11

12

13

14

15

16

17

18

19

20

21

22

23

A New Hybrid Machine Learning Method for Stellar Parameter Inference

SUJAY SHANKAR, MICHAEL A. GULLY-SANTIAGO, AND CAROLINE V. MORLEY

¹Department of Astronomy, The University of Texas at Austin, Austin, TX 78712, USA

ABSTRACT

The advent of machine learning (ML) is revolutionary to numerous scientific disciplines, with a growing number of examples in astronomical spectroscopic inference, as ML is expected to be more powerful than traditional techniques. Here we introduce a hybrid ML (HML) method combining automatic differentiation, interpolation, and Bayesian optimization to infer stellar parameters given stellar spectra. We study T_{eff} , $\log(g)$, and [Fe/H], but this method could be extended to other parameters such as $[\alpha/\text{Fe}]$ (alpha element abundance), C/O (carbon-oxygen ratio), and f_{sed} (sedimentation efficiency). We first use blase's nontraditional semi-empirical approach, recasting spectra into sets of tunable spectral lines. blase is run on 1,314 spectra from a rectilinear subset of the PHOENIX synthetic spectral model grid ([Fe/H]: [-0.5, 0] dex, T_{eff} : [2300, 12000] K, log(g): [2, 6]). For each of the 128,723 lines, we continuously map stellar parameters to spectral line parameters using regular grid linear interpolation. These manifolds are aggregated to create the PHOENIX generator, enabling parallelized reconstruction of spectra given stellar parameters. Gaussian Process minimization is then used to infer stellar parameters by minimizing the root-meansquare (RMS) loss between input and PHOENIX generator spectra. From testing, the inference error in T_{eff} was 185 K, $\log(g)$ was 0.19, and [Fe/H] was 0.12 dex. Our products are an archive of the blase models of the PHOENIX subset, as well as the spectral reconstruction and inference algorithms themselves. This study is a proof of concept showing that semi-empirical HML is a viable alternative to traditional approaches.

1. INTRODUCTION

Stellar spectra are exceedingly rich sources of information about the stars that produce them. Spectra encode fundamental properties such as temperature, surface gravity, and chemical composition via their numerous absorption lines. Extrinsic properties such as the stellar radial velocity or the projected equatorial rotation shift the wavelengths of lines and broaden their widths, respectively. Observing the spectrum alters it again; the resolution, bandwidth, and other properties of the instrument change the fidelity at which we observe the spectrum, and can limit our ability to extract fundamental properties precisely. Observed stellar spectra thus represent extremely complex, data, influenced by multiple parameters, that have gone through multiple transformations before reaching our detectors.

Modern astronomical spectroscopy takes advantage of intuitive, performant spectral models such as

Corresponding author: Sujay Shankar sujays2001@gmail.com

41 KORG (Wheeler et al. 2023), however it would 42 further benefit from the paradigm of interpretabil-43 ity. The determination of fundamental properties, the 44 creation of Extreme Precision Radial Velocity (EPRV) 45 templates, and the application of composite spectral fit-46 ting could all leverage such an innovation. This am-47 bitious aim may be broadly referred to as a "founda-48 tional spectroscopy model for astrophysics", in reference 49 to the same category of models used for large language 50 models (LLMs) in artificial intelligence (AI). There are 51 many challenges with creating such a foundational spec-52 tral model for astrophysics. Physical inputs into the 53 spectral modeling process are imperfect, and simplify-54 ing assumptions in stellar (and substellar) atmospheres 55 are necessarily inexact due to factors such as asym-56 metries and unknown physics. Computational costs 57 make the training of such models challenging; how-58 ever, advances have been made with attention-59 based (Różański et al. 2023) and transformer-60 based (Leung & Bovy 2024) models, among oth-61 ers. Most prevailing solutions have had to choose ei-62 ther a model driven approach (such as this work), in 63 which precomputed models are taken as gospel, or a data

driven/empirical approach (such as Lux (Horta et al. 2025)), in which our knowledge of stellar physics is ignored or treated phenomenologically, depending on the application. Hybrid solutions exist and have been implemented, such as those presented in Leung & Bovy 2019 and Rains et al. 2024. Although a lingering challenge is always how to ideally balance the fusion of model driven and data driven paradigms.

Spectroscopic surveys such as APOGEE (Majewski et al. 2017) use their own in-house pipelines to ex5 tract stellar parameters from spectra (ASPCAP (Gar6 cía Pérez et al. 2016) in the case of APOGEE), and
7 these pipelines appear effective. The core assumption
78 for the vast majority of pipelines is that the data to be
79 analyzed is a list of pixels. Analysis pipelines may be
80 closed-source or limited to the scope of the survey itself,
81 causing a sort of siloing effect among surveys. This mo82 tivates the development of more universal, instrument83 agnostic open-source frameworks that can apply broadly
84 to a range of spectral observations with relatively little
85 tuning.

Multiple efforts have been made in this direction, treating spectra in different ways. The standard practice is to treat the wavelength and flux as simply two arrays and use bespoke algorithms tailored to a small number of well-calibrated spectral lines to obtain fundamental stellar parameters and chemical compositions (López-Valdivia et al. 2023; Rayner et al. 2009). Other wholespectrum fitting abstractions decompose model spectra into an eigenbasis, implementing the data-model comparison stage as a tractable regression, such as starfish (Czekala et al. 2015).

Ideally, we want a system that can self-consistently 98 learn, a genuine AI foundational spectral model. Such a 99 system would enable the assignment of accurate stellar parameters, and could yield re-usable interpretable spectral models. blase, first presented in Gully-Santiago 102 & Morley 2022, took an important, albeit limited, step 103 in this direction, treating spectra not as a set of pixels or a set of eigenbasis coefficients but as a set of inter-105 pretable and traceable spectral lines, specifically Voigt 106 profiles. Each of these approaches has tradeoffs, but one 107 key scientific advantage of blase comes from its ability 108 to adapt to new information, while preserving some ad-109 herence to physics-based models. This intelligent capability stems from its ability to fit a theoretically unlim-111 ited number of nonlinear spectral line parameters with automatic differentiation (autodiff). Autodiff is a tech-113 nology that tracks transformations made to data using 114 the chain rule, even being able to differentiate control 115 flow transformations involving if-else blocks, for exam116 ple. With the gradient obtained from autodiff's chain 117 rule, we can optimize model parameters by just going 118 against the direction of the gradient (because we usu-119 ally want to minimize something such as a loss function, 120 we go in the direction of greatest decrease, which is al-121 ways the negative of the gradient). Autodiff has been 122 used successfully in other astrophysical contexts such as 123 exojax (Kawahara et al. 2022) and wobble (Bedell 124 et al. 2019), but the recasting of spectra into sets of 125 inherently nonlinear spectral lines positions blase as a 126 unique and promising semi-empirical tool. The origi-127 nal blase paper demonstrated the ability to tune spec-128 tral lines with autodiff and 'clone' spectra, recasting 129 them as ML models defined by interpretable sets 130 of tuned spectral lines, however it was restricted 131 to a pre-selected static synthetic model.

Here we introduce the next logical step in the sequence of expanding interpretable spectroscopic machine learning ing from operating on a single grid point and towards an entire 3D grid of precomputed spectra. We rebrand this augmentation as blase3D.

Ideally this process would be monolithic, with the 138 end-to-end spectral inference code powered by a single 139 autodiffable machine learning framework, like PyTorch 140 (Paszke et al. 2019) or JAX (Bradbury et al. 2018). 141 However, here we separate the problem into three pieces, only the first of which is currently differentiable. In sec-143 tion 2, we scale out the blase method to 1,314 precom-144 puted synthetic spectral model clones, yielding a down-145 loadable archive of pretrained machine learning mod-146 els with 128,723 unique spectral lines. In section 3, 147 we then fit manifolds mapping stellar parameters to 148 uniformly-derived spectral line parameters using regular grid linear interpolation. Finally, in section 4, we show 150 how reconstructions of the spectra using said manifolds 151 can be used for inferring stellar fundamental properties 152 from spectra. This final step resembles the aims of "at-153 mospheric retrievals", but in principle should be faster, 154 adaptive, and reusable. An overview of this process is 155 shown in Figure 1.

2. CLONING THE PHOENIX MODEL GRID

156

157

2.1. The PHOENIX Subset

For the purposes of this study, we chose the widely-adopted PHOENIX synthetic spectral model grid (Husser et al. 2013). Our approach can be straightforwardly applied to any other model grid in the future, including substellar atmosphere grids such as Sonora (Marley et al. 2021; Karalidi et al. 2021; Morley et al. 2024; Mukherjee et al. 2024), but for now we limit our scope to a rectilinear subset of the PHOENIX grid, focusing on near solar metallicities and a broad range of

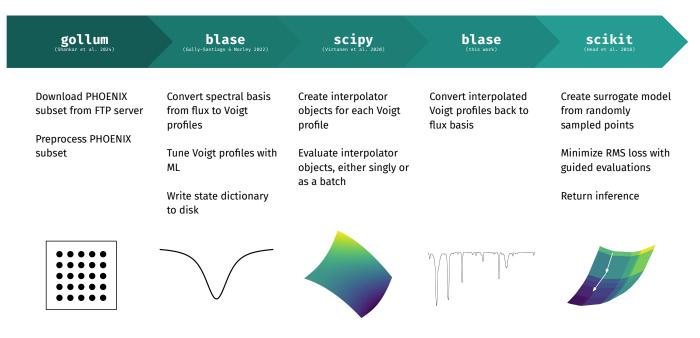


Figure 1. Overview of the process used in this study.

167 effective temperature and surface gravity, with details 168 given in Table 1. This approach is taken due to the 169 computational cost of interpolation algorithms. 170 Future versions with more advanced models will 171 be able to bypass the limitation of a rectilinear 172 subset.

2.2. Preprocessing with gollum

173

180

181

182

183

184

185

186

187

188

189

190

191

First, the PHOENIX subset was programmatically retrieved with gollum (Shankar et al. 2024), which downloaded spectra from the PHOENIX FTP server.

The spectra were then put through a three-step preprocessing pipeline similar to that from Gully-Santiago & Morley 2022.

- 1. Blackbody Division: Since the $T_{\rm eff}$ of each spectrum is known, the **corresponding** blackbody spectrum was divided out.
- 2. Percentile Normalization: The spectra were normalized by dividing them by their 99th percentile in order to collapse the dynamic range of flux and only look at relative features.
- 3. Continuum Normalization: The spectra were further normalized by dividing them by a 5th order polynomial continuum fit using a peak-finding filter in order to eliminate curvature that would inhibit line modeling.

¹⁹² Mathematically, we can express the preprocessing as fol-¹⁹³ lows:

$$\bar{\mathsf{S}} = \frac{\mathsf{S}}{\mathsf{BQ}_5 P_{99}} \tag{1}$$

where $\bar{\mathsf{S}}$ is the preprocessed spectrum, S is the original spectrum, B is the blackbody spectrum, Q_n is the n^{th} order polynomial continuum fit, and P_n is the n^{th} percentile function. Arithmetic operations between arrays are assumed to be elementwise in all following notation.

2.3. Line Identification with blase

The next step was to convert the PHOENIX subset into a physically interpretable intermediate representation: a table of spectral line properties rather than an array of fluxes. We used blase, which models spectral lines as Voigt profiles and tunes the profiles to mimic the original PHOENIX spectrum with back propagation. Back propagation, put simply, is the process of moving in the autodiff gradient field to update ML model parameters (like mentioned earlier, usually against the gradient because we minimize loss functions). Four parameters were optimized: the line center μ , the log-amplitude ln(a), the Gaussian width σ , and the Lorentzian width γ . The optimization used the Adam optimizer (Kingma & Ba 2017) with a learning rate of 0.05 over 100 epochs. Gully-Santiago & Morley 2022 recommends this

Parameter	Symbol	Interval	Sampling
Alpha Element Abundance	α	[0] dex	N/A
Iron Abundance	$[\mathrm{Fe/H}]$	[-0.5, 0] dex	$0.5 \mathrm{dex}$
Effective Temperature	$T_{ m eff}$	[2300, 12000] K	100 K until 7000 K, then 200 K
Surface Gravity	$\log(g)$	[2, 6]	0.5
Wavelength	λ	[8038, 12849] Å	$\mathbf{R}=500,000$

Table 1. The subset of the PHOENIX grid used in this study. These limits were imposed to reduce the computational cost of the algorithms and to ensure a rectilinear parameter space in order to work with scipy's RegularGridInterpolator (Virtanen et al. 2020). The wavelength limits in particular roughly line up with that of the Habitable Zone Planet Finder (HPF) spectrograph (Mahadevan et al. 2012). This subset is comprised of 1,314 individual spectra: 73 $T_{\rm eff}$ values, 9 $\log(g)$ values, and 2 [Fe/H] values.

215 setup as the minimum, and for a proof-of-concept 216 implementation, we found it best to leave it as is. 217 In addition, we limited two custom parameters: wing cut 218 to 6000 and prominence to 0.005. Wing cut (in pixels) 219 is a parameter that determines the extent of the Voigt 220 profile to evaluate, saving computational resources by 221 not evaluating negligible line wings. Prominence (in 222 normalized flux counts) sets a lower limit for the 223 amplitude of detected lines, which saves resources by 224 disregarding shallow lines, so in our case we disregard 225 lines with amplitude < 0.05. In short, our choices for wing cut and prominence decrease the computational cost of blase's cloning process at the expense of decreasing its accuracy slightly. blase uses the pseudo-Voigt approximation, which saves on computational cost 230 while remaining accurate to about 1% (Ida et al. 2000). The pseudo-Voigt approximation uses a weighted aver-232 age of a Gaussian and Lorentzian as opposed to a con-233 volution. blase's pseudo-Voigt profile implementation 234 uses the following:

$$\tilde{\mathbf{V}}_{\mu}(\lambda) = a \left[\eta \mathbf{L}(\lambda - \mu'; f) + (1 - \eta) \mathbf{G}(\lambda - \mu'; f) \right]$$
(2a)

$$\eta = \sum_{n=1}^{3} \mathbf{u}_{n} \left(\frac{2\gamma}{f} \right)^{n}$$
(2b)

$$f = 32 \sum_{n=0}^{5} \mathbf{v}_{n} \left(\sqrt{2 \ln(2)} \sigma \right)^{5-n} (\gamma)^{n}$$
(2c)

$$\mathbf{u} = \begin{bmatrix} 1.36603 \\ -0.47719 \\ 0.11116 \end{bmatrix} \mathbf{v} = \begin{bmatrix} 1 \\ 2.69269 \\ 2.42843 \\ 4.47163 \\ 0.07842 \\ 1 \end{bmatrix}$$

where **L** and **G** are abbreviations for Lorentzian and Gaussian profiles, respectively. Notice that we use μ' instead of μ in the formula. This is because blase optionally allows the line center to shift slightly during optimization, and it is this shifted center which is used in computation. The individual Voigt profiles are

still indexed by μ for cross-model line identification, explained in the next section. Once optimization was complete, the list of identified lines was saved to a 'state dictionary': a common representation for pre-trained machine learning models that can be stored to disk for reuse later. These are stored in the .pt file format for each of the 1,314 PHOENIX subset grid points. The total disk space these files take up is 465 MB (382 MB when downloaded as a zip archive). For reference, the storage space the PHOENIX subset takes up on disk is approximately 8.1 GB. This represents a data compression factor of around 20 just by recasting the spectrum with blase. The state dictionaries are available on Zenodo at https://zenodo.org/records/11246174 (Shankar 2024).

3. INTERPOLATING MANIFOLDS

3.1. Cross-Model Line Identification

As previously mentioned, blase tunes the line centers of detected lines. This means that from one PHOENIX spectrum to the next, the same line could have a slightly different line center. Since the goal of this study is to interpolate the properties of each line, we needed to identify the presence of a particular line across the PHOENIX subset, associating the same line with every occurrence. We decided to do this by using the line centers μ of the detected lines pre-optimization. Now with each spectral line indexed by μ , we had four parameters to interpolate: μ' , $\ln(a)$, σ , and γ . Note that since we are dealing with the parameters of Voigt profiles, we can see in Equation 2 that even if the interpolation method is linear, a final spectral reconstruction will vary nonlinearly early in flux.

Spectral lines were often only detected in some spectra from the PHOENIX subset. In Figure 2, we show that different grid points **show** differing counts of detected spectral lines.

The number of detected spectral lines changes 279 as a function of stellar parameter for different rea-280 sons. Perhaps for astrophysical reasons: stellar atmo-

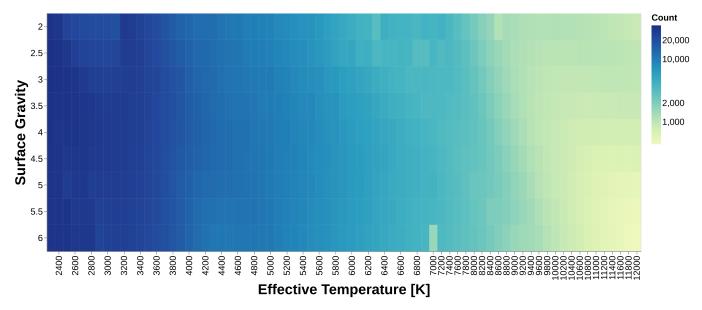


Figure 2. Number of detected spectral lines at each grid point of a slice of the PHOENIX subset at solar metallicity. We can see that the number of detected lines decreases with increasing $T_{\rm eff}$. Also note that from $T_{\rm eff} = 7000$ K onward, PHOENIX's sampling increment changes from 100 K to 200 K.

spheres genuinely do not produce that line at detectable strength at the given temperature and surface gravity. Or alternatively, our line-finding and line-association algorithms missed it. Whatever the cause, these missing lines have immediate practical consequences. Rectilinear interpolation schemes break in regions where a line does not appear; you can't interpolate a quantity that simply doesn't exist.

To solve this, we artificially populated missing grid points with log-amplitudes of -1000, which retained interpolator stability while nullifying the evaluated line. Examples of the appearance of missing sections in heatmaps where a line does not appear are shown in Figure 3 and Figure 4. In total, across the entire PHOENIX subset, blase detected 128,723 individual spectral lines. Every one of these lines can be visualized as a manifold mapping a 3D stellar parameter vector to a 4D spectral line parameter vector, and every one was interpolated to map stellar parameters to spectral line properties.

3.2. Continuously Evaluable Manifolds

303

For each line, the inputs to the interpolator were the three input parameters $T_{\rm eff}$, $\log(g)$, and [Fe/H], and the output was a list of four parameters, μ' , $\ln(a)$, σ , and γ . For each line, one of these interpolator objects was created using linear interpolation, and these interpolators were aggregated into a single list, which was then written to disk in the .pkl file storage format. These interpolators generate multiple manifolds representing

the following mapping:

$$\begin{bmatrix} T_{\text{eff}} \\ \log(g) \\ [\text{Fe/H}] \end{bmatrix} \rightarrow \begin{bmatrix} \mu' \\ \ln(a) \\ \sigma \\ \gamma \end{bmatrix}$$
 (3)

These interpolators could now be evaluated at any point lying within the domain of the PHOENIX subset, turning a discretely sampled PHOENIX subset into a continuously evaluable function, sometimes called a spectral emulator. With the given size of the PHOENIX subset, the interpolator list takes up 13.2 GB of disk space. This evaluation is able to reconstruct an existing PHOENIX spectrum or alternatively interpolate a new spectrum within the domain of the PHOENIX subset, and Figure 6, we show the same spectral lines as in Figure 3 and Figure 4, but now supersampled using the PHOENIX generator evaluated over the same slice.

The spectral reconstruction process is done by iterating over the PHOENIX generator, evaluating each interpolator at the given coordinates, then reshaping the data into the same format that PyTorch uses for state dictionaries. During the iteration, if the interpolated log-amplitude of the line is less than -100, the line is excluded from the state dictionary. We do this to avoid artifacts in the manifolds due to the artifical population of log-amplitudes of -1000 where grid points were missing.

Finally, the state dictionary is fed into blase's SparseLinearEmulator, which reconstructs the spec-

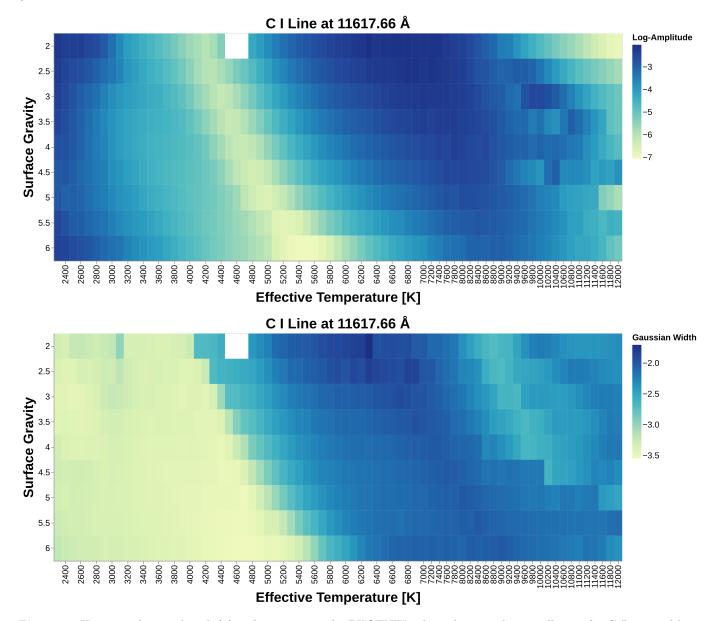


Figure 3. Heatmap showing how $\ln(a)$ and σ vary over the PHOENIX subset slice at solar metallicity of a C I spectral line. Notice the missing chunk in the top left of the figure; blase did not detect a spectral line here, but we have to artificially populate those points with lines that have $\ln(a) = -1000$. This and all spectral lines shown in this paper were identified using the NIST spectral line database (Kramida et al. 2023).

trum by constructing a forward model based on the input state dictionary. Any nan values are set to 1 (which we can do because the spectra are all normalized), and the spectral reconstruction is complete. We can observe in Figure 7 that the reconstructed solar spectrum is not simply a pixel interpolation between the nearest grid points. It is interpolating the spectral line properties using hundreds of thousands of manifolds, each representing a nonlinear parameter in the shape of the specA typical use case for the PHOENIX generator may
be to batch reconstruct spectra from an array of input
stellar parameters. Therefore, there is some motivation
to reduce the computational cost of this procedure to
tractable levels. We evaluate the computational time
needed to use the PHOENIX generator in two distinct
ways. First, for a single input, which would be relevant
in serial applications. Second, for an array of multiple
inputs, which would be relevant in parallel applications.
scipy's RegularGridInterpolator API allows for the
passing in of an entire array of input coordinates to be
evaluated at once. However using blase to reconstruct

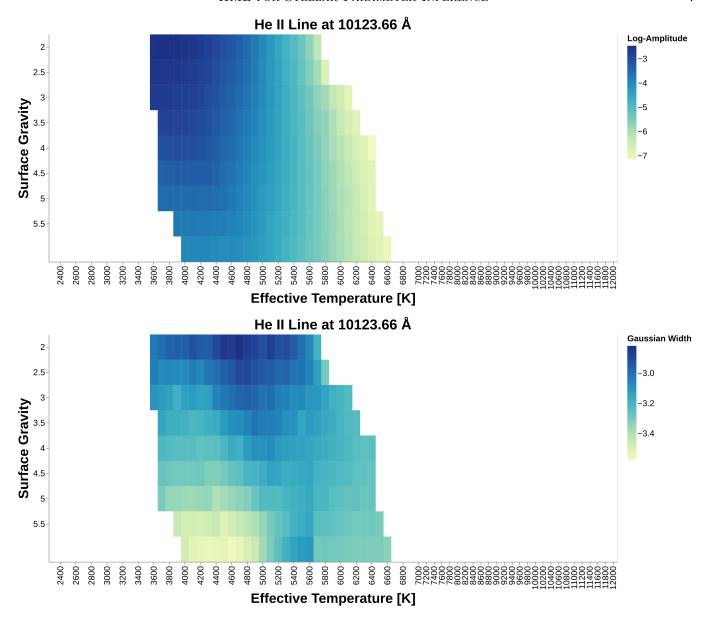


Figure 4. Heatmap showing how $\ln(a)$ and σ vary over the PHOENIX subset slice at solar metallicity of a He II spectral line. We can see that blase detects this line at only a select chunk of grid points in PHOENIX, leading to the large amount of missing data for the line.

the spectrum from our interpolated state dictionary is always done serially, leading to what is actually more of a pseudo-parallel evaluation, but extremely performant nonetheless. Performance results are shown in Figure 8, and we can see that the multi-reconstruction is much faster than a series of single reconstructions. The computer used for this test has the following specifications (note that spectral reconstruction does not currently leverage the GPU):

4. BAYESIAN INFERENCE AND TESTING

365

366

4.1. Inference Algorithm

CPU	AMD EPYC 7513 (32c/64t, 3.65 GHz boost)
RAM	256 GB

GPU Nvidia A100 40GB (×2)

Table 2. This machine was used for all computations, but not for generating visualizations.

We elected to use Bayesian optimization as the inference algorithm, specifically the gp_minimize function from the scikit-optimize library (Head et al. 2018). This algorithm uses a Gaussian Process to model the objective function, which in this case was the RMS (Root-Mean-Square) loss between the interpolated spectrum

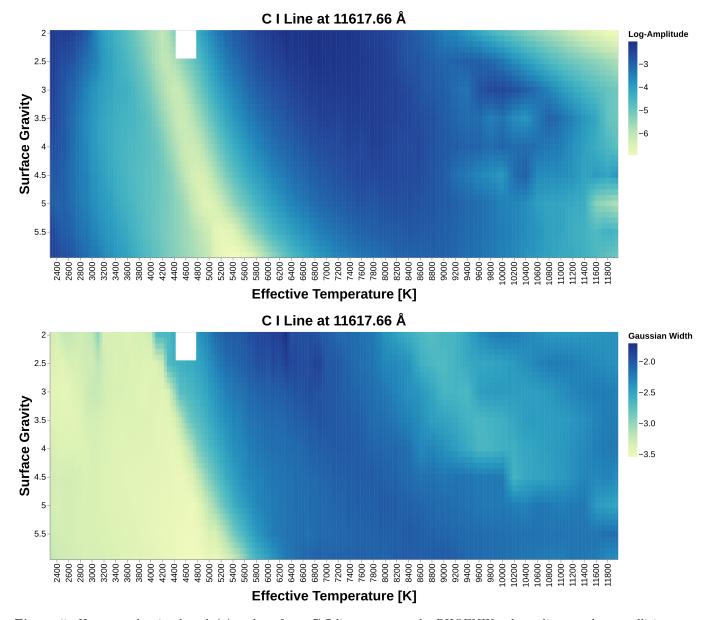


Figure 5. Heatmap showing how $\ln(a)$ and σ of our C I line vary over the PHOENIX subset slice at solar metallicity, now supersampled with the PHOENIX generator. Notice that the missing chunk in the top left still exists and does not display any artifacts, as the artificially populated points are removed after interpolation to retain the model's integrity. Also see that the x-axis spacing is now uniform, as the PHOENIX generator was evaluated at constant step.

M and the true spectrum D, defined as:

$$\mathcal{L} = \left\langle (\mathsf{M} - \mathsf{D})^2 \right\rangle^{1/2} \tag{4}$$

The optimizer was configured to first run 100 random evaluations to seed the surrogate model, then run 20 more evaluations now guided by the surrogate model. This totals to 120 evaluations, a large sample to create a fairly detailed surrogate model, then a moderately precise guided evaluation phase, which was deemed sufficient for this study. Fine-tuning these numbers is possible, but simply not warranted for a proof-of concept

method. One inference run takes on average just under 7.5 minutes to complete.

4.2. Bayesian Optimizer Performance

To test the performance of the inference algorithm,
we used the PHOENIX subset itself. At first glance,
this may seem circular, as the PHOENIX generator
has memorized the PHOENIX subset, being able to
reconstruct a PHOENIX spectrum when evaluated at
that grid point. However, that strategy allows us to
the PHOENIX subset as test data in the context
by of Bayesian optimization. The gp_minimize surrogate

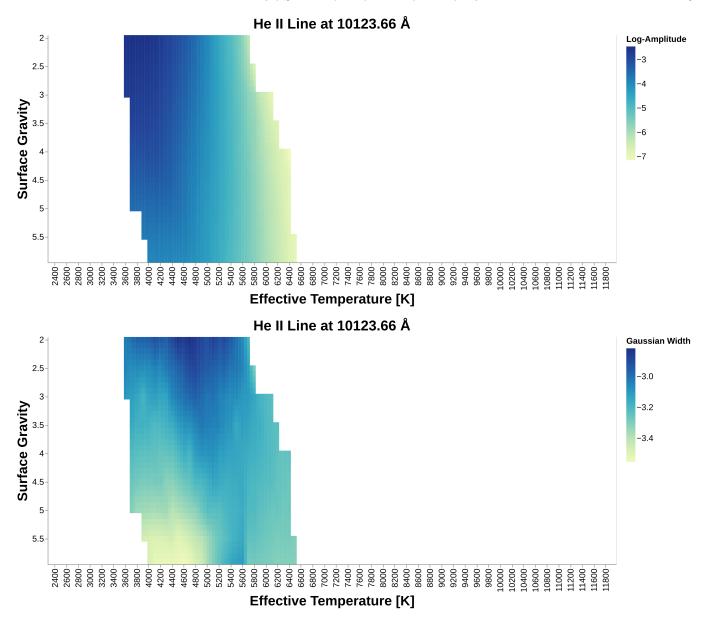


Figure 6. Heatmap showing how ln(a) and σ of our He II spectral line vary over the PHOENIX subset slice at solar metallicity, now supersampled with the PHOENIX generator.

model is seeded by random continuously sampled generator evaluations within the search space, *not* grid points of the PHOENIX subset, meaning the surrogate model has no memorization to speak of. If the optimizer had been a grid-based strategy, this would not have been possible, because then the surrogate model would be affected by memorization.

We know that in typical observational settings, as a coarse estimate for $T_{\rm eff}$ tends to be fairly well-constrained from ancillary data such as photometric color index. So when testing the inference algorithm, we limited its search space to only include $T_{\rm eff}$ which lay within 500 K of the true value on either side. $\log(g)$

 $_{399}$ and [Fe/H] were allowed to vary freely. The test sample $_{400}$ consisted of 9 unique $T_{\rm eff}$ values, 3 unique $\log(g)$ values, $_{401}$ and 2 unique [Fe/H] values, totaling 54 unique spectra $_{402}$ in the test set. $T_{\rm eff}$ ranged from 3000 K to 11000 K $_{403}$ in increments of 1000 K, $\log(g)$ ranged from 2 to 6 in $_{404}$ increments of 2, and [Fe/H] ranged from -0.5 to 0 in $_{405}$ increments of 0.5.

The results of the inference algorithm are as follows: $T_{\rm eff}$ differed from the true result by an average of 185 K or 2.6%. $\log(g)$ differed by an average of 0.19 or 6.8%. [Fe/H] differed by an average of 0.12 dex, which is 24% of our search range. From this, we can see that $T_{\rm eff}$

414

434

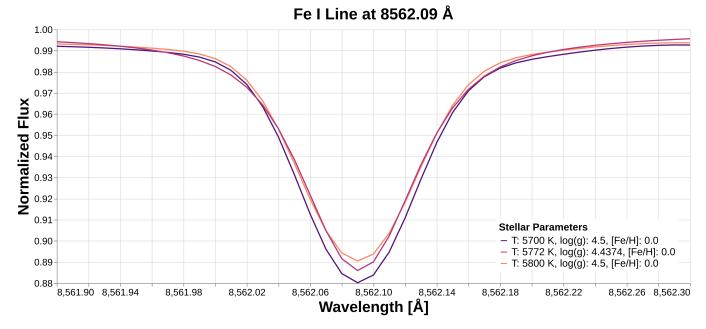


Figure 7. Plot of an Fe I spectral line shown at the closest PHOENIX grid points to the Sun's stellar parameters, as well as a solar spectrum reconstructed with the PHOENIX generator. We can see the spectral line shape be reconstructed mostly between the two native PHOENIX spectra, being closer to the 5800 K spectrum as the Sun's T_{eff} used here is 5772 K.

460

461

462

463

464

465

466

467

was the most accurately inferred parameter, followed by $\log(g)$, and then [Fe/H].

5. DISCUSSION

5.1. Scientific Applications

This study's paradigm of spectral inference can enable scientists to adopt self-consistent model grids and analyze their spectral line behavior in an interpretable fashion, which has been fairly uncommon practice thus far. Notably, this system tracks the shifting of spectral lines as a function of stellar parameters, which traditionally has been uncommon for algorithms to assess systematically. We balance rigidity and flexibility where we want them while maintaining interpretability.

To summarize, we bring to scientists the ability to understand exactly what spectral properties we consider mathematically and how we expect those properties to behave on an incredibly detailed level (the 4 line parameters of all spectral lines and their corresponding interpolating manifolds), and introduce the concept of postulating one precomputed model grid as the ground truth to base all further analysis on, converting the grid into a generator that can then interface with our inference algorithm.

5.2. Technical Considerations

To reiterate, the manifold fitting steps are not end-436 to-end autodifferentiable. As seen in Figure 1, these 437 steps rely on scipy, which is not equipped with autodiff. 438 Without autodifferentiability, the ability to "machine" learn" is significantly reduced compared to a hypothetical monolithic JAX or PyTorch system. We see three
reasons for for developing a non-autodifferentiable system. First, the familiar scipy-based system will serve
as an easy entry point for most practitioners who are
unfamiliar with autodiff, and may still benefit from and
modify the code without expert ML knowledge. Second,
this non-autodiff version serves as an initial benchmark
against which an inevitable autodifferentiable version
may be compared. Finally, the inventory of familiar
interpolation algorithms have not yet been ported to
PyTorch or JAX, since machine learning or Gaussian
Frocess fitting schemes are generally preferred within
the ML community.

The interpolation scheme presented here represents a proof of concept, showing that leveraging the mapping between synthetic spectral lines and their inputs can yield a semi-empirical basis for data-model comparisons. There are numerous design considerations that could be improved upon with future work. These include but are not limited to the following:

- Limited PHOENIX Subset: The PHOENIX subset used in this study did not include the full PHOENIX grid, which expands the [Fe/H] range to [-4.0, 1.0] dex and the $\log(g)$ range to [0, 6], and also includes the alpha element abundance parameter, which we elected to fix at 0 for this study. In addition to the actual stellar parameters, we also took a subset of the PHOENIX wavelength range,

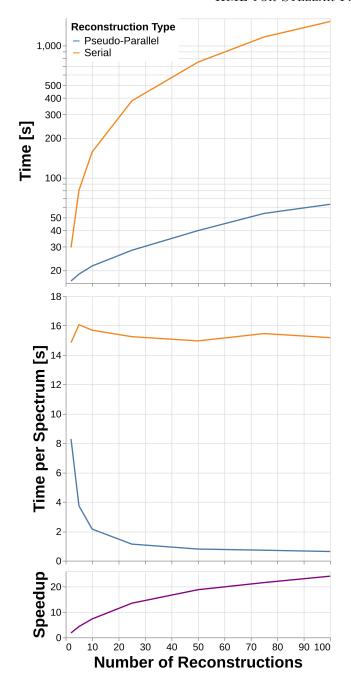


Figure 8. Plots showing the time taken to reconstruct varying numbers of spectra using the PHOENIX generator (lower is better). We can see that the time taken per spectrum for the serial implementation hovers around 15 seconds within run-to-run variance, while the pseudo-parallel implementation continually decreases in time taken per spectrum as the number of inputs increases. The speedup factor (higher is better) increases as more spectra are generated, which is also a desirable outcome.

with the full [500, 55000] Å wavelength range also being left to future work. Users would be able

- to fit a greater variety of stellar spectra in many different wavelength regimes.
- Strict Wavelength Range: Currently, the generator only supports inference on spectra whose wavelength limits are either equal to it, or encompass that of the generator and have been truncated to match. However, when the spectrum in question has a smaller wavelength range than the generator, currently there is no functionality to truncate the generator. This would require externally indexing the generator's individual interpolators by line center position and selectively evaluating those to eliminate wasteful computation. This takes burden off the users to truncate their data to the PHOENIX generators, making use simpler.
- Single Model Grid: The PHOENIX grid is not the only model grid of synthetic spectra available, and it does not apply to all types of stars. Future work would extend the reach of this study's algorithm to encompass other model grids such as the Sonora series of substellar models (Marley et al. 2021; Karalidi et al. 2021; Morley et al. 2024; Mukherjee et al. 2024), ATLAS (Kurucz 2005), and coolTLUSTY (Lacy & Burrows 2023), reaching practitioners studying various types of stars and spectra. Future blase versions will be able to have an option for the user to input which model grid they would like to base the inference on, and to get even more advanced, perhaps even have the ability to intelligently determine which model grid to use automatically.
- Memorization vs. Generalization: The current design of the algorithm constructs manifolds using interpolation. This means that performance is good at points close to PHOENIX subset grid points, but is highly dependent on the type of interpolation used. As interpolators require memorization of the data, advanced interpolation becomes extremely expensive in terms of disk utilization. Future work would involve constructing manifolds using more generalizable ML methods such as lasso or ridge regression, which would allow for much better generalization, high speed, and lower disk utilization at the expense of some accuracy.
- Extrinsic Absence: The current design of our algorithm does not account for extrinsic parameters that modify the appearance of spectra such as rotational broadening and Doppler shifting. Future work would need to develop ways to tune

521

522

523

524

525

526

527

528

529

530

531

532

533

534

535

536

537

538

539

540

541

542

543

545

546

547

548

549

550

551

552

553

554

555

556

557

559

560

561

563

564

565

566

567

568

these extrinsic parameters alongside stellar parameters, enabling users to optimize these frequently-observed extrinsic parameters on top of the base stellar parameters.

570

571

572

573

574

575

576

577

578

- Framework Overhead: As this algorithm is currently more proof of concept than practical, it uses convenience functions from various libraries, which naturally introduces some level of overhead and leaves performance on the table. Future work would involve writing custom functions expressly designed for blase, most likely a complete rewrite of the library from the ground up. This has the potential to greatly increase the speed of this algorithm, depending on how much overhead is avoided with a bespoke implementation.
- Pseudo-Interpretability: Our algorithm boasts interpretability by considering spectral lines as the objects of interest as opposed to the rather uninterpretable flux values of other approaches. However, this is only a step in the direction of interpretability. True interpretability would decompose a spectrum not into a set of spectral lines, but into a set of species component spectra, which requires a much more advanced understanding of different species and their behavior, as well as direct access to a radiative transfer code as opposed to an off-the-shelf model grid. This approach would also extend the inference from just stellar parameters defined by a grid to any set of parameters accounted for in the radiative transfer model, down to specific species abundances. So while we were able to identify the spectral lines used in our figures, it is not necessarily valuable to try to identify all 128,723 lines that we identify as unique with our algorithm. blase is agnostic to the identity of the line that it is optimizing. We study these lines as blase sees them (i.e. their four shape parameters), because for the purposes of this study, that is the only information that is useful. Having more interpretability would let scientists actually study certain species and their spectral lines.
- The Continuum Black Box: Continuum normalization is a process that is not yet completely understood, and is currently done as a preprocessing step with a fairly simple algorithm. Future work would dive deeper into the science of continua and develop more advanced methods that can discern continua with greater accuracy and less modeling restrictions. This would increase accuracy for end users.

- One Voigt Fits All: The current assumption of blase is that every spectral line is a Voigt profile. This assumption is largely true, but there are situations where that is simply not enough. Future studies need to account for more advanced spectral line profiles and procedures to deal with phenomena such as ro-vibrational bands. This would increase accuracy for end users.

6. CONCLUSION

In this study, we have presented a proof-of-concept 579 580 algorithm that interpolates a subset of the PHOENIX 581 spectral model grid and then uses GP minimization 582 to infer stellar paremeters. We create state dic-583 tionaries for all spectra in the PHOENIX subset, loss-584 ily distilling the spectra with a data compression fac-585 tor of around 20. They are available on Zenodo at 586 https://zenodo.org/records/11246174 (Shankar 2024). 587 We create the PHOENIX generator and implement a 588 performant spectral reconstruction algorithm, enabling 589 anyone to create reconstructions of PHOENIX spectra 590 with continuously valued stellar parameters. We intro-591 duce and test our inference algorithm, with average ab- $_{592}$ solute deviations from true values of 185 K in $T_{
m eff},\,0.19$ $\log(g)$, and 0.12 dex in [Fe/H]. In its current state, our algorithm operates on spectra within the PHOENIX 595 subset parameter ranges in Table 1, requiring that the 596 spectra not contain noticeable Doppler shifting, rota-597 tional broadening, or other confounding factors. The 598 methods discussed here represent a step down a road not 599 traveled in spectral inference, and have the potential to 600 become more advanced in the future by fully utilizing 601 the strengths of physics-informed machine learning.

This material is based on work supported by the National Aeronautics and Space Administration under grant No. 80NSSC21K0650 for the NNH20ZDA001N-605 ADAP:D.2 program. C.V.M. acknowledges support from the Alfred P. Sloan Foundation under grant number FG-2021-16592 and support from the National Science Foundation under grant number 1910969.

Software: altair (VanderPlas et al. 2018; Satyanarayan et al. 2017), astropy (Astropy Collaboration
et al. 2013, 2018, 2022), blasé/blase (Gully-Santiago
k Morley 2022), CUDA (NVIDIA et al. 2020), gollum
(Shankar et al. 2024), matplotlib (Hunter 2007), numpy
(Harris et al. 2020), pandas (pandas development team
to 2020; Wes McKinney 2010), Python (Van Rossum &
Drake 2009), PyTorch/torch (Paszke et al. 2019), scikitoptimize/skopt (Head et al. 2018), scipy (Virtanen

618 et al. 2020), tqdm (da Costa-Luis 2019), vegafusion 619 (Kruchten et al. 2022),

REFERENCES

```
620 Astropy Collaboration, Robitaille, T. P., Tollerud, E. J.,
     et al. 2013, A&A, 558, A33,
621
     doi: 10.1051/0004-6361/201322068
622
623 Astropy Collaboration, Price-Whelan, A. M., Sipőcz, B. M.,
     et al. 2018, AJ, 156, 123, doi: 10.3847/1538-3881/aabc4f
624
625 Astropy Collaboration, Price-Whelan, A. M., Lim, P. L.,
     et al. 2022, ApJ, 935, 167, doi: 10.3847/1538-4357/ac7c74
626
627 Bedell, M., Hogg, D. W., Foreman-Mackey, D., Montet,
     B. T., & Luger, R. 2019, The Astronomical Journal, 158,
628
     164, doi: 10.3847/1538-3881/ab40a7
629
630 Bradbury, J., Frostig, R., Hawkins, P., et al. 2018, JAX:
     composable transformations of Python+NumPy
631
     programs, 0.3.13. http://github.com/google/jax
632
633 Czekala, I., Andrews, S. M., Mandel, K. S., Hogg, D. W., &
     Green, G. M. 2015, ApJ, 812, 128,
634
     doi: 10.1088/0004-637X/812/2/128
635
636 da Costa-Luis, C. O. 2019, Journal of Open Source
     Software, 4, 1277, doi: 10.21105/joss.01277
637
638 García Pérez, A. E., Allende Prieto, C., Holtzman, J. A.,
     et al. 2016, AJ, 151, 144,
639
     doi: 10.3847/0004-6256/151/6/144
641 Gully-Santiago, M., & Morley, C. V. 2022, ApJ, 941, 200,
     doi: 10.3847/1538-4357/aca0a2
642
643 Harris, C. R., Millman, K. J., van der Walt, S. J., et al.
     2020, Nature, 585, 357, doi: 10.1038/s41586-020-2649-2
644
645 Head, T., MechCoder, Louppe, G., et al. 2018,
     scikit-optimize/scikit-optimize: v0.5.2, v0.5.2, Zenodo,
646
     doi: 10.5281/zenodo.1207017
647
    Horta, D., Price-Whelan, A. M., Hogg, D. W., Ness, M. K.,
648 I
     & Casey, A. R. 2025, arXiv e-prints, arXiv:2502.01745.
649
     https://arxiv.org/abs/2502.01745
650
651 Hunter, J. D. 2007, Computing in Science & Engineering, 9,
     90, doi: 10.1109/MCSE.2007.55
652
653 Husser, T. O., Wende-von Berg, S., Dreizler, S., et al. 2013,
     A&A, 553, A6, doi: 10.1051/0004-6361/201219058
654
655 Ida, T., Ando, M., & Toraya, H. 2000, Journal of Applied
     Crystallography, 33, 1311,
656
```

doi: https://doi.org/10.1107/S0021889800010219

658 Karalidi, T., Marley, M., Fortney, J. J., et al. 2021, ApJ,

660 Kawahara, H., Kawashima, Y., Masuda, K., et al. 2022,

dwarfs, Astrophysics Source Code Library, record

ExoJAX: Spectrum modeling of exoplanets and brown

923, 269, doi: 10.3847/1538-4357/ac3140

657

659

661

662

663

ascl:2206.003

```
664 Kingma, D. P., & Ba, J. 2017, Adam: A Method for
     Stochastic Optimization.
     https://arxiv.org/abs/1412.6980
666
  Kramida, A., Yu. Ralchenko, Reader, J., & and NIST ASD
667
     Team. 2023, NIST Atomic Spectra Database (ver. 5.11),
     [Online]. Available: https://physics.nist.gov/asd
     [2024, June 12]. National Institute of Standards and
670
     Technology, Gaithersburg, MD.
671
672 Kruchten, N., Mease, J., & Moritz, D. 2022, in 2022 IEEE
     Visualization and Visual Analytics (VIS), 11–15,
673
     doi: 10.1109/VIS54862.2022.00011
674
675 Kurucz, R. L. 2005, Memorie della Societa Astronomica
     Italiana Supplementi, 8, 14
677 Lacy, B., & Burrows, A. 2023, The Astrophysical Journal,
     950, 8, doi: 10.3847/1538-4357/acc8cb
679 Leung, H. W., & Bovy, J. 2019, MNRAS, 483, 3255,
     doi: 10.1093/mnras/sty3217
   —. 2024, MNRAS, 527, 1494, doi: 10.1093/mnras/stad3015
682 López-Valdivia, R., Mace, G. N., Han, E., et al. 2023, ApJ,
     943, 49, doi: 10.3847/1538-4357/acab04
  Mahadevan, S., Ramsey, L., Bender, C., et al. 2012, in
     Society of Photo-Optical Instrumentation Engineers
685
     (SPIE) Conference Series, Vol. 8446, Ground-based and
686
     Airborne Instrumentation for Astronomy IV, ed. I. S.
687
     McLean, S. K. Ramsay, & H. Takami, 84461S,
688
     doi: 10.1117/12.926102
690 Majewski, S. R., Schiavon, R. P., Frinchaboy, P. M., et al.
     2017, AJ, 154, 94, doi: 10.3847/1538-3881/aa784d
691
692 Marley, M. S., Saumon, D., Visscher, C., et al. 2021, ApJ,
     920, 85, doi: 10.3847/1538-4357/ac141d
693
694 Morley, C. V., Mukherjee, S., Marley, M. S., et al. 2024,
     arXiv e-prints, arXiv:2402.00758,
695
     doi: 10.48550/arXiv.2402.00758
696
697 Mukherjee, S., Fortney, J. J., Morley, C. V., et al. 2024,
     arXiv e-prints, arXiv:2402.00756,
     doi: 10.48550/arXiv.2402.00756
699
700 NVIDIA, Vingelmann, P., & Fitzek, F. H. 2020, CUDA,
     release: 10.2.89.
701
     https://developer.nvidia.com/cuda-toolkit
702
703 pandas development team, T. 2020, pandas-dev/pandas:
     Pandas, latest, Zenodo, doi: 10.5281/zenodo.3509134
  Paszke, A., Gross, S., Massa, F., et al. 2019, PyTorch: An
705
     Imperative Style, High-Performance Deep Learning
706
```

Library. https://arxiv.org/abs/1912.01703

- 708 Rains, A. D., Nordlander, T., Monty, S., et al. 2024, MNRAS, 529, 3171, doi: 10.1093/mnras/stae560 709 710 Rayner, J. T., Cushing, M. C., & Vacca, W. D. 2009, ApJS, 185, 289, doi: 10.1088/0067-0049/185/2/289 711 712 Różański, T., Ting, Y.-S., & Jabłońska, M. 2023, arXiv e-prints, arXiv:2306.15703, 713 doi: 10.48550/arXiv.2306.15703 714 715 Satyanarayan, A., Moritz, D., Wongsuphasawat, K., & Heer, J. 2017, IEEE transactions on visualization and 716 computer graphics, 23, 341 717 718 Shankar, S. 2024, blase II: PHOENIX Subset Clone Archive, 0.0.1, Zenodo, doi: 10.5281/zenodo.11246174 719 720 Shankar, S., Gully-Santiago, M., Morley, C., et al. 2024, The Journal of Open Source Software, 9, 6601, 721 doi: 10.21105/joss.06601 722
- Van Rossum, G., & Drake, F. L. 2009, Python 3 Reference
 Manual (Scotts Valley, CA: CreateSpace)
 VanderPlas, J., Granger, B., Heer, J., et al. 2018, Journal of
 Open Source Software, 3, 1057, doi: 10.21105/joss.01057
 Virtanen, P., Gommers, R., Oliphant, T. E., et al. 2020,
 Nature Methods, 17, 261, doi: 10.1038/s41592-019-0686-2
 Wes McKinney. 2010, in Proceedings of the 9th Python in
 Science Conference, ed. Stéfan van der Walt & Jarrod
 Millman, 56 61, doi: 10.25080/Majora-92bf1922-00a
- Wheeler, A. J., Abruzzo, M. W., Casey, A. R., & Ness,
 M. K. 2023, AJ, 165, 68, doi: 10.3847/1538-3881/acaaad