

# Deep contextualized word representations

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## Abstract

我们介绍了一种新的深度语境词表示方法，它可以同时对词所使用的复杂词性（例如语法和语义）进行建模。而且它建模的方式会随着语境不同而不同（即，多义性建模）。我们词向量是从深层双向语言模型的内部状态学习到的，这个语言模型在大型的语料库上预训练。我们将展示这种词向量能很容易地加入到已有的模型中，并且能够显著地提高6项最NLP任务的最优（SOTA）表现。这6项NLP任务包括问答，文本推理和文本分析。我们同样分析说明了暴露预训练网络的深度内部向量是非常关键的，这一步使得下游模型能混合不同类型的半监督标志。

these representations can be easily added to existing models and significantly improve the

我们的向量表示与传统类型的词向量不同之处在于，每个token的映射向量都是整个输入句子的函数。我们使用双向LSTM在大型语料上训练语言模型，然后取出BiLSTM内部的向量作为词向量。也是这个原因，我们称它们为ELMo表示（语言模型上的embedding）。和以前学习语境词向量的方法不同，elmos的向量更深，因为它是BiLSTM所有内部层的函数。更具体来讲，我们对每种不同的下游任务学习了每个输入单词上向量的线性组合，这相比直接使用LSTM最顶层向量显著提高了模型表现。以这种方式结合内部状态产生了语义非常丰富的词向量表示。使用内在评估标准，我们展示了更高层的LSTM状态更擅长捕捉与语境相关的单词含义（例，它们无需修改就能在有监督的单词语义消歧任务上表现很好）而更低层的状态更擅长捕捉语法相关的含义（它们可以用于做词性标注）。同时利用所有这些向量是很有帮助的，它会使得学习到的模型挑选对不同下游任务最有利的半监督类型。扩展的实验说明了elmo展示在实际中表现非常好。我们首先说明它可以很轻易地加入到6中现有语言理解问题中。elmo向量的加入能显著地提高每种任务的最优表现，包括相关误差减少20%。在任务上对比，elmo表现优于cove，cove是使用机器学习翻译自编码来计算语境表示的。最终在对elmo和CoVe分析后显示LSTM深层信息的表现比仅仅使用顶层LSTM更优。我们的模型和代码已公开，我们希望elmo能在其他nlp任务中也获得相似的提升。

polysensory). In this paper, we introduce a new type of deep contextualized word representation that directly addresses both challenges, can be easily integrated into existing models, and significantly improves the state of the art in every considered case across a range of challenging language understanding problems.

Our representations differ from traditional word type embeddings in that each token is assigned a representation that is a function of the entire input sentence. We use vectors derived from a bidirectional LSTM that is trained with a coupled lan-

guage model (LM) objective on a large text corpus. For this reason, we call them ELMo (Embeddings from Language Models) representations. Unlike previous approaches for learning contextualized word vectors (Peters et al., 2017; McCann et al., 2017), ELMo representations are deep, in the sense that they are a function of all of the internal layers of the biLM. More specifically, we learn a linear combination of the vectors stacked above each input word for each end task, which markedly improves performance over just using the top LSTM layer.

Combining the internal states in this manner allows for very rich word representations. Using intrinsic evaluations, we show that the higher-level LSTM states capture context-dependent aspects of word meaning (e.g., they can be used without modification to perform well on supervised word sense disambiguation tasks) while lower-level states model aspects of syntax (e.g., they can be used to do part-of-speech tagging). Simultaneously exposing all of these signals is highly beneficial, allowing the learned models select the types of semi-supervision that are most useful for each end task.

Extensive experiments demonstrate that ELMo representations work extremely well in practice. We first show that they can be easily added to existing models for six diverse and challenging language understanding problems, including textual entailment, question answering and sentiment analysis. The addition of ELMo representations alone significantly improves the state of the art in every case, including up to 20% relative error reductions. For tasks where direct comparisons are possible, ELMo outperforms CoVe (McCann et al., 2017), which computes contextualized representations using a neural machine translation encoder. Finally, an analysis of both ELMo and CoVe reveals that deep representations outperform

those derived from just the top layer of an LSTM. Our trained models and code are publicly available, and we expect that ELMo will provide similar gains for many other NLP problems.<sup>1</sup>

## 2 Related work

Due to their ability to capture syntactic and semantic information of words from large scale unlabeled text, pretrained word vectors (Turian et al., 2010; Mikolov et al., 2013; Pennington et al., 2014) are a standard component of most state-of-the-art NLP architectures, including for question answering (Liu et al., 2017), textual entailment (Chen et al., 2017) and semantic role labeling (He et al., 2017). However, these approaches for learning word vectors only allow a single context-independent representation for each word.

Previously proposed methods overcome some of the shortcomings of traditional word vectors by either enriching them with subword information (e.g., Wieting et al., 2016; Bojanowski et al., 2017) or learning separate vectors for each word sense (e.g., Neelakantan et al., 2014). Our approach also benefits from subword units through the use of character convolutions, and we seamlessly incorporate multi-sense information into downstream tasks without explicitly training to predict predefined sense classes.

Other recent work has also focused on learning context-dependent representations.

<sup>3</sup> elmo: 语言模型中的词向量  
和广泛使用的词向量不同, elmo词向量是整个输入句子的映射, 这节将详细描述。它们在biLM的最上面两层进行计算使用字符卷积, 作为内部网络状态的线性函数。这一步骤使得我们能做半监督学习, 这里BiLM是在大规模语料上预训练的, 并且很轻易地合并了很多现有的NLP神经网络结构。

approaches benefit from large datasets, although the MT approach is limited by the size of parallel corpora. In this paper, we take full advantage of access to plentiful monolingual data, and train our biLM on a corpus with approximately 30 million sentences (Chelba et al., 2014). We also generalize these approaches to deep contextual representations, which we show work well across a broad range of diverse NLP tasks.

Previous work has also shown that different layers of deep biRNNs encode different types of information. For example, introducing multi-task syntactic supervision (e.g., part-of-speech tags) at the lower levels of a deep LSTM can improve overall performance of higher level tasks such as dependency parsing (Hashimoto et al., 2017) or CCG super tagging (Søgaard and Goldberg, 2016). In an RNN-based encoder-decoder machine translation system, Belinkov et al. (2017) showed that the representations learned at the first layer in a 2-layer LSTM encoder are better at predicting POS tags than second layer. Finally, the top layer of an LSTM for encoding word context (Melamud et al., 2016) has been shown to learn representations of word sense. We show that similar signals are also induced by the modified language model objective of our ELMo representations, and it can be very beneficial to learn models for downstream tasks that mix these different types of semi-supervision.

Dai and Le (2015) and Ramachandran et al. (2017) pretrain encoder-decoder pairs using language models and sequence autoencoders and then fine tune with task specific supervision. In contrast, after pretraining the biLM with unlabeled data, we fix the weights and add additional task-specific model capacity, allowing us to leverage large, rich and universal biLM representations for cases where downstream training data size dictates a smaller supervised model.

## 3 ELMo: Embeddings from Language Models

Unlike most widely used word embeddings (Pennington et al., 2014), ELMo word representations are functions of the entire input sentence, as described in this section. They are computed on top of two-layer biLMs with character convolutions (Sec. 3.1), as a linear function of the internal network states (Sec. 3.2). This setup allows us to do semi-supervised learning, where the biLM is pre-trained at a large scale (Sec. 3.4) and easily incorporated into a wide range of existing neural NLP architectures (Sec. 3.3).

### 3.1 Bidirectional language models

Given a sequence of  $N$  tokens,  $(t_1, t_2, \dots, t_N)$ , a forward language model computes the probability of the sequence by modeling the probability of to-

<sup>1</sup><http://allennlp.org/elmo>

### 3.1 双向语言模型

给定 $N$ 个tokens的序列,  $(t_1, t_2, \dots, t_N)$ , 一个前向的语言模型计算序列的概率通过对tokens  $t_k$ 建模, 在给定 $t_1, \dots, t_k$ .

ken  $t_k$  given the history  $(t_1, \dots, t_{k-1})$ :

$$p(t_1, t_2, \dots, t_N) = \prod_{k=1}^N p(t_k | t_1, t_2, \dots, t_{k-1}).$$

Recent state-of-the-art neural language models (Józefowicz et al., 2016; Melis et al., 2017; Merity et al., 2017) compute a context-independent token representation  $\mathbf{x}_k^{LM}$  (via token embeddings or a CNN over characters) then pass it through  $L$  layers of forward LSTMs. At each position  $k$ , each LSTM layer outputs a context-dependent representation  $\vec{\mathbf{h}}_{k,j}^{LM}$  where  $j = 1, \dots, L$ . The top layer LSTM output,  $\vec{\mathbf{h}}_{k,L}^{LM}$ , is used to predict the next token  $t_{k+1}$  with a Softmax layer.

一个反向的LM和前向的LM相似, 除了它在序列的运行顺序上是相反的, 给出未来的语境来预测以前的单词。

这可以通过和前向LM相同的方法来实现, 在 $L$ 层的深度模型上第 $j$ 个LSTM层给定 $(t_{k+1}, \dots, t_N)$ 生成的 $t_k$ 向量记为 $\mathbf{h}$ 。

一个双向语言模型合并了前向和后向语言模型。我们的公式联合最大化前向和后向的log似然函数。

我们对前向和后向的token展现层和softmax层都绑定了参数, 前向和后向在LSTM层的参数不一样。总体来讲, 这个公式和peters的方法很像, 除了我们在两个方向上共享了参数而不是使用独立的参数。在下一节, 我们将与前面的方法区分开, 使用一种新的方法来学习词向量表示, 这是一种Bi-LM层的线性组合。

in a  $L$  layer deep model producing representations  $\vec{\mathbf{h}}_{k,j}^{LM}$  of  $t_k$  given  $(t_{k+1}, \dots, t_N)$ .

A biLM combines both a forward and backward LM. Our formulation jointly maximizes the log likelihood of the forward and backward directions:

$$\sum_{k=1}^N ( \log p(t_k | t_1, \dots, t_{k-1}; \Theta_x, \vec{\Theta}_{LSTM}, \Theta_s) + \log p(t_k | t_{k+1}, \dots, t_N; \Theta_x, \overleftarrow{\Theta}_{LSTM}, \Theta_s) ).$$

We tie the parameters for both the token representation ( $\Theta_x$ ) and Softmax layer ( $\Theta_s$ ) in the forward and backward direction while maintaining sepa-

each token  $t_k$ , a  $L$ -layer biLM computes a set of  $2L + 1$  representations

$$\begin{aligned} R_k &= \{ \mathbf{x}_k^{LM}, \vec{\mathbf{h}}_{k,j}^{LM}, \overleftarrow{\mathbf{h}}_{k,j}^{LM} | j = 1, \dots, L \} \\ &= \{ \mathbf{h}_{k,j}^{LM} | j = 0, \dots, L \}, \end{aligned}$$

where  $\mathbf{h}_{k,0}^{LM}$  is the token layer and  $\mathbf{h}_{k,j}^{LM} = [\vec{\mathbf{h}}_{k,j}^{LM}, \overleftarrow{\mathbf{h}}_{k,j}^{LM}]$ , for each biLSTM layer.

For inclusion in a downstream model, ELMo collapses all layers in  $R$  into a single vector,

### 3.2 elmo

elmo是一个Bi-LM中间层表示的特定组合任务。对于每个token  $t_k$ , 一个 $L$ 层的Bi-LM计算了 $2L+1$ 个表示, 表示集合为 $R_k$ 。(每个LSTM层的输出计算两个, token词向量一个)为了兼容下游的模型, elmo将 $R$ 中所有层压缩到一个向量中,  $\text{elmo}_k = E(R_k)$ 。最简单的情况, elmo仅仅是顶层的输出, 就和tagLM和CoVe一样。更加普遍的, 我们计算所有Bi-LM层对特定任务的权重: 其中 $S$ 是softmax归一化后的权重而尺度参数 $R$ 允许任务模型放缩整个elmo向量。为了补救最优化过程 $R$ 在实践中是非常重要的(详情可以看超参数部分)。考虑到每个Bi-LM层的激活函数有不同的分布, 在一些情况下使用对每个bi-LM层在加权之前使用LN标准化是有用的。

the scalar parameter  $\gamma^{task}$  allows the task model to scale the entire ELMo vector.  $\gamma$  is of practical importance to aid the optimization process (see supplemental material for details). Considering that the activations of each biLM layer have a different distribution, in some cases it also helped to apply layer normalization (Ba et al., 2016) to each biLM layer before weighting.

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### 3.3 Using biLMs for supervised NLP tasks

Given a pre-trained biLM and a supervised architecture for a target NLP task, it is a simple process to use the biLM to improve the task model. We simply run the biLM and record all of the layer representations for each word. Then, we let the end task model learn a linear combination of these representations, as described below.

First consider the lowest layers of the supervised model without the biLM. Most supervised NLP models share a common architecture at the lowest layers, allowing us to add ELMo in a consistent, unified manner. Given a sequence of tokens  $(t_1, \dots, t_N)$ , it is standard to form a context-independent token representation  $\mathbf{x}_k$  for each token position using pre-trained word embeddings and optionally character-based representations. Then, the model forms a context-sensitive representation  $\mathbf{h}_k$ , typically using either bidirectional RNNs, CNNs, or feed forward networks.

To add ELMo to the supervised model, we first freeze the weights of the biLM and then

### 3.3 对有监督NLP任务使用bi-LM

给定一个预训练好的bi-LM和对一个目标NLP任务上的有监督结构, 很容易使用Bi-LM来提升任务模型的性能。我们简单的运行了Bi-LM并且记录了每个单词所有层的表现。然后, 我们让下游任务模型学习所有表示的线性组合, 和上面描述的一样。首先考虑没有Bi-LM的有监督模型的最底层。大多数有监督NLP模型在最底层都有相同的结构, 这允许我们用一种统一的方法将elmo加入进去。给定一个tokens序列 $(t_1, \dots, t_N)$ , 常规的做法是对每个token构建一个内容无关的token表示 $\mathbf{x}_k$ ,  $\mathbf{x}_k$ 是基于字符或者词语的预训练词向量模型。然后, 这个模型组成了一个内容敏感度的表示 $\mathbf{h}_k$ , 特别是使用双向RNNs, CNNs或者前向神经网络。

### 3.2 ELMo

ELMo is a task specific combination of the intermediate layer representations in the biLM. For



concatenate the ELMo vector  $\text{ELMo}_k^{\text{task}}$  with  $\mathbf{x}_k$  and pass the ELMo enhanced representation  $[\mathbf{x}_k; \text{ELMo}_k^{\text{task}}]$  into the task RNN. For some tasks (e.g., SNLI, SQuAD), we observe further improvements by also including ELMo at the output of the task RNN by introducing another set of output specific linear weights and replacing  $\mathbf{h}_k$  with  $[\mathbf{h}_k; \text{ELMo}_k^{\text{task}}]$ . As the remainder of the supervised model remains unchanged, these additions can happen within the context of more complex neural models. For example, see the SNLI experiments in Sec. 4 where a bi-attention layer follows the biLSTMs, or the coreference resolution experiments where a clustering model is layered on top of the biLSTMs.

Finally, we found it beneficial to add a moderate amount of dropout to ELMo (Srivastava et al., 2014) and in some cases to regularize the ELMo weights by adding  $\lambda \|\mathbf{w}\|_2^2$  to the loss. This imposes an inductive bias on the ELMo weights to stay close to an average of all biLM layers.

### 3.4 Pre-trained bidirectional language model architecture

The pre-trained biLMs in this paper are similar to the architectures in Józefowicz et al. (2016) and Kim et al. (2015), but modified to support joint training of both directions and add a residual connection between LSTM layers. We focus on large scale biLMs in this work, as Peters et al. (2017) highlighted the importance of using biLMs over forward-only LMs and large scale training.

To balance overall language model perplexity with model size and computational requirements for downstream tasks while maintaining a purely character-based input representation, we halved all embedding and hidden dimensions from the single best model CNN-BIG-LSTM in Józefowicz et al. (2016). The final model uses  $L = 2$  biLSTM layers with 4096 units and 512 dimension projections and a residual connection from the first to second layer. The context insensitive type representation uses 2048 character n-gram convolutional filters followed by two highway layers (Srivastava et al., 2015) and a linear projection down to a 512 representation. As a result, the biLM provides three layers of representations for each input token, including those outside the training set due to the purely character input. In contrast, traditional word embedding methods only provide one layer of representation for tokens in a fixed vocabulary.

为了将elmo加入到有监督模型中, 我们首先biLM上的权重, 然后拼接elmo向量el mok和 $\mathbf{x}_k$ , 然后将el mo提升后的表示 $[\mathbf{x}_k, \text{el mok}]$ 输入到任务级别的RNN中。对于一些任务, 例如SNLI, SQuAD, 我们观察到加入el mos的任务输出RNN, 通过引入其他输出特定线性权重, 然后用 $[\mathbf{h}_k, \text{el mok}]$ 来替换 $\mathbf{h}_k$ 。同时有监督模型的剩余部分保持不变, 这个增加部分也可以加入在更加复杂的神经模型中。例如, 第四节的SNLI模型, 在Bi LSTMs模型后跟着双向注意力层, 或者共参分辨率模型其中聚类模型是在Bi LSTM层之上的。最后, 我们发现适量的添加dropout是有效的, 而且有时需要加入二范数来正则化el mo的权重。这会减轻el mo权重的偏差并且输出更接近所有层的平均值。

Once pretrained, the biLM can compute representations for any task. In some cases, fine tuning the biLM on domain specific data leads to significant drops in perplexity and an increase in downstream task performance. This can be seen as a type of domain transfer for the biLM. As a result, in most cases we used a fine-tuned biLM in the downstream task. See supplemental material for details.

## 4 Evaluation

Table 1 shows the performance of ELMo across a diverse set of six benchmark NLP tasks. In every task considered, simply adding ELMo establishes a new state-of-the-art result, with relative error reductions ranging from 6 - 20% over strong base models. This is a very general result across a diverse set model architectures and language understanding tasks. In the remainder of this section we provide high-level sketches of the individual task results; see the supplemental material for full experimental details.

**Question answering** The Stanford Question Answering Dataset (SQuAD) (Rajpurkar et al., 2016) contains 100K+ crowd sourced question-answer pairs where the answer is a span in a given Wikipedia paragraph. Our baseline model (Clark and Gardner, 2017) is an improved version of the Bidirectional Attention Flow model in Seo et al. (BiDAF; 2017). It adds a self-attention layer after the bidirectional attention component, simplifies some of the pooling operations and substitutes the LSTMs for gated recurrent units (GRUs; Cho et al., 2014). After adding ELMo to the baseline model, test set  $F_1$  improved by 4.7% from 81.1% to 85.8%, a 24.9% relative error reduction over the baseline, and improving the overall single model state-of-the-art by 1.4%. A 11 member ensemble pushes  $F_1$  to 87.4, the overall state-of-the-art at time of submission to the leaderboard.<sup>2</sup> The increase of 4.7% with ELMo is also significantly larger than the 1.8% improvement from adding CoVe to a baseline model (McCann et al., 2017).

<sup>2</sup>As of November 17, 2017.

TASK	PREVIOUS SOTA		OUR BASELINE	ELMo + BASELINE	INCREASE (ABSOLUTE/ RELATIVE)
SQuAD	Liu et al. (2017)	84.4	81.1	85.8	4.7 / 24.9%
SNLI	Chen et al. (2017)	88.6	88.0	88.7 $\pm$ 0.17	0.7 / 5.8%
SRL	He et al. (2017)	81.7	81.4	84.6	3.2 / 17.2%
Coref	Lee et al. (2017)	67.2	67.2	70.4	3.2 / 9.8%
NER	Peters et al. (2017)	91.93 $\pm$ 0.19	90.15	92.22 $\pm$ 0.10	2.06 / 21%
SST-5	McCann et al. (2017)	53.7	51.4	54.7 $\pm$ 0.5	3.3 / 6.8%

Table 1: Test set comparison of ELMo enhanced neural models with state-of-the-art single model baselines across six benchmark NLP tasks. The performance metric varies across tasks – accuracy for SNLI and SST-5;  $F_1$  for SQuAD, SRL and NER; average  $F_1$  for Coref. Due to the small test sizes for NER and SST-5, we report the mean and standard deviation across five runs with different random seeds. The “increase” column lists both the absolute and relative improvements over our baseline.

**Textual entailment** Textual entailment is the task of determining whether a “hypothesis” is true, given a “premise”. The Stanford Natural Language Inference (SNLI) corpus (Bowman et al., 2015) provides approximately 550K hypothesis/premise pairs. Our baseline, the ESIM sequence model from Chen et al. (2017), uses a biLSTM to encode the premise and hypothesis, followed by a matrix attention layer, a local inference layer, another biLSTM inference composition layer, and finally a pooling operation before the output layer. Overall, adding ELMo to the ESIM model improves accuracy by an average of 0.7% across five random seeds. A five member ensemble pushes the overall accuracy to 89.3%, exceeding the previous ensemble best of 88.9% (Gong et al., 2018).

**Semantic role labeling** A semantic role labeling (SRL) system models the predicate-argument structure of a sentence, and is often described as answering “Who did what to whom”. He et al. (2017) modeled SRL as a BIO tagging problem and used an 8-layer deep biLSTM with forward and backward directions interleaved, following Zhou and Xu (2015). As shown in Table 1, when adding ELMo to a re-implementation of He et al. (2017) the single model test set  $F_1$  jumped 3.2% from 81.4% to 84.6% – a new state-of-the-art on the OntoNotes benchmark (Pradhan et al., 2013), even improving over the previous best ensemble result by 1.2%.

**Coreference resolution** Coreference resolution is the task of clustering mentions in text that refer to the same underlying real world entities. Our baseline model is the end-to-end span-based neural model of Lee et al. (2017). It uses a biLSTM

and attention mechanism to first compute span representations and then applies a softmax mention ranking model to find coreference chains. In our experiments with the OntoNotes coreference annotations from the CoNLL 2012 shared task (Pradhan et al., 2012), adding ELMo improved the average  $F_1$  by 3.2% from 67.2 to 70.4, establishing a new state of the art, again improving over the previous best ensemble result by 1.6%  $F_1$ .

**Named entity extraction** The CoNLL 2003 NER task (Sang and Meulder, 2003) consists of newswire from the Reuters RCV1 corpus tagged with four different entity types (PER, LOC, ORG, MISC). Following recent state-of-the-art systems (Lample et al., 2016; Peters et al., 2017), the baseline model uses pre-trained word embeddings, a character-based CNN representation, two biLSTM layers and a conditional random field (CRF) loss (Lafferty et al., 2001), similar to Collobert et al. (2011). As shown in Table 1, our ELMo enhanced biLSTM-CRF achieves 92.22%  $F_1$  averaged over five runs. The key difference between our system and the previous state of the art from Peters et al. (2017) is that we allowed the task model to learn a weighted average of all biLM layers, whereas Peters et al. (2017) only use the top biLM layer. As shown in Sec. 5.1, using all layers instead of just the last layer improves performance across multiple tasks.

**Sentiment analysis** The fine-grained sentiment classification task in the Stanford Sentiment Treebank (SST-5; Socher et al., 2013) involves selecting one of five labels (from very negative to very positive) to describe a sentence from a movie review. The sentences contain diverse linguistic phenomena such as idioms and complex syntac-

Task	Baseline	Last Only	All layers	
			$\lambda=1$	$\lambda=0.001$
SQuAD	80.8	84.7	85.0	<b>85.2</b>
SNLI	88.1	89.1	89.3	<b>89.5</b>
SRL	81.6	84.1	84.6	<b>84.8</b>

Table 2: Development set performance for SQuAD, SNLI and SRL comparing using all layers of the biLM (with different choices of regularization strength  $\lambda$ ) to just the top layer.

Task	Input Only	Input & Output	Output Only
SQuAD	85.1	<b>85.6</b>	84.8
SNLI	88.9	<b>89.5</b>	88.7
SRL	<b>84.7</b>	84.3	80.9

Table 3: Development set performance for SQuAD, SNLI and SRL when including ELMo at different locations in the supervised model.

tic constructions such as negations that are difficult for models to learn. Our baseline model is the biattentive classification network (BCN) from McCann et al. (2017), which also held the prior state-of-the-art result when augmented with CoVe embeddings. Replacing CoVe with ELMo in the BCN model results in a 1.0% absolute accuracy improvement over the state of the art.

## 5 Analysis

This section provides an ablation analysis to validate our chief claims and to elucidate some interesting aspects of ELMo representations. Sec. 5.1 shows that using deep contextual representations in downstream tasks improves performance over previous work that uses just the top layer, regardless of whether they are produced from a biLM or MT encoder, and that ELMo representations provide the best overall performance. Sec. 5.3 explores the different types of contextual information captured in biLMs and uses two intrinsic evaluations to show that syntactic information is better represented at lower layers while semantic information is captured a higher layers, consistent with MT encoders. It also shows that our biLM consistently provides richer representations than CoVe. Additionally, we analyze the sensitivity to where ELMo is included in the task model (Sec. 5.2), training set size (Sec. 5.4), and visualize the ELMo learned weights across the tasks (Sec. 5.5).

### 5.1 Alternate layer weighting schemes

There are many alternatives to Equation 1 for combining the biLM layers. Previous work on contextual representations used only the last layer, whether it be from a biLM (Peters et al., 2017) or an MT encoder (CoVe; McCann et al., 2017). The choice of the regularization parameter  $\lambda$  is also important, as large values such as  $\lambda = 1$  effectively reduce the weighting function to a simple average over the layers, while smaller values (e.g.,  $\lambda = 0.001$ ) allow the layer weights to vary.

Table 2 compares these alternatives for SQuAD, SNLI and SRL. Including representations from all layers improves overall performance over just using the last layer, and including contextual representations from the last layer improves performance over the baseline. For example, in the case of SQuAD, using just the last biLM layer improves development  $F_1$  by 3.9% over the baseline. Averaging all biLM layers instead of using just the last layer improves  $F_1$  another 0.3% (comparing “Last Only” to  $\lambda=1$  columns), and allowing the task model to learn individual layer weights improves  $F_1$  another 0.2% ( $\lambda=1$  vs.  $\lambda=0.001$ ). A small  $\lambda$  is preferred in most cases with ELMo, although for NER, a task with a smaller training set, the results are insensitive to  $\lambda$  (not shown).

The overall trend is similar with CoVe but with smaller increases over the baseline. For SNLI, averaging all layers with  $\lambda=1$  improves development accuracy from 88.2 to 88.7% over using just the last layer. SRL  $F_1$  increased a marginal 0.1% to 82.2 for the  $\lambda=1$  case compared to using the last layer only.

### 5.2 Where to include ELMo?

All of the task architectures in this paper include word embeddings only as input to the lowest layer biRNN. However, we find that including ELMo at the output of the biRNN in task-specific architectures improves overall results for some tasks. As shown in Table 3, including ELMo at both the input and output layers for SNLI and SQuAD improves over just the input layer, but for SRL (and coreference resolution, not shown) performance is highest when it is included at just the input layer. One possible explanation for this result is that both the SNLI and SQuAD architectures use attention layers after the biRNN, so introducing ELMo at this layer allows the model to attend directly to the biLM’s internal representations. In the SRL case,

Source		Nearest Neighbors
GloVe	play	playing, game, games, played, players, plays, player, Play, football, multiplayer
biLM	Chico Ruiz made a spectacular <u>play</u> on Alusik’s grounder {...}	Kieffer , the only junior in the group , was commended for his ability to hit in the clutch , as well as his all-round excellent <u>play</u> .
	Olivia De Havilland signed to do a Broadway <u>play</u> for Garson {...}	{...} they were actors who had been handed fat roles in a successful <u>play</u> , and had talent enough to fill the roles competently , with nice understatement .

Table 4: Nearest neighbors to “play” using GloVe and the context embeddings from a biLM.

Model	F <sub>1</sub>	Model	Acc.
WordNet 1st Sense Baseline	65.9	Collobert et al. (2011)	97.3
Raganato et al. (2017a)	69.9	Ma and Hovy (2016)	97.6
Iacobacci et al. (2016)	<b>70.1</b>	Ling et al. (2015)	<b>97.8</b>
CoVe, First Layer	59.4	CoVe, First Layer	93.3
CoVe, Second Layer	64.7	CoVe, Second Layer	92.8
biLM, First layer	67.4	biLM, First Layer	97.3
biLM, Second layer	69.0	biLM, Second Layer	96.8

Table 5: All-words fine grained WSD F<sub>1</sub>. For CoVe and the biLM, we report scores for both the first and second layer biLSTMs.

Table 6: Test set POS tagging accuracies for PTB. For CoVe and the biLM, we report scores for both the first and second layer biLSTMs.

the task-specific context representations are likely more important than those from the biLM.

### 5.3 What information is captured by the biLM’s representations?

Since adding ELMo improves task performance over word vectors alone, the biLM’s contextual representations must encode information generally useful for NLP tasks that is not captured in word vectors. Intuitively, the biLM must be disambiguating the meaning of words using their context. Consider “play”, a highly polysemous word. The top of Table 4 lists nearest neighbors to “play” using GloVe vectors. They are spread across several parts of speech (e.g., “played”, “playing” as verbs, and “player”, “game” as nouns) but concentrated in the sports-related senses of “play”. In contrast, the bottom two rows show nearest neighbor sentences from the SemCor dataset (see below) using the biLM’s context representation of “play” in the source sentence. In these cases, the biLM is able to disambiguate both the part of speech and word sense in the source sentence.

These observations can be quantified using an

intrinsic evaluation of the contextual representations similar to Belinkov et al. (2017). To isolate the information encoded by the biLM, the representations are used to directly make predictions for a fine grained word sense disambiguation (WSD) task and a POS tagging task. Using this approach, it is also possible to compare to CoVe, and across each of the individual layers.

**Word sense disambiguation** Given a sentence, we can use the biLM representations to predict the sense of a target word using a simple 1-nearest neighbor approach, similar to Melamud et al. (2016). To do so, we first use the biLM to compute representations for all words in SemCor 3.0, our training corpus (Miller et al., 1994), and then take the average representation for each sense. At test time, we again use the biLM to compute representations for a given target word and take the nearest neighbor sense from the training set, falling back to the first sense from WordNet for lemmas not observed during training.

Table 5 compares WSD results using the evaluation framework from Raganato et al. (2017b) across the same suite of four test sets in Raganato et al. (2017a). Overall, the biLM top layer rep-



representations have  $F_1$  of 69.0 and are better at WSD than the first layer. This is competitive with a state-of-the-art WSD-specific supervised model using hand crafted features (Iacobacci et al., 2016) and a task specific biLSTM that is also trained with auxiliary coarse-grained semantic labels and POS tags (Raganato et al., 2017a). The CoVe biLSTM layers follow a similar pattern to those from the biLM (higher overall performance at the second layer compared to the first); however, our biLM outperforms the CoVe biLSTM, which trails the WordNet first sense baseline.

**POS tagging** To examine whether the biLM captures basic syntax, we used the context representations as input to a linear classifier that predicts POS tags with the Wall Street Journal portion of the Penn Treebank (PTB) (Marcus et al., 1993). As the linear classifier adds only a small amount of model capacity, this is direct test of the biLM’s representations. Similar to WSD, the biLM representations are competitive with carefully tuned, task specific biLSTMs (Ling et al., 2015; Ma and Hovy, 2016). However, unlike WSD, accuracies using the first biLM layer are higher than the top layer, consistent with results from deep biLSTMs in multi-task training (Søgaard and Goldberg, 2016; Hashimoto et al., 2017) and MT (Belingov et al., 2017). CoVe POS tagging accuracies follow the same pattern as those from the biLM, and just like for WSD, the biLM achieves higher accuracies than the CoVe encoder.

**Implications for supervised tasks** Taken together, these experiments confirm different layers in the biLM represent different types of information and explain why including all biLM layers is important for the highest performance in downstream tasks. In addition, the biLM’s representations are more transferable to WSD and POS tagging than those in CoVe, helping to illustrate why ELMo outperforms CoVe in downstream tasks.

#### 5.4 Sample efficiency

Adding ELMo to a model increases the sample efficiency considerably, both in terms of number of parameter updates to reach state-of-the-art performance and the overall training set size. For example, the SRL model reaches a maximum development  $F_1$  after 486 epochs of training without ELMo. After adding ELMo, the model exceeds the baseline maximum at epoch 10, a 98% relative decrease in the number of updates needed to reach

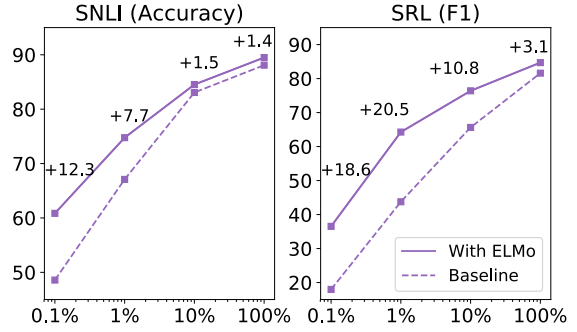


Figure 1: Comparison of baseline vs. ELMo performance for SNLI and SRL as the training set size is varied from 0.1% to 100%.

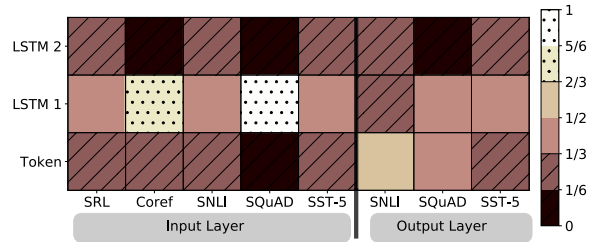


Figure 2: Visualization of softmax normalized biLM layer weights across tasks and ELMo locations. Normalized weights less than 1/3 are hatched with horizontal lines and those greater than 2/3 are speckled.

the same level of performance.

In addition, ELMo-enhanced models use smaller training sets more efficiently than models without ELMo. Figure 1 compares the performance of baseline models with and without ELMo as the percentage of the full training set is varied from 0.1% to 100%. Improvements with ELMo are largest for smaller training sets and significantly reduce the amount of training data needed to reach a given level of performance. In the SRL case, the ELMo model with 1% of the training set has about the same  $F_1$  as the baseline model with 10% of the training set.

#### 5.5 Visualization of learned weights

Figure 2 visualizes the softmax-normalized learned layer weights. At the input layer, the task model favors the first biLSTM layer. For coreference and SQuAD, this is strongly favored, but the distribution is less peaked for the other tasks. The output layer weights are relatively balanced, with a slight preference for the lower layers.



## 6 Conclusion

We have introduced a general approach for learning high-quality deep context-dependent representations from biLMs, and shown large improvements when applying ELMo to a broad range of NLP tasks. Through ablations and other controlled experiments, we have also confirmed that the biLM layers efficiently encode different types of syntactic and semantic information about words-in-context, and that using all layers improves overall task performance.

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## A Supplemental Material to accompany *Deep contextualized word representations*

This supplement contains details of the model architectures, training routines and hyper-parameter choices for the state-of-the-art models in Section 4.

All of the individual models share a common architecture in the lowest layers with a context independent token representation below several layers of stacked RNNs – LSTMs in every case except the SQuAD model that uses GRUs.

### A.1 Fine tuning biLM

As noted in Sec. 3.4, fine tuning the biLM on task specific data typically resulted in significant drops in perplexity. To fine tune on a given task, the supervised labels were temporarily ignored, the biLM fine tuned for one epoch on the training split and evaluated on the development split. Once fine tuned, the biLM weights were fixed during task training.

Table 7 lists the development set perplexities for the considered tasks. In every case except CoNLL 2012, fine tuning results in a large improvement in perplexity, e.g., from 72.1 to 16.8 for SNLI.

The impact of fine tuning on supervised performance is task dependent. In the case of SNLI, fine tuning the biLM increased development accuracy 0.6% from 88.9% to 89.5% for our single best model. However, for sentiment classification development set accuracy is approximately the same regardless whether a fine tuned biLM was used.

### A.2 Importance of $\gamma$ in Eqn. (1)

The  $\gamma$  parameter in Eqn. (1) was of practical importance to aid optimization, due to the different distributions between the biLM internal representations and the task specific representations. It is especially important in the last-only case in Sec. 5.1. Without this parameter, the last-only case performed poorly (well below the baseline) for SNLI and training failed completely for SRL.

### A.3 Textual Entailment

Our baseline SNLI model is the ESIM sequence model from Chen et al. (2017). Following the original implementation, we used 300 dimensions for all LSTM and feed forward layers and pre-trained 300 dimensional GloVe embeddings that were fixed during training. For regularization, we

Dataset	Before tuning	After tuning
SNLI	72.1	16.8
CoNLL 2012 (coref/SRL)	92.3	-
CoNLL 2003 (NER)	103.2	46.3
SQuAD	Context	99.1
	Questions	158.2
SST	131.5	78.6

Table 7: Development set perplexity before and after fine tuning for one epoch on the training set for various datasets (lower is better). Reported values are the average of the forward and backward perplexities.

added 50% variational dropout (Gal and Ghahramani, 2016) to the input of each LSTM layer and 50% dropout (Srivastava et al., 2014) at the input to the final two fully connected layers. All feed forward layers use ReLU activations. Parameters were optimized using Adam (Kingma and Ba, 2015) with gradient norms clipped at 5.0 and initial learning rate 0.0004, decreasing by half each time accuracy on the development set did not increase in subsequent epochs. The batch size was 32.

The best ELMo configuration added ELMo vectors to both the input and output of the lowest layer LSTM, using (1) with layer normalization and  $\lambda = 0.001$ . Due to the increased number of parameters in the ELMo model, we added  $\ell^2$  regularization with regularization coefficient 0.0001 to all recurrent and feed forward weight matrices and 50% dropout after the attention layer.

Table 8 compares test set accuracy of our system to previously published systems. Overall, adding ELMo to the ESIM model improved accuracy by 0.7% establishing a new single model state-of-the-art of 88.7%, and a five member ensemble pushes the overall accuracy to 89.3%.

### A.4 Question Answering

Our QA model is a simplified version of the model from Clark and Gardner (2017). It embeds tokens by concatenating each token’s case-sensitive 300 dimensional GloVe word vector (Pennington et al., 2014) with a character-derived embedding produced using a convolutional neural network followed by max-pooling on learned character embeddings. The token embeddings are passed through a shared bi-directional GRU, and then the bi-directional attention mechanism from BiDAF (Seo et al., 2017). The augmented con-



Model	Acc.
Feature based (Bowman et al., 2015)	78.2
DIIN (Gong et al., 2018)	88.0
BCN+Char+CoVe (McCann et al., 2017)	88.1
ESIM (Chen et al., 2017)	88.0
ESIM+TreeLSTM (Chen et al., 2017)	88.6
ESIM+ELMo	<b>88.7 <math>\pm</math> 0.17</b>
DIIN ensemble (Gong et al., 2018)	88.9
ESIM+ELMo ensemble	<b>89.3</b>

Table 8: SNLI test set accuracy.<sup>3</sup>Single model results occupy the portion, with ensemble results at the bottom.

text vectors are then passed through a linear layer with ReLU activations, a residual self-attention layer that uses a GRU followed by the same attention mechanism applied context-to-context, and another linear layer with ReLU activations. Finally, the results are fed through linear layers to predict the start and end token of the answer.

Variational dropout is used before the input to the GRUs and the linear layers at a rate of 0.2. A dimensionality of 90 is used for the GRUs, and 180 for the linear layers. We optimize the model using Adadelta with a batch size of 45. At test time we use an exponential moving average of the weights and limit the output span to be of at most size 17. We do not update the word vectors during training.

Performance was highest when adding ELMo without layer normalization to both the input and output of the contextual GRU layer and leaving the ELMo weights unregularized ( $\lambda = 0$ ).

Table 9 compares test set results from the SQuAD leaderboard as of November 17, 2017 when we submitted our system. Overall, our submission had the highest single model and ensemble results, improving the previous single model result (SAN) by 1.4%  $F_1$  and our baseline by 4.2%. A 11 member ensemble pushes  $F_1$  to 87.4%, 1.0% increase over the previous ensemble best.

### A.5 Semantic Role Labeling

Our baseline SRL model is an exact reimplementa-tion of (He et al., 2017). Words are represented using a concatenation of 100 dimensional vector representations, initialized using GloVe (Penning-ton et al., 2014) and a binary, per-word predicate feature, represented using an 100 dimensional em-

bedding. This 200 dimensional token representa-tion is then passed through an 8 layer “inter-leaved” biLSTM with a 300 dimensional hidden size, in which the directions of the LSTM layers alternate per layer. This deep LSTM uses High-way connections (Srivastava et al., 2015) between layers and variational recurrent dropout (Gal and Ghahramani, 2016). This deep representation is then projected using a final dense layer followed by a softmax activation to form a distribution over all possible tags. Labels consist of semantic roles from PropBank (Palmer et al., 2005) augmented with a BIO labeling scheme to represent argu-ment spans. During training, we minimize the negative log likelihood of the tag sequence using Adadelta with a learning rate of 1.0 and  $\rho = 0.95$  (Zeiler, 2012). At test time, we perform Viterbi decoding to enforce valid spans using BIO con-straints. Variational dropout of 10% is added to all LSTM hidden layers. Gradients are clipped if their value exceeds 1.0. Models are trained for 500 epochs or until validation  $F1$  does not improve for 200 epochs, whichever is sooner. The pretrained GloVe vectors are fine-tuned during training. The final dense layer and all cells of all LSTMs are ini-tialized to be orthogonal. The forget gate bias is initialized to 1 for all LSTMs, with all other gates initialized to 0, as per (Józefowicz et al., 2015).

Table 10 compares test set  $F1$  scores of our ELMo augmented implementation of (He et al., 2017) with previous results. Our single model score of 84.6  $F1$  represents a new state-of-the-art result on the CONLL 2012 Semantic Role Labeling task, surpassing the previous single model result by 2.9  $F1$  and a 5-model ensemble by 1.2  $F1$ .

### A.6 Coreference resolution

Our baseline coreference model is the end-to-end neural model from Lee et al. (2017) with all hy-

<sup>3</sup>A comprehensive comparison can be found at <https://nlp.stanford.edu/projects/snli/>

Model	EM	F <sub>1</sub>
BiDAF (Seo et al., 2017)	68.0	77.3
BiDAF + Self Attention	72.1	81.1
DCN+	75.1	83.1
Reg-RaSoR	75.8	83.3
FusionNet	76.0	83.9
r-net (Wang et al., 2017)	76.5	84.3
SAN (Liu et al., 2017)	76.8	84.4
BiDAF + Self Attention + ELMo	<b>78.6</b>	<b>85.8</b>
DCN+ Ensemble	78.9	86.0
FusionNet Ensemble	79.0	86.0
Interactive AoA Reader+ Ensemble	79.1	86.5
BiDAF + Self Attention + ELMo Ensemble	<b>81.0</b>	<b>87.4</b>

Table 9: Test set results for SQuAD, showing both Exact Match (EM) and F<sub>1</sub>. The top half of the table contains single model results with ensembles at the bottom. References provided where available.

Model	F <sub>1</sub>
Pradhan et al. (2013)	77.5
Zhou and Xu (2015)	81.3
He et al. (2017), single	81.7
He et al. (2017), ensemble	83.4
He et al. (2017), our impl.	81.4
He et al. (2017) + ELMo	<b>84.6</b>

Table 10: SRL CoNLL 2012 test set F<sub>1</sub>.

Model	Average F <sub>1</sub>
Durrett and Klein (2013)	60.3
Wiseman et al. (2016)	64.2
Clark and Manning (2016)	65.7
Lee et al. (2017) (single)	67.2
Lee et al. (2017) (ensemble)	68.8
Lee et al. (2017) + ELMo	<b>70.4</b>

Table 11: Coreference resolution average F<sub>1</sub> on the test set from the CoNLL 2012 shared task.

perparameters exactly following the original implementation.

The best configuration added ELMo to the input of the lowest layer biLSTM and weighted the biLM layers using (1) without any regularization ( $\lambda = 0$ ) or layer normalization. 50% dropout was added to the ELMo representations.

Table 11 compares our results with previously published results. Overall, we improve the single model state-of-the-art by 3.2% average F<sub>1</sub>, and our single model result improves the previous ensemble best by 1.6% F<sub>1</sub>. Adding ELMo to the output from the biLSTM in addition to the biLSTM input reduced F<sub>1</sub> by approximately 0.7% (not shown).

## A.7 Named Entity Recognition

Our baseline NER model concatenates 50 dimensional pre-trained Senna vectors (Collobert et al., 2011) with a CNN character based representation. The character representation uses 16 dimensional character embeddings and 128 convolutional filters of width three characters, a ReLU activation and by max pooling. The token representation is passed through two biLSTM layers, the first with 200 hidden units and the second with 100 hidden units before a final dense layer and softmax layer. During training, we use a CRF loss and at test time perform decoding using the Viterbi algorithm while ensuring that the output tag sequence is valid.

Variational dropout is added to the input of both biLSTM layers. During training the gradients are rescaled if their  $\ell^2$  norm exceeds 5.0 and parameters updated using Adam with constant learning rate of 0.001. The pre-trained Senna embeddings are fine tuned during training. We employ early stopping on the development set and report the averaged test set score across five runs with different random seeds.

ELMo was added to the input of the lowest layer task biLSTM. As the CoNLL 2003 NER data set is relatively small, we found the best performance by constraining the trainable layer weights to be effectively constant by setting  $\lambda = 0.1$  with (1).

Table 12 compares test set F<sub>1</sub> scores of our ELMo enhanced biLSTM-CRF tagger with previous results. Overall, the 92.22% F<sub>1</sub> from our system establishes a new state-of-the-art. When compared to Peters et al. (2017), using representations

Model	$F_1 \pm \text{std.}$
Collobert et al. (2011) <sup>♣</sup>	89.59
Lample et al. (2016)	90.94
Ma and Hovy (2016)	91.2
Chiu and Nichols (2016) <sup>♣,◇</sup>	$91.62 \pm 0.33$
Peters et al. (2017) <sup>◇</sup>	$91.93 \pm 0.19$
biLSTM-CRF + ELMo	<b><math>92.22 \pm 0.10</math></b>

Table 12: Test set  $F_1$  for CoNLL 2003 NER task. Models with <sup>♣</sup> included gazetteers and those with <sup>◇</sup> used both the train and development splits for training.

Model	Acc.
DMN (Kumar et al., 2016)	52.1
LSTM-CNN (Zhou et al., 2016)	52.4
NTI (Munkhdalai and Yu, 2017)	53.1
BCN+Char+CoVe (McCann et al., 2017)	53.7
BCN+ELMo	<b>54.7</b>

Table 13: Test set accuracy for SST-5.

from all layers of the biLM provides a modest improvement.

### A.8 Sentiment classification

We use almost the same biattention classification network architecture described in McCann et al. (2017), with the exception of replacing the final maxout network with a simpler feedforward network composed of two ReLu layers with dropout. A BCN model with a batch-normalized maxout network reached significantly lower validation accuracies in our experiments, although there may be discrepancies between our implementation and that of McCann et al. (2017). To match the CoVe training setup, we only train on phrases that contain four or more tokens. We use 300-d hidden states for the biLSTM and optimize the model parameters with Adam (Kingma and Ba, 2015) using a learning rate of 0.0001. The trainable biLM layer weights are regularized by  $\lambda = 0.001$ , and we add ELMo to both the input and output of the biLSTM; the output ELMo vectors are computed with a second biLSTM and concatenated to the input.