider mathematically and how we expect those properties to behave on an incredibly detailed level (the 4 line parameters of all spectral lines and their corresponding interpolating manifolds). We treat the PHOENIX precomputed model grid as the ground truth, constructing

505 **the PHOENIX** generator that then interfaces with 506 our inference algorithm.

5.2. Technical Considerations

To reiterate, the manifold fitting steps are not end-509 to-end autodifferentiable. As seen in Figure 1, these 510 steps rely on scipy, which is not equipped with autodiff. 511 Without autodifferentiability, the ability to "machine 512 learn" is significantly reduced compared to a hypothet-513 ical monolithic JAX or PyTorch system. We see three 514 reasons for for developing a non-autodifferentiable sys-515 tem. First, the familiar scipy-based system will serve 516 as an easy entry point for most practitioners who are 517 unfamiliar with autodiff, and may still benefit from and 518 modify the code without expert ML knowledge. Second, this non-autodiff version serves as an initial benchmark 520 against which an inevitable autodifferentiable version of 521 blase may be compared. Finally, the inventory of fa-522 miliar interpolation algorithms have not yet been ported 523 to PyTorch or JAX, since machine learning or Gaussian 524 Process fitting schemes are generally preferred within 525 the ML community.

The interpolation scheme presented here represents a proof of concept, showing that leveraging the mapping between synthetic spectral lines and their inputs can yield a semi-empirical basis for data-model comparisons. There are numerous design considerations that could be improved upon with future work. These include but are not limited to the following:

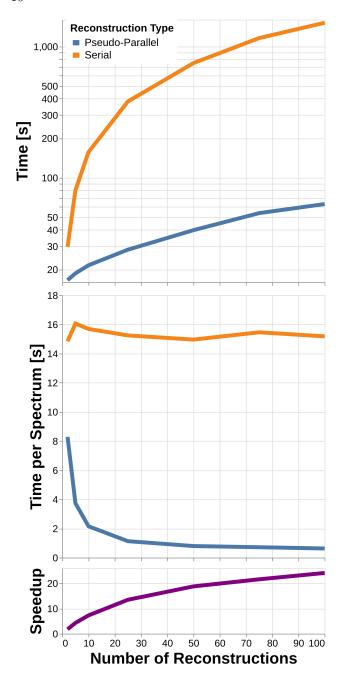


Figure 6. Plots showing the time taken to reconstruct varying numbers of spectra using the PHOENIX generator (lower is better). We can see that the time taken per spectrum for the serial implementation hovers around 15 seconds within run-to-run variance, while the pseudo-parallel implementation continually decreases in time taken per spectrum as the number of inputs increases. The speedup factor (higher is better) increases as more spectra are generated, which is also a desirable outcome.

- Limited PHOENIX Subset: The PHOENIX subset used in this study did not include the full PHOENIX grid, which expands the [Fe/H] range

to [-4.0, 1.0] dex and the $\log(g)$ range to [0, 6], and also includes the alpha element abundance parameter, which we elected to fix at 0 for this study. In addition to the actual stellar parameters, we also took a subset of the PHOENIX wavelength range, with the full [500, 55000] Å wavelength range also being left to future work. Users would be able to fit a greater variety of stellar spectra in many different wavelength regimes.

- Strict Wavelength Range: Currently, the generator only supports inference on spectra whose wavelength limits are either equal to it, or encompass that of the generator and have been truncated to match. However, when the spectrum in question has a smaller wavelength range than the generator, currently there is no functionality to truncate the generator. This would require externally indexing the generator's individual interpolators by line center position and selectively evaluating those to eliminate wasteful computation. This takes burden off the users to truncate their data to the PHOENIX generators, making use simpler.
- Single Model Grid: The PHOENIX grid is not the only model grid of synthetic spectra available, and it does not apply to all types of stars. Future work would extend the reach of this study's algorithm to encompass other model grids such as the Sonora series of substellar models (Marley et al. 2021; Karalidi et al. 2021; Morley et al. 2024; Mukherjee et al. 2024), ATLAS (Kurucz 2005), and coolTLUSTY (Lacy & Burrows 2023), reaching practitioners studying various types of stars and spectra. Future blase versions will be able to have an option for the user to input which model grid they would like to base the inference on, and to get even more advanced, perhaps even have the ability to intelligently determine which model grid to use automatically.
- Memorization vs. Generalization: The current design of the algorithm constructs manifolds using interpolation. This means that performance is good at points close to PHOENIX subset grid points, but is highly dependent on the type of interpolation used. As interpolators require memorization of the data, advanced interpolation becomes extremely expensive in terms of disk utilization. Future work would involve constructing manifolds using more generalizable ML methods such as lasso or ridge regression, which would allow for much better generalization, high

637

638

639

640

641

642

643

644

645

646

648

649

650

speed, and lower disk utilization at the expense of some accuracy.

586

587

588

589

590

591

593

594

595

596

597

598

601

602

603

604

605

606

607

608

609

610

611

612

613

614

615

616

617

618

619

620

621

622

623

624

625

626

627

628

629

630

631

632

633

634

635

- Extrinsic Absence: The current design of our algorithm does not account for extrinsic parameters that modify the appearance of spectra such as rotational broadening and Doppler shifting. Future work would need to develop ways to tune these extrinsic parameters alongside stellar parameters, enabling users to optimize these frequently-observed extrinsic parameters on top of the base stellar parameters.
- Framework Overhead: As this algorithm is currently more proof of concept than practical, it uses convenience functions from various libraries, which naturally introduces some level of overhead and leaves performance on the table. Future work would involve writing custom functions expressly designed for blase, most likely a complete rewrite of the library from the ground up. This has the potential to greatly increase the speed of this algorithm, depending on how much overhead is avoided with a bespoke implementation.
- Pseudo-Interpretability: Our algorithm boasts interpretability by considering spectral lines as the objects of interest as opposed to the rather uninterpretable flux values of other approaches. However, this is only a step in the direction of interpretability. True interpretability would decompose a spectrum not into a set of spectral lines, but into a set of species component spectra, which requires a much more advanced understanding of different species and their behavior, as well as direct access to a radiative transfer code as opposed to an off-the-shelf model grid. This approach would also extend the inference from just stellar parameters defined by a grid to any set of parameters accounted for in the radiative transfer model, down to specific species abundances. So while we were able to identify the spectral lines used in our figures, it is not necessarily valuable to try to identify all 128,723 lines that we identify as unique with our algorithm. blase is agnostic to the identity of the line that it is optimizing. We study these lines as blase sees them (i.e. their four shape parameters), because for the purposes of this study, that is the only information that is useful. Having more interpretability would let scientists actually study certain species and their spectral lines.
- The Continuum Black Box: Continuum normalization is a process that is not yet completely un-

- derstood, and is currently done as a preprocessing step with a fairly simple algorithm. Future work would dive deeper into the science of continua and develop more advanced methods that can discern continua with greater accuracy and less modeling restrictions. This would increase accuracy for end users.
- One Voigt Fits All: The current assumption of blase is that every spectral line is a Voigt profile. This assumption is largely true, but there are situations where that is simply not enough. Future studies need to account for more advanced spectral line profiles and procedures to deal with phenomena such as ro-vibrational bands. This would increase accuracy for end users.

6. CONCLUSION

In this study, we have presented a proof-of-concept 653 algorithm that deals with a subset of the PHOENIX 654 spectral model grid ([Fe/H]: [-0.5, 0] dex, T_{eff} : 655 [2300, 12000] K, $\log(g)$: [2, 6], λ : [8038, 12849] 656 Å). We create state dictionaries for all spectra in the 657 PHOENIX subset using blase, recasting spectra 658 into lists of Voigt profiles, and lossily compress the 659 spectral data by a factor of around 20. They are 660 available on Zenodo at https://zenodo.org/records/ 661 11246174 (Shankar 2024). We create the PHOENIX 662 generator and implement a performant spectral recon-663 struction algorithm, enabling anyone to create recon-664 structions of PHOENIX spectra with continuously val-665 ued stellar parameters. We introduce and test our GP 666 minimization algorithm to infer stellar parame-667 ters, with average absolute deviations from true values 668 of 185 K in T_{eff} , 0.19 in $\log(g)$, and 0.12 dex in [Fe/H]. 669 In its current state, our algorithm operates on spectra 670 within the PHOENIX subset parameter ranges in Ta-671 ble 1, requiring that the spectra not contain noticeable 672 Doppler shifting, rotational broadening, or other con-673 founding factors. The methods discussed here repre-674 sent a step down a road not traveled in spectral infer-675 ence, and have the potential to become more advanced 676 in the future by fully utilizing the strengths of physics-677 informed machine learning.

This material is based on work supported by the National Aeronautics and Space Administration under grant No. 80NSSC21K0650 for the NNH20ZDA001N-651 ADAP:D.2 program. C.V.M. acknowledges support from the Alfred P. Sloan Foundation under grant num-653 ber FG-2021-16592 and support from the National Sci-654 ence Foundation under grant number 1910969.

```
Software: altair (VanderPlas et al. 2018; Satya-
686 narayan et al. 2017), astropy (Astropy Collaboration
687 et al. 2013, 2018, 2022), blasé/blase (Gully-Santiago
688 & Morley 2022), CUDA (NVIDIA et al. 2020), gollum
689 (Shankar et al. 2024), matplotlib (Hunter 2007), numpy
```

690 (Harris et al. 2020), pandas (pandas development team 691 2020; Wes McKinney 2010), Python (Van Rossum & 692 Drake 2009), PyTorch/torch (Paszke et al. 2019), scikit-693 optimize/skopt (Head et al. 2018), scipy (Virtanen 694 et al. 2020), tqdm (da Costa-Luis 2019), vegafusion 695 (Kruchten et al. 2022),

REFERENCES

```
696 Astropy Collaboration, Robitaille, T. P., Tollerud, E. J.,
                                                                   736 Kawahara, H., Kawashima, Y., Masuda, K., et al. 2022,
     et al. 2013, A&A, 558, A33,
                                                                        ExoJAX: Spectrum modeling of exoplanets and brown
697
     doi: 10.1051/0004-6361/201322068
                                                                        dwarfs, Astrophysics Source Code Library, record
                                                                   738
                                                                        ascl:2206.003
  Astropy Collaboration, Price-Whelan, A. M., Sipőcz, B. M.,
                                                                   739
699
     et al. 2018, AJ, 156, 123, doi: 10.3847/1538-3881/aabc4f
                                                                      Kingma, D. P., & Ba, J. 2017, Adam: A Method for
700
                                                                   740
701 Astropy Collaboration, Price-Whelan, A. M., Lim, P. L.,
                                                                        Stochastic Optimization.
                                                                        https://arxiv.org/abs/1412.6980
     et al. 2022, ApJ, 935, 167, doi: 10.3847/1538-4357/ac7c74
                                                                   742
702
                                                                   743 Kramida, A., Yu. Ralchenko, Reader, J., & and NIST ASD
703 Bedell, M., Hogg, D. W., Foreman-Mackey, D., Montet,
                                                                        Team. 2023, NIST Atomic Spectra Database (ver. 5.11),
     B. T., & Luger, R. 2019, The Astronomical Journal, 158,
704
                                                                   744
     164, doi: 10.3847/1538-3881/ab40a7
                                                                        [Online]. Available: https://physics.nist.gov/asd
705
                                                                   745
706 Bradbury, J., Frostig, R., Hawkins, P., et al. 2018, JAX:
                                                                        [2024, June 12]. National Institute of Standards and
                                                                   746
                                                                        Technology, Gaithersburg, MD.
     composable transformations of Python+NumPy
707
                                                                   747
     programs, 0.3.13. http://github.com/google/jax
                                                                      Kruchten, N., Mease, J., & Moritz, D. 2022, in 2022 IEEE
708
    Zzekala, I., Andrews, S. M., Mandel, K. S., Hogg, D. W., &
                                                                        Visualization and Visual Analytics (VIS), 11–15,
                                                                   749
709
                                                                        doi: 10.1109/VIS54862.2022.00011
     Green, G. M. 2015, ApJ, 812, 128,
                                                                   750
710
     doi: 10.1088/0004-637X/812/2/128
                                                                   751 Kurucz, R. L. 2005, Memorie della Societa Astronomica
711
712 da Costa-Luis, C. O. 2019, Journal of Open Source
                                                                        Italiana Supplementi, 8, 14
                                                                   752
     Software, 4, 1277, doi: 10.21105/joss.01277
                                                                   <sup>753</sup> Lacy, B., & Burrows, A. 2023, The Astrophysical Journal,
713
714 García Pérez, A. E., Allende Prieto, C., Holtzman, J. A.,
                                                                        950, 8, doi: 10.3847/1538-4357/acc8cb
                                                                   754
     et al. 2016, AJ, 151, 144,
                                                                   755 Leung, H. W., & Bovy, J. 2019, MNRAS, 483, 3255,
715
     doi: 10.3847/0004-6256/151/6/144
                                                                        doi: 10.1093/mnras/sty3217
716
717 Gully-Santiago, M., & Morley, C. V. 2022, ApJ, 941, 200,
                                                                      —. 2024, MNRAS, 527, 1494, doi: 10.1093/mnras/stad3015
     doi: 10.3847/1538-4357/aca0a2
                                                                   758 López-Valdivia, R., Mace, G. N., Han, E., et al. 2023, ApJ,
718
719 Harris, C. R., Millman, K. J., van der Walt, S. J., et al.
                                                                        943, 49, doi: 10.3847/1538-4357/acab04
     2020, Nature, 585, 357, doi: 10.1038/s41586-020-2649-2
                                                                   760 Mahadevan, S., Ramsey, L., Bender, C., et al. 2012, in
720
721 Head, T., MechCoder, Louppe, G., et al. 2018,
                                                                        Society of Photo-Optical Instrumentation Engineers
                                                                   761
     scikit-optimize/scikit-optimize: v0.5.2, v0.5.2, Zenodo,
                                                                        (SPIE) Conference Series, Vol. 8446, Ground-based and
722
                                                                   762
     doi: 10.5281/zenodo.1207017
                                                                        Airborne Instrumentation for Astronomy IV, ed. I. S.
723
                                                                   763
724 Horta, D., Price-Whelan, A. M., Hogg, D. W., Ness, M. K.,
                                                                        McLean, S. K. Ramsay, & H. Takami, 84461S,
                                                                   764
     & Casey, A. R. 2025, arXiv e-prints, arXiv:2502.01745.
                                                                        doi: 10.1117/12.926102
                                                                   765
725
     https://arxiv.org/abs/2502.01745
                                                                      Majewski, S. R., Schiavon, R. P., Frinchaboy, P. M., et al.
726
                                                                   766
727 Hunter, J. D. 2007, Computing in Science & Engineering, 9,
                                                                        2017, AJ, 154, 94, doi: 10.3847/1538-3881/aa784d
                                                                   767
     90, doi: 10.1109/MCSE.2007.55
                                                                   768 Marley, M. S., Saumon, D., Visscher, C., et al. 2021, ApJ,
728
729 Husser, T. O., Wende-von Berg, S., Dreizler, S., et al. 2013,
                                                                        920, 85, doi: 10.3847/1538-4357/ac141d
     A&A, 553, A6, doi: 10.1051/0004-6361/201219058
                                                                   770 Morley, C. V., Mukherjee, S., Marley, M. S., et al. 2024,
730
731 Ida, T., Ando, M., & Torava, H. 2000, Journal of Applied
                                                                        arXiv e-prints, arXiv:2402.00758,
                                                                   771
     Crystallography, 33, 1311,
                                                                        doi: 10.48550/arXiv.2402.00758
732
                                                                   772
     doi: https://doi.org/10.1107/S0021889800010219
                                                                   773 Mukherjee, S., Fortney, J. J., Morley, C. V., et al. 2024,
733
734 Karalidi, T., Marley, M., Fortney, J. J., et al. 2021, ApJ,
                                                                        arXiv e-prints, arXiv:2402.00756,
                                                                   774
     923, 269, doi: 10.3847/1538-4357/ac3140
                                                                        doi: 10.48550/arXiv.2402.00756
                                                                   775
```

```
776 NVIDIA, Vingelmann, P., & Fitzek, F. H. 2020, CUDA,
     release: 10.2.89.
777
     https://developer.nvidia.com/cuda-toolkit
778
779 pandas development team, T. 2020, pandas-dev/pandas:
     Pandas, latest, Zenodo, doi: 10.5281/zenodo.3509134
780
781 Paszke, A., Gross, S., Massa, F., et al. 2019, PyTorch: An
     Imperative Style, High-Performance Deep Learning
782
     Library. https://arxiv.org/abs/1912.01703
783
784 Rains, A. D., Nordlander, T., Monty, S., et al. 2024,
     MNRAS, 529, 3171, doi: 10.1093/mnras/stae560
785
  Rayner, J. T., Cushing, M. C., & Vacca, W. D. 2009, ApJS,
     185, 289, doi: 10.1088/0067-0049/185/2/289
788 Różański, T., Ting, Y.-S., & Jabłońska, M. 2023, arXiv
     e-prints, arXiv:2306.15703,
     doi: 10.48550/arXiv.2306.15703
```

```
791 Satyanarayan, A., Moritz, D., Wongsuphasawat, K., &
     Heer, J. 2017, IEEE transactions on visualization and
792
     computer graphics, 23, 341
793
  Shankar, S. 2024, blase II: PHOENIX Subset Clone
     Archive, 0.0.1, Zenodo, doi: 10.5281/zenodo.11246174
  Shankar, S., Gully-Santiago, M., Morley, C., et al. 2024,
796
     The Journal of Open Source Software, 9, 6601,
     doi: 10.21105/joss.06601
  Van Rossum, G., & Drake, F. L. 2009, Python 3 Reference
799
     Manual (Scotts Valley, CA: CreateSpace)
800
  VanderPlas, J., Granger, B., Heer, J., et al. 2018, Journal of
801
     Open Source Software, 3, 1057, doi: 10.21105/joss.01057
802
  Virtanen, P., Gommers, R., Oliphant, T. E., et al. 2020,
803
     Nature Methods, 17, 261, doi: 10.1038/s41592-019-0686-2
804
    Ves McKinney. 2010, in Proceedings of the 9th Python in
805
     Science Conference, ed. Stéfan van der Walt & Jarrod
806
     Millman, 56 – 61, doi: 10.25080/Majora-92bf1922-00a
   Wheeler, A. J., Abruzzo, M. W., Casey, A. R., & Ness,
     M. K. 2023, AJ, 165, 68, doi: 10.3847/1538-3881/acaaad
```