

- Binary Search Tree

$O(1)$ $O(n)$ $O(\log n)$

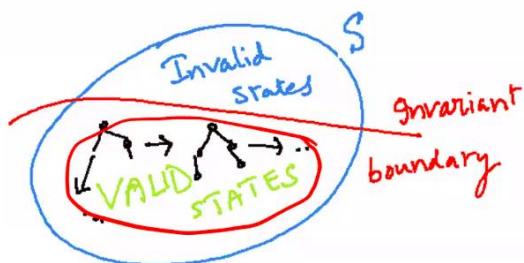
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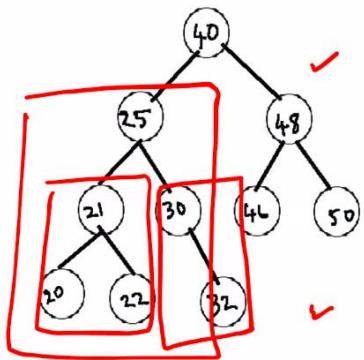
$D(200, 17)$
 - Consider a problem to store and search a modifiable collection
- | | Insert | Delete | Search |
|----------------|-------------|-------------|-------------|
| ✓ Array | $O(1)$ | $O(n)$ | $O(n)$ |
| ✓ Linked list | $O(1)$ | $O(n)$ | $O(n)$ |
| ✓ Sorted array | $O(n)$ | $O(n)$ | $O(\log n)$ |
| BST | $O(\log n)$ | $O(\log n)$ | $O(\log n)$ |
-

- ## Data Structure Design via Invariants

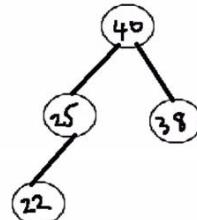
An **invariant** is just a set of conditions that will hold before and after every “step” of your program/algorithm.



Binary Search Tree



A binary search tree

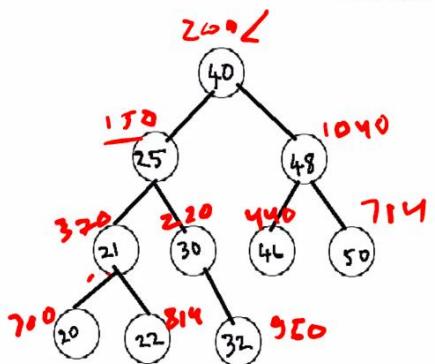


Not a binary search tree

Operations

- Let us start with the operation $\text{Find}(x)$.
- We are given a binary search tree T .
- Answer YES if x is in T , and answer NO otherwise.

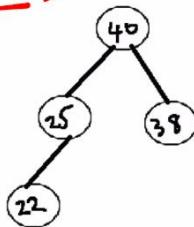
Binary Search Tree



A binary search tree

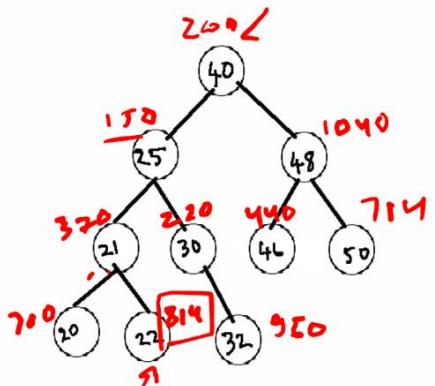
Search (root, 22)

root > data



Not a binary search tree

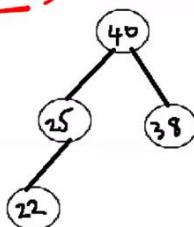
Binary Search Tree



A binary search tree

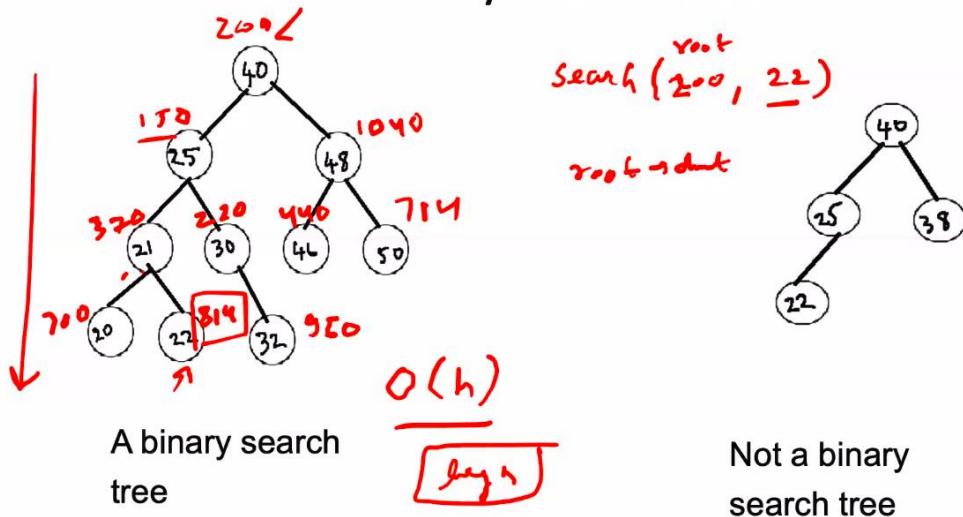
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Binary Search Tree

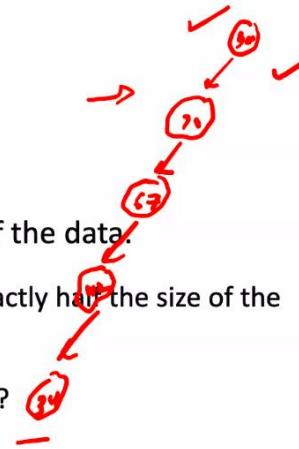


Find(x)

- Let us compare x with the data at the root of T.
- There are three possibilities
 - $x = T \rightarrow \text{data}$: Answer YES. Easy case.
 - $x < T \rightarrow \text{data}$: Where can x be if it is in T? Left subtree
 - $x > T \rightarrow \text{data}$: Where can x be if it is in T? Right subtree
- So, continue search in the left/right subtree.
- When to stop?
 - Successful search stops when we find x.
 - Unsuccessful search stops when no further exploration possible (no child in the required side)

Find(x)

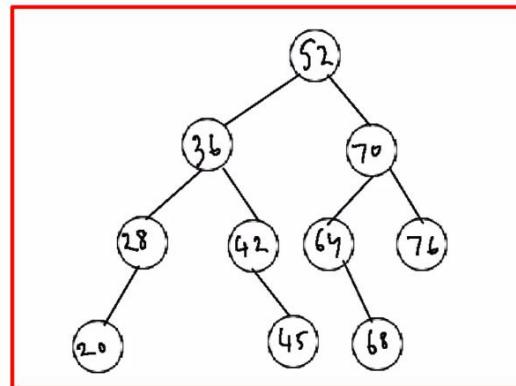
- Notice the similarity to binary search.
- In both cases, we continue search in a subset of the data.
 - In the case of binary search the subset size is exactly half the size of the current set.
 - Is that so in the case of a binary search tree also?
 - May not always be true.



Find(x)

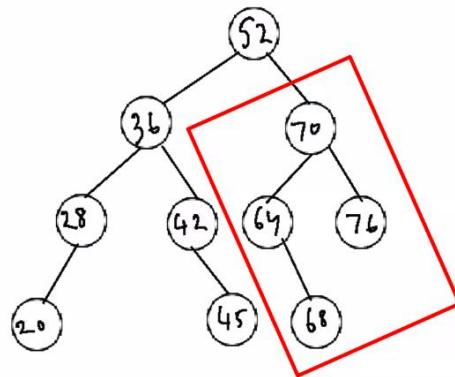
- How to analyze the runtime?
- Number of comparisons is a good metric.
- Notice that for a successful or an unsuccessful search, the worst case number of comparisons is equal to the height of the tree.
- What is the height of a binary search tree?
 - We'll postpone this question for now.

• **Find(x)**



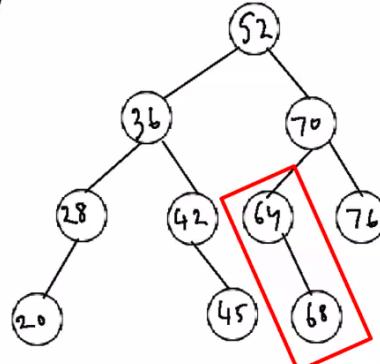
- Search for 68.
- Since $52 < 68$, we search in the right subtree.

• **Find(x)**



- Search for 68.
- Since $52 < 68$, we search in the right subtree.
- Since $68 < 70$, again search in the left subtree.

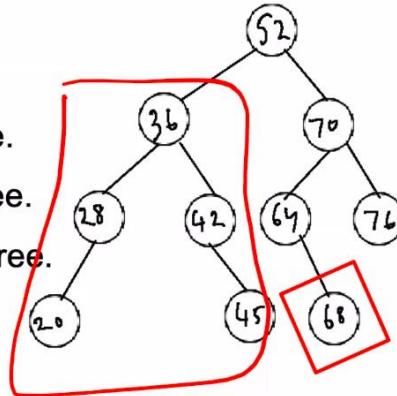
Find(x)



- Search for 68.
- Since $52 < 68$, we search in the right subtree.
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- Since $68 < 65$, again search in the right subtree.

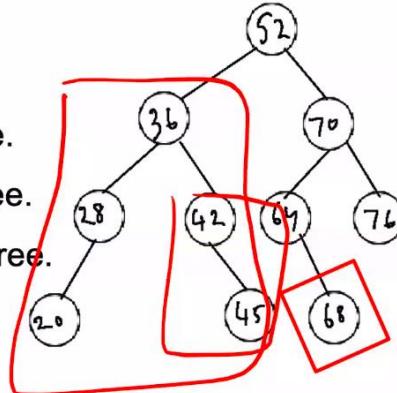
Find(x)

- Search for 68.
- Since $52 < 68$, we search in the right subtree.
- Since $68 < 70$, again search in the left subtree.
- Since $68 < 65$, again search in the right subtree.
- Finally, find 68 as a leaf node.
- Now, try Find(48)



Find(x)

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- Finally, find 68 as a leaf node.
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Find(x) Pseudocode

```
procedure Find(x, T)
begin
    if T == NULL return NO;
    if T->data == x return YES;
    else if T->data > x
        return Find(x, T->right);
    else
        return Find(x, T->left);
end
```

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Observation on Find(x)

- Travel along only one path of the tree starting from the root.
- Hence, important to minimize the length of the longest path.
 - This is the depth/height of the tree.

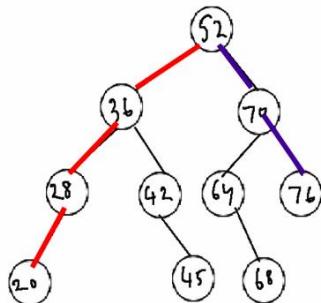
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Operation FindMin and FindMax

- Consider FindMin.
- Where is the smallest element in a binary search tree?
- Recall that values in the left subtree are smaller than the root, at every node.
- So, we should travel leftward.
 - stop when we reach a leaf or
 - a node with no left child.
 - Essentially, a deficient node missing a left child.
- FindMax is similar. How should we travel?

-

Operation FindMin and FindMax



- On the above tree, findMin will traverse the path shown in red.
 - FindMax will travel the path shown in blue.
-

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Operation FindMin and FindMax

```
procedure FindMin(T)
begin
    if T = NULL return null;
    if T-> left = NULL return T;
    return FindMin(T->left);
end
```

- Both these operations also traverse one path of the tree.
 - Hence, the time taken is proportional to the depth of the tree.
 - Notice how the depth of the tree is important to these operations also.
-

Operation FindMin and FindMax

```
struct BST {  
    int data;  
    BST* left;  
    BST* right;  
};
```

```
int FindMin (BST* root) {  
    if (root == NULL) {  
        cout << "Tree is empty\n";  
        return -1;  
    }  
    while (root->left != NULL) {  
        root = root->left;  
    }  
    return root->data;  
}
```

Operation FindMin and FindMax

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struct BST {  
    int data;  
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int FindMin (BST* root) {  
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Operation FindMin and FindMax

```
struct BST {
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```
else if (root->left == NULL) {
    return root->data;
}
return FindMin(root->left);
```

```
int FindMin (BST* root) {
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    return root->data;
}
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Operation FindMin and FindMax

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struct BST {
    int data;
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};
```

```
else if (root->left == NULL) {
    return root->data;
}
return FindMin(root->left);
```



```
int FindMin (BST* root) {
    if (root == NULL) {
        cout << "Tree is empty\n"; ✓
        return -1;
    }
    while (root->left != NULL) {
        root = root->left;
    }
    return root->data;
}
```

•

Binary Search Tree: Insert(x)

- Where should x be inserted?
 - Should satisfy the search invariant.
 - So, if x is larger than the root, insert in the right subtree
 - if x is smaller than the root, insert in the left subtree.
 - Repeat the above till we reach a deficient node.
 - Can always add a new child to a deficient node.
 - So, add node with value x as a child of some deficient node.
-

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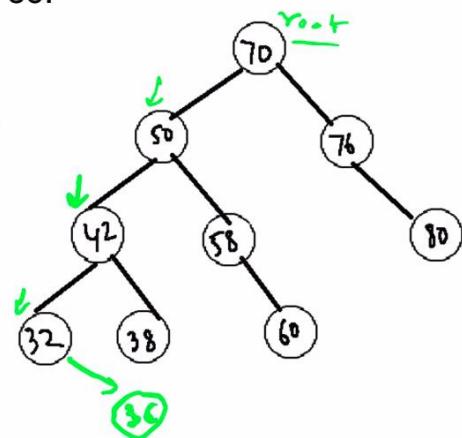
Insert(x)

- Notice the analogy to Find(x)
 - If x is not in the tree, Find(x) stops at a deficient node.
 - Now, we are inserting x as a child of the deficient node last visited by Find(x).
 - If the tree is presently empty, then x will be the new root.
 - Let us consider a few examples.
-

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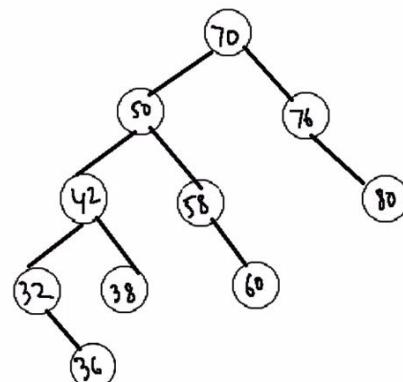
Insert(x)

- Consider the tree shown and inserting 36.
- We travel the path **70 – 50 – 42 – 32**.
- Since 32 is a leaf node, we stop at 32.



-

Insert(x)

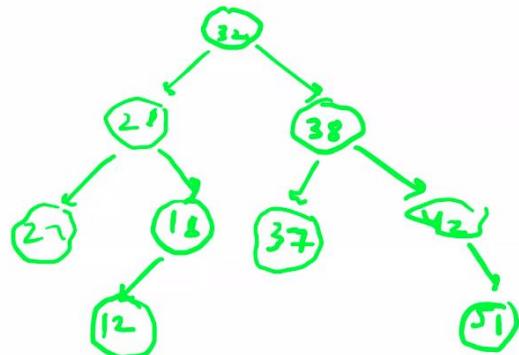


- Now, $36 > 32$. So 36 is inserted as a right child of 32.

Insert(x)

- Show the binary search tree obtained after inserting the following values in that order starting with an empty binary search tree.

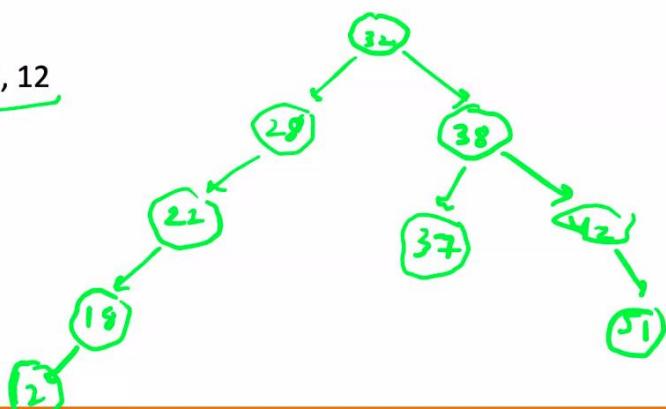
32, 28, 22, 38, 42, 51, 18, 37, 12



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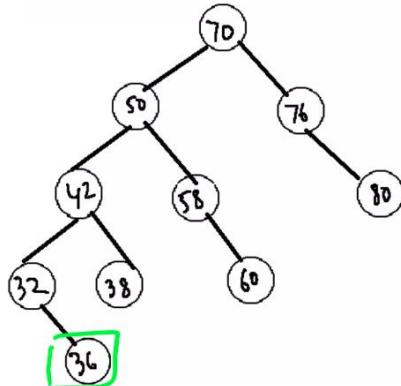


Insert()

```
BST* Insert (Bst* root, int data)
{
    if (root == NULL) {
        root = CreateNode (data);
        return root;
    }
    else if (data <= root->data) {
        root->left = Insert (root->left, data);
    }
    else {
        root->right = Insert (root->right, data);
    }
    return root;
}
```

```
BST* CreateNode (int data){
    Bst* newNode = new Bst();
    newNode->data = data;
    newNode->left = newNode->right = NULL;
    return newNode;
}
```

Insert(x)



- Now, $36 > 32$. So 36 is inserted as a right child of 32.

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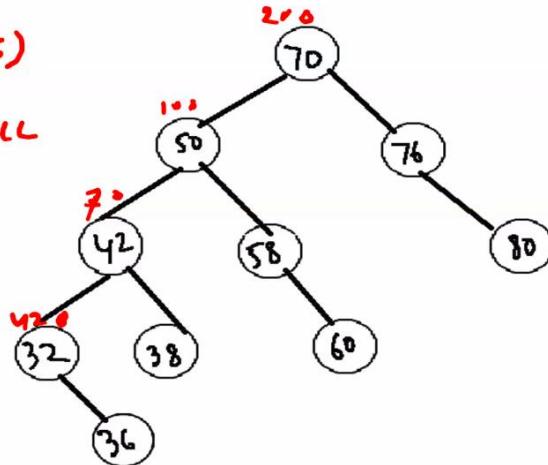
Insert(x)

- New node always inserted as a leaf.
- To analyze the operation $\text{insert}(x)$, consider the following.
 - Operation similar to an unsuccessful find operation.
 - After that, only $O(1)$ operations to add x as a child.
- So, the time taken for insert is also proportional to the depth of the tree.

Binary Search Tree: Remove(x)

case 1
remove (208, 36)

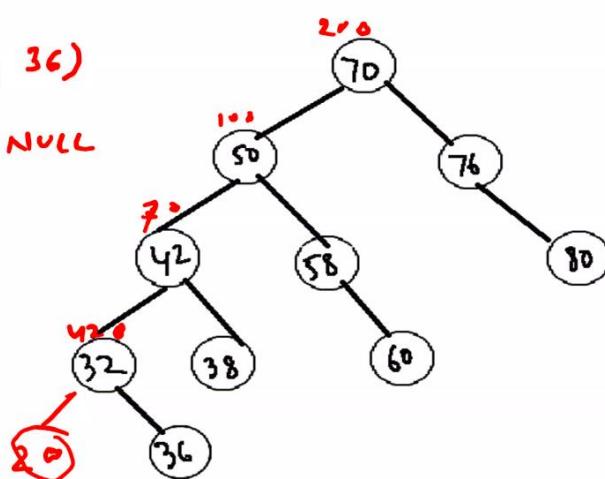
420 → right = NULL



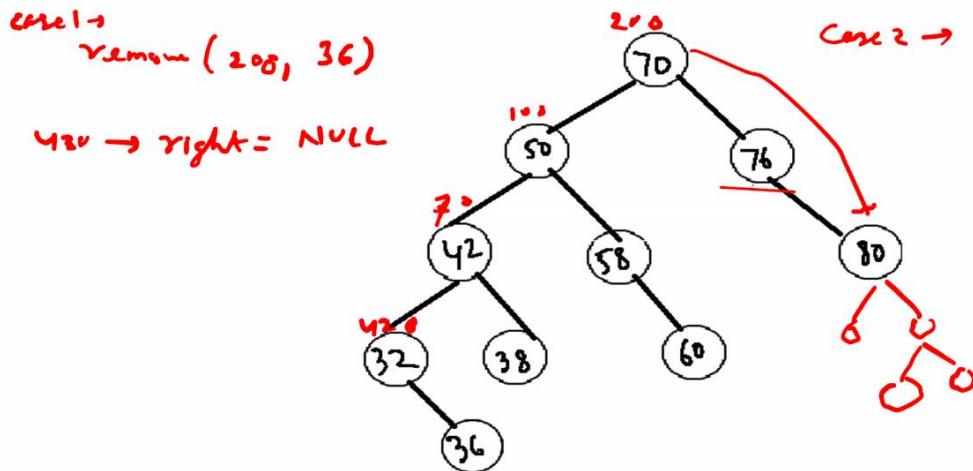
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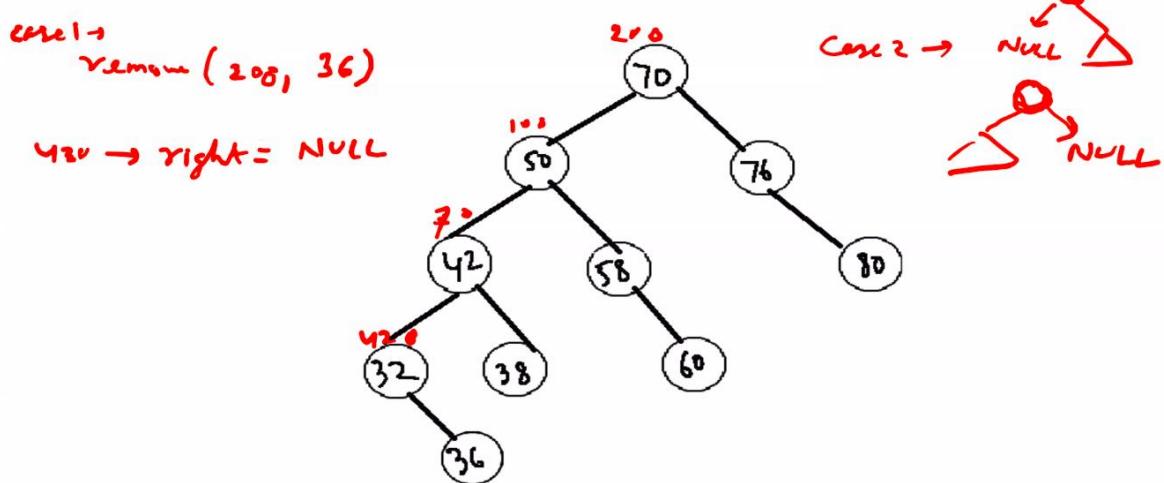
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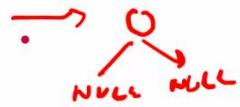


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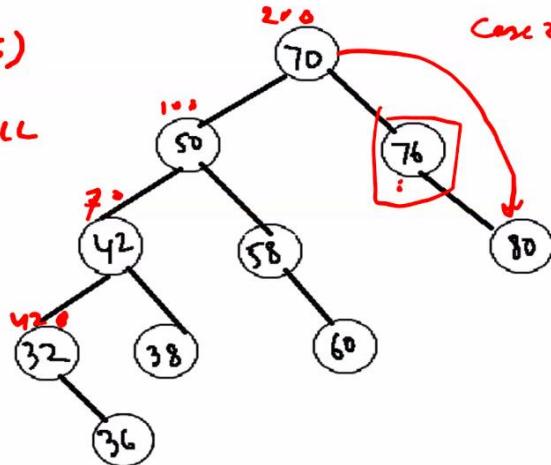




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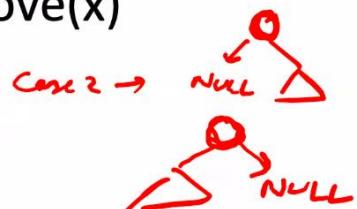
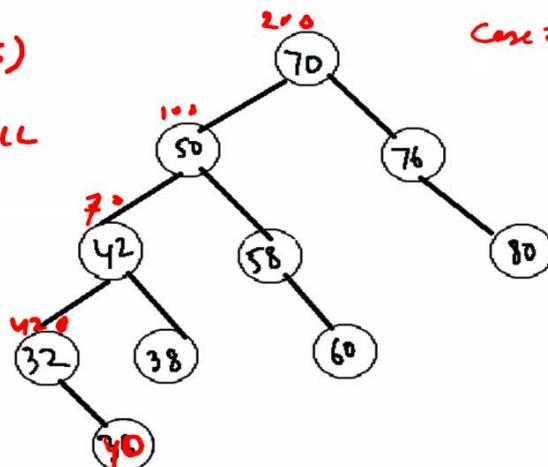
$42 \rightarrow \text{right} = \text{NULL}$

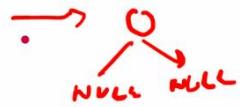


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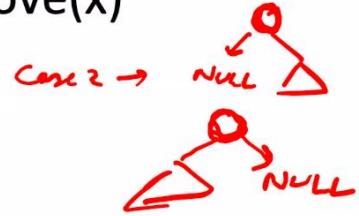
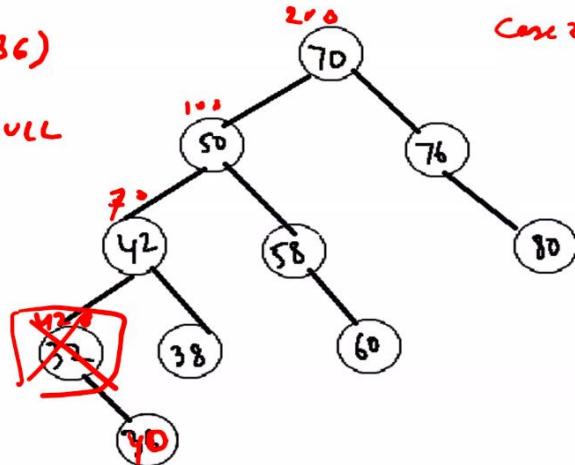




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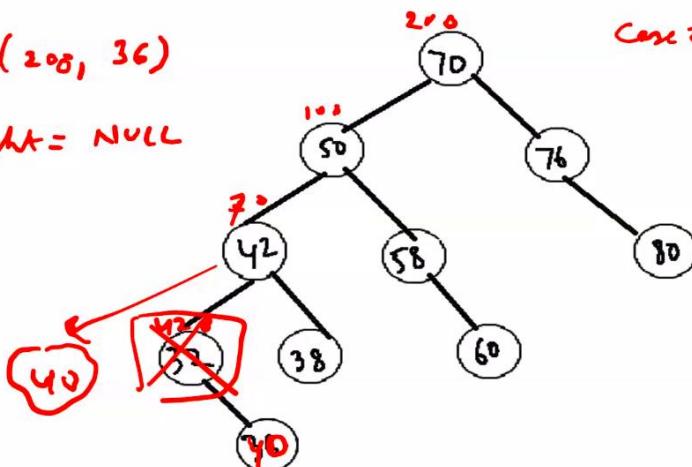
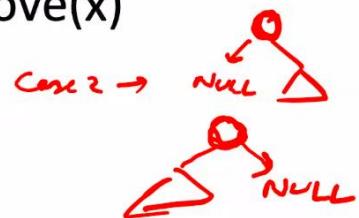
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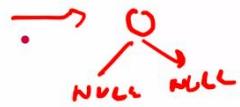


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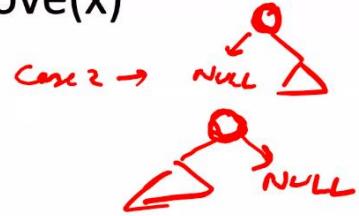
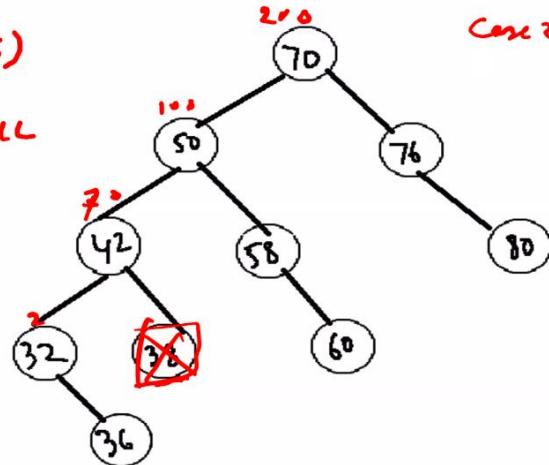




Binary Search Tree: Remove(x)

case1 → remove (208, 36)

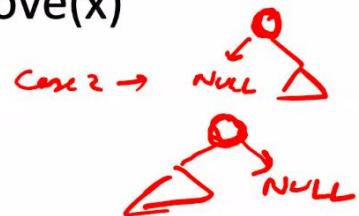
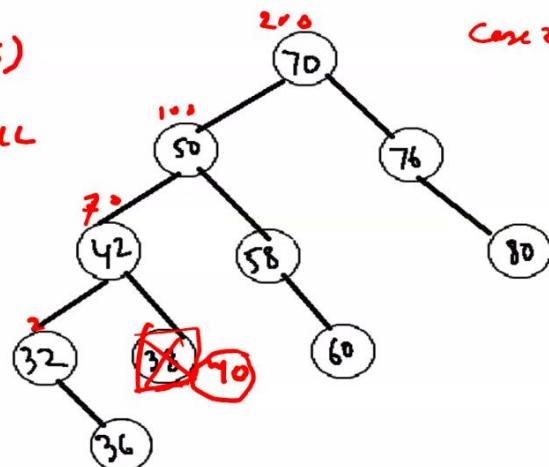
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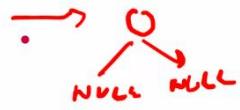


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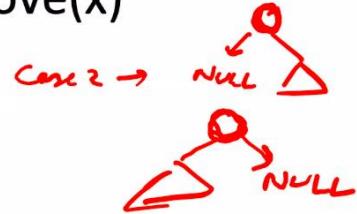
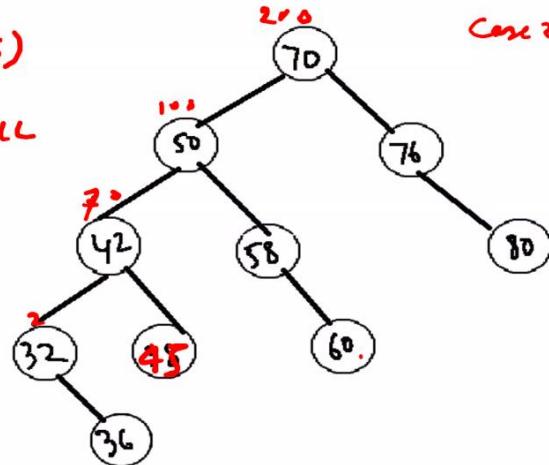




Binary Search Tree: Remove(x)

case 1 → remove (208, 36)

420 → right = NULL

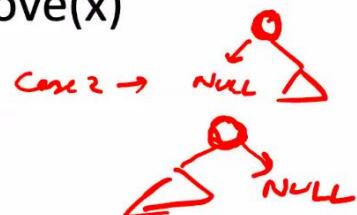
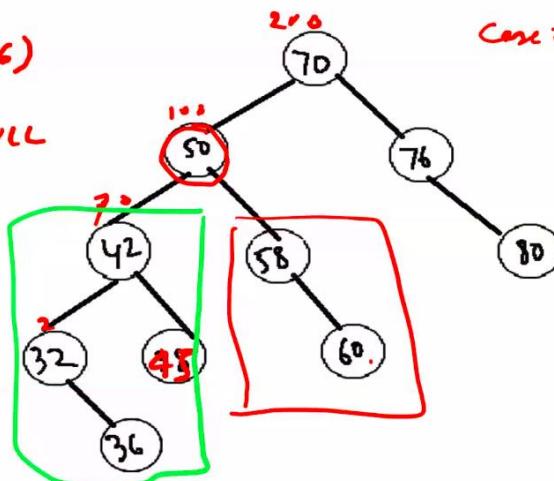


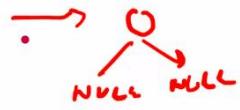
Binary Search Tree: Remove(x)

case 1 → remove (208, 36)

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case 2



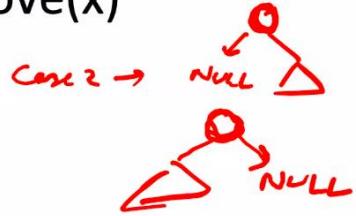
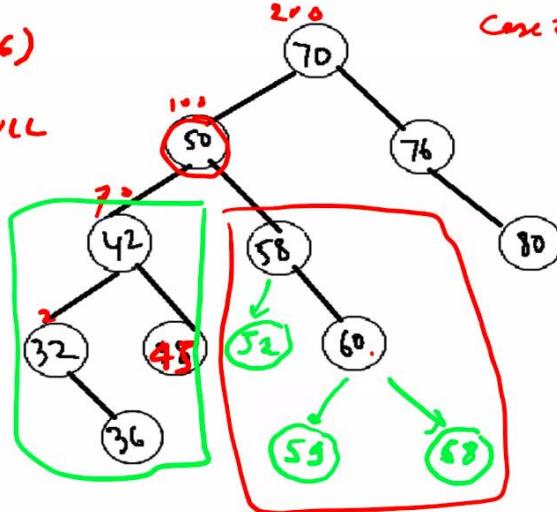


Binary Search Tree: Remove(x)

case 1 → remove (208, 36)

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case -)

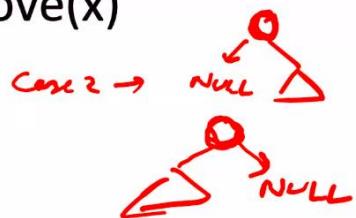
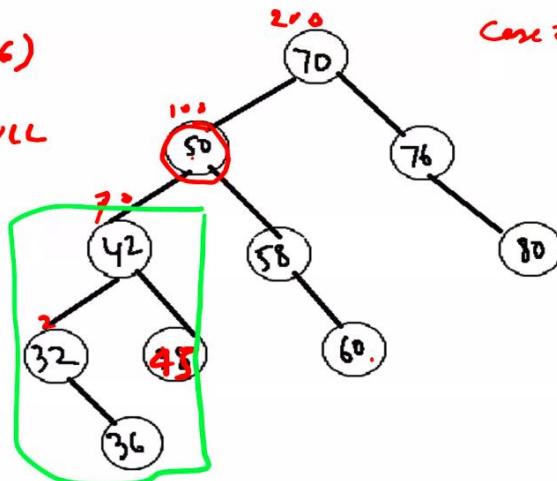


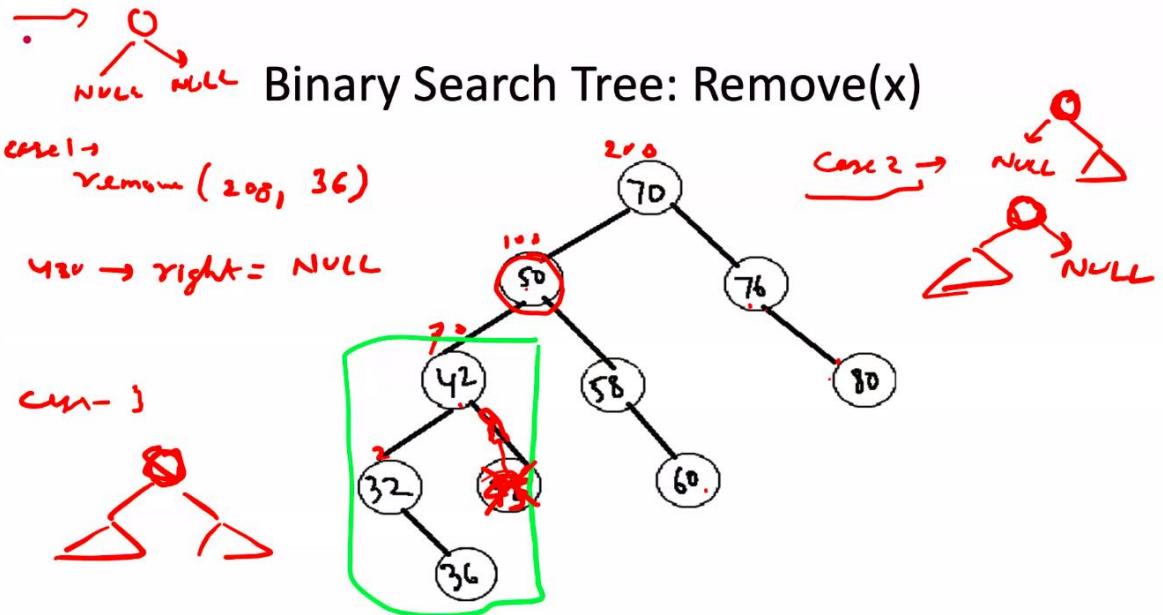
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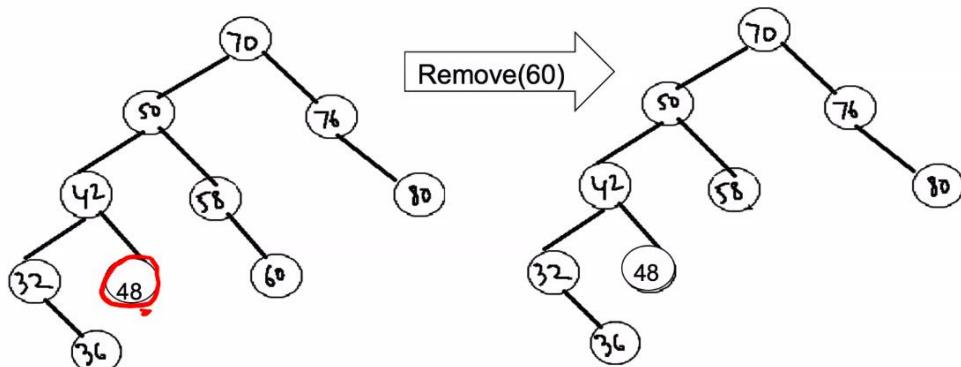
case -)

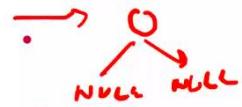




Remove(x)

- If x is a leaf node, then x can be removed easily.
 - $\text{parent}(x)$ misses a child.





Binary Search Tree: Remove(x)

case 1 → remove (208, 36)

420 → right = NULL

case 1

