# **CNN-Layers**

February 23, 2022

# 0.1 Convolutional neural network layers

In this notebook, we will build the convolutional neural network layers. This will be followed by a spatial batchnorm, and then in the final notebook of this assignment, we will train a CNN to further improve the validation accuracy on CIFAR-10.

```
[1]: ## Import and setups
     import time
     import numpy as np
     import matplotlib.pyplot as plt
     from nndl.conv_layers import *
     from utils.data_utils import get_CIFAR10_data
     from utils.gradient_check import eval_numerical_gradient,_
      ⇔eval_numerical_gradient_array
     from utils.solver import Solver
     %matplotlib inline
     plt.rcParams['figure.figsize'] = (10.0, 8.0) # set default size of plots
     plt.rcParams['image.interpolation'] = 'nearest'
     plt.rcParams['image.cmap'] = 'gray'
     # for auto-reloading external modules
     # see http://stackoverflow.com/questions/1907993/
      \rightarrow autoreload-of-modules-in-ipython
     %load ext autoreload
     %autoreload 2
     def rel_error(x, y):
       """ returns relative error """
       return np.max(np.abs(x - y) / (np.maximum(1e-8, np.abs(x) + np.abs(y))))
```

# 0.2 Implementing CNN layers

Just as we implemented modular layers for fully connected networks, batch normalization, and dropout, we'll want to implement modular layers for convolutional neural networks. These layers are in nndl/conv\_layers.py.

#### 0.2.1 Convolutional forward pass

Begin by implementing a naive version of the forward pass of the CNN that uses for loops. This function is conv\_forward\_naive in nndl/conv\_layers.py. Don't worry about efficiency of implementation. Later on, we provide a fast implementation of these layers. This version ought to test your understanding of convolution. In our implementation, there is a triple for loop.

After you implement conv\_forward\_naive, test your implementation by running the cell below.

```
[25]: x shape = (2, 3, 4, 4)
      w_{shape} = (3, 3, 4, 4)
      x = np.linspace(-0.1, 0.5, num=np.prod(x shape)).reshape(x shape)
      w = np.linspace(-0.2, 0.3, num=np.prod(w_shape)).reshape(w_shape)
      b = np.linspace(-0.1, 0.2, num=3)
      conv_param = {'stride': 2, 'pad': 1}
      out, _ = conv_forward_naive(x, w, b, conv_param)
      correct_out = np.array([[[[-0.08759809, -0.10987781],
                                 [-0.18387192, -0.2109216]],
                                [[ 0.21027089, 0.21661097],
                                 [ 0.22847626, 0.23004637]],
                                [[ 0.50813986, 0.54309974],
                                 [ 0.64082444, 0.67101435]]],
                               [[[-0.98053589, -1.03143541],
                                 [-1.19128892, -1.24695841]],
                                [[ 0.69108355, 0.66880383],
                                 [ 0.59480972, 0.56776003]],
                                [[ 2.36270298, 2.36904306],
                                 [ 2.38090835, 2.38247847]]]])
      # Compare your output to ours; difference should be around 1e-8
      print('Testing conv_forward_naive')
      print('difference: ', rel_error(out, correct_out))
```

Testing conv\_forward\_naive difference: 2.2121476417505994e-08

# 0.2.2 Convolutional backward pass

Now, implement a naive version of the backward pass of the CNN. The function is conv\_backward\_naive in nndl/conv\_layers.py. Don't worry about efficiency of implementation. Later on, we provide a fast implementation of these layers. This version ought to test your understanding of convolution. In our implementation, there is a quadruple for loop.

After you implement conv\_backward\_naive, test your implementation by running the cell below.

```
[28]: x = np.random.randn(4, 3, 5, 5)
w = np.random.randn(2, 3, 3, 3)
b = np.random.randn(2,)
dout = np.random.randn(4, 2, 5, 5)
```

```
conv_param = {'stride': 1, 'pad': 1}
out, cache = conv_forward_naive(x,w,b,conv_param)

dx_num = eval_numerical_gradient_array(lambda x: conv_forward_naive(x, w, b, u conv_param)[0], x, dout)

dw_num = eval_numerical_gradient_array(lambda w: conv_forward_naive(x, w, b, u conv_param)[0], w, dout)

db_num = eval_numerical_gradient_array(lambda b: conv_forward_naive(x, w, b, u conv_param)[0], b, dout)

out, cache = conv_forward_naive(x, w, b, conv_param)
dx, dw, db = conv_backward_naive(dout, cache)

# Your errors should be around 1e-9'
print('Testing conv_backward_naive function')
print('dx error: ', rel_error(dx, dx_num))
print('dw error: ', rel_error(dw, dw_num))
print('db error: ', rel_error(db, db_num))
```

Testing conv\_backward\_naive function dx error: 2.6756694474965416e-09 dw error: 2.5468452811005154e-10 db error: 1.0952734026172169e-11

#### 0.2.3 Max pool forward pass

In this section, we will implement the forward pass of the max pool. The function is max\_pool\_forward\_naive in nndl/conv\_layers.py. Do not worry about the efficiency of implementation.

After you implement max\_pool\_forward\_naive, test your implementation by running the cell below.

Testing max\_pool\_forward\_naive function: difference: 4.1666665157267834e-08

## 0.2.4 Max pool backward pass

In this section, you will implement the backward pass of the max pool. The function is max\_pool\_backward\_naive in nndl/conv\_layers.py. Do not worry about the efficiency of implementation.

After you implement max\_pool\_backward\_naive, test your implementation by running the cell below.

```
[30]: x = np.random.randn(3, 2, 8, 8)
dout = np.random.randn(3, 2, 4, 4)
pool_param = {'pool_height': 2, 'pool_width': 2, 'stride': 2}

dx_num = eval_numerical_gradient_array(lambda x: max_pool_forward_naive(x, pool_param)[0], x, dout)

out, cache = max_pool_forward_naive(x, pool_param)
dx = max_pool_backward_naive(dout, cache)

# Your error should be around 1e-12
print('Testing max_pool_backward_naive function:')
print('dx error: ', rel_error(dx, dx_num))
```

Testing max\_pool\_backward\_naive function: dx error: 3.275617795083663e-12

# 0.3 Fast implementation of the CNN layers

Implementing fast versions of the CNN layers can be difficult. We will provide you with the fast layers implemented by utils. They are provided in utils/fast\_layers.py.

The fast convolution implementation depends on a Cython extension ('pip install Cython' to your virtual environment); to compile it you need to run the following from the utils directory:

```
python setup.py build_ext --inplace
```

**NOTE:** The fast implementation for pooling will only perform optimally if the pooling regions are non-overlapping and tile the input. If these conditions are not met then the fast pooling implementation will not be much faster than the naive implementation.

You can compare the performance of the naive and fast versions of these layers by running the cell below.

You should see pretty drastic speedups in the implementation of these layers. On our machine, the forward pass speeds up by 17x and the backward pass speeds up by 840x. Of course, these numbers will vary from machine to machine, as well as on your precise implementation of the naive layers.

```
[31]: from utils.fast_layers import conv_forward_fast, conv_backward_fast
      from time import time
      x = np.random.randn(100, 3, 31, 31)
      w = np.random.randn(25, 3, 3, 3)
      b = np.random.randn(25,)
      dout = np.random.randn(100, 25, 16, 16)
      conv_param = {'stride': 2, 'pad': 1}
      t0 = time()
      out_naive, cache_naive = conv_forward_naive(x, w, b, conv_param)
      t1 = time()
      out_fast, cache_fast = conv_forward_fast(x, w, b, conv_param)
      t2 = time()
      print('Testing conv forward fast:')
      print('Naive: %fs' % (t1 - t0))
      print('Fast: %fs' % (t2 - t1))
      print('Speedup: %fx' % ((t1 - t0) / (t2 - t1)))
      print('Difference: ', rel_error(out_naive, out_fast))
      t0 = time()
      dx_naive, dw_naive, db_naive = conv_backward_naive(dout, cache_naive)
      t1 = time()
      dx_fast, dw_fast, db_fast = conv_backward_fast(dout, cache_fast)
      t2 = time()
      print('\nTesting conv_backward_fast:')
      print('Naive: %fs' % (t1 - t0))
      print('Fast: %fs' % (t2 - t1))
      print('Speedup: %fx' % ((t1 - t0) / (t2 - t1)))
      print('dx difference: ', rel_error(dx_naive, dx_fast))
      print('dw difference: ', rel_error(dw_naive, dw_fast))
      print('db difference: ', rel_error(db_naive, db_fast))
```

Testing conv\_forward\_fast:

Naive: 0.463234s Fast: 0.048434s Speedup: 9.564175x

Difference: 3.2430832863905616e-11

Testing conv\_backward\_fast:

Fast: 0.014651s Speedup: 492.581748x dx difference: 3.2540359388451465e-11 dw difference: 3.47192419489354e-13 db difference: 2.1281956962490683e-14 [32]: from utils.fast\_layers import max\_pool\_forward\_fast, max\_pool\_backward\_fast x = np.random.randn(100, 3, 32, 32)dout = np.random.randn(100, 3, 16, 16) pool\_param = {'pool\_height': 2, 'pool\_width': 2, 'stride': 2} out\_naive, cache\_naive = max\_pool\_forward\_naive(x, pool\_param) t1 = time()out\_fast, cache\_fast = max\_pool\_forward\_fast(x, pool\_param) t2 = time()print('Testing pool\_forward\_fast:') print('Naive: %fs' % (t1 - t0)) print('fast: %fs' % (t2 - t1)) print('speedup: %fx' % ((t1 - t0) / (t2 - t1))) print('difference: ', rel\_error(out\_naive, out\_fast)) t0 = time()dx\_naive = max\_pool\_backward\_naive(dout, cache\_naive) t1 = time()dx\_fast = max\_pool\_backward\_fast(dout, cache\_fast) t2 = time()print('\nTesting pool\_backward\_fast:') print('Naive: %fs' % (t1 - t0)) print('speedup: %fx' % ((t1 - t0) / (t2 - t1))) print('dx difference: ', rel\_error(dx\_naive, dx\_fast)) Testing pool\_forward\_fast: Naive: 0.694275s fast: 0.006981s speedup: 99.453586x difference: 0.0 Testing pool\_backward\_fast: Naive: 0.900949s speedup: 54.404878x dx difference: 0.0

Naive: 7.216845s

## 0.4 Implementation of cascaded layers

We've provided the following functions in nndl/conv\_layer\_utils.py: - conv\_relu\_forward - conv\_relu\_backward - conv\_relu\_pool\_forward - conv\_relu\_pool\_backward

These use the fast implementations of the conv net layers. You can test them below:

```
[34]: from nndl.conv_layer_utils import conv_relu_pool_forward,__
       ⇔conv_relu_pool_backward
      x = np.random.randn(2, 3, 16, 16)
      w = np.random.randn(3, 3, 3, 3)
      b = np.random.randn(3,)
      dout = np.random.randn(2, 3, 8, 8)
      conv_param = {'stride': 1, 'pad': 1}
      pool_param = {'pool_height': 2, 'pool_width': 2, 'stride': 2}
      out, cache = conv_relu_pool_forward(x, w, b, conv_param, pool_param)
      dx, dw, db = conv_relu_pool_backward(dout, cache)
      dx_num = eval_numerical_gradient_array(lambda x: conv_relu_pool_forward(x, w,_
       →b, conv_param, pool_param)[0], x, dout)
      dw num = eval numerical gradient array(lambda w: conv_relu_pool_forward(x, w,_
       ⇒b, conv_param, pool_param)[0], w, dout)
      db_num = eval_numerical_gradient_array(lambda b: conv_relu_pool_forward(x, w,_

→b, conv_param, pool_param)[0], b, dout)
      print('Testing conv_relu_pool')
      print('dx error: ', rel_error(dx_num, dx))
      print('dw error: ', rel_error(dw_num, dw))
      print('db error: ', rel_error(db_num, db))
     Testing conv_relu_pool
     dx error: 9.342505179947307e-08
     dw error: 1.05356319619271e-09
     db error: 5.969211521750471e-11
[35]: from nndl.conv_layer_utils import conv_relu_forward, conv_relu_backward
      x = np.random.randn(2, 3, 8, 8)
      w = np.random.randn(3, 3, 3, 3)
      b = np.random.randn(3,)
      dout = np.random.randn(2, 3, 8, 8)
      conv param = {'stride': 1, 'pad': 1}
      out, cache = conv_relu_forward(x, w, b, conv_param)
      dx, dw, db = conv_relu_backward(dout, cache)
```

# Testing conv\_relu:

dx error: 1.6287287144412087e-09
dw error: 1.9755873947863854e-09
db error: 9.302809378091088e-12

#### 0.5 What next?

We saw how helpful batch normalization was for training FC nets. In the next notebook, we'll implement a batch normalization for convolutional neural networks, and then finish off by implementing a CNN to improve our validation accuracy on CIFAR-10.