# Classical Information Theory

- Definition [Shannon 1949] Let  $p \in \mathbb{R}^d$  be a probability distribution. Its entropy, H(p), is  $\sum_{i=1}^d p_i \log_2(1/p_i)$ 
  - Convention:  $0 \log(1/0) = 0$
- One intuition: If you had to write code to simulate a draw from p, H(p) is the least number of truly random coin flips you'd need on average.
- Example: d = 3, p = (1/2, 1/4, 1/4). How to generate p?
- Facts:  $0 \le H(p) \le \log d$ , first equality holds if and only if  $p_i = 1$  for some i, and second equality holds if and only if p is uniform

## **Quantum Information Theory**

• Definition: The (von Neumann) entropy of a mixed state  $\rho \in \mathbb{C}^{d \times d}$  is

$$H(\rho) := \sum_{i=1}^{d} \lambda_i \log_2(1/\lambda_i)$$

where  $\rho$  has eigenvalues  $\lambda_1, \ldots, \lambda_d$ 

• Example: 100% the qubit 
$$|+\rangle = \frac{1}{\sqrt{2}}|0\rangle + \frac{1}{\sqrt{2}}|1\rangle$$

• Interpretation  $H(\rho)$  is the least number of coin flips needed to simulate the d measurement outcomes of your favorite orthonormal basis measurement

• Example 1: 100% the qubit 
$$|+\rangle = \frac{1}{\sqrt{2}}|0\rangle + \frac{1}{\sqrt{2}}|1\rangle$$
. Measure in ... basis?

- Example 2: 2-dimensional maximally mixed state  $\rho = \begin{pmatrix} 1/2 & 0 \\ 0 & 1/2 \end{pmatrix}$ . For any basis, measurement is 50%-50%.
- Fact:  $0 \le H(\rho) \le \log d$ , the first equality holds if and only if  $\rho$  is pure, and the second equality if and only if  $\rho$  is maximally mixed.

# Probability 101

- Say X, Y are random variables each taking values in  $[d] = \{1, 2, \dots, d\}$
- They have joint distribution p on  $[d] \times [d]$
- Example: d = 4, (X, Y) uniform such that X + Y even
- Say Alice holds X, Bob holds Y. Distribution of just X is  $p_A \in \mathbb{R}^d$ , called Alice's marginal distribution (similar for  $p_B$ )
- In example,  $p_A$ ,  $p_B$  both uniform on [d]

Formula: 
$$p_A(x) = \sum_{v} p(x, y)$$

### Quantum case

- Alice has a qubit particle, Bob does too, and they're potentially entangled
- Joint state is some  $\rho \in \mathbb{C}^{d^2 \times d^2}$
- Example: d=2, Alice and Bor share an EPR pair. Then  $\rho=?$
- What is "state" of Alice's qubit alone?
  - It is mixed: whatever you'd get if Bob measured,  $\rho_A=?$
  - Also,  $\rho_B$  = ? But  $\rho \neq \rho_A \otimes \rho_B$
- The operation  $\rho\mapsto\rho_A$  is called "partial trace" over Bob's register, denoted by  $\rho_A={\rm tr}_B(\rho)$

## Classical Information Theory 101

- Say p is joint probability distribution on  $[d] \times [d]$
- Example: (X, Y) uniform on  $\{(1,1), (1,3), (2,2), (2,4), (3,1), (3,3), (4,2), (4,4)\}$
- $H(p_A) = ?$ ,  $H(p_B) = ?$ , H(p) = ?
- Obvious fact:  $H(p_A), H(p_B) \le H(p)$  always
- Definition: Mutual information is I(p) or I(X;Y):  $H(p_A) + H(p_B) H(p)$
- Cost to generate  $X,\,Y$  separately cost to generate jointly = savings when generating jointly

- I(X; Y) = ?
- Another interpretation: number of bits of information about X that Bob learns upon seeing Y, and also, conversely, that Alice learns about Y upon seeing X
- Properties:
  - $I(X;Y) \ge 0$  with equality if and only if X,Y independent
  - $I(X; Y) \le H(p_B), I(X; Y) \le H(p_A)$
  - Say Alice and Bob separated, Bob takes Y and somehow locally produces new random variable Z. Then  $I(X;Z) \leq I(X;Y)$ . That is, Bob cannot create more mutual information by local actions.

### The Quantum Case

- Alice and Bob share an EPR pair
  - Let  $\rho$  be associated density matrix
  - $H(\rho) = 0$ , since EPR pair is pure
  - What is  $\rho_A$  and  $H(\rho_A)$ ?  $H(\rho_A) \leq H(\rho)$ ??? Disturbing...
- Let  $|\Psi\rangle\in\mathbb{C}^d\otimes\mathbb{C}^d$  be a pure bipartite state. Write  $\rho=|\Psi\rangle\langle\Psi|$ 
  - Fact 1:  $H(\rho_A)=0$  if and only if  $|\Psi\rangle$  is a product state, that is,  $|\Psi\rangle=|\Psi_A\rangle\otimes|\Psi_B\rangle$
  - Fact 2:  $\rho_A$  and  $\rho_B$  have same eigenvalues, hence  $H(\rho_A)=H(\rho_B)$ . This is called "measure of entanglement of  $|\Psi\rangle$

- Facts:  $H(\rho) \le H(\rho_A) + H(\rho_B)$
- If we define quantum mutual information  $I(\rho)=I(\rho_A;\rho_B)=H(\rho_A)+H(\rho_B)-H(\rho)$ , then this is  $\geq 0$ , and equality holds if and only if  $\rho=\rho_A\otimes\rho_B$
- $I(\rho_A; \rho_B) \leq H(\rho_A), H(\rho_B)$ ?
- Example: Alice and Bob have entangled qubits. Bob now operates locally on his, and obtains  $\Phi(\rho_R)$ .
- $I(\rho_A; \Phi(\rho_B) \leq I(\rho_A; \rho_B)$ ?
- This fact is known as "strong subadditivity of von Neumann entropy"

#### Holevo's bound

- Suppose p is a classical probability distribution on  $\{0,1\}^n$
- Alice gets  $X \sim p$  and forms string  $Y = Y_X$ , and sends y to Bob. Bob wants to learn about X and Bob knowns p.
- Classically: Bob learns I(X;Y) bits about X. If Y limited to b bits, then  $I(X;Y) \leq H(Y) \leq b$
- Question: What if Alice can send quantum states  $\sigma = \sigma_X$ ? X is still classical. Still interested in how much classical information Bob can learn from  $\sigma_X$  about X

- Alice:  $X \sim p$ . Her state is  $\rho_A = \sum_{x \in \{0,1\}^n} p_x |x\rangle \langle x|$
- She attaches  $\sigma_x$  on getting x. Now joint sate is  $\rho = \sum_x p_x |x\rangle\langle x| \otimes \sigma_x$
- Bob's half of  $\rho$  is  $\rho_B=\sum_x p_x\sigma_x$ , and he can now derive classical information from  $\rho_B$ . Say  $Y=\Phi(\rho_B)^x$
- "Strong subadditivity" implies that  $I(X; Y) \leq I(\rho_A, \rho_B)$
- Say  $\sigma_{x}$  is restricted to b qubits. Then

$$I(\rho_A; \rho_B) = \chi(\rho, \sigma) := H(\rho_B) - \sum_{x} p_x H(\sigma_x) \le H(\rho_B)$$