

Compiling a Functional Programming Language Using Combinators

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Abstract

Our work explores functional programming (FP), a paradigm grounded in lambda calculus and term rewriting that emphasizes immutability and pure functions, ensuring consistent outputs without side effects. We design and implement a strongly typed functional language that leverages FP principles to create robust, reliable code with inherent type safety. Our approach involves translating functional programs into a combinator language that bypasses variables and substitution. The combinators serve as higher-order constructs, transforming lambda terms into variable-free expressions to streamline execution. Subsequently, we develop a custom abstract machine specifically designed to execute combinator instructions, implemented in both Java and x86 assembler to generate an executable version that can run on actual hardware. By bridging high-level functional constructs with low-level executable code, this thesis demonstrates a clear pathway from functional programming concepts to practical machine-level computation including lazy and eager evaluation of language constructs. It highlights the efficiency and reliability of FP principles while laying the groundwork for future advancements in functional programming-based processors.

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Chapter 1

Introduction

Functional programming (FP) [3] languages, rooted in lambda calculus and beta-reduction (term rewriting), focus on using mathematical functions for computation. FP emphasizes immutability, where data cannot be changed once created, and pure functions that consistently produce the same output for the same input without side effects. In FP, functions are first-class citizens, meaning they can be passed as arguments, returned as values, which facilitate higher-order functions. Notable examples of FP languages include Haskell [2], Lisp [4], and Erlang [1]. In contrast, imperative programming languages are based on the von Neumann model [5], where instructions are executed sequentially to modify memory. These languages use mutable state, control structures (like loops and conditionals), and procedural programming to describe operations step-by-step. Object-oriented programming (OOP), a subset of imperative programming, organizes code around objects that encapsulate data and behavior, promoting modularity and code reuse. Examples of imperative and OOP languages include C, C++, Java, and Python. While FP languages emphasize what to solve through term rewriting and immutability, imperative languages focus on how to achieve a specific outcome through detailed state changes and procedural steps.

There are various methods to compile a functional language, with combinators being a notable approach. Combinators are advantageous because they avoid using variables and substitution (Section 2.1.4). If a lambda term lacks free variables (Section 2.1.4), its corresponding combinator term will also be variable-free. This results in simplified reduction (execution) by eliminating the need for substitution.

Our goals of the thesis:

- a. Select an appropriate strongly typed functional language with primitive types and operations.
 - A strongly typed functional language is one in which every term has a type

and operations are restricted to operands of compatible types. Such languages ensure type safety and help avoid type-related errors during compilation. These languages facilitate the creation of reliable and maintainable code by leveraging mathematical functions to perform computations. Our goal is to define such a language based on some primitive types such as integer with a suitable set of basic operations. For this reason our language will be a variant of Programming Computable Functions (PCF) by Gordon Plotkin in 1977 [15]

- b. Translate into an appropriate combinator language.
 - Appropriate means that everything is correct and suitable within our context. A combinator language is one where programs (Section 2.1.3) are expressed using combinators (Section 3.1.1), which are higher order functions that can be combined to build more complex operations. More specifically, translation involves mapping the constructs of the functional language, i.e. programs, into combinators. This process helps to simplify functional terms into a form that can be more easily manipulated and executed. Due to the fact that the language has primitive operations such as addition, combinators corresponding to these operations need to be introduced.
- c. Decide on an appropriate abstract machine that uses combinators as machine instructions so that this machine can be implemented in software or actually built as a custom processor for a computer based on the functional programming principle.
 - An abstract machine (Chapter 4) based on combinators would be designed to execute programs written in combinator form as defined in (Section 3.1.1). It would manage memory, execute instructions, and handle data according to the combinator-based representation of the program. This abstract machine could be implemented in software as a virtual machine, providing an environment to execute combinator-based programs. Alternatively, it could be physically built as a custom processor that directly executes combinator instructions, integrating with the functional programming principles. We have added primitive operations, and hence their corresponding combinators, to the programming language. These operations need their parameters computed before they can be executed (eager evaluation). On the other hand, the remaining combinators, such as combinator S and K, can be executed without computing the parameters first (lazy evaluation). Consequently, our abstract machine must be able to allow both ways of executing a combinator.

- d. Implement steps a-c above in Java and, in addition, implement c in x86 assembler to obtain an executable version of the initial program.
- Implementing the strongly typed functional language in Java involves creating classes for types, functions, and combinators, and simulating the abstract machine's execution. For the x86 assembler implementation, the abstract machine would need to be translated into assembly language, where combinator instructions are encoded as machine code instructions. This would allow the program to be compiled into an executable binary, which can be run directly on x86 hardware or in an emulator.

1.1 Existing Work

Before delving into a detailed discussion of our own work, we next review relevant existing research related to our topic.

Jones [14] Describe the core methods for compiling functional languages, emphasizing the widespread use of graph reduction. Graph reduction is a technique where expressions are represented as directed graphs, allowing for efficient evaluation by reducing nodes step-by-step. This approach aligns well with the principles of functional programming, such as lazy evaluation and immutability, as it enables computations to be delayed and shared, reducing redundant evaluations and efficiently handling recursive calls and closures. In this thesis, we are interested in an approach using combinators. Combinators provide a way to represent functions without variables, which can simplify the compiler's task by reducing expressions based on function composition. By using combinator-based approaches, the compilation process avoids direct graph manipulation, potentially leading to optimizations that align well with functional programming's modularity and reusability. To sum up, Peyton Jones' exploration of graph reduction establishes a foundational technique for functional language compilers, while combinator-based approaches offer a promising direction for modular and efficient implementation.

Ben Lynn [10] proposed a Combinatory Compiler that translates expressions into basic combinators (S, K, and I), following a straightforward, minimalist approach. This implementation does not have primitive types and avoids the complexities of evaluation strategies, such as eager or lazy evaluation. In contrast, the work presented in this thesis introduces primitive types and supports lazy and eager evaluation, providing more flexibility and expressiveness in the language. While Lynn's approach simplifies compilation with a fixed set of combinators, this thesis expands the language to include richer-type systems

and evaluation strategies, offering opportunities for optimization. Lazy evaluation reduces unnecessary computation, while eager evaluation can improve performance when immediate results are required, making the language more practical and adaptable to real-world scenarios.

Hudak and Kranz [7] define a language that is conceptually similar to the one explored in this thesis. However, the syntax and presentation appear somewhat outdated by modern standards. Notably, the language employs primitive types, with even structures such as lists treated as primitive types, in contrast to more recent functional languages where such types can be user-defined. Additionally, the execution of primitive operations in their approach is not derived generically; rather, it assumes a concrete implementation, which is directly used in the compilation process. Although the syntax and presentation of the language in their work is outdated by today's standards, it laid important groundwork for combinator-based compilation strategies. These earlier approaches were pivotal in demonstrating how functional programming languages could be compiled using a fixed set of combinators, serving as an inspiration for more modern techniques that allow for greater modularity and type flexibility. While the design of their work is simpler, focusing on concrete primitive implementations, the work in this thesis incorporates more dynamic type systems and flexible execution models, bridging the gap between early combinator-based languages and more complex modern functional languages.

Peter M. Maurer [12] investigates the use of extended combinators to translate functional languages into low-level data-flow graphs, emphasizing the elimination of variables through combinatory logic. Their work showcases how functional programming principles align with data-driven computation, focusing on exploiting parallelism in functional languages for data-flow architectures. In contrast, our thesis builds on similar concepts but shifts the focus to combinator-based abstract machines. By incorporating primitive operations and supporting both eager and lazy evaluations, our approach bridges the gap between theoretical combinatory logic and practical implementation, offering a more versatile execution model.

Hughes [8] introduces super-combinators, an extension of traditional combinators aimed at achieving full laziness and improving efficiency in graph reduction machines. By exporting maximal free expressions as parameters, super-combinators eliminate redundant copying during substitution, providing a more efficient alternative to Turner's combinators. While Hughes focuses on optimizing graph-reduction implementations, our work extends these ideas to combinator-based abstract machines, incorporating both eager and lazy evaluation strategies. Additionally, we integrate primitive operations, offering a more expressive and versatile execution model that bridges combinatory logic with practical ap-

plications in software and hardware.

Gibert [6] introduces a combinator-based framework for functional programming, focusing on efficient symbolic computation and parallelism through an algebraic model. His work highlights the design of the JAMachine, an architecture capable of processing combinatory logic in both sequential and parallel modes, demonstrating the potential of non-von Neumann computational paradigms. While Gibert’s approach emphasizes algebraic semantics and symbolic computation, our thesis builds upon these foundations by incorporating primitive operations into the combinator framework and developing an abstract machine that supports both lazy and eager evaluation strategies. Furthermore, we extend the practical applicability of combinator-based computation by proposing implementations in both software and hardware, bridging the gap between theoretical models and real-world execution environments.

1.2 Methodology

Below is an overview of the methodology we employ in our work:

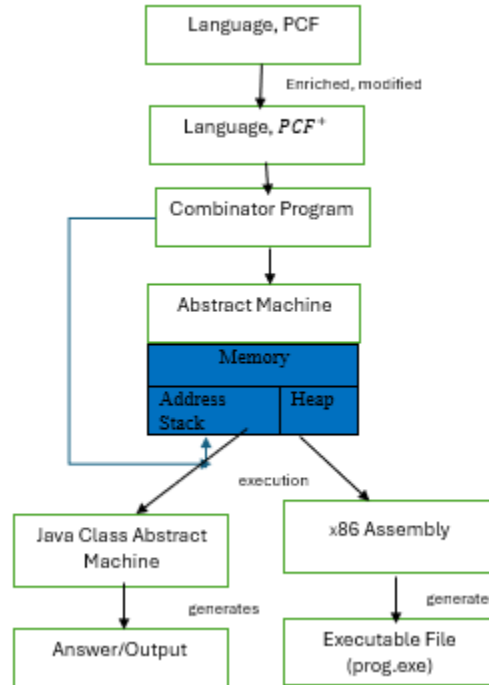


Figure 1.1: Typing of a PCFTerm.

Chapter 2

Programming Language

As our goal is to compile basic functional programming language using combinators, we have chosen an extended version of PCF (Programming Computable Functions), a functional programming language designed to express computable functions [15]. PCF is rooted in Scott's Logic of Computable Functions (LCF)[13]. PCF emphasizes mathematical rigor and theoretical foundations. Unlike LCF [13], which is an interactive automated theorem prover based on the theoretical foundation of the logic of computable functions, PCF focuses exclusively on terms, with a subset of these terms designated as PCF Terms. This emphasis on terms and computability makes PCF a powerful tool for exploring the theoretical aspects of programming languages and computational logic. Our extension PCF⁺ adds the type Bool of Boolean values to PCF and replaces the Nat of natural numbers by the type Int of integers. Furthermore, we add several basic operations on Booleans and natural numbers such as equality test, addition, and multiplication as primitives. Adding these primitives allows a more efficient implementation and translation of these operations to corresponding combinators, and, later, to machine code.

2.1 Syntax

PCF⁺, as its base language PCF, is a strongly typed programming language. In order to define its syntax, we first start to introduce the syntax of types.

2.1.1 Types

The syntax of types is defined by the following constructions:

- *Int*

- *Bool*
- If V is a set of type variables, then every element of V is a type.
- If σ and τ are types, then $\sigma \rightarrow \tau$ is a type.

For example, $Int \rightarrow Bool$ and $((Int \rightarrow Int) \rightarrow Bool)$ are types. Furthermore, if $a \in V$, i.e., a is a type variable, then $a \rightarrow Int$ is a type

2.1.2 PCFTerms

In order to define PCFTerms we assume that there is a constant symbol \underline{n} for every integer n . Please note that a clear difference between the number n and the symbol \underline{n} representing the number is the syntax of the language. However, if it is clear from the context we will often use the same notation 5 for the number and its textual representation. We have defined various operations to construct a PCFterm. These operations include:

- Variables: a variable i.e x is a term.
- NamedTerms: a NamedTerm t is a term, if t is a declaration (Section 2.1.3).
- Integer Constants: \underline{n} for every integer n , i.e $\underline{2}$ or $\underline{5}$ is a term.
- Boolean Constants (True, False): *True* and *False* are terms.
- Integer Operations (Addition, Subtraction, Multiplication): If t_1 and t_2 are terms, then $t_1 + t_2$, $t_1 - t_2$, and $t_1 * t_2$ are also terms.
- Comparisons (Equal, LessEqual): If t_1 and t_2 are terms, then $t_1 = t_2$ and $t_1 <= t_2$ are also terms.
- Not operation: if t is a term, then *not* t is a term.
- Boolean operations (and, Or): If t_1 and t_2 are terms, then t_1 *and* t_2 and t_1 *or* t_2 are two boolean terms.
- Conditional: (if...then...else) If t_1 , t_2 and t_3 are some terms then *if* t_1 *then* t_2 *else* t_3 is a term.
- Abstraction: If x is a variable and t a term, then $\lambda x.t$ is a term.
- Recursion: If x is a variable and t a term, then *rec* $x.t$ is a term.

- Application: If t_1 is a term and t_2 is another term, then $t_1 t_2$ is a term.

Variables, `NamedTerms` and constants, the smallest terms, are the fundamental building blocks of a `PCFTerm`. Some examples of `PCFTerms` are shown below:

- $\lambda x. t + 1$
- $\text{if } x \leq (3 + 2 \cdot x) \text{ then } \text{True} \text{ and } y \text{ else } (\text{not } \text{False} \text{ and } \text{True})$
- $\text{recf}.\lambda n. \text{if } n = 0 \text{ then } 1 \text{ else } n * f(n - 1)$

The above examples illustrate abstraction, conditional, and recursive terms respectively. The first example demonstrates the smallest abstraction term with the variable x and the body $t + 1$. The second example showcases a conditional term containing a sub`PCFTerm` (another `PCFTerm`), $(3 + 2 \cdot x)$, which demonstrates the addition of an integer constant and a multiplication term, where the multiplication is between an integer and a variable. The third example presents a recursive term involving the variable n and a body that includes another sub`PCFTerm` with a conditional term.

Please note that we call a term a `PCFTerm` if it is typeable, a concept that we will define in the following sections.

2.1.3 Programs

A program consists of one or more declarations (Section 2.1.3). Each declaration, as will be discussed in the subsequent section, has three components: a name, a body, and a type. Similar to Java, a `PCF+` program must include at least one declaration named *main*, which marks the starting point for the execution. If the *main* declaration is absent or incorrectly defined, the program will fail to execute.

```
fact :: Int → Int = recf. λn. if n = 0 then 1 else n × f(n - 1);
succ :: Int → Int = λn. n + 1;
main :: Int = fact (succ 4);
```

(2.1)

Equation 2.1 illustrates a sample `PCF+` program containing three declarations: *fact*, *succ*, and *main*. Among these, the *main* declaration signifies where the execution of the program begins.

Declaration

A declaration is the fundamental building block of a Program, consisting of three components: the *name*, the *type*, and the *body*. The body of the declaration is itself a *PCFTerm* (Section 2.1.2). The basic structure of a declaration is as follows:

$$\text{Name} :: \text{Type} = \text{PCFTerm}$$

This means that a declaration begins with the *name*, which refers to the name of the declaration, followed by the *type*, and then the *PCFTerm* that serves as the body of the declaration.

2.1.4 Typing Rules

We present the type system of PCF^+ by providing a set of typing rules specific for every term construction of the previous section. After this we will describe our implementation of type checking, which computes the most general type of each term and then compares this with the type given. A context Γ is a sequence consisting of pairs $x : A$ where 'x' represents a variable name and 'A' represents a type, ensuring that each variable name is unique within the list. We will write \emptyset for the empty context, $a : A \in \Gamma$ if $a : A$ is in the sequence Γ , and $\Gamma, x : A$ for an arbitrary sequence that contains $x : A$. Typing judgments for terms within such a context are then defined using a standard approach for typing. Using these rules, i.e., deriving a type judgment $\Gamma \vdash t : A$, means to build a tree using the rules with the statement above at the root. Notice that the leaves of the tree must be rules with no predecessor (first four rules).

We call a term t typeable iff there is a context Γ and a type A so that the judgment $\Gamma \vdash t : A$ can be derived. Please note that if t is closed and typeable, then there is a derivation $\emptyset \vdash t : A$ for some type A . Now, add an example. Use $y : \text{Int} \vdash \lambda x. x + y : \text{Int} \rightarrow \text{Int}$. Use the proof package to build up the tree that ends with the statement above at the root.

$$\frac{\frac{x : \text{Int} \quad y : \text{Int} \quad x + y : \text{Int}}{x : \text{Int}, y : \text{Int} \vdash x + y : \text{Int}}}{y : \text{Int} \vdash \lambda x. x + y : \text{Int} \rightarrow \text{Int}}$$

The construction of the proof tree above ensures logical validity by systematically applying the above typing rules with integers and booleans. At the root of the tree, the lambda abstraction rule is employed to establish that $\Gamma \vdash \lambda x. x + y : \text{Int} \rightarrow \text{Int}$. This requires demonstrating that $y : \text{Int}, x : \text{Int} \vdash x + y : \text{Int}$. To achieve this, the integer operation rule for addition is used at the first level of the tree. This rule necessitates proving that both x

Table 2.1: Typing Rules

Name	Rule
Variables	$\Gamma \vdash x : A$ if $x : A \in \Gamma$
NamedTerms	$\Gamma \vdash x : A$ if $x : A \in \Gamma$
Integer constants	$\Gamma \vdash n : Int$ (for any integer constant n)
Boolean constants	$\Gamma \vdash True : Bool \quad \Gamma \vdash False : Bool$
Integer operations	$\frac{\Gamma \vdash t_1 : Int \quad \Gamma \vdash t_2 : Int}{\Gamma \vdash t_1 \oplus t_2 : Int} \quad \oplus \in \{+, *, -\}$
Comparisons	$\frac{\Gamma \vdash t_1 : Int \quad \Gamma \vdash t_2 : Int}{\Gamma \vdash t_1 \oplus t_2 : Bool} \quad \oplus \in \{\leq, =\}$
Not operation	$\frac{\Gamma \vdash t_1 : Bool}{\Gamma \vdash \neg t_1 : Bool}$
Boolean operations	$\frac{\Gamma \vdash t_1 : Bool \quad \Gamma \vdash t_2 : Bool}{\Gamma \vdash t_1 \oplus t_2 : Bool} \quad \oplus \in \{\vee, \wedge\}$
Conditional	$\frac{\Gamma \vdash t_1 : Bool \quad \Gamma \vdash t_2 : A \quad \Gamma \vdash t_3 : A}{\Gamma \vdash \text{if } t_1 \text{ then } t_2 \text{ else } t_3 : A}$
Abstraction	$\frac{\Gamma, x : A \vdash t : B}{\Gamma \vdash \lambda x. t : A \rightarrow B}$
Recursion	$\frac{\Gamma, x : A \vdash t : A}{\Gamma \vdash \text{rec } x. t : A}$
Application	$\frac{\Gamma \vdash t_1 : A \rightarrow B \quad \Gamma \vdash t_2 : A}{\Gamma \vdash t_1 t_2 : B}$

and y are of type Int . At the second level, the tree verifies these types individually through two sub-proofs: $x : Int \vdash x : Int$ and $y : Int \vdash y : Int$. Each sub-proof utilizes the variable rule to confirm that x and y are correctly typed as Int in their respective contexts. By following this structured approach, the proof tree adheres to the formal typing rules as listed above and logically demonstrates that $\lambda x. x + y$ is a function from Int to Int given that y is of type Int .

Please note that a term can have more than one type. For example, for the term $\lambda x. x$ and $\Gamma = \emptyset$, we can find derivations of $\Gamma \vdash \lambda x. x : Bool \rightarrow Bool$ and $\Gamma \vdash \lambda x. x : Int \rightarrow Int$.

However, For our typing algorithm and the semantics of PCF^+ , it is essential to understand the concept of term substitution. Before delving into this topic, we need to grasp the notion of free variables.

Free Variable (FV)

The free variables of a term in PCF^+ are those variables that are not bound by any abstraction within that term. The set of free variables for any given expression can be defined by the following rules:

- For a variable x , the set of free variables is simply $\{x\}$.

- For a NamedTerm x , the set of free variables is empty.
- The integer and boolean constants have an empty set of free variables.
- For an addition $t_1 + t_2$, the free variables are the variables from t_1 union the free variables from t_2 .
- For a subtraction $t_1 - t_2$, the free variables are the variables from t_1 union the free variables from t_2 .
- For a multiplication $t_1 * t_2$, the free variables are the variables from t_1 union the free variables from t_2 .
- For an eEqual $t_1 = t_2$, the free variables are the variables from t_1 union the free variables from t_2 .
- For an lequal $t_1 \leq t_2$, the free variables are the variables from t_1 union the free variables from t_2 .
- For a not *not* t , the free variables are the set of free variables of t .
- For an and $t_1 \wedge t_2$, the free variables are the variables from t_1 union the free variables from t_2 .
- For an or $t_1 \vee t_2$, the free variables are the variables from t_1 union the free variables from t_2 .
- For an abstraction $\lambda x.t$, the set of free variables is the set of free variables of t , excluding x .
- For an application $t_1 t_2$, the set of free variables is the union of the free variables of t_1 and the free variables of t_2 .

For instance, the lambda term $\lambda t.t$, which represents the identity function, has no free variables. However, the term $\lambda t.t_1$ contains the free variable t_1 , since t_1 is not bound within the term.

Substitution

Substitution involves replacing a variable x in a term t with another term t' , denoted as $t[t'/x]$. In the context of PCF^+ , substitution is defined recursively based on the structure of terms as follows (note: x and y are variables, while t_1 and t_2 are any PCF^+):

$$\begin{aligned}
x[x := t'] &= t[x := t'] \\
(t_1 + t_2)[x := t'] &= t_1[x := t'] + t_2[x := t'] \\
(t_1 - t_2)[x := t'] &= t_1[x := t'] - t_2[x := t'] \\
(t_1 * t_2)[x := t'] &= t_1[x := t'] * t_2[x := t'] \\
(t_1 = t_2)[x := t'] &= t_1[x := t'] = t_2[x := t'] \\
(t_1 \leq t_2)[x := t'] &= t_1[x := t'] \leq t_2[x := t'] \\
(\neg t)[x := t'] &= \neg t[x := t'] \\
(t_1 \wedge t_2)[x := t'] &= t_1[x := t'] \wedge t_2[x := t'] \\
(t_1 \vee t_2)[x := t'] &= t_1[x := t'] \vee t_2[x := t'] \\
(t_1 t_2)[x := t'] &= t_1[x := t'] t_2[x := t'] \\
(\lambda x. t)[x := t'] &= \lambda x. t \\
(\lambda y. t)[x := t'] &= \lambda y. (t[x := t']) \quad \text{if } x \neq y \text{ and } y \notin \text{FV}(t')
\end{aligned}$$

Please note that for integer and boolean constants, substitution is not applied since these terms contain no free variables.

We can also introduce substitution, σ , as a function from variables to terms. Formally, $\sigma(t)$ is defined as:

$$\sigma(t) = t[\sigma(x_1)/x_1, \dots, \sigma(x_n)/x_n] \quad \text{if the set of free variables of } t \text{ is } \{x_1, \dots, x_n\}.$$

2.1.5 A Typing Algorithm

Our implementation checks that a given term is typeable using an algorithm that computes the most general type. The algorithm will compute a type A (with variables) for a given closed term t so that if $\Gamma \vdash t : B$ can be derived, then B can be obtained from A by replacing the variables in A by some types. For example, for the term $\lambda x. x$ the algorithm will compute the type $a \rightarrow a$ where a is a type variable. The two types $Bool \rightarrow Bool$ and $Int \rightarrow Int$ can be obtained by replacing a with $Bool$ and Int , respectively.

To compute the types of terms, we have used Martelli & Montanari's unification algorithm [11] which is the updated version of Robinson's unification algorithm [17, 16]. Robinson's original algorithm, while foundational, was known for its worst-case exponential time and space complexity. Martelli & Montanari's improvements addressed some of these challenges, making the algorithm more efficient and practical for determining type assignments in our context.

Unification Algorithm

The algorithm, commonly attributed to Martelli and Montanari [11], outlines the process of unification for a finite set $G = \{s_1 \doteq t_1, \dots, s_n \doteq t_n\}$ of potential equations. The goal is to transform G into an equivalent set of equations of the form $\{x_1 \doteq u_1, \dots, x_m \doteq u_m\}$, where x_1, \dots, x_m are distinct variables and u_1, \dots, u_m are terms containing none of the x_i . Such a set represents a substitution σ and we can say that $\sigma(s_i) = \sigma(t_i)$ for $1 \leq i \leq n$. If no solution exists, the algorithm terminates with \perp (other authors use symbols like Ω or "fail" in this case). The algorithm operates with the following rules. In these rules, \Rightarrow denotes the application of a rule, and \perp signifies failure to find a unification. The algorithm proceeds by applying these rules iteratively until no further transformations are possible or a conflict arises.

1. Identity Rule (Delete):

$$G \cup \{t \doteq t\} \Rightarrow G$$

If an equation $t \doteq t$ appears in the set G , it can be safely removed because it does not introduce any new information.

2. Decompose Rule:

$$G \cup \{f(s_0, \dots, s_k) \doteq f(t_0, \dots, t_k)\} \Rightarrow G \cup \{s_0 \doteq t_0, \dots, s_k \doteq t_k\}$$

If equations involve the same function symbol f with corresponding arguments, the equation is decomposed into individual equations for each argument.

3. Conflict Rule:

$$G \cup \{f(s_0, \dots, s_k) \doteq g(t_0, \dots, t_m)\} \Rightarrow \perp$$

If equations involve different function symbols f and g , or if they have different numbers of arguments k and m , it results in a conflict (failure to unify).

4. Swap Rule:

$$G \cup \{f(s_0, \dots, s_k) \doteq x\} \Rightarrow G \cup \{x \doteq f(s_0, \dots, s_k)\}$$

This rule allows swapping the position of a variable x and a term $f(s_0, \dots, s_k)$ in an equation to facilitate unification.

5. Eliminate Rule:

$$G \cup \{x \doteq t\} \Rightarrow G\{x \mapsto t\} \cup \{x \doteq t\} \quad \text{if } x \notin \text{vars}(t) \text{ and } x \in \text{vars}(G)$$

When a variable x does not appear in the term t and x is present in other equations in G , x can be eliminated by substituting t for x throughout G .

6. Check Rule:

$$G \cup \{x \doteq f(s_0, \dots, s_k)\} \Rightarrow \perp \quad \text{if } x \in \text{vars}(f(s_0, \dots, s_k))$$

If a variable x appears in the term $f(s_0, \dots, s_k)$, unification fails because it indicates a cyclic or conflicting dependency.

Unification Applied in the System

For each term, we compute the type unifying according to the rules stated below. If the unification is successful based on the unification algorithm described above, necessary substitution occurs in the term to compute the final type that we will see in the abstraction example below.

1. **Variable:** For each variable, we always compute a random general type. For example, for a variable x , the algorithm will compute the type a .
2. **NamedTerm:** For each NamedTerm, the type is simply the type specified in its declaration. For example, in the declaration:

$$\text{succ} :: \text{Int} \rightarrow \text{Int} = \lambda n. n + 1$$

the type for the NamedTerm, succ is $\text{Int} \rightarrow \text{Int}$.

3. **Integer Constants:** Always compute an Int type.
4. **Boolean Constants:** Always compute a Bool type.
5. **Integer Operations:** Unify both the left and right terms' types with the Int type. If the unification succeeds, then return the final type, the Int type.
6. **Comparisons:** Unify both the left and right terms' types with the Int type. If the unification succeeds, then return the final type, the Bool type.
7. **Not:** Unify the term's type with a Bool type.

8. **Boolean Operations:** Unify both the left and right terms' types with the *Bool* type. If the unification succeeds, then return the final type, the *Bool* type.
9. **Conditional:** First of all, unify the *If* term with a *Bool* type. If successful, then unify the *Else* and *Then* terms. If successful, the final type, the type of the *Else* or *Then* term, is returned.
10. **Abstraction and Recursion:** For the variable part, we compute the type according to the first rule. Then we compute the type of the body. Finally, we determine the function type of the variable and the body and return it as the final type. For example, consider the term $\lambda x.x + 1$. First, we compute a random type (a) for the variable x ($x \mapsto a$). According to the integer operations rule (rule 4) of our algorithm, we unify the left part (x) and the right part (1) of the body ($x + 1$) with the *Int* type. After unification, we get $a \mapsto \text{Int}$ and after substitution of the term, we get $x \mapsto \text{Int}$. Therefore, we can say that x is of type *Int*. Hence, the final type is $\text{Int} \rightarrow \text{Int}$.
11. **Application:** If the left term's type is a type variable, our algorithm creates another random type variable and computes a function type using the new and the existing type variables. Then, with the right term's type, we try unifying it with the left part of the function type. If successful, we return the right part of the function type as the final type. For example, consider the term $(x)y$. The left term, x has a type variable (a) according to rule 1, denoted as $x \mapsto a$. So we create a function type $a \rightarrow b$. For the right term y , we compute another type variable (c). According to the algorithm, we unify (a) with (c). If the unification is successful, the right part (b) of the function type is returned. Hence, the final computed type for the term $(x)y$ is (b).

Find the type of a recursive term

Figure 2.1 shows the step-by-step procedure to find the type of a recursive term: $(\text{rec } f.\lambda n. \text{if } n = 0 \text{ then } 1 \text{ else } n \times f(n - 1))$ which is to be applied to an *Int* constant, 5. So, the term is, $(\text{rec } f.\lambda n. \text{if } n = 0 \text{ then } 1 \text{ else } n \times f(n - 1))5$. As the factorial of 5 is 120, which is an integer constant, we should therefore get the type of this term an *Int* type. Now, to understand how this program outputs an *Int* type, we break it down into smaller subprograms, find their types, and then finally come to the final result, *Int*. The steps are described in the following: -

1. The term is an application having its left and right terms. As shown in the figure 2.1, first, we check the left term which is a variable. For every variable in the system, we are

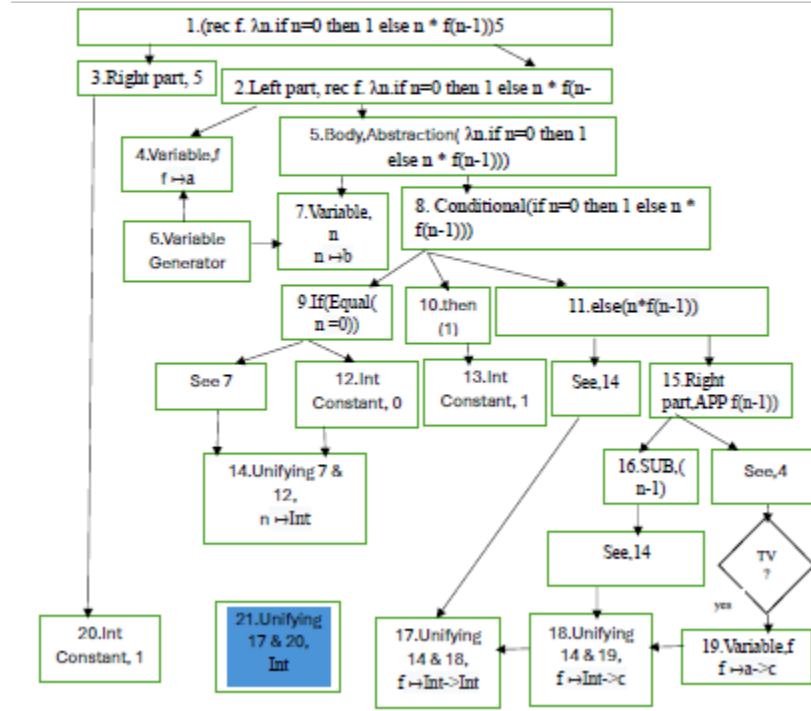


Figure 2.1: Typing of a PCFTerm.

generating a random type ($f \mapsto a$) using the Variable generator (step 6) method. The right term, the abstraction (step 5), similarly has the variable and the body part.

2. The body of the abstraction (step 8) is a conditional term and has 3 parts (9, 10, 11) as shown in the figure. This breakdown continues until step 14 where we are unifying a type variable and an Integer constant following the unification algorithm[11]. After the substitution, we get $n \mapsto Int$

3. At step 15, there is an application operation, and at the decision-making step, we are checking whether the variable f is a TV (Type Variable). If so, we are creating a function type $f \mapsto (a \rightarrow c)$ (step 19) as mentioned in the Type section.

4. Step 17 shows the type of the left part of our program, and step 20 shows the type of the right part. Finally, at step 21, we have got our final type, Int, by applying Robson's unification substitution algorithm.

2.1.6 Semantics of PCF^+

We first need to define the notion of a normal form of a PCFTerm (Section 2.1.2). A normal form is the result of a computation, i.e., a PCFTerm that cannot be reduced further. Normal forms are defined by the type of the PCFTerm . First of all, a variable is a normal form of every type. In addition, we have the following normal forms:

- The PCFTerms of the form $\underline{n} : \text{Int}$, i.e., the integer constants, are normal forms of type Int .
- The PCFTerms $\text{True} : \text{Bool}$ and $\text{False} : \text{Bool}$ are normal forms of type Bool .
- The PCFTerms $\lambda x.t : \sigma \rightarrow \tau$ where t is an arbitrary term are normal forms of type $\sigma \rightarrow \tau$.

The semantics of PCF^+ is given by a relation \rightarrow between PCFTerms and normal forms. The intuitive meaning of $p \rightarrow q$ is that the PCFTerm p evaluates to the normal form q . This relation is defined by rules, similar to the typing rules, as shown in Table 2.2.

From Table 2.3, we can better realize the PCFTerm semantics according to the rules discussed in Table 2.2.

2.1.7 Typing of Programs

As discussed above, declarations are the fundamental building blocks of a program, and each declaration includes a PCFTerm as its body, which is one of its three key components. To determine the type of a program, we primarily need to ascertain the types of all the declarations. The steps to follow are outlined below:

1. First, for each declaration, extract the body (which is a PCFTerm) and compute its type using the typing algorithm (Section 2.1.5) and the typing rules (Section 2.1.4).
2. Next, unify the computed type with the declared type of the declaration by applying the unification algorithm (Section 2.1.5).
3. Then, examine the declaration named *main* and verify if it is of type *Bool* or *Int*. If *main* is of neither type, the system raises an exception: “main is not of type *Int* or *Bool*”.
4. Finally, if the unification is successful, the type of the program is the type of the declaration named *main*.

2.1.8 Execution of Programs

Our PCF^+ program execution always starts with the declaration named 'main'. We simply take the 'body' of 'main' and then execute it. The execution procedures follows the same rules for a PCFTerms as detailed in Table 2.2. The execution result must be either a boolean value ('True' or 'False') or an integer constant.

To better understand how the execution of a program proceeds, refer to table 2.4.

Table 2.4: Step-by-step execution of a PCF^+ program.

PCFTerms/Programs	Steps
Initial Program	fact :: Int \rightarrow Int = recf. λn . if $n = 0$ then 1 else $n * f(n - 1)$; succ :: Int \rightarrow Int = λn . $n + 1$; main :: Int = fact(succ(1));
NamedTerm(main)	fact(succ(1))
NamedTerm(fact)	recf. λn . if $n = 0$ then 1 else $n * f(n - 1)$ (succ(1))
Recursion	λn . if $n = 0$ then 1 else $n * (\text{recf. } \lambda n$. if $n = 0$ then 1 else $n * f(n - 1)) (n - 1)$ (succ(1))
Application	if (succ(1)) = 0 then 1 else (succ(1)) * (recf. λn . if $n = 0$ then 1 else $n * f(n - 1))$ (succ(1) - 1)
NamedTerm(succ)	if ((λn . $n + 1$) 1) = 0 then 1 else (succ(1)) * (recf. λn . if $n = 0$ then 1 else $n * f(n - 1))$ (succ(1) - 1)
Application	if (1 + 1 = 0) then 1 else (succ(1)) * (recf. λn . if $n = 0$ then 1 else $n * f(n - 1))$ (succ(1) - 1)
Addition	if (2 = 0) then 1 else (succ(1)) * (recf. λn . if $n = 0$ then 1 else $n * f(n - 1))$ (succ(1) - 1)
Comparison	if False then 1 else (succ(1)) * (recf. λn . if $n = 0$ then 1 else $n * f(n - 1))$ (succ(1) - 1)
Conditional	(succ(1)) * (recf. λn . if $n = 0$ then 1 else $n * f(n - 1))$ (succ(1) - 1)
NamedTerm(succ)	(λn . $n + 1$) 1 * (recf. λn . if $n = 0$ then 1 else $n * f(n - 1))$ (succ(1) - 1)
Application	(1+1)*(recf. λn . if $n = 0$ then 1 else $n * f(n - 1))$ (succ(1) - 1)
Addition	2 * (recf. λn . if $n = 0$ then 1 else $n * f(n - 1))$ (succ(1) - 1)
Recursion	2 * λn . if $n = 0$ then 1 else $n * (\text{recf. } \lambda n$. if $n = 0$ then 1 else $n * f(n - 1)) (n - 1)$ (succ(1) - 1)

Application	$2 * \text{if } (\text{succ}(1) - 1) = 0 \text{ then } 1 \text{ else } (\text{succ}(1) - 1) * (\text{recf. } \lambda n. \text{if } n = 0 \text{ then } 1 \text{ else } n * f(n - 1)) (\text{succ}(1) - 1 - 1)$
NamedTerm(succ)	$2 * \text{if } ((\lambda n. n + 1) 1 - 1) = 0 \text{ then } 1 \text{ else } (\text{succ}(1) - 1) * (\text{recf. } \lambda n. \text{if } n = 0 \text{ then } 1 \text{ else } n * f(n - 1)) (\text{succ}(1) - 1 - 1)$
Application	$2 * \text{if } (1 + 1 - 1) = 0 \text{ then } 1 \text{ else } (\text{succ}(1) - 1) * (\text{recf. } \lambda n. \text{if } n = 0 \text{ then } 1 \text{ else } n * f(n - 1)) (\text{succ}(1) - 1 - 1)$
Addition	$2 * \text{if } (2 - 1) = 0 \text{ then } 1 \text{ else } (\text{succ}(1) - 1) * (\text{recf. } \lambda n. \text{if } n = 0 \text{ then } 1 \text{ else } n * f(n - 1)) (\text{succ}(1) - 1 - 1)$
Subtraction	$2 * \text{if } 1 = 0 \text{ then } 1 \text{ else } (\text{succ}(1) - 1) * (\text{recf. } \lambda n. \text{if } n = 0 \text{ then } 1 \text{ else } n * f(n - 1)) (\text{succ}(1) - 1 - 1)$
Comparison	$2 * \text{if } \text{False} \text{ then } 1 \text{ else } (\text{succ}(1) - 1) * (\text{recf. } \lambda n. \text{if } n = 0 \text{ then } 1 \text{ else } n * f(n - 1)) (\text{succ}(1) - 1 - 1)$
Conditional	$2 * (\text{succ}(1) - 1) * (\text{recf. } \lambda n. \text{if } n = 0 \text{ then } 1 \text{ else } n * f(n - 1)) (\text{succ}(1) - 1 - 1)$
NamedTerm(succ)	$2 * ((\lambda n. n + 1)(1) - 1) * (\text{recf. } \lambda n. \text{if } n = 0 \text{ then } 1 \text{ else } n * f(n - 1)) (\text{succ}(1) - 1 - 1)$
Application	$2 * ((1 + 1) - 1) * (\text{recf. } \lambda n. \text{if } n = 0 \text{ then } 1 \text{ else } n * f(n - 1)) (\text{succ}(1) - 1 - 1)$
Addition	$2 * (2 - 1) * (\text{recf. } \lambda n. \text{if } n = 0 \text{ then } 1 \text{ else } n * f(n - 1)) (\text{succ}(1) - 1 - 1)$
Subtraction	$2 * (1) * (\text{recf. } \lambda n. \text{if } n = 0 \text{ then } 1 \text{ else } n * f(n - 1)) (\text{succ}(1) - 1 - 1)$
Recursion	$2 * (1) * (\lambda n. \text{if } n = 0 \text{ then } 1 \text{ else } n * (\text{recf. } \lambda n. \text{if } n = 0 \text{ then } 1 \text{ else } n * f(n - 1)) (n - 1)) (\text{succ}(1) - 1 - 1)$
Application	$2 * (1) * (\lambda n. \text{if } (\text{succ}(1) - 1 - 1) = 0 \text{ then } 1 \text{ else } (\text{succ}(1) - 1 - 1) * (\text{recf. } \lambda n. \text{if } n = 0 \text{ then } 1 \text{ else } n * f(n - 1)) ((\text{succ}(1) - 1 - 1) - 1))$
Namedterm(succ)	$2 * (1) * (\lambda n. \text{if } ((\lambda n. n + 1)(1) - 1 - 1) = 0 \text{ then } 1 \text{ else } (\text{succ}(1) - 1 - 1) * (\text{recf. } \lambda n. \text{if } n = 0 \text{ then } 1 \text{ else } n * f(n - 1)) ((\text{succ}(1) - 1 - 1) - 1))$
Application	$2 * (1) * (\lambda n. \text{if } (((1 + 1) - 1 - 1) = 0 \text{ then } 1 \text{ else } (\text{succ}(1) - 1 - 1) * (\text{recf. } \lambda n. \text{if } n = 0 \text{ then } 1 \text{ else } n * f(n - 1)) ((\text{succ}(1) - 1 - 1) - 1))$

Addition	$2 * (1) * (\lambda n. \text{if } ((2 - 1 - 1) = 0 \text{ then } 1 \text{ else } (\text{succ}(1) - 1 - 1) * (\text{recf. } \lambda n. \text{if } n = 0 \text{ then } 1 \text{ else } n * f(n - 1)) ((\text{succ}(1) - 1 - 1) - 1))$
Subtraction	$2 * (1) * (\lambda n. \text{if } (0 = 0 \text{ then } 1 \text{ else } (\text{succ}(1) - 1 - 1) * (\text{recf. } \lambda n. \text{if } n = 0 \text{ then } 1 \text{ else } n * f(n - 1)) ((\text{succ}(1) - 1 - 1) - 1))$
Comparison	$2 * (1) * (\lambda n. \text{if } (True \text{ then } 1 \text{ else } (\text{succ}(1) - 1 - 1) * (\text{recf. } \lambda n. \text{if } n = 0 \text{ then } 1 \text{ else } n * f(n - 1)) ((\text{succ}(1) - 1 - 1) - 1))$
Conditional	$2 * (1) * (1)$
Multiplication	2

Table 2.2: Semantics of PCF⁺

Name	Rules
Variables	$x \rightarrow x$ if x is a variable
Integer constants	$\underline{n} \rightarrow \underline{n}$ for all integers n
Boolean constants	$\text{True} \rightarrow \text{True}$ and $\text{False} \rightarrow \text{False}$
Integer operations	$\frac{t_1 \rightarrow \underline{n_1} \quad t_2 \rightarrow \underline{n_2}}{t_1 \oplus t_2 \rightarrow \underline{n_1 \oplus n_2}} \oplus \in \{+, *, -\}$
Comparisons	$\frac{t_1 \rightarrow b_1 \quad t_2 \rightarrow b_2}{t_1 \oplus t_2 \rightarrow b} \oplus \in \{\leq, =\} \quad (\text{if } b_1 \oplus b_2 = b)$
Not operation	$\frac{t \rightarrow b}{\neg t \rightarrow b'} \quad (b' \text{ is the logical negation of } b)$
Boolean operations	$\frac{t_1 \rightarrow b_1 \quad t_2 \rightarrow b_2}{t_1 \oplus t_2 \rightarrow b} \oplus \in \{\vee, \wedge\} \quad (\text{if } b_1 \oplus b_2 = b)$
Conditional	$\frac{t_1 \rightarrow \text{True} \quad t_2 \rightarrow n}{\text{if } t_1 \text{ then } t_2 \text{ else } t_3 \rightarrow n} \quad \frac{t_1 \rightarrow \text{False} \quad t_3 \rightarrow n}{\text{if } t_1 \text{ then } t_2 \text{ else } t_3 \rightarrow n}$
Abstraction	$\lambda x.t \rightarrow \lambda x.t$
Recursion	$\frac{t[\text{rec } x.t/x] \rightarrow n}{\text{rec } x.t \rightarrow n}$
Application	$\frac{t_1 \rightarrow \lambda x.t'_1 \quad t'_1[t_2/x] \rightarrow n}{t_1 t_2 \rightarrow n}$

Table 2.3: Detailed Computation Steps for a PCFTerm

PCFTerm	steps
Initial PCFTerm	$(\text{recf. } \lambda n. \text{if } n = 0 \text{ then } 1 \text{ else } n * f(n - 1)) \ 3$
Recursion	$(\lambda n. \text{if } n = 0 \text{ then } 1 \text{ else } n * (\text{recf. } \lambda n. \text{if } n = 0 \text{ then } 1 \text{ else } n * f(n - 1))(n - 1)) \ 3$
Conditional	$\text{if } 3 = 0 \text{ then } 1 \text{ else } 3 * (\text{recf. } \lambda n. \text{if } n = 0 \text{ then } 1 \text{ else } n * f(n - 1))(3 - 1)$
Comparison	$\text{if } False \text{ then } 1 \text{ else } 3 * (\text{recf. } \lambda n. \text{if } n = 0 \text{ then } 1 \text{ else } n * f(n - 1))(3 - 1)$
Conditional	$3 * (\text{recf. } \lambda n. \text{if } n = 0 \text{ then } 1 \text{ else } n * f(n - 1))(3 - 1)$
Recursion	$3 * (\lambda n. \text{if } n = 0 \text{ then } 1 \text{ else } n * (\text{recf. } \lambda n. \text{if } n = 0 \text{ then } 1 \text{ else } n * f(n - 1))(n - 1))(3 - 1)$
Application	$3 * (\text{if } (3 - 1) = 0 \text{ then } 1 \text{ else } (3 - 1) * (\text{recf. } \lambda n. \text{if } n = 0 \text{ then } 1 \text{ else } n * f(n - 1))((3 - 1) - 1))$
Subtraction	$3 * (\text{if } 2 = 0 \text{ then } 1 \text{ else } (3 - 1) * (\text{recf. } \lambda n. \text{if } n = 0 \text{ then } 1 \text{ else } n * f(n - 1))((3 - 1) - 1))$
Comparison	$3 * (\text{if } False \text{ then } 1 \text{ else } (3 - 1) * (\text{recf. } \lambda n. \text{if } n = 0 \text{ then } 1 \text{ else } n * f(n - 1))((3 - 1) - 1))$
Conditional	$3 * ((3 - 1) * (\text{recf. } \lambda n. \text{if } n = 0 \text{ then } 1 \text{ else } n * f(n - 1))((3 - 1) - 1))$
Subtraction	$3 * (2 * (\text{recf. } \lambda n. \text{if } n = 0 \text{ then } 1 \text{ else } n * f(n - 1))((3 - 1) - 1))$
Recursion	$3 * (2 * (\lambda n. \text{if } n = 0 \text{ then } 1 \text{ else } n * (\text{recf. } \lambda n. \text{if } n = 0 \text{ then } 1 \text{ else } n * f(n - 1))(n - 1))((3 - 1) - 1))$
Application	$3 * (2 * (\text{if } ((3 - 1) - 1) = 0 \text{ then } 1 \text{ else } ((3 - 1) - 1) * (\text{recf. } \lambda n. \text{if } n = 0 \text{ then } 1 \text{ else } n * f(n - 1))(((3 - 1) - 1) - 1)))$
Subtraction	$3 * (2 * (\text{if } 1 = 0 \text{ then } 1 \text{ else } 1 * (\text{recf. } \lambda n. \text{if } n = 0 \text{ then } 1 \text{ else } n * f(n - 1))(((3 - 1) - 1) - 1))$
Comparison	$3 * (2 * (\text{if } False \text{ then } 1 \text{ else } 1 * (\text{recf. } \lambda n. \text{if } n = 0 \text{ then } 1 \text{ else } n * f(n - 1))(((3 - 1) - 1) - 1))$
Conditional	$3 * (2 * (1 * (\text{recf. } \lambda n. \text{if } n = 0 \text{ then } 1 \text{ else } n * f(n - 1))(((3 - 1) - 1) - 1))$
Recursion	$3 \times (2 \times (1 \times (\lambda n. \text{if } n = 0 \text{ then } 1 \text{ else } n \times (\text{recf. } \lambda n. \text{if } n = 0 \text{ then } 1 \text{ else } n \times f(n - 1))(((3 - 1) - 1) - 1))))$
Application	$3 \times (2 \times (1 \times (\text{if } (((3 - 1) - 1) - 1) = 0 \text{ then } 1 \text{ else } (((3 - 1) - 1) - 1) \times (\text{recf. } \lambda n. \text{if } n = 0 \text{ then } 1 \text{ else } n \times f(n - 1))(n - 1))))$
Subtraction	$3 \times (2 \times (1 \times (\text{if } 0 = 0 \text{ then } 1 \text{ else } (((3 - 1) - 1) - 1) \times (\text{recf. } \lambda n. \text{if } n = 0 \text{ then } 1 \text{ else } n \times f(n - 1))(n - 1))))$
Comparison	$3 \times (2 \times (1 \times (\text{if } True \text{ then } 1 \text{ else } (((3 - 1) - 1) - 1) \times (\text{recf. } \lambda n. \text{if } n = 0 \text{ then } 1 \text{ else } n \times f(n - 1))(n - 1))))$
Conditional	$3 \times (2 \times (1 \times 1))$
Multiplication	6

Chapter 3

Combinators and Translation

Combinators are higher-order functions that define results through function application alone, simplifying the representation of computations. Combinatory logic, introduced by Moses Schönfinkel and Haskell Curry, eliminates the need for variables in mathematical logic by using combinators. They are particularly beneficial in functional programming languages, where the absence of variables leads to simpler and often more efficient code. In programming computable functions (PCF), combinators play a crucial role by streamlining computations and function definitions. Lambda expressions, core to functional programming languages, enable function definition and application through variable abstraction. These expressions can be systematically translated into combinator expressions using predefined rules (Section 3.2), demonstrating the completeness of the S-K basis in combinatory logic. This means any function expressible in lambda calculus can also be represented using a limited set of primitive combinators. Consequently, all operations in our PCF^+ programs (Section 2.1.3) are translated into combinator programs (Section 3.1.2) as binary trees, whose leaves are one of our combinators discussed in the following sections. This elimination or reduction process of PCF^+ programs, highlights the theoretical robustness and practical simplicity of combinatory logic in representing computable functions, making it a powerful tool in both mathematical logic and computer science.

For the translation of our PCF^+ programs, we have integrated a diverse array of combinators to ensure comprehensive and efficient term conversion that will be discussed in the following section.

3.1 Combinators

Every program in PCF can be translated into its equivalent combinator program (see Section 3.1.2). As discussed in Chapter 2, just as PCF terms are the basic building blocks of

programs, combinator terms similarly form the fundamental building blocks of combinator programs.

Both combinator terms and combinator programs will be discussed in the following section.

3.1.1 Combinator Terms

A combinator term has one of the following forms. The primitive functions mentioned here, are functions that contain no free variables when expressed as lambda terms. Our newly introduced combinators include INTEGER, NTERM, TRUE, FALSE, ADD, SUB, MULT, EQUAL, LEQUAL, NOT, AND, OR, and CONDITIONAL. To translate the recursive terms, we have incorporated the fixed-point combinator, also known as the Y combinator. All combinators can be categorized into two groups: call-by-name and call-by-value evaluation strategies, which define how arguments are handled in the PCF⁺ system. S, K, I and Y uses call-by-name strategies, meaning we simply execute the rule without executing the parameters first. Also, Y can be expressed in terms of SKI but we use it explicitly for efficiency reasons. On the contrary, the other rules as mentioned above uses call-by-value method, meaning we have to execute the parameters first before we can apply the rule. This is different from SKI and Y where we execute the parameters first other than parameters.

Table 3.1: Combinatory Term Forms

Syntax	Name	Description
x	Variable	A character or string representing a combinatory term.
P	Primitive function	One of the combinator symbols I, K, S.
(M N)	Application	Applying a function to an argument. M and N are combinatory terms.

The behavior of each combinator is defined as follows:-

call-by-name rules

Informally, in programming language terms, a tree $(t_1 t_2)$ can be viewed as a function t_1 applied to an argument t_2 . When evaluated, the function is applied to the argument, and the tree transforms into another tree, effectively ‘returning a value.’ The ‘function,’ ‘argument,’ and ‘value’ are either combinators or binary trees, which can also be considered functions if needed.

- **I Term:**

$$It \rightarrow t$$

Returns its argument.

- **K Term:**

$$Kt_1t_2 \rightarrow t_1$$

When K is applied to an argument t_1 , it produces a one-argument constant function Kt_1 , which, when applied to any argument t_2 , returns t_1 .

- **S Term:**

$$St_1t_2t_3 \rightarrow t_1t_3(t_2t_3)$$

S is a substitution operator that takes three arguments. It applies the first argument to the third which is then applied to the result of the second argument applied to the third.

- **Y Term:**

$$Y t = t (Y t)$$

The Y combinator (or fixpoint combinator) is a higher-order function that, when given a function t , returns a fixed point of that function. In other words, applying t to $Y t$ results in $Y t$ itself. Formally, if Y is a fixed-point combinator and t is a function with one or more fixed points, then $Y t$ is one of these fixed points. We have used Y combinators for the translation of our PCF^+ recursive terms.

call-by-value rules

As mentioned above, we are executing the parameter first before applying the rule.

- **Integer Term:**

$$\underline{n} \rightarrow \underline{n} \quad \text{for all integers } n$$

- **Named Term:**

$$\text{NamedTerm} \rightarrow \text{NTERM}$$

- **TRUE Term:**

$$\text{True} \rightarrow \text{TRUE}$$

- **FALSE Term:**

$$\text{False} \rightarrow \text{FALSE}$$

- **ADD Term:**

$$\frac{t_1 \rightarrow \underline{n_1} \quad t_2 \rightarrow \underline{n_2}}{\text{ADD } t_1 t_2 \rightarrow \underline{n_1 + n_2}}$$

- **SUB Term:**

$$\frac{t_1 \rightarrow \underline{n_1} \quad t_2 \rightarrow \underline{n_2}}{\text{SUB } t_1 t_2 \rightarrow \underline{n_1 - n_2}}$$

- **MULT Term:**

$$\frac{t_1 \rightarrow \underline{n_1} \quad t_2 \rightarrow \underline{n_2}}{\text{MULT } t_1 t_2 \rightarrow \underline{n_1 * n_2}}$$

- **EQUAL Term**

$$\frac{t_1 \rightarrow b_1 \quad t_2 \rightarrow b_2}{\text{EQUAL } t_1 t_2 \rightarrow b} \quad (\text{if } (b_1 = b_2) = b)$$

- **LEQUAL Term**

$$\frac{t_1 \rightarrow b_1 \quad t_2 \rightarrow b_2}{\text{LEQUAL } t_1 t_2 \rightarrow b} \quad (\text{if } (b_1 = b_2) = b)$$

- **NOT Term:**

$$\frac{t \rightarrow b}{\text{NOT } t \rightarrow b'} \quad (b' \text{ is the logical negation of } b)$$

- **AND Term**

$$\frac{t_1 \rightarrow b_1 \quad t_2 \rightarrow b_2}{\text{AND } t_1 t_2 \rightarrow b} \quad (\text{if } b_1 \wedge b_2 = b)$$

- **OR Term**

$$\frac{t_1 \rightarrow b_1 \quad t_2 \rightarrow b_2}{\text{OR } t_1 t_2 \rightarrow b} \quad (\text{if } b_1 \vee b_2 = b)$$

• **CONDITIONAL Term**

$$\frac{t_1 \rightarrow \text{True} \quad t_2 \rightarrow n}{\text{IF } t_1 t_2 t_3 \rightarrow n} \quad \frac{t_1 \rightarrow \text{False} \quad t_3 \rightarrow n}{\text{IF } t_1 t_2 t_3 \rightarrow n}$$

3.1.2 Combinator programs

A combinator program is essentially a translated version of a PCF program. For instance, the combinator version of the program shown in Equation 2.1 is provided below. As illustrated in Equation 3.1, for every declaration (see Section 2.1.3), PCF terms are translated into their equivalent combinator terms, following the specified translation rules (see Section 3.2).

$$\begin{aligned} \text{fact} &= Y (S (K (S (S (S (K \text{IF}) (S (S (K \text{EQUAL}) I) (K 0))) (K 1)))) \\ &\quad (S (K (S (S (K \text{MULT}) I))) (S (S (K S) (S (K K) I)) (K (S (S (K \text{SUB}) I) (K 1)))))) \\ \text{succ} &= S (S (K \text{ADD}) I) (K 1) \\ \text{main} &= \text{fact} (\text{succ } 4) \end{aligned} \tag{3.1}$$

3.2 Translation

With the combination of different combinators discussed in 3.1.1, we can produce combinators that are extensionally equivalent to any PCF^+ terms and, according to Church's thesis, to any computable function. This is shown through a translation, $T[\]$, which converts any lambda term into an equivalent combinator. Translation rules, $T[\]$ can be defined as following:-

1. $T[x] \Rightarrow x$
2. $T[(t_1 t_2)] \Rightarrow (T[t_1] T[t_2])$
3. $T[\lambda x. t] \Rightarrow (K T[t]) \quad (\text{if } x \text{ does not occur free in } t)$
4. $T[\lambda x. x] \Rightarrow I$

5. $T[\lambda x. \lambda y. t] \Rightarrow T[\lambda x. T[\lambda y. t]]$ (if x occurs free in t)
6. $T[\lambda x. (t_1 t_2)] \Rightarrow (S T[\lambda x. t_1] T[\lambda x. t_2])$ (if x occurs free in t_1 or t_2)

Please note that the translation rules listed above are not a well-typed mathematical function but rather a term rewriter. Although it eventually yields a combinator, the transformation may generate intermediate expressions that are neither PCF^+ terms nor combinators, particularly via rule (5). To resolve this issue, we convert each PCF^+ term to intermediate terms before translating them into combinator terms. The intermediate rules are defined as follows:

1. $T[\text{NamedTerm}] \Rightarrow \text{NTERM}$
2. $T[\text{TRUE}] \Rightarrow \text{TRUE}$
3. $T[\text{FALSE}] \Rightarrow \text{FALSE}$
4. $T[n] \Rightarrow n$ (for any integer combinator, n)
5. $T[t_1 + t_2] \Rightarrow \text{ADD } [t_1] [t_2]$
6. $T[t_1 - t_2] \Rightarrow \text{SUB } [t_1] [t_2]$
7. $T[t_1 * t_2] \Rightarrow \text{MULT } [t_1] [t_2]$
8. $T[t_1 = t_2] \Rightarrow \text{EQUAL } [t_1] [t_2]$
9. $T[[t_1] \leq [t_2]] \Rightarrow \text{LEQUAL } [t_1] [t_2]$
10. $T[\text{not } [t]] \Rightarrow \text{NOT } [t]$
11. $T[[t_1] \text{ and } [t_2]] \Rightarrow \text{AND } [t_1] [t_2]$
12. $T[[t_1] \text{ or } [t_2]] \Rightarrow \text{OR } [t_1] [t_2]$
13. $T[\text{IF } [t_1] \text{ THEN } [t_2] \text{ ELSE } [t_3]] \Rightarrow \text{IF } [t_1] [t_2] [t_3]$
14. $T[\text{rec } x. t] \Rightarrow (Y T[\lambda x. t])$

3.2.1 Example

From the following example, we can prove that the original reduction of a PCFTerm and the reduction after translating into combinators give the same result.

PCF⁺ Program: $(\lambda n. \text{if } n = 0 \text{ then } 1 \text{ else } 2) \ 1$

Intermediate Term: $(\lambda n. \text{IF (EQUAL } n \ 0) \ 1 \ 2) \ 1$

Now translating this gives:

$$\begin{aligned}
&= T[\lambda n. \text{IF (EQUAL } n \ 0) \ 1 \ 2] \ T[1] \quad (\text{rule 2}) \\
&= ST[\lambda n. \text{IF (EQUAL } n \ 0) \ 1] \ T[\lambda n. 2] \ 1 \quad (\text{rule 6}) \\
&= S(ST[\lambda n. \text{IF (EQUAL } n \ 0)] \ T[\lambda n. 1]) \ (K2) \ 1 \quad (\text{rule 6,3}) \\
&= S(S(ST[\lambda n. \text{IF}] \ T[\lambda n. \text{EQUAL } n \ 0]) \ (K1)) \ (K2) \ 1 \quad (\text{rule 6,3}) \\
&= S(S(S(K \text{ IF}) \ (ST[\lambda n. \text{EQUAL } n] \ T[\lambda n. 0])) \ (K1)) \ (K2) \ 1 \quad (\text{rule 3,6}) \\
&= S(S(S(K \text{ IF}) \ (S(ST[\lambda n. \text{EQUAL}] \ T[\lambda n. n]) \ (K0))) \ (K1)) \ (K2) \ 1 \quad (\text{rule 6,3}) \\
&= S(S(S(K \text{ IF}) \ (S(S(K \text{ EQUAL}) \ I) \ (K0))) \ (K1)) \ (K2) \ 1 \quad (\text{rule 3})
\end{aligned}$$

If we reduce this combinator term, we indeed get the correct result:

$$\begin{aligned}
&\rightarrow S(S(S(K \text{ IF}) \ (S(S(K \text{ EQUAL}) \ I) \ (K0))) \ (K1)) \ (K2) \ 1 \\
&\rightarrow S(S(K \text{ IF}) \ (S(S(K \text{ EQUAL}) \ I) \ (K0))) \ (K1) \ 1 \ (K2 \ 1) \quad (\text{outermost S}) \\
&\rightarrow S(K \text{ IF}) \ (S(S(K \text{ EQUAL}) \ I) \ (K0)) \ 1 \ (K1 \ 1) \ (K2 \ 1) \quad (\text{outermost S}) \\
&\rightarrow K \text{ IF } 1 \ (S(S(K \text{ EQUAL}) \ I) \ (K0) \ 1) \ (K1 \ 1) \ (K2 \ 1) \quad (\text{outermost S}) \\
&\rightarrow \text{IF } (S(S(K \text{ EQUAL}) \ I) \ (K0) \ 1) \ (K1 \ 1) \ (K2 \ 1) \quad (\text{outermost K}) \\
&\rightarrow \text{IF } (S(K \text{ EQUAL}) \ I \ 1 \ (K0 \ 1)) \ (K1 \ 1) \ (K2 \ 1) \quad (\text{outermost S in condition of IF}) \\
&\rightarrow \text{IF } (K \text{ EQUAL } 1 \ (I \ 1) \ (K0 \ 1)) \ (K1 \ 1) \ (K2 \ 1) \quad (\text{outermost S in condition of IF}) \\
&\rightarrow \text{IF } (\text{EQUAL } (I \ 1) \ (K0 \ 1)) \ (K1 \ 1) \ (K2 \ 1) \quad (\text{outermost K in condition of IF}) \\
&\rightarrow \text{IF } (\text{EQUAL } 1 \ (K0 \ 1)) \ (K1 \ 1) \ (K2 \ 1) \quad (\text{I in first parameter of EQUAL}) \\
&\rightarrow \text{IF } (\text{EQUAL } 1 \ 0) \ (K1 \ 1) \ (K2 \ 1) \quad (\text{K in second parameter of EQUAL}) \\
&\rightarrow \text{IF } \text{FALSE} \ (K1 \ 1) \ (K2 \ 1) \quad (\text{EQUAL}) \\
&\rightarrow K \ 2 \ 1 \quad (\text{IF}) \\
&\rightarrow 2 \quad (\text{K})
\end{aligned}$$

Original Reduction:

- $\rightarrow (\lambda n. \text{if } n = 0 \text{ then } 1 \text{ else } 2) \ 1$ (Application)
- $\rightarrow \text{if } 1 = 0 \text{ then } 1 \text{ else } 2$ (Substitution due to left part being an Abstraction term)
- $\rightarrow 1 = 0$ (If part of the conditional term is an Equal term)
- $\rightarrow 2$ (Else term)

As we can see above, during the reduction from the original PCF^+ term, initially, it is an application. In the first step, we evaluate the left part, which is an abstraction term. According to the rules, we perform substitution into the abstraction term with the right part of the application. After the substitution, the resulting term is a conditional term. Next, we evaluate the conditional term starting with the `IF` part. The `IF` condition, an `Equal` term $((1 = 0))$, is evaluated, and since `Equal` returns `False`, the `Else` part is executed, resulting in the value 2. This final result, 2, matches exactly with the result obtained from the combinator translation after reduction.

Chapter 4

An Abstract Machine

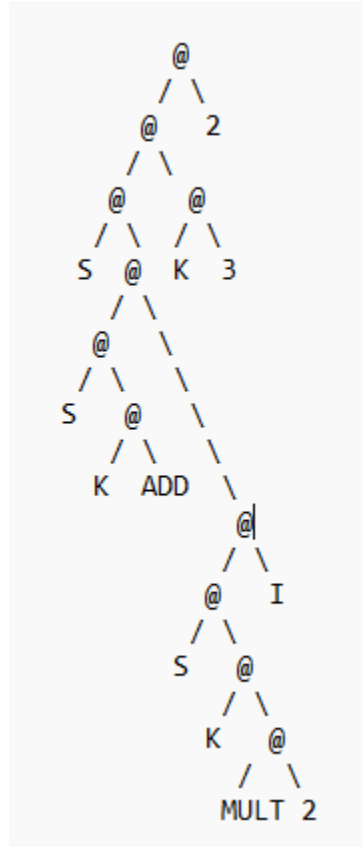
Our combinator terms, a translated version of a program, can also be visualized as trees (as shown in Figure 4.1) and must be encoded in binary or byte format to be interpretable by machines. This binary encoding allows the combinator terms to be efficiently stored and processed within a computer's memory and execution systems. An Abstract machine basically executes our programs. It has mainly two components i.e the heap (Section 4.1) and the stack (Section 4.2). Programs are stored in the heap and the stack facilitates the manipulation of various operations during the program execution.

In this chapter, we will explore the techniques for storing programs in the memory and the implementation of an abstract machine to execute these programs. We have developed two implementation of this abstract machine: one in Java, which operates on a byte array using a push-back stack mechanism, and another in x86 machine code, where the original program is stored under a specific label, 'prog'. The entire process is divided into two key steps: storage and program execution (i.e., using Java and x86 machine code).

4.1 Storage (heap)

Similar to a machine language, where every instruction has an operational code (op code), we need to define op codes for all of our combinators, as listed in table 3.1.1. Some combinators will have parameters, akin to regular machine instructions. For instance, the LOAD instruction includes two parameters: the op code, and an additional parameter specifying where to store the value.

Among our combinators, four will have parameters: Application, NamedTerm, Integer, and Boolean Constants. For the Application combinator, it actually has two parameters, but we only need to list one parameter, which is the address of the right subtree. We do not need the address of the left subtree, as it is simply the subsequent entry in the storage.

Figure 4.1: Graph representation of $(S(S(K \text{ ADD})(S(K(MULT \ 2))I))(K \ 3)2$

•

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	
128	9				118				128	18				103				128	27				28				131	128		
30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49	50	51	52	53	54	55	56	57	58	59	
37		58				128		46				47				131		128	56				57				130		64	128
60	61	62	63	64	65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80	81	82	83	84	85	86	87	88	89	
67		102				128		76				77				131		128	86				87				130		128	
90	91	92	93	94	95	96	97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112	113	114	115	116	117	118	119	
96		97				69		1	2				7	128	112				113				130	1	3			1		
120	121	122																												
2																														

Figure 4.2: Storage for the program $[main :: Int = (\lambda n. 2 \cdot n + 3) \ 2]$

Table 4.1: Op Code for the Combinators

Combinator	OpCode	Comment
BOOL	0	empty, left for future constants
INT	1	
	2-63	
ADD	64	empty, left for future operations
AND	65	
COND	66	
EQ	67	
LEQ	68	
MUL	69	
NOT	70	
OR	71	
SUB	72	
	73-127	
APP	128	empty, left for future instructions
I	129	
K	130	
S	131	
Y	132	
JMP	133	
	134-255	

Both the NamedTerm and the Integer term use one parameter to store the NamedTerm and the integer value respectively. Boolean terms (True, False) use one byte as a parameter, either 0 or 1, to indicate True or False.

Please note, the JMP instruction is incorporated for NamedTerms, allowing for scenarios where multiple PCFTerms declared in a program can call each other. For example, in equation 2.1, the declaration of 'main' includes two NamedTerms: 'succ' and 'fact'. In this scenario, the JMP instruction translates to a jump to the memory address where the NamedTerm is stored.

All combinators with their respective op codes are listed in Table 4.1. Using these op codes, we can construct the storage for a specific combinator term.

For storing the combinators in the array of bytes or in the storage, we have used several methods that are discussed below in the subsequent subsections.

4.1.1 Accessing Memory Data

The following methods facilitate easy access to retrieving and storing data, as well as the allocation of new space in memory.

getByte(int addr): Given a memory address, this method returns the value stored at that address.

storeByte(int addr, byte value): This method sets the specified value at the given address in memory.

getInt(int addr): An integer consists of 4 bytes. Given the address of the first byte of the integer value, we retrieve all four bytes and then use `ByteBuffer` to wrap them into the original integer value.

storeInt(int addr, int value): This method is just the reverse process of retrieving an integer. It converts the given integer value into an array of bytes using `ByteBuffer` and then stores these bytes at the specified address in memory.

allocate(int numBytes): This method creates new spaces in memory equal to the number of `numBytes`.

4.1.2 Storing Combinators

We will now explore the process of storing each combinator in memory using the opCodes listed in Table 4.1 and the memory access methods discussed in Section 4.1.1.

BOOL: Use the `allocate` method to create 2 bytes in memory. Store the opCode 0 in the first byte, and either 0 or 1 in the second byte to represent `TRUE` or `FALSE`, respectively.

INT: Use the `allocate` method to create 5 bytes in memory. Store the opCode 1 in the first byte, and the integer in the next four byte using the `storeInt()` method in the memory.

APP: Use the `allocate` method to create 9 bytes in memory. Store the opCode 128 in the first byte. Using `storeInt()` method, set the address of the left subtree in bytes 5 through 8 by retrieving the value from position `addr + 9` in the storage. The address of the right subtree is set in bytes 1 through 4 once the traversal of the right subtree is completed.

NTERM: Use the `allocate` method to create 5 bytes in memory. Store the opCode 133 in the first byte, and the address of the `NTerm` in the next four byte using the `storeInt()` method in the memory.

For the remaining combinators—`ADD`, `AND`, `COND`, `EQ`, `LEQ`, `MUL`, `NOT`, `OR`, `SUB`, `I`, `K`, `S`, and `Y`—we allocate only 1 byte in memory to store the corresponding op-

Code, as listed in the opCode table 4.1.

4.1.3 Storing $[\text{main} :: \text{Int} = (\lambda n. 2 \cdot n + 3) 2]$

We will now examine how the combinator program $[\text{main} :: \text{Int} = (\lambda n. 2 \cdot n + 3) 2]$ is stored in memory, as detailed in figure 4.2, utilizing the op codes listed in Table 4.1. This program can also be represented graphically, as illustrated in Figure 4.1, which aids in understanding the process of storage creation. Respective figures and tables for the storage as discussed above are listed in 4.1, 4.2 and 4.1.

Program: $[\text{main} :: \text{Int} = (\lambda n. 2 \cdot n + 3) 2]$

Combinator program: $S(S(K \text{ ADD})(S(K(MULT 2))I))(K 3)2$

In Figure 4.1, the @ symbol indicates an Application, which has both left and right parameters, as discussed. Starting at the root, we encounter an application, so 128, the opcode value for Application as shown in Table 4.1, is inserted into memory. At the same time, 8 bytes are allocated in memory for the left and right parameters respectively. The first four bytes (1-4) store the address of the left subtree, which is again an application, and the remaining bytes (5-8) will store the address of the right subtree once its traversal is completed.

During storage creation, we traverse the graph in Depth-First Search (DFS) mode, meaning the right subtree will only be traversed after completing all left subtree traversals. Returning to our example, for the right subtree, an INT combinator 2, space is allocated only after it is traversed. As seen in Figure 4.2, 2 is traversed last (after all left traversals are completed) and then allocated 5 bytes (118-122), with 1 byte for the opcode and 4 bytes for storing the integer constant. Let's also examine the first S encountered when traversing the graph in Figure 4.1, with the space allocation starting at the 27th position in memory. At this point, as there are no further left subtrees to traverse, we immediately start traversing the right subtree, which is again an application. Space allocation for this begins at the 28th position in storage. Thus, we traverse, allocate, and update the space, resulting in the final storage as shown in Table 4.2.

4.2 Program Execution in Java

During the execution of the program, an address stack is introduced on top of the storage (see Figure 4.3). This address stack has a specified capacity, ensuring efficient management of addresses during execution. To facilitate this, we have implemented several methods

for manipulating the address stack, along with two pointers, `stackTop` and `backUpTop`, to enable a push-back stack mechanism.

The address stack, in conjunction with the opCodes as listed in Table 4.1 and the byte array of storage shown in the Figure 4.2, allows for efficient execution and management of the program. This mechanism ensures that the program can correctly store and retrieve addresses, handle operations, and maintain the state of the computation effectively. The detailed techniques and methods used to implement this functionality are discussed in the following sections.

4.2.1 Address Stack

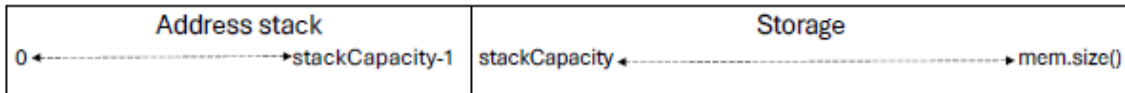


Figure 4.3: Storage during execution

We have implemented the address stack within the same memory where the program is stored to mirror the behavior of machine code environments such as WebAssembly. To achieve this, we allocated space at the top of the memory, sized according to the stack capacity. This means that the stack capacity defines the total capacity of the address stack.

To manage the address stack efficiently, we introduced two pointers: `stackTop` and `backUpTop`. These pointers indicate the current top positions of the address stack and the backup stack, respectively. Initially, `stackTop` is set to -4, and `backUpTop` is set to the stack capacity.

The address stack begins at address 0 and grows upwards as items are added, while the backup stack starts at the stack capacity and grows downwards. This configuration ensures that the address stack and backup stack can coexist within the allocated memory space without interfering with each other.

4.2.2 Execution procedures and steps

We have completed the process of creating storage for combinators. Once the storage is established, various manipulations are performed on the address stack and the array of bytes during execution. These manipulations ultimately produce the final output of the program. The mechanisms and techniques involved, along with the methods used, are detailed below:

Stack methods

push: Increases the `stackTop` by 4 and sets the value at this position using the `storeInt` method as discussed in the section 4.1.1.

pop: Retrieves the value at the `stackTop` position from the memory and then decreases the `stackTop` by 4.

peek: Retrieves the value at the `stackTop` position in the memory.

peekSecond: Retrieves the value at `stackTop - 4` in the memory.

peekThird: Retrieves the value at `stackTop - 8` in the memory.

backUp: Decreases `backUpTop` by 4, stores the value at the `stackTop` position in memory to the `backUpTop` position, and then decreases `stackTop` by 4.

restore: Reverses the `backUp` procedure. Increases `stackTop` by 4, stores the value at the `backUpTop` position in memory to the `stackTop` position, and then increases `backUpTop` by 4.

stackSize: Returns the stack size. Only if `backUpTop` equals `stackCapacity` and `stackTop` equals 0 at the same time, the stack size is 0.

To sum up, the allocated memory and the two pointers, *stackTop* and *backUpTop* are used to implement the above methods. Now, we will examine in detail how the allocated memory is manipulated and how the address stack is modified for each combinator. The subsequent section outlines the entire mechanism, providing a clear understanding of the steps involved in the process:

Manipulation

Starting at position 0 of the byte array, we check the `opCode` value. Based in the value, we perform the following operations:-

Case 0: BOOL

When the `OpCode` is 0, the algorithm checks if the stack size is 1. If true, it sets `running` to `false`. Otherwise, it loops, restoring the state and updating `addr` if the byte at `addr` is 0 or 1.

Case 1: INT

For `OpCode` 1, the process is similar to Case 0. The stack size is checked, and if it is 1, `running` is set to `false`. If not, the algorithm loops, restoring and updating `addr` while the byte at `addr` is 0 or 1.

Case 64: ADD

- Check the values at `peekSecond()` and `peekThird()`.
- If both values are equal to 1:
 - Pop the top value from the stack.
 - Retrieve two integers from the stack using `getInt(pop() + 1)`
 - Compute the addition of these two integers.
 - Allocate a new memory location for the result.
 - Store the result in the allocated memory.
 - Push the address of the allocated memory back onto the stack.
- If both of the initial conditions is not met:
 - Execute `backUp()` twice to revert the stack to its previous state.
- If either of the initial conditions is not met:
 - Execute `backUp()` once to revert the stack to its previous state.

Case 66: COND

- Check the value at `peekSecond()`.
- If the value is 0:
 - Pop the top value from the stack.
 - Retrieve `pop() + 1` value from the stack.
 - If the value is 1:
 - * perform `backUp()`, `pop()` and `restore()` operations respectively
 - If the value is not 1:
 - * Simply pop the top value from the stack.
- If the value at `peekSecond()` is not 0:
 - perform a `backUp()` operation.

Case 70: NOT

For OpCode 70, the algorithm simply performs a `backUp` operation.

Case 128: Application

For OpCode 128 (Application), first, it performs pop operation in the stack. Then, new values derived from the memory address at $\text{addr} + 5$ and $\text{addr} + 1$ are pushed into memory respectively.

Case 129: I

For OpCode 129, the algorithm simply performs a `pop()` operation.

Case 130: K

For OpCode 130, the algorithm performs sequentially `pop()`, `backUp()`, `pop()`, `restore()` operations.

Case 131: S

- Pop the top value from the stack, which is the combinator `S`.
- Retrieve the values of `x`, `y`, and `z`:
 - `x` is obtained from the current `stackTop`.
 - `y` is obtained from `peekSecond()`.
 - `z` is obtained from `peekThird()`.
- Allocate 9 bytes of memory to store the new address:
 - Store the `opCode` for the application (byte 128) at the beginning of the allocated space.
 - Store `y` at offset 1 from the start of the allocated space.
 - Store `z` at offset 5 from the start of the allocated space.
- Perform the following operations:
 - Back up `x` to preserve its value.
 - Pop `y` from the stack.
 - Back up `z` to preserve its value.
 - Push the newly allocated address (containing the application of `y` and `z`) onto the stack.
 - perform `restore()` to restore 'z'
 - perform `restore()` to restore 'x'

Case 132: Y

- Pop the top value, i.e. 'Y' from the stack (the 'Y' combinator).

- Retrieve the value, i.e ‘x’ of memory from the stackTop position.
- Allocate 9 bytes in memory and store:
 - The opCode ‘128’ at the start.
 - The address ‘Y’ at offset 1.
 - The value ‘x’ at offset 5.
- Perform `backUP()` operation, push the new address onto stack and then perform `restore` operation.

Case 133: JMP

- Pop the top value, i.e. ‘JMP’ from the stack (the ‘NTERM’ combinator).
- push the new address onto stack.

please note, for the remaining opCodes i.e., 72 (SUB), 69 (MULT), 67 (EQ), 68 (LEQ), 65 (AND), 71 (OR), the steps are similar to the case 64 (ADD) as listed above except the respective operation for each combinator i.e for (69) MULT, steps are all the same except we perform multiplication of two integers.

Finally, two other methods are implemented for reading the result from the address stack and to identify whether the result is a constant or not. These methods are detailed below:

opCodeIsConst(byte b): This method determines whether the OpCode `b` represents a constant. OpCodes for constants are in the range 0–63. To check this, the method evaluates `(b & 0b11000000) == 0`, which verifies that the two highest bits of the byte are 0.

readResult(): this method just invoke the `opCodeIsConst` methods. Depending on the output, it returns either an Integer or a boolean constant as the expected result.

4.3 Program Execution in x86 machine code

We have translated our program into assembler code by writing an assembler program, as shown in Appendix A.2. This assembler implementation mirrors the behavior of our Java implementation of the abstract machine (see Appendix A.1). In essence, the assembler template (see Appendix A.2) is functionally equivalent to the abstract machine in Java (see Appendix A.1), but written in assembler.

Figure 4.5 illustrates the text files and packages used during the assembler code implementation of our programs. The process can be divided into several key steps:

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29										
128	9				118				128	18				103				128				27				28				131		128							
30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49	50	51	52	53	54	55	56	57	58	59										
37				58				128	46				47				131				128	56				57				130		64	128						
60	61	62	63	64	65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80	81	82	83	84	85	86	87	88	89										
67				102				128	76				77				131				128	86				87				130		128							
90	91	92	93	94	95	96	97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112	113	114	115	116	117	118	119										
96				97				69				1	2				7				128	112				113				130		1	3		1				
120	121	122	123	124	125	126	127	128	129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144	145	146	147	148	149										
2			128			103			118			128	58			118			128			102			118														
										Allocated in step 3										Allocated in step 5										Allocated in step 13									
150	151	152	153	154	155	156	157	158	159																														
1	4				1				7																														
Allocated in step 21						Allocated in step 28																																	

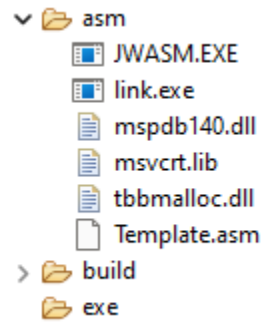
Figure 4.4: Final storage after execution for the program $[\text{main} :: \text{Int} = (\lambda n. 2 \cdot n + 3) 2]$ 

Figure 4.5: Necessary files and packages for the Assembler

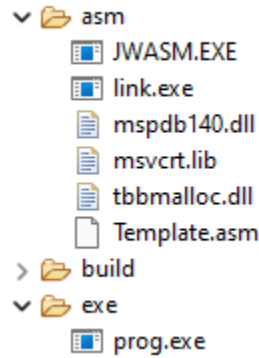


Figure 4.6: Executable version generation after the compilation

1. First, we created the assembler template (Section 4.3.1).
2. We translated the combinator term into an assembler program.
3. Specific content within the template (marked with hashtags) was replaced with concrete values.

4. The modified template was saved as a new file (i.e., *prog.asm*).
5. *JWasm.exe* (Figure 4.5) took the *prog.asm* file and produced a binary version, *prog.obj*, of the assembler code.
6. *Link.exe* then created an executable (*prog.exe*)(see figure 4.6) from the binary file. The necessary libraries (files with *.dll* and *.lib* extensions, as shown in Figure 4.5) were required for the linker. External functions, such as program termination and *printf*, are defined within these libraries.

Further details on the assembler template and the generation of the assembler program are discussed in the following sections.

4.3.1 Assembler Template

As discussed above, this template mirrors the behavior of the Java implementation of the abstract machine. For better understanding, we can compare both the implementation in Appendix (see A.1 and A.2). Similar to Java, the template contains shorthand definitions for operations like *BOOL*, *INTC*, *ADDI*, etc. Following this, there are declarations of external functions that need to be called, such as *print* and *exit*. These external functions are defined in the *dll* library (see Figure 4.5).

Additionally, the template defines several messages that might be printed during execution, such as "unexpected error," "overflow," "out of heap," etc. It also defines assembler variables like *stackCapacity* and *heapCapacity*, with tags at the beginning and end (e.g., *#stackCapacity#*). These tags indicate that these variables will be replaced by concrete values, which is why it is referred to as a template. Another tag, *#program#*, will be replaced by the equivalent assembler program (see Figure 4.7) of the combinator.

Once the translation is complete, the linker (*Link.exe*) combines this with the necessary *dll* files to produce the final executable.

4.3.2 Assembler Program Generation

The assembler program is essentially an equivalent implementation of the memory structure of the abstract machine in Java, as illustrated in Figure 4.7. For instance, Figure 4.2 shows the memory of the abstract machine, which begins with the value 128, followed by a 4-byte address, 9. Similarly, as demonstrated in Figure 4.7, the first line of the assembler code starts with *prog byte 128*, and the second line reads *dword prog + 9*, where *dword*

denotes 4 bytes. This signifies that the next address is calculated from the label *prog*, offset by 9. The following instruction points to the address at *prog* + 118, and this pattern continues throughout the memory.

```

prog byte 128
      dword prog+9
      dword prog+118
      byte 128
      dword prog+18
      dword prog+103
      byte 128
      dword prog+27
      dword prog+28
      byte 131
      byte 128
      dword prog+37
      dword prog+58
      byte 128
      dword prog+46
      dword prog+47
      byte 131
      byte 128
      dword prog+56
      dword prog+57
      byte 130
      byte 64
      byte 128
      dword prog+67
      dword prog+102
      byte 128
      dword prog+76
      dword prog+77
      byte 131
      byte 128
      dword prog+86
      dword prog+87
      byte 130
      byte 128
      dword prog+96
      dword prog+97
      byte 69
      byte 1
      dword 2
      byte 129
      byte 128
      dword prog+112
      dword prog+113
      byte 130
      byte 1
      dword 3
      byte 1
      dword 2

```

Figure 4.7: Assembler program for $(\lambda n. 2 \cdot n + 3) 2$

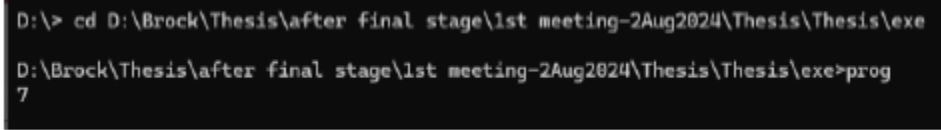
4.3.3 Compiling $[\text{main} :: \text{Int} = (\lambda n. 2 \cdot n + 3) 2]$

The process of generating the assembler version of a specific program involves several key steps. First, we create a template and modify it with concrete values and the assembler

program (see figure 4.7) to form an updated version of the assembler template that incorporates our combinator term in assembler form. Using this updated template, along with an assembler, linker, and the necessary libraries (see figure 4.5), we then generate both the binary and executable versions of the program. The final executable file can be run externally to observe the output, as demonstrated in figure 4.8.

This process illustrates how any program can be translated into assembler code, and after processing the assembler file multiple times, we obtain an executable version that can be run from an external environment, such as the command prompt, to view the result. We refer to this entire procedure as "compilation."

As shown in figure 4.5, initially, there was no executable file in the "exe" folder. However, after the compilation process, we can see that the executable file is generated and available in the same folder (see Figure 4.6).



```
D:\> cd D:\Brock\Thesis\after final stage\1st meeting-2Aug2024\Thesis\Thesis\exe
D:\Brock\Thesis\after final stage\1st meeting-2Aug2024\Thesis\Thesis\exe>prog
7
```

Figure 4.8: Result after running the executable version of $(\lambda n. 2 \cdot n + 3) 2$

4.4 Executing Program with Multiple Decalartions

To execute a program containing multiple decalartions (Equation 2.1) with its combinator form (Equation 3.1), we need to follow the steps outlined below:-

1. Program:

```
1 fact :: Int      Int = recf.  n . if n = 0 then 1 else n      f(
    n - 1);
2 succ :: Int      Int =  n . n + 1;
3 main :: Int = fact(succ 4);
```

2. Combinator Program:

```
1 fact = Y (S (K (S (S (S (K IF) (S (S (K EQUAL) I) (K 0))) (K
    1))))))
2      (S (K (S (S (K MULT) I)))
3      (S (S (K S) (S (K K) I))
4      (K (S (S (K SUB) I) (K 1))))))
```

```

5 succ = S (S (K ADD) I) (K 1)
6 main = fact (succ 4)

```

3. Storing:

```

1 [128, 0, 0, 0, 9, 0, 0, 0, 10, 132, 128,
2 0, 0, 0, 19, 0, 0, 0, 178, 128, 0, 0, 0, 28, 0, 0, 0, 29,
   131, 128, 0, 0, 0, 38,
3 0, 0, 0, 39, 130, 128, 0, 0, 0, 48, 0, 0, 0, 49, 131, 128,
4 0, 0, 0, 58, 0, 0, 0, 163, 128, 0, 0, 0, 67, 0, 0, 0, 68,
5 131, 128, 0, 0, 0, 77, 0, 0, 0, 98, 128, 0, 0, 0, 86, 0, 0,
6 0, 87, 131, 128, 0, 0, 0, 96, 0, 0, 0, 97, 130, 66, 128, 0,
7 0, 0, 107, 0, 0, 0, 148, 128, 0, 0, 0, 116, 0, 0, 0, 117,
8 131, 128, 0, 0, 0, 126, 0, 0, 0, 147, 128, 0, 0, 0, 135, 0,
9 0, 0, 136, 131, 128, 0, 0, 0, 145, 0, 0, 0, 146, 130, 67,
10 129, 128, 0, 0, 0, 157, 0, 0, 0, 158, 130, 1, 0, 0, 0, 0,
11 128, 0, 0, 0, 172, 0, 0, 0, 173, 130, 1, 0, 0, 0, 1, 128,
12 0, 0, 0, 187, 0, 0, 0, 248, 128, 0, 0, 0, 196, 0, 0, 0,
13 197, 131, 128, 0, 0, 0, 206, 0, 0, 0, 207, 130, 128, 0, 0,
14 0, 216, 0, 0, 0, 217, 131, 128, 0, 0, 0, 226, 0, 0, 0, 247,
15 128, 0, 0, 0, 235, 0, 0, 0, 236, 131, 128, 0, 0, 0, 245,
16 0, 0, 0, 246, 130, 69, 129, 128, 0, 0, 1, 1, 0, 0, 1, 72,
17 128, 0, 0, 1, 10, 0, 0, 1, 11, 131, 128, 0, 0, 1, 20, 0,
18 0, 1, 41, 128, 0, 0, 1, 29, 0, 0, 1, 30, 131, 128, 0, 0,
19 1, 39, 0, 0, 1, 40, 130, 131, 128, 0, 0, 1, 50, 0, 0, 1,
20 71, 128, 0, 0, 1, 59, 0, 0, 1, 60, 131, 128, 0, 0, 1, 69,
21 0, 0, 1, 70, 130, 130, 129, 128, 0, 0, 1, 81, 0, 0, 1, 82,
22 130, 128, 0, 0, 1, 91, 0, 0, 1, 132, 128, 0, 0, 1, 100,
23 0, 0, 1, 101, 131, 128, 0, 0, 1, 110, 0, 0, 1, 131, 128,
24 0, 0, 1, 119, 0, 0, 1, 120, 131, 128, 0, 0, 1, 129, 0,
25 0, 1, 130, 130, 72, 129, 128, 0, 0, 1, 141, 0, 0, 1, 142,
26 130, 1, 0, 0, 0, 1, 128, 0, 0, 1, 156, 0, 0, 1, 197,
27 128, 0, 0, 1, 165, 0, 0, 1, 166, 131, 128, 0, 0, 1, 175,
28 0, 0, 1, 196, 128, 0, 0, 1, 184, 0, 0, 1, 185, 131,
29 128, 0, 0, 1, 194, 0, 0, 1, 195, 130, 64, 129, 128,
30 0, 0, 1, 206, 0, 0, 1, 207, 130, 1, 0, 0, 0, 1,
31 128, 0, 0, 1, 221, 0, 0, 1, 226, 133, 0, 0, 0, 0,
32 128, 0, 0, 1, 235, 0, 0, 1, 240, 133, 0, 0, 1,
33 147, 1, 0, 0, 0, 4]

```

4. Assembler Program:

```
1  _fact    byte 128
2
3          dword _fact+9
4          dword _fact+10
5          byte 132
6          byte 128
7          dword _fact+19
8          dword _fact+178
9          byte 128
10         dword _fact+28
11         dword _fact+29
12         byte 131
13         byte 128
14         dword _fact+38
15         dword _fact+39
16         byte 130
17         byte 128
18         dword _fact+48
19         dword _fact+49
20         byte 131
21         byte 128
22         dword _fact+58
23         dword _fact+163
24         byte 128
25         dword _fact+67
26         dword _fact+68
27         byte 131
28         byte 128
29         dword _fact+77
30         dword _fact+98
31         byte 128
32         dword _fact+86
33         dword _fact+87
34         byte 131
35         byte 128
36         dword _fact+96
37         dword _fact+97
38         byte 130
```

```
38         byte 66
39         byte 128
40         dword _fact+107
41         dword _fact+148
42         byte 128
43         dword _fact+116
44         dword _fact+117
45         byte 131
46         byte 128
47         dword _fact+126
48         dword _fact+147
49         byte 128
50         dword _fact+135
51         dword _fact+136
52         byte 131
53         byte 128
54         dword _fact+145
55         dword _fact+146
56         byte 130
57         byte 67
58         byte 129
59         byte 128
60         dword _fact+157
61         dword _fact+158
62         byte 130
63         byte 1
64         dword 0
65         byte 128
66         dword _fact+172
67         dword _fact+173
68         byte 130
69         byte 1
70         dword 1
71         byte 128
72         dword _fact+187
73         dword _fact+248
74         byte 128
75         dword _fact+196
```

76	dword _fact+197
77	byte 131
78	byte 128
79	dword _fact+206
80	dword _fact+207
81	byte 130
82	byte 128
83	dword _fact+216
84	dword _fact+217
85	byte 131
86	byte 128
87	dword _fact+226
88	dword _fact+247
89	byte 128
90	dword _fact+235
91	dword _fact+236
92	byte 131
93	byte 128
94	dword _fact+245
95	dword _fact+246
96	byte 130
97	byte 69
98	byte 129
99	byte 128
100	dword _fact+257
101	dword _fact+328
102	byte 128
103	dword _fact+266
104	dword _fact+267
105	byte 131
106	byte 128
107	dword _fact+276
108	dword _fact+297
109	byte 128
110	dword _fact+285
111	dword _fact+286
112	byte 131
113	byte 128

114	dword _fact+295
115	dword _fact+296
116	byte 130
117	byte 131
118	byte 128
119	dword _fact+306
120	dword _fact+327
121	byte 128
122	dword _fact+315
123	dword _fact+316
124	byte 131
125	byte 128
126	dword _fact+325
127	dword _fact+326
128	byte 130
129	byte 130
130	byte 129
131	byte 128
132	dword _fact+337
133	dword _fact+338
134	byte 130
135	byte 128
136	dword _fact+347
137	dword _fact+388
138	byte 128
139	dword _fact+356
140	dword _fact+357
141	byte 131
142	byte 128
143	dword _fact+366
144	dword _fact+387
145	byte 128
146	dword _fact+375
147	dword _fact+376
148	byte 131
149	byte 128
150	dword _fact+385
151	dword _fact+386

```
152         byte 130
153         byte 72
154         byte 129
155         byte 128
156         dword _fact+397
157         dword _fact+398
158         byte 130
159         byte 1
160         dword 1
161 _succ     byte 128
162         dword _succ+9
163         dword _succ+50
164         byte 128
165         dword _succ+18
166         dword _succ+19
167         byte 131
168         byte 128
169         dword _succ+28
170         dword _succ+49
171         byte 128
172         dword _succ+37
173         dword _succ+38
174         byte 131
175         byte 128
176         dword _succ+47
177         dword _succ+48
178         byte 130
179         byte 64
180         byte 129
181         byte 128
182         dword _succ+59
183         dword _succ+60
184         byte 130
185         byte 1
186         dword 1
187 _main     byte 128
188         dword _main+9
189         dword _main+14
```



```
190         byte 133
191         dword _fact
192         byte 128
193         dword _main+23
194         dword _main+28
195         byte 133
196         dword _succ
197         byte 1
198         dword 4
```

5. Update the template in Appendix A.2 with the assembler code from step 4 and other concrete values following the rules 4.3.3
6. Executing the exe file after compilation

```
D:\>cd D:\Brock\Thesis\after final stage\1st meeting-2Aug2024\Thesis\Thesis\exe
D:\Brock\Thesis\after final stage\1st meeting-2Aug2024\Thesis\Thesis\exe>prog.exe
120
```

Figure 4.9: Result after running the executable version

Chapter 5

Implementation

For the compilation of PCF^+ , we utilized the following technologies:

1. Eclipse (Version: 2023-03 (4.27.0))
2. JDK 21
3. Jarsec 3.1

Below is a brief discussion on the Jarsec package and the parser classes we created to implement the parsers.

5.1 Jparsec

Jarsec is a recursive-descent parser combinator framework for Java, modeled after Haskell's Parsec on the Java platform [9]. It features operator precedence grammar, accurate error location with customizable error messages, and a rich set of pre-defined reusable combinator functions. Its declarative API closely resembles Backus-Naur Form (BNF), facilitating clear and concise parser definitions.

In a typical parser program written in Jarsec, we need to create and combines various `Parser` object, each representing a piece of parsing logic. Jarsec provides key classes for constructing parsers according to the production rules (Section 5.1.2) of the grammar:

5.1.1 Key classes

- `Parser`: Encapsulates a piece of parsing logic. Simple `Parser` objects can be combined to create more complex parsers.
- `Parsers`: Implementations of common parsers.

- **Scanners:** Parses the source string and recognizes patterns.
- **Terminals:** Provides tokenizers and lexers for common terminals, including identifiers, integers, and scientific numbers.
- **OperatorTable:** Supports operator precedence grammars by allowing the programmer to declare operators, which the framework uses to construct a full-blown expression parser.

Once a `Parser` object is created, it can be used as follows:

```
parser.parse("program to be parsed");
```

5.1.2 Production rules

Before discussing production rules, it is important to familiarize ourselves with the combinators used in the `jparsec` framework.

- **or:** Logical alternative combinator. The production rule $X ::= Y|Z$ can be represented as:

```
Parser<className> x = Parsers.or(y, z);
```

- **sequence:** Sequential combinator. The production rule $X ::= YZ$ can be represented as:

```
Parser<className> x = Parsers.sequence(y, z);
```

- **map/sequence:** These combinators allow performing computations or building objects based on recognized grammar. Using parser results of types `Y` and `Z` to create an object of type `A`:

```
Parser<className> x = Parsers.sequence(y, z)
    .map(new Map3<Y, Z>() {
        public A map(Y y, Z z) {
            return new X(y, z);
        }
    });
```

- `many/many1`: Implement the "Kleene star" and "Kleene cross" logic in BNF. The production rule $X ::= Y^*$ is represented as:

```
Parser<className> name = ...;
Parser<Void> x = name.skipMany();
```

or

```
Parser<className> name = ...;
Parser<List<className>> x = name.many();
```

where the latter will return a list of `className` objects as the parser result.

- `lazy`: Allows a parser to reference a parser that will be set later, useful for recursive production rules.

In `jparsec`, production rules define how complex language constructs are built from simpler elements. They simplify language structures into manageable components, guiding the parser in syntax recognition and interpretation. These rules are crucial during the syntactical analysis phase of a two-pass parser system. A two-pass parser processes input in two stages:

1. **Lexical Analysis**: Scans the source code to convert it into tokens, such as keywords and identifiers. A lexer or scanner handles this phase by removing unnecessary details like whitespace and comments and categorizing the text into meaningful symbols.
2. **Syntactical Analysis**: Takes the tokens from lexical analysis and organizes them into a syntax tree, reflecting the grammatical structure based on production rules. A parser manages this phase, ensuring that the token sequence adheres to the language's grammatical rules.

5.2 Parser classes

We have defined four types of parser classes: `'TypeParser'`, `'PCFTermParser'`, `'ProgramParser'`, and `'CombinatorParser'`, each responsible for parsing types (Section 2.1.1), `PCFTerms`

(Section 2.1.2), programs (Section 2.1.3), and combinators (Section 3.1.1), respectively. When parsing input for both a ‘PCFTerm’ and a ‘Type’, we utilize the ‘OperatorTable’ to declare operator precedences and associativities, thereby constructing parsers based on these declarations. Below, we provide snapshots of each parser to give a clear overview of their implementation. This will help in understanding how these parsers are constructed and utilized, building on the knowledge gained in the previous chapters.

5.2.1 TypeParser

We have constructed a parser object for types (Section 2.1.1). In this parser, we have utilized ‘OperatorTable’ for the various operators used in defining types for the programs. For example, among the operators \rightarrow and $*$, $*$ has the highest precedence as defined.

```
public Parser<Type> getParser( Terminals operators) {
    Parser.Reference<Type> ref = org.jparsec.Parser.newReference();
    Parser<Type> term =
        ref.lazy().between(operators.token("("), operators.token(" "))
        .or(operators.token("Int").retn(new IntType()))
        .or(operators.token("Bool").retn(new BoolType()))
        .or(Terminals.Identifier.PARSER.map(TypeVariable::new));
    Parser<Type> parser = new OperatorTable<Type>()
        .infixr(operators.token("->").retn((l,r) -> new FunctionType(l,r)), 3)
        .infixr(operators.token("*").retn((l,r) -> new ProductType(l,r)), 5)
        .build(term);
    ref.set(parser);
}
```

Figure 5.1: snapshot of the type’s parser

5.2.2 PCFTermParser

As we can see in Figure 5.2, to create the parser object for a PCFTerm in Java, we have utilized key classes, combinators, and production rules from the jparsec framework as described above (Section 5.1). For each of the integer and boolean operations, along with the comparisons, ‘OperatorTable’ is employed to conveniently set operator precedence and associativities for the different operators used in our PCFTerm, such as $+$, $-$, $*$, $<$, \leq , and, or, not.

5.2.3 ProgramParser

The ProgramParser utilizes both the typeParser and the PCFTermParser to parse a program (see Section 2.1.3) containing one or more declarations (see Section 2.1.3). Figure 5.3

```

public Parser<PCFTerm> getParser(Set decls, Terminals operators) {
    Parser.Reference<PCFTerm> ref = org.jparsec.Parser.newReference();
    Parser<PCFTerm> term =
        ref.lazy().between(operators.token("("), operators.token(")"))
        .or(Terminals.Identifier.PARSER.map(s -> { if (decls.contains(s))
            return new NamedTerm(s); else return new Variable(s); }))
        .or(operators.token("True").retn(new True()))
        .or(operators.token("False").retn(new False()))
        .or(Parsers.sequence(operators.token("if"), ref.lazy(),
            operators.token("then"), ref.lazy(), operators.token("else"),
            ref.lazy(), (t1,p1,t2,p2,t3,p3) -> new Conditional(p1,p2,p3)))
        .or(Terminals.IntegerLiteral.PARSER.map(s -> new IntLiteral(Integer.valueOf(s))))
        .or(Parsers.sequence(operators.token("\u03BB"), Terminals.Identifier.PARSER,
            operators.token("."), ref.lazy(), (s1,s2,s3,t) -> new Abstraction(s2,t)))
        .or(Parsers.sequence(operators.token("rec"),
            Terminals.Identifier.PARSER, operators.token("."), ref.lazy(),
            (s1,s2,s3,t) -> new Recursion(s2,t)));
    Parser<PCFTerm> typeTerm = term.many1().map(l -> makeApplications(l));
    Parser<PCFTerm> parser = new OperatorTable<PCFTerm>()
        .infixr(operators.token("or").retn((l,r) -> new Or(l,r)), Or.precedence)
        .infixr(operators.token("and").retn((l,r) -> new And(l,r)), And.precedence)
        .prefix(operators.token("not").retn((l) -> new Not(l)), Not.precedence)
        .infixr(operators.token("=").retn((l,r) -> new Equal(l,r)), Equal.precedence)
        .infixr(operators.token("<=").retn((l,r) -> new LEqual(l,r)), LEqual.precedence)
        .infixr(operators.token("+").retn((l,r) -> new Addition(l,r)), Addition.precedence)
        .infixr(operators.token("-").retn((l,r) -> new Subtraction(l,r)), Subtraction.precedence)
        .infixr(operators.token("*").retn((l,r) -> new Mult(l,r)), Mult.precedence)
        .build(typeTerm);
    ref.set(parser);
    return parser;
}

```

Figure 5.2: Snapshot of the PCFTerm's parser

below provides a clear view of how a program with multiple declarations is parsed with the help of these two parsers (see Sections 5.2.1 and 5.2.2).

```

public Parser<Program> getParser() {
    BiFunction<BiFunction, Program, Parser<Program>> frec = (f,p) ->
        Parsers.sequence(Terminals.Identifier.PARSER,
            OPERATORS.token(":"),
            TypeParser.getTypeParser().getParser(OPERATORS),
            OPERATORS.token("="),
            PCFTermParser.getPCFTermParser().getParser(p.nameSet(), OPERATORS),
            OPERATORS.token(";"),
            (n,s,t,s1,body,s2) -> {
                Declaration decl = new Declaration(n,t,body);
                p.addDeclaration(decl.getName(), decl);
                return p;
            }).next(q -> (Parser<Program>) f.apply(f,q))
        .or(Parsers.constant(p));
    return frec.apply(frec, new Program());
}

```

Figure 5.3: Snapshot of the Program's parser

5.2.4 CombinatorParser

This parser is relatively straightforward. We simply need to follow the key classes and combinators defined in the `jparsec` package (Section 5.1) to build the parser for the combinator terms (Section 3.1.1).

```
public Parser<CombinatorTerm> getParser(Set decls, Terminals operators) {
    Parser.Reference<CombinatorTerm> ref = org.jparsec.Parser.newReference();
    • Parser<CombinatorTerm> term =
        ref.lazy().between(operatorsC.token("("), operatorsC.token(")"))
        .or(Terminals.Identifier.PARSER.map(s -> { if (decls.contains(s))
            return new Combinators.NamedTerm(s); else return new VARIABLE(s); })))
        .or(operatorsC.token("TRUE").retn(new TrueTerm()))
        .or(operatorsC.token("FALSE").retn(new FalseTerm()))
        .or(operatorsC.token("S").retn(new STerm()))
        .or(operatorsC.token("K").retn(new KTerm()))
        .or(operatorsC.token("I").retn(new ITerm()))
        .or(operatorsC.token("Y").retn(new YTerm()))
        .or(operatorsC.token("ADD").retn(new AddTerm()))
        .or(operatorsC.token("MULT").retn(new MultTerm()))
        .or(operatorsC.token("SUB").retn(new SubTerm()))
        .or(operatorsC.token("AND").retn(new AndTerm()))
        .or(operatorsC.token("OR").retn(new OrTerm()))
        .or(operatorsC.token("NOT").retn(new NotTerm()))
        .or(operatorsC.token("EQUAL").retn(new EqualTerm()))
        .or(operatorsC.token("LEQUAL").retn(new LEqualTerm()))
        .or(operatorsC.token("IF").retn(new ConditionalTerm()))
        .or(Terminals.IntegerLiteral.PARSER.map(s -> new
            INTTerm(Integer.valueOf(s))));
    Parser<CombinatorTerm> combTerm =
        term.many1().map(l -> makeApplications(l));
    ref.set(combTerm);
    return combTerm;
}
```

Figure 5.4: Snapshot of the combinator's parser

5.3 User Interface

The user interface is simple and straightforward, implemented using Swing. It includes an input area for entering the program and an output area to display the corresponding output. Users have three options for a given program: Check, Compile, and Run. Each of these options will be discussed in detail below.

Check

This option checks if a program is syntactically correct and free of any type errors. For example, Figure 5.5 shows a correctly formatted input program, whereas Figure 5.6 displays an incorrect program with the error reason indicated in the output message area.

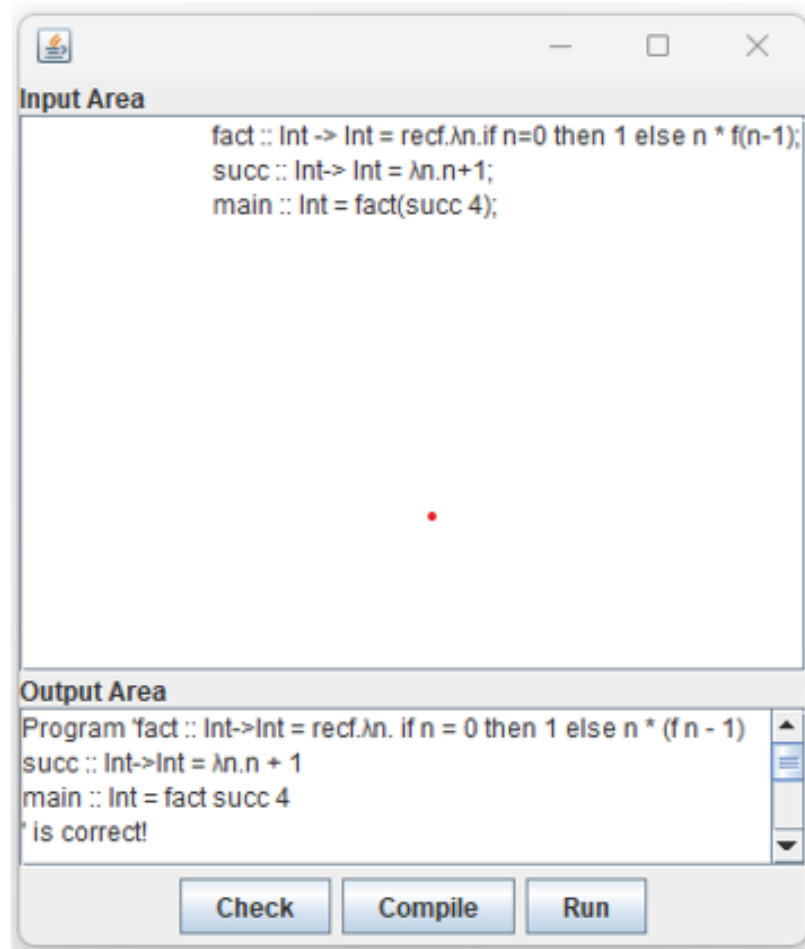


Figure 5.5: User Interface: Check the program

Compile

If the entered program is correct (as verified using the Section 5.3 option described above), it can then be successfully compiled into an assembler version using this option, as shown in Figure 5.7. After compilation, the program's output can be examined by following the steps outlined in Section 4.3.3.

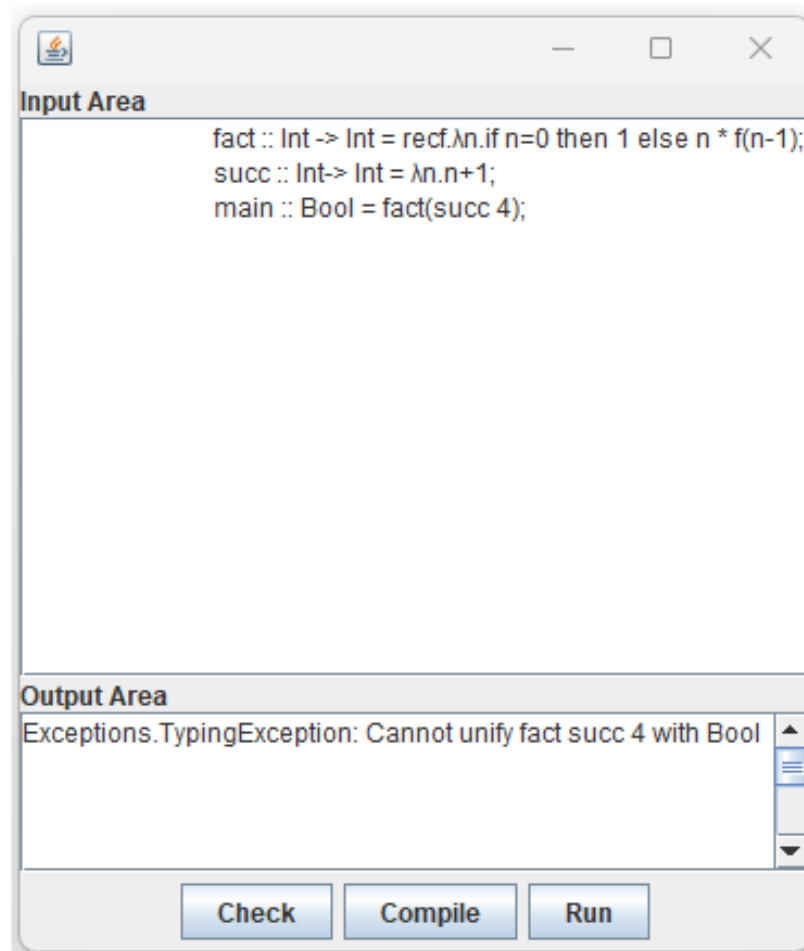


Figure 5.6: User Interface: Check the program (Error)

Run

The user can view the results of the entered program executed in Java using this option, as shown in Figure 5.8.

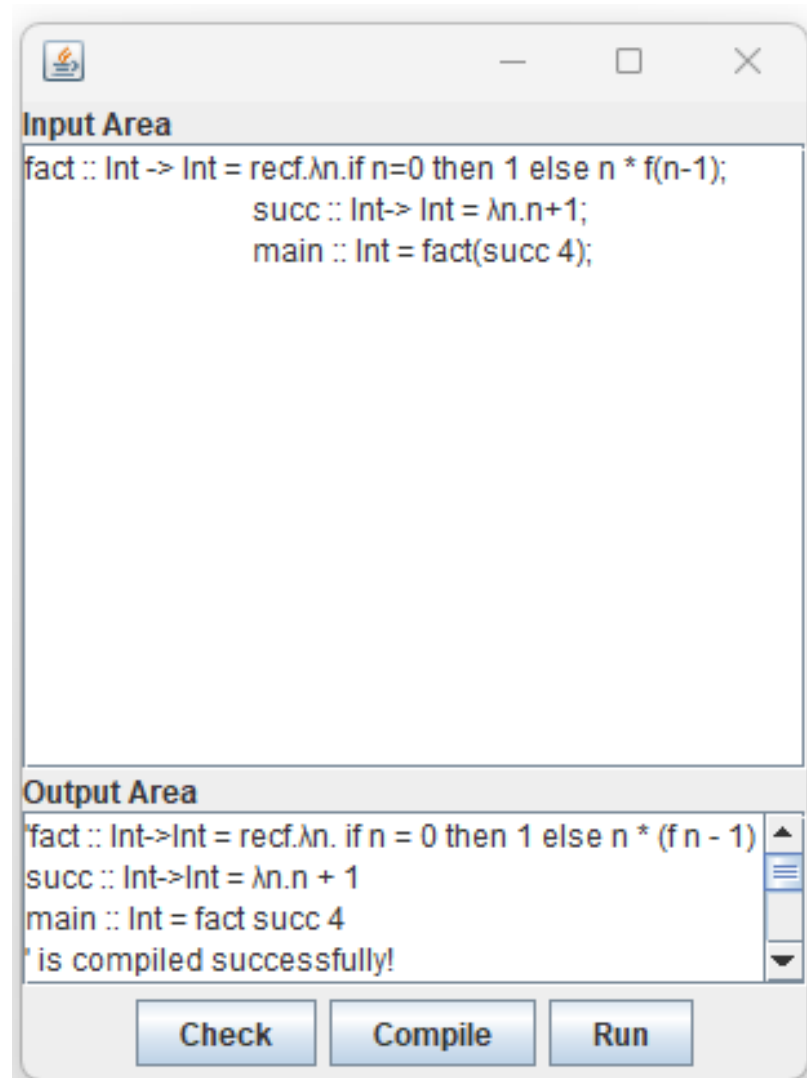


Figure 5.7: User Interface: compile the program

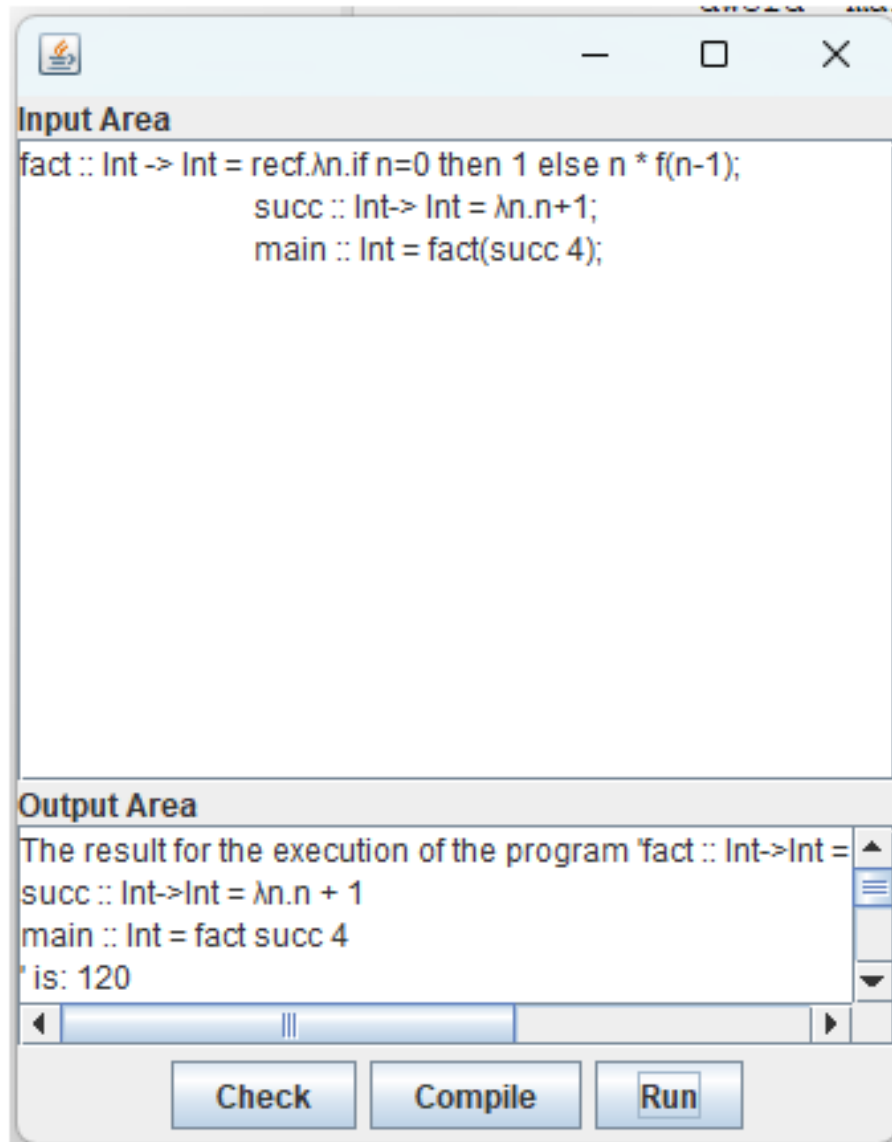


Figure 5.8: User Interface: run the program

Chapter 6

Conclusion and Future Work

In our thesis, we accomplished our goals through a series of structured steps. First, we chose Java as our development environment and selected the existing functional language, PCF, to enrich it by adding more types or modifying the existing ones. We defined several Java parsers (see Chapter 5) to conveniently construct programs and types conveniently, ensuring strict type compatibility between operands and leveraging mathematical functions to create reliable and maintainable code. This restricted operations to operands of compatible types.

Next, within the same Java environment, we translated this language into an appropriate combinator language. This involved mapping the program constructs into combinators to simplify the programs and facilitate easier manipulation and execution. We then designed an abstract machine based on combinators to execute these programs, managing memory, instructions, and data in a combinator-based format. This machine would be implemented in software as a virtual machine and could potentially be built as a custom processor, integrating seamlessly with functional programming principles. Subsequently, we would translate the abstract machine into assembly language, creating an executable version of the initial program that can be run directly on x86 hardware or in an emulator.

Our future work includes incorporating custom data types similar to Haskell’s rich type system, necessitating effective handling of constructors to support user-defined types and enabling more expressive and type-safe code. Additionally, expanding the combinator set by integrating additional combinators, such as B, C, K, and W, will enrich the language’s expressiveness through a smarter translation mechanism. This mechanism will leverage these combinators to generate more efficient programs, optimizing performance and resource usage. These enhancements aim to improve the language’s capabilities and efficiency, providing users with more powerful tools for functional programming.

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Appendix A

Additional Experimental Analysis

A.1 Implementation In Java

Listing A.1: AbstractMachine.java

```
1 package Webasm;
2
3 import Combinators.CombinatorProgram;
4 import Combinators.CombinatorTerm;
5 import java.util.HashMap;
6 import java.util.Map;
7
8 public class AbstractMachine {
9
10     public static final byte BOOL = (byte) 0;
11     public static final byte INT = (byte) 1;
12
13     public static final byte ADD = (byte) 64;
14     public static final byte AND = (byte) 65;
15     public static final byte COND = (byte) 66;
16     public static final byte EQ = (byte) 67;
17     public static final byte LEQ = (byte) 68;
18     public static final byte MUL = (byte) 69;
19     public static final byte NOT = (byte) 70;
20     public static final byte OR = (byte) 71;
21     public static final byte SUB = (byte) 72;
22
```

```

23     public static final byte APP      = (byte) 128;
24     public static final byte I       = (byte) 129;
25     public static final byte K       = (byte) 130;
26     public static final byte S       = (byte) 131;
27     public static final byte Y       = (byte) 132;
28     public static final byte JMP      = (byte) 133;
29
30     private int[] backupStack;
31     private final int stackCapacity;
32
33     private int stackPointer;
34     private int backupPointer;
35
36     private int startAddress;
37
38     private final Memory memory;
39
40     private boolean flagRUN;
41
42     public AbstractMachine(int stackCapacity, int heapCapacity) {
43         this.stackCapacity = stackCapacity;
44         this.memory = new Memory();
45     }
46
47     public void store(CombinatorTerm t) {
48         store(t, new HashMap());
49         startAddress = 0;
50     }
51
52     private void store(CombinatorTerm t, Map<String,Integer>
53         labels) {
54         t.storeInMem(memory, labels);
55     }
56
57     public void store(CombinatorProgram prog) {
58         Map<String,Integer> labels = new HashMap();
59         for(String name : prog.getNames()) {
60             labels.put(name, memory.allocate(0));

```



```

60         if (name.equals("main")) startAddress = labels.get("
61             main");
62         prog.getTerm(name).storeInMem(memory, labels);
63     }
64     System.out.println("byte array: "+memory.toString());
65 }
66
67 public void execute() {
68     allocateStack();
69     push(startAddress);
70     flagRUN = true;
71     while (flagRUN) {
72         int addr = peek();
73         byte opCode = memory.getBytes(addr);
74         switch (opCode) {
75             case INT, BOOL -> {
76                 if (stackSize()==1) {
77                     flagRUN = false;
78                 } else {
79                     restore();
80                 }
81             }
82             case ADD, MUL, SUB -> {
83                 if (opCodeIsConst(memory.getBytes(peekSecond()
84                     ))) {
85                     if (opCodeIsConst(memory.getBytes(peekThird
86                         ()))) {
87                         remove();
88                         int x = memory.getInt(pop()+1);
89                         int y = memory.getInt(pop()+1);
90                         int newAddress = memory.allocate(5);
91                         memory.storeByte(newAddress, INT);
92                         switch (opCode) {
93                             case ADD -> {
94                                 memory.storeInt(newAddress+1,
95                                     x+y);
96                             }
97                             case MUL -> {

```

```

94         memory.storeInt(newAddress+1,
95                             x*y);
96     }
97     case SUB -> {
98         memory.storeInt(newAddress+1,
99                             x-y);
100     }
101     }
102     push(newAddress);
103 } else {
104     backUp();
105     backUp();
106 }
107 } else {
108     backUp();
109 }
110 }
111 case AND, OR -> {
112     if (opCodeIsConst(memory.getBytes(peekSecond()
113     ))) {
114         if(opCodeIsConst(memory.getBytes(peekThird
115         ()))) {
116             remove();
117             byte x = memory.getBytes(pop()+1);
118             byte y = memory.getBytes(pop()+1);
119             int newAddress = memory.allocate(2);
120             memory.storeByte(newAddress,BOOL);
121             switch (opCode) {
122                 case AND -> {
123                     memory.storeByte(newAddress
124                     +1,(byte)(x&y));
125                 }
126                 case OR -> {
127                     memory.storeByte(newAddress
128                     +1,(byte)(x|y));
129                 }
130             }
131         }
132     }
133     push(newAddress);

```

```

126         } else {
127             backUp();
128             backUp();
129         }
130     } else {
131         backUp();
132     }
133 }
134 case COND -> {
135     if (opCodeIsConst(memory.getBytes(peekSecond()
136     ))) {
137         remove();
138         byte cond = memory.getBytes(pop()+1);
139         if (cond==0) {
140             remove();
141         } else {
142             int x = pop();
143             remove();
144             push(x);
145         }
146     } else {
147         backUp();
148     }
149 }
150 case EQ, LEQ -> {
151     if (opCodeIsConst(memory.getBytes(peekSecond()
152     ))) {
153         if(opCodeIsConst(memory.getBytes(peekThird
154         ()))) {
155             remove();
156             int x = memory.getInt(pop()+1);
157             int y = memory.getInt(pop()+1);
158             int newAddress = memory.allocate(2);
159             memory.storeByte(newAddress, BOOL);
160             switch (opCode) {
161                 case EQ -> {
162                     memory.storeByte(newAddress
163                     +1, (byte) (x==y? 1 : 0));

```

```

160         }
161         case LEQ -> {
162             memory.storeByte(newAddress
163                               +1, (byte) (x<=y? 1 : 0));
164         }
165         push(newAddress);
166     } else {
167         backUp();
168         backUp();
169     }
170 } else {
171     backUp();
172 }
173 }
174 case NOT -> {
175     if (opCodeIsConst(memory.getBytes(peekSecond()
176                                     ))) {
177         remove();
178         byte x = memory.getBytes(pop()+1);
179         int newAddress = memory.allocate(2);
180         memory.storeByte(newAddress, BOOL);
181         memory.storeByte(newAddress+1, (byte) ((x
182                                     ==1?0:1)));
183         push(newAddress);
184     } else {
185         backUp();
186     }
187 }
188 case APP -> {
189     remove();
190     push(memory.getInt(addr+5));
191     push(memory.getInt(addr+1));
192 }
193 case I -> {
194     remove();
195 }
196 case K -> {

```

```

195         remove();
196         int x = pop();
197         remove();
198         push(x);
199     }
200     case S -> {
201         remove();
202         int x = pop();
203         int y = pop();
204         int z = pop();
205         int newAddress = memory.allocate(9);
206         memory.storeByte(newAddress, APP);
207         memory.storeInt(newAddress+1, y);
208         memory.storeInt(newAddress+5, z);
209         push(newAddress);
210         push(z);
211         push(x);
212     }
213     case Y -> {
214         remove();
215         int g = pop();
216         int newAddress = memory.allocate(9);
217         memory.storeByte(newAddress, APP);
218         memory.storeInt(newAddress+1, addr);
219         memory.storeInt(newAddress+5, g);
220         push(newAddress);
221         push(g);
222     }
223     case JMP -> {
224         remove();
225         push(memory.getInt(addr+1));
226     }
227     default -> throw new
        UnsupportedOperationException("Unknown
        operation code in abstract machine.");
228     }
229 }
230 }

```

```
231
232 public String readResult() {
233     String result;
234     int addr = pop();
235     switch (memory.getBytes(addr)) {
236         case BOOL -> {
237             result = memory.getBytes(addr+1)==1? "True" : "
238                 False";
239         }
240         case INT -> {
241             result = Integer.toString(memory.getInt(addr+1));
242         }
243         default -> {
244             result = "Unexpected error";
245         }
246     }
247     return result;
248 }
249
250 private boolean opCodeIsConst(byte b) {
251     return (b & 0b11000000) == 0;
252 }
253
254 private void allocateStack() {
255     backupStack = new int[stackCapacity];
256     stackPointer = 0;
257     backupPointer = stackCapacity-1;
258 }
259
260 private void push(int value) {
261     if (backupPointer < stackPointer) throw new
262         StackOverflowError();
263     backupStack[stackPointer] = value;
264     stackPointer++;
265 }
266
267 private int pop() {
268     stackPointer--;
```

```
267         return backupStack[stackPointer];
268     }
269
270     private void remove() {
271         stackPointer--;
272     }
273
274     private int peek() {
275         return backupStack[stackPointer-1];
276     }
277
278     private int peekSecond() {
279         return backupStack[stackPointer-2];
280     }
281
282     private int peekThird() {
283         return backupStack[stackPointer-3];
284     }
285
286     private void backUp() {
287         stackPointer--;
288         int x = backupStack[stackPointer];
289         backupStack[backupPointer] = x;
290         backupPointer--;
291     }
292
293     private void restore() {
294         backupPointer++;
295         int x = backupStack[backupPointer];
296         backupStack[stackPointer] = x;
297         stackPointer++;
298     }
299
300     private int stackSize() {
301         return stackPointer+stackCapacity-(backupPointer+1);
302     }
303 }
```

sec

A.2 Implementation in Assembler Code

Listing A.2: Assembler Template

```
1 ;--- Win32 Template for combinator compiler.
2 ;--- assemble: jwasm -coff Test.asm
3 ;--- link:      link Test.obj msvcrt.lib
4
5     .386
6     .MODEL flat, c
7     option casemap:none
8
9     BOOL      equ 0
10    INTC      equ 1
11
12    ADDI      equ 64
13    ANDI      equ 65
14    COND      equ 66
15    EQI       equ 67
16    LEQ       equ 68
17    MULI      equ 69
18    NOTI      equ 70
19    ORI       equ 71
20    SUBI      equ 72
21
22    APP       equ 128
23    I         equ 129
24    K         equ 130
25    S         equ 131
26    Y         equ 132
27    MJMP      equ 133
28
29    printf    proto c :ptr byte, :vararg
30    malloc    proto c :dword
31    exit      proto c :dword
32
33     .CONST
34
35 ; messages
```



```

36
37 intMessage          db "%d",13,10,0
38 trueMessage         db "True",13,10,0
39 falseMessage        db "False",13,10,0
40 errorMessage        db "Unexpected error.",13,10,0
41 stackOverFlowMessage db "Stack overflow.",0
42 outOfHeapMessage     db "Out of heap.",0
43
44 ; important constant
45
46 stackCapacity       dword #stackCapacity#
47                                     ; must be dividable by 4
48
49 heapCapacity        dword #heapCapacity#
50
51 #program#
52
53 .DATA
54
55 stackBaseAddr       dword ?
56 stackPointer        dword ?
57 backupPointer       dword ?
58 heapBaseAddr        dword ?
59 heapPointer         dword ?
60 flagRUN             byte 1
61
62 .CODE
63
64 _allocateStack proc c
65     push eax
66     mov eax, stackCapacity
67     invoke malloc, eax
68     mov stackBaseAddr, eax
69     mov stackPointer, eax
70     add eax, stackCapacity           ; eax set to highest
71                                     dword address
72     sub eax, 4                       ; in stack memory
73     mov backupPointer, eax
74     pop eax

```

```
72     ret
73 _allocateStack endp
74
75 _allocateHeap proc c
76     push eax
77     mov eax, heapCapacity
78     invoke malloc, eax
79     mov heapBaseAddr, eax
80     mov heapPointer, eax
81     pop eax
82     ret
83 _allocateHeap endp
84
85 _newOnHeap proc c
86     push ebx
87     mov ebx, heapPointer
88     add ebx, eax
89     sub ebx, heapBaseAddr
90     .if (heapCapacity < ebx)
91         invoke printf, addr outOfHeapMessage
92         mov eax, 1
93         invoke exit, eax
94     .endif
95     mov ebx, heapPointer
96     add heapPointer, eax
97     mov eax, ebx
98     pop ebx
99     ret
100 _newOnHeap endp
101
102 _push proc c
103     push ebx
104     mov ebx, stackPointer
105     .if (backupPointer < ebx)
106         invoke printf, addr stackOverflowMessage
107         mov eax, 1
108         invoke exit, eax
109     .endif
```

```
110     mov [ebx], eax
111     add stackPointer, 4
112     pop ebx
113     ret
114 _push endp
115
116 _pop proc c
117     push ebx
118     sub stackPointer, 4
119     mov ebx, stackPointer
120     mov eax, [ebx]
121     pop ebx
122     ret
123 _pop endp
124
125 _remove proc c
126     sub stackPointer, 4
127     ret
128 _remove endp
129
130 _peek proc c
131     push ebx
132     mov ebx, stackPointer
133     sub ebx, 4
134     mov eax, [ebx]
135     pop ebx
136     ret
137 _peek endp
138
139 _peekSecond proc c
140     push ebx
141     mov ebx, stackPointer
142     sub ebx, 8
143     mov eax, [ebx]
144     pop ebx
145     ret
146 _peekSecond endp
147
```

```
148 _peekThird proc c
149     push ebx
150     mov ebx, stackPointer
151     sub ebx, 12
152     mov eax, [ebx]
153     pop ebx
154     ret
155 _peekThird endp
156
157 _backup proc c
158     push eax
159     push ebx
160     sub stackPointer, 4
161     mov ebx, stackPointer
162     mov eax, [ebx]
163     mov ebx, backupPointer
164     mov [ebx], eax
165     sub backupPointer, 4
166     pop ebx
167     pop eax
168     ret
169 _backup endp
170
171 _restore proc c
172     push eax
173     push ebx
174     add backupPointer, 4
175     mov ebx, backupPointer
176     mov eax, [ebx]
177     mov ebx, stackPointer
178     mov [ebx], eax
179     add stackPointer, 4
180     pop ebx
181     pop eax
182     ret
183 _restore endp
184
185 _stackSize proc c
```

```

186     mov eax, stackPointer
187     add eax, stackCapacity
188     sub eax, backupPointer
189     shr eax, 2                ; divide by 4
190     dec eax
191     ret
192 _stackSize endp
193
194 main proc c
195     call _allocateStack
196     call _allocateHeap
197     mov eax, offset _main
198     call _push
199     .while(flagRUN != 0)
200         call _peek
201         mov ebx, eax
202         mov al, [ebx]
203         .if (al == BOOL || al == INTC)
204             call _stackSize
205             .if (eax == 1)
206                 mov flagRUN, 0
207             .else
208                 call _restore
209             .endif
210         .else
211             .if (al == ADDI || al == MULI || al == SUBI)
212                 mov dl, al
213                 call _peekSecond
214                 mov al, [eax]
215                 and al, 11000000b
216                 .if (al == 0)
217                     call _peekThird
218                     mov al, [eax]
219                     and al, 11000000b
220                     .if (al == 0)
221                         call _remove
222                         call _pop
223                         mov ebx, [eax+1]

```

```

224         call _pop
225         mov ecx, [eax+1]
226         mov eax, ebx
227         mov ebx, ecx
228         .if (dl == ADDI)
229             add eax, ebx
230         .else
231         .if (dl == MULI)
232             mul ebx
233         .else
234             sub eax, ebx
235         .endif
236     .endif
237     mov ebx, eax
238     mov eax, 5
239     call _newOnHeap
240     mov [eax], dword ptr INTC
241     mov [eax+1], ebx
242     call _push
243 .else
244     call _backup
245     call _backup
246 .endif
247 .else
248     call _backup
249 .endif
250 .else
251 .if (al == ANDI || al == ORI)
252     mov dl, al
253     call _peekSecond
254     mov al, [eax]
255     and al, 11000000b
256     .if (al == 0)
257         call _peekThird
258         mov al, [eax]
259         and al, 11000000b
260         .if (al == 0)
261             call _remove

```

```

262         call _pop
263         mov bl, [eax+1]
264         call _pop
265         mov cl, [eax+1]
266         mov al, bl
267         mov bl, cl
268         .if (dl == ANDI)
269             and al, bl
270         .else
271             or al, bl
272         .endif
273         mov bl, al
274         mov eax, 2
275         call _newOnHeap
276         mov [eax], dword ptr BOOL
277         mov [eax+1], bl
278         call _push
279     .else
280         call _backup
281         call _backup
282     .endif
283 .else
284     call _backup
285 .endif
286 .else
287 .if (al == COND)
288     mov dl, al
289     call _peekSecond
290     mov al, [eax]
291     and al, 11000000b
292     .if (al == 0)
293         call _remove
294         call _pop
295         mov bl, [eax+1]
296         .if (bl == 0)
297             call _remove
298         .else
299             call _pop

```

```
300         call _remove
301         call _push
302     .endif
303 .else
304     call _backup
305 .endif
306 .else
307 .if (al == EQI)
308     call _peekSecond
309     call _pop
310     mov ebx, [eax]
311     call _peekSecond
312     call _pop
313     mov eax, [eax]
314     .if (ebx == eax)
315         call _push
316         mov eax, 1
317     .else
318         call _push
319         mov eax, 0
320     .endif
321 .else
322 .if (al == LEQ)
323     call _peekSecond
324     call _pop
325     mov ebx, [eax]
326     call _peekSecond
327     call _pop
328     mov eax, [eax]
329     .if (ebx <= eax)
330         call _push
331         mov eax, 1
332     .else
333         call _push
334         mov eax, 0
335     .endif
336 .else
337 .if (al == MJMP)
```



```
338         call _pop
339         mov ebx, [eax]
340         jmp ebx
341     .else
342         call _backup
343     .endif
344     .endif
345     .endif
346     .endif
347     .endif
348     .endif
349     .endif
350     .endif
351     .endif
352     .endif
353     .endif
354     .endif
355     .endif
356     .endif
357 .endw
358 mov eax, 0
359 invoke exit, eax
360 main endp
361
362 _main proc c
363     ret
364 _main endp
365
366 end main
```